

# Theoretical study of mixing in liquid clouds.

## Part 1: classical concept

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### Abstract

~~Relationships between basic microphysical parameters are studied within The present study considers final stages of in-cloud mixing in the framework of classical concept of homogeneous and extreme inhomogeneous mixing. Analytical expressions Simple analytical relationships between basic microphysical parameters were obtained for homogeneous and numerical simulations of extreme inhomogeneous mixing based on the adiabatic consideration. It was demonstrated that during homogeneous mixing the functional relationships between droplet concentration, extinction coefficient, liquid water content, and mean volume droplet the moments of the droplets size, formed at the final distribution hold only during primary stage of mixing are presented. The expressions are Subsequent random mixing between already mixed parcels and undiluted cloud parcels breaks these relationships. However, during extreme inhomogeneous mixing the functional relationships between the microphysical parameters hold both for primary and subsequent mixing. The obtained relationships can be used to identify the type of mixing for from in-situ observations obtained. The effectiveness of the developed method was demonstrated using in-situ data collected in convective clouds. The analysis suggests It was found that for the specific set of observations investigated here, in-situ measurements the interaction between cloudy and entrained environments is was dominated by inhomogeneous mixing. Lastly, an analysis of different response times of the cloud environment~~

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31 ~~undergoing mixing is presented. Comparisons of different characteristic times suggest that within the~~  
32 ~~same mixing environment depending on mixing fraction some volumes may be dominated by~~  
33 ~~homogeneous mixing whereas others by inhomogeneous mixing.~~ extreme inhomogeneous mixing.

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### 39 1. Introduction

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40 Turbulent mixing is an important non-adiabatic process in the atmosphere that to a large extent  
41 determines spatial gradients of many thermodynamic (e.g. temperature, humidity) and cloud  
42 microphysical parameters (e.g. hydrometeor concentrations, extinction coefficient, condensed  
43 water content) and as such, needs to be properly described in numerical simulations of clouds and  
44 weather predictions. Entrainment and mixing occurs during the entire lifetime of a cloud and is  
45 active not only near cloud edges, but it is important throughout the whole cloud volume. Mixing  
46 of cloudy and entrained air results in changes to the shape of the droplet size distribution through  
47 partial droplet evaporation and can also lead to changes in droplet concentration through complete  
48 evaporation of some fraction of droplets, and dilution. The shape of the droplet size distribution  
49 plays key role in the initiation of precipitation and radiative properties of clouds.

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50 The treatment of mixing in numerical simulations of clouds and precipitation formation  
51 remains a challenging problem. Besides the issues related to the way to describe mixing in  
52 numerical schemes, there is a fundamental problem of identifying a scenario or path, that mixing  
53 events should follow. Since Through the pioneering works of Latham and Reed (1977) and Baker  
54 et al. (1980) two explicitly alternative scenarios of mixing were identified. In the first scenario the  
55 turbulent mixing rapidly stirs the environment unifying/homogenizing the fields of temperature and  
56 humidity. After/Following that, all of the droplets undergo partial evaporation under the same  
57 conditions. The result of this mixing is the droplet population with reduced sizes, but their total  
58 amount/number that remains unchanged. This type of mixing is referred to as homogeneous. In the  
59 second scenario, mixing occurs more slowly such that the population of droplets experiences  
60 different amount of sub-saturation. Some number of droplets completely evaporates, while others  
61 experience no evaporation until the entirety of the entrained air becomes saturated. After/Following

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62 that, turbulence mixes the rest of the droplets with the saturated, but droplet-free environment.  
63 During this type of mixing the size of droplets remains unchanged; however, their  
64 concentrationtotal number is reduced. This type of mixing is called extreme inhomogeneous. The  
65 intermediate case when some fraction of droplets evaporateevaporates partially, theanother other  
66 fraction evaporates completely, and thea third fraction remains without changesunchanged is in  
67 some works referred to as inhomogeneous (e.g. Baker and Latham, 1980).

68 The conditions for homogeneous and extreme inhomogeneous mixing and their effects on  
69 precipitation formation have been debated in cloud physics over forty years. There are a number  
70 of numerical simulations and theoretical efforts on studying different aspects of mixing and its  
71 effect on cloud microphysics (e.g. Baker and Latham, 1982; Jensen and Baker, 1989; Su et al.,  
72 1989; Lasher-Trapp et al., 2005; Jeffrey, 2007; Andrejczuk et al., 2009; Kumar et al., 2013;  
73 Jarecka et al., 2013, and many others). A comprehensive review of the works on the effect of  
74 turbulence and mixing on cloud dropletsdroplet formation can be found in Devenish et al. (2012).

75 A number of studies were dedicated to identifying type of mixing based on in-situ  
76 observations. Most of the previous in-situ observations provideprovided evidence supporting  
77 inhomogeneous mixing (e.g. Hill and Choulaton, 1985; Paluch, 1986; Bower and Choulaton,  
78 1988; Blyth and Latham, 1991; Gerber et al., 2008, Lu et al. 2011; Beals et al. 2016). However,  
79 works of Jensen and Baker (1989), Paluch and Baumgardner (1989), Burnet and Brenguier (2007),  
80 Lehmann et al. (2009)suggest), Lu et al. (2011) suggested occurrence of homogeneous mixing. So,  
81 at the moment it appears that both types of mixing may occur in liquid clouds. However, the  
82 environmental conditions resulting fromgoverning one or the other type of mixing remain not well  
83 understood.

84 Early experimental work on identifying type of mixing from in-situ observations were based  
85 on the analysis of spatial variability of the shapes of individual droplet size distributions (e.g.  
86 Paluch and Knight, 1984; Paluch, 1986; Bower and Choulaton, 1988). However, theThe  
87 effectiveness of this method involving the analysis of overlya large number of individual size  
88 spectra turned out to be quite low. Another technique utilized expected functional relationships  
89 between droplet concentration ( $N$ )( $N$ ) and droplet radius ( $r$ ), which is diameter ( $D$ ), specific to each  
90 type of mixing. Thus, during extreme inhomogeneous mixing the droplet size is expected to remain  
91 unchanged, whereas the concentration will vary. However, duringDuring homogeneous mixing the  
92 droplet size and concentration in cloud will be related to each other in a certain way, depending on

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93 the mixing fraction and the humidity of the entrained air. This fact was used in observational  
94 studies ~~on~~for identifying the type of mixing from “mixing diagrams” that related  $N-N_v$  and  $r-N_v$   
95 for different regimes of mixing (e.g. Burnet and Brenguier, 2007; Gerber et al., 2008; Lehmann  
96 et al., 2009).

97 The use of ~~the~~ mixing diagrams to some extent facilitated identification of type of mixing.  
98 However, in many cases scatter in the relationships between  ~~$N$  versus  $r-N$~~  vs.  $D_v$  was too large,  
99 ~~which hindered identifying~~hindering identification of the type of mixing (Burnet and Brenguier,  
100 2007). To resolve this problem many researchers used other complementary measurements  
101 supporting identification of the type of mixing (e.g. Gerber et al., 2008; Lehmann et al., 2009).

102 Besides the effect on  $N-N_v$  and  $r-N_v$ , the type of mixing is anticipated to manifest itself in  
103 relationships between other moments of the droplet size distribution,  $f(r)-f(D)$ . Such  
104 ~~relationships may provide insight into the mixing process and identify type of mixing.~~ With the  
105 exception of the work by Hill and Choulaton (1985), who correlated concentration and liquid  
106 water content, there have been few attempts to use any other microphysical parameters for  
107 identification of type of mixing.

108 In order to fill this gap, this study presents a theoretical analysis of relationships between  
109 different moments of  $f(r)-f(D)$  within the framework of homogeneous and extreme  
110 inhomogeneous mixing. The analysis is focused on the first four moments of  $f(r)-f(D)$   
111 corresponding to the droplet concentration  $N-N_v$  (0<sup>th</sup> moment), integral ~~radius  $N$  diameter  $N\bar{D}$~~  (1<sup>st</sup>  
112 moment), extinction coefficient  $\beta-\beta_v$  (2<sup>nd</sup> moment) ~~and~~, liquid water mixing ratio  $q-q_v$  (3<sup>rd</sup> moment)  
113 and mean volume ~~radius  $\bar{r}_3$  diameter  $D_v$~~  (mixed 3<sup>rd</sup> and 0<sup>th</sup> moment). It is shown that the set of  
114 ~~newly obtained relationships~~ ~~obtained can be effectively used to identify~~between the moments  
115 ~~provide a more robust identification of~~type of mixing from in-situ ~~observations.~~ measurements as  
116 ~~compared to conventional  $N - D_v^3$  relationships used in mixing diagrams.~~ Relationships between  
117 ~~moments may be useful for parameterization of mixing in numerical simulations of clouds and~~  
118 ~~climate.~~ interpretations of remote sensing measurements.

119 This paper constitutes the first in a series of three papers. ~~The present paper.~~It considers the  
120 final stage of mixing based on the formal definitions of homogeneous and extreme  
121 ~~inhomogeneous mixing.~~ ~~These two types of mixing present two extreme regimes of mixing.~~ The  
122 following two papers provide a detailed analysis of the time dependent processes during

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123 homogeneous (Pinsky et al. ~~2015a~~, 2016a) and inhomogeneous (Pinsky et al. ~~2015b~~, 2016b)  
124 mixing, where non-extreme regimes are considered as well.

125 ~~The present~~This paper is arranged in the following way. Section 2 presents analysis of the  
126 analytical relationship between  $N$ ,  $N\bar{r}$ ,  $\beta$ ,  $q$ ,  $\bar{r}_3$ ,  $N$ ,  $N\bar{D}$ ,  $\beta$ ,  $q$ ,  $D_v$  and mixing fraction  $\mu$  for  
127 the cases of homogeneous and extreme inhomogeneous mixing. ~~The~~In Sect. 3 the obtained  
128 ~~analytical relationships are compared with the~~ results of numerical simulation of  $N$ ,  $\beta$ ,  $q$ ,  $\bar{r}_3$ ,  $N$ ,  
129  $\beta$ ,  $q$ ,  $D_v$  formed ~~after at the final stage of mixing are discussed in section 3~~. Section 4 presents  
130 ~~results of simulation of progressive mixing and its effect of the relationships between moments~~.  
131 Examples of relationship between  $N$ ,  $\beta$ ,  $q$ ,  $\bar{r}_3$ ,  $N$ ,  $\beta$ ,  $q$  and  $D_v$  from in-situ observations are  
132 presented in section 4. Section Sect. 5 presents analysis of characteristic response times of a cloud  
133 ~~environment during homogeneous and inhomogeneous mixing~~. The discussion and concluding  
134 remarks are presented in ~~section~~Sect. 6— and 7.

## 136 2. Effect of mixing on microphysical variables

### 137 2.1. Phenomenological consideration

138 The conceptual diagrams of homogeneous and extreme inhomogeneous mixing are shown on  
139 Fig. 1. During the first stage of extreme inhomogeneous mixing the ~~sub-saturated-sub~~saturated  
140 parcel is engulfed into the cloudy environment (Fig. ~~1-a1~~ 1a1). Then, the droplets at the interface  
141 of the sub-saturated parcel and the cloud environment undergo complete evaporation until the air  
142 within the engulfed volume reaches saturation (Fig. ~~1-a2~~ 1a2). After that the saturated but droplet  
143 free parcel mixes with the rest of the cloud environment (Fig. ~~1-a3~~ 1a3). The result of ~~the~~  
144 inhomogeneous mixing is that the cloud parcel has reduced droplet concentration and the droplet  
145 sizes remain unchanged.

146 In the case of homogeneous mixing after entraining into a cloud (Fig. ~~1-b1~~ 1b1), the ~~sub-~~  
147 ~~saturated-sub~~saturated parcel “instantly” mixes up with its cloud environment (Fig. ~~1-b2~~ 1b2), which  
148 ~~leads 1b2) leading~~ to undersaturation of the total volume. Then, all droplets throughout the mixed  
149 volume undergo simultaneous evaporation until the equilibrium state is reached. The result of  
150 homogeneous mixing is a cloud ~~parcel~~volume with reduced concentration of droplets and droplets  
151 with reduced sizes (Fig. ~~1-b3~~ 1b3).

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152 ~~The difference between these two~~ Based on mass and energy conservation considerations the  
153 final state of the bulk parameters (i.e. liquid water mixing fraction, humidity, temperature, etc.) is  
154 the same for both types of mixing ~~is as follows:~~ However, in the case of extreme inhomogeneous  
155 mixing the saturation is reached through complete evaporation of some fraction of droplets,  
156 ~~whereas~~ and their sizes remain constant. ~~Whereas in~~ case of homogeneous mixing the saturation  
157 is reached through a uniform evaporation of droplets, ~~whereas~~ and the total number of droplets ~~in~~  
158 ~~the diluted parcel~~ remains unchanged. It should be noted, that in both cases of mixing the droplet  
159 concentration decreases due to dilution by the ~~entrained~~ mixed droplet free sub-saturated parcel.

160 The following discussion will be specifically focused ~~at~~ on the microphysical properties  
161 formed at the final stage of the homogeneous and extreme inhomogeneous mixing. The processes  
162 occurring during mixing state (i.e. transition 1a→2a and 1b→2b in Fig. 1) remain outside the  
163 frame of this work. Following the formalism of homogeneous and extreme inhomogeneous  
164 mixing, the process of mixing reaches the final stage when (1) the entrained and cloud environment  
165 are mixed up and the spatial gradients of the microphysical ~~( $N, \beta, q$ ;~~ ( $N, \beta, q$ ; etc.) and  
166 environmental ~~( $T, S, e$ ;~~ ( $T, S, e$ ; etc.) parameters approach to zero; (2) the diffusional process  
167 related to droplet evaporation comes into equilibrium. The second condition is completed when  
168 (a) the environment reaches saturation state, or (b) the entire population of droplets is completely  
169 evaporated, if the ~~mixed~~ entrained air is ~~too~~ sufficiently dry.

170 The above description of homogeneous and extreme inhomogeneous mixing is highly  
171 idealized. ~~Actual in-cloud mixing does not occur as a sequence of discrete events (Fig.1) that~~  
172 ~~individually come to equilibrium only to be followed by next discrete mixing events. But rather it~~  
173 ~~is occurring continuously on a cascade of different spatial and time scales.~~ Broadwell and  
174 Breidenthal (1982) summarized the experimental evidence and proposed the following description  
175 of mixing in turbulent shear layers. Mixing takes place in a series of events. Two shear layers  
176 exchange mass by engulfing parcels from an opposite layer into localized zones. The initially  
177 large-scale filaments of the two gases break down towards smaller scales due to the action of  
178 turbulence. The turbulence stretches the interface between the gases and enhances the molecular  
179 diffusion across the increasing surface. The actual mixing of the engulfed volume is a molecular  
180 diffusion process that is most effective after the break down volumes reduce to the Kolmogorov  
181 viscosity scale. ~~It is anticipated that the reaction of the ensemble of droplets from entrainment~~ is a  
182 combination of homogeneous and inhomogeneous mixing with domination of one type of mixing

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183 over the other depending on the characteristic spatial and time scales of the environment  
184 determined by turbulence, cloud microphysics, state parameters and stage of mixing.

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## 186 2.2. Methodology

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187 The foregoing discussion will be focused on mixing between saturated cloud parcels and out-  
188 of-cloud sub-saturated air. The cloud parcel contains droplets with ~~monodisperse radius  $r_0$~~  average

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189 diameter  $\bar{D}_{1,2}$ , liquid mixing ratio  ~~$q_0$~~   $q_1$  and number concentration  ~~$N_0$~~   $N_{1,2}$ . The initial temperature

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190 in the cloud parcel is  $T_1, T_{1,2}$ , relative humidity  ~~$S_1 = 1$~~ ,  $RH_1 = 1$ , where  $S = e/E_s(T)$  (The  $RH =$

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191  $e/e_s(T)$  (the explanation of ~~variables~~ variable notations is provided in Appendix A Table 1). The

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192 second parcel is droplet free ( ~~$N_2 = 0$~~ ) ( $N_2 = 0$ ), sub-saturated with initial relative humidity  ~~$S_2 < 1$~~

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193  $RH_2 < 1$  and temperature  $T_2, T_{2,2}$ . The mixing occurs isobarically, i.e. ~~during mixing  $p = \text{const.}$~~

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194 ~~const.~~ At the ~~end~~ final stage of the mixing the temperature and humidity formed in the resulting

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195 parcel are  $T_m, T$  and  $S_m$  (Appendix B)  $RH$  (appendix A). The process of mixing is completed when

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196 the mixed parcel reaches equilibrium due to the air saturation (i.e.  ~~$S_m = 1$~~ ) ( $RH = 1$ ) or due to the

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197 complete evaporation of droplets. In the latter case the final humidity is  ~~$S_m < 1$~~  ( $RH \leq 1$ ). The effect

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198 of the vertical velocity and vertical travel ~~of the mixing parcels on temperature  $T_m$ , humidity  $S_m$ , on~~

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199 ~~final  $T, RH$  and condensed water  $q_m, q$~~  is not considered here, i.e. vertical velocity  ~~$u_z = 0$~~  ( $u_z = 0$ ).

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200 Without the loss of generality the masses of the cloudy and sub-saturated volumes prior to the

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201 mixing are assumed to have a unit masses, i.e.  ~~$m_1 = 1$~~  ( $m_1 = 1$ ) and  ~~$m_2 = 1$~~  ( $m_2 = 1$ ). The mixing

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202 process will be considered as mixing of ~~the  $\mu$~~  fraction of the cloud ~~volume~~ parcel with the  ~~$(1 - \mu)$~~

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203  ~~$(1 - \mu)$~~  fraction of the second (sub-saturated) ~~volume~~ parcel. The mixing ~~cloud~~ fraction ~~is~~

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204 ~~changing~~ may vary within the range of  ~~$0 \leq \mu \leq 1$~~  ( $0 \leq \mu \leq 1$ ). Therefore, the mass of the resulting

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205 mixed parcel is equal to  ~~$m_1 \mu + (1 - \mu) m_2 = 1$~~  ( $m_1 \mu + (1 - \mu) m_2 = 1$ ). This approach simplifies

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206 the consideration of mixing and allows considering all possible proportions of the mixing of two

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207 volumes.

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## 209 2.3 Effect of mixing on liquid water and temperature

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210 The mixing ratio of liquid water  $q$  formed at the final stage of mixing is determined by the  
 211 mass of the mixing cloud water  $\mu q_1$  and amount of evaporated water required to saturate the newly  
 212 formed mixed volume  $\delta q_m$ . The mass balance of liquid water for the mixing volume yields

$$213 \quad q = \mu q_1 - \delta q_m \quad (1)$$

214 where

$$215 \quad \delta q_m = \frac{c_p R_v T_{m0}^2}{L^2} \ln \left( \frac{1 + \frac{e_s(T_{m0}) R_a L^2}{p c_{pa} R_v^2 T_{m0}^2}}{1 + RH_{m0} \frac{e_s(T_{m0}) R_a L^2}{p c_{pa} R_v^2 T_{m0}^2}} \right) \cong - \frac{S_{m0}}{A_2} \quad (2)$$

216 is the mixing ratio of liquid water required to saturate 1kg of volume with temperature  $T_{m0}$  and  
 217 humidity  $RH_{m0}$  (appendix A);  $T_{m0}$ ,  $RH_{m0}$  and  $S_{m0}$  are the temperature, relative humidity formed  
 218 and supersaturation formed in the volume after instantaneous air mixing, but before droplets start  
 219 evaporating (appendix A);  $e_s(T_{m0})$  is saturation vapor pressure at temperature  $T_{m0}$ .

220 Eq. (1) is a non-linear function of  $\mu$ , since  $T_{m0}$ ,  $e_{m0}$  and thus  $\delta q_m$  depend on  $\mu$ . Eq.(1) can be  
 221 simplified, if  $T_1 = T_2$ . In this case  $T_{m0} = T_1 = T_2$ , and  $e_s(T_{m0}) = e_s(T_1) = e_s(T_2)$ . Given that,  
 222 the expression under logarithm in Eq.(2) can be expanded in series resulting in (appendix B)

$$223 \quad \delta q_m = (1 - \mu) \delta q^* \quad (3)$$

224 where

$$225 \quad \delta q^* = \frac{c_p R_v T_2^2}{L^2} \ln \left( \frac{1 + \frac{e_s(T_2) R_a L^2}{p c_{pa} R_v^2 T_2^2}}{1 + RH_2 \frac{e_s(T_2) R_a L^2}{p c_{pa} R_v^2 T_2^2}} \right) \cong - \frac{S_2}{A_2} \quad (4)$$

226 is the mixing ratio of liquid water required to saturate 1 kg of the entrained dry air. Substituting  
 227 Eq.(3) in Eq.(1) gives

$$228 \quad q = \mu q_1 - (1 - \mu) \delta q^* \quad (5)$$

229 The value of  $\delta q^*$  does not depend on  $\mu$ , and Eq. (5) is a simple linear function of  $\mu$ . The  
 230 comparisons with numerical simulations showed, that Eq.(5) provides accuracy within few  
 231 percent, when the temperature difference  $|T_1 - T_2| < 2^\circ\text{C}$ . Although, in many cases  $|T_1 - T_2|$  may

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232 vary a wide range reaching 10°C or higher, clouds with  $|T_1 - T_2| < 2^\circ\text{C}$  are quite common.  
 233 Therefore, for the sake of simplicity, Eq.(5) and the assumption  $T_1 \approx T_2$  will be used in the  
 234 following consideration of mixing.

235 It should be noted that, Eqs (1) and (5) are valid for the cases, when  $\mu > \mu_{cr}$ . Here  $\mu_{cr}$  is  
 236 critical mixing fraction, which separates partial and complete evaporation of cloud water in the  
 237 mixing volume (section 2.4). Cases when  $\mu \leq \mu_{cr}$  correspond to complete evaporation of droplets,  
 238 and  $q = 0$ .

239 The temperature at the final stage of mixing can be estimated as (appendix C)

$$240 \quad T = T_{m0} - \frac{(1-\mu)\delta q^* L}{c_{pa}}, \quad \text{when } \mu > \mu_{cr} \quad (6a)$$

$$241 \quad T = T_{m0} - \frac{\mu q_1 L}{c_{pa}}, \quad \text{when } \mu \leq \mu_{cr} \quad (6b)$$

242 Eqs. (1), (5), (6) were obtained based on mass and energy conservation, and they do not  
 243 depend on how mixing proceeds. Therefore, Eqs. (1), (5), (6) are valid for both homogeneous and  
 244 inhomogeneous mixing.

## 246 **2.4 Complete evaporation**

247 As mentioned in section 2.2 the process of mixing is complete only after reaching equilibrium  
 248 by saturating the mixed volume or by evaporating of all cloud droplets depending on the mixing  
 249 fraction  $\mu$ . The critical mixing fraction  $\mu_{cr}$ , corresponding to evaporation of all droplets, can be  
 250 found from Eq.(5) when  $q = 0$ , i.e.

$$251 \quad \mu_{cr} = \frac{\delta q^*}{q_1 + \delta q^*} \quad (7)$$

252 Critical mixing fraction separates  $\mu$  in two subranges: (a)  $1 \geq \mu > \mu_{cr}$  where  $q$  is described  
 253 by Eqs.(1) or (5) and  $RH_m = 1$ ; (b)  $\mu_{cr} \geq \mu \geq 0$  where  $q = 0$  and  $RH_m \leq 1$ .

254 For the general case when  $T_1 \neq T_2$ ,  $\mu_{cr}$  can be found by solving the non-linear equation

$$255 \quad \mu_{cr} q_1 - \delta q_m(\mu_{cr}) = 0 \quad (8)$$

256 Figure 2 shows comparisons of dependences of  $\mu_{cr}$  vs.  $q_1$  calculated from Eq. (7) and those  
 257 deduced from a numerical model (Sect. 3). Critical mixing fraction  $\mu_{cr}$  is also shown by black  
 258 stars in Fig. 4. The locations of the stars in Fig.4 coincide well with the locations, where the

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259 modeled microphysical moments become zero. The obtained agreement between analytical and  
 260 modeled  $\mu_{cr}$  in Figs. 2 and 4 validates the developed approach.

262 **2.5 Extreme inhomogeneous mixing**

263 Within the framework of ~~the~~ extreme inhomogeneous mixing some fraction of droplets  
 264 undergo complete evaporation, whereas the rest of the droplets remain unchanged. Therefore, such  
 265 a process results in scaling the droplet size distribution  $f(r)$ ,  $f(D)$ , i.e.

266 
$$f(r) = kf_0(r) \quad (1)$$

267 
$$f(D) = kf_1(D) \quad (9)$$

268 where  $k$  is some coefficient dependent on  $\mu$  and the initial environmental parameters of the  
 269 mixing volumes,  $f_0(r)$ ,  $f_1(D)$  is the droplet size distribution before mixing.

270 Eq. (9) yields relationships between pairs  $n$ -th and  $m$ -th moments

271 
$$\frac{M_n - M_m}{M_{0n} - M_{0m}} \quad (2)$$

272 
$$\frac{M_n}{M_{n1}} = \frac{M_k}{M_{k1}} \quad (10)$$

273 where  $M_n = \int_0^\infty f(r)r^n dr$ ,  $M_n = \int_0^\infty f(D)D^n dD / \int_0^\infty f(D)dD$  is the  $n$ -th moment of  $f(r)$ ,  $f(D)$ .

274 Therefore, it is anticipated that for extreme inhomogeneous mixing droplet number concentration  
 275  $N$ , extinction coefficient  $\beta$ , liquid water content  $q$ , mixing ratio  
 276  $q_v$  (3rd moment), along with other moments, will correlate with each other, i.e.

277 
$$\frac{N}{N_0} = \frac{\beta}{\beta_0} = \frac{q}{q_0} \quad (3)$$

278 
$$\frac{N}{N_1} = \frac{\beta}{\beta_1} = \frac{q}{q_1} \quad (11)$$

279 One of the consequences of Eqs. (9)-(11) is conservation of that the characteristic droplet  
 280 sizes, i.e.  $\bar{r}$ ,  $\bar{r}_2$ ,  $\bar{r}_3$ ,  $r_{eff}$ ,  $\bar{D}$ ,  $D_{2v}$ ,  $D_{v, eff}$  will remain constant during inhomogeneous mixing.

281 The liquid water mixing ratio  $q$  resulting from extreme inhomogeneous mixing can be written based  
 282 on the mass balance of vapor case  $T_1 = T_2$  and liquid water as

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283  $q = \mu q_0 - \delta q,$  (4)

284 where  $\delta q$  is  $\mu > \mu_{cr}$ . Eqs. (5) and (11) yield the amount of liquid water per 1 kg of the dry air required  
 285 to saturate the volume formed as a result of mixing of the cloud and entrained parcels before droplet  
 286 evaporation. The value of  $\delta q$  can be found as (Appendix B)

287 
$$\delta q = \frac{L^2}{c_p R_v T_2^2} \ln \left( \frac{1 + \frac{E_s(T_{m0}) R_a L^2}{p c_p R_v^2 T_{m0}^2}}{1 + S_{m0} \frac{E_s(T_{m0}) R_a L^2}{p c_p R_v^2 T_{m0}^2}} \right)$$
 (5)

288 Here  $T_{m0}$  and  $S_{m0}$  are the temperature and relative humidity formed in the parcel after mixing, but  
 289 before droplets start evaporating (Appendix B),  $E_s(T_{m0})$  is saturation humidity at temperature  $T_{m0}$ . Since  
 290  $T_{m0}$ ,  $S_{m0}$  and  $E_s$  are functions of the ratio of  $\mu$ , then  $\delta q$  is function of  $\mu$  as well.

291 Combining Eq. 4 and Eq. 3 yields dependence of  $N$  versus  $\mu$ .  $N$  vs.  $\mu$

292 
$$N = N_0 \left( \mu \frac{\delta q}{q_0} \right)$$
 (6)

293 Eqs. 4 and 5 describe changes of  $q$  and  $\delta q$  for a general case, when the temperatures in the cloud ( $T_1$ ) and sub-saturated ( $T_2$ ) parcels are different. When  $T_1 = T_2$ , the temperature after mixing, but before  
 294 droplet evaporation, remain the same, i.e.  $T_{m0} = T_1 = T_2$ . For this case the amount of liquid water  
 295 evaporated after mixing can be estimated as the amount of evaporated liquid required to saturate the  
 296 entrained parcel

297 
$$\delta q = (1 - \mu) \delta q^*,$$
 (7)

298 where

299 
$$\delta q^* = \frac{L^2}{c_p R_v T_2^2} \ln \left( \frac{1 + \frac{E_s(T_2) R_a L^2}{p c_p R_v^2 T_2^2}}{1 + S_2 \frac{E_s(T_2) R_a L^2}{p c_p R_v^2 T_2^2}} \right)$$
 (8)

301 is the amount of liquid water required to saturate 1kg of the entrained sub-saturated volume. Therefore,  
 302 Eq. 4 can be rewritten as

303 
$$q = \mu q_0 - (1 - \mu) \delta q^*,$$
 (9)

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304 ~~Eq.8 does not take into account the air temperature changes due to the latent heat of droplet~~

305 ~~evaporation. The comparisons of with numerical simulations showed that the~~  $N = N_1 \left( \mu - \frac{(1-\mu)\delta q^*}{q_1} \right)$

306 ~~\_\_\_\_\_~~ (12)

307  $\beta = \beta_1 \left( \mu - \frac{(1-\mu)\delta q^*}{q_1} \right)$  \_\_\_\_\_ (13)

308 ~~For a general case when  $T_1 \neq T_2$ , the term  $(1 - \mu)\delta q^*$  in Eqs. (12) and (13) should be replaced~~  
309 ~~by  $\delta q_m(\mu)$  (Eq.(2)).~~

311 ~~2.6~~ ~~Eq.8 and 9 provide accuracy within few percent when the temperature difference  $|T_1 - T_2| < 2^\circ\text{C}$ .~~

312 ~~It should be noted that the mass balance Eqs. 4 and 9 do not take into account changes of the size of~~  
313 ~~the volume  $V$  due to changes of temperature caused by evaporation of droplets. The temperature~~  
314 ~~depression  $\Delta T = |T_1 - T_2|$  due to evaporation depends on  $\delta q$  and it may reach a few degrees depending~~  
315 ~~on  $\mu$  and  $q_0$  (sections 3a,d). For isobaric processes the relative changes of volume can be estimated as~~

316  $\frac{\Delta V}{V} = \frac{\Delta T}{T}$ . So, for the lower troposphere  $\Delta T = 1\text{C}$ , would result in a relative changes of volume less than

317 ~~0.3%. Therefore, the evaporative cooling within few degrees will not produce any significant effect on  $N$~~   
318 ~~,  $\beta$  and  $q$  during mixing, and the corrections of the volume changes can be neglected.~~

319 ~~Similar to Eq.6 the dependence of concentration versus  $\mu$  for the case  $T_1 \approx T_2$  can be found as~~

320  $N = N_0 \left( \mu - \frac{(1-\mu)\delta q^*}{q_0} \right)$  \_\_\_\_\_ (10)

321 ~~The dependences for other moments versus  $\mu$  for extreme inhomogeneous mixing can be found the~~  
322 ~~same way as in Eq.6 or Eq.10.~~

#### 324 **2.4. Homogeneous mixing**

325 ~~Based on mass conservation one may conclude that the amount of evaporated water does not depend~~  
326 ~~on how the mixing occurred. Therefore, the mass balance equations Eqs.4 and 9 are valid for both~~  
327 ~~homogeneous and inhomogeneous mixing.~~

328 ~~For homogeneous mixing, when  $\mu > \mu_{cr}$ , the droplet number concentration changes only due~~  
329 ~~to dilution of the cloud parcel by the entrained air, i.e.~~

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330  $\frac{N}{N_0} = \mu$  (11)

331  $\frac{N}{N_1} = \mu$  (14)

332 Assuming  $T_1 \approx T_2$ ,  $T_1 = T_2$ , and substituting Eq. 11 into Eq. 9 yields dependence  $q$  versus  $N$  for  
 333 homogeneous mixing (5) in (14) yields:

334  $\frac{N}{N_0} = \frac{q + \delta q^*}{q_0 + \delta q^*}$  (12)

335 Eq. 12 suggests linear relationship between  $N$  and  $q$ .  $\frac{N}{N_1} = \frac{q + \delta q^*}{q_1 + \delta q^*}$   
 336 (15)

337 As follows from Eq. (15)  $N$  and  $q$  are linearly related for homogeneous mixing. However, no  
 338 linear relationships exist between other moments. Thus, substituting the definition of the liquid  
 339 water mixing ratio  $q = 4\pi\rho_w N \bar{r}^3 / 3$ ,  $q = \pi\rho_w N D_v^3 / 6\rho_a$  in Eq. 12 (15) yields the relationship  
 340 between changes of mean volume droplet size and concentration

341  $\frac{\bar{r}^3}{\bar{r}_{30}^3} = 1 + \frac{\delta q^*}{q_0} \left(1 - \frac{N_0}{N}\right)$  (13)

342  $\frac{D_v^3}{D_{v1}^3} = 1 + \left(1 - \frac{N_0}{N}\right) \frac{\delta q^*}{q_1}$  (16a)

343  $\frac{D_v^3}{D_{v1}^3} = \frac{q}{q_1} \left(\frac{q_1 + \delta q^*}{q + \delta q^*}\right)$  (16b)

344 In a similar way the relationship between the extinction coefficient  $\beta = Q\pi N r_2^2$ , concentration  
 345  $\beta = Q\pi N D_2^2 / 4$ ,  $N$ , and liquid water  $q$ , can be obtained written as

346  $\frac{\beta}{\beta_0} = \frac{N}{N_0} \left(1 + \frac{\delta q^*}{q_0} \left(1 - \frac{N_0}{N}\right)\right)^{2/3}$  (14a)

347  $\frac{\beta}{\beta_0} = \frac{q}{q_0} \left(\frac{q + \delta q^*}{q_0 + \delta q^*}\right)^{5/3}$  (14b)

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348 
$$\frac{\beta}{\beta_1} = \frac{N}{N_1} \left( 1 + \left( 1 - \frac{N_0}{N} \right) \frac{\delta q^*}{q_1} \right)^{2/3} \quad (17a)$$

349 
$$\frac{\beta}{\beta_1} = \left( \frac{q}{q_1} \right)^{2/3} \left( \frac{q + \delta q^*}{q_1 + \delta q^*} \right)^{1/3} \quad (17b)$$

350 In Eqs. 14a, b (17a) and (17b) it is assumed that  $\bar{r}_2 \approx \bar{r}_3$ ,  $D_2 \approx D_v$ .

351 Substituting in Eq. 13 (16) the expression for the time of phase relaxation

352  $\tau_p = 1/bN\bar{D}$  (e.g. Squires 1953; Korolev and Mazin, 2003)

353 
$$\tau_p = \frac{1}{bN\bar{r}} \quad (15)$$

354 and assuming  $\bar{r} \approx \bar{r}_3$ ,  $\bar{D} \approx D_v$  yields

355 
$$\frac{\tau}{\tau_0} = \frac{N_0}{N} \left( 1 + \frac{\delta q^*}{q_0} \left( 1 - \frac{N}{N_0} \right) \right)^{-1/3} \quad (16)$$

356 
$$\frac{\tau}{\tau_1} = \frac{N_1}{N} \left( 1 + \left( 1 - \frac{N_1}{N} \right) \frac{\delta q^*}{q_1} \right)^{-1/3} \quad (18)$$

357 For the cases when the temperature difference  $|T_1 - T_2|$  exceeds a few degrees, the

358 effect of  $\mu$  on  $T_m$  and  $S_m$  should be taken into consideration in the calculations of

359 evaporated water. For such cases  $\delta q$  (Eq. 5 (2)) should be used instead of  $\delta q^*$  (Eq. 8)  $\delta q^*$ .

360 Using Eq. 11  $\delta q$  (14)  $\delta q_m$  can be presented as a function of  $\frac{N}{N_0} \frac{N}{N_1}$  i.e.  $\delta q(\mu) = \delta q \left( \frac{N}{N_0} \right)$

361  $\delta q_m(\mu) = \delta q_m \left( \frac{N}{N_1} \right)$ . Replacing Eq. 9 (5) by Eq. 4 (1) in the above consideration, the equations

362 Eqs. 12, 13, 14, 16 (15)-(18) can be rewritten as

363 
$$\frac{N}{N_0} = \frac{q + \delta q \left( \frac{N}{N_0} \right)}{q_0} \quad (17)$$

<sup>†</sup>Equalities  $\bar{r}_2 \approx \bar{r}_3$  and  $\bar{r} \approx \bar{r}_3$  are valid for a relatively narrow droplet size distributions

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364 
$$\frac{\tau_3}{\tau_{30}} = 1 - \frac{\delta q \left( \frac{N}{N_0} \right) N_0}{q_0 N} \quad (18)$$

365 
$$\frac{\beta}{\beta_0} = \frac{N}{N_0} \left( 1 - \frac{\delta q \left( \frac{N}{N_0} \right) N}{q_0 N_0} \right)^{2/3} \frac{q + \delta q_m \left( \frac{N}{N_1} \right)}{q_1}$$

366 (19)

367 
$$\frac{\beta}{\beta_0} = \frac{q^{1/3} \left( q + \delta q \left( \frac{\beta}{\beta_0} \right) \right)^{2/3}}{q_0} \frac{D_v^3}{D_{v1}^3} = 1 - \frac{\delta q_m \left( \frac{N}{N_1} \right) N_1}{q_1 N} = \frac{q}{q + \delta q_m \left( \frac{q}{q_1} \right)}$$

368 (20)

369 
$$\frac{\tau_p}{\tau_{p0}} = \frac{N_0}{N} \left( 1 - \frac{\delta q \left( \frac{N}{N_0} \right) N_0}{q_0 N} \right)^{-1/3}$$

370 
$$\frac{\beta}{\beta_1} = \frac{N}{N_1} \left( 1 - \frac{\delta q_m \left( \frac{N}{N_1} \right) N}{q_1 N_1} \right)^{2/3} = \frac{q^{2/3} \left( q + \delta q_m \left( \frac{\beta}{\beta_1} \right) \right)^{1/3}}{q_1} \quad (21)$$

371 **Equations 17-21 are non-linear and** 
$$\frac{\tau_p}{\tau_{p1}} = \frac{N_1}{N} \left( 1 - \frac{\delta q_m \left( \frac{N}{N_0} \right) N_1}{q_1 N} \right)^{-1/3}$$

372 (22)

373 Eqs. (19)–(22) can be solved numerically.

374

375 **2.7 Degenerate case**

376 As follows from Eq. (5-~~Complete evaporation~~), if

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377 The amount of evaporated water is determined by the initial and final values of the state parameters  
 378 (e.g.  $T, e, p$ ) in the mixed parcel, and it does not depend on the type of mixing. Therefore, Eqs. 4 and 9 are  
 379 valid for both homogeneous and inhomogeneous mixing.

380 For the general case when  $T_1 \neq T_2$ , the value of  $\delta q$  is a function of  $\mu$ , i.e.  $\delta q(\mu)$  (Eq.5). Then, in  
 381 order to find the critical value of  $\mu_{cr}$ , when all liquid water evaporates (i.e.  $q=0$ ) a non-linear equation  
 382 should be solved

$$383 \mu_{cr} q_0 - \delta q(\mu_{cr}) = 0 \quad (22)$$

384 If the temperature of the entrained and cloud parcels are the same (i.e.  $T_1 = T_2$ ), then the critical  
 385 mixing fraction for the case  $q=0$  can be computed from Eq.9 as

$$386 \mu_{cr} = \frac{\delta q^*}{q_0 + \delta q^*} \quad (23)$$

387 Eq.23 yields the condition for the mixing fraction of entrained and cloud parcels such that if  $\mu < \mu_{cr}$  then  
 388 all liquid water in the parcel after completing the mixing evaporates ( $q=0$ ).

389 Figure 2 shows comparisons of dependences of  $\mu_{cr}$  versus  $q_0$  for different  $S_0$  calculated from Eq.23  
 390 and those deduced from a numerical model. As it is seen the agreement appeared to be reasonably good.

391 Critical ratios of mixing are also shown by black stars in Figs.4ab (Eq.23). As seen from Figs.4ab the

392 locations of the black stars coincide well with the locations  $\frac{(1-\mu) \delta q^*}{\mu q_1} \ll 1$

$$393 \quad (23)$$

394 then  $q_1 \geq q \gg \delta q^*$ . If the condition in Eq. (23) is valid, then the terms associated with  $\delta q^*$  in Eqs.  
 395 (15)-(18) can be neglected. This results in correlation of all moments, i.e.  $N/N_1 = \beta/\beta_1 = q/q_1$   
 396 (compare with Eq.(11)). This corresponds to the degenerate case, when the difference between the  
 397 homogeneous and inhomogeneous mixing vanishes. Thus, the dimensionless parameter  $\xi =$   
 398  $\frac{1-\mu}{\mu} \frac{\delta q^*}{q_1}$  can be used for characterization of proximity of the homogeneous mixing moments to those  
 399 formed during extremely inhomogeneous mixing.

400 The range of  $\mu$  in  $\xi$  is limited by  $\mu_{cr} < \mu \leq 1$ , so that  $0 < \frac{1-\mu}{\mu} \leq \frac{q_1}{\delta q^*}$ . This gives the range of  
 401 changes of  $\xi$ , i.e.  $0 \leq \xi \leq 1$  for the mixing without complete evaporation of droplets. The  
 402 degenerate case corresponds to  $\xi \rightarrow 0$ , whereas  $\xi \rightarrow 1$  corresponds to maximum difference of the  
 403 moments for homogeneous and extremely inhomogeneous mixing.

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404 As follows from Eqs. (4) and (23) approaching to the degenerate case ( $\xi \rightarrow 0$ ) occurs, when  
405 one of the following conditions or their combination is satisfied: (a)  $RH_2 \rightarrow 1$ ; (b)  $E_s(T) \rightarrow 0$  at  
406 low temperatures; (c)  $q_1 \gg \delta q^*$ ; (d)  $\mu \rightarrow 1$ . The effect of  $RH_2$ ,  $T_2$ ,  $q_1$  and  $\mu$  on mixing will be  
407 demonstrated in Sect.3.

408 Figure 3 shows dependence of  $\xi$  vs.  $\mu$ . The grey area in Fig.3 indicates the region where  
409 identification of type of mixing from in-situ measurements (Sect.5) may be hindered due to  
410 proximity of the moments for homogeneous and inhomogeneous mixing. Thus for  $\delta q^*/q_1 = 0.01$   
411 identification of type of mixing is ambiguous for nearly the entire range of  $\mu$ .

412 For the general case, when  $T_1 \neq T_2$ , it should be  $\xi = \frac{|\delta q_m(\mu)|}{\mu q_1}$ . An absolute value  $|\delta q_m(\mu)|$   
413 should be used in  $\xi$  since  $\delta q_m(\mu)$  can be negative (Appendix A, Fig.A1) if mixing results in  
414 supersaturation Sect. 3.4).

415 The coefficient  $\xi$  may be useful for identification type of mixing from in-situ observations. It  
416 is worth nothing, that the ratio  $\frac{\delta q^*}{q_1} \cong \frac{S_2}{A_2 q_1}$  is equal to the parameter  $R$  (Pinsky et al. 2015ab), which  
417 plays an important role in determining scenarios of droplet evaporation in turbulent environment.

### 418 3 Comparisons with numerical simulations

419 where modeled  $LWC$  and  $N$  become zero. The obtained agreement between analytical and  
420 modeled  $\mu_{cr}$  in Figs. 3 and 4 validates the developed approach.

#### 422 3. Modeling

423 Numerical simulations of the final stages of ~~homogeneous and inhomogeneous~~ mixing were  
424 performed in order to examine the accuracy and limitations of the analytical expressions obtained  
425 in the previous section. The simulations have been conducted with the help of a parcel model  
426 similar to that described in Korolev (1995). The ensemble of droplets in the simulation was  
427 assumed to be monodisperse. For the case of extreme inhomogeneous mixing the amount of  
428 evaporated water  $\Delta q$  required to saturate the mixed volume was calculated first. If  $\Delta q < \mu q_1$ ,  
429 then the concentration of evaporated droplets was calculated as  $N_{ev} = \frac{\Delta q}{m_d} \rho_{a2}$  where  
430  $m_d = \pi \rho_w D^3 / 6$ . Then, the concentration of the remaining droplets  $N = N_1 - N_{ev}$  was  
431

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432 recalculated based of the calculation on the volume formed after mixing. If  $\Delta q \geq \mu q_1$ , then all  
433 droplets evaporate, and  $N = 0$ .

434 For the case of inhomogeneous mixing the amount of evaporated water  $\Delta q$  required to saturate the  
435 mixed volume was calculated first. If  $\Delta q < q_0$ , then the concentration of evaporated droplets was  
436 calculated as  $N_{ev} = \frac{\Delta q}{\bar{m}_d} \rho_a$ , where  $\bar{m}_d = 4\pi\rho_w\bar{r}_3^3/3$  is the average mass of a droplet. Then the  
437 concentration of the remaining droplets  $N = N_0 - N_{ev}$  was recalculated based of the calculation on the  
438 volume formed after mixing. If  $\Delta q \geq q_0$ , then all droplets evaporate and  $N = 0$ .

439 For the case of homogeneous mixing in the first step the engulfed parcel instantly mixes with  
440 the cloud parcel resulting in a new humidity  $S_{m0}$ ,  $RH_{m0}$ , temperature  $T_{m0}$  and volume  $V_{m0}$ .  
441 Then  $V_{m0}$ . After that the droplets start evaporating until either their complete evaporation or  
442 saturation over liquid is reached. The calculations stopped when, either  $r < D < 0.1 \mu m$  or  
443  $(E_s - e)/E_s < (E_s - e)/E_s < 0.001$ , respectively.

### 445 3.1. Effect of mixing ratio fraction

446 Figure 34 shows the results of the simulation of the dependence of the droplet number  
447 concentration ( $N$ ), droplet integral radius ( $N\bar{r}$ ), extinction coefficient ( $\beta$ ), liquid water mixing ratio ( $q$ )  
448 mean cube droplet radius ( $\bar{r}_3$ ), and time of phase relaxation ( $\tau_p$ ), relative humidity ( $S_{m0}$ ,  $S_m$ ) and final  
449 temperature ( $T_m$ ) versus mixing fraction  $\mu$ . Different moments and state parameters vs.  $\mu$ . The  
450 calculations were performed for different saturation ratios relative humidity of the entrained parcel  
451  $S_{20} = 0.2$ ,  $RH_2 = 0.2, 0.5, 0.8$  and  $0.95$ . As seen from Fig. 34 for the case of homogeneous mixing only  
452  $N$  and  $q$ ,  $N$  and  $q$  are linearly related with  $\mu$ , the rest of the variables have non-linear dependences  
453 on  $\mu$ . For the case of inhomogeneous mixing all  $f(r)$ ,  $f(D)$  moments and droplet sizes linearly  
454 depend on the mixing fraction when  $\mu > \mu_{cr}$ . When  $\mu \leq \mu_{cr}$ . Note, for  $\mu \leq \mu_{cr}$  all liquid evaporates  
455 moments are equal to zero.

456 Since the amount of the evaporated liquid water does not depend on the type of mixing, the  
457 dependences of  $q(\mu)$ ,  $q(\mu)$  are the same for both homogeneous and inhomogeneous mixing  
458 (Fig. 3a4a). The type of mixing has the most pronounced effect on the droplet concentration

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459 (Fig. 3b4b) and droplet sizes (Fig. 3e). The obtained results are in a good agreement with the analytical  
460 predictions discussed in section 2.4e).

461 Figure 3g4g shows the dependences  $S_{m0}(\mu)$  and  $S_m(\mu) \cdot RH_{m0}$  and  $RH$  vs.  $\mu$ . Here  $S_{m0}(\mu)$   
462  $RH_{m0}$  is the relative humidity at the initial stage of homogeneous mixing before droplets start  
463 evaporating (Fig. 1b2). Figure 3h presents dependences of  $T_m(\mu)$  for different  $S_2$ . It is worth noting  
464 that  $S_m(\mu)$  comparisons of modeled  $T(\mu)$  and those calculated from Eqs. (6a,b) and  $T_m(\mu)$  do not  
465 depend on the type of mixing and they are the same for homogeneous and inhomogeneous mixing.  
466 Basically the (C4). The independence of  $q(\mu)$ ,  $S_m(\mu)q(\mu)$ ,  $RH(\mu)$  and  $T_m(\mu)T(\mu)$  on type of  
467 mixing (Fig. 4a.g.h) is the consequence of the mass and energy conservation laws, which are not  
468 contingent on type of mixing.

### 470 3.2. Effect of humidity of entrained air

471 The diagrams in Fig. 4 5a-c show the dependences of normalized  $\beta$ ,  $q$  and  $\bar{r}_3$  versus  $N/N_0$ ,  $\beta$ ,  
472  $q$  and  $D_v$  vs.  $N/N_0$  calculated from numerical simulations and analytical equations from  
473 section Sect. 2. The calculations were performed for different humidity of the entrained air  $S_2$ ,  $RH_2$ .  
474 As seen from Fig. 4 5a-c, the normalized dependences  $q(N)$ ,  $\beta(N)$  and  $\bar{r}_3(N)$  for homogeneous  
475 mixing  $q(N)$ ,  $\beta(N)$  and  $D_v(N)$  tend to approach the line of extreme inhomogeneous mixing when  
476 relative humidity  $S_2 RH_2$  approaches to 100%. As it was indicated in previous studies (e.g. Burnet and  
477 Brenguier 2007), mixing with the entrained saturated air ( $S_2 = 1$ ) represents of 1. This is consistent with  
478 the degenerate case, when there is no difference between homogeneous or inhomogeneous mixing  $\xi \rightarrow$   
479 0 (Sect. 2.7). In this case droplets behave as a passive admixture, and they do not interact with the  
480 environment. This effect is clearly seen from the diagrams in Fig. 4.

### 482 3.3. Effect of liquid water content mixing ratio

483 Figure 5 presents the normalized dependences  $q(N)$ ,  $\beta(N)$  and  $\bar{r}_3(N)$  calculated for different  
484 initial liquid water  $q_0$  in the cloud parcel, but the same humidity of the entrained parcel  $S_2 = 0.5$ . Figure  
485 5 shows 5d-f demonstrate the sensitivity of  $q(N)$ ,  $\beta(N)$  and  $D_v(N)$  to liquid water mixing ratio  $q_1$ .  
486 It is seen, that the increase of  $q_0$  results in  $q(N)$ ,  $\beta(N)$  and  $\bar{r}_3(N)$  (which were  $q_1$  results in  $q(N)$ ,  
487  $\beta(N)$  and  $D_v(N)$ ) (calculated for homogeneous mixing) approaching towards  $q(N)$ ,  $\beta(N)$   $q(N)$ .

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488  $\beta(N)$  and  $\bar{r}_3(N)D_v(N)$  for the inhomogeneous mixing. In other words, the sensitivity of the  
489 microphysical parameters to the type of mixing increases with the decrease of  $q_0 - q_1$ . From a  
490 practical viewpoint it means that from in-situ observations the difference between homogeneous  
491 and inhomogeneous mixing is anticipated to be more pronounced for the cases with a relatively  
492 low LWC ( $q_0 < 1$  g liquid water mixing ratio (e.g.  $q_1 < 1$  g/m<sup>3</sup>). Such behaviour is consistent with the  
493 consideration in Sect. 2.7.

### 3.4. Effect of temperature $T_1 = T_2$

495 Figure 5g-j shows the effect of temperature on the normalized  $q(N)$ ,  $\beta(N)$  and  $D_v(N)$  for  
496  $T_1 = T_2$ . Figure 6 shows the effect of temperature on the normalized dependences  $q(N)$ ,  $\beta(N)$  and  
497  $\bar{r}_3(N)$  calculated for the case  $T_1 = T_2$ . The relative humidity of the entrained parcel was assumed to be  
498 the same for all cases ( $S_2 = 0.5$ ). Figure 6 suggests that the difference between the  $f(r)$  5g-j indicate  
499 that the difference between the moments becomes most pronounced at warm temperatures,  
500 whereas at cold temperatures (e.g.  $T = -30^\circ\text{C}$ )  $q(N)$ ,  $\beta(N)$  and  $\bar{r}_3(N)$   $T = -30^\circ\text{C}$ ,  $q(N)$ ,  $\beta(N)$  and  
501  $D_v(N)$  for homogeneous mixing are approaching those for the extreme inhomogeneous mixing  
502 limit.

503 Such behavior is explained by the fact that the amount of liquid water deficit  $\delta q$  decreases  
504 with decreasing temperature. The effect of  $T$  on  $\delta q$  is demonstrated in (appendix A, Fig. B1  
505 (Appendix BA1)). At low temperatures ( $T < -30^\circ\text{C}$  ( $T = -30^\circ\text{C}$ )) the amount of evaporated water  $\delta q$   
506  $\delta q_m$  is so small, that homogeneous mixing with undersaturated dry out-of-cloud air will have  
507 approximately the same effect as mixing with saturated air (i.e. degenerate case, Sect. 2.7).

508 Overall, as follows from Fig.5 the results the analytical  
509 predictions (Sect. 2) turned out to be in a good agreement with numerical simulations.

### 3.5 Effect of temperature $T_1 \neq T_2$

511 Isobaric mixing of two nearly saturated parcels having different temperatures results volumes  
512 with  $T_1 \neq T_2$  may result in the formation of supersaturated air environment (e.g. Rogers, 1976;  
513 Bohren and Albrecht, 1998). However, as it was shown in Korolev and Isaac (2000), the isobaric mixing  
514 resulting in supersaturation is different in principle from the mixing of saturated cloudy and

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517 undersaturated air may also result in supersaturated air. The formation with evaporating droplets. In  
 518 this case the meaning of homogeneous and inhomogeneous mixing becomes ambiguous.  
 519 Formation of supersaturated air supersaturation leads to different dependences between  $N\bar{r}$ ,  $\beta$ ,  $q$ ,  
 520  $\bar{r}$  and  $N$ ,  $N\bar{D}$ ,  $\beta$ ,  $q$ ,  $\bar{D}$  and  $N$  as compared to those shown in Figs. 3-6, 4, when  $T_1 = T_2$ . In the cases  
 521 discussed above, mixing between cloud and sub-saturated entrained air with  $T_1 = T_2$  resulted in  
 522 evaporation of some fraction of cloud liquid water, i.e. it was always  $\delta q < 0$ . However, mixing that causes  
 523 supersaturation will result in condensation of liquid water (e.g.  $\delta q > 0$ ), which is different of the cases  
 524 considered above.  $T_1 = T_2$ .

525 Figure 7<sub>6</sub> presents a set of diagrams similar to those in Fig. 3<sub>4</sub>, but calculated for the cloud and  
 526 entrained sub-saturated parcels having different temperatures  $T_1$  and  $T_2$ , cases when  $T_1 \leq T_2$ . It turns  
 527 out that for the case of extreme inhomogeneous mixing the temperature difference between  $T_1$  and  
 528  $T_2$  breaks down linear dependences between  $\mu$  and  $f(r)$  of the microphysical moments  
 529 (e.g.  $N\bar{r}$ ,  $\beta$ ,  $q$ , Fig. 7a,b,c). This happens because positive supersaturation ( $S_{m0} > 1$ ), which may form  
 530 after mixing, will result in an increase of  $q$ ,  $\beta$ ,  $\bar{r}_3$ , etc. The droplet concentration still holds the linear  
 531 relationship with  $\mu$  (Fig. 7b), since no formation of new droplets were allowed when  $S_{m0} > 1$ .  $N\bar{D}$ ,  $\beta$ ,  
 532  $q$  Fig. 6a,c,d) vs.  $\mu$ .

533 Figure 8<sub>7</sub> presents the effect of the temperature difference  $\Delta T$  on the normalized  
 534 dependences  $q(N)$ ,  $\beta(N)$  and  $\bar{r}_3(N)$ . As seen from Fig. 8 for large temperature differences  $\Delta T = 10$   
 535 °C, the values of  $q$ ,  $\beta$ ,  $\bar{r}$  for inhomogeneous mixing exceed those for inhomogeneous mixing for the  
 536 same concentration  $N$ .

537 It is worth nothing that in real  $q(N)$ ,  $\beta(N)$  and  $D_v(N)$ . In clouds, high supersaturation resulting  
 538 from isobaric mixing may lead to activation of interstitial CCN, which may slow down the growth  
 539 of  $\bar{r}_3$  and increase  $N$  and decrease  $D_v$  (Korolev and Isaac, 2000). However, no activation of new  
 540 droplets during isobaric mixing was allowed in this study. For the cases when  $S_{m0} > 1$   $RH_{m0} > 1$   
 541 (Fig. 8, section AB 7, AB on line 1) the condensed water was uniformly distributed between available  
 542 droplets. Therefore,  $q(N)$ ,  $\beta(N)$  and therefore,  $q(N)$ ,  $\beta(N)$  and  $\bar{r}_3(N)$   $D_v(N)$  calculated for  
 543 homogeneous and extremely inhomogeneous mixing to coincide with each other.

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544 Numerical simulations also showed, that the effect of temperature on ~~the result of~~ mixing is  
545 more pronounced for the cases when the cloud temperature is warmer than that of the entrained  
546 air, i.e.  $T_1 > T_2$ ,  $T_1 > T_2$ , as compared to the cases with  $T_1 < T_2$ ,  $T_1 < T_2$ .

### 3.5. Multiple 4. Progressive mixing events

#### 4.1 Effect on microphysical parameters

549 In the previous sections the mixing ~~between cloud and sub-saturated volumes~~ was considered as  
550 a single event, i.e.  $\mu$  fraction of the cloudy air mixed up with  $(1 - \mu)$  fraction of entrained dry air.  
551 Such mixing will be referred to as “primary” mixing. Primary mixing results in an ensemble of  
552 elementary volumes characterized by a set of microphysical and state parameters i.e.  $\bar{r}(\mu)$ ,  $N(\mu)$ ,  
553  $\bar{S}(\mu)$ ,  $T(\mu)$ ,  $\bar{D}(\mu)$ ,  $N(\mu)$ ,  $RH(\mu)$ ,  $T(\mu)$ , etc. Each of these parameters has a functional  
554 dependence on  $\mu$ , and what is important, these parameters have functional relationships  
555 between each other.

557 In reality mixing is a continuous process. It does not stop after the primary mixing. The  
558 elementary volumes formed after primary mixing continue ~~to progressively mixing between mix~~  
559 ~~with~~ each other, ~~cloud environment and newly entrained air~~.

560 The second stage of mixing will result in an ensemble of elementary volumes characterized  
561 by a set of parameters  $\bar{r}^{(2)}$ ,  $N^{(2)}$ ,  $\bar{S}^{(2)}$ ,  $T^{(2)}$ ,  $D_v^{(2)}$ ,  $N^{(2)}$ ,  $RH^{(2)}$ ,  $T^{(2)}$ , etc. Here the superscript <sup>(2)</sup>  
562 indicates the stage of mixing. After the second stage the mixed volumes undergo subsequent stages  
563 of mixing. ~~The conceptual diagram of the progressive mixing is shown in Fig. 9. This is a highly idealized~~  
564 ~~scheme of mixing. However, it helps understand some features of homogeneous mixing.~~

565 ~~The progressive~~ The idealised conceptual diagram of the progressive mixing is shown in Fig. 8.  
566 As mentioned in Sect. 2.1, the actual process of mixing is indeed much more complex than the  
567 sequence of discrete events portrayed in Fig.8. However, as it will be shown below, this simplified  
568 consideration of allows establishing main features of evolution of relationships between the  
569 microphysical moments affected by mixing. The obtained results facilitates identification of type  
570 of mixing from in-situ measurements.

571 Progressive mixing was simulated with the help of a numerical model, where parcels were  
572 randomly mixed with each other and with the cloud environment. The mixing fraction  $\mu$  was  
573 also set to be random during each mixing event. Models of ~~random~~ stochastic mixing have been

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574 used in a number of studies (e.g. Krueger et al., 1997; Su et al., 1998; Burnet and Brenguier,  
 575 2007). Burnet and Brenguier (2007) allowed mixing between cloud and entrained parcels at each stage  
 576 of mixing. In the present approach work the mixing with analysis of progressive mixing is expanded  
 577 to examine its effect on the entrained environment was allowed only at relationship between moments  
 578 of the primary stage. After that the mixing continued with the cloud air and between the mixed  
 579 volumes droplet size distribution.

580 The results of the progressive mixing for the first four stages are presented in Fig. 10. As seen  
 581 from Fig. 10 the functional relationship between the pairs of microphysical and state parameters exists  
 582 only for the primary stage. However for higher mixing stages these functional relationships  
 583 breakdown. Thus, cloud volumes with the same  $N^{(2)}$  will be associated with an ensemble of  
 584 droplets with  $N^{(2)}$  may have different  $\bar{r}^{(2)}$ ,  $D_v^{(2)}$ . Figure 10 also shows that the regions of scattering of  
 585  $q(N)$ ,  $\beta(N)$ ,  $q(N)$ ,  $\beta(N)$  and  $\bar{r}_3(N)$ ,  $D_v(N)$  for stages 2, 3 and 4 are limited from above by the  
 586 inhomogeneous mixing (red dashed lines) and from below by primary homogeneous mixing (red solid  
 587 lines).

588 Figure 10 presents a conceptual  $N - q$  diagram explaining breaking the functional  
 589 relationships during progressive homogeneous mixing. After the first stage of mixing the  $N - q$   
 590 points will be scattered along the line  $OB$  and point  $C$ . The line  $OB$  corresponds to the ensemble  
 591 of points with  $RH = 1$ . Therefore, result of mixing between two saturated volumes randomly  
 592 selected on  $AB$ , will remain on the same line. Point  $C$  corresponds to the ensemble of points with  
 593  $N = 0$ ,  $RH_2 \leq RH_C(\mu^{(1)}) \leq 1$ , where  $0 \leq \mu^{(1)} < \mu_{cr}$ . Therefore, mixing between point  $A$   
 594 (Fig.10) and point  $C$ , when  $RH = 1$  will result in scattering along the line  $AC$  (degenerate case).  
 595 Points resulted from mixing between  $A$  ( $RH = 1$ ) and point  $C$ , when  $RH_2 \leq RH_C < 1$ , will  
 596 scattered over the ensemble of dashed lines shown in Fig.10. These lines will fill the sector  $CAB$ .  
 597 Random mixing between points on the line  $OB$  and  $C$ , will eventually fill the entire sector  $COB$ .  
 598 The same consideration can be applied to progressive mixing between other moments.

599 During the progressive mixing  $N^{(n)}$ ,  $\beta^{(n)}$ ,  $q^{(n)}$  and  $\bar{r}_3^{(n)}$ ,  $N^{(n)}$ ,  $\beta^{(n)}$ ,  $q^{(n)}$  and  $D_v^{(n)}$  formed in  
 600 the elementary parcels tend to approach those in the undiluted cloud, i.e.  $N_0$ ,  $\beta_0$ ,  $q_0$  and  $\bar{r}_{30}$ ,  $N_1$ ,  
 601  $\beta_1$ ,  $q_1$  and  $D_{v1}$ . This process can be considered as a surrogate to the diffusion process between the  
 602 cloud and sub-saturated out-of-cloud environment. The convergence of  $N^{(n)}$ ,  $\beta^{(n)}$ ,  $q^{(n)}$ ,  $\beta^{(n)}$ ,  $q^{(n)}$

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603 and  $\overline{\tau}_3^{(n)} D_v^{(n)}$  during the progressive mixing can be seen in Fig. 10, where the scattering of  
604 normalized  $q^{(n)}(N)$ ,  $\beta^{(n)}(N)$ ,  $q^{(n)}(N)$ ,  $\beta^{(n)}(N)$  and  $\overline{\tau}_3^{(n)}(N) D_v^{(n)}(N)$  becomes denser towards the  
605 top-right corner (1,1) with the increase of the stage of mixing.

606 ~~Another interesting feature of~~ It is worth noting that progressive mixing with the dry air does  
607 ~~not break the functional relationships between the moments. This case is equivalent to detrainment~~  
608 ~~of cloudy environment into dry air. It can be shown that Eq.(14) remain valid at any stage of~~  
609 ~~progressive homogeneous mixing is that it results in a population of points along the line corresponding~~  
610 ~~to inhomogeneous mixing (dashed red line in Fig.10) In other words during homogeneous with dry air~~  
611 ~~only, i.e.  $N_j/N_1 = \mu^{(1)} \dots \mu^{(j-1)} \mu^{(j)}$  where  $\mu^{(j)}$  is the mixing some fraction at the  $j$ -th stage of~~  
612 ~~elementary volumes may develop properties of inhomogeneous mixing. Similar conclusion was derived~~  
613 ~~from a more comprehensive analysis of Eqs. (15)-(24) also remain valid for the progressive mixing in~~  
614 ~~Pinsky et al. (2015b) with the dry air only.~~

615 As follows from Eq. (9) for the case of extreme inhomogeneous mixing the progressive  
616 mixing does not affect the functional relations between  $N^{(n)}$ ,  $\beta^{(n)}$ ,  $q^{(n)}$ ,  $\overline{\tau}_3^{(n)} N^{(n)}$ ,  $\beta^{(n)}$ ,  $q^{(n)}$  and  
617  $D_v^{(n)}$  and other microphysical parameters. These relations remain the same regardless of the actual  
618 stage of mixing. This is one of the fundamental differences between homogeneous and  
619 inhomogeneous mixing, which can be used for identification of type of mixing from in-situ  
620 measurements.

### 622 3.6. Droplet 4.2 Effect on droplet size distribution distributions

623 Figure 11 shows modeled droplet size distributions averaged over an ensemble the ensembles  
624 of elementary volumes corresponding to the first four stages of homogeneous mixing. As seen from  
625 Fig. 11a, b, c, d for the case with  $T_1 = T_2$ ,  $T_1 = T_2$  the droplet size distributions are broadened  
626 towards small sizes. Depending on the stage of mixing and mixing fraction  $\mu$  the size distributions formed  
627 in each elementary volume may be unimodal or multimodal. However, due to the random nature of the  
628 modal sizes formed during mixing, the average size distributions become smooth and unimodal (Fig. 11a-  
629 d).

630 Broadening of the droplet size distribution distributions towards small sizes during  
631 homogeneous mixing is well known and it was demonstrated in a number of studies (e.g. Baker  
632 and Latham, 1982; Jensen and Baker, 1989; Jeffery, 2007; Kumar et al., 2013). However, if the

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633 difference  $|T_1 - T_2|$  exceeds few degrees and the humidity of the sub-saturated parcel is not too low (e.g.  
634  $\geq 80\%$ ), then homogeneous mixing may result in supersaturation of the mixed parcel and subsequent  
635 droplet growth. In this case mixing results in supersaturation (section 3.4), then the droplet size  
636 distribution may broaden towards large sizes (Fig. 11e-h), larger sizes (Fig. 11e-h). For this to occur,  
637 both the temperature difference between the cloud and the environment  $|T_1 - T_2|$  and the relative  
638 humidity of the environment  $RH_2$  must be sufficiently large. Such conditions are inherently  
639 unstable, however, this might occur in regions that have been moistened through prior cloud  
640 detrainment. Thus homogeneous mixing may result in broadening of droplet size distributions  
641 towards either smaller or larger sizes (Fig. 11).

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642 The examples in Fig. 11 show that homogeneous mixing results in broadening of size distribution.  
643 Depending on the temperature difference  $|T_1 - T_2|$  and the relative humidity of the entrained air the  
644 broadening may occur both towards smaller and towards larger sizes. Contrary to homogeneous mixing,  
645 extreme inhomogeneous mixing does not result in broadening of droplet size distributions.

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646 These results were obtained in the frame of the formalism of homogeneous and  
647 inhomogeneous mixing. The following two works in this series (Pinsky et al., 2015a, 2016a, b)  
648 show that  $f(r)$  will discuss the broadening towards small droplets may occur of polydisperse and  
649 monodisperse  $f(D)$  during both homogeneous and inhomogeneous mixing in greater details.

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### 3.7. Summary

#### 5 Identification of type of mixing from in-situ observations

653 The purpose of this section is to attempt identifying type of mixing based on examining  
654 relationships between basic microphysical parameters  $N, \beta, LWC, D_v$  measured from in-situ.

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#### 5.1 Expected relationships between the moments

656 Prior proceeding with the analysis of in-situ data, we summarize the results of the previous  
657 consideration on how homogeneous and extreme inhomogeneous mixing is expected to manifest  
658 itself in relationships between basic microphysical parameters, such as  $N, \beta, q$  and  $\bar{r}_3$ . The  
659 objective of this analysis is to facilitate examination of in-situ measurements in order to identify the type  
660 of mixing within the conventional framework of homogeneous and extreme inhomogeneous mixing.  $N,$   
661  $\beta, q$  and  $D_{v, \lambda}$

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662 ~~Following~~For extreme inhomogeneous mixing the relationship between the pairs of  $N$ ,  $\beta$  ~~and  $q$~~   
663 ~~and  $q$~~  are determined by a linear relationship  ~~$M_n = \alpha_{nm} M_m$~~  dependences  $M_n = \alpha_{nk} M_k$  (Eq. 2-  
664 10) at any stage of mixing. As follows from Eq. 3 (11) the slopes  ~~$\alpha_{nm}$~~   $\alpha_{nk}$  for  ~~$q(N)$~~   $\beta(N)$  ~~and  $q(N)$~~   
665  $\beta(N)$  and  ~~$q(\beta)$~~   $q(\beta)$  are equal to the ratios  ~~$q_0/N_0$~~   $\beta_0/N_0$   ~~$q_1/N_1$~~   $\beta_1/N_1$  and  ~~$q_0/\beta_0$~~ . For clouds  
666 forming through vertical motions (e.g.  ~~$Sc$~~ ,  ~~$Ac$~~ ,  ~~$Cu$~~ ,  ~~$Cb$~~  etc.)  ~~$N_0$~~ ,  ~~$\beta_0$~~   $q_1/\beta_1$ , respectively, where  $N_1$ ,  $\beta_1$   
667 and  ~~$q_0$~~   $q_1$  correspond to undiluted adiabatic values. The values of  ~~$N_0$~~ ,  ~~$\beta_0$~~   $N_1$ ,  $\beta_1$  and  ~~$q_0$~~  depend  
668 ~~of  $q_1$~~  may vary depending on the location of measurements inside the cloud and the environmental  
669 conditions at the cloud base. Thus, the adiabatic value of  ~~$q_0$~~   $q_1$  is a function of elevation above the  
670 cloud base  $\Delta Z$ . The concentration  ~~$N_0$~~   $\Delta Z$ , whereas  $N_1$  depends on the vertical velocity at the cloud  
671 base  ~~$w_z$~~   $w_z$  and the aerosol load. The extinction coefficient  ~~$\beta_0$~~  is determined by both  $\Delta Z$  and  ~~$w_z$~~   
672  $w_z$ . Therefore, for the measurements collected in the same cloud, but at different altitudes, it is anticipated  
673 that the ~~the~~ scattering of  ~~$N_0$~~ , and  ~~$q_0$~~  will be limited by a sector as shown in Fig. 12a. The scattering of  
674 measurements  ~~$q(N)$~~   $q - N$  points will be aligned along an ensemble of different lines associated  
675 with determined by  $q_1/N_1$ , which are specific to different  ~~$q_0/N_0$~~  cloud volumes. The conceptual  
676 diagram of the scattering of  ~~$q - N$~~   $q - N$  measurements in a cloud having experienced with extreme  
677 inhomogeneous mixing is shown in Fig. 12a. The scatter diagrams of  ~~$q - \beta$~~  and  ~~$N - \beta$~~  for other  
678 moments (e.g.  ~~$q - \beta$~~   $N - \beta$ ) will have the same similar patterns as that in Fig. 12a.  
679 For the case of homogeneous mixing the functional relationship between the pairs of  ~~$N$~~ ,  ~~$q$~~ ,  
680  ~~$\beta$~~  and  ~~$\beta$~~  is  ~~$N$~~ ,  $\beta$ ,  $q$  and  $D_v$  are disrupted by a progressive mixing. As shown in section 3e Sect. 4.1  
681 the ensemble of points of  ~~$N$~~ ,  ~~$q$~~ , and  ~~$\beta$~~   $N$ ,  $\beta$  and  $q$  will be scattered within a sector, which is limited  
682 by lines determined by Eq. 3 (11) (extreme inhomogeneous mixing) and Eq. 12 and Eq. 15a Eqs.  
683 (15)-(17) (primary homogeneous), respectively (Fig. 40 9). What is important, is that the top of the  
684 cones of the scatter plots  ~~$q(N)$~~  and  ~~$\beta(N)$~~  sectors for  $q(N)$  and  $\beta(N)$  correspond to points  $\{N_0, q_0$   
685  $\}[N_1, q_1]$  and  $\{N_0, \beta_0\}$ ,  $\{N_1, \beta_1\}$ , respectively. Since  ~~$N_0$~~ ,  ~~$\beta_0$~~   $N_1$ ,  $\beta_1$  and  ~~$q_0$~~   $q_1$  may vary both within  
686 the same cloud as well as from cloud to cloud, it is anticipated that the  ~~$N - q$~~   $N$ ,  $\beta$  and  ~~$\beta - q$~~   $\beta$ ,  $q$   
687 measurements will be scattered within an ensemble of cones sectors as shown in Fig. 12b.

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688 In the case of inhomogeneous mixing, which occurs within a cloud volume with the same  $N_0$  and  $q_0$ ,  
689 the scattered points are aligned along the same line, e.g.  $q = \frac{q_0}{N_0} N$ . Such scattering patterns are easy  
690 to identify even when there are a limited number of measured points. However, in the case of  
691 homogeneous mixing the points will be scattered within a large area limited by the cone with the top  
692 point  $[N_0, q_0]$  (Fig.12b). Even in case of a relatively large number of measurements the identification of  
693 such scattering patterns may be hindered because of the low density of points scattered over a large area.  
694 Ultimately, when looking at the feasibility of identifying homogeneous and inhomogeneous mixing by  
695 means of microphysical measurements it can be concluded that, when using the analysis of scatter  
696 diagrams  $q(N)$ ,  $\beta(N)$  and  $q(\beta)$ , inhomogeneous mixing is easier to identify than homogeneous mixing.

697

#### 698 **4. In-situ observations**

699 This section presents an attempt to identify type of mixing based on examining relationships between  
700 basic microphysical parameters  $N$ ,  $\beta$ ,  $LWC$ ,  $\bar{r}_3$  measured from in-situ. These parameters present  
701 different moments of droplet size distribution and depending on instrumentation set used airborne, they  
702 can be either measured directly or calculated from measurements of  $f(r)$ .

703

704 3.4. It is important to note that that during homogeneous mixing prior reaching equilibrium,  
705 functional relationships between the microphysical moments do not exist either. After the instant  
706 mixing of cloud fraction  $\mu$  with entrained air (Fig. 1b(2)),  $q_{m0} = \mu q_0$  and  $N_{m0} = \mu N_0$ . This state  
707 corresponds to point  $D$  in Fig.10. After that droplets start evaporating until liquid mixing ratio  
708 reaches point  $A$  (Fig.10), which corresponds to the equilibrium state ( $RH = 1$ ). Therefore, during  
709 evaporation time  $q - N$  points will be scattered along the line  $AD$ . Since, point  $D$  can be located  
710 anywhere on  $OC$ , the ensemble of  $q - N$  points corresponding to non-equilibrium state will fill  
711 the  $COB$  area.

712 Thus, the absence of the functional relationships between the moments during homogeneous  
713 mixing may occur both during progressive mixing and during primary mixing prior reaching the  
714 equilibrium state. The evaporation time required to reach equilibrium during homogeneous mixing  
715 is discussed in details in Pinsky et al. (2016b), and it is usually limited by few tens of seconds.  
716 However, progressive mixing is not limited in time. Therefore, it is very likely that no functional  
717 relationship between microphysical parameters will be observed during in-situ measurements.

718 Fig.12 demonstrated a fundamental difference in scattering of  $q - N$  for homogeneous and  
719 extreme inhomogeneous mixing, which will be used to facilitate identification of type of mixing  
720 in the following section.

## 722 **5.2 Results of observations**

723 The measurements were obtained on the University of Wyoming King Air aircraft during the  
724 COPE-MED project in South-Western part of UK during July-August 2013 (Leon et al. ~~2015,~~  
725 ~~2016~~). The UW King Air was equipped with a suite of microphysical instruments, including a  
726 DMT Cloud Droplet probe (CDP) ~~and PMS Forward Scattering Spectrometer Probe (FSSP-100). These~~  
727 ~~probes were,~~ designed for measurements of droplet sizes and their concentrations in the nominal  
728 size ranges ~~1-50  $\mu\text{m}$  and 3-47  $\mu\text{m}$ , respectively  $\mu\text{m}$ .~~

729 ~~The measurements were focused on characterizing microphysical evolution of convective clouds and~~  
730 ~~effect of entrainment on precipitation formation.~~ Figure 13 shows a time series of droplet  
731 concentration, extinction coefficient, liquid water content and mean volume droplet diameter  
732 measured by the CDP during transit through a convective cell on 18 July 2013. The CDP data were  
733 sampled at 10Hz, which corresponds to approximately 10m spatial averaging. Visual examination  
734 of the spatial changes of  ~~$N$ ,  $\beta$  and  $LWC$~~   ~~$N$ ,  $\beta$  and  $LWC$~~  shows strong correlation. The amplitude  
735 of changes of these parameters reaches nearly one hundred percent with respect to their maximum.  
736 Contrary to that, the spatial variations of  ~~$\bar{D}$  and  $\bar{D}_3$~~   ~~$\bar{D}$  and  $D_v$~~  are quite conservative and their  
737 values remain nearly constant. With the exception of two cloud holes between 13:50:42 and  
738 13:50:44, the amplitude of fluctuations of  ~~$\bar{D}_3$~~   ~~$D_v$~~  does not exceed 8% with standard deviation of  
739 2.2%.

740 Figure 14 shows scatter diagrams of  ~~$LWC(N)$ ,  $\beta(N)$ ,  $LWC(\beta)$~~   ~~$LWC(N)$ ,  $\beta(N)$ ,  $LWC(\beta)$~~   
741 and  ~~$\bar{D}_3(N)$~~   ~~$D_v(N)$~~  measured by the CDP during seven consecutive penetrations of the same  
742 convective cell extended over a period of approximately 19 ~~minutes~~ ~~min~~. One of these penetrations  
743 is shown in Fig. 13. The measurements were conducted at ~~an altitude  $H=H=5500\text{m}$  and temperature~~  
744  ~~$T=-12\text{C}$ ,  $T = -12^\circ\text{C}$~~ . The relative humidity of the ambient air was approximately 20%. At the  
745 beginning of the sampling no precipitation size particles were observed in the cloud. However, by  
746 the end of the sampling period some raindrops and ice crystals were present in the cloud. Despite  
747 the presence of some precipitation size particles, the scatter diagrams in Fig. ~~14a, b, and d~~

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748 demonstrate high correlation between pairs  $N$ ,  $\beta$  and  $LWC$ . The mean volume  
749 diameter in Fig. 14c shows very little changes from 19  $\mu\text{m}$  to 17  $\mu\text{m}$  when concentration  
750 changes from 1100 to 500  $\text{cm}^{-3}$ . However, for  $N < 200 \text{ cm}^{-3}$ , the volume  
751 diameter decreases to 12–15  $\mu\text{m}$ .

752 Red lines in Fig. 14 indicate  $q(N)$ ,  $\beta(N)$ ,  $LWC(\beta)$  and  $\bar{D}_3(N)$   
753 calculated for the 1<sup>st</sup> stage of homogeneous mixing. The calculations were performed for a  
754 monodisperse  $f(r)f(D)$  with  $D_0 = 18.5 \mu\text{m}$ ,  $D_1 = 18.5 \mu\text{m}$ ,  $N_1 = 1100 \text{ cm}^{-3}$ , and  $N_0 = 1100 \text{ cm}^{-3}$ . The  
755 initial state parameters were considered to be the same as during the measurements. Comparisons of  
756 dependences  $q(N)$ ,  $\beta(N)$ ,  $LWC(\beta)$  and  $\bar{D}_3(N)$  based on in-situ  
757 measurements with those obtained from numerical simulations of homogeneous mixing show minor  
758 difference for high concentrations  $500 \text{ cm}^{-3} < N < 1100 \text{ cm}^{-3}$  (Fig. 14a, b, c).  
759 Simulation also shows that for this specific case the difference between homogeneous and  
760 inhomogeneous mixing does not exceed 10% when  $500 \text{ cm}^{-3} < N < 1100 \text{ cm}^{-3}$ .  
761 Such difference remains within the errors of measurements. Therefore, in this specific cloud for the  
762 regions with  $N > 500 \text{ cm}^{-3}$  the type of mixing cannot be unambiguously identified from the  
763 analysis of the dependences  $q(N)$ ,  $\beta(N)$ ,  $LWC(\beta)$  and  $\bar{D}_3(N)$ .  
764 However,  $D_v(N)$ . This is consistent with the assessment of feasibility of segregation of  
765 homogeneous and inhomogeneous mixing in Fig. 3 (dashed line). Since for homogeneous mixing  
766  $N \propto \mu$ , than Fig. 3 suggests good separation of the moments for  $N > 700 \text{ cm}^{-3}$ .

767 For the regions with  $N < 500 \text{ cm}^{-3}$  the deviation between homogeneous  
768 simulation and in-situ measurements in Fig. 14 becomes well pronounced and it  
769 extends beyond possible errors of measurements. This suggests that the mixing in these regions  
770 is dominated by the extreme inhomogeneous type.

771 Figure 15 shows the same type of diagrams as in Fig. 14, which were measured during 45  
772 consecutive traverses through an ensemble of deep convective cells. The sampling altitude varied  
773 in the range 3000m  $< H < 4500\text{m}$ , temperature  $-11^\circ\text{C} < T < 0^\circ\text{C}$ , relative humidity in  
774 the vicinity of clouds  $15\% < RH < 65\%$ . The cloud measurements were extended over a period of  
775 2h 13m, which is suggestive that the convective cells were sampled at different stages of  
776 their lifetime. At the sampling level the concentration of raindrops varied from zero to few per  
777 liter, and their diameter did not exceed 2mm.

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778 What is interesting that the scattering of the measurements  $LWC(N)$ ,  $\beta(N)$ ,  $LWC(N)$ ,  $\beta(N)$   
 779 and  $LWC(\beta)$ ,  $LWC(\beta)$  (Fig. 15a, b, and d) is limited by the sector, which originates from the zero  
 780 point, as in Fig. 12a. Analysis of the measurements showed that scatter diagrams  $LWC(N)$ ,  $\beta(N)$ ,  
 781  $LWC(\beta)$  and  $\bar{D}_3(N)$  calculated for the data points  $LWC(N)$ ,  $\beta(N)$ ,  $LWC(\beta)$  in each individual  
 782 cloud traverse are very similar to those in Fig. 14. It appeared that the well aligned along the lines with  
 783 different slopes of  $LWC(N)$ ,  $\beta(N)$ ,  $LWC(\beta)$  vary from cloud to cloud. So, after (e.g. Fig. 14). After  
 784 averaging over the ensemble of clouds, the area of the scattered points will turned out to be located  
 785 inside a sector limited by the lines with smallest and largest slopes.

786 As follows from Eq. 3 the slopes of  $q(N)$ ,  $\beta(N)$  and  $q(\beta)$  are governed by the ratios  $q_0/N_0$ ,  $\beta_0/N_0$   
 787 and  $q_0/\beta_0$ , respectively, where  $N_0$ ,  $\beta_0$  and  $q_0$  correspond to undiluted adiabatic values. As discussed  
 788 in section 3.7 changes in  $\beta_0$  and  $q_0$  can be explained by (a) different altitude of measurements above  
 789 the cloud base, or (b) different cloud base temperatures in different clouds measured during this day. The  
 790 changes in  $N_0$  can be caused by (a) different vertical velocities  $w_z$  at the cloud base in different clouds,  
 791 or (b) spatial and temporal changes of the aerosol load during measurements. The latter two causes will  
 792 lead to different concentration of activated CCN. The combination of the above reasons may result in  
 793 different slopes  $q_0/N_0$ ,  $\beta_0/N_0$  and  $q_0/\beta_0$ , which may be the reason of the scattering patterns of  
 794  $LWC(N)$ ,  $\beta(N)$  and  $LWC(\beta)$  in Fig. 15.

795 A distinguishing characteristic of homogeneous mixing is that during evaporation the concentration  
 796 tends to approach a non-zero value (Figs. 4-6), whereas during inhomogeneous mixing it approaches zero.  
 797 The above analysis of the type of dependences  $LWC(N)$ ,  $\beta(N)$ ,  $LWC(\beta)$  and  $\bar{D}_3(N)$  in Fig. 14 and  
 798 15 clearly shows  $N \rightarrow 0$ , when  $q \rightarrow 0$ . This is another argument in favor that the mixing was dominated  
 799 by inhomogeneous type.

### 801 3.2. Limitations of identifying type of mixing from in-situ measurements

802 Comparisons of the scatter diagrams  $LWC(N)$ ,  $\beta(N)$  and  $LWC(\beta)$  in Figs. 14 and 15 with the  
 803 conceptual diagrams in Fig. 12 unambiguously suggest that interaction between cloud and  
 804 environment in the studied clouds was dominated by inhomogeneous mixing. It should be  
 805 emphasized that analysis of a stand alone mixing diagram  $N - D_v$  would not allow unambiguously  
 806 draw such conclusion.

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807  
808 **6. Discussion**  
809 One of the assumptions in most past studies is that for a sequence of the cloud samples  
810 collected along the flight path, the adiabatic values of  $N_1, q_1, \beta_1, D_1$  and environmental parameters  
811  $e_2$  and  $T_2$  remain the same. In fact these parameters may vary both within the same cloud or  
812 sequence of samples clouds, and the amplitude of their variations depends on microphysical and  
813 thermodynamical properties inside and outside the cloud environment. This variation will result in  
814 an ensemble of relationships  $M_n = F_{nk}(M_k)$ , and enhance scattering of the data points. In such  
815 cases identification of the type of mixing based on the  $N - D_v$  diagram may result in confusion  
816 between homogeneous and inhomogeneous mixing. As demonstrated in Sect. 5, consideration of  
817  $N - q$  and  $N - \beta$  diagrams may provide a better identification type of mixing.

818 Strictly speaking the identification of type of mixing from particle probe measurements as it  
819 was performed in Sect. 5 is incomplete. It allows establishing correlation between microphysical  
820 moments and makes a formal conclusion about the mixing type, however it does not allow  
821 judgement about stage of mixing (i.e. whether mixing is complete by reaching equilibrium). In  
822 most previous studies, including this one, identification of type of mixing was based on the  
823 assumption that the sampled cloud volume is in equilibrium state ( $RH = 1$ ), and that it reached  
824 the final stage of mixing (Fig.1 a2, a3, b3). It is possible that at the moment of measurement the  
825 process of mixing is not complete and the droplet free filaments remained undersaturated (Fig.1  
826 a1, b1, b2). In this case the relationship between different moments may be well described as  $M_n =$   
827  $\alpha_{nk} M_{nk}$  and the mixing be confused with inhomogeneous mixing.

828 In order to identify stage of mixing, high frequency collocated measurements of temperature  
829 and humidity are required. Unfortunately current technology does not allow such measurements  
830 yet.

831 Identification of type of mixing from in-situ observations is based on examination of  
832 relationships between moments of the size distributions measured along the flight path. The basic  
833 assumption underlying this analysis is that the cloud droplets in each averaging interval originate at  
834 the same altitude. Otherwise, the effects of mixing on size distributions will be superimposed with  
835 environment is not affected by other non-adiabatic processes affecting size distributions, which may  
836 affect the relationships between the microphysical parameters. Precipitating particles along with the  
837 presence of ice may also,

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838 Thus, collision-coalescence, riming or Wegener-Bergeron-Findeisen processes may change  
 839 the droplet number concentration and liquid water content, and therefore will affect the relationship  
 840 between the moments. Altogether it limits Activation of interstitial CCN will result in breaking  
 841 correlation between the moments due to formation of large concentration of droplets. Broad size  
 842 distributions may also hinder identification of type of clouds suitable for examining homogeneous and  
 843 inhomogeneous mixing. The due to partial evaporation of small droplets (Pinsky et al. 2016a)

844 It is anticipated that most suitable candidates for that matter to study mixing-entrainment  
 845 process are non-precipitating convective clouds and stratocumulus clouds. However, as it was seen  
 846 from above, high correlation between  $N$ ,  $\beta$  and  $q$  may exist even in presence of small amounts of  
 847 precipitation size particles with relatively narrow droplet size distributions.

848 Another limitation is based on the assumption that the sampled cloud parcel is in equilibrium state  
 849 and that it reached the final stage of mixing. It is possible that during mixing the cloud mixed with the  
 850 entrained air, but at the moment of measurement it remained undersaturated and the droplets did not  
 851 complete changing their sizes. In this case the relationship between different moments may be well  
 852 described as  $M_n = \alpha_{nm} M_m$  and the mixing be confused with inhomogeneous mixing. In order to identify  
 853 cases like this, accurate high frequency measurements of relative humidity are required. Unfortunately  
 854 such measurements were not available during the in situ observations described above.

855 Another limiting factor is that the above consideration did not account for the effect of the  
 856 vertical velocity. The vertical changes of the relative humidity in adiabatic parcels are described by the  
 857 equation (Korolev and Field, 2008)

$$858 \frac{1}{S} \frac{dS}{dz} = a \quad (24)$$

859 After linearization of its solution Eq.(24) yields the distance between the level with  $S_0$  and saturation  
 860 level ( $S=1$ ):

$$861 \Delta z = \frac{1 - S_0}{a} \quad (25)$$

862 According to Eq.25 gives the changing humidity in a vertically ascending parcel. Thus in droplet  
 863 free entrained air relative humidity changes increases approximately 10% for  $\Delta z = \Delta z = 200\text{m}$  at  $T$   
 864  $= 0^\circ\text{C}$ ,  $T = 0^\circ\text{C}$ . After reaching saturation the mixing turns into a degenerate case, which will appear  
 865 as extreme inhomogeneous mixing. Joint effects of evaporating droplets and an increase in  $S$

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866 during the vertical ascent may facilitate reaching saturation state. This case may also be specifically  
867 relevant to the convective cloud measurements described above in Sect. 5.2.

868

## 869 5. Characteristic time scales

870 The relative roles of mixing and evaporation is characterized by the Damköhler number (Dimotakis,  
871 2005)

$$872 \text{Da} = \frac{\tau_{mix}}{\tau_{react}} \quad (26)$$

873 where  $\tau_{mix}$  is the characteristic time of turbulent mixing;  $\tau_{react}$  is the characteristic time of interaction of  
874 droplets with the environment through a molecular diffusion. The two extremes with  $\text{Da} \gg 1$  and  
875  $\text{Da} \ll 1$ , correspond to homogeneous and inhomogeneous mixing.

876 Over the years, the definition of the reaction time scale  $\tau_{react}$  was debated in literature on mixing. In  
877 some studies  $\tau_{react}$  was associated with the evaporating time of a droplet (e.g. Latham and Reed, 1977;  
878 Baker and Latham, 1979; Burnet and Brenguier, 2007; Andejchuk et al. 2009).

$$879 \tau_{ev} = \frac{F r_0^2}{S - 1} \quad (27)$$

880 Another group of works considered that  $\tau_{react}$  is equal to time of phase relaxation  $\tau_p$  (Eq. 15) (e.g. Baker  
881 and Latham, 1982; Jeffery and Reisen, 2006; Kumar et. al. 2013). To compromise these two alternatives  
882 Jensen and Baker (1989), Jeffery (2007) considered both  $\tau_{ev}$  and  $\tau_p$ .

883 In the aforementioned studies in the estimations of  $\tau_{react}$  the values of  $S$  (Eq. 27)  $r$  and  $N$  (Eq. 15)  
884 were assumed to be constant. However, none of these parameters remain constant during mixing. Thus,  
885 Lehman et al. (2009) pointed out that during evaporation the population of droplets is interacting with  
886 the environment, and therefore  $S(t)$  does not remain constant. They proposed considering  $\tau_{react}$  as a  
887 "dominant" time of either evaporation of population of droplets ( $\tau_{ev}$ ) or time required for saturation of  
888 the cloud environment ( $\tau_{eq}$ ).

889 In reality the complete evaporation of droplets will be characterized by an ensemble of different  $\tau_{ev}$   
890, rather than by a single value. The following two conditions should be satisfied for complete evaporation  
891 of a droplet: (1) the volume associated the droplet should be  $\Delta V > v$ , where

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$$v = \frac{4\pi\rho_w r^3}{3\rho_a \delta q^*} \quad (28)$$

893 is the volume of the air, which will be saturated during a complete droplet evaporation; (2) the residence  
 894 time of the droplet in the volume  $\Delta V$  should be  $\Delta t > \tau_{ev}$ . The volume  $v$  in fact is a minimum volume  
 895 required for droplet evaporation, which means that the droplet evaporating time  $t_{ev}$  will be overly long,  
 896 if the local concentration of the droplets is sparse enough, so that  $\Delta V \gg v$ , then the effect of the  
 897 evaporating water on the humidity in  $\Delta V$  can be neglected and it can be assumed that the local  
 898 saturation ratio  $S = \text{const}$  during  $t_{ev} \approx \tau_{ev}$ .

899  
 900 **Table 1.** Evaporating time ( $t_{ev}$ ), evaporating distance of a free falling droplet ( $\lambda_{ev}$ ), size of the volume  
 901 ( $\lambda_{\Delta V}$ ) saturated by a completely evaporated droplet with radius  $r$  and saturation ratio  $S$ .  $T=0C$ ,  $P$   
 902  $=687mb$ .

r	2µm			5µm			10µm		
	$t_{ev}$ (s)	$\lambda_{ev}$ (mm)	$\lambda_{\Delta V}$ (mm)	$t_{ev}$ (s)	$\lambda_{ev}$ (mm)	$\lambda_{\Delta V}$ (mm)	$t_{ev}$ (s)	$\lambda_{ev}$ (mm)	$\lambda_{\Delta V}$ (mm)
0.9	0.32	0.10	0.51	1.8	3.8	1.3	6.9	59.4	2.6
0.7	0.11	0.03	0.35	0.60	1.3	0.87	2.3	19.8	1.7
0.5	0.06	0.02	0.29	0.36	0.76	0.72	1.4	11.9	1.4
0.2	0.04	0.01	0.24	0.23	0.48	0.59	0.9	7.4	1.2

903  
 904  
 905 **7.** **Table 1** shows the calculated evaporating time ( $t_{ev}$ ) and evaporating distance of the free falling  
 906 droplet ( $\lambda_{ev}$ ), size of the volume ( $\lambda_{\Delta V}$ ) saturated by a completely evaporated droplet with radius  $r$  at  
 907 saturation ratio  $S$ . The size of the volume  $\lambda_{\Delta V}$  required for evaporation of a single droplet should exceed  
 908 its evaporating distance and saturating droplet volume, i.e.

$$\lambda_{\Delta V} > \lambda_{ev} \text{ and } \lambda_{\Delta V} \gg \lambda_{\Delta V} \quad (29)$$

910 Table 1 demonstrates that, depending on  $S$  and  $r$ , the value of  $\lambda_{\Delta V}$  may vary from  $10^{-2}cm$  to few  
 911 cm. It appears that high humidity  $S$  and large  $r$  are strong factors limiting complete droplet evaporation,  
 912 and therefore, declining inhomogeneous mixing.

913 Figure 16 shows  $\tau_{ev}$  (black solid line) calculated for  $S = S_{m0}$  assuming that  $S$  remains constant  
 914 during its evaporation (i.e. single droplet evaporation). This case corresponds to homogeneous mixing,  
 915 i.e. when turbulent eddies mixed the entrained environment prior droplets start evaporating. As seen,

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916  $\tau_{ev}$  is a strong function of  $\mu$ . The smallest value of the evaporating time  $\tau_{ev0}$  corresponds to unmixed  
 917 entrained air  $S = S_2$  at  $\mu = 0$ . Due to the fact that during mixing the saturation ratio varies in the range  
 918  $S_2 < S < 1$ , the evaporating time will change in the range  $\tau_{ev0} < \tau_{ev} < \infty$ . In other words  $\tau_{ev0}$  presents  
 919 the shortest evaporation time of a single droplet corresponding to  $S_2$  of the entrained air.

920 It should be noted that complete evaporation of the entire population of droplets will occur when  
 921  $\mu \leq \mu_{cr}$  regardless type of mixing. The region of complete evaporation calculated for a population of  
 922 droplets is shown on the left side of Fig.16 in section  $\mu \leq \mu_{cr}$ .

923 During mixing the droplet sizes  $r$  and their concentration  $N$  are changing. Therefore, as follows from  
 924 Eq.16  $\tau_p$  will be changing as well. Figure 16 also shows the dependence of time of phase relaxation versus  
 925  $\mu$  calculated for the final stage of inhomogeneous  $\tau_p^{(i)}$  (dashed blue) and homogeneous  $\tau_p^{(h)}$  (solid blue)  
 926 mixing, and the initial stage homogeneous mixing before droplet evaporation  $\tau_{pm}^{(h)}$  (blue thin line). As seen  
 927 from Fig.16  $\tau_{p0} \leq \tau_{pm}^{(h)} \leq \tau_p^{(h)} \leq \tau_p^{(i)}$ .

928 The humidity equilibrating time for the population of homogeneously mixed droplets  $\tau_{eq}^{(h)}$  was  
 929 calculated as a time when saturation ratio changed from  $S_{m0}$  to 0.999. The calculations were performed  
 930 with the help of a system of differential equations. As seen from Fig.16  $\tau_{eq}^{(h)} > \tau_p^{(h)} > \tau_{pm}^{(h)}$ . The time of  
 931 phase relaxation results from a solution of the Squires equation for supersaturation (Squires, 1952) as a  
 932 characteristic time of approaching supersaturation to its quasi-state value  $S_{qs}$ , and in general case  
 933  $\tau_p^{(h)} \neq \tau_{eq}^{(h)}$ . For the case in Fig.16 the values of  $\tau_{eq}^{(h)}$  and  $\tau_{pm}^{(h)}$  may differ by more than an order of  
 934 magnitude, and for this specific case their ratio varies in the range  $0 < \tau_{eq}^{(h)} / \tau_{pm}^{(h)} < 15$ . Note, that it is possible  
 935 that  $\tau_{eq}^{(h)} \leq \tau_{pm}^{(h)}$ , when  $S \rightarrow 1$  the equilibrating time  $\tau_{eq}^{(h)} \rightarrow 0$ . This presents a degenerate case when  
 936 there is no difference between homogeneous and inhomogeneous mixing.

937 The equilibrating time for inhomogeneous mixing  $\tau_{eq}^{(i)}$  was calculated for extreme inhomogeneous  
 938 mixing: at the moment of time  $t = \tau_{eq}^{(i)}$  (a) the entrained volume is saturated  $S_2(\tau_{eq}^{(i)}) = 0$  and (b) the  
 939 population of droplets with concentration  $n_{ev}$  undergoes complete evaporation, i.e.  $r(\tau_{eq}^{(i)}) = 0$ . As seen  
 940 from Fig.16,  $\tau_{eq}^{(i)}$  remains constant at  $\mu > \mu_{cr}$ . Basically in the frame of the inhomogeneous mixing  
 941 concept  $\tau_{eq}^{(i)}$  coincides with the time of evaporation of the population of droplets, which were transported

942 into the entrained air. Obviously such a consideration is overly idealized and unlikely to happen in nature.  
943 This is suggestive that the consideration of  $\tau_{eq}^{(i)}$  has significant limitations.

944 Comparisons of different characteristic times in Fig.16 allow few conclusions about mixing. Thus, for  
945  $\mu_A < \mu \leq 1$ ,  $\tau_{eq}^{(h)} < \tau_{ev0}$ , i.e. the saturation of the environment occurs faster than the fastest possible  
946 evaporation time of a single droplet. This suggests that when  $\mu_A < \mu \leq 1$  the feasibility of inhomogeneous  
947 mixing is low. For  $\mu_{cr} < \mu < \mu_B$ ,  $\tau_{ev} < \tau_{eq}^{(h)}$ , i.e. saturation of the entire volume takes longer due to  
948 evaporation of the droplet population than the complete evaporation of a single droplet. Complete  
949 evaporation of some droplets may occur, if the conditions in Eq.29 are satisfied. This suggests that when  
950  $\mu_{cr} < \mu < \mu_B$  the feasibility of inhomogeneous mixing is increasing. Since during mixing elementary  
951 volumes are mixing at any  $\mu$  homogeneous and inhomogeneous mixing may occur simultaneously in  
952 different parts of the mixing volume.

953 In the conclusion of this section it could be stated that  $\tau_{react}$  can be characterized by different  
954 characteristic times (i.e.  $\tau_{ev}$ ,  $\tau_{pm}$ ,  $\tau_p^{(h)}$ ,  $\tau_p^{(i)}$ ,  $\tau_{eq}^{(h)}$ ), each of which is a function of  $\mu$ . The difference  
955 between these times can reach two orders of magnitude. This brings up a warning on a proper selection  
956 of  $\tau_{react}$  in  $Da$ , and requirements for more studies to address this question.

## 957 6. Conclusions

958 This study ~~analyses~~analyzes dependences of different moments of  $f(r)$ ~~f(D)~~ in the frame of  
959 formalism of homogeneous and extremely inhomogeneous mixing. The analysis was performed  
960 for the final stage of mixing based on the mass balance of vapor and liquid water and assumption of  
961 adiabatic process of mixing and energy conservation consideration. The following results were  
962 obtained in the frame of this study:

963 1) It is shown that for the case of extreme inhomogeneous mixing all moments of  $f(r)$  are linearly  
964 related as  $M_n = \alpha_{nm} M_m$  where coefficients  $\alpha_{nm}$  are determined by adiabatic value of  $M_n$  and  
965  $M_m$ . This relation remains valid for progressive mixing.

966 Analytical Simple analytical relationships  $M_n = F_{nm}(M_m)$  between the moments  $M_n$  and  $M_m$   
967 main microphysical moments were found obtained for the case of final state homogenous and extreme  
968 inhomogeneous mixing.

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970 2) 2. It was demonstrated shown that the functional relationships between  $M_n$  and  $M_m$  the  
971 moments exist only for the first stage of homogeneous mixing. The following, when equilibrium is  
972 reached. Subsequent progressive homogeneous mixing breaks the functional relationship between  
973 the moments. It was shown that the scattering of the moments  $M_n$  and  $M_m$  are limited by the lines for  
974 inhomogeneous mixing  $M_n = \alpha_{nm} M_m$  and homogeneous mixing  $M_n = F_{nm}(M_m)$ .

975 3. It was demonstrated that consideration of scattering  $N - LWC, N - \beta$  diagrams facilitates  
976 identification of type of mixing from in-situ measurements. For extreme inhomogeneous mixing  
977 the scattering of the data points  $N - LWC, N - \beta$  will be limited by a sector originating at zero  
978 point (Fig.12a). However, for homogeneous mixing the scattering data points will be limited by a  
979 sector originating at  $(N_1, LWC_1)$  and  $(N_1, \beta_1)$  (Fig.12b). Utilizing a stand-alone conventional  $N -$   
980  $D_v$  mixing diagram may not provide unambiguous answer about type of mixing.

981 3) 4. The developed approach was applied to a set of in-situ measurements collected in  
982 convective clouds. The analysis of the dependences between  $N, \beta, LWC$  and  $\bar{D}_3$  (i.e.  $M_0, M_2,$   
983  $M_3, N, \beta, LWC$  and  $M_3/M_0$ ) obtained from in-situ measurements of convective clouds  $D_v$  suggests  
984 that the interaction between entrained and cloudy environments is for the studied clouds was  
985 dominated by inhomogeneous mixing. Homogeneous mixing may to become active, when the mixing  
986 fraction  $\mu$  is close to critical  $\mu_{cr}$ .

987 4) Analysis of different characteristic times related to evaporation and equilibrating of the mixing  
988 environment showed their strong dependence on the mixing fraction  $\mu$ . The difference The  
989 present study considers relationships between different times may reach two orders of  
990 magnitude, which makes the selection of  $\tau_{react}$  ambiguous. This raises a requirement of further  
991 studies on characterization moments of  $\tau_{react}$  in Damköhler number. Comparisons of different  
992 characteristic times suggest that within  $f(D)$  for the same mixing environment depending on  $\mu$   
993 some volumes may be dominated by homogeneous mixing whereas others by inhomogeneous  
994 mixing.

995 Due to the various limitations and idealizations of the mixing process explored in the above  
996 discussion, this study acknowledges that the current description of the mixing process is far from  
997 complete. Since the process of mixing covers a wide range of time and spatial scales a comprehensive  
998 simulating efforts are required to achieve a better understanding of the process of mixing of colloidal

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999 ~~systems~~ final stage of mixing. The following two works Pinsky et al. (~~2015a, 2016a, b~~) in this series  
1000 provide a ~~more~~ detailed analysis of ~~evolution~~ time dependences of droplet size  
1001 ~~distribution~~ distributions and its moments during homogeneous and inhomogeneous mixing.  
1002  
1003 **Acknowledgements**  
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**Appendix A**

1012

**List of Symbols**

Symbol	Description	Units
$b$	$\frac{4\pi\rho_w}{\rho_a} \frac{\frac{1}{q_v} + \frac{L_w^2}{c_p R_v T^2}}{\frac{\rho_w L_w^2}{k_a R_v T^2} + \frac{\rho_w R_v T}{E_w(T) D_v}}$	$\text{m}^2 \text{s}^{-1}$
$c_{pa}$	specific heat capacity of dry air at constant pressure	$\text{J kg}^{-1} \text{K}^{-1}$
$c_{pv}$	specific heat capacity of water vapor at constant pressure	$\text{J kg}^{-1} \text{K}^{-1}$
$D$	droplet diameter	$\text{m}$
$Da$	Damköhler number	-
$D_v$	coefficient of water vapor diffusion in the air	$\text{m}^2 \text{s}^{-1}$
$e$	water vapor pressure	$\text{N m}^{-2}$
$e_1$	initial water vapor pressure in the cloud parcel	$\text{N m}^{-2}$
$e_2$	initial water vapor pressure in the entrained sub-saturated parcel	$\text{N m}^{-2}$
$E_s$	saturation vapor pressure above flat surface of water	$\text{N m}^{-2}$
$F$	$\left( \frac{\rho_w L_w^2}{k_a R_v T^2} + \frac{\rho_w R_v T}{E_w(T) D_v} \right)^{-1}$ coefficient in the equation droplet growth	$\text{N m}^{-2}$
$f(r)$	size distribution of cloud droplets normalized on unity	$\text{m}^{-1}$
$k_a$	coefficient of air heat conductivity	$\text{J m}^{-1} \text{s}^{-1} \text{K}^{-1}$
$L_w$	latent heat for liquid water	$\text{J kg}^{-1}$
$LWC$	liquid water content	$\text{kg m}^{-3}$
$l_p$	characteristic spatial phase scale	$\text{m}$
$m_1$	mass of the cloud parcel (1kg)	$\text{kg}$
$m_2$	mass of the entrained air (1kg)	$\text{kg}$
$M_n$	$n$ -th moment of the droplet size distribution $\frac{\int_0^\infty f(r) r^n dr}{\int_0^\infty f(r) dr}$	$\text{m}^n$
$N$	concentration of droplets	$\text{m}^{-3}$

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$N_0$	concentration of droplets before mixing	$m^{-3}$
$P$	pressure of moist air	$N \cdot m^{-2}$
$P_a$	pressure of dry air	$N \cdot m^{-2}$
$r$	droplet radius	$m$
$\bar{r}$	mean droplet radius	$m$
$\bar{r}_2$	mean square droplet radius	$m$
$\bar{r}_3$	mean cubic droplet radius	$m$
$R_a$	specific gas constant of moist air	$J \cdot kg^{-1} \cdot K^{-1}$
$R_v$	specific gas constant of water vapor	$J \cdot kg^{-1} \cdot K^{-1}$
$q$	cloud liquid water mixing ratio (mass of liquid water per 1kg of dry air)	-
$q_0$	cloud liquid water mixing ratio before mixing	-
$q_v$	water vapor mixing ratio (mass of water vapor per 1kg of dry air)	-
$S$	$e / E_s$ , relative humidity over water (saturation ratio)	-
$S_m$	relative humidity after mixing is completed	-
$S_{m0}$	relative humidity after instant mixing of cloudy and entrained air but before droplets evaporation	-
$T$	temperature	$K$
$T_1$	temperature of the cloud parcel before mixing	$K$
$T_2$	temperature of the entrained sub-saturated parcel before mixing	$K$
$T_{m0}$	temperature of the parcel after vapor mixing, but before droplet evaporation	$K$
$t$	Time	$s$
$w_c$	vertical velocity	$m \cdot s^{-1}$
$\beta$	extinction coefficient	$m^{-1}$
$\beta_0$	extinction coefficient before mixing	$\beta_0$
$\delta q$	amount of liquid water per 1kg of sub-saturated air required to saturate the air in the mixed parcel before droplet evaporation Eq.5	-
$\delta q^*$	amount of liquid water per 1kg of dry air required to saturate the air in the entrained cloud free volume Eq.8	-
$\epsilon$	turbulent energy dissipating rate	$m^2 \cdot s^{-3}$



<del><math>\mu</math></del>	<del>mixing fraction of cloud and dry parcels <math>0 \leq \mu \leq 1</math></del>	<del>-</del>
<del><math>\mu_{cr}</math></del>	<del>critical mixing fraction, such that for <math>\mu \leq \mu_{cr}</math> all droplets evaporate</del>	<del>-</del>
<del><math>\rho_a</math></del>	<del>density of the dry air</del>	<del><math>\text{kg m}^{-3}</math></del>
<del><math>\rho_w</math></del>	<del>density of liquid water</del>	<del><math>\text{kg m}^{-3}</math></del>
<del><math>\tau_{ev}</math></del>	<del>time of complete evaporation</del>	<del>s</del>
<del><math>\tau_{ev}</math></del>	<del>time of complete evaporation at constant <math>S = S_{m0}</math></del>	<del>s</del>
<del><math>\tau_{ev0}</math></del>	<del>time of complete evaporation at constant <math>S = S_2</math></del>	<del>s</del>
<del><math>\tau_{eq}^{(h)}</math></del>	<del>time of saturating environment during evaporation of a population of homogeneously mixed droplets</del>	<del>s</del>
<del><math>\tau_{eq}^{(i)}</math></del>	<del>time of saturating environment for a population of inhomogeneously mixed droplets</del>	<del>s</del>
<del><math>\tau_p</math></del>	<del>time of phase relaxation</del>	<del>s</del>
<del><math>\tau_p^{(h)}</math></del>	<del>time of phase relaxation after completing homogeneous mixing</del>	<del>s</del>
<del><math>\tau_p^{(h)}</math></del>	<del>time of phase relaxation after completing inhomogeneous mixing</del>	<del>s</del>

1013

1014 **Appendix B**

1015 **: Liquid water deficit**

1016 The objective of this section is to find the amount of liquid water, which is required to ~~evaporate be~~  
 1017 ~~evaporated~~ in order to saturate the parcel formed after mixing of a cloud volume with sub-saturated  
 1018 ~~entrained air~~.

1019 Assume that  $q_{v1}, q_{v2}, q_{v1}, q_{v2}$  are the mixing vapor ratios in the cloudy and entrained parcels,  
 1020 respectively, and  $T_1, T_2, T_1, T_2$  are their respective initial temperatures. First, we find the saturation  
 1021 ratio  $S_{m0}, S_{m0}$  formed after instant mixing of the cloud and entrained before the cloud droplets start  
 1022 evaporating.

1023 The vapor mixing ratio  $q_{vm}, q_{vm}$  formed in the mixed volume will be

$$q_{vm} = \mu q_{v1} + (1 - \mu) q_{v2} \quad (B1)$$

$$q_{vm} = \mu q_{v1} + (1 - \mu) q_{v2} \quad (A1)$$

1026 The vapor pressure  $e_m, e_m$  in the mixed volume can be derived from Eq. ~~B1(A1)~~ by substituting

$$q_v = \frac{e}{p - e} \frac{R_a}{R_v} \quad q_v = \frac{e}{p - e} \frac{R_a}{R_v}, \text{ i.e.}$$

$$e_m = p \frac{\mu + \frac{e_2(p - e_1)}{p(e_1 - e_2)}}{\mu + \frac{(p - e_1)}{(e_1 - e_2)}} \quad (B2)$$

$$e_m = p \frac{\mu + \frac{e_2(p - e_1)}{p(e_1 - e_2)}}{\mu + \frac{(p - e_1)}{(e_1 - e_2)}} \quad (A2)$$

1030 The temperature of the mixed volume  $T_{m0}, T_{m0}$  can be found from the energy conservation law

$$\mu(q_{v1}c_{pv} + c_{pa})(T_1 - T_{m0}) = (1 - \mu)(q_{v2}c_{pv} + c_{pa})(T_{m0} - T_2) \quad (B3)$$

$$\mu(q_{v1}c_{pv} + c_{pa})(T_1 - T_{m0}) = (1 - \mu)(q_{v2}c_{pv} + c_{pa})(T_{m0} - T_2) \quad (A3)$$

1033 here  $c_{pv}, c_{pa}, c_{pv}, c_{pa}$  are the specific heat capacitance of water vapor and dry air at constant  
 1034 pressure, respectively,  $T_1, T_2, T_1, T_2$  are the initial temperatures in the first and second parcels  
 1035 before mixing.  $T_{m0}, T_{m0}$ . Substituting  $q_{v1}, q_{v2}, q_{v1}, q_{v2}$  yields the temperature in the mixed volume

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1036 
$$T_m = \frac{\mu T_1 + \alpha(1-\mu)T_2}{\mu + \alpha(1-\mu)} \quad (B4)$$

1037 
$$T_{m0} = \frac{\mu T_1 + \alpha(1-\mu)T_2}{\mu + \alpha(1-\mu)} \quad (A4)$$

1038 here

1039 
$$\alpha = \frac{1 + \frac{c_{pv} R_a e_2}{c_{pa} R_v (p - e_2)}}{1 + \frac{c_{pv} R_a e_1}{c_{pa} R_v (p - e_1)}} \quad (B5)$$

1040 
$$\alpha = \frac{1 + \frac{c_{pv} R_a e_2}{c_{pa} R_v (p - e_2)}}{1 + \frac{c_{pv} R_a e_1}{c_{pa} R_v (p - e_1)}} \quad (A5)$$

1041 With a good accuracy  $\alpha \cong 1 - \alpha \cong 1$ , The resulting ~~saturation ratio~~ relative humidity after mixing  
 1042 the two volumes will be

1043 
$$S_{m0} = \frac{e_m}{E_S(T_{m0})} \quad (B6)$$

1044 
$$RH_{m0} = \frac{e_{m0}}{e_S(T_{m0})} \quad (A6)$$

1045 where  $E_S(T_{m0}) > e_S(T_{m0})$  is the saturated vapor pressure at temperature  $T_{m0} = T_{m0z}$ .

1046 ~~If the initial saturation ratio is  $S_{m0} < 1$ , then the cloud droplets start evaporating.~~ The process of

1047 evaporation is accompanied by changing humidity and temperature due to latent heat of  
 1048 vaporization. This process is described by the Eq. (C2) in Korolev and Mazin (2003). Assuming

1049 the process to be isobaric (i.e. vertical velocity  $u_z = u_z = 0$ ) and absence of ice ( $dq_i = dq_i = 0$ ),

1050 Eq. (C2) (Korolev and Mazin, 2003) yields

1051 
$$\frac{dS}{S} = \left( \frac{1}{S} \frac{p R_v}{E_S R_a} + \frac{L^2}{c_p R_v T^2} \right) dq \quad (B7)$$

1052 
$$-\frac{dS}{S+1} = \left( \frac{1}{S+1} \frac{p R_v}{e_S R_a} + \frac{L^2}{c_{pa} R_v T^2} \right) dq \quad (A7)$$

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1053 Integrating Eq. B1 (A7) from initial humidity  $S_{m0}$  (Eq. 6)  $S_{m0}$  to saturation state, when  $S=1$   
 1054 0, and taking into account that  $RH = S + 1$ , gives an expression,

$$\delta q = \frac{L^2}{c_p R_v T_2^2} \ln \left( \frac{1 + \frac{E_s(T_{m0}) R_a L^2}{p c_p R_v^2 T_{m0}^2}}{1 + S_{m0} \frac{E_s(T_{m0}) R_a L^2}{p c_p R_v^2 T_{m0}^2}} \right) \quad (B8)$$

1056 It should be noted that in Eq. B8  $\delta q_m = -b \ln \left( \frac{1 + a RH_{m0}}{1 + a} \right)$   
 1057 (A8)

1058 the changes of temperature during droplet evaporation and related changes mixing ratio of liquid water  
 1059 required to evaporate in order to saturate 1kg of the cloud volume were neglected. formed after mixing  
 1060 with the entrained air, but before droplet start evaporating. Here  $a = \frac{E_s R_a L^2}{p c_p R_v^2 T_m^2}$ ,  $b = \frac{c_p R_v T_m^2}{L^2}$ .

1061 Since  $\left| \frac{A(RH_{m0}-1)}{1+A} \right| < 1$ , Eq. (A8) can be simplified as

$$\delta q_m = ab \frac{1 - RH_{m0}}{1 + a} = - \frac{S_{m0}}{A_2} \quad (A9)$$

1063 where  $A_2 = \frac{ab}{1+a}$ . The analysis of the behavior of Eq. B8 Eqs. (A8)-(9) shows that for wide range of  
 1064 temperatures  $-30^\circ\text{C} < T < 30^\circ\text{C}$  the cases when  $-30^\circ\text{C} < T < 30^\circ\text{C}$ , both equations hold with high  
 1065 accuracy as long as the temperatures of the sub-saturated and cloud parcels  $|T_1 - T_2| < |T_1 - T_2| \leq$   
 1066  $10^\circ\text{C}$ , then Eq. B2 is hold with high accuracy.

1067 Figure B1A1 shows comparisons of modeled  $\delta q$   $\delta q_m$  and that calculated from Eq. B8 Eqs. (A8)  
 1068 and (A9) for three different temperatures. The model consisted in solving a system of differential  
 1069 equation with incremental evaporation of liquid water until saturation is reached.

1070 As seen from Fig. B1A1 the agreement between modeled  $\delta q$   $\delta q_m$  and that calculated from  
 1071 Eq. B8 (A8)-(A9) is quite good and does not exceed few percent at  $S_0 = RH_{m0} = 0.5$ . This  
 1072 discrepancy results from disregarding the effect of changing  $T$  on  $E_s$  during evaporation, i.e. in Eq. B8  
 1073  $E_s$  and  $T$  assumption that  $e_s$  and  $T$  are assumed to be constant. The changes of the air temperature  
 1074 due to the latent heat of vaporization increase with the increase of the amount evaporated water, when

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1075 the initial humidity is decreasing (Fig. B1). The accuracy provided by Eq. B2 is sufficient for the purposes  
 1076 of the present work. in Eqs.(A8)-(A9).

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1078 **Appendix B: Liquid water deficit when  $T_1 = T_2$**

1079 Eq.(A2) by assuming that  $p \gg e_1$  and  $p \gg e_2$  can be simplified as

1080 
$$e_{m0} = \mu e_1 + (1 - \mu)e_2 \quad (B2)$$

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1081 As follows from Eq.(A4) for the case  $T_1 = T_2$  with high accuracy  $T_{m0} = T_1 = T_2$ . Therefore,

1082  $e_s(T_{m0}) = e_s(T_1) = e_s(T_2)$ . Dividing Eq.(B1) by  $e_s$  yields

1083 
$$RH_{m0} = \mu RH_1 + (1 - \mu)RH_2 \quad (B3)$$

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1084 In most liquid clouds  $RH_1 = 1$  (Korolev and Mazin 2003). Therefore, Eq.B2 turns into

1085 
$$RH_{m0} = \mu + (1 - \mu)RH_2 \quad (B4)$$

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1086 Substituting Eq.(B4) in Eq.(B1) yields

1087 
$$\delta q_m = -b \ln \left( 1 + \frac{a(1 - \mu)(RH_2 - 1)}{1 + a} \right) \quad (B5)$$

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1088 The expression under logarithm can be presented as the first two terms of the series expansion

1089 of  $\left( 1 + \frac{a(RH_2 - 1)}{1 + a} \right)^{(1 - \mu)}$ . Substituting this expression into Eq.(B5), gives

1090 
$$\delta q_m = (1 - \mu)\delta q^* \quad (B6)$$

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1091 where

1092 
$$\delta q^* = -b \ln \left( \frac{1 + aRH_2}{1 + a} \right) \quad (B7)$$

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1093 is the mixing ratio of liquid water required to saturate 1 kg of the entrained dry volume.

1094

1095 **Appendix C: Temperature in the mixing volume**

1096 The energy conservation for evaporating droplets can be written as

1097 
$$(T - T_{m0})(1 + q_{vm})c_{pm} + (1 - \mu)\delta q^* L = 0 \quad (C1)$$

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1098 here  $c_{pm}$  is the specific heat capacity of the moist air

1099 
$$c_{pm} = \frac{c_{pa} + q_{vm}c_{pv}}{1 + q_{vm}} \quad (C2)$$

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1100 Since  $q_{vm} \ll 1$  and  $c_{pa} \cong c_{pm}$  Eq.(C1) may be simplified, so that the final temperature after  
 1101 mixing

$$1102 \quad T = T_{m0} - \frac{(1-\mu)\delta q^* L}{c_{pa}} \quad (C3)$$

1103 For the case when  $T_1 \neq T_2$  Eq. (C3) should be replaced by

$$1104 \quad T = T_{m0} - \frac{\delta q_m L}{c_{pa}} \quad (C4)$$

1105 Eqs. (C3) and (C4) are valid for the mixing fraction  $\mu > \mu_{cr}$ . For  $\mu \leq \mu_{cr}$  all entrained liquid  
 1106 water  $\mu q_0$  evaporates, and the final temperature will be

$$1107 \quad T = T_{m0} - \frac{\mu q_0 L}{c_{pa}} \quad (C5)$$

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Table 1

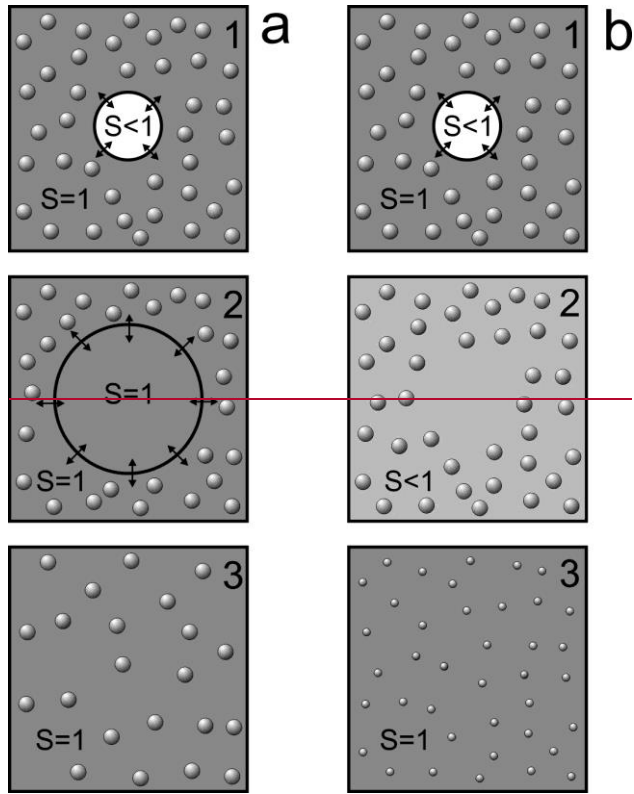
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 2 **Table 1.** Evaporating time ( $t_{ev}$ ), evaporating distance of a free falling droplet ( $\lambda_{ev}$ ), size of the volume  
 3 ( $\lambda_v$ ) saturated by a completely evaporated droplet with radius  $r$  and saturation ratio  $S$ .  $T=0C$ ,  $P$   
 4  $=687mb$ .

$S$	$2\mu m$			$5\mu m$			$10\mu m$		
	$t_{ev}$ (s)	$\lambda_{ev}$ (mm)	$\lambda_v$ (mm)	$t_{ev}$ (s)	$\lambda_{ev}$ (mm)	$\lambda_v$ (mm)	$t_{ev}$ (s)	$\lambda_{ev}$ (mm)	$\lambda_v$ (mm)
0.9	0.32	0.10	0.51	1.8	3.8	1.3	6.9	59.4	2.6
0.7	0.11	0.03	0.35	0.60	1.3	0.87	2.3	19.8	1.7
0.5	0.06	0.02	0.29	0.36	0.76	0.72	1.4	11.9	1.4
0.2	0.04	0.01	0.24	0.23	0.48	0.59	0.9	7.4	1.2

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**List of Symbols**

Symbol	Description	Units
$A_2$	$\frac{pR_v}{e_s R_a} + \frac{L^2}{c_{pa} R_v T^2}$	=
$a$	$\frac{e_s R_a L^2}{p c_{pa} R_v^2 T^2}$	=

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$b$	$\frac{c_{pa} R_v T^2}{L^2}$	=
$c_{pa}$	<u>specific heat capacity of dry air at constant pressure</u>	$\text{J kg}^{-1}\text{K}^{-1}$
$c_{pv}$	<u>specific heat capacity of water vapor at constant pressure</u>	$\text{J kg}^{-1}\text{K}^{-1}$
$\bar{D}$	<u>mean droplet diameter</u>	$\text{m}$
$D_2$	<u>mean square droplet diameter</u>	$\text{m}$
$D_v$	<u>mean volume droplet diameter</u>	$\text{m}$
$e$	<u>water vapor pressure</u>	$\text{N m}^{-2}$
$e_1$	<u>initial water vapor pressure in the cloud parcel</u>	$\text{N m}^{-2}$
$e_2$	<u>initial water vapor pressure in the entrained sub-saturated parcel</u>	$\text{N m}^{-2}$
$e_s$	<u>saturation vapor pressure above flat surface of water</u>	$\text{N m}^{-2}$
$f(D)$	<u>size distribution of cloud droplets normalized on unity</u>	$\text{m}^{-1}$
$L$	<u>latent heat for liquid water</u>	$\text{J kg}^{-1}$
$M_n$	<u><math>n</math>-th moment of the droplet size distribution</u> $\frac{\int_0^\infty f(r)r^n dr}{\int_0^\infty f(r)dr}$	$\text{m}^n$
$N$	<u>concentration of droplets</u>	$\text{m}^{-3}$
$N_1$	<u>concentration of droplets before mixing</u>	$\text{m}^{-3}$
$p$	<u>pressure of moist air</u>	$\text{N m}^{-2}$
$R_a$	<u>specific gas constant of moist air</u>	$\text{J kg}^{-1}\text{K}^{-1}$
$R_v$	<u>specific gas constant of water vapor</u>	$\text{J kg}^{-1}\text{K}^{-1}$
$RH$	<u><math>e/E_s</math>, relative humidity over water (saturation ratio)</u>	=
$RH_1$	<u>initial relative humidity in the cloud volume (<math>RH_1=1</math>)</u>	=
$RH_2$	<u>relative humidity in the entrained sub-saturated parcel</u>	=
$RH_{m0}$	<u>relative humidity after instant mixing of cloudy and entrained air but before droplets evaporation</u>	=
$q$	<u>cloud liquid water mixing ratio (mass of liquid water per 1kg of dry air)</u>	=
$q_1$	<u>cloud liquid water mixing ratio before mixing</u>	=
$q_v$	<u>water vapor mixing ratio (mass of water vapor per 1kg of dry air)</u>	=

$S$	<u><math>e/e_s - 1</math>, supersaturation</u>	=
$S_2$	<u>supersaturation of the dry out-of-cloud air</u>	=
$S_{m0}$	<u>supersaturation after instant mixing of cloudy and entrained air, but before droplets start evaporating</u>	=
$T$	<u>temperature</u>	<u>K</u>
$T_1$	<u>temperature of the cloud parcel before mixing</u>	<u>K</u>
$T_2$	<u>temperature of the entrained sub-saturated parcel before mixing</u>	<u>K</u>
$T_{m0}$	<u>temperature of the parcel after vapor mixing, but before droplet evaporation</u>	<u>K</u>
$\beta$	<u>extinction coefficient</u>	<u><math>m^{-1}</math></u>
$\beta_1$	<u>extinction coefficient before mixing</u>	<u><math>m^{-1}</math></u>
$\delta q_m$	<u>mixing ratio of liquid water required to saturate 1kg of the cloud volume after instant mixing, but before droplet evaporation.</u>	=
$\delta q^*$	<u>mixing ratio of liquid water required to saturate 1kg of the dry out-of-cloud air</u>	=
$\mu$	<u>cloud fraction of mixing air, <math>0 \leq \mu \leq 1</math></u>	=
$\mu_{cr}$	<u>critical cloud fraction, such that for <math>\mu \leq \mu_{cr}</math> all droplets evaporate</u>	=
$\rho_a$	<u>density of the dry air</u>	<u><math>kg\ m^{-3}</math></u>
$\rho_w$	<u>density of liquid water</u>	<u><math>kg\ m^{-3}</math></u>
$\xi$	<u>coefficient <math>0 \leq \xi \leq 1</math> characterizing proximity of homogeneous mixing to inhomogeneous (when <math>\xi \rightarrow 0</math>).</u>	=

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8 **Figure Captions**

9 **Figure 1.** Classical conceptual diagram of (a) inhomogeneous and (b) homogeneous mixing.

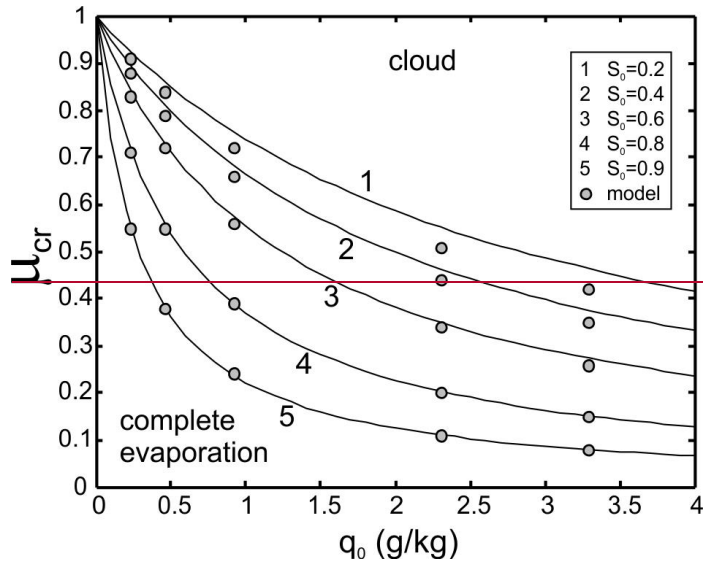
10 initial state; 2 mixing state; 3 final state.

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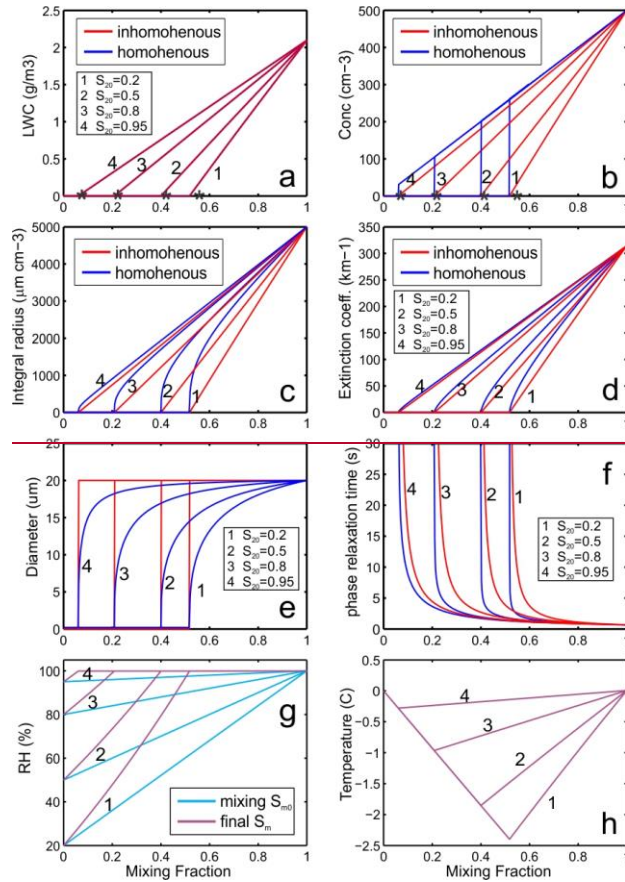


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**Figure 2.** Dependence of initial ratio of mixing  $q_0$  versus critical ratio of mixing fraction  $u_{cr}$  versus mixing ratio  $q_0$  calculated from Eq. (7). Circles indicate modeled points. The calculations were performed for  $T_a=0C$  and  $H_a=3000m$ .

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**Figure 3.** Dependence of  $\xi$  versus  $\mu$ . Numbers are the dimensionless ratios  $\delta q^*/q_1$ . Critical mixing ratios  $\mu_{cr}$  are indicated by stars. Grey area indicates area where the moments of homogeneous and extreme inhomogeneous mixing may not be segregated from in-situ measurements. Dashed line was calculated for the cloud in Figs.13-14.

**Figure 4.** Simulation of (a) liquid water mixing ratio, (b) droplet number concentration and (c) liquid water mixing ratio, (c) integral droplet radius diameter, (d) extinction coefficient, (e) mean cube volume diameter, (f) time of phase relaxation, (g) relative humidity in the mixed volume before droplet evaporation  $S_{m0}RH_{m0}$  and final  $S_m$  at the equilibrium state  $RH_{m2}$ , (h) final temperature  $T_m - T_{m0}$  versus ratio of mixing  $\mu$  formed after homogeneous

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11 and extreme inhomogeneous mixing between dry and cloudy parcel with monodisperse  
12 droplets. Black stars ~~on (a) and (b)~~ indicate critical ~~ratio of~~ mixing  ~~$\mu$ -fraction~~  $\mu_{cr}$   
13 calculated from Eq-~~23~~.(7). The calculations were performed for  ~~$RH_{20}=20\%, 50\%, 80\%$~~ ,  
14  ~~$RH_2=0.2, 0.5, 0.8, 0.95\%$~~ ;  ~~$\tau_0=10$~~ ;  ~~$D_1=20\mu\text{m}$~~ ,  ~~$N_0=N_1=500\text{cm}^{-3}$~~ ;  ~~$T_{10}=T_{20}=T_1=T_2=0\text{C}$~~ ;  
15  ~~$H=1000\text{m}$~~ .

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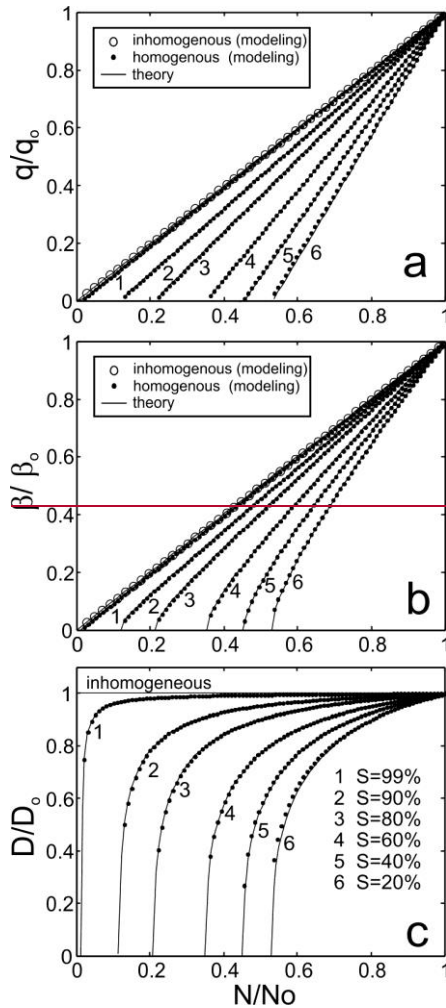
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 2 **Figure 45.** Dependence of normalized liquid water mixing ratio  $q/q_0$  (a,d,g), extinction  
 3 coefficient  $\beta/\beta_0$  (b,e,h) and mean volume diameter  $D/D_0$  (c,f,i) versus normalized  
 4 number concentration  $N/N_0$  for various humidity of the entrained air (a,b,c), for various liquid  
 5 water mixing ratios (d,e,f) and for various temperatures (g,h,i). The calculations were performed for  
 6 different relative humidity of the entrained air RH: (1) 99%; (2) 90%; (3) 80%; (4) 60%; (5) 40%; (6) 20%.

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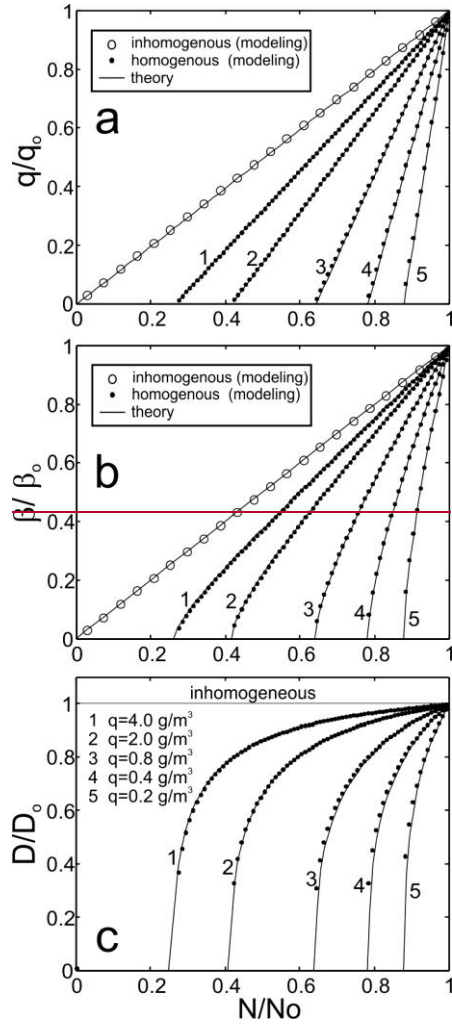
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7 ~~The the~~ initial conditions used for the calculations were:  $H_0 = H = 1000\text{m}$ ,  $T_1(0) = T_2(0) = 0\text{C}$ ;  $r_0 = 10$ ,  $D_1 = 20\mu\text{m}$ ,  
8  ~~$N_0 =$~~  for (a-c; g-j)  $N_1 = 500\text{cm}^{-3}$ .  
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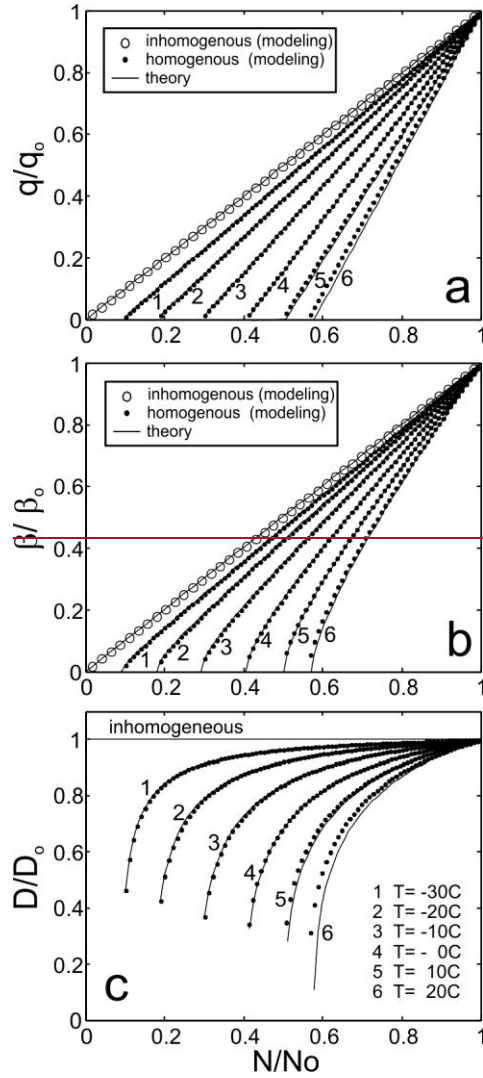


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3 **Figure 5.** Effect of the water content  $q_0$  on mixing. Same as in Fig. 4. The calculations were performed for  
4 different initial liquid water content: (1) 4.0g/kg; (2) 2.0g/kg; (3) 0.8g/kg; (4) 0.4g/kg; (5) 0.2g/kg. The  
5 initial conditions used for the calculations were:  $H_0=1000\text{m}$ ,  $RH(0)=50\%$ ;  $T_1(0)=T_2(0)=0\text{C}$ ;  $r_0=10\mu\text{m}$ .

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3 **Figure 6.** Effect of temperature on mixing. Same as in Fig.4. The calculations were performed, for  
 4 different initial temperatures  $T$ : (1)  $-30C$ ; (2)  $-20C$ ; (3)  $-10C$ ; (4)  $0C$ ; (5)  $10C$ ; (6)  $20C$ . The initial  
 5 conditions used for the calculations were:  $H_0=1000m$ ,  $RH(0)=50\%$ ;  $r_0=10\mu m$ ,  $N_0=500cm^{-3}$  (a-f)  
 6  $T_1 = T_2 = 0C$ .

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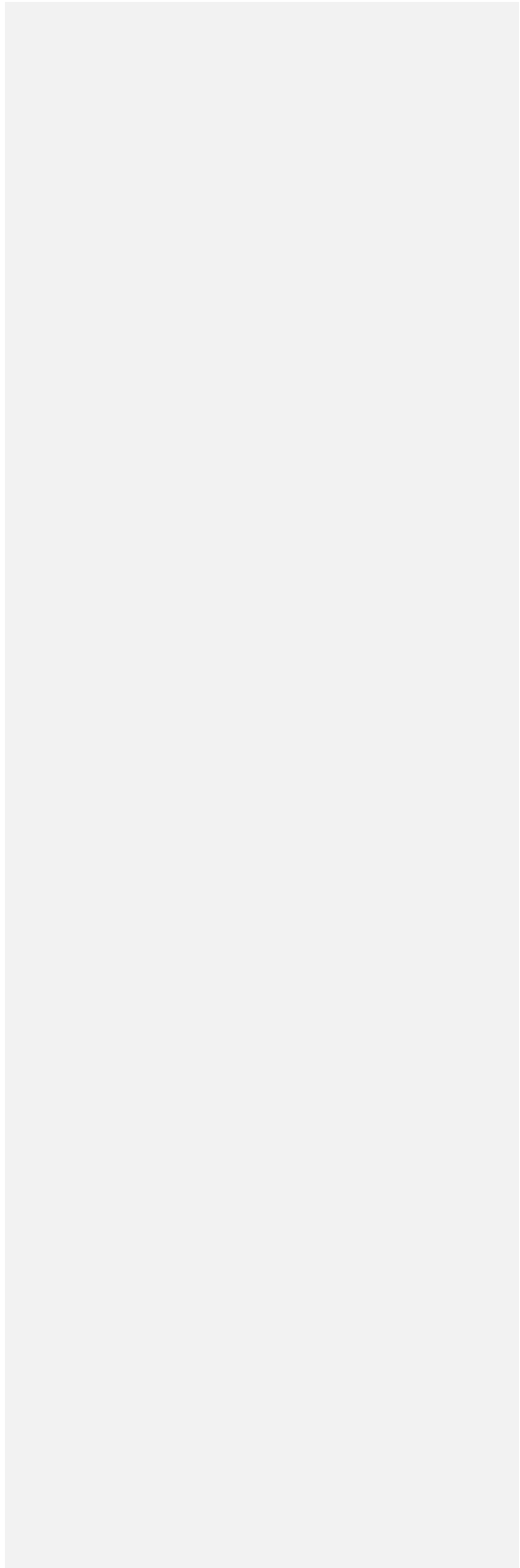
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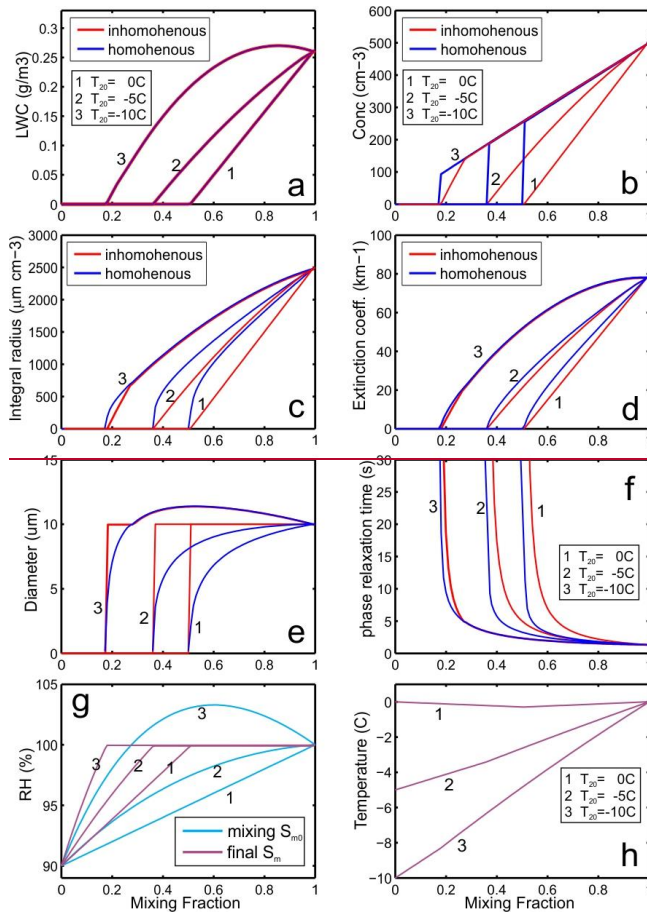
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**Figure 76.** Simulation of (a) droplet number concentration and (b) liquid water mixing ratio, (c) integral droplet radius, (d) extinction coefficient, (e) mean cube volume diameter, (f) time of phase relaxation, (g) relative humidity in the mixed volume before droplet evaporation  $S_{m0}$  and final  $S_m$  at the equilibrium state  $RH_{m2}$ , (h) final temperature  $T_m$  versus ratio of mixing  $\mu$  formed after homogeneous and extreme inhomogeneous mixing between dry and cloudy parcel with monodisperse droplets. Black stars on (a) and (b) indicate critical ratio of mixing  $\mu_{cr}$  calculated from Eq.22. The calculations were

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performed for  ~~$RH=90\%$~~ ;  ~~$r_0=5$~~   ~~$RH_2=0.9$~~ ;  ~~$D_1=10\mu\text{m}$~~ ,  ~~$N_0=N_1=500\text{cm}^{-3}$~~ ;  ~~$T_{10}=T_1=0\text{C}$~~ ;  ~~$T_{20}$~~

10

~~$T_2 = -10\text{C}, -5\text{C}, 0\text{C}$~~ ;  ~~$H=1000\text{m}$~~ .

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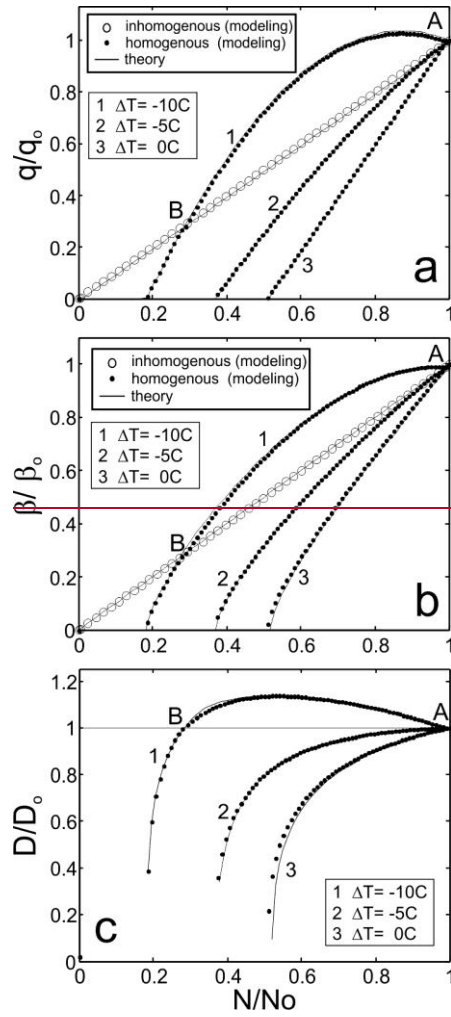
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4 **Figure 7. Figure 8.** Effect of temperature difference between cloud and entrained air on mixing.

5 Same as in Fig.4. The calculations were performed for different initial temperatures  $\Delta T$ : 2;

6 (1) -10C; (2) -5C; (3) -0C.0C. Grey circles indicate extremely inhomogeneous mixing on

7 line 1 at the AB interval. The rest cases on extremely inhomogeneous mixing are indicated

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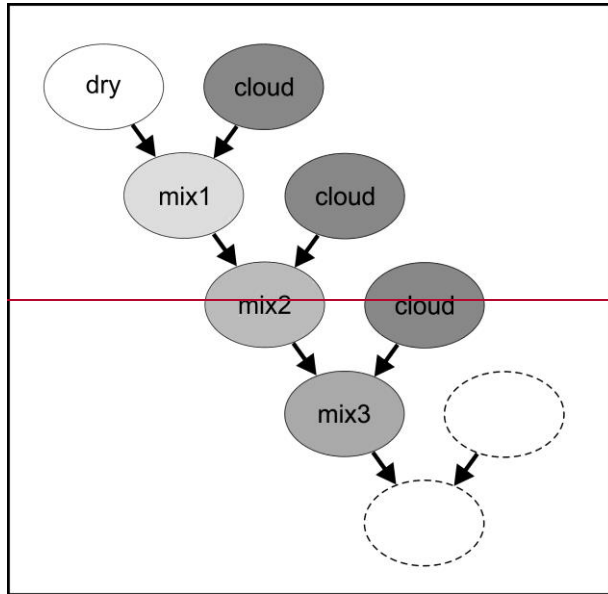
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by open circles. The initial conditions used for the calculations were:  $H_0 = 1000$  m,  
 $RH(0) = RH_2 = 90\%$ ;  $r_0 = 5$   $D_1 = 10$   $\mu$ m,  $N_0 = N_1 = 500$   $\text{cm}^{-3}$ ;  $T_{10} = T_1 = 0$  C.

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3 **Figure 8.** Conceptual diagram of cascade mixing of the out-of-cloud entrained parcel with the  
 4 cloudy environment

5 **Figure 9.** Simulation of stochastic mixing corresponding to stages 1-4 as indicated in Fig.8. Solid  
 6 red lines indicate the normalized dependences  $q, \beta, D_v$  vs.  $N$  for the primary stage of  
 7 homogeneous mixing. Dashed red lines indicate the same dependences for  
 8 inhomogeneous mixing. The initial conditions used for the simulations were:  $H=1000m$ ,  
 9  $T_1 = T_2 = 0C$ ;  $RH_2=0.5$ ;  $D_1 = 10\mu m$ ,  $N_1 = 500cm^{-3}$ .

10 **Figure 10.** Conceptual diagram explaining breaking the functional relationships between the  
 11 microphysical moment during progressive missing (see text).

12 **Figure 11.** Droplet size distributions formed during the progressive homogeneous mixing  
 13 corresponding to the (a,e) primary stage; (b,f) 2<sup>nd</sup> stage; (c,g) 3<sup>rd</sup> stage; (d,h) 4<sup>th</sup> stage. Left  
 14 column (a,b,c,d) corresponds to the case, when the cloud temperature is equal to the dry  
 15 air temperature  $T_1 = T_2 = 0C$ ; right column (e,f,g,h) corresponds to the case when  $T_1 =$   
 16  $0C$ ,  $T_2 = -10C$ . For both cases the simulation was performed for  $D_1 = 10\mu m$ ;  $N=500cm$   
 17  $^{-3}$ ;  $RH_2=0.9$ .

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18 **Figure 12.** Conceptual diagrams of scattering of measurements of  $q$  versus  $N$  for (a) extreme  
19 inhomogeneous and (b) homogeneous mixing.

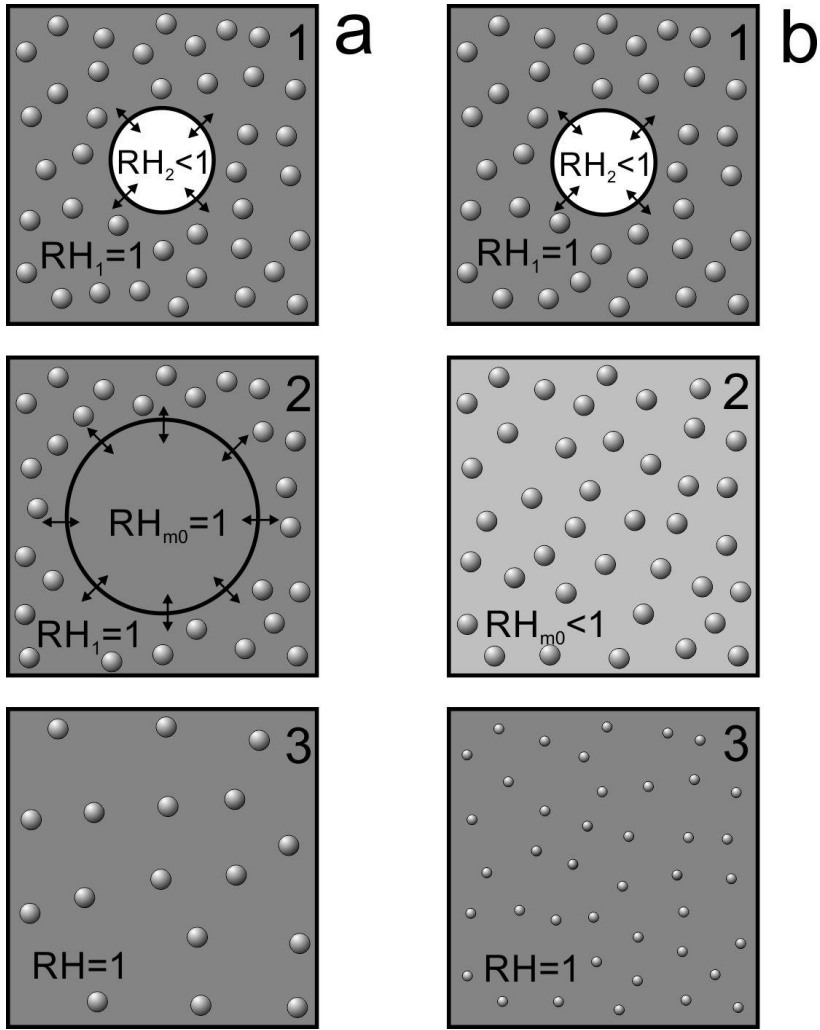
20 **Figure 13.** Spatial changes of particle concentration (a), extinction coefficient (b), liquid water  
21 content (c) and average and mean mass diameter (d) during transit through one of the  
22 convective clouds measured by CDP. The measurements were conducted during the  
23 COPE-MED project on 18 July, 2015. The sampling rate 10Hz (~10m spatial resolution).  
24  $H=5500\text{m}$ ,  $T=-12\text{C}$ ,  $RH=0.2$ .

25 **Figure 14.** Relationships between (a)  $LWC(N)$ ; (b)  $\beta(N)$ ; (c)  $D_v(N)$ ; (d)  $LWC(\beta)$  calculated from  
26 the CDP measurements obtained during sampling several convective clouds. The  
27 measurements were conducted during the COPE-MED project on 18 July, 2015,  
28  $H=5500\text{m}$ ,  $T=-12\text{C}$ ,  $RH=0.2$ . The measurements were sampled at 10Hz (~10m spatial  
29 resolution). Dashed lines are linear regressions. Red lines indicate primary  
30 inhomogeneous mixing dependencies calculated for the same environmental conditions.

31 **Figure 15.** Relationships between (a)  $LWC(N)$ ; (b)  $\beta(N)$ ; (c)  $D_v(N)$ ; (d)  $LWC(\beta)$  calculated from  
32 the CDP measurements sampled during traverse through 45 convective clouds. The  
33 measurements were conducted during the COPE-MED project on 02 August, 2015.  
34 Dashed lines indicate (a), (b) and (d) indicate the sectors, where the majority of the points are  
35 scattered. **Figure 9.** The altitude of sampling varied in the range  $3000\text{m} < H < 4500\text{m}$ ,  
36 temperature  $-11\text{C} < T < 0\text{C}$ , relative humidity in the vicinity of clouds  $15\% < RH < 65\%$ . The  
37 measurements were sampled at 10Hz (~10m spatial resolution).

38 **Figure A1.** Amount of evaporated liquid water  $\delta q_m$  required for saturation of a cloud volume with  
39 initial humidity  $RH_m$ . Comparisons of the modeled  $\delta q_m$  and that calculated from Eqs.  
40 (A8) and (A9) for three temperatures  $T_{m0} = -20\text{C}$ ,  $0\text{C}$  and  $20\text{C}$ . Calculations were  
41 performed for  $P=880\text{mb}$ .

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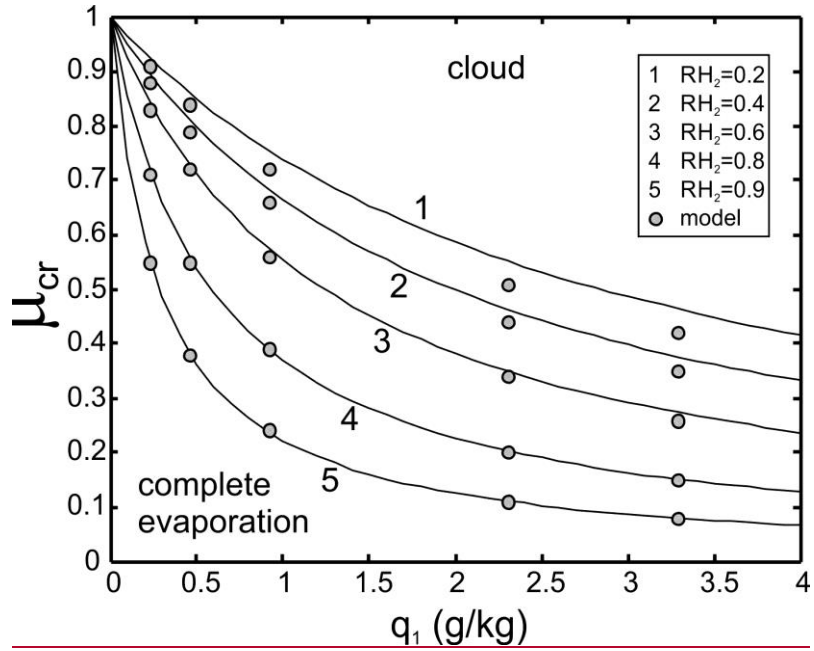
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45 **Figure 1.** Classical conceptual diagram of (a) inhomogeneous and (b) homogeneous mixing. 1 initial state;

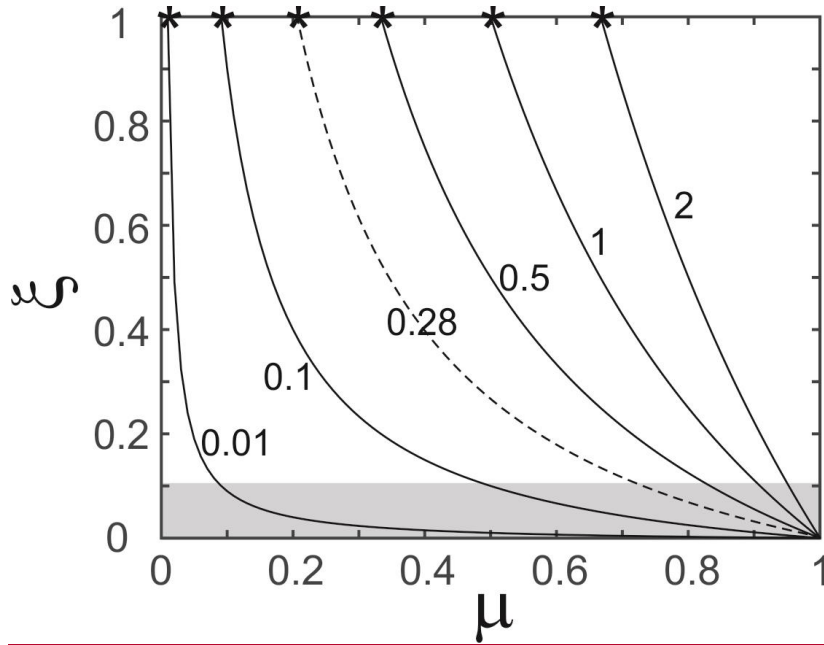
46 2 mixing state; 3 final state.

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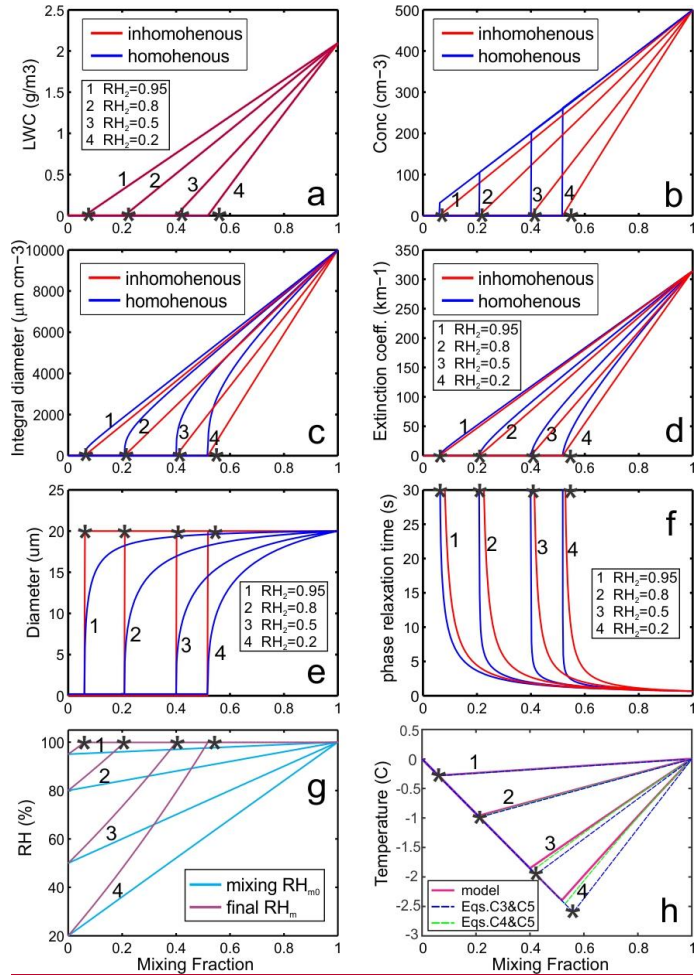


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 2 **Figure 2.** Dependence of critical mixing fraction  $\mu_{cr}$  versus mixing ratio  $q_0$  calculated from Eq.(7). Circles  
 3 indicate modeled points. The calculations were performed for  $T=0C$  and  $H=3000m$ .  
 4



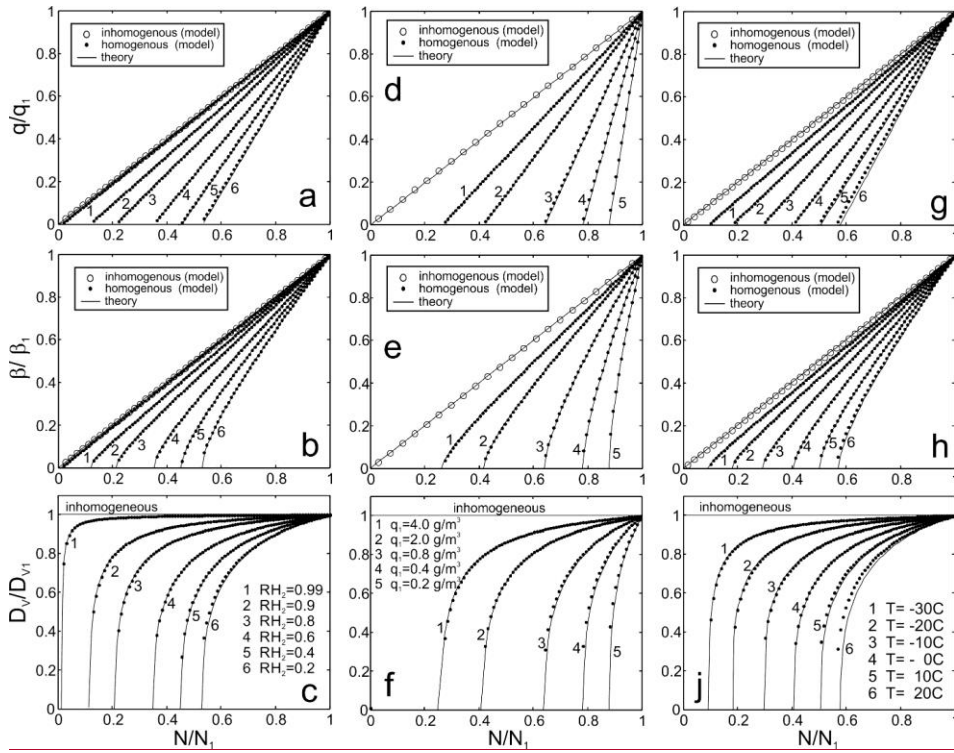
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 3 **Figure 3.** Dependence of  $\xi$  versus  $\mu$ . Numbers are the dimensionless ratios  $\delta q^*/q_1$ . Critical mixing ratios  
 4  $\mu_{cr}$  are indicated by stars. Grey area indicates area where the moments of homogeneous and extreme  
 5 inhomogeneous mixing may not be segregated from in-situ measurements. Dashed line was calculated for  
 6 the cloud in Figs.13-14.  
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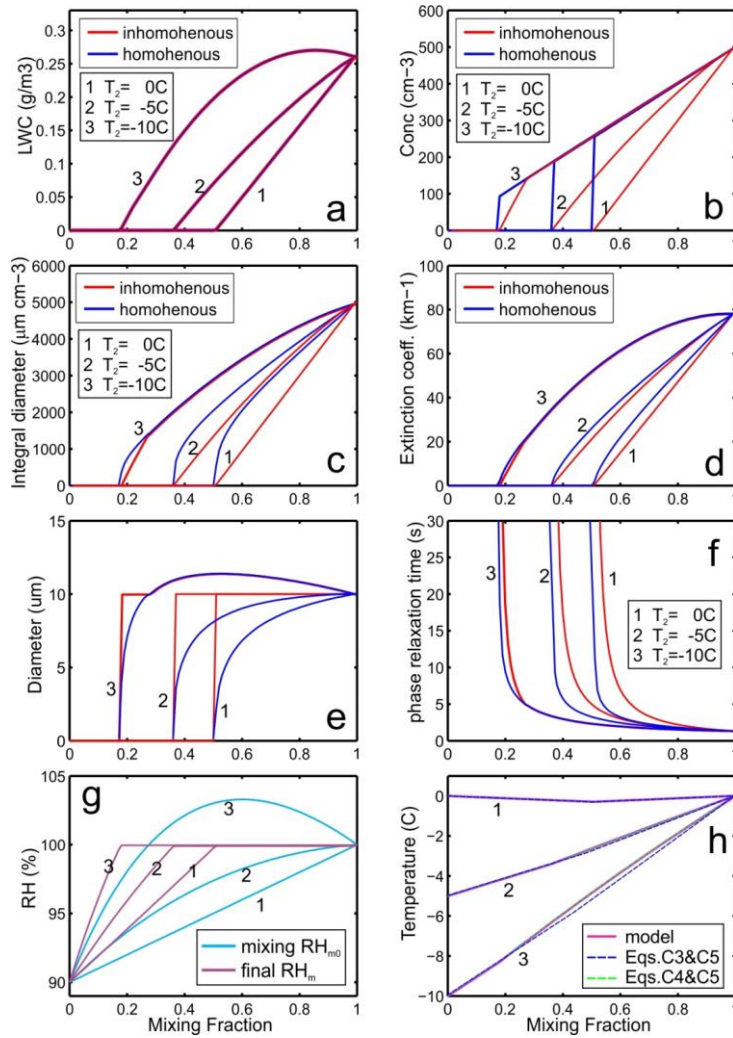
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3 **Figure 4.** Simulation of (a) liquid water mixing ratio, (b) droplet number concentration, (c) integral droplet  
4 diameter, (d) extinction coefficient, (e) mean volume diameter, (f) time of phase relaxation, (g) relative  
5 humidity in the mixed volume before droplet evaporation  $RH_{m0}$  and at the equilibrium state  $RH_m$ , (h) final  
6 temperature  $T_{m0}$  versus ratio of mixing  $\mu$  formed after homogeneous and extreme inhomogeneous mixing  
7 between dry and cloudy parcel with monodisperse droplets. Black stars indicate critical mixing fraction  
8  $\mu_{cr}$  calculated from Eq.(7). The calculations were performed for  $RH_2 = 0.2, 0.5, 0.8, 0.95$ ;  $D_1 = 20\mu m$ ,  
9  $N_1 = 500\text{cm}^{-3}$ ;  $T_1 = T_2 = 0\text{C}$ ;  $H = 1000\text{m}$ .

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3 **Figure 5.** Dependence of normalized liquid water mixing ratio  $q/q_1$  (a,d,g), extinction coefficient  $\beta/\beta_1$   
4 (b,e,h) and mean volume diameter  $D_v/D_{v1}$  (c,f,j) versus normalized number concentration  $N/N_1$  for  
5 various humidity of the entrained air (a,b,c), for various liquid water mixing ratios (d,e,f) and for various  
6 temperatures (g,h,i). The calculations were performed the initial conditions:  $H=1000\text{m}$ ,  $D_1=20\mu\text{m}$ ; for (a-  
7 c; g-j)  $N_1=500\text{cm}^{-3}$ ; for (a-f)  $T_1 = T_2 = 0\text{C}$ .

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3 **Figure 6.** Simulation of (a) droplet number concentration and (b) liquid water mixing ratio, (c) integral  
4 droplet diameter, (d) extinction coefficient, (e) mean volume diameter, (f) time of phase relaxation, (g)  
5 relative humidity in the mixed volume before droplet evaporation  $RH_{m0}$  and at the equilibrium state  $RH_m$ ,  
6 (h) final temperature  $T_m$  versus ratio of mixing  $\mu$  formed after homogeneous and extreme inhomogeneous

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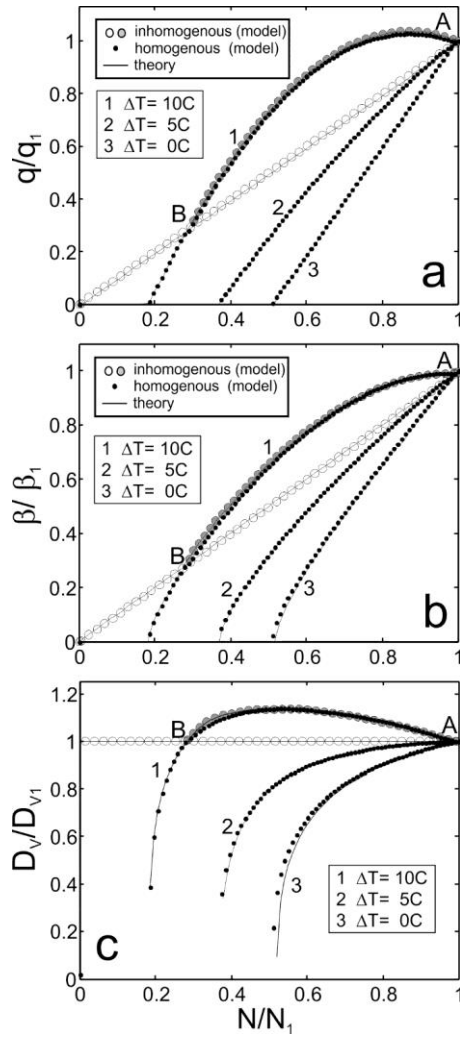
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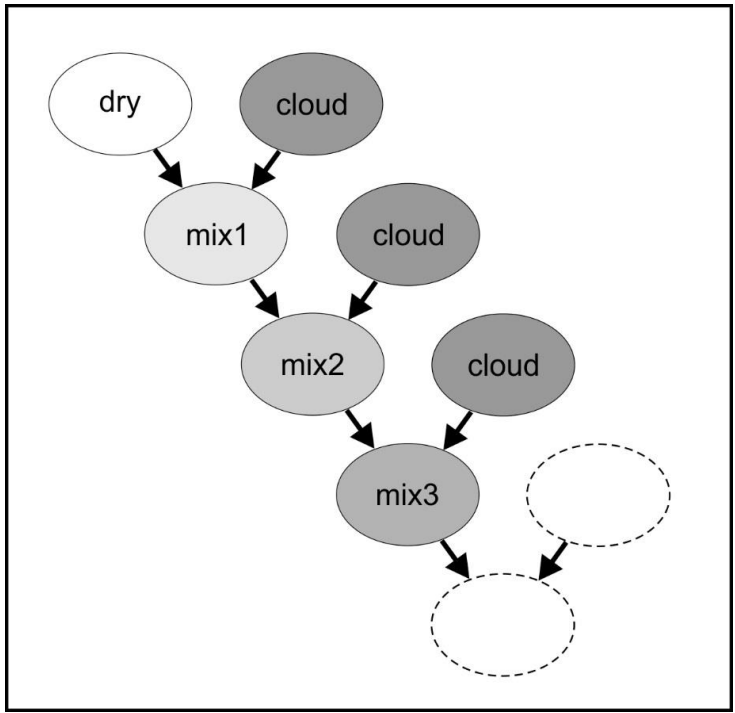
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- 7 mixing between dry and cloudy parcel with monodisperse droplets. The calculations were performed for
- 8  $RH_2=0.9; D_1=10\mu\text{m}, N_1=500\text{cm}^{-3}; T_1 = 0\text{C}; T_2 = -10\text{C}, -5\text{C}, 0\text{C}; H=1000\text{m}.$



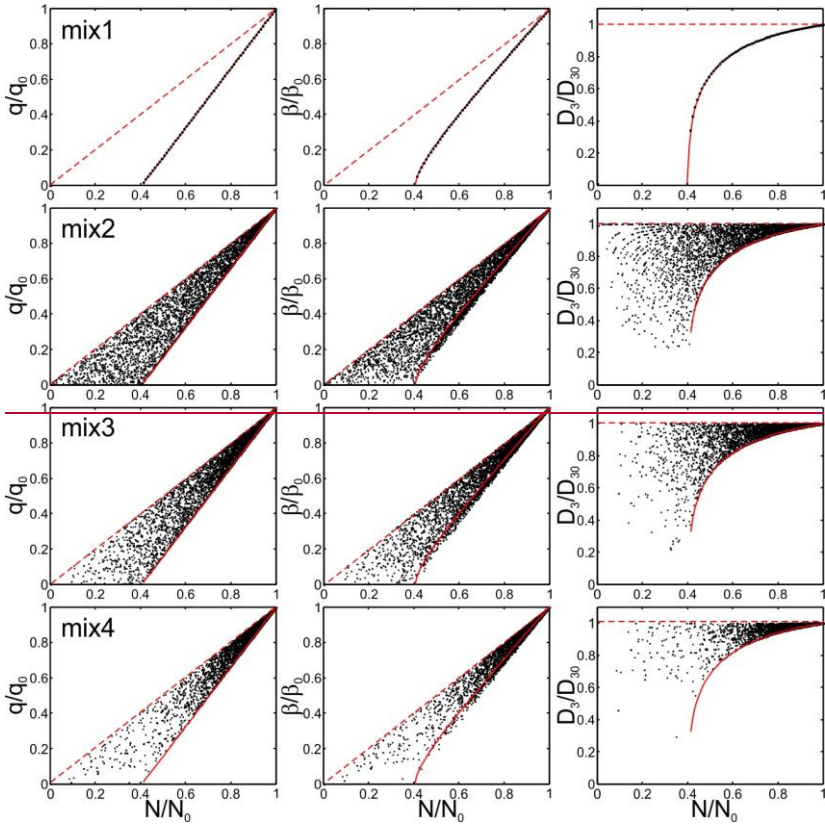
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3 **Figure 7.** Effect of temperature difference between cloud and entrained air on mixing. The calculations were  
4 performed for initial temperatures  $T_2$ : (1) -10C; (2) -5C; (3) 0C. Grey circles indicate extremely  
5 inhomogeneous mixing on line 1 at the AB interval. The rest cases on extremely inhomogeneous mixing are  
6 indicated by open circles. The initial conditions used for the calculations were:  $H=1000m$ ,  $RH_2=90\%$ ;  
7  $D_1 = 10\mu m$ ,  $N_1 = 500cm^{-3}$ ,  $T_1=0C$ .



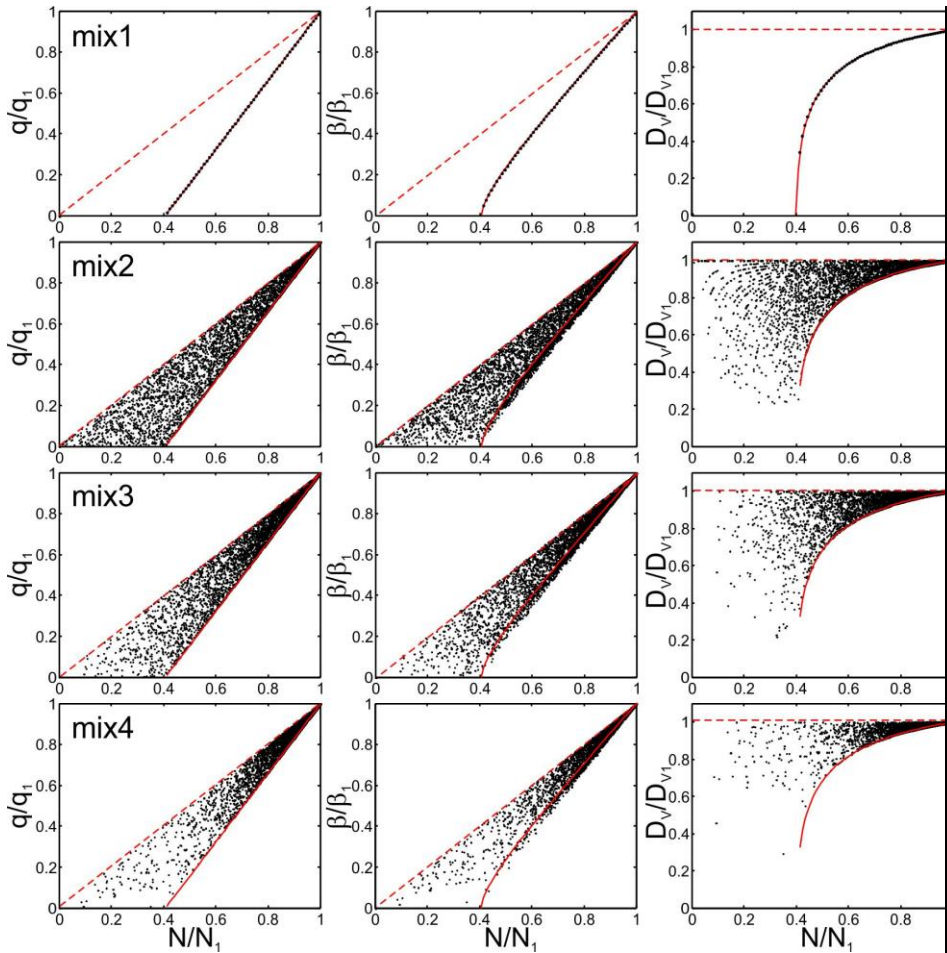
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**Figure 8.** Conceptual diagram of cascade mixing of the out-of-cloud entrained parcel with the cloudy environment

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 4 **Figure 109.** Simulation of stochastic mixing corresponding to stages 1-4 as indicated in Fig.78. Solid red  
 5 lines indicate the normalized dependences  $q, \beta, \bar{D}_3, q, \beta, D_v$  vs.  $N/N_1$  for the primary stage-- of  
 6 homogeneous mixing. Dashed red lines indicate the same dependences for inhomogeneous mixing. The  
 7 initial conditions used for the simulations were:  $H_0H=1000m, T_1(0)=T_2(0)=T_1 = T_2 = 0C; RH_2(0)=50%;$   
 8  $r_0=RH_2=0.5; D_1 = 10\mu m, A_0=N_1 = 500cm^{-3}$ .  
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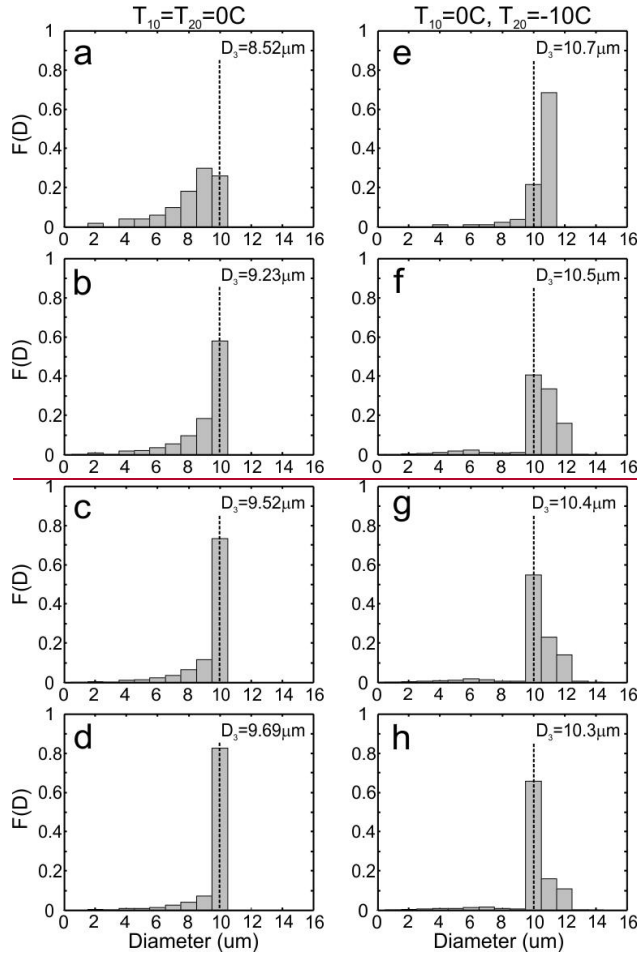
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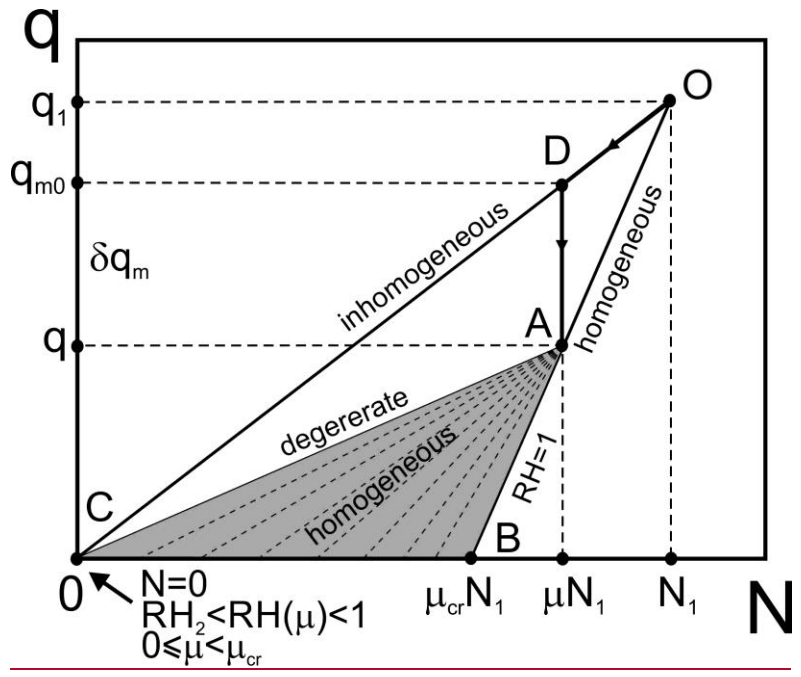
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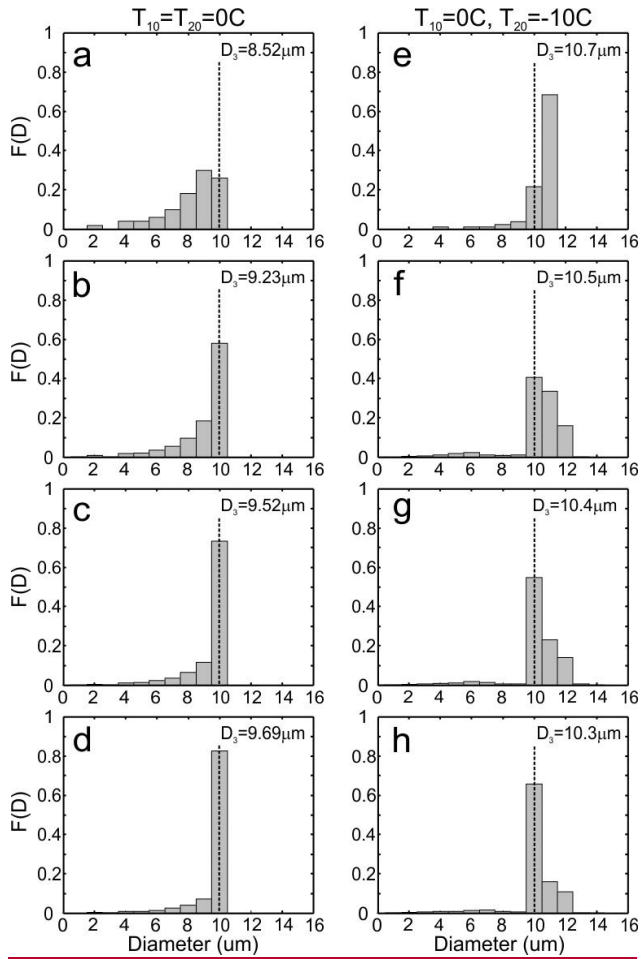
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**Figure 10.** Conceptual diagram explaining breaking the functional relationships between the microphysical moment during progressive missing (see text).

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4 **Figure 11.** Droplet size distributions formed during the progressive homogeneous mixing corresponding  
 5 to the (a,e) primary stage; (b,f) 2<sup>nd</sup> stage; (c,g) 3<sup>rd</sup> stage; (d,h) 4<sup>th</sup> stage. Left column (a,b,c,d) corresponds  
 6 to the case when the cloud temperature is equal to the dry air temperature  $T_{10} = T_{20} = 0^\circ\text{C}$ ,  $T_1 = T_2 = 0^\circ\text{C}$ .  
 7 right column (e,f,g,h) corresponds to the case when the dry air temperature is colder than that of cloud  
 8  $T_{10} = 0^\circ\text{C}$ ,  $T_{20} = -10^\circ\text{C}$ ,  $T_1 = 0^\circ\text{C}$ ,  $T_2 = -10^\circ\text{C}$ . For both cases the simulation was performed for  $\tau_0$   
 9  $= 5D_1 = 10\mu\text{m}$ ;  $AN = 500\text{cm}^{-3}$ ;  $RH_2(RH_1 = 0) = 90\%$ .

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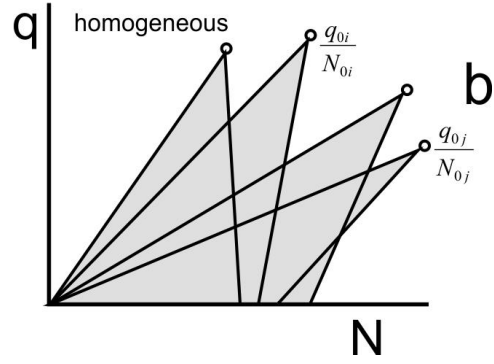
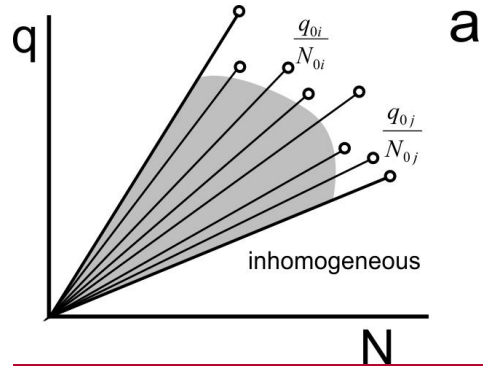
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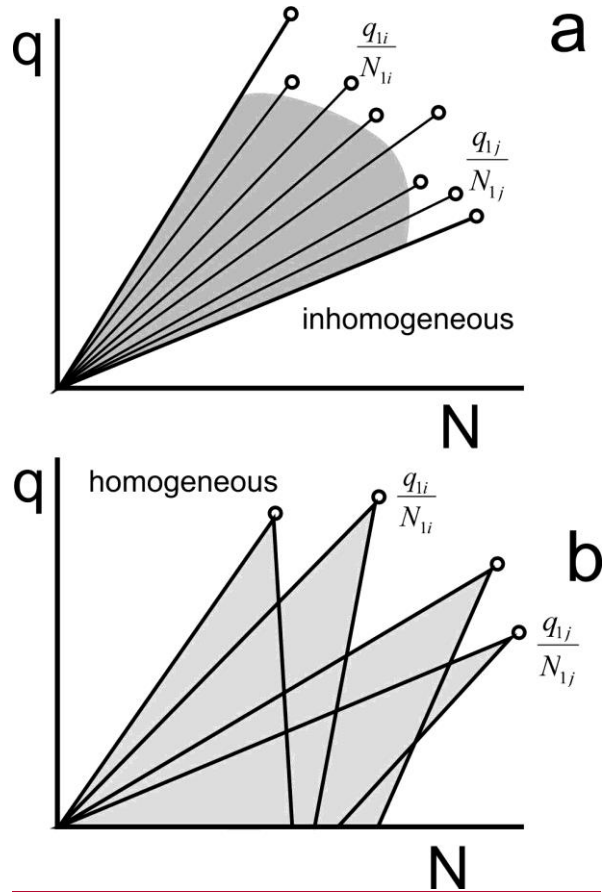


Figure 12. Conceptual diagrams of scattering of measurements of  $q$  versus  $N$  for (a) extreme inhomogeneous and (b) homogeneous mixing.

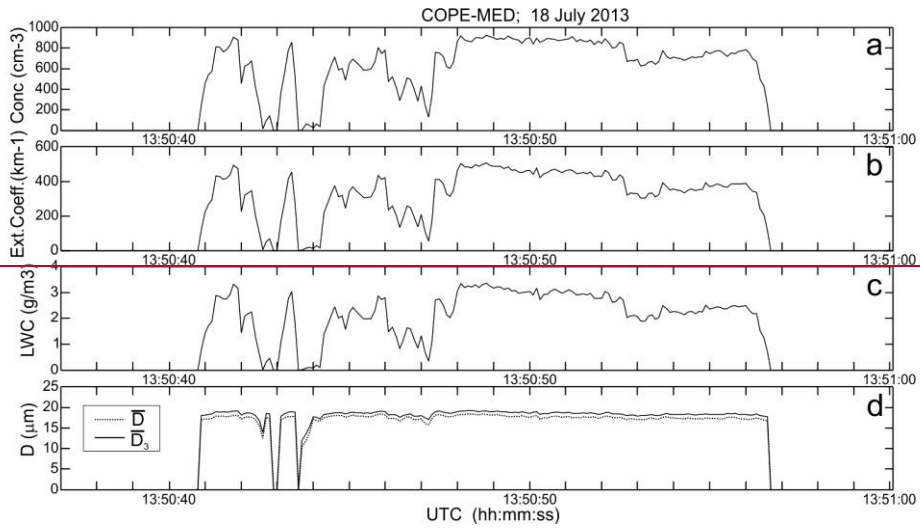
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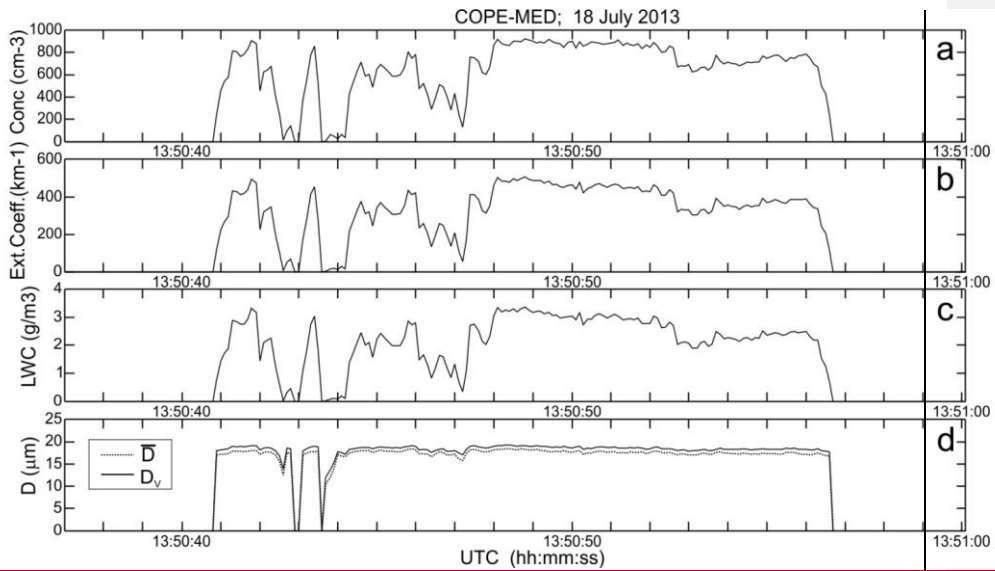
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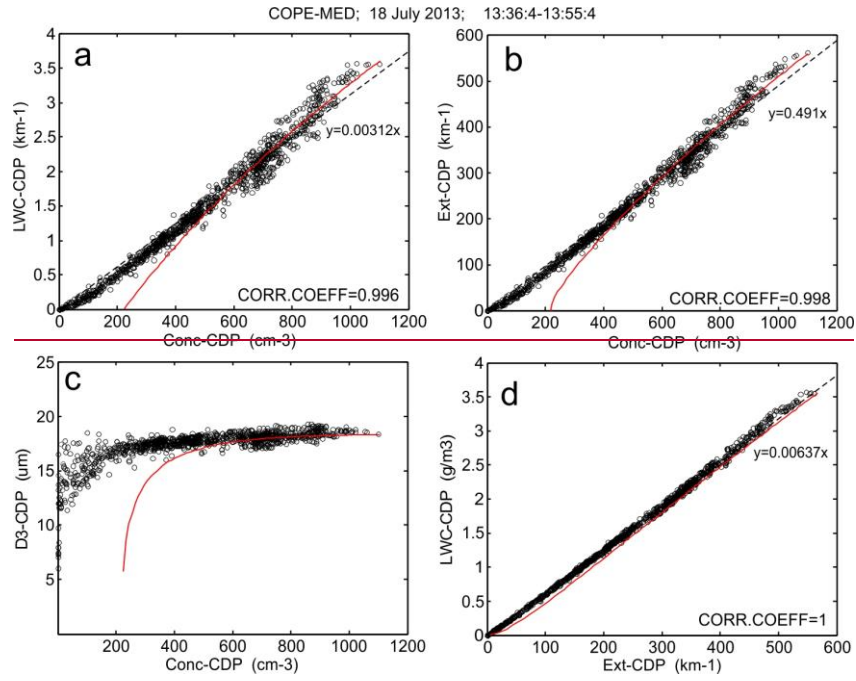


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 2 **Figure 13.** Spatial changes of particle concentration (a), extinction coefficient (b), liquid water content (c)  
 3 and average and mean mass diameter (d) during transit through one of the convective clouds measured by  
 4 CDP. The measurements were conducted during the COPE-MED project on 18 July, 2015. The sampling  
 5 rate 10Hz (~10m spatial resolution).  $H=5500\text{m}$ ,  $T=-12\text{C}$ ,  $RH=20\%$ ,  $RH=0.2$ .  
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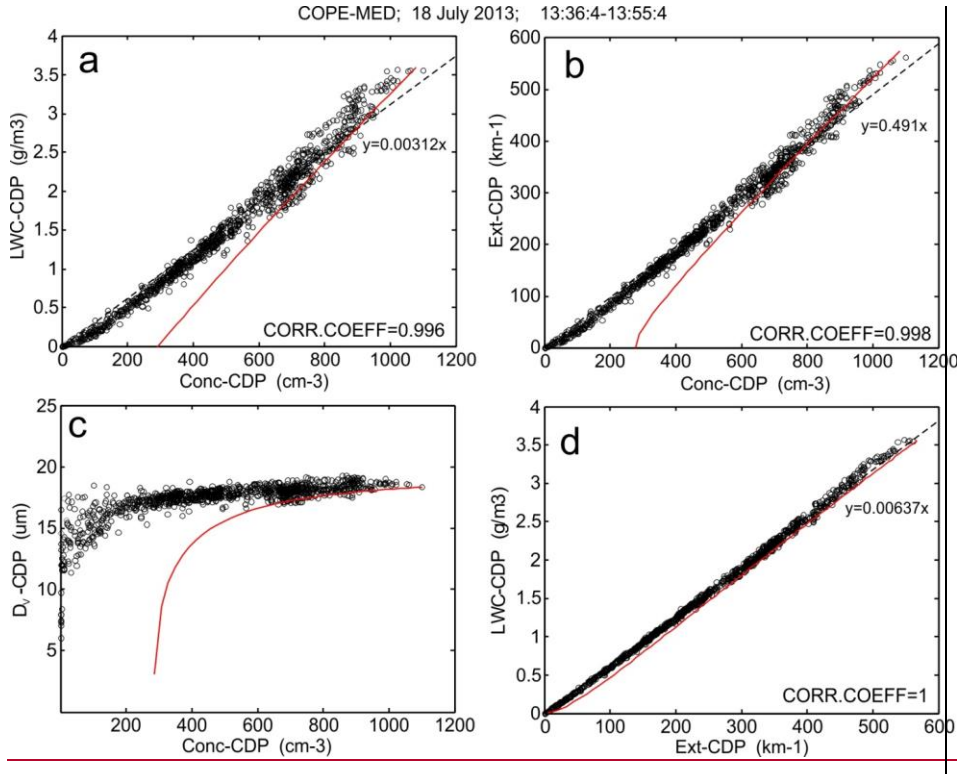
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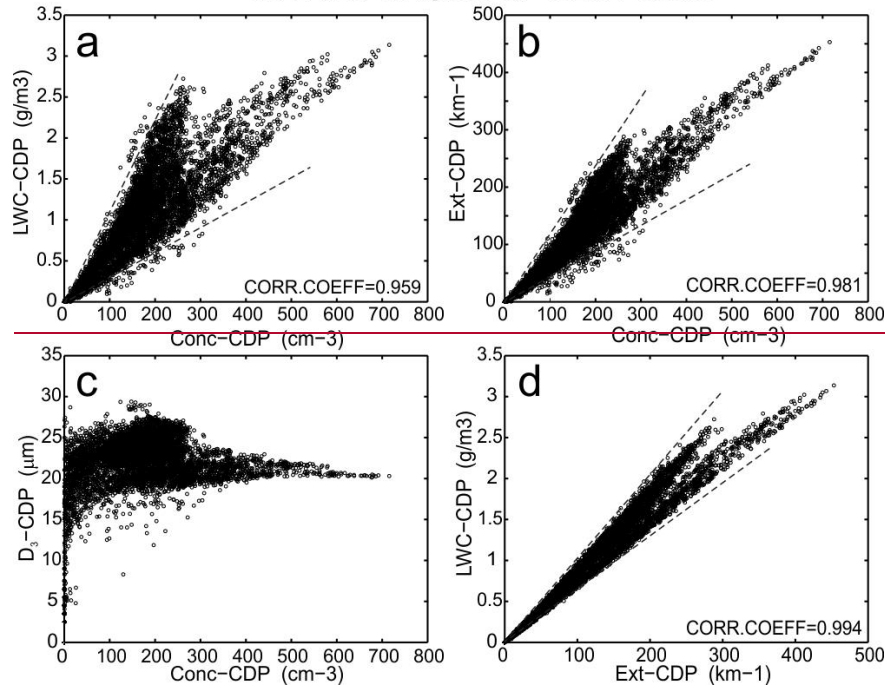




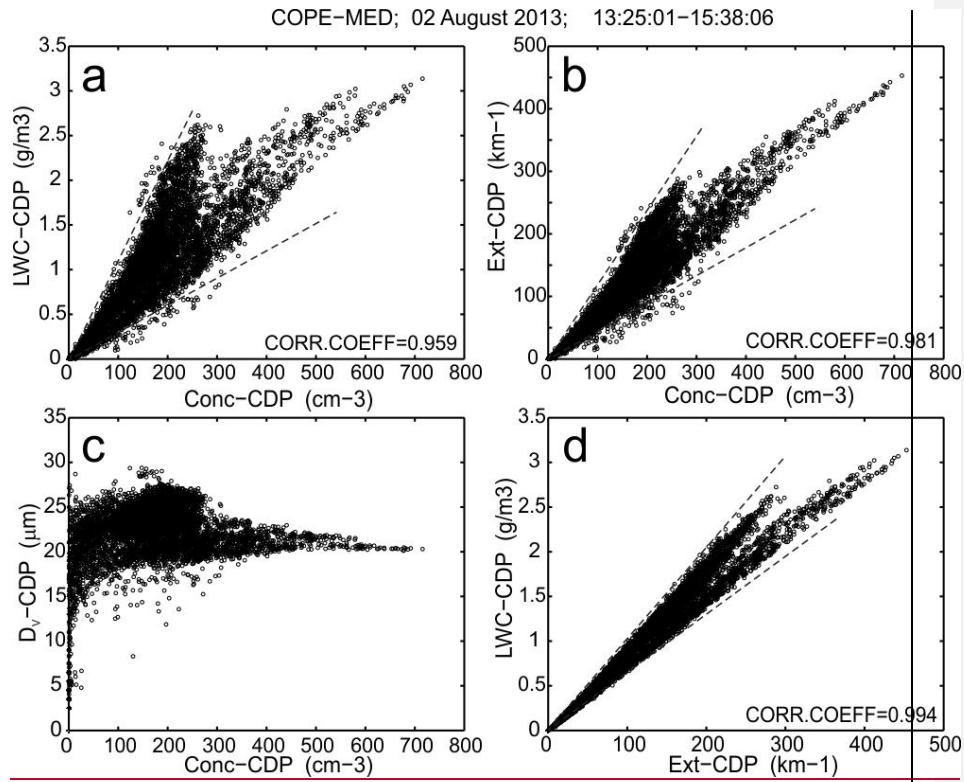
**Figure 14.** Relationships between (a)  $LWC(N); LWC(N)$ ; (b)  $\beta(N); \beta(N)$ ; (c)  $\bar{D}_3(N); D_v(N)$ ; (d)  $LWC(\beta); LWC(\beta)$  calculated from the CDP measurements obtained during sampling several convective clouds. The measurements were conducted during the COPE-MED project on 18 July, 2015,  $H=5500m$ ,  $T=-12C$ ,  $RH=20\%$ ,  $RH=0.2$ . The measurements were sampled at 10Hz (~10m spatial resolution). Dashed lines are linear regressions. Red lines indicate primary inhomogeneous mixing dependencies calculated for the same environmental conditions.

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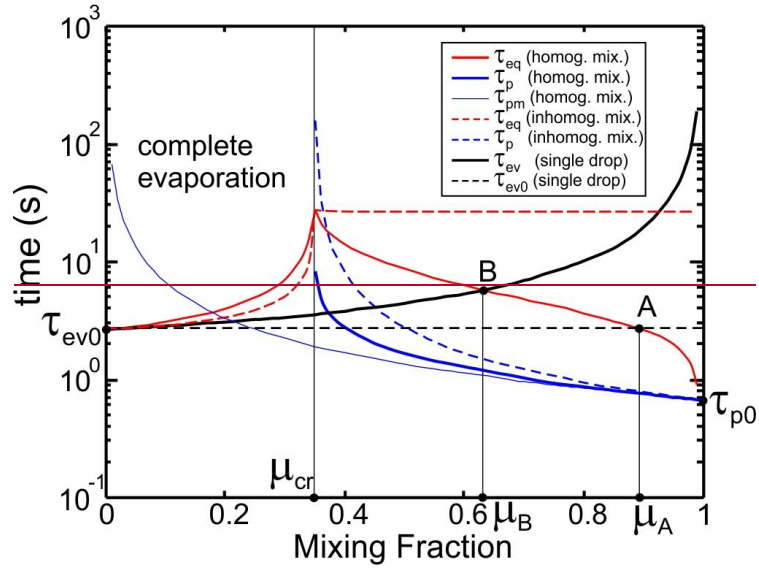


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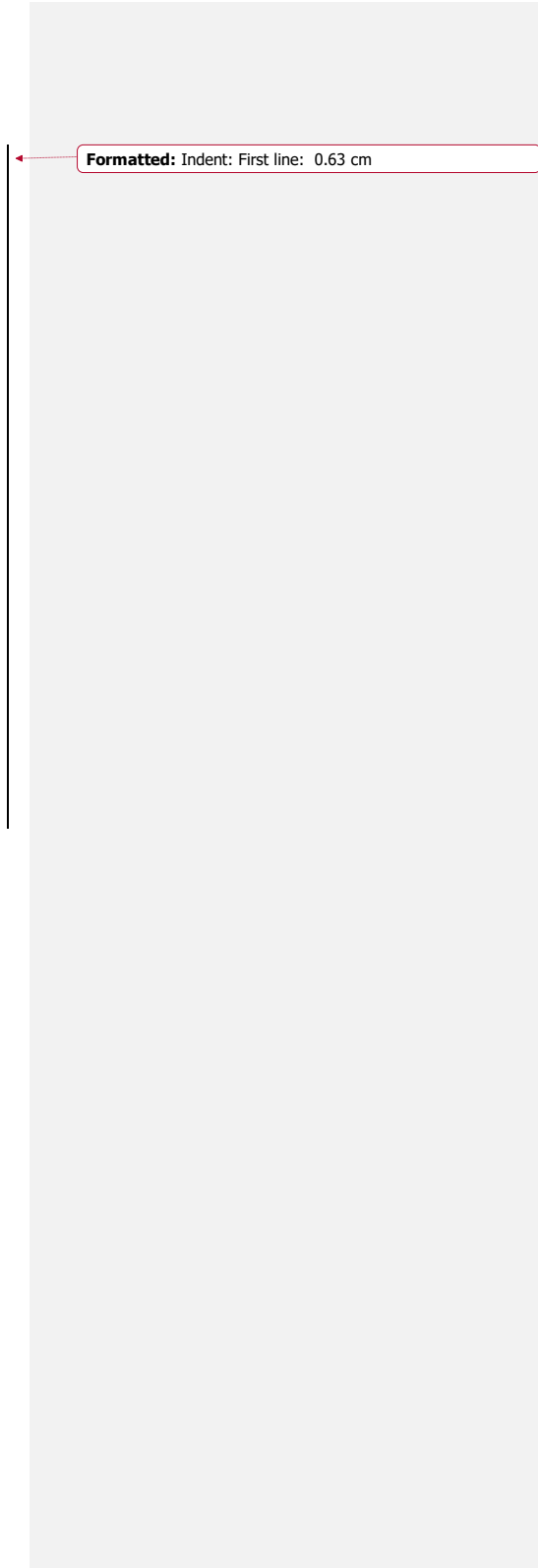
**Figure 15.** Relationships between (a)  $LWC(N);LWC(N)$ ; (b)  $\beta(N);\beta(N)$ ; (c)  $\bar{D}_3(N);D_v(N)$ ; (d)  $LWC(\beta);LWC(\beta)$  calculated from the CDP measurements sampled during traverse through 45 convective clouds. The measurements were conducted during the COPE MED project on 02 August, 2015. Dashed lines indicate (a), (b) and (d) indicate the sectors, where the majority of the points are scattered. The measurements were conducted during the COPE-MED project on 02 August, 2015. Dashed lines indicate (a), (b) and (d) indicate the sectors, where the majority of the points are scattered. The altitude of sampling varied in the range  $3000m < HH < 4500m$ , temperature  $-11C < T < 0C$ , relative humidity in the vicinity of clouds  $15% < RHRH < 65%$ . The measurements were sampled at 10Hz (~10m spatial resolution).

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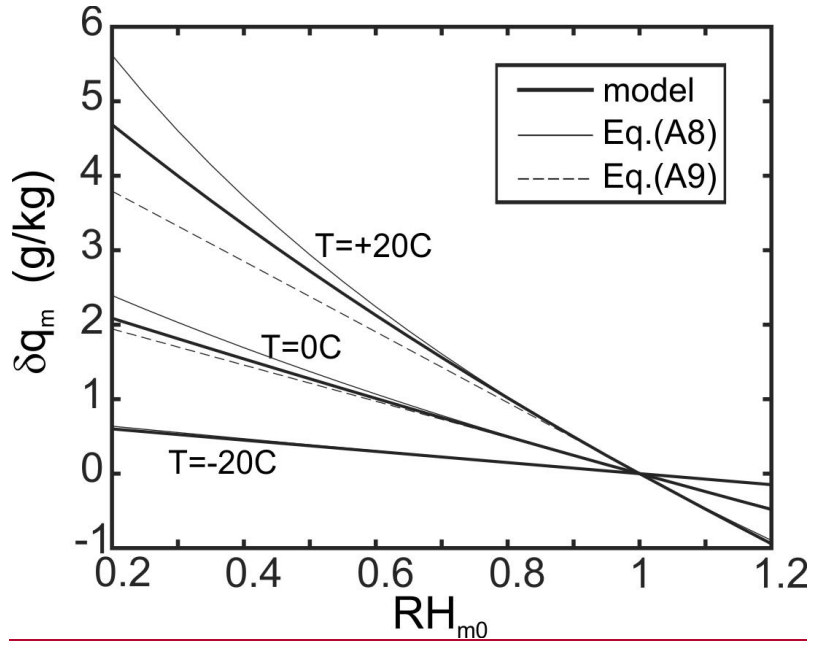
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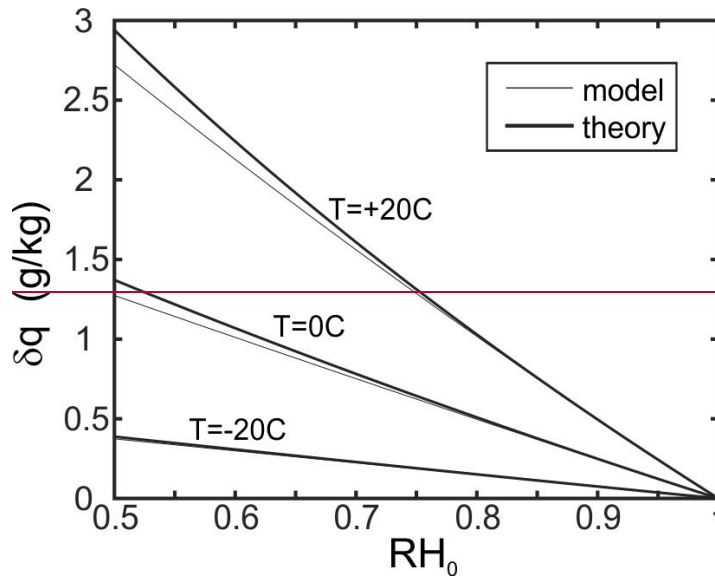
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**Figure 16.** Dependencies of different characteristic times versus ratio of mixing. The calculations were performed for  $RH_{20}=50\%$ ;  $r_0=10\mu\text{m}$ ;  $N_0=500\text{cm}^{-3}$ ;  $T_{10}=T_{20}=0\text{C}$ ;  $H=1000\text{m}$ .

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**Figure B1A1.** Amount of evaporated liquid water  $\delta q$  required for saturation of a cloud volume with initial humidity  $RH_0$ . Comparisons of the modeled  $\delta q$  and that calculated from Eqs. (A8) and (A9) for three different temperatures  $T = -20C, 0C$  and  $20C$ . Calculations were performed for  $P = 880mb$ .

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