OMI tropospheric NO₂ profiles from cloud slicing:

constraints on surface emissions, convective transport and
 lightning NO_x

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10 Abstract

11 We derive annual and seasonal global climatologies of tropospheric NO₂ profiles from OMI cloudy observations for the year 2006 using the cloud slicing method on six pressure levels 12 centered about 280, 380, 500, 620, 720 and 820 hPa. A comparison between OMI and the 13 TM4 model tropospheric NO₂ profiles reveals striking overall similarities, which confer great 14 15 confidence to the cloud-slicing approach to provide details that pertain to annual as well as to 16 seasonal means, along with localized discrepancies that seem to probe into particular model 17 processes. Anomalies detected at the lowest levels can be traced to deficiencies in the model 18 surface emission inventory, at mid tropospheric levels to convective transport and horizontal 19 advective diffusion, and at the upper tropospheric levels to model lightning NO_x production 20 and the placement of deeply transported NO₂ plumes such as from the Asian summer 21 monsoon. The vertical information contained in the OMI cloud-sliced NO₂ profiles provides a 22 global observational constraint that can be used to evaluate chemistry transport models 23 (CTMs) and guide the development of key parameterization schemes.

24 **1** Introduction

Global maps of tropospheric NO_2 vertical column densities (VCDs) derived from satellite UV/Vis nadir sounders such as OMI, GOME and SCIAMACHY have contributed to the development of a variety of applications. Clear sky observations of tropospheric NO_2 VCDs, those with cloud fractions typically below 25%, have been used to constrain surface NO_x

emission inventories (Martin et al., 2003) (Mijling and Van der A, 2012) (Miyazaki et al., 1 2 2012), detect and monitor point source emission trends (Richter et al., 2005) (Van der A et al., 2008) and constrain surface NO₂ lifetimes (Beirle et al., 2011) to cite a few examples. Still 3 cloudy conditions predominate, which prevent the detection of NO₂ concentrations at the 4 5 surface. For OMI, more than 70% of the measurements collected in the extratropics is 6 affected by clouds and typically discarded, with the consequent loss of information. The 7 utilization of cloudy data from satellite IR and UV/Vis nadir sounders provides access to a 8 large repository of observations with potential to reveal information about trace gas 9 concentrations at different altitudes and to constrain the parameterizations of a number of 10 cloud related processes.

11 Clouds are introduced in general circulation models (GCMs) because of their broadband 12 radiative effects and direct relation with the water vapour feedbacks and precipitation (Jakob, 2003). Clouds also affect the redistribution of trace gases via convection and interaction with 13 14 chemistry, which are essential elements in chemistry transport models (CTMs). Convective transport of polluted plumes (including NO_x, but also HO_x, CO and non-methane 15 hydrocarbons NMHC) from the boundary layer can cause substantial enhancement of upper 16 tropospheric ozone, an important anthropogenic greenhouse gas (Pickering et al., 1992). At 17 18 high altitudes, enhanced chemical lifetimes and stronger winds are also responsible for the 19 long-range transport of pollutants. Still the exchange between environment and cloud air that 20 determines the way that convective columns evolve (i.e. the entrainment and detrainment 21 rates in mass flux schemes) remains uncertain. The presence of convective clouds not only transports pollutants vertically, it also removes soluble species (like HNO₃) by precipitation, 22 23 and modulates photolysis rates by altering the actinic fluxes above and below the cloud (Tie et al., JGR, 2003). Associated with the deepest convective clouds, the production of NO_x by 24 25 lightning is a key component of the NO₂ budget in the upper troposphere, not only because of 26 its relation with O₃ production, but because it affects the general oxidizing capacity of the 27 atmosphere and the lifetimes of tracers destroyed by reactions with OH - like CO, SO₂ and 28 CH₄. Yet the source strength and spatial distribution of lightning NO_x emissions remain uncertain – with a global best estimate of 5 ± 3 Tg a^{-1} (Schumann and Huntrieser, 2007). 29

In large scale global CTMs, convection and other cloud related processes such as scavenging
 and lightning NO_x production are represented by sub-grid parameterizations. Most convective
 parameterizations are tested against temperature and humidity profiles from radiosondes

1 (Folkins et al., 2006), but chemical tracers provide additional constraints. A number of studies 2 have tried to quantify the effect of different convective schemes on tropospheric CO and O₃ profiles using satellite based climatologies for comparison with model data (Mahowald et al., 3 4 1995) (Barret et al., 2010) (Hoyle et al., 2011) finding the largest discrepancies in the tropical 5 middle and upper troposphere. Even though NO₂ may appear unsuitable as a tracer of air motion because of its high reactivity with other NO_v members (such as N₂O₅, HNO₃, PAN, 6 7 NO₃ and HNO₄) and the presence of time-varying sources (mainly surface emissions and 8 lightning NO_x, but also aircraft and stratospheric inflows), its short lifetime makes it attractive 9 to study very fast transport mechanisms like convection. A number of studies have 10 demonstrated the capabilities of satellite UV/Vis sounders to estimate the source strength and 11 3D distribution of lightning NO_x over cloudy scenes [(Boersma et al, 2005), (Beirle et al, 12 2006), (Martin et al. 2007) and (Miyazaki et al., 2014)]. These studies have found good 13 agreement between modeled and observed lightning NO₂ over the tropical continents – albeit 14 with discrepancies in the geographical and vertical distributions. Other studies have compared 15 the performance of lightning parameterizations against satellite lightning flash densities, like (Tost et al., 2007) and (Murray et al., 2012), to conclude that it is difficult to find a good 16 combination of convective and lightning scheme that accurately reproduces the observed 17 18 lightning distributions - leaving the problem of the NO_x yield per flash aside. So there is a clear need for measurements with which the development of model parameterizations of 19 20 convective transport and lightning NO_x schemes can be guided.

21 In this paper, we use a variation of the cloud slicing technique first developed by (Ziemke et 22 al., 2001) for tropospheric ozone, and later exploited by (Liu et al., 2014) for tropospheric CO 23 and (Choi et al., 2014) for tropospheric NO₂, based on the increments of gas vertical column density above cloud as a function of cloud pressure within a certain longitude/latitude/time 24 25 cell. Obviously, large cloud fractions and some degree of cloud height diversity within the 26 cell are conditions required for this technique to produce useful results. The cloud slicing 27 approach applied by (Choi et al., 2014) on OMI NO₂ data was able to find signatures of 28 uplifted anthropogenic and lightning NO₂ in their global free-tropospheric NO₂ 29 concentrations, as well as in a number of tropospheric NO₂ profiles over selected regions. In this work, global annual and seasonal NO₂ VMR profiles are generated at a spatial resolution 30 of 2°x2° on pressure levels centered about 280, 380, 500, 620, 720 and 820 hPa. We give 31 particular consideration to the scattering sensitivity of the OMI measurements above the 32 cloud, as well as to the representativity of the cloud-sliced profiles with regard to a cloudy 33

atmosphere. We report on results from this methodology as well as its direct applicability as
 observational constraint using a state-of-the art chemical transport model.

3 2 Methodology

The methodology to produce observed and modeled climatologies of tropospheric NO_2 VMR profiles under cloudy scenes begins with a description of the OMI and TM4 datasets involved. We introduce the pre-processing steps required to estimate NO_2 VCDs above cloud from OMI slant column measurements, followed by the upscaling steps required to bring the spatial resolution of the satellite observations in line with the TM4 model grid for comparison.

10 OMI NO₂ products

The NO₂ slant columns used in this work are retrieved by the UV/Vis spectrometer OMI 11 12 [Ozone Monitoring Instrument, (Levelt et al., 2006)] according to the KNMI DOMINO version 2.0 (Boersma et al., 2007) (Boersma et al., 2011). The data files, which include total 13 14 and stratospheric slant columns, averaging kernel information, cloud fraction, cloud pressure gas profiles from the TM4 model. 15 and assimilated trace are available at 16 http://www.temis.nl/airpollution/no2.html.

Of particular importance to this study are the cloud pressures and fractions retrieved by the 17 18 OMI O_2 - O_2 cloud algorithm (Acarreta et al, 2004). The OMI O_2 - O_2 cloud algorithm uses an 19 optically thick lambertian cloud model with a fixed albedo of 0.8; the fraction of this 20 lambertian cloud model covering the pixel is called effective cloud fraction $[c_{eff} = (R_{obs} -$ 21 R_{clear})/(R_{cloudy} - R_{clear}), where R_{cloudy} and R_{clear} are modeled clear and cloudy sky reflectances, 22 and R_{obs} is the observed continuum reflectance – i.e. the reflectance with the O_2 - O_2 absorption line removed], which is not the same as the geometric cloud fraction but an equivalent amount 23 24 that yields the same top of atmosphere (TOA) reflectance as observations; the altitude level of 25 the lambertian cloud model is then adjusted so that it results in the same amount of O₂-O₂ absorption as in observations [Stammes et al., 2008]. The OMI O₂-O₂ cloud pressure refers to 26 the optical radiative cloud pressure near the midlevel of the cloud and below the MODIS 27 28 infrared-based cloud top, which is about 250 hPa higher than OMI for deep convective clouds 29 or about 50-70 hPa higher for extratropical midlevel clouds. The OMI O₂-O₂ cloud pressure 30 has been validated against PARASOL with a mean difference below 50 hPa and a standard deviation below 100 hPa (Stammes et al., 2008). The OMI O₂-O₂ cloud fraction has been 31 validated against MODIS with a mean difference of 0.01 and standard deviation of 0.12 over 32

1 cloudy scenes (effective cloud fractions larger than 50% without surface snow or ice) (Sneep

2 et al., 2008). In this paper, we use the cloud radiance fraction defined as $CRF = c_{eff} R_{cloudy}/R_{obs}$

3 – which represents the weight of the air mass factor of the cloudy part.

4 *TM4 model*

5 The TM4 chemistry transport model has a spatial resolution of 2°x3° with 35 sigma pressure 6 levels up to 0.38 hPa (and approximately 15 levels in the troposphere) driven by temperature 7 and winds from ECMWF reanalyses and assimilated OMI stratospheric NO₂ information 8 from previous orbits. The tropospheric chemistry scheme is based on (Houweling et al., 1998) 9 using the POET emissions (Olivier et al., 2003) database based on the EDGAR inventory for 10 anthropogenic sources, which are typical of years 1990-1995, with biomass emissions of NO_x 11 based on ATSR fire counts over 1997-2003 and released in the lowest model layers. The 12 photolysis rates are calculated as in (Landgraf and Crutzen, 1998) and modified as in (Krol and van Weele, 1997). In the TM4 model, the physical parameterization for convective tracer 13 14 transport is calculated with a mass flux scheme that accounts for shallow, mid-level and deep 15 convection (Tiedtke, 1989). Large scale advection of tracers is performed by using the slopes 16 scheme of (Russell and Lerner, 1981). The lightning NO_x production is parameterized 17 according to (Meijer et al., 2001) using a linear relationship between lightning intensity and 18 convective precipitation, with marine lightning 10 times less active than continental lightning 19 and scaled to a total annual of 5 TgN/yr (Boersma et al., 2005). The vertical lightning NO_x 20 profile for injection into the model is an approximation of the outflow profile suggested by (Pickering et al., 1998). Including free-tropospheric emissions from air-traffic and lightning, 21 22 the total NO_x emissions for 1997 amount to 46 TgN/yr. More about this model may be found 23 in (Boersma et al., 2011) and references therein.

24 2.1 Cloud slicing

A technique initially developed for estimating upper tropospheric ozone using nadir sounders (Ziemke et al., 2001), cloud slicing consists in arranging collections of trace gas VCDs measured above clouds against cloud pressure over a certain area and time period in order to estimate a gas volume mixing ratio (VMR) via the pressure derivative as:

29
$$VMR = 0.1 \cdot g \cdot M_{air} / N_A \cdot \frac{\partial VCD}{\partial n}$$
(1)

30 where $g = 9.8 \text{ m/s}^2$, $M_{air} = 28.97 \text{ g/mol}$ and $N_A = 6.022 \times 10^{23} \text{ molec/mol}$ with VCD expressed in 31 molec/cm² and cloud pressure expressed in hPa. The method determines an average trace gas

volume mixing ratio over a certain area, time period and cloud pressure interval (Choi et al., 1 2 2014). In this paper, annual average tropospheric NO₂ VCD lat/lon grids from OMI and TM4 are produced for six tropospheric layers with bottom cloud pressures located within pressure 3 intervals centered at about 330, 450, 570, 670, 770 and 870 hPa. The cloud pressure intervals 4 5 used for cloud slicing were chosen after several trial runs and are laid out in Table 1 and Fig. 6 1. An annual climatology of NO₂ VMR profiles is then estimated after differencing the annual 7 tropospheric VCD arrays above cloud with respect to pressure. 8 Figure 1 shows the latitude-height section of annual zonal mean OMI cloud frequency for the

- 9 year 2006, showing that cloud slicing does not provide uniform global sampling. Most high 10 clouds (mainly deep cumulus, since cirrus pass generally undetected by OMI) occur along the 11 intertropical convergence zone (ITCZ) near the equator and over tropical continents, but can 12 also be seen in the mid-latitude storm track regions and over mid-latitude continents in the 13 summer; mid-level clouds are prominent in the midlatitue storm tracks, usually guided by the 14 tropospheric westerly jets, and some occur in the ITCZ; low clouds, including shallow 15 cumulus and stratiform clouds, occur essentially over the oceans but are most prevalent over cooler subtropical oceans and in polar regions (Boucher et al, 2013). In summary, cloud 16 sampling proves best at low to mid altitudes in the extratropics and mid to high altitudes in 17 18 the deep tropics. On the contrary, cloud sampling is typically poor off the west coasts of subtropical (Pacific, Atlantic and Indian) landmasses at high altitudes - which are areas of 19 20 large-scale subsidence with persistent low stratocumulus, and at low altitudes over the 21 tropical landmasses, particularly the Amazon basin and Central Africa.
- 22 2.1.1 NO₂ above cloud

The tropospheric NO₂ vertical column density above the cloud VCD_{above} for an instrument like OMI is defined here as a function of the total slant column *SCD* as:

$$VCD_{above} = (SCD - SCD_{strat} - SCD_{below}) / AMF_{above}$$
(2)

Where SCD_{strat} is the stratospheric slant column, SCD_{below} accounts for the slant surface component leaked from below the cloud (i.e. the amount of surface signal that seeps through the cloud for partially cloudy conditions), and AMF_{above} denotes the scattering sensitivity above the cloud. The stratospheric slant column arises from TM4 model stratospheric profiles assimilated to OMI observations over unpolluted areas (Belmonte Rivas et al., 2014). The undercloud leaked component is defined as:

$$SCD_{below} = (1 - CRF) \cdot \sum_{ground}^{CLP} m_{clear}(p) \cdot n(p) \cdot T_{corr}(p) \quad (3)$$

Where *CRF* is the cloud radiance fraction, m_{clear} is the clear sky component of the scattering sensitivity (purely dependent on Rayleigh scattering and surface albedo), n(p) is the a priori trace gas profile (i.e. the TM4 model), and T_{corr} is the OMI temperature correction defined below. Note that the summation goes from the ground to the cloud level pressure CLP (see Fig. 2), where the cloud level is given by the OMI O₂-O₂ cloud pressure. The scattering sensitivity above the cloud *AMF*_{above} is defined as (see appendix A):

$$AMF_{above} = \sum_{CLP}^{tropopause} m(p) \cdot n(p) \cdot T_{corr}(p) / \sum_{CLP}^{tropopause} n(p)$$
(4)

9 Where *m* is the total scattering sensitivity [usually defined as (1-CRF) $m_{clear} + CRF m_{cloudy}$ as 10 in (Boersma et al., 2004)]. Note that the summation in this case goes from cloud level to the 11 tropopause (see Fig. 2). The total scattering sensitivity *m* has been derived from the averaging 12 kernel AK(p) as:

8

1

$$m(p) = AK(p) \cdot AMF/T_{corr}(p)$$
(5)

Where *AMF* is the total airmass factor (used to compute the total vertical column VCD = SCD/AMF from the total slant column SCD, and different from the tropospheric airmass factor AMF_{trop} used to compute VCD_{trop} = SCD_{trop}/AMF_{trop}). The temperature correction is defined as in (Boersma et al., 2004) and accounts for the temperature dependence of the NO₂ absorption cross-section and its influence on the retrieved slant column using ECMWF temperatures:

$$T_{corr}(p) = (220 - 11.4) / [T(p) - 11.4]$$
(6)

The elements of the averaging kernel contain the height dependent sensitivity of the satellite 21 22 observation to changes in tracer concentrations and they are calculated with a version of the 23 Doubling Adding KNMI (DAK) radiative transfer model in combination with TM4 simulated 24 tropospheric NO₂ profiles. Of central importance to our cloud slicing approach is that an undercloud leaked component (SCD_{below}) is removed from the tropospheric slant column, and 25 26 a scattering sensitivity above the cloud (AMF_{above}) is used to estimate the vertical column 27 density above the cloud VCD_{above}. This is in contrast with the methodology applied in (Choi et 28 al., 2014), where undercloud leakages are neglected (making tropospheric estimates more 29 sensitive to surface contamination, particularly at low cloud fractions), and the scattering 30 sensitivity above the cloud is assumed equal to the geometric airmass factor.

1 As far as model quantities are concerned, the NO₂ column above the cloud in TM4 is simply 2 calculated as:

3

$$VCD_{above} = \sum_{CLP}^{tropopause} n(p) \tag{7}$$

Where n(p) is the a priori trace gas profile (i.e. the TM4 model). Note that the a priori gas 4 5 profiles, originally reported on hybrid sigma pressure grids, have been resampled onto a uniform pressure grid with steps of 23.75 hPa to simplify averaging operations. The cloud 6 7 level pressure that defines the model above-cloud NO₂ columns in Eq. (7) is the same OMI 8 O₂-O₂ cloud pressure used for cloud slicing. Using OMI's cloud information to sample the 9 TM4 model amounts to assuming that cloud altitudes and fractions in the model are identical to those observed by OMI. We know that differences between instantaneous model and 10 11 observed cloud fields can be notable, but we also know that current model cloud fields are 12 able to reproduce the average geographical and vertical distribution of observed cloud 13 amounts reasonably well (Boersma et al., 2015), albeit with reports of underestimation of the low cloud fractions in the marine stratocumulus regions, underestimation of the midlevel 14 15 cloud fractions everywhere, and slight overestimation of the high cloud fraction over the deep tropics (Nam et al., 2014) - errors that are likely related to the microphysical cloud and 16 convection parameterizations. Therefore, using an observed cloud field to probe into model 17 cloud processes, though probably suboptimal in case by case studies, is likely to be fine in an 18 19 average sense.

20 2.1.2 Spatial averaging

21 A comparison of OMI observations with a model such as TM4 should also take into account 22 the inhomogeneity of the tropospheric NO_2 field, which is usually large due to the presence of 23 strong point sources and weather-scale variability. The model NO₂ columns should be viewed 24 as areal averages, given that the limit of scales represented in the model is given by its resolution. Thus it is important to aggregate OMI observations to attain the same spatial 25 26 resolution used by the model. The OMI NO₂ VCD above cloud observations (with a nominal spatial resolution of 13x24 km at the swath center) are aggregated onto daily 1°x1° longitude-27 latitude bins – later spatially smoothed to 2°x2°– before comparison with the afternoon TM4 28 model outputs defined on a 2°x3° grid on a daily basis as in Eq. (7). The aggregated OMI 29 30 product collects all VCDs observed within a specified period (1 day) with solar zenith angle less than 70°, surface albedo less than 30% and CRF larger than 20% at the OMI pixel level 31

(roughly equivalent to an effective cloud fraction of 10%, which is a minimum condition for 1 2 cloud fraction and pressure to be properly reported by OMI). No weighting is applied. At this point, populating the grid bins with as many OMI measurements as possible is important in 3 4 order to avoid spatial representation errors between the two records (a partially filled bin may 5 not be representative of what occurs over the entire cell, which is what the model represents). 6 The aggregated CRF (and all other OMI and model quantities) are then evaluated at grid 7 resolution, and a CRF threshold of 50% at cell level is applied to both observations and model 8 data. The annual mean tropospheric VCD above cloud is then calculated per pressure layer 9 using the CLP thresholds specified in Table 1 on daily gridded OMI and TM4 NO₂ VCD 10 outputs, provided there are at least 30 measurements in a bin.

11 **2.1.3 Error analysis**

In the cloud slicing method, the derivation of annual mean VMR profiles from annual layeredVCD amounts above cloud follows as:

$$\langle VMR_i \rangle = C \cdot (\langle VCD_{i+1} \rangle - \langle VCD_i \rangle) / (\langle p_{i+1} \rangle - \langle p_i \rangle)$$
(8)

where C is defined as $0.1 \times g \times M_{air}/N_A$ as in Eq. (1) and the index *i* refers to the cloud level. We 15 term these objects VMR pseudoprofiles because they are constructed on the conditional 16 17 provision of cloud presence, and the presence of cloud modifies the underlying NO₂ profile: either directly via chemical or dynamical processes such as lightning NO_x production, 18 19 advection of (clean/polluted) air from below, suppression of biomass burning or decreased 20 photolysis under the cloud, or more indirectly via selective sampling of seasonal features, 21 such as entangling a wet season column of enhanced lightning at high altitude with a dry 22 season column of enhanced biomass burning at low altitude. One can appreciate that the 23 effect of cloud presence on the profile varies with cloud altitude, which is unfortunate, 24 because we use changes in cloud altitude to sample the underlying profile. This state of affairs 25 introduces a source of systematic error between the cloud-slicing estimate (i.e. the 26 pseudoprofile) and the actual underlying profile, which we term pseudoprofile error. One may 27 evaluate (and further compensate for) the pseudoprofile error associated to conditional cloud 28 sampling by comparing the model VMR profile estimated using the cloud-slicing technique 29 against the underlying "true" mean NO₂ VMR profile from the same model, as described 30 below. Other sources of systematic error may also intervene, including uncertainties in the a 31 priori corrections and errors in the stratospheric column. The effect of uncertainties in the a 32 priori corrections is limited by the impact that a priori corrections have on pseudoprofiles,

which is itself limited (see Supplement). The effect of errors in the stratospheric column are 1 2 expected to be small, since stratospheric columns only show a small additive bias (Belmonte Rivas et al., 2014) that is bound to cancel via the pressure difference. One could also include 3 4 temporal representativity errors from mismatched collocations between model and OMI 5 clouds in this category, which (Boersma et al., 2015) estimate to lie around 10%. In this 6 section we provide a brief description of the retrieval error that may be expected from 7 instrumental random noise properties alone, followed by an estimate of pseudoprofile error 8 based on model behavior.

9

10 Retrieval error

The retrieval error in the annual mean cloud-slicing profiles is assumed random and calculated by standard error propagation of Eq. (1). Note that we do not compute VMRs on daily or orbital basis (since one does not achieve the necessary cloud height diversity but in exceptional circumstances), but from the difference of annual mean VCDs. The derivation follows as:

$$\begin{split} \delta VMR &= 0.1 \cdot g \cdot \frac{M_{air}}{N_A} \cdot \delta \left(\frac{\partial VCD_{annual}}{\partial p_{annual}} \right) \\ &= 0.1 \cdot g \cdot \frac{M_{air}}{N_A} \cdot \left(\frac{\delta (VCD_1 - VCD_2)}{p_1 - p_2} + \frac{(VCD_1 - VCD_2)}{(p_1 - p_2)^2} \delta(p_1 - p_2) \right) \\ &= 0.1 \cdot g \cdot \frac{M_{air}}{N_A} \cdot \left(2 \cdot \frac{\delta VCD_{annual}}{p_1 - p_2} + \frac{(VCD_1 - VCD_2)}{(p_1 - p_2)^2} \cdot 2 \cdot \delta p_{annual} \right) \end{split}$$

16 Where VCD_1 , VCD_2 , p_1 and p_2 are all mean annual quantities estimated for contiguous 17 pressure levels. Assuming random Gaussian errors in the determination of single OMI 18 observations with an uncertainty δVCD of 50% in the OMI vertical column density (Boersma, 19 2004) and an uncertainty δp of 100 hPa in O₂-O₂ cloud pressure (Stammes et al. 2008), the 18 standard error of the mean annual quantity (VCD or pressure) is the standard error of the 19 single retrieval divided by the square root of the number of OMI measurements collected per 20 grid cell N_{grid} in a year:

$$\delta VCD_{annual} = \delta VCD / \sqrt{N_{grid}}$$

$$\delta p_{annual} = \delta p / \sqrt{N_{grid}}$$

1 So that we obtain:

$$\delta VMR = 0.1 \cdot g \cdot \frac{M_{air}}{N_A} \cdot \left(2 \frac{\delta VCD}{\Delta p} + 2 \frac{\Delta VCD}{\Delta p} \cdot \frac{\delta p}{\Delta p} \right) \cdot \frac{1}{\sqrt{N_{grid}}}$$
(9)

3 *Pseudoprofile (systematic) error*

The extent to which cloud-slicing profiles remain physical and accurate representations of an
average cloudy atmosphere is limited by the assumptions that underlie the cloud slicing
difference, which goes as:

7
$$VMR(p_{mid}) \propto VCD(p < p_{dn}|p_{cloud} = p_{dn}) - VCD(p < p_{up}|p_{cloud} = p_{up})$$
(10)

8 In cloud-slicing, the mean VMR between the pressure levels p_{up} and p_{dn} is given by the 9 difference between the VCD above cloud pressure p_{dn} , provided there is cloud at p_{dn} , and the 10 VCD above cloud pressure p_{up} , provided there is cloud at p_{up} too. The problem is that the 11 presence of cloud modifies the profile. One may think that the column difference in Eq. (10) 12 is an approximation to what happens when clouds are located at p_{mid} , somewhere between p_{up} 13 and p_{dn} . But assuming that the trace gas concentration profile does not change with small 14 changes in cloud altitude (which are otherwise necessary to estimate the VMR slope) entails 15 some error. Ideally, we would like to calculate:

16 $VMR_{true}(p_{mid}) \propto VCD(p < p_{dn}|p_{cloud} = p_{mid}) - VCD(p < p_{up}|p_{cloud} = p_{mid})$ (11)

Now we have a unique (and physically plausible) cloud condition behind the difference, $p_{cloud}=p_{mid}$, and a VMR estimate that is representative of gas concentration provided that there are clouds at the p_{mid} level. Yet if we would like to obtain a VMR estimate that is representative of trace gas concentration in a general cloudy atmosphere, then we would calculate:

22
$$VMR_{ref}(p_{mid}) \propto VCD(p < p_{dn} | \forall p_{cloud}) - VCD(p < p_{up} | \forall p_{cloud})$$
 (12)

That is, VMR_{ref} represents a mean VMR profile provided that there are clouds anywhere in the column, i.e. regardless of cloud altitude. We call the difference between VMR and VMR_{true} sampling error, because the cloud diversity necessary to estimate the trace gas concentration is distorting the underlying profile. We call the difference between VMR_{true} and VMR_{ref} representation error, because a profile measured under high cloud conditions is not

representative of a profile under low cloud conditions, nor in general representative of an 1 2 average cloudy state. The sum of the sampling and representation errors, that is, the difference between the cloud-sliced VMR pseudoprofile and the average profile in a cloudy atmosphere 3 4 VMR_{ref}, is what we call pseudoprofile error. All VMR, VMR_{true} and VMR_{ref} profiles can be 5 calculated on account of the TM4 CTM, so that a model-based estimation of the sampling and 6 representation (pseudoprofile) systematic errors becomes available. The general pattern of 7 pseudoprofile errors (see Sect. 3.3) indicates that biases are small in the upper three levels, 8 largely positive (100-200%) over tropical and extratropical outflows in the lower two levels, 9 and negative (up to 100%) over the continents for the lower three levels (particularly over 10 central and South America, Australia, Canada and Siberia). One way to bypass this systematic 11 error is to scale the observed VMR pseudoprofiles by the model profile-to-pseudoprofile ratio 12 as:

$$VMR_{ref,OMI} = VMR_{OMI} \cdot (VMR_{ref,TM4}/VMR_{TM4})$$
(13)

14 This model-based pseudoprofile correction (applied in Sect. 3.4) remains subject to the 15 accuracy with which the model represents its own profiles, and should be treated with caution.

16 **3 Results and discussion**

17 3.1 NO₂ VCD above cloud

Figure 3a shows the annual mean tropospheric NO₂ VCD aggregates on $1^{\circ}x1^{\circ}$ grids observed by OMI for the year 2006 above clouds with mean pressures centered around 330, 450, 570, 670, 770 and 870 hPa – see Fig. 1 and Table 1. A similar set of annual mean NO₂ VCDs above cloud has been extracted from the TM4 model using identical cloud sampling (i.e. using the cloud fraction and cloud pressure from OMI) for comparison (see Fig. 3b).

Most of the lightning NO₂ emissions are expected above clouds higher than 450 hPa (i.e. the upper two levels in Fig. 3a) although some deep convection may also be present over strong industrial sources (like northeast US, Europe, China, and the Johannesburg area) or biomass burning sources in central Africa, the Amazon basin or northeast India, complicating the problem of process attribution.

The two middle levels in Fig. 3a are expected to carry, along with the NO₂ burden inherited from the upper levels, additional signatures from frontal uplifting into the mid-troposphere by conveyor belts over major industrial sources in northeast US, central Europe and China, as well as convective transport of biomass burning sources over central Africa, South America,
Indonesia and northern Australia. The strong convective signatures of surface industrial and
biomass burning sources, along with their low tropospheric outflows, dominate the two lowest
levels in Fig. 3a. Note the extensive lack of data over the tropical continents at low altitudes, a
region where persistent high cloud precludes penetration into the lowest levels, and over the
subtropical subsidence areas.

7 By differencing the annual average VCD arrays with respect to pressure, we expect to 8 separate the contributions from different altitudes to the total tropospheric column. But before 9 that, let us take a look at the scattering sensitivities above cloud and the effects of correcting 10 for undercloud leakage in these results. Fig. 4 shows the annual mean tropospheric scattering sensitivity above cloud level [AMF_{above} in Eq. (4)] applied to generate the OMI NO₂ VCDs 11 12 shown in Fig. 3a. Globally, the tropospheric scattering sensitivity above the cloud does not deviate by more than a 10% from the geometric airmass factor at most cloud altitudes, except 13 14 at the lowest levels, where it suffers reductions of up to 30%. This reduction in scattering sensitivity at the lowest cloud levels may come as a surprise, particularly when clouds are 15 16 known to boost the scattering sensitivity just above the cloud top. However, the pronounced 17 decrease in scattering sensitivity at the lowest cloud levels is related to penetration of 18 substantial amounts of NO₂ (from strong or elevated surface sources) into the cloud mid-level, 19 where extinction acts to reduce the scattering sensitivity. Other than the extinction effect, the 20 variability in scattering sensitivity is governed by changes in the observation geometry 21 (AMF_{above} decreases as the sun angle increases) and the temperature correction introduced in Eq. (6), which is responsible for the subtropical bands and the variability at high southern 22 23 latitudes.

24 The corrections for the surface leaked component introduced in Eq. (3) are largest (see 25 Supplement) over polluted regions for the highest clouds (up to 50-66%) and smallest over 26 clean areas like the oceans. In order to verify that the model-based undercloud leak corrections do not appreciably change the OMI NO₂ VCDs arrays, we have performed a 27 separate trial run where the CRF threshold (at grid level) is increased from 50% to 80% (see 28 Supplement) to conclude that none of the prominent VCD signatures seen in Fig. 3a (or none 29 30 of the VMR features that we will see later) changes appreciably in the restricted CRF>80% 31 case. Results from the CRF>80% trial run include notably diminished cloud frequencies and 32 spatial coverage, seriously thinning the population that produces the annual averages and

generally damaging their representativity. This effect is particularly notable in the upper two 1 2 levels (280 and 380 hPa) and to lesser extent over the large-scale subsidence area in the lowest level, since deep convective and low marine stratocumulus clouds are not particularly 3 4 extensive but have a preference for low effective cloud fractions. Excluding the contributions 5 from these cloud types in the CRF>80% case does not change the mid-tropospheric NO₂ 6 patterns relative to the CRF>50% case, but it is biasing the OMI aggregates in the upper 7 troposphere low relative to the modeled average, which is not particularly sensitive to this 8 change. In summary, the CRF>80% trial run does not show any clear signs of a priori information 9 constraining the results, but it provides hints of results being influenced detrimentally by the lower 10 sampling densities afforded by a higher CRF threshold.

11 3.2 NO₂ VMR pseudoprofiles

12 The annual mean tropospheric NO₂ VMR pseudoprofiles observed by OMI for the year 2006 13 are compared against their TM4 model counterparts in Figs. 5a-c. Note that pseudoprofile errors do not affect this comparison, since both observed and modeled pseudoprofiles are 14 15 observing identical (if somewhat unphysical, because of sampling and representation issues) 16 atmospheric states. After the pressure difference, there remain some instances where negative 17 VMRs are found, but these are mainly associated to poorly populated cells (such as at high 18 latitudes, near the tropics at low altitudes, or around subsidence regions). These instances are 19 identified and dealt with by recourse to information from nearby cells, when available, or 20 otherwise ignored.

21 Many of the cloud slicing features observed at the upper two levels (280 and 380 hPa) in Fig. 22 5a can be attributed to actual biomass burning, lightning and deep convection. It may be 23 difficult to separate these components clearly withouth a proper seasonal analysis (deferred to 24 Section 3.6), although one can identify areas of predominant lightning production as those regions that do not seem connected via convection to surface sources underneath and use the 25 26 OTD-LIS flash rate climatology and the ATSR fire counts (see Fig. 6 below) as interpretation 27 aids for attribution. Positive anomalies (observations larger than modeled amounts) are 28 detected in Fig. 5a over all major industrial areas (eastern US, central Europe and eastern 29 China) both at 280 and 380 hPa levels, suggesting that deep transport of boundary layer NO₂ may be too weak in the model. On the contrary, there are extensive negative anomalies 30 31 (meaning observations lower than modeled amounts) in background upper tropospheric NO₂ both at 280 and 380 hPa, which is consistent with reports of model overestimation of the
amount of NO₂ attributed to lightning over the tropical oceans in (Boersma, 2005).

Negative anomalies in Fig. 5a are particularly large over Siberia, Amazonia and the Bengal 3 4 Bay. The negative anomaly over eastern Siberia, an area of predominant biomass burning, 5 could be related to excessive fire-induced NO₂ emission over boreal forests in the model 6 (Huijnen et al., 2012). In South America, lightning NO₂ contributions seen by OMI appear confined mostly to the western equatorial coast (Peru, Ecuador and Colombia) on one side, 7 8 and southern Brasil and off the east coast of Uruguay on the other hand (more in line with the 9 OTD-LIS flash climatology shown in Fig. 6) - in stark contrast with model amounts, which 10 locate the lightning maximum further to the north over the brasilian Matto Grosso, where the maxima in precipitation related to the South American monsoon system usually takes place. It 11 12 is worth noting that the lightning intensity in the TM4 model is solely driven by convective precipitation, although [Albrecht et al, 2011] report that convective precipitation is not always 13 14 well correlated with lightning in this area, showing that the most efficient storms in producing lightning per rainfall are located in the south regions of Brazil. The negative anomaly over 15 Amazonia is therefore very likely related to problems with the TM4 lightning scheme. The 16 negative anomaly over the Bengal Bay, an area of maxima in precipitation related to the 17 18 Indian monsoon, could also be a reflection of excess model lightning linked to convection.

19 Other notable discrepancies in Fig. 5a include positive anomalies over central Africa and 20 northeast India at 280 hpa. Over central Africa, the pattern of positive anomalies bears only partial resemblance with the pattern of biomass burning emission underneath (see midlevel 21 22 OMI VMRs in Fig. 5b) – suggesting that upper level positive anomalies in central Africa may 23 be related more to deficiencies in the lighting scheme than to convective transport. Actually, 24 (Barret et al., 2010) report that lightning flash frequencies simulated by TM4 are lower than measured by the LIS climatology over the Southern Sahel, which is consistent with our 25 26 observations. On the other hand, the large positive anomaly observed over the Tibetan plateau 27 at 280 hPa, which significantly deviates from the OTD-LIS flash rate climatology in the area (confined to the Himalayan foothills only), is very likely an effect of deep transport associated 28 with the Asian monsoon. The model does show an enhacement in upper tropospheric NO₂ 29 30 over India, but not moving far enough north into the Tibetan plateau and failing to reproduce 31 the strong enhancements in upper tropospheric NO₂ over northeast India and Southern China

related to the Asian summer monsoon plume – which (Kar et al., 2004) also detected in the
 MOPITT CO profiles.

The cloud slicing features observed at the mid-tropospheric levels (500 and 620 hPa) in Fig. 3 4 5b may be mostly attributed to mid-tropospheric convection of strong surface sources and 5 their associated outflows. We observe a remarkable agreement between model and 6 observations on the localization and intensity of major convective signals over industrial 7 sources (eastern US, central Europe, China and India) as well as over typical biomass burning 8 sources in central Africa, Indonesia and South America. Contrary to what is observed in the 9 upper levels (see prevalent negative anomalies in Fig. 5a), there are extensive positive 10 anomalies (meaning observations larger than modeled amounts) in background middle tropospheric NO₂ both at 500 and 620 hPa in Fig. 5b, particularly over the tropics and 11 12 subtropics – which is indicative of deficient model mid-tropospheric outflows at these levels. Positive anomalies over the continents are particularly large over China (with an outflow 13 14 related positive anomaly downwind over the Pacific), central US, and the biomass burning regions in central Africa and South America. While it may be more or less clear that enhanced 15 16 mid-tropospheric NO₂ concentrations observed over the oceans are related to enhanced convective inflows into this level (without definitely discarding a problem with NO₂ lifetime). 17 the origin of the convective anomalies remains ambiguous. A cursory look at the NO₂ 18 19 concentrations observed at lower levels might help discriminate whether flux anomalies into 20 the mid-troposphere are related to deficiencies in model prescribed surface emissions or 21 problems with the convective transport scheme, or both.

22 For example, the pattern of anomalies over China at lowest levels (see Fig. 5c) is prominently 23 positive, but it carries a dipolar positive (China) - negative (Japan) pattern that is no longer 24 observed at higher levels. So, while it is possible that some of the mid-tropospheric convective anomalies are a response to flux anomalies carried from underneath (i.e. a 25 26 deficiency in the originally prescribed surface emission), as it happens over eastern US and Europe, where negative anomalies are carried upwards (see Fig. 5b), the overall effect does 27 not exclude net deficiencies in model convective transport. As far a biomass burning is 28 concerned, the pattern of anomalies over central Africa and South America in the lowest 29 30 tropospheric levels (see Fig. 5c) is unfortunately not as evident (given the lack of low cloud 31 detections) as over China but mostly neutral or slightly negative, indicating that midtropospheric positive anomalies in this area respond to either a convective transport scheme
 that is too weak or a model injection height that is too low.

The lower tropospheric levels (720 and 820 hPa) in NO₂ sampled by the cloud slicing 3 4 technique are shown in Fig. 5c. These levels sustain the highest NO₂ concentrations in the 5 vicinity of major industrial hubs (eastern US, central Europe and China) and the strongest 6 anomalies as well, which in this case can be linked directly to deficiencies in prescribed 7 surface emissions. All major features in the anomaly patterns at these levels can be matched 8 unambiguously to the pattern of OMI to TM4 total tropospheric NO₂ column differences for 9 clear sky-conditions shown later in Fig. 12, characterized by positive anomalies over 10 northeast US, central Europe and Japan, and negative anomalies over China. These low level signatures are consistent with NO₂ increases over China, India and the Middle East, and NO₂ 11 12 decreases over eastern US and central Europe, which are not reflected in the model emission inventory. Other salient features at these levels include an interesting band of negative 13 14 anomalies along the ITCZ (perhaps related to rapid convective mixing of relative "clean" air from the boundary layer) and extensive positive anomalies over the oceans (more so at 720 15 16 than at 820 hPa) - revealing deficient model outflows at high latitudes and suggesting that 17 poleward transport of NO₂ in the model may not be vigorous enough (a problem likely related 18 with horizontal diffusion in the model).

In summary, there is remarkable agreement between observed and modeled upper/middle/lower tropospheric NO_2 amounts, their main distributions resembling each other at continental scale, with localized differences suggesting that the cloud slicing technique holds promise for testing model features related to anthropogenic emission, convection and uplift, horizontal advection and lightning NO_x production.

24 **3.3 Classification**

In the previous section, we studied the geographical distribution of observed and modeled NO₂ amounts on different pressure layers. In this section, we focus on the vertical dimension by looking at NO₂ VMR amounts across pressure layers. In order to simplify the analysis, we have defined a set of geographical classes based on the amount of variance contained in the TM4 model NO₂ profiles. These classes characterize how much of the NO₂ content in the profile can be apportioned to surface sources and how much to outflows – further subdivided into outflows with low, mid or high altitude components. Annual mean NO₂ VMR profiles are

plotted for each class, along with reference to pseudoprofile error. A standard empirical 1 2 orthogonal function (EOF) decomposition of the reference TM4 profiles [VMR_{ref} in Eq. (12)] is employed to characterize the geographical variance of NO₂ vertical profiles under cloudy 3 4 conditions and identify major spatial patterns. The first four EOF eigenvectors (out of a total 5 of six) are shown in Fig. 7a. The first EOF represents profiles with higher concentrations near 6 the surface – a profile over a surface source. The second EOF represents profiles with 7 concentrations uniformly distributed across the column – a profile for a generic outflow type. 8 The third and fourth EOF eigenvectors divide the generic outflow type into subtypes with 9 stronger high altitude (EOF3>0), low altitude (EOF3<0) or mid-tropospheric (EOF4>0) 10 components. The classes that result from applying masks based on the conditions defined in 11 Table 2 are shown in Fig. 7b. According to the TM4 model, the classes containing all primary 12 and secondary industrial sources (i.e. strong projections on EOF1) are mainly confined to the 13 US, Europe and China. Other secondary industrial sources relate to India, the Middle East and 14 the Baykal Highway (a major road connecting Moskow to Irkutsk, passing through 15 Chelyabinsk, Omsk and Novosibirsk). Major biomass burning sources include large sectors in Africa and South America, Indonesia, New Guinea, and northern Australia. NO2 outflows 16 over the tropics (i.e. strong projections on EOF2) are subdivided into generic tropical 17 18 outflows (with strong upper and mid-tropospheric components, or larger projections on EOF3 19 and EOF4) and outflows over large-scale subsidence areas (with stronger lower tropospheric 20 components, or negative projections on EOF3). The extratropical outflows differ from the 21 tropical outflows in that the sign of the mid-troposheric projection is reversed, so that 22 extratropical profiles are more C-shaped (according to the model). The boreal outflow differs 23 from the extratropical outflow in that it has an extremely large upper tropospheric component 24 (i.e. a very large projection on EOF3). Finally, we have defined a separate class, labeled clean background, including all those areas without significant projections on either source or 25 26 outflow eigenvectors.

The average tropospheric NO₂ profiles estimated using the cloud slicing method on OMI and TM4 datasets for all the 11 classes (15 classes when primary and secondary industrial regions are subdivided geographically into China, USA and Europa subclasses) defined in Table 2 and Fig. 7b are shown next in Figs. 8 and 9. These plots compare the OMI and TM4 VMR pseudoprofile estimates calculated in a cloud slicing fashion as in Eq. (10), along with the reference TM4 VMR_{ref} profile calculated as in Eq. (12) for an average cloudy atmosphere. Recall that the difference between the TM4 VMR and VMR_{ref} profiles gives an indication of pseudoprofile error – or the representativity of the cloud-slicing estimate relative to a general
cloudy situation. The OMI VMR cloud slicing estimate is bounded by error bars calculated
from standard error propagation as in Eq. (9), and scaling by the square root of the number of
profiles collected per grid cell – also shown in right subpanels in Figs. 8 and 9.

5 The cloud-slicing estimate for the annual tropospheric NO₂ profiles over primary industrial 6 centers in eastern US, Europe and China are shown in the first row in Fig. 8. There is a 7 remarkably good correspondence between observed and modeled tropospheric NO₂ profiles 8 over these strongly emitting areas, particularly over central Europe, attesting to the accuracy 9 and representativity of the cloud-slicing estimates for yearly means. Pseudoprofile errors are 10 small in these areas, so that cloud-slicing estimates remain a good representation of average cloudy conditions. The OMI to TM4 VMR differences at the lowest levels are consistent with 11 12 known deficiencies in model prescribed surface emissions (OMI smaller than the TM4 over eastern US and central Europe, but larger over China). These low level anomalies are carried 13 14 upwards to a level of 500-600 hPa, above which the effects of enhanced convective midtropospheric and deep transport start to dominate regardless of the signature of the surface 15 difference. The second row in Fig. 8 show the annual tropospheric NO₂ profiles over 16 secondary industrial centers around eastern US, Europe and China. The low level features 17 related to surface emission are identical to those of the primary centers, but the signature of 18 19 enhanced mid-tropospheric convection is clearer - indicating that vertical transport in the 20 model is too weak or lifetime too short, regardless of the sign of the surface anomaly. The 21 sign of the OMI to TM4 difference is reversed in the upper two levels, in line with the generalized model overestimation of NO₂ in the upper troposphere. The third row in Fig. 8 22 23 shows the cloud-slicing estimate for the annual tropospheric NO₂ profiles over secondary 24 industrial pollution centers in India, the Middle East and the Baykal Highway - note that 25 pseudoprofile errors are larger in this case. For India, the differences between OMI and TM4 26 profiles at low levels point at a large underestimation of model surface emissions, and model 27 overestimation of upper tropospheric NO₂ amounts – this upper level anomaly related to the 28 misplaced Asian summer monsoon signal, which in observations appears located over the 29 Tibetan plateau. For the Middle East, the difference between OMI and TM4 profiles points at large differences at mid-tropospheric level (OMI larger than TM4). The agreement between 30 OMI and TM4 profiles for the Baykal Highway class is reasonably good – allowing for a 31 small underestimation of model surface emissions. After deep transport in China, this is the 32 class with higher upper level NO₂ amounts, most likely related to fire-induced convection 33

from boreal fires. The left panel in the fourth row in Fig. 8 shows the cloud slicing estimate 1 2 for the annual tropospheric NO₂ profile over tropical biomass burning regions, featuring positive anomalies at middle levels and negative anomalies at lower and upper levels, again 3 4 pointing at defective model convective transport into the mid-troposphere (or issues with the 5 pyro-convection heigh). The cloud-slicing estimates for annual tropospheric NO₂ profiles over typical outflow regions are shown in the middle and right panels in the fourth row 6 7 (tropical and tropical subsidence outflows) and left and middle panels in the fifth row 8 (extratropical and boreal outflows) in Fig. 8. As a salient feature, all of the outflow profiles 9 share a prominent mid-tropospheric plume centered around 620 hPa in the tropics and a little 10 lower in the extratropics, around 720 hPa, with NO₂ amounts much smaller than the model in 11 the upper troposphere and general agreement at the lowest level, producing profiles which are 12 generally S-shaped (instead of C-shaped as in the model). The mid-tropospheric plume is 13 likely related to enhanced convective fluxes of NO₂ over industrial and biomass burning areas 14 (but definitely not discarding issues with NO₂ lifetime or substantial chemical NO_x recycling 15 from HNO₃ and PAN sources at this level). Note also the generalized model overestimation of NO₂ in the upper levels (tropical and extratropical), which is consistent with reports of excess 16 lightning NO_x production over the tropical oceans in (Boersma et al., 2005). The upper level 17 18 overestimation is particularly large for the boreal outflow class, which we also mentioned could be related to the excess fire-induced convection over Siberia or too large NO_x emission 19 20 factors. Finally, the cloud-slicing estimate for the annual tropospheric NO₂ profile over the 21 clean Southern Ocean is shown on the right panel of the last row in Fig. 8, with good 22 agreement at the top levels and gradually increasing model underestimation towards the 23 surface, suggesting enhanced lateral contributions at high latitudes from horizontal eddy 24 diffusion.

25 The left panel in Fig. 9 shows the annual tropospheric NO₂ profile for all the primary surface 26 sources together (eastern US, central Europe and China), indicating that differences at surface 27 level average out globally, leaving the effects of enhanced observed mid-tropospheric 28 convection and deep transport to stand out. The signature of enhanced mid-tropospheric 29 convection becomes even clearer in the mid panel in Fig. 9, which shows the annual tropospheric NO₂ profile for all secondary surface sources together (around primary sources, 30 plus India, the Middle East, the Baykal Highway and the biomass burning areas), where the 31 signature of enhanced deep transport is in this case replaced by model overestimation of upper 32 tropospheric NO₂. The model overestimation of upper level NO₂ appears clearly on the right 33

panel in Fig. 9, which shows the annual tropospheric NO₂ profile for all the outflow classes, along with a prominent model underestimation of mid-tropospheric NO₂ levels. In summary, and consistent with our comments on Figs. 5a-c, the average profiles that result from applying the cloud slicing technique on observed OMI and modeled TM4 datasets show striking overall similarities, which confer great confidence to the cloud-slicing approach, along with more localized differences that probe into particular model processes and parameterization schemes.

8 3.4 Cross-sections

9 We would like to wrap up our results in the form of observed and modeled annual zonal mean 10 and longitudinal NO₂ cross-sections along the tropics (Figs. 10a-b and 11). Note that in order 11 to bypass pseudoprofile errors, the observed NO₂ pseudoprofiles are scaled in this section by 12 the model profile-to-pseudoprofile ratio as in Eq. (13) to form what is called the observation 13 update.

14 For the annual zonal mean tropospheric NO₂, the left-to-right panel comparison in Fig. 10a 15 shows that although the observation update does not change the strength of major industrial 16 emission over the northern midlatitudes at the lowest levels, the associated convective cloud 17 is reaching higher in altitude. In the tropics and southern latitudes, vertical transport of the 18 combination of biomass burning and industrial emissions is stronger and reaching higher -19 with a prominent high plume originating from the Johannesburg area. The observation update 20 does bring notably stronger midtropospheric outflows distributed over a broader latitude band 21 and weaker NO₂ signatures at high altitude. The enhanced midtropospheric plume is best 22 appreciated in Fig. 10b, which shows the annual zonal mean tropospheric NO₂ averaged over 23 the Pacific Ocean sector (180W-135W) - the dominant sources of NO₂ over the oceans are thought to include the long-range transport from continental source regions, as well as 24 25 chemical recycling of HNO₃ and PAN sources [Staudt et al., 2003]. [Schultz et al., 1999] 26 actually shows that the decomposition of PAN originating from biomass burning actually accounts for most of the midtropospheric NO_x in the remote Southern Pacific, suggesting that 27 28 enhanced convective flux from surface sources may not be the only agent responsible for the 29 enhanced midtropospheric outflows observed by OMI.

Figure 11 shows a picture for the annual longitudinal NO_2 cross-section for tropical latitudes between 10N and 20S, where the observation update raises the convective plumes from major biomass burning areas in South America, central Africa and Indonesia/Northern Australia to
higher altitude, between 500 and 600 hPa, with a slight westward tilt and longer downstream
transport of cloud outflow at upper levels caused by the tropical easterly jet, and generally
weaker NO₂ signatures at high altitude.

5 In summary, the OMI cloud-slicing NO₂ profiles seem to suggest that raising the polluted 6 plumes to higher altitudes allows for much longer residence and chemical lifetimes, and longer and more widely distributed horizontal transport of NO₂ (following poleward 7 8 advection and dispersion by the subtropical jet and by baroclinic waves at lower levels) in the 9 mid-tropophere. These observations are in line with reports in (Williams et al. 2010) showing 10 that the underestimation of upper tropospheric O₃ in TM4 relative to observations over Africa may be linked to a too weak convective uplift using the Tiedtke scheme. The studies of (Tost 11 12 et al., 2007), (Barret et al., 2010) and (Hoyle et al., 2011) corroborate this finding, indicating that the vertical extent of tropical convection and associated transport of CO and O₃ in the 13 14 middle and upper troposphere is underestimated in Tiedtke based models. Accurately 15 constraining the convective transport in CTMs should contribute to the determination of the vertical distribution of lighting NO_x, since knowledge of the extent of mixing of air into the 16 17 cloud as a function of altitude is required to separate the NO_x produced by lightning from that 18 produced by upward transport (Dickerson, 1984).

19 **3.5 Consistency check**

20 Because of their annual and global character, we do not have any direct means to validate the 21 OMI annual tropospheric NO₂ profile climatology estimates in the same way that it has been 22 done, for example, in [Choi et al., 2014]. But we can check their consistency by demanding 23 that the total tropospheric NO₂ column from the cloud-slicing technique does not deviate 24 significantly from the total tropospheric NO₂ column observed in clear sky conditions (see Fig. 12). The total tropospheric NO₂ column VCD_{slicing} from the cloud slicing technique is 25 26 calculated as the sum of partial vertical column densities obtained from the annual mean 27 pseudoprofile VMR as:

28
$$VCD_{slicing}(lat, lon) = \sum_{i=1,\dots,6} VMR_i(lat, lon) \cdot (\langle p_{i+1} \rangle - \langle p_i \rangle) / C$$
 [14]

Where C is the same constant defined in Eq.(8). Note that absent VMR grid values (such as at high altitude over subsidence regions, or at low altitude over the tropical continents) are ignored without provision of a priori information.

- 2 We do know that there are some basic differences between NO₂ profiles observed under clear 3 and cloudy conditions though. In the TM4 model, the differences between cloudy 4 (CRF>50%) and clear (CRF<25%) profile climatologies (see left panel in Fig. 13 below), 5 show strong negative anomalies over the biomass burning areas (central Africa, southern 6 America, northern Australia, southern India, but also in the Persian Gulf and Turkestan) most likely related to fire suppression during the wet/cloudy season. Over industrial areas (USA, 7 8 Europe and China) a more complex pattern of anomalies arises that likely results from the 9 competing effects of suppressed photolysis under clouds (small positive anomaly), venting by 10 passing fronts (large negative anomalies) and accumulation patterns dependent on a 11 predominant synoptic weather type [cyclonic or anticyclonic, (Pope et al., 2014)]. This pattern of differences between cloudy and clear annual NO₂ profile climatologies is well reproduced 12 by OMI observations (see right panel in Fig. 13 below). The sole difference is that OMI sees 13 14 larger outflows at higher latitudes in the cloudy case – perhaps a deficiency of the model in 15 redistributing its horizontal flows under frontal conditions.
- 16 Another more direct way to perform this consistency check is to look at the differences in total NO₂ columns between model (TM4) and observations (OMI) for the clear and cloudy 17 18 cases separately, as shown in Fig. 14. For the clear sky case (see left panel in Fig. 14) the 19 pattern of anomalies that arises is consistent with existing long-term satellite NO₂ trend 20 studies [(van der A. et al., 2008) and (Richter et al., 2005)] that report significant reductions 21 in NO₂ in Europe and eastern parts of the United States, strong increases in China, along with 22 evidence of decreasing NO₂ in Japan, increasing NO₂ in India, Middle East, and middle 23 Russia - and some spots in central United States and South Africa. The differences between 24 model and clear-sky OMI NO₂ total columns are being used to update the surface emission 25 inventories (Mijling & van der A, 2012) (Ding et al., 2015). What is comforting is that a similar pattern of differences arises in the cloudy case (using the cloud-slicing TM4 and OMI 26 profiles), and with a similar amplitude, verifying that the OMI cloud slicing columns are 27 28 internally consistent with the clear sky OMI observations in detecting anomalies that can be 29 ultimately related to outdated model emission inventories.
- 30 In Figure 14, note that the model total tropospheric NO_2 columns over clean remote areas (i.e. 31 tropical and extratropical outflow regions over the oceans) in the cloudy case do not deviate 32 in general by more than 0.1xE-15 molec/cm2 from observations This is a good result,

showing that the estimate of the stratospheric column (by data assimilation) does not produce significant cloud-cover dependent biases in the tropospheric column. If we recall that the observed cloud-slicing NO₂ profile over clean remote areas is S-shaped, with a much stronger mid-tropospheric component and a much reduced upper tropospheric load than in the model, then we can infer that there has been as much gain in the mid-tropospheric component as there has been loss at high altitude, which is another form of closure.

7 3.6 Seasonal analysis

8 The seasonal mean tropospheric NO₂ VMR pseudoprofiles for the DJF, MAM, JJA and SON 9 periods observed by OMI over the year 2006 compared against their TM4 model counterparts 10 are shown next. These plots (Figs. 15-33) have been generated using the same cloud slicing grid and CRF threshold configurations applied for the annual means, with a required 11 12 minimum of 7 measurements collected per bin during each season (instead of 30 for the 13 annual means). This section is not intended to provide a thorough analysis of seasonal 14 variability in (observed or modeled) tropospheric NO₂ profiles, but to demonstrate the potential of the cloud slicing technique to provide details that pertain to seasonal as well as to 15 16 annual means.

The largest signatures of seasonal variability expected to appear in these figures are: a) a 17 18 seasonal cycle in lightning activity in the upper levels (280-380 hPa) that shifts in latitude 19 following the Sun's declination, b) a seasonal cycle of biomass burning in the mid levels 20 (500-620 hPa) basically opposite to that of lightning in case of man-mande fires during the 21 dry season, otherwise in phase with lightning, and c) a seasonal cycle over industrial areas at 22 lower levels (720-820 hPa), featuring minimum NO₂ levels in the summer months due to 23 changes in the lifetime of NO_x [van der A. et al., 2008]. The seasonal cycle in lightning NO_x 24 emissions may be verified against the climatology of lightning flashes observed by LIS/OTD 25 (data set available online [ftp://ghrc.nsstc.nasa.gov/pub/lis/climatology], see [Cecil et al., 26 2014]). The seasonal cycle in biomass burning may be verified against the climatology of ATSR and AVHRR fire counts from (Dwyer et al., 2000) and (Schultz, 2008). 27

28 Africa

Over Africa, persistent lightning activity at upper levels is expected to take place about the Equator (the Congo Basin) all year long, shifting southward towards South Africa in SON and DJF, and northward towards the Gulf of Guinea, the Sahel and Sudan in MAM and JJA,

1 features which are all captured by OMI in Fig. 15 (in reasonable agreement with TM4, though 2 some discrepancies are apparent too). These lightning signatures are not to be confused with traces of NO₂ lifted from biomass burning underneath, which feature a precisely opposite 3 phase. Remarkable biomass burning signatures can be appreciated throughout the entire 4 5 tropospheric column in Figures 15-18 as NO₂ enhancements north of Equator (Sahel) in DJF, 6 and south of Equator (Angola and Zambia) in JJA, shifting eastward towards Mozambique 7 and Madagascar in SON (best seen at 500 and 620 hPa in Figs. 17-18). We note that the 8 penetration of seasonal biomass burning signatures into 280-380-500 hPa is stronger in OMI 9 than in TM4. In addition, note the strong enhancement in lightning activity seen by OMI off 10 the Southeast coast of Africa in MAM and JJA at 380 hPa in Fig.16, in connection with the 11 confluence of the warm Agulhas and the cold Antarctic Circumpolar Current, which is 12 virtually missed by TM4.

13 South America

14 Over South America, a maximum in lightning activity is expected to occur over central Brazil 15 in SON, as captured by OMI in Figs. 19-20 (in agreement with TM4, though some 16 discrepancies persist relative to the location of the lightning maximum, as we mentioned when describing the annual means), migrating towards the southeast in DJF. Lightning and 17 18 precipitation are persistent in the northwest (Colombia, Venezuela and Central America) all 19 year-round, intensifying in MAM and JJA, as reasonably captured by OMI in Fig. 19, along 20 with some persistent NO₂ enhancements over la Plata Basin and off into the Brazil-Malvinas Confluence Zone. The lightning signatures at upper levels may be partly overlapped by those 21 22 from biomass burning lifted from underneath, but their separation is more difficult in this 23 case. For instance, the NO₂ enhancements detected by OMI at 500 hPa over Brazil in SON 24 and DJF in Fig. 21 correlate well with the lightning signatures at 380 hPa, but they also 25 correlate with the biomass burning signals at 620 hPa, indicating that both processes may be 26 occurring at the same time in separate but nearby locations (e.g. combining the start of the wet 27 season in the Amazon Basin in DJF, with the end of the burning season in eastern Brazil). The cycle of biomass burning in South America, which takes place over the dry season, starts in 28 southern Brazil in JJA, to find a maximum in SON eastward towards the coastal states, as 29 30 OMI captures in Figs. 21-23 (in reasonable agreement with TM4). In DJF, some activity may 31 persist in eastern Brazil and new activity develop over the lower slopes of the Argentinian 32 Andes during the austral summer, generally complicating attribution. Finally, it is interesting to note in Fig. 23 the remarkable decrease in NO₂ levels at 720 hPa over the Amazon Basin
during the rainy season in DJF and MAM, as if in connection with an efficient NO₂ removal
mechanism.

4 Southeast Asia and Australia

5 At upper levels, one should expect to see persistent lightning activity over Indonesia all year 6 round, as qualitatively observed by OMI in Fig. 24 (and in agreement with TM4), migrating 7 northward towards South Asia in MAM and JJA, and southward towards Australia in SON 8 and DJF. These lightning signatures may be mixed to greater or lesser degree with NO₂ lifted 9 from biomass burning and/or anthropogenic sources underneath. Over South Asia, biomass burning is expected to find its maximum in MAM, as OMI captures in Figs. 26-28 10 11 particularly over Northern India and Myanmar. These emissions are likely responsible for a 12 large part of the NO₂ enhancement observed around India at upper levels in MAM. The very strong NO₂ enhancement observed over South Asia at upper levels in JJA (Figs. 24-25) is 13 likely related to deep transport of surface emissions (biomass and industrial) during the 14 15 monsoon season, which TM4 locates over the Indo-Gangetic area and OMI locates over the 16 Tibetan Plateau. Over Indonesia and Northern Australia, a maximum in biomass burning activity is expected be reached in SON, as OMI captures reasonably well in Figs. 26-28, 17 18 indicating that the strong NO₂ enhancement seen by OMI over Northern Australia at 280 hPa 19 in SON may well be tainted by deep transport of biomass burning.

20 Over a major industrial source like China, near-surface concentrations of NO₂ around 720 to 820 hPa are expected to reach minimum/maximum levels in JJA/DJF, just on account of 21 22 increased/reduced exposure to sunlight (i.e. reduced/increased NO_x lifetime), as shown by 23 both OMI and TM4 in Fig. 28. At mid-tropospheric levels though, other effects such as 24 vertical transport intervene. Note in Figs. 26-27 that the TM4 model registers maximum mid-25 tropospheric NO₂ levels over China in JJA, and minimum in DJF. However, OMI observes 26 stronger mid-tropospheric NO₂ levels in DJF than in JJA. According to OMI, surface 27 emissions from China (and also from Europe and the US, as well shall see next) are being 28 transported in larger quantities and to higher altitudes than in the model, particularly during 29 the winter months.

30 Europe and North America

In connection with summer convection, lightning activity at northern midlatitudes is expected
to be strongest in JJA. Enhacements in upper tropospheric NO₂ are observed by OMI (and

1 TM4) in Fig. 29 over the eastern Mediterranean in JJA and SON. Enhanced NO₂ levels over 2 Siberia in JJA may also be related to summer convection and increased biomass burning. In the US, lightning activity is expected to reach a maximum in JJA and shift southward towards 3 the Gulf of Mexico in SON and DJF, features which are all registered by OMI in Fig. 29 (in 4 5 reasonable agreement with TM4, though some discrepancies are apparent in DJF). Figures 30-6 31 reveal an interesting discrepancy between the OMI and TM4 pseudoprofiles regarding the 7 intensity and reach of convective penetration at 500 hPa of anthropogenic NO₂ above major 8 industrial areas. As already noted for China, the TM4 model is placing enhancements of mid-9 tropospheric NO₂ over central Europe and eastern US in MAM and JJA, whereas OMI 10 registers a more uniform distribution of mid-tropospheric signatures across the year, showing 11 maxima in DJF and SON. This disagreement is suggestive of problems with the model 12 convective scheme, possibly related to frontal uplift by conveyor belts in the wintertime. At 13 levels closest to the surface, the variation in NO₂ concentration over major industrial areas 14 (Europe and US, but also China, India and the Middle East) registered by OMI in Figs. 19-20 shows minima in JJA and maxima in DJF, just as expected and in agreement with TM4. 15

16

17 4 Summary and conclusions

18 In this paper, we derive annual and seasonal global climatologies of tropospheric NO₂ profiles 19 from OMI cloudy measurements for the year 2006 using the cloud slicing method on six 20 pressure levels centered at about 280, 380, 500, 620, 720 and 820 hPa. The cloud-slicing profiles have been estimated after differencing annual and seasonal tropospheric NO₂ columns 21 22 above cloud with respect to pressure, using mean cloud pressures located at about 330, 450, 23 570, 670, 770 and 870 hPa. We term these objects pseudoprofiles, since the required presence 24 of a probing cloud necessarily draws the cloud-slicing estimate away from the underlying NO₂ profile. The systematic error between the cloud-sliced NO₂ pseudoprofile and the actual 25 average NO₂ profile in a cloudy atmosphere is called pseudoprofile error, which can be 26 27 evaluated (and possibly corrected) using a CTM.

The total tropospheric NO₂ content in the cloud slicing profiles is consistent with the OMI clear sky total tropospheric column for the same year, after making allowance for a natural change in the global NO₂ distribution that occurs in passing from clear to cloudy conditions. This change includes suppression of biomass burning during the wet/cloudy season, suppressed NO₂ photolysis under clouds, venting by weather fronts and accumulation patterns dependent on the predominant (clear or cloudy sky) synoptic weather type. The internal consistency between OMI clear-sky and cloud slicing tropospheric NO₂ columns confirms the capability of cloud slicing profiles to detect CTM model anomalies that can be ultimately related to problems in model emission inventories, but with additional vertical information that allows distinction between surface, mid-tropospheric and upper-tropospheric processes.

6 The vertical information contained in OMI tropospheric NO₂ profiles derived from the cloud slicing technique provides a wealth of information that can be used to evaluate global 7 8 chemistry models and provide guidance in the development of sub-grid model 9 parameterizations of convective transport, fire-induced injection, horizontal advective 10 diffusion and lightning NO_x production. Overlapping processes (i.e. the effects of deep convection and lightning NO_x in the upper troposphere, the effects of midtropospheric 11 12 convection and anomalies in surface emissions in the mid-troposphere) as well as 13 uncertainties in the chemical degradation and NO_x recycling rates currently limit the degree to 14 which discrepancies between observations and simulations can be unambiguously attributed to a single process, although the availability of observational constraints definitely constitutes 15 16 an improvement.

As an example of such an application, we have performed a comparison between cloud 17 18 slicing tropospheric NO₂ profiles from OMI and the TM4 model. In the upper troposphere 19 (280 and 380 hPa levels), observed NO₂ concentration anomalies reveal excessive model background NO₂ amounts which are consistent with too strong model lightning emissions 20 over the oceans (and/or too long lifetimes) combined with misplaced lightning NO₂ over 21 22 central Africa and South America, which is indicative of limitations in the convectively 23 driven model lightning NO_x scheme of (Meijer et al., 2001). Other anomalies suggest 24 observed enhanced deep transport of NO₂ from major industrial centers relative to TM4, including a prominent signal from the Asian summer monsoon plume that the model fails to 25 place accurately, and probable excess model fire-induced convection over Siberia. 26

In the mid troposphere (500 and 620 hPa levels), observed NO_2 concentration anomalies reveal deficient model background NO_2 amounts suggestive of too small model convective inflows into this level, with deficits particularly large over China, central US, and Europe during the boreal winter, and the biomass burning regions in central Africa and South America, combined with extensive outflows over the oceans that are stronger and more widely distributed in latitude than in the model. This is consistent with independent reports of

1 underestimation of vertical transport by convective clouds in Tiedtke based models. Raising 2 the NO₂ plumes to higher altitudes allows for much longer residence and chemical lifetimes, and longer and more widely distributed horizontal transport of NO₂ following poleward 3 4 advection and dispersion by the subtropical jet in the mid-tropophere, all of which end up 5 producing typical outflow profiles over the oceans that are generally S-shaped with a 6 prominent mid-tropospheric plume centered around 620 hPa in the tropics and around 720 7 hPa in the extratropics. The role that the recycled NO_x component may play in the enhanced 8 mid-tropospheric outflows observed by OMI over remote ocean regions is unclear at this 9 stage, but the cloud slicing technique shows promise to study such effects.

In the lower troposphere (720 and 820 hPa), observed NO₂ concentration anomalies show a 10 11 pattern that is consistent with deficiencies in model surface emissions related to known NO_2 trends characterized by NO₂ increases over China, India and the Middle East, and NO₂ 12 decreases over eastern US, central Europe and Japan. The lower levels also show extensive 13 14 positive anomalies over the oceans (particularly at 720 hPa), which are indicative of deficient 15 model outflows at low altitudes (and/or too short model lifetimes) with deficient poleward 16 diffusion of NO₂ at low to mid-tropospheric levels, and an interesting band of negative 17 anomalies along the ITCZ.

18 On a seasonal basis, both the OMI and TM4 model pseudoprofiles show seasonal features that 19 are consistent with the available lightning flash and fire count climatologies, and 20 complementary to the results obtained for the annual means. On a finer scale, we observe some significant differences – on lightning distribution (at upper levels over Africa and South 21 22 America, or over the Agulhas and Brazil-Malvinas confluence zones), and the intensity and 23 reach of convective transport over strong biomass or industrial sources - whose detailed 24 examination deserves future work. For example, we note that the penetration of seasonal 25 biomass burning signatures into 280-500 hPa over Africa is stronger in OMI than in TM4. 26 Also, the penetration of industrial emissions into 500-620 hPa over Europe, China and the US 27 reaches a maximum in MAM and JJA according to TM4, whereas OMI registers a more uniform distribution of mid-tropospheric signatures across the year with maxima in DJF and 28 SON, which is suggestive of problems with the model convective scheme, possibly related to 29 30 frontal uplift by conveyor belts in the wintertime.

31 Note that support from a CTM (the TM4 in our case) is required to make provision for the 32 cloud-slicing technique in order to determine the a priori corrections for undercloud leakage,

so that a level of trust must initially be placed in the model. When comparing the resulting 1 2 pseudoprofiles against a priori information, a number of discrepancies are found which work against our initial trust in model corrections. This conflicting outcome should be understood 3 4 and justified to the extent that a priori corrections only have a limited impact on the cloud 5 slicing profiles. Correcting for pseudoprofile errors using model-based profile-to-6 pseudoprofile ratios is an entirely different matter. The presence of systematic pseudoprofile 7 error in cloud-slicing estimates and their general predominance over random instrumental 8 error suggest that the vertical information contained in cloudy pixels may be best extracted by 9 an assimilation procedure that updates the atmospheric state (i.e. the model profile shape) at 10 the right time and location using the averaging kernel of the observation. Most data 11 assimilation experiments using OMI NO₂ observations have focused to this date on exploiting 12 clear-sky measurements only: our results provide strong motivation to put cloudy pixels to 13 good use as well, as done by e.g. (Miyazaki et al., 2014).

14

15 Appendix A: Gas columns above and below cloud

16 If the tropospheric AMF_{trop} is defined as:

17
$$AMF_{trop} = CRF \cdot AMF_{cloud} + (1 - CRF) \cdot AMF_{clear}$$
 (A1)

18 Where the clear AMF can be expressed as:

$$\begin{split} AMF_{clear} &= \frac{\sum_{0}^{tropopause} m_{clear}(z) \cdot n(z)}{\sum_{0}^{tropopause} n(z)} \\ &= \frac{\sum_{0}^{CLP} m_{clear}(z) \cdot n(z) + \sum_{CLP}^{tropopause} m_{clear}(z) \cdot n(z)}{\sum_{0}^{tropopause} n(z)} \\ &= \frac{\sum_{0}^{CLP} m_{clear}(z) \cdot n(z)}{\sum_{0}^{CLP} n(z)} \cdot \frac{\sum_{0}^{CLP} n(z)}{\sum_{0}^{trop} n(z)} + \frac{\sum_{CLP}^{trop} m_{clear}(z) \cdot n(z)}{\sum_{CLP}^{trop} n(z)} \cdot \frac{\sum_{0}^{trop} n(z)}{\sum_{0}^{trop} n(z)} \\ &= AMF_{clear} \cdot \frac{VCD_{below}}{VCD_{trop}} + AMF_{clear} \cdot \frac{VCD_{above}}{VCD_{trop}} \end{split}$$

19

20 Where m_{clear} is the clear-sky scattering sensitivity and n(z) is the model a priori trace gas 21 profile. Similarly, the cloudy AMF can be expressed as:

$$AMF_{cloud} = \frac{\sum_{0}^{tropopause} m_{cloud}(z) \cdot n(z)}{\sum_{0}^{tropopause} n(z)}$$

$$= \frac{\sum_{0}^{CLP} m_{cloud}(z) \cdot n(z) + \sum_{CLP}^{tropopause} m_{cloud}(z) \cdot n(z)}{\sum_{0}^{tropopause} n(z)}$$

$$= \frac{\sum_{CLP}^{trop} m_{cloud}(z) \cdot n(z)}{\sum_{CLP}^{trop} n(z)} \cdot \frac{\sum_{0}^{trop} n(z)}{\sum_{0}^{trop} n(z)} = AMF_{cloud} \cdot \frac{VCD_{above}}{VCD_{trop}}$$
(A3)

2 Where m_{cloudy} is the cloudy-sky scattering sensitivity. Note that by construction:

3
$$VCD_{trop} = \sum_{0}^{tropopause} n(z) = VCD_{above} + VCD_{below}$$
 (A4)

4 Then the tropospheric AMF can be written, after inserting Equations (A2) and (A3) into Eq.

5 (A1), and rearranging terms relating to above and below components separately as:

$$AMF_{trop} = \frac{VCD_{above}}{VCD_{trop}} \left(CRF \cdot AMF_{cloud} + (1 - CRF) \cdot AMF_{clear} \right) + \frac{VCD_{below}}{VCD_{trop}} (1 - CRF) \\ \cdot AMF_{clear} = \frac{VCD_{above}}{VCD_{trop}} AMF_{above} + \frac{VCD_{below}}{VCD_{trop}} AMF_{below}$$

6

1

7 From this formulation arise definitions for AMF_{above} and AMF_{below}:

8
$$AMF_{above} \equiv \frac{\sum_{CLP}^{trop}(CRF \cdot m_{cloud}(z) + (1 - CRF) \cdot m_{clear}(z)) \cdot n(z)}{\sum_{CLP}^{trop} n(z)}$$
 (A6)

9
$$AMF_{below} \equiv \frac{\sum_{0}^{CLP} (1 - CRF) \cdot m_{clear}(z) \cdot n(z)}{\sum_{0}^{CLP} n(z)}$$
 (A7)

10 Now it is straightforward to write:

$$SCD_{trop} = AMF_{trop} \cdot VCD_{trop}$$

11 Which after substitution of Eq. (A5) becomes

$$SCD_{trop} = \left(\frac{VCD_{above}}{VCD_{trop}} \cdot AMF_{above} + \frac{VCD_{below}}{VCD_{trop}} \cdot AMF_{below}\right) \cdot VCD_{trop}$$

$$12 = VCD_{above} \cdot AMF_{above} + VCD_{below} \cdot AMF_{below} = SCD_{above} + SCD_{below}$$
(A8)

13 Allowing the separation of the slant components above and below the cloud as:

31

(A5)

1
$$VCD_{above} = (SCD_{trop} - SCD_{below})/AMF_{above}$$
 (A9)

Now, in [Boersma, ACP, 2005] the above-cloud part of the NO₂ column is retrieved by
removing the model predicted ghost column (integrated from the ground to the cloud level
pressure, identical to VCD_{below}) that is implicitly added via the tropospheric airmass factor as:

5
$$VCD_{above} = SCD_{trop} / AMF_{trop} - CRF \cdot VCD_{below}$$
 (A10)

However, by virtue of Eq. (A4), formulation in Eq. (A10) in [Boersma, ACP, 2005] should be
changed to:

8
$$VCD_{above} = SCD_{trop} / AMF_{trop} - VCD_{below}$$
 (A11)

9 Which is equivalent to Eq. (A9).

10

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- 14 chemistry simulations in the AMMA (African Monsoon Multidisciplinary Analysis)
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 tropospheric ozone from satellite measurements, J. Geophys. Res., 106(D9), 9853-9867,
 2001.
- 19

- 1
- 2 Table 1. Cloud pressure intervals and mean cloud pressure levels used for cloud slicing (hPa):
- 3 the VCD pressure interval gives the boundaries of the cloud pressure bin. The VMR pressure
- 4 interval refers to where the VMR is assumed constant after the pressure difference.

	VCD Pressure Interval	<vcd pressure=""></vcd>	VMR Pressure Interval	<vmr pressure=""></vmr>
Level 1	Tropopause - 380	330	Tropopause - 330	280
Level 2	380 - 500	450	330 - 450	380
Level 3	500 - 620	570	450 - 570	500
Level 4	620 - 720	670	570 - 670	620
Level 5	720 - 820	770	670 - 770	720
Level 6	820 - 1000	870	770 - 870	820

Class label	Main condition	Extra condition
Primary industrial	EOF1 > 400 pptv	US, Europe, China
Secondary industrial	100 pptv < EOF1 < 400 pptv	US, Europe, China
Biomass burning	100 pptv < EOF1 < 400 pptv	geographic
Baykal highway	100 pptv < EOF1 < 400 pptv	geographic
Indostan	100 pptv < EOF1 < 400 pptv	geographic
Middle East	100 pptv < EOF1 < 400 pptv	geographic
Tropical outflow	EOF1<50 pptv, EOF2>15 pptv	EOF3>0, EOF4>0
Tropical subsidence	EOF1<50 pptv, EOF2>15 pptv	EOF3<0
Extratropical outflow	EOF1<50 pptv, EOF2>15 pptv	EOF3>0, EOF4<0
Boreal Outflow	EOF1<50 pptv, EOF2>15 pptv	EOF3 >>0
Clean background	EOF1<15 pptv, EOF2<15 pptv	





Figure 1. Latitude-height section of annual zonal mean OMI cloud frequencies (CRF>50%) observed during daytime around 13.45 LST. On the left in red, the bottom pressure
boundaries for the calculation of annual mean NO₂ VCDs above cloud (after Table 1). On the
right in blue, the approximate pressure for the resulting NO₂ VMR after differenciation of
VCDs (also after Table 1).



Figure 2. Schematic diagram of the scattering sensitivity above and below the cloud (normalized by the geometric air mass factor): CLP is the cloud level pressure, and *m* is the total scattering sensitivity, usually defined as (1-CRF) $m_{clear} + CRF m_{cloudy}$. The red curve illustrates a residual sensitivity to NO₂ contents below the cloud when conditions are partially cloudy.



Figure 3a. OMI NO₂ VCDs above cloud - average quantities for the year 2006: for high
altitude clouds (top row, 330 and 450 hPa), mid altitude clouds (middle row, 570 and 670
hPa) and low clouds (bottom row, 770 and 870 hPa). Grey means no data available (i.e.
insufficient number of cloud detections in the cell).



Figure 3b. TM4 NO₂ VCDs above cloud - average quantities for the year 2006: for high
altitude clouds (top row, 330 and 450 hPa), mid altitude clouds (middle row, 570 and 670
hPa) and low clouds (bottom row, 770 and 870 hPa). Grey means no data available (i.e.
insufficient number of cloud detections in the cell).



Figure 4. Tropospheric scattering sensitivities above cloud level [AMF_{above}/AMF_{geo} in Eq. (4)]: for high altitude clouds (top row, 330 and 450 hPa), mid altitude clouds (middle row, 570 and 670 hPa) and low clouds (bottom row, 770 and 870 hPa).



Figure 5a. Upper cloud levels (280 hPa left, 380 hPa right): OMI versus TM4 model NO2

- 3 VMRs (OMI top, TM4 middle, difference bottom) average quantities for the year 2006.



- - Figure 5b. Middle cloud levels (500 hPa left and 620 hPa right): OMI versus TM4 model NO₂
- 3 VMRs (OMI top, TM4 middle, difference bottom) average quantities for the year 2006.



Figure 5c. Lower cloud levels (720 hPa left and 820 hPa right): OMI versus TM4 model NO_2

- 4 VMRs in logarithmic scale (OMI top, TM4 middle, difference bottom) average quantities for5 the year 2006.



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- 2 Figure 6. Interpretation aids for process attribution: mean flash rate climatology (1998-2010)
- 3 from the LIS-OTD sensor (left, [Cecil et al., 2014]) and fire count climatology (1997-2003)
- 4 from the ATSR sensor (right, [Arino et al., 2012]).
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Figure 7b. Model based classes based on EOF decomposition of model NO₂ profiles under cloudy conditions: black (primary industrial), red (secondary industrial), orange (biomass burning), ochre (Baykal Highway), yellow (Indostan), light green (Middle East), green (tropical outflow), turquoise (tropical subsidence), cyan (extratropical outflow), blue (boreal outflow), dark blue (clear background). Gray for unclassified.



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Figure 8. Cloud-slicing NO₂ VMR profiles for the year 2006 by class (OMI pseudoprofile, dashed red line; TM4 pseudoprofile, dashed black line; TM4 profile for cloudy conditions, continuous black line). The error bars show random retrieval errors. The differences between continuous and dashed black lines show systematic pseudoprofile errors. The subpanels on the right show the average number of OMI observations collected per grid cell per year for that class.



Figure 9. Cloud slicing NO₂ VMR profiles for year 2006 by class: all primary sources (left), all secondary sources (middle) and all outflow classes (right). (OMI pseudoprofile, dashed red line; TM4 pseudoprofile, dashed black line; TM4 profile for cloudy conditions, continuous black line). The error bars show random retrieval errors. The differences between continuous and dashed black lines show systematic pseudoprofile errors.



Figure 10a. Latitude-height cross-section of annual zonal mean tropospheric NO₂ VMR in
logarithmic scale from TM4 (left) and OMI (right) with CRF>50%.

4 Figure 10b. Latitude-height cross-section of annual zonal mean tropospheric NO₂ VMR in

lograithmic scale from TM4 (left) and OMI (right) with CRF>50% over the remote pacific
sector (180W-135W).



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- 2 Figure 11. Longitudinal cross-section of annual mean tropospheric NO₂ VMR in logarithmic
- 3 scale from TM4 (left) and OMI (right) with CRF>50% over the tropics (10N-20S).
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- 6 Figure 12. Annual clear sky OMI tropospheric NO₂ total columns in logarithmic scale for the
- 7 year 2006.
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- 2 Figure 13. Total tropospheric NO₂ columns differences between cloudy (CRF>50%) and clear
- 3 (CRF<25%) conditions for TM4 (left) and OMI (right).
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- 6 Figure 14. Total tropospheric NO₂ column differences (OMI-TM4) in clear (left) and cloudy
- 7 (right) conditions for the year 2006.
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- 2 Figure 15. African sector at 280 hPa: Seasonal variability in OMI (top row) versus TM4
- 3 model (bottom row) average NO₂ VMR pseudoprofiles for the year 2006.



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5 Figure 16. African sector at 380 hPa: Seasonal variability in OMI (top row) versus TM4
6 model (bottom row) average NO₂ VMR pseudoprofiles for the year 2006.



- 2 Figure 17. African sector at 500 hPa: Seasonal variability in OMI (top row) versus TM4
- 3 model (bottom row) average NO_2 VMR pseudoprofiles for the year 2006.



5 Figure 18. African sector at 620 hPa: Seasonal variability in OMI (top row) versus TM4

model (bottom row) average NO₂ VMR pseudoprofiles for the year 2006.



- 2 Figure 19. South American sector at 280 hPa: Seasonal variability in OMI (top row) versus
- 3 TM4 model (bottom row) average NO₂ VMR pseudoprofiles for the year 2006.



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5 Figure 20. South American sector at 380 hPa: Seasonal variability in OMI (top row) versus

6 TM4 model (bottom row) average NO₂ VMR pseudoprofiles for the year 2006.



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- 2 Figure 21. South American sector at 500 hPa: Seasonal variability in OMI (top row) versus
- 3 TM4 model (bottom row) average NO₂ VMR pseudoprofiles for the year 2006.



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- 5 Figure 22. South American sector at 620 hPa: Seasonal variability in OMI (top row) versus
- 6 TM4 model (bottom row) average NO₂ VMR pseudoprofiles for the year 2006.



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- 8 Figure 23. South American sector at 720 hPa: Seasonal variability in OMI (top row) versus
- 9 TM4 model (bottom row) average NO₂ VMR pseudoprofiles in logarithmic scale for the year
- 10 2006.



- 2 Figure 24. Asian sector at 280 hPa: Seasonal variability in OMI (top row) versus TM4 model
- 3 (bottom row) average NO_2 VMR pseudoprofiles for the year 2006.



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- 5 Figure 25. Asian sector at 380 hPa: Seasonal variability in OMI (top row) versus TM4 model
- 6 (bottom row) average NO_2 VMR pseudoprofiles for the year 2006.



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- 2 Figure 26. Asian sector at 500 hPa: Seasonal variability in OMI (top row) versus TM4 model
- 3 (bottom row) average NO_2 VMR pseudoprofiles for the year 2006.



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- 5 Figure 27. Asian sector at 620 hPa: Seasonal variability in OMI (top row) versus TM4 model
- 6 (bottom row) average NO_2 VMR pseudoprofiles for the year 2006.



8 Figure 28. Asian sector at 720 hPa: Seasonal variability in OMI (top row) versus TM4 model

9 (bottom row) average NO₂ VMR pseudoprofiles in logarithmic scale for the year 2006.



2 Figure 29. North American and European sector at 380 hPa: Seasonal variability in OMI (left

- 3 column) versus TM4 model (right column) average NO₂ VMR pseudoprofiles for 2006.



- 2 Figure 30. North American and European sector at 500 hPa: Seasonal variability in OMI (left
- 3 column) versus TM4 model (right column) average NO₂ VMR pseudoprofiles for 2006.



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5 Figure 31. North American and European sector at 620 hPa: Seasonal variability in OMI (left

6 column) versus TM4 model (right column) average NO₂ VMR pseudoprofiles for 2006.



- 2 Figure 32. North American and European sector at 720 hPa: Seasonal variability in OMI (left
- 3 column) versus TM4 model (right column) average NO VMRs pseudoprofiles in logarithmic
- 4 scale for 2006.



6 Figure 33. North American and European sector at 820 hPa: Seasonal variability in OMI (left

- 7 column) versus TM4 model (right column) average NO₂ VMR pseudoprofiles in logarithmic
- 8 scale for 2006.