



The influences of
mass loading and
rapid dilution on SOA
volatility

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The influences of mass loading and rapid dilution of secondary organic aerosol on particle volatility

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increases, most steeply below $\sim 30 \mu\text{g m}^{-3}$. Other studies have shown that the mass yield of a variety of SOA, including α -pinene + O_3 SOA, increases as C_{OA} increases (Henry et al., 2012; Odum et al., 1996; Pathak et al., 2007). Changes to aerosol composition as a function of C_{OA} can be explained by gas/particle partitioning in which the distribution of material between the gas and particle phases is related to the saturation vapor concentration, C^* , and the total OA concentration (Pankow, 1994; Odum et al., 1996) according to:

$$\frac{C_{i,p}}{C_{i,\text{tot}}} = \alpha_i \left(1 + \frac{C_i^*}{C_{\text{OA}}} \right)^{-1} \quad (1)$$

where $C_{i,p}$ is the concentration of compound i in the particle phase ($\mu\text{g m}^{-3}$), $C_{i,\text{tot}}$ is the total concentration of i in both the gas and particle phase ($\mu\text{g m}^{-3}$), C_i^* is the saturation vapor concentration ($\mu\text{g m}^{-3}$) and α_i is the mass yield of compound i . When C_{OA} is equal to C_i^* 50% of compound i exists in the particle phase. Compounds are generally considered semi-volatile when their C_i^* are within 1–2 orders of magnitude of the concurrent C_{OA} . According to gas/particle partitioning, as C_{OA} increases the fraction of higher volatility compounds, which usually have a lower O/C ratio, present in the condensed phase will increase. SOA growth experiments have historically been interpreted through the framework of absorptive gas/particle partitioning theory, where volatility distributions, i.e. distributions of α_i as a function of C_i^* for some number of surrogate compounds, are derived by fitting the observed SOA formation (Odum et al., 1996; Donahue et al., 2006). Such analyses indicate that SOA is composed of a distribution of semi-volatile compounds with volatilities greater than $\sim 10^{-1} \mu\text{g m}^{-3}$. However, the volatility distributions determined from fitting of growth experiments have been mostly unable to describe the reverse process, namely evaporation of SOA.

For example, quantitative estimates of the volatility of both ambient and laboratory OA after heating induced evaporation indicate that there are often components of OA with significantly lower volatility than predicted by fitting of growth experiments (Cappa

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dian diameter, $d_{p,V}$. The particle volume fraction remaining (VFR) after passing through the TD is then:

$$\text{VFR} = \frac{\frac{\pi}{6} \cdot d_{p,V,\text{TD}}^3}{\frac{\pi}{6} \cdot d_{p,V,\text{bypass}}^3}, \quad (2)$$

where $d_{p,V,\text{TD}}$ and $d_{p,V,\text{bypass}}$ refer to the particles that passed through the TD or the bypass, respectively. Under an assumption of constant particle density, the VFR is equivalent to the particle mass fraction remaining (MFR), and plots of VFR vs. temperature are commonly referred to as mass thermograms. The bypass distribution was measured at least every two temperature changes (\sim every 20 min) to account for any changes in the reference particle distribution; in general, the reference distributions were very stable.

To facilitate quantitative comparison between experiments at different C_{OA} , each mass thermogram was fit to the sigmoidal type equation from Emanuelsson et al. (2013):

$$\text{VFR}(T) = \text{VFR}_{\text{max}} + \left(\frac{\text{VFR}_{\text{min}} - \text{VFR}_{\text{max}}}{1 + \left(\frac{T_{50}}{T}\right)^{S_{\text{VFR}}}} \right), \quad (3)$$

where VFR_{min} is the VFR at the low temperature limit, VFR_{max} is the VFR at the high temperature limit (typically zero), S_{VFR} is the slope factor that characterizes the steepness of the VFR curve and T_{50} is the temperature at which $\text{VFR} = 0.50$. If there is no evaporation in the TD at room temperature due to the removal of gas-phase compounds (vapor stripping) in the denuder section then the VFR at room temperature (298 K) should be, by definition, unity. Best-fit VFR_{min} values greater than unity may, however, be obtained because Eq. (3) is an empirical expression and thus is not expected to provide a perfect match with the observations, although can nonetheless

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facilitate comparison between different experiments. Here, to provide for more consistent fitting and since no evaporation at room temperature was observed, the fit curves were forced to go through unity at room temperature.

2.4 Kinetic model of evaporation

2.4.1 Thermodenuder model

The kinetic model of evaporation used here is a modified version of the model developed by Cappa (2010) to simulate evaporation in a thermodenuder. The original model simulated gas/particle mass transfer (evaporation and condensation) for a monomodal multi-component aerosol as particles pass through and are heated and cooled in the TD along with loss of vapors to the charcoal denuder. Absorptive partitioning is implicitly assumed. Compounds evaporate according to their respective saturation vapor concentrations, and it is assumed that the gas/particle system is at equilibrium before entering the TD. The temperature dependence of C^* is accounted for using the Clausius–Clapeyron equation. Here, it is assumed that the enthalpy of vaporization, ΔH_{vap} , is related to C^* according to the relationship of Epstein et al. (2010), where ΔH_{vap} (kJ mol^{-1}) = $131 - 11 \times \log C^*$. The temperature profile through the TD is empirically specified (see Supplement). The key input to the model is the distribution of mass (gas + particle) with respect to C^* , referred to as a volatility distribution; different distributions will yield different mass thermograms (Cappa and Jimenez, 2010). It is commonplace to assume a distribution where the C^* values differ by an order of magnitude at a specified reference temperature, e.g. $\log C^*(298 \text{ K}) = (-3, -2, -1, 0, 1, 2, 3)$, and this approach is adopted here. The calculated mass transfer rates can be adjusted to account for mass transfer limitations, as characterized by the mass accommodation coefficient, γ_e , which characterizes deviations from the theoretical maximum evaporation rate; γ_e is an adjustable parameter as it is not known a priori. The default value used is $\gamma_e = 1$. The model output for a given set of ΔH_{vap} and C^* is dependent on γ_e . At smaller γ_e the slope of the mass thermogram is less steep, the T_{50} increases and

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for SOA with semi-volatile components an increasing amount of mass remains after TD-processing at room temperature (Cappa and Wilson, 2011). The model can be run with pre-specified volatility distributions or can be used to determine empirical volatility distributions from fitting to observations (Cappa and Jimenez, 2010).

The base TD model has been modified to include the influence of dimers and dimer decomposition on the simulated evaporation, and shares some similarities with Trump and Donahue (2014). The dimer model is implemented as follows. The initial equilibrium gas/particle mass distribution is based on a semi-volatile monomer volatility distribution (i.e. that determined from previous growth experiments). The balance between monomers and dimers at equilibrium is then determined from the monomer/dimer equilibrium constant, K_{eqm} ($\text{cm}^3 \text{ molecules}^{-1}$), which is equal to the ratio of the forward (k_f , $\text{cm}^3 \text{ molecules}^{-1} \text{ s}^{-1}$) and reverse (k_r , s^{-1}) rate coefficients associated with formation from monomers and dimer decomposition, i.e. $K_{\text{eqm}} = k_f/k_r$. Note that the volume units on K_{eqm} and k_f correspond to condensed-phase volume. If K_{eqm} is large then all condensed-phase species would be in dimer form and, at equilibrium, all gas-phase material would be drawn into the condensed phase. Here, this situation is avoided through the following simplification to determine the initial particle state at the TD inlet. First, the gas/particle (monomer only) equilibrium distribution is calculated given the specified volatility distribution and C_{OA} . Then the monomer/dimer equilibrium in the condensed phase is calculated, and the gas-phase concentrations are set to zero to avoid large amounts of condensing material at the next time step. Since a charcoal denuder is placed immediately after the flowtube, this simplification is physically accurate. The resulting gas-monomer-dimer concentrations are used as the initial state.

It is assumed that the dimers are non-volatile over the entire temperature range considered, and thus do not directly evaporate. In addition, only homodimers, that is dimers formed from monomers in the same volatility bin, are assumed to form. This is a simplification compared to allowing for all possible cross-reactions and allows for more straight-forward keeping track of the dimer source monomers. As the temperature increases within the TD the dimers decompose into their semi-volatile parent monomers,

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which can then evaporate according to their saturation vapor concentration. Experimental observations by Hall and Johnston (2012b) have shown that dimers in SOA do decompose upon heating. The rate at which dimers decompose is governed by k_r and k_f , both of which are likely to be temperature dependent. Assuming they exhibit Arrhenius-type temperature dependence, the temperature sensitivity of K_{eqm} can be characterized by the difference in the activation energies of the reverse and forward reactions, $\Delta E_a = E_{a,r} - E_{a,f}$, and where the temperature dependence of k_r and k_f has the form:

$$k_r(T) = k_r(298\text{ K}) \cdot e^{\left(-\frac{E_{a,r}}{RT} + \frac{E_{a,r}}{R \cdot 298\text{ K}}\right)} = A_r \cdot e^{-\frac{E_{a,r}}{RT}} \quad (4)$$

where R is the universal gas constant ($8.314\text{ J mol}^{-1}\text{ K}$), T is the temperature (K) and where

$$A_r = k_r(298\text{ K}) \cdot e^{-\frac{E_{a,r}}{R \cdot 298}}. \quad (5)$$

Note that the Arrhenius pre-factor, A_r , depends on $E_{a,r}$. Consequently,

$$K_{\text{eqm}}(T) = \frac{k_r(298\text{ K})}{k_f(298\text{ K})} \cdot e^{-\frac{\Delta E_a}{R \cdot 298\text{ K}}} \cdot e^{-\frac{\Delta E_a}{RT}} = \frac{A_r}{A_f} \cdot e^{-\frac{\Delta E_a}{RT}} \quad (6)$$

and ΔE_a is as defined above. It should be noted that this formulation differs somewhat from that of Trump and Donahue (2014) in that they assumed that A and E_a were independent parameters and further did not account for the T -dependence of k_f , which we account for here in the relationship between k_r , k_f and ΔE_a . The key model inputs are then $K_{\text{eqm}}(298\text{ K})$, $k_r(298\text{ K})$ and ΔE_a . Although K_{eqm} governs the equilibrium distribution, k_f and k_r will control the timescales associated with dimer formation and the approach to equilibrium in the particles.

2.4.2 Isothermal evaporation model

The kinetic thermodynamic model of evaporation was adapted to allow for simulation of particle evaporation at room temperature following from isothermal dilution for any initial input of particle composition including semi-volatile monomers, very low volatility compounds and a mixture of semi-volatile monomers and non-volatile dimers. The extent of dilution is user-selectable as a dilution factor (DF), which simulates SOA and the associated vapors being passed through a DMA and injected into a chamber. The organic vapors are assumed to be removed from the system (i.e. lost to the chamber walls) with a rate characterized by a user-selectable first order loss rate, k_{loss} (s^{-1}). Vapor loss serves to mimic the conditions in some isothermal evaporation experiments where the diluted SOA particles are held in a chamber containing activated carbon (Vaden et al., 2011). The timescales associated with isothermal evaporation are much longer than for the TD experiments and simulations, and the isothermal evaporation model can be run for many hours of model time. When the monomer/dimer equilibrium is used to establish the initial particle composition, the relationships between K_{eqm} , k_r , k_f and ΔE_a are the same as in the TD evaporation model.

3 Results and discussion

3.1 Observations

Evaporation and shrinking of the α -pinene + O_3 SOA particles occurred upon heating in the TD. Example size distributions as a function of temperature for an initial $C_{\text{OA}} = 9 \mu\text{g m}^{-3}$ are shown in Fig. 1. The mass thermograms for each individual experiment are shown in Fig. 2. The experimental results have been grouped according to the bypass C_{OA} for each experiment, with groupings of: (i) high, $C_{\text{OA}} > 300 \mu\text{g m}^{-3}$, (ii) medium, $90 \leq C_{\text{OA}} < 300 \mu\text{g m}^{-3}$; and low, $C_{\text{OA}} \leq 30 \mu\text{g m}^{-3}$. The demarcations were chosen based on the results from Shilling et al. (2009), who observed that particle

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composition varied with C_{OA} . Results from experiments where SOA was formed at a high C_{OA} ($> 380 \mu\text{g m}^{-3}$) and then rapidly isothermally diluted to a lower concentration ($< 30 \mu\text{g m}^{-3}$) are also reported in Fig. 2. Each experiment was individually fit according to Eq. (3), and the best-fit parameters are given in Table S1. The average T_{50} and S_{VFR} for each C_{OA} grouping are given in Table 2.

Within each grouping the mass thermograms are all very similar, especially for the low and medium cases. No evaporation is observed at room temperature from vapor stripping in the denuder section for any case. The maximum variability is observed within the high C_{OA} grouping, although even here the variability is not particularly large, with the average and sample SD $S_{\text{VFR}} = 16.4 \pm 1.5$ and in $T_{50} = 359 \pm 7$ K. The S_{VFR} 's for all groupings are statistically indistinguishable, as are the T_{50} values for the low and medium groupings. However, the T_{50} for the high C_{OA} grouping is significantly larger at the $p < 0.05$ level ($p = 0.006$ and $p = 0.025$ as compared to the low and medium C_{OA} groupings, respectively, for a two-tailed test). Visual inspection of Fig. 2a indicates that one experiment, with $C_{\text{OA}} = 600 \mu\text{g m}^{-3}$, has a notably larger T_{50} . If this experiment is excluded the $T_{50} = 357 \pm 5$ K, which is still statistically larger than the low C_{OA} T_{50} at the $p < 0.05$ level ($p = 0.008$ for the two-tailed test) but is only now statistically larger than the medium C_{OA} T_{50} at the $p < 0.10$ level ($p = 0.079$ for the two-tailed test). This difference could be due to small amounts of re-condensation or to saturation of the gas-phase, both of which become a greater concern at high C_{OA} (Cappa, 2010; Saleh et al., 2011; Cappa and Jimenez, 2010; Fuentes and McFiggans, 2012; Riipinen et al., 2010), although there is no specific dependence of T_{50} on C_{OA} within the high C_{OA} group. Regardless, it is apparent that the effective volatility of the SOA at C_{OA} is not higher than at low C_{OA} and that, despite the slight differences, the response to heating of SOA particles formed from products of the ozonolysis of α -pinene is, to a very large extent, independent of the C_{OA} at the point of formation. This then suggests that, from a volatility perspective, the distribution of compounds in the particle is independent of C_{OA} , which stands in contrast to expectations based on the growth-derived volatility distribution.

The mass thermogram of SOA originally formed at high C_{OA} and isothermally diluted to low C_{OA} was also measured (Fig. 2d). Since the evaporation of SOA induced by isothermal dilution occurs very slowly, on the order of many minutes to hours (Grieshop et al., 2007; Saleh et al., 2013), the composition of the diluted SOA is not expected to change substantially from the initial state of formation at high C_{OA} before the particles enter the TD. The T_{50} of the SOA formed at high C_{OA} is larger than for the diluted SOA, and significantly different at the $p < 0.05$ level ($p = 0.003$ for a two-tailed test), while the average S_{VFR} of the diluted and the high C_{OA} grouping mass thermograms are statistically indistinguishable at the $p < 0.05$ level ($p = 0.443$ for the two-tailed test). This strongly suggests that the difference in T_{50} of the high C_{OA} grouping results from recondensation or saturation of the gas-phase, although the possibility that there is some real difference in the effective volatility of particles after rapid isothermal dilution cannot be excluded. The average diluted SOA mass thermogram is also almost identical to the average low C_{OA} mass thermogram indicating that the volatility distributions of the compounds in the diluted and low C_{OA} cases are the same. Overall, it is evident that the rapid dilution of SOA does not induce changes to molecular composition that significantly influence particle volatility.

3.2 Evaporation modeling

3.2.1 Semi-volatile SOA model

The observed similarity between the mass thermograms for the SOA formed at orders of magnitude different C_{OA} is surprising given that some observations suggest that particle composition depends on C_{OA} (e.g. Shilling et al., 2009). Since the application of absorptive partitioning theory to the interpretation of SOA growth experiments suggests that the particles are (i) composed of compounds with a large distribution of individual volatilities, typically with C^* values $> 10^{-1} \mu\text{g m}^{-3}$ and (ii) that the fraction of higher volatility compounds should increase with increasing C_{OA} , the mass thermograms are expected to depend on C_{OA} . Using a volatility distribution for α -pinene + O_3 SOA de-

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rived from SOA formation experiments (Pathak et al., 2007), simulated mass thermograms have been calculated as a function of C_{OA} (for $\gamma_e = 1$ or 0.001) using the TD model, first assuming that the particles are composed only of monomers (Fig. 3). Results from this model will be referred to as semi-volatile monomer results. Specifically, we use the 7-bin volatility distribution with $\log C^* = [-2, -1, 0, 1, 2, 3, 4]$ and mass yields of $\alpha = [0.001, 0.012, 0.037, 0.088, 0.099, 0.250, 0.800]$. The theoretical mass thermograms, for $\gamma_e = 1$, indicate that a significant dependence of the mass thermograms on C_{OA} should have been observed (Fig. 3a). Further, they indicate that substantial evaporation of the SOA particles at high C_{OA} should have been observed at room temperature due to vapor stripping in the charcoal denuder section of the TD, which occurs to some extent for any species with $C^* \geq \sim 1 \mu\text{g m}^{-3}$ when $\gamma_e = 1$. Neither of these phenomena were observed, demonstrating that there is a clear disconnect between typical volatility distributions derived from SOA growth experiments and SOA evaporation experiments, as has previously been noted (e.g. Cappa and Jimenez, 2010).

Some measurements of time-dependent evaporation profiles of SOA have been interpreted as suggesting that γ_e is significantly less than unity for α -pinene + O_3 SOA due to mass transfer limitations in the condensed phase (Grieshop et al., 2007; Saleh et al., 2013; Karnezi et al., 2014). Further, some TD-based SOA studies have used γ_e as a tunable parameter in data fitting for individual experiments and suggest that $\gamma_e < 1$ (Lee et al., 2011, 2010). Therefore, model predictions for C_{OA} dependent mass thermograms are also reported for $\gamma_e = 0.001$ (Fig. 3b). As expected, the apparent volatility (i.e. extent of evaporation at a given temperature) is decreased compared to the $\gamma_e = 1$ case, and the simulated thermograms exhibit a greater similarity to the observations. Also, the extent of evaporation at room temperature is substantially lowered and more consistent with the observations, as now only species with $C^* \geq \sim 1000 \mu\text{g m}^{-3}$ will evaporate to any substantial extent in the TD due to vapor stripping alone. However, the simulations also indicate a very strong C_{OA} dependence – higher volatility with higher C_{OA} – is expected when $\gamma_e = 0.001$, which is inconsistent with the observations here. This demonstrates that conclusions regarding the magnitude of parameters

such as γ_e when derived from single experiments may not provide a robust description of the process in question (here, evaporation) because they are not unique solutions (i.e. are dependent on the other model inputs, namely the assumed ΔH_{vap} and volatility distribution). Regardless of assumptions about mass transfer limitations, the model predictions for the mass thermograms of particles comprised entirely of monomers (i.e. based on the Pathak et al. (2007) volatility distribution) unambiguously show a dependence on C_{OA} . Thus, there is a clear disconnect between volatility distributions derived from SOA growth experiments and observations from SOA evaporation experiments that cannot be entirely explained by kinetic limitations to evaporation.

3.2.2 Dimer-decomposition model

The above discrepancy strongly suggests that the molecular composition of the condensed phase is only indirectly related to the volatilities of the condensing species as determined from growth experiments. Here, the possibility that this discrepancy can be explained through the formation and subsequent decomposition of dimers (and higher-order oligomers) through condensed phase reactions is examined. Cappa and Wilson (2011) demonstrated that, although simple applications of equilibrium absorption partitioning theory can explain SOA growth in laboratory chamber experiments, such models are not unique explanations. In particular, they showed it was possible to reconcile SOA growth experiments with the occurrence of condensed-phase reactions – even to the extent that the entire particle is rapidly converted from monomers (that retain the volatility of the condensing species) to non-volatile species. There is now a variety of experimental evidence that many types of SOA particles are composed of a large fraction of oligomers (Kourtchev et al., 2014; Putman et al., 2012; Kundu et al., 2012; Gao et al., 2004a; Muller et al., 2009; Kalberer et al., 2004), which will generally have volatilities lower than the monomeric precursors. For the system considered in this study, α -pinene + O_3 SOA, the oligomeric content is suggested to be greater than 50 % (Tolocka et al., 2004; Gao et al., 2004a, b; Hall and Johnston, 2012a) and both

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laboratory (Kristensen et al., 2014) and ambient (Kristensen et al., 2013; Yasmeen et al., 2010) measurements have identified several α -pinene + O₃ SOA dimers.

Simulated mass thermograms have been calculated as a function of C_{OA} using the modified TD model, in which some fraction of the condensed-phase material is assumed to exist as dimers. The same 7 volatility bins were used with the same mass yields as the semi-volatile monomer case to calculate the initial concentration of monomers in the particle. As described above, the equilibrium coefficient, K_{eqm} , was used to determine the initial monomer/dimer equilibrium while the decomposition rate coefficient, k_r , and activation energy, ΔE_a , describe the rate and sensitivity to temperature changes of dimer thermal decomposition. None of the parameters are known a priori. Since there is a relationship between all three parameters ($K_{\text{eqm}} = k_f/k_r$ and $k_r(T)$ are dependent on ΔE_a) we have taken the approach of specifying different values of K_{eqm} and then fitting the model to the observations by adjusting k_r and ΔE_a . The level of model/measurement agreement for the different K_{eqm} was then assessed.

The model aerosol used had $d_p = 90$ nm and $C_{\text{OA}} = 100 \mu\text{g m}^{-3}$ as starting conditions, and was fit to the average mass thermogram of the medium/low C_{OA} grouping (Fig. 4a). Generally good fits were obtained for all K_{eqm} over the range 10^{-18} to 10^{-14} cm³ molecule⁻¹, with the overall best agreement obtained for $K_{\text{eqm}} = 10^{-17}$ cm³ molecule⁻¹, although the differences are quite small (see the Supplement for the best-fit model parameters for each K_{eqm}). At smaller K_{eqm} , extensive room temperature evaporation occurred as a result of the increasing initial fraction of semi-volatile monomers, a result that is inconsistent with the observations. However, even for the simulations at larger K_{eqm} , some evaporation at room temperature was always predicted. The associated best fit k_r (298 K) and ΔE_a varied with K_{eqm} , from 1.6×10^{-3} s⁻¹ to 2.8×10^{-2} s⁻¹ and from 15 to 42 kJ mol⁻¹, respectively; smaller K_{eqm} values corresponded to larger k_r and smaller ΔE_a .

These K_{eqm} values correspond to a case where the particles are almost entirely composed of dimers, as the dimer fraction is > 97 % for all $K_{\text{eqm}} > 10^{-18}$ cm³ molecule⁻¹.

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The range of best-fit k_r indicate a dimer lifetime of only 1–10 min with respect to decomposition at room temperature. The range of k_f values associated with the best fit K_{eqm} and k_r is 1.6×10^{-21} to 2.8×10^{-16} $\text{cm}^3 \text{molecules}^{-1} \text{s}^{-1}$. Given a typical molecular density of $\sim 10^{21}$ molecules cm^{-3} , the approximate dimer formation timescale is only a fraction of a second, consistent with the short reaction time in these experiments. Consequently, the dimer decomposition timescale is not the same as the observable timescale associated with particle mass loss at room temperature upon e.g. isothermal dilution (Grieshop et al., 2007). However, there are several potential factors that slow down evaporation at room temperature despite the short dimer lifetime with respect to decomposition, as discussed below when isothermal dilution and evaporation is considered. The K_{eqm} , k_r , and ΔE_a determined above from fitting the medium/low C_{OA} data (i.e. $C_{\text{OA}} = 100 \mu\text{g m}^{-3}$) have been used to predict additional mass thermograms for $C_{\text{OA}} = 1, 10, 70$ and $600 \mu\text{g m}^{-3}$ (Fig. 5a). The predicted mass thermograms are mostly independent of C_{OA} , in contrast with the semi-volatile monomer model. Thus, when the particle is nearly entirely initially dimers this “dimer-decomposition” model result is generally consistent with the experimental observations, where limited differences were observed between the mass thermograms measured at different C_{OA} , although it should be noted the slight increase in T_{50} observed at the highest mass loadings is not reproduced. Also, only the $C_{\text{OA}} = 1 \mu\text{g m}^{-3}$ simulation predicts negligible evaporation at room temperature, as was observed for all C_{OA} . The dimer-decomposition model also predicts that the observable particle composition should remain relatively constant as evaporation is induced (Fig. 6a), consistent with observations. This prediction is consistent with previous measurements in which it was observed that the particle composition, as measured using a vacuum ultraviolet aerosol mass spectrometer (VUV-AMS) remained quite constant during the heating induced evaporation of α -pinene + O_3 SOA (Cappa and Wilson, 2011). There are several experiments where changes to composition were observed. Hall and Johnston (2012b) used an electrospray ionization Fourier transform ion cyclotron resonance (ESI-FTICR) mass spectrometer to measure

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the fraction of oligomers in the particle before and after heating (393 K) and found that the fraction of oligomers and the O : C ratio increase after heating. Furthermore, when recondensation does occur, the compounds that recondensed appear to be monomer decomposition products. Kostenidou et al. (2009) used a quadropole AMS to quantify the mass fraction of m/z 44 fragments as a function of MFR and found that the fraction of m/z 44 increased as MFR decreased, indicating more oxygenated particles with heating-induced evaporation. Since the dimer model presented here tracks the relative concentration of dimers and monomers due to decomposition, the most comparable study is Cappa and Wilson (2011) because the measurement technique is one that primarily detects the monomer components due, most likely, to thermal degradation during analysis.

Trump and Donahue (2014) and Roldin et al. (2014) have previously suggested that accounting for the behavior of dimers within SOA can help to explain observations of SOA evaporation; our observations and analysis support and expand upon this conclusion. The range of k_r independently determined here are somewhat larger than the room-temperature k_r suggested by Trump and Donahue (2014) ($= 1.1 \times 10^{-4} \text{ s}^{-1}$) and Roldin et al. (2014) ($= 2.8 \times 10^{-5} \text{ s}^{-1}$), which were based on needing an evaporation timescale of ~ 1 h for isothermal evaporation (Grieshop et al., 2007; Vaden et al., 2011). However, their estimates may not have fully accounted for the dynamic nature of the system, and thus underestimated the actual dimer decomposition rates compared to that obtained here. It should be noted that the ΔE_a determined here are substantially smaller than that suggested by Trump and Donahue (2014), who give $E_{a,r} \sim 80 \text{ kJ mol}^{-1}$ (and where, it seems, that their $E_{a,r}$ is essentially equal to the ΔE_a here as they assume that k_f is T -independent). However, this difference can be understood by recognizing that they assumed a constant value for A ($= 3 \times 10^{10} \text{ s}^{-1}$) and k_r (300 K) and determined $E_{a,r}$ using the relationship $k_r(T) = A \exp(-E_{a,r} / (RT))$. Thus, underestimations of k_r may lead them to actually overestimate the true temperature sensitivity of the system.

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The best-fit K_{eqm} and k_r were determined from fitting to T -dependent evaporation experiments that occur over relatively short timescales (~ 1 min) in the thermodenuder. To facilitate more direct connections with previous experiments that have investigated room temperature evaporation upon dilution, the best-fit dimer-decomposition model for $K_{\text{eqm}} = 10^{-17} \text{ cm}^3 \text{ molecules}^{-1}$ has been used to simulate the long-time, isothermal, room-temperature evaporation of SOA for the case where the SOA is initially diluted and the evaporating vapors are constantly being stripped from the gas-phase (Fig. 4b). This corresponds approximately to the conditions in a series of experiments investigating SOA evaporation (Vaden et al., 2011; Wilson et al., 2015). A vapor loss rate constant of $k_{\text{loss}} = 10^{-3} \text{ s}^{-1}$ has been used, which is a reasonable estimate given the size of the chambers used in the previous experiments (Matsunaga and Ziemann, 2010; Zhang et al., 2014). The initial (pre-dilution) $C_{\text{OA}} = 100 \mu\text{g m}^{-3}$, which was diluted by a factor of $\text{DF} = 30$ to induce evaporation.

The literature experiments have generally shown evidence for evaporation of SOA on fast, medium and slower timescales, where “fast” corresponds to timescales of around a minute, “medium” corresponds to timescales of around 1 h and “slow” to timescales of many hours. The dimer model simulations for all the K_{eqm} fits exhibit similar behavior, with “fast,” “medium” and “slow” periods of mass loss and timescales similar to previous observations. There is a non-monotonic dependence on K_{eqm} , with the least mass loss predicted for $K_{\text{eqm}} = 10^{-16} \text{ cm}^3 \text{ molecules}^{-1}$ and greater total mass loss predicted for K_{eqm} both larger and smaller. The behavior results from a balance between the k_r , k_f and evaporation time scales for each K_{eqm} fit. After 15 h the simulated MFR of SOA is 5–27 % of the initial (post-dilution) C_{OA} . The general model behavior, which indicates that evaporation occurs on multiple timescales, can be understood by recognizing that decomposition of dimers composed of higher C^* monomers leads to rapid evaporation, such that the observable evaporation rate is controlled by the dimer decomposition. In contrast, decomposition of dimers composed of lower C^* monomers results in species that do evaporate, but only slowly at room temperature. Given a distribution of monomers with respect to their C^* , the result is a time-dependent evaporation profile

multiple apparent timescales for evaporation. Further, as evaporation proceeds, the finite rate of vapor loss means that over time the gas-phase concentration may build up, which will also limit the rate of mass loss.

The simulated MFR values at the end of 15 h of SOA evaporation are somewhat lower than was observed in the literature experiments for dry, fresh SOA from α -pinene + O₃, where MFR \sim 0.35–0.4 at 15 h (Vaden et al., 2011; Wilson et al., 2015). However, the extent of evaporation is dependent on the model assumptions, specifically the k_{loss} and DF. Smaller k_{loss} or DF leads to larger MFR at a given time due to more extensive inhibition of evaporation resulting from faster saturation of the gas-phase (Fig. 7a). Conversely, larger k_{loss} or DF lead to more extensive evaporation. As neither the k_{loss} nor DF are explicitly known for the literature experiments, a more quantitative comparison is not possible. However, it is nonetheless noteworthy that the model suggests that both k_{loss} and DF can play a controlling role in observations of isothermal evaporation. These previous isothermal evaporation measurements also indicate that SOA evaporation is mostly size independent, in contrast to evaporation of single-component particles (Vaden et al., 2011; Wilson et al., 2015). Simulations using the dimer-decomposition model with different starting particle sizes show some dependence on particle size ($d_p = 90, 180$ and 360 nm), with larger particles having smaller MFRs at a given time (Fig. 7a). However, the overall differences are relatively small and reasonably consistent with the observations given that the observations have typically considered a narrower size range than examined here.

3.2.3 Low-volatility SOA model

One alternative possibility to explain the observations of evaporation of SOA in the TD is that the observed heating-induced evaporation results from direct evaporation of low-volatility species. These low-volatility species could be either highly oxygenated monomers (Ehn et al., 2014) or thermally-stable dimers or higher-order oligomers, although the thermal stability of dimers seems unlikely (Hall and Johnston, 2012a). To test this idea, the TD model has been fit to the observations assuming that the particles

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are only composed of semi- and low-volatility species, but where the volatility distribution is skewed to much lower C^* than suggested from SOA growth experiments (i.e. from the Pathak et al. (2007) volatility distribution). Given that there is negligible evaporation observed at room temperature in the TD for all C_{OA} , including $C_{\text{OA}} = 1 \mu\text{g m}^{-3}$, the highest volatility bin was set at $C^* = 1 \mu\text{g m}^{-3}$. The lowest value was set based on the requirement that there remains some particle mass at $\sim 343 \text{ K}$. If ΔH_{vap} is too large then even very low-volatility compounds will not persist to such high temperatures (Cappa and Jimenez, 2010; Cappa, 2010). As such, an upper-limit ΔH_{vap} constraint of 185 kJ mol^{-1} was placed on the $C^*/\Delta H_{\text{vap}}$ parameterization, and a lower bound C^* of $10^{-9} \mu\text{g m}^{-3}$ was used. Following Cappa and Jimenez (2010), a relationship between the total organic mass and C^* was assumed, where $C_{i,\text{tot}} = a_1 + a_2 \exp[a_3(\log C^*) + a_4]$. Values of the a_x parameters have been determined through data fitting; it is difficult to constrain the absolute C_{OA} while determining the a_x parameters through fitting, and thus C_{OA} was allowed to vary. The model was fit to the average thermogram for the medium/low C_{OA} grouping, and a good fit was found when the $a_x = [1.53, 8.5, 0.3, 0.59]$, with a corresponding C_{OA} of $71 \mu\text{g m}^{-3}$ (Fig. 4a). This demonstrates that an alternative model can potentially be used to explain the TD results, namely one in which the condensed-phase species are very low volatility but evaporate directly in response to heating.

If the same a_x distribution is used, but with $C_{i,\text{tot}}$ scaled up or down to give a different initial C_{OA} (and slightly different distribution of compounds), the simulated volatility decreases slightly as C_{OA} increases (Fig. 5b). This is mostly due to gas-phase saturation at higher concentrations, and subsequently greater recondensation as the SOA cools in the denuder. Nonetheless, this is opposite the C_{OA} dependence predicted by the semi-volatile monomer model and is in the same direction of the observations, where the high C_{OA} grouping exhibited lower apparent volatility. There is, however, some difference in the simulated mass thermograms for low and medium C_{OA} , which was not observed, although the gap between the low ($1\text{--}10 \mu\text{g m}^{-3}$) and medium ($100 \mu\text{g m}^{-3}$)

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C_{OA} simulations is smaller than the gap between the medium and high ($600 \mu\text{g m}^{-3}$) C_{OA} simulations. If reconcondensation of the evaporated species were, for some reason, not particularly efficient (due perhaps to changes in the molecular composition upon heating) then the differences between the different C_{OA} simulations would be lessened.

As with the dimer-decomposition model, simulation of isothermal evaporation by the low-volatility monomer model provides evidence for multiple evaporation timescales, with “fast,” “medium” and “slow” components (Fig. 4b). For the same k_{loss} ($= 10^{-3} \text{ s}^{-1}$) and DF ($= 30$), the extent of evaporation from the low-volatility aerosol simulation at 15 h is less than for the various dimer-decomposition simulations. The low-volatility aerosol model exhibits a similar sensitivity to the assumed k_{loss} and DF, and a slightly smaller sensitivity to changes in particle size (Fig. 7b). It is apparent that the low-volatility aerosol model is compatible with the observations from both our TD and the literature isothermal evaporation experiments (Vaden et al., 2011; Wilson et al., 2015).

Although both the low-volatility aerosol and dimer-decomposition models perform equally well in explaining the observed mass thermograms and literature observations of isothermal evaporation, there is a distinct difference between two model results in terms of how the particle composition is predicted to vary with temperature. Unlike the dimer-decomposition model, the predicted relative particle composition undergoes substantial changes as the particles evaporate upon heating for the low-volatility aerosol model (Fig. 6b). This model result would suggest that potentially large changes in composition should be observed upon heating or, more generically, evaporation. This prediction is inconsistent with the various observations that suggest negligible to very moderate changes in the observed particle composition (Cappa and Wilson, 2011; Kostenidou et al., 2009).

3.2.4 Comparison between model results

Overall, the dimer-decomposition model of evaporation provides the most comprehensive explanation in that it can explain not only the current results where the observed

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mass thermograms are nearly independent of C_{OA} , but also the minor changes in composition that occur upon heating-induced evaporation of α -pinene + O_3 SOA observed by some (Cappa and Wilson, 2011), the moderately long timescales required for achieving equilibrium upon isothermal dilution (Grieshop et al., 2007) and the bimodal-ity of SOA evaporation upon rapid dilution and subsequent continuous vapor stripping (Vaden et al., 2011). The low-volatility monomer evaporation model can reproduce many of these observations, but suggests large compositional changes upon heating. The semi-volatile monomer model fails to reproduce nearly all of the observations. Additionally, the dimer-decomposition model is potentially consistent with suggestions that SOA particles formed under dry conditions have very high viscosity (Kannosto et al., 2013; Virtanen et al., 2010; Abramson et al., 2013). The viscosity of SOA should decrease rapidly as temperature increases and, to the extent that SOA might actually be a glass, could go through a glass-liquid transition (Koop et al., 2011). If the particles were primarily semi-volatile monomers for which evaporation were limited by diffusion in the particle phase, then changes in viscosity should lead to substantial increases in the observed evaporation rate (Zaveri et al., 2014). The continuous change in VFR with temperature out to relatively high temperatures suggests that the condensed-phase species must have low-volatility such that as the viscosity decreases there is no substantial impact on the observed particle evaporation. This model/observation comparison suggests that for SOA – at least that produced from the α -pinene + O_3 reaction – the mass thermogram does not give direct information on the distribution of volatilities of the original condensing compounds (i.e. the monomers), but on the properties of the oligomers, specifically their thermal stability. One limitation of the current kinetic model is the assumption that k_r and ΔE_a are the same for all dimers, whereas it is likely that the rate and temperature-sensitivity of oligomer decomposition is compound specific (Hall and Johnston, 2012b). However, expansion of the model to include such information would only add more tunable parameters, but would not materially influence the conclusions here.

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Despite the general success of the dimer-decomposition model in reproducing a variety of observations, it does predict some particle evaporation at room temperature in the TD, which was not observed. Further, it seems unlikely that all particle mass is converted to dimers on such rapid timescales as implied by the dimer-decomposition model; although accurate quantification of the relative fractions of dimers (and larger oligomers) vs. monomers in SOA particles has proven challenging, it seems likely that the oligomer fraction is not 100 % (Hall and Johnston, 2012b; Kalberer et al., 2004; Kristensen et al., 2014), some experiments have observed apparent variations in VFR, determined from either heating or vapor stripping, as the particles are “aged” by sitting in the dark (Abramson et al., 2013) or by exposure to oxidants (Kalberer et al., 2004; Salo et al., 2011; Emanuelsson et al., 2013), suggesting that compositional changes (including dimer or oligomer formation) may occur on multiple timescales, ranging from seconds to minutes to hours. It therefore seems likely that a more complete representation of α -pinene + O₃ SOA volatility is some hybrid of the dimer-decomposition and low-volatility species frameworks, where some substantial fraction of the condensed phase mass exists as very low-volatility, effectively non-volatile, dimers or oligomers – or even thermally-unstable, low-volatility monomers – that decompose to produce species with a distribution of volatilities that subsequently evaporate, while some fraction exists as low-volatility ($C^* < 1 \mu\text{g m}^{-3}$) species that can directly evaporate but for which the actual volatilities tend to be lower than those predicted from traditional analyses of growth experiments. Regardless of the details, the effective volatility of α -pinene + O₃ is much less than predicted by growth experiments.

4 Conclusions

Experimental observations of T -dependent SOA evaporation have been presented that demonstrate that the apparent volatility of α -pinene + O₃ SOA, as characterized by heating in a thermodenuder, is mostly independent of the SOA concentration over many orders of magnitude variation. Comparison of these observations with various

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kinetic models of evaporation in the TD suggest the observations are most consistent with SOA from the ozonolysis of α -pinene being composed of a large fraction of effectively non-volatile, but thermally-unstable species; these species are likely dimers or higher order oligomers, but could also be exceptionally low-volatility monomers. Any monomers that do exist must be of sufficiently low volatility ($< \sim 1 \mu\text{g m}^{-3}$) that they do not readily evaporate at room temperature. A dimer-decomposition model provided a good fit to the experimental observations when the monomer/dimer equilibrium constant ranged from $K_{\text{eqm}} \sim 10^{-18}$ to $10^{-14} \text{ cm}^3 \text{ molecule}^{-1}$, with corresponding rate coefficients for the reverse (decomposition) reaction ranging from k_r (298 K) = 1.6×10^{-3} to $2.8 \times 10^{-2} \text{ s}^{-1}$, and a difference in activation energies between the forward and reverse rate coefficients ranging from $\Delta E_a = 15$ to 42 kJ mol^{-1} . The best-fit dimer-decomposition model can also explain observations of slow rates of evaporation after isothermal dilution (Vaden et al., 2011; Wilson et al., 2015) and nearly constant composition as a function of rapid heating (Cappa and Wilson, 2011). These parameters would, by themselves, suggest that the SOA particles are nearly entirely composed of dimers, which seems unlikely. A model where the particle was assumed to be composed of low-volatility compounds – either highly oxygenated monomers or oligomers – could explain the bulk evaporation observations nearly as well, although suggested that large changes to particle composition upon heating should be observed. Thus, it seems that a hybrid model where the particles are composed of a substantial fraction of dimers (or oligomers) and some smaller fraction of low-volatility compounds may ultimately provide a more complete description.

Many laboratory (Cappa and Wilson, 2011; Emanuelsson et al., 2013; Loza et al., 2013; Grieshop et al., 2007; Saleh et al., 2013) and field studies (Cappa and Jimenez, 2010) have aimed to characterize the volatility of SOA. In general, the observations have concluded that the effective volatility of SOA is much lower than the volatility determined from interpretation of formation studies within a gas/particle partitioning framework. The analysis presented here suggests that this apparent discrepancy can be reconciled to a large extent through a combined framework in which the volatility

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distributions derived from growth experiments (i.e. Pathak et al., 2007) provides a reasonable description of the properties of the condensing monomers, but where rapid formation of thermally-unstable dimers (and higher order oligomers) occurs, which consequently suppresses the apparent volatility of the SOA. Since the residence time in our flowtube was ~ 1 min, these accretion reactions must occur on a similar timescale (or faster). This dimer formation timescale is much faster than what is typically used within air quality models (Carlton et al., 2010), which assume timescales on the order of a day, and suggests that air quality models may therefore have SOA that is too volatile and thus overly sensitive to dilution. However, care must be taken in the implementation of any model that allows for such rapid formation of dimers, as the ultimate consequence would be to transfer all semi-volatile material to the condensed phase. One possible reconciliation is that SOA particles may actually have a very high viscosity (which is, perhaps, a consequence of oligomer formation), which can limit the transport of gas-phase material into the particle bulk and the timescale and extent of transfer of gas-phase material into the particles (Zaveri et al., 2014). Although the oligomeric content of ambient biogenic SOA may be less than in laboratory biogenic SOA (Kourtchev et al., 2014) the presence of oligomers has been observed in both and needs to be accounted for in models of SOA volatility.

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Author contributions. K. R. Kolesar and C. D. Cappa designed the experiments and D. Johnson carried them out. C. Chen characterized the temperature profile in the thermodenuder. C. D. Cappa and K. R. Kolesar modified the kinetic model of evaporation from Cappa (2010) and performed the simulations. K. R. Kolesar and C. D. Cappa prepared the manuscript.

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Table 1. Experimental conditions for α -pinene + O₃ SOA generation for the various experiments.

Experiment Date MMDDYY	Flowrate (Lpm)	Initial C _{OA} ($\mu\text{g m}^{-3}$)	C _{OA} after dilution ($\mu\text{g m}^{-3}$)	α -pinene ($\mu\text{L h}^{-1}$)	Ozone (ppm)	d _{p,V,bypass} (nm)
090512	0.79	1	n/a	0.12	4.9	37
082912	0.8	9	n/a	0.12	7.8	39
101912	0.8	30	n/a	0.6	8.8	52
041114	0.82	90	n/a	0.15	38.7	48
090712	0.81	150	n/a	0.3	6.8	61
091312	0.8	180	n/a	0.2	^b	57
040914	0.82	200	n/a	0.23 ^a	63	57
091212	0.8	400	n/a	0.5	^b	73
101612	0.8	450	n/a	0.6	8.8	83
101712	0.8	500	n/a	0.6	8.8	88
091112	0.8	600	n/a	0.38	23.4	76
101812	0.83	800	n/a	0.6	8.8	97
101212	0.83	380	5	0.6	8.8	73
100912	1.02	380	6	0.7	9.8	71
101112	0.79	430	7	0.5	9.7	73
101012	0.8	450	10	0.5	8.8	77
083112	0.8	600	14	0.5	29.3	76
092412	0.76	650	23	0.5	9.7	90
100412	1.04	450	23	0.5	8.8	68

^a The flowrate of N₂ over the α -pinene syringe was 0.015 L min⁻¹ for all experiments except this one, for which it was 0.074 L min⁻¹.

^b Unknown.

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Table 2. The average fit parameters for each C_{OA} grouping of mass thermograms.

Mass Loading Range ($\mu\text{g m}^{-3}$)	$S_{\text{VFR}} \pm \sigma_e^*$	$T_{50} \pm \sigma_e$ (K)	# of Samples
Diluted (< 23)	-15.9 ± 1.6	346 ± 7	7
Low (≤ 30)	-16.6 ± 1.9	345 ± 5	3
Medium ($90 < C_{\text{OA}} < 200$)	-15.7 ± 1.6	347 ± 6	4
High (> 300)	-16.4 ± 1.5	359 ± 7	5

* σ_e is the greater of the propagation of error from the individual fits and the sample SD.

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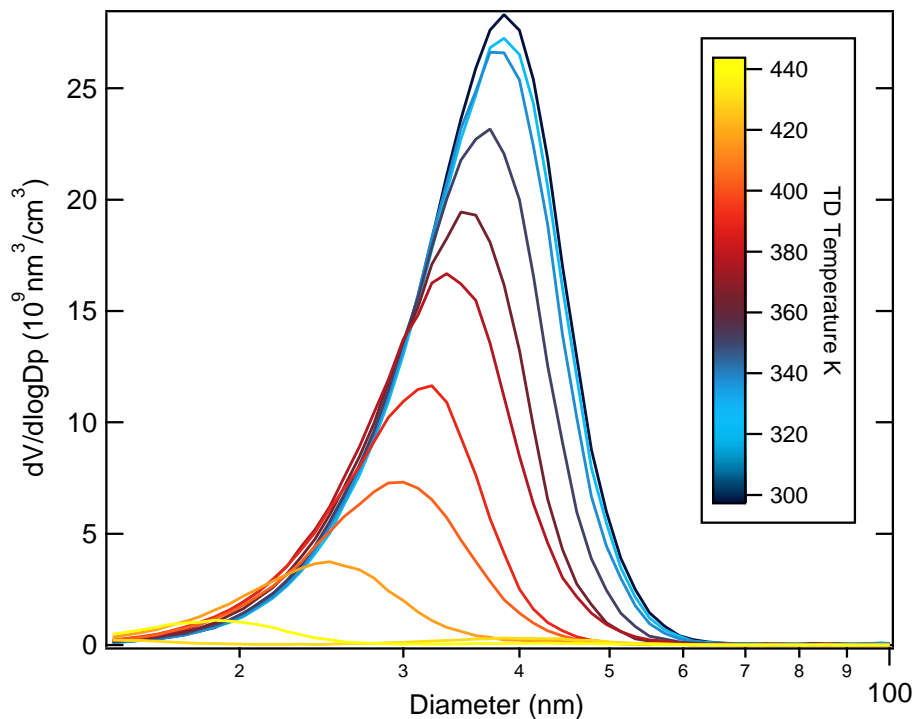



Figure 1. An example of the particle volume weighted size distributions observed as a function of TD temperature from one experiment. The temperatures range from room temperature (296 K, dark blue) to 453 K (yellow). This experiment had a bypass $C_{\text{OA}} = 9 \mu\text{g m}^{-3}$ and $d_{p,V,\text{bypass}} = 39 \text{ nm}$.

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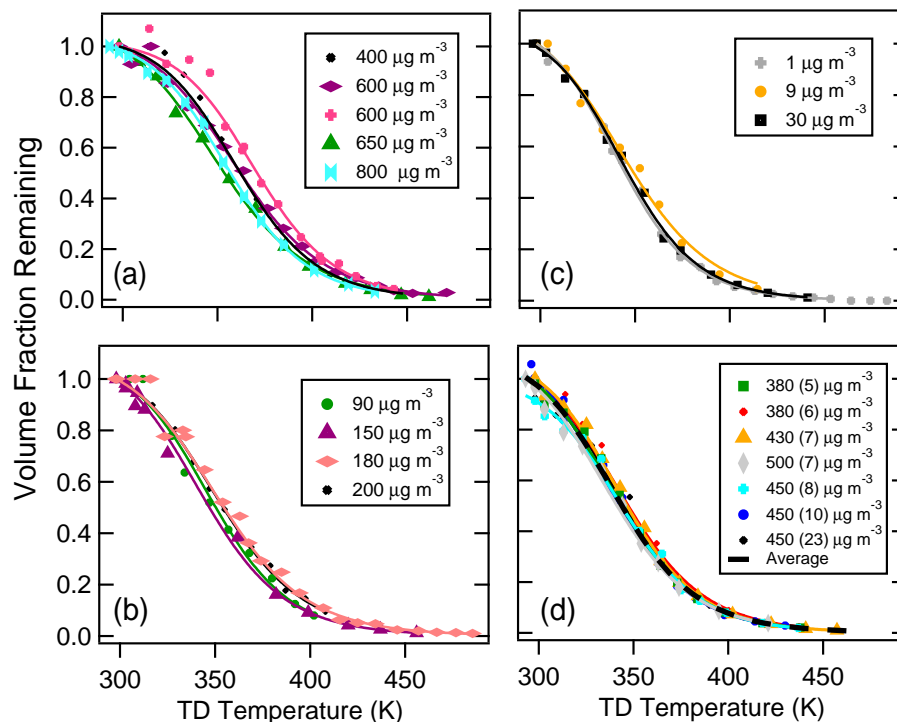


Figure 2. Mass thermograms measured for each of the experiments (symbols). Results are grouped according to the bypass mass loading as **(a)** high ($C_{OA} > 300 \mu\text{g m}^{-3}$), **(b)** medium ($90 \leq C_{OA} < 300 \mu\text{g m}^{-3}$), and **(c)** low loading ($C_{OA} \leq 30 \mu\text{g m}^{-3}$). Results from isothermal dilution experiments are shown in **(d)**, where the initial number is the C_{OA} before dilution and the number in parentheses that after dilution. Traces represent the fit of Eq. (2) to each experiment.

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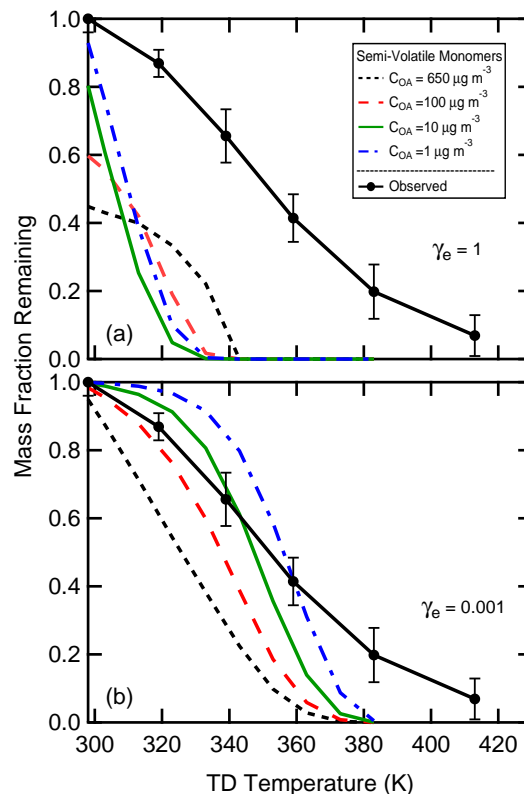


Figure 3. Model predictions for the mass thermograms of α -pinene + O_3 SOA using the semi-volatile monomer TD model where the initial model C_{OA} was $650 \mu\text{g m}^{-3}$ (black, short dash), $100 \mu\text{g m}^{-3}$ (red, long dash), $10 \mu\text{g m}^{-3}$ (green, solid) or $1 \mu\text{g m}^{-3}$ (blue, dot-dash) for evaporation coefficients, γ_e , equal to **(a)** 1 and **(b)** 0.001. Neither set of predictions agree well with the observed mass thermogram for medium/low C_{OA} (black line with black \bullet).

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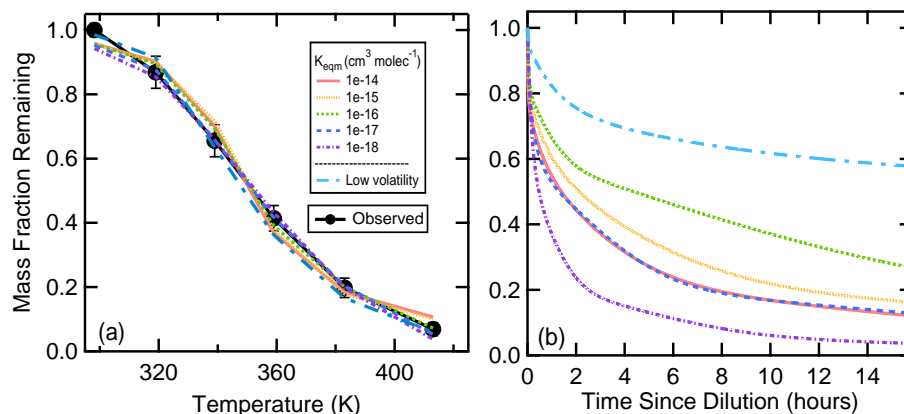


Figure 4. (a) Comparison between observed medium/low C_{OA} grouping (black ●) and best-fit calculated mass thermograms for the TD model that includes dimer decomposition and for the low-volatility compound model. For the dimer-decomposition model, the concentration of dimers is much greater than the concentration of monomers. (b) Simulated isothermal, room temperature evaporation based on the best-fit model parameters determined in (a). The initial SOA concentration was $100 \mu\text{g m}^{-3}$, which was diluted by a factor of 30 and evaporated vapors were lost to the simulated chamber walls with a rate coefficient of 10^{-3} s^{-1} .

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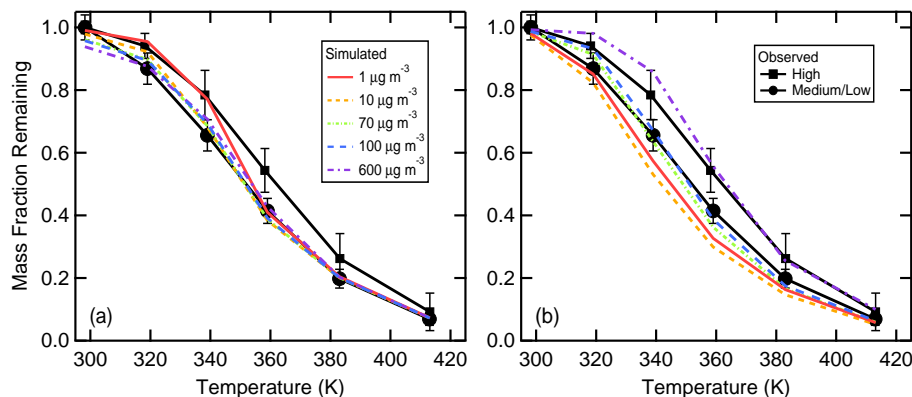


Figure 5. (a) Calculated mass thermograms for variable C_{OA} based on the best-fit parameters for the $K_{eqm} = 10^{-16} \text{ cm}^3 \text{ molecules}^{-1}$ dimer-decomposition model as compared to the observations for the average medium/low and high C_{OA} . (b) Same as (a), but for the best-fit low-volatility model.

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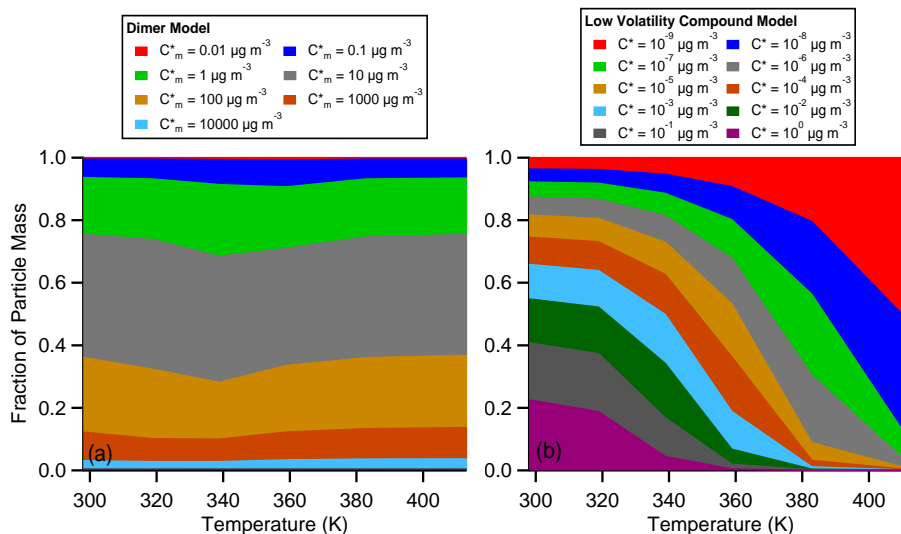


Figure 6. Variation in the relative particle composition with temperature from the (a) dimer-decomposition and (b) low-volatility monomer evaporation TD models. The colors correspond to the various dimer and monomer species, defined by the monomer C^* values. For the dimer-decomposition model the monomer fractional contributions are too small to be seen, and the reported C^* values in the legend correspond to the parent monomer values associated with each dimer. For the low-volatility monomer case, the C^* values correspond to the actual evaporating monomer values. The simulations were run for an initial $C_{\text{OA}} = 100 \mu\text{g m}^{-3}$.

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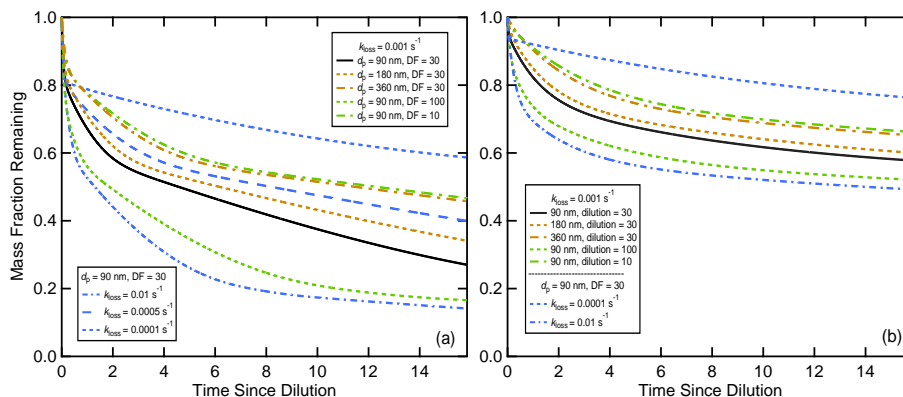


Figure 7. Dependence of the isothermal evaporation simulations on the assumed vapor loss rate (k_{loss}), dilution factor (DF) or particle diameter (d_p) for **(a)** the dimer-decomposition and **(b)** the low-volatility models. All simulations were run for an initial $C_{\text{OA}} = 100 \mu\text{g m}^{-3}$. For the dimer-decomposition model, the $K_{\text{eqm}} = 10^{-16} \text{ cm}^3 \text{ molecules}^{-1}$ best-fit results were used.

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