1	Continental pollution in the Western Mediterranean basin: vertical profiles of
2	aerosol and trace gases measured over the sea during TRAQA 2012 and
3	SAFMED 2013
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19	Abstract
20	In this study we present airborne observations of aerosol and trace gases obtained over the sea in the
21	Western Mediterranean basin during the TRAQA (TRansport and Air QuAlity) and SAFMED
22	(Secondary Aerosol Formation in the MEDiterranean) campaigns in summers 2012 and 2013. A
23	total of 23 vertical profiles were measured up to 5000 m above sea level over an extended area

24 (40°-45°N latitude and 2°W-12°E longitude) including the Gulf of Genoa, Southern France, the Gulf of Lion, and the Spanish coast. During TRAQA and SAFMED the study area experienced a 25 26 wide range of meteorological conditions which favoured the pollution export from different sources 27 located around the basin. Also, several events of dust outflows were measured during the campaigns. Observations from the present study show that continental pollution largely affects the 28 29 Western Mediterranean both close to coastal regions and in the open sea as far as ~250 km from the coastline. The measured aerosol scattering coefficient varies between ~20 and 120 Mm⁻¹, while 30 31 carbon monoxide (CO) and ozone (O_3) mixing ratios are in the range of 60-165 ppbv and 30-85 32 ppbv, respectively. Pollution reaches 3000-4000 m in altitude and presents a very complex and 33 highly stratified structure characterized by fresh and aged layers both in the boundary layer and in 34 the free troposphere. Within pollution plumes the measured particle concentration in the Aitken $(0.004-0.1 \ \mu\text{m})$ and accumulation $(0.1-1.0 \ \mu\text{m})$ modes is between ~100 and 5000-6000 scm⁻³ 35 (standard cm⁻³), which is comparable to the aerosol concentration measured in continental areas 36 37 under pollution conditions. Additionally, our measurements indicate the presence of highly concentrated Aitken layers (10000-15000 scm⁻³) observed both close to the surface and in the free 38 troposphere, possibly linked to the influence of new particle formation (NPF) episodes over the 39 40 basin.

41

42 **1. Introduction**

43 Atmospheric aerosols play an important role on climate through their participation to several 44 chemical, dynamical, and radiative processes. At present, still large uncertainties persist in the 45 estimation of the aerosol direct and indirect effects mainly due to the difficulty of fully 46 characterizing their spatial and vertical distribution and properties (Boucher et al., 2013).

The Mediterranean region is a complex area where atmospheric aerosols of different origins and types may be found (Pace et al., 2006; Kallos et al., 2007; Gkikas et al., 2012). High levels of anthropogenic aerosol particles and pollutants are measured in the Mediterranean (Lelieveld et al.,
2002), which is also indicated as one of the main hot spots for air quality issues (Monks et al.,
2009).

The North-Western part of the Mediterranean basin, due to its proximity to highly polluted industrialized areas (such as the Po Valley in northern Italy and the Fos/Berre in southern France) and large coastal cities (Barcelona, Genoa, Marseilles, Nice, or Valencia), is frequently affected by continental outflows and severe pollution episodes (Mallet et al., 2005; Pérez et al., 2008; Pey et al., 2010). The strength of these episodes is particularly intense during summer when stable meteorological conditions and the high level of insolation promote photochemical reactions and the build-up of ozone and other pollutants (e.g. Millán et al., 2000).

59 A number of studies have investigated the dynamics of pollution export over the Western basin with 60 the aim of characterizing the impact of anthropogenic emissions over this region. Most of these 61 studies have been conducted in continental coastal areas and provide information on the vertical 62 distribution of aerosols and their properties mainly close to local pollution sources. They include ground-based observations with lidars (Soriano et al., 2001; Pérez et al., 2004; Ancellet and 63 64 Ravetta, 2005), and airborne campaigns, such as MECAPIP (MEso-meteorological Cycles of Air 65 Pollution in the Iberian Peninsula) and RACAPMA (RegionAl Cycles of Air Pollution in the west 66 central Mediterranean Area) in coastal Spain (Millán et al., 1996 and 1997) and ESCOMPTE 67 (Experience sur Site pour Contraindre les Modeles de Pollution atmospherique et de Transport 68 d'Emissions) in Southern France (Drobinski et al., 2007). The results of these studies have 69 highlighted the important role of pollution in modulating the atmospheric composition in this part 70 of the basin, as well as the high variability of the aerosol distribution and properties in link to 71 different export conditions (Flamant and Pelon, 1996; Soriano et al., 2001; Mallet et al., 2005). In 72 particular, the interaction between synoptic circulation and local dynamics, such as orography and 73 sea breezes, has been shown to strongly impact the vertical distribution, layering, and aging of particles along coastal regions (e.g. Millan et al., 1997; Gangoiti et al., 2001; Pérez et al., 2004;
Velchev et al., 2011).

The capability of reproducing this complexity by air quality models represents a real challenge (Jimenez et al., 2006; Jiménez-Guerrero et al., 2008), and experimental observations gives a fundamental support to test the performances of the model outputs over the Western Mediterranean environment.

The large set of observations conducted in the last decades has permitted to acquire a detailed characterisation of pollution aerosols in the surroundings of the Western basin. However, at the present time we miss an extensive representation of the mean aerosol load, distribution, and properties in the whole region, in particular over the remote sea. In addition, there is a significant lack of observations over some key areas, as for example the Gulf of Genoa, directly under the influence of the outflow from the highly polluted Po Valley (Velchev et al., 2011).

86 In this study we present measurements of aerosols and trace gas vertical profiles acquired during 24 87 scientific flights performed with the ATR-42 French research aircraft during the TRAQA 88 (TRansport and Air QuAlity) and SAFMED (Secondary Aerosol Formation in the MEDiterranean) 89 campaigns in summers 2012 and 2013 in the framework of the Chemistry-Aerosol Mediterranean 90 Experiment (CHARMEX, https://charmex.lsce.ipsl.fr/). The TRAQA and SAFMED flights 91 explored an extended region of the Western Mediterranean basin between 40°-45°N latitude and 92 2°W-12°E longitude including the Gulf of Genoa, Southern France, the Gulf of Lion, and the 93 Spanish coasts. Measurements were performed over the sea at various distances from the coastline 94 with lidar and in situ instruments. During TRAQA and SAFMED the Western basin was under 95 diverse synoptic conditions which led to the occurrence of different pollution export regimes (Mistral/Tramontane events, outflow from the Po Valley and the Iberian Peninsula) and allowed 96 97 sampling atmospheric aerosols of different origin and types.

98 The main objective of the present work is to provide observations of the vertical distribution of 99 aerosols and trace gases related to the export of anthropogenic pollution at the regional scale of the 100 Western Mediterranean basin. The detailed knowledge of the vertical structure of the atmosphere is 101 very important to understand the impact of continental pollution over the basin.

102 The paper is organized as follows: in Sections 2, 3, and 4 we describe the flight trajectories and 103 strategy during TRAQA and SAFMED, the in situ measurements carried out on board the ATR-42 104 aircraft, and the meteorological conditions observed during the campaigns. In Sect. 5 we present the 105 results. The aerosols and trace gases vertical profiles are shown in Sections 5.1 and 5.2. Section 5.3 106 is dedicated to analyse the variability of the pollution plume composition and atmospheric structure 107 also in link with the different outflow conditions. Airborne measurements in presence of layers with 108 high concentrations of fine particles are discussed in Section 5.4. The main conclusions are reported 109 in Section 6.

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111 **2.** Overview over flights

112 Figure 1 shows the trajectories of the flights performed during the TRAQA (20 June-13 July 2012) 113 and the SAFMED (24 July-1 August 2013) campaigns. Research flights were performed with the 114 SAFIRE (Service des Avions Français Instruments pour la Recherche en Environnement, http://www.safire.fr/) tropospheric aircraft ATR-42. The aircraft has a maximum endurance of 4 h. 115 116 The flight altitude ranges between a minimum of ~60 m over the sea, to a maximum of ~5000 m 117 above sea level (a.s.l.). The aircraft was based in Toulouse (43°36'N, 1°26'E, France) during 118 TRAQA and in Genoa (44°24'N, 8°55'E, Italy) during SAFMED. Twenty-four flights for a total of 119 ~75 hours of data have been collected. Seventeen of the twenty-four flights presented in the paper 120 were performed during TRAQA (flight numbers V16 to V32) and 7 during SAFMED (V46 to V52). All flights were carried out during daytime, when light-induced chemistry favours the 121 122 pollution levels. Frequently, two flights per day, with intermediate stops in different airports in

Southern France, Corsica, and Sardinia, were performed. The majority of flights were over the sea, with some exceptions investigating inland areas in Southern France and central Italy. Main information concerning the TRAQA and SAFMED flights is summarized in Table 1.

126 The general flight strategy consisted in plane flights with lidar observations and vertical 127 ascents/descents to sound the vertical atmospheric column (from ~60-100 m to 3000-5000 m a.s.l.) 128 and identify main meteorological and aerosol features, followed by straight levelled runs (SLRs) 129 within the detected aerosol layers. In this study we focus on vertical profiles data. A total of 23 130 profiles were acquired in 20-30 minutes each by performing a spiral trajectory ~10-20 km wide. 131 Fig. 1 also identifies the geographical position of each sounding. As shown in Fig. 1 the profiles were performed at different distances from the coastline, from a minimum of ~5-10 km for V31 and 132 133 V32 to more than ~250 km for V20 and V25, and covered almost all the different sectors of the 134 Western basin.

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3. Measurements and methods

137 The basic equipment of the ATR-42 aircraft includes sensors for the measurements of 138 meteorological parameters (pressure, temperature, relative humidity, wind components), radiative 139 fluxes (down- and up-welling shortwave and longwave radiation), and carbon monoxide (CO) and 140 ozone (O_3) mixing ratios.

Aerosol sampling was performed using the AVIRAD system (Formenti et al., 2011). AVIRAD is an iso-axial and iso-kinetic inlet which, at the normal cruise speed of the ATR-42 (~93 m s⁻¹), samples air at a volumetric flow of ~350 l min⁻¹. The 50% passing efficiency of the inlet was tested to be 12 µm diameter. Various sampling lines depart from AVIRAD to connect to different instruments mounted inside the aircraft cabin: (i) a 3-wavelength nephelometer (TSI Inc., model 3563) for the measurement of the dry particle volume total scattering (σ_s) and hemispherical backscattering (σ_{bs}) coefficients at 450, 550, and 700 nm; (ii) a 7-wavelengths aethalometer (Magee Sci., model AE31)

148 for the measurement of the particle absorption coefficient (σ_a) at 370, 470, 520, 590, 660, 880, and 149 950 nm; (iii) an optical particle counter (GRIMM Inc., model 1.129) for the measurement of the 150 particle number concentration over 32 size classes between 0.3 and 32 µm in diameter; (iv) a 151 Condensation Particle Counter (CPC, TSI Inc., model 3775) for the measurement of the total particle number concentration in the diameter range 0.004-3.0 µm; and (v) 3 lines for aerosol 152 153 sampling on filter membranes and a 4-stage cascade impactor (Dekati Inc) to measure the bulk and size-segregated particle composition. In addition, the ATR-42 was equipped with a Passive Cavity 154 155 Aerosol Spectrometer Probe (PCASP, model 100X) optical particle counter for the measurement of the aerosol number concentration over 31 size classes between 0.1-3.0 µm. The PCASP was 156 157 installed outside the cabin on the left side of the aircraft fuselage.

In this study we consider measurements of the (i) aerosol scattering coefficient from the nephelometer, (ii) particle concentration from the CPC and PCASP instruments (GRIMM data are not considered since they are available only below ~350 m during TRAQA), (iii) CO and O₃ trace gases from the MOZART analyser, and (iv) meteorological parameters from the ATR-42 sensors. A more detailed description of the nephelometer, CPC, PCASP, and MOZART measurements and their data analysis is provided in the following sections.

164 The present analysis is based only on measurements obtained in cloud free conditions.

165

166 **3.1 Aerosol scattering coefficient**

167 A three-wavelength integrating nephelometer has been used to measure the dry particle volume 168 total scattering (σ_s) coefficient at 450, 550, and 700 nm. The sampling flow rate was 30 l min⁻¹. 169 Data were acquired at 6 s resolution during TRAQA and 1 s resolution during SAFMED. The 170 instrument was calibrated prior each campaign with free-particle air and CO₂ as gases of low and 171 high known scattering coefficient. Nephelometer measurements have been corrected for angular 172 truncation and Lambertian non-idealities by applying the formulae by Anderson and Ogren (1998). 173 The measurement uncertainty on σ_s is calculated taking into account for the photon counting, gas 174 calibration, and angular corrections uncertainties (Anderson et al., 1996; Anderson and Ogreen, 175 1998). The total uncertainty on σ_s is estimated to be lower than 10% at the three wavelengths.

The nephelometer measured the scattering coefficient in dry air conditions. This is due to the heating of the airflow while entering the aircraft cabin and the temperature in the cavity of the instrument. The relative humidity measured during the flights inside the nephelometer was <25% in more than ninety percent of cases, with values up to ~40% occasionally observed at very low altitudes (<200 m) over the sea surface. A possible underestimation of the scattering coefficient may thus occur in case of hygroscopic aerosols, especially under high relative humidity conditions in the atmosphere.

183 The particle scattering Ångström exponent (α_s) has been calculated from spectral nephelometer 184 measurements with a power-law fit of the measured scattering coefficients versus wavelength.

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186 **3.2 Aerosol particle number concentration**

The vertical profiles of the total particle number concentration in the Aitken (dN_{Aitken} , 0.004-0.1 µm), accumulation (dN_{Acc} , 0.1-1.0 µm) and coarse (dN_{Coarse} , >1.0 µm) modes have been obtained by combining CPC and PCASP data. The CPC and the PCASP measured at a sample flow of 1.5 and 0.06 1 min⁻¹, respectively, and with a time resolution of 1 s for the PCASP and 5 s and 1 s for the CPC during TRAQA and SAFMED, respectively.

The PCASP was factory calibrated with monodisperse polystyrene sphere latex (PSL) whose complex refractive index at the instrument operating wavelengths (632.8 nm) is 1.59-0i. The measured sphere-equivalent optical diameter has been converted to a sphere-equivalent geometrical diameter (D_g) by taking into account the complex refractive index of the sampled aerosol (Liu and Daum, 2000). Given that in the very large majority of cases the aerosol sampling during TRAQA

197 and SAFMED was associated to the export of pollution plumes, only pollution aerosols have been 198 considered for PCASP correction. Note that these data are not optimized for dust or marine aerosol 199 observations. A large interval of values (n~1.50-1.72, k~0.001-0.1 for UV-visible wavelengths) are 200 reported in the literature for the real and the imaginary parts of the refractive index for 201 anthropogenic aerosols over Europe (e.g., Ebert et al., 2002 and 2004; Müller et al., 2002; Mallet et 202 al., 2003 and 2011; Chazette et al., 2005; Raut and Chazette, 2008). For our calculations at 632.8 203 nm we have fixed the imaginary part of the refractive index to 0.01, thus representing a mean 204 absorbing aerosol, and then we have varied the real part between its minimum (1.50) and maximum 205 (1.72) reported value. D_g is then set at the mean \pm one standard deviation of the values obtained for 206 the different values of n. We assume in these calculations that the refractive index does not vary 207 with height. After refractive index correction the D_g range for the PCASP becomes 0.10-4.47 μ m, 208 with an uncertainty between 1 and 25%. The smallest and the largest size bins of the PCASP, for 209 which the minimum and maximum edges respectively are not defined, have been excluded from the 210 datasets, thus reducing the PCASP D_g range to 0.11-4.17 μ m.

211 Once corrected for the refractive index, PCASP data have been combined with those from the CPC 212 to calculate dN_{Aitken}, dN_{Acc}, and dN_{Coarse}. Values for dN_{Acc} and dN_{Coarse} are obtained by integrating 213 the PCASP number concentrations in the 0.1-1.0 µm and 1.0-4.17 µm ranges, while dN_{Aitken} is 214 estimated as the difference between CPC concentration and the integral of PCASP data between 0.1 215 and 3.0 µm. The comparison between the PCASP and the GRIMM below 350 m altitude indicates 216 that the former underestimates by about 50% the aerosol concentration in the range 0.4-1.0 µm (the 217 accuracy of the GRIMM has been verified by optical closure study against simultaneous aircraft 218 nephelometer measurements). This is estimated to induce a $\sim 20\%$ underestimation of the dN_{Acc} 219 calculated here. Conversely, the PCASP underestimation in the 0.4-1.0 µm range has almost a 220 negligible impact on dN_{Aitken}.

CPC measurements, and so dN_{Aitken} data, were not available during SAFMED flights V49, V50, and
 part of V51.

223

224 3.3 Trace gases

225 Carbon monoxide (CO) and ozone (O_3) mixing ratios were measured by the MOZART instrument 226 described in detail by Nedélec et al. (2003). CO is a long-lived tracer for air masses influenced by 227 combustion processes, whereas O_3 in the troposphere is a photochemical product of the oxidation of CO and volatile organic compounds (VOCs) in the presence of nitrogen oxides (NO_x). CO and O₃ 228 229 are measured at a resolution of 30 s and 4 s, respectively. The nominal uncertainty is $\pm 5\%$ for CO 230 and $\pm 2\%$ for O₃ (Nedélec et al., 2003). However, a recent airborne intercomparison in May 2014 in 231 the framework of the French ChemCalInt project and the TGOE European Joint Research Activity 232 has suggested a greater uncertainty (up to 30%) on CO measurement by MOZART on-board the ATR-42 (A. Borbon, personal communication, 2015). Trace gas analysis will focus mostly on the 233 234 vertical distribution of the $\Delta O_3/\Delta CO$ ratio rather than absolute concentrations (see section 5.3) and 235 the uncertainty on CO should not affect data interpretation.

236

237 **3.4 STP conversion**

In order to compare measurements obtained at different altitudes the data presented here are reported at standard temperature and pressure (STP) using T=293.15 K and p=1013.25 hPa (NIST, National Institute of Standards and Technology, values). Hence, the scattering coefficient is scaled to STP conditions and the particle concentrations are given as particles per standard cm⁻³ (scm⁻³). For a generic parameter x measured at the temperature T and pressure p, the conversion at STP is calculated with the formula:

244
$$x(STP) = x(T,p)\frac{T}{293.15}\frac{1013.25}{p}$$
 (1)

245 CO and O_3 do not need to be corrected for STP since the mixing ratio does not depend on 246 temperature and pressure.

247

248 **3.5 Meteorological parameters**

249 The vertical profiles of the pressure (p), the temperature (T), the relative humidity (RH) and the 250 wind components towards the east and the north (U, V) measured on board the ATR-42 have been 251 used to analyse the atmospheric structure during flights. Starting from the measured parameters the potential temperature (θ) has been also calculated as $\theta = T(p_0/p)^{0.286}$ with $p_0=1013.2$ mbar. For 252 each profile the height of the marine aerosol boundary layer (MABL) and planetary boundary layer 253 254 (BL) has been estimated visually by looking at the vertical gradients of T, θ , and RH. Meteorological parameters have been also used to calculate the vertical profiles of the gradient 255 256 Richardson number (Ri):

257
$$\operatorname{Ri} = \frac{g}{\theta} \frac{\partial \theta}{\partial z} \left/ \left(\left(\frac{\partial U}{\partial z} \right)^2 + \left(\frac{\partial V}{\partial z} \right)^2 \right) \right.$$
(2).

In Eq. (2) g is the gravitational acceleration and z is the height. The Ri number is the ratio between the buoyancy force and the wind shear and it is used to indicate dynamic stability and the formation of clear air turbulence. Turbulence can develop when Ri is below the critical threshold $Ri_{crit}=0.25$, while it is inhibited for Ri>1 (e.g., Wallace and Hobbs, 2006). In this study the profiles of Ri are used to provide indications of favorable/unfavorable conditions for the development of turbulent conditions within the atmosphere.

265 **3.6 Tracking the air mass back-trajectories**

The Lagrangian trajectory model FLEXTRA (FLEXible TRAjectories, Stohl et al., 1995) has been used in selected cases to track the origin of sampled air masses. Five days three-dimensional backtrajectories have been calculated using the ECMWF (European Centre for Medium-Range Weather Forecast) operational analysis with a 0.5° by 0.5° horizontal resolution and up to 30 vertical model levels below 4000 m. The model specific humidity and potential vorticity is also interpolated along the trajectory path.

272

4. Meteorological conditions, aerosol load, and pollution export regimes

274 In order to characterize the general aerosol conditions encountered over the Western Mediterranean 275 basin during the TRAQA and the SAFMED campaigns we have plotted the time-series of the aerosol optical depth (τ , ±0.02) at 440 nm and the 440-870 nm Ångström exponent (α) measured 276 277 with a Cimel sunphotometer (Holben et al., 1998) at the three AERONET stations of Barcelona, 278 Frioul, and Ersa located along the coast around the Western basin (see Fig. 1). Level 1.5 cloud-279 screened data are used in this study. Data are shown in Fig. 2 and correspond to the period of the 280 campaign of measurements plus 10 days before and after. Table 1 reports the date, location, and 281 main meteorological and export conditions encountered during TRAQA and SAFMED flights.

282 Over the analysed AERONET sites the aerosol optical depth was below 0.2 before the beginning of 283 the TRAQA campaign and increased, especially at Barcelona and Ersa, to ~0.3-0.5 (with $1 < \alpha < 2$) in 284 the periods 23-26 June and 3-13 July 2012. Isolated peaks of τ were measured in correspondence of 285 two Saharan dust intrusion events which occurred on the 17-23 June (τ ~0.6) and 29 June 2012 286 $(\tau \sim 1.4)$. Different wind regimes occurred during TRAQA and favoured the continental outflow 287 from different regions located around the basin. Two examples of wind maps derived from WRF-288 Chem model (Grell et al., 2005) at 925 mbar are shown in Fig. 3 for 26 June and 3 July 2012. Main 289 observed meteorological/export conditions can be summarized as follows: (i) on 26-27 June

290 north/north-westerly winds blew across northern Italy determining an air mass outflow towards the 291 Gulf of Genoa (measurements on flights V18-V19-V21); (ii) on the same days a strong Mistral-292 Tramontane episode (i.e., strong northerly winds developing along the Rhône and Aude valley 293 which bring a northerly/north-westerly flow over the Western Mediterranean, see Fig 3a) favoured 294 the dispersion of pollutants towards the central part of the Western basin. Measurements during the 295 event were performed during flight V20; (iii) on 3-4 July the wind regime was dominated by 296 weasterly/south-weasterly winds mostly blowing at the surface across the Iberian Peninsula and 297 southwestern France (see Fig. 3b). This condition allowed measuring the export of pollution from 298 the Spanish coasts, in particular close to the area of Barcelona (flights V24-V25-V26, see Fig. 1). 299 Additionally, flight V31 sounded the atmospheric structure close to the Spanish coasts reaching the 300 southern urban area of Valencia. The flight was performed under the influence of south-westerly 301 winds favouring the export from the Iberian Peninsula towards the basin; (iv) Mistral episodes 302 occurred on the 6-7 and 11 July 2012. In those cases the Mistral wind combined with a persistent 303 westerly flow thus yielding pollution export towards the central and central-eastern part of the 304 Western basin, as measured during flights V27-V28-V30-V32; (v) finally, Saharan dust aerosols 305 were sampled during flights V16 and V20 (episode of the 17-23 June) and flights V22 and V23 306 (episode of the 29 June).

307 During SAFMED the meteorological conditions were more stable and two distinct phases were 308 observed: (i) a stable anticyclone affected the whole Western Mediterranean area during the first 309 half of July until the 26th, thus possibly favouring a more pronounced accumulation of 310 photochemical pollution in this part of the basin. Relatively high values of both τ (~0.2-0.8) and α 311 (~1-2.5) were measured at the three sites of Barcelona, Frioul, and Ersa in this period; (ii) a 312 cyclonic system moving from the Atlantic region towards Europe then affected the Western basin 313 on 28-29 July 2013. Very clean conditions ($\tau < 0.1-0.2$) were measured afterwards over the entire 314 region until the end of the SAFMED campaign. Winds were mostly westerly/south-westerly in the

first period of the campaign (24-29 July 2013, flights V46, V47, V48, V49, V50), which means that the sampled air flow came mostly from the sea. Then, from 30 July to 1 August 2013 a northeasterly flow affected the SAFMED investigated area thus promoting the export of pollution from Northern Italy towards the Gulf of Genoa (flights V51, V52). A strong Mistral event (29 July-1 August) and two Saharan dust outbreaks (27-28 July and 1 August) affected the Western basin, however not influencing the vertical profile observations during SAFMED.

321 In order to identify the distribution of observations during TRAQA and SAFMED as a function of the aerosol type we have plotted in Figure 4 the distribution of the measured scattering coefficient 322 σ_s at 450, 550, and 700 nm as a function of the calculated scattering Ångström exponent α_s for all 323 324 vertical profiles. The plot shows a similar scattering intensity between cases dominated by coarse particles ($\alpha_s < 0.5 - 1.0$), such as desert dust, and those dominated by fine particles ($\alpha_s > 1.0 - 1.5$), such 325 as pollution aerosols. For both dust and pollution σ_s peaks at about 100-120 Mm⁻¹. The frequency of 326 occurrence of α_s shows that pollution plumes represent the large majority of the cases observed, 327 328 with more than 70% of measurements with $\alpha_s > 1.0$.

329

330 **5. Results**

331 Figure 5 shows the box and whisker plots of the aerosol scattering coefficient σ_s at 450, 550, and 700 nm, particle number concentration in the Aitken (dN_{Aitken}) and accumulation (dN_{Acc}) diameter 332 333 ranges, and CO and O₃ measured in the boundary layer (BL) and in the free troposphere (FT) within 334 pollution plumes for all the different vertical soundings analysed in this study. This plot summarizes 335 the range of values observed during TRAQA and SAFMED. On average, the scattering coefficient 336 and CO are larger in the BL compared to the FT, whilst similar ranges of values are measured in the two regions for dN_{Aitken}, dN_{Acc}, and O₃. Even within the single BL and FT the different parameters 337 show a large variability that will be explored in the following paragraphs. 338

340 **5.1** Vertical profiles of aerosol concentration and scattering coefficient

Figure 6 shows the vertical profiles of σ_s , dN_{Acc} , and dN_{Coarse} during TRAQA and SAFMED flights. The date, time and coordinates of each profile, as well as the heights of the top of the marine and planetary boundary layer (MABL and BL) estimated from meteorological data are also indicated in the plot.

For the different vertical soundings the particle concentrations dN_{Acc} and dN_{Coarse} vary in the range 345 ~30-3200 scm⁻³ and ~5-4000 scm⁻³, respectively, for plumes with σ_s between 10 and 120 Mm⁻¹. 346 The structure in the scattering profile is generally mirrored in dN_{Acc} profile, and this also reflects the 347 348 pronounced spectral variability (i.e., decrease for increasing wavelength) of the scattering 349 coefficient, typical of pollution/anthropogenic particles. dN_{Coarse} also contributes to the scattering 350 signal in some cases especially at high altitudes (see V16, V20, V21, V22, and V23 above ~2000 351 m), and this reflects the low spectral variability of the scattering coefficient. These observations are 352 associated to the dust intrusion episodes which occurred in the Western Mediterranean basin during 353 TRAQA, which however will not be analysed in detail here. Aerosol layers affected by dust have been labelled with a "D" in Fig. 6. 354

Maxima of the scattering coefficient have been measured for TRAQA flights V21 and V23 (~120 Mm⁻¹ for pollution in the BL and ~100 Mm⁻¹ in the dust layer), whereas flights V46-V48-V49, during the first and more polluted phase of SAFMED, are the richest in dN_{Acc} (1500-3000 scm⁻³ over the whole column). Minima of σ_s and dN_{Acc} are obtained for flight V51 at the beginning of the second SAFMED phase when clean conditions were observed in the Western Mediterranean.

Pollution plumes observed in the different flights extend from the boundary layer to the free troposphere up to 3000-4000 m altitude. The vertical structure of the aerosol scattering coefficient/particle concentration is linked to the variability of the atmospheric thermodynamic structure and is generally characterized by a first layer confined in the MABL (<400 m, profiles V16, V20, V22, V25, V48, V51), followed by one or more layers within the BL. In the FT pollution 365 particles occur both as single isolated plumes each about 500-1000 m deep (V21, V24, V25, V30, 366 V46, V49), or as a more uniform layer extending from the top of the BL up to 2500-4000 m altitude (V26, V27, V28, V32, V48). The highest values of both the scattering coefficient and dN_{Acc} for 367 pollution are found within the MABL or BL in most cases, while a local minimum of σ_s and dN_{Acc} 368 is generally identified at the top of the BL. The scattering coefficient and the particle concentration 369 370 measured in the FT are comparable with the values observed in the BL, and in few cases even larger (V25, V26, V30). Only in one case (profile V31) σ_s and dN_{Acc} decrease monotonically with height. 371 372 The aerosol vertical distribution, both in the BL and in the FT, often presents a strongly stratified structure characterized by the presence of several thin sub-layers within one main identified aerosol 373 374 plume, as it can be seen in particular in the dN_{Acc} profiles (V20, V21, V22, V25, V46, V49).

The particle concentration in the Aitken mode (0.004-0.1 μ m; dN_{Aitken}, not shown in Fig. 6) is generally below 5000-6000 scm⁻³ at all altitudes up to 4000 m within pollution plumes. dN_{Aitken} is correlated with dN_{Acc} in most of the observed cases, which indicates the common source of particles in these two size ranges. Few layers exceeding ~10000-15000 scm⁻³ are observed occasionally both in the BL and in the FT. These will be discussed in more detail in Sect. 5.4.

The dN_{Acc} and dN_{Aitken} measurements within the BL and in the FT over the sea are comparable with 380 381 the values measured close to the surface at continental sites under pollution conditions (see Table 2) 382 (Petzold et al., 2002; Mallet et al., 2003 and 2005; Wiegner et al., 2006; Junkermann, 2009; 383 Hamburger et al., 2012; Highwood et al., 2012). This suggests that the export towards the basin 384 favours the redistribution of the pollution plumes along the vertical. Because of mixing in the BL, 385 measured concentrations within the BL can be as high as those observed close to the surface over 386 the continents. Values of dN as high as in the BL are observed in the FT because of transport in 387 specific conditions, as discussed below.

388 The observations of aerosol profiles obtained during TRAQA and SAFMED are representative of 389 the complex transport regimes which characterizes the export towards the Western basin and that is 390 mostly determined by the interaction between regional meteorology and local dynamics (e.g., 391 Gangoiti et al., 2001). A first example is associated to the measurements in the area of Barcelona. 392 As discussed in Pérez et al. (2004) the presence of mountains up to ~500-3000 m altitude a few 393 kilometres inland favours, during summertime, the recirculation of pollutants along the coasts of 394 Spain. In these cases, the aerosols emitted at the surface in coastal areas are transported inland and 395 uplifted by sea breezes and mountain winds then the plumes are re-injected at different altitudes and distances from the coast. During the TRAQA flights V24, V25, and V26, under the influence of 396 397 pollution outflow from the Barcelona area, we detected the presence of aerosol layers with elevated concentrations ($dN_{Acc} \sim 2000-3000 \text{ scm}^{-3}$) up to 3500 m altitude at a distance of ~30 to 250 km from 398 399 the coast of Spain. Another example of complex dynamics linked to coastal orography is that 400 associated to the export from northern Italy and the Po Valley towards the Gulf of Genoa. The 401 presence of the Apennine Mountains close to the Ligurian coasts (max elevation ~1500-2000 m) 402 causes the uplift of continental air masses so determining the injection of aerosol plumes at different 403 altitudes both inside and outside the BL. Examples are given by flights V19, V21 and V52 for 404 which pollution aerosols from northern Italy are measured up to ~2000-3000 m altitude throughout 405 the Gulf of Genoa. Finally, another meteorological condition which largely influences the aerosol 406 export and distribution over the Western Mediterranean is the Mistral/Tramontane wind regime. 407 Under the influence of the Mistral flow, atmospheric aerosols can be dispersed as far as hundreds of 408 kilometres over the open sea, as discussed by Salameh et al. (2007). Examples are given in profiles 409 V20 and V28, performed at more than 100 km from the French coasts, for which pollution layers 410 associated to a Mistral flow are measured up to 2000-3000 m altitude.

411

412 **5.2 Trace gases vertical profiles**

Figure 7 shows O₃ versus CO for all TRAQA and SAFMED flights, while examples of CO and O₃
profiles representatives of different conditions are reported in Fig. 8 and 10.

CO and O_3 vary in the range 60-165 ppbv and 30-85 ppbv, respectively. The 25^{th} and 75^{th} 415 416 percentiles are 87 and 105 ppbv for CO and 49 and 62 ppbv for O₃, representative of moderate 417 pollution conditions (i.e., Parrish et al., 1998). By comparison, the values measured over land in central Italy during flight V49 are in the range 80-180 ppbv for carbone monoxide and 40-85 ppbv 418 for ozone. CO and O₃ are generally correlated (correlation coefficient R²~0.5-0.8) within measured 419 420 pollution plumes, and also correlated with σ_s and N_{Acc} both in the BL and in the FT, which indicates 421 photochemically active plumes. CO is generally higher in the BL, and shows absolute maxima in 422 the lowest levels (V20, V21, V24, V28, V46), then it decreases in the FT. Ozone presents a more 423 complicated vertical structure due to the different photochemical and dynamical processes which 424 control its formation and distribution. At first, local peaks of O₃ correlated with CO are observed in 425 correspondence of pollution plumes both in the BL and in the FT. An absolute maximum of O₃ is 426 sometimes found near the top of the BL (V24, V25, V30) possibly due to aged air masses trapped in 427 the boundary layer. Isolated peaks of O₃ (~75-80 ppbv) not correlated with aerosols and CO are also 428 measured in few cases above 3000-3500 m (V21, V25, V27, V28, V52). The analysis of back-429 trajectories indicates that these high-altitude ozone layers are associated to the descent of air masses 430 travelling at about 7-8 km, which thus may suggest a downward transport from the upper 431 troposphere or the tropopause region due to a stratosphere-troposphere exchange (Ancellet and Ravetta, 2005). Finally, absolute minima of O_3 (~15-30 ppbv) are measured within the dust layers 432 433 during flights V20 and V21, maybe related to the dust/ozone heterogeneous reactions which leads 434 to O₃ destruction, as documented in several studies (Bonasoni et al., 2004; Haywood et al., 2011).

435

436 5.3 $\Delta O_3/\Delta CO$ and dN_{Aitken}/dN_{Acc} ratios and variability of pollution plume composition

- 437 Using the O_3 , CO, dN_{Aitken} and dN_{Acc} measurements we have estimated:
- 438 the O₃-CO enhancement ratio ($\Delta O_3/\Delta CO$), i.e. the ratio of the ozone to carbon monoxide 439 variations compared to their baseline values. The $\Delta O_3/\Delta CO$ enhancement ratio is frequently

440 used to estimate the efficiency of O_3 formation and its export (Parrish et al., 1993; Zhang et 441 al., 2006). From our observations (Fig. 7) we have estimated a background value of ~70 442 ppbv in the BL and 60 ppbv in the FT for CO and ~30 ppbv for O_3 both in the BL and in the 443 FT.

The Aitken to accumulation number ratio (dN_{Aitken}/dN_{Acc}), which defines the relative
importance of particles in the Aitken and accumulation modes. dN_{Aitken} is generally
associated to gas-to-particle conversion and nucleation events and is higher in fresh plumes,
while it decreases with the increasing of the plume lifetime due to coagulation or
condensation of water-soluble chemical species on the particle surface (Kulmala et al.,
2004).

450 The combination of $\Delta O_3/\Delta CO$ and dN_{Aitken}/dN_{Acc} has been used to retrieve additional information 451 on the atmospheric vertical structure, layering, and particle aging.

452 Within detected pollution plumes the ΔCO and ΔO_3 reach up to 100-120 ppbv and 45-55 ppbv, 453 respectively, with a corresponding $\Delta O_3/\Delta CO$ ratio which varies in the range ~0.10-2.0 for all cases. 454 These values are comparable with the range of observations available in the literature for fresh and 455 moderately aged pollution plumes in the BL and in the lower FT (~0.2-1.0) (Chin et al., 1994; 456 Parrish et al., 1998; Zhang et al., 2006; Cristofanelli et al., 2013). dN_{Aitken}/dN_{Acc} is between about 1 and 20 in most of pollution cases, which indicates the presence of both fresh layers rich in Aitken 457 particles and aged plumes poor in Aitken particles. Extremely high values of dNAitken/dNAcc (~50-458 200) are measured in few cases in layers with very low dN_{Acc} concentrations. 459

460 The large variability in $\Delta O_3/\Delta CO$ and dN_{Aitken}/dN_{Acc} indicates a strong heterogeneity in terms of 461 composition and lifetime for the different observed plumes. This heterogeneity reflects the 462 complexity in terms of sources, production processes, and transport mechanisms which 463 characterizes the Western basin. In order to illustrate this point, we have selected three examples 464 representative of different conditions observed in different areas of the basin: (i) V19, performed in the Gulf of Genoa in correspondence of continental outflow events from Northern Italy/Po Valley; (ii) V20, performed in Southern France during a Mistral event; (iii) V24, which measured the export of pollution from the area of Barcelona. The vertical profiles of the spectral scattering coefficient σ_s , temperature T, relative humidity RH, dN_{Acc}, dN_{Aitken}, CO, O₃, $\Delta O_3/\Delta CO$, dN_{Aitken}/dN_{Acc} and wind are reported in Fig. 8 for these cases.

470 1. V19: export from northern Italy/Po Valley. The profile shown for flight V19 (Fig. 8a) is characterized by the presence of three different aerosol structures: the first one below 800 m, 471 472 characterized by a lower dN_{Aitken}/dN_{Acc} (~1-5) and relatively high $\Delta O_3/\Delta CO$ (~0.4-1.5), possibly 473 associated to moderately aged pollution; the second one between 800 and 2600 m, richer in fine particles (dN_{Aitken}/dN_{Acc}~5-15), so possibly linked to fresher emissions; and the third one above 474 475 2600 m, where the ratio dN_{Aitken}/dN_{Acc} rises rapidly, as will be further discussed in Sect. 5.4. The 476 export of fresh pollution at 800-2600 m from northern Italy as observed in V19 may be related to 477 the peculiar orography of this region and the uplift of continental air masses. This is confirmed by 478 the analysis of the back-trajectories (Fig. 9) which indicates that the air masses arriving at 1000 and 479 2000 m passed over the western Po Valley at an altitude of about 400-1200 m and were then 480 uplifted near the Ligurian coast to enter the basin above the BL. Junkermann (2009) measured high 481 levels of fine particles up to about 2000 m in the western Po Valley, which means that the altitudes 482 of 400-1200 m reached by our investigated air masses could have been sufficient for them to collect 483 fresh emitted particles along their path. Conversely, below 800 m the air mass trajectory shows a 484 longer subsidence over the sea surface in the troposphere which has possibly favoured the advection of more aged plumes, or the mixing with sea salts thus inducing the decrease of the dN_{Aitken}/dN_{Acc} 485 486 ratio. It should be noted that the aerosol layer in the FT also shows relatively higher values of the 487 $\Delta O_3/\Delta CO$ ratio (~0.6-1.0) compared to the more aged plume in the BL. The enhanced amount of O_3 488 in this air mass can be linked to a high concentration of volatile precursors which may have 489 favoured the build-up of ozone during the plume evolution. In a recent work, Kaiser et al. (2014) 490 suggest that in the Po Valley the high content of formaldehyde, also observed by Junkermann et al. 491 (2009), may be responsible for the excess of O_3 production. Fresh layers in the FT up to ~2000-492 3000 m possibly associated to pollution export from northern Italy have been also observed during 493 flights V21 and V52 (not shown).

494 2. V20: export during a Mistral/Tramontane event. V20 provides an example of export during a 495 Mistral/Tramontane event. As shown in Fig. 8b, winds from the northwest direction are measured at 496 all altitudes during flight V20. The aerosol profile in the BL is characterized in the first ~400 m by 497 the presence of a layer richer in dN_{Aitken} (dN_{Aitken}/dN_{Acc}>20) and CO (100 ppbv close to the surface; 498 CO data not available between 150 and 650 m) possibly linked to fresh pollution, followed by the alternation of several layers characterized by a variable dN_{Aitken} (1000-6000 scm⁻³) and lower CO 499 500 (~70 ppbv). A local minimum of dN_{Aitken} and σ_s is found at ~400 m. For all these layers the O₃ is 501 very low (~30-40 ppbv) and the $\Delta O_3/\Delta CO$ ratio is <0.6-0.8. At higher altitudes, between 1400 and 502 2000 m, we observe a layer enriched in O₃ (Δ O₃/ Δ CO~1-2) in correspondence of an almost aerosol-503 free region. This enriched ozone layer might be possibly associated to a downward transport from 504 higher tropospheric layers, as also suggested by the back-trajectories (Fig. 9), as well as to the 505 mixing with ozone rich layers along the air mass trajectory. Larger particles, from long-range 506 transport of Saharan dust at latitudes below 30° N, are measured between 2000 and 3000 m, with a 507 minimum of O₃ (~15-20 ppbv) registered within the layer. Several other flights were performed 508 during Mistral/Tramontane episodes (V27, V28, V30, V32) and show, similarly to V20, the 509 presence of several layers both in the BL and the FT.

510 *3. V24: export from the Barcelona area.* Measurements during V24 may be taken as representative 511 of local recirculation (Pérez et al., 2004). In the V24 profile in Fig. 8c we may recognize up to 5 512 different aerosol layers. A first layer at <200 m within the MABL, coming from the southwest and 513 directly exported from the area of Barcelona. The layer is characterized by high CO (90-120 ppbv), 514 and relatively low values of dN_{Aitken} (~4000 scm⁻³) and O₃ (~50 ppbv), which possibly suggest the 515 mixing of pollution with marine particles close to the sea surface. A second layer of fresher particles, always coming from the southwestern direction, is observed above the MABL between 516 200 and 600 m ($dN_{Aitken} \sim 6000-8000 \text{ scm}^{-3}$, $O_3 \sim 70$ ppb, with $dN_{Aitken}/dN_{Acc} \sim 5-15$, and 517 $\Delta O_3/\Delta CO \sim 0.8-1.5$). A third, more aged, sublayer ($dN_{Aitken}/dN_{Acc} \sim 2-5$, $\Delta O_3/\Delta CO \sim 0.8-1.0$) is 518 519 observed within the BL between 600 and 1000 m. The FT is characterized by the presence of 520 moderately aged plumes from ~1000 to 2800 m (dN_{Aitken}/dN_{Acc} ~2-10, $\Delta O_3/\Delta CO$ ~0.2-0.8), and a 521 very aged plume at 2800-3800 m almost deprived in Aitken particles and richer in O₃ 522 $(dN_{Aitken}/dN_{Acc} < 1, \Delta O_3/\Delta CO \sim 0.6-1.5)$. A marked local minimum is observed at the top of the BL for σ_s , dN_{Acc}, dN_{Aitken}, CO, and O₃, suggesting the presence of air masses with different origin 523 524 between the BL and the FT. This is also confirmed by the analysis of the back-trajectories (Fig. 9) which indicates a low level air masses coming from the Spanish coasts in the BL, and air masses 525 526 travelling at higher altitudes in the FT. In particular, the layer at 2800-3800 m is possibly associated 527 to an intercontinental transport from Northern America, as shown in the trajectory ending at 3500 528 m. A similar structure characterized by the alternation of fresher and more aged plumes in the BL 529 and FT is also observed in V25 for which aerosol layers are detected up to 4000 m altitude.

530 The detailed analysis of these three events evidences the complexity of the atmospheric structure531 over the Western Mediterranean basin in link with the different dynamical processes involved.

532

533 **5.4 Layers with enhanced Aitken mode particle numbers**

Isolated layers with $dN_{Aitken} \sim 10000-15000 \text{ scm}^{-3}$ have been observed occasionally both in the BL and in the FT. The vertical profiles of dN_{Aitken} for some selected cases are shown in Fig. 10.

For about half of the observed events the dN_{Aitken} layer appears related to a simultaneous increase in dN_{Acc}, CO, and O₃, which suggests that the layer has been transported from a region directly emitting in this size range. These cases are: V16 at ~200-400 m, V21 at ~400-800 m, V28 at ~250 m, and V31 at ~1000-3000 m (only V28 and V31 are shown in Fig. 10). The most remarkable example is V31 (Fig. 10a), performed close to the coasts of Spain near Valencia, for which the high dN_{Aitken} layer extends from the top of the BL to \sim 3000 m altitude. The wind vector and the backtrajectories (not shown) indicates that the air mass comes from the western-southwestern direction above 1000 m, so the dN_{Aitken} layer can be directly related to pollution export from the urban region of Valencia.

545 In all the other cases the high dN_{Aitken} layer is generally not related to simultaneous dN_{Acc} and O_3 546 increase. Two of these cases (V16 at ~800-1000 m and V28 at ~100 m) occur in the BL.

547 For the V28 layer (Fig. 10b) the dN_{Aitken} is correlated with CO which might indicate the influence of 548 local emissions close to the surface level (i.e., ship emissions). CO values are relatively high (140-549 160 ppbv) within the layer. It has been often assumed that new particle formation events (NPF) only 550 occur in almost clean environments (e.g., O'Dowd et al., 2010; Sellegri et al., 2010), and that they 551 are suppressed under polluted conditions. In a recent study, Brines et al. (2014) show the occurrence 552 of NPF events also in urban areas with high level of pollution in the Mediterranean region. So, we explore the possibility of NPF in our observations. Given the size ranges of the CPC and PCASP, 553 554 however, we cannot discriminate within dN_{Aitken} the particle concentration in the sole 4-20 nm 555 range, i.e. the size range involved in nucleation. So it is not possible to directly associate the V28 556 observations to NPF. In order to obtain a qualitative indication of the possible occurrence of NPF, 557 we have looked at the air mass dynamics within the layer. Several studies suggest, in fact, that NPF 558 might be favoured by turbulence and air mass mixing (e.g., Nilsson et al., 2001; Wehner et al., 559 2010). We have thus looked at the gradient Richardson number (Ri) which gives information on the 560 atmospheric dynamical stability. Vertical profiles of Ri are also shown in Fig. 10. For V28 the 561 vertical profile of Ri indicates that below 200 m the Ri number is consistently below zero, which suggests well established turbulent conditions possibly favouring NPF in this layer. 562

563 In other two cases (V19, Fig. 10c, and V26, Fig. 10d), under lower pollution conditions (CO < 100), 564 we measured high dN_{Aitken} concentration in correspondence of low dN_{Acc} layers in the FT at ~2800565 3000 m for V19 and 3500-4500 m for V26. For V19 and V26 layers, dN_{Aitken} seems anticorrelated 566 to CO. Also in this case the Richardson number is below Ri_{crit} in correspondence of the Aitken peak 567 meaning that conditions are favorable for turbulence within the layer, and this may indicate also in 568 this case the possible role of NPF.

Finally, a case of high dN_{Aitken} concentration has been also observed in correspondence of dust particles between ~3000 and 4000 m (V23b, Fig. 10e). This layer can be possibly linked to the photochemically-induced nucleation which may occur in presence of dust and SO₂ as hypothesised in a recent study by Dupart et al. (2012) and observed by Nie et al. (2014).

573

574 **6.** Conclusions

575 The data presented in this paper gives an overview of the distribution of aerosols and trace gases 576 within the tropospheric column up to 5000 m above the Western Mediterranean basin.

577 These data add to the very few available measurements of aerosol and trace gases vertical profiles 578 over the sea surface in the Central (e.g., Junkermann, 2001; Meloni et al., 2003; Di Iorio et al., 579 2003; Pace et al., 2014) and Eastern (e.g., Formenti et al., 2002; Dulac and Chazette, 2003) parts of 580 the basin thus contributing to improve the description of the atmospheric composition and structure 581 over the whole Mediterranean area.

Observations from the present study indicate that continental pollution strongly affects the composition and structure of the Western Mediterranean basin both close to coastal regions and in the open sea. Pollution layers extend up to 250 km far from the coasts and reach up to 3000-4000 m altitude, presenting a complex and highly stratified structure. The measured particle concentration is comparable with the values reported for continental Europe (Petzold et al., 2002; Junkermann, 2009; Hamburger et al., 2012).

Pollution plumes with different compositions, origins, and lifetimes are observed in link with the different observed dynamical export conditions and meteorological regimes. The aerosol and trace gas observations during TRAQA and SAFMED are consistent with the results of former campaigns and with the interpretation of observed or well known air-masses dynamics and meteorological phenomena that can occur in the Western basin (Flamant and Pelon, 1996; Millan et al., 1997; Gangoiti et al., 2001; Pérez et al., 2004; Mallet et al., 2005).

The large heterogeneity in aerosol compositions, origins, and lifetimes as documented in this study can reflect in a large heterogeneity of aerosol optical properties, with consequences for their direct radiative effect in this part of the basin. This aspect will be investigated in a companion paper analysing the TRAQA and SAFMED in situ measurements of the aerosol absorption and scattering properties and their variability.

599 From the present observations, it is also interesting to note the relatively high values of dN_{Aitken} 600 measured both in the BL and the FT, which evidences the important contribution of ultrafine 601 particles at all altitudes over the basin. These can be linked to the different export mechanisms 602 previously discussed, as well as the possible occurrence of NPF events. Aitken particle profiles are 603 very rare over the sea surface in the Mediterranean (e.g., Junkermann et al. 2001; Pace et al., 2015) 604 and data comparison is quite difficult. Few studies have observed NPF in the FT in continental 605 areas (Boulon et al., 2010; Rose et al., 2014) and suggest that the export of pollution into the upper 606 troposphere, as it is common in the Western basin, might promote the occurrence of these events. 607 The observations of the present study may thus also have very large implications due to the crucial 608 role of NPF in controlling the atmospheric cloud condensation nuclei concentration (Spracklen et 609 al., 2008) and the associated aerosol indirect effect on climate.

610

611 Author contributions

J.-L.A., F.R., G.A., M.B., A.B., P.F. and K.S. designed the TRAQA and SAFMED experiments and
coordinated the campaigns. C.G., N.G., and C.D.B operated the instruments on board the ATR-42
during the flights. C.D.B. performed the data analysis with contributions from L.D., P.F., F.R.,
A.B., G.A., J.-C.R., and M.B.. G.A. performed the FLEXTRA simulations. J.-C.R. performed the
WRF-Chem simulations. C.D.B. wrote the manuscript.

617

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891 Tables

Table 1. Summary of information on the TRAQA and SAFMED flights.

Measurement campaign	Flight number	Date	Take off- landing time (UTC)	Departure-arrival	Geographic area investigated	Description
TRAQA 2012	V16	20/06/2012	13:12 - 16:34	Toulouse-Toulouse	Gulf of Lion	Test flight
	V17	22/06/2012	09:01 - 12:54	Toulouse-Toulouse	South-western France (over land) and the Atlantic Ocean	Test flight, biogenic emissions
	V18	26/06/2012	07:13 - 09:18	Toulouse-Bastia	Gulf of Genoa	Export of pollution from Northern Italy/Pô Valley, north-westerly winds
	V19	26/06/2012	10:42 - 13:46	Bastia-Toulouse	Gulf of Genoa	Export of pollution from Northern Italy/Pô Valley, north-westerly winds
	V20	27/06/2012	04:07 - 08:00	Toulouse-Nimes	Sea area south of Marseille/Toulon	Export of pollution during a Mistral-Tramontane event
	V21	27/06/2012	09:39 - 13:16	Nimes-Toulouse	Western coast of Corsica	Export of pollution from Northern Italy/Pô Valley, north-westerly winds
	V22	29/06/2012	05:13 - 08:50	Toulouse-Bastia	Eastern coast of Corsica	Dust outbreak
	V23	29/06/2012	10:13 - 14:12	Bastia-Toulouse	Eastern and western coasts of Corsica	Dust outbreak
	V24	03/07/2012	13:19 – 17:12	Toulouse-Toulouse	Sea area north-east of Barcelona	Export of pollution from Barcelona, westerly/south-westerly winds
	V25	04/07/2012	07:18 - 10:54	Toulouse-Toulouse	Sea area south of Marseille/Toulon	Follow of Barcelona pollution plumes
	V26	04/07/2012	15:25 - 18:36	Toulouse-Toulouse	Gulf of Lion	Follow of Barcelona pollution plumes
	V27	06/07/2012	08:00 - 11.55	Toulouse-Toulouse	Sea area south of Marseille	Export of pollution during a moderate Mistral- Tramontane event
	V28	06/07/2012	14:01 – 17:45	Toulouse-Toulouse	Sea area south of Nice/Toulon	Export of pollution during a moderate Mistral- Tramontane event
	V29	07/07/2012	08:19 - 10:59	Toulouse-Nimes	Southern France (over land)	Biogenic emissions
	V30	07/07/2012	13:03 - 17:10	Nimes-Toulouse	Gulf of Genoa	Export of pollution during a moderate Mistral- Tramontane event
	V31	10/07/2012	13:41 – 17:21	Toulouse-Toulouse	Eastern coast of Spain	Characterization of pollution near coastal

						sources
	V32	11/07/2012	11:23 – 14:48	Toulouse-Toulouse	Southeastern coast of France and Gulf of Genoa	Characterization of pollution near coastal sources
SAFMED 2013	V46	24/07/2013	10:34 - 13:06	Genoa-Cagliari	Gulf of Genoa and eastern coast of Corsica and Sardinia	Characterization of pollution plumes in the Gulf of Genoa, Corsica, and Sardinia; westerly/south- westerly winds
	V47	24/07/2013	14:21 – 16:29	Cagliari-Genoa	Eastern coast of Corsica and Sardinia and Gulf of Genoa	Characterization of pollution plumes in the Gulf of Genoa, Corsica, and Sardinia; westerly/south- westerly winds
	V48	25/07/2013	13:12 - 16:02	Genoa-Ersa	Gulf of Genoa	Characterization of pollution in the Gulf of Genoa; westerly/south-westerly winds
	V49	27/07/2013	11:08 - 13:07	Genoa-Alghero	Central Italy (over land)	Characterization of pollution in central Italy
	V50	27/07/2013	15:33 - 16:48	Alghero-Genoa	Eastern coast of Corsica and Gulf of Genoa	Characterization of pollution plumes in the Gulf of Genoa, Corsica, and Sardinia; westerly/south- westerly winds + dust outbreak
	V51	30/07/2013	13:05 - 15:50	Genoa-Ersa	Gulf of Genoa	Characterization of pollution in the Gulf of Genoa; very low north/north-westerly winds
	V52	01/08/2013	12:03 - 15:24	Genoa-Alghero	Western coast of Corsica	Characterization of pollution in western Corsica; export of pollution from Northern Italy/Pô Valley; north-easterly winds

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Table 2. Comparison of the number concentrations dN_{Aitken} (0.004-0.1 µm) and dN_{Acc} (0.1-1.0 µm) 903 observed during the TRAQA/SAFMED field campaigns with those reported in literature for 904 continental Europe. All literature data refer to airborne measurements.

Atmospheric layer	Parameter	TRAQA/SAFMED	Literature over continental Europe
Free troposphere (FT)	dN _{Aitken} (scm ⁻³)	0-19250	812-9149 ^b ; 0-980 ^e
	dN _{Acc} (scm ⁻³)	34-3233	20-80 ^a ; 25-85 ^e ; 0-500 ^f
	dN _{Aitken} (scm ⁻³)	4-22471	1037-31370 ^b ; 1000-20000 ^c ; 0-30000 ^d ; 0-19000 ^e
Boundary layer (BL)	dN_{Acc} (scm ⁻³)	90-3215	70-560 ^a ; 10-50 ^c ; 400-1200 ^e ; 0-2000 ^f

907 ^a Petzold et al. (2002), Central Europe, July-August 1998; size ra	nge dN _{Acc} (>0.15 μm)
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908 ^bMallet et al. (2005), Southeastern France, June 2001; size range dN_{Aitken} (0.006-0.6 μ m⁾

909 ^c Wiegner et al. (2006), Germany, May 2003; ; size range dN_{Aitken} (>0.01 µm), dN_{Acc} (>0.3 µm)

 $910 \qquad {}^{d} \text{ Junkermann (2009), Po Valley, July-August 2002 and Septmeber-October 2003; ; size range dN_{Aitken} (>0.01 \, \mu m)}$

911 ^e Hamburger et al. (2012), central Europe, May 2008; size range dN_{Aitken} (0.004-0.15 μ m), dN_{Acc} (>0.15 μ m)

 $912 \qquad {}^{\rm f} \mbox{Highwood et al. (2012), central Europe, May 2008; size range dN_{Aitken} (0.004-0.15 \ \mu\mbox{m}), dN_{Acc} (>0.15 \ \mu\mbox{m})}$

934 Figures

Figure 1. (Upper panel) Flight trajectories of the TRAQA (20 June - 13 July 2012) and the SAFMED (24 July - 1 August 2013) campaigns. The aircraft was based in Toulouse (43°36'N, 1°26'E, France) during TRAQA and in Genoa (44°24'N, 8°55'E, Italy) during SAFMED. (Lower panel) Zoom on the investigated area and geographical position of the different vertical soundings analysed in this paper. The position of the three AERONET stations of Barcelona, Frioul, and Ersa considered in this study is also shown.

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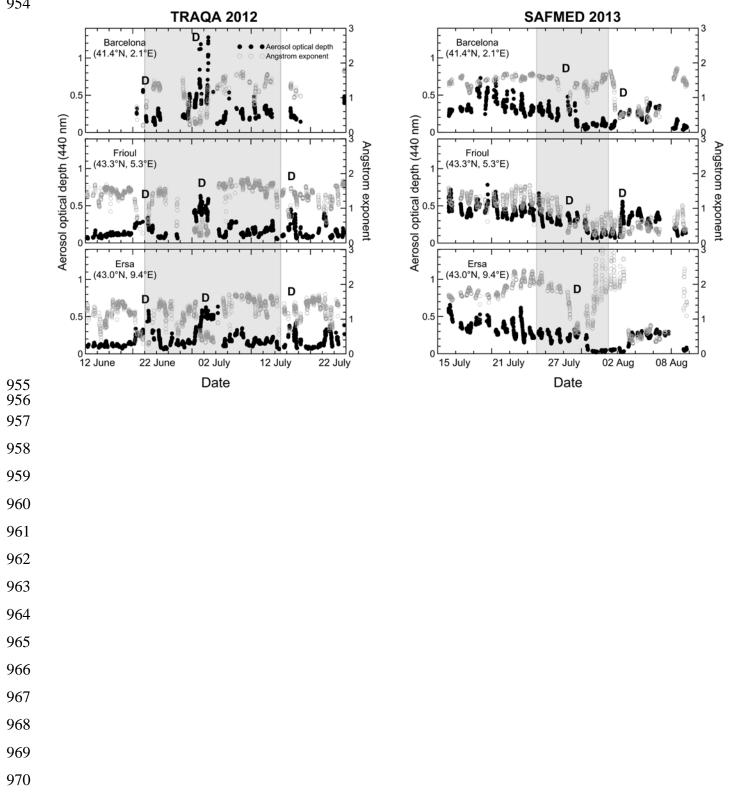
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- \$ **TRAQA 2012 SAFMED 2013** ő 46 Italy France 44 42 Spain Ó 40 Latitude (deg) 80 00 M 14 8 10 12 2 0 6 4 4 10 2 6 0 8 Italy **x** Vertical profiles V32 44 44 V30 France **AERONET** stations Frioul V52 V22a V51 V28b 116 V27a-27b -23b V23a 42 V22b × v24 V28a 42 Spain V25 X V20 Eŕsa Barcelona 40 V3⁄ 40 8 10 4 6 Longitude (deg)

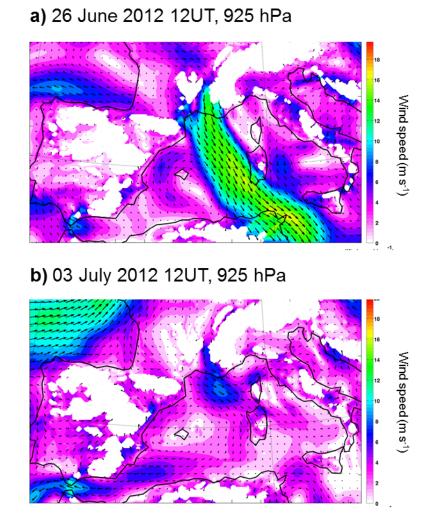
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Figure 2. Aerosol optical depth at 440 nm (τ) and Ångström exponent (α) measured at the Barcelona, Frioul, and Ersa AERONET stations during the TRAQA 2012 (left panels) and the SAFMED 2013 (right panels) campaigns. The time period for the different plots is ±10 days around the beginning/end of the two campaigns (data for the Barcelona station are not available over the entire period for 2012). The label D indicates the days affected by Saharan dust.

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- 953 954



- 971 Figure 3. Example of wind maps at 925 mbar for 26 June and 3 July 2012. The maps are obtained
- 972 from the WRF-Chem model (Weather Research and Forecasting Chemistry) at 10-km horizontal
- 973 resolution.



- 90.

Figure 4. (Left) Scattering coefficient σ_s at 450, 550, and 700 nm versus the scattering Ångstrom exponent α_s . Cases with extremely negative (<-2) and positive (>4) values of α_s are always related with very low scattering coefficients, and are likely due to instrumental noise under low scattering conditions. (Right) Frequency of occurrence of α_s obtained considering vertical profiles data from all TRAQA and SAFMED flights.

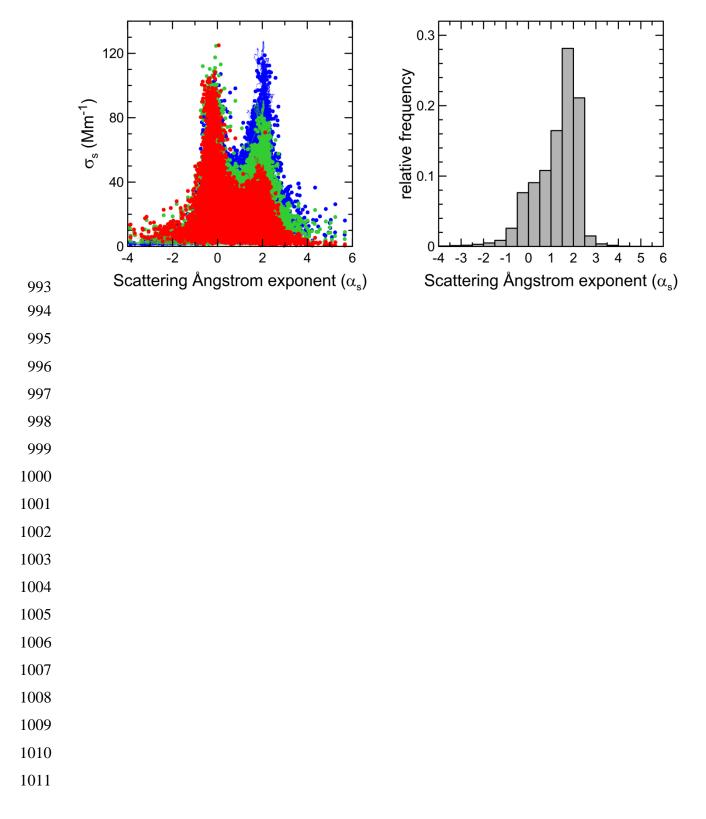
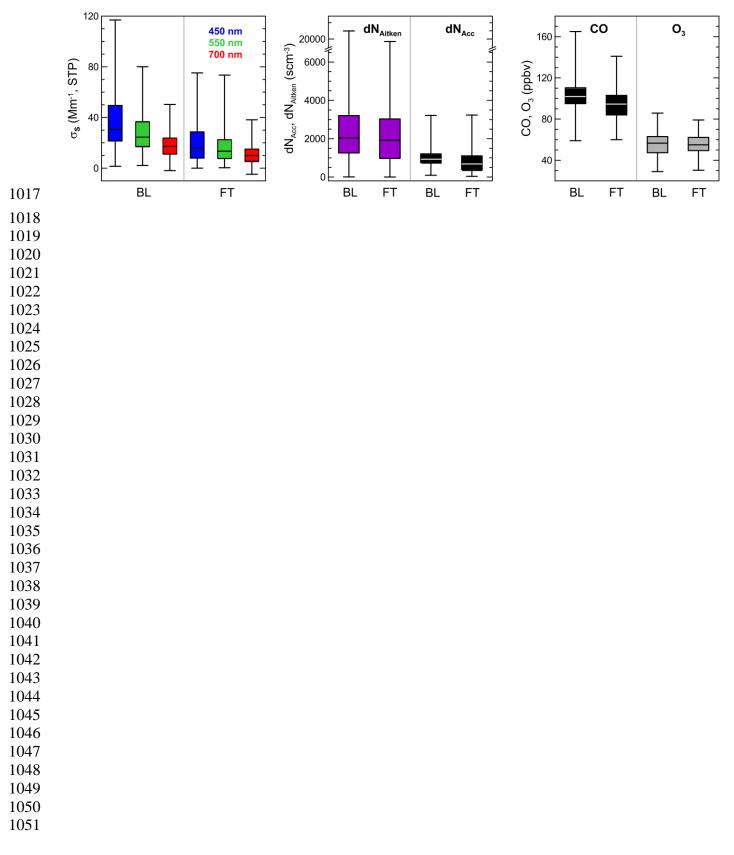


Figure 5. Box and whisker plot of the aerosol scattering coefficient (σ_s) at 450, 550, and 700 nm, particle concentration in the Aitken (dN_{Aitken}) and accumulation (dN_{Acc}) modes, and CO and O₃ measured within pollution plumes in the boundary layer (BL) and in the free troposphere (FT).



1052 Figure 6. Vertical profiles of the spectral scattering coefficient σ_s at 450, 550, and 700 nm and 1053 particle number concentration in the 0.1-1.0 µm (dN_{Acc}) and 1.0-4.0 µm (dN_{Coarse}) diameter ranges 1054 observed during TRAQA and SAFMED. Data are reported at STP (standard temperature and 1055 pressure, T =293.15 K and P=1013.25 hPa). The heights of the top of the marine aerosol boundary 1056 layer (MABL) and planetary boundary layer (BL) estimated from the meteorological profiles are 1057 also indicated in the plots. The label D is used to identify the aerosol layers affected by Saharan 1058 dust. For certain flights (V22, V23, V27, and V28) two vertical soundings were performed; the 1059 letters "a" and "b" after the flight number in this plot specify if the considered data are taken from 1060 the first or the second sounding, respectively.



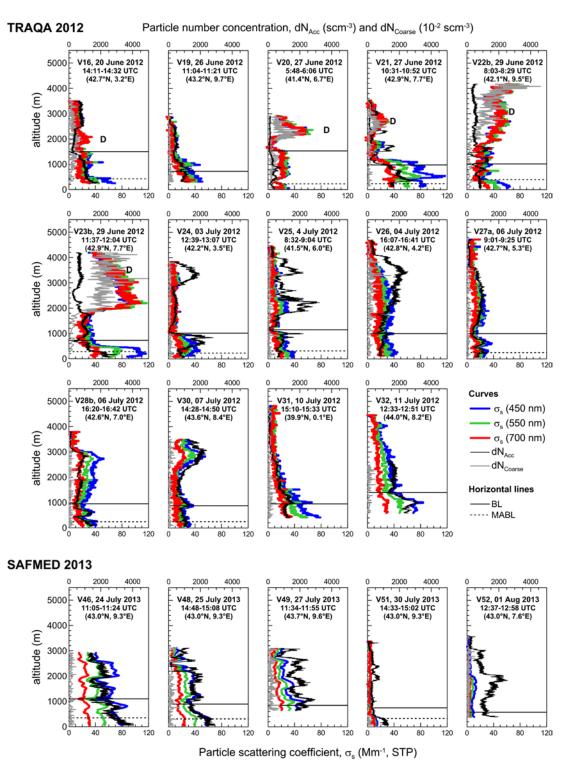
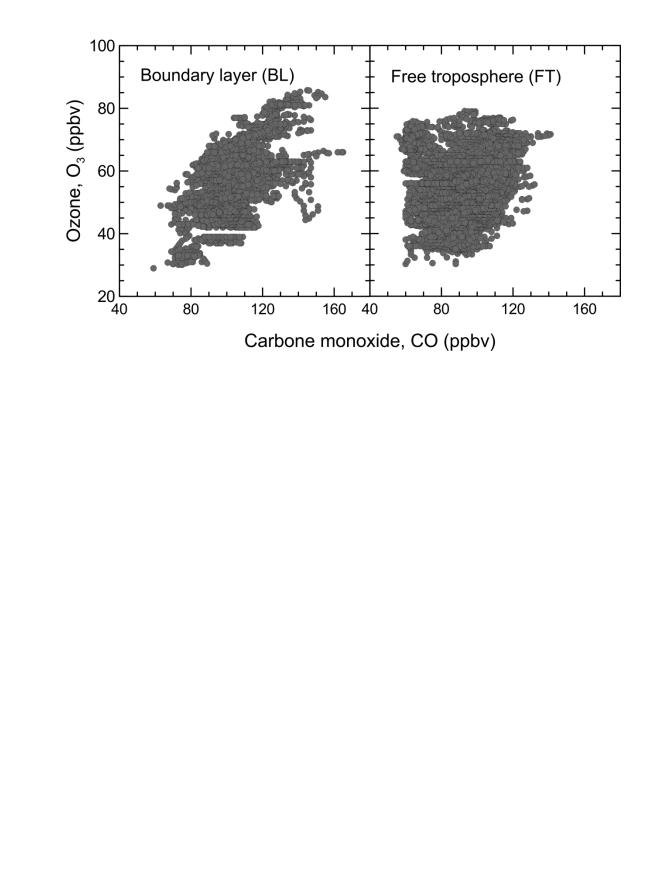


Figure 7. O₃ versus CO in the boundary layer (BL) and the free troposphere (FT) for all TRAQA
 and SAFMED vertical profiles (dust observations excluded).



1097 Figure 8. Aerosol and trace gases vertical profiles for flights V19 (export from northern Italy/Po 1098 Valley), V20 (Mistral event), and V24 (export from the Barcelona area). The plots show the: (a) 1099 spectral scattering coefficient σ_s at 450, 550, and 700 nm (blue, green, and red lines, respectively), temperature (T, black line), and relative humidity (RH, light blue line); (b) particle number 1100 concentration in the 0.004-0.1 μm (dN_{Aitken}, purple line) and 0.1-1.0 μm (dN_{Acc}, black line) diameter 1101 1102 ranges, (c) CO (black dots) and O₃ (grey dots) mixing ratios, (d) ozone enhancement factor 1103 $\Delta O_3/\Delta CO$ (grey dots) and Aitken to accumulation ratio dN_{Aitken}/dN_{Acc} (pink dots) and (e) horizontal 1104 wind vector. The heights of the top of the MABL (dotted line) and BL (solid line) are also 1105 indicated.

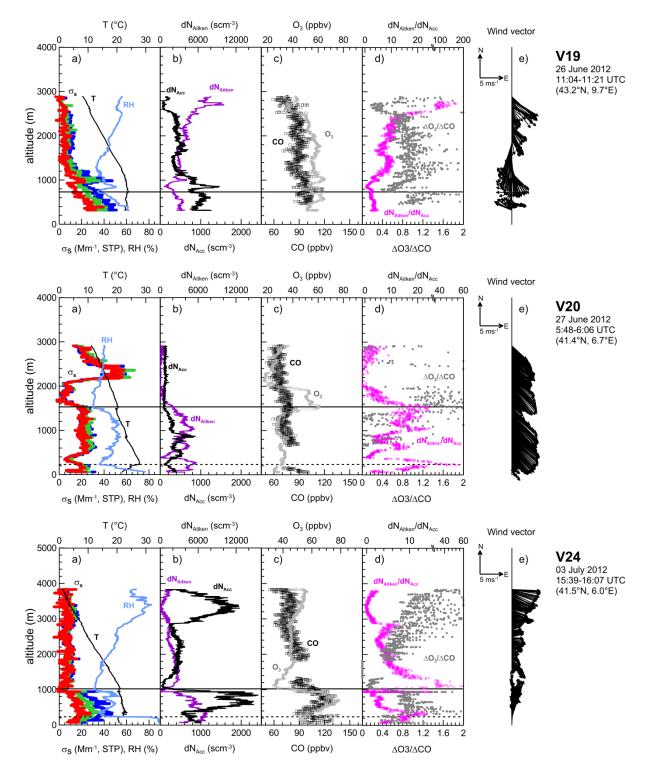
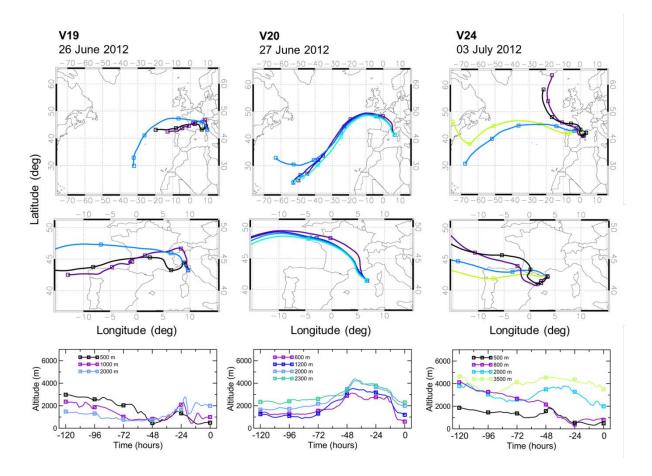


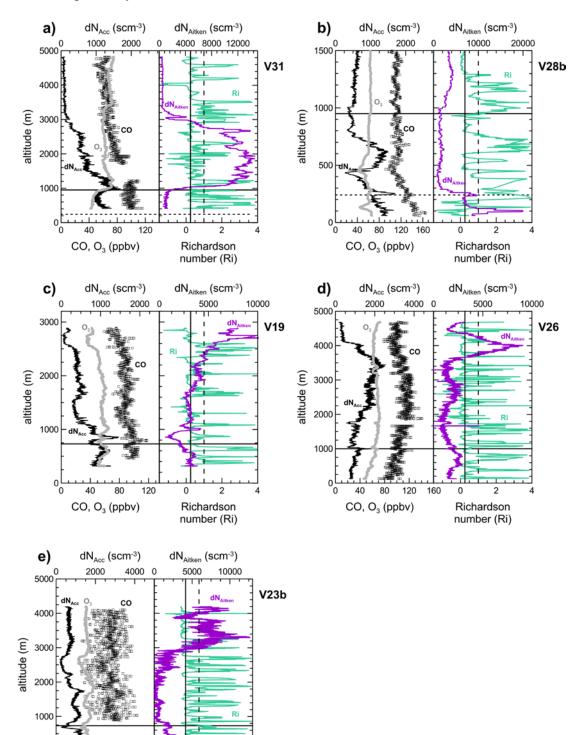
Figure 9. Five-days backward air mass trajectories for the V19, V20, and V24 flights calculated with the FLEXTRA model. The upper panel shows the trajectories over an extended latitude-longitude region, while the central panel zooms on the Western Mediterranean area. The altitude of the air masses and its temporal evolution along the five days trajectories is reported in the lower panel of each plot.



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Figure 10. Vertical profiles of the accumulation and Aitken particle concentrations (dN_{Acc} , black line, and dN_{Aitken} , purple line), CO (black dots), O₃ (grey dots), and gradient Richardson number (Ri, green line) for flights a) V31, b) V28, c) V19, d) V26 and e) V23b. The horizontal lines indicate the height of the marine boundary layer MABL (dotted line) and the planetary boundary layer BL (continuous line), while the vertical lines indicate Ri_{crit}=0.25 and Ri=1 (continuous and dashed lines, respectively).

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0L

40 80 120 160 0

CO, O₃ (ppbv)

Richardson

number (Ri)