

## Anonymous Referee #1

This manuscript reports the measurement of 13 SOA tracers from both biogenic and anthropogenic precursors in the particulate samples collected at Nam Co. The seasonal variations of isoprene SOA, monoterpene SOA and aromatic SOA tracers at Nam Co were interpreted by the temperature effect on the precursor emission and gas/particle partitioning. Source apportionment was carried by using the SOA-tracer method and the backward trajectory analysis. This is a well written paper and could be accepted by ACP if the following issues were addressed.

1. The temperature change could have two opposite effects on the SOA production. Decreasing temperature could reduce the precursor emission but enhance the gas to particle partitioning. The interpretations of the seasonal variation of SOA levels are quite confusing. It would be much better to develop a simple model to quantitatively or semi-quantitatively evaluate the temperature effect here and reveal which process (emission or partitioning) is dominant.

Reply: We appreciate the suggestion. In the revised manuscript, we used the two-product model to estimate the temperature effect on partitioning. SOA yield ( $Y$ ) of precursors could be expressed using an empirical relationship based on gas-particle partitioning of two semi-volatile products (Odum et al., 1996):

$$Y = M_0 \sum_i^2 \frac{\alpha_i K_i}{1 + M_0 K_i}$$

where  $M_0$  ( $\mu\text{g m}^{-3}$ ) is the total concentration of absorbing organic material;  $\alpha_i$  is the mass stoichiometric coefficient of the product  $i$ ;  $K_i$  ( $\text{m}^3 \mu\text{g}^{-1}$ ) is the temperature-dependent partitioning coefficient of the semi-volatile compound  $i$ . Assuming a constant activity coefficient and mean molecular weight, partitioning coefficient,  $K_i(T)$  at a certain temperature ( $T$ ) could be estimated (Sheehan and Bowman, 2001):

$$K_i(T) = K_i^* \frac{T}{T^*} \exp \left[ \frac{H_i}{R} \left( \frac{1}{T} - \frac{1}{T^*} \right) \right]$$

Where  $K_i^*$  is an experimentally determined partitioning coefficient at a reference temperature,  $T^*$ ;  $H_i$  is the vaporization enthalpy;  $R$  is the gas constant. To model the temperature-dependent absorptive partitioning, three parameters,  $\alpha_i$ ,  $K_i$ , and  $H_i$ , are required for each condensable product.

Table 1 lists all the parameters for the two-product model of  $\alpha$ -pinene SOA which were also used to estimate the temperature effect on SOA partitioning by Sheehan and Bowman (2001). The available data of OC at the NC site were reported in the range of 1.18 to 2.26  $\mu\text{gC m}^{-3}$  during July 2006 to January 2007 with an average of 1.66  $\mu\text{gC m}^{-3}$  (Ming et al., 2010). Thus,  $M_0$  is calculated as 2.32  $\mu\text{g m}^{-3}$  by the average OC multiplying 1.4.

Table 1 Two-product model parameters for  $\alpha$ -pinene SOA

$\alpha_1$	0.038
$\alpha_2$	0.326
$K_1^*$ (mg/ $\mu\text{g}$ )	0.171

$K_2^*$ (mg/ $\mu$ g)	0.004
$T^*$ (K)	308
$H_1=H_2$ (kcal/mol)	17.5
R (J/K mol)	8.314

Monoterpene emission rate is solely dependent on temperature. The activity factor ( $\gamma_T$ ) is expressed as (Guenther et al., 1993):

$$\gamma_T = \exp^{\beta(T-T_s)}$$

Figure 1 shows the temperature dependence of  $\alpha$ -pinene emission rate ( $\gamma_T$ ) and SOA yield within the temperature range at the NC site. Obviously, decreasing temperature could reduce the precursor emission but enhance the gas to particle partitioning and SOA yield.

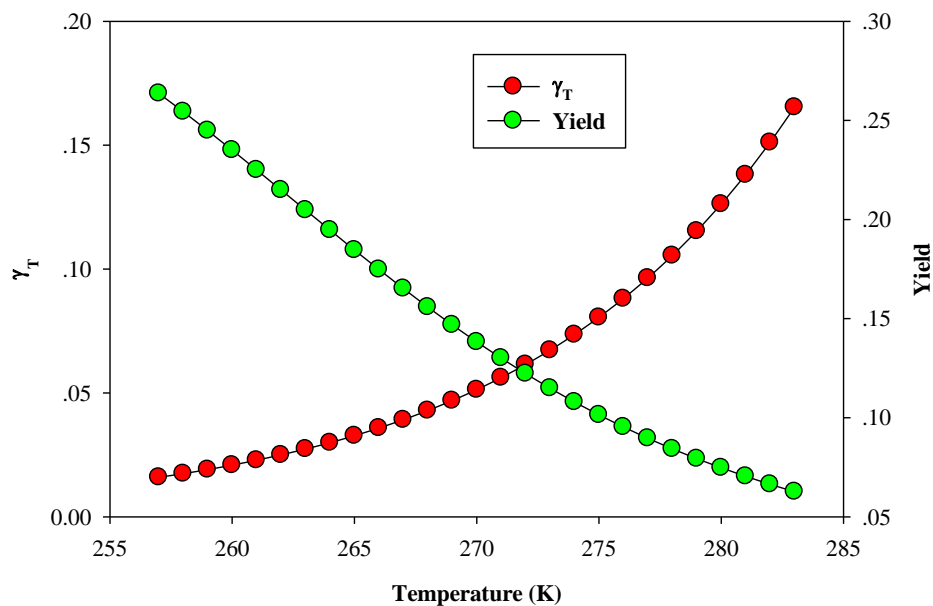


Figure 1 Temperature dependence of  $\alpha$ -pinene emission rate ( $\gamma_T$ ) and SOA yield

From July to November 2012 (period 1), high values of SOA<sub>M</sub> tracers and SOA yield existed under low temperature, and SOA<sub>M</sub> tracers were positively correlated with SOA yield ( $r=0.647$ ,  $p<0.05$ , Figure 2a). These suggested that the temperature effect on partitioning was the dominant process influencing SOA variation during period 1. From December 2012 to April 2013 (period 2), high values of SOA<sub>M</sub> tracers and activity factor ( $\gamma_T$ ) existed under high temperature, and SOA<sub>M</sub> tracers were positively correlated with  $\gamma_T$  ( $r=0.741$ ,  $p<0.05$ , Figure 2b). These suggested that the temperature effect on emission was the dominant process influencing SOA variation during period 2. From May to July 2013 (period 3), SOA<sub>M</sub> tracer concentrations were relative stable, and there was no correlation of SOA<sub>M</sub> tracers with  $\gamma_T$  or SOA yield ( $p>0.05$ ). These might result from the counteraction of temperature effects on emission and partitioning during the period 3.

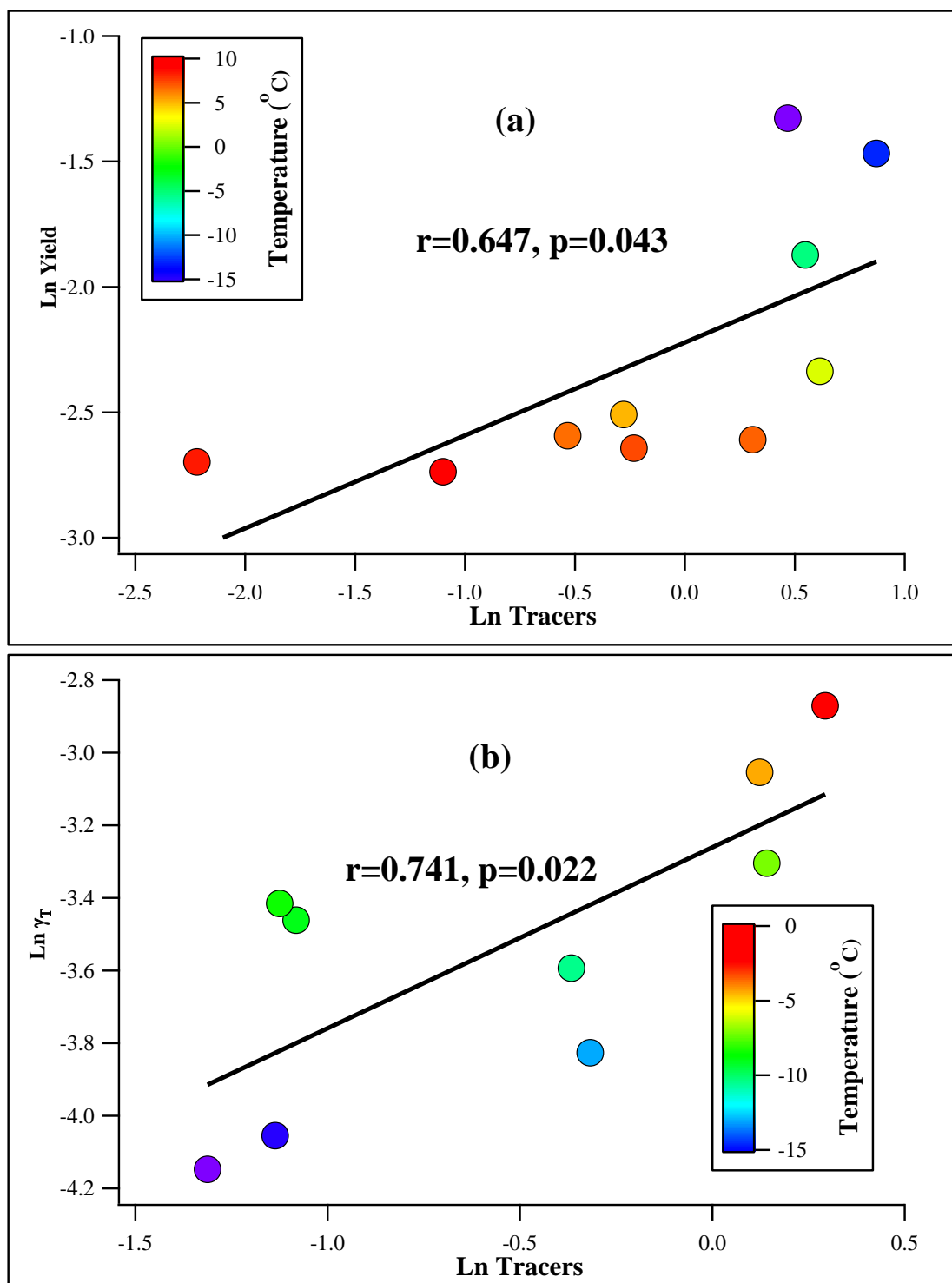


Figure 2 Correlation of monoterpene SOA tracers (SOA<sub>M</sub> tracers) with SOA yield in period 1 (a) and  $\gamma_T$  in period 2 (b).

These interpretations of the seasonal variation of monoterpene SOA tracers were added in the revised manuscript (See below). Figure 2 was added in the revised manuscript as Figure 5. Figure 1 and Table 1 were added in the supplemental information file as Figure S5 and Table S2, respectively.

“SOA yield (Y) of precursors could be expressed using an empirical relationship

based on gas-particle partitioning of two semi-volatile products (Odum et al., 1996):

$$Y = M_0 \sum_i \frac{\alpha_i K_i}{1 + M_0 K_i} \quad (8)$$

where  $M_0$  ( $\mu\text{g m}^{-3}$ ) is the total concentration of absorbing organic material,  $\alpha_i$  is the mass stoichiometric coefficients of the product  $i$ ,  $K_i$  ( $\text{m}^3 \mu\text{g}^{-1}$ ) is the temperature-dependent partitioning coefficient of the semi-volatile compound  $i$ . Assuming a constant activity coefficient and mean molecular weight, the partitioning coefficient,  $K_i$  (T) at a certain temperature (T) could be estimated as (Sheehan and Bowman, 2001):

$$K_i(T) = K_i^* \frac{T}{T^*} \exp \left[ \frac{H_i}{R} \left( \frac{1}{T} - \frac{1}{T^*} \right) \right] \quad (9)$$

where  $K_i^*$  is an experimentally determined partitioning coefficient at a reference temperature,  $T^*$ .  $H_i$  is the vaporization enthalpy,  $R$  is the gas constant. To model the temperature-dependent absorptive partitioning, three parameters,  $\alpha_i$ ,  $K_i$ , and  $H_i$ , are required for each condensable product.

Table S2 lists all the parameters for two-product model of  $\alpha$ -pinene SOA which were also used to estimate the temperature effect on SOA partitioning by Sheehan and Bowman (2001). The available data of OC at the NC site were reported in the range of 1.18 to 2.26  $\mu\text{gC m}^{-3}$  during July 2006 to January 2007 with an average of 1.66  $\mu\text{gC m}^{-3}$  (Ming et al., 2010). Thus,  $M_0$  is calculated as 2.32  $\mu\text{g m}^{-3}$  by the average OC multiplying 1.4. Figure S5 shows the temperature dependence of  $\alpha$ -pinene emission rate ( $\gamma_T$ ) and SOA yield within the temperature range at the NC site (-16.7 to 10.2  $^{\circ}\text{C}$ ). Obviously, decreasing temperature could reduce the emission but enhance the gas to particle partitioning and SOA yield.

From July to November 2012 (period 1), high values of  $\text{SOA}_M$  tracers and SOA yield existed under low temperature, and  $\text{SOA}_M$  tracers were positively correlated with SOA yield ( $r=0.647$ ,  $p<0.05$ , Figure 5a). These suggested that the temperature effect on partitioning was the dominant process influencing  $\text{SOA}_M$  tracers' variation during the period 1. From December 2012 to April 2013 (period 2), high values of  $\text{SOA}_M$  tracers and activity factor ( $\gamma_T$ ) existed under high temperature, and  $\text{SOA}_M$  tracers were positively correlated with  $\gamma_T$  ( $r=0.741$ ,  $p<0.05$ , Figure 2b). These suggested that the temperature effect on emission was the dominant process influencing  $\text{SOA}_M$  tracers' variation during the period 2. The increase of  $\text{SOA}_M$  tracer concentrations during spring was also observed in the southeastern United States (Ding *et al.* 2008), resulting from the enhancement of monoterpenes emission in spring (Kim et al., 2011). From May to July 2013 (period 3),  $\text{SOA}_M$  tracer concentrations were relative stable, and there was no correlation of  $\text{SOA}_M$  tracers with  $\gamma_T$  or SOA yield ( $p>0.05$ ). These might result from the counteraction of temperature effects on emission and partitioning during the summer.”(Line 277-308 in the revised manuscript)

For isoprene, the reactive uptake of epoxides onto particles plays the key role (Lin et al., 2013; Paulot et al., 2009) in isoprene SOA formation. Obviously, high temperature could enhance heterogeneous reactions and result in high levels of isoprene SOA. Figure 3a presents a negative correlation between the natural logarithm of  $\text{SOA}_I$  tracer levels and the reciprocal of temperature in Kelvin ( $p<0.001$ ). Moreover, the

temperature dependence of SOA<sub>I</sub> tracers was similar to that of C<sub>T</sub>, and SOA<sub>I</sub> tracers exhibited a significant positive correlation with C<sub>T</sub> during our sampling at the NC site (Figure 3b). These results indicated that the seasonal variation of SOA<sub>I</sub> tracers at the NC site was mainly influenced by isoprene emission.

These discussions about the seasonal variation of isoprene SOA tracers were added in the revised manuscript as “Figure 3a presents a negative correlation between the natural logarithm of SOA<sub>I</sub> tracer levels and the reciprocal of temperature in Kelvin ( $p < 0.001$ ). Moreover, the temperature dependence of SOA<sub>I</sub> tracers was similar to that of C<sub>T</sub>, and SOA<sub>I</sub> tracers exhibited a significant positive correlation with C<sub>T</sub> during our sampling at the NC site (Figure 3b). These results indicated that the seasonal variation of SOA<sub>I</sub> tracers at the NC site was mainly influenced by isoprene emission. Considering the short lifetime (several hours) of isoprene in the air, SOA<sub>I</sub> should be mainly formed from local precursor. In summer, high temperature and intense light could enhance isoprene emission and photo-reactions. Moreover, high temperature in summer could enhance the heterogeneous reactions of isoprene-derived epoxides on particles which play key roles in isoprene SOA formation (Lin et al., 2013; Paulot et al., 2009). All these interpreted the high levels of isoprene SOA tracers in the summer at the NC site.” (Line 230-239). Figure 3 was added in the revised manuscript.

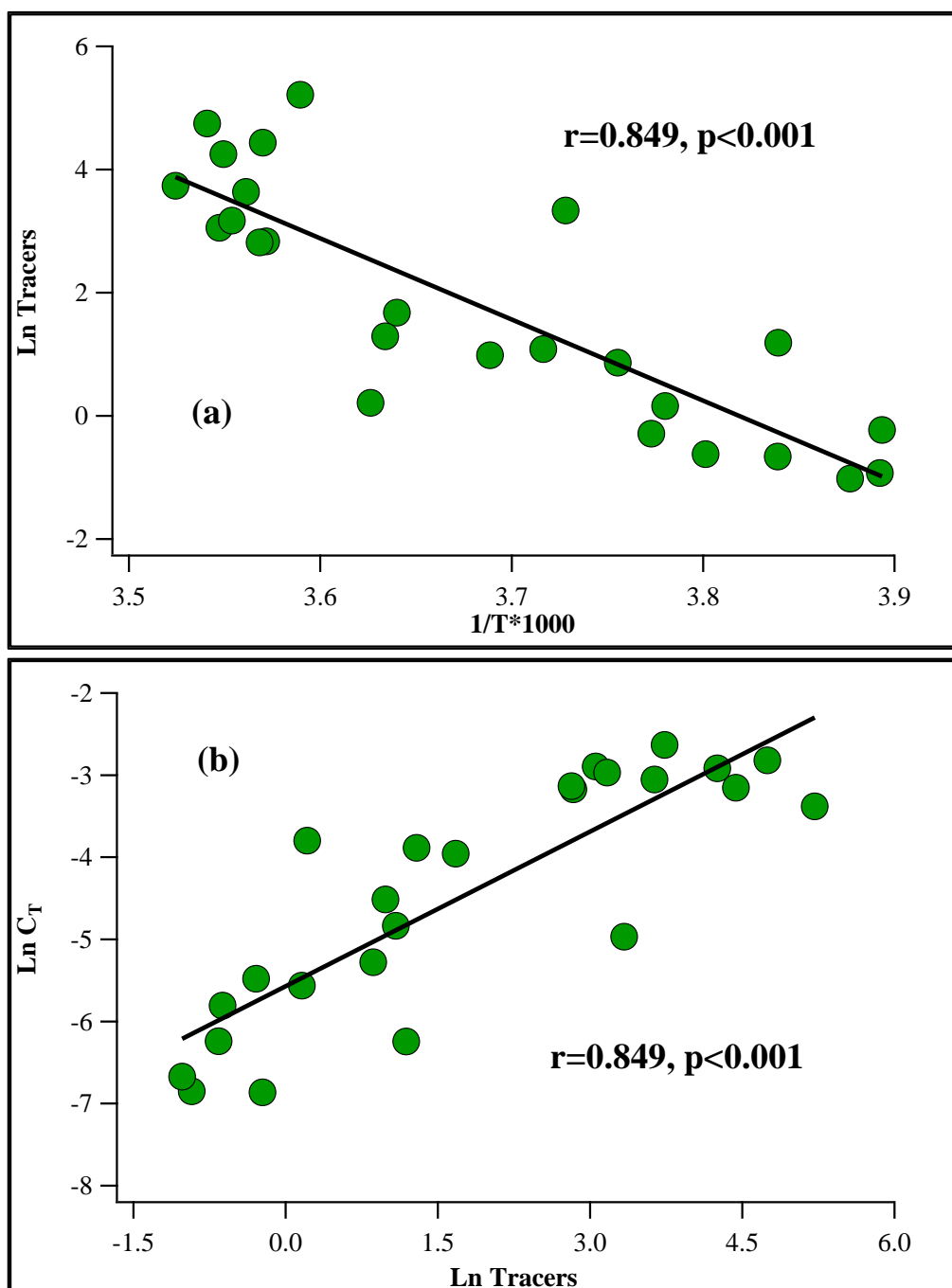


Figure 3 Correlations of SOA<sub>I</sub> tracers with temperature (a) and C<sub>T</sub> (b)

2. The large uncertainty of the SOA-tracer method and the simple backward trajectory analysis make the source apportionment in this work not very convincing. More detailed information about the anthropogenic emissions from Indian subcontinent and inland China would be helpful for the SOA source apportionment.

Reply: Yes, we agree. Unfortunately, emission inventories are not available in the Indian subcontinent and the Tibetan Plateau. Instead, we looked up the satellite data of population density (Socioeconomic Data and Applications Center, <http://sedac.ciesin.columbia.edu/maps/gallery/search?facets=theme:population>), aerosol optical thickness (AOT, NASA Earth Observations,

<http://neo.sci.gsfc.nasa.gov/>), tropospheric NO<sub>2</sub> vertical column densities (VCD, The Aura Validation Data Center, <http://avdc.gsfc.nasa.gov/>), and surface CO (<https://www2.acd.ucar.edu/mopitt>) on the global scale. As shown in Figure 4a, the northern Indian subcontinent (area within the red circle) was the most populated region of the world, with a population density of more than 1000 persons per km<sup>2</sup>. Moreover, the plots of global AOT, tropospheric NO<sub>2</sub> VCD, and surface CO (Figure 4, b-d) all illustrated that the northern Indian subcontinent, including Bangladesh, Nepal, the northeastern India, and the northwestern India was the global hotspots of these anthropogenic pollutants. Compared with the northern Indian subcontinent, the Tibetan Plateau exhibited extremely low population density and low levels of AOT, surface CO, and NO<sub>2</sub> VCD (Figure 5, a-d). Besides these satellite data, a recent study at a site in the northwestern India (Indo-Gangetic plain) witnessed extremely high levels (up to 2065 ng m<sup>-3</sup>) of polycyclic aromatic hydrocarbons which were mainly from anthropogenic combustion processes (Dubey et al., 2015). All these demonstrated that there were high anthropogenic emissions in the northern India subcontinent.

In the revised manuscript, we added the discussions about the anthropogenic emissions in the India subcontinent (see below). Figure 4 and 5 were added in the supplemental information file and the revised manuscript as Figure S7 and Figure 8, respectively.

“To check the potential source areas of anthropogenic emissions, the satellite data of population density (<http://sedac.ciesin.columbia.edu/theme/population>), aerosol optical thickness (AOT, <http://neo.sci.gsfc.nasa.gov/>), tropospheric NO<sub>2</sub> vertical column densities (VCD, <http://avdc.gsfc.nasa.gov/>), and surface CO (<https://www2.acd.ucar.edu/mopitt>) were analysis on the global scale. As shown in Figure S7a, the northern Indian subcontinent was the most populated region of the world, with a population density of more than 1000 persons per km<sup>2</sup>. Moreover, the plots of global AOT, tropospheric NO<sub>2</sub> VCD, and surface CO (Figure S7, b-d) illustrated that the northern Indian subcontinent, including Bangladesh, Nepal, the northeastern India, and the northwestern India was the global hotspots of these anthropogenic pollutants. Compared with the northern Indian subcontinent, the TP exhibited extremely low population density and low levels of AOT, surface CO, and NO<sub>2</sub> VCD (Figure 8, a-d). Besides these satellite data, a recent study at a site in the northwestern India (Indo-Gangetic plain) witnessed extremely high levels (up to 2065 ng m<sup>-3</sup>) of polycyclic aromatic hydrocarbons which were mainly from anthropogenic combustion processes (Dubey et al., 2015). All these demonstrated that there were high anthropogenic emissions in the northern India subcontinent.” (Line 350-364)

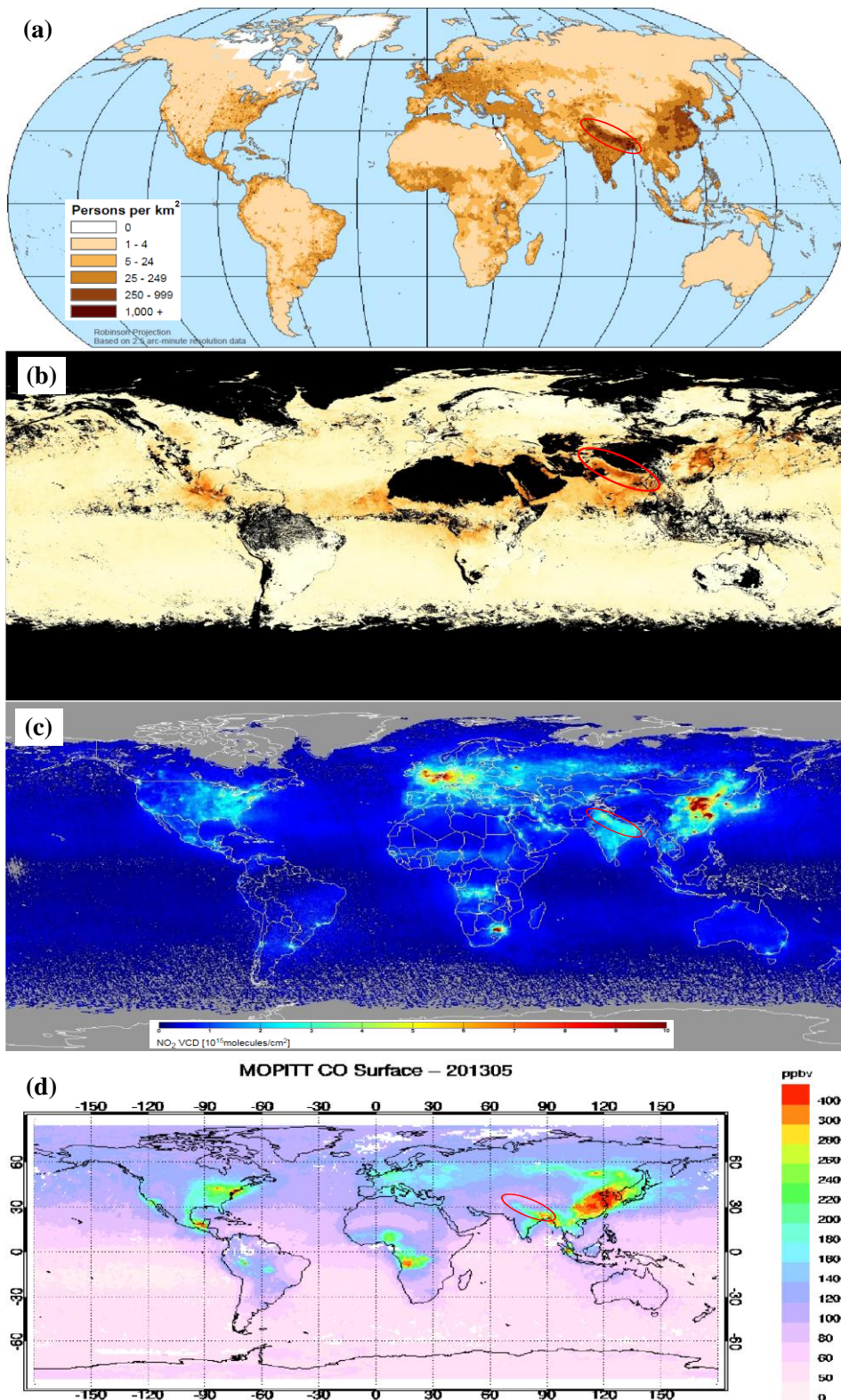


Figure 4 Global distribution of population density in 2000 (a), AOT (b), tropospheric NO<sub>2</sub> VCD (b), and surface CO (d) in May 2013. The area within the red circle is the northern India subcontinent.



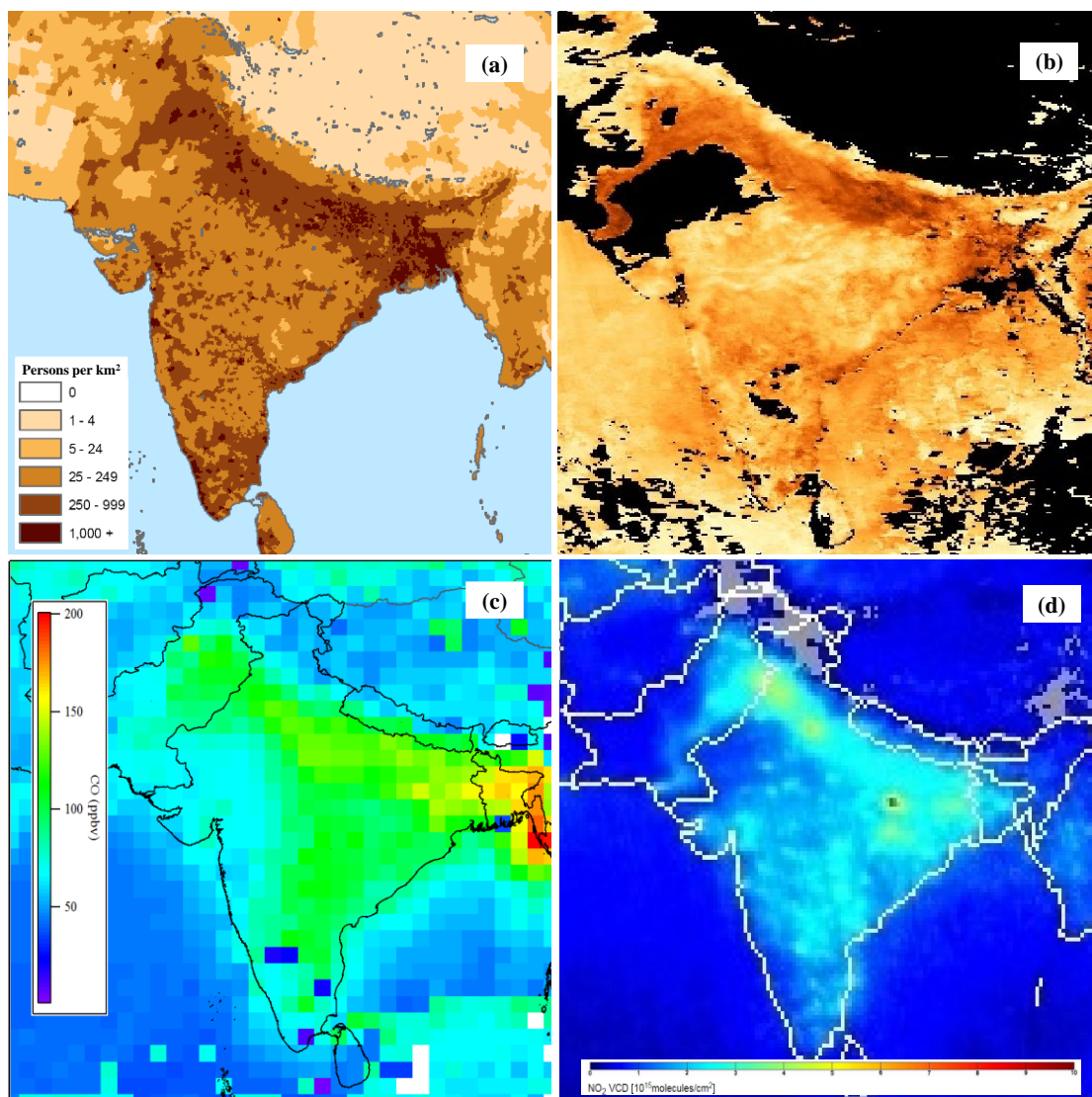


Figure 5 Distribution of population density in 2000 (a), AOT (b), surface CO (c), and NO<sub>2</sub> VCD (d) in May 2013 over the Indian subcontinent and the TP.

## References

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- Guenther, A. B., Zimmerman, P. R., Harley, P. C., Monson, R. K., and Fall, R.: Isoprene and monoterpene emission rate variability: Model evaluations and sensitivity analyses, *J. Geophys. Res.-Atmos.*, 98, 12609-12617, 1993.
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- Ming, J., Xiao, C., Sun, J., Kang, S., and Bonasoni, P.: Carbonaceous particles in the atmosphere and precipitation of the Nam Co region, central Tibet, *J. Environ. Sci.*, 22,

1748-1756, 2010.

Odum, J. R., Hoffmann, T., Bowman, F., Collins, D., Flagan, R. C., and Seinfeld, J. H.: Gas/particle partitioning and secondary organic aerosol yields, *Environ. Sci. Technol.*, 30, 2580-2585, 1996.

Paulot, F., Crouse, J. D., Kjaergaard, H. G., Kürten, A., St. Clair, J. M., Seinfeld, J. H., and Wennberg, P. O.: Unexpected epoxide formation in the gas-phase photooxidation of isoprene, *Science*, 325, 730-733, 2009.

Sheehan, P. E., and Bowman, F. M.: Estimated effects of temperature on secondary organic aerosol concentrations, *Environ. Sci. Technol.*, 35, 2129-2135, 2001.

## Anonymous Referee #2

The manuscript presents new measurements of SOA tracers in the Central Tibetan Plateau and discusses seasonal variations in the absolute and relative contributions of biogenic and SOA tracers, particularly in the context of air mass origins. The manuscript requires major revisions prior to reconsideration for publication, namely in the broader discussion of potential local influences on SOA formation, the stability of the utilized tracers during transport, and the specificity and representativeness of a single toluene-SOA tracer to represent all of anthropogenic SOA. Analytically, differences between the methods utilized here and prior studies (e.g. Kleindienst et al. 2007) introduce complications and biases to the use of the SOA-tracer based source apportionment method. These biases, as well as others in quantification stemming from the use of surrogate quantification standards and an extraction protocol giving 65% recovery at times, need to be discussed and quantitatively assessed in order to develop realistic estimates of the errors in absolute quantification of SOA tracers.

Specific comments are provided here:

1. The title should be revised – “tracers” should be added after “secondary organic aerosol” in order to clarify that a select sub-set of SOA tracers were measured, and SOA in its entirety is not discussed. Also suggest removing “Nam Co” from the title to make it more concise.

Reply: The title has been revised as “Seasonal variation of secondary organic aerosol tracers in Central Tibetan Plateau”

2. The authors attribute SOA to long-range transport, but to not address the potential for SOA to form from local VOC precursors or combustion activities. The potential for release of biogenic VOC from nearby vegetation and NO<sub>x</sub> from local combustion sources (e.g. dung or biomass burning) must be addressed (Duo et al. 2015; Xiao et al. 2015).

Reply: Our study found that the seasonal variation of isoprene SOA (SOA<sub>I</sub>) tracers was mainly influenced by the isoprene emission (C<sub>T</sub>) which was estimated using local temperature. Considering the short lifetime of isoprene in the air (several hours), SOA<sub>I</sub> should be mainly formed from local precursor. For monoterpene SOA (SOA<sub>M</sub>), the ratio of *cis*-pinonic acid plus pinic acid to 3-methyl-1,2,3-butanetricarboxylic acid (P/M) indicated that SOA<sub>M</sub> was generally fresh at the NC site (see the details in our reply to comment #12). Thus, SOA<sub>M</sub> should be also mainly formed from local precursors. The local dung or biomass burning may be potential sources of aromatics, NO<sub>x</sub>, and even biogenic VOCs. However, the biomass burning tracer, levoglucosan not only exhibited different seasonal trend away from SOA tracers (Figure 6), but also presented poor correlations with all SOA tracers (p>0.05). These suggested that local dung or biomass burning was not the major sources of SOA during our sampling at the NC site.

For aromatic SOA (SOA<sub>A</sub>), its tracer, DHOPA exhibited higher levels when air masses mainly came from the upwind Indian subcontinent (the Bangladesh and the northeastern India) where high population density and high levels of anthropogenic pollutants (AOT, CO, N<sub>2</sub>O) were observed (see our response to the second comment by Reviewer #1). Considering there was few local anthropogenic source near the remote NC site, SOA<sub>A</sub> should be not locally formed but mainly long-range transported from the upwind Indian subcontinent.

In the revised manuscript, we addressed that SOA<sub>I</sub> and SOA<sub>M</sub> were mainly formed from

local precursors, and SOA<sub>A</sub> was from long-range transport from the upwind Indian subcontinent. The impact of local biomass burning was also discussed (see below).

“Figure 3a presents a negative correlation between the natural logarithm of SOA<sub>I</sub> tracer levels and the reciprocal of temperature in Kelvin ( $p < 0.001$ ). Moreover, the temperature dependence of SOA<sub>I</sub> tracers was similar to that of C<sub>T</sub>, and SOA<sub>I</sub> tracers exhibited a significant positive correlation with C<sub>T</sub> during our sampling at the NC site (Figure 3b). These results indicated that the seasonal variation of SOA<sub>I</sub> at the NC site was mainly influenced by the isoprene emission. Considering the short lifetime (several hours) of isoprene in the air, SOA<sub>I</sub> should be mainly formed from local precursor.” (Line 230-235)

“In this study, the ratio of P/M averaged  $16.7 \pm 20.9$ . Thus, SOA<sub>M</sub> was generally fresh at the NC site and should be mainly formed from local precursors.” (Line 317-319)

“Besides urban emissions from solvent and fossil fuel use, biomass burning is an important source of aromatics in many parts of the world (Lewis et al. 2013). The local dung or biomass burning (Duo et al. 2015; Xiao et al. 2015) may be potential sources of aromatics in the TP. Hence, DHOPA may come from the processing of biomass burning emissions. Figure 7 exhibits the monthly variation of biomass burning tracer, levoglucosan during our sampling. The concentrations of levoglucosan ranged from  $0.82 \text{ ng m}^{-3}$  (October 2012) to  $4.55 \text{ ng m}^{-3}$  (April 2013) with a mean of  $1.87 \pm 1.14 \text{ ng m}^{-3}$ . Apparently, the monthly variation trend of levoglucosan was quite different from that of DHOPA. And there was no correlation between DHOPA and levoglucosan ( $p > 0.05$ ) (Figure S6). These indicated that DHOPA was not mainly from the processing of biomass burning emission at the NC site. Since there was few anthropogenic source near the remote NC site, the SOA<sub>A</sub> tracer should be not locally formed but mainly transported from upwind regions.” (Line 339-349)

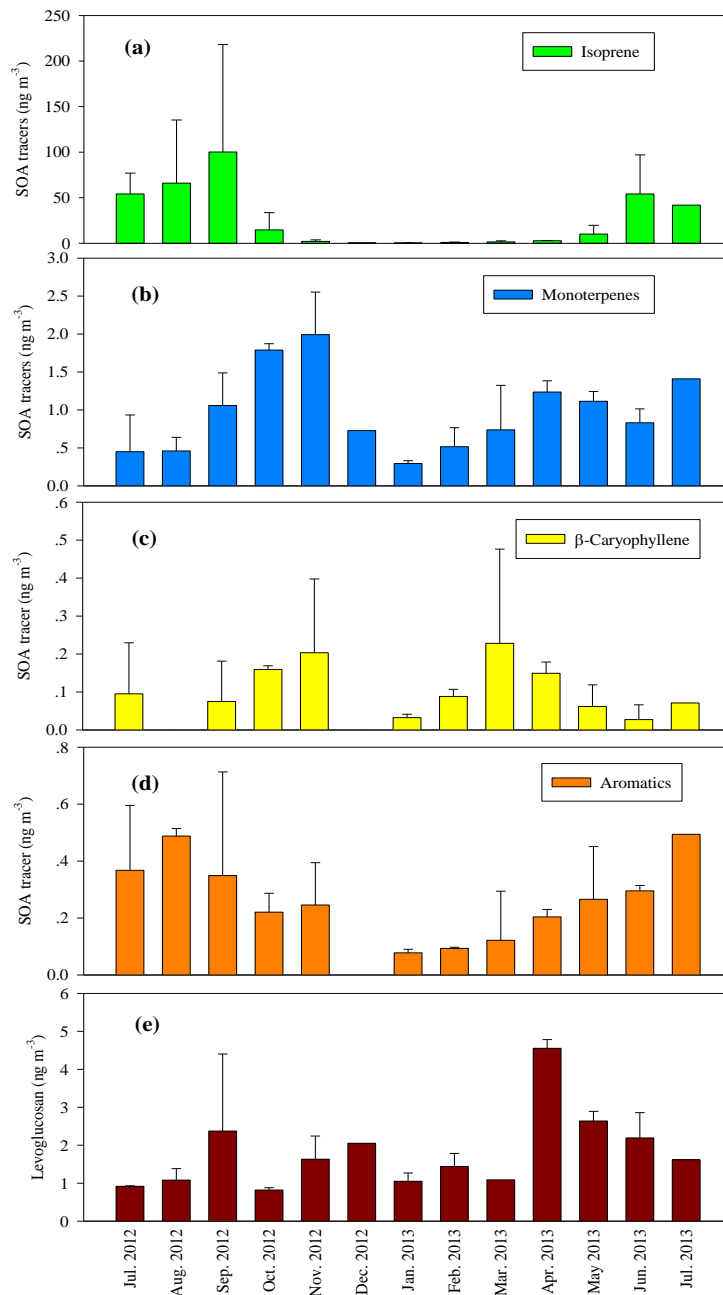


Figure 6 Monthly variations of SOA tracers (a-d) and levoglucosan (e)

3. Related, to what extent are the measured SOA tracers stable over the distance and time suggested for long-range transport? Prior studies have demonstrated extensive processing of organic aerosols in the region (Meng et al. 2013) as well as the loss of molecular tracers for organic aerosol during long-range transport (Stone et al. 2007).

Reply: The stability of SOA tracers during long-range transport is an interesting topic. Due to dilution, deposition and aging, the concentrations of SOA tracers should significantly decline during long-range transport. As we mentioned above, the biogenic SOA was mainly formed from local emissions. Thus, the concentrations of SOA<sub>I</sub> tracers (up to 184 ng m<sup>-3</sup>) at the NC site were comparable with those over continents (several to hundreds ng m<sup>-3</sup>). On the contrary, since SOA<sub>A</sub> was mainly transported from the upwind Indian subcontinent, even the highest

concentration of DHOPA at the NC site was 1-2 orders of magnitude lower than those reported in the urban regions of the world. The global lifetimes of aerosols in the air are about 5 days (Bourgeois and Bey, 2011). Due to low temperature, the lifetimes of aerosols should be longer in cold regions, such as the Arctic and the Tibetan Plateau. At present, there is no result available in the lifetimes of these SOA tracers in the ambient air. We think the lifetimes of SOA tracers over the Tibetan Plateau should be comparable with those of aerosols. Given a lifetime of 5 days, the loss rate constant of tracers could be roughly estimated as  $2.3 \times 10^{-12} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$  at OH levels of  $1 \times 10^6 \text{ molecules cm}^{-3}$ . This is also the reason why we run back trajectory analysis only for 5 days.

Bourgeois, Q., and Bey, I.: Pollution transport efficiency toward the Arctic: Sensitivity to aerosol scavenging and source regions, *J. Geophys. Res.-Atmos.*, 116, D08213, Doi: 10.1029/2010JD015096, 2011.

4. Section 2.2: Specify what type of ionization was used by the mass spectrometer

Reply: Electron impact (EI) ionization was used by the mass spectrometer. We have specified it in the revised manuscript “Thirteen SOA tracers were quantified by the GC-MS coupled with an electron impact (EI) ionization source” (Line 132-133)

5. Kleindienst et al. (2007) utilized chemical ionization, a soft ionization technique, to identify SOA tracers using molecular ions and high-m/z ratios, and analyzed SOA chamber samples in parallel to ambient samples to ensure consistency in mass fragmentation and gas chromatography (GC) retention times. Evidence in the form of retention data, observed MS fragments, and relative ratios of MS fragments are needed as evidence for the correct identification of the SOA tracer compounds. This information should be added as supplemental information.

Reply: Figure 7 presents the TIC of these SOA tracers with the retention time of each compound labeled. Figure 8-10 show the EI spectrum of each tracer. In the revised manuscript, Figure 7-10 were added in the supplemental information file as Figure S1-S4, and we mentioned these in Line 138-139 “Figure S1 presents the total ion chromatogram (TIC) of these SOA tracers.” and Line 143 “The EI spectrum of each SOA tracer is shown in Figure S2-S4.”

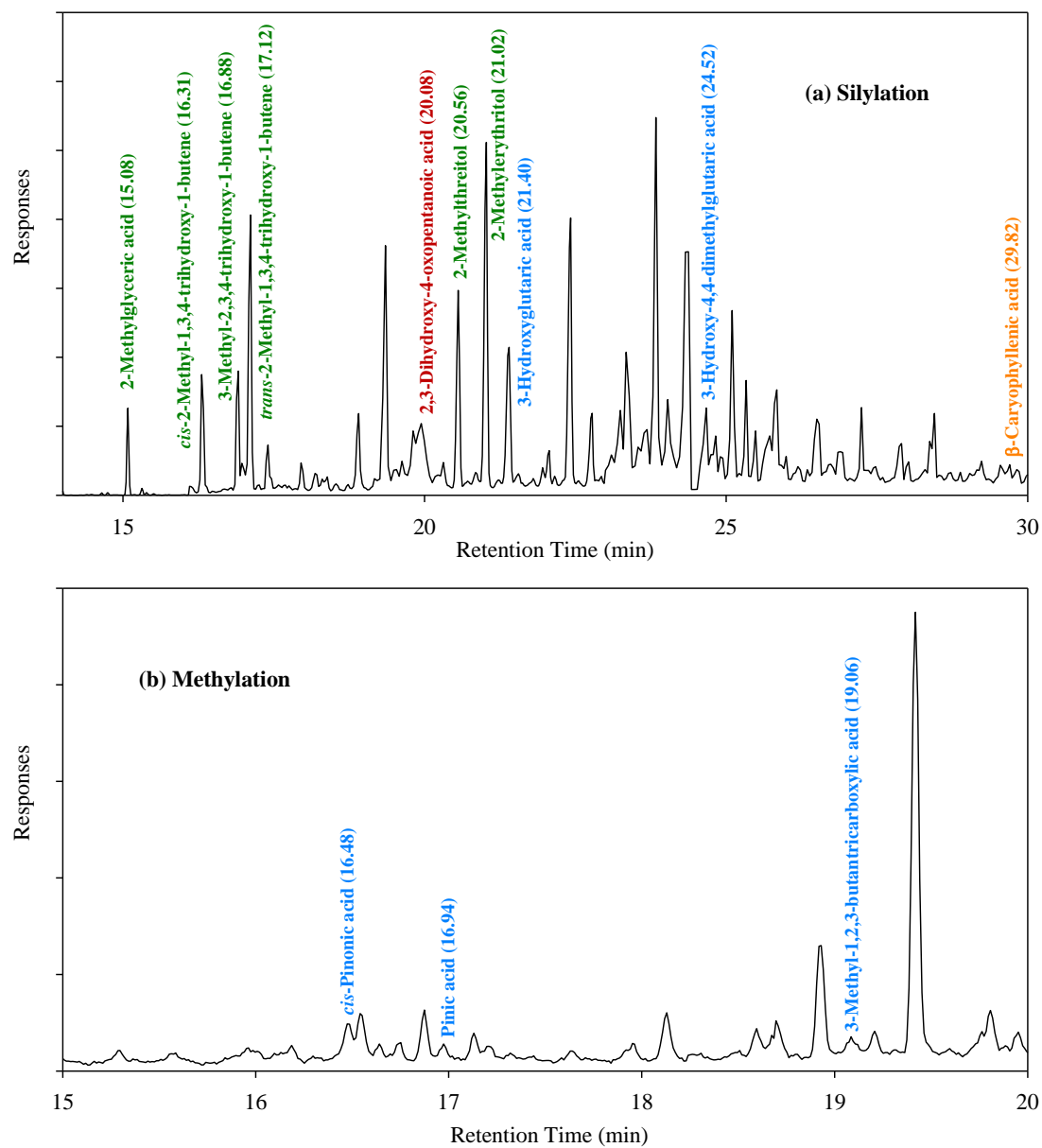


Figure 7 TIC of silylated (a) and methylated samples (b). Retention time of each tracer is labeled in brackets. Green, blue, orange and red represent SOA tracers from isoprene, monoterpenes,  $\beta$ -caryophyllene and aromatics, respectively.

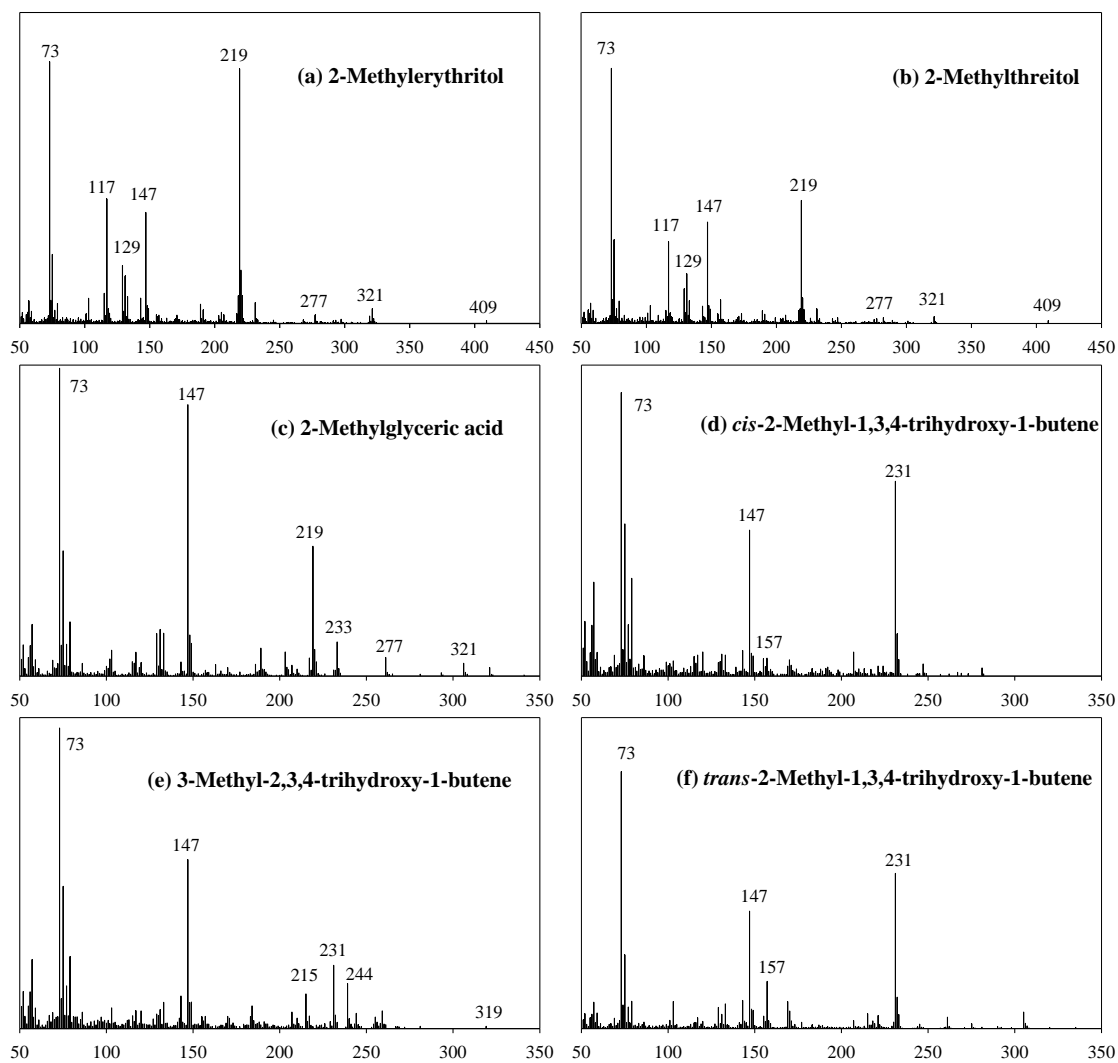


Figure 8 EI spectra of silylated isoprene SOA tracers



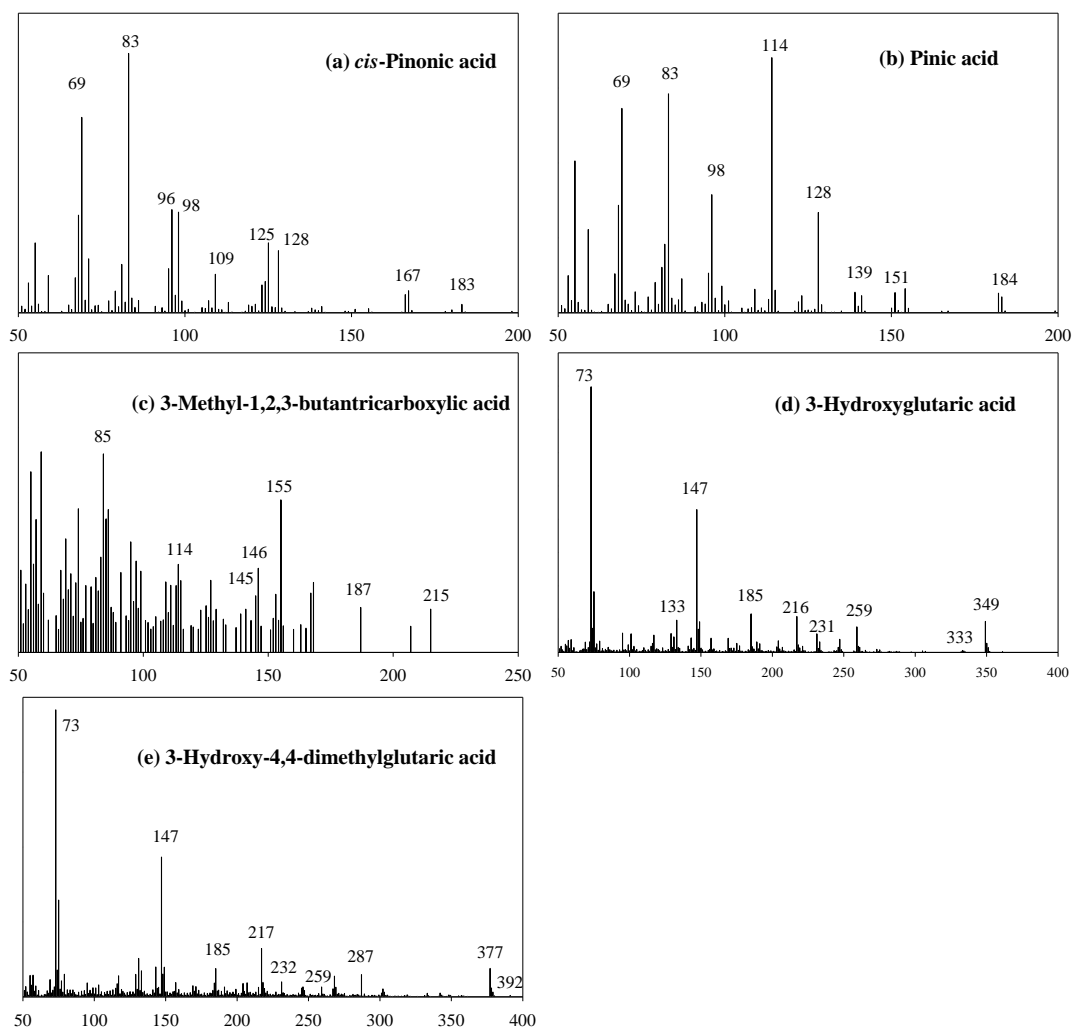


Figure 9 EI spectra of methylated (a-c) and silylated (d and e) monoterpene SOA tracers

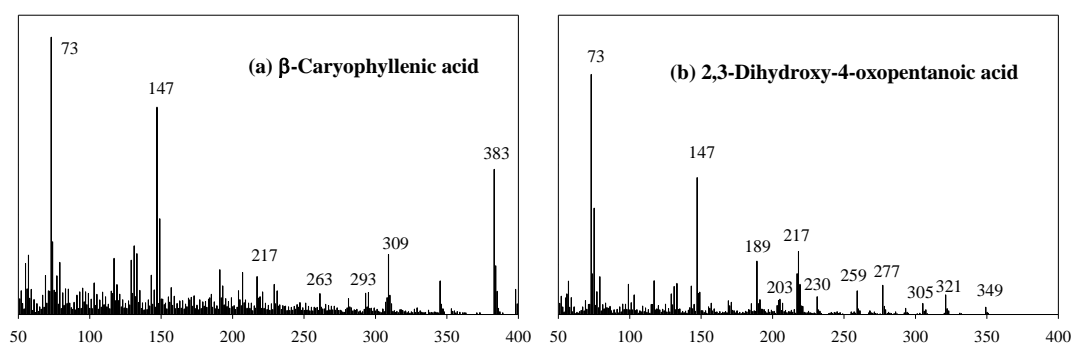


Figure 10 EI spectra of silylated SOA tracers from  $\beta$ -caryophyllene (a) and aromatics (b)

6. SOA tracers were quantified using surrogate standards, because analytical standards are not commercially-available for most of these compounds. The use of a surrogate standard introduces bias to the measurement, because it does not accurately represent the ionization and mass fragmentation of the target analyte. Moreover, the comparison of response factors of the

five surrogate standards (1-8 on page 7147) is irrelevant, because the issue is about the agreement of the response factors of the SOA tracers with the surrogates, not between the surrogates and each other. Consequently, the reported absolute concentrations of SOA tracers, are thus subject to large uncertainties, particularly when the structural match between the surrogate standard and analyte is poor (Stone et al. 2012). The manuscript should clearly state that presence and significance of this uncertainty and discuss the potential bias it may introduce to the results.

Reply: We appreciate the suggestion in uncertainty estimation for SOA tracer measurement. Stone et al., (2012) developed an empirical approach to estimate the error from surrogate quantification ( $E_Q$ ) based on homologous series of atmospherically relevant compounds. These quantification errors ( $E_Q$ ) are then propagated with the standard deviation of the field blank ( $E_{FB}$ ) and error in spike recovery ( $E_R$ ), and yield the measurement uncertainties ( $E_A$ ) for SOA tracers. We have calculated the  $E_Q$  and  $E_A$  for SOA tracers using the method developed by Stone et al. (2012). As shown in Table 2, the errors from surrogate quantification ( $E_Q$ ) ranged from 15% (2-methyltetrols) to 155% ( $\beta$ -caryophyllenic acid) in this study. Since SOA tracers were not detected in the field blanks,  $E_{FB}$  was 0 in this study. The spike recoveries of surrogate standards were used to estimate the  $E_R$  of tracers. The uncertainties in analyte measurement ( $E_A$ ) were estimated in the range of 38% to 156%.

In the revised manuscript, we added a section “2.4 Estimation of measurement uncertainty” to discuss the uncertainty in tracer measurement (Line 159-179, also see below). Table 2 was added in the supplemental information file as Table S1.

#### “2.4 Estimation of measurement uncertainty

Since there is no commercial standard available for most SOA tracers (except *cis*-pinonic acid and pinic acid), the use of surrogate standards for quantification introduces additional error to measurement. Error in analyte measurement ( $E_A$ ) is propagated from the standard deviation of the field blank ( $E_{FB}$ ), error in spike recovery ( $E_R$ ) and the error from surrogate quantification ( $E_Q$ ):

$$E_A = \sqrt{E_{FB}^2 + E_R^2 + E_Q^2} \quad (1)$$

Since SOA tracers were not detected in the field blanks,  $E_{FB}$  was 0 in this study. The spike recoveries of surrogate standards were used to estimate the  $E_R$  of tracers which ranged from 1% (*cis*-pinonic acid) to 35% (erythritol). Stone et al. (2012) developed an empirical approach to estimate  $E_Q$  based on homologous series of atmospherically relevant compounds. The relative error introduced by each carbon atom ( $E_n$ ) was estimated to be 15 %, each oxygenated functional group ( $E_f$ ) to be 10% and alkenes ( $E_d$ ) to be 60%. The errors introduced from surrogate quantification are treated as additive and are calculated as:

$$E_Q = E_n \Delta n + E_f \Delta f + E_d \Delta d \quad (2)$$

where  $\Delta n$  is the difference in carbon atom number between a surrogate and an analyte,  $\Delta f$  is the difference in oxygen-containing functional group between a surrogate and an analyte,  $\Delta d$  is the difference in alkene functionality between a surrogate and an analyte.

Table S1 shows the estimated uncertainties in tracer measurement. The errors from

surrogate quantification ( $E_Q$ ) ranged from 15% (2-methyltetrols) to 155% ( $\beta$ -caryophyllenic acid) in this study. Propagated with the error in recovery, the uncertainties in analyte measurement ( $E_A$ ) were estimated in the range of 38% to 156%.”

Table 2 Estimation of measurement uncertainty

Tracers	Tracer formula	Surrogates	Surrogate formula	$E_Q$ (%)	$E_R^a$ (%)	$E_A$ (%)
<i>cis</i> -Pinonic acid	C <sub>10</sub> H <sub>16</sub> O <sub>3</sub>	<i>cis</i> -Pinonic acid			1	
Pinic acid	C <sub>9</sub> H <sub>14</sub> O <sub>4</sub>	Pinic acid			30	
3-Methyl-1,2,3-butantricarboxylic acid	C <sub>8</sub> H <sub>12</sub> O <sub>6</sub>	<i>cis</i> -Pinonic acid	C <sub>10</sub> H <sub>16</sub> O <sub>3</sub>	60	1	60
3-Hydroxyglutaric acid	C <sub>5</sub> H <sub>8</sub> O <sub>5</sub>	<i>cis</i> -Pinonic acid	C <sub>10</sub> H <sub>16</sub> O <sub>3</sub>	95	1	95
3-Hydroxy-4,4-dimethylglutaric acid	C <sub>7</sub> H <sub>12</sub> O <sub>5</sub>	<i>cis</i> -Pinonic acid	C <sub>10</sub> H <sub>16</sub> O <sub>3</sub>	65	1	65
<i>cis</i> -2-Methyl-1,3,4-trihydroxy-1-butene	C <sub>5</sub> H <sub>10</sub> O <sub>3</sub>	Erythritol	C <sub>4</sub> H <sub>10</sub> O <sub>4</sub>	85	35	92
3-Methyl-2,3,4-trihydroxy-1-butene	C <sub>5</sub> H <sub>10</sub> O <sub>3</sub>	Erythritol	C <sub>4</sub> H <sub>10</sub> O <sub>4</sub>	85	35	92
<i>trans</i> -2-Methyl-1,3,4-trihydroxy-1-butene	C <sub>5</sub> H <sub>10</sub> O <sub>3</sub>	Erythritol	C <sub>4</sub> H <sub>10</sub> O <sub>4</sub>	85	35	92
2-Methylglyceric acid	C <sub>4</sub> H <sub>8</sub> O <sub>4</sub>	Erythritol	C <sub>4</sub> H <sub>10</sub> O <sub>4</sub>	20	35	40
2-Methylthreitol	C <sub>5</sub> H <sub>12</sub> O <sub>4</sub>	Erythritol	C <sub>4</sub> H <sub>10</sub> O <sub>4</sub>	15	35	38
2-Methylerythritol	C <sub>5</sub> H <sub>12</sub> O <sub>4</sub>	Erythritol	C <sub>4</sub> H <sub>10</sub> O <sub>4</sub>	15	35	38
$\beta$ -Caryophyllenic acid	C <sub>13</sub> H <sub>20</sub> O <sub>4</sub>	Octadecanoic acid	C <sub>18</sub> H <sub>36</sub> O <sub>2</sub>	155	17	156
2,3-Dihydroxy-4-oxopentanoic acid	C <sub>5</sub> H <sub>8</sub> O <sub>5</sub>	Azelaic acid	C <sub>9</sub> H <sub>16</sub> O <sub>4</sub>	90	11	91

<sup>a</sup>  $E_R$  is the difference between 100% and mean recovery of each surrogate standard.

Stone, E. A., Nguyen, T. T., Pradhan, B. B., and Dangol, P. M.: Assessment of biogenic secondary organic aerosol in the Himalayas, *Environ. Chem.*, 9, 263-272, 2012.

7. Major issues arise when deviating from the quantification method of Kleindienst et al. (2007) for SOA tracers and using the SOA-tracer method for source apportionment. Namely, the  $f_{SOC}$  values (page 7154 line 15) were developed using the 5-ion quantification approach with a single-point calibration with ketopinonic acid as the quantification standard and chemical ionization in the MS source. In this work, the authors have changed the MS detection method, internal standard, and surrogate standards, such that the  $f_{SOC}$  values cannot be directly applied. A large degree of bias is expectedly introduced, but is not quantifiable. The authors need to be realistic about the magnitude of error that this could introduce, which is likely on the order of 5-10 times different, rather than 23%.

Reply: As discuss above, we re-calculated the uncertainties in SOA tracer measurement and then re-estimated the uncertainties in SOC apportionment. Based on the  $E_A$  values in Table 1, the uncertainties in tracer analyses were up to 40% for SOA<sub>I</sub> (only MGA and MTLs involved for SOC estimation), up to 95% for SOA<sub>M</sub>, 156% for SOA<sub>C</sub>, and 91% for SOA<sub>A</sub>. The uncertainties of  $f_{SOC}$  were reported to be 25% for isoprene, 48% for monoterpenes, 22% for  $\beta$ -caryophyllene and 33% for aromatics. Considering these factors, the uncertainties of SOC apportionment were calculated through error propagation. The RSD were 47% for SOC<sub>I</sub>, 106% for SOC<sub>M</sub>, 157% for SOC<sub>C</sub>, and 96% for SOC<sub>A</sub>. On average, the RSD of the reconstructed SOC

(sum of the four precursors) was  $51 \pm 11\%$ .

In the revised manuscript, we addressed these as “The uncertainty in the SOA-tracer method is induced from the analysis of organic tracers and the determination of the conversion factors. Based on the  $E_A$  values in Table S1, the uncertainties in the tracer analyses were within 40% for  $SOA_I$  (only MGA and MTLs involved for SOC estimation), 95% for  $SOA_M$ , 156% for  $SOA_C$ , and 91% for  $SOA_A$ . The uncertainties of  $f_{SOC}$  were reported to be 25% for isoprene, 48% for monoterpenes, 22% for  $\beta$ -caryophyllene and 33% for aromatics (Kleindienst *et al.* 2007, Lewandowski *et al.* 2013). Considering these factors, the uncertainties of SOC apportionment were calculated through error propagation. The RSD were 47% for  $SOC_I$ , 106% for  $SOC_M$ , 157% for  $SOC_C$ , and 96% for  $SOC_A$ . On average, the RSD of the reconstructed SOC (sum of the four precursors) was  $51 \pm 11\%$ .” (Line 426-434)

8. Revision with respect to uncertainty in SOA tracer measurements is needed in section 3.2, page 7155 line 3.

Reply: In the revised manuscript, we have re-calculated the uncertainties of SOC apportionment with respect to uncertainty in SOA tracer measurement. The RSD were 47% for  $SOC_I$ , 106% for  $SOC_M$ , 157% for  $SOC_C$ , and 96% for  $SOC_A$ . On average, the RSD of the reconstructed SOC (sum of the four precursors) was  $51 \pm 11\%$ . (See discussions above)

9. Clarify the “paired duplicate samples” described in section 2.3 line 16. Are these duplicate samples of ambient aerosol that were collected in parallel? Or were these extracts that were split and analyzed twice?

Reply: These duplicate samples were ambient aerosol that were collected in parallel. We clarify it in the revised manuscript “The relative differences for target compounds in samples collected in parallel ( $n=6$ ) were all below 15%.” (Line 151-152)

10. Were the absolute concentrations of SOA tracers corrected for the less than optimal recoveries reported in section 2.3? Or is this another source of error in the ambient measurements?

Reply: No, the absolute concentrations of SOA tracers did not correct using recoveries. The recoveries of target compounds ranged from 65% (erythritol) to 101% (*cis*-pinonic acid), suggesting that the errors in analyte recovery should be within 35% in this study. In the revised manuscript, the recovery data were used to calculate the errors in analyte recovery ( $E_R$ ) for SOA tracers (See Table 1). Then, uncertainties in SOA tracer measurement ( $E_A$ ) were estimated through error propagation from  $E_R$  and the error from surrogate quantification ( $E_Q$ ).

11. The sentence on page 7149 (lines 9-10) should be moved to follow the description of the model.

Reply: In the revised manuscript, we moved the sentence to follow the description of the model (Line 230-231).

12. The authors should work towards developing a deeper discussion of the monoterpene SOA tracers using knowledge of first and multi-generation oxidation products of monoterpenes (Glasius *et al.* 2000; Jaoui *et al.* 2005; Szmigielski *et al.* 2007) and reaction pathways

(Eddingsaas et al. 2012) as has been done for isoprene.

Reply: We appreciate the suggestion. Previous study proposed that *cis*-pinonic acid and pinic acid were the first-generation products of SOA<sub>M</sub>, and only formed under low-NO<sub>x</sub> conditions (Eddingsaas et al. 2012). The dominance of *cis*-pinonic acid and pinic acid among SOA<sub>M</sub> tracers at the remote NC site indicated that SOA<sub>M</sub> there was mainly formed under low-NO<sub>x</sub> conditions. Moreover, *cis*-pinonic acid and pinic acid (P) could be further photo-degraded to higher-generation products, e.g. 3-methyl-1,2,3-butanetricarboxylic acid (M) (Glasius et al. 2000; Jaoui et al. 2005; Szmigielski, et al., 2007). And the ratio of *cis*-pinonic acid plus pinic acid to 3-methyl-1,2,3-butanetricarboxylic acid (P/M) could be applied to trace the aging of SOA<sub>M</sub> (Ding et al., 2011; Gómez-González et al., 2012). In the fresh chamber produced  $\alpha$ -pinene SOA samples, the ratios of P/M were reported in the range of 1.51 to 3.21 (Offenberg, et al., 2007). In this study, the ratio of P/M averaged  $16.7 \pm 20.9$ . Thus, SOA<sub>M</sub> was generally fresh at the NC site. Figure 11 presents a negative correlation between P/M and temperature ( $r=-0.560$ ,  $p=0.008$ ). Since temperature has positive influence on photo-reaction rates, the higher temperature during the summer could accelerate the photochemistry in the air and result in P to M conversion being more efficient. Thus, SOA<sub>M</sub> in the summer was more aged than that in the winter.

We added Figure 11 in the revised manuscript (as Figure 6) to show the negative correlation between P/M and temperature, and addressed the discussions above “Previous study proposed that *cis*-pinonic acid and pinic acid (P) were the first-generation products of SOA<sub>M</sub> and only formed under low-NO<sub>x</sub> conditions (Eddingsaas et al. 2012). The dominance of *cis*-pinonic acid and pinic acid among SOA<sub>M</sub> tracers at the remote NC site indicated that SOA<sub>M</sub> there was mainly formed under low-NO<sub>x</sub> conditions. Moreover, *cis*-pinonic acid and pinic acid could be further photo-degraded to higher-generation products, e.g. 3-methyl-1,2,3-butanetricarboxylic acid (M) (Glasius et al. 2000; Jaoui et al. 2005; Szmigielski, et al., 2007). And the ratio of *cis*-pinonic acid plus pinic acid to 3-methyl-1,2,3-butanetricarboxylic acid (P/M) could be applied to trace the aging of SOA<sub>M</sub> (Ding et al., 2011; Gómez-González et al., 2012). In the fresh chamber produced  $\alpha$ -pinene SOA samples, the ratios of P/M were reported in the range of 1.51 to 3.21 (Offenberg, et al., 2007). In this study, the ratio of P/M averaged  $16.7 \pm 20.9$ . Thus, SOA<sub>M</sub> was generally fresh at the NC site and should be mainly formed from local precursors. Figure 6 presents a negative correlation between P/M and temperature ( $r=-0.560$ ,  $p=0.008$ ). Higher P/M ratios were observed in the fall and the winter, and lower P/M ratios occurred in the spring and the summer. Since temperature has positive influence on photo-reaction rates, the higher temperature during the summer could accelerate the photochemistry in the air and result in P to M conversion being more efficient. Thus, SOA<sub>M</sub> in the summer was more aged than that in the winter.” (Line 309-324)

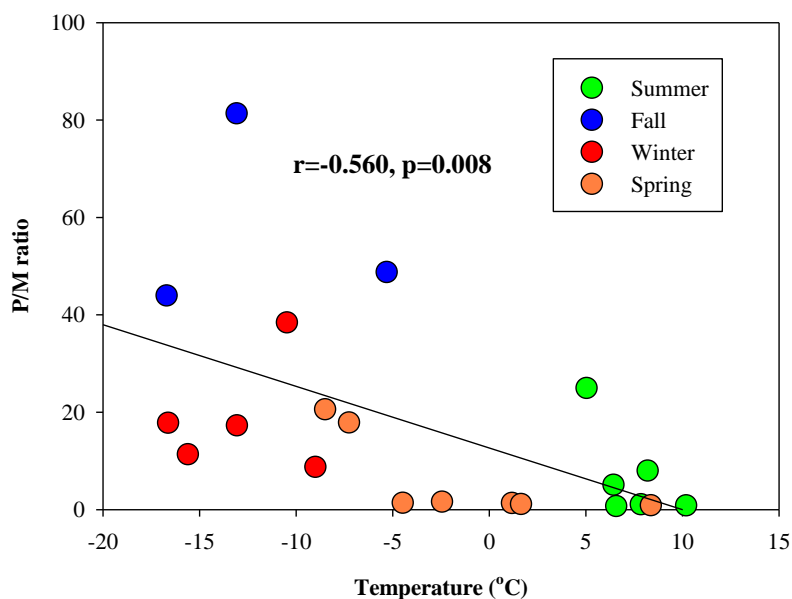


Figure 11 Negative correlation between P/M ratio and temperature

Ding, X., Wang, X., and Zheng, M.: The influence of temperature and aerosol acidity on biogenic secondary organic aerosol tracers: Observations at a rural site in the central Pearl River Delta region, South China, *Atmos. Environ.*, 45, 1303-1311, 2011.

Eddingsaas, N. C., Loza, C. L., Yee, L. D., Chan, M., Schilling, K. A., Chhabra, P. S., Seinfeld, J. H., and Wennberg, P. O.:  $\alpha$ -pinene photooxidation under controlled chemical conditions – Part 2: SOA yield and composition in low- and high-NOx environments, *Atmos. Chem. Phys.*, 12, 7413-7427, 2012.

Gómez-González, Y., Wang, W., Vermeylen, R., Chi, X., Neiryneck, J., Janssens, I. A., Maenhaut, W., and Claeys, M.: Chemical characterisation of atmospheric aerosols during a 2007 summer field campaign at Brasschaat, Belgium: sources and source processes of biogenic secondary organic aerosol, *Atmos. Chem. Phys.*, 12, 125-138, 2012.

Glasius, M., Lahaniati, M., Calogirou, A., Di Bella, D., Jensen, N. R., Hjorth, J., Kotzias, D., and Larsen, B. R.: Carboxylic acids in secondary aerosols from oxidation of cyclic monoterpenes by ozone, *Environ. Sci. Technol.*, 34, 1001-1010, 2000.

Jaoui, M., Kleindienst, T. E., Lewandowski, M., Offenberg, J. H., and Edney, E. O.: Identification and quantification of aerosol polar oxygenated compounds bearing carboxylic or hydroxyl groups. 2. Organic tracer compounds from monoterpenes, *Environ. Sci. Technol.*, 39, 5661-5673, 2005.

Offenberg, J. H., Lewis, C. W., Lewandowski, M., Jaoui, M., Kleindienst, T. E., and Edney, E. O.: Contributions of toluene and  $\alpha$ -pinene to SOA formed in an irradiated toluene/ $\alpha$ -pinene/NOx/ air mixture: Comparison of results using  $^{14}\text{C}$  content and SOA organic tracer methods, *Environ. Sci. Technol.*, 41, 3972-3976, 2007.

Szmigielski, R., Surratt, J. D., Gómez-González, Y., Veken, P. V. d., Kourtchev, I., Vermeylen, R., Blockhuys, F., Jaoui, M., Kleindienst, T. E., Lewandowski, M., Offenberg, J. H., Edney, E. O., Seinfeld, J. H., Maenhaut, W., and Claeys, M.: 3-Methyl-1,2,3-butanetricarboxylic acid: An atmospheric tracer for terpene secondary organic aerosol, *Geophys. Res. Lett.*, 34, L24811,

10.1029/2007GL031338, 2007.

13. The conclusion that biogenic SOC “dominated over anthropogenic SOC” is not robust, in part because only a single organic molecule is being used as a tracer of anthropogenic VOC – DHOPA. The specificity of DHOPA to anthropogenic sources is not fully established (Kleindienst et al. 2004). For example, biomass burning is a major source of toluene in many parts of the world (Lewis et al. 2013). Hence, DHOPA may be an indicator of the processing of biomass burning emissions, and not a measure of urban pollutants from solvent and fossil fuel use. The limitations of using a single, and potentially non-specific tracer for anthropogenic SOA must be discussed and the conclusions restated.

Reply: Our data suggested that biogenic SOC dominated over aromatic SOC (SOC<sub>A</sub>). DHOPA has been widely used to access the aromatic SOA in the United States (Kleindienst et al. 2007, Stone et al. 2009, Lewandowski et al. 2013), and China (Hu et al. 2008, Guo et al. 2012, Peng et al. 2013, Ding et al. 2014). Since aromatics are mainly emitted from anthropogenic sources, and are major anthropogenic SOA precursors, we think aromatic SOA can reflect the majority of anthropogenic SOA. We admit there are limitations using a single tracer for anthropogenic SOA. In the revised manuscript, we changed the conclusion “biogenic SOC dominated over anthropogenic SOC” to “biogenic SOC dominated over SOC<sub>A</sub>” (Line 452)

We did measure biomass burning tracer, levoglucosan in our samples. As shown in Figure 12, the monthly variation trend of levoglucosan was quite different from that of DHOPA. And there was no correlation between DHOPA and levoglucosan ( $p > 0.05$ ) at the NC site (Figure 13). These indicated that DHOPA was not mainly from the processing of biomass burning emission at the NC site. As discussed in the ACPD manuscript, the higher levels of DHOPA existed when air masses mainly came from the upwind Indian subcontinent (the Bangladesh and the northeastern India) where high population density and high levels of anthropogenic pollutants (AOD, CO, and N<sub>2</sub>O) were observed (See our response to the second comment by Reviewer #1). Thus, we believe DHOPA observed in this study should be not mainly from local biomass burning but from long-range transport.

In the revised manuscript, we addressed these “Besides urban emissions from solvent and fossil fuel use, biomass burning is an important source of aromatics in many parts of the world (Lewis et al. 2013). The local dung or biomass burning (Duo et al. 2015; Xiao et al. 2015) may be potential sources of aromatics in the TP. Hence, DHOPA may come from the processing of biomass burning emissions. Figure 7 exhibits the monthly variation of biomass burning tracer, levoglucosan during our sampling. The concentrations of levoglucosan ranged from 0.82 ng m<sup>-3</sup> (October 2012) to 4.55 ng m<sup>-3</sup> (April 2013) with a mean of  $1.87 \pm 1.14$  ng m<sup>-3</sup>. Apparently, the monthly variation trend of levoglucosan was quite different from that of DHOPA. And there was no correlation between DHOPA and levoglucosan ( $p > 0.05$ ) (Figure S6). These indicated that DHOPA was not mainly from the processing of biomass burning emission at the NC site. Since there was few anthropogenic source near the remote NC site, the SOA<sub>A</sub> tracer should be not locally formed but mainly transported from upwind regions.” (Line 339-349). Figure 12b and Figure 13 were added in the revised manuscript (as Figure 7) and the supplemental information file (as Figure S6), respectively.

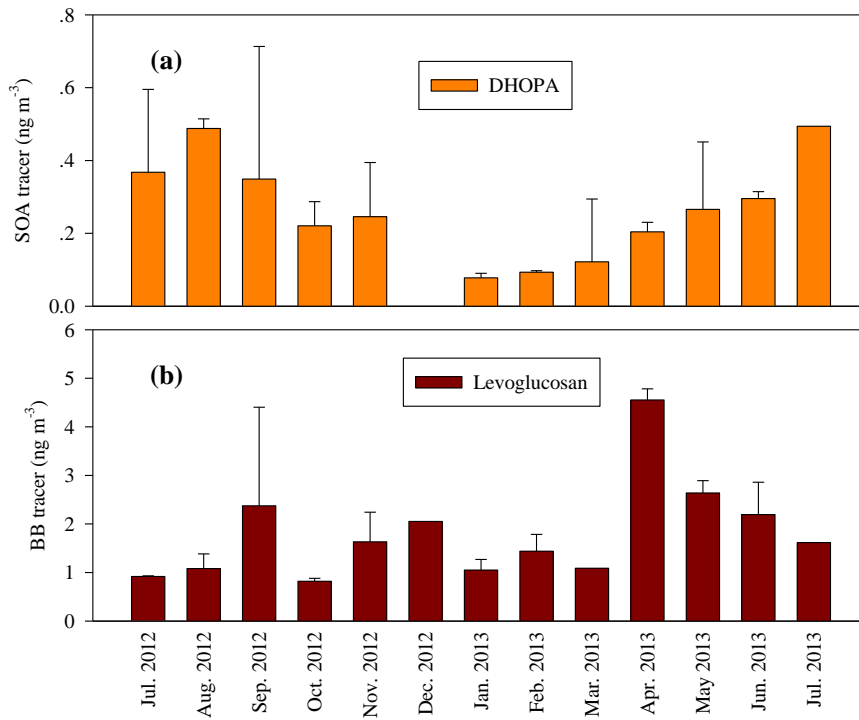


Figure 12 Monthly variations of DHOPA (a) and levoglucosan (b)

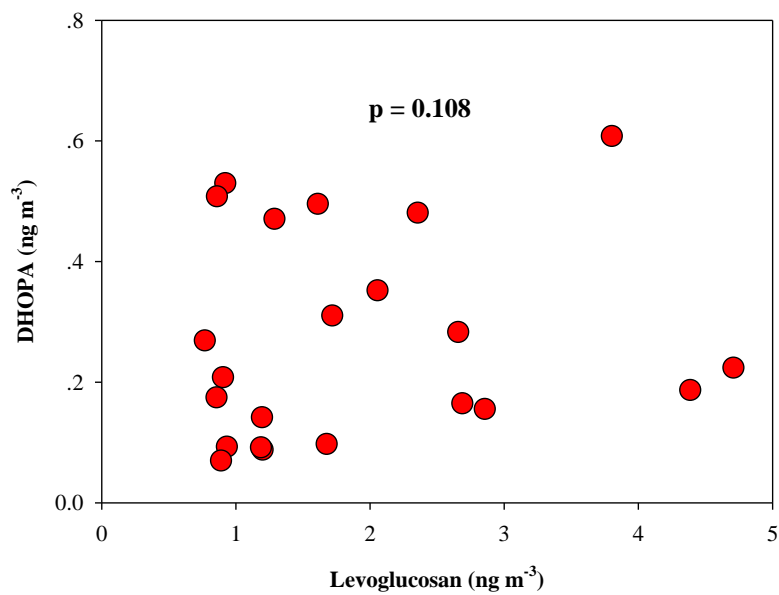


Figure 13 Scatter plot of DHOPA and levoglucosan

Kleindienst, T. E., Jaoui, M., Lewandowski, M., Offenberg, J. H., Lewis, C. W., Bhawe, P. V., and Edney, E. O.: Estimates of the contributions of biogenic and anthropogenic hydrocarbons to secondary organic aerosol at a southeastern US location, *Atmos. Environ.*, 41, 8288-8300, 2007.

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Hu, D., Bian, Q., Li, T. W. Y., Lau, A. K. H., and Yu, J. Z.: Contributions of isoprene, monoterpenes,  $\beta$ -caryophyllene, and toluene to secondary organic aerosols in Hong Kong during the summer of 2006, *J. Geophys. Res.-Atmos.*, 113, D22206, DOI: 10.1029/2008jd010437, 2008.

Guo, S., Hu, M., Guo, Q., Zhang, X., Zheng, M., Zheng, J., Chang, C. C., Schauer, J. J., and Zhang, R.: Primary sources and secondary formation of organic aerosols in Beijing, China, *Environ. Sci. Technol.*, 46, 9846-9853, 2012.

Ding, X., He, Q.-F., Shen, R.-Q., Yu, Q.-Q., and Wang, X.-M.: Spatial distributions of secondary organic aerosols from isoprene, monoterpenes,  $\beta$ -caryophyllene, and aromatics over China during summer, *J. Geophys. Res.-Atmos.*, 119, 11877-11891, 2014.

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14. Clarification needed on page 7154 line 11-12 – What specifically has been done to show that the SOA tracer approach provides “reasonable results”?

Reply: Previous studies have compared the estimated SOC by SOA-tracer method and other techniques. Lewandowski et al. (2008) found that the measured OC in the midwestern United States could be fully explained by primary OC from chemical mass balance (CMB) model plus SOC from the SOA-tracer method, suggesting that the secondary organic tracer technique could be a valuable method for SOC estimation. Kleindienst et al. (2010) further compared the estimated SOC by the SOA-tracer method and other four independent methods (multiple regressions, CMB, carbon isotope and EC-tracer) in the southeastern United States, and found that these five methods matched well. Our previous study in the Pearl River Delta, south China found SOC levels estimated by the SOA-tracer method were not only consistent with but also correlated well with those by EC-tracer method in summer, (Ding et al., 2012). The SOC apportionment results were also comparable between the SOA-tracer method and the positive matrix factorization (PMF) model in Hong Kong (Hu et al. 2010). All these demonstrate that the SOA tracer approach can provide reasonable results.

In the revised manuscript, we added these statements to show that the SOA tracer approach provides reasonable results. “Lewandowski et al. (2008) found that the measured OC in the midwestern United States could be fully explained by primary OC from chemical mass balance (CMB) model plus SOC from the SOA-tracer method, suggesting that the secondary organic tracer technique could be a valuable method for SOC estimation. Kleindienst et al. (2010) further compared the estimated SOC by the SOA-tracer method and other four independent methods (multiple regressions, CMB, carbon isotope and EC-tracer) in the southeastern United States and found that these five methods matched well. Our previous study in the Pearl River Delta found SOC levels estimated by the SOA-tracer method were not only consistent with but also correlated well with those by EC-tracer method in summer, (Ding et al., 2012). The SOC apportionment results were also comparable between the SOA-tracer method and positive matrix factorization (PMF) model in Hong Kong (Hu et al. 2010).” (Line 402-412)

Ding, X., Wang, X., Gao, B., Fu, X., He, Q., Zhao, X., Yu, J., and Zheng, M.: Tracer based estimation of secondary organic carbon in the Pearl River Delta, South China, *J. Geophys. Res.-Atmos.*, 117, D05313, doi: 10.1029/2011JD016596, 2012.

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Kleindienst, T. E., Lewandowski, M., Offenberg, J. H., Edney, E. O., Jaoui, M., Zheng, M., Ding, X., and Edgerton, E. S.: Contribution of primary and secondary sources to organic aerosol and PM<sub>2.5</sub> at SEARCH network sites, *J. Air & Waste Manage. Assoc.*, 60, 1388-1399, 2010.

Lewandowski, M., Jaoui, M., Offenberg, J. H., Kleindienst, T. E., Edney, E. O., Sheesley, R. J., and Schauer, J. J.: Primary and secondary contributions to ambient PM<sub>2.5</sub> in the midwestern United States, *Environ. Sci. Technol.*, 42, 3303-3309, 2008.

15. Page 7142 line 18: replace “emission and tracers partitioning.” With “emission and gas-particle partitioning.”

Reply: Replaced (Line 36 in the revised manuscript)

16. Page 7142, line 28: revise to “to estimate secondary”

Reply: Revised (Line 46 in the revised manuscript)

17. Page 7155, line 17 “not measure OC”

Reply: Revised (Line 440 in the revised manuscript)

18. Table 1 – improve the “Month column” The six numbers are not easily interpreted. Suggest writing out the month and year, e.g. July 2012

Reply: Revised as suggested (Page 33 in the revised manuscript)

19. Figure 2 – suggest replacing numerical dates on the x-axis with “July 2012” to improve readability.

Reply: Revised as suggested. (Page 24 in the revised manuscript)

20. Table 1 – Indicate that temp and RH are monthly averages.

Reply: We indicated that “temperature and RH are monthly averages” as the note of Table 1. (Page 33 in the revised manuscript)

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# Seasonal variation of secondary organic aerosol tracers in Central Tibetan Plateau

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## Abstract

Secondary organic aerosol (SOA) affects the earth's radiation balance and global climate. High-elevation areas are sensitive to global climate change. However, at present, SOA origins and seasonal variations are understudied in remote high-elevation areas. In this study, particulate samples were collected from July 2012 to July 2013 at the remote Nam Co (NC) site, Central Tibetan Plateau and analyzed for SOA tracers from biogenic (isoprene, monoterpenes and  $\beta$ -caryophyllene) and anthropogenic (aromatics) precursors. Among these compounds, isoprene SOA ( $SOA_I$ ) tracers represented the majority ( $26.6 \pm 44.2 \text{ ng m}^{-3}$ ), followed by monoterpene SOA ( $SOA_M$ ) tracers ( $0.97 \pm 0.57 \text{ ng m}^{-3}$ ), aromatic SOA ( $SOA_A$ ) tracer (2,3-dihydroxy-4-oxopentanoic acid, DHOPA,  $0.25 \pm 0.18 \text{ ng m}^{-3}$ ) and  $\beta$ -caryophyllene SOA tracer ( $\beta$ -caryophyllenic acid,  $0.09 \pm 0.10 \text{ ng m}^{-3}$ ).  $SOA_I$  tracers exhibited high concentrations in the summer and low levels in the winter. The similar temperature dependence of  $SOA_I$  tracers and isoprene emission suggested that the seasonal variation of  $SOA_I$  tracers at the NC site was mainly influenced by the isoprene emission. The ratio of high-NO<sub>x</sub> to low-NO<sub>x</sub> products of  $SOA_I$  (2-methylglyceric acid to 2-methyltetrols) was the highest in the winter and the lowest in the summer, due to the influence of temperature and relative humidity. The seasonal variation of  $SOA_M$  tracers was impacted by monoterpenes emission and gas-particle partitioning. During the summer to the fall, temperature effect on partitioning was the dominant process influencing  $SOA_M$  tracers' variation; while the temperature effect on emission was the dominant process influencing  $SOA_M$  tracers' variation during the winter to the spring.  $SOA_M$  tracer levels did not elevate with increased temperature in the summer, probably resulting from the counteraction of temperature effects on emission and partitioning. The concentrations of DHOPA were 1-2 orders of magnitude lower than those reported in the urban regions of the world. Due to the transport of air pollutants from the adjacent Bangladesh and the northeastern India, DHOPA presented relatively higher levels in the summer. In the winter when air masses mainly came from the northwestern India, mass fractions of DHOPA in total tracers increased, although its concentrations declined. The SOA-tracer method was applied to estimate secondary organic carbon (SOC) from these four precursors. The annual average of SOC was  $0.22 \pm 0.29 \text{ } \mu\text{gC m}^{-3}$ , with the biogenic SOC (sum of isoprene, monoterpenes and  $\beta$ -caryophyllene) accounting for 75%. In the summer, isoprene was the major precursor with its SOC contributions of 81%. In the winter when the emission of biogenic precursors largely dropped, the contributions of aromatic SOC increased. Our study implies that anthropogenic pollutants emitted in

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the Indian subcontinent could transport to the TP and have impact on SOC over the remote NC.

**Keywords:** Secondary organic aerosol, Tibetan Plateau, Isoprene, Monoterpenes, Aromatics

## 1. Introduction

Organic aerosol affects the earth's radiation balance and global climate. As a large fraction of organic aerosol, secondary organic aerosol (SOA) is produced by homogenous (Claeys *et al.* 2004) and heterogeneous (Jang *et al.* 2002) reactions of volatile organic compounds (VOCs) as well as aging of organic aerosol (Robinson *et al.* 2007, Donahue *et al.* 2012). The global emission of biogenic VOCs (BVOCs), such as isoprene and monoterpenes (Guenther *et al.* 1995) were estimated to be one order of magnitude higher than those of anthropogenic sources (Piccot *et al.* 1992). Thus, global SOA is believed to be largely from BVOCs.

SOA tracers from specific VOCs can provide insight on processes and sources influencing SOA formation and spatiotemporal distribution. The identification of the isoprene SOA (SOA<sub>I</sub>) tracers, 2-methyltetrols (Claeys *et al.* 2004) revealed the importance of SOA<sub>I</sub> in global SOA burden. The further studies in high-NO<sub>x</sub> and low-NO<sub>x</sub> products of isoprene intermediates (e.g. methacrylic acid epoxide and isoprene epoxydiols) provided more details in the mechanisms of SOA<sub>I</sub> formation under the influence of NO<sub>x</sub> (Paulot *et al.* 2009, Froyd *et al.* 2010, Surratt *et al.* 2010, Lin *et al.* 2013). The identification of tracers from aromatic SOA (SOA<sub>A</sub>) (Offenberg *et al.* 2007) offered a way to directly evaluate the variation of anthropogenic SOA, particularly in urban regions. In addition, specific tracers have been determined in monoterpene SOA (SOA<sub>M</sub>) (Jaoui *et al.* 2005, Claeys *et al.* 2007) and β-caryophyllene SOA (SOA<sub>C</sub>) (Jaoui *et al.* 2007, van Eijck *et al.* 2013). Based on these SOA tracers, Kleindienst and coworkers further developed an SOA-tracer method to attribute SOA sources in the ambient air. Since it is difficult to directly measure SOA, the SOA-tracer method provides a valuable technique to estimate SOA in the ambient air, and it has been widely used around the world (Hu *et al.* 2008, von Schneidmesser *et al.* 2009, Guo *et al.* 2012, Lewandowski *et al.* 2013, Ding *et al.* 2014).

High-elevation areas are sensitive to global climate change (Xua *et al.* 2009). Observation of aerosol concentrations and compositions at high elevation sites can provide insight into the influence of natural and anthropogenic aerosols on global climate. The Tibetan Plateau (TP), the largest and highest plateau, is at the juncture of large desert areas and the densely populated Indian subcontinent. Previous

study found the northwesterly winds could bring dust from the western deserts to the TP and lead to high levels of geological aerosols at a site on the southeast TP (Zhao *et al.* 2013). Moreover, anthropogenic pollutants (e.g. sulfate, nitrate, potassium, element carbon, and heavy metals) emitted in the developing countries in South Asia could be transported to the TP by the southerly and southwesterly winds, especially during the summer monsoon season (Cong *et al.* 2007, Ming *et al.* 2010, Li *et al.* 2013, Zhao *et al.* 2013).

The observation at the remote central TP site, Nam Co (NC) discovered that the mean ratio of organic carbon (OC) to element carbon (EC) was  $31.9 \pm 31.1$  during July 2006 to January 2007, implying the significant SOA contribution to OC (Ming *et al.* 2010) **in the TP**. However, there are only three studies in SOA compositions within the TP. Li *et al.* (2013) reported biogenic SOA (BSOA) tracers during the summer of 2010 at Qinghai Lake in the northeastern part of the TP. Stone *et al.*, (2012) measured BSOA tracers from August to October 2005 on the south slope of Himalayas in the southwestern part of the TP. Due to the limited samples, it was difficult to examine the seasonal variation of these BSOA tracers in the TP. Moreover, due to the lack of anthropogenic SOA tracers, it was not possible to examine anthropogenic SOA in the TP, although above discussions have demonstrated that air pollutants from South Asia could **be transported** to the TP. Our recent study provided a snapshot of SOA tracers over China (including the NC and Linzhi sites in the TP) during the summer of 2012 (Ding *et al.* 2014). In this study, the observation at the remote NC site extended to one year. Seasonal trends of SOA tracers from isoprene, monoterpene,  $\beta$ -caryophyllene and aromatics were determined in the TP. Furthermore, secondary organic carbon (SOC) was estimated by the SOA-tracer method to check the variations of SOA origins at the NC site. To our knowledge, it is the first time that the seasonal trends of SOA tracers and **origins are studied in the remote TP**.

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## 2. Experiment

### 2.1 Field Sampling

Samples were collected at a remote site (4730 meters above sea level) at the southeastern shore of Nam Co Lake in the central TP (Figure 1). Nam Co Lake (90°16' – 91°03' E and 30°30' – 30°55'N) is located in the Nyainqen Tanglha Mountain Range with a total area of 2017 km<sup>2</sup> (Zhou *et al.* 2013). The major vegetation in the Nam Co Lake Basin is the high cold alpine meadow.

Sampling was undertaken from July 2012 to July 2013. An Anderson sampler equipped with

9-stage cascade impactors and pre-baked quartz fiber filters (Whatman, baked at 450 °C for 8 h) was used to get size-segregated particle samples at an air flow rate of 28.3 L min<sup>-1</sup>. The 50% cutoff sizes are <0.4, 0.4–0.7, 0.7–1.1, 1.1–2.1, 2.1–3.3, 3.3–4.7, 4.7–5.8, 5.8–9.0, and ≥9.0 μm, respectively. The flow rate was calibrated before and after each sampling episode using an airflow meter to ensure the sampler operated at the specified flow rate. One set of 9 size-fractionated filters were collected for 72 hours every two weeks. Additionally, four sets of field blanks were collected in the same way as the ambient samples for 5 minutes when the sampler was turned off. All samples were wrapped with aluminum foil and stored at -18 °C before analysis.

## 2.2 Chemical Analysis

Each set of nine filters were combined together as one sample to meet the analysis requirement. Detailed information on the SOA tracer analysis is described elsewhere (Ding *et al.* 2014). Prior to solvent extraction, isotope-labeled standard mixtures were spiked into samples as internal standards. Samples were extracted twice by sonication with the mixed solvent dichloride methane (DCM)/hexane (1:1, v/v), then three times with the mixed solvent DCM/methanol (1:1, v/v). The extracts of each sample were combined, filtered and concentrated to ~2 mL. Then, the concentrated solution was divided into two parts for methylation and silylation, respectively.

The samples were analyzed by an a gas chromatography/mass spectrometer detector (GC/MSD, Agilent 7890/5975C) in the selected ion monitoring (SIM) mode with a 30 m HP-5 MS capillary column (i.d. 0.25 mm, 0.25 μm film thickness). Splitless injection of a 2 μL sample was performed. The GC temperature was initiated at 65 °C, held for 2 min, then increased to 290 °C at 5 °C min<sup>-1</sup> and held for 20 min. Thirteen SOA tracers were quantified by the GC/MSD coupled with an electron impact (EI) ionization source, including five SOA<sub>M</sub> tracers (*cis*-pinonic acid, pinic acid, 3-methyl-1,2,3-butanetricarboxylic acid, 3-hydroxyglutaric acid and 3-hydroxy-4,4-dimethylglutaric acid), six SOA<sub>I</sub> tracers (2-methylthreitol, 2-methylerythritol, 2-methylglyceric acid, *cis*-2-methyl-1,3,4-trihydroxy-1-butene, *trans*-2-methyl-1,3,4-trihydroxy-1-butene and 3-methyl-2,3,4-trihydroxy-1-butene), one SOA<sub>C</sub> tracer (β-caryophyllenic acid) and one SOA<sub>A</sub> tracer (2,3-dihydroxy-4-oxopentanoic acid, DHOPA). Figure S1 in supplemental information presents the total ion chromatogram (TIC) of these SOA tracers. *cis*-Pinonic acid and pinic acid were quantified by authentic standards. Due to the lack of standards, the SOA<sub>I</sub> tracers were quantified using erythritol

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(Claeys *et al.* 2004, Ding *et al.* 2008). The other SOA<sub>M</sub> tracers were quantified using *cis*-pinonic acid.  $\beta$ -Caryophyllenic acid and DHOPA were quantified using octadecanoic acid and azelaic acid, respectively (Ding *et al.* 2012). The EI spectrum of each SOA tracer is shown in Figure S2-S4. The method detection limits (MDLs) for *cis*-pinonic acid, pinic acid, erythritol, octadecanoic acid and azelaic acid were 0.03, 0.05, 0.04, 0.03 and 0.07 ng m<sup>-3</sup>, respectively, at a total volume of 122 m<sup>3</sup>.

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### 2.3 Quality assurance and quality control

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Field and laboratory blanks were analyzed in the same manner as the field samples. These SOA tracers were not detected in the field or laboratory blanks. To evaluate the recoveries of the analytical method, six spiked samples (authentic standards spiked into solvent with pre-baked quartz filters) were analyzed. The recoveries were 101 ± 3 % for *cis*-pinonic acid, 70 ± 10 % for pinic acid, 65 ± 14 % for erythritol, 83 ± 7 % for octadecanoic acid, and 89 ± 9 % for azelaic acid. The relative differences for target compounds in samples collected in parallel (n=6) were all below 15%.

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It should be noted that ketopinic acid was used as the surrogate for the quantification of all SOA tracers by Kleindienst *et al.* (2007); while different surrogates were used to quantify different SOA tracers in this study. The response factors of internal standard calibration for the 5 surrogates ranged from 0.98 (azelaic acid) to 1.78 (pinic acid), with the average of 1.38 and the relative standard deviation (RSD) of 23%. The response factor of ketopinic acid was also calculated in this study. Its value (1.27) was consistent with the average of the five surrogates.

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### 2.4 Estimation of measurement uncertainty

Since there is no commercial standard available for most SOA tracers (except *cis*-pinonic acid and pinic acid), the use of surrogate standards for quantification introduces additional error to measurement. Error in analyte measurement ( $E_A$ ) is propagated from the standard deviation of the field blank ( $E_{FB}$ ), error in spike recovery ( $E_R$ ) and the error from surrogate quantification ( $E_Q$ ):

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$$E_A = \sqrt{E_{FB}^2 + E_R^2 + E_Q^2} \quad (1)$$

Since SOA tracers were not detected in the field blanks,  $E_{FB}$  was 0 in this study. The spike recoveries of surrogate standards were used to estimate the  $E_R$  of tracers which ranged from 1% (*cis*-pinonic acid) to 35% (erythritol). Stone *et al.*, (2012) developed an empirical approach to estimate  $E_Q$  based on homologous series of atmospherically relevant compounds. The relative error introduced

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by each carbon atom ( $E_c$ ) was estimated to be 15 %, each oxygenated functional group ( $E_f$ ) to be 10% and alkenes ( $E_d$ ) to be 60%. The errors introduced from surrogate quantification are treated as additive and are calculated as:

$$E_Q = E_n \Delta n + E_f \Delta f + E_d \Delta d \quad (2)$$

where  $\Delta n$  is the difference in carbon atom number between a surrogate and an analyte,  $\Delta f$  is the difference in oxygen-containing functional group between a surrogate and an analyte,  $\Delta d$  is the difference in alkene functionality between a surrogate and an analyte.

Table S1 shows the estimated uncertainties in tracer measurement. The errors from surrogate quantification ( $E_Q$ ) ranged from 15% (2-methyltetros) to 155% ( $\beta$ -caryophyllenic acid) in this study. Propagated with the error in recovery, the uncertainties in analyte measurement ( $E_A$ ) were estimated in the range of 38% to 156%.

## 2.5. Backward trajectories

The air masses' transport during each sampling episode was investigated using Hybrid Single Particle Lagrangian Integrated Trajectory Model (HYSPLIT V4.9). Five-day backward trajectories (BTs) were analyzed during each sampling episode with 6-hour step at the height of 500 m above ground level. Then cluster analysis was performed to present the mean trajectory of each cluster, based on all the trajectories during our campaign.

## 3. Results and Discussions

### 3.1 Seasonal variations of SOA tracers

Since the NC site is located in the high elevation TP, the annual temperature was only -1.64 °C with the range of -16.1 °C in January to 10.2 °C in July (Table 1). The annual relative humidity (RH) was 58% with the peak in July (84%) and the lowest in January (30%). The sum of all tracers ranged from 0.78 to 185 ng m<sup>-3</sup>. Among these compounds, SOA<sub>I</sub> tracers (26.6 ± 44.2 ng m<sup>-3</sup>) represented the majority, followed by SOA<sub>M</sub> tracers (0.97 ± 0.57 ng m<sup>-3</sup>), DHOPA (0.25 ± 0.18 ng m<sup>-3</sup>) and  $\beta$ -caryophyllenic acid (0.09 ± 0.10 ng m<sup>-3</sup>). During the summer (July-September 2012 and June-July 2013), SOA<sub>I</sub> tracers presented the majority (> 95%). The mass fractions of SOA<sub>M</sub> tracers in all compounds increased during the cold period (October 2012 to May 2013).

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### 3.1.1 Isoprene SOA tracers

The total concentrations of SOA<sub>I</sub> tracers (sum of six tracers) ranged from 0.36 – 184 ng m<sup>-3</sup>. The levels of SOA<sub>I</sub> tracers were 1-2 orders of magnitude higher than those over the global oceans and the Arctic (Table 2). Among the SOA<sub>I</sub> tracers, 2-methyltetrols (sum of 2-methylthreitol and 2-methylerythritol, MTLs) were the major components (72%), with an annual average of 23.8 ± 40.3 ng m<sup>-3</sup> (0.18 to 165 ng m<sup>-3</sup>). The 2-methylglyceric acid (MGA) averaged 1.95 ± 2.92 ng m<sup>-3</sup> and C<sub>5</sub>-alkenetriols (sum of *cis*-2-methyl-1,3,4-trihydroxy-1-butene, *trans*-2-methyl-1,3,4-trihydroxy-1-butene, and 3-methyl-2,3,4-trihydroxy-1-butene) averaged 0.93 ± 1.39 ng m<sup>-3</sup>. MTLs are produced through the particle-phase uptake of the epoxydiols that formed in the gas-phase photo-oxidation of isoprene under low-NO<sub>x</sub> or NO<sub>x</sub> free conditions (Paulot *et al.* 2009, Surratt *et al.* 2010). Since the remote TP is a low-NO<sub>x</sub> environment, it is expected that the low-NO<sub>x</sub> products, MTLs dominated over other SOA<sub>I</sub> tracers. The majority of MTLs at the NC site was consistent with those observed within the TP (Stone *et al.* 2012, Li *et al.* 2013) and over most global oceans (Fu *et al.* 2011, Hu *et al.* 2013), but different from those over the North Pacific Ocean and the Arctic where MGA was the major SOA<sub>I</sub> tracer due to the significant influence of Siberian fires (Fu *et al.* 2011, Ding *et al.* 2013). The two MTL isomers exhibited a strong correlation with each other throughout the year (R<sup>2</sup>=0.996, p<0.001) with a slope of 3.7, indicating that the two isomers shared similar formation pathways.

Figure 2a presents a typical seasonal trend of SOA<sub>I</sub> tracers that high concentrations all existed in the summer. From October 2012 to April 2013, temperature was below zero, the levels of SOA<sub>I</sub> tracers dramatically decreased as low as 0.38 ng m<sup>-3</sup> in January.

Isoprene emission rate (E<sub>i</sub>) depends on light and temperature (Guenther *et al.* 1993):

$$E_i = EF_i \times C_L \times C_T \quad (3)$$

where EF<sub>i</sub> is the basal emission rate at 30 °C leaf temperature and 1000 μmol m<sup>-2</sup>s<sup>-1</sup> PAR. C<sub>L</sub> and C<sub>T</sub> are the factors representing the influences of light and temperature, respectively. C<sub>T</sub> can be estimated as:

$$C_T = \frac{\exp\left(\frac{C_{T1}(T-T_s)}{RT_s^2}\right)}{1 + \exp\left(\frac{C_{T2}(T-T_m)}{RT_s^2}\right)} \quad (4)$$

Then the natural logarithm of C<sub>T</sub> is calculated as:

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$$\ln C_T = \frac{C_{T1}}{RT_s} \left(1 - \frac{T_s}{T}\right) - \ln \left[1 + \exp \frac{C_{T2}}{RT_s} \left(1 - \frac{T_m}{T}\right)\right] \quad (5)$$

where  $R = 8.314 \text{ J K}^{-1} \text{ mol}^{-1}$ ,  $C_{T1} = 95000 \text{ J mol}^{-1}$ ,  $C_{T2} = 230000 \text{ J mol}^{-1}$ ,  $T_s = 303 \text{ K}$ ,  $T_m = 314 \text{ K}$ , and  $T$  is the leaf temperature (Guenther *et al.* 1993). Under the condition of  $T < T_m$ , the latter part in Equation (5) is close to zero, and  $\ln C_T$  is linearly correlated with  $1/T$ .

Figure 3a presents a negative correlation between the natural logarithm of SOA<sub>I</sub> tracer levels and the reciprocal of temperature in Kelvin ( $p < 0.001$ ). Moreover, the temperature dependence of SOA<sub>I</sub> tracers was similar to that of C<sub>T</sub>, and SOA<sub>I</sub> tracers exhibited a significant positive correlation with C<sub>T</sub> during our sampling at the NC site (Figure 3b). These results indicated that the seasonal variation of SOA<sub>I</sub> tracers at the NC site was mainly influenced by the isoprene emission. Considering the short lifetime (several hours) of isoprene in the air, SOA<sub>I</sub> should be mainly formed from local precursor. In summer, high temperature and intense light could enhance isoprene emission and photo-reactions. Moreover, high temperature in summer could enhance the heterogeneous reactions of isoprene-derived epoxides on particles which play the key roles in SOA<sub>I</sub> formation (Lin *et al.*, 2013; Paulot *et al.*, 2009). All these interpreted the high levels of SOA<sub>I</sub> tracers in the summer at the NC site. In the winter, isoprene emission significantly dropped due to the extremely low temperature. Thus, the tracers were only in trace amount at the NC site.

It is worth noting that the ratio of MGA to MTLs (MGA/MTLs) was negatively correlated with temperature (Figure 4a) and RH (Figure 4b). Based on chamber results, the formation mechanisms of MGA and MTLs are quite different. MGA is produced under high-NO<sub>x</sub> conditions; while MTLs are mainly formed under low-NO<sub>x</sub> or NO<sub>x</sub> free conditions (Surratt *et al.* 2010). Moreover, low RH (15 – 40 %) could enhance the formation of MGA in the particulate phase but not of MTLs (Zhang *et al.* 2011). In addition, high particle acidity would favor the formation of MTLs instead of MGA (Surratt *et al.* 2007). Although there are few data available in the TP, the aerosols are expected to be neutral at the remote NC site. Thus, the influence of acidity on MGA/MTLs should be not significant. Isoprene emission is apparently high in summer due to high temperature and light intensity, which could enhance the ratio of isoprene to NO<sub>x</sub> and favor MTLs formation at the NC site. Moreover, high RH (~70%) in the summer (Table 1) could not favor MGA formation. Thus, MGA/MTLs exhibited the lowest values (less than 0.1) in the summer samples (Figure 4). In the winter, both temperature and RH dropped to the lowest of the whole year. Low temperature reduced isoprene emission and low RH

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avored MGA formation. Thus, MGA/MTLs increased up to 0.8 in the winter samples (Figure 4).

### 3.1.2 Terpene SOA tracers

The total concentrations of SOA<sub>M</sub> tracers (sum of five tracers) ranged from 0.11 – 2.39 ng m<sup>-3</sup>. The levels of the SOA<sub>M</sub> tracers were consistent with those over the global oceans and the Arctic (Table 2). Among these tracers, *cis*-pinonic acid was the major compound (54%), with an annual average of 0.49 ± 0.38 ng m<sup>-3</sup>, followed by pinic acid (0.22 ± 0.32 ng m<sup>-3</sup>), 3-methyl-1,2,3-butanetricarboxylic acid (0.18 ± 0.25 ng m<sup>-3</sup>), 3-hydroxyglutaric acid (0.08 ± 0.06 ng m<sup>-3</sup>) and 3-hydroxy-4,4-dimethylglutaric acid (below MDL in the most samples).

The monthly variation of SOA<sub>M</sub> tracers did not fully follow that of temperature (Figure 2b). From July to November 2012 (period 1), temperature decreased to -15 °C; while SOA<sub>M</sub> tracer levels increased as high as 1.99 ng m<sup>-3</sup>. After that, both temperature and SOA<sub>M</sub> tracers dropped to the lowest values in January 2013, and increased concurrently till April 2013 (period 2). During May to July 2013 (period 3), SOA<sub>M</sub> tracer levels exhibited slight variation, although temperature kept increasing.

The seasonal variation of SOA<sub>M</sub> tracers could be influenced by monoterpenes emission and gas-particle partitioning. Monoterpenes emission rate (E<sub>M</sub>) is often assumed to be solely dependent on temperature (Guenther *et al.* 1993):

$$E_M = EF_M \times \gamma_T \quad (6)$$

$$\gamma_T = \exp^{\beta(T-T_s)} \quad (7)$$

where EF<sub>M</sub> is monoterpenes emission rate at a standard temperature T<sub>s</sub> (303 K), γ<sub>T</sub> is the activity factor by temperature, β is an empirical coefficient usually taken to be 0.09 K<sup>-1</sup> (Guenther *et al.* 1993), T is the leaf temperature.

SOA yield (Y) of precursors could be expressed using an empirical relationship based on gas-particle partitioning of two semi-volatile products (Odum *et al.*, 1996):

$$Y = M_0 \sum_i \frac{\alpha_i K_i}{1 + \alpha_i K_i} \quad (8)$$

where M<sub>0</sub> (μg m<sup>-3</sup>) is the total concentration of absorbing organic material, α<sub>i</sub> is the mass stoichiometric coefficients of the product i, K<sub>i</sub> (m<sup>3</sup> μg<sup>-1</sup>) is the temperature-dependent partitioning coefficient of the semi-volatile compound i. Assuming a constant activity coefficient and mean molecular weight, the partitioning coefficient, K<sub>i</sub>(T) at a certain temperature (T) could be estimated as (Sheehan and

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Bowman, 2001):

$$K_i(T) = K_i^* \frac{T}{T^*} \exp \left[ \frac{H_i}{R} \left( \frac{1}{T^*} - \frac{1}{T} \right) \right] \quad (9)$$

where  $K_i^*$  is an experimentally determined partitioning coefficient at a reference temperature,  $T^*$ ,  $H_i$  is the vaporization enthalpy,  $R$  is the gas constant. To model the temperature-dependent absorptive partitioning, three parameters,  $\alpha_i$ ,  $K_i$ , and  $H_i$ , are required for each condensable product.

Table S2 lists all the parameters for two-product model of  $\alpha$ -pinene SOA which were also used to estimate the temperature effect on SOA partitioning by Sheehan and Bowman (2001). The available data of OC at the NC site were reported in the range of 1.18 to 2.26  $\mu\text{gC m}^{-3}$  during July 2006 to January 2007 with an average of 1.66  $\mu\text{gC m}^{-3}$  (Ming et al., 2010). Thus,  $M_{\text{OC}}$  is calculated as 2.32  $\mu\text{g m}^{-3}$  by the average OC multiplying 1.4. Figure S5 shows the temperature dependence of  $\alpha$ -pinene emission rate ( $\gamma_{\text{P}}$ ) and SOA yield within the temperature range at the NC site (-16.7 to 10.2  $^{\circ}\text{C}$ ). Obviously, decreasing temperature could reduce the emission but enhance the gas to particle partitioning and SOA yield.

From July to November 2012 (period 1), high values of  $\text{SOA}_{\text{M}}$  tracers and SOA yield existed under low temperature, and  $\text{SOA}_{\text{M}}$  tracers were positively correlated with SOA yield ( $r=0.647$ ,  $p<0.05$ , Figure 5a). These suggested that the temperature effect on partitioning was the dominant process influencing  $\text{SOA}_{\text{M}}$  tracers' variation during the period 1. From December 2012 to April 2013 (period 2), high values of  $\text{SOA}_{\text{M}}$  tracers and activity factor ( $\gamma_{\text{P}}$ ) existed under high temperature, and  $\text{SOA}_{\text{M}}$  tracers were positively correlated with  $\gamma_{\text{P}}$  ( $r=0.741$ ,  $p<0.05$ , Figure 5b). These suggested that the temperature effect on emission was the dominant process influencing  $\text{SOA}_{\text{M}}$  tracers' variation during the period 2. The increase of  $\text{SOA}_{\text{M}}$  tracer concentrations during spring was also observed in the southeastern United States (Ding et al. 2008), resulting from the enhancement of monoterpenes emission in spring (Kim 2011). From May to July 2013 (period 3),  $\text{SOA}_{\text{M}}$  tracer concentrations were relative stable, and there was no correlation of  $\text{SOA}_{\text{M}}$  tracers with  $\gamma_{\text{P}}$  or SOA yield ( $p>0.05$ ). These might result from the counteraction of temperature effects on emission and partitioning during the summer.

Previous study proposed that *cis*-pinonic acid and pinic acid (P) were the first-generation products of  $\text{SOA}_{\text{M}}$  and only formed under low-NO<sub>x</sub> conditions (Eddingsas et al. 2012). The dominance of *cis*-pinonic acid and pinic acid among  $\text{SOA}_{\text{M}}$  tracers at the remote NC site indicated that  $\text{SOA}_{\text{M}}$  there was mainly formed under low-NO<sub>x</sub> conditions. Moreover, *cis*-pinonic acid and pinic acid could be

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further photo-degraded to high-generation products, e.g. 3-methyl-1,2,3-butanetricarboxylic acid (M) (Glasius et al. 2000; Jaoui et al. 2005; Szmigielski, et al., 2007). And the ratio of *cis*-pinonic acid plus pinic acid to 3-methyl-1,2,3-butanetricarboxylic acid (P/M) could be applied to trace the aging of SOA<sub>M</sub> (Ding et al., 2011; Gómez-González et al., 2012). In the fresh chamber produced  $\alpha$ -pinene SOA samples, the ratios of P/M were reported in the range of 1.51 to 3.21 (Offenberg, et al., 2007). In this study, the ratio of P/M averaged  $16.7 \pm 20.9$ . Thus, SOA<sub>M</sub> was generally fresh at the NC site and should be mainly formed from local precursors. Figure 6 presents a negative correlation between P/M and temperature ( $r=-0.560$ ,  $p=0.008$ ). Higher P/M ratios were observed in the fall and the winter, and lower P/M ratios occurred in the spring and the summer. Since temperature has positive influence on photo-reaction rates, the higher temperature during the summer could accelerate the photochemistry in the air and result in P to M conversion being more efficient. Thus, SOA<sub>M</sub> in the summer was more aged than that in the winter.

The levels of SOA<sub>C</sub> tracer,  $\beta$ -caryophyllenic acid were in the range of below MDL to  $0.40 \text{ ng m}^{-3}$ . As Figure 2c shows, the levels elevated from July to November 2012 and dropped to below MDL in December 2012. Then, the concentrations increased from January to March 2013 and decreased from April to June 2013.  $\beta$ -Caryophyllenic acid was positively correlated with SOA<sub>M</sub> tracers ( $p=0.025$ ), indicating that the seasonal variation of  $\beta$ -caryophyllenic acid was similar with that of the SOA<sub>M</sub> tracers.

### 3.1.3 Aromatic SOA tracer

The levels of SOA<sub>A</sub> tracer, DHOPA were in the range of below MDL to  $0.61 \text{ ng m}^{-3}$ . This anthropogenic tracer was not detected or reported in global remote areas (Table 2). Due to few human activity at the remote NC site, the highest concentration of DHOPA was 1-2 orders of magnitude lower than those (up to  $52 \text{ ng m}^{-3}$ ) reported in the urban regions of United States (Lewandowski et al. 2013) and China (Ding et al. 2014). DHOPA exhibited the higher concentrations in the summer and the lower levels in the winter (Figure 2d).

Besides urban emissions from solvent and fossil fuel use, biomass burning is an important source of aromatics in many parts of the world (Lewis et al. 2013). The local dung or biomass burning (Duo et al. 2015; Xiao et al. 2015), may be potential sources of aromatics in the TP. Hence, DHOPA may come from the processing of biomass burning emission. Figure 7 exhibits the monthly variation of biomass

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During the period 1, decreasing temperature could reduce monoterpenes emission and reactions. However, SOA<sub>M</sub> tracer levels were increasing, probably due to the dominant influence of partitioning over emission. During the period 2, both SOA<sub>M</sub> tracer levels and temperature were increasing. The natural logarithm of SOA<sub>M</sub> tracer levels were positively correlated with temperature (Figure 3b), which was similar to that between  $\ln \gamma_T$  and temperature within the temperature range at the NC site (Figure 3b). The similar temperature dependence of SOA<sub>M</sub> tracers and  $\gamma_T$  indicated that the significant increase of SOA<sub>M</sub> from winter to spring at the NC site was mainly influenced by monoterpenes emission. The increase of SOA<sub>M</sub> tracer concentrations during spring was also observed in the southeastern United States (Ding et al. 2008), resulting from the enhancement of monoterpenes emission in spring (Kim 2011). During the period 3, high temperature could enhance monoterpenes emission and tracers formation; while it could favor the evaporation of these tracers from particle phase into gas phase. Thus, the relative stable of SOA<sub>M</sub> tracer concentrations during the period 3 might reflect the counteraction of temperature effects on monoterpenes emission/tracers formation and gas/particulate

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burning tracer, levoglucosan during our sampling. The concentrations of levoglucosan ranged from 0.82 ng m<sup>-3</sup> (October 2012) to 4.55 ng m<sup>-3</sup> (April 2013) with a mean of 1.87 ± 1.14 ng m<sup>-3</sup>. Apparently, the monthly variation trend of levoglucosan was quite different from that of DHOPA. And there was no correlation between DHOPA and levoglucosan (p>0.05) (Figure S6). These indicated that DHOPA was not mainly from the processing of biomass burning emission at the NC site. Since there was few anthropogenic source near the remote NC site, the SOA<sub>A</sub> tracer should be not locally formed but mainly transported from upwind regions.

To check the potential source areas of anthropogenic emissions, the satellite data of population density (<http://sedac.ciesin.columbia.edu/theme/population>), aerosol optical thickness (AOT, <http://neo.sci.gsfc.nasa.gov/>), tropospheric NO<sub>2</sub> vertical column densities (VCD, <http://avdc.gsfc.nasa.gov/>), and surface CO (<https://www2.acd.ucar.edu/mopitt>) were analysis on the global scale. As shown in Figure S7a, the northern Indian subcontinent was the most populated region of the world, with a population density of more than 1000 persons per km<sup>2</sup>. Moreover, the plots of global AOT, tropospheric NO<sub>2</sub> VCD, and surface CO (Figure S7, b-d) all illustrated that the northern Indian subcontinent, including Bangladesh, Nepal, the northeastern India, and the northwestern India was the global hotspots of these anthropogenic pollutants. Compared with the northern Indian subcontinent, the TP exhibited extremely low population density and low levels of AOT, surface CO, and NO<sub>2</sub> VCD (Figure 8, a-d). Besides these satellite data, a recent study at a site in the northwestern India (Indo-Gangetic plain) witnessed extremely high levels (up to 2065 ng m<sup>-3</sup>) of polycyclic aromatic hydrocarbons which were mainly formed from anthropogenic combustion processes (Dubey et al., 2015). All these demonstrated that there were high anthropogenic emissions in the northern India subcontinent.

The TP features a monsoon climate (Cong et al. 2007, Ming et al. 2010, Zhao et al. 2013). Figure 9a presents the average trajectory of each cluster during our sampling in the whole year. The air masses over the NC were primarily from Bangladesh, Nepal and the northeastern India (cluster 1, 32%), the northwestern India (Indo-Gangetic basin) (cluster 3–6, 55%), and the Taklimakan Desert (cluster 2, 13%) during the sampling period. In the summer, the prevailing southerly winds (cluster 1, Figure 9b) passed through the heavily polluted areas in the Bangladesh and the northeastern India, and could bring anthropogenic pollutants into the TP. Previous studies in the TP have witnessed the enrichment of anthropogenic metals (Cong et al. 2007) and the enhancement of carbonaceous aerosols (Ming et al.

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2010, Zhao *et al.* 2013) under the influence of summer monsoon. Thus, the increase of DHOPA levels at the NC site in the summer was mainly due to the transport of air pollutants from the upwind Bangladesh and the northeastern India.

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In the winter, the air masses over the NC site were mainly originated from the northwestern India (Indo-Gangetic basin) by the westerly winds (Figure 9b). Compared with the summer samples, the winter samples underwent the longer distance transport. Moreover, extremely low temperature in the winter could reduce DHOPA formation. Therefore, the levels of DHOPA were lower in the winter. It is worth noting that the mass fractions of DHOPA in all tracers significantly elevated in the winter (less than 2% in the summer but up to 10% in January, Figure 2d), although its levels reduced. As described in equation (3) and (6), temperature is an important factor controlling BVOCs emission. The drop of temperature from the summer (up to 10.2 °C) to the winter (low to -16.7 °C) at the NC site would lead to the emission of isoprene and monoterpenes decreasing by 98% and 90%, respectively. The elevated fractions of DHOPA in the winter samples suggested that the SOA contributions from aromatics would increase in the winter when BVOCs emission largely decreased.

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### 3.2 Source apportionment

The SOA-tracer method developed by Kleindienst and co-workers was applied to attribute SOC at the NC site. The researchers performed chamber experiments to obtain the mass fraction of the tracers in SOC ( $f_{SOC}$ ) for individual precursor:

$$f_{SOC} = \frac{\sum_i [tri]}{[SOC]} \quad (10)$$

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where  $\sum_i [tri]$  is the total concentrations of the tracers for a certain precursor,  $[SOC]$  is the mass concentration of SOC. With these  $f_{SOC}$  values and the measured SOA tracers in the ambient air, SOC from different precursors can be estimated in the atmosphere, with the assumption that the  $f_{SOC}$  values in the chamber are the same as those in the ambient air. There is some degree of uncertainty in the SOA-tracer method due to the quantification with a single surrogate calibration standard (ketopinic acid) and the simplification of applying SOA tracers and conversion factors to calculate SOC in the ambient samples (Kleindienst *et al.* 2007). However, this method has been widely applied to attribute SOC from different precursors and proven to be able to provide reasonable results in the United States (Kleindienst *et al.* 2007, Stone *et al.* 2009, Lewandowski *et al.* 2013), and China (Hu *et al.* 2008, Guo

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*et al.* 2012, Peng *et al.* 2013, Ding *et al.* 2014). Lewandowski *et al.* (2008) found that the measured OC in the midwestern United States could be fully explained by primary OC from chemical mass balance (CMB) model plus SOC from the SOA-tracer method, suggesting that the secondary organic tracer technique could be a valuable method for SOC estimation. Kleindienst *et al.* (2010) further compared the estimated SOC by the SOA-tracer method and other four independent methods (multiple regressions, CMB, carbon isotope and EC-tracer) in the southeastern United States, and found that these five methods matched well. Our previous study in the Pearl River Delta found SOC levels estimated by the SOA-tracer method were not only consistent with but also correlated well with those by EC-tracer method in summer, (Ding *et al.*, 2012). The SOC apportionment results were also comparable between the SOA-tracer method and positive matrix factorization (PMF) model in Hong Kong (Hu *et al.* 2010).

The  $f_{\text{SOC}}$  were reported as  $0.155 \pm 0.039 \mu\text{g } \mu\text{gC}^{-1}$ ,  $0.023 \pm 0.0046 \mu\text{g } \mu\text{gC}^{-1}$  and  $0.00797 \pm 0.0026 \mu\text{g } \mu\text{gC}^{-1}$  for isoprene ( $\text{SOC}_I$ ),  $\beta$ -caryophyllene ( $\text{SOC}_C$ ) and aromatics ( $\text{SOC}_A$ ), respectively (Kleindienst *et al.* 2007). In this study, the same set of SOA tracers as reported by Kleindienst *et al.* (2007) were used for SOC estimation, including MGA and MTLs for  $\text{SOC}_I$ ,  $\beta$ -caryophyllenic acid for  $\text{SOC}_C$  and DHOPA for  $\text{SOC}_A$ . For monoterpene SOC ( $\text{SOC}_M$ ), nine tracers were involved in the source profile (Kleindienst *et al.* 2007). However, only five of the nine  $\text{SOA}_M$  tracers were measured in the current study. Wang *et al.* (2013) compared the results from model prediction with field observation in the Pearl River Delta and pointed out that the SOA-tracer method would underestimate  $\text{SOA}_M$ , probably due to the mismatch of tracer compositions in the field and the source profile (Ding *et al.* 2014). To minimize the uncertainty caused by the mismatch in tracer compositions, the  $f_{\text{SOC}}$  with the same five  $\text{SOA}_M$  tracers ( $0.059 \mu\text{g } \mu\text{gC}^{-1}$ ) was computed using the chamber data from another study by the same research group (Offenberg *et al.* 2007). The same  $f_{\text{SOC}}$  for  $\text{SOA}_M$  was also applied to estimate  $\text{SOC}_M$  in our previous study over China (Ding *et al.* 2014).

The uncertainty in the SOA-tracer method is induced from the analysis of organic tracers and the determination of the conversion factors. Based on the  $E_A$  values in Table S1, the uncertainties in the tracer analyses were within 40% for  $\text{SOA}_I$  (only MGA and MTLs involved for SOC estimation), 95% for  $\text{SOA}_M$ , 156% for  $\text{SOA}_C$ , and 91% for  $\text{SOA}_A$ . The uncertainties of  $f_{\text{SOC}}$  were reported to be 25% for isoprene, 48% for monoterpenes, 22% for  $\beta$ -caryophyllene and 33% for aromatics (Kleindienst *et al.* 2007, Lewandowski *et al.* 2013). Considering these factors, the uncertainties of SOC apportionment

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were calculated through error propagation. The RSD were 47% for SOC<sub>I</sub>, 106% for SOC<sub>M</sub>, 157% for SOC<sub>C</sub>, and 96% for SOC<sub>A</sub>. On average, the RSD of the reconstructed SOC (sum of the four precursors) was 51 ± 11%.

Figure 10 presents the monthly variations of the reconstructed SOC. SOC was high in the summer 2012 and declined from October to December. After that, it kept increasing from January to June. The total concentrations of SOC ranged from 0.02 µgC m<sup>-3</sup> to 0.69 µgC m<sup>-3</sup> with an annual average of 0.22 ± 0.29 µgC m<sup>-3</sup>. The available data of OC in total suspended particles at the NC site were reported in the range of 1.18 to 2.26 µgC m<sup>-3</sup> during July 2006 to January 2007 (Ming *et al.* 2010). Since we did not measure OC in our size-segregated samples, the OC data reported by Ming *et al.* (2010) were used to calculate SOC fraction in OC (SOC/OC) from July to January. The calculated SOC/OC was average 38% in the summer and up to 58% in September, suggesting that SOC was an important contributor to OC at the NC site during the summer (Ming *et al.* 2010). However, from the fall to winter, the elevated OC and decreased SOC led to SOC/OC declining from 11% (in October) to 1% (in January), indicating that SOA from the four precursors had minor contributions to the elevated OC. Since the air masses during the fall to the winter were mostly originated from the northwestern Indo-Gangetic basin (cluster 3-6 in Figure 9), primary pollutants emitted there could transport to the TP and have significant impact on the air at the NC site. In addition, SOA from aqueous-phase reactions and primary OA aging could not be captured by the SOA-tracer method. Thus, the current results might underestimate the total amount of SOC, which partly explained the low OC shares of SOC at the NC site during the fall to the winter.

Biogenic SOC (sum of SOC<sub>I</sub>, SOC<sub>M</sub>, and SOC<sub>C</sub>) dominated over SOC<sub>A</sub> at the NC site, averagely accounting for 75% of the estimated SOC. In the summer, SOC<sub>I</sub> was the major contributor with the SOC shares of 81%. From the fall to the spring, SOC<sub>M</sub> became the major contributor, averagely contributing 38% to SOC. Although SOC<sub>A</sub> level reduced in the winter, SOC<sub>A</sub> contribution elevated as high as 53% in January 2013. The elevated OC and the higher SOC<sub>A</sub> contribution in the winter samples (Figure 10) implied that the transport of anthropogenic pollutants from the Indian subcontinent might have significant influence on carbonaceous aerosols over the remote NC during winter.

#### 4. Conclusion

Seasonal trends of SOA tracers and origins were studied in the remote TP for the first time. SOA<sub>I</sub>

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tracers represented the majority among these compounds. The significant temperature dependence of SOA<sub>I</sub> tracers suggested that the seasonal variation of SOA<sub>I</sub> tracers at the NC site was mainly influenced by the isoprene emission. Due to the influence of temperature and relative humidity, the ratio of high-NO<sub>x</sub> to low-NO<sub>x</sub> products of SOA<sub>I</sub> (MGA/MTLs) was the highest in the winter and the lowest in the summer. The seasonal variation of SOA<sub>M</sub> tracers was impacted by monoterpenes emission and gas-particle partitioning. Due to the transport of air pollutants from the Indian subcontinent, DHOPA presented relatively higher concentrations in the summer and increased mass fractions in the winter. The SOA-tracer method was applied to estimated SOC from these four precursors. The annual average of SOC was  $0.22 \pm 0.29 \mu\text{gC m}^{-3}$ , with the biogenic SOC accounting for 75%. In the summer, isoprene was the major precursor with its SOC shares of 81%. In the winter when the emissions of biogenic precursors largely declined, the contributions of SOC<sub>A</sub> increased. At present, SOA origins and their seasonal variations are unclear in the remote high-elevation TP. The remote TP is connected to the densely populated Indian subcontinent. Our study implies that anthropogenic pollutants emitted there could transport to the TP and influence SOC over the remote NC.

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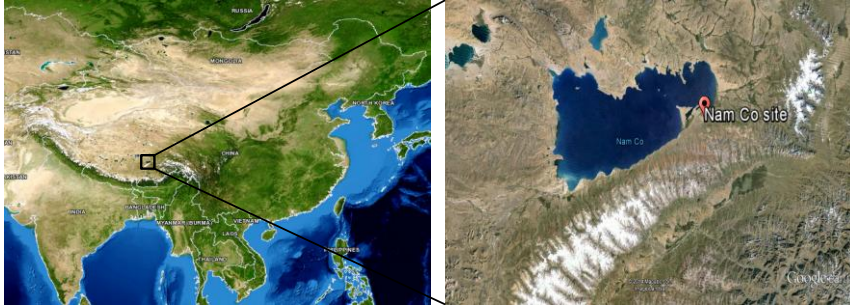


Figure 1 Nam Co site in the Tibetan Plateau, China

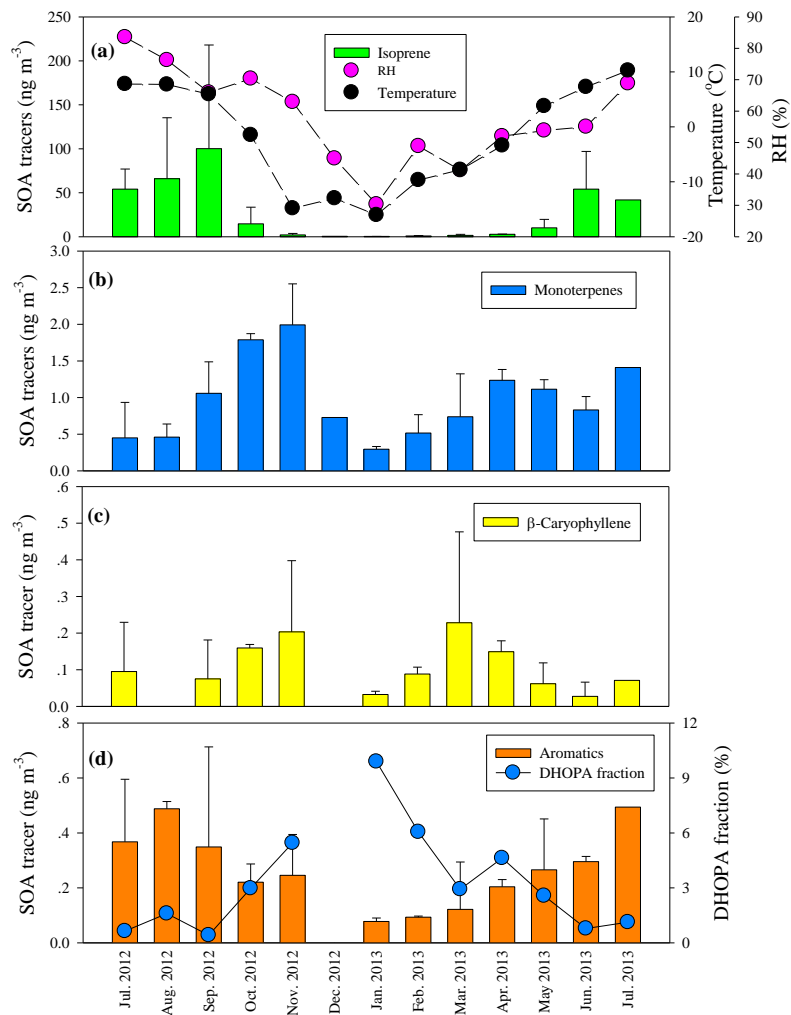
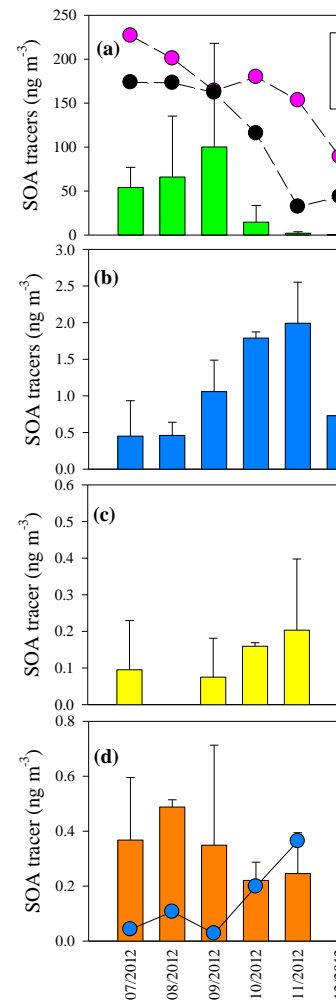


Figure 2 Monthly variations of SOA tracers.

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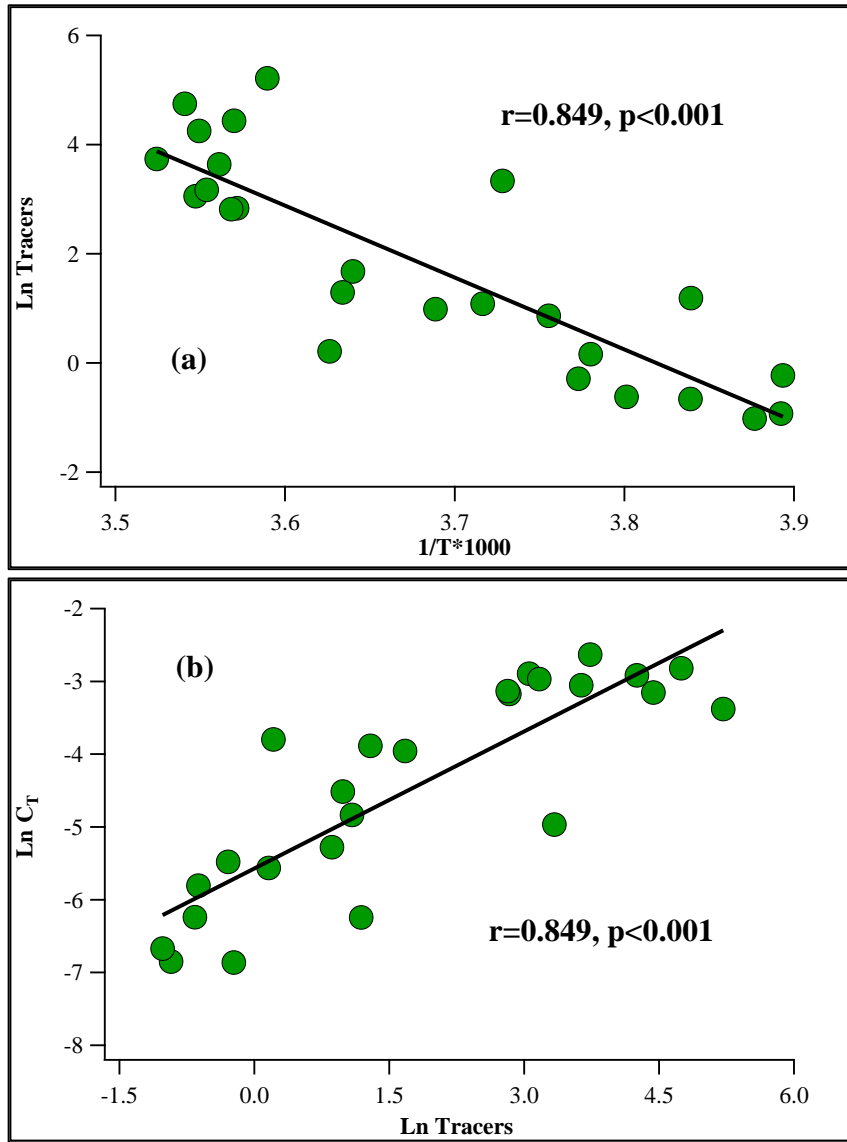
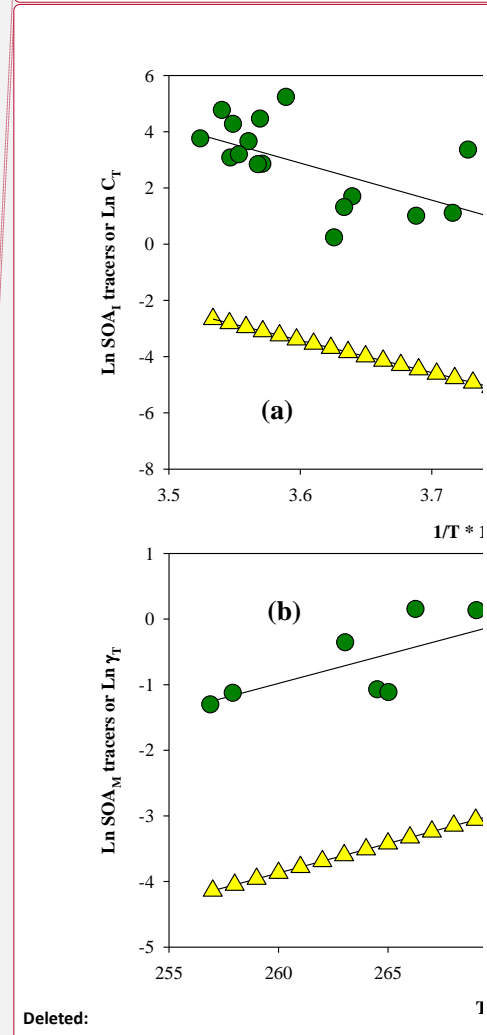


Figure 3 Correlations of SOA<sub>1</sub> tracers with temperature (a) and C<sub>T</sub> (b).

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Deleted: Figure 3 Temperature dependences of SOA<sub>1</sub> tracers in the whole year (a) and SOA<sub>M</sub> tracers during the period 2 (b). All correlations are significant ( $p<0.001$ ).

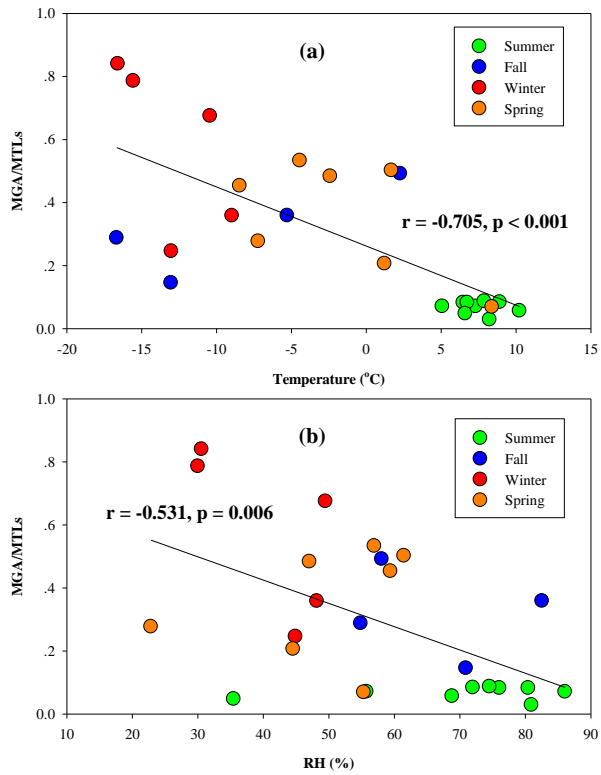


Figure 4 Correlations of MGA/MTL with temperature (a) and relative humidity (b). Summer is from July to September 2012 and from June to July 2013, fall is from October to November 2012, winter is from December 2012 to February 2013, and spring is from March to May 2013.

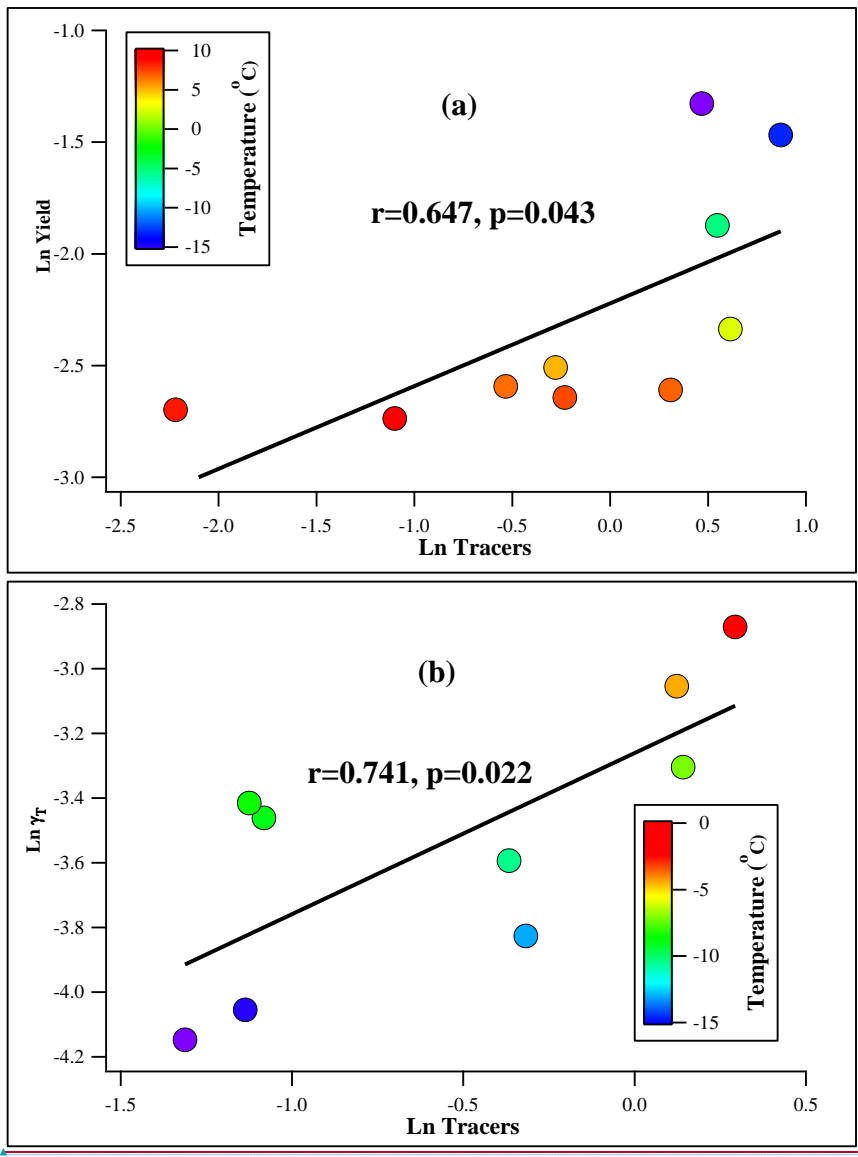


Figure 5. Correlation of SOA<sub>M</sub> tracers with SOA yield in period 1 (a) and  $\gamma_T$  in period 2 (b)

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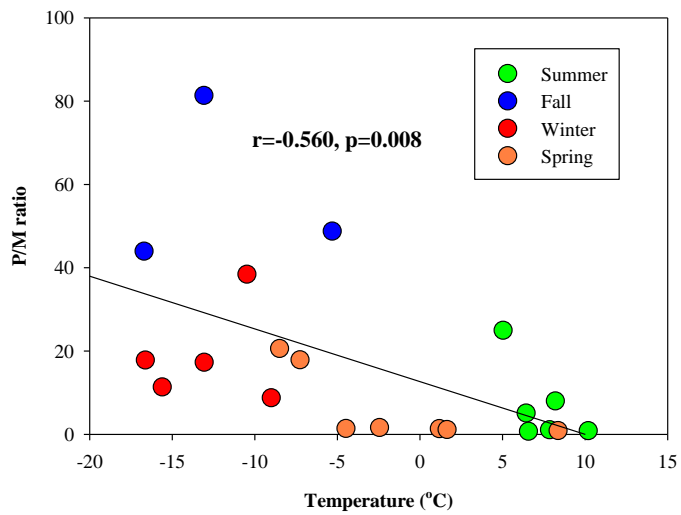
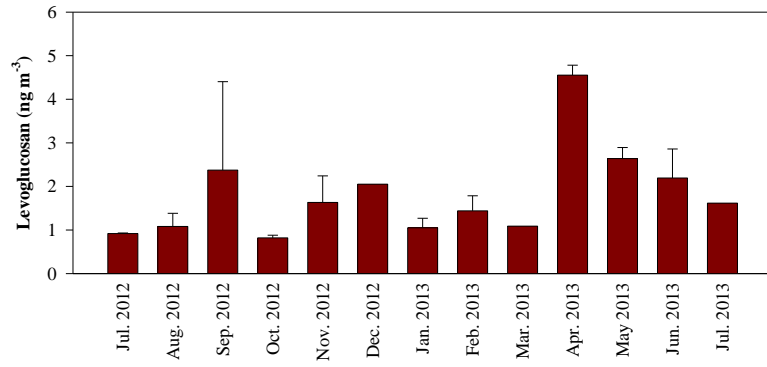


Figure 6 Negative correlation between P/M ratio and temperature

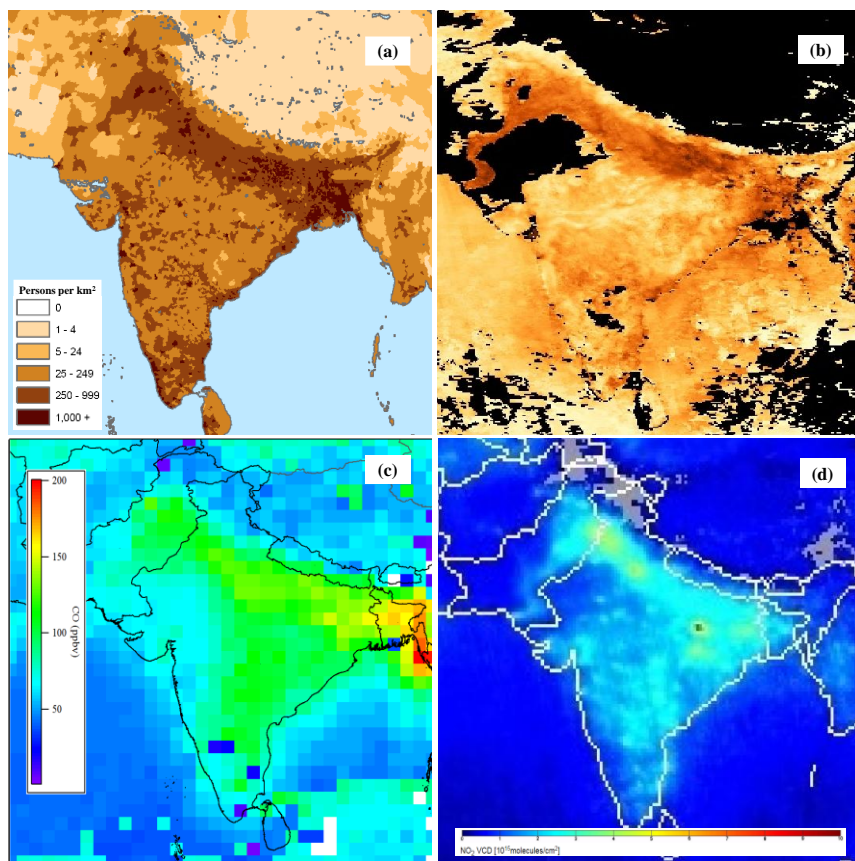
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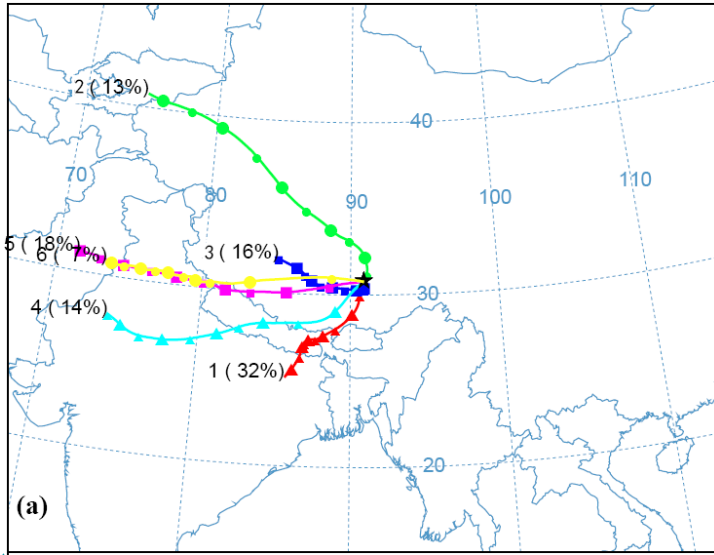
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Figure 7 Monthly variation of biomass burning tracer, levoglucosan

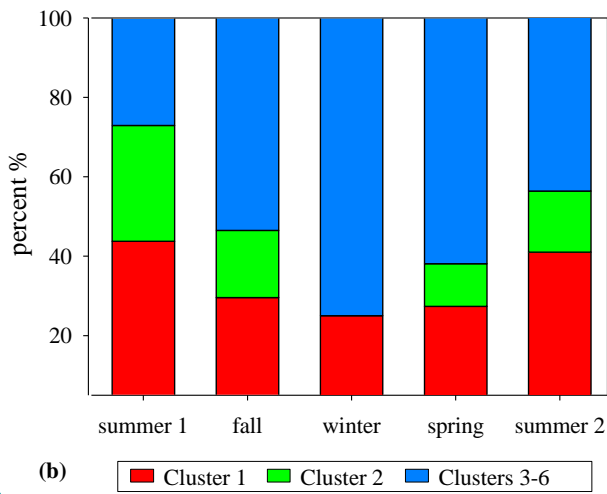




**Figure 8** Spatial distribution of population density in 2000 (a), AOT (b), surface CO (c), and NO<sub>2</sub> VCD (d) in May 2013 over the Indian subcontinent and the TP.



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Figure 9 Cluster analyses of air masses at the NC site (a) and seasonal variations of clusters (b), based on 5-day backward trajectories during the sampling period. Summer 1 is from July to September 2012, fall is from October to November 2012, winter is from December 2012 to February 2013, spring is from March to May 2013, summer 2 is from June to July 2013.

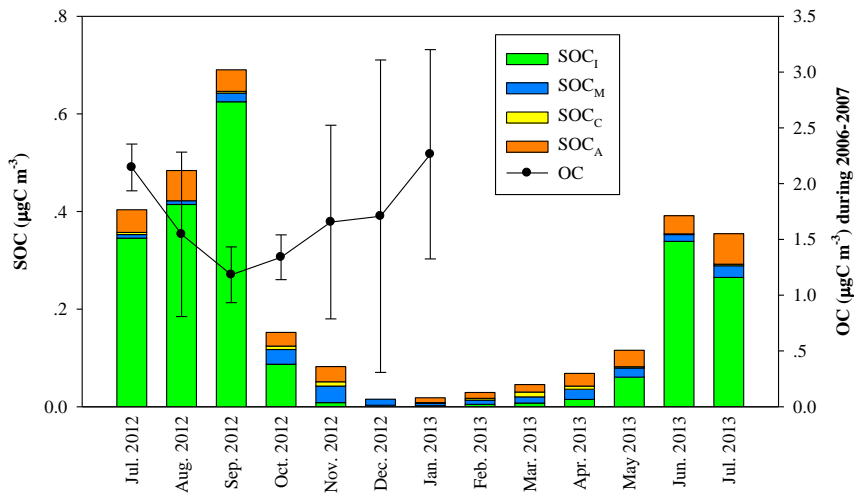


Figure 10. Seasonal variations of estimated SOC. OC data at the NC site during July 2006 to January 2007 were reported by Ming et al., (2010) and the err bar means one standard deviation in each month.

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**Table 1 SOA tracers at the NC site (ng m<sup>-3</sup>)**

Month	Temp. RH		SOA tracers				
	°C <sup>a</sup>	% <sup>a</sup>	Isoprene	Monoterpenes	β-Caryophyllene	Aromatics	Sum
Jul. 2012	7.78	84	54.1 ±22.9 <sup>b</sup>	0.45 ±0.48	0.10 ±0.13	0.37 ±0.23	55.0 ±22.5
Aug. 2012	7.70	76	66.0 ±69.3	0.46 ±0.18	nd <sup>c</sup>	0.49 ±0.03	67.0 ±69.1
Sep. 2012	5.92	66	100 ±118	1.06 ±0.43	0.08 ±0.11	0.35 ±0.36	102 ±118
Oct. 2012	-1.50	70	14.7 ±19.0	1.79 ±0.08	0.16 ±0.01	0.22 ±0.07	16.8 ±18.9
Nov. 2012	-14.9	63	2.04 ±1.76	1.99 ±0.56	0.20 ±0.19	0.25 ±0.15	4.48 ±2.66
Dec. 2012	-13.0	45	0.52	0.73	nd	nd	1.25
Jan. 2013	-16.1	30	0.38 ±0.02	0.30 ±0.04	0.03 ±0.01	0.08 ±0.01	0.78 ±0.01
Feb. 2013	-9.69	49	0.86 ±0.45	0.52 ±0.25	0.09 ±0.02	0.09 ±0.01	1.55 ±0.22
Mar. 2013	-7.83	41	1.56 ±1.15	0.74 ±0.59	0.23 ±0.25	0.12 ±0.17	2.65 ±2.15
Apr. 2013	-3.42	52	2.82 ±0.20	1.24 ±0.15	0.15 ±0.03	0.20 ±0.03	4.40 ±0.11
May 2013	3.77	54	10.1 ±9.70	1.11 ±0.13	0.06 ±0.06	0.27 ±0.19	11.5 ±9.97
Jun. 2013	7.25	55	54.1 ±42.9	0.83 ±0.18	0.03 ±0.04	0.30 ±0.02	55.3 ±42.8
Jul. 2013	10.2	69	41.9	1.41	0.07	0.49	43.9
Annual	-1.64	58	26.6 ±44.2	0.97 ±0.57	0.09 ±0.10	0.25 ±0.18	28.0 ±44.2

<sup>a</sup> Temperature and RH are monthly averages; <sup>b</sup> one standard deviation; <sup>c</sup> “nd” means not detected.

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**Table 2 SOA tracers in remote places on the global range (ng m<sup>-3</sup>)**

Locations	Seasons	References	SOA tracers			
			Isoprene <sup>a</sup>	Monoterpenes <sup>a</sup>	$\beta$ -Caryophyllene	Aromatics
Nam Co Lake	Whole year	This study	26.6(0.36-184) <sup>b</sup>	0.97(0.11-2.39)	0.09(nd-0.40)	0.25(nd-0.61)
Tibetan Plateau	Summer	(Li <i>et al.</i> 2013)	2.50(0.13-7.15)	2.95(0.30-10.4)	0.87(0.05-2.41)	na <sup>c</sup>
Qianghai Lake	Summer-autumn	(Stone <i>et al.</i> 2012)	30.7(5.5-105)	13.2(5.6-31.3)	1.6(1.1-2.3)	na
Himalayas	Summer-autumn	(Stone <i>et al.</i> 2012)	30.7(5.5-105)	13.2(5.6-31.3)	1.6(1.1-2.3)	na
Arctic	Alert	(Fu <i>et al.</i> 2009)	0.3(0.08-0.567)	1.6(0.138-5.3)	0.12(0.01-0.372)	na
Arctic Ocean	Summer	(Fu <i>et al.</i> 2013)	4.0(0.16-31.8)	4.8(0.44-24.1)	0.017(0.005-0.048)	na
Global oceans	Low- to mid-latitude	(Fu <i>et al.</i> 2011)	3.6(0.11-22)	2.7(0.02-15)	0.32(0-2.5)	na
Antarctic to Arctic	Summer	(Hu <i>et al.</i> 2013)	8.5(0.018-36)	3.0(0.05-20)	na	na
North Pacific and Arctic	Summer	(Ding <i>et al.</i> 2013)	0.62(0.12-1.45)	0.06(0.01-0.25)	0.002(nd-0.03)	nd <sup>d</sup>

<sup>a</sup> compositions are different in different studies. <sup>b</sup> data range in brackets. <sup>c</sup> “na” means not available. <sup>d</sup> “nd” means not detected.

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