We thank the reviewer for their recommendations and believe that the manuscript is improved, especially in ease of interpretation of the sounding data, due to the changes described below as well as other substantive changes in the document.

<u>1. Overview of Changes:</u>

Based on your reviews and the input of a second reviewer the manuscript has been substantially modified. These modifications include:

- (1) A new Data and Methods Sections
- (2) A new attenuation depth algorithm and display criteria.
- (3) Replotting of the lidar data.
- (4) Multiple new figures showing ancillary data including maps, satellite data, radar data, and weather station data.

2. Detailed Responses

Fig 2. The light green line drawn to indicate condensation level is very difficult to see. Please use a thicker, dotted or dashed line, in some other stronger color. Also the authors (29053, line 13) talks about "ring vortices." Mark those as well (maybe with pointing arrows) because some readers (me) are not good at interpreting lidar scans.

We have changed the light green line to a heavier blue in all of the figures.

We now indicate more clearly the "stair step" pattern in the plume edge that corresponds to the ring vortices. These plume edges are now highlighted using red dots derived from a plume edge detection algorithm. This algorithm is described in a new Data and Methods section (lines . We also make it more clear which figure panels we are referring to in this description (e.g. Fig. 5a,b).

Fig 3a. Again do not use a light green line.

This has been changed

Fig 4. The authors describe many features (e.g., 29053; lines 15 -20), but it is not easy to see where or what these are on Fig 4. So please mark these (pointing arrows). And show the active fire areas only (i.e., zoom in). And please supply a scale bar to indicate distance in kms on this figure. I want to know how large these plumes and their perimeters are.

Scale bars have been added to all map figures. The plotted area has been zoomed in. In addition new map figures have been added (**Figs. 1, 3,10**) which help to orient the reader to the various aspects discussed in the text, especially the fire perimeters. I have also changed the wording from "upwind" to "southwest of" in order to facilitate interpretation.

Figs 5 and 11. I was not sure which line was which and which open circle was what. Sections 2.3 and 3.3 describe what is going on in these figures. Please redo all lines so that: (i) they are heavier and more distinct, even when photocopied in black and white, and (ii) they are in stronger colours, and (iii) they are marked so that the CBL depth, EL, LCL for the Most Unstable parcel (MU), LCL for the Mixed Layer parcel (ML), and CCL for the

Author Response

Convective Parcel (CP) are all clearly indicated. Please make the discussion in Section 2.3 clearer by using wording such as (lines 29-30) "... and the associated DRY adiabat (dark red, dotted-dashed line in Fig Xb) up to the CCL not ..." And do this throughout Sections 2.3 and 3.3. And consider providing separate figures that zoom into the region of the Skew-T where most of the thermodynamic analysis is happening?

All of the sounding figures have been updated using bolder lines, larger markers, and distinct colors (**Fig. 9,17,18**). The figures have been annotated to clearly indicate the LCL, CCL, EL, etc for each parcel. As you suggest, these colors and features are now referenced in the text to make interpretation easier. We have opted not to include additional zoomed in figures because we have already substantially added to the total number of figures (now at 19), and we believe the improvements described above make interpretation much easier.

Figs 5, 8, and 11. In addition the authors should present a hodograph of the wind fields --- a clearer rendition of wind veer, shear, and magnitude than the wind arrows on the Skew Ts.

To make the shear layers more clear a color-coded hodograph has been included in the Rocky Fire sounding analysis (**inset in new Fig. 17**). In addition we added a new panel (**Fig. 17b**), which shows the balloon ascent track to illustrate effect of the shear on the path of a buoyant parcel.

Fig 12c. Lines are hard to see and need to be redrawn (along with lines in Figs 5 and 11). The light green, etc, for lines on the Skew Ts; All lines look the same gray-green with fuzzy dots.

This figure has been substantially modified (**new Fig. 19**). We now only present the RH profiles from the KOAK soundings rather than the full skew-T to make the interpretation easier, and because the previous figures detail the thermodynamics.

Lines 22 to 27, 29061. The authors recommend more complete observations of pyroconvective clouds but list features that are the result of physical processes that cannot be easily observed or interpreted (e.g., cloud microphysical properties) by measurement alone. I recommend that authors write also that: measurement campaigns combined with research employing physical fluid dynamical models able to represent and/or explicitly simulate the observations are needed.

The conclusions section has been updated to highlight the need for joint modeling and observational studies of pyroconvective processes.

Typos: (29058, Line 10) Change 'radar data is . . . ' to radar data are . . .' Changed (29062, Line 1) Change 'extend' to 'extent.' Changed

3. Revised Manuscript

See following pages....

1 Environmental Controls on Pyrocumulus and

- 2 Pyrocumulonimbus Initiation and Development
- 3

4 N. P. Lareau¹ and C. B. Clements¹

5 (1){Fire Weather Research Laboratory, <u>Department of Meteorology and Climate Science</u>,

6 San José State University, San Jose, California}

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8

9 Abstract:

In this paper we present the first direct observational evidence that the condensation level 10 in pyrocumulus and pyrocumulonimbus clouds can be significantly higher than the 11 12 ambient lifted condensation level. In addition, we show that the environmental 13 thermodynamic profile, day-to-day variations in humidity, and ambient wind shear all 14 exert significant influence over the onset and development of pyroconvective clouds. These findings are established using a scanning Doppler lidar and mobile radiosonde 15 system during two large wildfires in Northern California, the Bald and Rocky Fires. The 16 17 lidar is used to distinguish liquid water from smoke backscatter during the plume rise, and thus provides a direct detection of plume condensations levels. Plume tops are 18 subsequently determined from both the lidar and nearby radar observations. The 19 20 radiosonde data, obtained adjacent to the fires, contextualizes the lidar and radar 21 observations, and enables estimates of the plume ascent, convective available potential energy, and equilibrium level. A note worthy finding is that in these cases the Convective 22 23 Condensation Level, not the Lifted Condensation Level, provides the best estimate of the 24 pyrocumulus initiation height.

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27 1 Introduction

28 Pyrocumulus (pyroCu) form when wildfire convective plumes rise to their condensation 29 level and subsequently develop cumuliform cloud tops (American Meteorological 30 Society 2015). The extent of pyroCu development depends on the relationships amongst 31 atmospheric stratification, ambient moisture, and fire fluxes of heat and moisture (Potter 32 2005; Luderer et al. 2006; 2009; Frietas et al. 2007). Some pyroCu release significant 33 moist instability aloft and thereby trigger deep convective clouds that sometimes grow 34 into pyrocumulonimbus (pyroCb). Compared to their lesser counterparts, pyroCb posses 35 glaciated cloud tops and can thus generate precipitation, downdrafts, and lightning 36 (American Meteorological Society 2015). In exceptional cases, pyroCbs have been linked 37 with extreme fire growth (Peterson et al. 2015), devastating firestorms (Fromm et al. 38 2006), and even fire-induced tornados (Cunningham and Reeder 2009; McRae et al. 39 2013).

40 In addition to their impact on fire behavior, pyroCu/Cb have garnered significant 41 research attention due to their affect on vertical smoke transport, atmospheric chemistry, 42 and cloud microphysics. For example, pyroCu can cause significantly deeper smoke 43 injection than in dry convective cases (Frietas et al. 2007) and pyroCb are now 44 recognized as the source of previously unexplained aerosol layers lofted in the lower 45 stratosphere (Fromm and Servranckx 2003; Fromm et al. 2006; 2010). In addition, 46 satellite and dual polarimetric radar observations of pyroCb show that the extreme aerosol loading results in high concentrations of small ice particles (Rosenfeld et al. 47 48 2007), especially as compared to nearby clouds forming in smoke free air. The 49 abundance of ice particles changes the radiative properties of the clouds and also favors 50 atypical positive polarity lightning strokes (Rosenfeld et al. 2007; Lang et al. 2006; 51 2012).

52 Despite the significant research on pyroCu/Cb microphysics, surprisingly little is 53 known about the environmental controls on pyroCu development. To date only a handful 54 of studies explicitly examines the thermodynamic and kinematic structure of these cloud 55 topped convective columns (Potter 2005, Trentman et al. 2006; Luderer et al. 2006; 2009; 56 Frietas et al. 2007) and no studies include direct observations of pyroCu/Cb initiation. As 57 a result, there is an open scientific debate regarding the plume condensation level, which

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is an important parameter for modeling smoke injection height and plume evolution
(Frietas et al. 2007). Specifically, there are contrasting views in the literature about
whether the plume condensation level is expected to be <u>higher than or lower</u> than the
ambient lifted condensation level (LCL).

64 Potter (2005), for example, proposes that pyroCu/Cb should exhibit cloud bases 65 lower than the ambient LCL due to the moisture released during combustion of woody fuels and from the evaporation of fuel moisture. Drawing on historical cases of 66 67 pyroCu/Cb, radiosonde data, and theoretical considerations, he hypothesizes that the latent heat release may be the dominant factor in many moist-pyroconvective events. A 68 69 limitation of this study is the anecdotal treatment of condensation levels, which are 70 estimated, and the use of radiosonde observations that may not reflect the near fire 71 environment.

In contrast to Potter (2005), Luderer et al. (2006; 2009) use high-resolution simulations and theoretical sensitivity calculations to conclude that "the combined effect of released moisture and heat from the fire almost always results in a higher cloud base compared to ambient conditions." They also find that moisture released in combustion constitutes less than 10% of the pyroCu/Cb water budget with the remainder of the plume water resulting from entrained environmental air. While these modeled results are rather convincing, they lack clear observational support.

To that end, the only field observations that address plume moisture are from small scale grass fire experiments, where significant increases in water vapor mixing ratio are documented near the surface, but then decrease rapidly with height (Clements et al. 2006, 2007, Kiefer et al. 2012). While these observations are consistent with the dominant role of entrainment, such small-scale plumes may not be representative of deep convective plumes that extend into the upper troposphere or even lower stratosphere.

In this paper we present the first direct observations of condensation levels in two wildfire pyroCu/Cb cases. The fires, the Bald Fire and the Rocky Fire, were located in northern California, and observations were conducted on 2 August 2014 and 30 July

- 88 2015, respectively (Fig. 1). The pyroCu cloud bases and plume rise dynamics were
- 89 measured using a mobile atmospheric profiling system (Clements and Oliphant 2014)

90 that included a scanning Doppler lidar and an upper-air radiosonde system which

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Comment [1]: Added an overview figure showing the locations of the fires and radars along with the regional topography.

94 provided thermodynamic profiles immediately upstream of the fire perimeters. From 95 these data, our results clearly show that observed plume condensation levels are 96 substantially higher than the ambient LCL. Additional aspects of the plume rise, 97 including limiting factors on convective growth and the role of environmental moisture 98 are also examined.

99 2 Data and Methods

100 **2.1 Lidar Data**

101 In this study, data from a Halo Photonics scanning Doppler lidar are examined (Pearson 102 et al. 2009). The lidar emits a 1.5 µm laser beam and records two range resolved 103 quantities: (1) the attenuated backscatter coefficient $(m^{-1} sr^{-1})$, which is a range corrected 104 measure of backscattered energy, and (2) the Doppler velocity (m s^{-1}). The lidar also 105 reports the signal-to-noise ratio (SNR), which is useful for discriminating between 106 meteorological targets and instrument noise. The lidar range is 9.6 km and the range-gate 107 resolution is 18 m. Azimuth and elevation motors allow for scans of the full upper 108 hemisphere and the lidar is level-mounted to the bed of a pickup truck, enabling rapid 109 deployments to wildfires (Clements and Oliphant 2014).

110 **2.1.1 Lidar Sensitivity**

111 Near-IR lidars are sensitive to aerosol, cloud droplets, and forest fire smoke. Due
112 to these sensitivities numerous previous studies have used lidars to examine smoke layers
113 and smoke plumes (Banta et al. 1992; Kovalev et al. 2005; Pahlow et al. 2005 Charland
114 and Clements 2013; Lareau and Clements 2015).

Forest fire smoke typically exhibits a log-normal particle number distribution
with a peak near .13 μm and a long tail extending towards coarser particles (Radke et al.
1990, 1991; Banta et al. 1992; Reid and Hobbs 1998; Reid et al. 2005). The
corresponding mass distributions are bimodal with peaks near .1 and 10 μm and a local
minimum between 1 and 3 μm (Radke et al. 1990, 1991; Reid et al. 2005). During intense
forest fires, such as those in this study, additional "super-giant" aerosol with sizes
sometimes exceeding 1 mm may also be prevalent (Radke et al. 1990, 1991; Reid et al.

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Comment [2]: Added a Data and Methods Section

122 2005). These aerosols are typically composed of large ash and soil particles, which may
123 be scoured from the surface by strong fire-induced winds (Radke et al. 1991; Reid et al.
124 2005; Kavouras et al. 2012).

125 The 1.5 µm lidar beam interacts with the submicron smoke via Rayleigh 126 scattering, the micron sized smoke via Mie scattering, and with the coarsest aerosol (e.g., 127 large ash, debris, etc.) via geometric optics. Using a radiative transfer model, Banta et al. 128 (1992) showed that the attenuated backscatter coefficient due to the numerous small 129 smoke particles was roughly comparable to the backscatter from the sparse large particles 130 in a given volume. Similar behavior is expected with the lidar used in this study. In 131 addition, based on our own experience, we expect significant attenuation for interactions 132 with very coarse debris, especially near the base of smoke plumes.

133 Near-IR lidars also record high backscatter and rapid attenuation due to cloud
134 droplets, making them an ideal tool for cloud base and cloud top detections (Hogan et al.
135 2003; Winkler et al. 2009). In this study we leverage this attribute of the lidar to
136 determine pyroCu cloud bases and edges in convective column. Similarly, Banta et al.
137 (1992) used an IR lidar to identify pyroclouds in a wildfire smoke column.

138 2.1.2 Lidar Scan Strategy

139 The lidar was programmed to conduct "range-height indicator" (RHI) scans
140 centered on the Bald Fire and Rocky Fire pyroconvective plumes. The scan azimuth
141 angles were determined visually. During the Bald Fire the RHI elevation step was 0.7°,
142 whereas an elevation step of 1° was used during the Rocky Fire. Scans were conducted
143 between the horizon and ~85° in elevation, with a full RHI sweep taking ~1 min during
144 the Bald Fire and ~45 sec during the Rocky Fire. Additional scan details, including the
145 azimuth angles, are provided in the following case studies.

The lidar was also used to examine the velocity field near the fires and within the
convective plumes. For example, the Doppler radial velocity data collected during the
RHI scans are used to inspect the plume structure. These data have a resolution of 34 cm s⁻¹ over a range of +/- 19 m s⁻¹ (Pearson et al. 2009). In addition, conical scans were
interspersed with RHI scans to generate vertical profiles of the horizontal wind using the
"velocity-azimuth display" (VAD) technique (Browning and Wexler 1968). The VADs

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complete. The post-processed wind speed and direction from the VADs reflect the
ambient winds above the lidar.

155 2.1.3 Plume Edge Detection

156 The lidar data are post-processed to determine plume boundaries and beam 157 attenuation depth. The edge detection algorithm uses a combination of the lidar signal-to-158 noise ratio (SNR) and attenuated backscatter coefficient to isolate the plume. Similar 159 approaches are presented in previous studies (Kovalelv et al. 2005; Charland and 160 Clements 2013). In our analysis, we first apply a 5th order Butterworth filter with a 5-161 point window to the SNR data along each lidar beam to eliminate some of the instrument 162 noise. Next we record the radial location of maximum attenuated backscatter coefficient. 163 Starting from that location we search inward along the beam for the first range gate 164 where the SNR+1 drops below 1.01. This point is considered to be the leading plume 165 edge. The same technique is performed searching outward along the beam to find the 166 trailing plume edge. The trailing edge is considered to be the attenuation point provided 167 the SNR+1 does not again exceed the threshold at some further distance. The SNR+1 168 threshold of 1.01 was found to best discriminate between aerosol returns and background 169 noise in our data sets, though other values (e.g., SNR+1=1.02) provide similar results.

To demonstrate the algorithm, Fig. 2 presents lidar data extracted from two 170 171 elevation angles (10.2°, 46.7°) within a full RHI scan of Bald Fire convective column. 172 The lower elevations beam (Fig. 2a) intersects the base of the smoke plume while the 173 upper beam (Fig. 2b) hits the pyroCu. Of note, the SNR+1 associated with cloud is 174 somewhat higher than in the smoke (1.105 vs. 1.089) and beam's attenuation is much 175 more rapid, penetrating only 198 m into the cloud compared to 648 m into the smoke. In 176 the following case studies we show that the sudden reduction in attenuation depth and 177 increase in attenuated backscatter coefficient aloft are robust signatures of pyroCu 178 formation.

179 2.2 Radar Data

180 Data from four National Weather Service (NWS) radars are used to examine plume181 structure. These 10-cm radars are sensitive to large ash and hydrometeors in the
182 convective column but insensitive to cloud droplets and sub-micron smoke. Radars have
183 been used in numerous wildfires studies (Banta et al. 1992; Hufford et al. 1998; Fromm
184 et al. 2006; Rosenfeld et al. 2007; Jones and Christopher 2010a,b). Recently, dual185 polarization radars have been used to examine the microphysics of wildfire plumes and
186 clouds (Melnikov et al. 2008, 2009; Lang et al. 2014).

187 In this study we leverage three aspects of the NWS radars. First we examine the 188 radar echo tops to estimate the maximum smoke injection height. The echo tops are the 189 highest level at which the radar reflectivity exceeds 18 dbZ (Lakshmanan et al. 2013). 190 Second we combine radar reflectivity from multiple radars to generate volume renderings 191 of the pyroconvective plumes. These volumes are constructed by creating a gridded 192 interpolant from all the available contemporaneous radar data. Data from the Medford, 193 Reno, Beale, and Sacramento radars are combined for the Bald Fire, and from the Beale 194 and Sacramento radars for the Rocky Fire. The radar locations relative to the fires are 195 shown in Fig. 1.

196 Finally, we inspect the differential reflectivity (Z_{dr}) data from the Medford, OR 197 radar during the Bald Fire. Z_{dr} is the logarithmic ratio of the reflectivity from the 198 horizontally and vertically polarized radar beams (Markowski and Richardson 2011). 199 When Z_{dr} is large and positive it indicates the presence of large horizontal targets, 200 including needle-like ash particles (Melnikov 2008, 2009). When Z_{dr} is near zero the 201 targets are more spherical (e.g. hydrometeors), and when negative the targets are 202 vertically oriented (e.g., graupel).

203 2.3 Satellite Data

204 Visible satellite observations from GOES-15 are used to characterize the presence of
 205 pyroCu above each fire. These data have a spatial resolution of 1 km and a nominal
 206 temporal resolution of 15 minutes, depending on the scan schedule. Data from the
 207 Moderate Resolution Imaging Spectroradiometer (MODIS) Terra and Aqua satellites are
 208 also used. These data include both true color visible images and fire-radiative power

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209	(FRP). The nominal resolution is 500 m. FRP is derived by differencing fire pixels from	
210	adjacent non-fire pixels using infrared radiance (Wooster 2002) and has been shown to	
211	provide high fidelity representation of fire activity during California wildfires (Koltunov	
212	et al. 2012; Peterson et al. 2015). FRP data from GOES are also examined.	
213	2.4 Radiosonde Observation and Analysis	
214	Thermodynamic, profiles were collected adjacent to both fires using a GRAW TM	
215	GS-E radiosonde system. These sondes measure temperature, humidity, and wind from	Neil Lareau 2/11/16 11:09 AM Formatted: Font:Times New Roman
216	the surface to the tropopause, ascending at a rate of ~ 3 m s ⁻¹ . The balloons were launched	Neil Lareau 2/11/16 11:09 AM
217	after sunset to avoid interfering with daytime fire-suppression aircraft operations, and as	Neil Lareau 2/11/16 11:09 AM
218	a result the temperature profiles include surface-based stable layers that are not	Formatted: Font:Times New Roman
219	representative of daytime conditions. To address this shortcoming, the afternoon	craig clements 2/13/16 8:29 PM Deleted: ir
220	temperature from the truck weather station is used to infer the convective boundary layer	
221	(CBL) depth using the "parcel method" (Holtzworth 1964).	
222	The sonde data are used to examine the ambient condensation level by	
223	considering three lifted parcels (1) the most unstable (MU) parcel, (2) the mixed-layer	
224	(ML) parcel, and (3) the convective (CONV) parcel. The MU parcel is the parcel with the	
225	highest convective available potential energy (CAPE), whereas the ML parcel is based on	Formatted: Font:Times New Roman
226	the mean temperature and mixing ratio in the lowest 150 hPa. The CONV parcel reflects	
227	the surface temperature required for free convection based on the surface mixing ratio.	
228	The condensation level for each of these parcels is compared in the analyses below.	
229		
230	3 The Bald Fire	
231	The Bald Fire (40.9 N, 121.3 W) was started by lightning late on 31 July 2014. It was one	
232	of several lightning ignited fires in northern California and southern Oregon, including	
233	the adjacent Eiler Fire. The fire growth patterns on 1 and 2 August, determined from	Craig clements 2/13/16 8:30 PM Deleted: of
234	nightly U.S. Forest Service airborne infrared sensing (http://nirops.fs.fed.us/), are shown	
235	in Fig. 3. Based on these data, the fire consumed 7275 ha of mixed conifer forest during	
236	its first day, and by the end of the subsequent day had burned an additional 6821 ha. The	

239	weather conditions on both days featured afternoon high temperatures near 30° C,
240	relative humidity of ~15 % and west winds gusting up to 6 m s ⁻¹ .
241	During its rapid expansion on 2 Aug., the Bald fire developed a towering pyroCu
242	that subsequently matured into pyroCb. Visible satellite data show the pyroCu initiation
243	occurred at 1330 PDT, with continued cloud growth through mid-afternoon (Fig. 4a-d).
244	At 1426 PDT the MODIS-Aqua satellite recorded a detailed image of the growing
245	pyroCu, showing crisp cumuliform cloud features above the fire perimeter with more
246	diffuse cloud elements extending to the northeast (Fig. 4e). The spreading cloud features
247	were detrained from the primary updraft and then advected in southwesterly flow aloft.
248	The total FRP from the Bald Fire at the time of the MODIS-Aqua image was
249	19700 MW summed over 30 fire pixels. The pixel maximum was 2258 MW, though the
250	pyroCu obscures a substantial portion of the fire. For comparison, the earlier MODIS-
251	Terra overpass at 1245 PDT yielded a maximum FRP of just 829 MW and a total FRP of
252	3836 MW summed over 13 fire pixels. Clearly the fire experienced a rapid change in size
253	and intensity during the early afternoon, coincident with the development of the pyroCu.
254	
255	3.1 Lidar Observations
235	
256	The truck-mounted Doppler lidar was situated ~7 km southwest of the fire where
257	it conducted <u>RHI</u> scans of the windward edge of the developing pyro <u>Cu from 1350 to</u>
258	1532 PDT on 2 August. During this time, 95 RHI scans were completed, providing a time
259	and space resolved measure of the plume evolution, including the height of the
260	condensation level. The lidar location relative to the fire perimeter is indicated in Figs. 3
261	and 4e. Figure 4f provides photograph from the lidar vantage point showing the
262	windward edge of the cloud capped, smoke column,
263	Figure 5 shows a sequence of lidar scans spanning the 5-minute period prior to the
264	MODIS-Aqua overpass. These data are expressed as the logarithmic attenuated
264 265	MODIS <u>-Aqua</u> overpass. These data are expressed as the logarithmic attenuated backscatter coefficient (hereafter backscatter) in units of $m^{-1} sr^{-1}$. Red and blue dots
264 265 266	MODIS- <u>Aqua</u> overpass. These data are expressed as the logarithmic attenuated backscatter coefficient (hereafter backscatter) in units of m ⁻¹ sr ⁻¹ . Red and blue dots represent the leading plume edge and attenuation point, respectively, along each

268 plume (below 5500 m) and due to cloud droplets in the pyroCu aloft. The laser beam

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Deleted: The Bald Fire was started by lightning 31 July 2014 and subsequently developed a deep convective plume capped with pyroCu and then pyroCb on the afternoon of 2 August. Shortly after the pyroCu initiation (~1400 PDT) the afternoon MODIS overpass recorded a detailed image of the growing pyroCu, along with instantaneous observations of fire radiative power (FRP, Fig. 1a). The maximum FRP within the Bald Fire perimeter was 2645 MW, though the pyroCu, which interrupts the FRP computation, obscured much of the actively burning region.

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attenuates in both the smoke and the cloud water, but the cloud edge is marked by a
distinct increase in the backscatter and more rapid attenuation. For example the distance
between the leading plume edge (red dots) and the attenuation point (blue dots) tends to
be much larger in the lower portion of the plume, whereas above 5500 m the attenuation
occurs over just a few range gates. These aspects of the data give the pyroCu cloud
returns a "crisper" edge.

While pyroCu were already present at the beginning of the scan sequence, the
data show the development of a new cloud element. Figures 5a, b for example, show only
a few points of rapid attenuation and high backscatter aloft, whereas starting at Fig. 5c a
new, upright cloud edge is detected. This nascent pyroCu element then rapidly expands
during the subsequent RHI scans, reaching a height of at least 8500 m before moving out
of the lidar field of view (Fig. 5d-f). As we show in the radar analysis below, the actual
plume top was as high as 12 km in the 10 minutes following these scans.

313 The scans, which were roughly parallel to the mean wind direction, also reveal 314 that the plume experienced significant variations in tilt with time, alternating between 315 windward (Fig. 5a) and rearward sloping geometries (Fig. 5f). In fact, the windward 316 protrusion of the plume was as much as 2 km away from its base. Large coherent vortices 317 are also apparent along the plume edge, especially in Fig. 5a,b as the "stair step" pattern 318 in the plume edge detections. Based on the radial velocity data (not shown) the inward 319 clefts in the plume edge correspond to enhanced flow into the plume and outward lobes 320 reflecting flow towards the lidar. Vortices of this sort are a well-known feature of rising 321 thermals and plumes and play a leading role in entrainment (Scorer 1957; Woodward 322 1959).

323 Following the initial plume rise, sustained pyroCu were observed with the lidar 324 until 1532 PDT, at which point the truck was relocated for safety reasons. To determine 325 the plume condensation level, we aggregate data from all of the lidar scans during this 326 period. From this larger data sample, Fig. 6a presents the time-maximum backscatter as a 327 function of height and distance, and in Fig. 6b as a function of height only. In addition, Fig. 6c shows the computed percentiles (5, 50, and 95th percentiles) of the attenuation 328 329 depth binned into 100 m intervals. Collectively, these data reinforce many of the aspects 330 of the initial plume rise sequence discussed above. For example, there is a persistent

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Deleted: The backscatter is sensitive to micron-sized aerosol including smoke particles and liquid water doplets, and while the lidar beam ultimately attenuates in both, its attenuation is more rapid into cloud droplets. In addition, the signal-to-noise ratio (SNR) for cloud returns tends to be very high (>1,2). whereas it is somewhat lower in smoke and other aerosol. These aspects of the data make direct detection of the condensation level within the plume possible. Banta et al. (1992), for example, determined pyrocumulus boundaries using a subjective identification from lidar backscatter patterns, and more broadly, terrestrial lidars are regularly used to determine cloud base heights (e.g., Hogan et al. 2003)

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Deleted: In the present case, condensation is first apparent within the developing convective column at ~5500 m MSL (all heights are MSL, above mean sea level, and hereafter just listed in meters), starting between 1419 and 1420 PDT (Fig. 2a,b). The cloud edge is marked by a distinct increase in the backscatter and more rapid attenuation giving the data a "crisper" edge that defines the pyroCu boundary. The nascent pyroCu then rapidly expands during the subsequent scans, obtaining a height of at least 8500 m by 14:24 PDT (Fig. 2e). The lidar range (9.6 km) limited the plume top detection, and as we show below the actual plume top was as high as 12 km.

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Deleted: For example, the plume went through many variations in "uprightedness", manifest as an envelope of plume edge detections (Fig. 3a). It is also readily apparent from these data that

transition in backscatter near 5500 m (<u>blue line in Fig. 6a,b</u>). Below this level, the
backscatter approximately linearly decreases with height, consistent with the entrainment
of clear air into an aerosol-laden plume. In contrast, at 5500 m the backscatter sharply
increases (as does the SNR, not shown), corresponding to the condensation level and
development of the pyroCu. The backscatter intensity remains high there and above due
to the continued presence of liquid water.

378 The attenuation depth also shows a sharp transition at 5500 m (Fig. 6c). Below that 379 level the median attenuation depth increases with height, which is again consistent with 380 the dilution of the smoke plume via entrainment. At 5500 m the attenuation depth (across 381 all percentiles) sharply decreases, converging towards a median value of ~200 m. The 382 rapid attenuation aloft is consistent with the presence of liquid water drops and supports 383 our interpretation that the change in backscatter intensity is due to condensation in the 384 plume. From these data, we therefore conclude that the observed condensation level 385 occurs very near 5500 m and was nearly constant throughout the 1.5 h observation period 386 despite many changes in fire intensity.

387 3.2 Radar Analysis

388 Since the pyroCu cloud tops exceeded the lidar range the maximum smoke injection 389 depth is obtained from the radar echo tops product from the NWS radar in Medford, OR 390 (KMAX), which is ~200 km to the northwest. Figure 7a shows the time-maximum of the 391 echo tops above the Bald Fire on 2 August. These data indicate that smoke reached 392 altitudes in excess of 12 km, and thus the convective column rose an additional 3.5 km 393 above the maximum height resolved in the lidar scans. The radar returns also show that 394 the highest echo tops occur in a localized region above the fire perimeter where pyroCu 395 were most prevalent. In contrast, the smoke layers without pyroCu correspond to plume 396 heights closer to 6 km.

An additional interesting aspect of the radar data is the presence of deep <u>echo tops</u> southwest (e.g., upwind) of the infrared fire perimeter (solid contours, Fig. 7a). This observation is consistent with the periodic forward tilt of the plume as observed in the lidar backscatter (Figs. 5, 6). We hypothesize that the forward tilt relates to large-scale, vortices that form as the plume penetrates through a stable layer at the top of the,

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Deleted: Notably, the condensation level was nearly constant throughout the 1.5 hr observation period despite many changes in fire intensity. Neil Lareau 2/8/16 12:07 PM **Deleted: Plume Tops** Neil Lareau 2/10/16 4:41 PM Deleted: the nearby Deleted: ational Weather Service WSR-88D Neil Lareau 2/4/16 11:27 AM Deleted: This 12-cm radar is sensitive to soot and ash, and thus provides high temporal resolution plume observations (c.f., Rosenfeld et al. 2007). Echo tops are derived from the radar's volume scan pattern as the highest level with reflectivity in excess of 18 dBz, which is nominally the clear air threshold. Neil Lareau 2/11/16 9:41 AM Deleted: that Neil Lareau 2/16/16 10:40 AM Deleted: radar Deleted: exceed Neil Lareau 2/4/16 11:29 AM Deleted: pyroCu Neil Lareau 2/4/16 11:29 AM Deleted: plume heights Neil Lareau 2/10/16 4:43 PM Deleted: pyrocumuli Neil Lareau 2/9/16 3:41 PM Deleted: pyrocumuli Neil Lareau 2/10/16 4:43 PM Deleted: plume Neil Lareau 2/9/16 3:42 PM Deleted: ring Neil Lareau 2/9/16 3:50 PM Deleted: CBL

428 boundary layer (Saunders 1961), and due to the deflection of the ambient flow around the plume. 429 430 Figure 7b shows the corresponding time series of the maximum radar echo tops. The pyroCu initiation at 1330 PDT, as shown in Fig. 4b, corresponds to a rapid rise in 431 432 echo tops from 6500 m to 10000 m. Following the initial plume growth, the plume tops 433 slowly rise until 1420 PDT at which point a second period of rapid plume growth occurs, 434 pushing the convective column to heights above 12 km. The onset of this deep plume 435 penetration closely corresponds to the lidar plume rise sequence shown in Fig. 6, as well as the MODIS-aqua image. The plume heights subsequently subside, remaining near 10 436 437 km for the balance of the afternoon before diminishing more substantively at night. 438 A 3-D volume rendering of radar reflectivity from the Bald Fire at the time of 439 maximum injection height (1429 PDT) reveals additional aspects of the plume structure 440 (Fig 7c). The isosurfaces for 30, 28, 26, 24, and 18 dbZ are shown, along with the fire 441 perimeters (red shading), lidar scan plane (black dots), lidar plume edge detections 442 (yellow dots), and the lidar derived condensation level (green contour). These volume 443 data show an expansive region of high reflectivity immediately above the fire perimeter. 444 The reflectivity and plume height diminish towards the northeast, consistent with the fall out of the larger soot and ash particles in the downwind direction (e.g., southwest flow 445 aloft). We note that since the radar is not sensitive to cloud droplets or micron sized 446 447 smoke, it is possible that the cloud edges and some smoke reside outside of the radar volume rendering. It is also clear from these data that the lidar sees only the leading edge 448 449 of the plume before attenuating in dense smoke and cloud water, consistent with the 450 analyses presented above. 451 The shape of particles within the plume can be inferred by considering the 452 differential reflectivity (Z_{dr}) from the Medford, OR radar at different heights (Fig. 8). 453 Three elevation angles are inspected (0.5, 1.5, and 2.4 deg.), intersecting the updraft core 454 at heights of 4115, 7742, and 11009 m, respectively. The lowest scan shows very high 455 Z_{dr}, indicative of large, horizontally oriented particles, which is consistent with ash 456 (Melnikov 2008, 2009; Lange et al. 2014) (Fig. 8a). In contrast, the mid elevation scan 457 intersects the plume above the condensation level and shows a significant reduction in

12

 $Z_{dr_{a}}$ with values between 0 and 2.5 in the updraft core (Fig. 8b). These values correspond

- 459 to more spherical particles and small ice, suggesting the presence of large hydrometeors.
- 460 Finally the upper-most portion of the plume, at ~11 km, exhibits negative Z_{dr} , posing the
- 461 possibility of vertically oriented graupel particles (Fig. 8c).

462 3.3 Thermodynamic Analysis

The lidar observed condensation level and radar estimated plume tops provide valuable constraints on the plume structure when contextualized with atmospheric profiles collected adjacent to the fire. Figure 9a, for example, shows data from a radiosonde launched at 2100 PDT from \sim 15 km to the southwest of the fire (location shown in Fig. 3).

The sounding shows that the afternoon CBL extends from the surface (1364 m) to ~4000 m and is capped by a pronounced stable layer. Within the CBL, the water vapor mixing ratio is roughly constant at ~5 g kg⁻¹, whereas above the CBL a layer of very dry air is observed with a mixing ratio of only ~0.5 g kg⁻¹. Further aloft, near 400 hPa, a layer of higher humidity air, reflecting monsoonal moisture, is found. The height of the tropopause is ~13 km.

474Relative to the observed profile, the "in cloud" profile is estimated by pseudo-475adiabatically lifting a parcel from the lidar observed condensation level at 5500 m. The476resulting parcel possesses 910 J kg⁻¹ of CAPE, which is an upper bound on the energy477available for buoyant ascent. The equilibrium level (EL) of the pyroCu parcel is 11,742478m, which is in close agreement with the radar estimated echo tops, but does not account479for the inertial overshoot of the parcel, which is likely reflected in the localized region of480radar plume heights exceeding 12 km (Fig. 7a).

Also of note, the homogeneous freezing level (-38° C) in the plume profile occurs at 10,158 m and the temperature at the EL is -52° C, indicating that the upper portion of the cloud must be glaciated. As such, this particular <u>pyroconvective</u> cloud should be classified as a pyroCb. In fact, pyroCb from other nearby fires on that day were known to produce lightning as well as a significant and destructive fire-whirl (Muller and Herbster 2014)

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504 3.3.1 Lifted Parcels

505 One of the main goals of this paper is to compare the observed plume properties with 506 conventional estimates of condensational level and convective potential. To that end, in 507 this subsection we consider each of the three lifted parcels described in Section 2.4 as 508 representations of the observed plume. The parcel ascents are shown in Fig. 9b. 509 In this case, the MU parcel (red line, Fig 9b) originates in the CBL and produces and 510 LCL of 4367 m, which is more than 1 km lower than the lidar observed condensation 511 level. In addition, compared to the observed plume structure, the MU parcel possesses 512 minimal CAPE and must overcome appreciable convective inhibition (CIN) before 513 reaching its level of free convection, Similarly, the ML parcel encounters its LCL at 4641 514 m, possesses almost no CAPE, and also must overcome appreciable CIN (cyan line, Fig. 515 <u>9b</u>). The LCL for the ML parcel is higher than that of the MU parcel because the layer 516 averaged mixing ratio is less than the maximum mixing ratio in the CBL. 517 Interestingly, the CONV parcel provides the best representation of the observed plume (dark blue line, Fig. 9b). In this case the surface mixing ratio is 5.2 g kg⁻¹ and the 518 corresponding convective condensation level (CCL) is found at 5549 m, which is very 519 520 close to the lidar derived 5500 m. Commensurately, the EL and CAPE for the CONV 521 parcel are also close to the observed values. The convective temperature, which is the 522 surface temperature that must be reached to support convection, is 36.4° C. The high temperature for the day was 29° C, making surface based convection extremely unlikely 523 524 outside of the fire modified environment. 525 From these analyses it is clear that the plume condensation level is substantively 526 higher than the ambient LCL, supporting the results of Luderer et al. (2006; 2009). 527 Further, using the CCL, not the LCL, and assuming that the fire readily exceeds the 528 convective temperature, provides the best representation of the plume condensation level 529 in this case. This is a potentially useful diagnostic for forecasters and fire managers. It 530 should be noted, however, that the CONV parcel, and its associated dry-adiabat up to the CCL (dark blue line, Fig. 9b), does necessarily reflect the actual properties of the lower 531

plume. Rather, the plume must be superadiabatic near its base, cooling largely due toentrainment as it decays towards adiabatic ascent further aloft (Emanuel 1994;

534 Trentmann et al. 2006; Frietas et al. 2007).

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557 4 The Rocky Fire

558	The Rock	y Fire	(38.9°	° N,	122.5°	W)	started la	te on	29 Jul	y 2015	(cause unknown)) in the
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559 coastal range of northern California and burned in complex terrain through fuels

consisting of grass, brush, and conifers (Figs. 1, 10). The U.S. Forest Service NIROPS
fire perimeters show that the fire burned 3356 ha during the first day, and then consumed

562 another 3153 ha on 30 July, the day of our observations. The fire growth on the 30th was

563 complex, expanding along multiple flanks (Fig. 10). Notably the first day's fire growth,
564 while rapid, did not generate pyroCu, whereas the second day did (Fig. 11). In addition,

while rapid, did not generate pyroCu, whereas the second day did (Fig. 11). In addition,
compared to the long-lived Bald Fire pyroCu/Cb, the Rocky Fire plumes were transient,
repeatedly forming and dissipating in rapid succession. In this section we examine the

567 structure of these transient pyroCu along with the environmental conditions affecting
568 their evolution.

569 4.1 Lidar Observations

570 Lidar RHI scans where conducted between 1545 and 2008 PDT from an already
571 burned area within the Rocky Fire perimeter (Fig. 10). This location allowed for scans of
572 four separate pyroCu plumes rising from the complex fire perimeter. A total of 267 RHI
573 scans were performed.

574 PyroCu were first observed with the lidar starting at ~1600 PDT rising from the 575 northwest flank of the fire (319° azimuth, scan path #1 in Fig. 10). Figure 12 shows a sequence of photographs (top panels) and contemporaneous lidar scans (bottom panels) 576 577 detailing the onset and expansion of this cloud topped plume. The plume was initially observed as it penetrated through a stable layer at the top of the CBL, evident as a lateral 578 579 smoke layer at 2600 m in the backscatter data and as a diffuse haze in the photographs. 580 During this time a thin pileus cloud accompanied the developing pyroCu and the lidar 581 cloud returns were limited to a few points near the plume top (Fig. 12f). 582 By 16:03 PDT, however, a distinct cumuliform cloud had developed (Fig. 12b)

and the lidar backscatter showed a commensurate increase in intensity and attenuation along the pyroCu edge (Fig. 12g). Based on these data the cloud base was at ~4200 m.

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- 598The subsequent scans show the rapid pyroCu development, and by 1609 PDT cloud599edges were detected as high as 7500 m. Interestingly, soon thereafter the pyroCu
- 600 detrained from the convective column and dissipated (not shown).

601	Another pyroCu event at 1800 PDT is detailed in Fig. 13 corresponding to a lidar	
602	azimuth of 86° (scan path #3 in Fig. 11). This plume initiated ~2.5 km east of the lidar	el Lare eletec
603	location. As in the previous case, the rapidly growing plume was first recorded as it rose	
604	through the boundary layer top, now at \sim 2300 m, and expanded into the free troposphere	
605	(Fig. <u>13</u> <i>a</i> , <i>e</i>). Subsequent scans revealed the onset of pyroCu with a condensation level of	
606	4200 m, which is unchanged from the earlier Rocky Fire pyroCu event detailed in Fig.	
607	<u>12</u> . In this case, however, the cloud top was not as well documented because attenuation	
608	at the cloud base shielded the lidar view of the upper plume.	
609	The photographs detailing the plume rise show changes in smoke colour near the	
610	base of the convective column (Fig. 13a-d). For example, at 1805 PDT the smoke is a	
611	dark gray (Fig. 13a), whereas later the smoke is increasingly white (Fig. 13d). We believe	
612	the change in smoke coloration is associated with changes in the completeness of	
613	combustion: flaming combustion produces smoke dominated by black carbon aerosols,	
614	whereas smoldering combustion generates more organic carbon aerosol, which more	
615	effectively backscatter sunlight and appear whiter (Bellouin 2014; Saleh et al. 2014).	
616	An additional aspect of the observed plume rise is the relationship between the	eil I ar
617	updraft strength and the ambient wind. This relationship is examined in Fig. 14, which	eletec
618	displays VAD wind profiles (Fig. 14 a,b) and RHI radial velocities detailing the plume	
619	structure (Fig. 14c-e), The wind profiles show significant shear over the lowest 2 km of	
620	the atmosphere. Strong (5-7 m s ⁻¹) northwesterly winds near the surface transition, to	
621	weak flow at the boundary layer top (0-1 m s ⁻¹ <u>near 2300 m</u>), then reverse to easterly flow	
622	aloft (Fig. <u>14a,b</u>). The observed near-surface wind speed maximum is atypical in the	
623	atmospheric boundary layer, and "adverse" wind profiles of this character have previously	
624	been linked to blow-up fires (Byram 1954).	
625	Compared to the ambient wind, the flow within the plume is characterized by	
626	much stronger velocities (Fig. 14c,d). For example, outbound speeds in excess of 15 m s ⁻¹	
627	are recorded at numerous locations within the plume at 1809 PDT. The actual updraft	
628	speed likely exceeds these values since the radial velocity data only reflect the projection	

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- 671 of the updraft onto the oblique lidar beam. Significant downdrafts are also observed in
 672 the upper portion of the plume, especially at 1813 PDT (blue shading, Fig. 14c,d).
- 673 <u>The RHI velocity data also show that the strength of the updraft diminished with</u>
 674 time. For example, comparative histograms demonstrate that strong outbound velocities
 675 were both higher and more common at 1809 than 1813 PDT (Fig. 14e). This observation
 676 is consistent with the change in smoke coloration described above: flaming combustion is
 677 likely to produce stronger updrafts due to more rapid heat release.

678 Changes in plume geometry also accompany the reduction in updraft strength.
679 The plume is at first mostly upright (Fig. 14c) and later becomes more sheared (Fig. 14d).
680 Specifically, the leading plume edge becomes tilted downwind within the boundary layer
681 while the upper portion of the plume bends back towards the observing location. Based
682 on these data, we hypothesize that as the fire's updraft weakens it becomes more
683 susceptible to the ambient shear. The role of wind shear as a limiting factor in plume
684 development is further discussed below.

685 A more robust examination of the plume condensation level during the Rocky 686 Fire's multiple pyroCu events is presented in Fig. 15. These analyses leverage the 687 aggregated data from all of the RHI scans on 30 July. Unsurprisingly, the time-maximum 688 backscatter exhibit a sharp transition near 4200 m (Fig. 15a, b), as was indicated in the 689 earlier plume rise sequences (Figs. 12, 13). Below 4200 m the backscatter decays roughly 690 linearly with height, and above that level the backscatter converges to a value of near -4 691 m⁻¹ sr⁻¹ (Fig. 15b). Likewise, the attenuation depth linearly *increases* from the surface up 692 to 4200 m, then abruptly decreases to a median depth of ~ 200 m. This pattern is 693 consistent with the dilution of the smoke plume by entrainment and the onset of 694 condensation aloft. Importantly, these analyses are remarkably similar to those during the 695 Bald Fire suggesting a clear lidar signature of pyroCu onset. Moreover, the condensation 696 level is once again found to be constant throughout the observing period, indicating that 697 ambient atmospheric conditions rather than variations in water released during 698 combustion likely control its height.

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Deleted: Compared to the ambient winds, the radial velocities measured within the plume are large, with peak values in excess of 12 m s ¹ (Fig. 9). In fact, assuming that the flow within the plume is parallel to the plume centerline, which is semi-objectively determined for each scan, the maximum updraft strength is estimated to be in excess of ~18 m s⁻¹ at 1808 PDT. Thereafter, the updraft strength diminishes and the plume becomes increasingly laid over. For example, the plume is initially inclined at ~60 degrees from the horizontal (Fig 9a), but just 5 minutes later is inclined at only 45 degrees (Fig. 9f). In fact, a short time later the plume ceased to penetrate through the boundary layer top and the pyroCu subsequently dissipated (not shown). We hypothesize that the weakening updraft makes the plume more susceptible to the environmental wind shear, leading to increasing plume tilt with time. Also of note, the reversed flow aloft tends to sweep a portion of the plume back towards the lidar, which separates the upper plume from the convective column rising through the boundary layer. Neil Lareau 2/8/16 1:06 PM

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726 4.2 Radar Analysis

Radar data are again used to estimate the maximum smoke injection depth. The Rocky
Fire was within ~100 km of both the Sacramento (KDAX) and Beale Air Force Base
(KBBX) NWS radars (Fig. 1), and data from both sites are in agreement.

The maximum <u>echo tops (from KDAX)</u> occur between 7000 m and 7500 m, consistent with the lidar cloud detections (Fig. 16a), The spatial pattern of echo tops indicate that plumes of similar height developed on all of the expanding flanks of the fire, Interestingly, the corresponding time series demonstrates the plume transience, showing rapid variations in plume height throughout the late afternoon (Fig. 16b). Each spike corresponds to a short-lived pyroCu with durations ranging from 10-30 minutes. Satellite data confirm the episodic nature of these plumes (not shown).

The variability in echo tops is also due to the presence of multiple updrafts. For example, a volume rendering of the reflectivity data at 1609 PDT shows the two distinct updrafts associated with the complex fire perimeter (Fig. 16c). The narrow updraft rising from the northwestern flank of the fire is the same plume shown in Fig. 12, and the lidar plume detections agree well with the radar data (yellow dots, Fig 16c). A second broader plume rises from the north and northeastern flanks of the fire at the same time. Above 5000 m the upper portions of both plumes are tilted to the north-northwest due to southeasterly flow in that layer. Later in the fire's evolution the plume growth shifted towards the east and southeast (not shown).

746 4.3 Thermodynamic Analysis

747 The Rocky Fire pyroCu development is interesting in that the thermodynamic 748 environment theoretically supports much deeper convection than was observed. Using 749 radiosonde data from ~15 km southwest of the fire at 2105 PDT, Fig. 17a shows that 750 moist adiabatic ascent from the observed 4200 m cloud base would generate 2035 J kg⁻¹ of CAPE and that the plume equilibrium level would be ~13 km, impinging on the 751 752 tropopause. The radar and lidar data indicate, however, that the plumes ascended to no 753 higher than \sim 7.5 km, corresponding to plume top temperature of -20° C. As such, these 754 clouds are best classified as pyroCu, and never developed as deep pyroCb.

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	Deleted: , and the data from both sites are in good agreement. As such, here we show only the results from KDAX echo tops product (Fig. 10).

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789	What then limits the growth? There appear to be two related limiting factors in the	
790	plume rise: (1) wind shear, and (2) dry air entrainment. The lidar wind profiles, presented	
791	above in Fig, <u>14</u> , indicate significant wind shear between the CBL and free troposphere.	De
792	This wind shear is also apparent in the radiosonde wind profile, which shows a 180-	
793	degree wind shift at 2300 m (wind barbs and hodograph Fig 17a). The flow below this	
794	level is from the west-northwest, whereas the flow above, and extending up to \sim 7 km, is	
795	from the east-southeast. The layer of southeasterly flow is associated with a surge of	
796	monsoonal moisture.	
797	A second layer of significant wind shear at 7000 m separates the monsoon flow	
798	from southwesterly flow in the upper troposphere. This shear also coincides with a rapid	
799	decrease in dew point temperature, and thus relative humidity. It is notable then that the	
800	maximum echo tops occur only about 500 m above the upper shear layer, Visual	
801	pbservations throughout the afternoon and early evening suggest this shear zone affected	Ne De
802	the pyroCu development, tending to sweep the upper portion of the cloud away from the	
803	updraft core. The detraining upper portions of the cloud subsequently developed ragged	
804	and wispy edges indicative of dry air entrainment as opposed to the crisp crenellations of	
805	growing cumulus congestus.	
806	The effect of the wind shear on a buoyant parcel is easily visualized by examining	
807	the ascent track of the radiosonde, which rose at a mean rate of 2.7 m s ⁻¹ (Fig. 17b). The	Ne De
808	ambient shear causes a pronounced zigzag pattern that is clearly, detrimental to sustained /	cor cre
809	upright <u>convection</u> despite the substantive CAPE. This result is not surprising in that /	
810	CAPE is known to overestimate convective development and updraft strength	
811	(Markowski and Richardson 2011).	
812		Ne Fo
813	4.3.1 Lifted Parcels	
814	Despite their limited vertical development, the Rocky Fire, pyroCu provide	No
815	additional support for the hypothesis that the plume condensation level, occurs above the	De
816	ambient LCL. Following the same procedures described for the Bald Fire we examine	
817	three convective parcels, the ascents of which are shown in Fig. 18, The LCLs for the /	
818	MU and ML parcels are 3503 m and 3768 m, respectively (red and cyan lines, Fig. 18).	

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856	Both of these lifted parcels must overcome modest CIN to reach their level of free		
857	convection. In contrast, the computed CCL of 4250 m is much closer to the lidar		
858	observed condensation level at ~4200 m. The corresponding convective temperature is		
859	~43° C, which is higher than the observed daytime temperature of 39° C. These results,		
860	like those from the Bald Fire, again suggest that the CCL is a useful parameter for		
861	estimating pyroCu/Cb convective initiation heights.		
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862	4.4 Fire Radiative Power and Environmental Moisture		(et init i i i i i i i i i i i i i i i i i
863	Figure 19a shows the GOES-15 and MODIS FRP in for the Rocky Fire on 29-30		
864	July 2015. From these data it is clear that the diurnal cycle of fire intensity is similar	\square	Neil Lareau 2/10/16 5:35 PM
865	during the first two days of fire growth, with peak FRP values near 1500 MW in the late		
866	afternoon and fire activity extending into the late evening. Interestingly, despite		
867	comparable fire intensity, pyroCu were not observed on the 29th but were widespread on /	//	
868	the 30 th .		
869	To better understand this disparity, Fig. 19b-e compares the ambient		Neil Lareau 2/11/16 12:22 PM Formatted: Superscript
870	meteorological conditions between days. These data are from a weather station just		Neil Lareau 2/11/16 12:24 PM
871	outside the fire perimeter, the location of which is shown in Fig. 10 (data obtained from		Deleted: ,Fig. 12
872	MesoWest, Horel et al. 2002), and the 1700 PDT upper air soundings at Oakland		
873	International Airport (KOAK, location shown in Fig. 1). The high temperature on both		
874	days was $\sim 39^{\circ}$ C and afternoon winds were from the west with peak gusts near 6 m s ⁻¹ .		
875	The relative humidity was higher on the 30_{\star}^{th} than the 29_{\star}^{th} .		
876	Figures 19d,e show, the time series of the water vapor mixing ratio and the		Formatted[10]
877	differences in the relative humidity from the KOAK soundings for two afternoons, From	\geq	Neil Lareau 2/11/16 12:48 PM
878	these data it is apparent that the onset of pyroCu on 30 July corresponds to the arrival of		
879	much higher humidity air, both at the surface and aloft. For example, the mixing ratio		
880	increases from 4.5 g kg ⁻¹ to 8 g kg ⁻¹ while the relative humidity at 5500 m jumps from		
881	7% to 66%. The corresponding change in the CCL is substantial, dropping from 5848 m	/	
882	$on 29^{\text{th}}$ to 4267 m on the 30_{\pm}^{th} . Since the fire intensity was similar on both afternoons it is /		Noil Laroou 2/11/16 12:50 DM
883	likely that reduction in the height of CCL due to the influx of monsoon moisture was the	\searrow	Deleted: remainedas similar on b [12]
884	driving factor in pyroCu formation. These observations support the conclusions of		Neil Lareau 2/11/16 12:49 PM Formatted: Superscript

Underer et al. (2006; 2009) that environmental moisture, not water released incombustion, is the primary control on pyroCu development.

934

935 5 Summary and Conclusions

936 The observations presented in this paper demonstrate that plume condensation levels 937 can exceed the height of the ambient LCL, sometimes substantially. For example, during 938 the Bald Fire the plume condensation level was more than 1 km higher than the 939 environmental LCL. As such, we conclude that the LCL should not be used, as it has 940 been, as a parameter for assessing pyroCu/Cb potential outside of the limiting case where 941 the CCL and LCL coincide, which is to say that widespread convective clouds are 942 possible. While our observational results span a limited portion of the parameter space, 943 they nonetheless provide strong support for the modeling results of Luderer et al. (2006; 944 2009) and Trentman et al. (2006), and seemingly contradict the results of Potter (2005).

945 While the CCL and the corresponding moist adiabatic ascent provide a useful 946 approximation for plume properties, other factors must also be considered. Specifically, 947 CAPE alone cannot determine the convective outcome. Our results from the Rocky Fire 948 show, for example, that ambient wind shear and dry air entrainment can significantly 949 curtail the convective development even in an environment that might otherwise support 950 deep pyroCb. In addition, our results show that the change in environmental humidity, 951 often in the form of a monsoonal surge, exerts a significant influence over the onset of 952 pyroCu/Cb by raising or lowering the height of CCL. These results suggest that the 953 moisture release during combustion is of secondary importance, at least in these observed 954 cases.

955 While our results mark an advance in understanding pyroCu/Cb development 956 there is a clear need for new measurement and modeling investigations of pyroconvective 957 clouds. Future field campaigns should include observations of the ambient environment 958 (e.g. radiosondes, CBL properties), the lower plume structure (temperature, moisture, and 959 momentum fluxes), and cloud properties (e.g. liquid and ice water path, particle size 960 distributions, etc.). These data should subsequently inform physical fluid dynamical 961 models in order to investigate aspects of plume dynamics that may not be observable, Some potential avenues for obtaining these observations include dropsondes from 962

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- 974 aircraft, surface and aircraft based <u>dual-polarization</u> radars, unmanned aerial vehicles,
- 975 and dual-<u>Doppler</u> lidar deployed during large-scale prescribed burn experiments where
- 976 the fuel loading and extent of combustion is known or can be determined after the fact.977

978 Author Contributions

- 979 C.C. conceived of the field program, N.L. and C.C. conducted the field measurements,
- 980 and N.L. led the data analysis and writing.
- 981

982 Acknowledgements

983 The lidar and radiosonde data are available upon request from the authors. All

- other data sources are publically available. This research is supported under grant AGS 1151930 from the National Science Foundation. Christopher C. Camacho contributed to
- 986 the field observations during the Rocky Fire.

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1162Fig. 2. Examples of the plume detection and attenuation algorithm based on the filtered1163(solid black line) and unfiltered (gray line) lidar signal-to-noise ratio (SNR+1). (a) Low1164elevation angle (10.2 deg) lidar beam intersecting the base of the Bald Fire convective1165column. (b) High elevation angle (46.7 deg) beam intersecting the pyroCu in the upper1166plume. The red stars indicate the leading plume edge and the blue stars the attenuation1167point. The SNR+1 threshold of 1.01 is indicated with a dashed yellow line.



1170 Fig. 3. Bald and Eiler Fire progression map for 1 and 2 August. The fire perimeters are 1171

from the US National Forest Service National Infrared Operations (NIROPS) flights. The background is a satellite image draped over the terrain, which is highlighted with hill

1172 1173 shading. Also shown are the truck location (yellow dot), lidar scan path (yellow line), and

1174 radiosonde location (yellow star).





Fig. 4. Overview of the pyrocumulus initiation and growth on 2 August 2014. (a-d) GOES-15 visible imagery showing the pyroCu initiation. (e) MODIS-Aqua visible image at 1426 PDT along with fire-radiative power (FRP, colored circles). (f) Photograph of the lidar vantage point and the windward edge of convective column and pyroCu at 1401 PDT. The truck location is indicated in as a green dot in panel (e). The fire perimeters are as in Fig. 3.



Fig. 5. Plume rise sequence recorded by successive lidar RHI scans from 1419 to 1424 PDT. The displayed data are the logarithmic attenuated backscatter coefficient $(m^{-1} sr^{-1})$. The plume edge and attenuation points are shown in red and blue dots, respectively. Data beyond the attenuation point is shadowed (blank points). The dashed blue line indicates the condensation level.



Fig. 6. Statistical analysis of lidar data between 1350 and 1502 PDT showing the plume condensation level. (1) Maximum backscatter as a function of height and distance. (b) Maximum backscatter as a function of height only. (C) 5, 50 and 95th percentiles of the attenuation depth as a function of height. The dashed blue line indicates the inferred condensation level.



Fig. 7. Radar analysis of the Bald Fire convective column. (a) Maximum echo top heights (color shading) during the Bald Fire along with the NIROPS fire perimeters (gray and black contours). (b) Time series of the maximum echo tops heights. Gray shading shows the period of lidar observations. (c) Volume rendering of the Bald Fire plume at 1429 PDT. Reflectivity isosurfaces are displayed at 30, 28, 26, 24, 22 and 18 dbZ. The lidar scan path and plume detections are shown in black and yellow dots, respectively. Fire perimeters are shown in red shading. The lidar derived condensation level is indicated by the green contour.



Comment [3]: Updated this figure with two new panels showing the time series and 3D rendering



- Fig. 8. Differential reflectivity (Z_{dr}) analysis of the Bald Fire plume at 1429 PDT. (a-c) Z_{dr} at the 0.5, 1.5, and 2.4 deg. elevation sweeps. The black contour shows the fire
- perimeter on 2 August.

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Fig. 9. Thermodynamic analysis of the ambient environment and plume parcels. (a) Observed sounding from <u>2 August 2014</u>, 2100 PDT showing the adjusted boundary layer profile (dashed red line), the lidar derived condensation level (gray circle), the moist-adiabatic ascent from the condensation level, equilibrium level, and the radar derived echo tops. (b) Analysis of lifted parcels, showing the most unstable (MU), mixed-layer (ML), and convective (CONV) parcel trajectories. The condensation and equilibrium levels for each parcel are shown, and their CAPE is shaded.



Fig. 10. Rocky Fire progression map for 30 July 2015. The fire perimeters are from the US National Forest Service National Infrared Operations (NIROPS) flights. The background is a satellite image draped over the terrain, which is indicated with hill shading. Also shown are the truck location (yellow dot), lidar scan paths (colored lines), radiosonde location (yellow star), and weather station location (white star).



Fig. 11. Visible satellite (GOES-15) images showing the difference in the Rocky Fire plume between 1645 PDT on 29 and 30 July. The data show a pyroCu tower on 30 July that is absent on 29 July.



Fig 12. Pyrocumulus development from 1559-1609 PDT on 30 July 2015. (a-e) Photographs of the plume rise and pyroCu development. (f-j) Lidar backscatter showing the onset of condensation and subsequent cloud growth. The dashed blue lines shows the lidar derived condensation level.







1270 1271 1272 Fig. 14. Analysis of the interaction of the plume with the ambient wind. (a-b) VAD 1273 derived profiles of the ambient wind speed and direction. (c-d). Radial velocities during 1274 the plume rise and smoke backscatter (black contours). (e) Comparative histogram of 1275 radial velocities at 1809 and 1813 PDT.





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- (1) Maximum backscatter as a function of height and distance. (b) Maximum backscatter as a function of height only. (C) Attenuation depth as a function of height. The dashed
- blue line indicates the inferred condensation level.



Fig. 16. Radar analysis of the Rocky Fire convective column. (a) Maximum echo top heights (color shading) along with the NIROPS fire perimeters (gray and black contours). (b) Time series of the maximum echo tops heights. Gray shading shows the period of lidar observations. (c) Volume rendering of the Rocky Fire plume at 1609 PDT. Reflectivity isosurfaces are displayed at 30, 28, 26, 24, 22 and 18 dbZ. The lidar scan path and plume detections are shown in black and yellow dots, respectively. Fire perimeters are shown in red shading.

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Fig. 17. Thermodynamic analysis of the ambient environment during the Rocky Fire. (a) Observed sounding from 2105 PDT showing the adjusted boundary layer profile (dashed red line), the lidar derived condensation level (gray circle), the moist-adiabatic ascent from the condensation level, and the radar derived echo tops. The inset is a hodograph and the wind barbs on the right indicate how the wind speed and direction change with height. (b) Balloon ascent path showing the affect of wind shear on a buoyant parcel.

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1285 Fig. 18 Analysis of lifted parcels, showing the most unstable (MU), mixed-layer (ML), 1286 and convective (Conv) parcel trajectories. The condensation levels and CAPE for each 1287 1288 parcel is described in the text.





Fig 19. Analysis of the environmental conditions on 29 and 30 July. (a) Fire radiative power from GOES-15 (red dots) and MODIS (purple dots). (b) Temperature (black line) and relative humidity (green line) time series. (c) wind speed (black line), gust (dashed black line) and direction (green starts). (d) Surface mixing ratio. (e) Comparison of the vertical profile of relative humidity from the KOAK sounding at 1700 PDT on 29 and 30 July. The location of the weather station is shown in Fig. 10.

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