

1 **Some insights into the condensing vapors driving new particle** 2 **growth to CCN sizes on the basis of hygroscopicity measurements**

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9 **Abstract**

10 New particle formation (NPF) and growth is an important source of cloud condensation nuclei
11 (CCN). In this study, we investigated the chemical species driving new particle growth to the
12 CCN sizes on the basis of particle hygroscopicity measurements carried out at the research
13 station Melpitz, Germany. Three consecutive NPF events occurred during summertime were
14 chosen as examples to perform the study. Hygroscopicity measurements showed that the
15 $(\text{NH}_4)_2\text{SO}_4$ -equivalent water-soluble fraction respectively accounts for 20% and 16% of 50 and
16 75 nm particles during the NPF events. Numerical analysis showed the ratios of H_2SO_4
17 condensational growth to the observed particle growth were 20% and 13% for 50 and 75 nm
18 newly formed particles, respectively. Aerosol mass spectrometer measurements showed that the
19 sulfate and ammonium were dominated in the mass fraction of 30-100 nm particles at an earlier
20 time of the NPF event. At a later time, the secondary organic species played a key role in the
21 particle growth. Both hygroscopicity and AMS measurements and numerical analysis confirmed
22 that organic compounds were major contributors driving particle growth to CCN sizes. The
23 critical diameters at different supersaturations estimated using AMS data and κ -Köhler theory
24 increased significantly during the later course of NPF events. This indicated that the enhanced
25 organic mass fraction caused a reduction in CCN efficiency of newly formed particles. Our
26 results implied that the CCN production associated with atmospheric nucleation may be
27 overestimated if assuming that newly formed particles can serve as CCN in case they grow to a
28 fixed particle size, which was used in some previous studies, especially for organic-rich
29 environments. In our study, the enhancement in CCN number concentration associated with

1 individual NPF events have been 63%, 66%, and 69% for supersaturation 0.1%, 0.4%, and 0.6%,
2 respectively.

3 **1 Introduction**

4 The formation of new particles from gaseous precursors and their subsequent growth represent a
5 key stage in the lifecycle of atmospheric aerosol particles. This new particle formation (NPF)
6 process represents an important source of atmospheric particles and possibly also for the number
7 concentration of potential cloud condensation nuclei (CCN) (Spracklen et al.,
8 2008;Wiedensohler et al., 2009;Wang and Penner, 2009;Laaksonen et al., 2005;Yue et al.,
9 2011;Kazil et al., 2010;Sotiropoulou et al., 2006;Laakso et al., 2013) . NPF has thus the potential
10 to influence climatologically important processes such as precipitation patterns and Earth's
11 energy balance (Paasonen et al., 2013). The contribution of atmospheric nucleation to the global
12 CCN budget spans a relatively large uncertainty range, which, together with our general poor
13 understanding of aerosol-cloud interactions, results in major uncertainties in the radiative forcing
14 by atmospheric aerosols (Kerminen et al., 2012). Recent model studies (Spracklen et al.,
15 2008;Merikanto et al., 2009;Westervelt et al., 2014) have attempted to elaborate on the
16 connection between NPF and CCN production, a process that is sensitive to a number of
17 environmental factors.

18 Freshly formed particles are about 1 nanometers in diameter (Kulmala et al., 2012), and they
19 must grow tens of nanometers in order to serve as a CCN (Dusek et al., 2006;Kerminen et al.,
20 2012). Apparently, the nucleation rate, the particle growth and the rate by which growing
21 particles are removed by coagulation or deposition greatly influence the CCN production
22 associated with atmospheric nucleation (Kuang et al., 2009;Kerminen et al., 2004). From the
23 point of view of chemical species, both sulfuric acid and organics contribute to the subsequent
24 particle growth after nucleation (Smith et al., 2004;Pierce et al., 2011;Ehn et al., 2007;Kulmala et
25 al., 2004;Brus et al., 2011;Kulmala et al., 2006;Sipilä et al., 2010;Zhang et al., 2004b;Kiendler-
26 Scharr et al., 2009;Wang et al., 2010;Ristovski et al., 2010). The contribution of sulfate and
27 organics in the particle growth seems to be strongly depending on the location (e.g. Yue et al.,
28 2010;Boy et al., 2005). For example, sulfuric acid fully explains the particle growth observed in
29 the polluted urban areas, Atlanta, USA (Stolzenburg et al., 2005), while it represents only 10% in
30 Boreal forest area (Boy et al., 2005).

1 Due to the differences in hygroscopicity of sulfuric acid and/or its ammonium salts and
2 secondary organic compounds (Virkkula et al., 1999;Varutbangkul et al., 2006;Tang and
3 Munkelwitz, 1994), hygroscopicity measurements during a NPF event can provide insight into
4 the changes in condensing vapor properties and chemical composition of newly formed particles
5 (Hämeri et al., 2001;Ehn et al., 2007;Ristovski et al., 2010). In this study, we investigated the
6 chemical species driving new particle growth into CCN sizes by using experimental data on
7 particle hygroscopicity and chemical composition measured at Melpitz, Germany. In addition,
8 the production of potential CCN associated with the NPF event was evaluated.

9 **2 Measurements**

10 Atmospheric measurements were performed at the research station Melpitz, Germany (51.54°N,
11 12.93°E, 86 m above sea level). The atmospheric aerosol observed at Melpitz can be regarded as
12 representative for Central European background conditions (Birmili et al., 2009). An account of
13 the NPF process at Melpitz and its relationship with precursor gases and meteorology can be
14 found in Größ et al. (2015).

15 The data of this study were collected during the European Integrated Project on Aerosol Cloud
16 Climate Air Quality Interactions (EUCAARI, (Kulmala et al., 2009)) intensive field campaign
17 from May 23rd to June 8th in 2008. Table 1 summarizes the instruments and measured parameters
18 used in this study. All instruments were set up in the same container laboratory and utilized the
19 same air inlet. The inlet line consisted of a PM₁₀ Anderson impactor located approximately 6 m
20 above ground level and directly followed by an automatic aerosol diffusion dryer (Tuch et al.,
21 2009) that maintained the relative humidity in the sampling line below 30%. Particle
22 hygroscopicity, particle number size distribution, and chemical composition of non-refractory
23 PM₁ were determined using a hygroscopicity tandem differential mobility particle analyzer (H-
24 TDMA), a Twin Differential Mobility Particle Sizer (TDMPS), and a High Resolution Time-of-
25 flight Aerosol Mass Spectrometer (HR-Tof-AMS), respectively.

26 **2.1 Particle hygroscopicity measurements**

27 The H-TDMA used in this study has been described in previous publications in detail (Wu et al.,
28 2011;Massling et al., 2003), and complies to the instrumental standards prescribed in Massling et
29 al. (2011). The H-TDMA consists of three main parts: (1) A Differential Mobility Analyzer
30 (DMA1) that selects quasi-monodisperse particles at a relative humidity below 10%, and a

1 Condensation Particle Counter (CPC1) that measures the particle number concentration leaving
 2 DMA1 at the selected particle size; (2) An aerosol humidifier conditioning the particles selected
 3 by DMA1 to a defined relative humidity (RH); (3) The second DMA (DMA2) coupled with
 4 another condensation particle counter (CPC2) to measure the number size distributions of the
 5 humidified aerosol. DMA2 and the aerosol humidification are placed in a temperature-controlled
 6 box. Hygroscopicity scans with 100 nm ammonium sulfate particles were performed frequently
 7 to analyze the stability of the relative humidity of 90% in the second DMA. Hygroscopicity
 8 scans with a deviation of more than 3% in relative humidity from the set-point of 90% were not
 9 considered for further analysis.

10 The hygroscopic growth factor (HGF) is defined as the ratio of the particle mobility diameter,
 11 $D_p(\text{RH})$, at a given RH to the dry diameter, $D_{p,\text{dry}}$:

$$12 \quad \text{HGF}(\text{RH}) = \frac{D_p(\text{RH})}{D_{p,\text{dry}}} \quad [1]$$

13 The TDMA_{inv} method developed by Gysel et al. (2009) was used to invert the H-TDMA data.
 14 Dry scans (RH<10%) were used to calibrate a possible offset between DMA1 and DMA2 and
 15 define the width of the H-TDMA's transfer function (Gysel et al., 2009). In this study, the
 16 particles with dry sizes of 35, 50, 75, 110, 165, and 265 nm were measured by H-TDMA at
 17 RH=90% with the time resolution of 1h. The HGFs of 35, 50, and 75 nm particles will be taken
 18 for further analysis.

19 The hygroscopicity parameter, κ , can be calculated from the HGF measured by H-TDMA
 20 (Petters and Kreidenweis, 2007):

$$21 \quad \kappa_{\text{HTDMA}} = (\text{HGF}^3 - 1) \left(\frac{\exp\left(\frac{A}{D_{p,\text{dry}} \cdot \text{HGF}}\right)}{\text{RH}} - 1 \right) \quad [2]$$

$$22 \quad A = \frac{4\sigma_{s/a}M_w}{RT\rho_w} \quad [3]$$

23 Where $D_{p,\text{dry}}$ and HGF are the initial dry particle diameter and the hygroscopic growth factor at
 24 90% RH measured by H-TDMA, respectively. $\sigma_{s/a}$ is the droplet surface tension (assumed to be

1 that of pure water, $\sigma_{s/a} = 0.0728 \text{ N m}^{-2}$), M_w the molecular weight of water, ρ_w the density of
2 liquid water, R the universal gas constant, and T the absolute temperature.

3 **2.2 Particle chemical composition**

4 The Aerodyne HR-ToF-AMS (here simply referred to as AMS) (DeCarlo et al., 2006) was
5 operated with a time resolution of 5 min. Due to the 600 °C surface temperature of the vaporizer,
6 the AMS only analyzes the non-refractory chemical composition of the particles. Soot, crustal
7 material, and sea-salt cannot be detected. The aerodynamic lenses have 100% transmission
8 efficiency down to 70 nm in a vacuum aerodynamic diameter (Canagaratna et al., 2007).
9 Therefore, based on the transmission efficiency of the aerodynamic lenses and the detected
10 compounds, the AMS can provide the size-resolved chemical composition of sub-micrometer
11 non-refractory aerosol particle fraction (NR-PM1) (Canagaratna et al., 2007). The vacuum
12 aerodynamic diameter for AMS measurements was converted to mobility diameter by division of
13 AMS vacuum aerodynamic diameter by the estimated particle density (1400 kg/m^3). Hereafter,
14 the mobility diameter is used in AMS data below. The particle density was calculated on the
15 basis of measured chemical composition. The detail description about the calculation was given
16 in Poulain et al. (2014).

17 **2.3 Particle number size distribution**

18 A TDMPS was deployed to measure particle number size distributions from 3-800 nm mobility
19 diameter with a time resolution of 10 min (Birmili et al., 1999). The system consists of two
20 Differential Mobility Analyzers (DMA, Hauke-type) and two Condensation Particle Counters
21 (CPC, TSI model 3010 and TSI model 3025). The sheath air is circulated in closed loops for both
22 DMAs. Evaluation of particle number size distributions includes a multiple charge inversion, the
23 CPC efficiency and diffusional losses in the DMA and all internal and external sampling lines
24 according to the recommendations in Wiedensohler et al. (2012).

25 **3 Methodology**

26 **3.1 Derivation of the soluble particle fraction**

27 Based on the Zdanovskii–Stokes–Robinson (ZSR) method (Stokes and Robinson,
28 1966; Zdanovskii, 1948), the HGF of a mixture can be estimated from the sum of HGF_i of a pure
29 component (i) time their respective volume fractions, ϵ_i (Malm and Kreidenweis, 1997):

$$1 \quad \text{HGF}_{\text{mixed}} = (\sum_i \varepsilon_i \text{HGF}_i^3)^{1/3} \quad [4]$$

2 Here, the chemical compounds contributing to the particle growth are separated into two fractions,
 3 e.g., soluble and insoluble fractions (also refer to Ehn et al., 2007; Swietlicki et al., 1999). The
 4 soluble fraction is assumed as ammonium sulfate and the insoluble fraction as organic
 5 compounds. Then, ε of soluble fraction can be calculated by:

$$6 \quad \varepsilon_{\text{soluble}} = \frac{\text{HGF}_{\text{measured}}^3 - 1}{\text{HGF}_{(\text{NH}_4)_2\text{SO}_4}^3 - 1} \quad [5]$$

7 where $\text{HGF}_{\text{measured}}$ is the HGF of particle measured by H-TDMA, and $\text{HGF}_{(\text{NH}_4)_2\text{SO}_4}$ is the HGF
 8 of pure $(\text{NH}_4)_2\text{SO}_4$ particle with the same size. When calculating $\text{HGF}_{(\text{NH}_4)_2\text{SO}_4}$ in different
 9 diameters, the parameterizations for $(\text{NH}_4)_2\text{SO}_4$ water activity developed by Potukuchi and
 10 Wexler (1995) and the density reported by Tang and Munkelwitz (1994) are used. The Kelvin
 11 term was considered in the calculation.

12 The assumption of an insoluble organic fraction may lead to overestimate of the soluble fraction
 13 because atmospherically relevant secondary organics typically have a growth factor larger than 1
 14 (e.g., Varutbangkul et al., 2006). This implies that in the presence of several classes of
 15 hygroscopic substances. ε derived from Eq. [5] is only an “equivalent” soluble fraction (i.e.
 16 assuming ammonium sulfate as the only soluble substance). $\varepsilon_{\text{soluble}}$ is therefore an upper estimate
 17 for the true soluble volume fraction. The advantage of using the equivalent water-soluble fraction
 18 term is to be able to analyze the particle hygroscopicity independently of differences in size. The
 19 uncertainty of the estimated soluble volume fraction is around 5%, which was derived from the
 20 measurement uncertainty of HGF (2.5%) according to the error propagation function.

21 **3.2 Calculation of CCN number concentration**

22 The CCN number concentration can be estimated by integrating the particle number size
 23 distribution from the critical diameter to the maximum diameter detected by TDMPMS (800 nm,
 24 above which the particle number concentration is generally negligible), assuming particles are
 25 internal mixture. The critical diameter (D_{pcrit}) is calculated from κ :

$$26 \quad D_{\text{Pcrit}} = \left(\frac{4A^3}{27 \kappa_{\text{chem}} \ln^2 S_C} \right)^{1/3} \quad [6]$$

1 Here, D_{Pcrit} is the critical diameter at which 50% of the particles were activated at the
2 supersaturation, S_c (0.1%, 0.4%, and 0.6% were chosen in this study). κ_{chem} is calculated from
3 size-resolved AMS data according to the ZSR method and κ -Köhler theory (Petters and
4 Kreidenweis, 2007):

$$5 \quad \kappa_{\text{chem}} = \sum_i \varepsilon_i \kappa_i \quad [7]$$

6 Here, κ_i and ε_i are the hygroscopicity parameter and volume fraction for the individual (dry)
7 component in the mixture with i , the number of components in the mixture. The volume fraction
8 of each chemical species in the mixture was derived from the size-resolved AMS data as
9 described below.

10 Particle mass size distributions of organics, sulfate (SO_4^{2-}), nitrate (NO_3^-), and ammonium (NH_4^+)
11 ions were detected by AMS. We use a simplified ion pairing scheme as presented in Gysel et al.
12 (2007) to convert the ion mass concentrations to the mass concentrations of their corresponding
13 inorganic salts as listed in Table 2. The critical diameters, corresponding to supersaturation (SS)
14 0.2-0.6%, roughly spanned from 50 to 120 nm in mobility diameter. Therefore, by integrating the
15 particle mass size distribution from 50 nm to 120 nm, the mass concentrations of organics, SO_4^{2-} ,
16 NO_3^- , and NH_4^+ ions was calculated to estimate κ_{chem} . In the same way, the chemical
17 composition of 150-200 nm particles is used to calculate κ_{chem} for the critical diameter of around
18 170 nm, which corresponds to a supersaturation of 0.1%.

19 The H-TDMA-derived κ was not used in calculating the critical diameter. This reason was given
20 as follow: The inconsistencies between H-TDMA-derived kappa and Cloud Condensation Nuclei
21 Counter (CCNc)-derived κ have been reported in several previous studies (Good et al.,
22 2010; Cerully et al., 2011; Irwin et al., 2010; Petters et al., 2009; Wex et al., 2009). Possible
23 explanations are non-ideality effects in the solution droplet, surface tension reduction due to
24 surface active substances, and the presence of slightly soluble substances which dissolve at RHs
25 larger than the one considered in the H-TDMA (Wex et al., 2009). Due to these effects, κ is not
26 necessarily constant and may vary with humidity. Extrapolating from H-TDMA data to
27 properties at the point of activation should be done with great care (Wu et al., 2013). In addition,
28 our previous study (Wu et al., 2013) showed that critical diameters at different supersaturations

1 can be well-predicted using AMS data and ZSR method. Therefore, the AMS data was decided
2 to use to estimate the critical diameters instead of H-TDMA-derived κ .

3 **3.3 Estimation of H₂SO₄ concentration**

4 H₂SO₄ concentrations were estimated using a modified version of the chemical mass balance
5 model introduced by Weber et al. (1997), driven by solar radiation as a source of OH:

$$6 \quad [\text{H}_2\text{SO}_4] = B \frac{[\cdot\text{OH}][\text{SO}_2]}{\text{CS}} \quad [\text{cm}^{-3}] \quad [8]$$

7 Here, $[\cdot\text{OH}]$ is the hydroxyl radical concentration estimated from Eq. [9] in cm^{-3} . $[\text{SO}_2]$ is the
8 measured sulfur dioxide concentration in cm^{-3} . B is a constant related to the reaction rate of the
9 two species. CS is the condensation sink (Pirjola et al., 1999) in s^{-1} calculated from the particle
10 number size distribution adjusted to ambient relative humidity. For this adjustment, an empirical
11 growth law based on one year of hygroscopicity measurements at Melpitz was used (Refer to
12 Laakso et al., 2004). The term $B[\cdot\text{OH}][\text{SO}_2]$ represents the production term of H₂SO₄, and CS is
13 referred to represent the loss rate of H₂SO₄ on the pre-existing particles. B was derived by
14 correlation analysis of measured and estimated $[\text{H}_2\text{SO}_4]$ for 9 days during EUCAARI-2008
15 during which the data capture was satisfactory. Linear regression analysis yielded a value of
16 $27.49 \cdot 10^{-13} \text{ cm}^3 \text{ s}^{-1}$ for B .

$$17 \quad [\cdot\text{OH}] = A' \cdot \text{Rad} \quad [\text{cm}^{-3}] \quad [9]$$

18 where Rad is the global solar radiation flux in W m^{-2} . A' was derived by linear regression of
19 these parameters for the EUCAARI-2008 data set, yielding a value of $6166 \text{ m}^2 \text{ W}^{-1}$ for A' . The
20 calculation of H₂SO₄ concentration was done within the works of Größ et al. (2015), with details
21 provided therein. The accuracy of simulated H₂SO₄ concentration is estimated as follow:
22 Percentage error = $\text{abs}([\text{H}_2\text{SO}_4]_{\text{measured}} - [\text{H}_2\text{SO}_4]_{\text{simulated}}) * 100 / [\text{H}_2\text{SO}_4]_{\text{simulated}}$. Here,
23 $[\text{H}_2\text{SO}_4]_{\text{measured}}$ is the sulfuric acid concentration measured during 9-day measurements for
24 EUCAARI-2008. The percentage error is around 40%.

25 **3.4 Calculation of particle formation and growth rate**

26 Assuming a constant particle source during a time period of t , the particle formation rate (J_{nuc})
27 can be expressed as (Dal Maso et al., 2005):

$$28 \quad J_{\text{nuc}} = \frac{dN_{\text{nuc}}}{dt} + F_{\text{coag}} + F_{\text{growth}} \quad [10]$$

1 In this study, N_{nuc} is the number concentration of nucleation mode particles ranging from 3 nm to
 2 25 nm. F_{growth} is the flux of particles out of the specified size range (3-25 nm). The newly formed
 3 particles rarely grew beyond 25 nm before formation ended, and F_{growth} can be neglected. F_{coag}
 4 represents a loss of formed particles due to coagulation to the preexisting particle population. It
 5 can be calculated from the following equation:

$$6 \quad F_{\text{coag}} = \text{Coag}S_{\text{nuc}}N_{\text{nuc}} \quad [11]$$

7 where $\text{Coag}S_{\text{nuc}}$ is the coagulation sink of particles in the nucleation mode. The detailed
 8 calculation of coagulation sink is given in Deal Maso et al. (2005).

9 The observed particle growth rate (GR_{obs}) can be expressed as:

$$10 \quad \text{GR}_{\text{obs}} = \frac{\Delta D_m}{\Delta t} \quad [12]$$

11 where D_m is a mean geometric diameter of log-normal ultrafine particle mode, which has been
 12 fitted to the number size distribution (Heintzenberg, 1994). GR_{obs} means evolution of the mean
 13 diameter within a time period Δt .

14 **3.5 Particle growth contributed by H₂SO₄ condensation**

15 Theoretically, the vapor concentration required for growth rate of 1 nm h⁻¹ in certain particle size
 16 ranges can be calculated according to (Nieminen et al., 2010):

$$17 \quad C_{\text{GR}=1\text{nm}/\text{h}} = \frac{2\rho_v d_v}{\gamma m_v \Delta t} \cdot \sqrt{\frac{\pi m_v}{8kT}} \cdot \left[\frac{2x_1+1}{x_1(x_1+1)} - \frac{2x_0+1}{x_0(x_0+1)} + 2\ln\left(\frac{x_1(x_0+1)}{x_0(x_1+1)}\right) \right] \quad [13]$$

18 here x_0 and x_1 are the ratios of the vapour molecule diameter (d_v) to the initial and final particle
 19 diameter, respectively. The mass (m_v) and density (ρ_v) of sulfuric acid applied in this study are
 20 135 amu and 1650 kg/m³, respectively, corresponding to hydrated sulfuric acid molecules
 21 (Kurtén et al., 2007). It should be mentioned that equation [13] was developed specially for
 22 particles with diameter of 3-7 nm. For larger particles (>10 nm), this method gives similar results
 23 to that calculated using the Fuchs-Sutugin approach (Nieminen et al., 2010). The calculated
 24 $C_{\text{GR}=1\text{nm}/\text{h}, \text{H}_2\text{SO}_4}$ may be an underestimate because it is assumed that every sulfuric acid molecule
 25 colliding with the particle is attached to it which is not necessarily the case.

1 Then the growth rate contributed by sulfuric acid during the time period used for the
2 determination of GR is calculated directly as:

$$3 \quad GR_{H_2SO_4} = [H_2SO_4]_{est} / C_{GR=1nm/h, H_2SO_4} \quad [14]$$

4 where $[H_2SO_4]_{est}$ is the median value from the estimated sulfuric acid concentration during the
5 timeframe for the determination of GR.

6 The observed growth rate can be presented as the sum of the growth rates due to H_2SO_4
7 ($GR_{H_2SO_4}$) and organic vapors (GR_{org}) condensation (Paasonen et al., 2010):

$$8 \quad GR_{obs} = GR_{H_2SO_4} + GR_{Org} \quad [15]$$

9 By combining equations [13-15], the overall particle volume change can be separated into two
10 fraction contributing by H_2SO_4 and organic vapors condensation.

11 **4 Results**

12 **4.1 Particle formation and growth**

13 The previous study on the basis of long-term observations showed that the NPF events take place
14 frequently at Melpitz, especially on April, May, and June (Hamed et al., 2010). During our field
15 campaign (from May 23rd to June 8th in 2008), the NPF events were also observed frequently. In
16 present study, three NPF events, which consecutively took place from June 5 to June 7, 2008, as
17 displayed in Fig. 1 (a), are selected for further analysis. These events are the best cases which
18 showed clear particle bursts and subsequent growth process during the entire field campaign. The
19 starting and ending time for each event were marked in the Fig.1 (a) as NPF1, NPF2, and NPF3.
20 The bursts in number concentration of 3-10 nm particles were observed associated with
21 increasing ambient temperature, decreasing relative humidity (shown in Fig. 2 (b)), and
22 increasing in estimated H_2SO_4 concentration (shown in Fig. 1(b)). The CS is between 0.01 and
23 0.02 s^{-1} during the NPF events. As marked in Fig.1 (a), the particle number size distribution
24 shows the new particle formed around 10:00 a.m. and then grew versus time for more than 20 h.
25 This means that the NPF is a regional event (refere to Hussein et al., 2000) and could take place
26 over a distance of a hundred kilometers. The Fig.2 (a) displays the wind speed and wind
27 direction during the NPF events. The wind showed a typical diurnal cycle. The wind speed was
28 4-5 m/s and kept a constant direction (south) during the daytime. It was static wind during

1 nighttime. The particle formation rates ($J_{3-25\text{nm}}$) were 13.5, 6.1, 9.3 $\text{cm}^{-3}\text{s}^{-1}$ on June 5, 6, and 7,
2 respectively. The highest formation rate was observed on June 5 corresponding to the highest
3 H_2SO_4 concentration.

4 As indicated by the white circle in the Fig.1 (a), the mean geometric diameter (D_m) of log-normal
5 ultrafine particle mode increased to around 100 nm within 24 hours. Over the time period from
6 the beginning to the end of the NPF events as marked in Fig.1 (a), the average GR_{obs} were
7 respectively 2.8, 3.6, and 4.4 nm h^{-1} for NPF events on June 5th, 6th, and 7th, 2008. One can note
8 that the newly formed particles continued growing during the nighttime when sulfuric acid
9 concentration was close to zero. This indicated that other species, most likely, organic
10 compounds contributed to the particle growth during this time period.

11 There were no local emission sources in the surrounding areas of the Melpitz research station.
12 The possible primary emissions contributing to the atmospheric particles at Melpitz could be
13 from the cities away tens of kilometers from the station via transportation. Typically, the primary
14 particles are accompanied by trace gases, such as NO and SO_2 spikes. However, such
15 phenomena were not observed in our measurements at Melpitz. As shown in Fig.2 (c), in the
16 early morning on 6 and 7 June, the slight enhancement of NO (a tracer for traffic related ultrafine
17 particles (Janhäll et al., 2004)) concentration may be caused by the outflow of cities nearby
18 Melpitz. The particle number concentration did not increased simultaneously. The ultrafine
19 particles exhausted from car tailpipes in the cities may grow by condensation and coagulation
20 and shift towards larger diameters and diluted by fresh air significantly with increasing distance
21 from the roads (Zhu et al., 2002). As a result, the enhancement in ultrafine particle number
22 concentration was not observed at the rural site of Melpitz. Therefore, the instant impacts of
23 primary emissions on atmospheric particles were not observed during the time period focused in
24 this study. SO_2 from primary emissions could contribute to the atmospheric nucleation after
25 being oxidized to sulfuric acid by radicals. The new particle formation associating with enhanced
26 SO_2 concentration was observed by many previous studies (e.g. Birmili and Wiedensohler, 2000).
27 Overall, the new particle formation and subsequent growth is the major source of particles, and
28 thereby, CCN at Melpitz station.

4.2 Hygroscopicity and chemical composition of newly formed particles

Fig.3 displayed the size-resolved particle hygroscopicity (a), m/z 44 and 57 mass concentrations (b), and mass fraction of organic, sulfate, nitrate, and ammonium in 30-100 nm (mobility diameter) particles (c). As shown in the Fig. 3(a), peak daily κ s of 50, 75, and 110 nm particles occurred afternoon and minimum appeared in the midnight. The evolution of particle hygroscopicity was very similar to those of inorganic mass fraction (sulfate+nitrate+ ammonium) in 30-100 nm particles. During the daytime, H_2SO_4 concentration increased and may condense onto the particles. At the same time, the increasing ambient temperature (see Fig. 2 (b)) could drive the semi-volatile organic species in particle phase to partition to gas phase. Both processes could result in an increasing of inorganic fraction in particle phase, thereby enhancement in particle hygroscopicity. The decline in particle hygroscopicity took place after 15:30 (Local time) when sulfuric acid concentration decreased significantly. Simultaneously, ambient temperature decreased to 10°C . Lower temperature facilitates the condensation of semi-volatile organic vapors onto the particles. As a result, the organic mass fraction increased significantly during the nighttime, as shown by AMS measurements (Fig.3 (c)), leading to an evident decline in particle hygroscopicity.

Table 3 summarizes the equivalent water-soluble fraction of newly formed particles when these particles grew to 35, 50, and 75 nm, respectively. Here, the equivalent water-soluble fraction is corresponding to the H-TDMA measurement points at which the mean geometric diameter (D_m) of ultrafine particle mode reached 35, 50, and 75 nm. On June 7, the equivalent water-soluble fraction of 35 nm newly formed particles was 34%. It decreased to 23% when particle grew to 50 nm, further, reduced to 17% when particles reached to 75 nm. On June 5 and 6, the hygroscopicity of newly formed particles decreased with increasing particle size, as well. It implies that a large fraction of species contributing to particle growth was organics, which are typically less water soluble. This can be confirmed by AMS measurements showing that organic fraction in particles increased at a relatively later time of the NPF event (see Fig. 3(c)). The contribution of H_2SO_4 condensation to particle growth was estimated using the method introduced in section 3.5 for different particle sizes. The ratios of H_2SO_4 condensational growth to the observed particle growth ($F_{\text{GRH}_2\text{SO}_4} = \text{GR}_{\text{H}_2\text{SO}_4} / \text{GR}_{\text{obs}}$) are given in the table 3. For example, on June 7, $F_{\text{GRH}_2\text{SO}_4}$ was 30% for 35 nm particles, meaning that H_2SO_4 condensation only contributed 30% of the observed particle growth. With increasing particle size, the contribution

1 of H₂SO₄ condensation decreased, as shown in Table 3. This was consistent with the changes in
2 the equivalent water-soluble fraction of newly formed particles. Both particle hygroscopicity
3 measurements and numerical analysis showed that organics were major potential contributors to
4 the particle growth.

5 As displayed in Fig.3 (c), the sulfate and ammonium were dominated in the inorganic mass
6 fraction in 30-100 nm particles and obviously increased during the particle formation period
7 (indicated by grey dashed line in Fig.1). While, the nitrate accounted for a minor fraction, which
8 also observed by Zhang et al. in Pittsburgh (Zhang et al., 2004a). They found nitrate contributed
9 the least to the new particle growth. After 3:00 p.m., the organic mass fraction increased and
10 reached its maximum at midnight on each day, indicating that organics played a key role in the
11 particle growth at a later time of the NPF event. The mass fraction of ion fragments m/z 44 and
12 57 in 30-100 nm particles are shown in the Fig. 3(b). The m/z 44 (CO₂⁺ ion fragment) is a tracer
13 for secondary organic aerosol, while m/z 57 (C₄H₉⁺) is generally associated with primary
14 organics from combustion sources (Zhang et al., 2004a). The m/z 57 mass concentration was
15 close to zero during the events. Compared m/z 57, the m/z 44 mass concentration were
16 considerable, indicating that the organics contributing to particle growth was mainly secondary
17 organic species.

18 **4.3 Enhancement in CCN number concentration during the NPF events**

19 The critical diameters and CCN number concentrations at different supersaturations during the
20 NPF events are displayed in the Fig. 4. The critical diameters at different supersaturations
21 decreased during the first several hours of the NPF events and enhanced at a later time of the
22 NPF event. This was consistent with the variations in particle hygroscopic growth at RH=90%
23 above-mentioned (see Fig. 3(a)). As shown in the Fig. 4(b), the CCN number concentration
24 clearly increased significantly during the NPF events. The minimum in CCN number
25 concentration was observed during the period of particle formation and the maximum appeared
26 at the end of the NPF events.

27 The NPF events occurred on June 5, 6, and 7 were typical regional cases. The enhancement in
28 CCN number concentration caused by atmospheric nucleation was evaluated by comparing the
29 average CCN number concentrations over two hours prior to the beginning of the event (the
30 period t₁ marked in Fig. 4) with the same time period before the end of the events (the period t₂

1 marked in Fig. 4). The ratios of average CCN number concentration over t_2 to t_1 were
2 respectively 1.9, 2.0, and 1.5 for 0.1%, 0.4%, and 0.6% SS. On average, the enhancement ratios
3 in CCN number concentration associated with individual NPF events were 63%, 66%, and 69%
4 for 0.1, 0.4, and 0.6% SS, respectively. The absolute increases in CCN number concentrations
5 associated with each event were 162, 931, and 756 $\#/cm^3$, on average.

6 Atmospheric boundary layer development and turbulent mixing will impact on NPF (Boy et al.,
7 2006; Boy et al., 2003; Altstädter et al., 2015), and consequently on its CCN products. It is hard
8 task to quantify the changes in CCN number due to boundary layer dynamics. In this study, the
9 enhancement in CCN number concentration caused by atmospheric nucleation was evaluated by
10 a ratio of CCN number during the same period on different days, and not an absolute value. Here
11 we assume that the weather condition and boundary layer height were similar during two time
12 periods (see meteorological parameters in Fig. 3). Therefore, the effect of boundary layer
13 dynamics on the change in CCN number concentration could be ignored.

14 Several previous studies reported that the enhancement in CCN number concentration associated
15 with atmospheric nucleation varied significantly in different environments. At the Finnish sub-
16 Arctic Pallas station, a $210 \pm 110\%$ increase in the number concentration of 80 nm particles was
17 observed from the beginning of a nucleation event to the end of the event (Asmi et al., 2011). At
18 a forested site (SMEAR II station in Hyytiälä) in Southern Finland, nucleation enhanced CCN
19 number concentration by 70 to 110%, varying with the supersaturation level (Sihto et al., 2011).
20 In a polluted urban area, Beijing, China, the average CCN enhancement factors were between
21 about 1.5 and 2.5 (Yue et al., 2011; Wiedensohler et al., 2009). In Boulder, CO, Atlanta, GA, and
22 Tecamac, Mexico, the pre-existing CCN number concentration increased on average by a factor
23 of 3.8 as a result of new particle formation (Kuang et al., 2009). Overall, the enhancement in
24 CCN number concentration associated with atmospheric nucleation varied significantly in
25 different environments. Please note that the methods for defining the enhancement factors used
26 in the existing literature were very different. Therefore, a general conclusion on how significant
27 NPF and growth process contributes to CCN budget cannot be drawn, currently.

28 **5 Discussion**

29 The above field observations clearly showed that newly formed particles had the ability to grow
30 into CCN sizes within several hours at Melpitz. The particle hygroscopicity measurements

1 strongly suggested that organic compounds were the major contributors driving particle growth
2 into CCN sizes. The previous studies performed in clean atmosphere also showed that the newly
3 formed particles mainly consist of organics. For examples, sulfuric acid is able to account for
4 roughly 30% of the growth rate of newly formed particles in the rural atmosphere of
5 Hohenpeissenberg, Southern Germany (Birmili et al., 2003), and only around 10% in the boreal
6 forest area of Finland (Boy et al., 2005). However, In the polluted atmosphere of Atlanta, USA,
7 the available amount of sulfuric acid was sufficient to explain all of the observed particle growth
8 (Stolzenburg et al., 2005). At Melpitz, biogenic volatile organic compounds (BVOCs) emitted
9 from biological activities are dominated volatile organic compounds (Mutzel et al., 2015) and
10 lead to an organic-rich environment during the summertime. The oxidation products of BVOCs
11 may be responsible for the new particle growth.

12 We note that the condensation of organics lead to a rapid particle growth when sulfuric acid
13 concentration was close to zero during the nighttime, as shown in Fig. 1. The organic condensing
14 materials with low hygroscopicity reduced CCN efficiency of the new particles, as indicated by
15 critical diameters given in Fig. 4. Such phenomenon was also reported by Dusek et al. (2010).
16 They showed that enhanced organic mass fraction caused a reduction in CCN efficiency of small
17 particles during the new particle formation. These results implied that the CCN production
18 associated with atmospheric nucleation may be overestimated if assuming that new particles can
19 serve as CCN in case they grow to a fixed particle size (Such as Asmi et al., 2011), especially for
20 organic-rich environments. In our case, the mean critical diameter is around 50 nm at SS=0.6%.
21 Assuming a constant critical diameter of 50 nm at SS=0.6%, the CCN number concentration was
22 averagely 1.13 times of that with varied critical diameters during the NPF events. Under similar
23 conditions, the CCN number concentration at SS=0.4% with a constant critical diameter of 70
24 nm was 1.15 times of that with varied critical diameters.

25 **6 Conclusions**

26 In this study, the particle number size distribution, particle hygroscopicity, and particle chemical
27 composition during three regional NPF events were measured to investigate the new particle
28 growth process and its effects on CCN activity. The particle formation rates ($J_{3-25\text{nm}}$) were 13.5,
29 6.1, 9.3 $\text{cm}^{-3}\text{s}^{-1}$, and the particle growth rates were 2.8, 3.6, and 4.4 nm/h for NPF events on June
30 5, 6 and 7, 2008, respectively.

1 The $(\text{NH}_4)_2\text{SO}_4$ -equivalent water-soluble fraction accounted for 20% and 16% of 50 and 75 nm
2 newly formed particles, respectively. The sulfate and ammonium were dominated in the mass
3 fraction of 30-100 nm particles during the particle formation period. The nitrate contributed a
4 minor fraction in new particle growth. At a later time of NPF event, the organics played a key
5 role in the particle growth. The analysis on the fragment m/z 44 and 57 showed that the organics
6 contributing to particle growth was mainly secondary organic species. The particle
7 hygroscopicity and chemical composition measurements and numerical calculation confirmed
8 that organic compounds were major contributors driving particles growth to CCN sizes.

9 The step-wised increase in CCN number concentration during three consecutive NPF events was
10 observed. On average, the enhancement ratios in CCN number concentration associated with
11 individual NPF events are 63%, 66%, 69% for 0.1, 0.4, and 0.6% SS, respectively. We found
12 that the new particles hygroscopicity decreased significantly with condensational growth of
13 organic compounds, which are generally less water soluble. Correspondingly, the critical
14 diameters at a certain supersaturation increased, indicating that enhanced organic mass fraction
15 caused a reduction in CCN efficiency of newly formed particles during the new particle
16 formation. Our results implied that the CCN production associated with atmospheric nucleation
17 may be overestimated if assuming that new particles can serve as CCN in case they grow to a
18 fixed particle size, which was used in some previous studies, especially for organic-rich
19 environments.

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1 **Tables and figures**

2

3 Table 1: The summary of instruments and parameters used in this study.

Instrument	Parameter
SMPS	Particle number size distribution
H-TDMA	Particle hygroscopicity
HR-ToF-AMS	Size-resolved chemical composition
Monitor – APSA 360 Horiba Europe	SO ₂ concentration
Kipp & Zonen CM6 Pyranometer	Global solar irradiance

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6 Table 2: Gravimetric densities ρ and hygroscopicity parameters κ .

Species	NH ₄ NO ₃	H ₂ SO ₄	NH ₄ HSO ₄	(NH ₄) ₂ SO ₄	Organic matter
ρ [kg/m ³]	1720	1830	1780	1769	1400
κ	0.67	0.92	0.61	0.61	0.1

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9 Table 3: The water soluble fraction of newly formed particles and the ratios of H₂SO₄
10 condensational growth to the observed particle growth

Dp	35 nm		50 nm		75 nm	
Date	ϵ	$F_{GR_{H_2SO_4}}$ *	ϵ	$F_{GR_{H_2SO_4}}$	ϵ	$F_{GR_{H_2SO_4}}$
05-06-2008	--		24%	23%	20%	15%
06-06-2008	25%	23%	14%	17%	10%	11%
07-06-2008	34%	30%	23%	20%	17%	13%

11 * $F_{GR_{H_2SO_4}} = GR_{H_2SO_4} / GR_{obs}$: The ratio of H₂SO₄ condensational growth to the observed particle
12 growth. Here, GR_{obs}s for 35, 50, and 75 nm were calculated over the time period during which mean
13 geometric diameter of log-normal ultrafine particle mode grew to 35, 50, and 75 nm, respectively, as
14 indicated by the white circles in the Fig.1 (a).

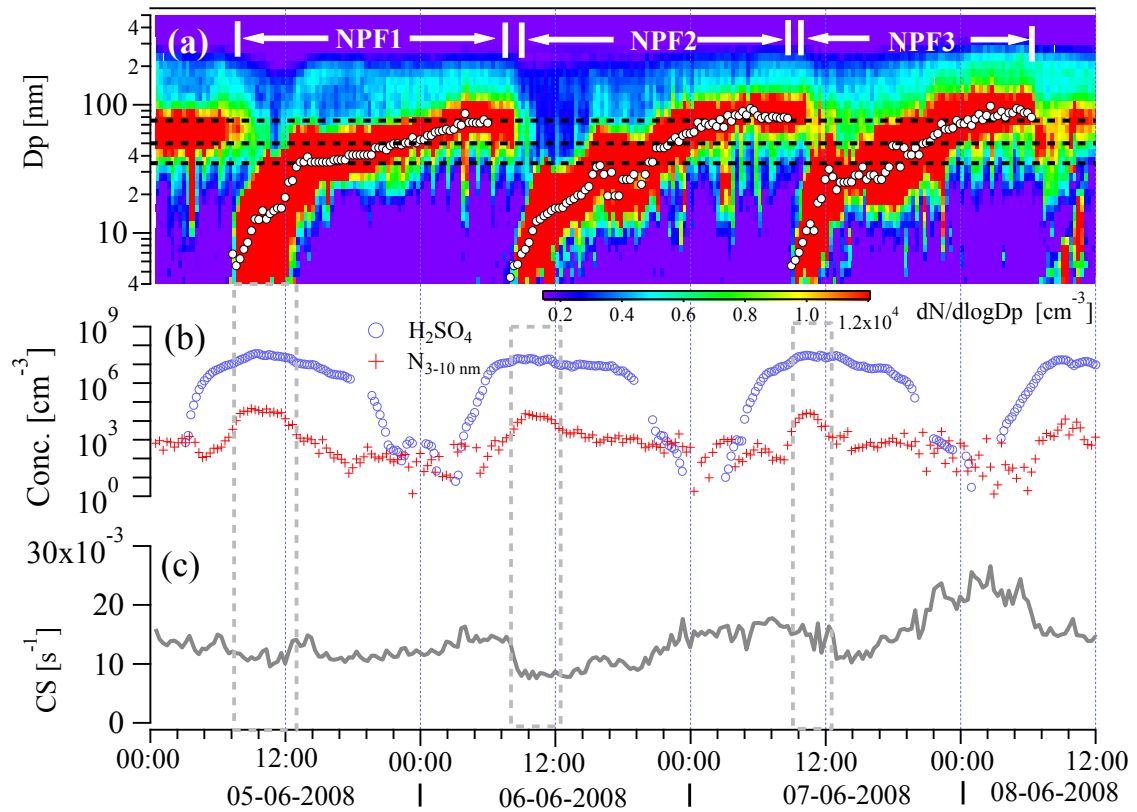
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4 Fig. 1: Particle number size distribution (a), 3-10 nm particle number concentration and H_2SO_4
5 concentration (b), condensation sink (CS) (c) during the NPF events. The starting and ending
6 time of the events were marked in the upper place of panel (a) by NPF1, NPF2, and
7 NPF3. The white circles in the panel (a) are the D_m of new particles modes. The grey dashed lines indicated
8 the time period of particle formation.

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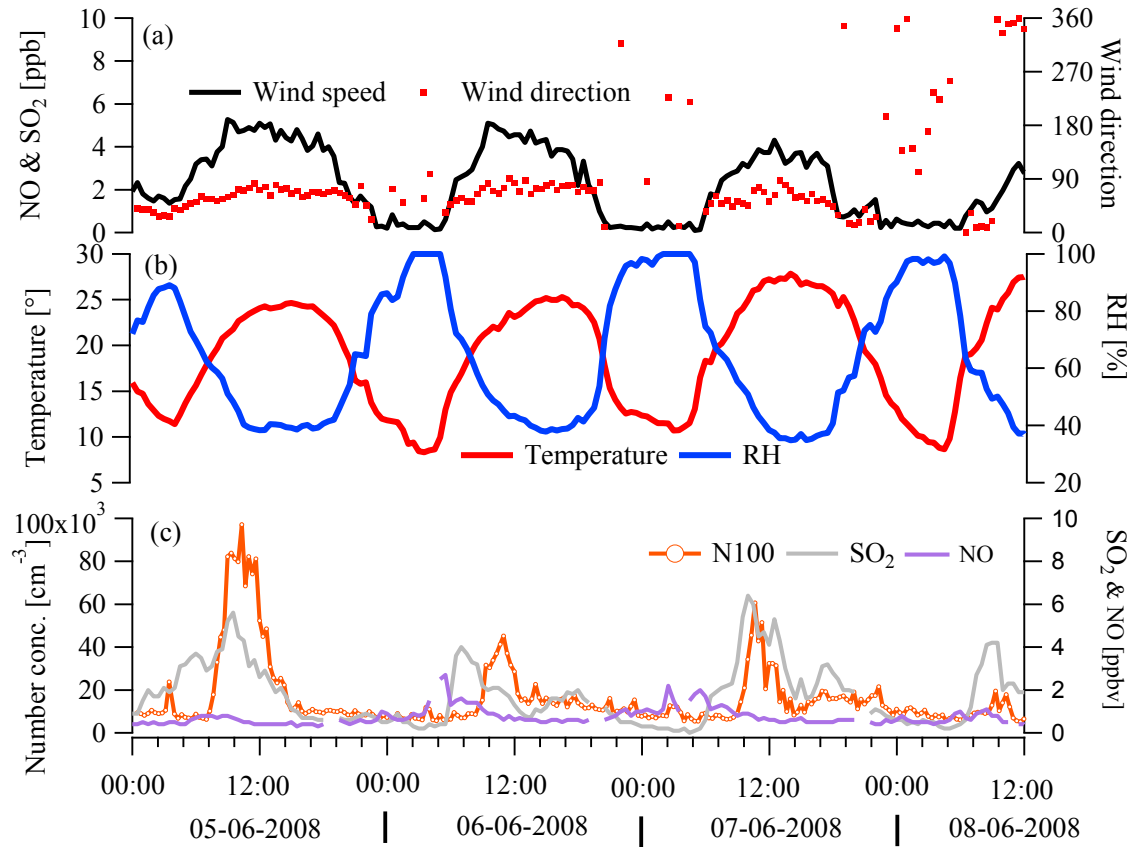
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3 Fig. 2: The time series of wind speed and wind direction (a), ambient temperature and RH (b),
4 and SO₂ & NO concentrations and number concentrations of particles in diameters of 3-100 nm
5 (b).

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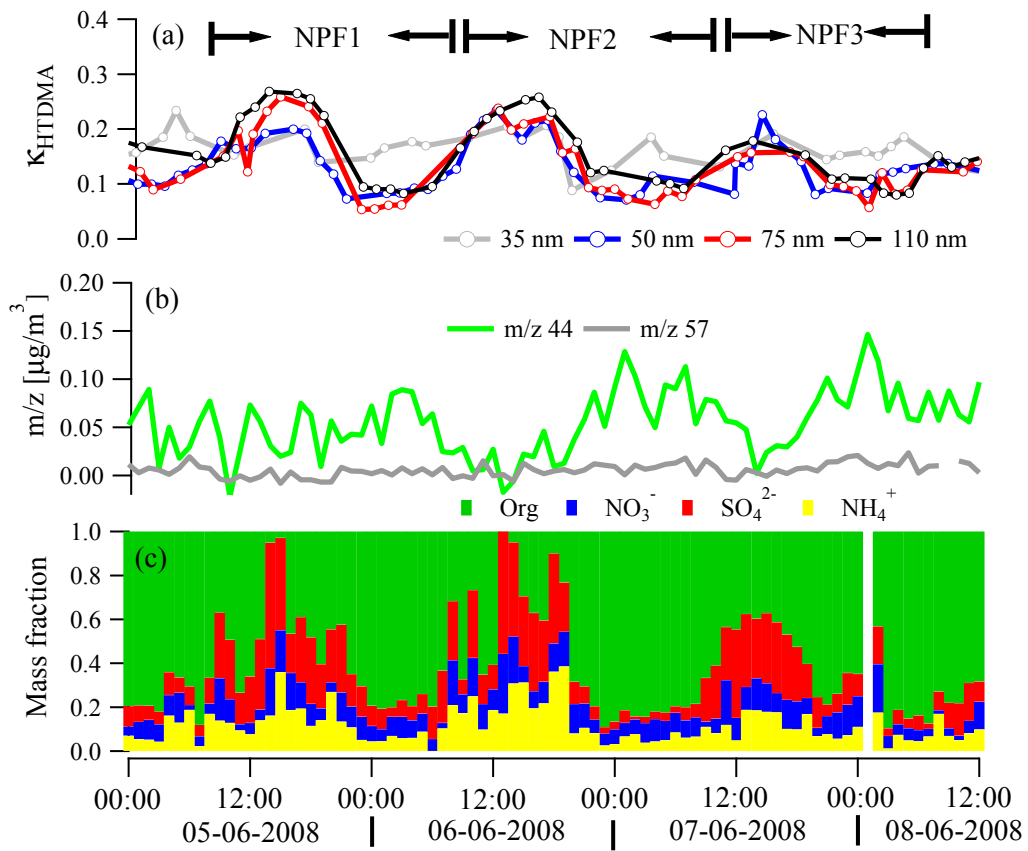
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3 Fig.3: Size-resolved particle hygroscopicity (a), m/z 44 and 57 mass concentrations in 30-100 nm
4 particles (b), and mass fraction of organic, sulfate, nitrate, and ammonium in 30-100 nm particles (c).

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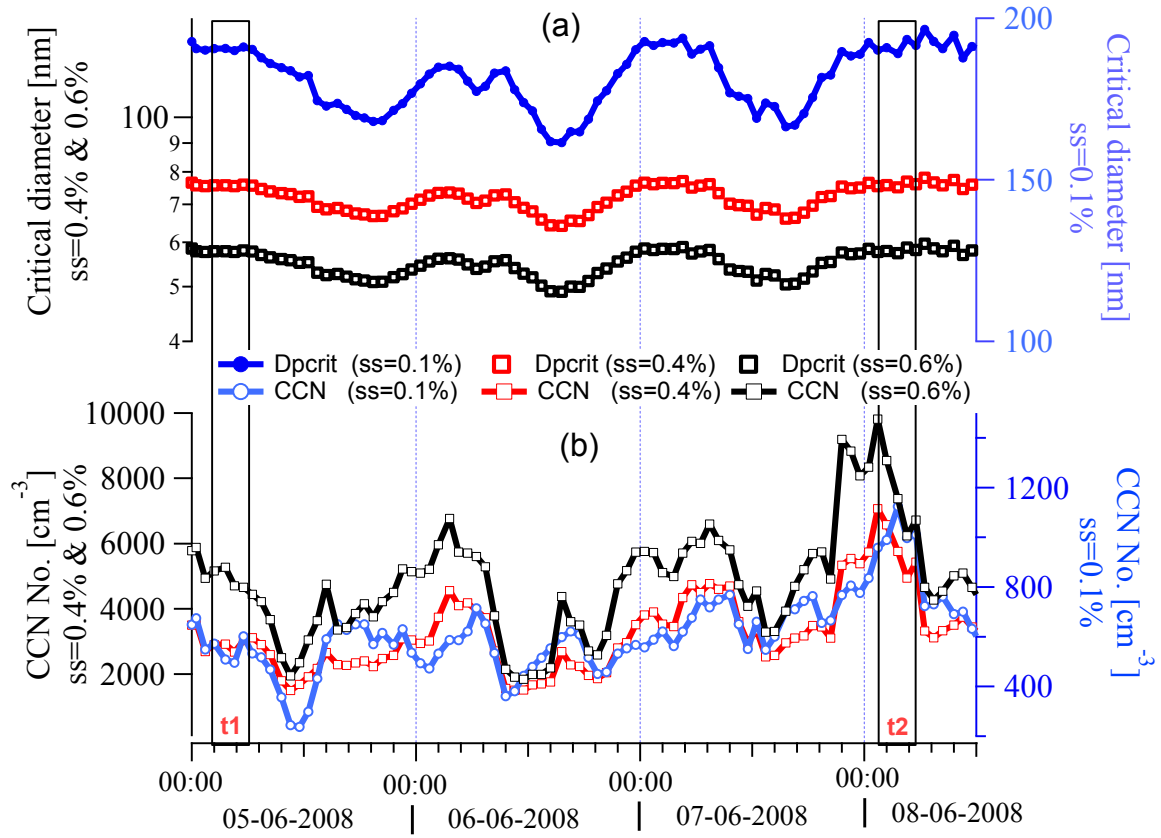
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Fig. 4: Critical diameter (Dpcrit) and CCN number concentration during NPF events.

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