Atmos. Chem. Phys. Discuss., 15, 7365–7417, 2015 www.atmos-chem-phys-discuss.net/15/7365/2015/ doi:10.5194/acpd-15-7365-2015 © Author(s) 2015. CC Attribution 3.0 License.



This discussion paper is/has been under review for the journal Atmospheric Chemistry and Physics (ACP). Please refer to the corresponding final paper in ACP if available.

### Examining the effects of anthropogenic emissions on isoprene-derived secondary organic aerosol formation during the 2013 Southern Oxidant and Aerosol Study (SOAS) at the Look Rock, Tennessee, ground site

S. H. Budisulistiorini<sup>1,6</sup>, X. Li<sup>1</sup>, S. T. Bairai<sup>2,\*</sup>, J. Renfro<sup>3</sup>, Y. Liu<sup>4</sup>, Y. J. Liu<sup>4</sup>, K. A. McKinney<sup>4</sup>, S. T. Martin<sup>4</sup>, V. F. McNeill<sup>5</sup>, H. O. T. Pye<sup>6</sup>, A. Nenes<sup>7,8,9</sup>, M. E. Neff<sup>10</sup>, E. A. Stone<sup>10</sup>, S. Mueller<sup>2,\*\*</sup>, C. Knote<sup>11</sup>, S. L. Shaw<sup>12</sup>, Z. Zhang<sup>1</sup>, A. Gold<sup>1</sup>, and J. D. Surratt<sup>1</sup>

<sup>1</sup>Department of Environmental Sciences and Engineering, Gillings School of Global Public Health, The University of North Carolina at Chapel Hill, Chapel Hill, NC, USA

<sup>2</sup>Tennessee Valley Authority, Muscle Shoals, AL, USA

<sup>3</sup>National Park Service, Gatlinburg, TN USA

<sup>4</sup>School of Engineering and Applied Sciences, Harvard University, Cambridge, MA USA





<sup>5</sup>Department of Chemical Engineering, Columbia University, NY, USA
<sup>6</sup>National Exposure Research Laboratory, US Environmental Protection Agency, Research Triangle Park, NC, USA
<sup>7</sup>School of Earth and Atmospheric Sciences, Georgia Institute of Technology, Atlanta, GA, USA
<sup>8</sup>School of Chemical and Biomolecular Engineering, Georgia Institute of Technology, Atlanta, GA, USA
<sup>9</sup>Foundation for Research and Technology, Hellas, Greece
<sup>10</sup>Department of Chemistry, University of Iowa, Iowa City, IA, USA
<sup>11</sup>Department of Experimental Meteorology, Ludwig Maximilian University of Munich, Munich, Germany
<sup>12</sup>Electric Power Research Institute, Palo Alto, CA, USA
\*now at: Battelle, Pueblo, CO, USA

\*\* now at: Ensafe, Nashville, TN, USA

Received: 3 February 2015 - Accepted: 16 February 2015 - Published: 10 March 2015

Correspondence to: J. D. Surratt (surratt@unc.edu)

Published by Copernicus Publications on behalf of the European Geosciences Union.





#### Abstract

A suite of offline and real-time gas- and particle-phase measurements was deployed at Look Rock, Tennessee (TN), during the 2013 Southern Oxidant and Aerosol Study (SOAS) to examine the effects of anthropogenic emissions on isoprene <sup>5</sup> derived secondary organic aerosol (SOA) formation. High- and low-time resolution PM<sub>2.5</sub> samples were collected for analysis of known tracer compounds in isoprene-derived SOA by gas chromatography/electron ionization-mass spectrometry (GC/El-MS) and ultra performance liquid chromatography/diode array detection-electrospray ionization-high-resolution quadrupole time-of-flight mass spectrometry (UPLC/DAD-ESI-HR-QTOFMS). Source apportionment of the organic aerosol (OA) was determined by positive matrix factorization (PMF) analysis of mass spectrometric data acquired on an Aerodyne Aerosol Chemical Speciation Monitor (ACSM). Campaign average mass concentrations of the sum of quantified isoprene-derived SOA tracers contributed to ~ 9% (up to 26%) of the total OA mass, with isoprene-epoxydiol (IEPOX) chemistry ac-

- <sup>15</sup> counting for ~ 97% of the quantified tracers. PMF analysis resolved a factor with a profile similar to the IEPOX-OA factor resolved in an Atlanta study and was therefore designated IEPOX-OA. This factor was strongly correlated ( $r^2 > 0.7$ ) with 2-methyltetrols, C<sub>5</sub>-alkene triols, IEPOX-derived organosulfates, and dimers of organosulfates, confirming the role of IEPOX chemistry as the source. On average, IEPOX-derived SOA
- tracer mass was ~ 25% (up to 47%) of the IEPOX-OA factor mass, which accounted for 32% of the total OA. A low-volatility oxygenated organic aerosol (LV-OOA) and an oxidized factor with a profile similar to 91Fac observed in areas where emissions are biogenic-dominated were also resolved by PMF analysis, whereas no primary organic aerosol (POA) sources could be resolved. These findings were consistent with low levels of primary pollutants, such as pittis guide (NO = 0.02 mb), each are primary or a pittis guide (NO = 0.02 mb).
- <sup>25</sup> low levels of primary pollutants, such as nitric oxide (NO ~ 0.03 ppb), carbon monoxide (CO ~ 116 ppb), and black carbon (BC ~ 0.2  $\mu$ g m<sup>-3</sup>). Particle-phase sulfate is fairly correlated ( $r^2 \sim 0.3$ ) with both MAE- and IEPOX-derived SOA tracers, and more strongly correlated ( $r^2 \sim 0.6$ ) with the IEPOX-OA factor, in sum suggesting an important role





of sulfate in isoprene SOA formation. Moderate correlation between the methacrylic acid epoxide (MAE)-derived SOA tracer 2-methylglyceric acid with sum of reactive and reservoir nitrogen oxides (NO<sub>y</sub>;  $r^2 = 0.38$ ) and nitrate ( $r^2 = 0.45$ ) indicates the potential influence of anthropogenic emissions through long-range transport. Despite the lack of a clear association of IEPOX-OA with locally estimated aerosol acidity and liquid water content (LWC), box model calculations of IEPOX uptake using the simpleGAMMA model, accounting for the role of acidity and aerosol water, predicted the abundance

of the IEPOX-derived SOA tracers 2-methyltetrols and the corresponding sulfates with good accuracy ( $r^2 \sim 0.5$  and  $\sim 0.7$ , respectively). The modeling and data combined <sup>10</sup> suggest an anthropogenic influence on isoprene-derived SOA formation through acidcatalyzed heterogeneous chemistry of IEPOX in the southeastern US. However, it appears that this process was not limited by aerosol acidity or LWC at Look Rock during SOAS. Future studies should further explore the extent to which acidity and LWC becomes a limiting factor of IEPOX-derived SOA, and their modulation by anthropogenic <sup>15</sup> emissions.

### 1 Introduction

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Atmospheric fine particulate matter ( $PM_{2.5}$ , aerosol with aerodynamic diameter  $\leq 2.5 \,\mu$ m) can scatter and/or absorb solar and terrestrial radiation as well as influence cloud formation and as a result, can markedly affect regional and global climate (IPCC, 2013). It is now also established that exposure to  $PM_{2.5}$  can have an adverse impact on human health (Dockery et al., 1993; Mauderly and Chow, 2008; Hsu et al., 2011). Organic matter (OM) comprises the largest mass fraction of  $PM_{2.5}$  and is derived largely from secondary organic aerosol (SOA) formed through atmospheric oxidation of volatile

organic compounds (VOCs). SOA formation has been modeled primarily within the framework of absorptive gas-to-particle partitioning (Pankow, 1994; Odum et al., 1996), with the products of volatile and semi-volatile organic precursors decreasing in volatility during multi-generational oxidation, and condensing onto pre-existing particles or cre-





ating new particles through nucleation. Recent work has demonstrated the importance of heterogeneous (or particle-phase) chemistry in SOA formation (Jang et al., 2002; Kalberer et al., 2004; Tolocka et al., 2004; Gao et al., 2004; Surratt et al., 2006); how-ever, chemical transport models are only just beginning to incorporate explicit details of

- <sup>5</sup> this chemistry for specific SOA precursors (Pye et al., 2013; Karambelas et al., 2014). Although much progress has been made in recent years in identifying key biogenic and anthropogenic SOA precursors, significant gaps still remain in our knowledge of the formation mechanisms, composition and properties of SOA (Hallquist et al., 2009). Isoprene (2-methyl-1,3-butadiene, C<sub>5</sub>H<sub>8</sub>) is the most abundant non-methane VOC
- emitted into Earth's atmosphere at ~  $600 \text{ Tg yr}^{-1}$  (Guenther et al., 2006). The southeastern US during summer is a particularly strong source of isoprene, primarily through emissions by broad-leaf trees. Although isoprene is known to influence urban ozone (O<sub>3</sub>) formation in the southeastern US, only in the last decade has hydroxyl radical (OH)-initiated oxidation been recognized as leading to significant SOA formation, enhanced by the presence of anthropogenic pollutants such as nitrogen oxides
- $(NO_x = NO + NO_2)$  and sulfur dioxide  $(SO_2)$  (Claeys et al., 2004; Edney et al., 2005; Surratt et al., 2006, 2010; Kroll et al., 2006). Previously, the volatility of the photochemical oxidation products had been assumed to preclude formation of PM<sub>2.5</sub> from isoprene oxidation (Pandis et al., 1991; Kamens et al., 1982).
- Recent studies have made significant strides in identifying critical intermediates in isoprene SOA formation by varying the levels of  $NO_x$  (Kroll et al., 2006; Surratt et al., 2006, 2010) and acidity of sulfate aerosol (Surratt et al., 2006, 2010; Lin et al., 2012, 2013a). The proposed role of isomeric isoprene epoxydiols (IEPOX) as key intermediates in the formation of isoprene SOA under low-nitric oxide (NO) conditions (Surratt
- et al., 2010; Paulot et al., 2009) has recently been confirmed in studies using authentic compounds (Lin et al., 2012; Gaston et al., 2014; Nguyen et al., 2014). Under high-NO conditions, isoprene SOA has been demonstrated to form primarily via oxidation of methacrolein (MACR) (Surratt et al., 2006) and methacryloyl peroxynitrate (MPAN) (Surratt et al., 2010) with methacrylic acid epoxide (MAE) from the further oxidation of





MACR demonstrated as a reactive intermediate (Lin et al., 2013b). Under both highand low-NO conditions, acid-catalyzed reactive uptake and multiphase chemistry of isoprene-derived epoxides (IEPOX and MAE) are now known to enhance SOA formation (Surratt et al., 2007b, 2010; Lin et al., 2013b). Recent flowtube studies on reactive uptake kinetics of *trans-* $\beta$ -IEPOX (Gaston et al., 2014), the predominant IEPOX isomer formed in the photochemical oxidation of isoprene (Bates et al., 2014), have estimated an atmospheric lifetime shorter than 5 h in the presence of highly acidic aqueous aerosol (pH  $\leq$  1). Since the predicted atmospheric lifetime of IEPOX for gas-phase ox-

- idation is 8–33 h at an average OH concentration of 10<sup>6</sup> molecules cm<sup>-3</sup> (Jacobs et al., 2013; Bates et al., 2014) and 11 h for deposition (Eddingsaas et al., 2010), reactive uptake of IEPOX onto highly acidic aqueous aerosol would be a competitive or potentially dominant fate of IEPOX in the atmosphere. Recent field data from sites across the southeastern US collected by Guo et al. (2014) yielded estimates that aerosol pH ranges from 0.5–2. Consistent with expectations based on the flowtube studies (Gas-
- ton et al., 2014; Riedel et al., 2015) and pH estimates from field data, IEPOX-derived SOA has been observed to account for up to 33 % of the total fine organic aerosol (OA) mass collected during summer in downtown Atlanta, GA, by analysis of data acquired on an online Aerodyne Aerosol Chemical Speciation Monitor (ACSM) (Budisulistiorini et al., 2013). Similar level of isoprene-derived SOA has been recently observed at other
- field sites across the southeastern US using the Aerodyne high-resolution time-of-flight aerosol mass spectrometer (HR-ToF-AMS). (Xu et al., 2015). In offline chemical analysis of total fine OA mass at a rural site located in Yorkville, GA (Lin et al., 2013b), up to 20 % of the OA mass could be attributed to the known IEPOX-derived SOA tracers, including the 2-methylterols (Claeys et al., 2004; Lin et al., 2012), C<sub>5</sub>-alkene triols
- <sup>25</sup> (Wang et al., 2005; Lin et al., 2012), *cis* and *trans*-3-methyltetrahydrofuran-3,4-diols (Lin et al., 2012; Zhang et al., 2012b) and IEPOX-derived organosulfates (Surratt et al., 2007a, 2010; Lin et al., 2012).

In addition to examining the effects of NO and aerosol acidity on isoprene SOA formation, the effect of varying relative humidity (RH) has recently been examined. In





chamber studies on the high-NO pathway under low-RH conditions, the isoprene SOA constituents 2-methylglyceric acid and corresponding oligoesters derived from MACR, MPAN, and MAE, were enhanced relative to higher RH conditions (Zhang et al., 2011; Nguyen et al., 2011). However, 2-methyltetrols, which are known to be major SOA
 <sup>5</sup> constituents formed in the low-NO pathway and minor constituents in the high-NO pathway (Edney et al., 2005; Surratt et al., 2007b), did not vary significantly with RH (Zhang et al., 2011). While RH appears to have an effect on the formation of certain isoprene SOA constituents, recent flowtube studies demonstrated that aerosol acidity has a more pronounced effect on IEPOX- and MAE-derived SOA formation than

- RH (Gaston et al., 2014; Riedel et al., 2015). However, field studies have yielded mixed results. At Yorkville, GA, Lin et al. (2013a) observed no strong correlation of IEPOX-derived SOA with aerosol acidity, NH<sub>3</sub> levels or liquid water content (LWC), although there was a statistically significant enhancement of IEPOX-derived SOA under high SO<sub>2</sub>-sampling scenarios. Similarly, no correlation between isoprene SOA trac-
- ers and aerosol pH or LWC was observed in the analysis of filter samples collected from field studies in Sacramento, CA, and Carson City, NV (Worton et al., 2013), and in the isoprene-derived PMF factor from field study in Centerville, AL (Xu et al., 2015). Another recent field study by Budisulistiorini et al. (2013) found weak correlation ( $r^2 = 0.22$ ) between aerosol pH and an IEPOX-OA factor resolved by positive matrix factorization (PMF) from real-time organic aerosol mass spectra data acquired on an Aerodyne ACSM.

Although isoprene is now recognized as a major source of SOA, the exact manner in which isoprene-derived SOA is formed in the southeastern US and how it is affected by anthropogenic pollutants (i.e.,  $NO_x$  level, aerosol acidity, sulfate and primary aerosol

<sup>25</sup> loadings) remains unclear. The gap in understanding has major public health and policy implications since isoprene is emitted primarily from terrestrial vegetation and is not controllable, whereas strategies to control anthropogenic pollutants can be implemented. Improving our fundamental understanding of the role of anthropogenic emissions in isoprene SOA formation will be key in improving existing air quality models,





especially in the southeastern US where models currently under-predict isoprene SOA formation (Foley et al., 2010; Carlton et al., 2010) and as a result will be critical to developing efficient control strategies for improving air quality. The study presented here is part of the 2013 Southeast Oxidant and Aerosol Study (SOAS) spanning 1 June –

- <sup>5</sup> 17 July 2013 at the Look Rock (LRK), TN ground site. A major aim of SOAS was to address the issue of how exactly isoprene SOA formation occurs and the potential of anthropogenic emissions to enhance SOA formation. At the LRK ground site we approached this aim by examining the chemical composition of OA measured in real-time by the Aerodyne ACSM and subsequently applying PMF for source apportionment. We
- <sup>10</sup> also collected PM<sub>2.5</sub> on filters and quantified tracers associated with isoprene chemistry to support the assignment of OA factors resolved from factor analyses of organic mass spectral data collected by the ACSM. We examined the potential influence of anthropogenic emissions on isoprene-derived SOA by correlation with temporal variation of anthropogenic markers monitored by collocated instruments. Finally, a photochem-
- <sup>15</sup> ical box model was employed to further examine the potential interactions between SOA and anthropogenic emissions. The results of this study will help to improve model parameterizations required to bring model predictions closer to ambient observations of isoprene-derived SOA formation in the southeastern US.

#### 2 Methods

#### 20 2.1 Site description

Fine aerosol was collected continuously from 1 June – 17 July 2013. LRK is a ridgetop site located on the northwestern edge of the Great Smoky Mountain National Park (GSMNP) downwind of Maryville and Knoxville and small farms with animal grazing areas. Up-slope flow carries pollutants emitted in the valley during early morning to the

LRK site by mid-morning (Tanner et al., 2005). In the evening, down-slope flow accompanies a shift of wind direction to the south and east during summer that isolates the





site from fresh primary emissions from the valley and allows aged-secondary species to accumulate (Tanner et al., 2005). As described in Tanner et al. (2005), particulate sulfate, black carbon (BC), organic carbon (OC), PM<sub>2.5</sub> as well as PM<sub>10</sub> and gas-phase sulfur dioxide (SO<sub>2</sub>), nitric oxide (NO), nitrogen dioxide (NO<sub>2</sub>), and sum of reactive and <sup>5</sup> reservoir nitrogen oxides (NO<sub>y</sub>) were measured by a suite of collocated instruments throughout the campaign (Table S1). Meteorological measurements (RH, temperature, wind direction, and wind speed) and O<sub>3</sub> concentrations were acquired at a National Park Service (NPS) shelter across a secondary road opposite the LRK shelter.

#### 2.2 ACSM NR-PM<sub>1</sub> characterization

- <sup>10</sup> Fine ambient aerosol was sampled from the rooftop of the LRK site air-conditioned building during the SOAS campaign. The sampling inlet was approximately 6 m above the ground and equipped with a PM<sub>2.5</sub> cyclone. Sample was drawn at 3 L min<sup>-1</sup> (residence time < 2s) and dried using a nafion drier (PD-200T-24SS, Perma Pure) to maintain RH below 10 % and prevent condensation during sampling. ACSM opera-
- tion parameters followed those of previous studies (Budisulistiorini et al., 2013, 2014). Briefly, the ACSM scanning rate was set at 200 ms amu<sup>-1</sup> and data were averaged over 30 min intervals. Data were acquired using ACSM DAQ version 1438 and analyzed using ACSM Local version 1532 (Aerodyne Research, Inc.) within Igor Pro 6.3 (Wavemetrics). Calibrations for sampling flow rate, mass-to-charge ratio (m/z), re-
- <sup>20</sup> sponse factor of nitrate ( $RF_{NO_3}$ ), and relative ionization efficiencies of both ammonium ( $RIE_{NH_4}$ ) and sulfate ( $RIE_{SO_4}$ ) were performed three times during the campaign. Mass resolution, heater bias and ionizer voltages, and amplifier zero settings were checked and adjusted daily. A collection efficiency (CE) of 0.5 was applied based on aerosol composition (Middlebrook et al., 2012). Correlations of combined aerosol mass con-
- <sup>25</sup> centrations of ACSM non-refractory (NR)-PM<sub>1</sub> and collocated black carbon (BC) with aerosol volume concentrations of PM<sub>1</sub> measured by the Scanning Electrical Mobility System-Mixing Condensation Particle Counter (SEMS-MCPC, Brechtel Manufacturing Inc.) was strong ( $r^2 = 0.89$ ) and suggested an aerosol density of 1.52 g cm<sup>-3</sup> (Fig. S1),



close to that reported in previous studies in Pasadena, CA (Hayes et al., 2013) and Atlanta, GA (Budisulistiorini et al., 2014). If CE of 1 is used, the estimated aerosol density is  $0.78 \,\mathrm{g\,cm^{-3}}$ , which is much lower than suggested bulk organic and inorganic aerosol densities of 1.27 and 1.77  $\mathrm{g\,cm^{-3}}$ , respectively (Cross et al., 2007).

#### 5 2.3 OA source characterization

Mass spectra acquired by the ACSM can be represented as a matrix **ORG** where columns j = observed m/z values and rows i = sampling time steps. In a bilinear model, such as PMF, the matrix **ORG** is defined as:

$$\mathbf{ORG}_{i,j} = \sum_{\rho=1}^{P} \mathbf{TS}_{i,\rho} \mathbf{MS}_{\rho,j} + \mathbf{E}_{i,j} = \widehat{\mathbf{ORG}}_{i,j} + \mathbf{E}_{i,j}$$
(1)

<sup>10</sup> where the measured matrix **ORG** is approximated by *p* factors with time series (**TS**) and mass spectral profiles (**MS**) of the model solution, and matrix **E** is the difference between the measured matrix and fitted solution. For PMF, (**TS**) and (**MS**) are fitted using a least squares algorithm that minimizes iteratively the quantity *Q*, defined as sum of squared residuals weighted by their respective uncertainties:

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$$Q = \sum_{i=1}^{m} \sum_{j=1}^{n} \left(\frac{e_{ij}}{\sigma_{ij}}\right)^2$$

When the *Q* value is at minimum, all elements in the organic matrix are fit to within their expected error. If the bilinear model is well fitted with minimum errors, the PMF solutions should give  $Q/Q_{exp}$  close to one.  $Q_{exp}$  is the expected value of *Q* that is approximately equal to number of points of **ORG** ( $t \times m$ ) matrix (Zhang, 2011):

<sup>20</sup>  $Q \approx t \times m$ 

Qualitatively, PMF solutions were analysed by running PMF algorithm from different random starting points (Seeds) (Paatero, 2007) and quantitatively by bootstrapping



(2)

(3)



runs (Norris et al., 2008). In general, the analysis will have rotational ambiguity (Paatero et al., 2002) that is controlled by the user using Fpeak. In this study, uncertainty of a selected solution was investigated with Seeds (varied from 0 to 100, in steps of 5), 100 bootstrapping runs, and Fpeak parameters. Details of diagnostics for each PMF analysis are given in Supplemental Information (Tables S2 and S3 and Figs. S2–S5).

- We conducted PMF analysis (Paatero and Tapper, 1994) using PMF Evaluation Tool (PET v2.4) (Ulbrich et al., 2009). Evaluation of  $Q/Q_{exp}$  time series and mass spectra and correlation of factor solutions at Fpeak 0 with collocated measurements (Fig. S2, Table S3) suggests that a 3-factor solution is optimum. We selected a 3-factor solution
- at Fpeak –0.09 based on the quality of PMF fits and interpretability when compared to tracer time series and reference mass spectra (Table S5). The mass spectrum of a factor designated IEPOX-OA conforms closely to the IEPOX-SOA factor resolved in Atlanta, GA (Budisulistiorini et al., 2013). The mass spectrum of the second factor correlates closely with the factor identified as LV-OOA in previous studies (Ulbrich et al., 2020; No et al., 2014). The third factor identified as LV-OOA in previous studies (Ulbrich et al.,
- <sup>15</sup> 2009; Ng et al., 2011). The third factor is designated 91Fac, based on the similarity of its mass spectrum to the factor 91Fac, an oxygenated factor resolved in areas dominated by biogenic emissions (Robinson et al., 2011; Chen et al., 2014).

#### 2.4 Gas-phase measurements

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# 2.4.1 High-resolution time-of-flight chemical ionization mass spectrometry (HR-ToF-CIMS) measurements

Gaseous samples were measured through an approximately 1 m length of PTFE tubing (1/4'') outside diameter) from the sidewall of the building at flow rate of  $2 \text{ Lmin}^{-1}$ . The sampling line was placed to face the valley such that no structures or activity would compromise sampling. Instrument performance was maintained daily by baseline, threshold, and single ion area tuning as well as m/z calibration. The instrument was not operational during some periods of the field campaign (i.e., 13–16 June, 21 June-4 July, and 14–16 July) due to power outage, broken components, and nec-





essary maintenances. July CIMS data was corrected by comparing it to collocated PTR-TOF-MS MVK + MACR data (Sect. 2.4.2) and post-campaign calibration in order to derive a correction factor to account for decay in the micro-channel plate (MCP) detector.

- The HR-ToF-CIMS instrument was operated in the negative ion mode using acetate ion chemistry for detection of isoprene-derived epoxides. The acetate ion system efficiently detects small organic acids via deprotonation (Veres et al., 2008; Bertram et al., 2011), such as MAE, and some vicinal diol species, such as the IEPOX, as clusters with the reagent ion. MAE is detected as the [C<sub>4</sub>H<sub>5</sub>O<sub>3</sub>]<sup>-</sup> ion at *m/z* 101, whereas IEPOX
- <sup>10</sup> is detected as the  $[CH_3COO \cdot C_5H_{10}O_3]^-$  ion at m/z 177 (Fig. S6). IEPOX and its gasphase precursor, hydroxyhydroperoxides (ISOPOOH), were previously measured by CIMS as cluster ion with  $CF_3O^-$  at similar m/z and were distinguishable through their daughter ions using collision-induced dissociation (Paulot et al., 2009). Recent field and laboratory studies using acetate CIMS found that both ISOPOOH and IEPOX were ob-
- <sup>15</sup> served at the same cluster ion at m/z 177, while the deprotonated form at m/z 117 could be attributed solely to IEPOX (D. K. Farmer, personal communication, 2015). In our measurements, interferences of ISOPOOH to the cluster ion m/z 177 could not be differentiated because we could only observe the parent ions unlike Paulot et al. (2009). Moreover, since we operated the acetate ion chemistry HR-ToF-CIMS at different volt-
- <sup>20</sup> age settings than from Farmer et al. (personal communication, 2015), sensitivity of the deprotonated form of IEPOX is very low, and thus it could not be used to quantitatively measure IEPOX and/or to define the fractional contribution of IEPOX and ISOPOOH to the m/z 177 signal. Therefore, we carefully note here that m/z 177 ion measured during this study represents the upper limit of the IEPOX mixing ratio due to ISOPOOH to therefore, at an unknown fraction of the signal.

Gaseous IEPOX and MAE were quantified with HR-ToF-CIMS by applying laboratory-derived calibration factors. All signals were normalized to acetate ion  $[CH_3COO]^-$  at m/z 59 to take into account fluctuations in signal arising from changes in pressure during the course of field sampling and calibration. Calibrations were per-



formed before and after the SOAS campaign using synthetic *trans-β*-IEPOX and MAE standards through dilution in a dark 10 m<sup>3</sup> indoor chamber at the University of North Carolina (UNC) (Lin et al., 2012). Synthetic procedures for *trans-β*-IEPOX and MAE have been described previously (Zhang et al., 2012b; Lin et al., 2013b). A known con-<sup>5</sup> centration of epoxide standard was injected into a 10 mL glass manifold using glass microliter syringes. The manifold was wrapped with heating tape and flushed with heated N<sub>2</sub> (g) at 5 Lmin<sup>-1</sup> for at least 2 h to the indoor chamber being sampled by the HR-ToF-CIMS until ion signals associated with MAE and IEPOX stabilized. We assumed unit injection efficiency of the epoxide sthrough the glass chamber and into the chamber in calculating the chamber epoxide mixing ratios. Subsequently, we performed standard dilution of the HR-ToF-CIMS sample flow by teeing in an N<sub>2</sub> (g) flow controlled by eight different micro-orifices to obtain an eight-point calibration curve. The chamber was sampled continuously at 2 Lmin<sup>-1</sup> for aerosol measurement of gaseous products by HR-ToF-CIMS and at 0.36 Lmin<sup>-1</sup> for aerosol measurements by SEMS-MCPC to en-

<sup>15</sup> sure that the chamber was particle free. Additionally, no particle nucleation events or significant particle loadings were observed over the course of calibrations. Normal-ized *m/z* 177 and 101 ions were plotted against epoxide mixing ratios of eight-point standards, however, only four-point standards were used for IEPOX calibration due to non-linearity. Slopes of the fittings were used as calibration factors for the field mea <sup>20</sup> surements (Fig. S7). Field calibrations were not performed due to the unavailability of IEPOX and MAE permeation tube systems.

#### 2.4.2 Proton transfer reaction time-of-flight mass spectrometry (PTR-TOF-MS)

A proton-transfer-reaction time-of-flight mass spectrometer (PTR-TOF-MS 8000, Ionicon Analytik GmbH, Austria) equipped with switchable reagent ion capacity was used to measure the concentrations of gaseous organic species at the site. Ambient air was sampled from an inlet mounted on a tower ca. 2 m above the rooftop of the LRK site building through a 6.35 mm OD PFA sampling line at 4–5 sLpm. A 2.0 μm pore size 47 mm diameter Zefluor teflon filter (Pall Corporation) at the inlet removed parti-





cles from the sample flow. The PTR-TOF-MS sub-sampled from this flow at a rate of 0.25 sLpm, resulting in a total inlet transit time of ca. 1-2 s.

PTR-TOF-MS has been described previously by Jordan et al. (2009a, b) and Graus et al. (2010) and was operated in this study as described in Liu et al. (2013).  $\rm H_3O^+$ 

- <sup>5</sup> reagent ions were used to selectively ionize organic molecules in the sample air. A highresolution TOF detector (Tofwerk AG, Switzerland) was used to analyze the reagent and product ions and allowed for exact identification of the ion molecular formula (mass resolution > 4000). The instrument was operated with a drift tube temperature of 80 °C and a drift tube pressure of 2.35 mbar. In H<sub>3</sub>O<sup>+</sup> mode, the drift tube voltage was set to
- <sup>10</sup> 520 V, resulting in an E/N of 120 Td (E, electric field strength; N, number density of air in the drift tube; unit, Townsend, Td;  $1 \text{ Td} = 10^{-17} \text{ V cm}^2$ ). PTR-TOF-MS spectra were collected at a time resolution of 10 s. Mass calibration was performed every 2 min with data acquisition using the Tof-Daq v1.91 software (Tofwerk AG, Switzerland).

A calibration system was used to establish the instrument sensitivities to VOCs. Gas standards (Scott Specialty Gases) were added into a humidified zero air flow at controlled flow rates. Every 3 h the inlet flow was switched to pass through a catalytic converter (platinum on glass wool heated to 350 °C) to remove VOCs and establish background intensities.

#### 2.5 Filter sampling methods and offline chemical analyses

- PM<sub>2.5</sub> samples were collected on pre-baked Tissuquartz<sup>™</sup> Filters (Pall Life Sciences, 8 × 10 in) with three high-volume PM<sub>2.5</sub> samplers (Tisch Environmental, Inc.). All high-volume PM<sub>2.5</sub> samplers were equipped with cyclones operated at 1 m<sup>3</sup> min<sup>-1</sup>. One high-volume sampler collected PM<sub>2.5</sub> for 23 h (08:00 to 07:00 the next day, LT), while the two remaining samplers collected PM<sub>2.5</sub> in two cycles. When the sampling sched-value ware douting (20:00, 10:00, LT) and mint the two remaining samplers collected PM<sub>2.5</sub> in two cycles. When the sampling sched-value ware douting (20:00, 10:00, LT) and mint the two remaining samplers collected PM<sub>2.5</sub> in two cycles. When the sampling sched-value ware douting (20:00, 10:00, LT) and mint the two remaining samplers collected PM<sub>2.5</sub> in two cycles. When the sampling sched-value ware douting (20:00, 10:00, LT) and mint the two remaining samplers collected PM<sub>2.5</sub> in two cycles. When the sampling sched-value ware douting (20:00, 10:00, LT) and mint the two remaining samplers collected PM<sub>2.5</sub> in two cycles. When the sampling sched-value ware douting (20:00, 10:00, LT) and mint the two remaining sched-value ware douting (20:00, 10:00, LT).
- <sup>25</sup> ules were daytime (08:00–19:00, LT) and nighttime (20:00–07:00, LT), the collection cycle and samples are defined as regular day-night sampling periods and samples. On selected days (10–12 June, 14–16 June, 29–30 June, and 9–16 July), when high levels of isoprene, sulfate ( $SO_4^{2-}$ ), and  $NO_x$  were predicted at the LRK site by FLEX-





PART and MOZART model simulations (see SI),  $PM_{2.5}$  were collected more frequently (08:00–11:00, 12:00–15:00, 16:00–19:00, and 20:00–07:00, LT) to capture the effects of anthropogenic pollution on isoprene SOA formation at higher time resolution by offline techniques. Such days are defined as intensive sampling periods and the samples

as intensive samples. Forty-seven 23 h integrated and two sets of 64 intensive and 59 day-night filter samples were collected over the six-week period of the campaign and stored at  $-20^{\circ}$ C until analysis. Field blanks were collected weekly by placing pre-baked quartz filters into the high-volume PM<sub>2.5</sub> samplers for 15 min and then removing and storing them under the same conditions as the field samples.

#### 10 2.5.1 Instrumentation

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Gas chromatography/electron ionization-mass spectrometry (GC/EI-MS) was performed on a Hewlett-Packard (HP) 5890 Series II Gas Chromatograph equipped with an Econo-Cap<sup>®</sup>-EC<sup>®</sup>-5 Capillary Column ( $30 \text{ m} \times 0.25 \text{ mm}$  ID;  $0.25 \mu \text{m}$  film thickness) coupled to an HP 5971A Mass Selective Detector. GC/EI-MS operating conditions and temperature program are provided in Surratt et al. (2010).

Ultra performance liquid chromatography/diode array detector-electrospray ionization high-resolution quadrupole time-of-flight mass spectrometry (UPLC/DAD-ESI-HR-QTOFMS) was performed on an Agilent 6500 series system equipped with a Waters Acquity UPLC HSS T3 column (2.1 × 100 mm, 1.8 µm particle size). UPLC/DAD-ESI-HR-QTOFMS operating conditions are described in Zhang et al. (2011).

#### 2.5.2 Isoprene-derived SOA tracer quantification

Detailed filter extraction procedures are provided in Lin et al. (2013a). Briefly, from each filter two 37 mm punches (one for analysis by GC/EI-MS and one for UPLC/DAD-ESI-HR-QTOFMS analysis) were extracted in separate pre-cleaned scintillation vials with

25 20 mL high-purity methanol (LC-MS Chromasolv-grade<sup>®</sup>, Sigma Aldrich) by sonication for 45 min. Filter extracts were then filtered through 0.2 μm syringe filters (Acrodisc<sup>®</sup>





PTFE membrane, Pall Life Sciences) to remove suspended filter fibers and insoluble particles, and then gently blown down to dryness under an  $N_2$  (g) stream at room temperature.

- The known IEPOX-derived SOA tracers, 2-methyltetrols (Claeys et al., 2004), C5-<sup>5</sup> alkene triols (Wang et al., 2005), *cis*- and *trans*-3-methyltetrahydrofuran-3,4-diols (3-MeTHF-3,4-diols) (Lin et al., 2013b), and IEPOX-derived dimers (Surratt et al., 2006), and the known MAE-derived SOA tracer, 2-methylglyceric acid (2-MG) (Edney et al., 2005), were identified by GC/EI-MS immediately following trimethylsilylation. Derivatization was performed by reaction with 100 µL of BSTFA + TMCS (99:1, v/v, Supelco) and 50 µL of pyridine (anhydrous, 99.8 %, Sigma Aldrich) at 70 °C for 1 h. 1 µL of 10 derivatized sample was directly analyzed. Base peak ions of the corresponding tracers, m/z 219 for 2-methyltetrols, m/z 231 for C<sub>E</sub>-alkene triols, m/z 262 for 3-MeTHF-3,4diols, m/z 335 for dimers, and m/z 219 for 2-MG, were quantified using authentic
- standards of 2-methyltetrols (50:50, v/v, 2-C-methylerythritol and 2-C-methylthreitol), cis- and trans-3-MeTHF-3,4-diols, and 2-MG. The C<sub>5</sub>-alkene triols and dimers were 15 quantified by the response factor obtained for the synthetic 2-methyltetrols. Synthetic procedures for *cis*- and *trans*-3-MeTHF-3,4-diols have been described previously by Zhang et al. (2012b). Synthesis of the tetrol mixture will be described in a forthcoming publication; the <sup>1</sup>H NMR trace (Fig. S8) shows a 1.2:1 2-C-methylerythritol and

2-C-methylthreitol of > 99% purity. 20

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Organosulfates, including the 2-methyltetrol sulfate esters ( $[C_5H_{11}O_7S]^-$ , m/z 215), IEPOX dimer sulfate esters ( $[C_{10}H_{21}O_{10}S]^-$ , m/z 333) (Surratt et al., 2008), and 2-MG sulfate ester ( $[C_4H_7O_7S]^-$ , m/z 199) (Lin et al., 2013b), were analyzed by UPLC/DAD-ESI-HR-QTOFMS. The UPLC/DAD-ESI-HR-QTOFMS was operated in both negative and positive ion modes; however, only the negative ion mode data is presented here since the positive ion mode data were recently described in Lin et al. (2014). Filter extract residues were reconstituted with 150  $\mu$ L of a 50:50 (v/v) solvent mixture of

methanol (LC-MS Chromasolv-grade, Sigma Aldrich) and laboratory Milli-g water and a 5 µL aliguot of each sample was eluted with solvent of the same composition. IEPOX-





derived sulfate esters (2-methyltetrol sulfate esters) were quantified using an authentic standard synthesized at UNC, while sodium propyl sulfate was used to quantify the remaining isoprene-derived organosulfates. The 2-methyltetrol sulfate ester standards were obtained and used as tetrabutylammonium salts. The synthetic procedure will

- <sup>5</sup> be described in a forthcoming publication. The <sup>1</sup>H NMR trace (Fig. S9) shows the purity of the sulfate ester mixture is > 99%. The response factor of the authentic sulfate ester standards from several analyses is a factor of  $2.25 \pm 0.13$  lower than that of sodium propyl sulfate used in previous field studies (Lin et al., 2013a), suggesting that the IEPOX organosulfates likely make a contribution to mass concentration higher by a factor of ~ 2.3 than previously estimated at field sites. Table 1 summarized data
- <sup>10</sup> by a factor of ~ 2.3 than previously estimated at field sites. Table 1 summarized data for isoprene-derived SOA tracers quantified from 123 filter samples using the above techniques.

### 2.5.3 Filter analysis of WSOC and OC constituents

For analysis of water-soluble organic compound (WSOC) concentrations, additional fil-

- ter punches (47 mm) were placed in pre-cleaned glass vials and extracted with 30 or 40 mL ultra pure water by sonication for 40 min at 1 kHz. Extracts were filtered through a syringe filter (0.45 μm, GE Heathcare UK Limited, UK) to remove insoluble particles. Samples were extracted batch-wise, with each batch containing 12–21 ambient samples, one lab blank, and one sample spiked with 1000 μg C L<sup>-1</sup>. Total organic carbon
- (TOC) was analyzed using a 5310 C TOC Analyzer and 900 Inorganic Carbon Remover (ICR). The instrument was calibrated by single-point calibration with 1000 μg C L<sup>-1</sup> of potassium hydrogen phthalate (KHP) and sodium carbonate. The calibration was verified with 1000 μg C L<sup>-1</sup> of sucrose, and checked daily with a 1000 μg C L<sup>-1</sup> of KHP standard. Standards and samples were run in triplicate; the first data point was rejected and the following two averaged.

Total OC and elemental carbon (EC) measurements from filter samples were conducted at the National Exposure Research Laboratory, U.S. Environmental Protection Agency, at Research Triangle Park, NC. A  $1.5 \,\mathrm{cm}^2$  punch was taken from each filter for





OC/EC analysis using the thermal-optical method (Birch and Cary, 1996) on a Sunset Laboratory (Tigard, OR) OC/EC instrument. Table S4 provides temperature and purge gas settings for the method. The instrument was calibrated internally using methane gas and the calibration was verified with sucrose solution at four mass concentrations.

### 5 2.6 Estimations of aerosol pH and IEPOX-derived SOA tracers

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The thermodynamic model, ISORROPIA-II (Fountoukis and Nenes, 2007; Nenes et al., 1999), is used to estimate aerosol pH. Inputs for the model include aerosol-phase sulfate, nitrate, and ammonium in  $\mu$ mol m<sup>-3</sup>, measured by the ACSM under ambient conditions; RH and temperature obtained from National Park Service (NPS); and gas-phase ammonia obtained from Ammonia Monitoring Network (AMoN; TN01/Great Smoky Mountains National Park – Look Rock). ISORROPIA-II predicted particle hydronium ion concentration per volume of air (H<sup>+</sup><sub>air</sub>,  $\mu$ gm<sup>-3</sup>), aerosol water (LWC,  $\mu$ gm<sup>-3</sup>), and aerosol aqueous phase mass concentration ( $\mu$ gm<sup>-3</sup>). Aerosol pH is calculated by the following equation:

<sup>15</sup> pH = 
$$-\log_{10}a_{H^+} = -\log_{10}\left(\frac{H^+_{air}}{LMASS} \times \rho_{aer} \times 1000\right)$$

where  $a_{H^+}$  is  $H^+$  activity in aqueous phase (mol L<sup>-1</sup>), LMASS is the total liquid-phase aerosol mass (µg m<sup>-3</sup>) and  $\rho_{aer}$  is aerosol density (g cm<sup>-3</sup>). The ability of ISORROPIA to capture pH, LWC and gas-to-particle partitioning of inorganic volatiles (e.g., NH<sub>3</sub>, HNO<sub>3</sub>, HCl) has been the focus of other studies (Fountoukis et al., 2009; Guo et al., 2014) and is not further discussed here.

IEPOX-derived SOA tracers are estimated using simpleGAMMA (Woo and McNeill, 2015). It is a reduced version of GAMMA (Gas Aerosol Model for Mechanism Analysis), the detailed photochemical box model of aqueous aerosol SOA (aqSOA) formation developed by McNeill and coworkers (McNeill et al., 2012). GAMMA and simpleGAMMA represent aqSOA formation in terms of bulk aqueous uptake followed by aqueous-



(4)



phase reaction (Schwartz, 1986). For this study, we utilized only the aqueous aerosol-phase chemistry of IEPOX to predict IEPOX-derived SOA constituents. We applied the Henry's law constant of 3 × 10<sup>7</sup> Matm<sup>-1</sup> for IEPOX partitioning based on measurements by Nguyen et al. (2014) on deliquesced NaCl particles. Estimation of 2-methyltetrols
 and IEPOX-derived organosulfate masses in the aqueous phase was based on the Eddingsaas et al. (2010) mechanism:

 $\mathsf{IEPOX}(\mathsf{aq}) \to (1 - \beta) \cdot 2\mathsf{methyltetrols} + \beta \cdot \mathsf{IEPOX}\mathsf{organosulfate}$ (5)

where  $\beta$  is a branching ratio between 2-methyltetrols and IEPOX-derived organosulfate concentration. We applied  $\beta = 0.4$  based on the observation of Eddingsaas et al. (2010) for the most concentrated bulk solution they studied. The rate constant for reaction (5) (*ka*) is a function of  $a_{H^+}$  and nucleophile concentrations (Eddingsaas et al., 2010), modified to include the possible protonation of IEPOX(aq) by ammonium (Nguyen et al., 2014):

$$ka = k_{H^+}a_{H^+} + k_{SO_4^{2-}} \left[ SO_4^{2-} \right] a_{H^+} + k_{HSO_4^{-}} \left[ HSO_4^{-} \right] + k_{NH_4^+} \left[ NH_4^+ \right]$$
(6)

<sup>15</sup> Here,  $k_{H^+} = 5 \times 10^{-2} \text{ s}^{-1}$ ,  $k_{SO_4^{2-}} = 2 \times 10^{-4} \text{ M}^{-1} \text{ s}^{-1}$ , and  $k_{HSO_4^{-}} = 7.3 \times 10^{-4} \text{ M}^{-1} \text{ s}^{-1}$ . The ammonium rate constant,  $k_{NH_4^+}$ , was calculated using GAMMA and the results of the chamber study of Nguyen et al. (2014) to be  $1.7 \times 10^{-5} \text{ M}^{-1} \text{ s}^{-1}$ .

IEPOX uptake and formation of 2-methyltetrols and IEPOX-derived organosulfate was computed using simpleGAMMA with inputs of SO<sub>4</sub><sup>2-</sup>, HSO<sub>4</sub><sup>-</sup>, NH<sub>4</sub><sup>+</sup>, LWC, *a*<sub>H</sub><sup>+</sup> con-<sup>20</sup> centrations (mol L<sup>-1</sup>), and aerosol pH estimated by ISORROPIA-II simulation of field conditions, ambient temperature and RH, aerosol surface area (cm<sup>2</sup> cm<sup>-3</sup>) obtained from SEMS-MCPC measurements, and IEPOX concentration (mol cm<sup>-3</sup>) from HR-ToF-CIMS (Sect. 2.4). Masses of SOA tracers formed over 12 h are compared with measurements in Sect. 3.4.2.



#### 3 Results and discussion

#### 3.1 Fine aerosol component mass concentrations

Chemical measurements of fine aerosol made by the ACSM and collocated instruments are presented in Fig. 1. The ACSM measured a campaign average  $7.6 \pm 4.7 \,\mu\text{gm}^{-3}$  of NR-PM<sub>1</sub>, which is predominantly organic aerosol (64.1%). Sulfate aerosol (24.3%) is the most dominant inorganic aerosol component, followed by ammonium (7.7%), nitrate (3.8%), and chloride (0.1%). The NR-PM<sub>1</sub> mass measured at the site shows strong association ( $r^2 = 0.89$ ) with the SEMS-MCPC PM<sub>1</sub> mass measurements (Figs. 1d and S1).

- <sup>10</sup> Moderate correlations, depicted in Fig. S10, were observed between ACSM OM and filter OC and WSOC ( $r^2 = 0.54, 0.39$ , respectively) as well as between filter OC and WSOC measurements ( $r^2 = 0.36$ ), suggesting that fractions of OM and OC at LRK site are water-soluble as previously observed (Turpin and Lim, 2001). This water-soluble fraction may be associated with high isoprene emissions in this area (Zhang et al.,
- <sup>15</sup> 2012a). Lewis et al. (2004) reported that 56–80 % of total carbon in PM<sub>2.5</sub> samples collected during summer in Nashville, TN, was non-fossil carbon, supporting the importance of biogenic SOA in the southeastern US during summer. It is potentially possible that some fraction of this non-fossil carbon is associated to biomass burning (Ke et al., 2007). A more recent study found that non-fossil carbon accounts for 50 % of
- <sup>20</sup> carbon at two urban sites and 70–100% of carbon at 10 near-urban or remote sites in the US (Schichtel et al., 2008). In summer 2001, the fraction of non-fossil carbon was reported to vary from 66–80% of total carbon at the LRK, TN site, suggesting the importance of photochemical oxidation of biogenic VOCs (Tanner et al., 2004). The slope of the linear regression analysis on Fig. S10a indicates an OM: OC ratio of 2.34 and
- <sup>25</sup> OM: WSOC ratio of 2.19. Using the Aiken et al. (2008) parameterization approach, we found an average  $(\pm 1 \sigma)$  OM: OC ratio of 2.14  $(\pm 0.18)$ . The LRK OM: OC ratios obtained from measurements and parameterization are consistent with a previous study at Look Rock (2.1) (Turpin and Lim, 2001), but higher than that those measured at





Centerville, AL (1.77) (Sun et al., 2011), probably ascribable to different atmospheric aerosol properties at the two sites.

Elemental analyses of ACSM unit-mass resolution data using the Aiken et al. (2008) parameterization results in an average O:C ratio of 0.77 ± 0.12. This is within 0.6–1 of O:C ratio previously observed in the southeastern US (Centerville, AL). (Sun et al., 2011; Xu et al., 2015).

ACSM sulfate aerosol measurements (average of  $1.85 \pm 1.23 \mu \text{gm}^{-3}$ ) agree well ( $r^2 = 0.67$ , slope 1.08) with the collocated sulfate measurements (Table S1), demonstrating that ACSM performed well when compared to existing air quality monitoring instruments as previously reported (Budisulistiorini et al., 2014). Low nitrate concentration is expected due to the high summer temperatures (15–31 °C) and low prevailing NO<sub>x</sub> concentrations (0.1–2 ppb) measured at the site. In the absence of a significant source of chloride, chloride concentrations were predictably low (0.01 ± 0.01  $\mu \text{gm}^{-3}$ ).

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On average, mass concentration of BC was  $0.23 \pm 0.14 \mu \text{gm}^{-3}$  or about 3 % of total PM<sub>2.5</sub> measured at the site. The low relative contribution was consistent during the campaign except on 11 to 12 July when there was a significant increase during few hours overnight. EC measured from filters was even lower at  $0.06 \mu \text{gm}^{-3}$  on average and was only weakly correlated ( $r^2 = 0.32$ ) with BC. Carbon monoxide (CO), another primary species measured at LRK, was also low (115.62 ± 24.06 ppb on aver-20 age) throughout the campaign. A previous study found that the level of primary species

- increased during mid-morning when the boundary layer height reached the site, and declined later in the day as a result of dilution (Tanner et al., 2005). In contrast, secondary species such as PM<sub>2.5</sub> and sulfate do not show significant diurnal variability, suggesting local meteorological conditions are less influential in determining concen-
- trations of the long-lived species (Tanner et al., 2005). The overall low concentration of primary emissions at the site (Fig. S11) is consistent with minimum local and/or regional primary emissions.





#### 3.2 Source apportionment of OA from the ACSM

PMF analysis was conducted on the ACSM OA mass spectral data in order to resolve factors (or source profiles) without a-priori assumptions. A 3 factor solution resolved from PMF analysis, as shown in Figs. 2 and 3, was selected as the best-fit (see SI for

- <sup>5</sup> details of  $Q/Q_{exp}$ , fpeak, etc.), comprised of the known LV-OOA factor (Jimenez et al., 2009; Ulbrich et al., 2009), an IEPOX-OA factor (Budisulistiorini et al., 2013; Slowik et al., 2011; Robinson et al., 2011), and a factor similar to 91Fac, a factor previously observed in areas dominated by biogenic emissions (Robinson et al., 2011; Slowik et al., 2011; Chen et al., 2014).
- <sup>10</sup> The IEPOX-OA factor resolved from our dataset is more closely correlated to sulfate measured by the ACSM ( $r^2 = 0.58$ ) than by the collocated instrument ( $r^2 = 0.31$ ) (Table S5). Correlation of gaseous IEPOX measured by HR-ToF-CIMS with the IEPOX-OA factor is low ( $r^2 = 0.24$ ), which may be a consequence of IEPOX uptake onto sulfate aerosol upwind of the sampling site, since IEPOX has an estimated lifetime of
- <sup>15</sup> 5h in the presence of aqueous, highly acidic aerosol (pH  $\leq$  1) (Gaston et al., 2014). Importantly, the IEPOX-OA factor correlates strongly with 2-methyltetrols ( $r^2 = 0.80$ ), IEPOX-derived organosulfate ( $r^2 = 0.81$ ), C<sub>5</sub>-alkene triols ( $r^2 = 0.75$ ), and dimers of organosulfate ( $r^2 = 0.73$ ) (Table 2), giving an overall  $r^2$  of 0.83 with sum of IEPOX-derived SOA tracers measured by offline techniques. The high correlation provides
- strong evidence that IEPOX chemistry gives rise to the PMF factor we have designated as the IEPOX-OA factor. The contribution of this factor to total OM is 32%, which is strikingly consistent with the contribution of the factor designated as the IEPOX-OA factor in the PMF analysis of fine organic aerosol collected in downtown Atlanta, GA (Budisulistiorini et al., 2013) and across other sites in this region (Xu et al., 2015). Lo-
- cated on a ridge top above the morning valley fog, LRK receives air masses from the valley as the boundary layer rises during the day (Tanner et al., 2005). As a consequence, IEPOX-derived SOA from surrounding forested and isoprene-rich areas were likely continuously oxidized during transport to the sampling site. Transport from dis-





tant origins may also explain the lack of significant diurnal variation (Fig. 3) of the IEPOX-OA factor at LRK in contrast to the behavior of the IEPOX-OA factor observed in Atlanta (Budisulistiorini et al., 2013). Despite the strong diurnal profile of isoprene at LRK site, diurnal variations of the gas-phase products of isoprene photooxidation

- <sup>5</sup> (i.e., MVK + MACR, IEPOX and MAE) were small during this campaign (Fig. 4). The small diurnal variation of IEPOX might explain the small diurnal variation of the IEPOX-OA factor. WSOC shows fair correlation with some IEPOX-OA tracers ( $r^2 = 0.3-0.4$ ; Table S6) and IEPOX-OA factor ( $r^2 = 0.37$ ; Table S5) the nature of which will be discussed in more detail below.
- <sup>10</sup> The LV-OOA factor contributes 50 % of OM (Fig. 3). The average  $f_{44} = 0.22$  is comparable to that of the standard LV-OOA profile (Ng et al., 2011), suggesting it is an oxidized (aged) aerosol. The LV-OOA correlated well with nitrate ( $r^2 = 0.62$ ) but more weakly with sulfate ( $r^2 = 0.39$ ) (Table S5). Correlation with nitrate as well as the high level of oxidation is consistent with the suggestion above that a fraction of OA originates in the valley. In the valley, OA formation would be influenced by nitrate chemistry or anthropogenic emissions and age during transport to the LRK site.

The 91Fac factor is characterized by a distinct ion at m/z 91. At LRK, the average  $f_{44}$  of 91Fac is 0.12, between the values 0.05 and 0.16 reported for standard SV-OOA and LV-OOA profiles, respectively (Ng et al., 2011), indicating that it is likely an oxygenated OA. The LRK 91Fac makes the smallest contribution to OM (18%) of the three

- <sup>20</sup> genated OA. The LRK 91Fac makes the smallest contribution to OM (18%) of the three factors resolved by PMF analysis. The 91Fac diurnal pattern shows slight increases during noon and night, suggesting that this factor might be affected by both photochemistry and nighttime chemistry. The source of 91Fac is currently a matter of speculation. Aged biomass burning aerosol (Robinson et al., 2011) has been suggested
- <sup>25</sup> because of the similarity of the profile to that of biomass burning aerosols, except for absence of prominent ions at m/z 60 and 73 expected from levoglucosan (Alfarra et al., 2007). A more recent study proposed that fresh BVOC (i.e., monoterpene) oxidation products are a possible source based on chamber experiments (Chen et al., 2014). At LRK, 91Fac correlates moderately well with a monoterpene-derived organosulfate





( $C_{10}H_{16}O_7S$ ,  $r^2 = 0.52$ ; Fig. 5) and terpenylic acid ( $C_8H_{12}NO_4$ ,  $r^2 = 0.36$ ), but weakly with a monoterpene-derived nitrated organosulfate ( $C_9H_5NO_8S$ ,  $r^2 = 0.15$ ). Good correlation between LRK 91Fac and aerosol nitrate ( $r^2 = 0.55$ ) and weak association ( $r^2 < 0.2$ ) with NO<sub>x</sub>, NO<sub>y</sub>, and CO (Table S5) are indicative of an aged aerosol that may be associated with nitrate radical chemistry or as yet unidentified pathways. Therefore, potential association of 91Fac with monoterpene SOA chemistry or aged biomass burning requires further investigation and will be the focus of future studies.

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A source apportionment study of organic compounds in PM<sub>2.5</sub> at LRK during August 2002 using the chemical mass balance (CMB) model evaluated contributions by eight primary sources, chosen as representing the major contributors to fine primary OC in the southeast US. Primary sources, consisting largely of wood burning, were estimated to contribute ~ 14% of the total OC at LRK (Ke et al., 2007). <sup>14</sup>C Analysis of the LRK PM<sub>2.5</sub> in the same study showed that during summer, ~ 84% of the OC was non-fossil carbon (Ke et al., 2007). By contrast, our current study resolved no POA <sup>15</sup> by PMF analysis. However, in subsequent studies, we will investigate the influence of POA at LRK by examining the <sup>14</sup>C data from filter samples.

#### 3.3 Identification and quantification of isoprene-derived SOA tracers

2-Methylglyceric acid, 2-methyltetrols, C<sub>5</sub>-alkene triols and IEPOX-derived organosulfates were detected in most filter samples (Table 1). Among all observed SOA tracers,
2-C-methylerythritol and 2-methylbut-3-ene-1,2,4-triol were the most abundant species identified by GC/EI-MS, contributing 26.3% (120.7 ngm<sup>-3</sup> on average) and 21.5% (98.8 ngm<sup>-3</sup> on average), respectively, of total quantified mass, while isomeric IEPOX-derived organosulfates accounted for 30.3% (139.2 ngm<sup>-3</sup> on average) of the mass detected by UPLC/DAD-ESI-HR-QTOFMS. Concentrations of the isomeric 3-MeTHF3,4-diols were lower (≤ 18.8 ngm<sup>-3</sup>), often at or below detection limits. Gaseous IEPOX was on average 1 ppb (maximum 5.8 ppb) significantly higher than gaseous MAE at 2.8 × 10<sup>-3</sup> ppb on average (maximum 0.02 ppb). This explains the abundant of IEPOX-





derived SOA tracers compared to MAE-derived tracers. It should be noted that IEPOX quantified here includes the interference of ISOPOOH on its signal; however, the overall measured IEPOX signal is still substantially higher than the MAE signal, even if we assume IEPOX only contributes to 1-10% of the m/z 177 intensity.

In sum, IEPOX- and MAE-derived tracers contributed 96.8 and 8.8%, respectively, of total isoprene-derived SOA mass. This observation is consistent with a previous field study in Yorkville, GA, which reported the summed IEPOX-derived SOA tracers comprised 97.5% of the quantified isoprene-derived SOA mass (Lin et al., 2013a). Total IEPOX-derived tracers masses were on average 24.6% (maximum 46.8%) of the IEPOX-OA factor mass. This is consistent with a recent laboratory study of isoprene photooxidation under high HO<sub>2</sub> conditions that suggested IEPOX isomers contributed

about 50 % of SOA mass formed (Liu et al., 2014).

Masses of IEPOX- and MAE-derived SOA tracers were fairly correlated ( $r^2 = 0.36$  and 0.29, respectively) with WSOC (Fig. S10c). Around 24 % of the WSOC mass might

<sup>15</sup> be explained by IEPOX-derived SOA tracer masses, which consist predominantly of 2methyltetrols, C<sub>5</sub>-alkene triols, and IEPOX-derived organosulfates. The tetrols and triols are hydrophilic compounds owing to the OH groups, and the organosulfates are ionic polar compounds (Gómez-González et al., 2008).

An interesting and potentially important observation is that oligomeric IEPOX-derived humic-like substances (HULIS) have been reported in both reactive uptake experiments onto acidified sulfate seed aerosol and ambient fine aerosol (Lin et al., 2014). The HULIS is a mixture of hydroxylated, sulfated as well as highly unsaturated, lightabsorbing components which may partition between WSOC and water insoluble organic carbon (WISC) fractions (Lin et al., 2014). This finding might also in part explain the moderate correlation between WSOC and the IEPOX-OA factor. However, HULIS

has not been quantified here due to the lack of authentic standards, but will likely help to close the IEPOX-OA mass budget once appropriate standards are developed and applied. As quantified by ACSM, summed isoprene-derived SOA tracers on average accounted for  $0.5 \,\mu g m^{-3}$  or  $9.1 \,\%$  (up to  $4.1 \,\mu g m^{-3}$  or  $26.4 \,\%$ ) of the average organic





aerosol mass of 5.1  $\mu$ gm<sup>-3</sup> (maximum 15.3  $\mu$ gm<sup>-3</sup>) during the campaign. This contribution is somewhat lower than reported at a different rural site in the southeast US (13.6–19.4%) (Lin et al., 2013a) but higher than reported at a forested site in central Europe (6.8%) (Kourtchev et al., 2009) and a rural site in south China (1.6%) (Ding 5 et al., 2012). However, it should be noted that in all previous studies 2-methyltetrols and

- $C_5$ -alkene triols were quantified by surrogate standards structurally unrelated to the target analytes. In contrast to the current study, authentic 2-methyltetrols were used as standards for quantification of the 2-methyltetrols and structurally related C<sub>5</sub>-alkene triols, and 2-methyltetrol sulfate esters as a standard for IEPOX-derived organosulfates.
- The use of structurally unrelated surrogate standards may account in part for discrep-10 ancies between this and previous studies in which total isoprene SOA mass may have been underestimated as a result of higher instrument response to surrogates and/or lower recovery in sample preparation. These possibilities warrant further investigation using the same analytical protocols and comparison of instrumental responses to au-
- thentic and surrogate standards. 15

#### 3.4 Influence of anthropogenic emissions on isoprene-derived SOA formation at Look Rock

#### 3.4.1 Effects of aerosol acidity and nitrogen-containing species

The time series of aerosol pH estimated by ISORROPIA-II overlaid on the time series of the IEPOX-OA factor and IEPOX- and MAE-derived SOA tracers (Fig. 6a, Tables S5 20 and S6) suggests that local aerosol acidity is not correlated with these measured variables. The correlation coefficients of the IEPOX-OA factor with ISORROPIA-II estimated pH and LWC bears out this conclusion ( $r^2 \sim 0$ ; Table S5). These results are consistent with recent measurements reported by Xu et al. (2015) across several sites

in the southeastern US. Aerosol acidity can be expected to change during transport 25 and aging. Further complicating factors may be neutralization of the aerosol as IEPOX is taken up by heterogeneous reaction and slowing of uptake kinetics as the aerosol





2015) and weak correlation between  $NO_y$  and 2-MG is consistent with this hypothesis. Interestingly, the correlation of the high- $NO_x$  isoprene SOA tracers (2-MG and its corre-

surface is coated with a hydrophobic organic layer (Gaston et al., 2014). Interpretation

of the apparent lack of relationship between SOA and local aerosol acidity suggests

that aerosol acidity is likely not the limiting factor in isoprene SOA formation at this site,

especially since aerosol was consistently acidic during SOAS. The IEPOX-OA factor is moderately correlated with aerosol sulfate measured by ACSM ( $r^2 = 0.58$ ), while IEPOX- and MAE-derived SOA tracers are less correlated ( $r^2 \sim 0.4$ ) (Fig. 6b). Correla-

tion between sulfate and IEPOX-OA factor is consistent with recent measurements by

Xu et al. (2015), and suggests the need for aerosol surface area due to acidic sulfate

Correlation of IEPOX-OA and isoprene-derived SOA tracers with  $NO_x$ ,  $NO_v$ , and

reservoir species (NO<sub>z</sub> = NO<sub>v</sub> – NO<sub>x</sub>) was also examined. None of the nitrogen species

showed significant association with either the IEPOX-OA factor ( $r^2 < 0.1$ ; Table S5) or the IEPOX-derived SOA tracers ( $r^2 < 0.3$ ; Table S5). Absence of correlations suggest that: (1) the formation of isoprene SOA primarily through the low-NO pathway of iso-

prene photooxidation (Paulot et al., 2009; Surratt et al., 2010), (2) the isoprene oxi-

dation did not happen locally, and (3) the gas-phase isoprene oxidation is not yet fully

understood. Correlation plot of NO<sub>v</sub>, a measure of total reactive nitrogen species includ-

ing MPAN, with summed MAE tracers is shown in Fig. 6c and correlation values of NO<sub>x</sub>

with individual compounds are given in Table S6. MPAN could be carried from the valley with air parcels under the influence of anthropogenic emissions. NO<sub>x</sub>, a precursor

of SOA via oxidation of isoprene under high-NO<sub>x</sub> conditions (Surratt et al., 2010; Chan

et al., 2010; Nguyen et al., 2015), showed moderate correlation with 2-MG ( $r^2 = 0.35$ ). Besides being derived solely from the hydrolysis of MAE, 2-MG is also proposed to

be the hydrolysis product of hydroxymethyl-methyl- $\alpha$ -lactone (HMML) (Nguyen et al.,

for these heterogeneous reactions to occur leading to IEPOX-OA formation.

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the summed MAE tracers nor 2-MG correlated with NO<sub>x</sub>, is consistent with the hypothesis that MAE is formed upwind and transported to the sampling site. Furthermore, it suggests that likely both HMML and MAE could be sources of these tracers.

In addition to the pattern of daily up-slope transport of air from the valley, air mass back-trajectory during high IEPOX-derived SOA episodes (Fig. S12) indicated that air masses also originated west of LRK, in the direction of the urban areas of Knoxville and Nashville, TN. Yet further west of the LRK site are the Missouri Ozarks, a large source of isoprene emissions (referred to as the "isoprene volcano") (Guenther et al., 2006). During summer, isoprene emitted in the Ozarks could mix with anthropogenic

emissions from Knoxville and Nashville, undergoing atmospheric processing during transport. As a consequence, long distance transport and accompanying oxidative processing may make a contribution to the IEPOX SOA load at LRK. During low IEPOXderived SOA periods (Fig. S13) air masses originated predominantly from the south and southwest, which are densely forested, rural areas.

## **3.4.2** Box modeling supports the impact of aqueous acidic aerosol on IEPOX-derived SOA

The IEPOX-derived SOA tracers (2-methyltetrols and IEPOX-derived organosulfate) predicted using simpleGAMMA, taking the locally measured IEPOX and aerosol parameters as inputs, show good correlation ( $r^2 = 0.5-0.7$ ) with the tracers quantified from filter samples (Table 3, Fig. S14). Slopes of the scatterplots show that the model overestimated the 2-methyltetrols and IEPOX-derived organosulfates by factors of 9.6 and 11.7, respectively. simpleGAMMA calculates Henry's Law gas-aqueous equilibration at each time step and decouples the subsequent aqueous-phase chemistry of IEPOX from dissolution (McNeill et al., 2012). In this study, we assumed an effective Henry's law constant,  $H^*$ , of  $3 \times 10^7$  Matm<sup>-1</sup> for IEPOX, following the recent laboratory measurements of Nguyen et al. (2014), whereas previous studies assumed values which ranged one order of magnitude higher ( $1.3 \times 10^8$  Matm<sup>-1</sup>, Eddingsaas et al., 2010) or lower ( $2.7 \times 10^6$  Matm<sup>-1</sup>, Pye et al., 2013). Replacing the  $H^*$  with that





of Pye et al. (2013), the model underestimated the 2-methyltetrols and IEPOX-derived organosulfates by 31 and 1%, respectively. Decreasing the  $H^*$  by one order of magnitude yielded a factor of ~ 10 decrease in the in predicted IEPOX SOA tracers mass, which is consistent with Pye et al. (2013) observation in sensitivity studies that a factor

- <sup>5</sup> of 7 increase in  $H^*$  yielded a factor of ~ 5 increase in predicted IEPOX SOA yield. Similarly, summed masses of the modeled SOA tracers (Fig. 7) yielded a 115 % ( $r^2 = 0.57$ ) overestimate of the IEPOX-OA factor, whereas summed SOA tracers modeled by assuming  $H^*$  of one order of magnitude lower yielded an 83 % underestimate of the IEPOX-OA factor ( $r^2 = 0.56$ ). simpleGAMMA predicts only subset of IEPOX-derived SOA tracers, thus underestimation of the predicted tracers to IEPOX-OA factor is ex-
- pected.

In addition to the uncertainty in the  $H^*$  parameter, several other factors may also contribute to mass disagreement between the tracer estimated by simpleGAMMA and the field data. The box model simulations took locally measured IEPOX and aerosol

- <sup>15</sup> parameters as inputs, and simulated 12 h of reactive processing, rather than simulating uptake, reaction, and transport along a trajectory initiating in the valley. The locally measured IEPOX signal is noted above to have interference from ISOPOOH, thus the model outputs likely overestimate the measurements. Additionally, C<sub>5</sub>-alkene triols, the third largest contributor to the IEPOX-derived SOA tracers, and oligomeric HULIS are
- not included in the simpleGAMMA model estimation. Neglect of the C<sub>5</sub>-alkene triols and oligomers as well as yet unknown IEPOX-derived SOA formation pathways by this model could contribute to inaccuracy in estimation of the mass contribution of 2methyltetrols and IEPOX-derived organosulfates to the total amount of IEPOX-derived SOA tracers and reduce the correlation. Finally, oxidative aging of IEPOX SOA trac-
- ers is not included in simpleGAMMA at this time due to current lack of availability of kinetic and mechanistic data. Overall, although mass disagreement persists, good correlation between model and field measurements of tracers suggest that the uptake mechanism of IEPOX is consistent with acid-catalyzed mechanism proposed from ki-





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netic (Eddingsaas et al., 2010; Pye et al., 2013) and laboratory studies (Lin et al., 2012; Nguyen et al., 2014).

#### 4 Conclusions

- Offline chemical analysis of PM<sub>2.5</sub> samples collected from LRK, TN, during the 2013 SOAS campaign show a substantial contribution by IEPOX-derived SOA tracers to 5 the total OA mass (~9% on average, up to 26%). A larger contribution (32%) to total OA mass is estimated by PMF analysis of the real-time ACSM OA mass spectrometric data. Overall, the importance of IEPOX heterogeneous chemistry in this region is clearly demonstrable. No association was observed between the gas-phase constituents NO and NO<sub>2</sub> and the IEPOX-derived SOA tracers or the IEPOX-OA factor 10 suggesting that IEPOX-derived SOA formed upwind or distant from the sampling site. Moderate association between NOv and MAE-/HMML-derived SOA tracers was observed, consistent with the proposed involvement of oxidizing nitrogen compounds in MAE-/HMML-derived SOA formation (Lin et al., 2013b; Nguyen et al., 2015). Particlephase sulfate is fairly correlated ( $r^2 = 0.3-0.4$ ) with both MAE-/HMML- and IEPOX-15 derived SOA tracers, and more strongly correlated ( $r^2 \sim 0.6$ ) with the IEPOX-OA factor, overall suggesting that sulfate plays an important role in isoprene SOA formation. However, this association requires further analysis, in light of the proposed formation of IEPOX-derived SOA during transport to LRK from an upwind or down-slope
- origin. Several explanations may be proposed for the lack of a strong association between isoprene-derived SOA mass and particle acidity: (1) isoprene-derived SOA is not strongly limited by levels of predicted aerosol acidity and LWC even though these are in the favored ranges (pH < 2) to promote sufficient SOA production based on recent laboratory kinetic studies (Gaston et al., 2014; Riedel et al., 2015) and thus, other potentially and the second tential the second data and the second data an
- tentially unknown controlling factors in this region might need to be considered; (2) no strong correlation exists between SOA mass and local aerosol acidity which estimation is challenging due to changes in particle composition and characteristics during reac-





tive uptake and (3) several key inter-related variables (LWC, aerosol surface area and aerosol acidity) control SOA yield and thus the correlation of aerosol acidity and SOA yield will be difficult to deconvolute from complex field data until modeling can better constrain these effects. Consistent with the suggestion that IEPOX-derived SOA forms

- <sup>5</sup> during transport from distant locations, air mass back-trajectory indicated that westerly flow from potential sources of oxidation products where biogenic and anthropogenic emissions can mix, are likely related to episodes of high levels of IEPOX-derived SOA measured at LRK. In contrast, when air masses originated mainly from forested and rural areas to the south and southeast of the site, high levels of IEPOX-derived SOA
- <sup>10</sup> mass were not observed. Good correlation between SOA model outputs and field measurements suggests that gaps remain in our knowledge of isoprene-derived SOA formation. Laboratory studies are needed to reduce the uncertainty in the effective Henry's Law constant, *H*<sup>\*</sup>, for IEPOX. Additional studies are needed to further quantify the condensed-phase mechanism and kinetics of SOA formation via the IEPOX
- pathway so that it may be represented in more detail in models. Notwithstanding, initial modeling results allow critical insight into how more explicit treatment of the reactions between anthropogenic pollutants and isoprene oxidation products may be incorporated into models of SOA formation. Importantly, by inclusion of explicit IEPOX- and MAE-derived SOA formation pathways in a model, Pye et al. (2013) recently demon-
- strated that by lowering  $SO_x$  emissions in the eastern US by 25% could lower IEPOXand MAE-derived SOA formation 35 to 40%. Future studies should attempt to improve model predictions of IEPOX-derived SOA formation and systematically examine effects of implementing stricter  $SO_x$  controls in this region.

# The Supplement related to this article is available online at doi:10.5194/acpd-15-7365-2015-supplement.

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Acknowledgements. This work was funded by the U.S. Environmental Protection Agency (EPA) through grant number 835404. The contents of this publication are solely the responsibility of





the authors and do not necessarily represent the official views of the U.S. EPA. Further, the U.S. EPA does not endorse the purchase of any commercial products or services mentioned in the publication. The U.S. EPA through its Office of Research and Development collaborated in the research described here. It has been subjected to Agency review and approved for publication,

- <sup>5</sup> but may not necessarily reflect official Agency policy. The author would also like to thank the Electric Power Research Institute (EPRI) for their support. This study was supported in part by the National Oceanic and Atmospheric Administration (NOAA) Climate Program Office's AC4 program, award #NA13OAR4310064. We thank Bill Hicks of the Tennessee Valley Authority (TVA) for his assistance in collecting the collocated monitoring data at the LRK site. S. H. Bud-
- isulistiorini was supported by a Fulbright Presidential Fellowship (2010–2013) for attending the University of North Carolina at Chapel Hill and the UNC Graduate School Off-Campus Dissertation Research Fellowship, as well as partial appointment to the Internship/Research Participation Program at the Office of Research and Development, U.S. EPA, administered by the Oak Ridge Institute for Science and Education through an interagency agreement between the U.S.
- <sup>15</sup> Department of Energy and EPA. M. Neff and E. A. Stone were supported by US EPA Science to Achieve Results (STAR) program grant number 835401. The authors thank Lynn Russell, Timothy Bertram and Christopher Cappa as well as their respective groups for their collaboration during the SOAS campaign at LRK. The authors thank Louisa Emmons for her assistance with forecasts made available during the SOAS campaign. The authors thank John Offenberg
- for providing access to Sunset OC/EC analysis instrument. The authors also thank Tianqu Cui for his assistance in helping deploy instrumentation from the UNC group, and Wendy Marth and Theran Riedel for their assistance in helping calibrate the HR-ToF-CIMS. We would like to thank Annmarie Carlton, Joost deGouw, Jose Jimenez, and Allen Goldstein for helping to organize the SOAS campaign and coordinating communication between ground sites.

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**Table 1.** Summary of isoprene-derived SOA tracers measured by GC/EI-MS and UPLC/DAD-ESI-HR-QTOFMS.

SOA Tracers	Retention Time (min)	# of Samples Detected <sup>a</sup>	Concentration (ng m <sup>-3</sup> )		Average % among detected tracers
			Maximum	Mean	
Tracers by GC/EI-MS					
trans-3-MeTHF-3,4-diol	20.5	55	18.8	2.7	0.6%
<i>cis</i> -3-MeTHF-3,4-diol	21.1	29	5.7	1.7	0.4%
2-methylglyceric acid	23.4	119	36.7	7.5	1.6%
2-methylthreitol	32.9	122	329.8	42.4	9.2%
2-methylerythritol	33.7	122	1269.7	120.7	26.3%
(Z)-2-methylbut-3-ene- 1,2,4-triol	25.6	121	260.0	29.1	6.1%
2-methylbut-3-ene-1,2,3- triol	26.6	118	162.5	16.5	3.6%
(E)-2-methylbut-3-ene- 1,2,4-triol	26.9	122	1127.0	98.8	21.5%
Tracers by UPLC/DAD-ES	I-HR-QTOFI	<b>MS tracers</b>			
IEPOX-derived organosulfates	1.1–1.7	122	835.3	139.2	30.3%
IEPOX-derived dimer organosulfate	2.8	103	10.3	1.1	0.2%
MAE-derived organosul- fate	1.1	114	57.3	8.2	1.8%

<sup>a</sup> Total number of samples is 123.





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**Table 2.** Correlation  $(r^2)$  of PMF Factors with isoprene-derived SOA tracers measured by GC/EI-MS and UPLC/DAD-ESI-HR-QTOFMS.

SOA Tracers	IEPOX-OA	LV-OOA	91Fac
3-methyltetrahydrofuran-3,4-diols	0.12	0.13	0.24
2-methyltetrols	0.80	0.20	0.38
C <sub>5</sub> -alkene triols	0.75	0.19	0.44
2-methylglyceric acids	0.38	0.44	0.44
IEPOX-derived organosulfates	0.81	0.32	0.42
IEPOX-derived dimer organosulfate	0.73	0.11	0.34
MAE-derived organosulfate	0.45	0.47	0.51

Table 3. Correlation (r <sup>2</sup> ) of modeled SOA tracers with measurement	ts.
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$H^*$ (Matm <sup>-1</sup> )	2-met	hyltetrols	IEPO	X organosulfates
	r <sup>2</sup>	Slope	r <sup>2</sup>	Slope
$3.0 \times 10^{7a}$	0.45	$9.61 \pm 0.91$	0.66	$11.70 \pm 0.81$
2.7 × 10 <sup>6b</sup>	0.44	$0.69 \pm 0.06$	0.66	$0.99 \pm 0.07$

<sup>a</sup> Nguyen et al. (2014).

<sup>b</sup> Pye et al. (2013).





**Figure 1.** Time series mass concentration of **(a)** organic and **(b)** inorganics (excluding chloride) measured by ACSM, **(c)** black carbon (BC) measured by Aethalometer, and **(d)** NR-PM<sub>1</sub> and PM<sub>1</sub> mass concentrations measured by ACSM and SEMS-MCPC. Collocated sulfate aerosol measured by Thermo Scientific Sulfate Analyzer was plotted on **(b)**. OC (bars) and WSOC (dots), both in unit of  $\mu$ gCm<sup>-3</sup>, measured from filter samples were plotted on **(a)** with ACSM organic. EC (bars; in unit of  $\mu$ gCm<sup>-3</sup>) measured from filter samples were plotted on **(c)** along with BC measurements.











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**Figure 3.** Left panel shows the PMF 3 factor solution time series mass contributions measured by ACSM. Top to bottom: left ordinate, IEPOX-OA (black), LV-OOA (red), and 91Fac (green); right ordinate, sulfate (orange) and nitrate (blue). Right panel shows average mass contributions (top) and diurnal variation (bottom) of factors resolved by PMF.







**Figure 4.** Diurnal variation of isoprene (left ordinate) as well as isoprene gaseous 3 photooxidation products (right ordinates), i.e., MVK + MACR, IEPOX and MAE, measured at LRK site. It should be noted that IEPOX signal includes interference of ISOPOOH at unknown ratio, thus its mixing ratio represents an upper limit.













**Figure 6. (a)** Time series of IEPOX-OA factor (black bars; darker bars are intensive filter sampling periods), sum of IEPOX-derived (pink circle) and MAE-derived (yellow square) SOA tracers, aerosol pH (purple cross) and LWC (blue triangle) estimated by ISORROPIA-II model. Campaign average pH and LWC are  $1.78 \pm 0.53$  and  $38.71 \pm 7.43 \text{ mol L}^{-1}$ , respectively. Correlation plots between IEPOX-OA, summed of IEPOX- and MAE-derived SOA tracers and **(b)** sulfate measurements by ACSM and **(c)** NO<sub>v</sub> measurements from NPS.









Full Screen / Esc

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