Author Response

We are grateful for the detailed review from Dr. Mike Fromm and believe that the manuscript has been significantly improved in addressing his concerns. The following response is formatted as follows: (1) Overview of changes, (2) detailed responses, and (3) the revised manuscript showing tracked-changes.

1. Overview of Changes:

The reviewer requested substantial improvements to the manuscript in three main categories:

(1) A more thorough explanation of the data processing methods
(2) Improved clarity in the lidar data visualization
(3) Fuller use of strategic ancillary data such as radar and surface weather data.

We have addressed each of these issues as follows:

1. A Data and Methods section was added describing:
    a. The lidar observing systems.
    b. Lidar-smoke interactions.
    c. Lidar scan strategies
    d. Lidar data post processing and edge detection algorithm (see below).
    e. Velocity-Azimuth Display (VAD) wind profile retrieval.
    f. Radar sources and data analysis
    g. Satellite data
    h. Radiosonde data and parcel analysis
2. All of the lidar data have been re-plotted using a pixel-colored mesh instead of scattered circles. Included in these figures are the plume edge detection and beam attenuation points. Additional efforts have been made throughout to make the visualizations easier to interpret.
3. We have significantly added the use of ancillary data including:
    a. Radar derived 3D renderings of the pyroCu/Cb (**Figs. 7c, 16c)**
    b. Time series of radar echo tops (**Figs. 7b, 16b)**
    c. Dual polarization differential reflectivity data for the Bald Fire case (**Fig. 8)**.
    d. Additional satellite observations from GOES and MODIS (**Figs. 4,11)**
    e. Surface weather data for the Rocky Fire case (**Fig. 19)**.

In addition, based on a number of the reviewer's questions we have introduced an improved plume edge and attenuation algorithm. Using this approach we present an analysis of the change in beam attenuation depth with height in the plume, which is indicative of the condensation level and corroborates the original suite of analyses inspecting the lidar backscatter alone. The algorithm is described and demonstrated in the Data and Methods section (**Section 2.1.3**. **Lines 149-172, Fig. 2**). The resulting edge detections and attenuation points are now included in the lidar plume rise examples in **Figs. 5, 12,** and **13** and summary statistics for attenuation depth are presented in **Figs. 6c and 15c**. These data show that attenuation of the lidar beam becomes much more rapid at the condensation level.

2. Detailed Responses:

Below are detailed responses to the reviewers concerns. This section is formatted as follows: Reviewer comments in italics, responses in normal font, line and figure numbers of modifications in bold.

Data and Methods: The two case studies are of course different, but they are approached with a similar measurement strategy and instrument suite. To the extent of the similarity, I think a separate section entiteld "Data and Method" is called for. In this section LC can describe the Doppler lidar characteristics, derived products,ancillary data characteristics, the measurement strategy and chronology. E.g. when was measurement started w.r.t. pyroCu onset, how frequent were the scans? Were there any interruptions during the measurement interval?

We have added an extensive data and methods section (**lines 93-222**). This section addresses the lidar characteristics, lidar-smoke interaction, lidar data post-processing, wind profile retrievals, radar data and interpretation, satellite data, radiosonde data, and more. The lidar post-processing to determine plume edges is also illustrated with a new figure **(Fig. 2)**, which shows how the lidar signal-to-noise ratio (SNR) is used to isolate the plume.

We have also added an introductory map figure (**Fig. 1**), which orients the reader to the locations of the fires and observing systems. Additional map figures (**Figs. 3 and 9)** are included in the case studies of each fire to provide further details on the scan paths of the lidar and information about the fire growth patterns.

Regarding all the lidar range-height type backscatter plots, the reader needs some basic information and a fuller description of the way the data are plotted:
** There is a smooth gray background inside the measurement arc. But that shading appears to change with distance from the lidar. What is the reason for that?*
** Do the circles faithfully characterize the lidar spatial resolution?*
** There seems to be overlap of the circles in some locations. Does this represent multiple/overlapped samples of a given volume?*
** What range of azimuth is scanned?*
** How many scans comprise these views?*
** How much time is taken to fill the scans as shown?*
** The circles appear to be open; i.e. only the perimeter of the circle is shaded according to backscatter. Is that correct?*
** Some areas of these plots have a diffuse appearance (like the smoke above the lidar in the second case). Is this just a dense bunch of circles with near-homogeneous backscatter? Or is something else being plotted?*

Because of the significant questions about these plots, we have replotted all of the lidar data using a pixel-color mesh. In these figures the pixel size is the range gate length (18 m) and arc length between lidar beams (.7 deg for Bald Fire, 1 deg for the Rocky Fire). Whereas the earlier figures represented aggregated data from one or more RHIs (hence overlapping dots), the new figures each represent a single RHI sweep. In addition, the range of azimuths scanned, the amount of time between scans, and the total scan duration are described in the text. Some of this information is in the methods (**Section 2.1.2 Lidar Scan Stategies)**, some in the case study descriptions (**lines 248-254, lines 564-569, 582-583**).

We have also changed the way we present the attenuation of the lidar beam, as described and demonstrated in the methods section (**Section 2.1.3: Plume Edge Detection)**. The leading plume edges are now shown in each figure as red dots and the attenuation point as blue dots. All data beyond the attenuation point are removed from the figures. Earlier figures used different attenuation criteria.

Suggestion: Because of the lidar attenuation issue and because LC do employ NEXRAD data to some extent (echo top analysis), could the radar reflectivity data be gainfully employed along with the lidar data to characterize the pyrocloud in the lidar attenuation shadow? Would this add important information that strengthens the lidar analysis?

This is was a great suggestion. The radar analysis in each case study has been significantly expanded in order to examine the pyroCu structure within the lidar attenuation shadow. Specifically, we have included 3D volume renderings of reflectivity data contemporaneous with the lidar scans **(Fig. 7c, Fig. 16c)** and time-series of the echo tops (**Fig. 7b, Fig 16b**). Discussion of the key features of these analyses is now included in the manuscript (**lines 319-339, 499-514**). In addition we include simple analysis of differential reflectivity data for the Bald Fire case (**Fig. 8, lines 340-350)** which shows the way in which the shape of radar targets change with height in the plume**.**

P29051, L19. Smoke-particle size distributions usually show a mode between 100-200 nm. This does not seem to fall into the category of "micron-sized aerosol." I suggest fuller characterization of the lidar's wavelength and the range of particle sizes to which the lidar is sensitive.
In my viewing of the lidar range-height type plots, the distance from front-edge backscatter to total attenuation is as important to understand as the backscatter itself. I.e. the "shadow" behind the cloud is as indicative as the backscatter along the edge. This shadow is seen above/behind the cloud and at altitudes below the cloud as well. Hence there is some ambiguity as to what particles are causing the strong backscatter and shadowing in the different parts of the scanning column. This should be addressed. The Conclusions section mentions debris in the plume. I suspect that this might be a large factor in the strong attenuation below the presumed cloud base. I suggest that the authors discuss in detail the factors causing attenuation variations within and outside the cloud.

These are all good points. A discussion of the lidar wavelength, smoke size distribution, and expected lidar-smoke scattering is now included in **Section 2.1.1: Lidar Sensitivity, lines 103-130.** The key point of this discussion is that the lidar's attenuated backscatter is likely to contain roughly equal contributions from the modal sub-micron particles and the sparser coarse particles. We also discuss the presence of super-giant aerosol and debris in the base of the plume and lidar-cloud interactions. The role of debris in attenuating the lidar beam is discussed. A number of new references have been added in support of this section.

P29057 (Section 3.1): Winds displayed in Figure 8 are characterized "ambient" and reported in terms of compass direction and speed. Presumably these data are derived from the lidar. How are wind direction and speed calculated from the lidar data? The Doppler lidar is known to get radial wind information, but *it's not clear how 360-degree wind information is retrievable. Also, no information was given as to where the wind information comes from within the scanning volume of the lidar. Please elucidate.*

These winds are retrieved using the Velocity-Azimuth-Display (VAD) technique commonly used by lidars and radars to extract the horizontal wind from conical scan of the Doppler velocity (**Browning and Wexler 1968**). The technique uses a harmonic analysis of the radial velocity at each height. The zeroth harmonic provides the divergence of the wind, while the amplitude and phase of the 1st harmonic provide the wind speed and direction. We have added a short description of this in the methods sections, including the azimuth and elevation sweep angles used during the VADs (**lines 139-147)**. The elevation angle for the conical scans was 50 degrees and the azimuths span 360 degrees. The scans take about 1 minute to complete.

Section 3.1 Plume geometry being introduced here (P29057, L23-26), so some definition of "plume center" is called for. And the reader needs to know what the "objective" and "semi" parts are of the "semi-objective" delineation of plume "center." I bring this up because of the attenuation factor…there's presumably a lot of plume down-range of the wall of strong backscatter seen on the plots. That wall might rightly be viewed as the upwind edge of the updraft column, with an absence of knowledge of the true plume horizontal thickness and vertical shape. This part of the paper is intended to infer updraft velocity, which would be imporant to know. But to make this convincing, much more detail and clarity are needed.

This section has been modified in light of your concerns about attenuation and the unknown depth of the plume (**Lines 463-480)**. We now present just the first and last RHI scans from this pyroCu pulse and eliminate the plume centerline analysis. In addition we no long attempt to extrapolate the updraft speed, and instead state:

"The actual updraft speed likely exceeds these values since the radial velocity data only reflect the projection of the updraft onto the oblique lidar beam."

To discuss changes in the updraft we add a comparative histogram of the radial velocity data within the plume between the first and last time steps (**Fig 14c)**. These data show a clear reduction in outbound radial velocity strength with time, and thus a reduction of the updraft. We also change the discussion of plume tilt to be more qualitative, asserting that the plume updraft becomes more sheared with time. This is further supported later in the manuscript by including the balloon ascent track, which shows the affect of the ambient shear on the ascent of a buoyant parcel **(Fig. 17b)**

P29058, L4-8. Discussion of a smoke feature between the lidar and the pyrocloud: The sign-change boundary is inconsistent with the backscatter feature that extends from overhead to the pyroCu column. The zero line is 2300 m; winds below are away from the lidar yet the backscattering feature that is over the lidar bottoms out a few hundred meters lower. Moreover, this feature is over the lidar from the earliest time shown, which means if it came from the Rocky fire it was injected well before that time, and there is no wind data prior to that figure panel to determine where that might have come from. If that overhead smoke layer is to be discussed, I think another explanation, or a more rigorous defense of the present interpretation, is needed.

We have significantly modified this section of the text and no longer discuss the lateral smoke layer in the context of the shear layer and plume interaction. For the sake of clarity, however, I have added smoke contours to the radial velocity figure (**Fig. 14c,d**) so that the reader may more easily see how the features correspond. As it were, the lateral smoke layer is centered on the shear layer, which is also a stable layer (see the sounding). Smoke without sufficient positive buoyancy to ascend out of the boundary layer becomes trapped at *the base* of this layer and spreads laterally. In addition some smoke from plume penetrating this layer would also be expected to detrain *within* this layer (e.g., the edges of the updraft are not buoyant enough to make it all the way through the inversion). We do not believe these particular points are important in the current analysis so we have not included them.

Section 3.4, discussion of the two-day disparity in pyroconvection occurrence: This argument is interesting but may not tell the whole story. Does the MesoWest data include temperature and windspeed? Might there be a day-day difference in the windspeed near the fire that could explain the different fire behavior? By arguing that increased low-level moisture is partly responsible for the pyroCu, you're seemingly proposing a departure of the moisture component in the "hot, dry, windy" prescription for intense fire behavior. So I think it is important to look at wind, T, and RH in addition to absolute moisture.

We have added additional meteorological analysis in **Fig 19** and in the discussion on **lines 561-583**. The weather data show that the "hot" and "windy" aspects of fire behavior do not vary significantly between days. Rather it is both the low level moisture (surface mixing ratio, and RH) and mid-level relative humidity that generate an environment where moist convection can occur and survive, albeit for a short time. For this, and many other reasons, I take issue with the "hot, dry, and windy" prescription for intense fire behavior but believe a thorough analysis of that argument is beyond the scope of this study.

Figure 4 and 10: Please describe the fire-perimeter data. Is it from GOES? Fig. 1 has fire-perimeters but these are from MODIS, which are not at 00 UTC (local evening). So it seems these are from another source. The dotted and solid boundaries are not easy to discern due to overlap or proximity. I don't think there is discussion of the fire movement in the text, so do you need to make this distinction? Are the methods consistent between Fig. 4 and 10?

The fire perimeter data are from the US Forest Service National Infrared Operations (NIROPS) flights conducted on large wildfire incidents (http://nirops.fs.fed.us/). This has been clarified in the text and in the figure captions. We have also changed the plotting in each figure to make it easier to distinguish between the perimeters on each day. We have also added a discussion of the fire growth, including the area burned to each case study (**lines 233-240, 562-565)**.). To the best of our knowledge the methods for producing these perimeters are consistent with one another.

Figure 12: Is it possible that the time here is UTC, not PDT? According to the figure the FRP peaks at 11pm-12 am local time on these two days. This is inconsistent with my expectations; which would have fire intensity peaking closer to late afternoon.

The times are in PDT, as displayed, and the FRP does in fact peak near local midnight. I have added the MODIS-terra and Aqua FRP to **Fig. 19a** to corroborate the diurnal pattern. This would be an interesting topic for further investigation, namely nocturnal fire behavior, but is beyond the scope of this study (which has already lengthened appreciably). One factor that very likely contributed to the delayed onset of fire growth is *smoke shading* (Robock 1991; Lareau and Clements 2015). Lingering smoke in the boundary layer from the first day's fire growth and continued smoldering lead to reduced solar radiation throughout the morning. Only once the CBL developed sufficiently in the late afternoon did fire behavior pick up and deep plumes begin to form. Why the fire activity continues at night is an open question.

P29051, discussion of Fig. 1: Is it worth it to give the Terra FRP too, in order to partially characterize the fire intensification from morning to afternoon?

The MODIS-Terra FRP data at 1245 PDT have been included in the text (**lines 239-244**). This section now reads:

"The total FRP from the Bald Fire at the time of the MODIS-Aqua image was 19700 MW summed over 30 fire pixels. The pixel maximum was 2258 MW, though the pyroCu obscures a substantial portion of the fire. For comparison, the earlier MODIS-Terra overpass at 1245 PDT yielded a maximum FRP of just 829 MW and a total FRP of 3836 MW summed over 13 fire pixels. Clearly the fire experienced rapid change in size and intensity during the early afternoon, coincident with the development of the pyroCu."

P29051, L19: Inform the reader of the lidar's wavelength.

An extensive discussion of lidar wavelength and scattering interactions has been included. **(lines 103-130).**

P29051, L21-23: Can you give a number for the smoke SNR? Isn't this issue part and parcel of the above question about the lidar wavelength and particle sensitivity?

A discussion of the lidar SNR and its use in the plume detection algorithm are included on **Lines 148-171.** A threshold SNR+1 of 1.01 is used, though changing the threshold is of relatively minor consequence and does not affect interpretation of the results. We have also included a new figure, **Fig. 2,** which shows the SNR data for a beam intersecting the base of the smoke plume and a beam interacting with cloud water.

P29052, L11-15, discussion of plume geometry and vortices: Isn't the feature being described here just a signature of the cellular nature of cumulus, i.e. the cauliflower texture? Can one infer vortical circulations from these cloud-edge structures? It wasn't convincing to me, but perhaps this just needs to be explained in greater detail or supported with citations of previous such findings

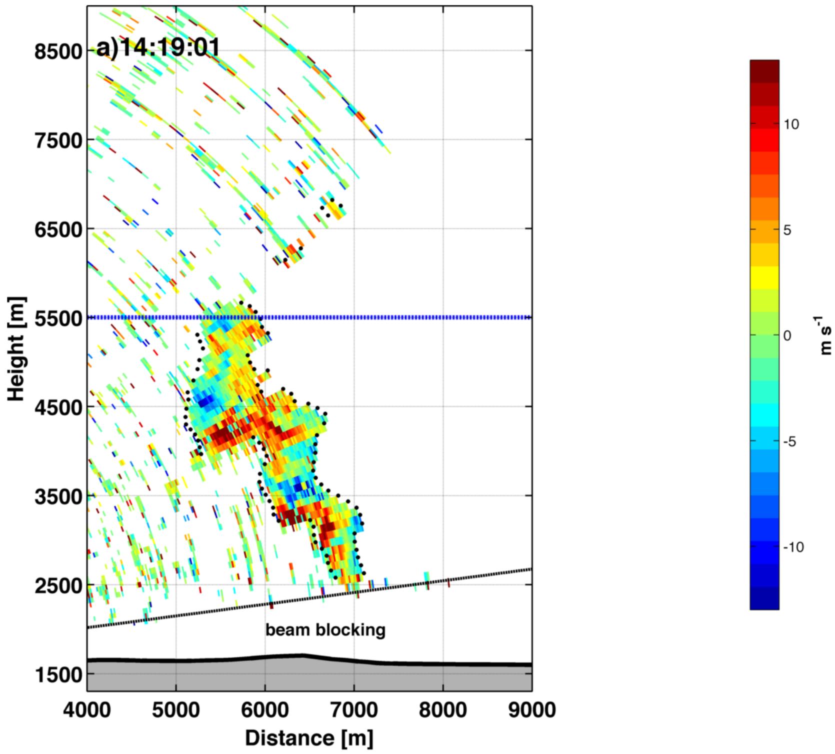

Figure R1. Radial velocity data showing vortical structures during the Bald Fire plume rise at 1419 PDT . Black dots indicate plume edge detections.

The circulations are clear when viewing the radial velocity data for the bald fire plume, which I have included here (Fig R1). These velocity data correspond to the backscatter data in **Fig. 5a** in the manuscript. The "stair-step" pattern along the leading plume edge corresponds to alternating regions of inbound and outbound velocities (warm vs. cool shading). These velocity couplets are clear signatures of vorticity along the plume edge.

The classic literature on thermals and plumes include discussions of the entrainment in these types of circulations (Scorer 1957, Woodward et al. 1959). Similar dynamics do exist in cumulus, though evaporation and sublimation of cloud water and ice are also part of that processes, whereas here we are looking at just the shear-induced vorticity along the edge of the updraft. In the interest of keeping the number of figures manageable I would prefer to not include additional velocity analyses for the Bald Fire. We do, however, have another manuscript in preparation from a different fire (The El Portal Fire) in which we explicitly examine plume vorticity and kinematic structure.

I have added the following text:

Large coherent vortices are also apparent along the plume edge, especially in Fig. 5a,b as the "stair step" pattern in the plume edge detections. Based on the radial velocity data (not shown) the inward clefts in the plume edge correspond to enhanced flow into the plume (e.g., entrainment) and outward lobes reflecting flow

towards the lidar. Vortices of this sort are a well-known feature of rising thermals and plumes and play a leading role in entrainment (Scorer 1957; Woodward 1959).

Fire-generated debris is mentioned but only in the concluding section. If this is part of the signature seen in the lidar (and I believe it is), this should be discussed in the body of the paper too.

Fire-generated debris is now discussed in the data and methods section, as is its expected role in attenuation. **Lines 115-125.**

P29056. Section 3. Please inform the reader of the truck location and distance from the fire.

The truck location and distances to the fires have been shown more clearly in **Figs. 1, 3, 4 and 10** and are now described in the text. The truck was ~7 km from the flanks of the Bald Fire and was within an already burned region of the Rocky Fire. The distance to the Rocky fire plumes varied between 2.5 and 7 km.

P29056, L13-16: Were any measurements taken before the cloud formed? If so, what do they look like?

There were measurements prior to the pyroCu observations, but these were mobile observations of dense smoke trapped near the surface. Figure R2 displays these backscatter data as a function of time and height above ground, where the ground height varies with time along the driving route. I have not geo-located these data to show the true height. The data show increasing smoke concentration within the boundary layer along the approach to the observing location that was used to document the PyroCu. There are also smoke small plumes in the boundary layer from smoldering potions of the fire that we drove past (e.g. just after 15:15 PDT). The next measurements after these were of the PyroCu data during the first flare-up of the Rocky Fire that afternoon (**Fig. 12).**

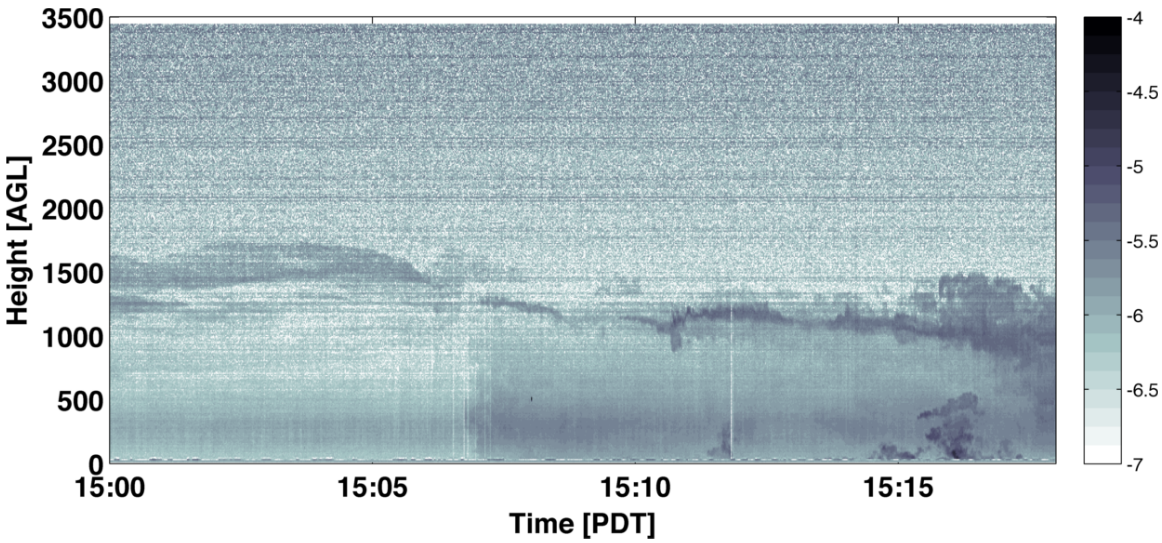

Figure R2. Mobile lidar backscatter data from the approach to the observing location used to conduct RHI plume scans. PyroCu were not present at this time.

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P29057, L3-5, discussion of second flare-up of Rocky fire: Was the lidar moved between these events? Fig 6 and 7 show that the fire plume is 1500-2000 m farther downrange during the 1800 event. If the lidar was not moved, does this signify that much of an advance of the fire front?

The lidar location was not moved, but it was aimed along a different azimuth. The second flare up was from the eastern flank of the fire whereas the first flare up was on the NW flank. As such the change in range between these observations does not reflect an evolution in the fire front other than the eastern flank became the most active flank in the late afternoon. We have included a new figures for the Rocky Fire section, **Fig. 10,** which show the fire perimeters and lidar scan paths to make this more clear.

P29057, L18-20: If the lidar wind info is coming predominantly from the upwind edge of the plume, the lowest returns are ~600 m above ground level. Hence I would contend that "near the surface" does not apply as well as, perhaps, "lowest-retrieved wind (roughly ??? m AGL)."

These wind data are actually from a conical VAD scan above the lidar location, and the lowest data are about 50 m AGL. Hence we feel comfortable using "near surface" to describe the lowest portion of the wind profile.

P29057, L27: It's not self evident how this number (18m/s) is arrived at. Please elaborate.

We have removed this analysis and now simply state that the updraft likely exceeds the measured radial velocity.

P29060, L19 (and generally throughout the paper): Either settle on a single time convention or give PDT as well as UTC here.

All times are now in PDT.

Figures 2, 6, 7: Suggestion: If all the photos were taken at the same location, the distance to the fire is constant among photos. Hence if they were all cropped at the same bottom geolocation, the analyst/reader could better compare the column vertical development.

The photos were not all take at the exact same location making this suggestion difficult to implement. Since we believe the photos still provide the reader with a better sense of what the lidar is seeing we have opted to leave them as is.

Figure 6, 7: It would be preferable for the RHI plots to have the same aspect ratio. They both go to 8000 m in the vertical but Fig 6 panels are squashed relative to Fig. 7.

The aspect ratio is equal on all the RHI plots (meaning the x spacing is the same as the z spacing). In addition I have adjusted the range of the Rocky Fire figures to all be 0-6000 m in distance and 0-8000 m in height.

Figure 7: What are the whitish blobs apparently lower than the cloud base in the 3 rightmost photos? Is this whiter smoke, or is it cloud? If it is an optical illusion of cloud, some explanation of what the eye beholds is called for.

We believe, based on the literature and experience, that the "whitish blobs" are due to a change in the completeness of combustion favoring more hydrocarbon (orgranic carbon aerosol) in the plume. In contrast, earlier the combustion was less-complete flaming combustion, which produces more black carbon. I have added the following description in the text at **lines 610-617:**

The photographs detailing the plume rise show changes in smoke color near the base of the convective column (Fig. 13a-d). For example, at 1805 PDT the smoke is a dark gray (Fig. 13a), whereas later the smoke is increasingly white (Fig. 13d). We believe the change in smoke coloration is associated with changes in the completeness of combustion: flaming combustion produces smoke dominated by black carbon aerosols, whereas smoldering combustion generates more organic carbon aerosol, which more effectively backscatter sunlight and appear whiter (Bellouin 2014; Saleh et al. 2014).

Figure 10: It is very difficult to make out the fire perimeters on the two days. Please make these more distinct.

We have adjusted line colors and styles throughout and added new figures that more clearly show the fire evolution/perimeters. We believe these changes enhance the interpretability of these data.

<u>Additional Changes Related to the Annotated Manuscript:</u>

Abstract: Line 3: Change "exceed" to "significantly higher than"
This change has been made
Pg. 29048, Line 22: What is meant by "non-developing" and "developing"?
"developing"= releases moist instability aloft
"non-developing" = forced convection analogous to fair weather cumulus clouds.
I have opted to keep it simple and changed the wording to read:
"Some pyroCu release significant moist instability aloft and thereby trigger deep convective clouds that sometimes grow into pyrocumulonimbus (pyroCb)."

Pg. 29049, Line 17: "examines" rather than "examine" ("a handful" is singular)
Changed
Pg. 29049, Line 24: "higher" "lower" might be more appropriate than "greater than, less than."
Changed
Pg. 29051, Line 1: Please give fire coordinates.
Fire coordinates have been added
Pg. 29051, Line 20: "droplet" spelling
This section has been moved and modified. This discussion, with proper spelling , has been moved the Data and Methods section.
Pg. 29053, Line 6: Please give range from KMAX to Baldy.
The range is about 200 km. This has been added to the text, as has a figure showing the locations of the fires and radars.
Pg. 29053, Line 19: spell out (in reference to CBL)
changed
Pg. 29053, Line 25: what time? (what time was the balloon launch?)

2100 PDT, this has been added to the text.
Pg. 29054, Line 25: Should this get a section number, like 2.4? (in reference to "lifted parcels" heading).
This portion of the paper has been rearranged.
Pg. 29056, Line 5: Please state the fire coordinates (Rocky Fire section)
Coordinates added
Pg. 29057, Line 29: degree symbol is preferred
This discussion has been removed
Pg. 29058, Line 10-15: What is the radar-fire range?
~100 km. This has been added as has a map of the locations, including a distance scale
Pg. 29058, Line 16: Do you mean echo tops or maximum reflectivity?
We mean echo tops, and have changed the text.
Pg. 29060, Line 26: delete "the"
removed
Pg. 29060, Line 27: pyroCu (replace pyroCi typo)
corrected
Pg. 29062, Line 1: extent not extend
This line has been removed.
Figure 2: The color scale labels here and elsewhere are apparently just the exponent part. This should be clarified.
We have added a more thorough explanation of the lidar data in the Data and Methods section

Figure 11: Please give time of radiosonde ascent.
The times have been added to the radiosonde figures

3. Tracked Changes

Starting on next page….

Environmental Controls on Pyrocumulus and Pyrocumulonimbus Initiation and Development

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Abstract:

In this paper we present the first direct observational evidence that the condensation level in pyrocumulus and pyrocumulonimbus clouds can be significantly higher than the ambient lifted condensation level. In addition, we show that the environmental thermodynamic profile, day-to-day variations in humidity, and ambient wind shear all exert significant influence over the onset and development of pyroconvective clouds. These findings are established using a scanning Doppler lidar and mobile radiosonde system during two large wildfires in Northern California, the Bald and Rocky Fires. The lidar is used to distinguish liquid water from smoke backscatter during the plume rise, and thus provides a direct detection of plume condensations levels. Plume tops are subsequently determined from both the lidar and nearby radar observations. The radiosonde data, obtained adjacent to the fires, contextualizes the lidar and radar observations, and enables estimates of the plume ascent, convective available potential energy, and equilibrium level. A note worthy finding is that in these cases the Convective Condensation Level, not the Lifted Condensation Level, provides the best estimate of the pyrocumulus initiation height.

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1 Introduction

Pyrocumulus (pyroCu) form when wildfire convective plumes rise to their condensation level and subsequently develop cumuliform cloud tops (American Meteorological Society 2015). The extent of pyroCu development depends on the relationships amongst atmospheric stratification, ambient moisture, and fire fluxes of heat and moisture (Potter 2005; Luderer et al. 2006; 2009; Frietas et al. 2007). Some pyroCu release significant moist instability aloft and thereby trigger deep convective clouds that sometimes grow into pyrocumulonimbus (pyroCb). Compared to their lesser counterparts, pyroCb posses glaciated cloud tops and can thus generate precipitation, downdrafts, and lightning (American Meteorological Society 2015). In exceptional cases, pyroCbs have been linked with extreme fire growth (Peterson et al. 2015), devastating firestorms (Fromm et al. 2006), and even fire-induced tornados (Cunningham and Reeder 2009; McRae et al. 2013).

In addition to their impact on fire behavior, pyroCu/Cb have garnered significant research attention due to their affect on vertical smoke transport, atmospheric chemistry, and cloud microphysics. For example, pyroCu can cause significantly deeper smoke injection than in dry convective cases (Frietas et al. 2007) and pyroCb are now recognized as the source of previously unexplained aerosol layers lofted in the lower stratosphere (Fromm and Servranckx 2003; Fromm et al. 2006; 2010). In addition, satellite and dual polarimetric radar observations of pyroCb show that the extreme aerosol loading results in high concentrations of small ice particles (Rosenfeld et al. 2007), especially as compared to nearby clouds forming in smoke free air. The abundance of ice particles changes the radiative properties of the clouds and also favors atypical positive polarity lightning strokes (Rosenfeld et al. 2007; Lang et al. 2006; 2012).

Despite the significant research on pyroCu/Cb microphysics, surprisingly little is known about the environmental controls on pyroCu development. To date only a handful of studies explicitly examines the thermodynamic and kinematic structure of these cloud topped convective columns (Potter 2005, Trentman et al. 2006; Luderer et al. 2006; 2009; Frietas et al. 2007) and no studies include direct observations of pyroCu/Cb initiation. As a result, there is an open scientific debate regarding the plume condensation level, which

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is an important parameter for modeling smoke injection height and plume evolution (Frietas et al. 2007). Specifically, there are contrasting views in the literature about whether the plume condensation level is expected to be higher than or lower than the ambient lifted condensation level (LCL).

Potter (2005), for example, proposes that pyroCu/Cb should exhibit cloud bases lower than the ambient LCL due to the moisture released during combustion of woody fuels and from the evaporation of fuel moisture. Drawing on historical cases of pyroCu/Cb, radiosonde data, and theoretical considerations, he hypothesizes that the latent heat release may be the dominant factor in many moist-pyroconvective events. A limitation of this study is the anecdotal treatment of condensation levels, which are estimated, and the use of radiosonde observations that may not reflect the near fire environment.

In contrast to Potter (2005), Luderer et al. (2006; 2009) use high-resolution simulations and theoretical sensitivity calculations to conclude that "the combined effect of released moisture and heat from the fire almost always results in a higher cloud base compared to ambient conditions." They also find that moisture released in combustion constitutes less than 10% of the pyroCu/Cb water budget with the remainder of the plume water resulting from entrained environmental air. While these modeled results are rather convincing, they lack clear observational support.

To that end, the only field observations that address plume moisture are from small scale grass fire experiments, where significant increases in water vapor mixing ratio are documented near the surface, but then decrease rapidly with height (Clements et al. 2006, 2007, Kiefer et al. 2012). While these observations are consistent with the dominant role of entrainment, such small-scale plumes may not be representative of deep convective plumes that extend into the upper troposphere or even lower stratosphere.

In this paper we present the first direct observations of condensation levels in two wildfire pyroCu/Cb cases. The fires, the Bald Fire and the Rocky Fire, were located in northern California, and observations were conducted on 2 August 2014 and 30 July 2015, respectively (Fig. 1). The pyroCu cloud bases and plume rise dynamics were measured using a mobile atmospheric profiling system (Clements and Oliphant 2014) that included a scanning Doppler lidar and an upper-air radiosonde system which

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provided thermodynamic profiles immediately upstream of the fire perimeters. From these data, our results clearly show that observed plume condensation levels are substantially higher than the ambient LCL. Additional aspects of the plume rise, including limiting factors on convective growth and the role of environmental moisture are also examined.

2 Data and Methods

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2.1 Lidar Data

In this study, data from a Halo Photonics scanning Doppler lidar are examined (Pearson et al. 2009). The lidar emits a 1.5 μm laser beam and records two range resolved quantities: (1) the attenuated backscatter coefficient (m^{-1} sr^{-1}), which is a range corrected measure of backscattered energy, and (2) the Doppler velocity (m s^{-1}). The lidar also reports the signal-to-noise ratio (SNR), which is useful for discriminating between meteorological targets and instrument noise. The lidar range is 9.6 km and the range-gate resolution is 18 m. Azimuth and elevation motors allow for scans of the full upper hemisphere and the lidar is level-mounted to the bed of a pickup truck, enabling rapid deployments to wildfires (Clements and Oliphant 2014).

2.1.1 Lidar Sensitivity

Near-IR lidars are sensitive to aerosol, cloud droplets, and forest fire smoke. Due to these sensitivities numerous previous studies have used lidars to examine smoke layers and smoke plumes (Banta et al. 1992; Kovalev et al. 2005; Pahlow et al. 2005 Charland and Clements 2013; Lareau and Clements 2015).

Forest fire smoke typically exhibits a log-normal particle number distribution with a peak near .13 μm and a long tail extending towards coarser particles (Radke et al. 1990, 1991; Banta et al. 1992; Reid and Hobbs 1998; Reid et al. 2005). The corresponding mass distributions are bimodal with peaks near .1 and 10 μm and a local minimum between 1 and 3 μm (Radke et al. 1990, 1991; Reid et al. 2005). During intense forest fires, such as those in this study, additional "super-giant" aerosol with sizes sometimes exceeding 1 mm may also be prevalent (Radke et al. 1990, 1991; Reid et al.

2005). These aerosols are typically composed of large ash and soil particles, which may be scoured from the surface by strong fire-induced winds (Radke et al. 1991; Reid et al. 2005; Kavouras et al. 2012).

The 1.5 μm lidar beam interacts with the submicron smoke via Rayleigh scattering, the micron sized smoke via Mie scattering, and with the coarsest aerosol (e.g., large ash, debris, etc.) via geometric optics. Using a radiative transfer model, Banta et al. (1992) showed that the attenuated backscatter coefficient due to the numerous small smoke particles was roughly comparable to the backscatter from the sparse large particles in a given volume. Similar behavior is expected with the lidar used in this study. In addition, based on our own experience, we expect significant attenuation for interactions with very coarse debris, especially near the base of smoke plumes.

Near-IR lidars also record high backscatter and rapid attenuation due to cloud droplets, making them an ideal tool for cloud base and cloud top detections (Hogan et al. 2003; Winkler et al. 2009). In this study we leverage this attribute of the lidar to determine pyroCu cloud bases and edges in convective column. Similarly, Banta et al. (1992) used an IR lidar to identify pyroclouds in a wildfire smoke column.

2.1.2 Lidar Scan Strategy

The lidar was programmed to conduct "range-height indicator" (RHI) scans centered on the Bald Fire and Rocky Fire pyroconvective plumes. The scan azimuth angles were determined visually. During the Bald Fire the RHI elevation step was 0.7°, whereas an elevation step of 1° was used during the Rocky Fire. Scans were conducted between the horizon and ~85° in elevation, with a full RHI sweep taking ~1 min during the Bald Fire and ~45 sec during the Rocky Fire. Additional scan details, including the azimuth angles, are provided in the following case studies.

The lidar was also used to examine the velocity field near the fires and within the convective plumes. For example, the Doppler radial velocity data collected during the RHI scans are used to inspect the plume structure. These data have a resolution of 3-4 cm s^{-1} over a range of +/- 19 m s^{-1} (Pearson et al. 2009). In addition, conical scans were interspersed with RHI scans to generate vertical profiles of the horizontal wind using the "velocity-azimuth display" (VAD) technique (Browning and Wexler 1968). The VADs

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use an elevation angle of 50° and span 360° in azimuth, taking about 1 minute to complete. The post-processed wind speed and direction from the VADs reflect the ambient winds above the lidar.

2.1.3 Plume Edge Detection

The lidar data are post-processed to determine plume boundaries and beam attenuation depth. The edge detection algorithm uses a combination of the lidar signal-to-noise ratio (SNR) and attenuated backscatter coefficient to isolate the plume. Similar approaches are presented in previous studies (Kovalelv et al. 2005; Charland and Clements 2013). In our analysis, we first apply a 5th order Butterworth filter with a 5-point window to the SNR data along each lidar beam to eliminate some of the instrument noise. Next we record the radial location of maximum attenuated backscatter coefficient. Starting from that location we search inward along the beam for the first range gate where the SNR+1 drops below 1.01. This point is considered to be the leading plume edge. The same technique is performed searching outward along the beam to find the trailing plume edge. The trailing edge is considered to be the attenuation point provided the SNR+1 does not again exceed the threshold at some further distance. The SNR+1 threshold of 1.01 was found to best discriminate between aerosol returns and background noise in our data sets, though other values (e.g., SNR+1=1.02) provide similar results.

To demonstrate the algorithm, Fig. 2 presents lidar data extracted from two elevation angles (10.2°, 46.7°) within a full RHI scan of Bald Fire convective column. The lower elevations beam (Fig. 2a) intersects the base of the smoke plume while the upper beam (Fig. 2b) hits the pyroCu. Of note, the SNR+1 associated with cloud is somewhat higher than in the smoke (1.105 vs. 1.089) and beam's attenuation is much more rapid, penetrating only 198 m into the cloud compared to 648 m into the smoke. In the following case studies we show that the sudden reduction in attenuation depth and increase in attenuated backscatter coefficient aloft are robust signatures of pyroCu formation.

2.2 Radar Data

Data from four National Weather Service (NWS) radars are used to examine plume structure. These 10-cm radars are sensitive to large ash and hydrometeors in the convective column but insensitive to cloud droplets and sub-micron smoke. Radars have been used in numerous wildfires studies (Banta et al. 1992; Hufford et al. 1998; Fromm et al. 2006; Rosenfeld et al. 2007; Jones and Christopher 2010a,b). Recently, dual-polarization radars have been used to examine the microphysics of wildfire plumes and clouds (Melnikov et al. 2008, 2009; Lang et al. 2014).

In this study we leverage three aspects of the NWS radars. First we examine the radar echo tops to estimate the maximum smoke injection height. The echo tops are the highest level at which the radar reflectivity exceeds 18 dbZ (Lakshmanan et al. 2013). Second we combine radar reflectivity from multiple radars to generate volume renderings of the pyroconvective plumes. These volumes are constructed by creating a gridded interpolant from all the available contemporaneous radar data. Data from the Medford, Reno, Beale, and Sacramento radars are combined for the Bald Fire, and from the Beale and Sacramento radars for the Rocky Fire. The radar locations relative to the fires are shown in Fig. 1.

Finally, we inspect the differential reflectivity (Z_{dr}) data from the Medford, OR radar during the Bald Fire. Z_{dr} is the logarithmic ratio of the reflectivity from the horizontally and vertically polarized radar beams (Markowski and Richardson 2011). When Z_{dr} is large and positive it indicates the presence of large horizontal targets, including needle-like ash particles (Melnikov 2008, 2009). When Z_{dr} is near zero the targets are more spherical (e.g. hydrometeors), and when negative the targets are vertically oriented (e.g., graupel).

2.3 Satellite Data

Visible satellite observations from GOES-15 are used to characterize the presence of pyroCu above each fire. These data have a spatial resolution of 1 km and a nominal temporal resolution of 15 minutes, depending on the scan schedule. Data from the Moderate Resolution Imaging Spectroradiometer (MODIS) Terra and Aqua satellites are also used. These data include both true color visible images and fire-radiative power

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(FRP). The nominal resolution is 500 m. FRP is derived by differencing fire pixels from adjacent non-fire pixels using infrared radiance (Wooster 2002) and has been shown to provide high fidelity representation of fire activity during California wildfires (Koltunov et al. 2012; Peterson et al. 2015). FRP data from GOES are also examined.

2.4 Radiosonde Observation and Analysis

Thermodynamic profiles were collected adjacent to both fires using a GRAW ™ GS-E radiosonde system. These sondes measure temperature, humidity, and wind from the surface to the tropopause, ascending at a rate of ~3 m s^{-1}. The balloons were launched after sunset to avoid interfering with daytime fire-suppression aircraft operations, and as a result the temperature profiles include surface-based stable layers that are not representative of daytime conditions. To address this shortcoming, the afternoon temperature from the truck weather station is used to infer the convective boundary layer (CBL) depth using the "parcel method" (Holtzworth 1964).

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The sonde data are used to examine the ambient condensation level by considering three lifted parcels (1) the most unstable (MU) parcel, (2) the mixed-layer (ML) parcel, and (3) the convective (CONV) parcel. The MU parcel is the parcel with the highest convective available potential energy (CAPE), whereas the ML parcel is based on the mean temperature and mixing ratio in the lowest 150 hPa. The CONV parcel reflects the surface temperature required for free convection based on the surface mixing ratio. The condensation level for each of these parcels is compared in the analyses below.

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3 The Bald Fire

The Bald Fire (40.9 N, 121.3 W) was started by lightning late on 31 July 2014. It was one of several lightning ignited fires in northern California and southern Oregon, including the adjacent Eiler Fire. The fire growth patterns on 1 and 2 August, determined from nightly U.S. Forest Service airborne infrared sensing (http://nirops.fs.fed.us/), are shown in Fig. 3. Based on these data, the fire consumed 7275 ha of mixed conifer forest during its first day, and by the end of the subsequent day had burned an additional 6821 ha. The

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weather conditions on both days featured afternoon high temperatures near 30° C, relative humidity of ~15 % and west winds gusting up to 6 m s^{-1}.

During its rapid expansion on 2 Aug., the Bald fire developed a towering pyroCu that subsequently matured into pyroCb. Visible satellite data show the pyroCu initiation occurred at 1330 PDT, with continued cloud growth through mid-afternoon (Fig. 4a-d). At 1426 PDT the MODIS-Aqua satellite recorded a detailed image of the growing pyroCu, showing crisp cumuliform cloud features above the fire perimeter with more diffuse cloud elements extending to the northeast (Fig. 4e). The spreading cloud features were detrained from the primary updraft and then advected in southwesterly flow aloft.

The total FRP from the Bald Fire at the time of the MODIS-Aqua image was 19700 MW summed over 30 fire pixels. The pixel maximum was 2258 MW, though the pyroCu obscures a substantial portion of the fire. For comparison, the earlier MODIS-Terra overpass at 1245 PDT yielded a maximum FRP of just 829 MW and a total FRP of 3836 MW summed over 13 fire pixels. Clearly the fire experienced a rapid change in size and intensity during the early afternoon, coincident with the development of the pyroCu.

3.1 Lidar Observations

The truck-mounted Doppler lidar was situated ~7 km southwest of the fire where it conducted RHI scans of the windward edge of the developing pyroCu from 1350 to 1532 PDT on 2 August. During this time, 95 RHI scans were completed, providing a time and space resolved measure of the plume evolution, including the height of the condensation level. The lidar location relative to the fire perimeter is indicated in Figs. 3 and 4e. Figure 4f provides photograph from the lidar vantage point showing the windward edge of the cloud capped smoke column.

Figure 5 shows a sequence of lidar scans spanning the 5-minute period prior to the MODIS-Aqua overpass. These data are expressed as the logarithmic attenuated backscatter coefficient (hereafter backscatter) in units of m^{-1} sr^{-1}. Red and blue dots represent the leading plume edge and attenuation point, respectively, along each individual beam. The backscatter is due to smoke and debris in the lower portion of the plume (below 5500 m) and due to cloud droplets in the pyroCu aloft. The laser beam

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attenuates in both the smoke and the cloud water, but the cloud edge is marked by a distinct increase in the backscatter and more rapid attenuation. For example the distance between the leading plume edge (red dots) and the attenuation point (blue dots) tends to be much larger in the lower portion of the plume, whereas above 5500 m the attenuation occurs over just a few range gates. These aspects of the data give the pyroCu cloud returns a "crisper" edge.

While pyroCu were already present at the beginning of the scan sequence, the data show the development of a new cloud element. Figures 5a, b for example, show only a few points of rapid attenuation and high backscatter aloft, whereas starting at Fig. 5c a new, upright cloud edge is detected. This nascent pyroCu element then rapidly expands during the subsequent RHI scans, reaching a height of at least 8500 m before moving out of the lidar field of view (Fig. 5d-f). As we show in the radar analysis below, the actual plume top was as high as 12 km in the 10 minutes following these scans.

The scans, which were roughly parallel to the mean wind direction, also reveal that the plume experienced significant variations in tilt with time, alternating between windward (Fig. 5a) and rearward sloping geometries (Fig. 5f). In fact, the windward protrusion of the plume was as much as 2 km away from its base. Large coherent vortices are also apparent along the plume edge, especially in Fig. 5a,b as the "stair step" pattern in the plume edge detections. Based on the radial velocity data (not shown) the inward clefts in the plume edge correspond to enhanced flow into the plume and outward lobes reflecting flow towards the lidar. Vortices of this sort are a well-known feature of rising thermals and plumes and play a leading role in entrainment (Scorer 1957; Woodward 1959).

Following the initial plume rise, sustained pyroCu were observed with the lidar until 1532 PDT, at which point the truck was relocated for safety reasons. To determine the plume condensation level, we aggregate data from all of the lidar scans during this period. From this larger data sample, Fig. 6a presents the time-maximum backscatter as a function of height and distance, and in Fig. 6b as a function of height only. In addition, Fig. 6c shows the computed percentiles (5, 50, and 95th percentiles) of the attenuation depth binned into 100 m intervals. Collectively, these data reinforce many of the aspects of the initial plume rise sequence discussed above. For example, there is a persistent

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transition in backscatter near 5500 m (blue line in Fig. 6a,b). Below this level, the backscatter approximately linearly decreases with height, consistent with the entrainment of clear air into an aerosol-laden plume. In contrast, at 5500 m the backscatter sharply increases (as does the SNR, not shown), corresponding to the condensation level and development of the pyroCu. The backscatter intensity remains high there and above due to the continued presence of liquid water.

The attenuation depth also shows a sharp transition at 5500 m (Fig. 6c). Below that level the median attenuation depth increases with height, which is again consistent with the dilution of the smoke plume via entrainment. At 5500 m the attenuation depth (across all percentiles) sharply decreases, converging towards a median value of ~200 m. The rapid attenuation aloft is consistent with the presence of liquid water drops and supports our interpretation that the change in backscatter intensity is due to condensation in the plume. From these data, we therefore conclude that the observed condensation level occurs very near 5500 m and was nearly constant throughout the 1.5 h observation period despite many changes in fire intensity.

3.2 Radar Analysis

Since the pyroCu cloud tops exceeded the lidar range the maximum smoke injection depth is obtained from the radar echo tops product from the NWS radar in Medford, OR (KMAX), which is ~200 km to the northwest. Figure 7a shows the time-maximum of the echo tops above the Bald Fire on 2 August. These data indicate that smoke reached altitudes in excess of 12 km, and thus the convective column rose an additional 3.5 km above the maximum height resolved in the lidar scans. The radar returns also show that the highest echo tops occur in a localized region above the fire perimeter where pyroCu were most prevalent. In contrast, the smoke layers without pyroCu correspond to plume heights closer to 6 km.

An additional interesting aspect of the radar data is the presence of deep echo tops southwest (e.g., upwind) of the infrared fire perimeter (solid contours, Fig. 7a). This observation is consistent with the periodic forward tilt of the plume as observed in the lidar backscatter (Figs. 5, 6). We hypothesize that the forward tilt relates to large-scale vortices that form as the plume penetrates through a stable layer at the top of the

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boundary layer (Saunders 1961), and due to the deflection of the ambient flow around the plume.

Figure 7b shows the corresponding time series of the maximum radar echo tops. The pyroCu initiation at 1330 PDT, as shown in Fig. 4b, corresponds to a rapid rise in echo tops from 6500 m to 10000 m. Following the initial plume growth, the plume tops slowly rise until 1420 PDT at which point a second period of rapid plume growth occurs, pushing the convective column to heights above 12 km. The onset of this deep plume penetration closely corresponds to the lidar plume rise sequence shown in Fig. 6, as well as the MODIS-aqua image. The plume heights subsequently subside, remaining near 10 km for the balance of the afternoon before diminishing more substantively at night.

A 3-D volume rendering of radar reflectivity from the Bald Fire at the time of maximum injection height (1429 PDT) reveals additional aspects of the plume structure (Fig 7c). The isosurfaces for 30, 28, 26, 24, and 18 dbZ are shown, along with the fire perimeters (red shading), lidar scan plane (black dots), lidar plume edge detections (yellow dots), and the lidar derived condensation level (green contour). These volume data show an expansive region of high reflectivity immediately above the fire perimeter. The reflectivity and plume height diminish towards the northeast, consistent with the fall out of the larger soot and ash particles in the downwind direction (e.g., southwest flow aloft). We note that since the radar is not sensitive to cloud droplets or micron sized smoke, it is possible that the cloud edges and some smoke reside outside of the radar volume rendering. It is also clear from these data that the lidar sees only the leading edge of the plume before attenuating in dense smoke and cloud water, consistent with the analyses presented above.

The shape of particles within the plume can be inferred by considering the differential reflectivity (Z_{dr}) from the Medford, OR radar at different heights (Fig. 8). Three elevation angles are inspected (0.5, 1.5, and 2.4 deg.), intersecting the updraft core at heights of 4115, 7742, and 11009 m, respectively. The lowest scan shows very high Z_{dr}, indicative of large, horizontally oriented particles, which is consistent with ash (Melnikov 2008, 2009; Lange et al. 2014) (Fig. 8a). In contrast, the mid elevation scan intersects the plume above the condensation level and shows a significant reduction in Z_{dr}, with values between 0 and 2.5 in the updraft core (Fig. 8b). These values correspond to more spherical particles and small ice, suggesting the presence of large hydrometeors. Finally the upper-most portion of the plume, at ~11 km, exhibits negative Z_{dr}, posing the possibility of vertically oriented graupel particles (Fig. 8c).

3.3 Thermodynamic Analysis

The lidar observed condensation level and radar estimated plume tops provide valuable constraints on the plume structure when contextualized with atmospheric profiles collected adjacent to the fire. Figure 9a, for example, shows data from a radiosonde launched at 2100 PDT from ~15 km to the southwest of the fire (location shown in Fig. 3).

The sounding shows that the afternoon CBL extends from the surface (1364 m) to ~4000 m and is capped by a pronounced stable layer. Within the CBL, the water vapor mixing ratio is roughly constant at ~5 g kg^{-1}, whereas above the CBL a layer of very dry air is observed with a mixing ratio of only ~0.5 g kg^{-1}. Further aloft, near 400 hPa, a layer of higher humidity air, reflecting monsoonal moisture, is found. The height of the tropopause is ~13 km.

Relative to the observed profile, the "in cloud" profile is estimated by pseudo-adiabatically lifting a parcel from the lidar observed condensation level at 5500 m. The resulting parcel possesses 910 J kg^{-1} of CAPE, which is an upper bound on the energy available for buoyant ascent. The equilibrium level (EL) of the pyroCu parcel is 11,742 m, which is in close agreement with the radar estimated echo tops, but does not account for the inertial overshoot of the parcel, which is likely reflected in the localized region of radar plume heights exceeding 12 km (Fig. 7a).

Also of note, the homogeneous freezing level (-38° C) in the plume profile occurs at 10,158 m and the temperature at the EL is -52° C, indicating that the upper portion of the cloud must be glaciated. As such, this particular pyroconvective cloud should be classified as a pyroCb. In fact, pyroCb from other nearby fires on that day were known to produce lightning as well as a significant and destructive fire-whirl (Muller and Herbster 2014)

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3.3.1 Lifted Parcels

One of the main goals of this paper is to compare the observed plume properties with conventional estimates of condensational level and convective potential. To that end, in this subsection we consider each of the three lifted parcels described in Section 2.4 as representations of the observed plume. The parcel ascents are shown in Fig. 9b.

In this case, the MU parcel (red line, Fig 9b) originates in the CBL and produces an LCL of 4367 m, which is more than 1 km lower than the lidar observed condensation level. In addition, compared to the observed plume structure, the MU parcel possesses minimal CAPE and must overcome appreciable convective inhibition (CIN) before reaching its level of free convection. Similarly, the ML parcel encounters its LCL at 4641 m, possesses almost no CAPE, and also must overcome appreciable CIN (cyan line, Fig. 9b). The LCL for the ML parcel is higher than that of the MU parcel because the layer averaged mixing ratio is less than the maximum mixing ratio in the CBL.

Interestingly, the CONV parcel provides the best representation of the observed plume (dark blue line, Fig. 9b). In this case the surface mixing ratio is 5.2 g kg^{-1} and the corresponding convective condensation level (CCL) is found at 5549 m, which is very close to the lidar derived 5500 m. Commensurately, the EL and CAPE for the CONV parcel are also close to the observed values. The convective temperature, which is the surface temperature that must be reached to support convection, is 36.4° C. The high temperature for the day was 29° C, making surface based convection extremely unlikely outside of the fire modified environment.

From these analyses it is clear that the plume condensation level is substantively higher than the ambient LCL, supporting the results of Luderer et al. (2006; 2009). Further, using the CCL, not the LCL, and assuming that the fire readily exceeds the convective temperature, provides the best representation of the plume condensation level in this case. This is a potentially useful diagnostic for forecasters and fire managers. It should be noted, however, that the CONV parcel, and its associated dry-adiabat up to the CCL (dark blue line, Fig. 9b), does necessarily reflect the actual properties of the lower plume. Rather, the plume must be superadiabatic near its base, cooling largely due to entrainment as it decays towards adiabatic ascent further aloft (Emanuel 1994; Trentmann et al. 2006; Frietas et al. 2007).

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4 The Rocky Fire

The Rocky Fire (38.9° N, 122.5° W) started late on 29 July 2015 (cause unknown) in the coastal range of northern California and burned in complex terrain through fuels consisting of grass, brush, and conifers (Figs. 1, 10). The U.S. Forest Service NIROPS fire perimeters show that the fire burned 3356 ha during the first day, and then consumed another 3153 ha on 30 July, the day of our observations. The fire growth on the 30th was complex, expanding along multiple flanks (Fig. 10). Notably the first day's fire growth, while rapid, did not generate pyroCu, whereas the second day did (Fig. 11). In addition, compared to the long-lived Bald Fire pyroCu/Cb, the Rocky Fire plumes were transient, repeatedly forming and dissipating in rapid succession. In this section we examine the structure of these transient pyroCu along with the environmental conditions affecting their evolution.

4.1 Lidar Observations

Lidar RHI scans where conducted between 1545 and 2008 PDT from an already burned area within the Rocky Fire perimeter (Fig. 10). This location allowed for scans of four separate pyroCu plumes rising from the complex fire perimeter. A total of 267 RHI scans were performed.

PyroCu were first observed with the lidar starting at ~1600 PDT rising from the northwest flank of the fire (319° azimuth, scan path #1 in Fig. 10). Figure 12 shows a sequence of photographs (top panels) and contemporaneous lidar scans (bottom panels) detailing the onset and expansion of this cloud topped plume. The plume was initially observed as it penetrated through a stable layer at the top of the CBL, evident as a lateral smoke layer at 2600 m in the backscatter data and as a diffuse haze in the photographs. During this time a thin pileus cloud accompanied the developing pyroCu and the lidar cloud returns were limited to a few points near the plume top (Fig. 12f).

By 16:03 PDT, however, a distinct cumuliform cloud had developed (Fig. 12b) and the lidar backscatter showed a commensurate increase in intensity and attenuation along the pyroCu edge (Fig. 12g). Based on these data the cloud base was at ~4200 m.

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The subsequent scans show the rapid pyroCu development, and by 1609 PDT cloud edges were detected as high as 7500 m. Interestingly, soon thereafter the pyroCu detrained from the convective column and dissipated (not shown).

Another pyroCu event at 1800 PDT is detailed in Fig. 13 corresponding to a lidar azimuth of 86° (scan path #3 in Fig. 11). This plume initiated ~2.5 km east of the lidar location. As in the previous case, the rapidly growing plume was first recorded as it rose through the boundary layer top, now at ~2300 m, and expanded into the free troposphere (Fig. 13a,e). Subsequent scans revealed the onset of pyroCu with a condensation level of 4200 m, which is unchanged from the earlier Rocky Fire pyroCu event detailed in Fig. 12. In this case, however, the cloud top was not as well documented because attenuation at the cloud base shielded the lidar view of the upper plume.

The photographs detailing the plume rise show changes in smoke colour near the base of the convective column (Fig. 13a-d). For example, at 1805 PDT the smoke is a dark gray (Fig. 13a), whereas later the smoke is increasingly white (Fig. 13d). We believe the change in smoke coloration is associated with changes in the completeness of combustion: flaming combustion produces smoke dominated by black carbon aerosols, whereas smoldering combustion generates more organic carbon aerosol, which more effectively backscatter sunlight and appear whiter (Bellouin 2014; Saleh et al. 2014).

An additional aspect of the observed plume rise is the relationship between the updraft strength and the ambient wind. This relationship is examined in Fig. 14, which displays VAD wind profiles (Fig. 14 a,b) and RHI radial velocities detailing the plume structure (Fig. 14c-e). The wind profiles show significant shear over the lowest 2 km of the atmosphere. Strong (5-7 m s^{-1}) northwesterly winds near the surface transition to weak flow at the boundary layer top (0-1 m s^{-1} near 2300 m), then reverse to easterly flow aloft (Fig. 14a,b). The observed near-surface wind speed maximum is atypical in the atmospheric boundary layer and "adverse" wind profiles of this character have previously been linked to blow-up fires (Byram 1954).

Compared to the ambient wind, the flow within the plume is characterized by much stronger velocities (Fig. 14c,d). For example, outbound speeds in excess of 15 m s^{-1} are recorded at numerous locations within the plume at 1809 PDT. The actual updraft speed likely exceeds these values since the radial velocity data only reflect the projection

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of the updraft onto the oblique lidar beam. Significant downdrafts are also observed in the upper portion of the plume, especially at 1813 PDT (blue shading, Fig. 14c,d).

The RHI velocity data also show that the strength of the updraft diminished with time. For example, comparative histograms demonstrate that strong outbound velocities were both higher and more common at 1809 than 1813 PDT (Fig. 14e). This observation is consistent with the change in smoke coloration described above: flaming combustion is likely to produce stronger updrafts due to more rapid heat release.

Changes in plume geometry also accompany the reduction in updraft strength. The plume is at first mostly upright (Fig. 14c) and later becomes more sheared (Fig. 14d). Specifically, the leading plume edge becomes tilted downwind within the boundary layer while the upper portion of the plume bends back towards the observing location. Based on these data, we hypothesize that as the fire's updraft weakens it becomes more susceptible to the ambient shear. The role of wind shear as a limiting factor in plume development is further discussed below.

A more robust examination of the plume condensation level during the Rocky Fire's multiple pyroCu events is presented in Fig. 15. These analyses leverage the aggregated data from all of the RHI scans on 30 July. Unsurprisingly, the time-maximum backscatter exhibit a sharp transition near 4200 m (Fig. 15a, b), as was indicated in the earlier plume rise sequences (Figs. 12, 13). Below 4200 m the backscatter decays roughly linearly with height, and above that level the backscatter converges to a value of near -4 m^{-1} sr^{-1} (Fig. 15b). Likewise, the attenuation depth linearly *increases* from the surface up to 4200 m, then abruptly decreases to a median depth of ~200 m. This pattern is consistent with the dilution of the smoke plume by entrainment and the onset of condensation aloft. Importantly, these analyses are remarkably similar to those during the Bald Fire suggesting a clear lidar signature of pyroCu onset. Moreover, the condensation level is once again found to be constant throughout the observing period, indicating that ambient atmospheric conditions rather than variations in water released during combustion likely control its height.

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4.2 Radar Analysis

Radar data are again used to estimate the maximum smoke injection depth. The Rocky Fire was within ~100 km of both the Sacramento (KDAX) and Beale Air Force Base (KBBX) NWS radars (Fig. 1), and data from both sites are in agreement.

The maximum echo tops (from KDAX) occur between 7000 m and 7500 m, consistent with the lidar cloud detections (Fig. 16a). The spatial pattern of echo tops indicate that plumes of similar height developed on all of the expanding flanks of the fire. Interestingly, the corresponding time series demonstrates the plume transience, showing rapid variations in plume height throughout the late afternoon (Fig. 16b). Each spike corresponds to a short-lived pyroCu with durations ranging from 10-30 minutes. Satellite data confirm the episodic nature of these plumes (not shown).

The variability in echo tops is also due to the presence of multiple updrafts. For example, a volume rendering of the reflectivity data at 1609 PDT shows the two distinct updrafts associated with the complex fire perimeter (Fig. 16c). The narrow updraft rising from the northwestern flank of the fire is the same plume shown in Fig. 12, and the lidar plume detections agree well with the radar data (yellow dots, Fig 16c). A second broader plume rises from the north and northeastern flanks of the fire at the same time. Above 5000 m the upper portions of both plumes are tilted to the north-northwest due to southeasterly flow in that layer. Later in the fire's evolution the plume growth shifted towards the east and southeast (not shown).

4.3 Thermodynamic Analysis

The Rocky Fire pyroCu development is interesting in that the thermodynamic environment theoretically supports much deeper convection than was observed. Using radiosonde data from ~15 km southwest of the fire at 2105 PDT, Fig. 17a shows that moist adiabatic ascent from the observed 4200 m cloud base would generate 2035 J kg^{-1} of CAPE and that the plume equilibrium level would be ~13 km, impinging on the tropopause. The radar and lidar data indicate, however, that the plumes ascended to no higher than ~7.5 km, corresponding to plume top temperature of –20° C. As such, these clouds are best classified as pyroCu, and never developed as deep pyroCb.

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What then limits the growth? There appear to be two related limiting factors in the plume rise: (1) wind shear, and (2) dry air entrainment. The lidar wind profiles, presented above in Fig. 14, indicate significant wind shear between the CBL and free troposphere. This wind shear is also apparent in the radiosonde wind profile, which shows a 180-degree wind shift at 2300 m (wind barbs and hodograph Fig 17a). The flow below this level is from the west-northwest, whereas the flow above, and extending up to ~7 km, is from the east-southeast. The layer of southeasterly flow is associated with a surge of monsoonal moisture.

A second layer of significant wind shear at 7000 m separates the monsoon flow from southwesterly flow in the upper troposphere. This shear also coincides with a rapid decrease in dew point temperature, and thus relative humidity. It is notable then that the maximum echo tops occur only about 500 m above the upper shear layer. Visual observations throughout the afternoon and early evening suggest this shear zone affected the pyroCu development, tending to sweep the upper portion of the cloud away from the updraft core. The detraining upper portions of the cloud subsequently developed ragged and wispy edges indicative of dry air entrainment as opposed to the crisp crenellations of growing cumulus congestus.

The effect of the wind shear on a buoyant parcel is easily visualized by examining the ascent track of the radiosonde, which rose at a mean rate of 2.7 m s^{-1} (Fig. 17b). The ambient shear causes a pronounced zigzag pattern that is clearly detrimental to sustained upright convection despite the substantive CAPE. This result is not surprising in that CAPE is known to overestimate convective development and updraft strength (Markowski and Richardson 2011).

4.3.1 Lifted Parcels

Despite their limited vertical development the Rocky Fire pyroCu provide additional support for the hypothesis that the plume condensation level occurs above the ambient LCL. Following the same procedures described for the Bald Fire we examine three convective parcels, the ascents of which are shown in Fig. 18. The LCLs for the MU and ML parcels are 3503 m and 3768 m, respectively (red and cyan lines, Fig. 18).

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Both of these lifted parcels must overcome modest CIN to reach their level of free convection. In contrast, the computed CCL of 4250 m is much closer to the lidar observed condensation level at ~4200 m. The corresponding convective temperature is ~43° C, which is higher than the observed daytime temperature of 39° C. These results, like those from the Bald Fire, again suggest that the CCL is a useful parameter for estimating pyroCu/Cb convective initiation heights.

4.4 Fire Radiative Power and Environmental Moisture

Figure 19a shows the GOES-15 and MODIS FRP in for the Rocky Fire on 29-30 July 2015. From these data it is clear that the diurnal cycle of fire intensity is similar during the first two days of fire growth, with peak FRP values near 1500 MW in the late afternoon and fire activity extending into the late evening. Interestingly, despite comparable fire intensity, pyroCu were not observed on the 29th but were widespread on the 30^{th}.

To better understand this disparity, Fig. 19b-e compares the ambient meteorological conditions between days. These data are from a weather station just outside the fire perimeter, the location of which is shown in Fig. 10 (data obtained from MesoWest, Horel et al. 2002), and the 1700 PDT upper air soundings at Oakland International Airport (KOAK, location shown in Fig. 1). The high temperature on both days was ~39° C and afternoon winds were from the west with peak gusts near 6 m s^{-1}. The relative humidity was higher on the 30^{th} than the 29^{th}.

Figures 19d,e show the time series of the water vapor mixing ratio and the differences in the relative humidity from the KOAK soundings for two afternoons. From these data it is apparent that the onset of pyroCu on 30 July corresponds to the arrival of much higher humidity air, both at the surface and aloft. For example, the mixing ratio increases from 4.5 g kg^{-1} to 8 g kg^{-1} while the relative humidity at 5500 m jumps from 7% to 66%. The corresponding change in the CCL is substantial, dropping from 5848 m on 29^{th} to 4267 m on the 30^{th}. Since the fire intensity was similar on both afternoons it is likely that reduction in the height of CCL due to the influx of monsoon moisture was the driving factor in pyroCu formation. These observations support the conclusions of

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Luderer et al. (2006; 2009) that environmental moisture, not water released in combustion, is the primary control on pyroCu development.

5 Summary and Conclusions

The observations presented in this paper demonstrate that plume condensation levels can exceed the height of the ambient LCL, sometimes substantially. For example, during the Bald Fire the plume condensation level was more than 1 km higher than the environmental LCL. As such, we conclude that the LCL should not be used, as it has been, as a parameter for assessing pyroCu/Cb potential outside of the limiting case where the CCL and LCL coincide, which is to say that widespread convective clouds are possible. While our observational results span a limited portion of the parameter space, they nonetheless provide strong support for the modeling results of Luderer et al. (2006; 2009) and Trentman et al. (2006), and seemingly contradict the results of Potter (2005).

While the CCL and the corresponding moist adiabatic ascent provide a useful approximation for plume properties, other factors must also be considered. Specifically, CAPE alone cannot determine the convective outcome. Our results from the Rocky Fire show, for example, that ambient wind shear and dry air entrainment can significantly curtail the convective development even in an environment that might otherwise support deep pyroCb. In addition, our results show that the change in environmental humidity, often in the form of a monsoonal surge, exerts a significant influence over the onset of pyroCu/Cb by raising or lowering the height of CCL. These results suggest that the moisture release during combustion is of secondary importance, at least in these observed cases.

While our results mark an advance in understanding pyroCu/Cb development there is a clear need for new measurement and modeling investigations of pyroconvective clouds. Future field campaigns should include observations of the ambient environment (e.g. radiosondes, CBL properties), the lower plume structure (temperature, moisture, and momentum fluxes), and cloud properties (e.g. liquid and ice water path, particle size distributions, etc.). These data should subsequently inform physical fluid dynamical models in order to investigate aspects of plume dynamics that may not be observable. Some potential avenues for obtaining these observations include dropsondes from

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aircraft, surface and aircraft based dual-polarization radars, unmanned aerial vehicles, and dual-Doppler lidar deployed during large-scale prescribed burn experiments where the fuel loading and extent of combustion is known or can be determined after the fact.

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Author Contributions

C.C. conceived of the field program, N.L. and C.C. conducted the field measurements, and N.L. led the data analysis and writing.

Acknowledgements

The lidar and radiosonde data are available upon request from the authors. All other data sources are publically available. This research is supported under grant AGS-1151930 from the National Science Foundation. Christopher C. Camacho contributed to the field observations during the Rocky Fire.

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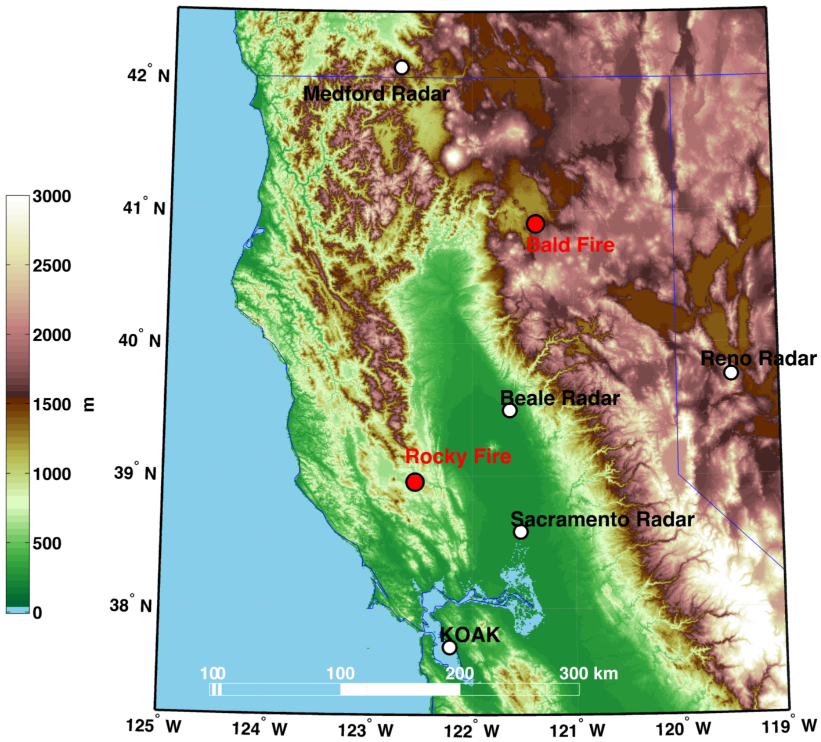

Fig. 1. Overview map showing the regional topography (terrain shading), locations of the Rocky and Bald Fires (red circles), the locations and names of the NWS radars used in the plume analysis (white circles), and the KOAK sounding site (white circle).

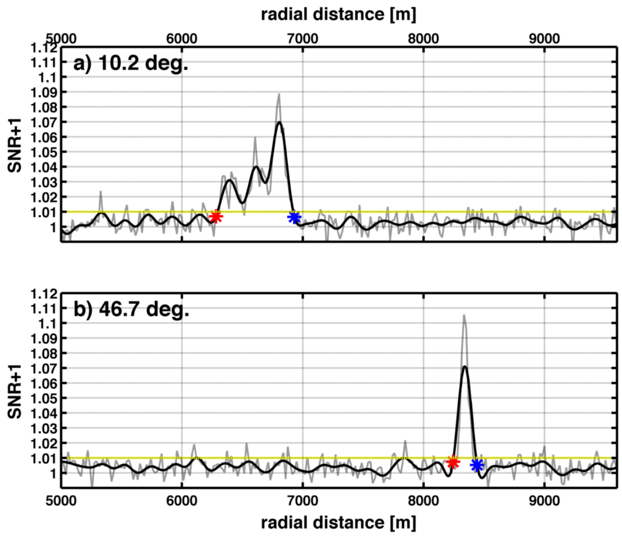

Fig. 2. Examples of the plume detection and attenuation algorithm based on the filtered (solid black line) and unfiltered (gray line) lidar signal-to-noise ratio (SNR+1). (a) Low elevation angle (10.2 deg) lidar beam intersecting the base of the Bald Fire convective column. (b) High elevation angle (46.7 deg) beam intersecting the pyroCu in the upper plume. The red stars indicate the leading plume edge and the blue stars the attenuation point. The SNR+1 threshold of 1.01 is indicated with a dashed yellow line.

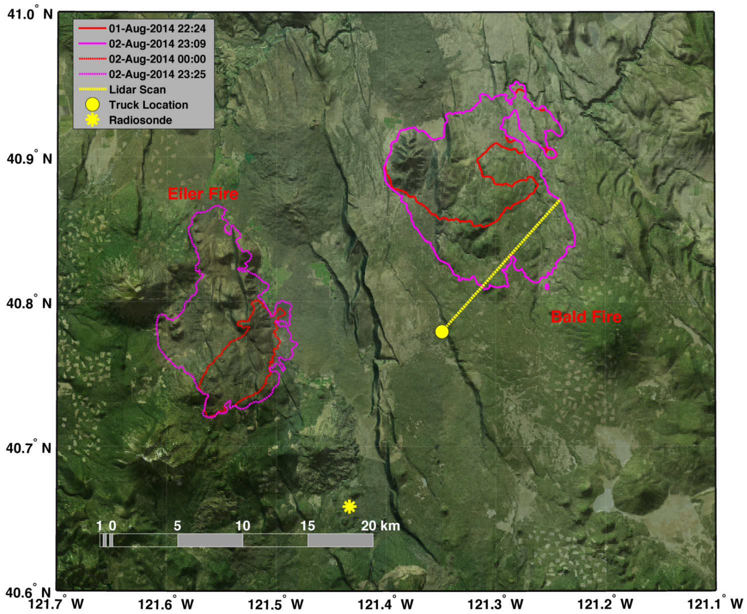

Fig. 3. Bald and Eiler Fire progression map for 1 and 2 August. The fire perimeters are from the US National Forest Service National Infrared Operations (NIROPS) flights. The background is a satellite image draped over the terrain, which is highlighted with hill shading. Also shown are the truck location (yellow dot), lidar scan path (yellow line), and radiosonde location (yellow star).

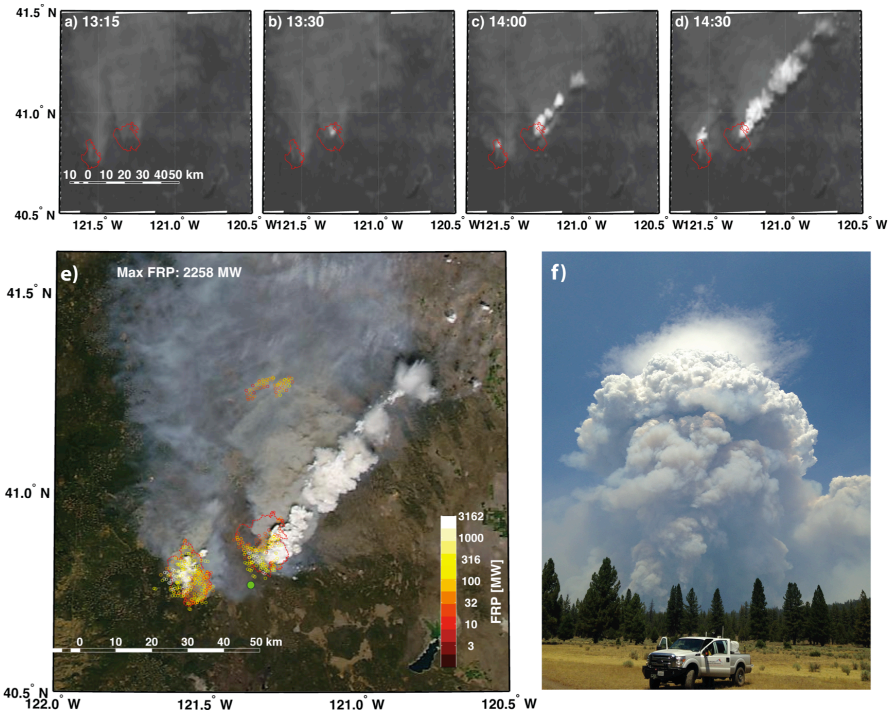

Fig. 4. Overview of the pyrocumulus initiation and growth on 2 August 2014. (a-d) GOES-15 visible imagery showing the pyroCu initiation. (e) MODIS-Aqua visible image at 1426 PDT along with fire-radiative power (FRP, colored circles). (f) Photograph of the lidar vantage point and the windward edge of convective column and pyroCu at 1401 PDT. The truck location is indicated in as a green dot in panel (e). The fire perimeters are as in Fig. 3.

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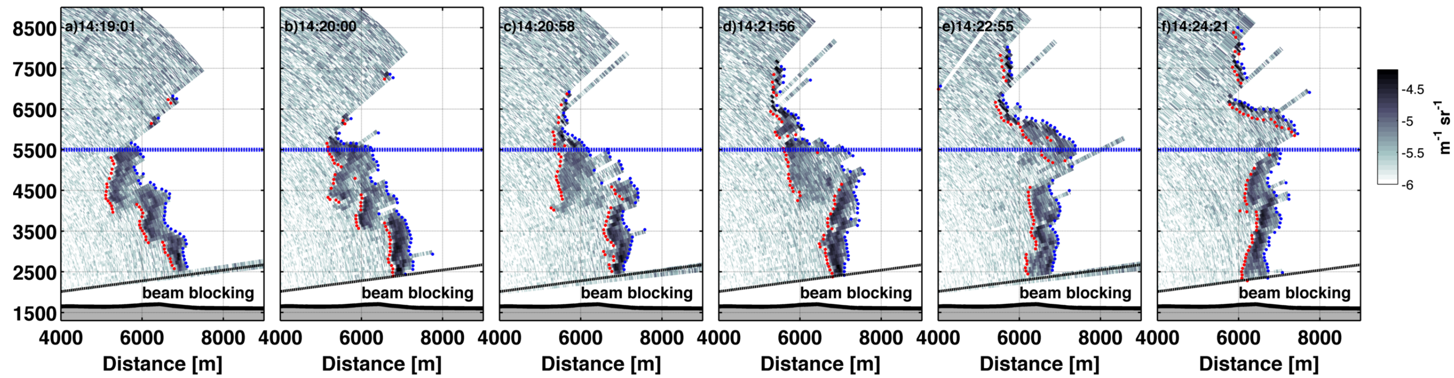

Fig. 5. Plume rise sequence recorded by successive lidar RHI scans from 1419 to 1424 PDT. The displayed data are the logarithmic attenuated backscatter coefficient (m^{-1} sr^{-1}). The plume edge and attenuation points are shown in red and blue dots, respectively. Data beyond the attenuation point is shadowed (blank points). The dashed blue line indicates the condensation level.

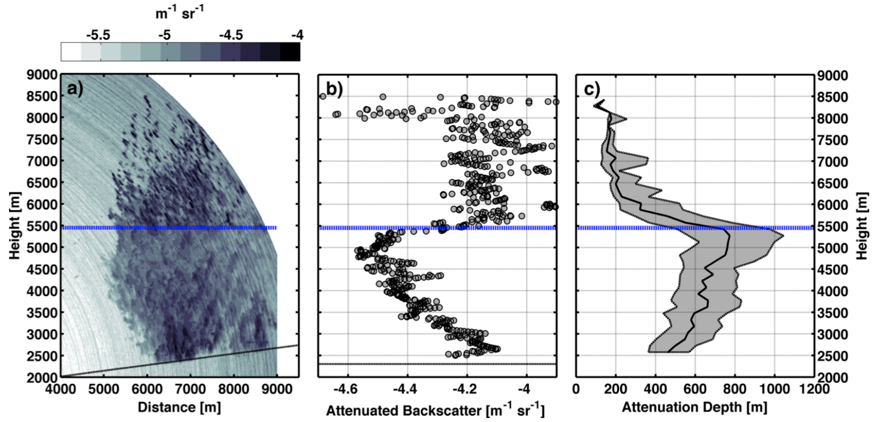

Fig. 6. Statistical analysis of lidar data between 1350 and 1502 PDT showing the plume condensation level. (1) Maximum backscatter as a function of height and distance. (b) Maximum backscatter as a function of height only. (C) 5, 50 and 95th percentiles of the attenuation depth as a function of height. The dashed blue line indicates the inferred condensation level.

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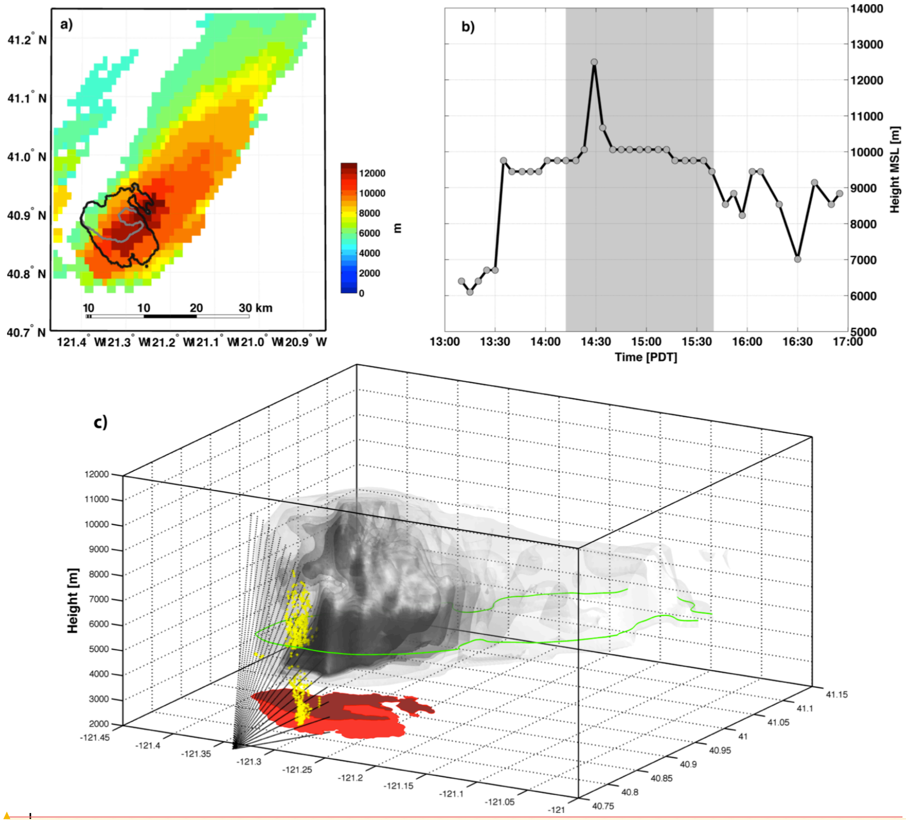

Fig. 7. Radar analysis of the Bald Fire convective column. (a) Maximum echo top heights (color shading) during the Bald Fire along with the NIROPS fire perimeters (gray and black contours). (b) Time series of the maximum echo tops heights. Gray shading shows the period of lidar observations. (c) Volume rendering of the Bald Fire plume at 1429 PDT. Reflectivity isosurfaces are displayed at 30, 28, 26, 24, 22 and 18 dbZ. The lidar scan path and plume detections are shown in black and yellow dots, respectively. Fire perimeters are shown in red shading. The lidar derived condensation level is indicated by the green contour.

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Comment [3]: Updated this figure with two new panels showing the time series and 3D rendering

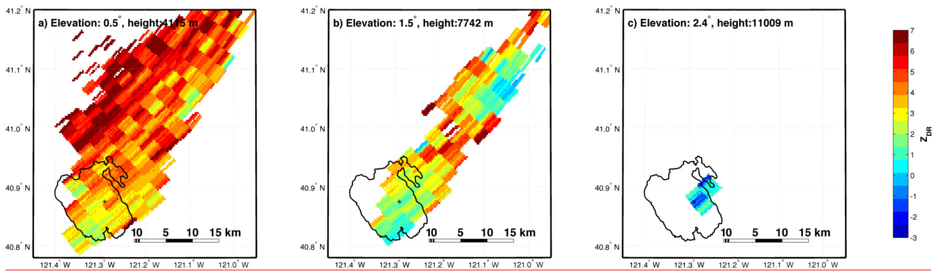

Fig. 8. Differential reflectivity (Z_{dr}) analysis of the Bald Fire plume at 1429 PDT. (a-c) Z_{dr} at the 0.5, 1.5, and 2.4 deg. elevation sweeps. The black contour shows the fire perimeter on 2 August.

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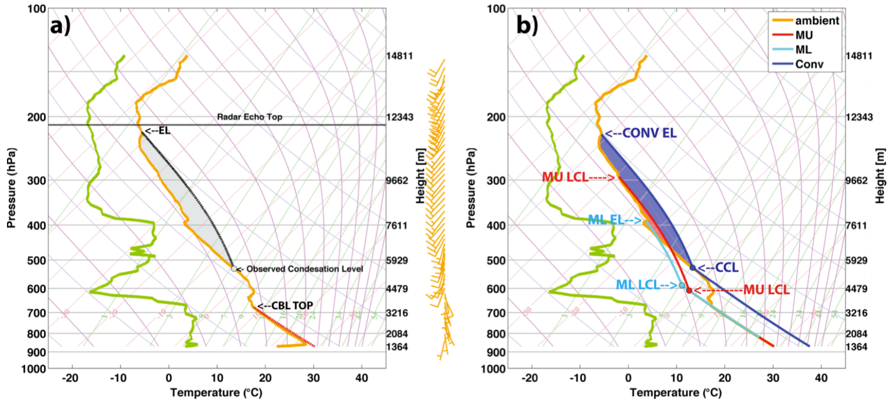

Fig. 9. Thermodynamic analysis of the ambient environment and plume parcels. (a) Observed sounding from 2 August 2014, 2100 PDT showing the adjusted boundary layer profile (dashed red line), the lidar derived condensation level (gray circle), the moist-adiabatic ascent from the condensation level, equilibrium level, and the radar derived echo tops. (b) Analysis of lifted parcels, showing the most unstable (MU), mixed-layer (ML), and convective (CONV) parcel trajectories. The condensation and equilibrium levels for each parcel are shown, and their CAPE is shaded.

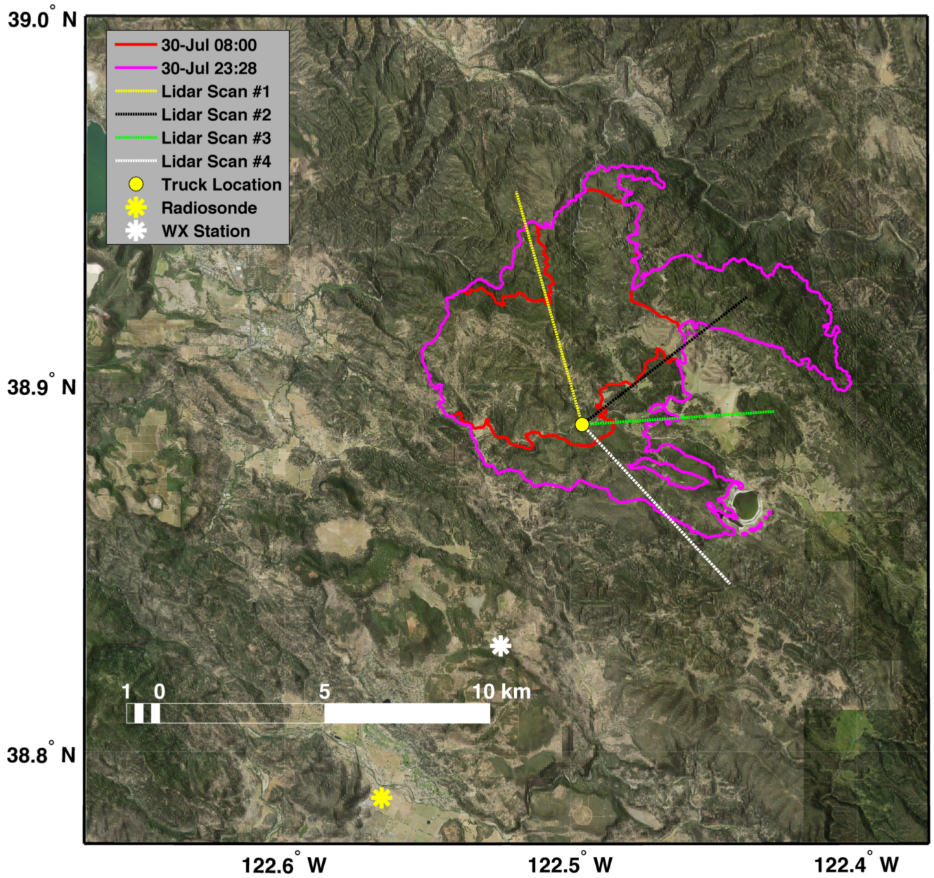

Fig. 10. Rocky Fire progression map for 30 July 2015. The fire perimeters are from the US National Forest Service National Infrared Operations (NIROPS) flights. The background is a satellite image draped over the terrain, which is indicated with hill shading. Also shown are the truck location (yellow dot), lidar scan paths (colored lines), radiosonde location (yellow star), and weather station location (white star).

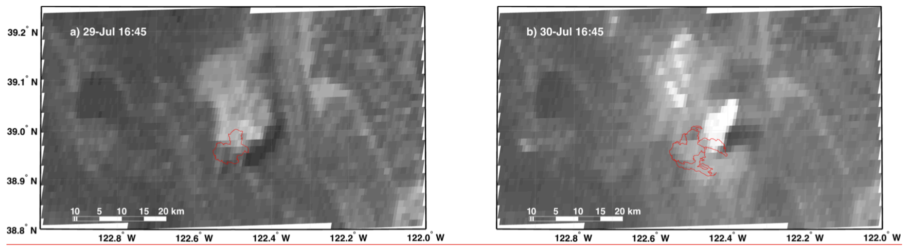

Fig. 11. Visible satellite (GOES-15) images showing the difference in the Rocky Fire plume between 1645 PDT on 29 and 30 July. The data show a pyroCu tower on 30 July that is absent on 29 July.

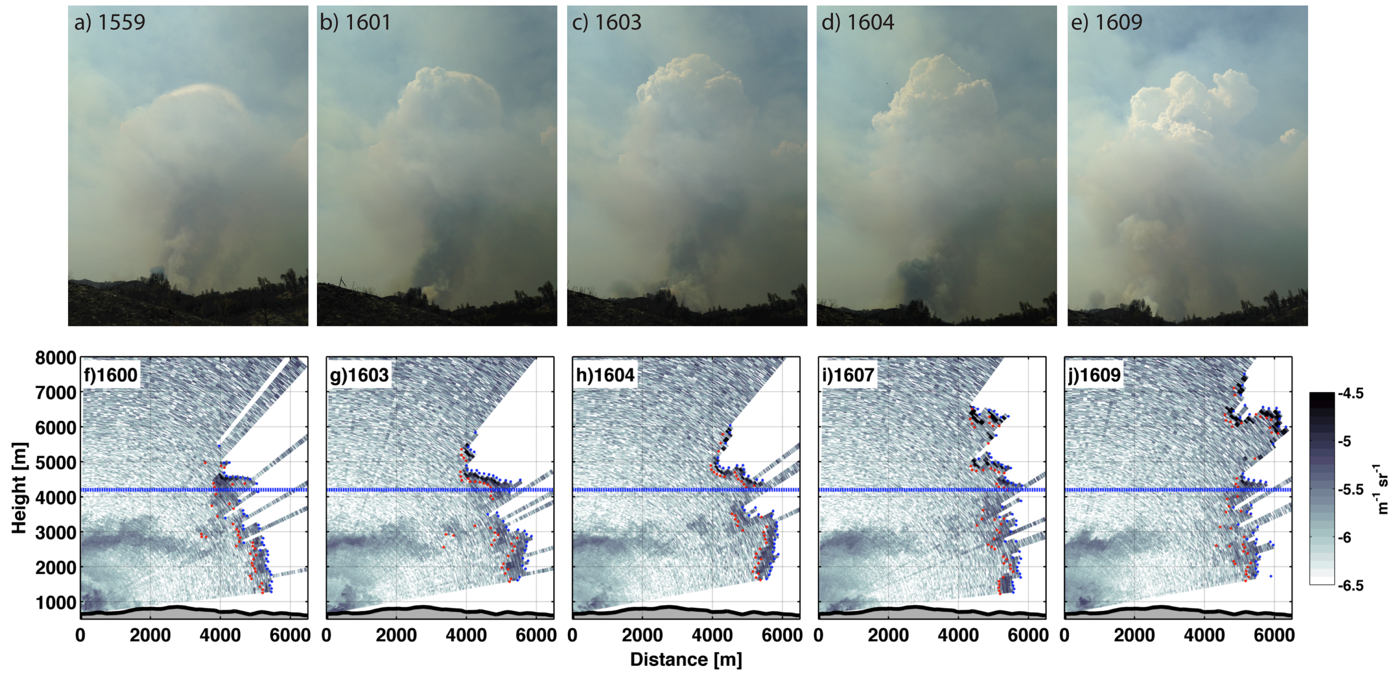

Fig 12. Pyrocumulus development from 1559-1609 PDT on 30 July 2015. (a-e) Photographs of the plume rise and pyroCu development. (f-j) Lidar backscatter showing the onset of condensation and subsequent cloud growth. The dashed blue lines shows the lidar derived condensation level.

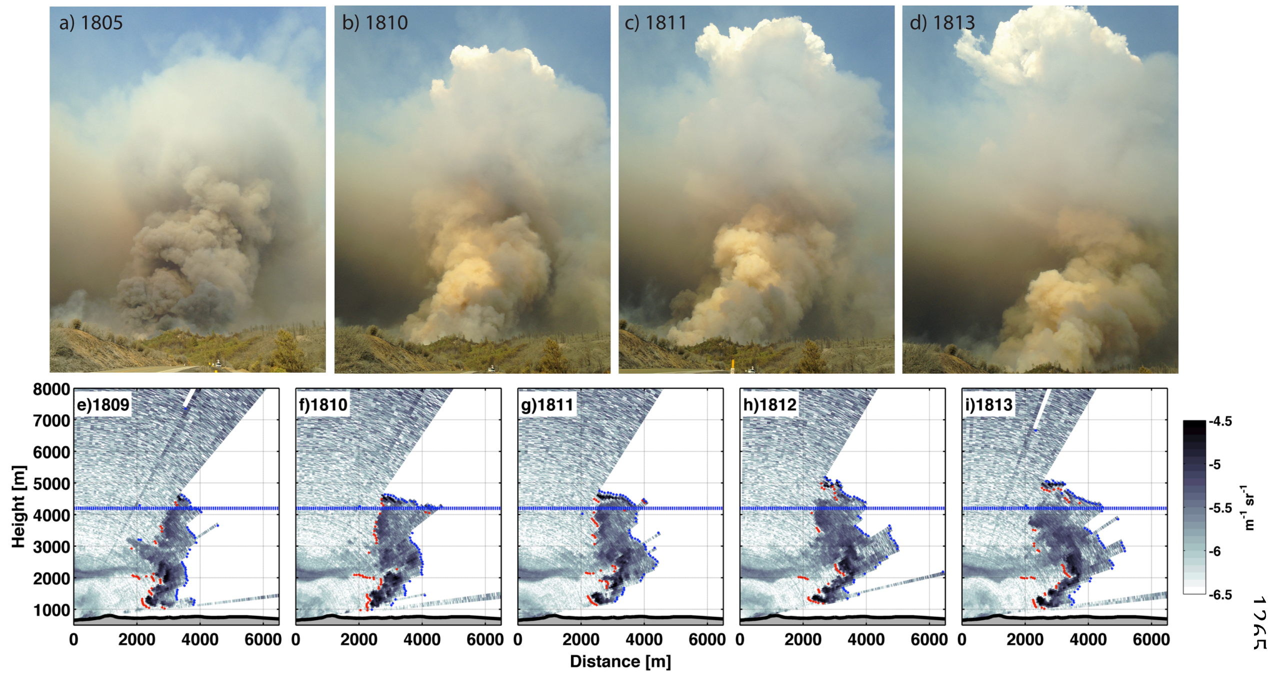

Fig 13. Pyrocumulus development from 1805-1813 PDT on 30 July 2015. (a-d) Photographs of the plume rise and pyroCu development. (e-i) Lidar backscatter showing the onset of condensation and subsequent cloud growth. The dashed blue lines shows the lidar derived condensation level.

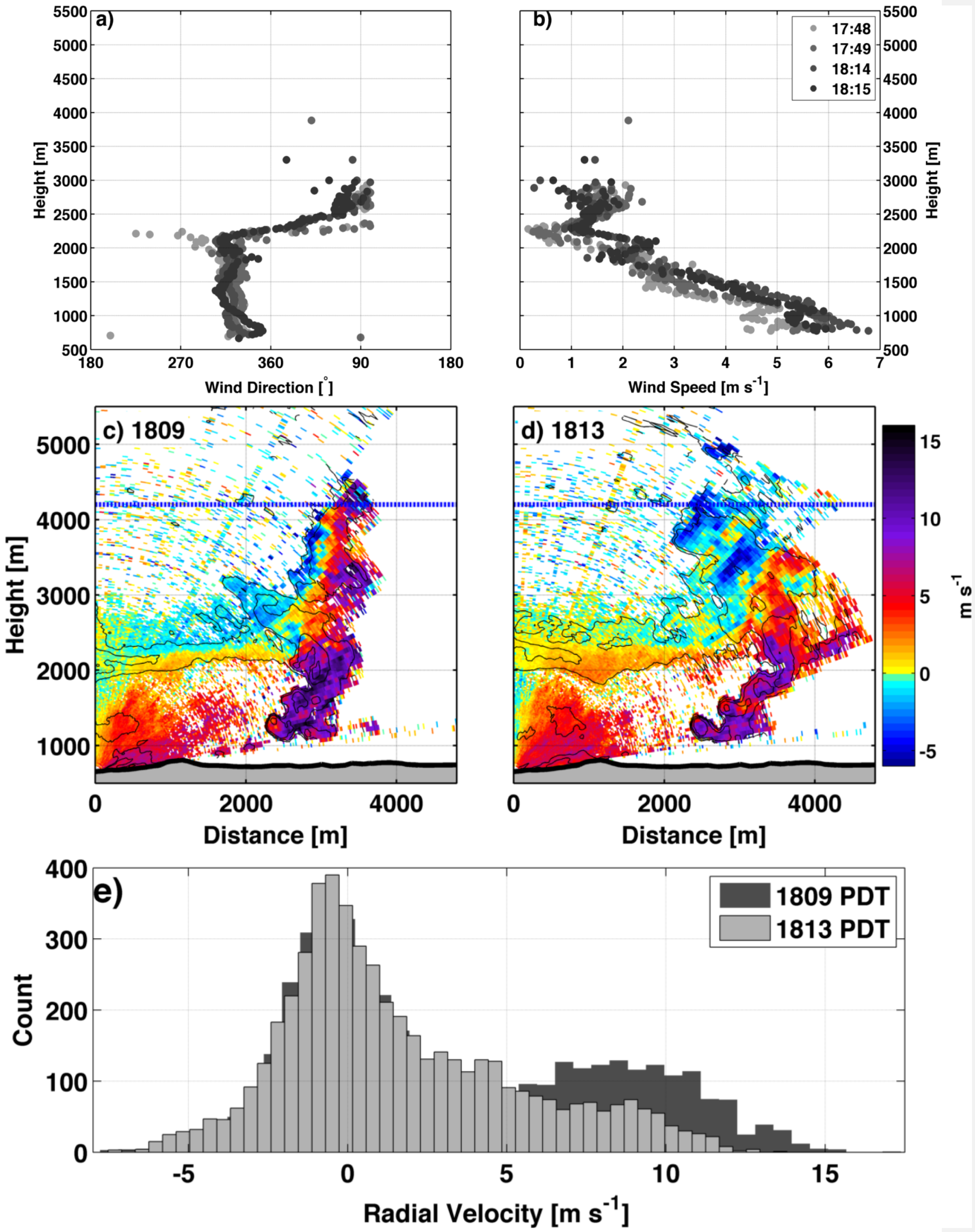

Fig. 14. Analysis of the interaction of the plume with the ambient wind. (a-b) VAD derived profiles of the ambient wind speed and direction. (c-d). Radial velocities during the plume rise and smoke backscatter (black contours). (e) Comparative histogram of radial velocities at 1809 and 1813 PDT.

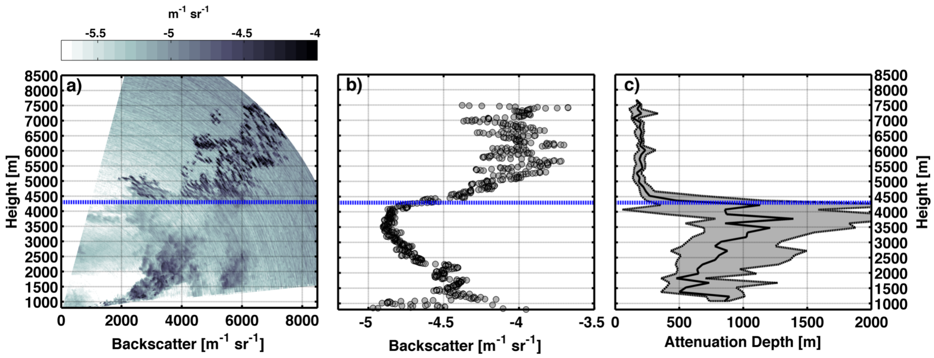

Fig. 15. Statistical analysis of lidar data between 1545 and 2008 PDT on 30 July 2015. (1) Maximum backscatter as a function of height and distance. (b) Maximum backscatter as a function of height only. (C) Attenuation depth as a function of height. The dashed blue line indicates the inferred condensation level.

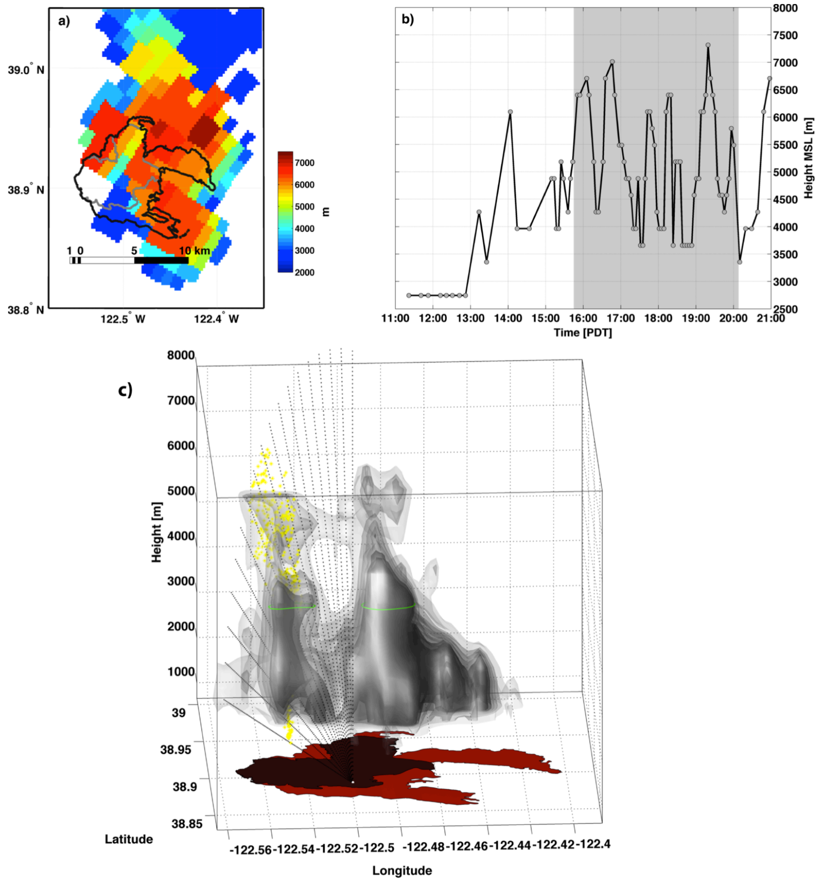

Fig. 16. Radar analysis of the Rocky Fire convective column. (a) Maximum echo top heights (color shading) along with the NIROPS fire perimeters (gray and black contours). (b) Time series of the maximum echo tops heights. Gray shading shows the period of lidar observations. (c) Volume rendering of the Rocky Fire plume at 1609 PDT. Reflectivity isosurfaces are displayed at 30, 28, 26, 24, 22 and 18 dbZ. The lidar scan path and plume detections are shown in black and yellow dots, respectively. Fire perimeters are shown in red shading.

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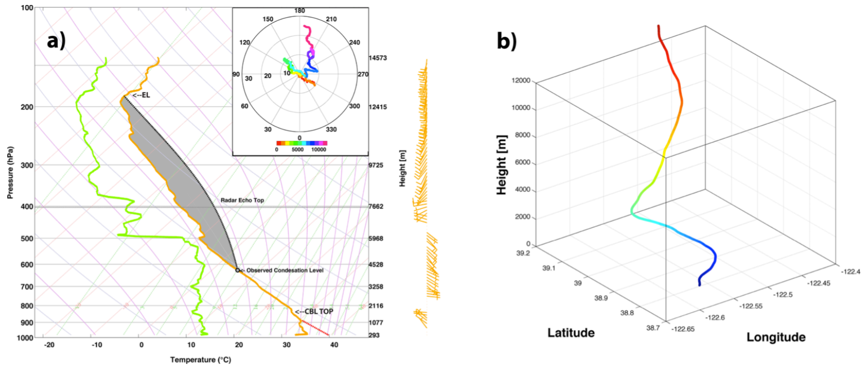

Fig. 17. Thermodynamic analysis of the ambient environment during the Rocky Fire. (a) Observed sounding from 2105 PDT showing the adjusted boundary layer profile (dashed red line), the lidar derived condensation level (gray circle), the moist-adiabatic ascent from the condensation level, and the radar derived echo tops. The inset is a hodograph and the wind barbs on the right indicate how the wind speed and direction change with height. (b) Balloon ascent path showing the affect of wind shear on a buoyant parcel.

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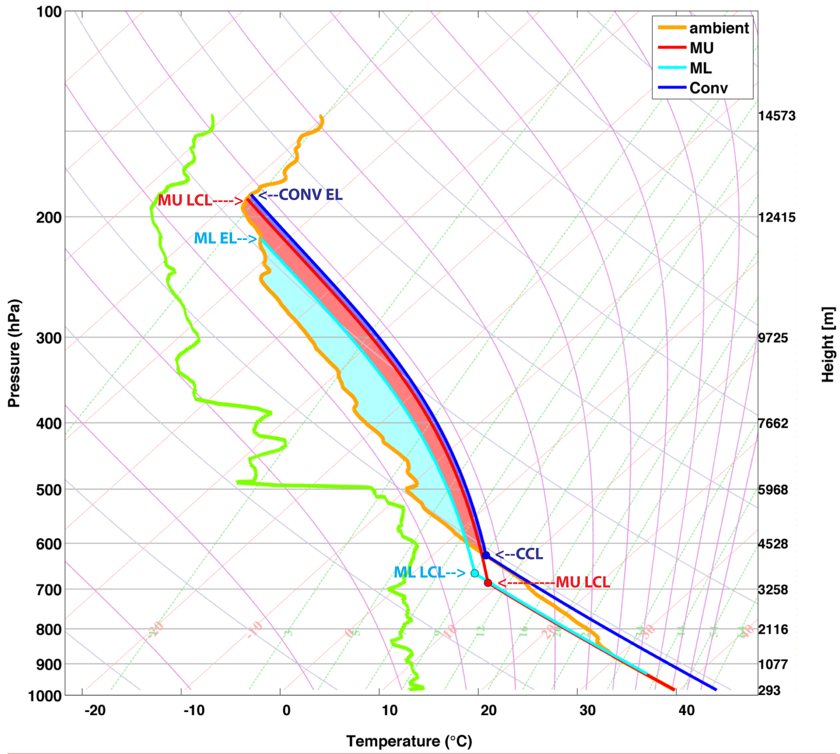

Fig. 18 Analysis of lifted parcels, showing the most unstable (MU), mixed-layer (ML), and convective (Conv) parcel trajectories. The condensation levels and CAPE for each parcel is described in the text.

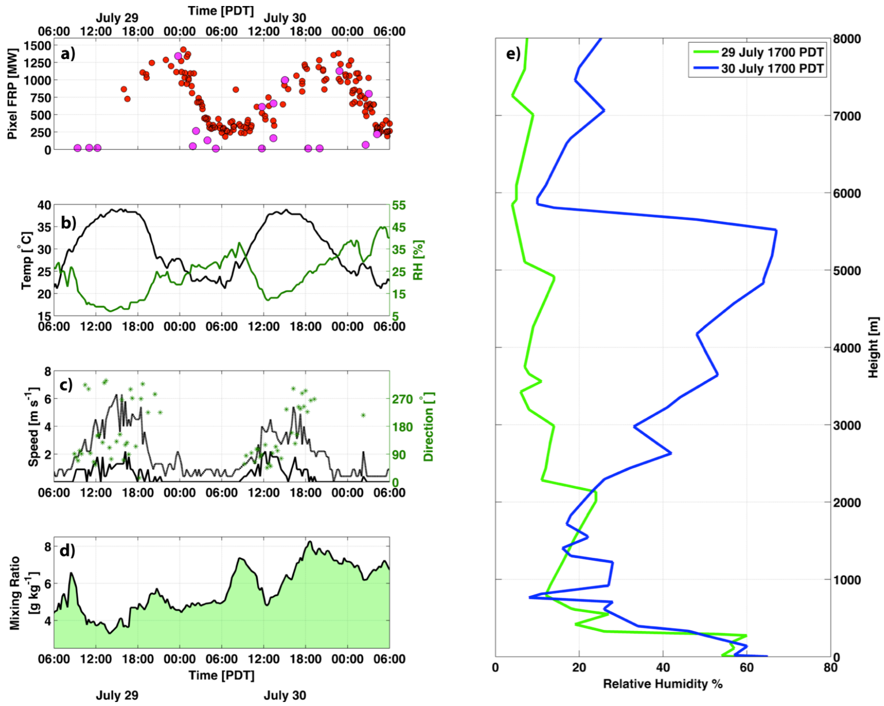

Fig 19. Analysis of the environmental conditions on 29 and 30 July. (a) Fire radiative power from GOES-15 (red dots) and MODIS (purple dots). (b) Temperature (black line) and relative humidity (green line) time series. (c) wind speed (black line), gust (dashed black line) and direction (green starts). (d) Surface mixing ratio. (e) Comparison of the vertical profile of relative humidity from the KOAK sounding at 1700 PDT on 29 and 30 July. The location of the weather station is shown in Fig. 10.

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