| 1 | Organic photolysis reactions in tropospheric aerosols: Effect on |
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| 2 | secondary organic aerosol formation and lifetime |
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23 Abstract

24 This study presents the first modeling estimates of the potential effect of gas- and particle-phase 25 organic photolysis reactions on the formation and lifetime of secondary organic aerosols (SOA). 26 Typically only photolysis of smaller organic molecules (e.g. formaldehyde) for which explicit 27 data exist is included in chemistry-climate models. Here, we specifically examine the photolysis 28 of larger molecules that actively partition between the gas and particle phases. The chemical 29 mechanism generator GECKO-A is used to explicitly model SOA formation from α -pinene, 30 toluene, and C₁₂ and C₁₆ n-alkane reactions with OH at low- and high-NOx. Simulations are 31 conducted for typical mid-latitude conditions and a solar zenith angle of 45° (permanent 32 daylight). The results show that after four days of chemical aging under those conditions 33 (equivalent to eight days in the summer mid-latitudes), gas-phase photolysis leads to a moderate 34 decrease in SOA yields i.e ~15% (low-NOx) to ~45% (high-NOx) for α -pinene, ~15% for 35 toluene, ~25% for C_{12} -alkane, and ~10% for C_{16} -alkane. The small effect of gas phase photolysis 36 on low volatility n-alkanes such as C_{16} -alkane is due to the rapid partitioning of early-generation 37 products to the particle-phase where they are protected from gas-phase photolysis. Minor changes 38 are found in the volatility distribution of organic products and in oxygen to carbon ratios. The 39 decrease in SOA mass is increasingly more important after a day of chemical processing, 40 suggesting that most laboratory experiments are likely too short to quantify the effect of gas-41 phase photolysis on SOA yields. Our results also suggest that many molecules containing 42 chromophores are preferentially partitioned into the particle phase before they can be photolyzed 43 in the gas-phase. Given the growing experimental evidence that these molecules can undergo in-44 particle photolysis, we performed sensitivity simulations using an empirically estimated SOA photolysis rate of $J_{SOA}=4\times10^{-4}$ J_{NO2}. Modeling results indicate that this photolytic loss rate would 45 46 decrease SOA mass by 40-60% for most species after ten days of equivalent atmospheric aging at 47 mid-latitudes in the summer. It should be noted that in our simulations we do not consider in-48 particle or aqueous-phase reactions which could modify the chemical composition of the particle, 49 and thus the amount of photolabile species. The atmospheric implications of our results are 50 significant for both the SOA global distribution and lifetime. GEOS-Chem global model results 51 suggest that particle-phase photolytic reactions could be an important loss process for SOA in the 52 atmosphere, removing aerosols from the troposphere on timescales of less than 7 days that are 53 comparable to wet deposition.

54

55 **1 Introduction**

56 Secondary organic aerosols (SOA) are ubiquitous atmospheric constituents formed by 57 photochemical oxidation of anthropogenic and biogenic hydrocarbons that can lead to adverse 58 health effects (Fann et al., 2012) and radiative forcing of climate (Boucher et al., 2013). Their 59 atmospheric burden and lifetime are highly uncertain due to our limited understanding of 60 processes controlling their formation, aging and removal in the atmosphere. SOA yields and the 61 volatility distribution of intermediate oxidation products greatly depend on the competitive 62 chemistry of peroxy radicals (RO₂) formed from oxidation of parent hydrocarbons, which can 63 react with nitrogen oxides (NO), hydroperoxy radicals (HO₂), or other RO₂ (Ziemann and 64 Atkinson, 2012). The resulting oxygenated molecules contain carbonyl, peroxide or nitrate 65 chromophores, and are potentially sensitive to photolysis during their lifetime in the atmosphere 66 (Finlayson-Pitts and Pitts, 2000). Photolysis can occur in the gas-phase and in the condensed 67 phase as particles containing photolabile compounds efficiently absorb light at actinic 68 wavelengths (e.g. Lambe et al., 2013; Wong et al., 2014). Unlike OH reactions that mainly lead to 69 addition of more functional groups, photolysis mainly fragments molecules into smaller and more 70 volatile compounds thus significantly modifying SOA composition and properties during 71 atmospheric aging.

72 Evidence that photolysis modulates SOA formation and lifetime in the atmosphere is supported 73 by a growing number of laboratory experiments, which showed that exposure to UV lights can 74 suppress SOA formation or even cause substantial loss of biogenic SOA. Presto et al. (2005) 75 observed a 20-40% decrease in aerosol yields during α -pinene ozonolysis experiments conducted 76 under UV lights. Zhang et al. (2006) found similar sensitivity to UV exposure for d-limonene 77 ozonolysis SOA, with a mass yield decrease of 60% for compounds with saturation concentration of 1 µg m⁻³. In both cases, the SOA decrease was attributed to the photolysis of gas-phase 78 79 intermediates during the active growth phase and changes in their volatility distribution. Specific

80 SOA aging experiments were also performed to isolate the effect of photolysis from other 81 processes (e.g. Tritscher et al., 2011; Salo et al., 2011; Henry and Donahue, 2012; Donahue et 82 al., 2012). In those experiments, SOA was first formed from α -pinene ozonolysis in the dark, and 83 then the products were irradiated (with UV lamps or solar lights), which allowed separation of the 84 aging by OH-radical oxidation and photolysis from the initial condensation of primary products. Henry and Donahue (2012) reported a strong photolytic loss of 6×10^{-5} s⁻¹ of the formed SOA 85 mass upon UV 360nm black-light exposure with lower OH levels ($\sim 10^6$ molecules cm⁻³) via H₂O₂ 86 87 photolysis. In additional experiments reported by Donahue et al. (2012), where OH was formed 88 via HONO photolysis, an initial increase in SOA concentrations was first observed, followed by their strong decrease as OH concentrations dropped from 10^7 to 10^6 molecules cm⁻³. The authors 89 90 attributed this SOA loss to photolysis in the gas-phase followed by particle-to-gas re-91 equilibration, under the assumption that particle-phase quantum yields of photodissociation are 92 small due to quenching and cage effects from neighboring molecules. However, recent studies 93 that were able to decouple gas-phase and condensed-phase processes seem to suggest a rapid 94 photolytic loss of SOA in the condensed phase. Epstein et al. (2014) irradiated α -pinene 95 ozonolysis SOA denuded from gas-phase oxidants and organic vapors, and concluded that 96 condensed-phase photolysis was responsible for a significant decrease in SOA mass caused by 97 the photochemical loss of particle-bound peroxide species (a 50% loss over 1 equivalent week in 98 the atmosphere). Wong et al. (2014) also reported a substantial photolytic loss of α -pinene SOA 99 mass (generated by re-atomization after sampling into filters) under UVB lights with loss rates of 7.9×10^{-5} s⁻¹ under dry conditions and a 2x faster loss (1.6×10^{-4} s⁻¹) under higher relative 100 101 humidities.

102 During photochemical aging in the atmosphere, SOA can be both generated by oxidative 103 functionalization with OH, and destroyed by photolysis. As these processes are occurring 104 simultaneously and during the entire organic aerosol (OA) lifecycle in the atmosphere (typically a week), it is currently challenging to quantify separately the effect of photolysis on SOA yields and aging from laboratory experiments, and to describe their effect in the models. To our knowledge, photolysis of oxygenated organic molecules in the gas- or condensed-phase is ignored in most current chemistry-climate models, which could result in substantial errors in SOA predictions. In addition, the experimental quantification of SOA photolytic loss could be significantly biased due to SOA evaporation caused by heating inside the chamber upon UV light exposure (*Denjean et al., 2014*).

112 The objective of the present study is to examine the effect of both gas- and condensed-phase 113 photolysis on SOA formation and lifetime using process and global modeling. First, we study the 114 multi-day growth of SOA from four typical precursors (α -pinene, toluene, and semi-volatile and 115 intermediate volatility n-alkanes) under idealized conditions (constant fixed daylight, 116 temperature, OH, NOx, O₃, and preexisting OA) to assess the maximum potential impact of 117 photolysis on SOA formation. The mechanism generator GECKO-A is used to create explicit 118 oxidation schemes for these precursors, which are then run within a box model to assess the effect 119 of photolysis on SOA yields under a range of conditions. The effect of gas-phase photolysis is 120 explicitly quantified in the box model, whereas the potential role of in-particle photolysis is 121 empirically estimated and discussed based on sensitivity simulations. We then include a 122 simplified parameterization of photolysis reactions within a global chemistry model to estimate 123 the potential effect of photolysis on ambient SOA under realistic conditions involving spatial and 124 temporal variability in SOA precursor emissions and chemistry, and in the presence of other 125 competing SOA loss processes.

126

2 Modeling framework

127 The mechanism self-generator GECKO-A (Generator of Explicit Chemistry and Kinetics of 128 Organics in the Atmosphere) was used in this study to create the detailed gas-phase oxidation 129 mechanisms for individual SOA precursors including α -pinene, toluene, and C₁₂ and C₁₆ n130 alkanes. The chemical mechanisms are created using a prescribed set of rules determining 131 reaction pathways and rate coefficients, based on laboratory kinetic data, and structure-activity 132 relationships as described by Aumont et al. (2005). The protocol currently implemented in 133 GECKO-A allows the generation of chemical mechanisms for aliphatic species only. For 134 aromatic species (i.e toluene in this study), the mechanism is taken from the Master Chemical 135 Mechanism (MCM) (Jenkin et al., 2003) up to the formation of ring opening products, where 136 mechanism generation by GECKO-A is next used. Rate coefficients for reaction of OH with 137 organics are based on structure-reactivity rules of Kwok and Atkinson (1995) and subsequent 138 updates. In this study, we have updated the rate constants for H-atom abstraction from carbon 139 atoms containing a hydroperoxide functionality (e.g., RC-H(OOH)R). Kinetic data for OH / 140 hydroperoxide reactions are sparse in the literature, and previous versions of GECKO-A assumed 141 an activation factor (i.e. an enhancement of the rate constant due to the presence of the functional 142 group) of 14 on the basis of data for the OH/CH_3OOH reaction. That is, the presence of the – 143 OOH group was assumed to increase the reactivity of the adjacent C-H bond(s) by this factor. We 144 have changed this factor to 3.5 similar to that for -OH (Atkinson R. personal communication), 145 and discuss its effect on our results in section 3.1. The choice of a lower activation factor is 146 supported by measurements of gas-phase dodecyl hydroperoxides in the work of Yee et al. 147 (2012), who found that the loss of these peroxides was much too fast when using the MCM value 148 based on a large value of F(-OOH). For the gas-particle partitioning, instantaneous equilibrium is 149 assumed, and the Nannoolal et al. (2008) approach is used to estimate the saturation vapor 150 pressure for non-radical species. The fraction that is partitioned to the particle phase can be determined as $F_{aerosol,i} = \left(\frac{C_{OA}}{C_{OA}+C_i^*}\right)$ where C_{OA} is the aerosol mass concentration (µg m⁻³), and 151 C_i^* is an effective saturation mass concentration (µg m⁻³). The gas/particle equilibrium and the 152 153 composition of SOA are constantly modified as the gas-phase oxidation progresses during the 154 atmospheric aging. Condensed-phase reactions are not considered, nor are potential diffusion

155 limitations to SOA partitioning. Gas-phase photolytic reactions are included for molecules 156 containing carbonyl, hydroperoxide or nitrate chromophores. For species containing several 157 functional groups, each chromophore is treated independently, except for conjugated carbonyls. 158 The photolysis of nitroaromatic compounds is not included. To determine the associated 159 photolysis rates, each molecular structure predicted by GECKO-A is assigned a reference 160 compound with its associated cross sections and quantum yields as described by Aumont et al. 161 (2005, see Table 4). SI-Table 1 summarizes the photolysis rates for chromophores and molecular 162 structures that are considered in GECKO-A. Particle-phase photolysis is not explicitly calculated 163 in the default model, and sensitivity simulations will be preformed in this study to quantify its 164 effects as discussed in section 3.2.

165 In this study simulations are performed in a box model with the prescribed conditions 166 representative of ambient air as in the study by Hodzic et al. (2014) to quantify the effect of 167 photolysis on SOA formation and yields. In these runs, temperature is set to 298K, photolysis frequencies are calculated for mid-latitudes at a solar zenith angle of 45° ($J_{NO2} = 8.1 \times 10^{-3} \text{ s}^{-1}$ 168 169 which corresponds to a constant daylight). NO_x levels are held at 0.01 ppb for low and 10 ppb for high-NOx conditions, ozone is set at 40 ppb, and OH is kept constant at 2×10^6 molecules cm⁻³. 170 The pre-existing OA concentration is 10 µg m⁻³, typical of moderately polluted conditions. 171 172 Sensitivity simulations with higher OH values (8×10⁶ molecules cm⁻³) or lower pre-existing OA (1 µg m⁻³) are also performed. The initial hydrocarbon mixing ratio is fixed to an arbitrary low 173 174 value of 1 ppt, so that the amount of aerosol produced from the given precursor is negligible 175 compared to preexisting OA prescribed in the study and will not impact the gas/particle 176 partitioning, nor the overall photochemical reactivity. Under these conditions, SOA yields are 177 independent of the amount of initial precursor as discussed by Hodzic et al. (2014). SOA yields 178 and volatility distribution of intermediate products depend to a large extent on the relative rates of 179 RO₂+HO₂ and RO₂+RO₂ (minor) versus RO₂+NO reactions. We calculated that 8% of RO₂ reactions proceed with NO under "low-NOx" vs. 99.9% under "high-NOx" conditions consideredin this study.

We define the *Photolysis Age* in J_{NO2} equivalent days (Table 1) as the J_{NO2} exposure of the simulated SOA during our simulations normalized to a 1 day average summer (or winter) J_{NO2} exposure: *Photolysis Age* = $\frac{(J_{NO2} \times time)}{J_{NO2_average}}$ where *time* is the duration of the simulation in days. Photolysis Age values are reported in Table 1 for our experiments. Typically, our 1 week simulations performed under constant lights at mid-latitudes and a solar zenith angle of 45° (J_{NO2} = 8.1×10^{-3} s⁻¹) are equivalent to about 2 equivalent weeks of exposure in the atmosphere at midlatitudes during summer, or to about 38 equivalent days during winter.

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3 Results and discussion

191 **3.1** Importance of gas-phase photolysis of organics

192 To investigate the role of gas-phase photolysis on SOA formation and yields, we compared 193 experiments with photolysis on and off for four typical SOA precursors including α -pinene, toluene, and C₁₂ and C₁₆ n-alkanes (Table 2). In the "photolysis off experiment" photolysis of all 194 195 organic species (except for formaldehyde and methylhydroperoxide) is turned off, whereas all 196 inorganic compounds (i.e. O₃, NO₂, NO₃, H₂O₂, HONO, HNO₃, HNO₄) still undergo photolysis. Figure 1 shows the results for two different levels of NO_X (0.01 ppb and 10 ppb), OH (2x10⁶ and 197 8x10⁶ molecules cm⁻³) and background OA (1 and 10 µg m⁻³). BASE case simulations with and 198 199 without photolysis of organics are shown in black. The results indicate that in a week of chemical 200 aging with constant daylight or two weeks of equivalent atmospheric summertime exposure 201 (representative of Boulder 40° N with the solar zenith angle of 45°) the gas-phase photolysis leads 202 to a 10 to 45% decrease in SOA concentrations depending on the precursor. Most of the decrease 203 occurs in the first 4 days of the simulation (8 equivalent atmospheric days). For a given species, 204 the sensitivity to gas-phase photolysis is comparable under low- and high-NO_X conditions, except for α -pinene SOA, which seems to be more sensitive under high-NO_X. Our results also suggest that for most species the resulting loss of SOA due to gas-phase photolysis is increasingly more important as the chemical processing time is increased during the first week atmospheric exposure (Figure SI-1).

209 The largest effect on yields (Table 3) is predicted for α -pinene SOA, with a reduction of 16% 210 under low-NOx and 47% under high-NOx during the entire experiment. The reduction is 211 relatively small during the initial 10 hours, which is a typical duration of laboratory experiments, 212 with a 2% decrease at low-NOx (10% high-NOx), and reaches ~5% (20%) after 1 day, or 12% 213 (42%) after one week of equivalent atmospheric ageing. For toluene oxidation, the effect of 214 photolysis is more limited, and does not exceed 15% for either low- or high-NOx conditions. We 215 note however that the effect could be underestimated as the photolysis of nitroaromatic 216 compounds, which are strong absorbers, is currently not represented in GECKO-A. For products 217 of n-alkanes, the relative decrease in SOA yields is ~10% for C_{16} n-alkanes and ~25-30% for C_{12} 218 n-alkane. The sensitivity to gas-phase photolysis is more important for C_{12} than C_{16} n-alkane, due 219 to the fact that products of C₁₆ n-alkane are partitioned to the particle-phase in a much greater 220 fraction after one generation of chemistry where they are protected from gas-phase photolysis, 221 whereas it takes several generations to produce substantial SOA from shorter chain n-alkanes 222 (Aumont et al., 2012).

The efficiency of the gas-phase photolysis will depend on the residence time of organic vapors in the gas-phase, which can be significantly modified by the chemical environment. For instance, an increase in the OA mass available for the gas/particle partitioning is expected to enhance the partitioning of organics to the condensed phase where they will be protected from gas-phase photolysis. Similarly, a faster gas-phase oxidation rate (higher OH) is expected to lead to more rapid generation of the low volatility organic species which can condense into the particle-phase thus making them less vulnerable to the gas-phase photolysis. Sensitivity simulations with 4230 times higher OH concentrations (SENS OH) and 10-times lower background OA (SENS OA) 231 are performed to evaluate these effects (Figure 1). As expected, SOA formation occurs more 232 rapidly when a 4-fold increase in OH is considered. The decrease by an order of magnitude in the 233 amount of the pre-existing OA (and thus reduced gas/particle partitioning) also affects the amount 234 of SOA formed. A large (~75%) decrease in SOA production is observed for toluene because a 235 significant fraction of the predicted oxidation products have effective saturation mass concentrations (C*) in the 1-10³ μ g m⁻³ range (Figure 4, see also Hodzic et al. (2014)). The effect 236 237 is more limited for SOA produced from other precursors (up to 30%). For all precursor species, 238 the sensitivity to photolytic reactions remains qualitatively similar (within 10%) regardless of the 239 OH and OA background values (Figure SI-1). As expected, a decrease in background OA 240 concentrations leads in most cases to an enhancement of the SOA photolytic loss, whereas an 241 increase in OH levels tends to result in a reduced SOA photolytic removal. We also note that the 242 sensitivity to gas-phase photolysis is not significantly modified when diurnally variable 243 photolysis rates are considered instead of fixed constant daylight conditions (see Figure SI-3).

244 Figure 2 shows the major functional groups in SOA molecules from various precursors. Fifteen 245 families of functional groups are considered and they account for 54% to 65% of the total SOA mass for α -pinene, 94% to 99% for toluene, and for 70% to 90% for C₁₂ and C₁₆ n-alkanes. 246 247 Positional isomers are lumped into the same family of compounds. Ketone (K) and alcohol (O) 248 moieties are present in a majority of the molecules, while hydroperoxides (H) are seen mainly at 249 low NO_X and nitrates (N) mainly at high NO_X . Gas-phase photolysis leads to a decrease in most 250 species, which seems to be particularly important for highly functionalized compounds containing 251 multiple carbonyl and nitrate groups (e.g. HKKKK, HHKK, HHKKK, HKKK, NNKK, NNKO 252 where e.g. HHKK refers to a molecule that contains two hydroperoxide and two ketone groups). 253 These species are formed by several generations of chemistry and are mainly found in the 254 particle-phase. Thus their decrease is more likely related to reductions in their precursor species 255 due to photodegradation than to their direct loss by gas-phase photolysis. Some molecules 256 containing alcohol groups (e.g. HHO, HKKO, HHKO) see an increase in their concentrations due 257 to gas-phase photolysis (see also Figure SI-2). This increase can be explained by photolysis of 258 hydroperoxides, which can lead to the formation of alkoxy radicals that can isomerize to form 259 alcohols. Thus photolysis can both contribute to SOA loss and to a lesser extent to its formation. 260 Typically, photolysis of carbonyl compounds (ketone and aldehydes) tends to break the α -carbon 261 bond on either side of the C=O group, leading to smaller more volatile fragments, that are less 262 likely to partition to the particle-phase. On the other hand, photolysis of hydroperoxides and 263 nitrates leads to elimination of -OH or -NO₂, leading to alkoxy radicals, and potentially further 264 functionalization of the carbon skeleton favoring formation of less volatile organic compounds, 265 that can partition more readily to the particle.

266 One of the highly uncertain factors that can influence the composition of SOA at low- NO_X is the 267 choice of the rate for abstracting the H atoms from the carbon atom that is adjacent to the 268 hydroperoxide (-OOH) group. As discussed in Section 2, in this paper we have used a lower 269 activation factor of 3.5 for estimating the rate constant of that process, instead of the GECKO-A 270 default value of 14 (Aumont et al., 2005). As shown in Figure SI-4 this change doesn't affect the SOA production when the gas-phase photolysis of organics is turned off (~8 μ g m⁻³ for C₁₂ n-271 272 alkane). However, the composition of SOA formed from n-alkanes is significantly modified, as is 273 the effect of gas-phase photolysis on SOA yields ($\sim 2x$ smaller when the value of 3.5 is 274 considered). The main difference is found for HKKKK and HKKK molecules which are much 275 more abundant when the value of 14 is used. These molecules originate typically from the 276 successive OH reaction, leading to hydroperoxide moieties under low-NO_x condition and their 277 subsequent fast oxidation to a ketone moiety due to a the large activation factor used. Reducing 278 this factor to 3.5 forces the OH to react away from the -OOH group. When the carbon backbone 279 is sparsely functionalized, this increases the rate of production of multifunctional species, in particular multifunctional peroxydes (e.g. HHO et HHK). However, when the carbon backbone is
highly functionalized, this leads to more fragmentation, because in most cases the OH attack is
now next to other functional moieties (e.g. multifunctional ketones).

283 Figure 3 shows the effect of gas-phase photolysis on oxygen to carbon (O/C) ratios of particles 284 for the BASE run. For all cases, changes in O/C ratios (<0.05) are minor. Slightly higher O/C 285 ratios at low NO_X were found in the presence of gas-phase photolysis as photolyzed fragments are 286 typically smaller and more volatile carbon chains that need to undergo further oxidation to 287 condense into particles. Our results are consistent with chamber studies by Wong et al. (2014) 288 that observed small changes in O/C with an increase in more oxidized compounds (high O/C) in 289 α -pinene SOA due to fast photodegradation of less oxidized particulate organics such as 290 carbonyls. Changes in SOA composition due to gas-phase photolysis can also affect the volatility 291 distribution of oxidized organic compounds. Figure 4 doesn't show a clear shift in volatility due 292 to gas-phase photolysis, but rather suggests that the SOA reduction is happening across a wide 293 range of volatility bins.

294 Our explicit modeling results suggest that gas-phase photolysis leads in some cases to moderate 295 changes in SOA yields (<25% for most precursors; <45% for high-NO_X α -pinene), and small 296 changes in volatility distribution and O/C ratios over 1 equivalent week of chemical aging in the 297 mid-latitude atmosphere in summer or 2.5 weeks in winter. The implication in terms of SOA 298 atmospheric lifetime is that gas-phase photolysis is a possible sink of intermediate organic vapors 299 and thus SOA, although a smaller sink compared to dry deposition of these gaseous species 300 (Hodzic et al., 2014, Knote et al., 2014). Indeed, the estimated summertime atmospheric lifetimes 301 against photolysis of the SOA from the four precursors considered in our study range from about 302 10 days for α -pinene under high-NO_X conditions (unlikely case), to more than a month for α -303 pinene under low-NO_X conditions or for long chain n-alkane species. These lifetimes are 304 considerably longer than values reported by laboratory studies (e.g. Henry and Donahue, 2012). 305 Current 3D models typically represent the oxidation products as lumped surrogate species based 306 on their volatility that can further age by OH oxidation but cannot photolyze due to the undefined 307 chemical structure of those intermediate species. Our results suggest that omitting their gas-phase 308 photolysis will likely result in reasonably small biases in SOA predictions over urban scales. 309 However, errors could be significant at the global scale in particular in the upper troposphere 310 where models have the tendency to accumulate SOA due to a less efficient wet removal.

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3.2 Importance of in-particle photolysis of organics

312 In the GECKO-A simulations described above, once the organic molecules are partitioned to 313 SOA they are protected from gas-phase photolysis. However, these molecules still contain 314 numerous chromophores (Figure 2) that absorb solar radiation, and could undergo photolysis 315 inside the particle. Optical absorption is also likely to be modified by the heterogeneous 316 formation of high molecular weight compounds inside the particle (Graber and Rudich, 2006). 317 As GECKO-A does not include condensed-phase photochemical reactions (or heterogeneous 318 chemistry), the effect of particle-phase photolysis on SOA cannot be calculated directly. In this 319 section, we consider a simple alternative empirical approach to examine the potential effect of 320 particle-phase photolysis within GECKO-A.

We base our estimates of condensed-phase photolysis on measured SOA mass absorption coefficient (*MAC*). Organic particles containing photolabile compounds have been shown to efficiently absorb light at actinic wavelengths. Recently measured *MAC* values range from 0.03 to 0.5 m²g⁻¹ for laboratory data (*Lambe et al.*, 2013) or from 0.1 to 10 m²g⁻¹ for ambient urban measurements (e.g. *Barnard et al.*, 2008) in the 300-400 nm wavelength interval. We use those measurements to estimate the condensed-phase photolysis of SOA. We represent the photolytic SOA loss as a first order reaction, with effective reaction rate coefficient J_{SOA}:

$$J_{SOA} = [AF] \times [MAC] \times [mc] \times [QY]$$
(1)

where *AF* is the actinic flux (photons m⁻² s⁻¹), *MAC* is the SOA mass absorption coefficient (m² g⁻¹), *mc* is the mass of one carbon atom (g) and *QY* is the quantum yield or the probability that absorbed photons will lead to bond cleavage and the loss of some mass from the particle. We assume that if each absorbed photon leads to the loss of one C atom, the quantum yield is equal to one. We can scale (1) to known NO₂ photolysis:

334
$$J_{SOA} = J_{NO2} \times [AF/J_{NO2}] \times [MAC] \times [mc] \times [QY]$$
(2)

The photolysis model TUV (v5.1, (*Madronich et al. 1993*)) was used to estimate the UV actinic flux (= 2×10^{20} photons m⁻² s⁻¹) and NO₂ photolysis (= 9.7×10^{-3} s⁻¹) over 280-400 nm at 1 km altitude and overhead sun, which combined with [mc] gives [AF/J_{NO2}] × [mc] = 0.4 photons g m⁻². Thus the resulting SOA photolysis rate can be written as:

$$J_{SOA} = 0.4 \times J_{NO2} \times [MAC] \times [QY]$$
(3)

340 To estimate the plausible range of J_{SOA} values, we use the combinations of [MAC] x [QY] reported in the literature. Here we use MAC of 0.1 m²g⁻¹ as a lower limit for ambient aerosols. We 341 342 note that for the chosen MAC value the light can penetrate the whole volume of the particle 343 without being significantly attenuated by the absorbers (light attenuation was estimated to be less 344 than 2-3%, see discussion SI Annex IV). QY has only been measured for a handful of species. 345 Calvert and Pitts (1966) reported values of 0.01 (or 1%) for photolysis of aldehydes in the 346 aqueous phase. Lignell et al. (2013) reported values of 0.5 for cis-pinonic acid, which is one of 347 the constituents of α -pinene SOA, whereas Wong et al. (2014) estimated an effective quantum 348 yield of 1.2 ± 0.2 for the loss of organics in the case of α -pinene SOA photolysis. Given the range 349 of values, here we use a conservative value of 0.01 (or 1%) for QY. Thus our best estimate for 350 J_{SOA} is 0.04% of J_{NO2}. This value of J_{SOA} is applied as a first order removal rate for each 351 photolabile species in the particle phase. The photo fragments are not longer considered and the 352 condensed phase photolysis is here considered as an irreversible loss of one carbon atom. We 353 note that this calculated value is 1-2 order of magnitude lower that those reported by Henry and Donahue (*2012*) who estimated the photolytic loss of SOA as 2% of J_{NO2} (total value of both particle and gas-phase photolysis and J_{NO2} of 3×10^{-3} s⁻¹). In their experiments, Henry and Donahue (*2012*) argued that photolysis is more efficient (higher QY) in the gas-phase than in the particle phase where quenching and caging are more likely to occur and could cause rapid recombination of fragments. Therefore a lower *QY* may be expected in the particles, although it is unclear whether similar molecules are involved in photolysis in the two phases. We also note that photolysis of SOA is assumed to not occur at visible wavelengths (i.e. QY = 0 for $\lambda > 400$ nm).

Figure 5 shows that considering the above estimated condensed-phase photolytic loss of SOA $(J_{SOA} = 4 \times 10^{-4} \times J_{NO2} = 3.2 \times 10^{-6} \text{ s}^{-1}; \text{ lifetime of 7 days at equivalent } J_{NO2} \text{ atmospheric exposure, see}$ Table 1) in GECKO-A simulations leads to a 40-60% decrease in SOA mass after ten days of equivalent atmospheric aging for most species (J_{mac} run, Figures 5 and SI-1). A more limited decrease (15%) is found for the high-NOx toluene SOA because the photolytic loss of nitroaromatic compounds, which are predicted to be the major SOA constituents (RVVO in Figure 2), is not included.

368 For comparison, the effect of applying the gaseous photolysis rates for the corresponding species 369 in the condensed phase is shown in Figure 5 ($J_{molecmax}$). Similar to the simulations above based on 370 MAC, the photolysis is here again considered as an irreversible loss of organic carbon. The 371 comparison between J_{mac} and $J_{molecmax}$ shows a fairly similar (within 20%) reduction in SOA mass 372 for most precursors. The $J_{molecmax}$ run considers that chromophores and quantum yields are similar 373 in their gas and particle phase absorption, which is a crude assumption neglecting possible effects 374 form oligomerization, caging and quenching. In the absence of particle-phase chemistry, J_{molecmax} 375 could be viewed as an upper limit to photolysis effects, but changes in absorption with particle 376 aging complicate this simple interpretation as both enhancement and decrease in absorption have 377 been reported (e.g. Shapiro et al., 2009; Bones et al., 2010; Updyke et al., 2012; Zhong and Jang, 378 2014). In-particle reactions are likely to modify the chemical composition of the particle, and 379 therefore change the amount of photolabile species. For example, the work of Yee et al. (2012) 380 and Schilling-Fahnestock et al. (2014) indicate extensive formation of peroxyhemiacetals in the 381 SOA from dodecane oxidation at low NO_x. However, the precursor molecules are third and 382 fourth generation products, which contain additional, unfunctionalized ketone groups, which 383 would still be susceptible to photolysis.

384 The overall SOA loss rate due to the combined effect of gas- and particle-phase photolysis (and 385 ongoing OH chemistry) in GECKO-A runs was estimated for the J_{mac} simulations (see Table 4). Values range between 3.1×10^{-6} and 5.6×10^{-6} s⁻¹, which translates to equivalent atmospheric SOA 386 387 lifetimes of 4 to 8 days with regard to photolysis in the summer, except for high-NO_X toluene 388 SOA with a lifetime of 20 equivalent summer days for which the effect of photolysis is likely 389 underestimated in our simulations as discussed above. The estimated SOA lifetime with regard to 390 photolysis is comparable or even shorter to the typical ~ 1 week aerosol atmospheric lifetime 391 which suggests that photolysis may be an important removal mechanism for atmospheric SOA. 392 Atmospheric implications of our findings are further investigated in section 3.3.

393 The above estimates for the α -pinene SOA photolytic loss rate cannot be directly compared with 394 those of Henry and Donahue (2012) and Wong et al. (2014) due to several factors including: (i) 395 the differences in the chemical composition of particles as the experiments typically use the SOA 396 pre-generated by α -pinene ozonolysis for short (few hours) exposure, whereas in our simulations 397 the SOA is generated mainly by OH oxidation, and over a much longer time period (> 1 week); 398 (ii) the possible evaporation of SOA in the laboratory experiments due to chamber heating under 399 the UV lamps, which was not quantified in those experiments and which does not occur in our 400 model simulations; (iii) in-particle chemistry that could modify the composition and absorption 401 properties of the SOA and which is not included in our model, and is generally not well 402 understood.

403 For comparison with previous laboratory work, we ran the model under conditions similar to 404 Henry and Donahue (2012), in which α -pinene was oxidized by ozone in the dark in the presence 405 of hydrogen peroxide, and then the mixture was exposed to UV black lights as in Presto et al. 406 (2005) and/or to OH oxidation. Figure 6 shows the sensitivity of the aerosol mass to assumed 407 photolysis rates for these conditions. The experiment is performed for 5 hours at the constant J_{NO2} of 3×10^{-3} s⁻¹ which corresponds to 4 hours of equivalent summertime atmospheric exposure 408 409 (Table 1). Our results show that when exposed to only OH reactions (photolysis of organics was 410 turned off), SOA concentrations increase by $\sim 35\%$ in 5 hours of aging. On the other hand, SOA 411 exposed to only photolytic reactions (no OH) decrease by $\sim 7\%$ over the simulation period. The 412 combined effect of both photolytic and OH reactions is therefore an overall increase in SOA 413 concentrations by $\sim 30\%$. As done previously we also performed a sensitivity simulation in which 414 all molecules can photolyze in both gas- and particle-phases at the gas-phase rate. Figure 6 shows 415 that with those reactions the SOA concentrations are decreased by ~50% ($J_{molecmax}$) in 5 hours. 416 These results suggest that most of the photolabile molecules are partitioned to the particle phase 417 where they are protected from gas-phase photolysis. The corresponding loss rate due to the combined effect of gas- and particle-phase photolysis and OH oxidation, is 3.4×10^{-5} s⁻¹, which is 418 419 within a factor of 2-3 of the values reported by Henry and Donahue (2012) and Wong et al. 420 (2014).

421 **3.3** Potential effect of photolysis on global SOA budget and lifetime

We implement the estimated SOA gas-phase and in-particle photolytic loss rates within the GEOS-Chem global chemistry model (*Bey et al., 2001*) to investigate the regional and global effect of photolytic reactions on SOA concentrations. The GEOS-Chem model configuration used in this study is described in detail by Jo et al. (*2013*). In particular, SOA is modeled using volatility basis set approach with aging in which oxygenated semi-volatile organic compounds (SVOC) formed by the gas-phase reaction of nine lumped hydrocarbon species (representing 428 monoterpenes, sesquiterpenes, isoprene, and aromatic compounds) with OH, O₃, and NO₃ are 429 partitioned between gas and particle phases using 6 volatility bins (with saturation vapor 430 pressures ranging from 0.01-1000 μ g m⁻³ at 300K). Chemical aging of anthropogenic SVOC with 431 OH (with a rate constant of 4x10⁻¹¹ cm³ molecules⁻¹ s⁻¹) is assumed to reduce the vapor pressure 432 of the products by one order of magnitude. Model simulations are performed for year 2009.

433 Figure 7 shows the annual mean SOA concentrations predicted by the default GEOS-Chem run 434 within the lower troposphere (below 5 km). The predicted continental background levels of SOA typically vary between 0.2 and 0.4 μ g m⁻³, and the highest concentrations (>1.5 μ g m⁻³) are found 435 436 over tropical forest regions of Africa and South America. Industrialized and urban areas in China, 437 Europe and the U.S. feature SOA values significantly larger (0.5-1.5 μ g m⁻³) than the background. 438 These SOA values and spatial distribution are consistent with previous studies (e.g. Spracklen et 439 al., 2011; Jo et al., 2013). Our results (Figure 7b) suggest that gas-phase photolysis of 440 intermediate semi-volatile organic compounds leads to a very small (< 4%) reduction in SOA 441 concentrations under ambient conditions. A much larger impact on SOA concentrations is predicted for in-particle photolysis. When the previously estimated photolytic loss of 0.04% J_{NO2} 442 443 is applied within the GEOS-Chem model, the annual mean SOA concentrations in the lower 444 troposphere are decreased by $\sim 20-30\%$ over source regions, and up to 60% over remote regions 445 (Figure 8a). The absolute decrease is $\sim 0.3 \ \mu g \ m^{-3}$ over land and $\sim 0.1 \ \mu g \ m^{-3}$ over oceans, with the highest absolute decrease of 0.6 µg m⁻³ coinciding with the maximum predicted SOA 446 447 concentrations over Africa (Figure 7c). As the quantum yield of the particle-phase photolysis and 448 mass absorption coefficients are highly uncertain, here we also consider an order of magnitude 449 higher photolytic loss rate of 0.4% J_{NO2} . As shown in Figure 8c, this increase in J_{SOA} results in a 450 larger reduction of SOA concentrations in the lower troposphere reaching 50-70% over land 451 surfaces, and up to 70-90% over water surfaces. In both cases, a strong spatial gradient is found 452 between land and water surfaces, with larger relative reductions in SOA concentrations over

453 oceans. This gradient is due to the continuous photolytic losses, the effect of which accumulates
454 further away from source regions. Model results show that the relative decrease in SOA
455 concentrations is ~20-30% stronger in the upper troposphere compared to the lower troposphere
456 (Figure 8b,d).

457 Our results suggest that photolysis of SOA, which is currently ignored in chemistry-climate and 458 air quality models, could be an efficient removal process for organic particles. The diagnosed 459 SOA tropospheric lifetime against photolytic removal (annual-average tropospheric mass burden 460 divided by the annual tropospheric loss due to photolysis) ranges from 1 day for J_{SOA}=0.4% J_{NO2} 461 to 7 days for J_{SOA}=0.04% J_{NO2}, and is comparable to the lifetime associated with the SOA wet 462 deposition which ranges from 3.5 to 5.5 days in these model runs. This photolytic loss pathway is 463 expected to play a particularly important role in regions where wet deposition is not very efficient 464 such as the upper troposphere and lower stratosphere.

465 **4**

Conclusions

466 In this study, we investigated the sensitivity of SOA formation and aging in the atmosphere to 467 gas-phase and in-particle photolysis reactions of organic compounds that actively partition 468 between gas and particle phases. We apply the explicit chemistry model GECKO-A to simulate 469 SOA formation from OH oxidation of α-pinene, toluene, and C₁₂ and C₁₆ n-alkane precursors, and 470 to explore the sensitivity of this formation to gas-phase photolysis explicitly calculated in the 471 model. Our simulations are conducted for typical mid-latitude conditions (Boulder, CO) and a 472 solar zenith angle of 45° under a week of permanent daylight. The results suggest that photolysis 473 of intermediate organic compounds in the gas-phase leads to a moderate decrease in SOA yields 474 i.e ~15% (low-NOx) to ~45% (high-NOx) for α -pinene, ~15% for toluene, ~25% for C₁₂ n-475 alkane, and ~10% for C_{16} n-alkane during 8 days of equivalent atmospheric exposure in the 476 summer or 3 weeks in winter. This decrease depends on the aerosol chemical composition under 477 various NO_X levels, and the amount of photolabile molecules. SOA formed from precursors 478 considered here contained substantial amount of photolabile molecules, many of which were479 partitioned to the particle-phase before they could undergo gas-phase photolysis.

We performed sensitivity studies to estimate the potential effect of condensed-phase photolysis on SOA formation by applying an empirical J_{SOA} rate of $4 \times 10^{-4} \times J_{NO2}$ s⁻¹ to formed particles. Our results suggest that condensed-phase photolysis might have a substantial effect on SOA formation and subsequent aging, with a decrease of 40-60% in SOA yields over ten days of equivalent atmospheric aging at mid-latitudes in the summer.

Explicit modeling of a typical α -pinene ozonolysis SOA aging experiment was also performed using GECKO-A. The results show a minor decrease (~7%) in SOA concentrations in 5 hours of the aging experiment due to gas-phase photolysis of organic vapors under black UV lights. The SOA decrease is much more pronounced (~50%) during the experiment when particle-phase photolysis was added using the gas-phase rates. The corresponding loss rate due to the combined effect of gas- and particle-phase photolysis is 3.4×10^{-5} s⁻¹, which is within a factor of 2-3 of the

491 values reported by Henry and Donahue (2012) and Wong et al. (2014).

492 These photolysis processes were parameterized in a global chemistry model, and the results 493 suggest that condensed-phase photolytic reactions of organic aerosols could be an important loss 494 process in the atmosphere, removing SOA from the troposphere on timescales of \sim 7 days which 495 is comparable to those for wet deposition. In comparison, the gas-phase photolysis of semi-496 volatile organic compounds had a much smaller effect on SOA concentrations. We recognize that 497 processes occurring inside the particle-phase (e.g. oligomerization), which were not included in 498 our study, can modify the chemical composition and properties of those chromophores, thus 499 enhancing or reducing their ability to absorb radiation and undergo photolysis. These reactions 500 are still not well characterized (Atkinson and Ziemann, 2012) and are beyond the scope of this 501 paper.

We note that the absorption by organic gases and/or particles is not expected to substantially affect the radiation field itself. Even in highly polluted conditions, aerosol optical depth (AOD) rarely exceeds 2, and only a fraction (1 minus the single scattering albedo (SSA)) is absorbed e.g. AOD=2 and SSA=0.9 gives an absorption optical depth of 0.2, corresponding to about 20% reduction in radiation. This is a significant but not overwhelming reduction, so that even under these highly polluted conditions the photochemistry is expected to proceed vigorously. Regional and global effects are expected to be smaller.

509 The implications of our results in terms of SOA modeling are twofold: (i) gas-phase photolysis of 510 intermediate organic vapors which are currently ignored in most models, are likely to have a 511 moderate impact on SOA yields over typical aerosol lifetimes in the atmosphere; (ii) in-particle 512 photolysis could be a major sink for SOA if the quantum yields are substantial, and these need to 513 be better constrained from measurements and included in 3D models. It is also worth noting that a 514 substantial sink due to in-particle photolysis would imply that our current estimates of SOA 515 formation rates would have to be revised upwards to be consistent with observed atmospheric 516 SOA burdens. Finally, we note that a fuller understanding of ambient SOA formation rates will 517 require a better understanding of SOA formation from other condensed-phase processes such as 518 oligomerization and aqueous-phase chemistry.

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639 Figures:

640 Figure 1: SOA formation from the oxidation by OH of 1 ppt of α -pinene, toluene, C₁₂ and C₁₆ n-alkanes at 641 low (0.01 ppb) and high (10 ppb) NOx levels. Plots compare GECKO-A simulations with (dashed lines) 642 and without (full lines) gas-phase photolysis of organics at the solar zenith angle of 45° (mid-latitudes) 643 at constant daylight. To derive equivalent atmospheric summertime exposure of our experiment, the 644 time axes should be multiplied by a factor of 2 (see Table 1). Reference simulation (BASE) is shown in 645 black, and is compared to two sensitivity simulations testing for higher OH levels (SENS_OH in orange) 646 and lower absorbing organic aerosol mass (SENS_OA in blue). See Table 2 for the description of various 647 runs._______32 648 Figure 2: Particle-phase dominant functional groups in the top fifteen SOA constituents shown at the 649 maximum of the SOA yield. The BASE case simulations with (red bars) and without (blue bars) 650 photolysis of organic compounds are compared. The carbon atom ratio indicates the ratio of the carbon 651 atoms in the condensed phase to the initial carbon load included in the parent backbone. The sum of the 652 shown particle-phase constituents is lower than one as the rest of the carbon mass is in the gas-phase.33 653 Figure 3: Oxygen to carbon (O/C) ratios as predicted by the BASE case simulation with (dashed lines) and without (full lines) gas-phase photolysis of organics. _____ 34 654 655 Figure 4: Distribution of products of OH oxidation of 1 ppt of α -pinene, toluene, C_{12} and C_{16} n-alkanes at 656 low (0.01 ppb) and high (10 ppb) NOx levels according to their volatility. The volatility is expressed in 657 terms of the effective saturation concentration (C^*). Predictions represent values at the maximum SOA 658 yield based on the BASE case simulation with (red) and without (blue) gas-phase photolysis of organics. 659 660 Figure 6: GECKO-A simulation of a typical SOA aging experiment. SOA is first made in the dark in 2h 661 from α -pinene ozonolysis in the conditions where the formation of hydroperoxides dominates (through

 $662 RO_2 + HO_2 reactions). After the 2nd hour the initial precursor has been consumed, and the SOA mixture is$ 663 exposed to various conditions: (REF, black) UV black lights and OH of 10⁶ molecules cm⁻³; (J_{off}, red) only

664 *OH* oxidation with $OH=10^6$ molecules cm⁻³ and photolysis are turned off for organic compounds; (OH_{off} ,

665 cyan blue) only UV black lights; (J_{molecmax} orange) similar to the REF case, but the photolysis of organic

| 666 | molecules is performed also in the condensed phase. The UV lamp is that of Presto et al. (2005), with |
|-----|---|
| 667 | $J_{NO2}=3x10^{-3} s^{-1}$, NOx levels are kept at 0.01 ppb, and ozone levels are set at 50ppb during the aging |
| 668 | simulations. SOA loss rates reported in the experiments are also shown in shaded purple areas with |
| 669 | slopes corresponding to (1) Henry and Donahue (2012) ($6 \times 10^{-5} \text{ s}^{-1}$), (2) Wong et al. (2014) for dry |
| 670 | conditions (7.9×10 ⁻⁵ s ⁻¹) and (3) Wong et al. (2014) for humid conditions (1.6×10 ⁻⁴ s ⁻¹) 37 |
| 671 | Figure 8: Relative reductions (%) in SOA concentrations due to particle-phase photolysis in the lower (a, |
| 672 | c) and upper (b, d) troposphere. Two in-particle photolysis rates are considered i.e. J_{SOA} of 0.04% J_{NO2} |
| 673 | (left side), and J _{SOA} of 0.4% J _{NO2} (right side) 39 |

675 Tables

- 676 Table 1: Photolysis rate coefficients and photolytic lifetimes for typical atmospheric conditions
- 677 and for our simulations. Typical ozone overhead values are used at different locations based on
- 678 the Total Ozone Mapping Spectrometer data (http://disc.sci.gsfc.nasa.gov/acdisc/TOMS).

| Typical Conditions | | | | Aver J _{NO2} | -age (s ⁻¹) | Average J _{01D} (s ⁻¹) | Average J _{N03->N02+0} (s [.] 1) | |
|---|---------------------------------|---|--|--|----------------------------|---|---|------------------------------------|
| Boulder CO, summer solstice | 40° 0 _{3c0} no a | N, sea leve _{n.} =310DU, 10% aerosols, no clou | l, June 21, ground albedo, ds | | | 4.1×10 ⁻³ | 1.0×10 ⁻⁵ | 9.1×10-2 |
| Boulder CO, winter solstice | 40° 0 _{3co} no a | N, sea leve n.=330DU, 10% aerosols, no clou | el, Dec ground alb ds | 21, edo, | | 1.5×10 ⁻³ | 1.2×10 ⁻⁶ | 4.6×10 ⁻² |
| Equator June 21 | Sea albe | level, O _{3col.} =260 edo, no aerosols, | DU, 5% gro no clouds | und | d 3.3×10 ⁻³ | | 1.0×10 ⁻⁵ | 7.3×10 ⁻² |
| Equator March 21 | Sea albe | level, O _{3col} =258 edo, no aerosols, | DU, 5% gro no clouds | und | : | 3.6×10 ⁻³ | 1.3×10 ⁻⁵ | 7.8×10 ⁻² |
| Hyytiala, summer solstice | 61° grou clou | N, June 21, O ₃ , und albedo, no ids | _{col.} =355DU, o aerosols, | 5% no | | 4.3×10 ⁻³ | 6.2×10 ⁻⁶ | 1.0×10 ⁻¹ |
| Hyytiala,61°N, Dec. 21, O3cowinterground albedo, nosolsticeclouds | | _{ol.} =355DU, 90% o aerosols, no | | | 2.6×10 ⁻⁴ | 5.8×10 ⁻⁸ | 1.0×10 ⁻² | |
| Model Simulation | | Average Aver J _{N02} (s ⁻¹) Joid(| | rage (s ^{·1}) Average J _{N03->N02+0} (s ^{·1}) | | Photolysis Age in J _{NO2} equivalent days | | |
| | | | | | | Boulder, CO summer solstice | Boulder, CO winter solstice | |
| GECKO-A 1 we simulations (Table 2) | eek | 40°N, 45° solar zenith angle, 7 days | 8.1×10 ⁻³ | 2.1> | ×10 ⁻⁵ | 1.8×10 ⁻¹ | 14 eq. days | 38 eq. days |
| GECKO-A pinene ozonolysis S (Figure 6) | α- 0Α | Black lights, 5 hours | 3.0×10 ⁻³ | 1.2> | ×10 ⁻⁵ | 2.1×10 ⁻³ | 0.15 eq. days (3.7 eq. hours) | 0.42 eq. days (10 eq. hours) |
| Henry a Donahue (2012 | and 2) | Black lights, 5 hours | 3.0×10 ⁻³ | | - | - | 0.15 eq. days (3.7 eq. | 0.42 eq. days (10 eq. |

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|---|

| | Experiments for SOA formed from α -pinene, toluene, C ₁₂ ,C ₁₆ n-alkane | | | | | | |
|--------------------------|--|---------------------------|-------------------|----|--|--|--|
| | Gas-phase photolysis of organicsParticle-phase photolysisOH (molecules cm^{-3})OA background $(\mu g m^{-3})$ | | | | | | |
| BASE | ON/OFF | OFF | $2x10^{6}$ | 10 | | | |
| SENS_OA | ON/OFF | OFF | $2x10^{6}$ | 1 | | | |
| SENS_OH | ON/OFF | OFF | 8x10 ⁶ | 1 | | | |
| J _{molecmax(*)} | ON | ON as gas-phase J | $2x10^{6}$ | 10 | | | |
| J _{mac} | ON | ON as 0.04% $J_{\rm NO2}$ | $2x10^{6}$ | 10 | | | |

682 (*) Radical species that are produced by photolysis inside the particle are assumed to be

683 permanently lost to the gas-phase.

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686 Table 3: Predicted reduction in SOA yields due to gas-phase photolysis, and corresponding first 687 order loss rates and lifetimes. The results are from the GECKO-A BASE case simulation, and 688 yields values are taken at the maximum of the SOA formation from each precursor. The loss rate 689 coefficients were estimated by numerically fitting the first order decay of SOA due to photolysis occurring over one week of processing at constant light ($J_{NO2}=8.1 \times 10^{-3} \text{ s}^{-1}$). Low (0.01 ppb) and 690 691 high (10 ppb) NOx simulations are shown.

| Considered System | Reduction in SOA yields by gas-phase photolysis | | Estimated loss rate (s ⁻¹) | | Estimated lifetime (days, under simulation conditions*) | |
|-----------------------|--|----------|--|----------------------|---|----------|
| | Low NOx | High NOx | Low NOx | High NOx | Low NOx | High NOx |
| α -pinene + OH | -16% | -47% | 4.6×10^{-7} | 2.1×10^{-6} | 25.0 | 5.4 |
| Toluene + OH | -11% | -13% | 5.6×10^{-7} | 4.3×10^{-7} | 20.8 | 27.0 |
| $C_{12}H_{26} + OH$ | -30% | -28% | 1.2×10^{-6} | 1.1×10^{-6} | 9.3 | 10.4 |
| $C_{16}H_{34} + OH$ | -10% | -13% | 3.6×10^{-7} | 3.7×10^{-7} | 30.0 | 31.0 |

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(*) To derive equivalent atmospheric loss rates (lifetimes) at mid-latitudes values should be 693 divided (multiplied) by a factor of 2 in summer and 5.4 in winter which is the ratio between J_{NO2}

694 used in our experiment and the typical atmospheric values.

| 695 | Table 4: Estimated loss rates and lifetimes due to gas-phase and in-particle phase photolysis as |
|-------------|--|
| 696 | predicted by GECKO-A for the Jmac simulation over one week of aging at constant light |
| (0 7 | |

| 697 | $(J_{NO2}=8.1 \times 10^{-3} \text{ s}^{-1}).$ |
|-----|--|
| 0)/ | $(J_{NO2} 0.1A10 3)$ |

| Considered | Estimated lo | oss rate (s ⁻¹) | Estimated lifetime (days, under simulation conditions*) | | |
|----------------------------|----------------------|-----------------------------|---|----------|--|
| System | Low NOx | High NOx | Low NOx | High NOx | |
| α -pinene + OH | 3.7×10^{-6} | 5.6×10^{-6} | 3.1 | 2.1 | |
| Toluene + OH | 3.1×10^{-6} | 1.1×10^{-6} | 3.7 | 10.5 | |
| $\mathrm{C_{12}H_{26}+OH}$ | 4.7×10^{-6} | 4.4×10^{-6} | 2.5 | 2.6 | |
| $C_{16}H_{34} + OH$ | 3.7×10^{-6} | 3.5×10^{-6} | 3.1 | 3.3 | |

698 (*) To derive equivalent atmospheric loss rates (lifetimes) at mid-latitudes values should be

699 divided (multiplied) by a factor of 2 in summer and 5.4 in winter which is the ratio between J_{NO2}

700 used in our experiment and the typical atmospheric values.

701 Figures



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703 Figure 1: SOA formation from the oxidation by OH of 1 ppt of α -pinene, toluene, C₁₂ and C₁₆ n-704 alkanes at low (0.01 ppb) and high (10 ppb) NOx levels. Plots compare GECKO-A simulations 705 with (dashed lines) and without (full lines) gas-phase photolysis of organics at the solar zenith 706 angle of 45° (mid-latitudes) at constant daylight. To derive equivalent atmospheric summertime 707 exposure of our experiment, the time axes should be multiplied by a factor of 2 (see Table 1). 708 Reference simulation (BASE) is shown in black, and is compared to two sensitivity simulations 709 testing for higher OH levels (SENS OH in orange) and lower absorbing organic aerosol mass 710 (SENS OA in blue). See Table 2 for the description of various runs.





(A) Acid; (D) aldehyde; (H) hydroperoxide; (G) peracid; (K) ketone; (N) nitrate; (O) hydroxy;
 (P) PAN; (R) aromatic ring; (T) non-aromatic ring; (U) unsaturated; (V) = nitro

Figure 2: Particle-phase dominant functional groups in the top fifteen SOA constituents shown at the maximum of the SOA yield. The BASE case simulations with (red bars) and without (blue bars) photolysis of organic compounds are compared. The carbon atom ratio indicates the ratio of the carbon atoms in the condensed phase to the initial carbon load included in the parent backbone. The sum of the shown particle-phase constituents is lower than one as the rest of the carbon mass is in the gas-phase.

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Figure 3: Oxygen to carbon (O/C) ratios as predicted by the BASE case simulation with (dashed
lines) and without (full lines) gas-phase photolysis of organics.





Figure 4: Distribution of products of OH oxidation of 1 ppt of α -pinene, toluene, C₁₂ and C₁₆ nalkanes at low (0.01 ppb) and high (10 ppb) NOx levels according to their volatility. The volatility is expressed in terms of the effective saturation concentration (C*). Predictions represent values at the maximum SOA yield based on the BASE case simulation with (red) and without (blue) gas-phase photolysis of organics.



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Figure 5: Predicted effect of photolysis on SOA concentrations from the oxidation of 1 ppt of α pinene, toluene, C₁₂ and C₁₆ n-alkanes at low (0.01 ppb) and high (10 ppb) NO_X levels. Plots compare GECKO-A simulations for the BASE no photolysis run (black), BASE run with gasphase photolysis (red), $J_{molecmax}$ (blue) and J_{mac} (gray). To derive equivalent atmospheric summertime exposure of our experiments, times should be multiplied by a factor of 2 (Table 1). See Table 2 for the description of various runs.



739 Figure 6: GECKO-A simulation of a typical SOA aging experiment. SOA is first made in the 740 dark in 2h from a-pinene ozonolysis in the conditions where the formation of hydroperoxides dominates (through RO₂+HO₂ reactions). After the 2nd hour the initial precursor has been 741 consumed, and the SOA mixture is exposed to various conditions: (REF, black) UV black lights 742 and OH of 10^6 molecules cm⁻³; (J_{off}, red) only OH oxidation with OH= 10^6 molecules cm⁻³ and 743 744 photolysis are turned off for organic compounds; (OHoff, cyan blue) only UV black lights; 745 $(J_{molecmax}, orange)$ similar to the REF case, but the photolysis of organic molecules is performed also in the condensed phase. The UV lamp is that of Presto et al. (2005), with $J_{NO2}=3x10^{-3} \text{ s}^{-1}$, 746 747 NOx levels are kept at 0.01 ppb, and ozone levels are set at 50ppb during the aging simulations. 748 SOA loss rates reported in the experiments are also shown in shaded purple areas with slopes corresponding to (1) Henry and Donahue (2012) (6×10^{-5} s⁻¹), (2) Wong et al. (2014) for dry 749 conditions $(7.9 \times 10^{-5} \text{ s}^{-1})$ and (3) Wong et al. (2014) for humid conditions $(1.6 \times 10^{-4} \text{ s}^{-1})$. 750

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Figure 7: GEOS-Chem simulation for 2009 showing (a) the SOA concentrations within the lower troposphere (below 5km), and the absolute (μ g m⁻³) reductions in SOA concentrations due to gasphase (b) and particle-phase (c, d) photolysis. Gas-phase photolysis is applied to semi-volatile organic compounds using the e-folding J lifetime of 20 days as estimated in Table 3. Two inparticle photolysis rates are considered i.e. J_{SOA} of 0.04% J_{NO2} (c), and J_{SOA} of 0.4% J_{NO2} (d).



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Figure 8: Relative reductions (%) in SOA concentrations due to particle-phase photolysis in the

763 lower (a, c) and upper (b, d) troposphere. Two in-particle photolysis rates are considered i.e. J_{SOA}

764 of 0.04% $J_{\rm NO2}$ (left side), and $J_{\rm SOA}$ of 0.4% $J_{\rm NO2}$ (right side).