



Stratospheric
geoengineering
impacts on ENSO

C. J. Gabriel and
A. Robock

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Stratospheric geoengineering impacts on El Niño/Southern Oscillation

C. J. Gabriel and A. Robock

Department of Environmental Sciences, Rutgers University, New Brunswick, NJ, USA

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Correspondence to: C. J. Gabriel (cjpgabriel7@gmail.com)

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Abstract

To examine the impact of proposed stratospheric geoengineering schemes on the amplitude and frequency of El Niño/Southern Oscillation (ENSO) variations we examine climate model simulations from the Geoengineering Model Intercomparison Project (GeoMIP) G1–G4 experiments. Here we compare tropical Pacific behavior under anthropogenic global warming (AGW) using the representative concentration pathway resulting in 4.5 W m^{-2} radiative forcing at the end of the 21st Century, the RCP4.5 scenario, with that under G1–G4 and under historical model simulations. Climate models under AGW project relatively uniform warming across the tropical Pacific over the next several decades. We find no statistically significant change in ENSO frequency or amplitude under stratospheric geoengineering as compared with those that would occur under ongoing AGW.

1 Introduction

1.1 Background

The warming of Earth in the Industrial Age is unequivocal, and it is extremely likely that the warming since 1950 is primarily the result of anthropogenic emission of heat trapping gases rather than natural climate variability (IPCC, 2013). Ice core records from the European Project for Ice Coring in Antarctica (EPICA) reveal that current concentrations of the heat trapping gases carbon dioxide and methane are higher now than at any time during the past 650 000 years. (Siegenthaler et al., 2005). All realistic emissions scenarios utilized in the Intergovernmental Panel on Climate Change (IPCC) Fifth Assessment Report reveal that the modeled global mean temperature in 2100 will exceed the full distribution of global mean temperature in proxy reconstructions of global temperature over the past 11 300 years of the Holocene (Marcott et al., 2013).

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interannual climate variability. Its amplitude, frequency and the attendant teleconnection patterns have critical consequences for global climate patterns (McPhaden, 2006). ENSO exhibits a 2–7 year periodicity with warm (El Niño) and cold (La Niña) events each lasting 9–12 months and peaking during the DJF season.

The possibility of a connection between warm ENSO events subsequent to stratospheric aerosol loading via volcanism has been explored both in proxy records and model simulations. Despite its relative simplicity, the Zebiak–Cane (ZC) model (Zebiak and Cane, 1987) possesses an exceptional ability to describe the coupled ocean–atmosphere dynamics of the tropical Pacific. By forcing ZC with the calculated radiative forcing from each eruption in the past 1000 years, Emile-Geay et al. (2007) showed that El Niño events tend to occur in the year subsequent to major tropical eruptions, including Tambora (1815) and Krakatau (1883). A strong enough cooling by a volcanic event is likely to cause warming in the eastern Pacific over the next one to two years (Mann et al., 2005). The dynamical “ocean thermostat” describes the mechanism underlying differential heating in the eastern and western Pacific. In the presence of a global strong negative radiative forcing, the western Pacific will cool more quickly than the eastern Pacific. This is because the western Pacific mixed layer’s heat budget is almost exclusively from solar heating, while, in the east, both horizontal divergence and strong upwelling contribute to the mixed layer heat budget. Therefore, a uniform solar dimming is likely to result in a muted zonal sea surface temperature (SST) gradient across the equatorial tropical Pacific (Clement et al., 1996). A diminished SST gradient promotes a weakening of trade winds, resulting in less upwelling and an elevated thermocline, further weakening the cross-basin SST gradient. This “Bjerknes feedback” describes how muting of the SST gradient brought on by negative radiative forcing alone is exacerbated by ocean–atmosphere coupling (Bjerknes, 1969). Following the initial increase in El Niño likelihood, La Niña event probability peaks in the third year post-eruption (Maher et al., 2015).

Trenberth et al. (1997) placed the likelihood of an ENSO event in a given year at 31%. Using 200 ZC simulations lasting 1000 years each, Emile-Geay et al. (2008)

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geoengineering could effectively reduce or offset the surface temperature increase resulting from global warming by limiting the amount of incoming shortwave radiation, compensating for global warming (Jones et al., 2013; Robock et al., 2008). An alternative theory for why ENSO amplitude and frequency may be different in the future under a geoengineering regime than under global warming is based on the fact that ENSO events may evolve differently from a warmer tropical Pacific mean state under global warming than if a geoengineering scheme were imposed.

Kirtman and Schopf (1998) showed that tropical Pacific mean-state changes on decadal timescales are more responsible than atmospheric noise for changes in ENSO frequency and predictability. This does not imply any external cause for the changes in ENSO, but does imply that a uniform warming of the tropical Pacific may cause changes in ENSO. Despite the lack of a robust multi-model ENSO signal in the Coupled Model Intercomparison Project 5 (CMIP5) models (Taylor et al., 2012), there are suggestions that strong El Niño events may become far more likely under global warming, specifically in a multi-model ensemble experiment using RCP8.5. As global warming continues, background state tropical Pacific SSTs are expected to warm faster along the equator than off the equator, and faster in the east than in the west – the inverse of the ocean dynamical thermostat mechanism (Held et al., 2010). With the weaker zonal SST gradient in the tropical Pacific, there will be more occurrences of higher SSTs in the eastern Pacific, promoting large scale organization of convection further to the east, with twice as many strong El Niño events over 2000 years of RCP8.5 runs (Cia et al., 2014). We will not seek to replicate the RCP8.5 results. No physically plausible geoengineering experiment would seriously attempt to offset RCP 8.5 with solar dimming or sulfate injections. Therefore, we use RCP4.5 as the control in GeoMIP experiments, and will attempt to identify if the long term mean state changes generate divergent ENSO frequency under geoengineering and global warming.

1.3 Representation of the Tropical Pacific in CMIP

The ability to detect subtle differences in the tropical Pacific under global warming vs. geoengineering requires sufficiently skilled models. Proper depiction of ENSO in a GCM is confounded by the fact that ENSO is a coupled ocean-atmospheric phenomenon, generated by the interaction of many processes, each occurring on one of several different time scales. Nearly all CMIP3 models were able to produce an ENSO cycle, but significant errors were evident (Guilyardi et al., 2009). Analysis of CMIP5 models has shown significant improvement, but the improvement has not been revolutionary. Such a comparison is facilitated by standardized “metrics developed within the CLIVAR (Climate and Ocean: Variability, Predictability and Change) Pacific Panel that assess the tropical Pacific mean state and interannual variability” (Bellenger et al., 2013). The following metrics were used in the CLIVAR CMIP3/CMIP5 comparison: ENSO amplitude, structure, spectrum and seasonality. Some process-based variables were also studied, including the Bjerknes feedback.

Key results included that 65 % of CMIP5 models produce ENSO amplitude within 25 % of observations as compared to 50 % for CMIP3. Other results included improved seasonal phase-locking and the proper spatial pattern of SSTs at the peak of ENSO events. Despite the improvement in these result-based variables, analysis of process-based variables, such as the Bjerknes feedback, showed less consistent improvement. This gives rise to the possibility that the bottom-line improvement in ENSO depiction was at least partially the result of error cancellation, rather than clear improvements in parameterization and simulation of physical processes (Yeh et al., 2012; Guilyardi et al., 2012; Bellenger et al., 2013). A particularly striking area of divergence between modeling and observations is in the absence of a shift from a subsidence regime to a convective regime in the equatorial central Pacific during evolution of El Niño events. Many models maintained a subsidence regime or convective regime at all times over the equatorial central Pacific (Bellenger et al., 2013). This error likely led to the muting

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of the negative shortwave feedback in many models, leading to muted damping of ENSO events in those models.

Both the improvement in depiction of ENSO amplitude and seasonality from CMIP3 to CMIP5 and the ability to understand the simulation of key process-based variables motivate an analysis of ENSO and geoengineering using CMIP5 GCMs.

2 Methods

In this experiment, output from nine GeoMIP-participating GCMs, each running between one and three ensemble members, of each experiment G1–G4 are analyzed. These GeoMIP experiments are described by Kravitz et al. (2011). See Fig. 1 for schematics of GeoMIP experiments G1–G4 and Tables 1 and 2 for details about the GCMs used in these experiments. The G1 experiment – instantaneous quadrupling of CO₂ coupled with a concurrent fully offsetting reduction of the solar constant – was designed to elicit robust responses, which then facilitate elucidation of physical mechanisms for further analysis. We compared G1 output to a control run in which the atmospheric carbon dioxide concentration is instantaneously quadrupled. The G2 experiment combines a 1 % year⁻¹ CO₂ increase with a fully offsetting reduction in the solar constant. The G3 experiment combines RCP4.5 with a fully offsetting sulfur dioxide injection. The G4 experiment – stratospheric loading of 25 % the SO₂ mass of the 1991 Mt. Pinatubo volcanic eruption (5 Tg) each year concurrent with RCP4.5, with top-of-atmosphere radiation balance not fixed at zero – attempts to replicate a physically and politically plausible large scale geoengineering deployment scenario. G3 and G4 output were compared to an RCP4.5 control and all experiments G1–G4 were compared to observations and historical models from a control period, 1966–2005. The ability to analyze such a large amount of climate model output is why we decided to use all four GeoMIP experiments. ENSO signals are subtle and best deciphered from a large sample.

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5 addition to looking at variables that describe surface temperature anomalies, we considered the Southern Oscillation Index (SOI), which is a standardized index based on the atmospheric pressure difference between Darwin, Australia, and Tahiti, because climate change does not produce SOI trends, except for a trivial increase as a result of
10 increased water vapor concentration in a warmer world. Ideally, using SOI as a proxy for SST or T_S would allow inspection of the data absent the complications of dealing with a trend. Unfortunately SOI simulations show drastically muted variability when compared with SST and T_S based indexes in the GCMs. Figure 2 shows a spatial comparison between observed SOI-SST correlation and that modeled in a representative
15 GISS historical run spanning the same time interval as the observations, and Fig. 3 for corresponding time series. These examples are representative of the other GCMs, and therefore, the results of the SOI analysis were not used in our study.

Presently the National Oceanic and Atmospheric Administration Climate Prediction Center defines the climatological base period from which we calculate the departure
20 from the current value and define an ENSO event as 1981–2010. We depart from this definition due to the robust warming trend in tropical SST in the Pacific both during the 1966–2005 comparison, and in 2030–2069 model runs, which show continued warming of the tropical Pacific. The detrended 40-year average produces a more realistic assessment of the base climate from which a particular ENSO event would evolve.
25 This avoids the trap of identifying spurious ENSO events toward the end of the time series, which are really artifacts of the warming trend. Ideally, a climatological period in a rapidly changing climate would span less than 40 years. However, longer term natural trends in Pacific SST variability, including extended ENSO warm or cold periods, force use of a lengthy climatological base period to avoid comparing variability against a climatology that also includes that same variability.

The ENSO parameters evaluated are amplitude and frequency. El Niño amplitude is defined here as the peak anomaly value (in K) found during each El Niño event in the time series. La Niña amplitude is defined here as the mean negative peak anomaly value (in K) found during each ENSO event in the time series. Frequency is counted as

the number of warm and cold events in each 40-year time slice. These parameters are chosen because ENSO frequency and amplitude have particular importance as global climate drivers.

The ENSO frequency and amplitude calculated in each ensemble member of each experiment (G1–G4) are compared (1) to other ensemble members from the same model for the same experiment, if available, (2) to their respective control runs, (3) to runs from other models with the same experimental design and (4) with different experimental designs, (5) to historical model runs, and (6) to observations. From this we seek to identify significant differences between model output from geoengineering scenarios, global warming, and historical runs compared to each other and to observations, as well as differences between models running the same G1–G4 experiment. Not only do we seek to analyze differences in ENSO amplitude and frequency between different scenarios, but we also seek to identify ENSO tendencies specific to particular models. The discussion below includes the successes and limitations of CMIP5 GCMs in depicting ENSO.

3 Results

3.1 Data excluded from final comparison

Although great strides have been made in the modern GCMs' ability to depict a realistic ENSO cycle, not all models are yet able to simulate a realistic ENSO cycle. Prior to further analysis, we applied two simple amplitude-based filters to exclude unreasonable ENSO time series data. The BNU-ESM output was excluded, because runs from more than one of several experiments found unrealistic 40-year ENSO time series where the substantial portion of warm and cold events maximum amplitude exceeded 3 K. Some BNU-ESM events exceeded 4 K, nearly a factor of 2 greater than the largest amplitude warm or cold events in the observational record. The model also produced

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nearly annual swings from implausibly strong warm events to cold events and back, implying an almost constant non-neutral state (Fig. 4).

The MIROC-ESM and MIROC-ESM-CHEM output were also both excluded. Runs from more than one experiment in those models resulted in unrealistic 40-year ENSO time series without a single positive anomaly of more than 1 K of the Niño 3.4 Index. Negative anomalies were similarly suppressed in simulations from both models (Fig. 5).

3.2 Analysis

We considered output from six GeoMIP participating GCMs: CanESM, CSIRO, GISS, HadGEM, IPSL and MPI (Tables 1 and 2). Before making comparisons between models for experiments, we first aggregated all of the runs: all ensemble members from all experiments over each respective 40-year time slice. We find strong agreement between all aggregated model data and the observations, with 9 warm events, 8 cold events and a maximum warm amplitude of 2.3 K and maximum cold amplitude of 1.9 K in the 1966–2005 observational record, and an average of 8.1 warm events, 8.3 cold events, a maximum warm amplitude of 1.7 K and a maximum cold amplitude of 1.8 K as the average for all models. Therefore, in this subset of GeoMIP CMIP5 models, the number of warm events, cold events, warm amplitude and cold amplitude are similar to that seen in observations, although there is a large spread within each model and between models.

The first comparison between model runs is between all GeoMIP G1–G4 runs from 2030–2069 and all non-GeoMIP runs over the same time interval, because each group – GeoMIP and non-GeoMIP – is a relatively large sample size relative to the direct experiment to control comparisons. An average of 8.11 warm events and 8.32 cold events occur during the 40-year period in the 47 GeoMIP members. An average of 7.89 warm and 7.97 cold events occur in the 37 non-GeoMIP runs (Fig. 6). The mean maximum warm event amplitude in the GeoMIP runs is 1.66 K (1.71 K non-GeoMIP) and the cold event maximum amplitude is 1.76 K (1.72 K non-GeoMIP) (Fig. 7). Differences in the two data sets – GeoMIP vs. non-GeoMIP – are not significant

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vations or in the historical runs. CLIVAR's analysis of process-based variables in CMIP 3/5 – which quantify a GCM's ability to simulate key ENSO processes – is underway. That work is more squarely focused at determining exactly how the simulation of both ENSO events and the underlying ENSO processes can be improved in GCMs.

Both warm and cold event frequency is generally similar between geoengineering experiments and their respective controls. Although neither the comparison between GeoMIP and non-GeoMIP output, nor the comparison of G3/G4 output with RCP4.5 output showed statistically significant differences at a 95 % confidence level, there are differences worthy of discussion. In particular, models that instantaneously quadrupled carbon dioxide showed substantially muted ENSO in the period between 10 and 50 years after the quadrupling, with an average of 6.43 warm events and 6.71 cold events in that period. The G1 run, which quadruples carbon dioxide, but also fully offsets with the solar constant reduction, shows about 8.7 warm and cold events in each. Maximum amplitude was about the same for each. This could suggest that more extreme global warming scenarios may suppress ENSO variability. One interesting, and statistically significant result was that RCP4.5 warm event frequency was significantly suppressed ((95 % confidence) compared with historical simulations. This has no bearing on geoengineering, but it suggests diminished ENSO frequency relative to historical model runs in an RCP4.5 world. Lastly, the spread of warm and cold event frequency and warm or cold event amplitude among ensemble members performing the same run is large. All of these factors are challenges in comparing ENSO frequency and amplitude in a geoengineered world, as compared to a global warming world. Although we do not identify a robust ENSO signal in the geoengineering experiments, future model improvement and more impact-directed process based analysis of tropical Pacific variability may reveal subtle differences in ENSO behavior under modeled geoengineering regimes in the future.

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Table 1. The names of the climate models used in this study, with short names and references. Asterisks indicate that the models were excluded from comparison due to unrealistic ENSO variability.

Model	Model short name	Reference
*BNU-ESM	BNU	Dai et al. (2003)
CanESM2	CanESM	Arora et al. (2011)
CSIRO-Mk3L	CSIRO	Phipps et al. (2011, 2012)
GISS-E2-R	GISS	Schmidt et al. (2006)
HadGEM2-ES	HadGEM	Collins et al. (2011)
IPSL-CM5A-LR	IPSL	Dufresne et al. (2012)
*MIROC-ESM	MIROC	Watanabe et al. (2011)
*MIROC-ESM-CHEM	MIROC-C	Watanabe et al. (2011)
MPI-ESM-LR	MPI	Giorgetta et al. (2012)

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Table 2. Models analyzed in each experiment. Asterisks indicate that the models were excluded from comparison due to unrealistic ENSO variability. The number of ensemble members for each experiment is given in parenthesis after the model name.

a. Models in G1	b. Models in G2
*BNU-ESM (2)	*BNU-ESM (3)
CanESM2 (3)	CanESM2 (3)
CSIRO-Mk3L (3)	CSIRO-Mk3L (3)
GISS-E2- (3)	GISS-E2-R] (3)
HadGEM2-ES (1)	HadGEM2-ES (3)
IPSL-CM5A-LR (1)	IPSL-CM5A-LR (1)
*MIROC-ESM (1)	*MIROC-ESM (1)
MPI-ESM-LR (1)	MPI-ESM-LR (1)
c. Models in G3	d. Models in G4
*BNU-ESM (1)	*BNU-ESM (2)
GISS-E2-R (3)	CanESM2 (3)
HadGEM2-ES (2)	CSIRO-Mk3L (3)
IPSL-CM5A-LR (1)	GISS-E2-R (3)
MPI-ESM-LR (3)	HadGEM2-ES (1)
	IPSL-CM5A-LR (1)
	*MIROC-ESM (1)
	*MIROC-ESM-CHEM (1)
	MPI-ESM-LR (1)

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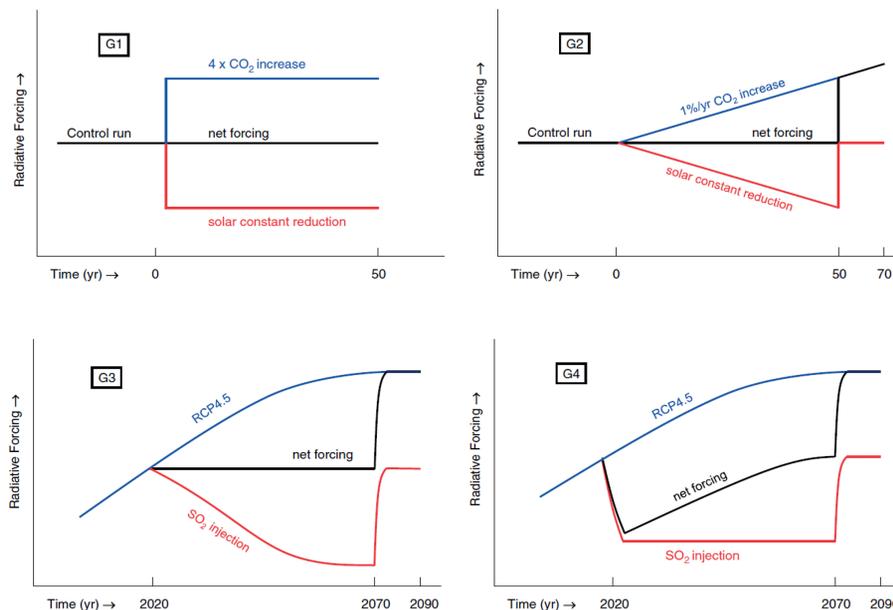


Figure 1. GeoMIP G1–G4 experiment designs. Figures 1–4 from Kravitz et al. (2011).

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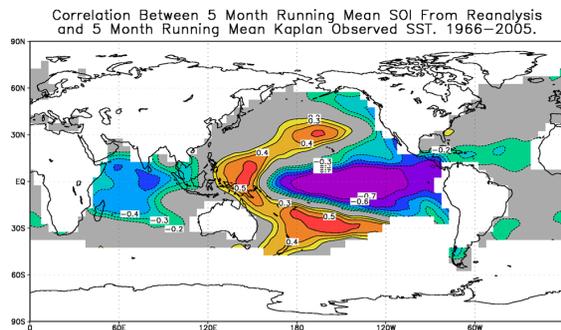
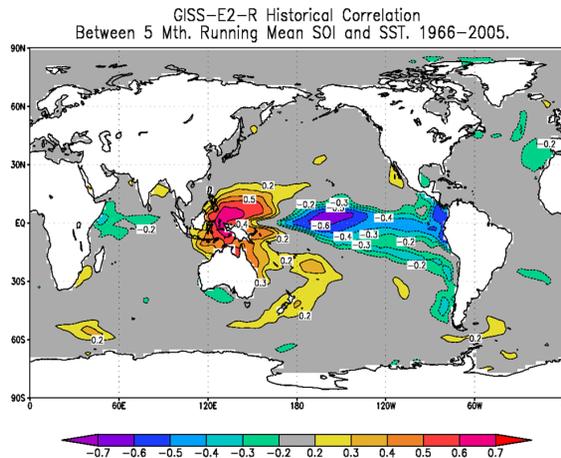


Figure 2. Top panel shows spatial correlation between GISS historical sea surface temperature (SST) and the Southern Oscillation Index (SOI). The area of strong negative correlation is confined to a small region in the central Pacific, relative to the broad area of strong negative correlation in the observations in the bottom panel, which shows the spatial correlation between NCEP SLP reanalysis and the Kaplan (1998) SST observations data set.

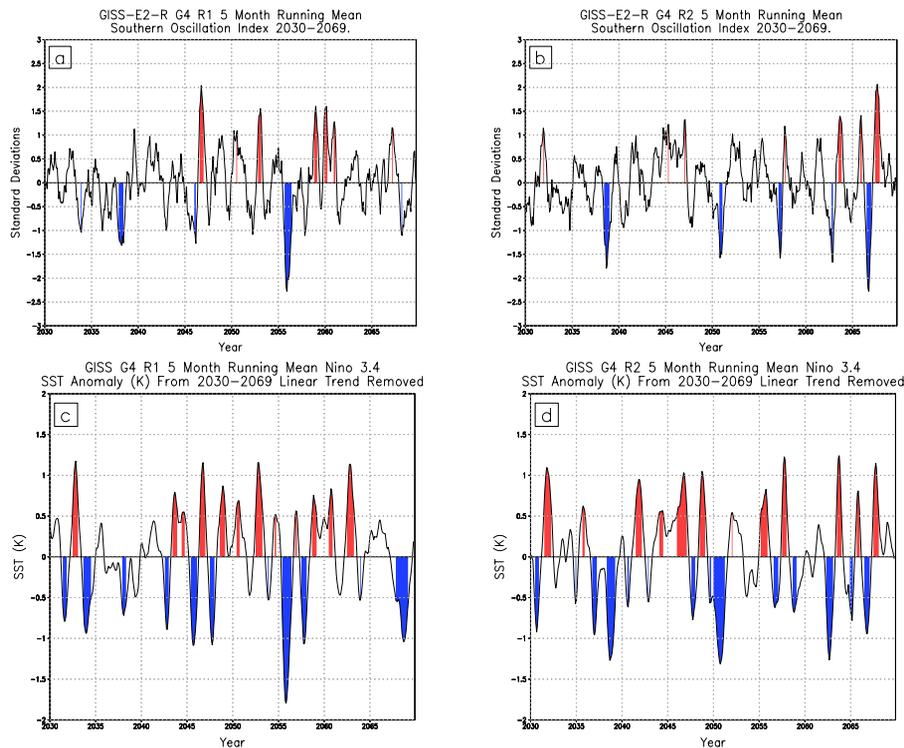
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Figure 3. Time series of normalized Southern Oscillation Index (SOI) for **(a)** GISS G4 Run 1 and **(b)** GISS G4 Run 2. In the context of SOI, ENSO events are defined as departures of 0.5 SDs from zero. SOI warm events are highlighted in red, while cold events are highlighted in blue. No highlight is applied during an ENSO neutral phase. Time series of SST in Niño 3.4 region for **(c)** GISS G4 Run 1 and **(d)** GISS G4 Run 2. The SST-based index in the bottom panels depicts more realistic ENSO variability, and therefore SOI is not used as an SST proxy.

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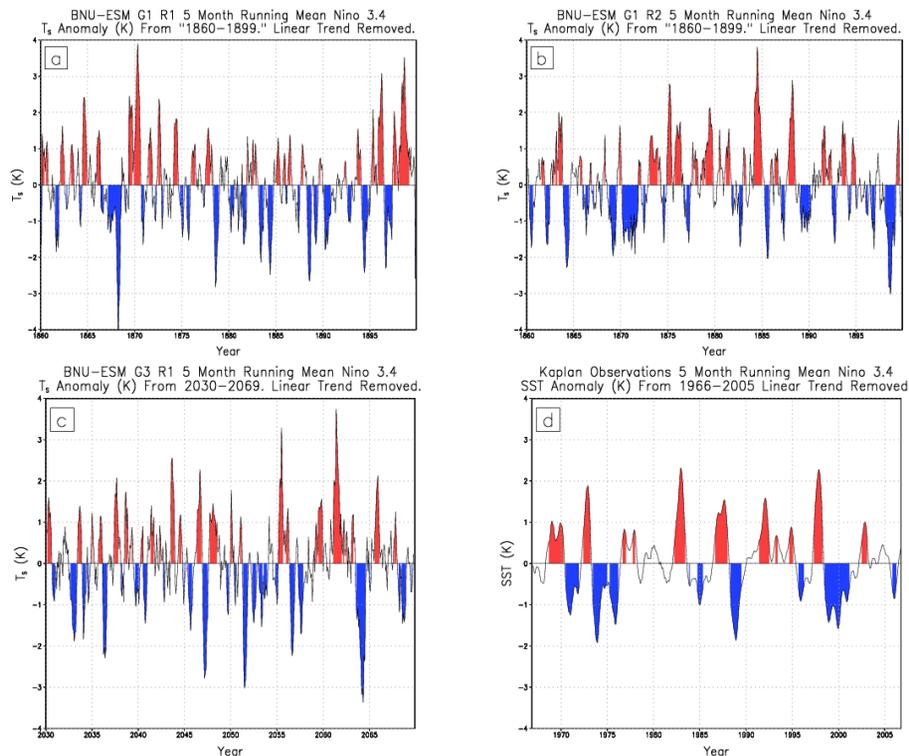
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Figure 4. Time series of Niño 3.4 anomalies from three experimental runs, (a) G1 run 1, (b) G1 run 2, and (c) G3 run 1, of the BNU-ESM model compared to observations (d). Red coloring indicates ENSO warm events, while blue shading indicates ENSO cold events. The model is excluded due to unrealistic variability and amplitude.

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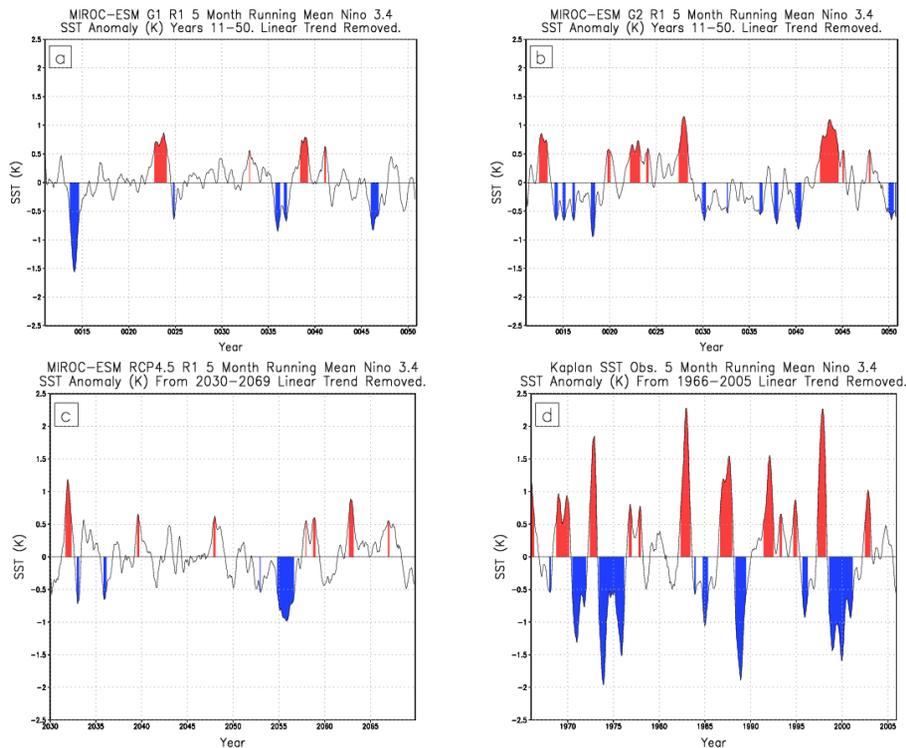


Figure 5. Niño 3.4 anomalies for MIROC-ESM. Time series of (a) G1, (b) G2 and (c) RCP4.5 from each model all show significantly muted variability and amplitude compared to (d) observations, with few, if any, warm events exceeding a 1 K anomaly. All other MIROC family experiments showed the same muted variability. Cold event amplitude is essentially muted, with no 1 K or greater departures. The inability of the MIROC-ESM to depict a plausible ENSO cycle is also seen in the MIROC-ESM-CHEM. Therefore, both sets of model output were excluded.

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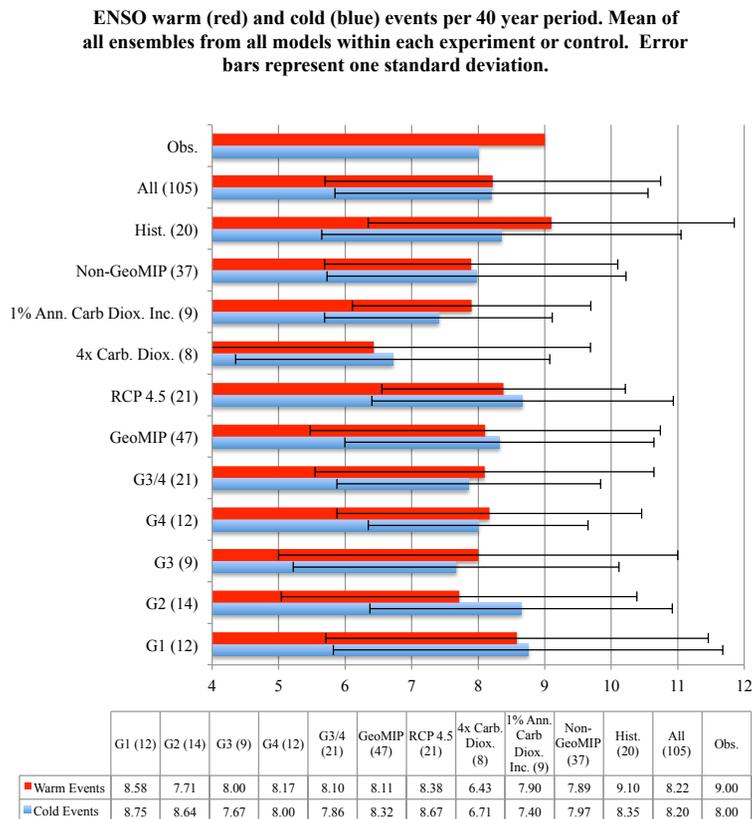


Figure 6. Number of ENSO warm (red) or cold (blue) events observed or simulated in the applicable 40-year comparison period for the CanESM, CSIRO, GISS, HadGEM, IPSL and MPI models. Values in parentheses are the number of ensemble members for each experiment or family of experiments. Error bars represent plus or minus one SD of ENSO events relative to the experiment mean. A table of values is provided under the graph.

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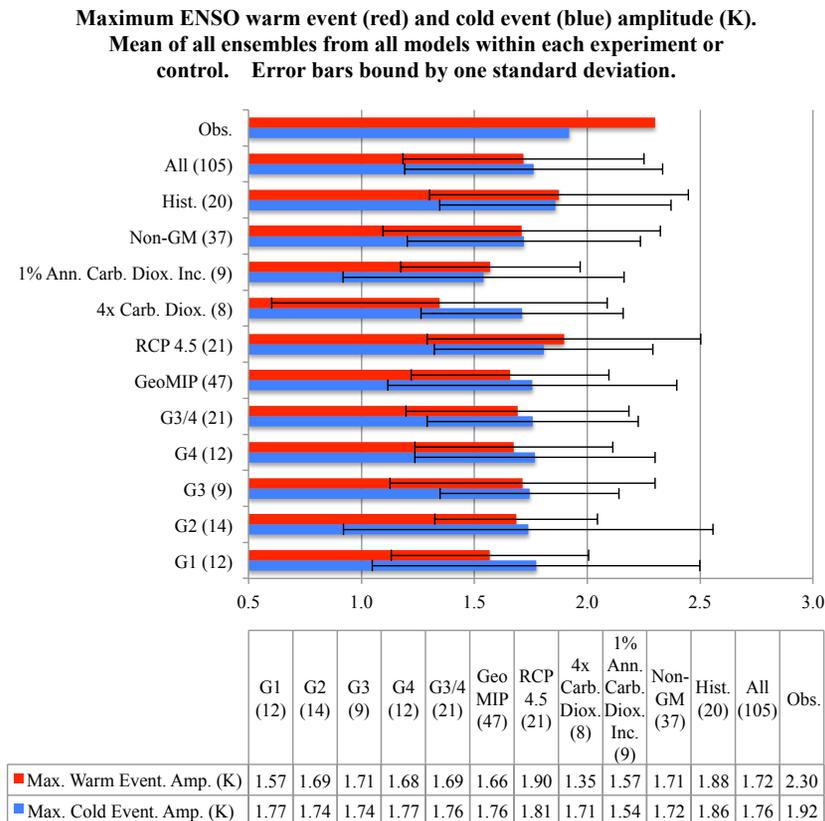


Figure 7. Maximum amplitude of ENSO warm (red) or cold (blue) events observed or simulated in the applicable 40-year comparison period for the CanESM, CSIRO, GISS, HadGEM, IPSL and MPI models. Values in parentheses are the number of ensemble members for each experiment or family of experiments. Error bars represent plus or minus one SD of ENSO events relative to the experiment mean. A table of values is provided under the graph.

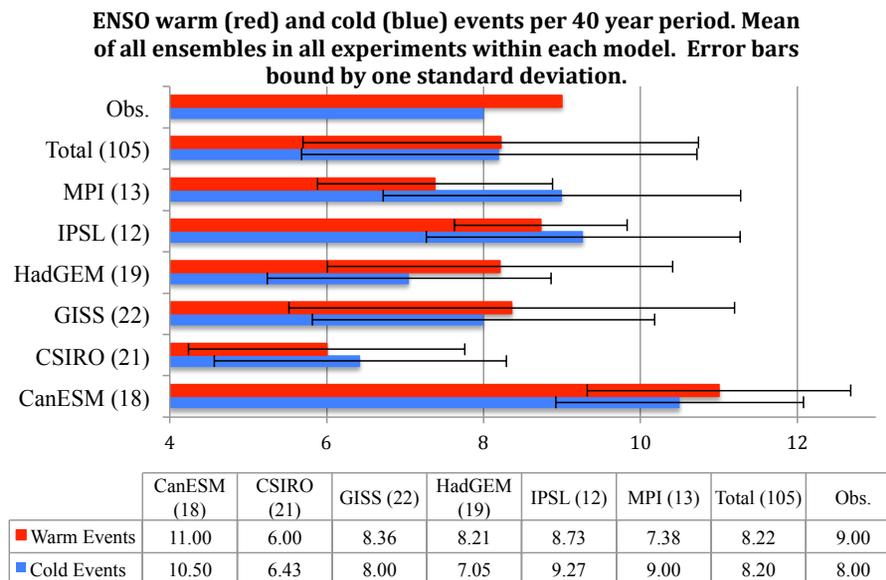
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Figure 8. Number of ENSO warm (red) or cold (blue) events observed or simulated in the applicable 40-year comparison period. Values in parenthesis following y axis (model name) labels indicate the number of ensemble members, inclusive of all experiment designs, run by the particular model. Error bars enclose plus or minus one SD of ENSO events relative to the model mean. A table of values is provided under the graph.

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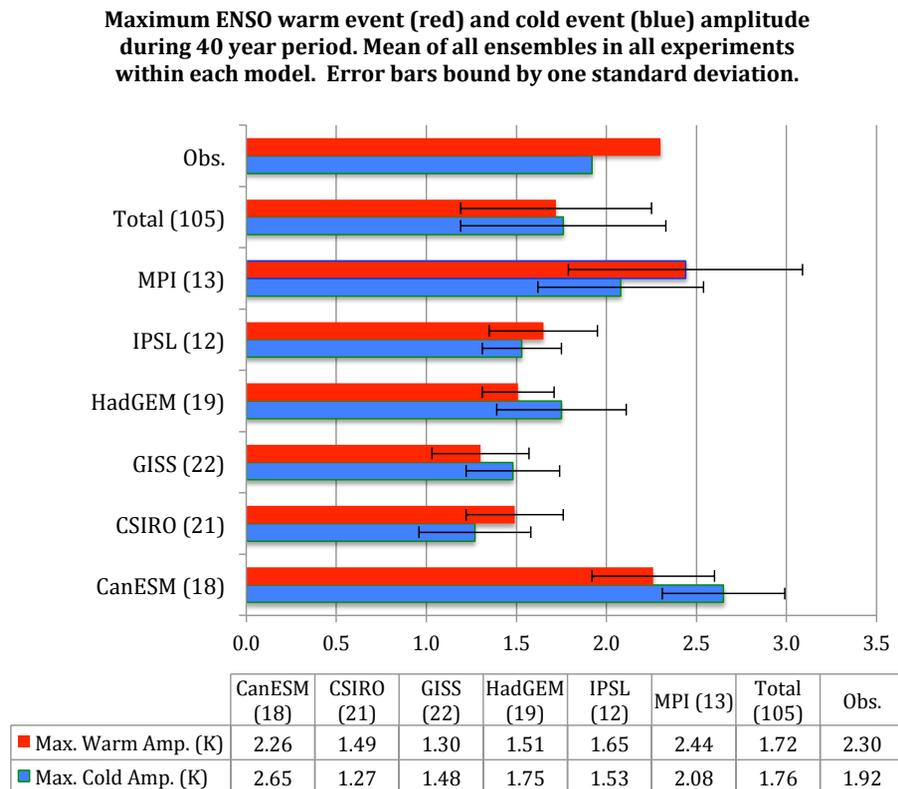
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Figure 9. Maximum amplitude (K) of ENSO warm (red) or cold (blue) events observed or simulated in the applicable 40-year comparison period. Values in parenthesis following y axis (model name) labels indicate the number of ensemble members, inclusive of all experiment designs, run by the particular model. Error bars enclose plus or minus one SD of ENSO events relative to the model mean. A table of values is provided under the graph.

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