

1 We thank our two referees for helpful comments and suggestions. Below we provide
2 responses to each individual comment. The comment is underlined in order to differentiate
3 from the response.

6 **Responses to Referee #1**

8 Comment 1, Abstract, p.3, l.3: Molecular clusters do not form by nucleation, but by molecular
9 interactions. This is named nucleation once it overcomes the nucleation barrier (critical size).
10 Reformulate!

11 The text in the Abstract has been reformulated as “During an NPF event, particles first form
12 by nucleation and then grow further in size.”

13 Text in the Introduction has also been reformulated with respect to the definition of nucleation.

15 Comment 2, p.3, l.3: growth by condensation is one part of the whole process. As the Kelvin
16 effect hinders condensation at size ranges especially below 5-10 nm, which is critical for the
17 nucleation to occur, other processes contribute as well that cannot be named condensation:
18 (i) coagulation and coalescence, (ii) dissolution in particle mass or water (Raoult effect) and
19 (iii) reactive attachment (e.g. polymerization). Please reformulate "by the uptake of vapours".

20 The text “grow further by condensation” is removed. A new sentence “Among various physical
21 and chemical processes contributing to particle growth, condensation by organic vapors has
22 been suggested to be important.” is added.

24 Comment 3, p.3, l.10-11: "suggesting missing atmospheric sulfuric acid sources" is probably a
25 too strong statement as this may be caused by false assumption of sinks too. Common
26 models assume a negligible saturation vapour pressure of sulfuric acid, which is according to
27 literature not exactly true. As vapour pressures are functions of temperature this is expected
28 to vary notably throughout the day. Please add the potentially different sink terms as well. The
29 calculated OH concentration is one of the most critical in this respect, as OH reacts with
30 nearly any species available except a handfull of substances. There might be an additional
31 OH production via the ozonolysis of alkenes and the hydroperoxide channel. This impacts on
32 the simulation approach via a specific assumption during night and early morning as
33 investigated by the co-authors earlier on: E.g. all the monoterpenes are treated in a certain
34 mixture out of three types, α -pinene, β -pinene and limonene which even provides a huge
35 amount of species and reaction speeds. However, the real mixture is more complex with

36 some notable differences in OH production and the individual terpenoid contributions may
37 vary notably throughout the day affecting OH-cycling.

38 We agree with the comment about sulfuric acid and the overestimation of sink term has been
39 discussed in the result part. The text in the Abstract is now modified as “With the latest
40 Criegee intermediates reaction rates implemented in the chemistry scheme, the model
41 underestimates sulfuric acid concentration by 50%, suggesting either missing sources of
42 atmospheric sulfuric acid or an overestimated sink term.”

43 The chemistry scheme employed, as has been explained in Section 2.2 (p.9041, l.14-28),
44 takes the full MCM paths for major known organic compounds, including the dominant local
45 biogenic emitted compounds, MBO and monoterpenes. The full paths are available for alpha-
46 pinene, beta-pinene and limonene, which altogether account for approximately 62% of the
47 measured sum of monoterpenes (Ortega et al., 2014). For other monoterpenes and
48 sesquiterpenes, whose full MCM paths are not available, we have included the their first order
49 oxidation reactions. Thus the chemistry scheme does not approximate monoterpenes to
50 consist of only alpha-pinene, beta-pinene and limonene, but indeed there is uncertainties due
51 to unknown reactions. The emission factors used to simulate the monoterpenes emissions
52 are specified for different species including myrcenen, sabinene, limonene, 3-carene,
53 ocimene, alpha-pinene, beta-pinene and other monoterpenes (Harley et al. 2014). So the
54 modeling work has tried to include the most available knowledge related of the oxidation cycle
55 of biogenic organic compounds. Due to limited knowledge in anthropogenic organic
56 compounds, for example the chemistry related to Toluene is omitted, and other unknown
57 organic compounds which react with OH, the modeled OH is indeed not accurate. However,
58 the result is based on the best knowledge by the time of conducting the model simulations.

59

60 Comment 4, p.3, l.11ff: The impact of MBO+OH is a very nice result! But it's hard to
61 understand the specific processes. MBO has got a molar mass of 86 g/mole with a single
62 hydroxyl group that doubles during the reaction with OH. However no organic compound with
63 5 carbon atoms being a dialcohol will presume a saturation vapour pressure or even
64 partitioning coefficient for the early stage of particle formation. So understanding seems only
65 possible if treating MBO as a marker for BVOCs (oxidized VOCs)+OH. Thus, MBO is the
66 wrong candidate at the right place at the time of interest with a similar behaviour. This results in
67 multifunctional organic peroxy radicals leading to some kind of polymerization. There are
68 multiple of articles on this point. Do the authors have any suggestion about the involved
69 processes? If so, please name it to focus future investigations!

70 The oxidation products of MBO included in the lump sums for aerosol simulation have molar
71 mass range 135 to 180 g mol⁻¹ and most of them have 5 carbons. We agree that it is possible
72 that MBO is the wrong candidate at the right place and we have thus stated in the Conclusion
73 (p.9052, l.24-25) that “The compounds (responsible for the particle growth) should have a
74 similar daily pattern and concentration level as the OH oxidation products of MBO”. We do not

75 have any concrete idea about the possible reaction candidates for MBO. Two experimental
76 works by Zhang et al., 2012 and 2014 showed possible evidence of the role of MBO in SOA
77 formation at the modeling site, Manitou Experiment Forest Observatory. Further work is
78 needed to specify the responsible organics (direct emitted or reaction products from MBO)
79 which contribute significant to the growth of particles during daytime at this station. This would
80 need further experimental and theoretical work and is not in the frame of this manuscript.

81

82 Comment 5 Model validation for meteorology and chemistry, p.13/14: The model SOSAA
83 seems to underestimate the mixing layer height notably. This is not a local phenomenon but
84 applies at different sites in a similar way. Could the authors briefly indicate about the
85 magnitude of the impact of this on the calculated results e.g. by taking measurements instead
86 of calculations with interpolation in between the observations? This would cause a different
87 dilution and different deposition rates.

88 The boundary layer height in the model is not used to calculate the turbulent mixing or dilution
89 of scalar quantities. The boundary layer height represented is a diagnostic parameter
90 calculated from the structure of turbulence in the model, and changing it will not change the
91 properties of turbulence in the model. In other words in the model turbulent mixing governs BL
92 height, not another way around. However, we agree that higher BL heights could dilute the
93 concentrations of emitted organic compounds significant, but with our model setup it would
94 not be possible to investigate this topic.

95

96 Comment 6, p.15: Different timescales for mean daily pattern of compounds seems very
97 critical. If possible the same time frame should be applied for all important gases as small
98 changes sometimes have notable consequences, hiding important features. The uncertainty
99 range is as large as always. How well the model performs if the upper concentrations of OH
100 and VOCs are assumed (read-in)? Is that capable in explaining the deviations between model
101 and observations?

102 The measurements for VOCs, OH, sulfuric acid and NO₂ photolysis rate indeed cover
103 different time period in August 2010. Figure 4, 6 and 7 in the manuscript are reproduced as
104 mean diurnal profiles for period 13-14 and 16-23 August 2010, when measurements are
105 available for all five quantities. The mean diurnal profiles do not changed qualitatively for NO₂
106 photolysis rate, concentrations of OH, H₂SO₄ and monoterpenes. However, the new diurnal
107 profile for MBO indicates that the modeled MBO is actually underestimated by 20% to 25%.
108 This may partly explain the overestimated OH concentration in the afternoon in addition to the
109 previously stated overestimation in photolysis. The discussion related to MBO and OH has
110 been modified in Section 3.2 according to the new plots.

111 We have considered reading in the measurements to the model for constraining the
112 chemistry. However, it is not done because 1) the measurements coverage and frequency

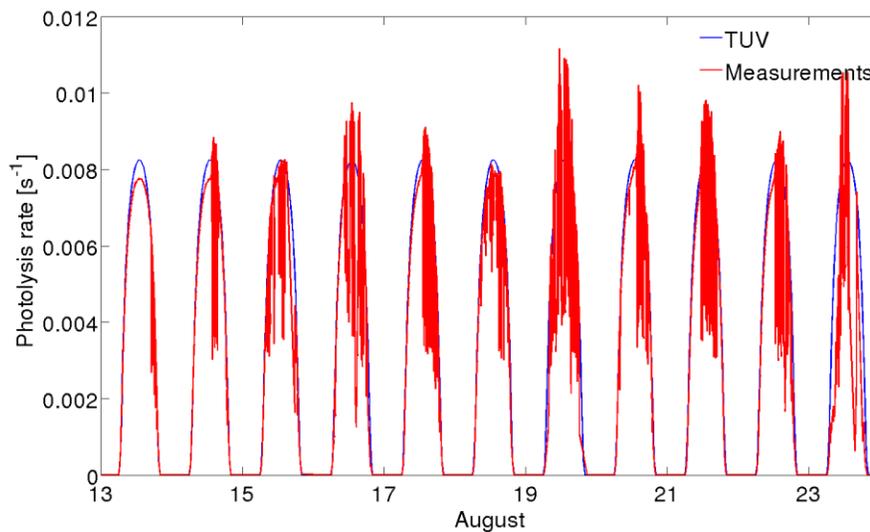
113 various across different gas species; 2) As a column model, input measurements are
114 expected to be applied at least throughout the boundary layer. Otherwise, perturbing only one
115 layer with the measurements would cause extra dilution or transport during the meteorology
116 simulation, which are not true and may exert influence to other modeled species.

117

118 Comment 7, p.16 and Fig.6: As OH sometimes compensates missing production and sink
119 terms due to the multitude of connections at reasonable photolysis rates this may explain the
120 morning and early midday behaviour of OH. What happened during the afternoon, i.e. cloudy
121 sky, differences between the different days of averaging? This is indicated in Fig.7 and the
122 photolysis rate of NO₂ as well. Please provide more info on this.

123 As indicated by Figure 1 (see below), only August 13 is a clear cloudless day in period 13- 14
124 and 16-23 August 2010, during which the OH and NO₂ photolysis rate averages are made.
125 Cumulus clouds developed during afternoons as indicated by the fluctuations in measured
126 photolysis rate.

127 We tried to include the cloudiness condition by scaling the clear sky actinic flux spectrum with
128 the ratio of measured to TUV modeled clear sky photolysis rate of NO₂. The modeled
129 photolysis rate of NO₂ is within the measurement uncertainty of 10% - 20% (Seroji et al.,
130 2004). Though the modeled NO₂ photolysis rate is within measurement uncertainty of 10% to
131 20%, it is still possible that the photolysis rate is indeed overestimated in the cloudy
132 afternoon, as can be seen in Figure 7 in manuscript. The scaling method may not work well
133 enough that in the cloudy afternoon, photolysis rates of NO₂ and the photolysis production of
134 OH is overestimated.



135

136 Figure 1. The measured and modeled clear sky photolysis rate of NO₂ from August 13 to 23.

137 Comment 8, p.32, Table 1: Please note, there is NO organic condensation but partitioning in
138 the atmosphere as there is always organic material present causing subsidence below the

139 saturation levels. Please modify the expression "organic condensing vapor" and replace it by
140 "organic vapor type assumed" or something similar.

141 We disagree with the referee at this point. If the partial vapor pressure of an organic vapor in
142 the atmosphere exceeds its saturation vapor pressure, the organic vapor will condense onto
143 particle phase. Such condensation process is a main pathway for particle growth.

144

145 Comment 9, p.40: How well the usually taken 3-component assumption (α -pinene, β -pinene
146 and limonene) matches with the plots shown? Is the simplified assumption made elsewhere
147 justified or not? Please provide a brief statement. Regarding the plot only parts of it are
148 informative. Could you provide more information shortly: Which kind of species are
149 summarized in here, i.e. the stable ones or stable and radical products? Otherwise skip that
150 plot.

151 A table summarizing the species included in Vap I, II, and III oxidized by OH, NO₃ and Ozone
152 has been added to the manuscript (Table 2) to provide a better overview of which compounds
153 were considered for the growth of the particles in this study.

154

155

156 **Responses to Referee #2**

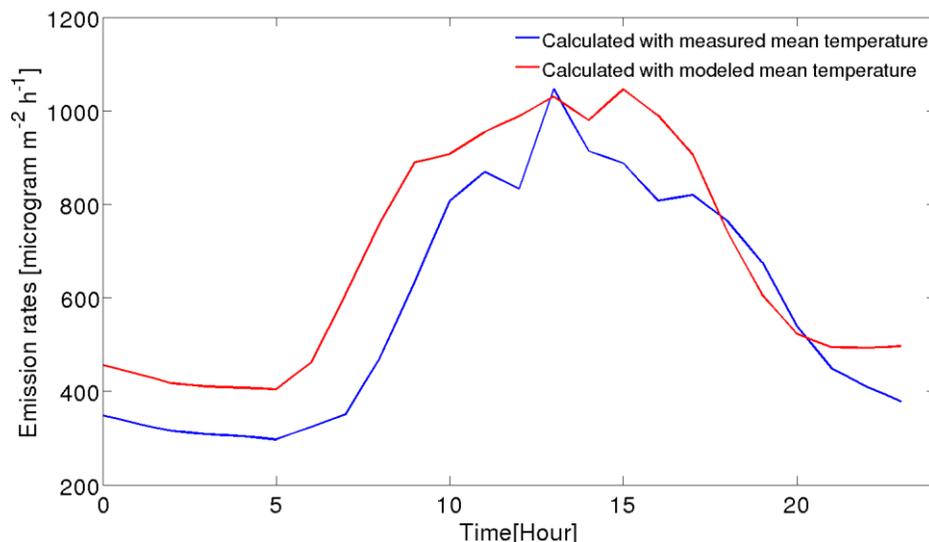
157

158 Comment 1: The daytime MT and MBO concentrations were described by emissions from
159 MEGAN and extended MCM photochemistry. The diurnal cycles of the precursor VOC were
160 also described qualitatively quite well. However with too high overall conc. of MBO (factor 2-
161 1.5) and very high nighttime concentrations of MT. The proposed explanation for the latter is a
162 too high night time temperature predicted of the model. But this hypothesis could be tested by
163 testing the T-dependence of the main emissions in the MEGAN emission algorithm. I suggest
164 to do that in order to convince the readers that this is indeed the explanation.

165 Sensitivity study of the temperature dependence in MEGAN algorithm has been conducted for
166 total monoterpene emission rates with the stand-alone MEGAN, in order to see clearly the
167 dependence. The averaged diurnal profiles of temperature from the measurements and from
168 the model (shown in Figure 1 in the manuscript) are used as the input for a one day
169 simulation. The results from the sensitivity study, as indicated in Figure 2, show that the
170 emission rates of total monoterpenes are higher by almost 100% with the higher modeled
171 temperature during the night. The increased emission rates should explain for the
172 overestimated monoterpenes concentration during the night.

173 "Sensitivity studies have been conducted for the response of total monoterpene emission rate

174 to temperature. An increase of five Celsius degrees in the night may increase the emission
175 rates by 80% to 100%.” is added to the second paragraph of Section 3.2 in the manuscript.



176 Figure 2. MEGAN simulated total monoterpenes emission rates based on the measured and
177 modeled mean temperature shown in Figure 1 in the manuscript.

178

179 Comment 2: Amazingly the model fails substantially in predicting the daytime sulfuric acid
180 concentrations and the afternoon OH concentrations. The argument that a JNO₂, too low by
181 about 20% around e.g.16:00-17:00h in the model compared to the measurement leads to a
182 factor of two too low OH concentrations at that time period seems not too convincing to me.
183 The question arises is if the model has missing OH sinks, and if these are organic vapors
184 which are oxidized. How would this affect the predicted aerosol dynamics. I suggest to
185 discuss this point in more detail in the manuscript.

186 We reanalyzed the situation and concluded that the major reason for the overestimation in
187 OH should due to missing sinks. Previous studies by Nakashima et al. (2014) suggested a
188 missing OH reactivity of 29.5% at MEFO based on measurements. Missing OH reactivity is
189 also found for other forest environment. For example Mogensen et al. (2011) concluded that
190 more than 50% of OH reactivity is missing for a boreal forest site in southern Finland.

191 Diurnal profiles of all gas species are updated that the averages are based on the same
192 period according the request in Comment 6 by Referee 1. The new averaged diurnal profile of
193 MBO shows slight underestimation instead of overestimation. The underestimation in MBO
194 may also lead to overestimation in OH. Finally, we cannot eliminate the possibility of
195 overestimated photolysis production of OH in the afternoon, as indicated by the overestimated
196 NO₂ photolysis rate in Figure 7 in manuscript.

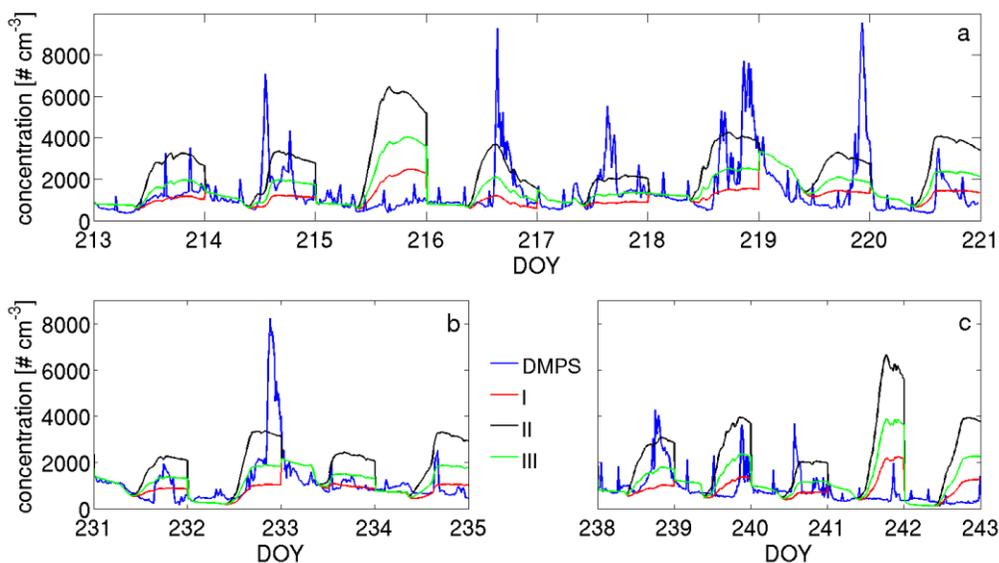
197 In case there are highly reactive compounds emitted by the forest which are not included in
198 the model and not have been identified, there is a high chance that the reaction products of

199 these compounds will also contribute to the growth and formation of particles. Maybe they
200 could be also have a similar pattern as MBO and would explain the Comment 4 from Referee
201 1 related to this topic. However, in case the missing organics are reaction products from the
202 organics already included but not handled explicit in MCM-chemistry, our assumptions for the
203 condensing vapors would hold.

204

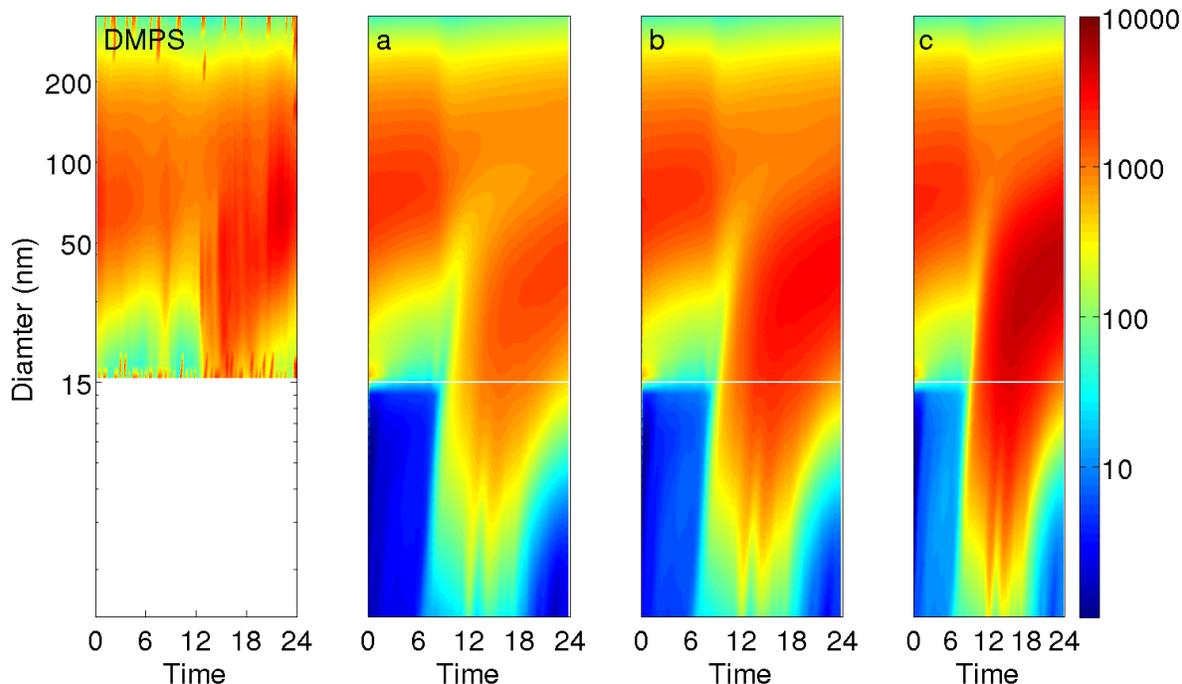
205 Comment 3: The too low H₂SO₄ concentrations were compensated by increasing the kinetic
206 coefficient K in the nucleation parametrization. How critical is the adjusting of K in context of
207 too low prediction of H₂SO₄ ?

208 Sensitivity studies of nucleation coefficient has been conducted in the same way as described
209 in Zhou et al. (2014). The total number concentrations of particles between 15nm and 200nm
210 are shown for the measurements and model simulations with different kinetic nucleation
211 coefficients. The coefficient $k = 5e-21 \text{ cm}^{-3}\text{s}^{-1}$ is used for the simulation that is presented in
212 the manuscript (Line II in Figure 3 below). From Figure 3 it can be seen that doubling the
213 nucleation coefficient approximately increases the total number concentration by 40% to 50%.
214 Figure 4 depicts the averaged one-day number size distributions based on different
215 nucleation coefficients. Figure 5b is the same as the plot of Experiment III in Figure 9 in the
216 manuscript. Figure 5a is too low in concentration compared to the DMPS measurements
217 while Figure 5c gives too high concentration compared to measurements.



218

219 Figure 3. Total number concentration of particles between 15 nm and 20nm from a) 1 to 8
220 August (DOY 213 – 221), b) 19 to 22 August (DOY 231 – 235) and c) 26 to 30 August (DOY
221 238 – 243). The time series are based on the DMPS measurements, model simulation with
222 kinetic nucleation coefficient $k = 2.5e-21 \text{ molecules cm}^{-3}\text{s}^{-1}$ (I), $k = 1e-20 \text{ molecules cm}^{-3}\text{s}^{-1}$ (II)
223 and $k = 5e-21 \text{ molecules cm}^{-3}\text{s}^{-1}$ (III).



224

225 Figure 4. Averaged one-day number size distribution based on the DMPS measurements and
 226 model simulation with kinetic nucleation coefficient $k = 2.5e-21$ molecules $cm^{-3}s^{-1}$ (a), $k = 5e-$
 227 21 molecules $cm^{-3}s^{-1}$ and $k = 1e-20$ molecules $cm^{-3}s^{-1}$ (c). The concentration unit is molecules
 228 cm^{-3} .

229

230 Comment 4: The explanations why the model fails in the sulfuric acid concentrations fall a
 231 little too short. How important is the H₂SO₄ production from OH? You overestimate OH by
 232 100% in the afternoon, so the missing term might be really huge. Is that realistic? Could it be
 233 that simply the SO₂ input is too low? I suggest also here more explanation why the model
 234 prediction fails.

235 The underestimated H₂SO₄ concentration is not due to too low SO₂, because the measured
 236 SO₂ is taken as model input. The main production of H₂SO₄ is via OH, while a minor
 237 production source due to Criegee Intermediates. Besides the missing source terms,
 238 overestimated sink term is another reason for the underestimation in H₂SO₄ concentration.
 239 Taking into account of the instrument uncertainty between 30% and 60%, the missing sulfuric
 240 acid term may not be that huge as seen in the figure. Similar study carried at the boreal forest
 241 environment in Finland (Zhou et al., 2014) has indicated comparable level of missing sulfuric
 242 acid sources. The discussion related to sulfuric acid in Section 3.2 has been modified for
 243 more detailed explanation for underestimated sulfuric acid.

244

245 Comment 5: The organic contribution to growth is parameterized by using the first

246 generations of stable vapors from MBO and MT generated by the oxidants OH, O₃, and NO₃.
247 Vapor pressures were then attributed to the vapors, and the effect of MT and MBO alone
248 and of both MBO and MT together was studied. MBO and MT vapors are needed to predict
249 the observed size distributions and the agreement between prediction and observation is not
250 too bad. Nevertheless I wonder why the first generation vapors are used as a measure. It is
251 well known that with exception of ELVOC from ozonolysis the vapor pressures of those
252 products are way too high to explain growth and SOA formation. Moreover during daytime
253 first generation products can be oxidized further by OH. How such an ageing process would
254 influence the results?

255 We agree that the first generation products may be too light and too volatile to contribute to
256 particle growth. For this reason, the first stable oxidation products are used as the assumed
257 organic vapors to contribute to particle growth. These first stable products may thus be nth
258 generation oxidation products with relatively higher molecular weight (molar weight up to 290
259 g/mol).

260 The aging process is the major and important process related to the aerosol particle growth.
261 The particle phase chemistry model from the model ADCHAM (Pontus et al. 2014) will be
262 added to SOSAA in the next phase. Meanwhile we are improving the model to use molecule
263 specific vapor pressures calculated by different methods (SIMPOL and/or Nannoolal).
264 Updated chemistry related to extreme low volatility organic compounds are under
265 implementation too. However, the new code is still in the testing phase and not ready for this
266 manuscript.

267

268 Comment 6: The authors derive limits for the vapor pressures to match the observations and
269 suggest in the Conclusion section that the condensing vapors should have vapor pressures
270 as low as 10⁻⁶ cm⁻³. The author should discuss in how far the vapor pressures attributed to
271 VapI, VapII, and VapIII match the lumped compound classes. And what can be concluded
272 from such a comparison.

273 The range of these vapor pressures has been already investigated in earlier studies (e.g. Boy
274 et al., 2006). The method here enables to study the growth without using explicit saturation
275 vapor pressures of the single organic molecules. The explicit saturation vapor pressures are
276 still highly uncertain. However, we agree that this method simplifies the condensation and can
277 only represent approximated growth.

278

279 Comment 7, p9039, I13: The tower on the measurement site was not introduced before.

280 The text has been modified.

281

282 Comment 8, p9040, l3: use “differential mobility analyzer” instead of “differential particle
283 counter”

284 The text has been modified.

285

286 Comment 9, p9044, l25ff: Does such a to flat diurnal temperature profile influence the vertical
287 transport? If so, what does that mean for the model observations?

288 The temperature profile affects vertical mixing through creating or suppressing turbulence
289 through buoyancy. For this mechanism the vertical profile of temperature is important.
290 However, it is not clear whether the discrepancy in temperature causes other discrepancies in
291 the model results. The failure of the model in reproducing all observed phenomena indeed
292 indicates possible influences in reproducing the vertical transport, but the feedbacks are not
293 obvious.

294

295 Comment 10, p9045, l14: I suggest to use either “mast” or “tower” throughout the manuscript.

296 ‘Mast’ is now used though out the manuscript.

297

298 Comment 11, p9045, l18: I don’t understand point (2), are suggesting that the two different
299 temperature measurements were potentially off by several degrees?

300 Agree. Possible cause of the large difference in nighttime temperature between mast and
301 sounding measurements may be that one of the measurement instruments has less adequate
302 radiation protection or ventilation compared to the other. But this should have very minor
303 contribution to the difference. The main difference should due to the point 1 and 3.

304 Text “ (least likely and only has minor contribution to the difference) is added to point 2”.

305 **References**

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1 **Contribution from biogenic organic compounds to particle**
2 **growth during the 2010 BEACHON-ROCS campaign in a**
3 **Colorado temperate needle leaf forest**

4
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24
25 **Abstract**

26 | New particle formation (NPF) is an important atmospheric phenomenon. During ~~ana~~ NPF
27 | event, ~~particles~~~~molecular clusters~~ first form by nucleation and then grow further ~~in size~~.by

1 ~~condensation of vapors.~~ The growth step is crucial because it controls the number of particles
2 that can become cloud condensation nuclei. Among various physical and chemical processes
3 contributing to particle growth, condensation by organic vapors has been suggested as
4 important. In order to better understand the influence of biogenic emissions on particle
5 growth, we carried out modeling studies of NPF events during the BEACHON-ROCS
6 campaign at Manitou Experimental Forest Observatory in Colorado, USA. The site is
7 representative of the semi-arid Western US. ~~With The implemented chemistry scheme with~~
8 latest Criegee intermediates reaction rates implemented in the chemistry scheme, the model
9 underestimates sulfuric acid concentration by 50%, suggesting either missing sources of
10 atmospheric sulfuric acid ~~or an overestimated sink term.sources.~~ The results emphasize the
11 contribution from biogenic volatile organic compound emissions to particle growth by
12 demonstrating the effects of the oxidation products of monoterpenes and 2-Methyl-3-buten-2-
13 ol (MBO). Monoterpene oxidation products are shown to influence the nighttime particle
14 loadings significantly while their concentrations are insufficient to grow the particles during
15 the day. The growth of ultrafine particles in daytime appears to be closely related to the OH
16 oxidation products of MBO.

17

18 1 Introduction

19 Atmospheric aerosols have the potential to change the climate as they influence the Earth's
20 radiative balance as well as the hydrological cycle (e.g. Lohmann and Feicher, 2005;
21 Kerminen et al., 2005). Apart from their climatic influences, aerosols reduce visibility and
22 impact health. Therefore it is important to understand the life cycle of atmospheric aerosols
23 and estimate their impacts on climate and health. One important phenomenon associated with
24 the atmospheric aerosol system is new particle formation (NPF) (Kulmala et al., 2004c).
25 During a NPF event, ~~particlesmolecular clusters~~ first form from nucleation ~~of gas molecules.~~
26 The exact mechanism behind nucleation is still unclear, but various studies have suggested
27 possible nucleation compounds including water, sulfuric acid, ammonia, and organic
28 compounds (Zhang et al., 2004; Sipilä et al., 2010; Kirkby et al., 2011; Schobesberger et al.,
29 2013). The nucleated ~~particlesclusters~~ then grow further via various processes includingby
30 condensation of vapors and coagulation (Kulmala et al., 2004b; Kulmala and Kerminen,
31 2008; Kerminen et al., 2010). This growth step determines the formation rate of detectable
32 particles (usually > 3 nm) as well as the impact of NPF on cloud condensation nuclei

1 populations (Kulmala et al., 2013). Organic compounds are the main drivers of the growth
2 step and are thus critical for aerosol formation (Kerminen et al., 2000; Sellegri et al., 2005;
3 Boy et al., 2005; Allan et al., 2006; Laaksonen et al., 2008; Ehn et al., 2014).

4 Volatile organic compounds (VOC) are of both anthropogenic and biogenic origin.
5 Vegetation produces biogenic volatile organic compounds (BVOC) for a variety of
6 physiological purposes (e.g. Fuentes et al., 2000; Sharkey et al., 2008). There are complex
7 mechanisms that control BVOC emissions. The emission abundance and chemical speciation
8 varies by vegetation species as well as environmental conditions such as light and
9 temperature. Since the first enclosure study of BVOC emissions in the late 1920s (Isidorov,
10 1990), numerous assessments by lab experiments and field measurements have been carried
11 out to quantify BVOC emissions. The global BVOC emissions by terrestrial ecosystems are
12 estimated to be about 1000 Tg C yr⁻¹, of which about 50% is isoprene and 15% is
13 monoterpenes (Guenther et al., 2012). This is nearly eight times the global VOC emissions of
14 anthropogenic origin, which are estimated to be about 130 Tg C yr⁻¹ (Lamarque et al., 2010).

15 The impact of these huge BVOC emissions is of great scientific interest. Apart from their
16 potential impacts on air quality (Andreae and Crutzen, 1997; Atkinson, 2000), BVOC are
17 known to affect the climate system by contributing to aerosol formation and growth.
18 However, the understanding of how BVOC contribute to aerosol formation is incomplete. The
19 vast amount of different BVOC species, numerous atmospheric chemistry reaction pathways
20 and uncertain microphysics make a complete understanding of these processes very difficult.
21 Many studies have suggested the condensing organic compounds to be non-volatile or have
22 extremely low volatility (Spracklen et al., 2011; Riipinen et al., 2011; Donahue et al., 2011;
23 Kulmala et al., 2013). For example, Ehn et al. (2014) investigated extremely low volatility
24 organic compounds (ELVOC) arising from monoterpene oxidation, which has been predicted
25 by Kulmala et al. (1998) to enhance the condensational growth of aerosols in chamber
26 experiments under atmospherically relevant conditions. This study has supplemented the link
27 between secondary organic aerosol (SOA) formation and one of the most abundant families of
28 BVOC, monoterpenes. Besides monoterpenes, 2-Methyl-3-buten-2-ol (MBO), another
29 important BVOC emitted by pine trees in western North America (Harley et al., 1998), is also
30 a potential precursor of SOA (Arthur et al., 2009). Recent smog chamber studies and field
31 measurements revealed that OH-initiated oxidation of MBO leads to SOA formation (Zhang
32 et al., 2012; Zhang et al., 2014).

1 Building on past research about the role of organic compounds in new particle formation, we
2 aim to study in particular the influence of biogenic organic compounds on particle growth via
3 a modeling approach. This modeling activity was conducted for the Bio-hydro-atmosphere
4 interactions of Energy, Aerosol, Carbon, H₂O, Organics & Nitrogen – Rocky Mountain
5 Organic Carbon Study (BEACHON-ROCS) field campaign at the Manitou Experimental
6 Forest Observatory (MEFO) during August 2010 (Ortega et al., 2014). The campaign focused
7 on the biosphere-atmosphere exchange of reactive organic gases and thus provided an
8 excellent dataset of aerosol precursor gases. The Manitou Experimental Forest Observatory is
9 a mountainous forest site in close proximity to human activity. It provides an opportunity to
10 study biogenic SOA formation at a rural-urban interface (Cui et al., 2014). Various studies
11 have indicated that biogenic SOA formation in forest environments can be enhanced by the
12 inflow of anthropogenic pollutants (Boy et al., 2008; Hoyle et al., 2011; Jung et al., 2013).
13 The modeling tool used in this study is the chemical-transport column model, SOSAA (Boy et
14 al., 2011; Zhou et al., 2014). Despite the limitation for simulating horizontal transport, this
15 process-orientated model is valuable for gaining detailed understanding of local phenomena.
16 Due to the complex terrain at the Manitou site, the first task in this study was to assess the
17 accuracy of reconstructing the highly variable meteorological conditions using a column
18 model. The second task was to compare the modeled aerosol precursor gases against the
19 measurements. In addition to sulfuric acid (H₂SO₄), we focused on MBO and monoterpenes
20 because they dominate the biogenic emissions at the site (Karl et al., 2014; Kaser et al.,
21 2013a; Kaser et al., 2013b; Kim et al., 2010). After assessing the model performance with
22 respect to the meteorology and related precursor gases, we proceeded with the study on the
23 effects of BVOC and their oxidation products on particle growth.

24

25 **2 Materials and methods**

26 **2.1 Manitou Experimental Forest Observatory and BEACHON-ROCS field** 27 **campaign**

28 All observations presented in this study were obtained during the BEACHON-ROCS field
29 campaign at Manitou Experimental Forest Observatory (MEFO) in August 2010. The
30 campaign is part of the BEACHON project, which aims to investigate ecosystem-atmosphere
31 exchange of trace gases and aerosols and their potential feedbacks between biogeochemical
32 and hydrological cycles. Ortega et al. (2014) have provided a very detailed description of the

1 BEACHON project as well as MEFO; here we only provide a summary of the site and
2 campaign descriptions related to this study.

3 MEFO is located in the Front Range of the Colorado Rocky Mountains (39.1°N 105.1°W and
4 2370 m above sea level). It is a mountainous site in close proximity to large urban centers
5 (e.g. Denver is about 85 km northeast of the site and Colorado Springs about 40 km to the
6 southeast). Due to shielding by the Rampart Range to the east and Pikes Peak to the south, the
7 site normally encounters clean continental air masses from the southwest. Exceptions include
8 episodic but frequent intrusions of anthropogenic air masses due to upslope flow during the
9 mornings and air moving downslope from the south during the evenings. Ponderosa pine is
10 the dominant tree species at the forested site. The median tree age at the site was 49.5 years
11 and the average canopy height was about 18.5 m in 2010 (DiGangi et al., 2011).
12 Approximately 50% of the precipitation falls as rain during the summer season (June-
13 September), primarily during afternoon thunderstorms characterized by brief but intense
14 periods of rainfall and lightning. The site is representative for the semi-arid Western US
15 where biosphere-atmosphere exchange processes of energy, water, carbon, and nitrogen are
16 sensitive to the amount of precipitation.

17 Measurements of VOC used a valve switching system which changed sampling lines every 5
18 min and cycled through six Teflon inlets mounted at 1.6 m, 5.0 m, 12.0 m, 17.7 m, and 25.1 m
19 over a 30 min period. VOC concentrations were measured by a Proton-Transfer-Reaction
20 Mass Spectrometer (PTR-MS, Ionicon Analytik GmbH). The instrument is based on soft
21 chemical ionization using protonated water ions (H_3O^+) (Hansel et al., 1995; Lindinger et al.,
22 1998). Other trace-gas measurements from ~~the measurement mast~~~~this tower~~ include CO, CO₂,
23 water vapor, NO, NO₂, O₃ and SO₂. The ~~mast~~~~tower~~ was also equipped with sonic
24 anemometers as well as temperature and radiation probes for continuous meteorological
25 measurements and for observing turbulent fluxes using a closed-path eddy covariance system.
26 Detailed descriptions of the flux and concentration measurements of VOC are presented in
27 Kaser et al. (2013b). Sulfuric acid and OH concentrations were measured using Chemical-
28 Ionization Mass Spectrometry (CIMS) (Tanner et al., 1997). The inlet was 2.7 m above
29 ground level, facing perpendicular to the primary wind direction. The uncertainties for H₂SO₄
30 measurements are estimated to be 30% - 60% (Plass-Dülmer et al., 2011). The uncertainties
31 for OH measurements are estimated as 35% with a detection limit at 4×10^5 molecules cm⁻³
32 (Kim et al., 2013). Downwelling NO₂ photolysis rates were measured by filter radiometers
33 (Meteorologie Consult GmbH, Junkermann et al., 1989) at the top of the chemistry

1 | ~~measurement mast tower~~. The ratio of downwelling to upwelling NO₂ photolysis rate was
2 measured on 10 August 2010. The ratio was then used to estimate the total NO₂ photolysis
3 rate for the rest of the campaign period (DiGangi et al., 2011).

4 Dry particle size distribution measurements between 15 – 350 nm were made at ground level
5 using a differential mobility particle sizer (DMPS) during the campaign period. Sample flow
6 first passed through a diffusion drier and a bipolar charge neutralizer (Aerosol Dynamics
7 Inc.), containing four ²¹⁰Po strips (NRD Staticmaster 2U500). Particles were then size
8 | selected using a differential ~~mobility analyzer particle counter~~ (DMA; TSI 3071) and counted
9 with a condensation particle counter (CPC; TSI 3010). The DMA stepped through 20 dry
10 particle diameters chosen such that $d\log_{10}D_p$ remained constant. Measurements were made at
11 each size setting for 30 seconds.

12 NCAR GPS Advanced Upper-Air Sounding System (GAUS) launched sondes to investigate
13 the evolution of the boundary layer. The measurements are available from 12 August 2010
14 noon to 14 August 2010 noon and from 21 August 2010 noon to 23 August 2010 noon. The
15 interval between each measurement point is either one or two hours.

16 **2.2 SOSAA model**

17 The SOSAA model is a one-dimensional chemical-transport model with detailed aerosol
18 dynamics. It was constructed to study various processes in the planetary boundary layer in
19 and above a forest canopy, which includes biogenic emissions, vertical transport, air
20 chemistry and aerosol dynamics (Boy et al., 2011; Zhou et al., 2014). The different processes
21 have been modularized so that the model is optimized for implementing various
22 parameterizations. The boundary layer meteorology code is based on the one-dimensional
23 version of SCADIS (SCAlar DIStribution, Sogachev et al., 2002; Sogachev et al., 2012). The
24 emission module in the model is based on MEGAN (Model of Emissions of Gases and
25 Aerosols from Nature, Guenther et al., 2006). The chemical mechanism scheme is produced
26 by selecting chemical reactions primarily from the Master Chemical Mechanism, MCM v3.2
27 (Jenkin et al., 1997; Saunders et al., 2003; Jenkin et al., 2012), via the website:
28 <http://mcm.leeds.ac.uk/MCM>. The selected chemical reactions are processed using the KPP -
29 kinetic preprocessor (Damian et al., 2002). The chemical scheme accommodates great
30 flexibility in selecting desired reactions. The aerosol module in SOSAA is based on the
31 aerosol dynamics model UHMA, which is a sectional box model developed for studies of
32 tropospheric new particle formation and growth under clear sky conditions (Korhonen et al.,

1 2004). It includes all basic aerosol processes, including nucleation, condensation, coagulation
2 and dry deposition. The model performance has been validated against field measurements
3 from Hyytiälä, Finland in various studies (Boy et al., 2013; Mogensen et al., 2011; Mogensen
4 et al., 2014; Smolander et al., 2014).

5 The biogenic emission module based on MEGAN requires emission factors for representative
6 vegetation types to estimate the net fluxes of BVOCs from canopy to the atmosphere. The
7 emission factors define the emission of a given compound at standard conditions and have an
8 uncertainty of a factor of three or more when global default values are used, primarily due to
9 the large variability in emission rates for different plants (Guenther et al., 1995). In this study
10 monoterpene emission factors were obtained from leaf cuvette measurements (Harley et al.,
11 2014), while the MBO emission factor is suggested by Kaser et al. (2013a), which is based on
12 both leaf cuvette emission measurements and canopy-scale analysis.

13 The chemistry scheme employed by the model for this study includes the full MCM chemical
14 paths for the following parent molecules: methane, methanol, formaldehyde, acetone,
15 acetaldehyde, MBO, isoprene, alpha-pinene, beta-pinene, limonene and beta-caryophyllene.
16 For other emitted organic compounds, for which no MCM chemistry path is available, we
17 have included their first order oxidation reactions with OH, O₃ and NO₃. Those compounds
18 include: myrcene, sabinene, 3-carene, ocimene, cineole, and 'other' monoterpenes, farnesene,
19 and 'other' sesquiterpenes (Atkinson, 1994). In the case of linalool we have included its
20 reaction with OH and NO₃ to form acetone and 'condensable material' and its reaction with O₃
21 to additionally produce formaldehyde. For the reactions of the stabilized Criegee
22 Intermediates (sCI) from alpha-, beta-pinene and limonene, we have used the rates from
23 Mauldin et al. (2012) similar to 'Scenario C' in Boy et al. (2013). For the sCI from isoprene,
24 we used the rates from Welz et al. (2012) as done in 'Scenario D' in Boy et al. (2013). Sulfuric
25 acid and nitric acid are removed from the gas phase based on the condensation sinks
26 calculated from background aerosol loading.

27 It is not fully understood which mechanisms drive nucleation in the atmosphere. Various
28 parameterizations have been created for predicting atmospheric nucleation (e.g. Pierce and
29 Adams, 2009; Paasonen et al., 2010). The nucleation mechanism, however, has minor
30 influence on the actual production rate of the observable particles compared to the subsequent
31 growth step because the nucleated clusters have rather short lifetimes (Kulmala and
32 Kerminen, 2008; Kulmala et al., 2013). For this reason, we opted to use only the kinetic

1 nucleation parameterization in this study (Weber et al., 1997). It was chosen also because
2 Zhou et al. (2014) showed that the SOSAA model with kinetic nucleation parameterization
3 gave good predictions of NPF events at a boreal forest site in Hyytiälä, Finland. In kinetic
4 nucleation, two sulfuric acid molecules collide to form a cluster as in the kinetic gas theory.
5 Some of the formed clusters will break apart, but some will remain stable and then grow to
6 become particles. The nucleation rate, J , is related to the sulfuric acid concentration, $[H_2SO_4]$,
7 via

$$8 \quad J = K \cdot [H_2SO_4]^2 \quad (1)$$

9 where K is the kinetic coefficient that includes both the collision frequency and the
10 probability of forming a stable cluster after the collision (Weber et al., 1997; Sihto et al.,
11 2006; Zhou et al., 2014). The nucleated particles were then added to the first size bin (at 2
12 nm) in the model. Before carrying out our modeling studies for particle growth, a sensitivity
13 study was done to establish a suitable value for the nucleation coefficient K . By comparing
14 the simulated and DMPS measured total number concentrations for particles between 20 and
15 80 nm, K was set to $5 \cdot 10^{-15} \text{ cm}^{-3} \text{ s}^{-1}$.

16 The SOSAA model requires four groups of input data. The first group includes the site land
17 cover characteristics, such as the leaf density and canopy height. The second group consists of
18 meteorological parameters including radiation, vertical profiles of wind speed, temperature
19 and relative humidity. These inputs are available from the micrometeorology ~~masttower~~
20 measurements at MEFO. ERA-Interim reanalysis data by ECMWF (Dee et al., 2011) for wind
21 speed, temperature and humidity were used as the boundary conditions for the upper border of
22 the model column. Since one of the radiation inputs, the actinic flux spectrum, was not
23 measured at MEFO, we used the scaled actinic flux spectrum from the Tropospheric
24 Ultraviolet and Visible (TUV) Radiation Model (Madronich, 1993). The scaling factors are
25 based on the measured NO_2 photolysis rates and the TUV modeled rates (Madronich and
26 Flocke, 1998). The third group consists of five inorganic gas concentrations (NO , NO_2 , CO ,
27 O_3 and SO_2) measured from the chemistry ~~measurement masttower~~ and the sulfuric acid sink
28 to the background particles. The condensation sink of sulfuric acid was calculated based on
29 the method described by Pirjoja et al. (1998). These parameters were read in every half hour
30 with a linear interpolation in between. The last group of input data is the measured particle
31 number size distribution. The model only reads in the measured number size distribution once

1 a day at midnight for initialization. More detailed description of model input is available from
2 Boy et al. (2011).

3

4 **2.3 Modeling experiments**

5 In order to investigate the influence of organics on particle growth, three organic vapors
6 (Vapor I – III) were set to take part in the condensation process in addition to sulfuric acid.
7 Since the main biogenic emissions at the site are MBO and monoterpenes, Vapor I – III were
8 set to be the lumped sums of first stable reaction products from OH, O₃ and NO₃ oxidation of
9 MBO or/and monoterpenes. This treatment of organic condensing vapors is similar to the
10 approach of Lauros et al. (2011) and Zhou et al. (2014). Three model experiments were
11 designed to study the influence of MBO and monoterpenes on particle growth:

12 • Experiment I: Lumped sums of first stable reaction products from OH, O₃, and NO₃
13 oxidation of monoterpenes were included as the organic condensing Vapor I, II, and III
14 respectively.

15 • Experiment II: Lumped sums of first stable reaction products from OH, O₃, and NO₃
16 oxidation of MBO were included as the organic condensing Vapor I, II, and III respectively.

17 • Experiment III: Lumped sums of first stable reaction products from OH oxidation of
18 both monoterpenes and MBO were included as the organic condensing Vapor I. Lumped
19 sums of first stable reaction products from O₃ and NO₃ oxidation of monoterpenes were
20 included as the organic condensing Vapor II and III, which were the same as Vapor II and III
21 in Experiment I.

22 The aerosol module simulates particle growth by calculating the condensation flux of each
23 condensing vapor onto the particle surfaces (Korhonen et al., 2004). An iterative method was
24 used in each experiment to estimate the saturation vapor concentration of the condensing
25 organic vapors, by varying the saturation vapor pressure of each compound and by comparing
26 the modeled particle size distribution with the observed distribution. In all experiments,
27 sulfuric acid condenses onto particles with the assumption that once it is condensed, it will not
28 evaporate from the particles.

29

1 3 Model validation for meteorology and chemistry

2 Since the SOSAA model does not accommodate precipitation, all the observational data
3 presented in this section have been filtered to exclude rain events. When comparing averaged
4 diurnal profiles of a specific parameter, the modeled profile is the average of the period for
5 which observation data are available.

6 3.1 Meteorology

7 Figure 1 presents the average behavior of the modeled temperature, wind speed and relative
8 humidity compared to the measurements above the canopy at 30 meters. Because the site is
9 situated in a north-south slope (draining to the north), the meteorology is influenced by the
10 diurnal mountain-valley flows. While daytime wind directions are variable, nighttime winds
11 are dominated by the drainage from the south (Ortega et al., 2014). Unfortunately the column
12 model SOSAA cannot capture this behavior related to the topography. The model simulates a
13 comparable temperature for daytime but fails to decrease the temperature sufficiently during
14 nighttime. The big diurnal variation applies not only to the temperature but also to the relative
15 humidity (RH). The model again simulates comparable RH levels during the day but fails to
16 capture it at night. The underestimation in RH at night is mainly a result of the overestimation
17 of temperature. The simulated wind speed agrees well with the measurements during daytime.
18 At night, the wind speed was observed to fluctuate around 2 m s^{-1} above the canopy, but the
19 modeled wind speed is around 3 m s^{-1} . As already mentioned, the model cannot simulate the
20 drainage flows related to the topography, and a clear discrepancy of the nighttime wind
21 speeds can be expected as the nighttime drainage has been observed to be effective at the site.
22 In general the model gave satisfactory predictions of the three meteorological variables during
23 daytime, though notable deviations are found during nighttime.

24 22 August 2010, day of year (DOY) 234, was selected out of the five sounding days for
25 demonstrating vertical profiles of the potential temperature at the site (Fig. 2). Mast
26 measurements are provided in addition to sounding data in order to extend the measured
27 profile close to the surface. Mast measurements and sounding measurements differ because 1)
28 the ~~masttower~~ observations presented are half an hour averages, while the sounding can only
29 provide an instantaneous value; 2) the instruments are not the same (least likely and only has
30 minor contribution to the difference) and; 3) measurements were not made at exactly the same
31 location. At 05:00:00 LT, both the model and measurements show a typical nocturnal stable
32 boundary layer. We focus on the gradient of potential temperature that describes the stability.

1 The model exhibits a stronger gradient at the canopy top (18.5 m) compared to both the mast
2 measurements and the sounding observation. The modeled profile improves during daytime.
3 At 11:00:00 LT, the boundary layer has developed since morning up to about 800 meters in
4 the model, while the sounding data show it may be higher than 1 km. The simulated potential
5 temperature gradient near ground is similar to the mast measurements, despite a slight
6 difference in magnitude. At 19:00:00, the gradients have already become positive. The
7 strongest gradient modeled is again a few hundred meters lower than the sounding data. This
8 tendency of SOSAA to slightly underestimate the height of the mixed layer has also been
9 observed in studies made in Hyytiälä, Finland (Mogensen et al., 2014). At 22:00:00 LT, the
10 nocturnal boundary layer has built up. We see the model profile shows a gradient below the
11 canopy at around 10 meters, indicating an inversion inside the canopy. The sounding
12 measurements show strongest potential temperature gradient above the canopy. In general,
13 despite the underestimated daytime boundary layer height, the model at least predicted a
14 satisfactory potential temperature profile up to the top of measurement mast.

15 To investigate the model performance with respect to the surface energy balance and the
16 vertical mixing strength, we compared the modeled average diurnal profile of the latent and
17 sensible heat fluxes and friction velocity with the eddy covariance measurements above
18 canopy (Fig. 3). A positive flux indicates that the atmosphere is gaining heat from the surface
19 and vice versa for the negative flux. The modeled latent heat flux is in general comparable
20 with observations except during morning when the model underestimates the fluxes slightly.
21 The sensible heat flux is in general overestimated during daytime. This is probably related to
22 inaccuracies of the other components of the energy balance, namely the heat flux and storage
23 to the soil and the net radiation. These can also cause the leaf temperature to be modeled
24 incorrectly, which promotes the simulated sensible heat flux. The friction velocity is well
25 simulated compared to the measurements during daytime. The nighttime overestimation is
26 due to the overestimation of wind speed (Fig. 1), which increases vertical wind shear and thus
27 the amount of turbulent mixing. The well modeled friction velocity suggests that the model
28 should have reasonable vertical turbulence mixing.

29 To summarize, the model's meteorological performance is satisfactory during daytime. The
30 simulated basic meteorological parameters (temperature and its gradient, humidity, and wind
31 speed) as well as the turbulent fluxes of latent heat and momentum (which directly depends
32 on the magnitude of the friction velocity presented in Fig. 3) agree well with the observations.
33 The height of the boundary layer, which dictates the volume of air into which the emitted

1 compounds are diluted, had a tendency to be underestimated by around 20%. As the
2 difference relative to the total boundary layer height is not large, this is not expected to have a
3 high impact. However, during nighttime the drainage flows down the side of the mountain
4 cause difficulties for the model to simulate the meteorological conditions. We therefore focus
5 on daytime conditions in the following analysis.

6 **3.2 Chemistry**

7 The chemistry analysis focuses on aerosol precursor gases (MBO, monoterpenes and sulfuric
8 acid), OH and the oxidation products of MBO and monoterpenes. Averaged diurnal
9 concentrations are presented in this section to show the general behavior of modeled
10 chemistry. ~~The averages are made for period 13-14 and 16 – 13 August 2015 when the~~
11 ~~measurements of all species mentioned above are available. The averages for MBO and~~
12 ~~monoterpenes are calculated for 10 – 23 and 29 – 31 August 2010 when the PTR-MS~~
13 ~~measurements are available. The averages for OH and sulfuric acid are calculated for 13~~
14 ~~August 2010 noon to 14 August 2010 noon and 16 August 2010 to 25 August 2010 noon~~
15 ~~when the CIMS measurements are available. The averages for oxidation products are~~
16 ~~calculated for 1 – 8, 19 – 22, and 25 – 30 August 2010, when the aerosol particle~~
17 ~~measurements are available.~~

18 The averaged diurnal profiles show that the monoterpene concentration has a clear diurnal
19 variation in both the observations and model simulation (Fig. 4). The concentration is high
20 during the night and low during the day. The nighttime concentration is high mainly due to
21 the suppressed boundary layer height and the decreased losses from oxidation. On the other
22 hand, the concentration decreases during daytime as the boundary layer height increases and
23 due to the presence of OH. The model simulated comparable concentrations but an increasing
24 trend for MT during night. The main reason could be that the model overestimated the
25 nighttime temperature up to five degrees, which possibly leads to overestimation of
26 monoterpene emissions. Sensitivity studies have been conducted for the response of total
27 monoterpene emission rate to temperature. An increase of five Celsius degrees in the night
28 may increase the emission rates by 80% to 100%. On average the simulated monoterpene
29 concentration during daytime agrees well with the measurement (Fig. 4). The MBO
30 concentration is high during daytime and low in nighttime due to the light-dependent
31 production. The model captures the diurnal trend of MBO concentration well (Fig. 4). The
32 simulated daytime MBO concentration is about 20% to 25% lower than the observation.

1 | which slightly exceeds the instrument uncertainty of 20%. Because the large standard
2 | deviations of the measurement dataset, Fig. 5 presents the modeled and measured MBO
3 | concentrations from 10 to 23 August 2010 (DOY 222 to 235). It shows that the modeled
4 | concentration is comparable to the measurement except at some nights when the
5 | concentration is overestimated.

6 | The modeled average diurnal profile of OH is in good agreement with the observations before
7 | noon (Fig. 6). After this time, the model results become higher than the observations, which
8 | should result from 1) missing sinks and 2) overestimated production. The missing sink terms
9 | has been studied previously at MEFO by Nakashima et al. (2004). Based on measurements,
10 | Nakashima et al. concluded a missing OH reactivity of 29.5%, which may mainly due to
11 | oxidized products of biogenic species. Mogensen et al. (2011) also concluded missing OH
12 | reactivity more than 50% in a boreal forest environment in southern Finland. In addition to
13 | unknown missing sinks, the underestimated MBO concentrations may also contribute to the
14 | overestimated MBO. ~~likely related to an overestimation of photolysis production in the~~
15 | ~~afternoon~~ We suspect the photolysis production of OH may be overestimated due to the
16 | method in scaling the actinic flux spectrum. Though the modeled NO₂ photolysis rate is
17 | within measurement uncertainty of 10% to 20% (Seroji et al., 2004), it is still possible that the
18 | photolysis rate is indeed overestimated in the afternoon, as can be seen in Figure 7. Except 13
19 | August 2015, all days in the period for which the averaged profiles are made were cloudy in
20 | the afternoon. The deviation in both OH concentration and NO₂ photolysis rate suggest either
21 | the molecular parameterizations in predicting photolysis rates or the scaling method in
22 | preparing the actinic flux spectrum may be biased during cloudy conditions.

23 | The modeled sulfuric acid concentration is only half of the observed value (Fig. 6). One
24 | reason could be that the condensation sink of sulfuric acid is overestimated. Another reason
25 | should relate to the unknown sulfuric acid production term missing from the chemistry
26 | scheme (Eisele and Tanner, 1993; Boy et al., 2013; Berresheim et al., 2014). Because the
27 | underestimation is observed both during night and daytime, the missing production term is
28 | likely not related to photolysis. It should also be noted that the CIMS measurements may have
29 | uncertainties of 30% to 60% (Plass-Dülmer et al., 2011). Importantly, the diurnal trend in
30 | sulfuric acid concentrations is well captured, which is crucial for correctly simulating new
31 | particle formation event.

~~The modeled sulfuric acid concentration is only half of the observed value (Fig. 6). One reason could be that the condensation sink of sulfuric acid is overestimated. Another reason should relate to the unknown sulfuric acid production term missing from the chemistry scheme (Eisele and Tanner, 1993; Boy et al., 2013; Berresheim et al., 2014). Because the underestimation is observed both during night and daytime, the missing production term is likely not related to photolysis. It should also be noted that the CIMS measurements may have uncertainties of 30% to 60% (Plass Dülmer et al., 2011). Importantly, the diurnal trend in sulfuric acid concentrations is well captured, which seems to be crucial for correctly simulating new particle formation events.~~

The modeled diurnal concentration profiles of the sum of first stable reaction products from OH, O₃ and NO₃ oxidation of monoterpenes and MBO are shown in Fig. 8. ~~The list of first stable reaction compounds are listed in Table 2.8.~~ The concentrations of reaction products from MBO oxidation are 10 to 100 times higher than the concentrations of reaction products from monoterpenes, except in case of NO₃ oxidation. The concentrations of O₃ oxidation products are about two to three orders of magnitude greater than that of OH oxidation products, irrespective of the precursor species. Comparing to the concentrations of first stable O₃ oxidation products of MBO, which are fairly stable at a level of 3–6·10¹¹ molecules cm⁻³, the concentrations of NO₃ oxidation products of MBO are negligible. The nighttime concentrations of NO₃ oxidation products of monoterpenes are comparable with the daytime concentrations of OH oxidation products of monoterpenes. The concentrations of NO₃ oxidation products of monoterpenes exhibit a clear diurnal trend that the concentrations are high during the night low during the day, which are explained by the same diurnal trends of NO₃ and monoterpenes concentrations. Opposite to the trend of NO₃ oxidation products of monoterpenes, the concentrations of OH oxidation products of MBO show a clear diurnal profile that peaks at noon and drops during night. Because the fast growth of nucleated clusters often happens during daytime, it is suspected that the OH oxidation products of MBO may possibly contribute to the early growth of particles at the site.

The overall outcome of emissions and chemistry is satisfactory in that all relevant aerosol precursor gases are well simulated with respect to the diurnal trends. In theory, underestimation of sulfuric acid concentrations should lead to less SOA formation, but this problem can be compensated for during the sensitivity studies of the nucleation coefficient.

1 **4 Aerosol simulations**

2 The saturation vapor concentrations of organic condensing vapors (Vapor I, II, and III) in two
3 model experiments are listed in Table 1. The simulation results provide strong evidence that
4 BVOC play an important role in particle growth at MEFO (Fig. 9). In Experiment I, despite
5 using a very low saturation vapor concentration of 1 molecule cm^{-3} for Vapor I (OH oxidation
6 products of monoterpenes), the model simulated insufficient growth for particles to reach 15
7 nm, which is the minimum detectable size of the DMPS instrument used during the campaign.
8 The saturation vapor concentration for the ozone oxidation products (Vapor II) cannot be less
9 than 10^{10} molecules cm^{-3} due to its high concentration during the night; otherwise it would
10 cause unrealistic night-time particle growth (Fig. 8). With the same consideration, the
11 saturation vapor concentration of Vapor II in Experiment II was also kept quite high, at 10^{11}
12 molecules cm^{-3} . In Experiment II, nucleated clusters are able to grow large enough to pass the
13 instrument detection limit, but the particles do not continue to grow strongly enough in the
14 evening. Since there is no MBO source during the night, there should be some other aerosol
15 precursor gases present, for example, monoterpenes. Experiment III combined the
16 contributions from the oxidation products of both monoterpenes and MBO – the OH
17 oxidation products from MBO enable the freshly nucleated particles in the daytime to grow
18 large and fast enough while the nighttime NO_3 oxidation products from monoterpenes allow
19 particles to grow up to 100 nm. The simulated growth of particles above 15 nm compares well
20 with the DMPS measurements. These simulations are also consistent with results from Levin
21 et al. (2012; 2014) for hygroscopicity measurements at the BEACHON-ROCS site; these
22 authors showed that growth of new particles was likely driven by biogenic secondary organic
23 species, and the NPF events ultimately impacted aerosol chemical and physical properties for
24 particles up to cloud condensation nuclei (CCN) sizes.

25 Since lump sums of different oxidation products were used as the condensing vapors in this
26 modeling study, it was not possible to assign exact physical properties to the vapors. Based on
27 the implemented chemistry scheme, the molar mass of the three condensing vapors should
28 range from 200 to 300 Da. The saturation vapor concentration of 10^6 molecules cm^{-3} would
29 thus correspond to approximately $0.0001 - 0.0005 \mu\text{g m}^{-3}$, which is close to the saturation
30 vapor concentration of the extreme low volatility compounds suggested by Donahue et al.
31 (2011). The three experiments suggest the importance of extremely low volatility compounds
32 for growing particles, especially the role of monoterpenes and MBO as precursor gases in
33 different time of a day.

1 Particle number size distributions are shown together with above canopy wind direction
2 observations for the period of 19 to 22 August 2010 (DOY 231 to 234, Fig. 10), when
3 continuous sulfuric acid, MBO and monoterpene concentration measurements were available
4 (Fig. 11). We see that the modeled onset of nucleation, the first appearance of sub-3 nm
5 particles in simulated number size distribution, usually starts when the wind direction changes
6 from south to north. This is consistent with the fact that the source of anthropogenic influence
7 is from the Denver area northeast of the site. Anthropogenic SO₂ is advected to the forest and
8 is oxidized to H₂SO₄ on the way. The H₂SO₄ then triggers nucleation. We see the H₂SO₄
9 concentration rise in tandem with the change in wind direction. On 19 August 2010 (DOY
10 231) around noon the wind direction changed from west to northeast and to north. At the
11 same time as the air mass changed, we see a decrease in the concentration of measured
12 background particles larger than 100 nm. At that time a burst of particles between 20 to 50 nm
13 was observed. These particles were likely formed north of the measurement site and then
14 brought to the site through advection. A few hours later at about 19:00:00 LT, a short rain
15 quickly washed out most particles. This burst of particles before the rain matched well with
16 the simulated particle number size distribution for the same time period. We suspect that a
17 new particle formation event did occur in the forest on that day, but was just not captured by
18 the measurement instrument. In the evening, particles were removed by precipitation.
19 Similarly on 20 August 2010 (DOY 232), when the wind direction fluctuated between north
20 and south and the air mass was transported around the forest, we see a burst of particles
21 between 20 to 50 nm in the afternoon. For the rest of the day the particles were observed to
22 continue growing while wind persisted blowing from the south. The southern wind was likely
23 to bring polluted air to the site at late evening, which appeared as the high concentration of
24 particles over between 50 and 100 nm. Apart from this abrupt increase in concentrations of 50
25 – 100 nm particles, which cannot be captured by the column model, the observed number size
26 distribution is well simulated. Although no new particle formation was observed on 21
27 August 2010 (DOY 233), the model simulated a weak formation event. This tendency of the
28 model to predict new particle formation events when none are observed has already been
29 reported in the previous SOSAA model study by Zhou et al. (2014). It likely results from our
30 incomplete understanding of the NPF phenomenon, especially in cluster nucleation. Kinetic
31 nucleation parameterization by sulfuric acid is indeed too simple to account for the process,
32 which makes the simulated nucleation too sensitive to sulfuric acid concentration. On 22
33 August 2010 (DOY 234), the DMPS instrument captured Aitken mode particles for just a

1 short period of about an hour and the model simulation shows the same distribution at the
2 same time. The high MBO concentration observed on that day also favored SOA formation.
3 We thus suspect that the particles were forming in the area but the instrument failed to capture
4 the complete process due to the strong turbulence in the forest.

5

6 **5 Conclusion**

7 We applied the 1-D chemical-transport model with detailed aerosol dynamics, SOSAA, to
8 simulate results obtained during the summer 2010 BEACHON-ROCS campaign at Manitou
9 Forest Observatory. The model succeeded in reconstructing the meteorological conditions and
10 several important gas species including OH, MBO and monoterpenes during the daytime.
11 Although the latest Criegee reaction rates have been included, sulfuric acid concentration is
12 still underestimated by 50% compared to the measurements.

13 The SOSAA model indicated that mixing strength and chemistry can be reasonably predicted
14 and so aerosol simulations were then conducted in order to investigate particle growth. Due to
15 the assumption of horizontal homogeneity for a column model, there is some uncertainty due
16 to the incomplete description of the area's complex terrain and inhomogeneous forest
17 composition. Nevertheless, model simulations are useful for investigating SOA formation,
18 depicting the phenomenon with less influence of horizontal advection, which can hamper our
19 direct field observations. By diluting the advection effects via averaging, Fig. 9 presents a
20 possible pattern of particle growth at the site based on measurements and a successful
21 reproduction of the phenomenon by a state-of-the-art model incorporating the latest
22 knowledge of the processes involved. The modeled results emphasize the contribution from
23 local BVOC emissions to the particle growth. It is indicated that the organic condensing
24 compounds responsible for the growth of ultrafine particles may have a low saturation vapor
25 concentration around 10^6 molecules cm^{-3} . The compounds should have a similar daily pattern
26 and concentration level as the OH oxidation products of MBO, which is the dominant local
27 biogenic emitted compound. The concentrations of monoterpene oxidation products are found
28 to be insufficient for growing the ultrafine particles during daytime but their contribution to
29 the particle loading during nighttime could be important. Due to the anthropogenic origin of
30 SO_2 , which is the precursor gas of sulfuric acid that triggers nucleation, the model study
31 suggests that new particle formation events are likely to happen locally in the forest and
32 meantime under the influence of anthropogenic pollution.

1 The SOSAA model has been shown as a good tool for studying various atmospheric processes
2 including SOA formation constrained by observations. The model is expected to improve in
3 several aspects, one of which is the growth parameterization. At the moment the chosen
4 condensing molecules are lumped to several condensing vapor classes and assigned with
5 approximated properties. A new parameterization that calculates the exact physical properties,
6 such as saturation vapor pressure, for each specific condensing molecule is being developed.

7

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20

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31

1 Table 1. Saturation vapor concentration of each organic condensing vapor, unit: # cm⁻³

	Vapor I	Vapor II	Vapor III
Experiment I	1	10 ¹⁰	1
Experiment II	10 ⁶	10 ¹¹	1
Experiment III	10 ⁶	10 ¹¹	10 ⁶

2

3

4

5

Table 2. Stable reaction products of OH, O₃ and NO₃ oxidation of monoterpenes and ozone

6

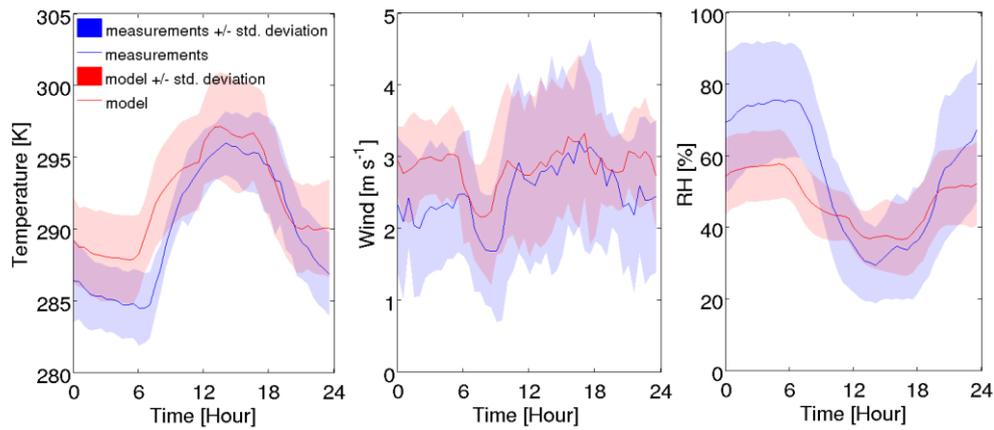
based on MCM chemistry

	<u>OH</u>	<u>O₃</u>	<u>NO₃</u>
<u>Monoterpenes</u>	<u>LIMAO2 LIMBO2</u>	<u>LIMOOA LIMBOO</u>	<u>NLIMO2 NBPINAO2</u>
	<u>LIMCO2 BPINAO2</u>	<u>C92302 NOPINOOA</u>	<u>NBPINBO2 NAPINAO2</u>
	<u>BPINBO2 BPINCO2</u>	<u>NOPINONE</u>	<u>NAPINBO2</u>
	<u>APINAO2 APINBO2</u>	<u>NAPINOOA</u>	
	<u>APINCO2</u>	<u>NAPINOOB</u>	
<u>MBO</u>	<u>MBOAO2 MBOBO2</u>	<u>IBUTALOH MBOOO</u>	<u>NMBOAO2 NMBOBO2</u>
		<u>IPROPOL CH3COCH3</u>	

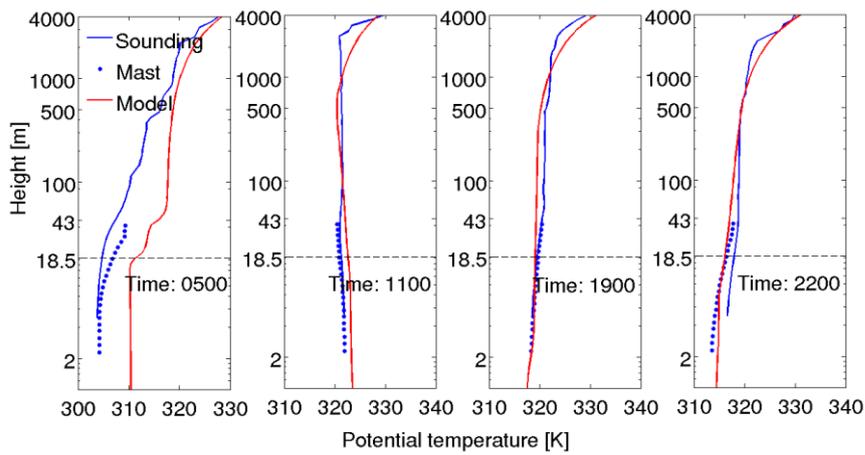
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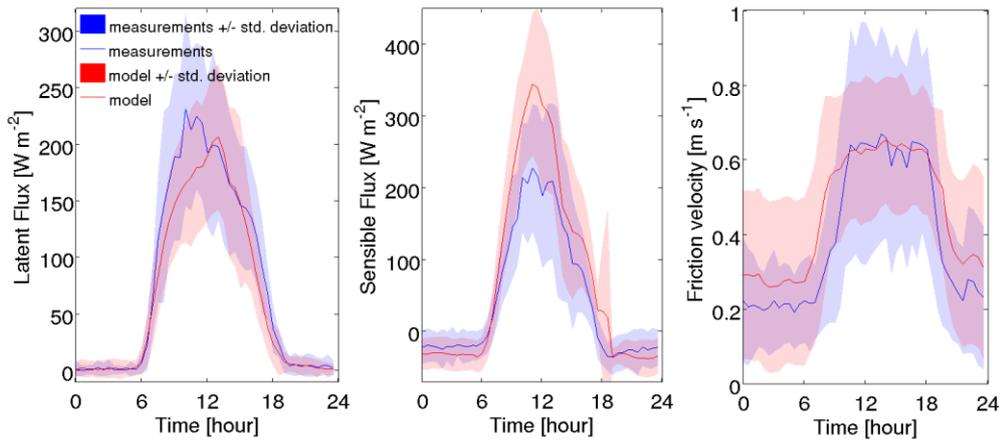
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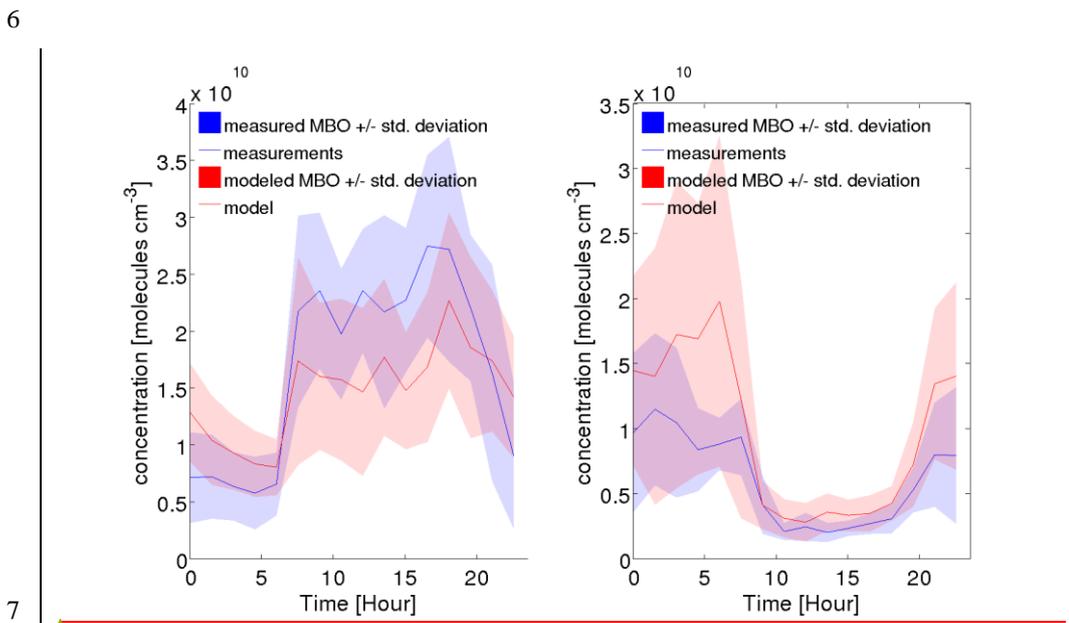
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 2 Figure 1. Averaged modeled and measured diurnal cycles of temperature (left), wind speed
 3 (middle), and relative humidity (RH, right). Measurement average (line) and ± 1 standard
 4 deviation (shaded area) are in blue, model average (line) and ± 1 standard deviation are in red.
 5 The comparisons are made above canopy at 30 m.



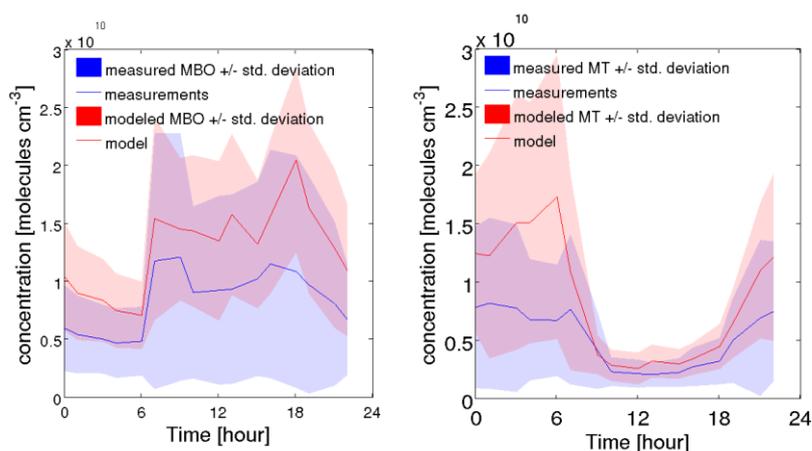
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 8
 9 Figure 2. Observed and modeled vertical profiles of potential temperature at different time on
 10 22 August 2010 (DOY 234). The y-axis (height) is in logarithmic scale.
 11



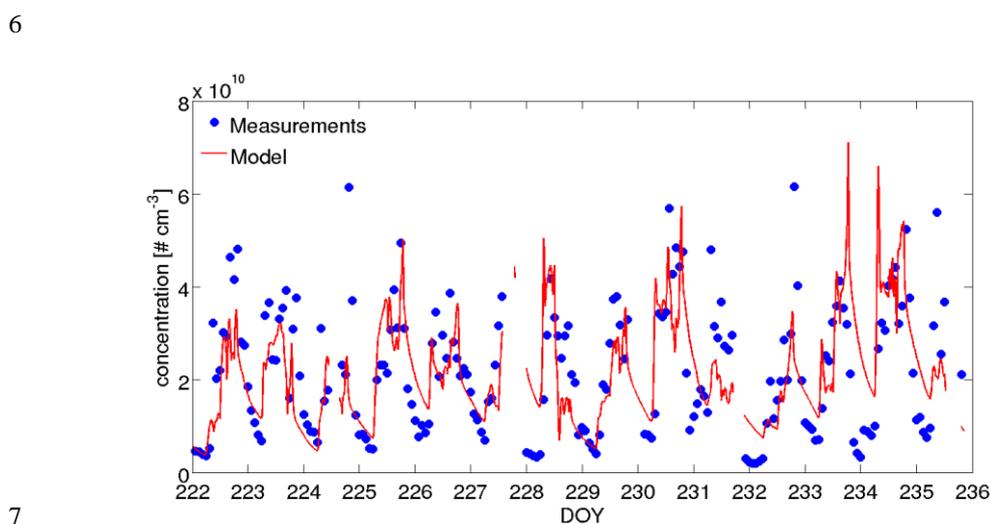
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 2 Figure 3. Averaged modeled and measured diurnal cycles of latent heat flux (left), sensible
 3 heat flux (middle) and friction velocity (right). Measurement average (line) and ± 1 standard
 4 deviation (shaded area) are in blue, model average (line) and ± 1 standard deviation (shaded
 5 area) are in red. The comparison is made above the canopy at 30 m.



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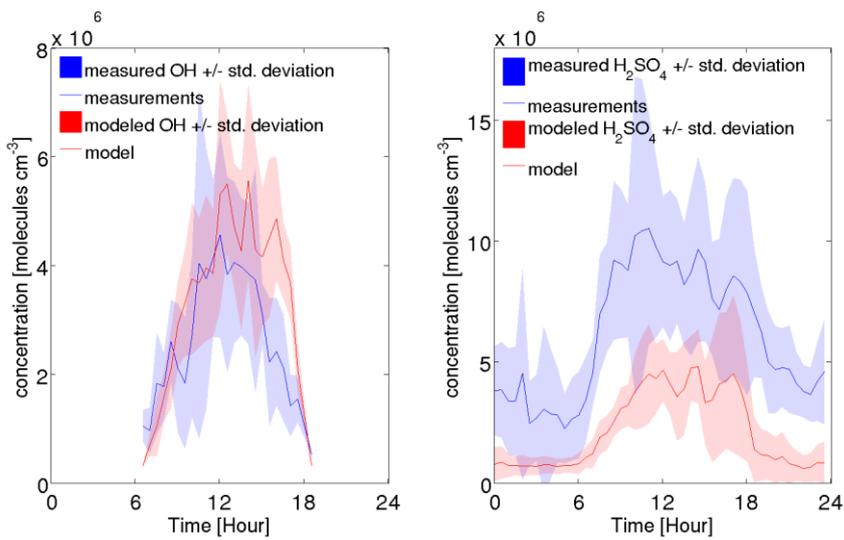


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2 Figure 4. Averaged modeled and measured diurnal cycles of MBO (left) and monoterpenes
3 (MT, right) concentrations. Measurement average (line) and ± 1 standard deviation (shaded
4 area) are in blue, model average (line) and ± 1 standard deviation (shaded area) are in red. The
5 comparison is made at 3.5 m.

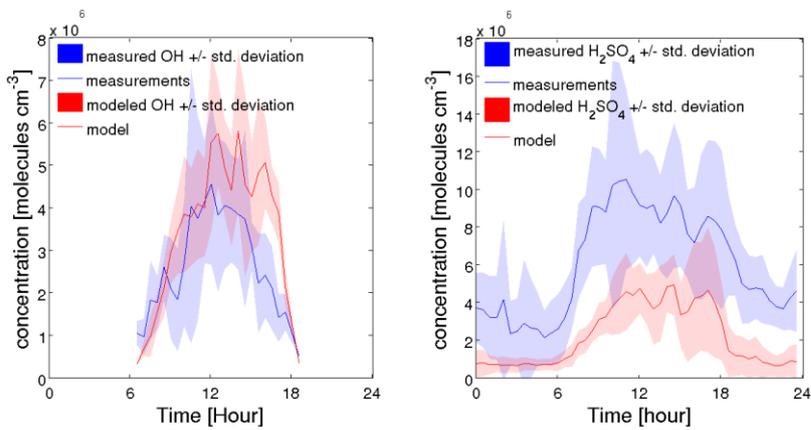


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8 Figure 5. Measured and modeled MBO concentration at 3.5 m.

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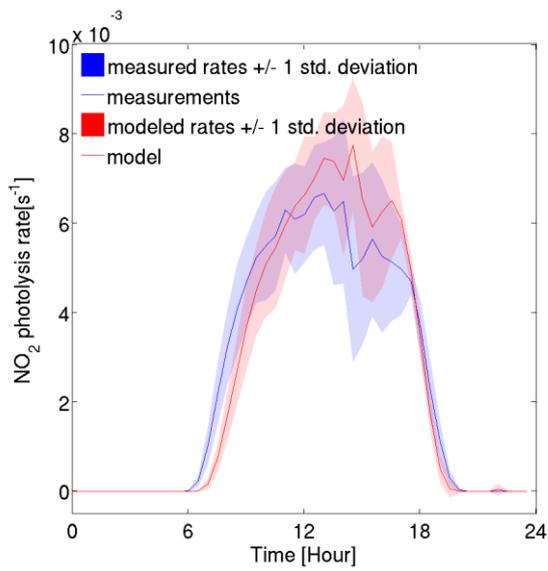
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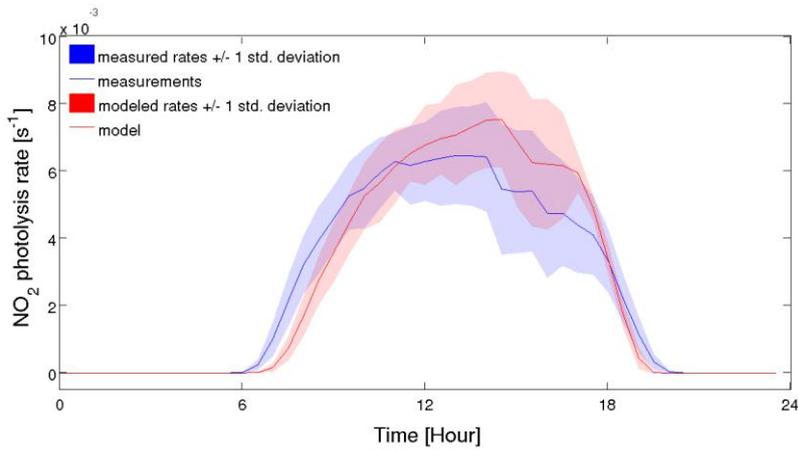
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3 Figure 6. Averaged modeled and measured diurnal cycles of OH concentration (left), and
 4 sulfuric acid concentration (right). Measurement average (line) and ± 1 standard deviations
 5 (shaded area) are in blue, model average (line) and ± 1 standard deviations (shaded area) are in
 6 red. The comparisons for OH and sulfuric acid are made at 2 m.

7



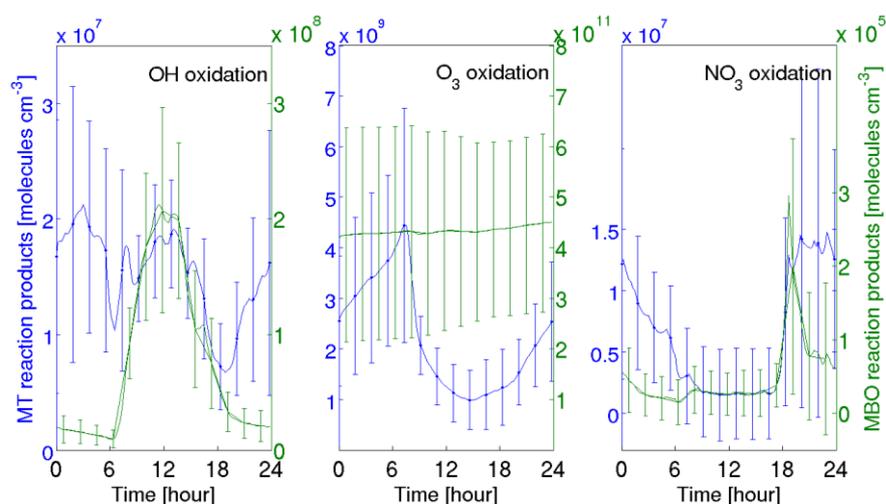
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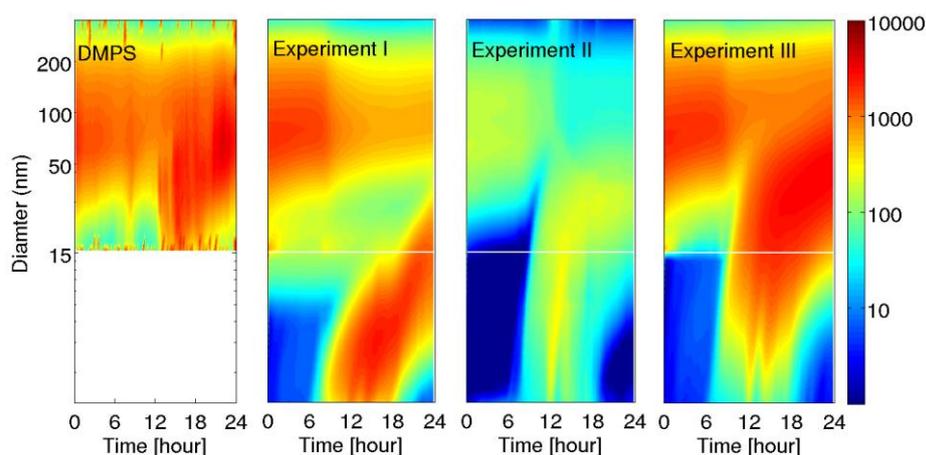
3 Figure 7. Averaged modeled and measured diurnal cycles of photolysis rate NO_2 .
 4 Measurement average (line) and ± 1 standard deviations (shaded area) are in blue, model
 5 average (line) and ± 1 standard deviations (shaded area) are in red. The comparison for
 6 photolysis rate is made above the canopy at 25 m.

7



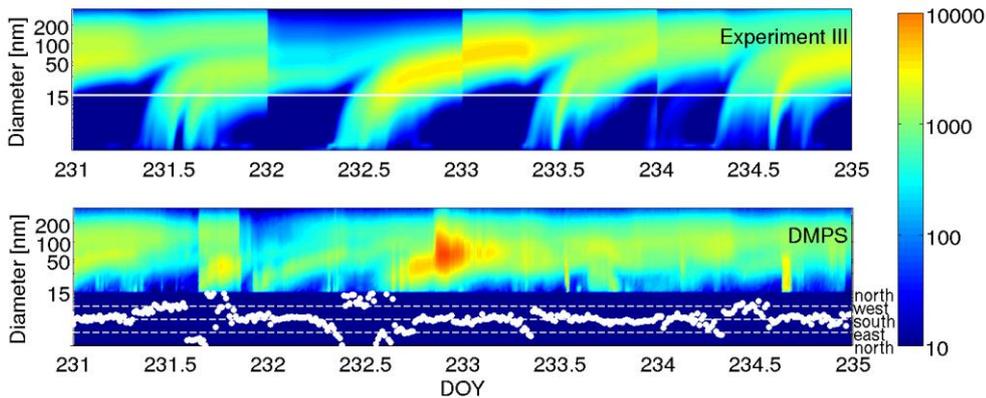
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 2 Figure 8. Averaged modeled diurnal cycles of OH, O₃, and NO₃ oxidation products of
 3 monoterpenes (plotted against left y-axis in blue) and MBO (plotted against right y-axis in
 4 green). The error bars are ±1 standard deviation.

5



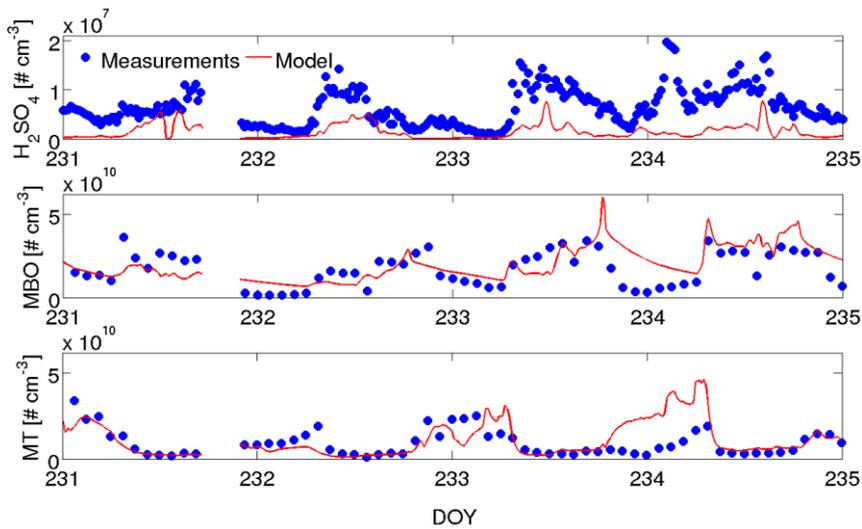
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 7 Figure 9. Averaged one-day number size distributions based on the DMPS measurements and
 8 model Experiment I – III. The concentration unit is molecules cm⁻³. DMPS instrument has
 9 cutoff size at 15 nm. The averages are made only for periods when measurements are
 10 available.

11



1
 2 Figure 10. Particle number size distribution from 19 August 2010 (DOY 231) to 22 August
 3 2010 (DOY 234) based on the model Experiment III (top) and DMPS measurements
 4 (bottom). The DMPS instrument has a 15 nm lower detection limit. Particle concentration
 5 units are molecules cm^{-3} . Observation of wind direction at 30 m is plotted as white dots in the
 6 lower portion of the bottom figure.

7



8
 9 Figure 11. Modeled and measured H_2SO_4 (top), MBO (middle) and monoterpenes (MT,
 10 bottom) concentrations from 19 August 2010 (DOY 231) to 22 August 2010 (DOY 234).
 11 Data was removed for late afternoon early evening of day 231 to exclude influence from
 12 precipitation for two hours after precipitation ended. Measurements are shown as blue circles

1 and the model simulations are indicated by the red line. Comparisons for sulfuric acid are
2 made at 2 m; comparisons for MBO and MT are made at 3.5 m.