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# Changing shapes and implied viscosities of suspended submicron particles

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#### Abstract

The change in shape of atmospherically relevant organic particles is used to estimate the viscosity of the particle material without the need for removal from aerosol suspension. The dynamic shape factors  $\gamma$  of particles produced by  $\alpha$ -pinene ozonolysis in a

- flow tube reactor, under conditions of particle coagulation, were measured while altering the relative humidity (RH) downstream of the flow tube. As relative humidity was increased, the results showed that  $\chi$  could change from 1.27 to 1.02, corresponding to a transition from aspherical to nearly spherical shapes. The shape change could occur at elevated RH because the organic material had decreased viscosity and was
- therefore able to flow to form spherical shapes, as favored by minimization of surface 10 area. Numerical modeling was used to estimate the particle viscosity associated with this flow. Based on particle diameter and RH exposure time, the viscosity dropped from  $10^{(8.7\pm2.0)}$  to  $10^{(7.0\pm2.0)}$  Pa s (2 $\sigma$ ) for an increase in RH from < 5 to 58 % at 293 K, corresponding to a solid to semisolid transition for the organic material. These results imply that the equilibration of the chemical composition of the particle phase with the gas 15

phase can shift from hours at mid-range RH to weeks for low RH.

#### Introduction 1

Primary volatile organic compounds emitted by the biosphere, as well as from anthropogenic activities, react in the atmosphere with oxidants to produce secondary oxygenated products (Fehsenfeld et al., 1992; Hallquist et al., 2009). Some of these 20 products ultimately contribute to the mass concentration of the atmospheric particle population, as so-called secondary organic material (SOM) (Hallquist et al., 2009). Atmospheric particles have important effects on both climate and human health, among other topics (Seinfeld and Pandis, 2006), although the mechanisms of action remain incompletely understood both qualitatively and quantitatively. Recently, the viscosity of 25 SOM has emerged as an important topic (Vaden et al., 2010; Virtanen et al., 2010;



Abramson et al., 2013; Hosny et al., 2013; Pajunoja et al., 2013; Power et al., 2013; Renbaum-Wolff et al., 2013; Bateman et al., 2014; Kidd et al., 2014; Wang et at., 2014). Viscosity influences whether the dynamic interplay between atmospheric particles and gases are confined to the surface region of a particle or alternatively can proceed in
<sup>5</sup> the interior (Shiraiwa et al., 2014, 2013b), with potential important consequences for

the growth, the reactivity, and ultimately the fate of atmospheric organic particles.

Until recently, SOM was modeled as a low-viscosity liquid into which gas-phase species from the surrounding environment diffused quickly and for which the chemical gas-particle equilibrium was rapidly reached (Donahue et al., 2006; Hallquist et al.,

- <sup>10</sup> 2009). More recent work, however, has indicated that viscosities are higher and diffusion coefficients are lower than would be consistent with a liquid material (Vaden et al., 2010; Virtanen et al., 2010; Cappa and Wilson, 2011; Perraud et al., 2012; Renbaum-Wolff et al., 2013; Kidd et al., 2014; Price et al., 2014; Wang et at., 2014). An underestimated viscosity and hence overestimated diffusion coefficient can result in an
- overprediction of particle mass concentrations as well as an underprediction of gasphase concentrations (Shiraiwa and Seinfeld, 2012). Particle reactivity (Shiraiwa et al., 2011; Kuwata and Martin, 2012b; Zelenyuk et al., 2012; Zhou et al., 2013), number concentrations (Riipinen et al., 2011), and diameters (Shiraiwa et al., 2013a) can also be influenced by viscosities and diffusion rates, in turn influencing model predictions
   related to air quality and climate.

A challenge in the study of SOM is that properties can change upon removal of the SOM from particle suspension, whether by deposition on a substrate, extraction in water, study in vacuum, or a combination of all three, because of the semivolatile nature of SOM. A further challenge for studying and understanding SOM is that it has

<sup>25</sup> a dynamic hygroscopic response to relative humidity, meaning that water is taken up and released with cycles in RH (Varutbangkul et al., 2006). SOM properties, including viscosity, change with the fractional water content in the material (Zobrist et al., 2011; Renbaum-Wolff et al., 2013; Shrestha et al., 2014; Wang et at., 2014). Even so, advances on these challenges are needed because SOM in the atmosphere occurs



in the aerosol form, and the surrounding environment in the planetary boundary layer regularly undergoes cycling between low and high RH, implying a dynamic state in the viscosity of SOM that is at present not well understood or quantified.

Because of the challenges discussed above, previous reports of SOM viscosity have
 had significant caveats, leaving some doubt on the applicability of the results to actual SOM. The present study estimates the RH-dependent viscosity of SOM in the form of submicron particles suspended as an aerosol. Since the technique does not involve removal of the SOM from particle suspension, the technique obviates the aforementioned caveats of earlier studies.

The experimental strategy is to first evolve a particle population by self-coagulation, second to select a small subset (< 0.25 %) of highly aspherical particles from the overall population, and third to observe the tendency of the aspherical particles to adjust to spherical as a function of RH and exposure time. Viscosity is inferred from the rate of shape change. Particle shape is quantitatively defined by aerodynamic behavior. The</p>

- <sup>15</sup> dynamic shape factor  $\chi$  is the ratio of the drag force on an actual particle divided by the drag force experienced by a volume-equivalent sphere (Wang et al., 2010). Shape factors of nearly spherical particles approach unity whereas highly aspherical particles have significantly larger shape factors, with an exception not applicable to the present study in the case of aerodynamic design such as bullets oriented parallel to
- flow streamlines. As the viscosity of the particle material decreases upon exposure to elevated RH, flow occurs and the particles approach spherical shapes in order to minimize surface area. A numerical simulation, using the tools of computational fluid dynamics and taking into account particle size and exposure time to elevated RH, is used to determine by optimization the material viscosity that is required to bring closure
- <sup>25</sup> between modeled and observed RH-dependent changes in shape factor. The numerical simulation extends in several ways that introduced by Renbaum-Wolff et al. (2013), who modeled the flow of substrate-supported particles. In addition to the results presented here, the general method can be expected to find application more broadly across other disciplines in need of determining the viscosity of material bodies in the



key size ranges of nanotechnologies and biological sciences (i.e., from < 10 nm up to several microns).

#### 2 Experimental

#### 2.1 Flow tube reactor

- <sup>5</sup> The production of SOM particles in the flow tube reactor largely followed the procedures described in Shrestha et al. (2013). Additional information on Materials and Methods is presented in the Supplement, Sect. S2. Briefly, because particles were produced from the homogeneous nucleation of supersaturated organic vapors, a temperature control of 293.0±0.1 K across 12 to 24 h for individual experiments was necessary for reproducible particle number-diameter distributions. The flow tube and the associated gas-flow systems were therefore housed in a temperature-controlled, double-
- walled, water-jacketed stainless steel box (Supplement, Fig. S2). Ozone and α-pinene concentrations of the different experiments are listed in Table 1. The relative humidity was < 5%. The aerosol flowing out of the reactor was passed through an ozone diffusion scrubber, which reduced ozone concentrations sufficiently to curtail further SOM production and thus to provide a well-defined reaction time. The aerosol subsequently flowed into either a flask of either 0.9 or 6.6 L, for an average residence time of 45 or</li>
- 310 s, respectively, during which additional particle coagulation took place at various RH. The aerosol flow continued into a region of RH control. The apparatus for adjusting RH including exposure time, consisted of a Nation RH conditioner (Perma Pure
- ing RH, including exposure time, consisted of a Nafion RH conditioner (Perma Pure, Model PD-200T-12) to set the RH of the aerosol flow, followed by a flask of 0.9 or 6.6 L to serve as a plenum for RH exposure (i.e., 45 s or 310 s, respectively), followed by two diffusion dryers and a second Nafion RH conditioner to reset RH to < 5 %.</p>



#### 2.2 Particle mobility diameter and particle mass

Exiting the RH control system, the aerosol flow was divided into two streams. The first stream was sampled by a DMA (TSI model 3081) (Knutson and Whitby, 1975). The DMA outflow consisted of a subpopulation of particles having a central electric mobility diameter. The central diameters of the different experiments are listed in Table 1. The DMA outflow was sampled by an APM (APM-3600, KANOMAX Inc.) (Ehara et al., 1996), and the particle number concentration in the APM outflow was measured by a Condensation Particle Counter (CPC; TSI model 3022a) (Agarwal and Sem, 1980). The APM voltage was scanned at fixed rotation speed to measure the numbermass distribution of the particle population (Kuwata and Kondo, 2009). The second 10 flow stream from the reactor outflow was sampled by a Scanning Mobility Particle Sizer (SMPS; TSI, model 3071A; CPC; TSI, model 3772) to characterize the numberdiameter distribution of the produced particle population (Hoppel, 1978). The DMA, APM, and SMPS were regularly calibrated using aerosol particles of polystyrene latex (PSL) or ammonium sulfate, both of which were produced by nebulization (Model 3076, 15 TSI Corporation) (Biskos et al., 2008).

#### 3 Results and discussion

#### 3.1 Coagulation and dynamic shape factors

An aerosol population of SOM particles was produced in a flow tube using 500, 700, and 1000 ppb of (+)- $\alpha$ -pinene and 12 to 30 ppm of ozone for < 5% RH at 1 atm. The number concentrations and mode diameters are listed in Table 1 for < 5% RH. Because the concentrations were high in the flow tube, coagulation occurred. Depending on the number-diameter distribution and the reactor residence time, several types of coagulated particles resulted. Scanning electron micrographs are shown in Fig. 1 (cf. column 1). The smallest features apparent in the images of column 1 are on order



of 5 to 10 nm and represent the primary nucleated particles in the flow tube. These nanoparticles coagulated in the flow tube to larger particles on order of 20 to 40 nm that exit the flow tube. Each of these particles is referred to as a monomer and is represented by a red circle in the images of Fig. 1. After the flow tube, there was a retention

- volume in which additional coagulation of the monomers occurred. Dimers, trimers, and high-order agglomerates formed from monomer coagulation (images A1, B1, and C1, respectively). The dimers and trimers, having aspherical shapes at < 5 % RH and making up < 0.25 % of the total particle population (cf. Supplement Fig. S1), constituted the core of the experimental strategy.
- <sup>10</sup> The instrumental approach for characterizing the shapes of the suspended particles consisted of a Differential Mobility Analyzer (DMA) (Knutson and Whitby, 1975) coupled to an Aerosol Particle Mass Analyzer (APM) (Ehara et al., 1996) (Supplement Fig. S2). The DMA was used to select a subpopulation of controlled mobility diameters from the overall particle population. The number-mass distribution of this subpopulation was <sup>15</sup> then measured using the APM. The dimensionless dynamic shape factor  $\chi$  was then calculated as follows (Supplement, Sect. S1) (Kuwata and Kondo, 2009):

$$\chi = \frac{d_{\rm m}}{(6m_{\rm p}/\pi\rho)^{1/3}} \frac{C_{\rm C}((6m_{\rm p}/\pi\rho)^{1/3})}{C_{\rm C}(d_{\rm m})} \tag{1}$$

for particle mass  $m_p$ , mobility diameter  $d_m$ , and material density  $\rho$ . A material density of  $1.2 \times 10^3$  kg m<sup>-3</sup> was used. The Cunningham slip correction factor is given by  $C_c$ . The values  $d_m$  and  $m_p$  were taken as the central values of the DMA setting and the APM measurement, respectively. Instrument calibration and employed material densities are discussed in the Supplement, Sects. S2 and S3. The  $\chi$  value of spheres is unity whereas that of submicron dimer and trimer particles ranges from 1.03 to 1.16 and 1.12 to 1.28, respectively, in the transition regime (i.e., Knudsen number from 0.1 to 10) (Hochrainer and Hanel, 1975; Hansson and Ahlberg, 1985; Kousaka et al., 1996;

Zelenyuk et al., 2006).



Examples of the number-mass distributions recorded in three replicate experiments are shown in Fig. 2. The DMA was set to select only the large-diameter particles in the tail of number-diameter distribution of the particle population (cf. Supplement, Fig. S1). The selected particle subpopulation had a central mobility diameter of 126.0 nm com-

- pared to a mode diameter of 52.0 nm in the original population, which had 0.1 and 99.9 % size intervals of 20.2 and 151.2 nm, respectively (cf. Supplement, Fig. S1). Contributions from monomers, as well as from dimers of disparate monomer sizes (e.g., one large + one small) and other higher-order agglomerates, were therefore absent in the analyzed data sets. Although doubly charged particles were present in the outflow of the DMA, the mass range covered in Fig. 2 corresponds only to singly charged
- particles.

As a result of these filtering strategies, the subpopulation represented in Fig. 2 consisted of singly charged dimer, trimer, and higher-order agglomerates. By use of Eq. (1), the dynamic shape factor of particles at the central value of the number-mass distri-

- <sup>15</sup> bution was 1.18. Examples of the intrinsic width of the DMA-APM instrument train are denoted by the three dashed curves. The data are seen to be wider than the instrumental width. The subpopulation, therefore, consisted of particles having a quasimonodispserse mobility diameter yet a range of dynamic shape factors, corresponding to a variety of different types of coagulated aspherical particles. Vertical lines are
- <sup>20</sup> shown for  $\chi$  values at 1.10 and 1.29, in addition to the central value of 1.18 as well as a reference value of 1.0. Cartoon representations of some examples of the types of particles that may be associated with the shape factors are represented as insets in the figure. Supplement Sect. S4 presents additional data concerning the dependence of  $\chi$  on the variability of synthesis conditions of the agglomerate particles as well as on the DMA-selected mobility diameter.

#### 3.2 Effects of relative humidity

The particle populations of dimer, trimer, and higher-order agglomerates produced at low RH were subsequently exposed to elevated RH for a controlled residence time.



At sufficiently high RH, the particle material flowed. The micrographs of column 2 in Fig. 1 show that nearly spherical particles resulted from exposure to sufficiently high RH. Correspondingly, spherical particles of unity shape factor resulted. The complete processing of the particle population was thus primary production, secondary coagula-

tion for < 5 % RH, exposure of agglomerates to an elevated variable RH for a controlled time period, and instrumental characterization of shape factors for < 5 % RH. The final RH adjustment to < 5 % RH was made to avoid the confounding effects of hygroscopic growth in instrumental characterization and data analysis.

Figure 3 represents in cartoon form the experimental strategy and expected results
 of the RH-dependent experiments. For specific conditions (Fig. 3a), the production of dimer and trimer agglomerates of large shape factors was favored (leftmost to middle column). For non-optimized conditions, Fig. 3b shows that coagulation events became sufficiently numerous that higher-order agglomerates were produced, resulting in particles of polyhedral shape and of correspondingly smaller shape factors. The middle to-rightmost columns show the transition from aspherical to spherical particles after

exposure to elevated RH for a sufficiently long time period.

In a series of RH-dependent experiments, aerosol particles produced from 700 pbb of  $\alpha$ -pinene at ozone concentrations ranging from 14 to 30 ppm were exposed stepwise to up to 80 % RH in a retention volume having an average residence time of 310 s. The

- <sup>20</sup> aerosol then flowed into a region of reduced RH (< 5 %), and  $\chi$  values of selected size fractions were determined. Figure 4a shows the dependence of  $\chi$  on RH for particle subpopulations having central mobility diameters of 126.0, 175.0, and 195.0 nm. The respective  $\chi$  values for < 5 % RH were 1.21 ± 0.02, 1.09 ± 0.02, and 1.08 ± 0.02 (onesigma uncertainty), suggesting that the particle populations were composed largely of
- <sup>25</sup> dimers and trimers in the first case and of higher-order agglomerates for the latter two cases. The uncertainty estimate is based on repeated measurements. As the RH was increased,  $\chi$  decreased for all three populations, reaching a final value of  $1.02 \pm 0.01$ at 35 % RH and corresponding within uncertainty to spherical particles. The monotonic decrease in  $\chi$  for increasing relative humidity implied a likewise monotonic decrease



in viscosity. An alternative hypothesis of reactive chemistry with  $H_2O$  for changing RH is not supported because the organic portion of the particle mass spectrum did not change upon exposure to elevated RH (Supplement Sect. S4).

- Given that the extents of flow and hence of shape change are expected to be tightly coupled to exposure time at elevated RH, experiments were conducted to investigate the effect of exposure time on the observations. Figure 4b shows results for a humidification time of 45 s compared to that of 310 s. For an exposure time of 310 s,  $\chi$  value of the selected 126 nm particles (identified by SEM as dimers; cf Supplement, Sect. S4) decreased to unity by 35 % RH. By comparison, for an exposure time of 45 s, the  $\chi$  value of the selected 165 nm particles (identified by SEM as trimers) remained un-
- 10 2 Value of the selected ToSTIM particles (identified by SEM as triffers) remained the changed for < 5 to 20 % RH, decreasing to nearly unity only at 50 % RH. The two curves are offset by approximately 20 % RH. This result is consistent with the explanation that the shape change arose from material flow and that the flow required a finite amount of time to complete the full transformation to nearly spherical particles. A corollary is that the same system of the second structure of the second structur
- that the same extent of shape change at a shorter exposure time implies a decreased viscosity, all other factors being equal.

#### 3.3 Viscosity estimate

The experimental results show a conversion from an aspherical to a nearly spherical shape within a specific time period, implying a rate of material flow and hence viscos-

- ity. The viscosity can therefore be estimated from the experimental results. To do so, a model was constructed using a commercial software package for numerical solutions to fluid flows (cf. Supplement, Sect. S5). An example of the model simulation is shown in Fig. 5. A dimer consisting of two 90 nm diameter spheres having an overlap of 5 nm between the spheres was modeled for an exposure of 310 s to elevated RH (cf. Sup-
- plement, Fig. S3). The two panels of Fig. 5 show the initial and final particle shapes (cf. Supplement, Movie S1). In 310 s, the dimer transforms to a sphere for a viscosity of  $(1.0 \pm 0.2) \times 10^8$  Pa s, as obtained by numerical optimization. An analytical result described in Frenkel (1945) for the full transformation of a dimer into a sphere leads



to an estimated viscosity of  $9.8 \times 10^7$  Pa s, which is in good agreement with the numerical result. The numerical approach has the advantage that it can also be applied to partial transformations. The uncertainty estimate on the optimized value is based on a sensitivity analysis of the modeling results. A range of surface tensions, particle shapes (including extent of monomer overlap), and other factors was investigated (Supplement, Sect. S6).

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The example of Fig. 5 corresponds to the experimental results of Fig. 4b showing the full transformation from  $\chi$  of 1.21 for < 5% RH to  $\chi$  of 1.02 for 35% RH in 310 s. Viscosities for other RH values were obtained by using the other data points of Fig. 4b,

- which correspond to partial transformations. The results plotted in Fig. 6 show that the viscosity of  $\alpha$ -pinene SOM corresponds to a semisolid rather than a liquid to at least 58 % RH. Part I represents data for changes in the shape factor data for residence time of 310 s. Part II represents data for 45 s, for which a linear trimer consisting of 90 nm spheres was modeled, again with an overlap of 5 nm between spheres.
- <sup>15</sup> For comparison, results from other experimental studies are also plotted in Fig. 6. The estimated viscosity for < 20 % RH agrees well with Abramson et al. (2013) and lies within the uncertainty of Pajunoja et al. (2013). Abramson et al. (2013) estimated the viscosity of  $\alpha$ -pinene SOM based on the evaporation rate of co-incorporated pyrene from the particles at < 5 % RH. Pajunoja at al. (2013) observed the shape of substrate-
- <sup>20</sup> supported, submicron particles in vacuum by scanning electron microscopy and used the relaxation time of particle coalescence for < 30 % RH to estimate viscosity. Kidd et al. (2014) estimated the viscosity of  $\alpha$ -pinene SOM by examining the deposition patterns of impacted particles at 87 % RH. Bateman et al. (2014) observed a transition at 70 % RH from rebounding to non-rebounding particles of  $\alpha$ -pinene SOM and cali-
- <sup>25</sup> brated this response as a transition in viscosity from from 100 to 1 Pas (i.e., semisolid to liquid) based on the rebound behavior of sucrose particles of known viscosity. For comparison to the latter two studies of Kidd et al. and Bateman et al., the RH range of the present study was < 5% to 58% RH. Renbaum-Wolff et al. (2013) measured the RH dependence of the viscosity of the water-soluble component of  $\alpha$ -pinene SOM



by using optical microscopy to track the movement of small beads. The central values of the estimated viscosities of the present study are lower for < 30 % RH and higher for > 40 % RH, implying a reduced sensitivity of viscosity to RH for the present study compared to that reported for Renbaum-Wolff. These differences could relate to differences in sample preparation. Renbaum-Wolff et al. studied supermicron particles reconstituted from the water-soluble portion of SOM, which can be compared to the present experiments that studied whole SOM in aerosol form without post-processing. Given the differences in hygroscopicity and hence the volume fraction of water that can act as a plasticizer, the viscosity of the water-soluble portion of SOM can be expected to have greater sensitivity to RH than that of whole SOM.

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The model used in the present study to estimate viscosity has several embedded assumptions. A surface tension of  $5.5 \times 10^{-2}$  Nm<sup>-1</sup> (Wex et al., 2009) was used in the model to obtain local stress from local shape. This value is the average of 83 organic compounds (Korosi and Kovats, 1981). The model assumed that diameter changes because of hygroscopic growth were negligible for the studied RH range of < 5 to 58 %

- 15 RH. The model also assumed a uniform value of viscosity throughout the particle, meaning that the uptake and diffusion of water throughout the particle is fast (< 1 s)compared to the exposure times of 45 and 310 s. The justification of this assumption is that observations of water uptake show that submicron particles of  $\alpha$ -pinene SOM
- equilibrate with surrounding gas-phase water in less than one second (Smith et al., 2011). The diffusion coefficient of water in  $\alpha$ -pinene SOM for low to middle RH is above  $10^{-13}$  m<sup>2</sup> s<sup>-1</sup>, hence leading to a characteristic diffusion time throughout a 100 nm particle of  $\tau \ll 1$  s (Shiraiwa et al., 2011). The model also assumed a specific exposure time (i.e., 45 or 310 s) to elevated RH whereas the actual residence times of individual
- particles in the exposure flask had a distribution, expected to approach that of Poisson 25 statistics at the limit of a continuously mixed flow reactor (Kuwata and Martin, 2012a). As a final caveat, the highest RH that could be studied (58%) was limited by the full transformation to a spherical particle within the fastest experimental residence time



Discussion **ACPD** 15, 6821-6850, 2015 Paper **Changing shapes and** implied viscosities of suspended Discussion submicron particles Y. Zhang et al. Paper **Title Page** Introduction Abstract **Discussion** Paper Conclusions References Tables **Figures** < Back Close **Discussion** Paper Full Screen / Esc **Printer-friendly Version** Interactive Discussion

(2)

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(45 s). Shorter residence times require more control over RH adjustment, which is an ongoing effort.

#### 3.4 Discussion

Viscosities within atmospheric organic particles determine whether the interactions be-

- tween atmospheric particles and gases are confined to the surface region of the particle or can proceed in the interior of the particle. As such, viscosities can affect particle growth, reactivity, and hence ultimately the number and mass distributions of the atmospheric particle population, thereby influencing the effects of atmospheric particles on climate and air quality.
- <sup>10</sup> Under the assumption that the concentration of a species in the gas phase maintains rapid equilibrium with its concentration in surface layer of a particle and in the absence of chemical reaction, the characteristic time  $\tau$  to obtain a well-mixed particle by molecular diffusion is as follows (Seinfeld and Pandis, 2006):

$$\tau = \frac{d_{\rm me}^2}{4\pi^2 D}$$

for particle diameter  $d_{me}$  and molecular diffusion coefficient *D*. Use of Eq. (2) also assumes that *D* is uniform throughout the particle volume. With some caveats, the Stokes–Einstein equation relates the diffusion coefficient to the viscosity  $\eta$ , as follows (Lindsay, 2009):

$$D = \frac{kT}{6\pi r_{\rm eff}\eta}$$

for Boltzmann constant k, temperature T, and effective radius  $r_{\text{eff}}$  of the diffusing species. The combination of Eqs. (2) and (3) leads to the following expression for the characteristic mixing time:

$$\tau = \frac{3r_{\rm eff}\eta d_{\rm me}^2}{2\pi kT}$$

For a case study, Table 2 lists mixing times obtained for a typical atmospheric particle diameter of 100 nm and viscosities corresponding to < 5 and 58 % RH at 293 K. Molecules such as  $C_{19-20}H_{28-32}O_{10-18}$ , which correspond to a family of low-volatility molecules produced during  $\alpha$ -pinene ozonolysis, are considered for the case study

- (Ehn et al., 2014). The effective radius of this family is on order 1.5 nm. The mixing times listed in Table 2 and Fig. 6 range from 5.0 h to 11.5 days for < 5 to 58 % RH, respectively. The SOM particles considered in the case study are, therefore, expected to reach equilibrium with the chemical composition of the gas phase rather slowly in some cases, especially in light of typical atmospheric residence times of 7 to 10 days.</p>
- For < 5 % RH, the characteristic mixing time can be more than a week, supporting the suggestion that chemical transport models significantly overestimate SOM particle mass concentration and underestimate gas-phase concentrations by assuming instantaneous chemical equilibrium between the two phases (Riipinen et al., 2011; Perraud et al., 2012). At 58 % RH, the characteristic mixing time can approach several or more
- <sup>15</sup> hours. The implication is that smaller molecules like ozone or water that diffuse faster in an SOM matrix than predicted by Stokes–Einstein (Power et al., 2013) might penetrate deeply into the particles whereas organic molecules exchanged with the gas phase might be confined to the outer layer of the particle, thereby setting up a gradient in chemical composition and hence reactivity within the particle. The molecules at the purfect is more prior to be be be determined with the particle. The molecules at the particle is more prior to be be also be determined with the particle.
- <sup>20</sup> surface in many cases might be kinetically determined rather than thermodynamically controlled, implying many non-surfactants at the surface.

#### 4 Conclusions and atmospheric implications

In summary, the changing shapes suspended submicron organic particles were monitored for RH exposures ranging from < 5 to 58% RH at 293K, and the characteristic times of the shape changes were used to estimate the RH-dependent viscosities of the constituent organic material. The viscosities are determined to decrease from  $10^{(8.7\pm2.0)}$  to  $10^{(7.0\pm2.0)}$  Pas (2 $\sigma$ ) as RH increases from < 5 to 58% at 293K. The



methodology developed in the present study of using the DMA-APM system to estimate viscosity for particles in situ as an aerosol, rather than being collected on a substrate for subsequent analysis, can avoid several potential artifacts specific to the semivolatile nature of SOM. If deployed for field measurements, the approach also has the promise

- of providing sufficient time resolution to track atmospheric variability. The results of the present study can improve model estimates of gas uptake into particles and thereby improve model accuracy of particle growth rates and reactive processes. For low and intermediate RH and in the absence of fast reaction, small molecules like O<sub>3</sub> or NO<sub>x</sub> are expected to diffuse rapidly to the center of atmospheric SOM particles, at least those
- <sup>10</sup> in the Aiken and accumulation size modes and those represented well by  $\alpha$ -pinene SOM. Larger organic molecules, however, are expected to remain in the surface region of the particles for one or more days. Even so, the range of experimental conditions of a single study is necessarily limited, and future additional studies to track the variability of viscosity at higher RH and both warmer and cooler temperatures are well motivated.
- <sup>15</sup> The viscosity of SOM generated from volatile organic compounds other than  $\alpha$ -pinene, as well as mixed chemical systems, also merits further investigation.

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### Table 1. Summary of experimental conditions.

	Experiment	Flow tube SOM generation				Relative
		Precursor	conditions <sup>a</sup>	Particle cor	nditions <sup>b</sup>	Humidity <sup>c</sup> (%)
		α-Pinene (ppb)	Ozone (ppb)	Number Conc. (m <sup>-3</sup> )	Mode diam- eter (10 <sup>-9</sup> m)	-
#1	Mid Concentration	$700 \pm 7$	$14.0 \pm 0.2$	$(2.9 \pm 0.2) \times 10^{11}$	$63 \pm 3$	< 3
#2	Mid Concentration	$700 \pm 7$	$13.8 \pm 0.2$	$(2.9 \pm 0.2) \times 10^{11}$	$66 \pm 3$	6–100 <sup>d</sup>
#3	Mid Concentration	$700 \pm 7$	$24.6\pm0.8$	$(5.4 \pm 0.3) \times 10^{11}$	$80 \pm 3$	4–82
#4	Mid Concentration	$700 \pm 7$	$30.3 \pm 0.5$	$(4.8 \pm 0.4) \times 10^{11}$	$80 \pm 6$	4–82
#5	High Concentration	$1000 \pm 10$	$13.0 \pm 0.2$	$(4.3 \pm 0.2) \times 10^{11}$	$111 \pm 2$	4–54
#6	AMS Experiment	$500 \pm 5$	$13.0 \pm 0.2$	$(2.6 \pm 0.2) \times 10^{11}$	$68 \pm 3$	4–94
#7	Mid Concentration	$700 \pm 7$	$14.8\pm0.2$	$(3.4 \pm 0.3) \times 10^{11}$	$85 \pm 3$	4–58

<sup>a</sup> Concentrations at inlet of flow tube reactor.

<sup>b</sup> Concentrations in the outflow of the reactor, as measured by SMPS.

<sup>c</sup> Range of relative humidities studied in stepwise fashion in series of experiments. The overall RH cycle was (< 5 % RH)  $\rightarrow$  (RH value from within range shown in table)  $\rightarrow$  (< 5 % RH). Uncertainties correspond to one sigma.

<sup>d</sup> For 100 % RH, a flask with water was slightly heated until condensation was apparent on the walls.



**Table 2.** Viscosities of  $\alpha$ -pinene-derived secondary organic material at the lower and upper relative humidities of this study. Also shown are the characteristic mixing times for a diffusing species in a spherical SOM particle of 100 nm. An effective radius of 1.5 nm is used. See main text for further explanation.

Relative	Viscosity	Characteristic
Humidity (%)	(Pas)	mixing time (day)
< 5	10 <sup>(8.7±2.0)</sup>	10 <sup>1.0±2.0</sup> (11.5 days)
58	10 <sup>(7.0±2.0)</sup>	10 <sup>-0.68±2.0</sup> (5.0 h)





**Figure 1.** SEM images of the particles obtained from 700 ppb  $\alpha$ -pinene and sampled for a central mobility diameter of 180.0 nm. The aerosol particles were collected on the silica substrate for 12 h and then coated with 5 nm of Pt/Pd. The voltage for the electron beam was 5 kV, and the working distance was 2.3 mm. Column 1 shows dimer, trimer, and higher-order agglomerates of the granular monomers for < 5 % RH. Red circles identify the monomers. Column 2 shows nearly spherical particles that were collected after exposure to 80 % RH followed by drying to < 5 % RH.





**Figure 2.** An example of the number-mass distribution, as measured using the DMA-APM system. Results of three replicate experiments are shown to demonstrate reproducibility. Two-sigma uncertainty is represented by the error bars, which are approximately the same size as the data markers. The lines represent fits of a normal distribution to the data. The abscissa is calculated based on the APM rotation speed and the voltage applied between the walls of the APM cylinders. Dynamic shape factors calculated by Eq. (1) using  $m_p$  and  $d_m$  are indicated by the vertical lines. The particles shown in the plot were produced from 700 ppb  $\alpha$ -pinene and 14 ppm ozone. A central mobility diameter of 126.0 nm was selected by the DMA. The width of the DMA-APM instrument train is represented by the dashed lines.





**Figure 3.** Cartoon representing changes in particle shape due to coagulation and to elevated relative humidity. **(a)** Scenario for an experiment using a medium concentration of  $\alpha$ -pinene (700 ppb) in which two similarly sized particles collide to form dimer and trimer agglomerates, leading to relatively larger dynamic shape factors (rightmost to middle column). They constitute < 0.25 % of the total particle population. They become nearly spherical after exposure to an elevated RH (middle to leftmost column). The elevated RH decreases viscosity and allows material flow. **(b)** Scenario for an experiment using a high concentration of  $\alpha$ -pinene (1000 ppb), in which several particles collide to form larger agglomerates having relatively smaller dynamic shape factors. At elevated RH, these particles also flow and become spherical.







**Figure 4.** Dynamic shape factor for increasing relative humidity. Panel **(a)**: particles produced from 700 ppb  $\alpha$ -pinene and 14, 25, and 30 ppm ozone for particle populations having central mobility diameters of 126.0, 175.0, and 190.0 nm, respectively. The exposure time to relative humidity was 310 s. Panel **(b)**: exposure up to 80 % RH for 45 or 310 s. SOM was produced from 700 ppb  $\alpha$ -pinene and 14 ppm ozone at < 5 % RH. The error bars in each panel represent two sigma of standard deviation.



**Figure 5.** Flow simulation for the transformation of a dimer agglomerate into a spherical particle. Panel (a) shows the initialization for t = 0 s. Two monomers having a diameter of 45 nm and overlapping by 5 nm are shown. The coloring represents the instantaneous flow velocity. Panel (b) shows the end of the simulation at t = 310 s. The full time series is shown in Supplement Movie S1. The viscosity and its standard deviation,  $(1.0 \pm 0.2) \times 10^8$  Pa s, were optimized in the simulation so that the transformation from a dimer in panel (a) to a sphere in panel (b) was complete after 310 s (cf. data of Fig. 4b and see also main text). In panel (b), the black lines represent the original shape of the particle (i.e., panel a).





**Figure 6.** Summary of the RH-dependent viscosity obtained from this study for  $\alpha$ -pinene secondary organic material for < 5 to 58 % RH. The secondary *y* axis shows the mixing time of low volatility molecules with an 100 nm particle. Part 1 represents data for changes in the shape factor for residence time of 310 s (cf. Fig. 4b). Part 2 represents data for 45 s. The secondary *y* axis shows the characteristic mixing time  $\tau$  of low volatility organics due to bulk diffusion in 100 nm particles of the same viscosity. Results from literature are also plotted for comparison. A breakdown of the factors contributing to the uncertainty bars is presented in Supplement Fig. S13. Parts 1 and 2 also represent particle populations dominantly composed of dimer and trimer aglomerates, respectively.

