

1 **Utilization of O<sub>4</sub> slant column density to derive aerosol layer height**  
2 **from a spaceborne UV-Visible hyperspectral sensor: Sensitivity and**  
3 **case study**

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## Abstract

The sensitivities of oxygen-dimer ( $O_4$ ) slant column densities (SCDs) to changes in aerosol layer height are investigated using the simulated radiances by a radiative transfer model, the Linearized pseudo-spherical vector discrete ordinate radiative transfer (VLIDORT), and the Differential Optical Absorption Spectroscopy (DOAS) technique. The sensitivities of the  $O_4$  index ( $O_4I$ ), which is defined as  $O_4$  SCD divided by  $10^{40}$  molecules<sup>2</sup>cm<sup>-5</sup>, to aerosol types and optical properties are also evaluated and compared. Among the  $O_4$  absorption bands at 340, 360, 380, and 477 nm, the  $O_4$  absorption band at 477 nm is found to be the most suitable to retrieve the aerosol effective height. However, the  $O_4I$  at 477 nm is significantly influenced not only by the aerosol layer effective height but also by aerosol vertical profiles, optical properties including single scattering albedo (SSA), aerosol optical depth (AOD), particle size, and surface albedo. Overall, the error of the retrieved aerosol effective height is estimated to be 1276, 846, and 739 m for dust, non-absorbing, and absorbing aerosol, respectively, assuming knowledge on the aerosol vertical distribution shape. Using radiance data from the Ozone Monitoring Instrument (OMI), a new algorithm is developed to derive the aerosol effective height over East Asia after the determination of the aerosol type and AOD from the MODerate resolution Imaging Spectroradiometer (MODIS). About 80% of retrieved aerosol effective heights are within the error range of 1 km compared to those obtained from the Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) measurements on thick aerosol layer cases.

## 42 1. Introduction

43 Aerosol is one of the key atmospheric constituents in understanding climate  
44 changes with its effects on direct and diffuse solar radiation (e.g., Haywood and Shine,  
45 1995; Kaufman *et al.*, 2002), and plays an important role in air quality near the surface  
46 (e.g., Watson *et al.*, 1994; Prospero, 1999). For these reasons, observations from  
47 satellite remote sensing have been carried out to investigate aerosol properties at  
48 regional and global scale, including aerosol optical depth (AOD) (e.g., Currier *et al.*,  
49 2008; Levy *et al.*, 2007; Torres *et al.*, 2007; Ahn *et al.*, 2014; Veeffkind *et al.*, 1999;  
50 Zhang *et al.*, 2011), fine mode fraction (FMF) or Angstrom Exponent (AE) (e.g., Jones  
51 and Christopher, 2007; Lee *et al.*, 2010; Nakajima and Higurashi, 1998; Remer *et al.*,  
52 2008), single scattering albedo (SSA) (e.g., Dubovik *et al.*, 2002; Levy *et al.*, 2007;  
53 Jeong and Hsu, 2008; Torres *et al.*, 1998, 2005, 2007; Jethva *et al.*, 2014), and aerosol  
54 types (e.g., Higurashi and Nakajima, 2002; Kim *et al.*, 2007; Lee *et al.*, 2010). These  
55 information were further utilized to estimate radiative forcing of aerosol (e.g.,  
56 Christopher *et al.*, 2006; Chung *et al.*, 2005; Chou *et al.*, 2002), to understand the  
57 mechanism of the changes to the cloud formation (Twomey *et al.*, 1984; Albrecht,  
58 1989; Jones *et al.*, 1994), and to monitor air quality (e.g., Wang and Christopher, 2003;  
59 Hutchison *et al.*, 2005).

60 Vertical profiles of atmospheric aerosols are affected by processes of formation,  
61 transport and deposition, and vary for different aerosol types over East Asia (Shimizu  
62 *et al.*, 2004). Labonne *et al.* (2007) also reported that the layer top height of biomass  
63 burning aerosol ranged from 1.5 to 7 km in the wild fire regions. The information on  
64 the aerosol layer height is important, because the variation of the aerosol vertical  
65 distribution affects radiative process in the atmosphere near the surface and trace gas

66 retrieval for air mass factor calculation. Uncertainty in aerosol layer height also affects  
67 the accuracy of aerosol AOD and SSA retrieval algorithms that use near UV  
68 observations (Torres *et al.*, 1998; Torres *et al.*, 2007; Jethva *et al.*, 2014) and  
69 complicates the interpretation of the Aerosol Index (AI), a qualitative parameter  
70 commonly used to detect absorbing aerosols (Herman *et al.*, 1997; Torres *et al.*, 1998).  
71 In addition, there have been difficulties to estimate surface concentration of aerosol  
72 from AODs, because the information on aerosol vertical distribution is not readily  
73 available and even hard to predict from the state-of-the-art models due to its large  
74 variability. Although the Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP)  
75 has been successful and provided vertical profiles of aerosols, its spatial coverage was  
76 very limited with its measurement characteristics (Omar *et al.*, 2009). Liu *et al.* (2005)  
77 showed that the Particulate Matter (PM) concentration estimated by the AOD from  
78 satellite observation accounted for only 48% of the measured surface PM, although  
79 their study reflected variations of the aerosol types and its hygroscopic growth in the  
80 algorithms. One of the essential factors to consider in estimating PM from AOD is the  
81 vertical structure of aerosols (e.g. Chu, 2006; Seo *et al.*, 2015). Therefore,  
82 conventional aerosol products would benefit significantly with the development of  
83 robust algorithm to retrieve aerosol height using satellite data.

84 The Differential Optical Absorption Spectroscopy (DOAS) technique has been  
85 used widely to retrieve trace gas concentration both from ground-based (e.g., Platt,  
86 1994; Platt and Stutz, 2008) and space-borne (e.g., Wagner *et al.*, 2007; Wagner *et al.*,  
87 2010) measurements. After the work of Platt (1994) to retrieve trace gas concentration  
88 by using DOAS, Wagner *et al.* (2004) suggested to derive atmospheric aerosol  
89 information from O<sub>4</sub> measurement by using Multi Axis Differential Optical Absorption

90 Spectroscopy (MAX-DOAS). Friess *et al.* (2006) analyzed the model studies to  
91 calculate the achievable precision of the aerosol optical depth and vertical profile. In  
92 addition, several studies (e.g., Irie *et al.*, 2009 and 2011; Lee *et al.*, 2009 and 2011;  
93 Clemer *et al.*, 2010; Li *et al.*, 2010) provided aerosol profiles from ground-based  
94 hyperspectral measurements in UV and visible wavelength ranges on several ground  
95 sites. Wagner *et al.* (2010) investigated the sensitivity of various factors to the aerosol  
96 layer height using the data obtained from the SCanning Imaging Absorption  
97 SpectroMeter for Atmospheric Chartography (SCIAMACHY) on ENVISAT. The  
98 sensitivity of the Ring effect and the absorption by oxygen molecule ( $O_2$ ) and its dimer  
99 ( $O_4$ ) calculated by DOAS method were examined to estimate aerosol properties  
100 including the layer height. Kokhanovsky and Rozanov (2010) estimated dust altitudes  
101 using the  $O_2$ -A band between 760 and 765 nm after the determination of the dust  
102 optical depth. In addition, several previous studies are also investigated estimation  
103 methods for aerosol height information by using hyperspectral measurement in visible  
104 (e.g., Dubuisson *et al.*, 2009; Koppers and Murtagh, 1997; Sanders and de Haan, 2013;  
105 Sanghavi *et al.*, 2012; Wang *et al.*, 2012). Because in the near UV the surface signal is  
106 significantly smaller than the aerosol signal, the UV and near UV regions are useful to  
107 derive aerosol height information from space borne measurements.

108 For OMI measurement, the  $O_4$  band at 477 nm has been widely applied to  
109 estimate cloud information (e.g., Accarreta *et al.*, 2004; Sneep *et al.*, 2008). Especially,  
110 the cloud information retrieved by  $O_4$  band at 477 nm was used for air mass factor  
111 (AMF) analysis with the consideration of aerosol optical effects for the  $NO_2$  column  
112 retrieval (e.g., Castellanos *et al.*, 2015, Chimot *et al.*, 2015; Lin *et al.*, 2014; Lin *et al.*,  
113 2015). Although  $O_4$  absorption band around 477 nm varies also due to cloud existence,

114 it can be also used for the aerosol optical parameter estimation. Veihelmann *et al.*  
115 (2007) introduced that the 477 nm channel, which locates major O<sub>4</sub> band, significantly  
116 adds degree of freedom for aerosol retrieval by using principal component analysis,  
117 and Dirksen *et al.* (2009) adopts the pressure information obtained from OMI O<sub>4</sub> band  
118 to identify a plume height for aerosol transport cases.

119 In this study, the sensitivities of the O<sub>4</sub> bands at 340, 360, 380, and 477 nm to  
120 changes in aerosol layer height and its optical properties are estimated using simulated  
121 hyperspectral radiances, differently from the previous studies using the O<sub>2</sub>-A band  
122 observation (e.g., Kokhanovsky and Rozanov, 2010). We proposed an improved  
123 DOAS algorithm for the O<sub>4</sub> absorption bands to retrieve aerosol height information  
124 from the O<sub>4</sub> slant column densities (SCDs) based on the sensitivity studies. This new  
125 algorithm is applied to the radiance data from the Ozone Monitoring Instrument (OMI)  
126 to retrieve the aerosol effective height (AEH) for a real case over East Asia, including  
127 error estimates.

128

## 129 **2. Methods**

130 In general, scattering by aerosol at low altitudes leads to an increase in the length  
131 of the average light path (albedo effect), while those at high altitudes causes a decrease  
132 in the length of the average light path (shielding effect) (Wagner *et al.*, 2010). These  
133 two opposing effects change the estimated O<sub>4</sub> SCD values. Furthermore, the measured  
134 O<sub>4</sub> SCD is a function of wavelength, because the absorption and scattering by  
135 atmospheric molecules and aerosol have spectral dependence. Therefore, radiative  
136 transfer calculations are carried out to estimate the sensitivity of the O<sub>4</sub> SCD with  
137 respect to the change of atmospheric conditions. Details of the radiative transfer model

138 (RTM) and input parameters to simulate radiance are discussed in section 2.1.

139 Analytical method of the DOAS to estimate the O<sub>4</sub> is described in section 2.2.

140

## 141 **2.1. Simulation of hyperspectral radiance**

142 Figure 1 shows the flowchart of the method to estimate the O<sub>4</sub> SCD from the  
143 simulated radiance. Because the magnitude of the O<sub>4</sub> SCD values is too large to  
144 express the sensitivity results, this paper defines the O<sub>4</sub> index (O<sub>4</sub>I) which is O<sub>4</sub> SCD  
145 divided by 10<sup>40</sup> molecules<sup>2</sup>cm<sup>-5</sup>. In order to investigate the sensitivities of the O<sub>4</sub>I at  
146 several bands in UV and visible wavelengths with respect to various aerosol properties,  
147 including AEHs, aerosol amounts and aerosol types, the hyperspectral radiance is  
148 simulated using the Linearized pseudo-spherical vector discrete ordinate radiative  
149 transfer (VLIDORT) model (Spurr, 2006). The VLIDORT model is based on the  
150 linearized discrete ordinate radiative transfer model (LIDORT) (Spurr et al., 2001;  
151 Spurr, 2002). This RTM is suitable for the off-nadir satellite viewing geometry of  
152 passive sensors since this model adopts the spherically curved atmosphere to reflect  
153 the pseudo-spherical direct-beam attenuation effect (Spurr *et al.*, 2001). The model  
154 calculates the monochromatic radiance ranging from 300 to 500 nm with a spectral  
155 resolution of 0.1 nm. The radiance spectrum is calculated with a 0.2 nm sampling  
156 resolution applying a slit response function (SRF) given by a normalized Gaussian  
157 distribution with 0.6 nm as the full-width half maximum (FWHM).

158

### 159 **2.1.1. Aerosol properties**

160 The aerosol input parameters for the RTM are important in simulating the  
161 radiance spectra because aerosol optical properties determine scattering and absorption

162 characteristics. The data from the Optical Properties of Aerosol and Cloud (OPAC)  
163 package (Hess *et al.*, 1998) are used as aerosol parameters, which includes the spectral  
164 complex refractive indices and size distribution of aerosols, to calculate SSA and phase  
165 function through the Mie calculations. The information of the aerosol parameters are  
166 not available at the UV wavelengths, since the AERONET observation provides the  
167 information of those aerosol parameters in the visible.

168 In terms of the aerosol types, water soluble (WASO), mineral dust (MITR), and  
169 continental polluted (COPO) model to simulate non-absorbing aerosol, mineral dust,  
170 and absorbing anthropogenic aerosol, respectively. The COPO is combined type that  
171 includes both soot and WASO, which represents the pure black-carbon and non-  
172 absorbing aerosols, respectively. The mixture of these two types, adequately describes  
173 the fine mode aerosol from anthropogenic pollution. The SSA is the largest for WASO  
174 and the smallest for COPO. In order to account for hygroscopic growth, the default  
175 relative humidity is assumed to be 80 % (c.f., Holzer-Popp and Schroedter-Homscheidt,  
176 2004).

177

### 178 **2.1.2. Aerosol vertical distribution**

179 In this present study, ‘aerosol height’ refers to aerosol effective height (AEH),  
180 defined as the peak height in Gaussian distribution. According to Hayasaka *et al.*  
181 (2007), however, the aerosol extinction coefficient was found to exponentially  
182 decrease with altitude over East Asia based on the ground-based LIDAR observation  
183 data during the Atmospheric Brown Clouds-East Asia Regional Experiment 2005  
184 (ABC-EAREX 2005) campaign. Previous studies used the exponentially decreasing  
185 pattern with altitude to represent the aerosol vertical profiles (e.g. Hayasaka *et al.*,



186 2007; Li *et al.*, 2010), and reported that aerosol is present within 5 km in altitude for  
187 most of the cases (e.g. Sasano, 1996; Chiang *et al.*, 2007). On the other hands, the  
188 aerosol vertical distribution does not always follow exponential profile. For the long-  
189 range transported aerosol such as dust cases, the aerosol layer profile is quite different  
190 than exponential profile and occasionally transported to well above the boundary layer  
191 (e.g., Reid *et al.*, 2002; Johnson *et al.*, 2008). The peak height of aerosol extinction  
192 profile in long-range transport cases was reported to be located between 1 and 3 km  
193 during the Dust and Biomass-burning Aerosol Experiment (DABEX) campaign  
194 (Johnson *et al.*, 2008). From these previous studies, standard aerosol vertical profile is  
195 difficult to determine. For algorithm development, previous studies assumed that the  
196 vertical distribution is assumed to be Gaussian function defined by peak height and  
197 half width as representative parameters (Torres *et al.*, 1998; Torres *et al.*, 2005). To  
198 supplement the simplicity of assumption for aerosol vertical distribution, aerosol  
199 vertical distributions are assumed to be quasi-Gaussian generalized distribution  
200 function (GDF), which is Gaussian distribution with dependence on aerosol peak  
201 height, width, and layer top and bottom height. Details of GDF can be found in Spurr  
202 and Christi (2014) and Yang *et al.* (2010). In this study, AEH ranges from 1 to 5 km  
203 with 1 km width as 1-sigma for the RTM simulation.

204

### 205 **2.1.3. Atmospheric gases**

206 The vertical distribution of the O<sub>4</sub> number density, which is used to calculate its  
207 SCD from the RTM, is assumed to be the square of the O<sub>2</sub> number density in each  
208 layer (Hermans *et al.*, 2003). Thus, the total number of the O<sub>4</sub> column density from  
209 surface to TOA is  $1.38 \times 10^{43}$  molecule<sup>2</sup>cm<sup>-5</sup>, where 93% and 73% of the total O<sub>4</sub> is

210 distributed below the altitude of 10 km and 5 km, respectively. In particular, signals by  
211 the changes of O<sub>4</sub> are strong below 5 km, where aerosol transports are observed  
212 frequently. The vertical distributions of other atmospheric components are taken from  
213 the US standard atmosphere 1976 (United States Committee on Extension to the  
214 Standard Atmosphere, 1976). The vertical distribution of trace gases and aerosol in the  
215 troposphere are interpolated in the 0.1 km resolution from the sea level to 5 km.

216

## 217 **2.2. DOAS analysis for O<sub>4</sub>I estimation**

218 Table 1 summarizes the absorption cross sections of trace gases used as inputs for  
219 the radiance simulations and the DOAS spectral analysis. At wavelengths of 340, 360,  
220 380, and 477 nm, the O<sub>4</sub> absorption cross section from Hermans *et al.* (1999) is used in  
221 this study. O<sub>3</sub> absorption cross sections at three different temperatures (223, 243, and  
222 273 K) and NO<sub>2</sub> absorption cross sections at two different temperatures (220 and 294  
223 K) are used to account for the amounts in the stratosphere and the troposphere. The  
224 radiance information obtained from the RTM simulation is analyzed to derive the O<sub>4</sub>  
225 SCDs using WinDOAS software (Van Roozendael and Fayt, 2001) before O<sub>4</sub>I  
226 estimation. To analyze the simulated radiances, the spectrum calculated without all  
227 atmospheric gases and aerosol are used as the Fraunhofer reference spectrum (FRS).  
228 The simulated spectra are fitted simultaneously with the absorption cross sections of  
229 all trace gases listed in Table 1 and FRS in the respective wavelength range of 335-350,  
230 350-370, 370-390, and 460-486 nm, using a nonlinear least squares method (Platt and  
231 Stutz, 2008).

232 On the other hand, the O<sub>4</sub>I from OMI standard product of cloud (OMCLDO2)  
233 (e.g., Accarreta *et al.*, 2004; Sneep *et al.*, 2008) is used to adopt the AEH retrieval on

234 case study. The OMCLDO2 basically used the cross section database from Newnham  
235 and Ballard (1998) considering the temperature dependence by interpolating to  
236 representative atmospheric temperature of 253 K (Accarreta et al., 2004). For this  
237 reason, the O4I from OMCLDO2 and direct estimation from the observed radiance  
238 spectra in this present study are possible to have a systematic difference. Figure 2  
239 shows the O<sub>4</sub> SCD from OMCLDO2 and those directly retrieved from radiance  
240 spectrum over all observed OMI pixels on March 31, 2007 over East Asia. Similar to  
241 the DOAS analysis using the simulated spectra, OMI observed radiance spectra are  
242 fitted with the Ring spectrum and the FRS in addition to the absorption cross sections  
243 in Table 1 within the same wavelength intervals. Before the spectral fitting, the NO<sub>2</sub>  
244 and O<sub>3</sub> cross sections are  $I_0$  corrected, and the Ring spectrum (Fish and Jones, 1995),  
245 accounting for the effects of the rotational Raman scattering due to air molecules, is  
246 calculated using the WinDOAS software (Van Roozendael and Fayt, 2001). After the  
247 fitting, the noise level of residual spectrum is estimated to be on the order of  $10^{-3}$  for  
248 the radiance spectrum at 477 nm from OMI measurements. The O<sub>4</sub> SCDs with the  
249 fitting error less than 1% is used for the comparison. From this figure, a systematic  
250 difference between two different fitting results is less than 1%, although the cross  
251 section databases for fitting are different. From this result, effects of cross section  
252 database difference are negligible when the same observation data was used.

253 Figure 3 shows the comparison of the O<sub>4</sub> SCD at 477 nm from a look-up table  
254 (LUT) with the dimension as in Table 2 against OMCLDO2 for aerosol and cloud free  
255 pixels in year 2005. The clear sky region is selected for the Pacific Ocean with cloud  
256 fraction less than 0.02 from OMI observation. The surface albedo is assumed to be  
257 0.05, which is similar to the minimum Lambertian equivalent reflectance (LER) over

258 clear ocean surface (e.g., Kleipool et al., 2008). Because the standard product of the O<sub>4</sub>  
259 SCD is only estimated at the 477 nm band, the results can be compared only at this  
260 band. To minimize the DOAS fitting error, the observed data from OMI is selected by  
261 the fitting precision less than 2% and the quality flags for spectral fitting and pixel  
262 condition are also considered. As shown in Figure 3(a), the correlation coefficient of  
263 determination ( $R^2$ ) is 0.864 with a slope of 1.050, and the LUT exhibits a ratio of  
264  $0.86\pm 0.05$  to the values obtained from OMI standard values. Despite the statistically  
265 significant  $R^2$  and slope values between the two values, there exists negative bias by  
266 about 14%.

267 The bias between the retrieved from LUT and estimated from standard product  
268 values can be attributed to the differences in the O<sub>4</sub> cross section data and the lack of  
269 their temperature and pressure dependence as noted from the previous works by  
270 Wagner *et al.* (2009), Clemer *et al.* (2010), and Irie et al. (2015). For this reason,  
271 ground-based measurements adopted the correction factors to cross section database.  
272 However the bias effect for the cross section difference is limited as shown in Figure 2,  
273 and the correction factor for the cross section database in the previous studies cannot  
274 be adopted to the space-borne measurements. From Kleipool et al. (2008), the  
275 minimum LER is defined to be the 1% cumulative probability threshold, and frequent  
276 LER value is typically higher than minimum LER over clear ocean, although cloud  
277 screening was perfectly executed before LER calculation. To account for the  
278 difference between simulated and observed SCD, the LUT was re-calculated by  
279 changing condition to the surface albedo of 0.10. The corrected result is shown in  
280 Figure 3(b), where the  $R^2$  is 0.865 similar to that before the correction, but the negative  
281 bias is removed to  $0.98\pm 0.05$  and the regression line slope is 1.123. Although the

282 comparison result is not perfect, the calculation by the VLIDORT simulates the  
283 satellite observation and can be used for sensitivity tests to retrieve aerosol height.

284

### 285 **3. Sensitivity test**

#### 286 **3.1. Sensitivity of the O4Is to the AEH**

287 The sensitivity of the O4I to the AEH is investigated for its absorption bands at  
288 340, 360, 380, and 477 nm. Figure 4 shows the O4I as a function of the AEH and the  
289 three different aerosol types of MITR, WASO and COPO at 360, 380, and 477 nm,  
290 respectively. The vertical error bar represents the fitting error estimated by the residual  
291 spectra from the DOAS fitting (e.g., Stutz and Platt, 1996). For the calculation shown  
292 in the figures, the following geometries are assumed: a solar zenith angle (SZA) of 30  
293 degrees, a viewing zenith angle (VZA) of 30 degrees, and a relative azimuth angle  
294 (RAA) of 100 degrees. Note that insignificant SCD value was estimated at 340 nm due  
295 to the large spectra fitting error. In these three figures, the O4Is show the AEHs  
296 ranging from 1.0 to 5.0 km, and for the AODs of 1.0 and 2.5 at 500 nm, which could  
297 be due to the existence of thick aerosol layers. For the sensitivity result, the decrease  
298 rate of the O4I value in the 1 km interval of AEH ( $-dO_4/dZ$ ) is defined as equivalent  
299 O4I difference converting from O4I difference between neighbor AEH in same AOD  
300 condition.

301 The O4Is are estimated at 360 and 380 nm band as shown in Figure 4(a) ~ (f).  
302 The O4I is significantly decreased with increasing AEH at 360 and 380 nm for all  
303 aerosol types. However negative O4Is are occasionally estimated at 360 nm.  
304 Furthermore the fitting errors are too large to estimate the AEH, which range from 160  
305 to 410 at 360 nm and from 350 to 1060 at 380 nm. From large fitting error with small

306 O4I, the fitting results are insignificant at these two absorption bands.

307 On the other hand, the sensitivity of the O4I at 477 nm has significance to  
308 estimate AEH. The mean value of  $-dO_4/dZ$  is estimated to be 87, 290, and 190 for the  
309 MITR, WASO, and COPO when the AOD is 1.0, respectively. The mean value of  $-$   
310  $dO_4/dZ$  on the AOD of 2.5 is estimated to be 94, 362, and 213 for the MITR, WASO,  
311 and COPO, respectively. The calculated  $-dO_4/dZ$  are significantly larger than the mean  
312 O4I fitting errors of 58, which implies that the O4I at 477 nm is useful in estimating  
313 the AEH. The small fitting errors at 477 nm are due to the larger  $O_4$  absorption and  
314 less interferences by other trace gases in this spectral window.

315 Figure 5 shows  $-dO_4/dZ$  as changing viewing geometries. As enlarging  
316 geometrical path length for viewing geometry,  $-dO_4/dZ$  also increases because the path  
317 length through the aerosol layer is also enlarged. The mean value of  $-dO_4/dZ$  including  
318 all cases of AEH is estimated to be 90 to 326 at SZA of 30.0 degree and VZA of 30.0  
319 degree, while it is estimated to be 265 to 485 at SZA of 60.0 degree and VZA of 60.0  
320 degree. Although aerosol scattering angle is changed by differ to SZA and VZA, the  
321 O4I sensitivity to AEH is generally enlarging to increasing optical path length to the  
322 viewing geometries. From this result, the accuracy for the AEH retrieval is potentially  
323 better for large zenith angle cases than for low zenith angle cases.

324

### 325 **3.2. Error analysis**

326 Errors are also estimated in terms of key variables in the estimation of the O4I at  
327 477 nm, with the variables and their dimensions as summarized in Table 3. For the  
328 error analysis of AEH retrieval, characteristics for all of extinction material are  
329 essential to consider. In this study, errors are analyzed in terms of AOD, aerosol

330 vertical distribution, particle size and SSA for aerosol amount and properties. Surface  
 331 albedo variation is also considered to represent surface condition. To estimate the error  
 332 amount, the AEH error is converted from the half of O4I difference between adding  
 333 and deducting perturbation of variables as shown in equation (1).

$$334 \quad \varepsilon(Z) = \left| \frac{O4I(x+\delta x,Z) - O4I(x-\delta x,Z)}{2.0 \times dO4I/dZ(x,Z)} \right| \quad (1)$$

335 where  $\varepsilon(Z)$  is the AEH error amount due to variable of error source,  $x$ , in AEH  
 336 of  $Z$ , and  $\delta x$  is perturbation of AEH retrieval error source. The  $\varepsilon(Z)$  value also  
 337 depends on viewing geometries. Therefore  $\varepsilon(Z)$  is represented for specific geometries  
 338 together with averaging over all geometries.

339

### 340 **3.2.1. AOD**

341 The O4I at 477 nm has sensitivity not only for AEH but for AOD as shown in  
 342 Figure 4(g) ~ (i). Because the radiance extinction by aerosol changes depending on  
 343 AOD, the optical path length of TOA radiance is also affected by AOD. For different  
 344 AODs ( $\tau_a$ ), the O4I at AEHs of 1.0 and 3.0 km is shown in Figure 6 for the same  
 345 geometry assumed in Figure 4. From OMI standard products, the expected error of the  
 346 AOD is 0.1 or 30% which ever is larger, and 0.1 or 20% which ever is larger for the  
 347 absorbing and the non-absorbing aerosol over ocean, respectively. In this study the  
 348 uncertainty of AOD is assumed to be 0.1, although uncertainty of AOD would be  
 349 larger than the assumed value in large AOD. The decreasing rate of the O4I ( $-dO_4/d\tau_a$ ),  
 350 which defines O4I reduction with AOD increase by 0.1, is found to be larger for the  
 351 AEH at 3.0 km than for that at 1.0 km. Among the three aerosol types, the  $-dO_4/d\tau_a$  is  
 352 found to be the least for the WASO, which has stronger scattering characteristics than

353 other two aerosol types. In addition, the sensitivity for WASO showed negative -  
354  $dO_4/d\tau_a$  for small AOD at low AEH, which has small shielding effect, due to the large  
355 SSA of WASO. The mean  $-dO_4/d\tau_a$  values are estimated to be 1.2%, 0.9%, and -0.1%  
356 for the AEH of 1.0 km as the AOD changes by 0.1 for the MITR, COPO, and WASO,  
357 respectively, whereas they are estimated to be 2.3%, 2.1%, and 1.0% for the AEH of  
358 3.0 km with respect to the same AOD changes for the three different type, respectively.

359 Figure 7 shows the expected error in AEH due to retrieval uncertainty of AOD  
360 from observation. Because  $O_4$  concentration exponentially decreases as the altitude  
361 increases, the sensitivity to AEH becomes weak at high AEHs. In addition, aerosol  
362 signal is relatively weak for low AOD. From these reasons, the AEH retrieval error  
363 due to AOD uncertainty is maximized for the high AEH with low AOD cases for all  
364 aerosol types. The maximum retrieval error is 2.0, 0.7, and 4.4 km for COPO, WASO,  
365 and MITR for the case at AEH of 4.0 km and AOD of 0.4, which is least sensitive case  
366 for AEH. For AOD of 0.4, however, the retrieval error due to AOD uncertainty is 0.3,  
367 0.2, and 0.4 km for COPO, WASO, and MITR for the case at AEH of 1.0 km. Except  
368 for AEH lower than 4 km and AOD larger than 0.4, the retrieval error of AEH is less  
369 than 1.0 km for all viewing geometries and all aerosol types.

370 Furthermore, the AEH error for AOD uncertainty is also dependent on viewing  
371 geometries. Overall, the AEH error becomes larger for short light paths and smaller  
372 for long light paths. Figure 8 shows the viewing geometry dependence of AEH error  
373 for AOD of 1.0. With the increase in effective optical path length, the radiance signal  
374 from aerosol is also enhanced. In general, the AEH error decreases with increasing  
375 viewing geometries. For WASO case, however, the AEH error is smaller for short path  
376 length than long path length in low AEH case. For thin aerosol layer situation, the



377 radiance is enhanced by scattering aerosol which results in increasing optical path  
378 length. In the small SZA and VZA, it effectively brings albedo effect dominant for  
379 aerosol layer. With increasing SZA and VZA, however, the shielding effect due to  
380 aerosol layer enhances because radiance has to pass through long path through aerosol  
381 layer. For this reason, the smallest error case is inflection point of AOD sensitivity,  
382 which is corresponds to turnaround point between with larger shielding effect than  
383 albedo effect.

384

### 385 **3.2.2. SSA**

386 Torres *et al.* (1998) showed that the result of the SSA from OMI can be  
387 overestimated due to the cloud contamination, although aerosol retrieval algorithm  
388 considers existence of cloud in sub-pixel. Furthermore, the SSA varies widely for  
389 different aerosol types. Therefore, the sensitivity of O4I to the SSA variation is  
390 estimated for the same geometries used in the previous tests. To estimate O4I  
391 sensitivity to the SSA variation, imaginary part of refractive index value corresponding  
392 to 10% variability for SSA is changed after fixing real part of refractive index. The  
393 mean O4I changes by 106, 282, and 205 for MITR, WASO, and COPO, respectively,  
394 with respect to 10% of its SSA deviation. To compare the difference for WASO and  
395 COPO, it is proportional to the absolute values of the SSA for all simulated cases. In  
396 addition, the difference for MITR is smaller than those for COPO, because less  
397 fraction of back scattering in coarse mode particle makes less sensitivity of O4I.

398 Figure 9 shows the AEH error due to SSA variation by 10%. Because of AEH  
399 sensitivity characteristics as shown in section 3.1, which explained its low sensitivity,  
400 thus large error for high AEH and low AOD cases. However, the AEH errors are less

401 than 1 km for COPO aerosol type. For AOD of 1.0, the AEH error due to SSA  
402 variation is estimated to be 610 to 900 m for the COPO type. Furthermore, the error is  
403 calculated to range from 270 to 1220 m and from 930 to 1400 m for COPO and WASO  
404 type, respectively, if AEH is 3 km, frequently assumed reference altitude in aerosol  
405 retrieval algorithm (e.g., Torres et al., 1998). For MITR, dust-like type aerosol, AEH  
406 error, which ranges from 410 to 1430 m for AOD of 1.0, is generally the largest  
407 compared to those of other aerosol types. In general, uncertainty of aerosol optical  
408 properties is large for thin aerosol layer case, thus the AEH as well.

409

### 410 **3.2.3. Particle size**

411 Aerosol particle size have noticeable effects phase function, thus the directional  
412 scattered intensity. However, most of aerosol retrieval algorithm assumes aerosol  
413 particle size depending on its type as an input parameter to RTM calculation. Although  
414 aerosol type is categorized, however, physical properties of aerosol can be changed  
415 according to the source type and transport characteristics. In the OMI aerosol  
416 algorithm, size distribution is one of error sources for the AOD.

417 Figure 10 shows the AEH error due to particle size change. For error estimation,  
418 mode radius difference for number size distribution is assumed to be  $\pm 20\%$ , which set  
419 to be 4 times larger than those from the error budget study for OMI standard product  
420 (Torres et al., 2002). Overall, O4I difference is within the order of 100. The coarse  
421 mode aerosol, MITR in this study, results in the largest O4I difference for all cases,  
422 thus the largest AEH error for MITR which is estimated to range from 0.2 to 2.7 km.  
423 On the other hand, the error ranges from 0.03 to 0.5 km and from 0.2 to 1.9 km for  
424 WASO and COPO, respectively. The largest AEH errors for three aerosol types are

425 estimated for the case with AOD of 0.4 and AEH at 5.0 km.

426

#### 427 **3.2.4. Surface Albedo**

428 As the surface albedo affects the  $-dO_4/dZ$ , the sensitivity of the O4I is also tested  
429 with respect to the surface albedo difference of 0.02. The difference of climatological  
430 surface albedo between that obtained from the total ozone monitoring spectrometer  
431 (TOMS) and the global ozone monitoring experiment (GOME) was known to be up to  
432 0.02 (Koelemeijer *et al.*, 2003). Table 4 shows the sensitivity of the O4I with respect to  
433 the change in the surface albedo. The absolute difference of O4I due to surface albedo  
434 variation is below 85. Because aerosol layer shut off the reflected radiance from  
435 surface, the absolute difference of O4I value decreases as aerosol amount increase.  
436 Furthermore, it is found that the difference of O4I due to surface albedo change is  
437 higher for the absorbing aerosol than the non-absorbing aerosol, which can be  
438 explained by the albedo effect to the O4I is larger for the absorbing aerosol. In terms of  
439 AEH change, the O4I difference increases as AEH increase. For low AEH case, optical  
440 path length of reflected radiance from surface to aerosol layer is relatively short as  
441 compared to high AEH case. For this reason, the O4I sensitivity for surface albedo is  
442 reduced by high concentration of aerosol near the surface for the low AEH case.

443 Figure 11 shows the expected retrieval error of AEH due to surface albedo  
444 difference as changing AEH with respect to AOD and types. As mentioned in previous  
445 section, the  $-dO_4/dZ$  is small in high AEH and low AOD cases. Furthermore, the  
446 albedo sensitivity increases as AEH increase and AOD decrease. As a result, the AEH  
447 error is frequently larger than 1 km for high AEH with small AOD, especially AOD  
448 less than 0.4. Because reflected radiance from surface is dominant for thin aerosol case,

449 the AEH error in high AEH with low AOD shows the largest value as compared to  
450 previous error analysis. However, the AEH error sharply decreases as AOD increases  
451 and AEH decreases, which is aerosol signal dominant case, because characteristics of  
452 aerosol is the same as the reference case. Especially for MITR, 4 simulation cases,  
453 AOD = 0.4 with AEH > 3.0 km and AOD = 1.0 with AEH = 5.0 km, show the AEH  
454 error larger than 1 km. Because  $-dO_4/dZ$  is too small in these cases, AEH retrievals in  
455 four simulation cases show limitation as a reliable result. For COPO and WASO,  
456 however, all the case in AEH < 3.0 km, which directly influence surface concentration,  
457 show error less than 750 m even for the assumed AOD of 0.4. In addition, errors less  
458 than 500 m are found for AOD > 1.0 with AEH < 3.0 km.

459

### 460 **3.2.5. Vertical distribution**

461 Aerosol vertical distribution is largely varied by distance from source, and  
462 atmospheric dynamics during aerosol transport, and sink mechanism in reality. To  
463 estimate the AEH error due to variation of aerosol vertical distribution, the half-width  
464 of GDF distribution was doubled for comparison. Although this study is not able to  
465 show all kinds of aerosol vertical distributions due to its large variability in profile,  
466 aerosol vertical distribution by changing the half-width of GDF distribution can reflect  
467 large-scale changes in its vertical profile.

468 Table 5 shows the mean AEH errors between the two vertical profiles of aerosol  
469 as AOD changes. As the aerosol vertical profile is changed with increasing its widths,  
470 the difference of O4I ranges from 100 to 430. Because aerosol vertical profile  
471 simultaneously affects aerosol concentration and layer thickness, the O4I difference  
472 shows large value as the vertical distribution changes. For this reason, the AEH error is

473 larger than 2.5 km for all aerosol types and AEH simulation with AOD of 0.4. The  
474 estimated errors caused solely by the change between the two aerosol vertical profiles,  
475 range  $1477\pm602$ ,  $722\pm190$ , and  $671\pm265$  m for the MITR, COPO, and WASO,  
476 respectively, for AOD greater than 1.0.

477

### 478 **3.3. Error budget**

479 Table 6 shows the summary of the total error budget for the AEH estimation with  
480 a list of the major error sources and their values, assuming errors in each variable in  
481 OMI standard products. To convert the O4I difference to the AEH error, the difference  
482 of O4I due to the respective error source is divided by that from the change of the AEH  
483 in each bin of the AOD and AEH as shown in section 3.2, with the simulation cases  
484 over 58,800 runs listed in Table 3 used to calculate mean and standard deviation of  
485 errors. Because of weak signal sensitivity to AEH sensitivity for AOD of 0.4 and AEH  
486 at 5.0 km as shown in previous section, this simulation case is omitted in calculating  
487 statistical values for error budget. In summary, the total number of aerosol simulations  
488 for the combination of AOD and AEH includes 39 cases.

489 The mean errors from 10% variation in the SSA for all of the variable conditions  
490 in Table 3 correspond to 726, 576, and 1047 m for the MITR, COPO, and WASO,  
491 respectively. For the total error budget calculations, however, 5% change in the SSA  
492 was used according to Torres *et al.* (2007), which reported the variation of the SSA  
493 less than 0.03 for the given aerosol type. The error from the vertical distribution is  
494 estimated to be 720, 1480, and 690 m for the COPO, MITR and WASO, respectively.

495 The errors from the SSA and the aerosol profile shape are the two important error  
496 sources in estimating the AEH, followed by the errors related to the AOD and the

497 surface albedo. From these results, the errors of the AEH due to the error from OMI  
498 AOD of 0.1 and the surface albedo of 0.02 are less than 300 m for WASO and COPO,  
499 and about 400 m for MITR. However, the AEH error from surface albedo is important  
500 for cases with low AOD at high AEH, which is surface reflectance dominant case.

501 The mean errors from 20% variation in the aerosol particle size are 726, 576, and  
502 1047 m for the MITR, COPO, and WASO, respectively. Torres et al. (2002) assumed  
503 the variation of size distribution to be 5%. For the total error budget calculations  
504 assumed by 5% change in the particle size, the AEH errors are less than 100 m. In  
505 addition, the errors in the O4I, and thereby the AEH, are associated with the variations  
506 in the column amounts and the differences in the absorption cross section of each fitted  
507 trace gas for the spectral analysis. The variations in the column amounts of trace gases  
508 and the differences in the absorption cross section values do not affect significantly in  
509 calculating the O4I. However, the O<sub>4</sub> vertical column density is changed by the change  
510 in atmospheric pressure. In East Asia, the surface pressure over ocean is  $1010.9 \pm 29.6$   
511 (3-sigma) hPa from NCEP Reanalysis 2 data since 2004. In clear case, the difference  
512 of O4I due to the  $\pm 3\%$  for pressure variation is  $3.4 \pm 0.1\%$  in all geometries.

513 Furthermore, the AEH error in terms of inaccurate spectral wavelength calibration  
514 is estimated based on the assumed errors of  $\pm 0.02$  nm, which corresponds to 0.1 pixels  
515 for OMI. Although it is well known that the accuracy in the spectral wavelength  
516 calibration before the DOAS fitting affects the trace gas SCD retrieval, the errors in the  
517 O4I associated with the wavelength shift of the sub-pixel scale are estimated to be  
518 negligible due to the broad O<sub>4</sub> absorption band width around 477 nm.

519 Finally, the total error budget in the AEH retrieval is estimated based on the error  
520 analysis with respect to error sources. Note that the result of error analysis for SSA

521 explains a half and a quarter for size parameter in calculating the total error budget.  
522 Overall, the total error budget in the AEH retrieval is estimated to be 739, 1276, and  
523 846 m for the COPO, MITR, and WASO, respectively, with the exception of the  
524 contribution of the errors in the aerosol vertical profiles. Therefore accurate  
525 assumption for optical properties of aerosol is essential to develop the retrieval  
526 algorithm of aerosol height.

527

#### 528 **4. Case study**

529 To demonstrate the feasibility from real measurements, the AEHs are derived  
530 using hyperspectral data from OMI. OMI channels are composed of UV-1 (270-314  
531 nm), UV-2 (306-380 nm), and a visible wavelength range (365-500 nm) with a  
532 spectral resolution (FWHM) of 0.63, 0.42, and 0.63 nm, respectively (Levelt *et al.*,  
533 2006). The spatial resolution is 13 km × 24 km at nadir in "Global Mode". In the  
534 present study, the spectral data over the visible wavelength range are used to derive  
535 the OAI at 477 nm and the AEH information.

536 Figure 12 describes an AEH retrieval algorithm for the case study. In retrieving  
537 AEH, AOD is obtained from MODIS standard product (e.g., Levy et al., 2007).  
538 Although OMI aerosol product provides AOD at 500 nm, AOD from OMI was  
539 partially affected by aerosol height and suffered from cloud contamination due to its  
540 large footprint (Torres et al., 2002). For this reason, AOD from MODIS allocated to  
541 the OMI pixels as a reference AOD for the AEH retrieval. For type selection, the AE  
542 from MODIS and AI from OMI is respectively used for the information of size and  
543 absorptivity, to classify aerosol type into four following the method from Kim et al.  
544 (2007) and Lee et al. (2007). After determining AOD and aerosol type, LUT, which is

545 generated as functions of geometries (SZA, VZA, and RAA), aerosol types and AODs,  
546 is used to determine the AEH information by using comparison between simulated and  
547 measured O4I value. The variables and their dimensions for the LUT calculations are  
548 shown in Table 7. Due to the limitation of the accuracy of aerosol type classification  
549 and those of AOD over land, this study estimates the AEH only over ocean surface.  
550 Although temporal and spatial variation of surface albedo influences the AEH result  
551 from error study, surface albedo is assumed to be a fixed value of 0.10, which is used  
552 in sensitivity study. For case study, the LUT of O4I is developed by the aerosol model  
553 based on AERONET data over East Asia. Extensive AERONET dataset over East Asia  
554 are used to provide represent aerosol optical properties for the LUT calculation.

555 Figure 13 shows the results of the retrieved AEH during the Asian dust event on  
556 March, 31, 2007. MODIS products of AOD and FMF on this date show that thick dust  
557 layer with the AOD up to 1.0 from China to the Yellow sea [Figure 13(b)] and the  
558 FMF ranging from 0.2 to 0.4, indicating the dominance of coarse-mode particles  
559 [Figure 13(c)]. Using the basis of the current algorithm with the pre-determined AOD  
560 and type, the mean retrieved AEH is  $2.3 \pm 1.3$  km over 647 pixels in East Asia [Figure  
561 13(d)]. The retrieved result is compared with the backscattering intensity from the  
562 CALIOP observation over Yellow sea as shown in Figure 13(e). From CALIOP  
563 observation, the aerosol layer height over Yellow sea is located around 1 km altitude  
564 for most observed regions. Over the Yellow sea domain in  $35 \sim 40^\circ \text{N}$  and  $120 \sim 130^\circ \text{E}$ ,  
565 the AEH from OMI is  $1.5 \pm 1.1$  km over 166 pixels, which is within 1 km difference  
566 from CALIOP. From the retrieved result, the retrieved AEH is successfully retrieved  
567 within expected error, and the investigated algorithm quantitatively estimates the AEH  
568 over East Asia.



569 Figure 14 is another case study of the retrieved AEH on February, 21, 2008.  
570 MODIS products of AOD and FMF on this date show thick anthropogenic aerosol  
571 transported with the AOD ranging from 0.6 to 1.0 [Figure 14(b)] and the FMF ranging  
572 from 0.8 to 1.0 [Figure 14(c)] all over Yellow sea. The mean retrieved AEH is  $1.4\pm 1.2$   
573 km over 1480 pixels in East Asia as shown in Figure 14(d). On this date, CALIOP  
574 passed over coastal line between China and Yellow Sea. The aerosol layer height  
575 ranged from 0.5 to 2.5 km during the overpass over East Asia as shown in Figure 14(e).  
576 The AEH from OMI is  $0.6\pm 0.4$  km over 601 pixels in  $30\sim 40^\circ\text{N}$  and  $120\sim 125^\circ\text{E}$ .  
577 Contrary to large spatial variation of the AEH from CALIOP, the AEH from OMI  
578 shows spatially stable values on this date.

579 Figure 15 shows the scatter plot of AEH between CALIOP and OMI on the date  
580 listed in Table 8, which lists aerosol transport cases over East Asia with simultaneous  
581 observations by OMI and CALIOP in 2007 and 2008. Because the OMI sensitivity for  
582 AEH is not large at AEH higher than 4 km, the comparison test was limited to cases  
583 with AEH less than 4.5 km from OMI. For data collocation, the latitude and longitude  
584 difference between two sensors are within 0.25 degree. Figure 15(a) shows the  
585 comparison of AEH from OMI and CALIOP with MODIS AOD larger than 0.5. It is  
586 assumed that the reference expected error (EE) is 1 km (Fishman et al., 2012). Almost  
587 60% of retrieved pixel shows the AEH result within the EE. Because of large AEH  
588 error for low AOD, the accuracy of AEH result from OMI is poor. Furthermore, this  
589 case study assumes constant surface albedo value over ocean. However, ocean surface  
590 albedo is also changed by turbidity due to sediments and wind. For this reason, the  
591 AEH error is enlarged for low AOD cases. If threshold of AOD for the comparison is  
592 set to be 1.0, the proportion of pixel within EE improves up to 80% as shown in Figure

593 15(b). Furthermore, the correlation of the AEH between the two sensors is 0.62 as a  
594 slope with 0.65 of correlation coefficient (R) on thick aerosol layer cases. Therefore,  
595 the AEH algorithm from OMI provides the reasonable information about the parameter  
596 of aerosol vertical distribution, if accurate aerosol model is provided for forward  
597 calculation.

598

## 599 **5. Summary & discussion**

600 The sensitivities of the O4I at 340, 360, 380, and 477 nm bands are investigated  
601 with RTM calculations to derive the AEH using the space-borne hyperspectral data.  
602 Among these O<sub>4</sub> absorption bands, the O4I at 477 nm is considered to be suitable for  
603 the AEH retrieval. In addition to the AEH, AOD, aerosol type, aerosol vertical profile,  
604 particle size, and surface albedo are also found to have effects on the O4I at 477 nm,  
605 while the spectral calibration and cross section of the atmospheric gases have  
606 negligible effects on the O4I. The major error source for the AEH retrieval is the  
607 uncertainty in SSA, which leads to the AEH error ranging from 270 to 1400 m with the  
608 SSA perturbation by 10%. In addition, the profile shape is also a major error source for  
609 the AEH estimation. According to the error estimations, the total errors are 739, 1276  
610 m, and 846 m for absorbing, dust, and non-absorbing aerosol, respectively, due to  
611 combined uncertainties of the variation from AOD, SSA, particle size, and surface  
612 albedo.

613 In addition to the sensitivity analysis, an algorithm for the AEH derivation is  
614 developed for the first time based on a LUT that consists of the O4I in terms of the  
615 AEH, AOD, aerosol types, surface albedo, and measurement geometries. After the  
616 determination of AOD and aerosol types from the MODIS, the AEH value is derived

617 over East Asia by the current algorithm using OMI measurement data. To consider the  
618 accuracy of AOD and aerosol types, the result is shown over ocean surface. From  
619 several cases for the long-range transport of aerosol over East Asia, the derived AEH  
620 shows reasonable value as compared to aerosol layer height from CALIOP with the  
621 correlation coefficient of 0.62 for AOD larger than 1.0. In addition, 80% of estimated  
622 AEH from OMI showed error less than 1 km in AEH.

623         There are many works to be done to improve the newly introduced algorithm as it  
624 requires the products from MODIS to determine the AOD and aerosol types prior to  
625 the AEH retrieval. The vertical distribution and the optical properties of the aerosol  
626 need to be quantified using the combination of observation database, such as MPLNET  
627 and AERONET. Furthermore, the spatial variation of the AOD, surface pressure and  
628 the contamination by the cloud in the sub-pixel scale need to be investigated as they  
629 are also thought to affect the retrieved results. If the surface reflectance can be  
630 characterized with sufficient accuracy, the retrieval of the AEH can be extended to  
631 over land. In addition, the O4I method in this study can be applied to the surface  
632 pressure estimation in clear regions.

633

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637

638 **References**

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985 **Tables**

986 Table 1. The database of cross section for DOAS fitting analysis.

Species	Temperature (K)	Reference
O <sub>3</sub>	223, 243, and 273	Bogumil <i>et al.</i> (2001)
NO <sub>2</sub>	220 and 294	Vandaele <i>et al.</i> (1998)
O <sub>4</sub>	298	Hermans <i>et al.</i> (1999)

987

988 Table 2. Dimensions of LUT for the clear sky comparison.

Variable name	No. of Entries	Entries
SZA	7	0, 10, 20, 30, 40, 50, 60 degrees
VZA	7	0, 10, 20, 30, 40, 50, 60 degrees
RAA	10	0, 20, 40, 60, 80, 100, 120, 140, 160, 180 degrees

989 SZA : Solar zenith angle, VZA : Viewing zenith angle, RAA: Relative azimuth angle.

990

991 Table 3. Dimensions of simulation cases for the error analysis of the AEH retrieval.

Variable name	No. of Entries	Entries
SZA	7	0, 10, 20, 30, 40, 50, 60 degrees
VZA	7	0, 10, 20, 30, 40, 50, 60 degrees
RAA	10	0, 20, 40, 60, 80, 100, 120, 140, 160, 180 degrees
AOD	5	0.4, 1.0, 1.6, 2.5, 3.0
AEH	8	1.0, 1.2, 1.6, 2.0, 2.4, 3.0, 4.0, 5.0 km
Aerosol Model	3	MITR, WASO, COPO
Surface Albedo	1	0.10

992

993



994 Table 4. Absolute difference of O4I for changing surface albedo variation of 0.02.

	MITR	WASO	COPO
Maximum	81	85	76
Case [AOD,AEH]	[0.4,5.0]	[0.4,5.0]	[0.4,5.0]
Minimum	8	11	1
Case [AOD,AEH]	[3.0,1.0]	[3.0,1.0]	[3.0,1.0]
Mean	$38 \pm 22$	$37 \pm 20$	$20 \pm 21$

995

996

997 Table 5. The error for AEH due to the change of aerosol vertical distribution.

<b>Reference shape (Exponential)</b>	MITR (Gaussian)	WASO (Gaussian)	COPO (Gaussian)
<b>Error for AEH [m]</b>	$1477 \pm 602$	$671 \pm 265$	$722 \pm 190$

998

999

1000

1001 Table 6. Summary of error sources and total error budget for the AEH retrieval.

Error source	MITR	WASO	COPO
AOD ( $\Delta\text{AOD} = 0.2$ )	387±740 m	105±131 m	218±358 m
SSA (10% change)	726±537 m	1047±194 m*	576±332 m
Surface Albedo ( $\Delta\alpha = 0.02$ )	438±762 m	199±241 m	154±274 m
Particle Size (20% change)	352±174 m	72±56 m	315±213 m
Atmospheric Gases		< 5 m	
Atmospheric Pressure** ( $\Delta P = 3\%$ )		3.4±0.1% ( $\text{O}_4$ SCD)	
Instrument (Shift : 0.02 nm)		<10 m	
Total Error	1276 m	846 m	739 m

1002 \* Calculation results for the SSA decrease of 10%.

1003 \*\* For clear sky calculation.

1004

1005

1006 Table 7. Dimensions of LUT for the AEH algorithm using OMI.

Variable name	No. of Entries	Entries
SZA	7	0, 10, 20, 30, 40, 50, 60 degrees
VZA	7	0, 10, 20, 30, 40, 50, 60 degrees
RAA	10	0, 20, 40, 60, 80, 100, 120, 140, 160, 180 degrees
AOD	13	0.0, 0.2, 0.4, 0.6, 0.8, 1.0, 1.3, 1.6, 1.9, 2.2, 2.5, 3.0, 5.0
AEH	16	0.0, 1.0, 1.2, 1.4, 1.6, 1.8, 2.0, 2.2, 2.4, 2.6, 2.8, 3.0, 3.5, 4.0, 5.0, 10.0 km
Aerosol Model	3	Dust, Carbonaceous, Non-absorbing [Climatology over East Asia site of AERONET]

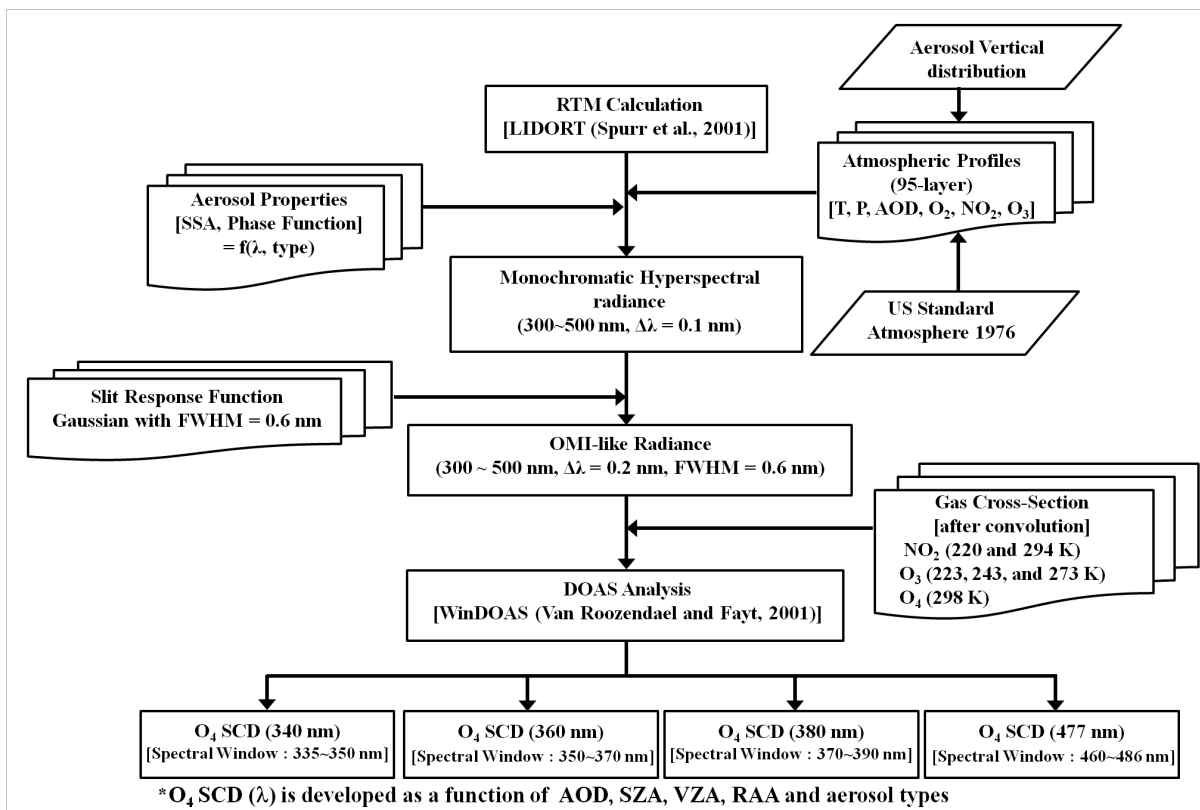
1007

1008 Table 8. List of aerosol transport cases and its period for comparison.

Case	Period
1	March, 28, 2007 - April, 2, 2007
2	May, 5, 2007 - May, 10, 2007
3	May, 25, 2007 - May, 26, 2007
4	February, 19, 2008 - February, 21, 2008
5	April, 3, 2008 - April, 5, 2008
6	May, 28, 2008 - May, 31, 2008
7	December, 4, 2008 - December, 7, 2008

1009

1010 **Figures**

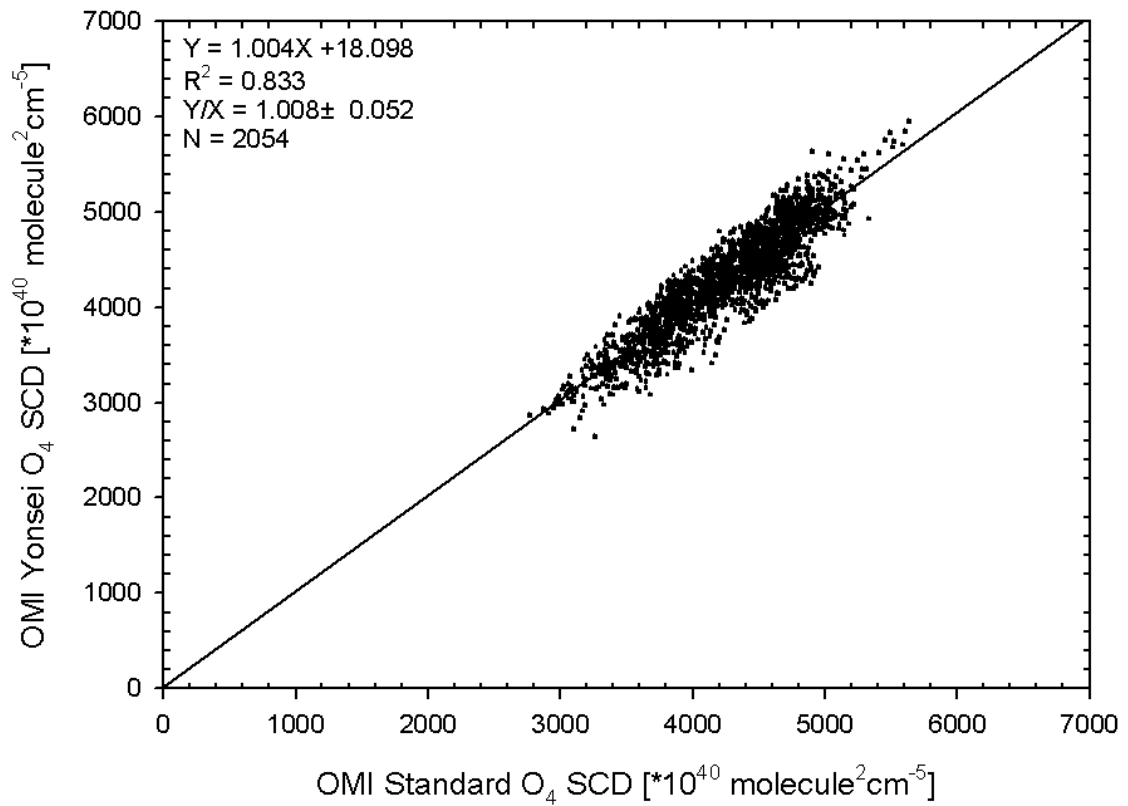


1011

1012 Figure 1. Flowchart of the simulated O<sub>4</sub> SCD estimation.

1013

2007/03/31, East Asia (Standard O<sub>4</sub> Error < 1%)

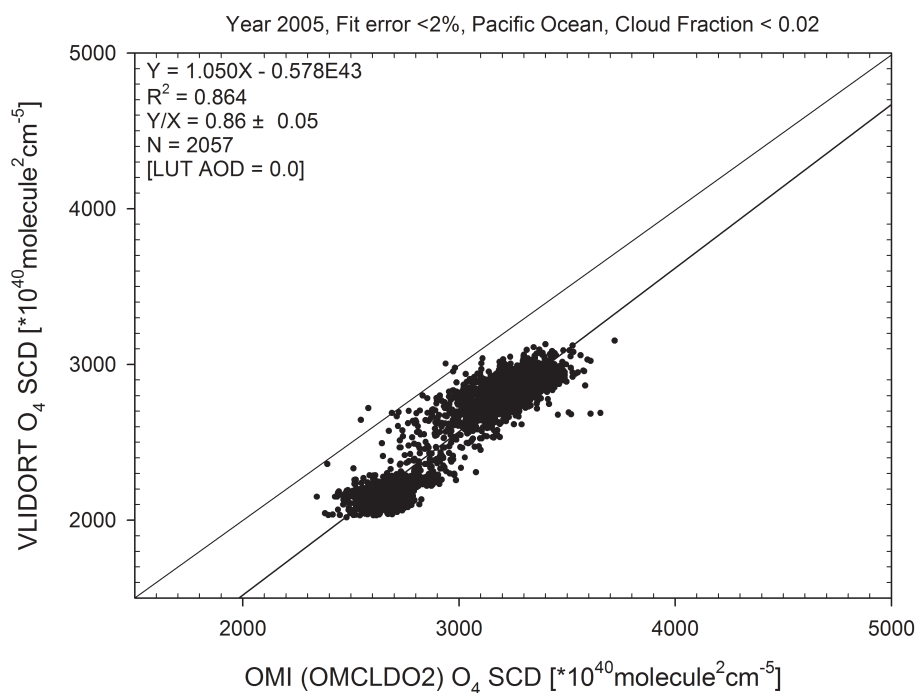


1014

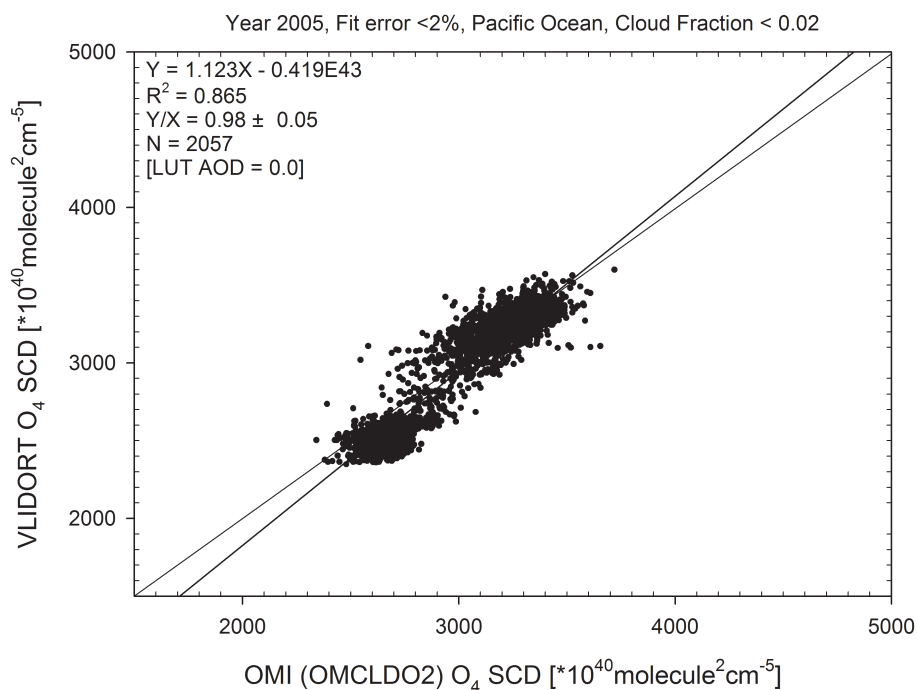
1015 Figure 2. Comparison of O<sub>4</sub> SCD with the OMI standard product and directly retrieved  
1016 from OMI radiance on March 31, 2007.

1017

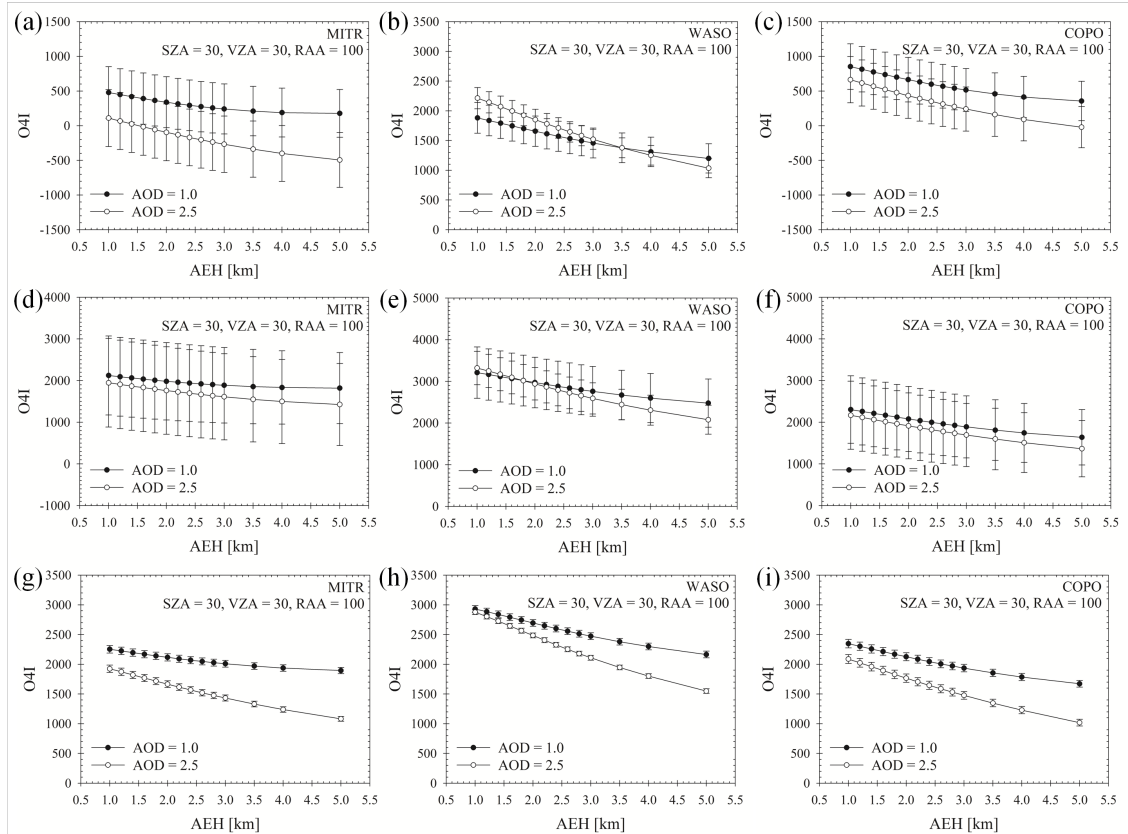
1018 (a)



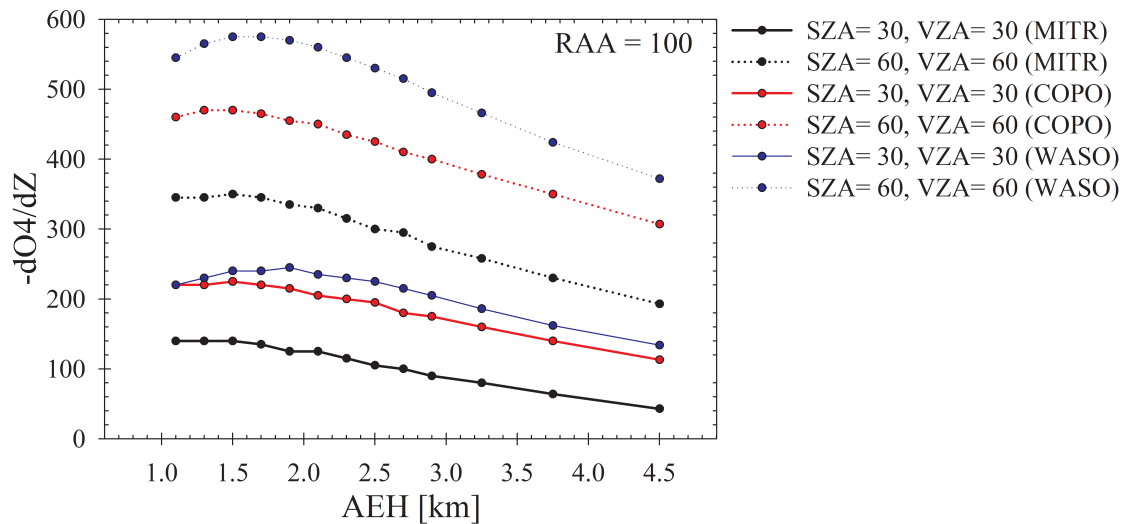
1019  
1020 (b)



1021  
1022 Figure 3. Comparison of the O<sub>4</sub> SCD at 477 nm between the standard product from  
1023 OMI and calculated value from LUT (a) before and (b) after correction of LER.  
1024



1025  
 1026 Figure 4. The O4I at 360 nm band for (a) MITR, (b) WASO, and (c) COPO, (d) at 380  
 1027 nm band for MITR, (e) WASO, and (f) COPO, and (g) at 477 nm band for MITR, (h)  
 1028 WASO, and (i) COPO as a function of AEH.

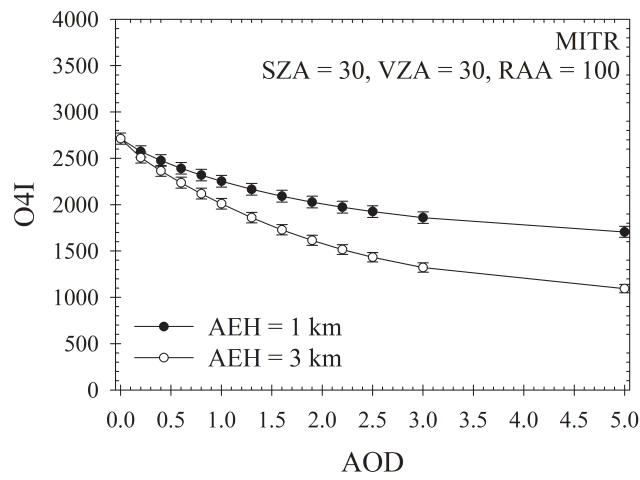


1029  
 1030 Figure 5. The AEH sensitivity to O4I ( $-dO4/dZ$ ) with changing observation geometries  
 1031 at 477 nm.

1032

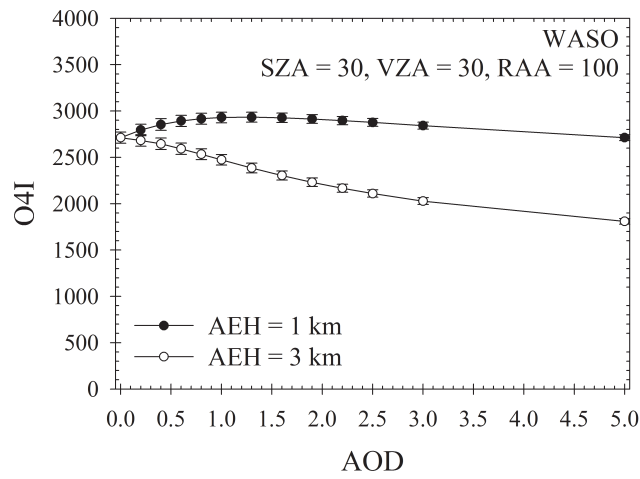
1033

(a)



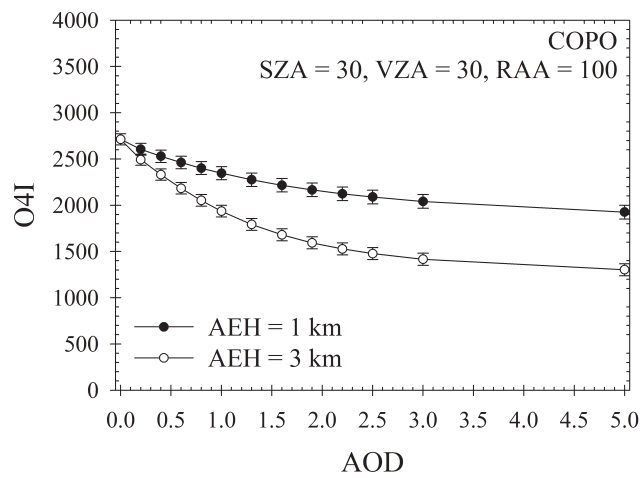
1034  
1035

(b)



1036  
1037

(c)



1038

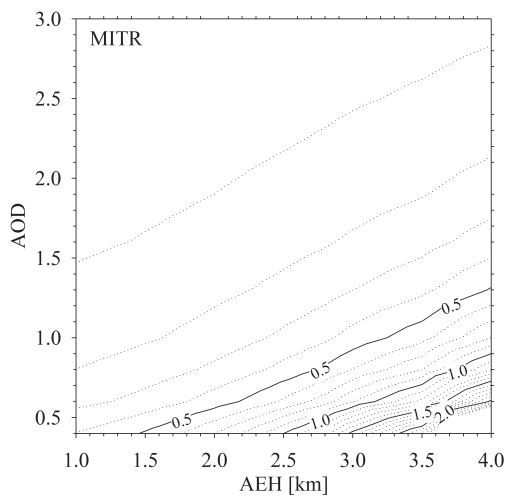
1039

Figure 6. The O4I of (a) MITR, (b) WASO, and (c) COPO types as a function of AOD.

1040

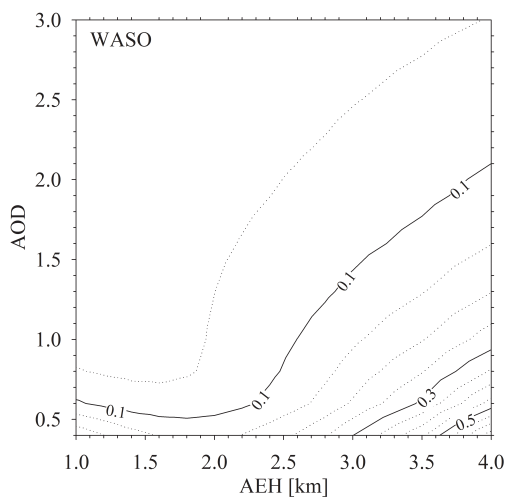


1041 (a)



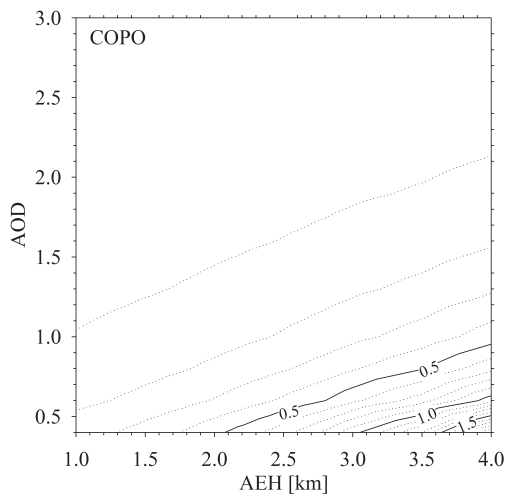
1042  
1043

(b)



1044  
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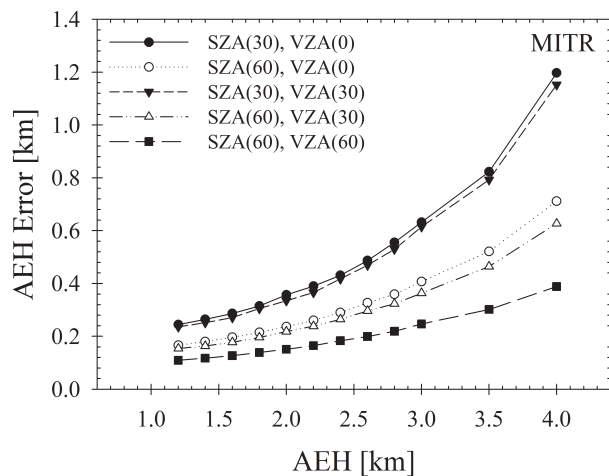
(c)



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1047  
1048

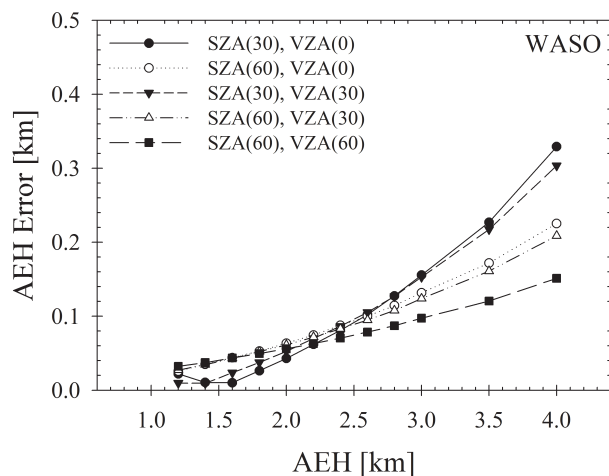
Figure 7. AEH error of (a) MITR, (b) WASO, and (c) COPO for AOD difference of 0.1 as a function of reference AEH and AOD.

1049 (a)



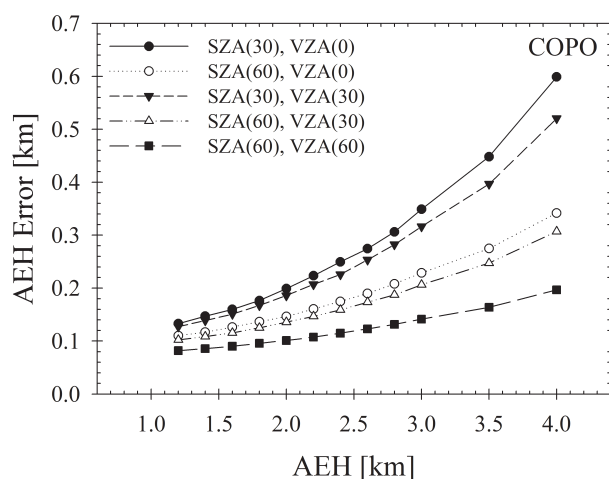
1050  
1051

(b)



1052  
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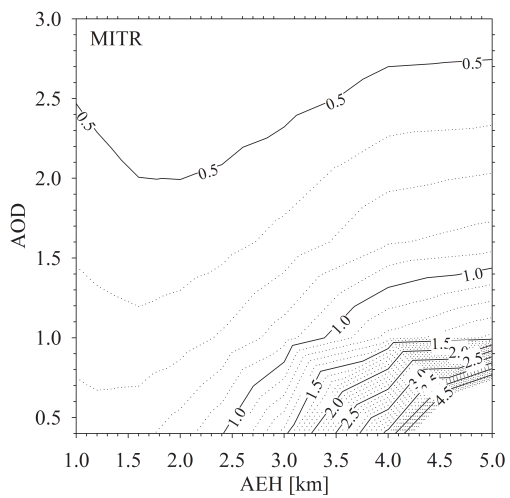
(c)



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1056  
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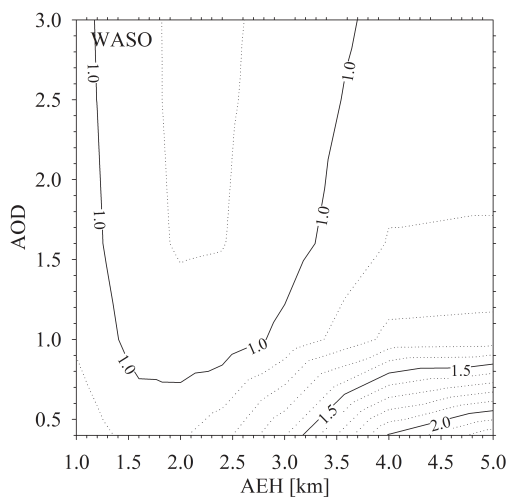
Figure 8. AEH error of (a) MITR, (b) WASO, and (c) COPO for AOD difference of 0.1 as changing viewing geometries.

1058 (a)



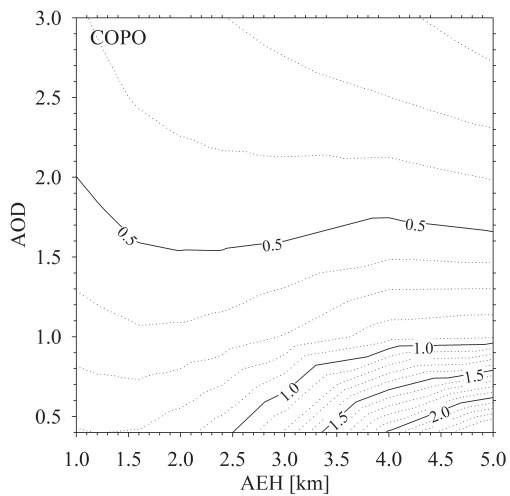
1059  
1060

(b)



1061  
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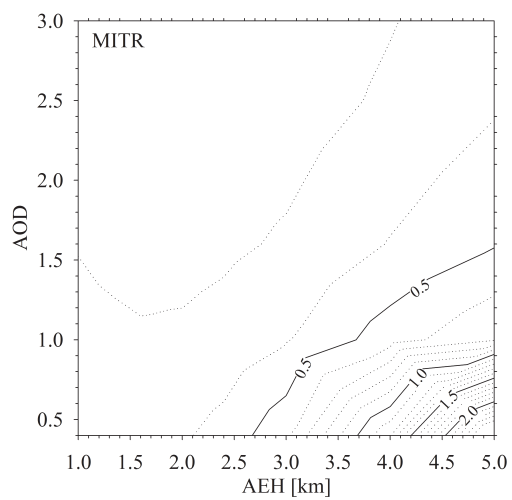
(c)



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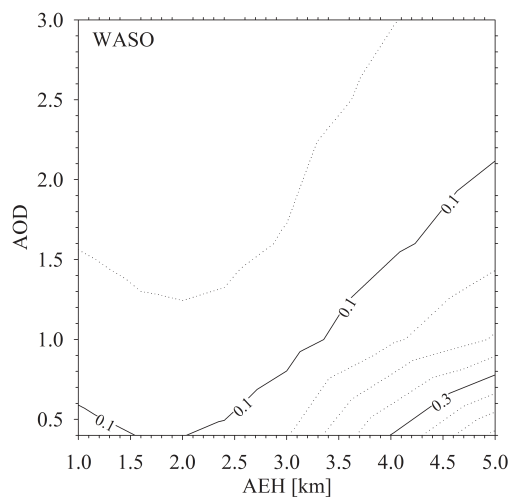
Figure 9. Same as Figure 7 except for SSA difference of 10%.

1065 (a)



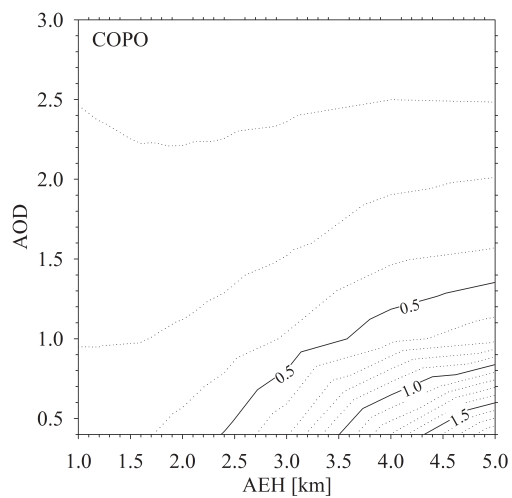
1066  
1067

(b)



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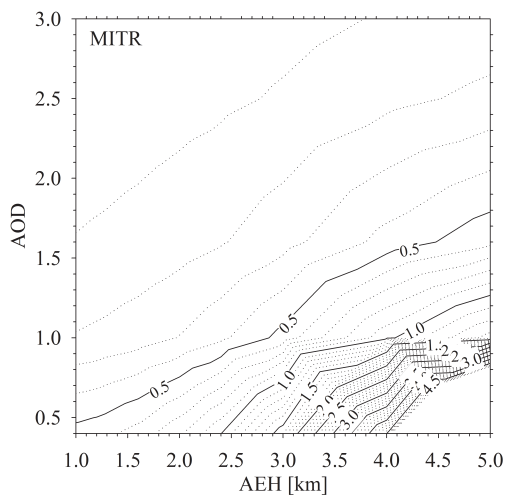
(c)



1070  
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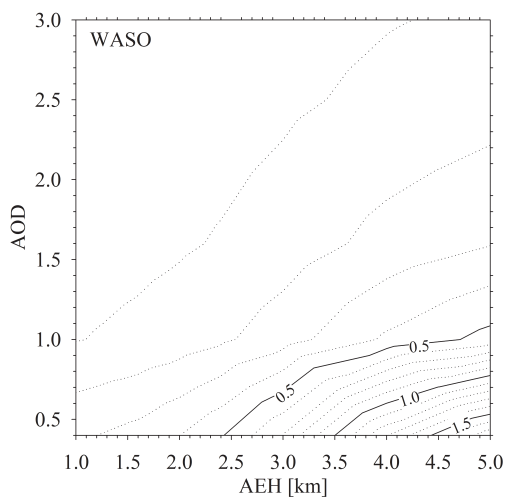
Figure 10. Same as Figure 7 except for particle size difference of 20%.

1072 (a)



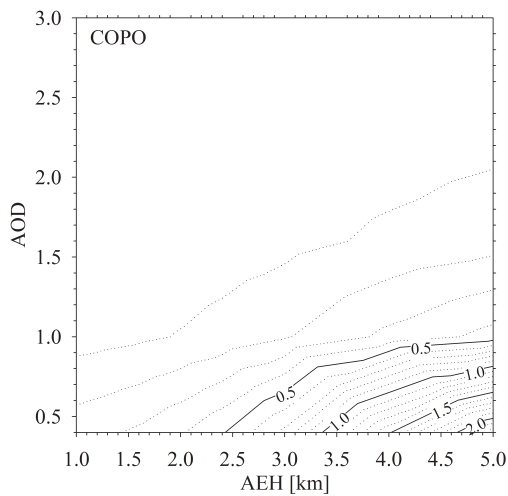
1073  
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(b)



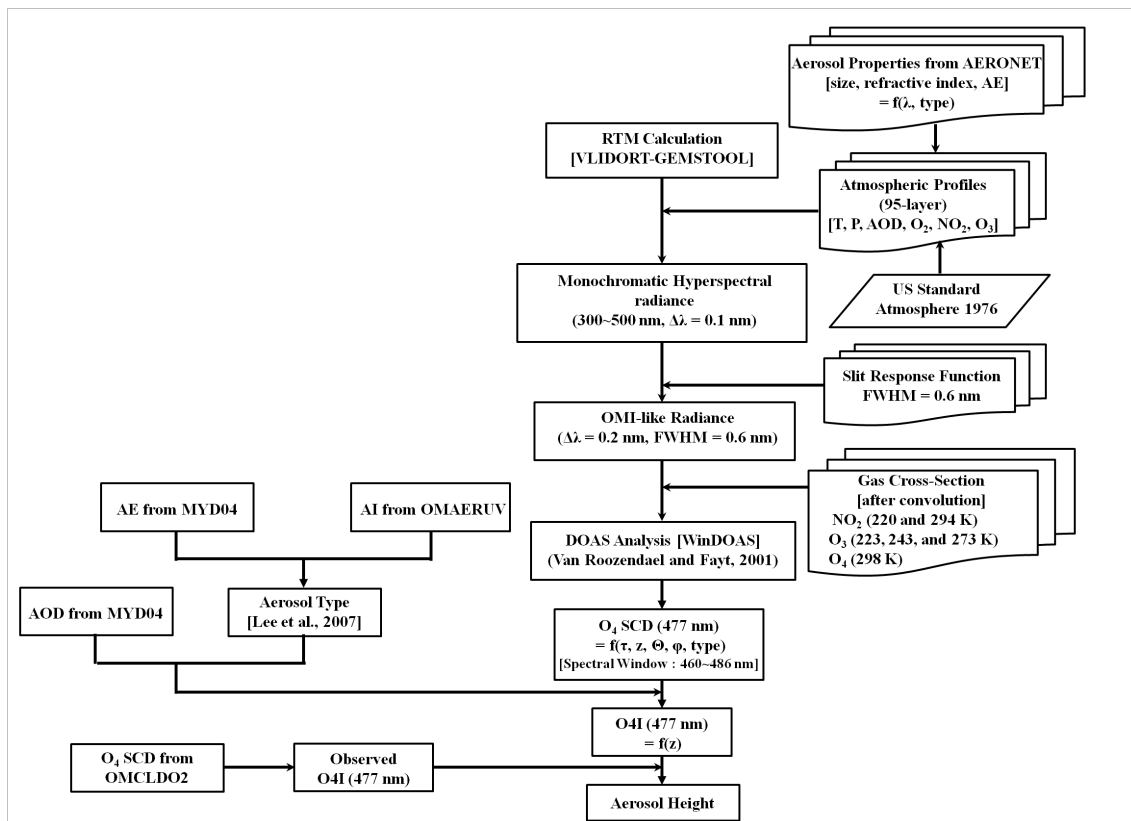
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(c)



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Figure 11. Same as Figure 7 except for surface albedo difference of 0.02.

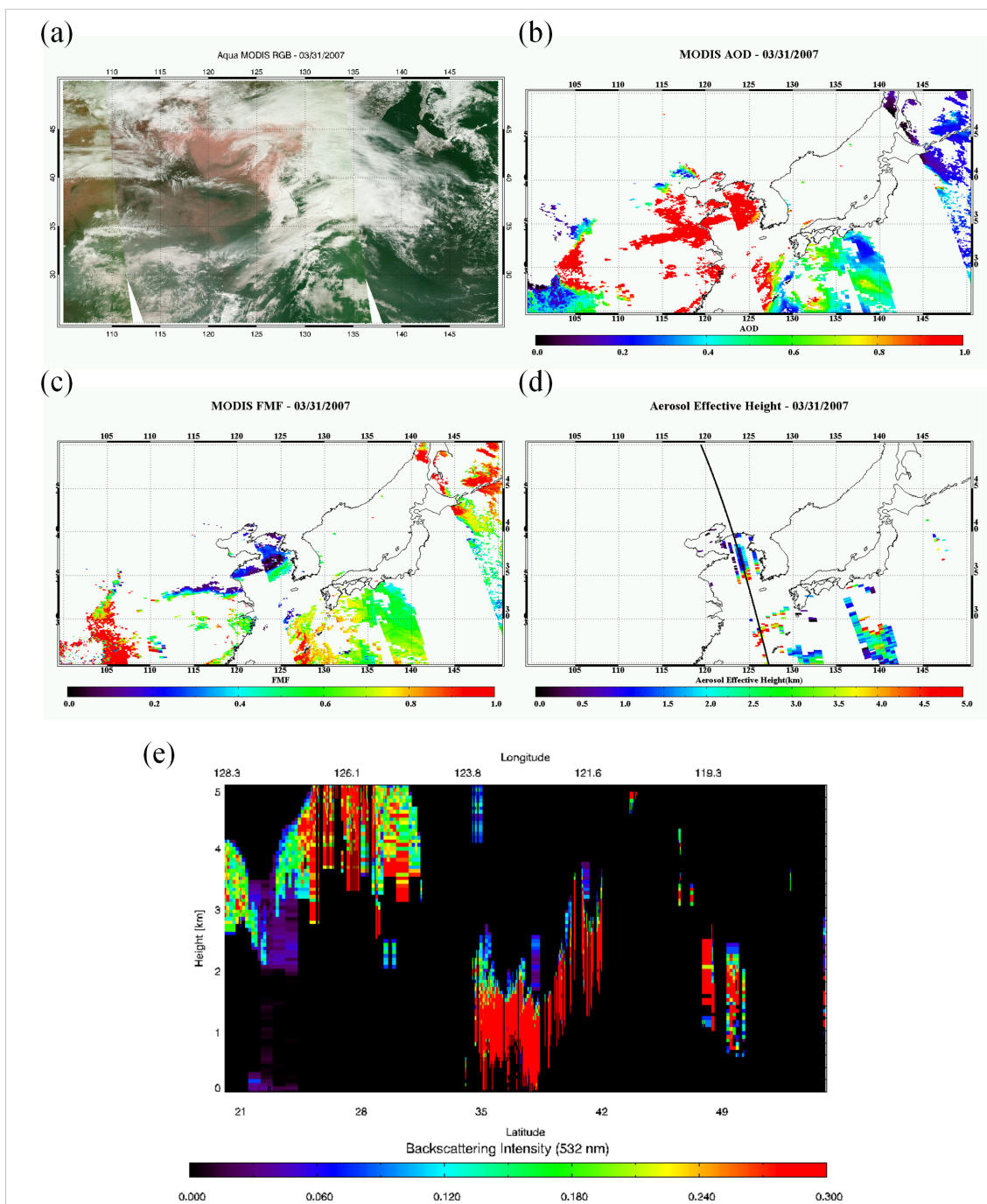


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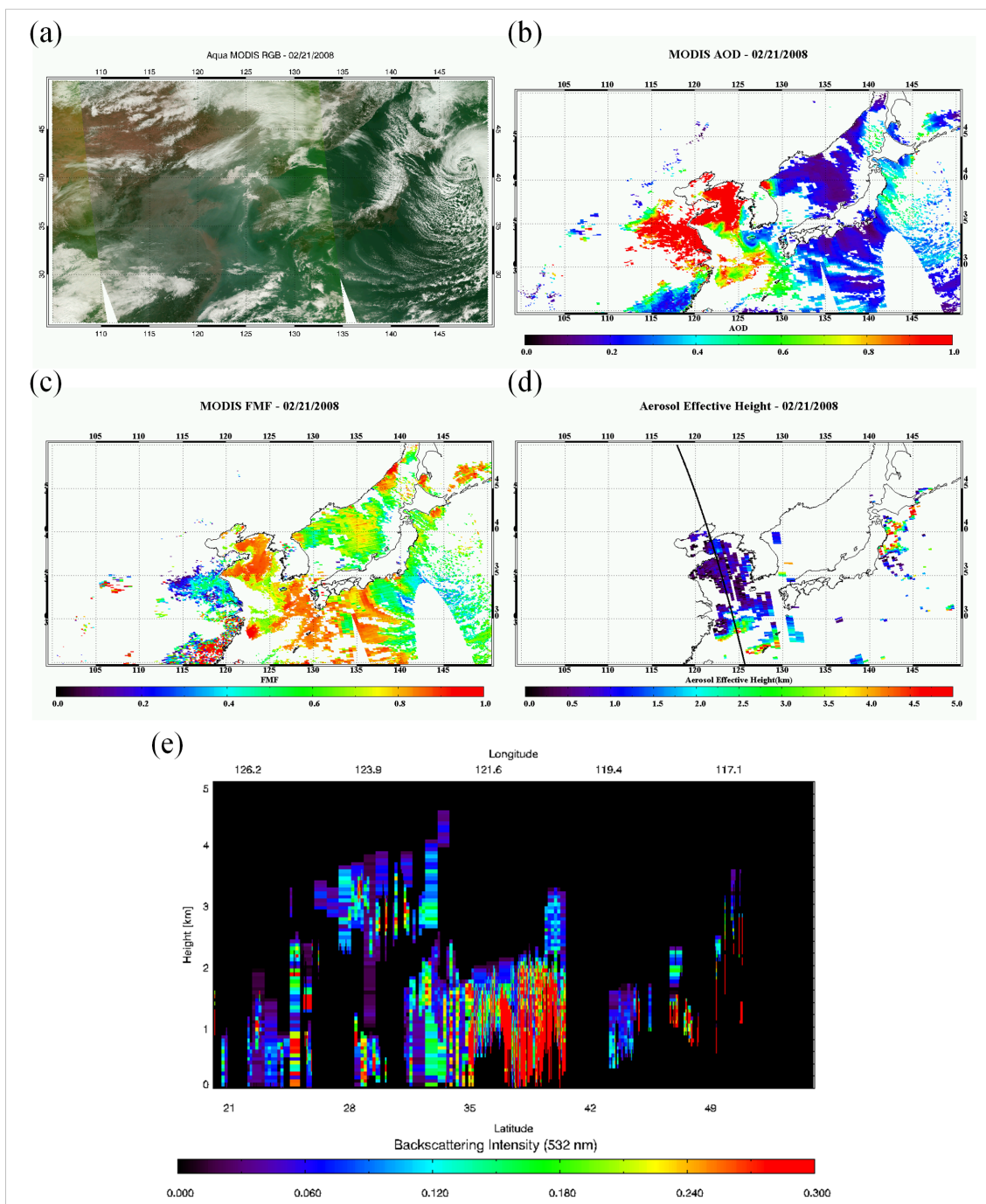
Figure 12. Flowchart of the retrieval algorithm for AEH from OMI observation.



1082

1083 Figure 13. (a) MODIS RGB, (b) AOD, (c) FMF, (d) and AEH distribution from OMI  
 1084 over East Asia, and (e) backscattering Intensity at 532 nm from CALIOP observation  
 1085 over Yellow Sea on March 31, 2007.

1086

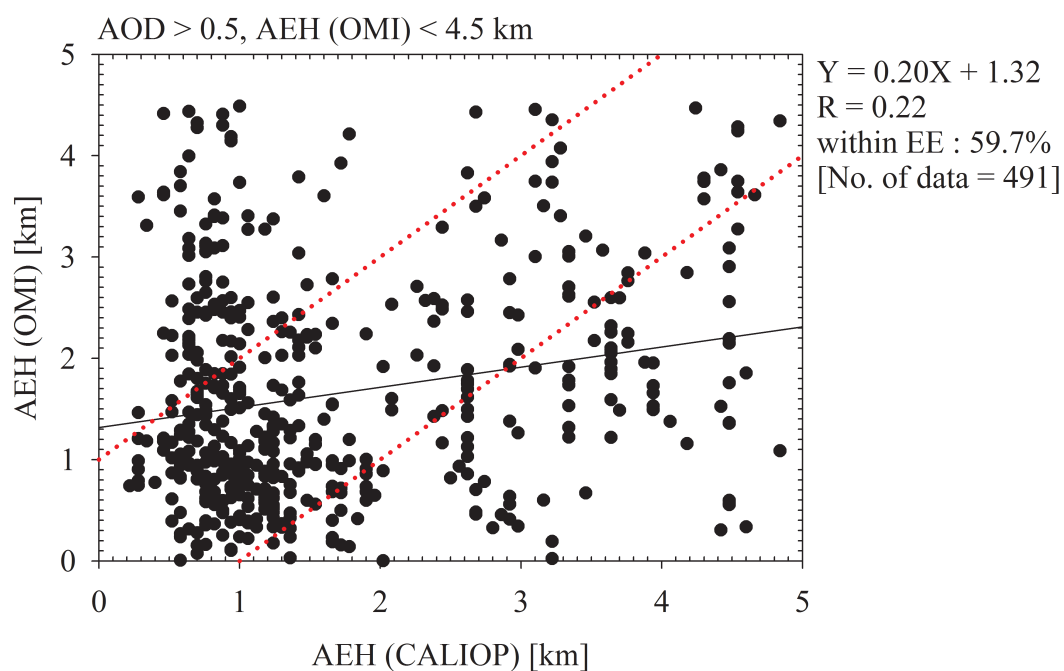


1087  
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 1091

Figure 14. (a) MODIS RGB, (b) AOD, (c) FMF, (d) and AEH distribution from OMI over East Asia, and (e) backscattering Intensity at 532 nm from CALIOP observation over coastal region of China on February 21, 2008.

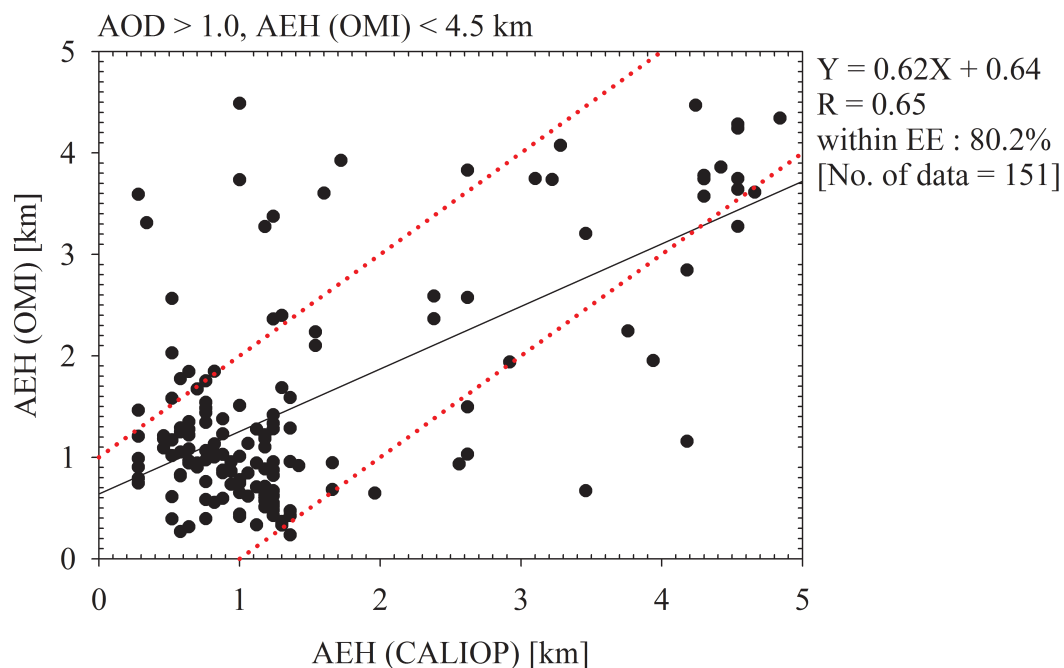


1092 (a)



1093  
1094

(b)



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1096  
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Figure 15. Comparison of AEH with CALIOP and OMI as (a) AOD > 0.5, and (b) AOD > 1.0 on aerosol transport cases in 2007 and 2008 over East Asia.