

1 **Continental pollution in the Western Mediterranean basin: vertical profiles of**
2 **aerosol and trace gases measured over the sea during TRAQA 2012 and**
3 **SAFMED 2013**

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18
19 **Abstract**

20 In this study we present airborne observations of aerosol and trace gases obtained over the sea in the
21 Western Mediterranean basin during the TRAQA (TRansport and Air QuAlity) and SAFMED
22 (Secondary Aerosol Formation in the MEDiterranean) campaigns in summers 2012 and 2013. A
23 total of 23 vertical profiles were measured up to 5000 m above sea level over an extended area

24 (40°-45°N latitude and 2°W-12°E longitude) including the Gulf of Genoa, Southern France, the
25 Gulf of Lion, and the Spanish coast. During TRAQA and SAFMED the study area experienced a
26 wide range of meteorological conditions which favoured the pollution export from different sources
27 located around the basin. Also, several events of dust outflows were measured during the
28 campaigns. Observations from the present study show that continental pollution largely affects the
29 Western Mediterranean both close to coastal regions and in the open sea as far as ~250 km from the
30 coastline. The measured aerosol scattering coefficient varies between ~20 and 120 Mm^{-1} , while
31 carbon monoxide (CO) and ozone (O_3) mixing ratios are in the range of 60-165 ppbv and 30-85
32 ppbv, respectively. Pollution reaches 3000-4000 m in altitude and presents a very complex and
33 highly stratified structure characterized by fresh and aged layers both in the boundary layer and in
34 the free troposphere. Within pollution plumes the measured particle concentration in the Aitken
35 (0.004-0.1 μm) and accumulation (0.1-1.0 μm) modes is between ~30 and 5000-6000 scm^{-3}
36 (standard cm^{-3}), which is comparable to the aerosol concentration measured in continental areas
37 under pollution conditions. Additionally, our measurements indicate the presence of highly
38 concentrated Aitken layers (10000-15000 scm^{-3}) observed both close to the surface and in the free
39 troposphere, possibly linked to the influence of new particle formation (NPF) episodes over the
40 basin.

41

42 **1. Introduction**

43 Atmospheric aerosols play an important role on climate through their participation to several
44 chemical, dynamical, and radiative processes. At present, still large uncertainties persist in the
45 estimation of the aerosol direct and indirect effects mainly due to the difficulty of fully
46 characterizing their spatial and vertical distribution and properties (Boucher et al., 2013).

47 The Mediterranean region is a complex area where atmospheric aerosols of different origins and
48 types may be found (Pace et al., 2006; Kallos et al., 2007; Gkikas et al., 2012). High levels of

49 anthropogenic aerosol particles and pollutants are measured in the Mediterranean (Lelieveld et al.,
50 2002), which is also indicated as one of the main hot spots for air quality issues (Monks et al.,
51 2009).

52 The North-Western part of the Mediterranean basin, due to its proximity to highly polluted
53 industrialized areas (such as the Po Valley in northern Italy and the Fos/Berre in southern France)
54 and large coastal cities (Barcelona, Genoa, Marseilles, Nice, or Valencia), is frequently affected by
55 continental outflows and severe pollution episodes (Mallet et al., 2005; Pérez et al., 2008; Pey et al.,
56 2010). The strength of these episodes is particularly intense during summer when stable
57 meteorological conditions and the high level of insolation promote photochemical reactions and the
58 build-up of ozone and other pollutants (e.g. Millán et al., 2000).

59 A number of studies have investigated the dynamics of pollution export over the Western basin with
60 the aim of characterizing the impact of anthropogenic emissions over this region. Most of these
61 studies have been conducted in continental coastal areas and provide information on the vertical
62 distribution of aerosols and their properties mainly close to local pollution sources. They include
63 ground-based observations with lidars (Soriano et al., 2001; Pérez et al., 2004; Ancellet and
64 Ravetta, 2005), and airborne campaigns, such as MECAPIP (MEso-meteorological Cycles of Air
65 Pollution in the Iberian Peninsula) and RACAPMA (RegionAl Cycles of Air Pollution in the west
66 central Mediterranean Area) in coastal Spain (Millán et al., 1996 and 1997), and ESCOMPTE
67 (Experience sur Site pour Contraindre les Modeles de Pollution atmospherique et de Transport
68 d'Emissions) in Southern France (Drobinski et al., 2007). The results of these studies have
69 highlighted the important role of pollution in modulating the atmospheric composition in this part
70 of the basin, as well as the high variability of the aerosol distribution and properties in link to
71 different export conditions (Flamant and Pelon, 1996; Soriano et al., 2001; Mallet et al., 2005). In
72 particular, the interaction between synoptic circulation and local dynamics, such as orography and
73 sea breezes, has been shown to strongly impact the vertical distribution, layering, and aging of

74 particles along coastal regions (e.g. Millan et al., 1997; Gangoiti et al., 2001; Pérez et al., 2004;
75 Velchev et al., 2011).

76 The capability of reproducing this complexity by air quality models represents a real challenge
77 (Jimenez et al., 2006; Jiménez-Guerrero et al., 2008), and experimental observations gives a
78 fundamental support to test the performances of the model outputs over the Western Mediterranean
79 environment.

80 The large set of observations conducted in the last decades in the Western Mediterranean has
81 permitted mostly to characterise the dynamics and processes of pollution export in the surroundings
82 of the basin. However, at the present time we miss an extensive representation of the mean load,
83 distribution, and physico-chemical and optical properties of the atmospheric aerosols, as well as
84 trace gases distribution, in the whole region, in particular over the remote sea. In addition, there is a
85 significant lack of observations over some key areas, as for example the Gulf of Genoa, directly
86 under the influence of the outflow from the highly polluted Po Valley (Velchev et al., 2011).

87 In this study we present measurements of aerosols and trace gas vertical profiles acquired during 24
88 scientific flights performed with the ATR-42 French research aircraft during the TRAQA
89 (TRansport and Air QuAlity) and SAFMED (Secondary Aerosol Formation in the MEDiterranean)
90 campaigns in summers 2012 and 2013 in the framework of the Chemistry-Aerosol Mediterranean
91 Experiment (CHARMEX, <https://charmex.lsce.ipsl.fr/>). The TRAQA and SAFMED flights
92 explored an extended region of the Western Mediterranean basin between 40°-45°N latitude and
93 2°W-12°E longitude including the Gulf of Genoa, Southern France, the Gulf of Lion, and the
94 Spanish coasts. Measurements were performed over the sea at various distances from the coastline
95 with lidar and in situ instruments. During TRAQA and SAFMED the Western basin was under
96 diverse synoptic conditions which led to the occurrence of different pollution export regimes
97 (Mistral/Tramontane events, outflow from the Po Valley and the Iberian Peninsula) and allowed
98 sampling atmospheric aerosols of different origin and types.

99 The main objective of the present work is to provide observations of the vertical distribution of
100 aerosols and trace gases related to the export of anthropogenic pollution at the regional scale of the
101 Western Mediterranean basin. The detailed knowledge of the vertical structure of the atmosphere is
102 very important to understand the impact of continental pollution over the basin.

103 The paper is organized as follows: in Sections 2, 3, and 4 we describe the flight trajectories and
104 strategy during TRAQA and SAFMED, the in situ measurements carried out on board the ATR-42
105 aircraft, and the meteorological conditions observed during the campaigns. In Sect. 5 we present the
106 results. The aerosol and trace gases vertical profiles are shown in Sections 5.1 and 5.2. Section 5.3
107 is dedicated to analyse the variability of the pollution plume composition and atmospheric structure
108 also in link with the different outflow conditions. Airborne measurements in presence of layers with
109 high concentrations of fine particles are discussed in Section 5.4. The main conclusions are reported
110 in Section 6.

111

112 **2. Overview over flights**

113 Figure 1 shows the trajectories of the flights performed during the TRAQA (20 June-13 July 2012)
114 and the SAFMED (24 July-1 August 2013) campaigns. Research flights were performed with the
115 SAFIRE (Service des Avions Français Instruments pour la Recherche en Environnement,
116 <http://www.safire.fr/>) tropospheric aircraft ATR-42. The aircraft has a maximum endurance of 4 h.
117 The flight altitude ranges between a minimum of ~60 m over the sea, to a maximum of ~5000 m
118 above sea level (a.s.l.). The aircraft was based in Toulouse (43°36'N, 1°26'E, France) during
119 TRAQA and in Genoa (44°24'N, 8°55'E, Italy) during SAFMED. Twenty-four flights for a total of
120 ~75 hours of data have been collected. Seventeen of the twenty-four flights presented in the paper
121 were performed during TRAQA (flight numbers V16 to V32) and 7 during SAFMED (V46 to
122 V52). All flights were carried out during daytime, when light-induced chemistry favours the
123 pollution levels. Frequently, two flights per day, with intermediate stops in different airports in

124 Southern France, Corsica, and Sardinia, were performed. The majority of flights were over the sea,
125 with some exceptions investigating inland areas in Southern France and central Italy. Main
126 information concerning the TRAQA and SAFMED flights is summarized in Table 1.

127 The general flight strategy consisted in plane flights with lidar observations and vertical
128 ascents/descents to sound the vertical atmospheric column (from ~60-100 m to 3000-5000 m a.s.l.)
129 and identify main meteorological and aerosol features, followed by straight levelled runs (SLRs)
130 within the detected aerosol layers. In this study we focus on vertical profiles data. A total of 23
131 profiles were acquired in 20-30 minutes each by performing a spiral trajectory ~10-20 km wide.
132 Fig. 1 also identifies the geographical position of each sounding. As shown in Fig. 1 the profiles
133 were performed at different distances from the coastline, from a minimum of ~5-10 km for V31 and
134 V32 to more than ~250 km for V20 and V25, and covered almost all the different sectors of the
135 Western basin.

136

137 **3. Measurements and methods**

138 The basic equipment of the ATR-42 aircraft includes sensors for the measurements of
139 meteorological parameters (pressure, temperature, relative humidity, wind components), radiative
140 fluxes (down- and up-welling shortwave and longwave radiation), and carbon monoxide (CO) and
141 ozone (O₃) mixing ratios.

142 Aerosol sampling was performed using the AVIRAD system (Formenti et al., 2011). AVIRAD is an
143 iso-axial and iso-kinetic inlet which, at the normal cruise speed of the ATR-42 (~93 m s⁻¹), samples
144 air at a volumetric flow of ~350 l min⁻¹. The 50% passing efficiency of the inlet was tested to be 12
145 μm diameter. Various sampling lines depart from AVIRAD to connect to different instruments
146 mounted inside the aircraft cabin: (i) a 3-wavelength nephelometer (TSI Inc., model 3563) for the
147 measurement of the dry particle volume total scattering (σ_s) and hemispherical backscattering (σ_{bs})
148 coefficients at 450, 550, and 700 nm; (ii) a 7-wavelengths aethalometer (Magee Sci., model AE31)

149 for the measurement of the particle absorption coefficient (σ_a) at 370, 470, 520, 590, 660, 880, and
150 950 nm; (iii) an optical particle spectrometer (GRIMM Inc., model 1.129) for the measurement of
151 the particle number concentration over 32 size classes between 0.3 and 32 μm in diameter; (iv) a
152 Condensation Particle Counter (CPC, TSI Inc., model 3775) for the measurement of the total
153 particle number concentration in the diameter range 0.004-3.0 μm ; and (v) 3 lines for aerosol
154 sampling on filter membranes and a 4-stage cascade impactor (Dekati Inc) to measure the bulk and
155 size-segregated particle composition. In addition, the ATR-42 was equipped with a Passive Cavity
156 Aerosol Spectrometer Probe (PCASP, model 100X) optical particle spectrometer for the
157 measurement of the aerosol number concentration over 31 size classes between 0.1–3.0 μm . The
158 PCASP was installed outside the cabin on the left side of the aircraft fuselage.

159 In this study we consider measurements of the (i) aerosol scattering coefficient from the
160 nephelometer, (ii) particle concentration from the CPC and PCASP instruments (GRIMM data are
161 not considered since they are available only below ~ 350 m during TRAQA), (iii) CO and O₃ trace
162 gases from the MOZART analyser, and (iv) meteorological parameters from the ATR-42 sensors. A
163 more detailed description of the nephelometer, CPC, PCASP, and MOZART measurements and
164 their data analysis is provided in the following sections.

165 The present analysis is based only on measurements obtained in cloud free conditions.

166

167 **3.1 Aerosol scattering coefficient**

168 A three-wavelength integrating nephelometer has been used to measure the dry particle volume
169 total scattering (σ_s) coefficient at 450, 550, and 700 nm. The sampling flow rate was 30 l min⁻¹.
170 Data were acquired at 6 s resolution during TRAQA and 1 s resolution during SAFMED. The
171 instrument was calibrated prior to each campaign with free-particle air and CO₂ as gases of low and
172 high known scattering coefficient. Nephelometer measurements have been corrected for angular
173 truncation and Lambertian non-idealities by applying the formulae by Anderson and Ogren (1998).

174 The measurement uncertainty on σ_s is calculated taking into account for the photon counting, gas
175 calibration, and angular corrections uncertainties (Anderson et al., 1996; Anderson and Ogreen,
176 1998). The total uncertainty on σ_s is estimated to be lower than 10% at the three wavelengths.

177 The nephelometer measured the scattering coefficient in dry air conditions. This is due to the
178 heating of the airflow while entering the aircraft cabin and the temperature in the cavity of the
179 instrument. The relative humidity measured during the flights inside the nephelometer was <25% in
180 more than ninety percent of cases, with values up to ~40% occasionally observed at very low
181 altitudes (<200 m) over the sea surface. A possible underestimation of the scattering coefficient
182 may thus occur in case of hygroscopic aerosols, especially under high relative humidity conditions
183 in the atmosphere.

184 The particle scattering Ångström exponent (α_s) has been calculated from spectral nephelometer
185 measurements with a power-law fit of the measured scattering coefficients versus wavelength.

186

187 **3.2 Aerosol particle number concentration**

188 The vertical profiles of the total particle number concentration in the Aitken (dN_{Aitken} , 0.004-0.1
189 μm), accumulation (dN_{Acc} , 0.1-1.0 μm) and coarse (dN_{Coarse} , >1.0 μm) modes have been obtained
190 by combining CPC and PCASP data. The CPC and the PCASP measured at a sample flow of 1.5
191 and 0.06 l min^{-1} , respectively, and with a time resolution of 1 s for the PCASP and 5 s and 1 s for
192 the CPC during TRAQA and SAFMED, respectively.

193 The PCASP was factory calibrated with monodisperse polystyrene sphere latex (PSL) whose
194 complex refractive index at the instrument operating wavelengths (632.8 nm) is 1.59-0i. The
195 measured sphere-equivalent optical diameter has been converted to a sphere-equivalent geometrical
196 diameter (D_g) by taking into account the complex refractive index of the sampled aerosol (Liu and
197 Daum, 2000). Given that in the very large majority of cases the aerosol sampling during TRAQA

198 and SAFMED was associated to the export of pollution plumes, only pollution aerosols have been
199 considered for PCASP correction. Note that these data are not optimized for dust or marine aerosol
200 observations. A large interval of values ($n \sim 1.50-1.72$, $k \sim 0.001-0.1$ for UV-visible wavelengths) are
201 reported in the literature for the real and the imaginary parts of the refractive index for
202 anthropogenic aerosols over Europe (e.g., Ebert et al., 2002 and 2004; Müller et al., 2002; Mallet et
203 al., 2003 and 2011; Chazette et al., 2005; Raut and Chazette, 2008). For our calculations at 632.8
204 nm we have fixed the imaginary part of the refractive index to 0.01, thus representing a mean
205 absorbing aerosol, and then we have varied the real part between its minimum (1.50) and maximum
206 (1.72) reported value. D_g is then set at the mean \pm one standard deviation of the values obtained for
207 the different values of n . We assume in these calculations that the refractive index does not vary
208 with height. After refractive index correction the D_g range for the PCASP becomes 0.10-4.47 μm ,
209 with an uncertainty between 1 and 25%. The smallest and the largest size bins of the PCASP, for
210 which the minimum and maximum edges respectively are not defined, have been excluded from the
211 datasets, thus reducing the PCASP D_g range to 0.11-4.17 μm .

212 Once corrected for the refractive index, PCASP data have been combined with those from the CPC
213 to calculate dN_{Aitken} , dN_{Acc} , and dN_{Coarse} . Values for dN_{Acc} and dN_{Coarse} are obtained by integrating
214 the PCASP number concentrations in the 0.1-1.0 μm and 1.0-4.17 μm ranges, while dN_{Aitken} is
215 estimated as the difference between CPC concentration and the integral of PCASP data between 0.1
216 and 3.0 μm . The comparison between the PCASP and the GRIMM below 350 m altitude indicates
217 that the former underestimates by about 50% the aerosol concentration in the range 0.4-1.0 μm (the
218 accuracy of the GRIMM has been verified by optical closure study against simultaneous aircraft
219 nephelometer measurements). This is estimated to induce a $\sim 20\%$ underestimation of the dN_{Acc}
220 calculated here. Conversely, the PCASP underestimation in the 0.4-1.0 μm range has almost a
221 negligible impact on dN_{Aitken} .

222 CPC measurements, and so dN_{Aitken} data, were not available during SAFMED flights V49, V50, and
223 part of V51.

224

225 **3.3 Trace gases**

226 Carbon monoxide (CO) and ozone (O_3) mixing ratios were measured by the MOZART instrument
227 described in detail by Nedélec et al. (2003). CO is a long-lived tracer for air masses influenced by
228 combustion processes, whereas O_3 in the troposphere is a photochemical product of the oxidation of
229 CO and volatile organic compounds (VOCs) in the presence of nitrogen oxides (NO_x). CO and O_3
230 are measured at a resolution of 30 s and 4 s, respectively. The nominal uncertainty is $\pm 5\%$ for CO
231 and $\pm 2\%$ for O_3 (Nedélec et al., 2003). However, a recent airborne intercomparison in May 2014 in
232 the framework of the French ChemCalInt project and the TGOE European Joint Research Activity
233 has suggested a greater uncertainty (up to 30%) on CO measurement by MOZART on-board the
234 ATR-42 (A. Borbon, personal communication, 2015). Trace gas analysis will focus mostly on the
235 vertical distribution of the $\Delta O_3/\Delta CO$ ratio rather than absolute concentrations (see section 5.3) and
236 the uncertainty on CO should not affect data interpretation.

237

238 **3.4 STP conversion**

239 In order to compare measurements obtained at different altitudes the data presented here are
240 reported at standard temperature and pressure (STP) using $T=293.15$ K and $p=1013.25$ hPa (NIST,
241 National Institute of Standards and Technology, values). Hence, the scattering coefficient is scaled
242 to STP conditions and the particle concentrations are given as particles per standard cm^{-3} (scm^{-3}).
243 For a generic parameter x measured at the temperature T and pressure p , the conversion at STP is
244 calculated with the formula:

245
$$x(\text{STP}) = x(T, p) \frac{T}{293.15} \frac{1013.25}{p} \quad (1).$$

246 CO and O₃ do not need to be corrected for STP since the mixing ratio does not depend on
247 temperature and pressure.

248

249 **3.5 Meteorological parameters**

250 The vertical profiles of the pressure (p), the temperature (T), the relative humidity (RH) and the
251 wind components towards the east and the north (U, V) measured on board the ATR-42 have been
252 used to analyse the atmospheric structure during flights. Starting from the measured parameters the
253 potential temperature (θ) has been also calculated as $\theta = T(p_0/p)^{0.286}$ with $p_0=1013.2$ mbar. For
254 each profile the height of the marine aerosol boundary layer (MABL) and planetary boundary layer
255 (BL) has been estimated visually by looking at the vertical gradients of T, θ , and RH.
256 Meteorological parameters have been also used to calculate the vertical profiles of the gradient
257 Richardson number (Ri):

258
$$\text{Ri} = \frac{g}{\theta} \frac{\partial \theta}{\partial z} / \left(\left(\frac{\partial U}{\partial z} \right)^2 + \left(\frac{\partial V}{\partial z} \right)^2 \right) \quad (2).$$

259 In Eq. (2) g is the gravitational acceleration and z is the height. The Ri number is the ratio between
260 the buoyancy force and the wind shear and it is used to indicate dynamic stability and the formation
261 of clear air turbulence. Turbulence can develop when Ri is below the critical threshold $\text{Ri}_{\text{crit}}=0.25$,
262 while it is inhibited for $\text{Ri}>1$ (e.g., Wallace and Hobbs, 2006). In this study the profiles of Ri are
263 used to provide indications of favorable/unfavorable conditions for the development of turbulent
264 conditions within the atmosphere.

265

266 **3.6 Tracking the air mass back-trajectories**

267 The Lagrangian trajectory model FLEXTRA (FLEXible TRAjectories, Stohl et al., 1995) has been
268 used in selected cases to track the origin of sampled air masses. Five days three-dimensional back-
269 trajectories have been calculated using the ECMWF (European Centre for Medium-Range Weather
270 Forecast) operational analysis with a 0.5° by 0.5° horizontal resolution and up to 30 vertical model
271 levels below 4000 m. The model specific humidity and potential vorticity is also interpolated along
272 the trajectory path.

273

274 **4. Meteorological conditions, aerosol load, and pollution export regimes**

275 In order to characterize the general aerosol conditions encountered over the Western Mediterranean
276 basin during the TRAQA and the SAFMED campaigns we have plotted the time-series of the
277 aerosol optical depth (τ , ± 0.02) at 440 nm and the 440-870 nm Ångström exponent (α) measured
278 with a Cimel sunphotometer (Holben et al., 1998) at the three AERONET stations of Barcelona,
279 Frioul, and Ersaa located along the coast around the Western basin (see Fig. 1). Level 1.5 cloud-
280 screened data are used in this study. Data are shown in Fig. 2 and correspond to the period of the
281 campaign of measurements plus 10 days before and after. Table 1 reports the date, location, and
282 main meteorological and export conditions encountered during TRAQA and SAFMED flights.

283 Over the analysed AERONET sites the aerosol optical depth was below 0.2 before the beginning of
284 the TRAQA campaign and increased, especially at Barcelona and Ersaa, to ~ 0.3 - 0.5 (with $1 < \alpha < 2$) in
285 the periods 23-26 June and 3-13 July 2012. Isolated peaks of τ were measured in correspondence of
286 two Saharan dust intrusion events which occurred on the 17-23 June ($\tau \sim 0.6$) and 29 June 2012
287 ($\tau \sim 1.4$). Different wind regimes occurred during TRAQA and favoured the continental outflow
288 from different regions located around the basin. Two examples of wind maps derived from WRF-
289 Chem model (Grell et al., 2005) at 925 mbar are shown in Fig. 3 for 26 June and 3 July 2012. Main
290 observed meteorological/export conditions can be summarized as follows: (i) on 26-27 June

291 north/north-westerly winds blew across northern Italy determining an air mass outflow towards the
292 Gulf of Genoa (measurements on flights V19-V21); (ii) on the same days a strong Mistral-
293 Tramontane episode (i.e., strong northerly winds developing along the Rhône and Aude valley
294 which bring a northerly/north-westerly flow over the Western Mediterranean, see Fig 3a) favoured
295 the dispersion of pollutants towards the central part of the Western basin. Measurements during the
296 event were performed during flight V20; (iii) on 3-4 July the wind regime was dominated by
297 westerly/south-westerly winds mostly blowing at the surface across the Iberian Peninsula and
298 southwestern France (see Fig. 3b). This condition allowed measuring the export of pollution from
299 the Spanish coasts, in particular close to the area of Barcelona (flights V24-V25-V26, see Fig. 1).
300 Additionally, flight V31 sounded the atmospheric structure close to the Spanish coasts reaching the
301 southern urban area of Valencia. The flight was performed one week later (10 July) under a similar
302 meteorological condition characterized by south-westerly winds favouring the export from the
303 Iberian Peninsula towards the basin; (iv) Mistral episodes occurred on the 6-7 and 11 July 2012. In
304 those cases the Mistral wind combined with a persistent westerly flow thus yielding pollution
305 export towards the central and central-eastern part of the Western basin, as measured during flights
306 V27-V28-V30-V32; (v) finally, Saharan dust aerosols were sampled during flights V16 and V20
307 (episode of the 17-23 June) and flights V22 and V23 (episode of the 29 June).

308 During SAFMED the meteorological conditions were more stable and two distinct phases were
309 observed: (i) a stable anticyclone affected the whole Western Mediterranean area during the first
310 half of July until the 26th, thus possibly favouring a more pronounced accumulation of
311 photochemical pollution in this part of the basin. Relatively high values of both τ (~0.2-0.8) and α
312 (~1-2.5) were measured at the three sites of Barcelona, Frioul, and Ersa in this period; (ii) a
313 cyclonic system moving from the Atlantic region towards Europe then affected the Western basin
314 on 28-29 July 2013. Very clean conditions ($\tau < 0.1-0.2$) were measured afterwards over the entire
315 region until the end of the SAFMED campaign. Winds were mostly westerly/south-westerly in the

316 first period of the campaign (24-29 July 2013, flights V46, V47, V48, V49, V50), which means that
317 the sampled air flow came mostly from the sea. Then, from 30 July to 1 August 2013 a north-
318 easterly flow affected the SAFMED investigated area thus promoting the export of pollution from
319 Northern Italy towards the Gulf of Genoa (flights V51, V52). A strong Mistral event (29 July-1
320 August) and two Saharan dust outbreaks (27-28 July and 1 August) affected the Western basin,
321 however not influencing the vertical profile observations during SAFMED.

322 In order to identify the distribution of observations during TRAQA and SAFMED as a function of
323 the aerosol type we have plotted in Figure 4 the distribution of the measured scattering coefficient
324 σ_s at 450, 550, and 700 nm as a function of the calculated scattering Ångström exponent α_s for all
325 vertical profiles. The plot shows a similar scattering intensity between cases dominated by coarse
326 particles ($\alpha_s < 0.5-1.0$), such as desert dust, and those dominated by fine particles ($\alpha_s > 1.0-1.5$), such
327 as pollution aerosols. For both dust and pollution σ_s peaks at about $100-120 \text{ Mm}^{-1}$. The frequency of
328 occurrence of α_s shows that pollution plumes represent the large majority of the cases observed,
329 with more than 70% of measurements with $\alpha_s > 1.0$.

330

331 **5. Results**

332 Figure 5 shows the box and whisker plots of the aerosol scattering coefficient σ_s at 450, 550, and
333 700 nm, particle number concentration in the Aitken (dN_{Aitken}) and accumulation (dN_{Acc}) diameter
334 ranges, and CO and O₃ measured in the boundary layer (BL) and in the free troposphere (FT) within
335 pollution plumes for all the different vertical soundings analysed in this study. This plot summarizes
336 the range of values observed during TRAQA and SAFMED. On average, the scattering coefficient
337 and CO are larger in the BL compared to the FT, whilst similar ranges of values are measured in the
338 two regions for dN_{Aitken} , dN_{Acc} , and O₃. Even within the single BL and FT the different parameters
339 show a large variability that will be explored in the following paragraphs.

340

341 **5.1 Vertical profiles of aerosol concentration and scattering coefficient**

342 Figure 6 shows the vertical profiles of σ_s , dN_{Acc} , and dN_{Coarse} during TRAQA and SAFMED flights.
343 The date, time and coordinates of each profile, as well as the heights of the top of the marine and
344 planetary boundary layer (MABL and BL) estimated from meteorological data are also indicated in
345 the plot.

346 For the different vertical soundings the particle concentrations dN_{Acc} and dN_{Coarse} vary in the range
347 $\sim 30\text{-}3200\text{ scm}^{-3}$ and $\sim 0.05\text{-}40\text{ scm}^{-3}$, respectively, for plumes with σ_s between 10 and 120 Mm^{-1} .
348 The structure in the scattering profile is generally mirrored in dN_{Acc} profile, and this also reflects the
349 pronounced spectral variability (i.e., decrease for increasing wavelength) of the scattering
350 coefficient, typical of pollution/anthropogenic particles. dN_{Coarse} also contributes to the scattering
351 signal in some cases especially at high altitudes (see V16, V20, V21, V22, and V23 above ~ 2000
352 m), and this reflects the low spectral variability of the scattering coefficient. These observations are
353 associated to the dust intrusion episodes which occurred in the Western Mediterranean basin during
354 TRAQA, which however will not be analysed in detail here. Aerosol layers affected by dust have
355 been labelled with a “D” in Fig. 6.

356 Maxima of the scattering coefficient have been measured for TRAQA flights V21 and V23 (~ 120
357 Mm^{-1} for pollution in the BL and $\sim 100\text{ Mm}^{-1}$ in the dust layer), whereas flights V46-V48-V49,
358 during the first and more polluted phase of SAFMED, are the richest in dN_{Acc} ($1500\text{-}3000\text{ scm}^{-3}$
359 over the whole column). Minima of σ_s and dN_{Acc} are obtained for flight V51 at the beginning of the
360 second SAFMED phase when clean conditions were observed in the Western Mediterranean.

361 Pollution plumes observed in the different flights extend from the boundary layer to the free
362 troposphere up to 3000-4000 m altitude. The vertical structure of the aerosol scattering
363 coefficient/particle concentration is linked to the variability of the atmospheric thermodynamic
364 structure and is generally characterized by a first layer confined in the MABL ($<400\text{ m}$, profiles
365 V16, V20, V22, V25, V48, V51), followed by one or more layers within the BL. In the FT pollution

366 particles occur both as single isolated plumes each about 500-1000 m deep (V21, V24, V25, V30,
367 V46, V49), or as a more uniform layer extending from the top of the BL up to 2500-4000 m altitude
368 (V26, V27, V28, V32, V48). The highest values of both the scattering coefficient and dN_{Acc} for
369 pollution are found within the MABL or BL in most cases, while a local minimum of σ_s and dN_{Acc}
370 is generally identified at the top of the BL. The scattering coefficient and the particle concentration
371 measured in the FT are comparable with the values observed in the BL, and in few cases even larger
372 (V25, V26, V30). Only in one case (profile V31) σ_s and dN_{Acc} decrease monotonically with height.
373 The aerosol vertical distribution, both in the BL and in the FT, often presents a strongly stratified
374 structure characterized by the presence of several thin sub-layers within one main identified aerosol
375 plume, as it can be seen in particular in the dN_{Acc} profiles (V20, V21, V22, V25, V46, V49).

376 The particle concentration in the Aitken mode (0.004-0.1 μm ; dN_{Aitken} , not shown in Fig. 6) is
377 generally below 5000-6000 scm^{-3} at all altitudes up to 4000 m within pollution plumes. dN_{Aitken} is
378 correlated with dN_{Acc} in most of the observed cases, which indicates the common source of particles
379 in these two size ranges. Few layers exceeding $\sim 10000\text{-}15000 \text{ scm}^{-3}$ are observed occasionally both
380 in the BL and in the FT. These will be discussed in more detail in Sect. 5.4.

381 The dN_{Acc} and dN_{Aitken} measurements within the BL and in the FT over the sea are comparable with
382 the values measured close to the surface at continental sites under pollution conditions (see Table 2)
383 (Petzold et al., 2002; Mallet et al., 2003 and 2005; Wiegner et al., 2006; Junkermann, 2009;
384 Hamburger et al., 2012; Highwood et al., 2012). This suggests that the export towards the basin
385 favours the redistribution of the pollution plumes along the vertical. Because of mixing in the BL,
386 measured concentrations within the BL can be as high as those observed close to the surface over
387 the continents. Values of dN as high as in the BL are observed in the FT because of transport in
388 specific conditions, as discussed below.

389 The observations of aerosol profiles obtained during TRAQA and SAFMED are representative of
390 the complex transport regimes which characterizes the export towards the Western basin and that is

391 mostly determined by the interaction between regional meteorology and local dynamics (e.g.,
392 Gangoiti et al., 2001). A first example is associated to the measurements in the area of Barcelona.
393 As discussed in Pérez et al. (2004) the presence of mountains up to ~500-3000 m altitude a few
394 kilometres inland favours, during summertime, the recirculation of pollutants along the coasts of
395 Spain. In these cases, the aerosols emitted at the surface in coastal areas are transported inland and
396 uplifted by sea breezes and mountain winds then the plumes are re-injected at different altitudes and
397 distances from the coast. During the TRAQA flights V24, V25, and V26, under the influence of
398 pollution outflow from the Barcelona area, we detected the presence of aerosol layers with elevated
399 concentrations ($dN_{Acc} \sim 2000-3000 \text{ scm}^{-3}$) up to 3500 m altitude at a distance of ~30 to 250 km from
400 the coast of Spain. Another example of complex dynamics linked to coastal orography is that
401 associated to the export from northern Italy and the Po Valley towards the Gulf of Genoa. The
402 presence of the Apennine Mountains close to the Ligurian coasts (max elevation ~1500-2000 m)
403 causes the uplift of continental air masses so determining the injection of aerosol plumes at different
404 altitudes both inside and outside the BL. Examples are given by flights V19, V21 and V52 for
405 which pollution aerosols from northern Italy are measured up to ~2000-3000 m altitude throughout
406 the Gulf of Genoa. Finally, another meteorological condition which largely influences the aerosol
407 export and distribution over the Western Mediterranean is the Mistral/Tramontane wind regime.
408 Under the influence of the Mistral flow, atmospheric aerosols can be dispersed as far as hundreds of
409 kilometres over the open sea, as discussed by Salameh et al. (2007). Examples are given in profiles
410 V20 and V28, performed at more than 100 km from the French coasts, for which pollution layers
411 associated to a Mistral flow are measured up to 2000-3000 m altitude.

412

413 **5.2 Trace gases vertical profiles**

414 Figure 7 shows O₃ versus CO for all TRAQA and SAFMED flights, while examples of CO and O₃
415 profiles representatives of different conditions are reported in Fig. 8 and 10.

416 CO and O₃ vary in the range 60-165 ppbv and 30-85 ppbv, respectively. The 25th and 75th
417 percentiles are 87 and 105 ppbv for CO and 49 and 62 ppbv for O₃, representative of moderate
418 pollution conditions (i.e., Parrish et al., 1998). By comparison, the values measured over land in
419 central Italy during flight V49 are in the range 80-180 ppbv for carbone monoxide and 40-85 ppbv
420 for ozone. CO and O₃ are generally correlated (correlation coefficient R²~0.5-0.8) within measured
421 pollution plumes, and also correlated with σ_s and N_{Acc} both in the BL and in the FT, which indicates
422 photochemically active plumes. CO is generally higher in the BL, and shows absolute maxima in
423 the lowest levels (V20, V21, V24, V28, V46), then it decreases in the FT. Ozone presents a more
424 complicated vertical structure due to the different photochemical and dynamical processes which
425 control its formation and distribution. At first, local peaks of O₃ correlated with CO are observed in
426 correspondence of pollution plumes both in the BL and in the FT. An absolute maximum of O₃ is
427 sometimes found near the top of the BL (V24, V25, V30) possibly due to aged air masses trapped in
428 the boundary layer. Isolated peaks of O₃ (~75-80 ppbv) not correlated with aerosols and CO are also
429 measured in few cases above 3000-3500 m (V21, V25, V27, V28, V52). The analysis of back-
430 trajectories indicates that these high-altitude ozone layers are associated to the descent of air masses
431 travelling at about 7-8 km, which thus may suggest a downward transport from the upper
432 troposphere or the tropopause region due to a stratosphere-troposphere exchange (Ancellet and
433 Ravetta, 2005). Finally, absolute minima of O₃ (~15-30 ppbv) are measured within the dust layers
434 during flights V20 and V21, maybe related to the dust/ozone heterogeneous reactions which leads
435 to O₃ destruction, as documented in several studies (Bonasoni et al., 2004; Haywood et al., 2011).

436

437 **5.3 $\Delta O_3/\Delta CO$ and dN_{Aitken}/dN_{Acc} ratios and variability of pollution plume composition**

438 Using the O₃, CO, dN_{Aitken} and dN_{Acc} measurements we have estimated:

- 439 - the O₃-CO enhancement ratio ($\Delta O_3/\Delta CO$), i.e. the ratio of the ozone to carbon monoxide
440 variations compared to their baseline values. The $\Delta O_3/\Delta CO$ enhancement ratio is frequently

441 used to estimate the efficiency of O₃ formation and its export (Parrish et al., 1993; Zhang et
442 al., 2006). From our observations (Fig. 7) we have estimated a background value of ~70
443 ppbv in the BL and 60 ppbv in the FT for CO and ~30 ppbv for O₃ both in the BL and in the
444 FT.

445 - The Aitken to accumulation number ratio ($dN_{\text{Aitken}}/dN_{\text{Acc}}$), which defines the relative
446 importance of particles in the Aitken and accumulation modes. dN_{Aitken} is generally
447 associated to gas-to-particle conversion and nucleation events and is higher in fresh plumes,
448 while it decreases with the increasing of the plume lifetime due to coagulation or
449 condensation of water-soluble chemical species on the particle surface (Kulmala et al.,
450 2004).

451 The combination of $\Delta O_3/\Delta CO$ and $dN_{\text{Aitken}}/dN_{\text{Acc}}$ has been used to retrieve additional information
452 on the atmospheric vertical structure, layering, and particle aging.

453 Within detected pollution plumes the ΔCO and ΔO_3 reach up to 100-120 ppbv and 45-55 ppbv,
454 respectively, with a corresponding $\Delta O_3/\Delta CO$ ratio which varies in the range ~0.10-2.0 for all cases.

455 The $\Delta O_3/\Delta CO$ ratio is highly noisy and this is due in part to the noise in the CO data, and in part
456 also possibly associated to the slight mismatch between CO and O₃ caused by their different time
457 resolution (30 s for CO and 4 s for O₃). The values of $\Delta O_3/\Delta CO$ obtained in this study are
458 comparable with the range of observations available in the literature for fresh and moderately aged
459 pollution plumes in the BL and in the lower FT (~0.2-1.0) (Chin et al., 1994; Parrish et al., 1998;
460 Zhang et al., 2006; Cristofanelli et al., 2013). $dN_{\text{Aitken}}/dN_{\text{Acc}}$ is between about 1 and 20 in most of
461 pollution cases, which indicates the presence of both fresh layers rich in Aitken particles and aged
462 plumes poor in Aitken particles. Extremely high values of $dN_{\text{Aitken}}/dN_{\text{Acc}}$ (~50-200) are measured in
463 few cases in layers with very low dN_{Acc} concentrations.

464 The large variability in $\Delta O_3/\Delta CO$ and $dN_{\text{Aitken}}/dN_{\text{Acc}}$ indicates a strong heterogeneity in terms of
465 composition and lifetime for the different observed plumes. This heterogeneity reflects the

466 complexity in terms of sources, production processes, and transport mechanisms which
467 characterizes the Western basin. In order to illustrate this point, we have selected three examples
468 representative of different conditions observed in different areas of the basin: (i) V19, performed in
469 the Gulf of Genoa in correspondence of continental outflow events from Northern Italy/Po Valley;
470 (ii) V20, performed in Southern France during a Mistral event; (iii) V24, which measured the export
471 of pollution from the area of Barcelona. The vertical profiles of the spectral scattering coefficient σ_s ,
472 temperature T, relative humidity RH, dN_{Acc} , dN_{Aitken} , CO, O₃, $\Delta O_3/\Delta CO$, dN_{Aitken}/dN_{Acc} and wind
473 are reported in Fig. 8 for these cases.

474 *1. V19: export from northern Italy/Po Valley.* The profile shown for flight V19 (Fig. 8a) is
475 characterized by the presence of three different aerosol structures: the first one below 800 m,
476 characterized by a lower dN_{Aitken}/dN_{Acc} (~1-5) and relatively high $\Delta O_3/\Delta CO$ (~0.4-1.5), possibly
477 associated to moderately aged pollution; the second one between 800 and 2600 m, richer in fine
478 particles (dN_{Aitken}/dN_{Acc} ~5-15), so possibly linked to fresher emissions; and the third one above
479 2600 m, where the ratio dN_{Aitken}/dN_{Acc} rises rapidly, as will be further discussed in Sect. 5.4. The
480 export of fresh pollution at 800-2600 m from northern Italy as observed in V19 may be related to
481 the peculiar orography of this region and the uplift of continental air masses. This is confirmed by
482 the analysis of the back-trajectories (Fig. 9) which indicates that the air masses arriving at 1000 and
483 2000 m passed over the western Po Valley at an altitude of about 400-1200 m and were then
484 uplifted near the Ligurian coast to enter the basin above the BL. Junkermann (2009) measured high
485 levels of fine particles up to about 2000 m in the western Po Valley, which means that the altitudes
486 of 400-1200 m reached by our investigated air masses could have been sufficient for them to collect
487 fresh emitted particles along their path. Conversely, below 800 m the air mass trajectory shows a
488 longer subsidence over the sea surface in the troposphere which has possibly favoured the advection
489 of more aged plumes, or the mixing with sea salts thus inducing the decrease of the dN_{Aitken}/dN_{Acc}
490 ratio. It should be noted that the aerosol layer in the FT also shows relatively higher values of the

491 $\Delta O_3/\Delta CO$ ratio ($\sim 0.6-1.0$) compared to the more aged plume in the BL. The enhanced amount of O_3
492 in this air mass can be linked to a high concentration of volatile precursors which may have
493 favoured the build-up of ozone during the plume evolution. In a recent work, Kaiser et al. (2014)
494 suggest that in the Po Valley the high content of formaldehyde, also observed by Junkermann et al.
495 (2009), may be responsible for the excess of O_3 production. Fresh layers in the FT up to $\sim 2000-$
496 3000 m possibly associated to pollution export from northern Italy have been also observed during
497 flights V21 and V52 (not shown).

498 2. *V20: export during a Mistral/Tramontane event.* V20 provides an example of export during a
499 Mistral/Tramontane event. As shown in Fig. 8b, winds from the northwest direction are measured at
500 all altitudes during flight V20. The aerosol profile in the BL is characterized in the first ~ 400 m by
501 the presence of a layer richer in dN_{Aitken} ($dN_{Aitken}/dN_{Acc} > 20$) and CO (100 ppbv close to the surface;
502 CO data not available between 150 and 650 m) possibly linked to fresh pollution, followed by the
503 alternation of several layers characterized by a variable dN_{Aitken} ($1000-6000 \text{ cm}^{-3}$) and lower CO
504 (~ 70 ppbv). A local minimum of dN_{Aitken} and σ_s is found at ~ 400 m. For all these layers the O_3 is
505 very low ($\sim 30-40$ ppbv) and the $\Delta O_3/\Delta CO$ ratio is $< 0.6-0.8$. At higher altitudes, between 1400 and
506 2000 m, we observe a layer enriched in O_3 ($\Delta O_3/\Delta CO \sim 1-2$) in correspondence of an almost aerosol-
507 free region. This enriched ozone layer might be possibly associated to a downward transport from
508 higher tropospheric layers, as also suggested by the back-trajectories (Fig. 9), as well as to the
509 mixing with ozone rich layers along the air mass trajectory. Larger particles, from long-range
510 transport of Saharan dust at latitudes below 30° N, are measured between 2000 and 3000 m, with a
511 minimum of O_3 ($\sim 15-20$ ppbv) registered within the layer. Several other flights were performed
512 during Mistral/Tramontane episodes (V27, V28, V30, V32) and show, similarly to V20, the
513 presence of several layers both in the BL and the FT.

514 3. *V24: export from the Barcelona area.* Measurements during V24 may be taken as representative
515 of local recirculation (Pérez et al., 2004). In the V24 profile in Fig. 8c we may recognize up to 5

516 different aerosol layers. A first layer at <200 m within the MABL, coming from the southwest and
517 directly exported from the area of Barcelona. The layer is characterized by high CO (90-120 ppbv),
518 and relatively low values of dN_{Aitken} ($\sim 4000 \text{ scm}^{-3}$) and O_3 ($\sim 50 \text{ ppbv}$), which possibly suggest the
519 mixing of pollution with marine particles close to the sea surface. A second layer of fresher
520 particles, always coming from the southwestern direction, is observed above the MABL between
521 200 and 600 m ($dN_{\text{Aitken}} \sim 6000\text{-}8000 \text{ scm}^{-3}$, $\text{O}_3 \sim 70 \text{ ppb}$, with $dN_{\text{Aitken}}/dN_{\text{Acc}} \sim 5\text{-}15$, and
522 $\Delta\text{O}_3/\Delta\text{CO} \sim 0.8\text{-}1.5$). A third, more aged, sublayer ($dN_{\text{Aitken}}/dN_{\text{Acc}} \sim 2\text{-}5$, $\Delta\text{O}_3/\Delta\text{CO} \sim 0.8\text{-}1.0$) is
523 observed within the BL between 600 and 1000 m. The FT is characterized by the presence of
524 moderately aged plumes from ~ 1000 to 2800 m ($dN_{\text{Aitken}}/dN_{\text{Acc}} \sim 2\text{-}10$, $\Delta\text{O}_3/\Delta\text{CO} \sim 0.2\text{-}0.8$), and a
525 very aged plume at 2800-3800 m almost deprived in Aitken particles and richer in O_3
526 ($dN_{\text{Aitken}}/dN_{\text{Acc}} < 1$, $\Delta\text{O}_3/\Delta\text{CO} \sim 0.6\text{-}1.5$). A marked local minimum is observed at the top of the BL
527 for σ_s , dN_{Acc} , dN_{Aitken} , CO, and O_3 , suggesting the presence of air masses with different origin
528 between the BL and the FT. This is also confirmed by the analysis of the back-trajectories (Fig. 9)
529 which indicates a low level air masses coming from the Spanish coasts in the BL, and air masses
530 travelling at higher altitudes in the FT. In particular, the layer at 2800-3800 m is possibly associated
531 to an intercontinental transport from Northern America, as shown in the trajectory ending at 3500
532 m. A similar structure characterized by the alternation of fresher and more aged plumes in the BL
533 and FT is also observed in V25 for which aerosol layers are detected up to 4000 m altitude.

534 The detailed analysis of these three events evidences the complexity of the atmospheric structure
535 over the Western Mediterranean basin in link with the different dynamical processes involved.

536

537 **5.4 Layers with enhanced Aitken mode particle numbers**

538 Isolated layers with $dN_{\text{Aitken}} \sim 10000\text{-}15000 \text{ scm}^{-3}$ have been observed occasionally both in the BL
539 and in the FT. The vertical profiles of dN_{Aitken} for some selected cases are shown in Fig. 10.

540 For about half of the observed events the dN_{Aitken} layer appears related to a simultaneous increase in
541 dN_{Acc} , CO, and O₃, which suggests that the layer has been transported from a region directly
542 emitting in this size range. These cases are: V16 at ~200-400 m, V21 at ~400-800 m, V28 at ~250
543 m, and V31 at ~1000-3000 m (only V28 and V31 are shown in Fig. 10). The most remarkable
544 example is V31 (Fig. 10a), performed close to the coasts of Spain near Valencia, for which the high
545 dN_{Aitken} layer extends from the top of the BL to ~3000 m altitude. The wind vector and the back-
546 trajectories (not shown) indicates that the air mass comes from the western-southwestern direction
547 above 1000 m, so the dN_{Aitken} layer can be directly related to pollution export from the urban region
548 of Valencia.

549 In all the other cases the high dN_{Aitken} layer is generally not related to simultaneous dN_{Acc} and O₃
550 increase. Two of these cases (V16 at ~800-1000 m and V28 at ~100 m) occur in the BL.

551 For the V28 layer (Fig. 10b) the dN_{Aitken} is correlated with CO which might indicate the influence of
552 local emissions close to the surface level (i.e., ship emissions). CO values are relatively high (140-
553 160 ppbv) within the layer. It has been often assumed that new particle formation events (NPF) only
554 occur in almost clean environments (e.g., O'Dowd et al., 2010; Sellegri et al., 2010), and that they
555 are suppressed under polluted conditions. In a recent study, Brines et al. (2014) show the occurrence
556 of NPF events also in urban areas with high level of pollution in the Mediterranean region. So, we
557 explore the possibility of NPF in our observations. Given the size ranges of the CPC and PCASP,
558 however, we cannot discriminate within dN_{Aitken} the particle concentration in the sole 4-20 nm
559 range, i.e. the size range involved in nucleation. So it is not possible to directly associate the V28
560 observations to NPF. In order to obtain a qualitative indication of the possible occurrence of NPF,
561 we have looked at the air mass dynamics within the layer. Several studies suggest, in fact, that NPF
562 might be favoured by turbulence and air mass mixing (e.g., Nilsson et al., 2001; Wehner et al.,
563 2010). We have thus looked at the gradient Richardson number (Ri) which gives information on the
564 atmospheric dynamical stability. Vertical profiles of Ri are also shown in Fig. 10. For V28 the

565 vertical profile of Ri indicates that below 200 m the Ri number is consistently below zero, which
566 suggests well established turbulent conditions possibly favouring NPF in this layer.

567 In other two cases (V19, Fig. 10c, and V26, Fig. 10d), under lower pollution conditions ($CO < 100$),
568 we measured high dN_{Aitken} concentration in correspondence of low dN_{Acc} layers in the FT at ~2800-
569 3000 m for V19 and 3500-4500 m for V26. For V19 and V26 layers, dN_{Aitken} seems anticorrelated
570 to CO. Also in this case the Richardson number is below Ri_{crit} in correspondence of the Aitken peak
571 meaning that conditions are favorable for turbulence within the layer, and this may indicate also in
572 this case the possible role of NPF.

573 Finally, a case of high dN_{Aitken} concentration has been also observed in correspondence of dust
574 particles between ~3000 and 4000 m (V23b, Fig. 10e). This layer can be possibly linked to the
575 photochemically-induced nucleation which may occur in presence of dust and SO_2 as hypothesised
576 in a recent study by Dupart et al. (2012) and observed by Nie et al. (2014).

577

578 **6. Conclusions**

579 The data presented in this paper gives an overview of the distribution of aerosols and trace gases
580 within the tropospheric column up to 5000 m above the Western Mediterranean basin.

581 These data add to the very few available measurements of aerosol and trace gases vertical profiles
582 over the sea surface in the Central (e.g., Junkermann, 2001; Meloni et al., 2003; Di Iorio et al.,
583 2003; Pace et al., 2014) and Eastern (e.g., Formenti et al., 2002; Dulac and Chazette, 2003) parts of
584 the basin thus contributing to improve the description of the atmospheric composition and structure
585 over the whole Mediterranean area.

586 Observations from the present study indicate that continental pollution strongly affects the
587 composition and structure of the Western Mediterranean basin both close to coastal regions and in
588 the open sea. Pollution layers extend up to 250 km far from the coasts and reach up to 3000-4000 m

589 altitude, presenting a complex and highly stratified structure. The measured particle concentration is
590 comparable with the values reported for continental Europe (Petzold et al., 2002; Junkermann,
591 2009; Hamburger et al., 2012).

592 Pollution plumes with different compositions, origins, and lifetimes are observed in link with the
593 different observed dynamical export conditions and meteorological regimes. The aerosol and trace
594 gas observations during TRAQA and SAFMED are consistent with the results of former campaigns
595 and with the interpretation of observed or well known air-masses dynamics and meteorological
596 phenomena that can occur in the Western basin (Flamant and Pelon, 1996; Millan et al., 1997;
597 Gangoiti et al., 2001; Pérez et al., 2004; Mallet et al., 2005).

598 The large heterogeneity in aerosol compositions, origins, and lifetimes as documented in this study
599 can reflect in a large heterogeneity of aerosol optical properties, with consequences for their direct
600 radiative effect in this part of the basin. This aspect will be investigated in a companion paper
601 analysing the TRAQA and SAFMED in situ measurements of the aerosol absorption and scattering
602 properties and their variability.

603 From the present observations, it is also interesting to note the relatively high values of dN_{Aitken}
604 measured both in the BL and the FT, which evidences the important contribution of ultrafine
605 particles at all altitudes over the basin. These can be linked to the different export mechanisms
606 previously discussed, as well as the possible occurrence of NPF events. Aitken particle profiles are
607 very rare over the sea surface in the Mediterranean (e.g., Junkermann et al. 2001; Pace et al., 2015)
608 and data comparison is quite difficult. Few studies have observed NPF in the FT in continental
609 areas (Boulon et al., 2010; Rose et al., 2014) and suggest that the export of pollution into the upper
610 troposphere, as it is common in the Western basin, might promote the occurrence of these events.
611 The observations of the present study may thus also have very large implications due to the crucial
612 role of NPF in controlling the atmospheric cloud condensation nuclei concentration (Spracklen et
613 al., 2008) and the associated aerosol indirect effect on climate.

614

615 **Author contributions**

616 J.-L.A., F.R., G.A., M.B., A.B., P.F. and K.S. designed the TRAQA and SAFMED experiments and
617 coordinated the campaigns. C.G., N.G., and C.D.B operated the instruments on board the ATR-42
618 during the flights. C.D.B. performed the data analysis with contributions from L.D., P.F., F.R.,
619 A.B., G.A., J.-C.R., and M.B.. G.A. performed the FLEXTRA simulations. J.-C.R. performed the
620 WRF-Chem simulations. C.D.B. wrote the manuscript.

621

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640

641

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Measurement campaign	Flight number	Date	Take off-landing time (UTC)	Departure-arrival	Geographic area investigated	Description
TRAQA 2012	V16	20/06/2012	13:12 – 16:34	Toulouse-Toulouse	Gulf of Lion	Test flight
	V17*	22/06/2012	09:01 – 12:54	Toulouse-Toulouse	South-western France (over land) and the Atlantic Ocean	Test flight, biogenic emissions.
	V18*	26/06/2012	07:13 – 09:18	Toulouse-Bastia	Gulf of Genoa	Export of pollution from Northern Italy/Pô Valley, north-westerly winds
	V19	26/06/2012	10:42 – 13:46	Bastia-Toulouse	Gulf of Genoa	Export of pollution from Northern Italy/Pô Valley, north-westerly winds
	V20	27/06/2012	04:07 – 08:00	Toulouse-Nimes	Sea area south of Marseille/Toulon	Export of pollution during a Mistral-Tramontane event
	V21	27/06/2012	09:39 – 13:16	Nimes-Toulouse	Western coast of Corsica	Export of pollution from Northern Italy/Pô Valley, north-westerly winds
	V22	29/06/2012	05:13 – 08:50	Toulouse-Bastia	Eastern coast of Corsica	Dust outbreak
	V23	29/06/2012	10:13 – 14:12	Bastia-Toulouse	Eastern and western coasts of Corsica	Dust outbreak
	V24	03/07/2012	13:19 – 17:12	Toulouse-Toulouse	Sea area north-east of Barcelona	Export of pollution from Barcelona, westerly/south-westerly winds
	V25	04/07/2012	07:18 – 10:54	Toulouse-Toulouse	Sea area south of Marseille/Toulon	Follow of Barcelona pollution plumes
	V26	04/07/2012	15:25 – 18:36	Toulouse-Toulouse	Gulf of Lion	Follow of Barcelona pollution plumes
	V27	06/07/2012	08:00 – 11.55	Toulouse-Toulouse	Sea area south of Marseille	Export of pollution during a moderate Mistral-Tramontane event
	V28	06/07/2012	14:01 – 17:45	Toulouse-Toulouse	Sea area south of Nice/Toulon	Export of pollution during a moderate Mistral-Tramontane event
	V29*	07/07/2012	08:19 – 10:59	Toulouse-Nimes	Southern France (over land)	Biogenic emissions
	V30	07/07/2012	13:03 – 17:10	Nimes-Toulouse	Gulf of Genoa	Export of pollution during a moderate Mistral-Tramontane event
	V31	10/07/2012	13:41 – 17:21	Toulouse-Toulouse	Eastern coast of Spain	Characterization of pollution near coastal

						sources
	V32	11/07/2012	11:23 – 14:48	Toulouse-Toulouse	Southeastern coast of France and Gulf of Genoa	Characterization of pollution near coastal sources
SAFMED 2013	V46	24/07/2013	10:34 – 13:06	Genoa-Cagliari	Gulf of Genoa and eastern coast of Corsica and Sardinia	Characterization of pollution plumes in the Gulf of Genoa, Corsica, and Sardinia; westerly/south-westerly winds
	V47*	24/07/2013	14:21 – 16:29	Cagliari-Genoa	Eastern coast of Corsica and Sardinia and Gulf of Genoa	Characterization of pollution plumes in the Gulf of Genoa, Corsica, and Sardinia; westerly/south-westerly winds
	V48	25/07/2013	13:12 – 16:02	Genoa-Ersa	Gulf of Genoa	Characterization of pollution in the Gulf of Genoa; westerly/south-westerly winds
	V49	27/07/2013	11:08 – 13:07	Genoa-Alghero	Central Italy (over land)	Characterization of pollution in central Italy
	V50*	27/07/2013	15:33 – 16:48	Alghero-Genoa	Eastern coast of Corsica and Gulf of Genoa	Characterization of pollution plumes in the Gulf of Genoa, Corsica, and Sardinia; westerly/south-westerly winds + dust outbreak
	V51	30/07/2013	13:05 – 15:50	Genoa-Ersa	Gulf of Genoa	Characterization of pollution in the Gulf of Genoa; very low north/north-westerly winds
	V52	01/08/2013	12:03 – 15:24	Genoa-Alghero	Western coast of Corsica	Characterization of pollution in western Corsica; export of pollution from Northern Italy/Pô Valley; north-easterly winds

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899 * =No vertical profiles performed during these flights.

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906 **Table 2.** Comparison of the number concentrations dN_{Aitken} (0.004-0.1 μm) and dN_{Acc} (0.1-1.0 μm)
 907 observed during the TRAQA/SAFMED field campaigns with those reported in literature for
 908 continental Europe. All literature data refer to airborne measurements.
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Atmospheric layer	Parameter	TRAQA/SAFMED	Literature over continental Europe
Free troposphere (FT)	dN_{Aitken} (scm^{-3})	0-19250	812-9149 ^b ; 0-980 ^e
	dN_{Acc} (scm^{-3})	34-3233	20-80 ^a ; 25-85 ^c ; 0-500 ^f
Boundary layer (BL)	dN_{Aitken} (scm^{-3})	4-22471	1037-31370 ^b ; 1000-20000 ^c ; 0-30000 ^d ; 0-19000 ^e
	dN_{Acc} (scm^{-3})	90-3215	70-560 ^a ; 10-50 ^c ; 400-1200 ^e ; 0-2000 ^f

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 911 ^a Petzold et al. (2002), Central Europe, July-August 1998; size range dN_{Acc} ($>0.15 \mu\text{m}$)

912 ^b Mallet et al. (2005), Southeastern France, June 2001; size range dN_{Aitken} (0.006-0.6 μm)

913 ^c Wiegner et al. (2006), Germany, May 2003; ; size range dN_{Aitken} ($>0.01 \mu\text{m}$), dN_{Acc} ($>0.3 \mu\text{m}$)

914 ^d Junkermann (2009), Po Valley, July-August 2002 and September-October 2003; ; size range dN_{Aitken} ($>0.01 \mu\text{m}$)

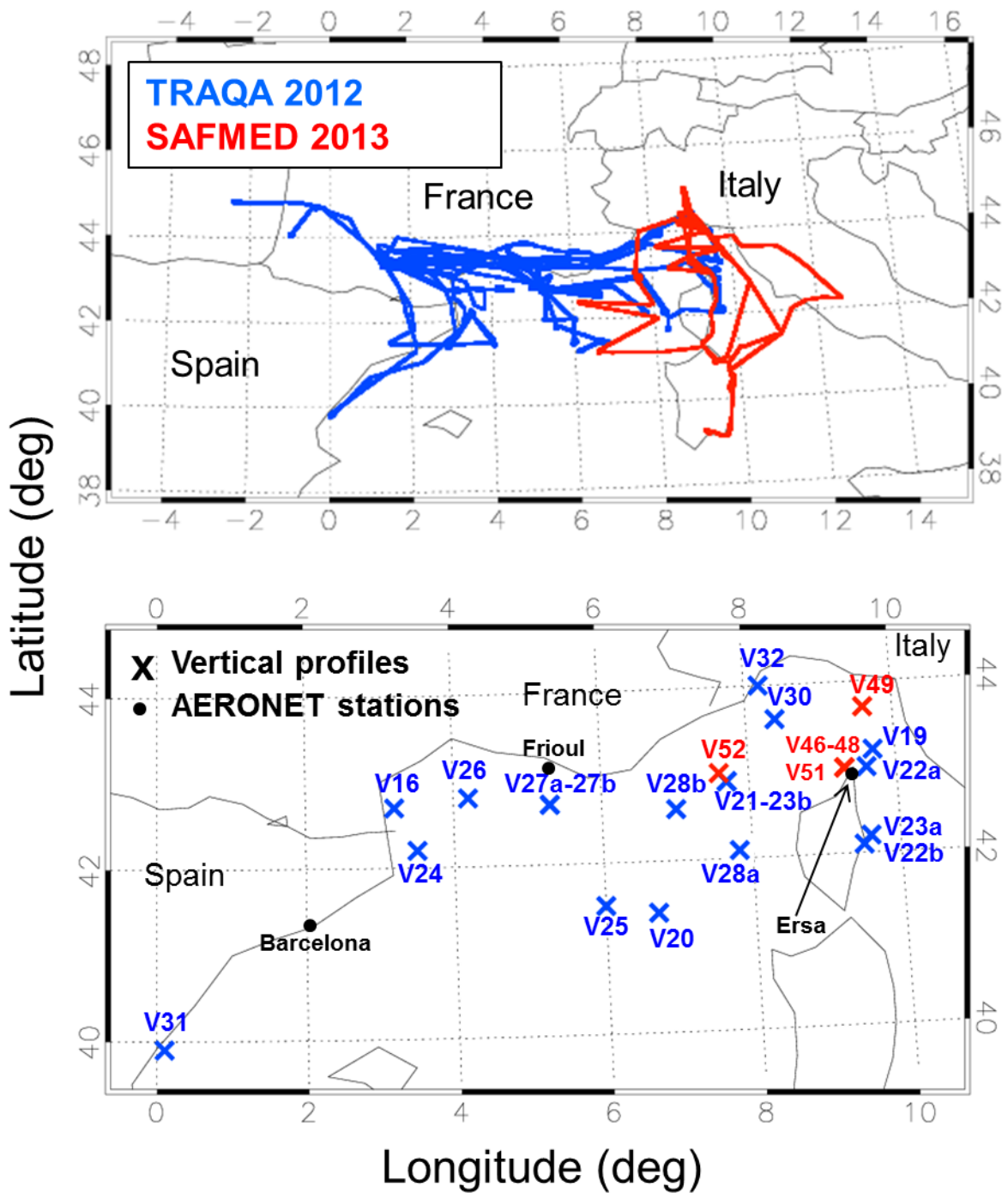
915 ^e Hamburger et al. (2012), central Europe, May 2008; size range dN_{Aitken} (0.004-0.15 μm), dN_{Acc} ($>0.15 \mu\text{m}$)

916 ^f Highwood et al. (2012), central Europe, May 2008; size range dN_{Aitken} (0.004-0.15 μm), dN_{Acc} ($>0.15 \mu\text{m}$)

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938 **Figures**

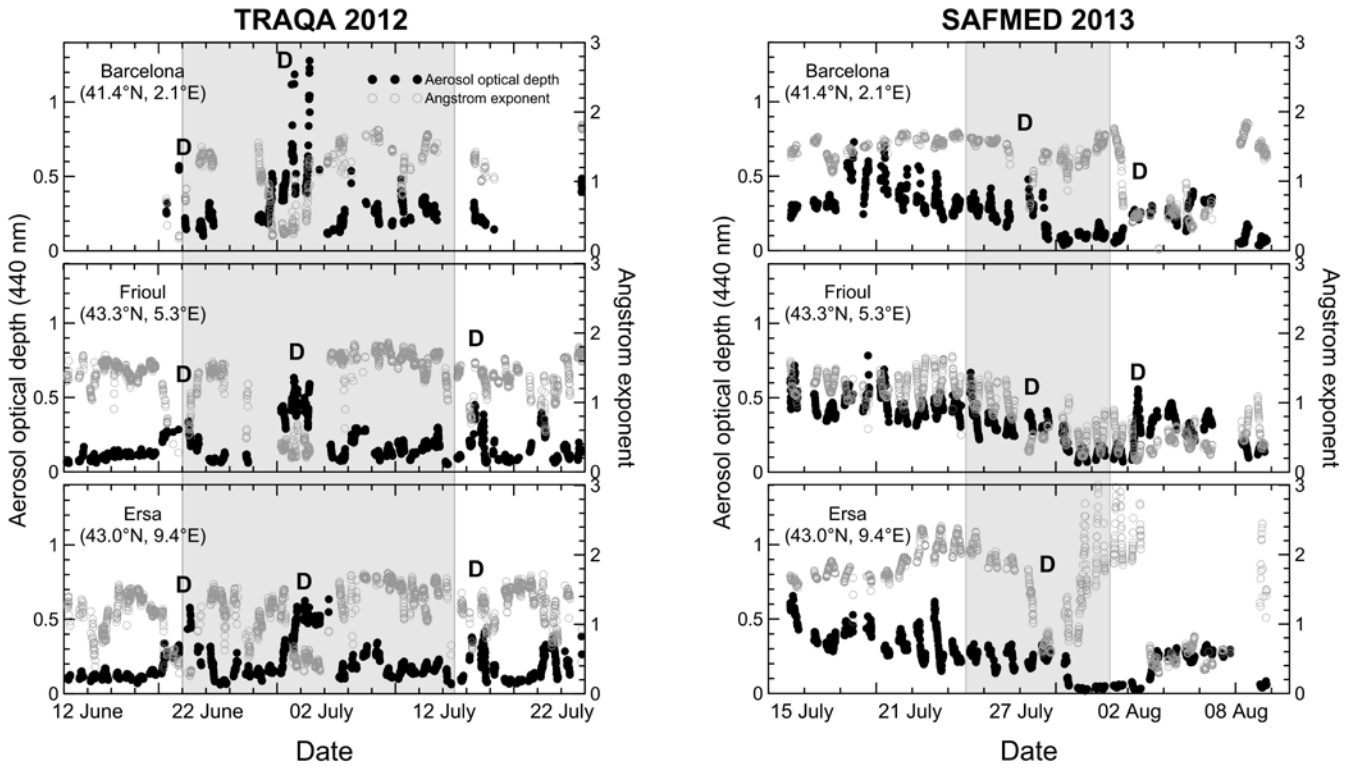
939 **Figure 1.** (Upper panel) Flight trajectories of the TRAQA (20 June - 13 July 2012) and the
940 SAFMED (24 July - 1 August 2013) campaigns. The aircraft was based in Toulouse (43°36'N,
941 1°26'E, France) during TRAQA and in Genoa (44°24'N, 8°55'E, Italy) during SAFMED. (Lower panel)
942 Zoom on the investigated area and geographical position of the different vertical soundings
943 analysed in this paper. The position of the three AERONET stations of Barcelona, Frioul, and Ersa
944 considered in this study is also shown.
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951 **Figure 2.** Aerosol optical depth at 440 nm (τ) and Ångström exponent (α) measured at the
 952 Barcelona, Frioul, and Ersa AERONET stations during the TRAQA 2012 (left panels) and the
 953 SAFMED 2013 (right panels) campaigns. The time period for the different plots is ± 10 days around
 954 the beginning/end of the two campaigns (data for the Barcelona station are not available over the
 955 entire period for 2012). The label D indicates the days affected by Saharan dust.
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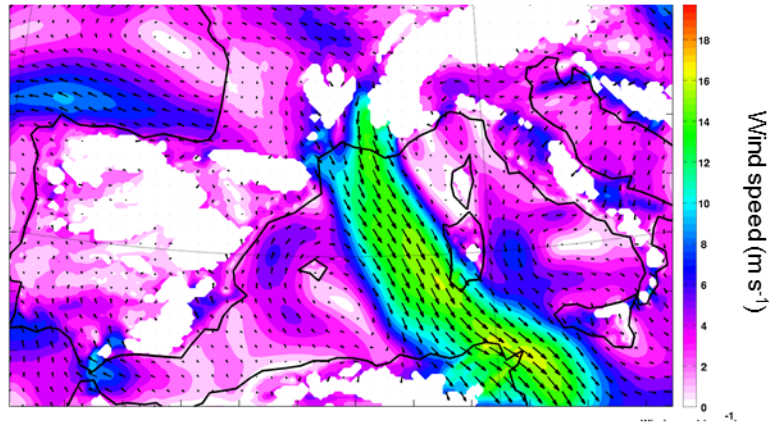
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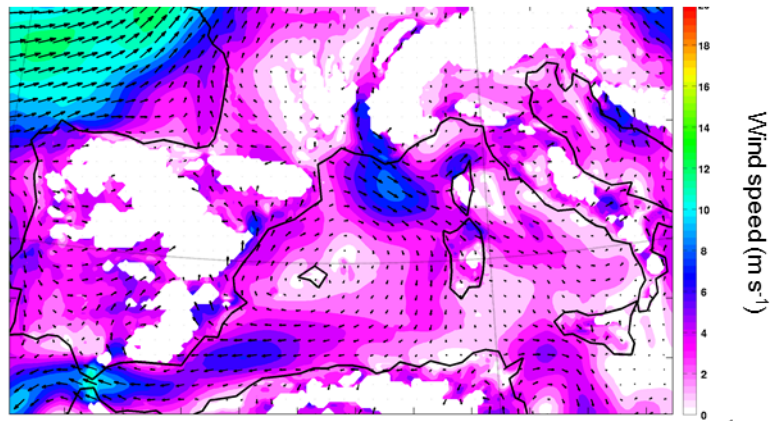
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975 **Figure 3.** Example of wind maps at 925 mbar for 26 June and 3 July 2012. The maps are obtained
976 from the WRF-Chem model (Weather Research and Forecasting – Chemistry) at 10-km horizontal
977 resolution.
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a) 26 June 2012 12UT, 925 hPa



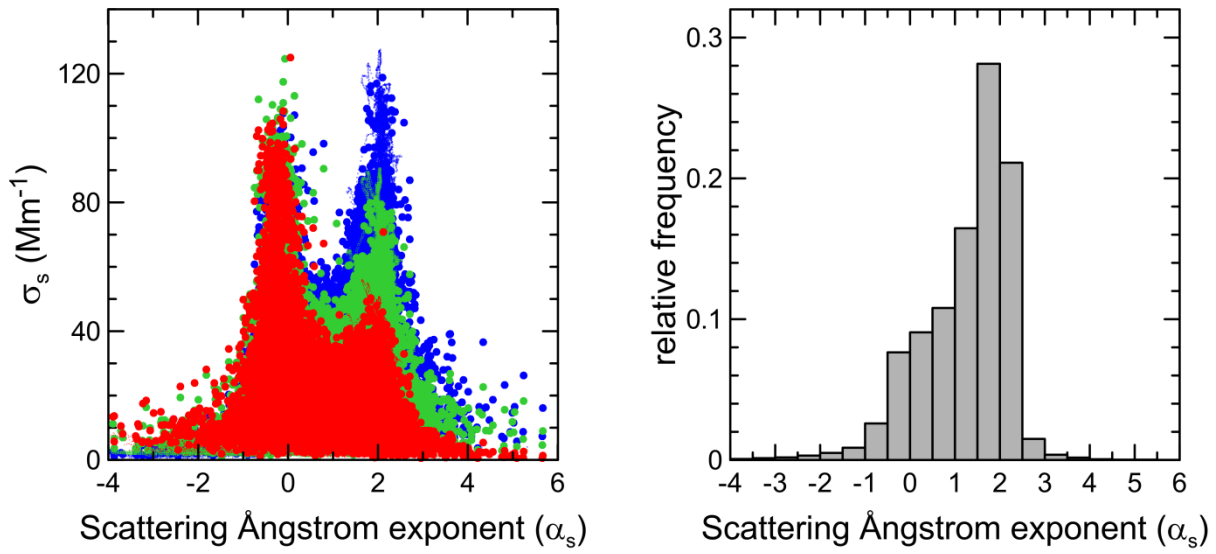
b) 03 July 2012 12UT, 925 hPa



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991 **Figure 4.** (Left) Scattering coefficient σ_s at 450, 550, and 700 nm versus the scattering Ångstrom
992 exponent α_s . Cases with extremely negative (<-2) and positive (>4) values of α_s are always related
993 with very low scattering coefficients, and are likely due to instrumental noise under low scattering
994 conditions. (Right) Frequency of occurrence of α_s obtained considering vertical profiles data from
995 all TRAQA and SAFMED flights.

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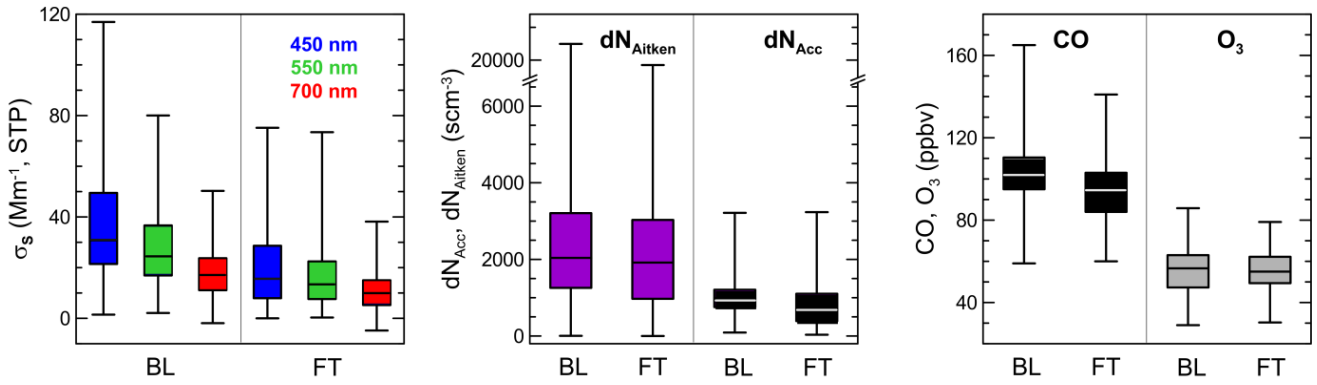
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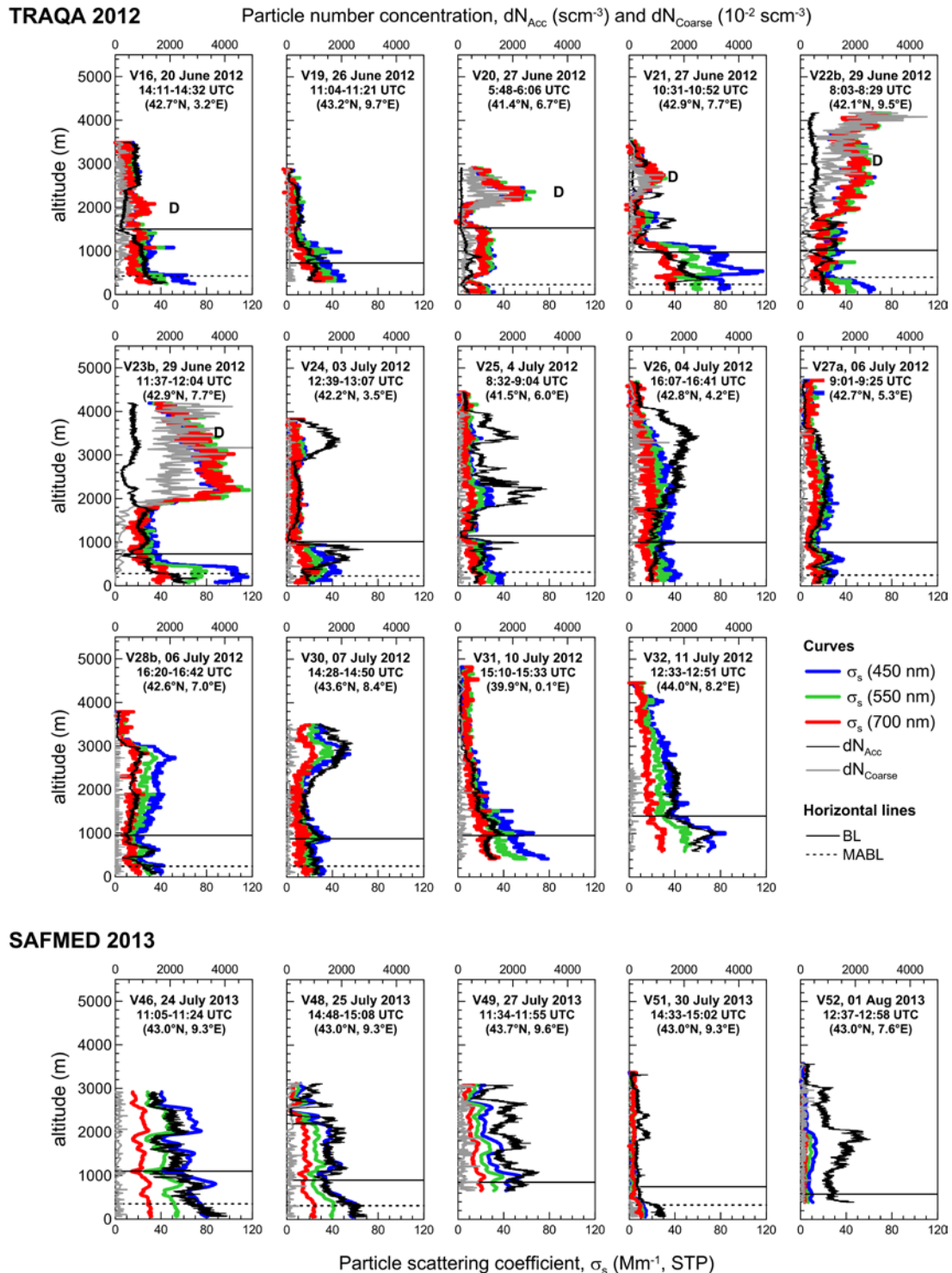
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1016 **Figure 5.** Box and whisker plot of the aerosol scattering coefficient (σ_s) at 450, 550, and 700 nm,
 1017 particle concentration in the Aitken (dN_{Aitken}) and accumulation (dN_{Acc}) modes, and CO and O₃
 1018 measured within pollution plumes in the boundary layer (BL) and in the free troposphere (FT).
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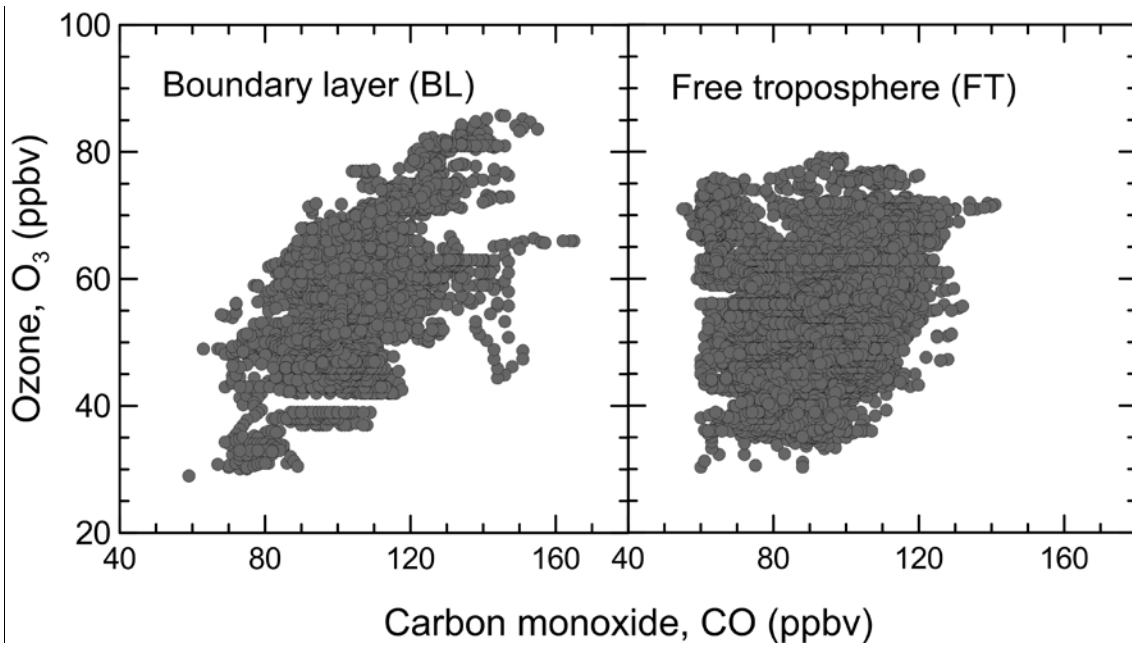
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1056 **Figure 6.** Vertical profiles of the spectral scattering coefficient σ_s at 450, 550, and 700 nm and
 1057 particle number concentration in the 0.1-1.0 μm (dN_{Acc}) and 1.0-4.0 μm (dN_{Coarse})
 1058 observed during TRAQA and SAFMED. Data are reported at STP (standard temperature and
 1059 pressure, $T = 293.15$ K and $P = 1013.25$ hPa). The heights of the top of the marine aerosol boundary
 1060 layer (MABL) and planetary boundary layer (BL) estimated from the meteorological profiles are
 1061 also indicated in the plots. The label D is used to identify the aerosol layers affected by Saharan
 1062 dust. For certain flights (V22, V23, V27, and V28) two vertical soundings were performed; the
 1063 letters “a” and “b” after the flight number in this plot specify if the considered data are taken from
 1064 the first or the second sounding, respectively.
 1065 (* data for dN_{Coarse} are multiplied by 100 in the plot).
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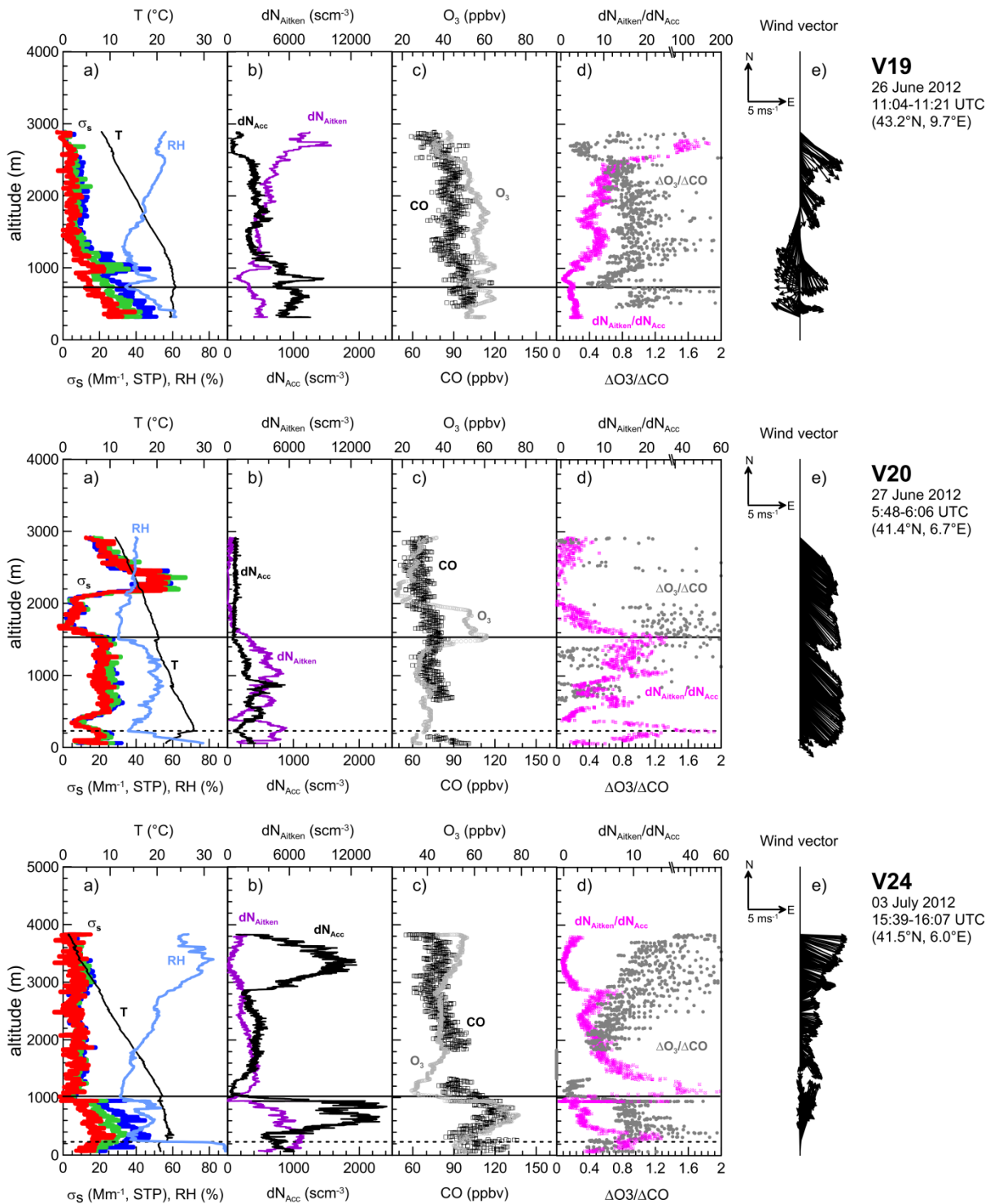
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Figure 7. O₃ versus CO in the boundary layer (BL) and the free troposphere (FT) for all TRAQA and SAFMED vertical profiles (dust observations excluded).



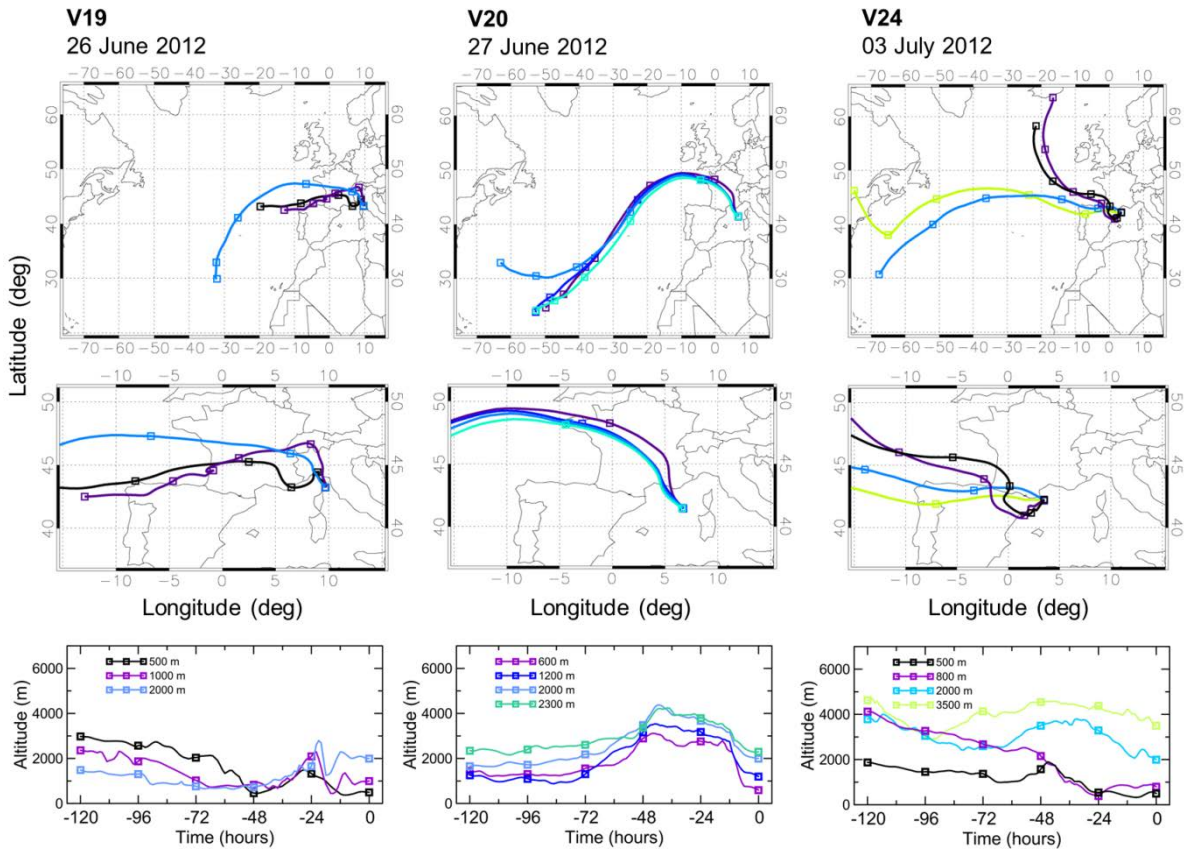
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1102 **Figure 8.** Aerosol and trace gases vertical profiles for flights V19 (export from northern Italy/Po
 1103 Valley), V20 (Mistral event), and V24 (export from the Barcelona area). The plots show the: (a)
 1104 spectral scattering coefficient σ_s at 450, 550, and 700 nm (blue, green, and red lines, respectively),
 1105 temperature (T, black line), and relative humidity (RH, light blue line); (b) particle number
 1106 concentration in the 0.004-0.1 μm (dN_{Aitken} , purple line) and 0.1-1.0 μm (dN_{Acc} , black line) diameter
 1107 ranges, (c) CO (black dots) and O₃ (grey dots) mixing ratios, (d) ozone enhancement factor
 1108 $\Delta\text{O}_3/\Delta\text{CO}$ (grey dots) and Aitken to accumulation ratio $dN_{\text{Aitken}}/dN_{\text{Acc}}$ (pink dots) and (e) horizontal
 1109 wind vector. The heights of the top of the MABL (dotted line) and BL (solid line) are also
 1110 indicated.
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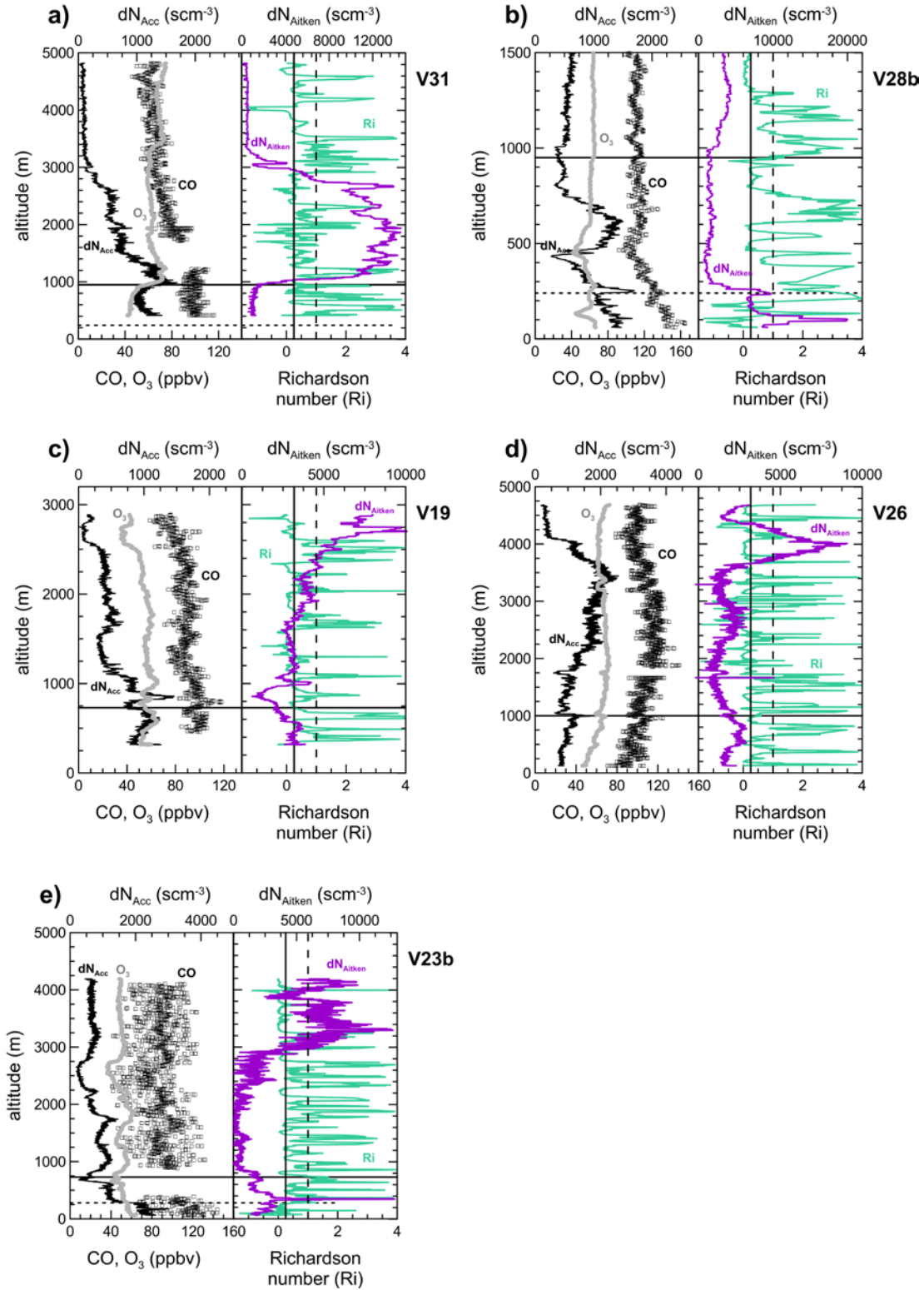
1113 **Figure 9.** Five-days backward air mass trajectories for the V19, V20, and V24 flights calculated
 1114 with the FLEXTRA model. The upper panel shows the trajectories over an extended latitude-
 1115 longitude region, while the central panel zooms on the Western Mediterranean area. The altitude of
 1116 the air masses and its temporal evolution along the five days trajectories is reported in the lower
 1117 panel of each plot.
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Figure 10. Vertical profiles of the accumulation and Aitken particle concentrations (dN_{Acc} , black line, and dN_{Aitken} , purple line), CO (black dots), O₃ (grey dots), and gradient Richardson number (Ri, green line) for flights a) V31, b) V28, c) V19, d) V26 and e) V23b. The horizontal lines indicate the height of the marine boundary layer MABL (dotted line) and the planetary boundary layer BL (continuous line), while the vertical lines indicate $Ri_{crit}=0.25$ and $Ri=1$ (continuous and dashed lines, respectively).



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