#### **Responses to Anonymous Referee #1**

The authors are grateful for the thorough comments and careful critique by reviewer #1, which have greatly improved the quality of the manuscript. Below we provide a point-by-point response (shown in normal font) to the reviewer's comments (shown in italic font) with indicated additions or alterations to the manuscript indicated in bold font.

**Reviewer comment 1:** The current measurement approach introduces significant ambiguity into the data analysis and therefore the overall interpretation of the data presented in the paper. The authors use a polydisperse particle size distribution for the OH aging experiments, which is in principle ok and done extensively in the community. However, for CCN measurements significant uncertainty is introduced when measuring hygroscopicity by scanning particle size in a chemical aging experiment. This is due to the fact that the oxidation lifetime (and thus chemical composition of the aerosol) is a function of particle size. For a distribution of sizes, smaller particles will be much more oxidized than larger ones at the same OH exposure. This is simply due to the difference in surface to volume ratio (assuming the uptake coefficient is independent of particle size, which is a reasonable assumption here). So by scanning the particle size at a fixed supersaturation, hygroscopicity is determined using a set of particles that are not compositionally identical (smaller particles are more oxidized than larger ones). Therefore drawing any robust conclusions from such an approach can be quite ambiguous. A slightly better approach is to scan the supersaturation for the whole size distribution, which still leads to some ambiguity as described below. The best approach is to size select the particles (monodisperse) before the reaction (so all particles sizes exiting the reactor are compositionally identical) and then scan the supersaturation for hygroscopicity determination. Furthermore, using monodisperse particles enables clear measurements of volatilization. This was the approach adopted by George et al., [Atmospheric Environment, 43, (2009)] and Harmon et al. [Phys. Chem. Chem. Phys., 15, 9679 (2013)] in their CCN studies of chemically aged aerosol. Furthermore, if chemical erosion occurs at a given oxidation lifetime, which was observed for levoglucosan by Kessler et al. [Environmental Science & Technology, 44(18 (2010)], then one would expect even a more pronounced difference in composition as a function of size at a fixed OH, which would be obscured using a polydisperse distribution and size selecting after the reactor. One of the main conclusions in the paper is that chemical aging has no significant impact on OA hygroscopicity of water soluble species simply cannot be supported or refuted using the measurement technique described here and the associated ambiguities between particle size and composition (CCN depends critically upon both) cited above.

**Response to reviewer comment 1:** The authors thank the reviewer for their very helpful comments regarding our overall approach. The aerosol is expected to be internally mixed (i.e. at any given size, particles of the same size have essentially the same composition). At any size, the activated fraction of size-selected particles is expected to reach 100% at a sufficiently large supersaturation. As the reviewer pointed out, we scanned particle size at a fixed *S*, and we derived the critical particle diameter ( $D_{p,c}$ ) at 50% activation fraction. In terms of deriving particle hygroscopicity, this is mathematically equivalent to classifying particles at that particular size, scanning the supersaturation, determining the  $S_c$  at 50% activation fraction, and then deriving

particle hygroscopicity at that particular size using the  $S_c$ . We agree that the particle's level of oxidation will depend on its size and we haven't indicated how  $\kappa$  varies at a given OH exposure with particle size, although this is captured in the uncertainty in Figs. 5, 6, and 7 of the discussion manuscript. While we scan the polydisperse size distribution to determine the critical diameter at a given exposure, we also repeat the measurement at four different supersaturations. This allows us to assess whether particle size affects hygroscopicity at the same OH exposure. In other words, the critical diameter decreases with increasing supersaturation, thus over the course of a single aging experiment we know how  $\kappa$  varies with diameter as well. We find that while  $\kappa$  does vary with particle diameter, however, for different particle diameters,  $\kappa$  changes similarly with OH exposure. For completeness, we have decided to update Figs. 5-7 (see new Figs. 6-8 below) to indicate the dependence of  $\kappa$  and critical particle diameter on OH exposure. Below each panel of  $\kappa$  vs. OH exposure is the critical particle diameter as a function of OH exposure given. Note that in Figs. 6, 7, and 8, a linear fit of k vs. OH exposure for each different particle diameter is applied to both LEV and MNC, and for the mixed particles comprised of LEV and/or MNC, which is used to predict the trend of  $\kappa$  vs. OH exposure for each different supersaturation. It is clear that  $\kappa$ depends on particle size (supersaturation) at a fixed OH exposure, whereby smaller diameter particles exhibit a larger  $\kappa$ . However, it is also clear that OH exposure does not significantly enhance κ for LEV, LEV:MNC, LEV:KS, MNC:KS, and LEV:MNC:KS for any of the particle sizes, consistent with the averaged  $\kappa$  presented in original Figs. 5 (LEV only), 6, and 7. The only exception to this is pure MNC, for which, as expected, an increase in OH exposure causes the activated particle diameters to decrease, resulting in an increase in  $\kappa$ . This supports our approach since our main scientific question is in regards to the evolution of  $\kappa$  with increasing OH exposure. While  $\kappa$  depends on size at a fixed OH exposure,  $\kappa$  does not change significantly with increasing OH exposure at a fixed particle diameter, with the exception of MNC. The trend  $\kappa$  vs. OH exposure is very consistent between the different applied supersaturations.

We greatly appreciate the reviewer's comment as we felt that we might have undersold the results in the original manuscript by not showing the diameter dependence, but we disagree that our approach introduces too much ambiguity to support our main conclusion. We strongly feel that the updated figures better support that for the studied particle system, OH oxidative aging can impact the hygroscopicity of less water-soluble single-component particles. However, this aging process does not necessarily impact significantly the hygroscopicity of aerosol particles possessing mixed water-soluble and sparingly soluble components. Since we focus our new discussion primarily on how  $\kappa$  depends on both particle diameter and OH exposure, we removed the O<sub>3</sub> exposure data from the plots and now include them in stand-alone plots in a supplementary document. While there is evidence for chemical degradation of both LEV and MNC particles (see new Fig. 5), the impact volatilization has on particle hygroscopicity was not examined here.

**Manuscript alterations to reviewer comment 1:** The following figures and associated text (indicated in bold font) are included in the revised manuscript:

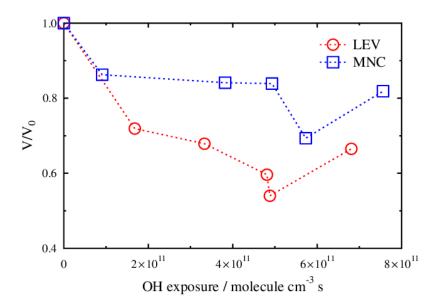


Figure 5. LEV and MNC particle volume change as a function of OH exposure. The measured particle volume in the presence of OH (V; Hg lamp on, with O<sub>3</sub>) is normalized to the measured particle volume in the absence of OH (V<sub>0</sub>; Hg lamp off, with O<sub>3</sub>).

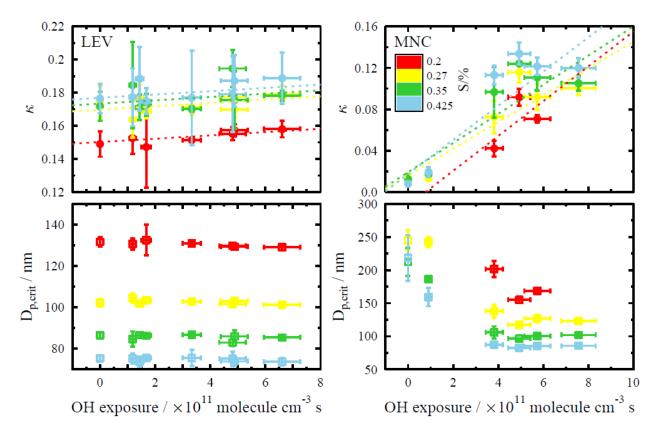


Figure 6. Derived  $\kappa$  (top) and critical particle diameter (bottom) for LEV and MNC particles are shown as a function of OH exposure. As indicated in the legend, the colors represent the different supersaturations (*S*) accessed during this study. The vertical error bars represent

 $\pm 1\sigma$  from the mean of the data acquired at a given OH exposure and S. Horizontal error bars correspond to the uncertainty in the OH exposure based on a  $\pm 5\%$  drift in RH over the sampling period. The dotted lines show the best linear fit to the OH exposure data as a function of S.

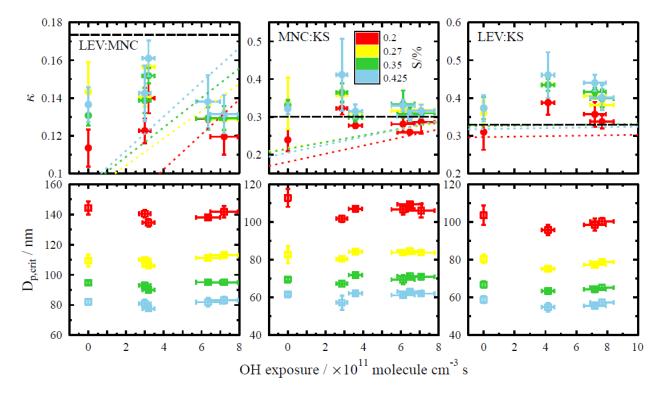


Figure 7. Derived  $\kappa$  (top panels) and corresponding critical particle diameter (bottom panels) for the binary component particles with 1:1 mass ratios are shown as a function of OH exposure. Color and error bars are the same as given in Fig. 6. The dotted lines are modeled  $\kappa$  using the volume mixing rule as a function of OH exposure applying the linear fit to the derived  $\kappa$  of pure MNC and LEV as a function of OH exposure (Fig. 6). The dashed black lines are the modeled  $\kappa$  using the volume mixing rule and excluding solubility limitations.

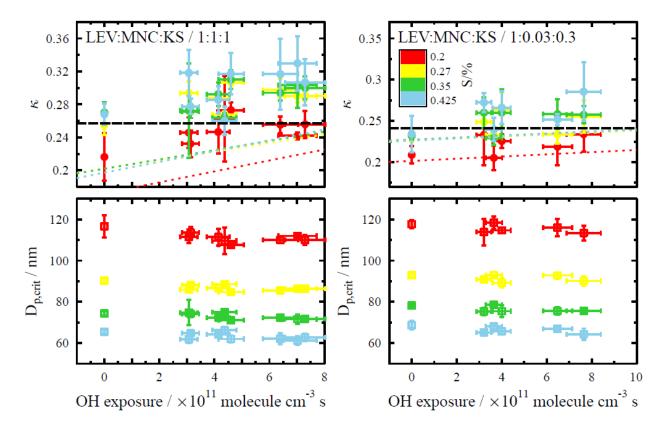


Figure 8. Derived  $\kappa$  (top panels) and critical particle diameter (bottom panels) for the ternary component particles with LEV:MNC:KS mass ratios 1:1:1 (left) and 1:0.03:0:3 (right) are shown as a function of OH exposure. The dashed black lines and dotted lines are the same as described in Fig. 7. Color and error bars are the same as described in Figs. 6 and 7.

Section 3.1, page 12, lines 1-2: "κ ranges from 0.149(±0.008) to 0.176(±0.009) for LEV over all *S*, in agreement with..."

Section 3.1, page 12, lines 11-12: "On average,  $\kappa$  for all of the binary and ternary mixed particles range from 0.111(±0.010) to 0.373(±0.034). Due to constraints in water solubility..."

Section 3.2, page 14, line 22 - heading now reads "CCN activity of single-component BBA surrogate-particles exposed to OH"

Section 3.3, page 18, line 14 – heading now reads "CCN activity of binary-component BBA surrogate-particles exposed to OH"

Section 3.4, page 19, line 23 – heading now reads "CCN activity of ternary-component BBA surrogate-particles exposed to OH"

The following text is included in the first paragraph of section 3.2, page 14, lines 26-27: " $\kappa$  as a function of O<sub>3</sub> exposure is presented in the supplemental material."

Section 3.2, page 14, lines 27-28, and page 15, lines 1-19 addresses volatilization and the potential impacts to K: "Upon exposure to OH, both LEV and MNC particles exhibited significant chemical erosion due to molecular fragmentation and volatilization (George et al., 2009; Kessler et al., 2010; Slade and Knopf, 2013). Figure 5 shows the evolution of LEV and MNC particle volume in the presence of OH, V (Hg lamp on, with O<sub>3</sub>), normalized to the initial particle volume just before switching on the Hg lamp, V<sub>0</sub> (Hg lamp off, with O<sub>3</sub>), as a function of OH exposure. Following OH exposure, the average decrease in particle volume for all OH exposures for LEV and MNC particles was  $36(\pm 7)\%$  and  $19(\pm 7)\%$ , respectively. In general, OH exposure led to an increase in LEV modal particle diameter and a decrease in MNC modal particle diameter. The increase in LEV modal particle diameter in combination with a decrease in total particle volume suggests the smallest LEV particles experienced the most chemical erosion. Occasionally, a second smaller size mode developed following OH oxidation of pure MNC particles. While the exact mechanism for the formation of the smaller mode is not clear, we speculate that OH oxidation of gas-phase MNC could lead to in-situ particle formation in the flow reactor. Particle size is not expected to alter  $\kappa$  directly unless a change in particle size coincides with a change in particle composition. Given that there are two different particle populations and presumably two different particle compositions following OH oxidation of MNC, the newly formed particles may affect the derived ĸ. Clearly, more careful control and study of the particle size distribution is needed to resolve the impacts of volatilization, but is beyond the scope of this study."

The following text has been added to section 3.2, page 15, lines 20-29, and page 16, lines 1-4, to describe the results presented in Fig. 6: " $\kappa$  was determined as a function of OH exposure and S for the single-component organic particles LEV and MNC as shown in the top panels of Fig. 6. The bottom panels of Fig. 6 correspond to the critical particle diameter as a function of OH exposure. It should be noted that critical particle diameter decreases with increasing S. For the same exposure, smaller particles become more oxidized due to their larger effective surface area to volume ratio. As demonstrated in Fig. 6 for both LEV and MNC, at a fixed OH exposure, the largest  $\kappa$  corresponds to the smallest critical particle diameter. While it is clear that  $\kappa$  depends on the particle size at a fixed OH exposure, we are interested in the resulting changes to  $\kappa$  due to increasing OH exposure does not significantly deviate for the applied particle sizes. For LEV particles,  $\kappa$  at the lowest OH exposure is not significantly different to  $\kappa$  derived at the highest OH exposure. Conversely, MNC  $\kappa$  increases significantly from ~0.01 to ~0.1 with increasing OH exposure at all applied S."

The linear fit equations in line with the text in section 3.2 and applied to Fig. 5 of the original discussion manuscript were removed since we now apply a linear fit to  $\kappa$  as a function of OH exposure at every *S*.

The following text is now included in section 3.3, page 18, lines 20-28, to describe Fig. 7: "Figure 7 shows  $\kappa$  and critical particle diameter for the different binary aerosol mixtures as a function of OH exposure for each applied S.  $\kappa$  as a function of O<sub>3</sub> exposure for the binary-component particles is presented in the supplementary material. The dotted and dashed lines in Fig. 7 display the predicted  $\kappa$  as a function of OH exposure using the volume mixing rule including and excluding MNC solubility limitations, respectively, based on the linear fits of

 $\kappa$  as a function of OH exposure for pure LEV and MNC particles (Fig. 6) at each S. Modeled  $\kappa$  as a function of OH exposure excluding MNC solubility limitations (i.e. black dashed lines in Fig. 7) assumes  $\kappa$  for MNC of the mixed particles is 0.16."

Section 3.4, page 19, lines 26-27: "The results for the OH exposure are shown in Fig. 8 and O<sub>3</sub> exposure had no significant impact on  $\kappa$ ."

**Reviewer comment 2:** The authors interpret their data exclusively within the Kappa framework. As implemented, the authors assume in Equations 2 and 3 that surface tension is that of pure water (this should be stated manuscript stated explicitly in the manuscript). There are a number of studies [e.g. George et al., Atmospheric Environment, 43, (2009), Schwier et al., Atmospheric Environment, 54, (2012), Noziere Nature Comm. 5, (2014), Harmon et al. Phys. Chem. Chem. Phys., 15, 9679 (2013), etc.] that show that surface partitioning of organics can play a role in CCN activity, even organics that would be normally considered water soluble. Some discussion is needed about the potential role of surface tension depression, and how neglecting its effects might alter or not the main conclusions of the paper. In particular George et al. and Harmon et al. both study the effects of chemical aging on CCN activity and both studies report evidence for surface tension reduction as well as the role of fully soluble and slightly soluble reaction products of relevance for the present study. Also on page 6785 line 3 the authors compare kappa for oxidized levoglucosan with several carboxylic standards measured by others. The authors should also include a discussion of surface tension here since there is recent evidence reported by Ruehl et al. [J. Phys. Chem. A, DOI: 10.1021/jp502844g (2014)] that the hygroscopicity of the same set of diacids coated on ammonium sulfate particles is more complex and not controlled by bulk solubility alone but involves surface processes not captured in the kappa formulation.

**Response to reviewer comment 2:** The reviewer is correct that surface tension is assumed as that of pure water for derivation of  $\kappa$ . While it is clear that aqueous solutions of levoglucosan and potassium sulfate exhibit surface tensions approximately equal to the surface tension of water (e.g. *Tuckermann and Cammenga* [2004] and *Tuckermann* [2007]), to our knowledge no previous surface tension measurements of 4-methyl-5-nitrocatechol aqueous solutions have been made. As a result, the change in  $\kappa$  reflects a combined effect due to changes in the organic's solubility, surface tension, etc. For example, if oxidation leads to a reduction in surface tension during activation while other properties remain the same, the derived  $\kappa$  will increase as demonstrated in *George et al.* [2009] and *Harmon et al.* [2013]. We cannot quantitatively apportion the change in  $\kappa$  to changes in individual properties, and it is likely that the change in surface tension may contribute to the observed change in  $\kappa$ . However, this does not affect our conclusion regarding the impact of oxidation on CCN activation.

Manuscript alterations resulting from reviewer comment 2: The following text was added to experimental section 2.4, page 9, line 22, and page 10, lines 1-10: "Here, we assume  $\sigma_{s/a}$  is equivalent to that of water. While aqueous solutions of LEV and KS exhibit surface tensions approximately equal to the surface tension of water (Tuckermanna and Cammenga, 2004; Tuckermann, 2007), to our knowledge no previous surface tension measurements of MNC aqueous solutions have been made. It is likely that our assumption applying the surface tension of water for all investigated OH exposures could result in an overestimation of  $\kappa$  since the presence of surface-active organics can decrease  $\sigma_{s/a}$  (George et al., 2009; Schwier et al.,

# 2012; Noziere et al., 2014; Harmon et al., 2013). We do not have surface tension data of the different mixtures applied in this study. However, we anticipate that increasing OH exposure may decrease $\sigma_{s/a}$ , thus enhancing the particle's CCN activity as demonstrated in George et al. (2009) and Harmon et al. (2013)."

**Reviewer comment 3:** The authors say that they measure Kappa (e.g. p. 6784 line 11), which is strictly incorrect since Kappa is derived (with some assumptions) from the measurements of critical diameter. Given that the experimental observable is critical diameter vs. OH exposure this data should be included in the manuscript and added explicitly to figures 5, 6, and 7. This will give the community easy access to the "raw" data.

**Response to reviewer comment 3:** The reviewer is correct that  $\kappa$  is *derived* based on a set of assumptions in e.g. droplet surface tension, density, and molecular weight, and not measured. We update the language in the manuscript to indicate that  $\kappa$  is derived and not measured. Anywhere in the original discussion manuscript that stated 'measured  $\kappa$ ' has been correctly changed to "experimentally-derived  $\kappa$ ". In regards to the second point, see Figs. 6-8 in response to the first comment, which shows explicitly how the critical particle diameter and derived  $\kappa$  depend on OH exposure for all of the different studied particle systems.

Manuscript alterations resulting from reviewer comment 3: Throughout the manuscript, 'measured  $\kappa$ ' has been changed to experimentally-derived  $\kappa$ .

**Reviewer comment 4:** Some of the authors previously published a very nice study on the how the reactive uptake depends upon RH, water diffusion coefficient etc. for both levoglucosan and MNC. What is the RH for the aging experiments reported here? Changes in reactive uptake of OH can signal changes in the chemistry, so some context of this prior work on the chemistry of aging should be included. The authors present no clear relationship between the evolution of kappa and the extent of reaction (depends upon the uptake coefficient, which is function of RH). It is not clear in the current manuscript that the reason for this is simply a small uptake coefficient and therefore a small extent of reaction so that the particle remains mostly levoglucosan over the range of exposures accessed in the experiment.

**Response to reviewer comment 4:** The reviewer has pointed out correctly that the uptake coefficient can depend on the applied RH, which we tried to keep consistent throughout the experiments. For the OH exposure experiments, RH was on average 41% with a standard deviation of  $\pm 3\%$ . However, one experiment was conducted at RH=30% and another at RH=45%. The overall RH was stated in the original manuscript as 30-45% (pg. 6777 line 15). The potential impacts of RH and OH concentration on OH uptake were discussed briefly on page 6778, lines 6-18, placing these effects in context of the OH exposure experiments. It is interesting that MNC, while having a 10 times smaller OH uptake coefficient compared to LEV at the same RH, exhibits a greater change in  $\kappa$  than LEV following OH oxidation. Under dry conditions, we understand uptake is limited by surface-bulk processes [*Arangio et al.*, 2015; *Slade and Knopf*, 2014]. In that case, due to the low hygroscopicity and low water-solubility of MNC, its viscosity may be sufficiently high that oxidation is limited to the particle surface. Consequently, MNC surface molecules may undergo several generations of oxidation as opposed to LEV, which is known to

undergo a semi-solid to liquid phase transformation at the same RH=40% [*Mikhailov et al.*, 2009]. However, assessing the effects of RH or bulk diffusivity on hygroscopicity following OH exposure is beyond the scope of the current work.

Manuscript alterations resulting from reviewer comment 4: The following text was added to experimental section 3.2, page 18, lines 3-13: "Interestingly, MNC, while having a ten times smaller OH uptake coefficient compared to LEV at the same RH (Slade and Knopf, 2014), exhibits a greater change in  $\kappa$  than LEV following OH oxidation. Under dry conditions, we understand uptake is limited by surface-bulk processes (Arangio et al., 2015; Slade and Knopf, 2014). In that case, due to the low hygroscopicity and low water-solubility of MNC, its viscosity may be sufficiently high that oxidation is limited to the particle surface. Consequently, MNC surface molecules may undergo several generations of oxidation as opposed to LEV, which is known to undergo a semi-solid to liquid phase transformation at the same RH=40% (Mikhailov et al., 2009). However, assessing the effects of RH or bulk diffusivity on hygroscopicity following OH exposure is beyond the scope of the current work."

**Reviewer comment 5:** Since particle size plays a key role in hygroscopicity, the authors need to report how the average particle size changes (or doesn't) as a function of OH exposure (chemical erosion).

**Response to reviewer comment 5:** We thank the reviewer for bringing up this point. However, particle size does not play a key role in hygroscopicity, at least not directly. Both particle size and hygroscopicity play a key role in particle CCN activity, but it is a change in composition and  $\kappa$  that we are trying to understand. Previous studies have indicated that levoglucosan as well as nitrophenolic species can undergo degradation following OH oxidation due to molecular fragmentation [*Kessler et al.*, 2010; *Slade and Knopf*, 2013]. While the particles were not size-selected prior to OH exposure in the flow reactor, there is strong evidence for particle degradation following OH oxidation in our system, similar to the observations by *George et al.* [2009]. New Fig. 5 demonstrates how total particle volume changes with increasing OH exposure. Details of Fig. 5 and related discussion are addressed in the response to reviewer comment 1.

**Manuscript alterations resulting from reviewer comment 5:** Please see the manuscript changes discussed in response to reviewer comment 1.

**Reviewer comment 6:** The authors should explain why drying the particles out to RH < 5% after reaction, but before the CCN measurements is done. Could this drying step not impact the phase state of the particle and thus unnecessarily complicate observing the connection between aging and CCN properties produced by oxidation?

**Response to reviewer comment 6:** Drying the particles before they enter the DMA and CCN chamber may impact the phase state of the particles. However, we chose to dry the particles after oxidation in the flow reactor, similar to the procedures by *George et al.* [2009], because the derivation of  $\kappa$  requires the knowledge of dry particle size.

**Manuscript alterations resulting from reviewer comment 6:** We have included in the experimental section 2.1, page 6, lines 14-15: **"This second drying stage was included in the experimental setup because the derivation of \kappa requires knowledge of dry particle size."** 

**Reviewer comment 7:** The results for the coating experiments shown in Figure 8 are very difficult to follow. The discussion of the coating method is also quite confusing on page 6791. The authors should clarify why Figure 8a and 8b are time dependent since the key relationship is between kappa and Vorg, which is not time dependent. Are the coatings applied thermally and are they not stable over time? Some details in the experiment section are clearly needed for the reader to better understand how the data is obtained in figure 8.

**Response to reviewer comment 7:** The reviewer is correct that  $V_{f,org}$  is a time-independent parameter. However, for this application and as presented in new Fig. 9 panels A and B of the revised discussion manuscript, the time-dependence is related to the experimental time of thermally coating KS with MNC. Note that in panels A and B, the particles were not exposed to OH. Similar to the other particle systems studied here, the size distribution of the particles is scanned (up and down scans) at four different supersaturations (0.2, 0.27, 0.35, and 0.425%), which is repeated once, resulting in 16 total scans of the particle population, a process that takes approximately 90 min. to complete. Different to the other particle systems in this study, the KS particles grow due to condensation of MNC over this experimental time. So, for this experiment in particular, the DMA and CCNc capture the size distribution and CCN activity of a compositionally different particle population at each scan, which is time-dependent.  $\kappa$  in response to OH exposure presented in Fig. 9 panel C was acquired as in revised Figs. 6, 7, and 8, based on the average of  $\kappa$  derived from the individual scans on a compositionally-similar particle population.

A short description of how the KS particles were coated by MNC has already been included in the Experimental section 2.1. However, we clarify in the results section 3.5 that the coatings and thus derived  $\kappa$  and  $V_{f,org}$  are dependent on the time it takes to thermally coat KS particles with volatilized MNC.

**Manuscript alterations resulting from reviewer comment 7:** The following text has been added to section 3.5, page 22, lines 7-13: "It is important to note that the particle size distribution is scanned (up and down voltage scans) at four different *S* (0.2, 0.27, 0.35, and 0.425%) in ascending and descending order, a process that takes roughly 90 min. In contrast to the atomized binary-component particles, here the KS particles grow due to MNC condensation over this experimental time period. Hence, the DMA and CCNc capture the size distribution and CCN activity of a compositionally-different particle population at each scan."

#### References

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Tuckermann, R., and H. K. Cammenga (2004), The surface tension of aqueous solutions of some atmospheric water-soluble organic compounds, *Atmos Environ*, *38*(36), 6135-6138, doi:10.1016/j.atmosenv.2004.08.005.

#### **Responses to Anonymous Referee #2**

The authors appreciate the valuable comments of reviewer #2, which in combination with the critique by reviewer #1, has greatly improved the quality of our manuscript. As with the first reviewer, we provide a point-by-point response (normal font) to the reviewer's comments (italic font) with indicated additions or alterations to the manuscript indicated in bold font, where page numbers and sections correspond to the revised discussion manuscript unless otherwise noted.

**Reviewer comment 1:** Page 6784: "When including MNC solubility limitations, i.e. applying the experimentally derived  $\kappa$  of pure MNC in the volume mixing rule, the predicted  $\kappa$  of the mixture is significantly less at 0.204 (open blue circles in Fig. 4). However, when excluding the effects of solubility, predicted  $\kappa$ =0.300 (closed blue circles in Fig. 4), is in excellent agreement with the measured  $\kappa$ ." I found this sentence misleading. It sounds like the solubility limitation should be excluded to get correct result and when solubility limitation is included, the result is wrong. Maybe this should be reworded to make it clear, that the problem is the volume mixing rule used, and the best result is always achieved when solubility limitations and dissolved fraction of different compounds are correctly calculated.

**Response to reviewer comment 1:** The reviewer makes a great point, and we agree that our statements regarding whether to include or exclude solubility limitations are perhaps misrepresented. We note that the statement referred directly to the results given in the figure (Fig. 4 of the original discussion manuscript). It is still true that we 'excluded' and 'included' solubility limitations in the volume mixing rule to assess which calculation leads to better agreement with the observations. Indeed, the best results are always achieved when solubility and dissolved fraction are correctly applied and known. We have altered the language in the text as suggested by the reviewer. Furthermore, and noting the reviewer's comment 2, below, we have updated Fig. 4 to include the predicted  $\kappa$  based on Köhler theory that accounts for the dissolution of compounds in growing droplets [*Petters and Kreidenweis*, 2008]. Figure 4 now more appropriately shows predicted  $\kappa$  based on the volume mixing rule applying the single-component experimentally-derived  $\kappa$  ( $\kappa$ =limit; colored symbols),  $\kappa$  calculated from Köhler theory ( $\kappa$ =KT; gray-scale symbols), and predicted  $\kappa$  assuming no solubility limitations, i.e.  $C=\infty$ , shown as horizontal lines. Note that the horizontal lines, which represent predicted  $\kappa$  in the absence of solubility limitations, expand the range of  $\kappa$  derived from  $D_{p,c}$  measurements at each *S*.

**Manuscript alterations resulting from reviewer comment 1:** See "Manuscript alterations resulting from reviewer comment 2, below.

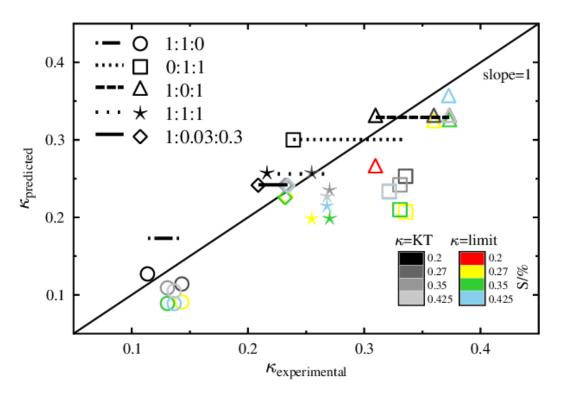


Figure 4. Predicted  $\kappa$  as a function of experimentally-derived  $\kappa$  at different supersaturation (*S*) for the binary and ternary particle mixtures of LEV, MNC, and KS.  $\kappa$  is predicted applying the volume mixing rule and based on single-component experimentally-derived  $\kappa$  including solubility limitations ( $\kappa$ =limit; color scale),  $\kappa$  calculated from Köhler theory ( $\kappa$ =KT; gray scale), and  $\kappa$  assuming no solubility limitations (horizontal lines). Note that the horizontal lines span the range of experimentally-derived  $\kappa$ . The black diagonal line represents a slope of 1 in the derived vs. predicted  $\kappa$ . The LEV:MNC:KS mass ratios are indicated in the legend for 1:1:0 (circle), 0:1:1 (square), 1:0:1 (triangle), 1:1:1 (star), and 1:0.03:0.3 (diamond).

**Reviewer comment 2:** Page 6784: How close match the LEV:MNC mixtures do you get if you correctly calculate the solubility effect through Köhler-theory instead of assuming complete solubility?

**Response to reviewer comment 2:** See previous comment and new Fig. 4, which also shows predicted  $\kappa$  calculated from Köhler theory. For the LEV:MNC mixtures, assuming complete solubility of MNC overpredicts the experimentally-derived  $\kappa$ . After applying Köhler theory, predicted  $\kappa$  is in much better agreement with experimentally-derived  $\kappa$ . However, it is important to note that for the other mixtures,  $\kappa$  calculated from Köhler theory is not always in best agreement with experimentally-derived  $\kappa$ , possible due to the enhancement in MNC water-solubility in the presence of hygroscopic KS that is not accounted for in the calculation. In addition, we have a limited understanding of MNC's actual water-solubility, which is based on a prediction using the Environmental Protection Agency's Estimation Program Interface (EPI) suite, i.e. a higher water-solubility would shift predicted  $\kappa$  to higher values for all particle mixtures containing MNC.

**Manuscript alterations resulting from reviewer comment 2:** Table 1 has been updated to include the estimated water-solubility of MNC based on calculations from the Environmental Protection Agency's Estimation Program Interface (EPI) suite, included now in the references. Figure 4 has been updated to include predicted  $\kappa$  applying Köhler theory, shown as grayscale symbols (see revised Fig. 4, above).

Section 3.1, page 13, lines 11-26: "The water solubility of MNC is approximated as C=0.003, which is categorized as sparingly water soluble (Petters and Kreidenweis, 2008). To verify whether MNC behaves as if it is infinitely soluble in a solution with KS, Fig. 3 shows derived Köhler curves of pure MNC and mixtures containing variable KS volume fractions, and the MNC dissolved fraction,  $\chi_{MNC}$ . In Fig. 3, the critical supersaturation, i.e. the maximum in the Köhler curve, decreases with increasing KS volume fraction. At a KS volume fraction of ~36% (MNC volume fraction of ~64%) indicated by the orange curves in Fig. 3, the maximum in the Köhler curve corresponds to  $\chi_{MNC}\approx1$ , implying that CCN activation is not limited by MNC solubility. This MNC volume fraction corresponds to the 1:1 by mass MNC:KS particles, which suggests that for this particular mixture, MNC behaves as if there are no solubility limitations during CCN activation (i.e. infinitely soluble, equivalent to  $C=\infty$ ) and  $\kappa$  of MNC can be predicted using Eq. (6). This result is consistent with the 1:1:1 by mass LEV:MNC:KS particles. In the presence of LEV, alone, MNC remains slightly insoluble during CCN activation."

The following text has replaced the last paragraph of section 3.1, and begins on page 13, lines 27-29, and page 14, lines 1-21: "Figure 4 shows the predicted  $\kappa$  plotted against experimentallyderived k for all of the particle mixtures and S applied in this study. k was predicted applying the volume mixing rule under three different scenarios, (1) calculated from experimentallyderived single-component  $\kappa$  ( $\kappa$ =limit; color scale symbols), (2) calculated from Köhler theory ( $\kappa$ =KT; gray scale symbols), and (3) assuming no solubility limitations (i.e.  $C=\infty$ ; horizontal lines). For all particle mixtures containing equal by mass MNC (i.e. 1:1:0, 0:1:1, and 1:1:1), applying the experimentally-derived single-component k underpredicts the experimentallyderived  $\kappa$  of the mixtures. As discussed previously, this underprediction is due to the enhancement in MNC water solubility when in the presence of water soluble LEV and KS, which is not accounted for when applying the experimentally-derived single-component  $\kappa$ . Applying Köhler theory results in better agreement with the experimentally-derived  $\kappa$ , particularly for the 1:1:0 particle mixture. Predicted  $\kappa$  assuming no solubility limitations results in an over-prediction for the 1:1:0 particle mixture, but is in best agreement with the experimentally-derived  $\kappa$  for all other mixtures. The ability to predict experimentallyderived  $\kappa$  of the mixtures applying Köhler theory depends on the solubility and volume fractions of the different particle components, which have not all been measured. The solubility of MNC was estimated from the US Environmental Protection Agency's Estimation Program Interface (EPI) suite (EPI, 2015). Applying this estimated solubility results in an underprediction in the 0:1:1 and 1:1:1 k, but is in best agreement for the 1:1:0 particle mixture. These results support that the volume mixing rule is most accurate when accounting for the changes to water solubility when the components are mixed. In the

## presence of LEV, MNC remains slightly insoluble. However, in the presence of KS, MNC behaves as if there are no solubility limitations during CCN activation."

**Reviewer comment 3:** Page 6782 and Table 2: It would be interesting to see if there is size dependence in the measured kappa values for KS similar to ammonium sulphate. The reported uncertainty is quite high. Is this due to increasing kappa (increasing Van't Hoff factor) with increasing particle size? If it is, then this information should be used when hygroscopicity of particles is calculated.

**Response to reviewer comment 3:** We thank the reviewer for bringing this to our attention. We did find that in general the derived  $\kappa$  values for KS increases with increasing particle diameter, similar to ammonium sulfate, likely due to an increasing Van't Hoff factor. In response to this and reviewer #1's suggestions, we have now included the dependence of the derived  $\kappa$  on the measured critical particle diameter and OH exposure. Furthermore, we have updated the prediction lines (i.e.  $\kappa$  vs. OH exposure) to account for the effects of the change in particle size in the revised Figs. 6, 7, and 8. This resulted in a complete update of all of the OH exposure plots and table 2. Please see table 2 below, which shows now these numbers in more detail.

**Manuscript alterations resulting from reviewer comment 3:** Figures 6, 7, and 8 have been reformatted to include the size dependence due to changing supersaturation. The values reported in table 2 are now shown for each supersaturation. The following text in regards to the increasing van't Hoff factor of potassium sulfate with increasing supersaturation was added to the third paragraph in section 3.1, page 12, lines 4-5: "…, but exhibits a marginal increase as a function of *S*, possible due to an increasing van't Hoff factor at higher *S*, similar to ammonium sulfate."

Compound	κ <sup>a</sup>				$\kappa^{b}$	κ <sup>c</sup>
	0.2%	0.27%	0.35%	0.425%		
LEV	0.149 (±0.008)	0.175 (±0.010)	0.172 (±0.009)	0.176 (±0.009)	0.188	0.165 <sup>d</sup>
						0.208 (±0.015) <sup>e</sup>
KS	0.525 (±0.052)	0.575 (±0.026)	0.563 (±0.024)	0.538 (±0.074)	0.55	0.52 <sup>d</sup>
MNC	N/A	0.013 (±0.003)	0.012 (±0.005)	0.008 (±0.002)	0.16	
LEV:MNC:KS	κ <sup>a</sup>				$\kappa^{b}$	
Mass ratio	0.2%	0.27%	0.35%	0.425%		
1:1:0	0.114 (±0.010)	0.143 (±0.016)	0.131 (±0.005)	0.137 (±0.009)	0.173	
1:0:1	0.310 (±0.047)	0.360 (±0.031)	0.373 (±0.029)	0.373 (±0.034)	0.329	
0:1:1	0.239 (±0.030)	0.336 (±0.068)	0.331 (±0.014)	0.322 (±0.015)	0.300	
1:1:1	0.216 (±0.029)	0.255 (±0.012)	0.270 (±0.013)	0.268 (±0.013)	0.256	
1:0.03:0.3	0.209 (±0.010)	0.233 (±0.008)	0.232 (±0.005)	0.234 (±0.022)	0.241	

**Table 2.** Tabulated experimentally-derived hygroscopicity parameters,  $\kappa$ , for the various particle types investigated in this study before oxidation.

<sup>a</sup> This study. Reported uncertainties are 1σ from the mean in the derived κ.

<sup>b</sup> Predicted values applying the volume mixing rule without solubility limitations.

<sup>c</sup> Literature reported values.

<sup>d</sup> Carrico et al. 2010).

Petters and Kreidenweis 2007).

**Reviewer comment 4:** It is already shown in 3.3 that with binary mixtures there is no change in the measured hygroscopicity after oxidation, so what is the motivation to present results with ternary mixtures?

**Response to reviewer comment 4:** While the reviewer is correct that there is no change in  $\kappa$  as a function of OH exposure for the binary particle mixtures, which may imply there is no effect on the ternary particles, we felt it was still important to include the results for the ternary mixed particles for the following reasons. (1) It allows us to assess whether the particle's matrix could have an effect on its CCN activity as a function of OH exposure, not accessed with the binary mixtures. (2) It is a useful dataset for comparison with field-collected particles because it better represents a complex particle system, similar to particles observed in the 'real' environment.

**Manuscript alterations resulting from reviewer comment 4:** No alterations to the main text of the original discussion manuscript were made in response to reviewer comment 4.

**Reviewer comment 5:** Section 3.5: I agree with Referee #1 here. Particles of different sizes have different mass fractions of coating material. Better analysis of different particle sizes and corresponding hygroscopicities could be conducted. It should be quite straightforward task to estimate coating thickness for different sized particles and use Köhler theory to estimate the solubility limitations. At the moment this is only speculated. Also there could be more discussion why observed 10 nm coating is not slowing down water uptake and decrease CCN activity.

**Response to reviewer comment 5:** Figure 8 in the discussion manuscript (see new Fig. 9 below) and section 3.5 have been updated to include the effects of particle size and thus coating-thickness (or organic volume fraction) on derived  $\kappa$  for both OH unexposed particles (see new Fig. 9 panel A and B) and OH exposed particles (see new Fig. 9 panel C). We find that a 10 nm coating does not completely prevent water uptake by the KS core, such that the coated particles behave similarly as MNC. Our speculation is that at sufficiently high RH (i.e. >100%), the particle-phase diffusivity increases significantly and water molecules can readily reach the KS core [*Koop et al.*, 2011].

Manuscript alterations resulting from reviewer comment 5: Figure 9 has been updated to include how volume fraction and predicted  $\kappa$ , excluding or including solubility limitations, depend on the particle diameter. In panel C of new Fig. 9, predicted  $\kappa$  accounting for the enhancement in MNC  $\kappa$  due to OH exposure now includes the effects of varying supersaturation.

Section 3.5, page 21, lines 25-28, and page 22, lines 1-5 describes new Fig. 9, panels A and B: "The 25<sup>th</sup>, 50<sup>th</sup>, and 75<sup>th</sup> percentiles of the number-weighted particle size distribution grew in size by ~20 nm as indicated by the red, black, and blue circles in Fig. 9A, respectively. For the 50<sup>th</sup> percentile, this corresponds to an enhancement in the MNC  $V_{f,org}$  from 0% at time=0 min to ~70% shortly after, close to the  $V_{f,org}$  of the atomized MNC:KS binary component particles of 64%. The similar  $V_{f,org}$  between the atomized and coated MNC/KS particles enables a direct intercomparison of their hygroscopicity, since relatively larger MNC  $V_{f,org}$ would bias towards lower  $\kappa$  and vice versa, as indicated in the colored dashed and dotted lines in Fig. 9B." Section 3.5, page 22, lines 16-18: "The change in  $V_{f,org}$  with time is indicated by the red, black, and blue circles according to the 25<sup>th</sup>, 50<sup>th</sup>, and 75<sup>th</sup> percentiles of the particle population, respectively."

Section 3.5, page 22, lines 21-27: **"To determine if the solubility of MNC impacts the MNC-coated KS particles similarly to the atomized mixture,**  $\kappa$  **is predicted using the volume mixing rule and applying the experimentally-derived pure MNC**  $\kappa$  corresponding to a specific *S* (i.e. **including solubility limitations**), as indicated by the dotted lines in Fig. 9B, and compared to predicted  $\kappa$  applying a pure MNC  $\kappa$  of 0.16 (i.e. pure MNC  $\kappa$  in the absence of solubility limitations calculated from Eq. 6), as indicated by the dashed lines in Fig. 9B."

The following text regarding MNC coating and  $\kappa$  has now been included at the end of the secondto-last paragraph in section 3.5, page 23, lines 16-19: "One possible explanation for the higher than expected  $\kappa$  when KS is coated with MNC is that the particle-phase diffusivity is sufficiently high to allow water molecules to penetrate the KS core [Koop et al., 2011]."

Section 3.5, page 23, lines 23-29, and page 23, lines 21-29 describes the OH exposure hygroscopicity data presented in panel C of new Fig. 9: "The  $\kappa$  values resulting from an OH exposure of 3.3x10<sup>11</sup> molecule cm<sup>-3</sup> are given by the filled circles, whereby the different colors represent the applied S during the experiment. Open circles correspond to  $\kappa$  in the absence of OH. At  $V_{f,org}=0\%$ ,  $\kappa$  is ~0.55 and independent of OH exposure.  $\kappa$  decreases when  $V_{f,org}\approx70\%$ , but undergoes a slight enhancement following OH exposure. The dotted lines indicate the modeled change in  $\kappa$  as a function of  $V_{f,org}$  applying the volume mixing rule and applying the experimentally-derived  $\kappa$  for MNC and KS at a given S (i.e. including MNC solubility limitations). Similar to the atomized 1:1 MNC:KS binary-component particles, modeled  $\kappa$  as a function of  $V_{f,org}\approx70\%$ , MNC may not be limited by its solubility, similar to the atomized 1:1 mass ratio MNC:KS binary-component particles, and that OH exposure can have very little impact on the CCN activity of sparingly-soluble organics coated on water soluble compounds."

Section 3.5, page 24, lines 15-18: "This suggests that the water solubility of the more soluble component of mixed-component aerosol particles can be more important for CCN activation than the actual mixing state of the particle."

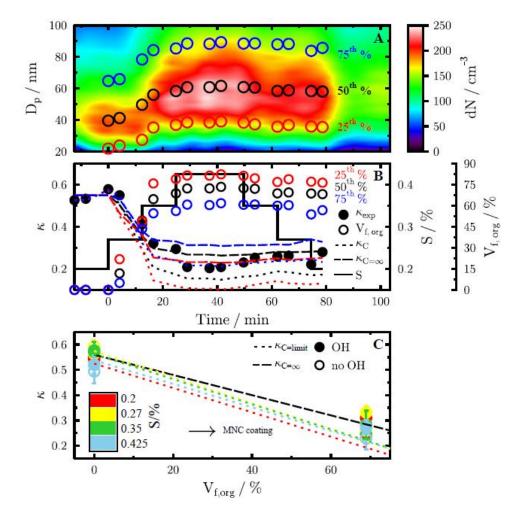


Figure 9. OH exposure effects on the CCN activity of MNC-coated KS particles. Panel A shows a color map of the number-weighted particle size distribution (dN) of KS and MNCcoated KS particles plotted as a function of MNC coating. The open circles in panel A refer to the measured percentiles of the total particle population (25<sup>th</sup> – red, 50<sup>th</sup> – black, 75<sup>th</sup> – blue). Panel B displays the change in particle hygroscopicity (filled circles) and MNC volume fraction (V<sub>f,org</sub>, open circles) with time as a function of S given as black solid line. The dotted lines show the predicted  $\kappa$  using the volume mixing rule corresponding to the V<sub>f,org</sub> at a given time and based on the experimentally-derived  $\kappa$  for KS and MNC given in table 2 as a function of S. The dashed lines represent the predicted  $\kappa$  using the volume mixing rule corresponding to the V<sub>f,org</sub> at a given time and assuming the CCN activity of MNC is not limited by its solubility (i.e. MNC x=0.16 calculated from Eq. 6). Panel C displays the change in  $\kappa$  for the MNC-coated KS particles as a function of  $V_{f,org}$ , OH exposure, and S. OH unexposed particles are plotted as open circles. Filled circles correspond to particles exposed to OH at  $3.3 \times 10^{11}$  molecule cm<sup>-3</sup> s. The error bars represent  $1\sigma$  from the mean in  $\kappa$ . The colored dotted lines show predicted  $\kappa$  as a function of  $V_{f,org}$  at different S using the volume mixing rule assuming the CCN activity of MNC is limited by its solubility. The black dashed line shows the predicted k using the volume mixing rule assuming the CCN activity of MNC is not limited by its solubility.

**Reviewer comment 6:** Page 6796: "Chemical aging has no major impact on the CCN activity of mixed water-soluble and insoluble compounds beyond the point that the insoluble component becomes infinitely soluble. Below this point, chemical aging can influence the CCN activity of the insoluble component." This is quite strong statement and valid only for compounds studied here. Also, how insoluble compounds become infinitely soluble? Maybe weakly soluble compounds, or compounds with low solubility would describe these compounds better. Also state more clearly that these weakly soluble compounds become fully dissolved in dilute liquid aerosol before activation into cloud droplets."

**Response to reviewer comment 6:** We feel, as the reviewer does, that the language in the manuscript should be updated to emphasize that it is only in this specific case (weakly soluble MNC mixed with sufficiently soluble LEV or KS) that the observed enhancement in the CCN activity of MNC following OH exposure does not translate when MNC is mixed with LEV or KS. This may be the case for other similarly mixed particle systems, but clearly more studies are needed to verify this. We have removed the term 'insoluble' from this discussion since it is contradictory than an insoluble material would become infinitely soluble. Based on the reviewer's recommendation, we have also made minor changes to the text to indicate more clearly that the weakly soluble compounds become fully dissolved in dilute liquid aerosol before activation into a cloud droplet and that it is this very point that chemical aging may play less of a role in the CCN activity of mixed aerosol particles than expected.

**Manuscript alterations resulting from reviewer comment 6:** The last two sentences of the conclusion section 4, page 26, lines 25-28: **"Chemical aging has no major impact on the CCN activity of the mixed water-soluble and sparingly-soluble BBA compounds studied here, beyond the point that the less water-soluble component becomes infinitely soluble. Below this point, chemical aging can influence the CCN activity of the mixed particle."** 

**Reviewer comment 7:** *Figure 3: Please mention also the dry particle size used in the calculation.* 

**Response to reviewer comment 7:** We have now included the dry particle size (110 nm) in the figure description of Fig. 3.

**Reviewer comment 8:** *Is the legend correct? Now the particles with the highest mass fraction of KS are not the most hygroscopic.* 

**Response to reviewer comment 8:** The reviewer is correct, there is a typo in the figure. Referring to the original Fig. 4, the data points shown in purple should be switched with the data in gold. We have made a new Fig. 4 that includes this correction (see new Fig.4 in response to reviewer comment 2 above).

#### References

Koop, T., J. Bookhold, M. Shiraiwa, and U. Poschl (2011), Glass transition and phase state of organic compounds: dependency on molecular properties and implications for secondary organic aerosols in the atmosphere, *Phys Chem Chem Phys*, *13*(43), 19238-19255, doi:10.1039/C1cp22617g.

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### Chemical aging of single and multicomponent biomass burning aerosol surrogate-particles by OH: implications for cloud condensation nucleus activity

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#### Abstract

Multiphase OH and  $O_3$  oxidation reactions with atmospheric organic aerosol (OA) can influence particle physicochemical properties including composition, morphology, and lifetime. Chemical aging of initially insoluble or low soluble single-component OA by OH and O<sub>3</sub> can increase their water-solubility and hygroscopicity, making them more active as cloud 5 condensation nuclei (CCN) and susceptible to wet deposition. However, an outstanding problem is whether the effects of chemical aging on their CCN activity are preserved when mixed with other organic or inorganic compounds exhibiting greater water-solubility. In this work, the CCN activity of laboratory-generated biomass burning aerosol (BBA) surrogateparticles exposed to OH and  $O_3$  is evaluated by determining the hygroscopicity parame-10 ter,  $\kappa$ , as a function of particle type, mixing state, and OH/O<sub>3</sub> exposure applying a CCN counter (CCNc) coupled to an aerosol flow reactor (AFR). Levoglucosan (LEV), 4-methyl-5nitrocatechol (MNC), and potassium sulfate (KS) serve as representative BBA compounds that exhibit different hygroscopicity, water solubility, chemical functionalities, and reactivity with OH radicals, and thus exemplify the complexity of mixed inorganic/organic aerosol in 15 the atmosphere. The CCN activities of all of the particles were unaffected by  $O_3$  exposure. Following exposure to OH,  $\kappa$  of MNC was enhanced by an order of magnitude, from 0.009 to  $\sim$ 0.1, indicating that chemically-aged MNC particles are better CCN and more prone to wet deposition than pure MNC particles. No significant enhancement in  $\kappa$  was observed for pure LEV particles following OH exposure.  $\kappa$  of the internally-mixed particles was not affected by 20 OH oxidation. Furthermore, the CCN activity of OH exposed MNC-coated KS particles is similar to the OH unexposed atomized 1:1 by mass MNC:KS binary-component particles. Our results strongly suggest that when OA is dominated by water-soluble organic carbon (WSOC) or inorganic ions, chemical aging has no significant impact on OA hygroscopicity. The organic compounds exhibiting low solubility behave as if they are infinitely soluble when 25 mixed with a sufficient amount of water-soluble compounds. At and beyond this point, the particles' CCN activity is governed entirely by the water-soluble fraction and not influenced by the oxidized organic fraction. Our results have important implications for heterogeneous oxidation and its impact on cloud formation given that atmospheric aerosol is a complex mixture of organic and inorganic compounds exhibiting a wide-range of solubilities.

#### 1 Introduction

The extent that aerosol-cloud interactions impact the atmospheric radiative budget and climate change is significant, but remains highly uncertain (Stocker et al., 2013). Attributed to this uncertainty is the difficulty to quantify the effects of chemical aging during atmospheric particle transport by heterogeneous or multiphase chemical reactions between organic aerosol particles and trace gas-phase oxidants and radicals (Abbatt et al., 2012; Pöschl, 2011; George and Abbatt, 2010; Rudich et al., 2007). Heterogeneous oxidation reactions between organic aerosol particles and OH, O<sub>3</sub>, or NO<sub>3</sub> can impact the particles' physical and chemical properties (Ellison et al., 1999; Rudich, 2003; Pöschl, 2005; Rudich et al., 2007; George and Abbatt, 2010), and has been shown to impact particle hygroscopicity and cloud condensation nuclei (CCN) activity (Broekhuizen et al., 2004; Petters et al., 2006; Shilling et al., 2007; Pöschl, 2011; George et al., 2009) and ice nucleation (IN) (Wang and Knopf, 2011; Brooks et al., 2014).

Cloud nucleation efficiency depends on the particle's water-solubility, hygroscopicity, size, and morphology (Petters and Kreidenweis, 2007, 2008; Dusek et al., 2006; Giordano et al., 2015). The majority of submicron aerosol particles are comprised of organic material (Zhang et al., 2007; Hallquist et al., 2009), which possess a wide range of hygroscopicity (κ ~0.01–0.5) (Petters and Kreidenweis, 2007). A significant portion of atmospheric organic aerosol (OA) is derived from biomass burning (BB) emissions (Bond et al., 2004; Andreae et al., 2004; Hays et al., 2005; Monks et al., 2009). BB plays an important role both regionally and globally (Park et al., 2007), accounting for an estimated 2.5 Pg C yr<sup>-1</sup> (van der Werf et al., 2006). Reflectance data from satellite retrievals indicates that BB accounts for a global footprint of 464 Mha yr<sup>-1</sup> or roughly ~ 36 % of cropland on earth (Randerson et al., 2012). Biomass burning aerosol (BBA) constitutes a significant fraction of primary organic aerosol (POA) (Bond et al., 2004) and secondary organic aerosol (SOA), derived from

oxidative aging of volatile and semi-volatile organic vapors emitted from biomass burning plumes (Carrico et al., 2010; Hallquist et al., 2009; Jathar et al., 2014). Molecular markers of BB POA include pyrolyzed forms of glucose such as levoglucosan (LEV, 1-6-anydro- $\beta$ glucopyranose) (Simoneit, 1999) and potassium containing salts such as potassium sulfate (KS,  $K_2SO_4$ ) (Sheffield et al., 1994). The photo-oxidation of *m*-cresol, which is emitted at 5 high levels from biomass burning (Schauer et al., 2001), in the presence of  $NO_x$ , generates 4-methyl-5-nitrocatechol (MNC), which has recently been recognized as a potentially important tracer for biomass burning SOA (linuma et al., 2010). With the exception of MNC, the CCN activity and hygroscopicity of LEV and KS, among other select BBA compounds and smoke particles, have been determined (Petters et al., 2009; Carrico et al., 2010). Dusek 10 et al. (2011) derived  $\kappa$  values of 0.2 for the water-soluble organic content (WSOC) in particles produced from controlled laboratory burns. Carrico et al. (2010) determined a mean  $\kappa$  of 0.1 for carbonaceous particles sampled from open combustion of several biomass fuels. Hygroscopic growth factors of LEV and other biomass burning derived organics range from 1.27-1.29 at RH = 90 % (Chan et al., 2005; Mikhailov et al., 2009). In-situ field mea-15 surements of the CCN efficiency (ratio of CCN to the available condensation nuclei, CN) of biomass burning smoke particles is on the order of 50% at 1% supersaturation (Andreae et al., 2004). While inorganic ions have only a minor importance as an atmospheric tracer for biomass burning, they can significantly influence the CCN activity of BBA, even if their fractions are significantly less than the organic fraction (linuma et al., 2007; Roberts et al., 20 2002).

Heterogeneous OH oxidation of organic aerosol can initiate reactions that result in the production of oxidized polar functional groups that can reduce the particle's surface tension (George et al., 2009) and increase water-solubility (Suda et al., 2014), enabling greater water uptake and CCN activity. For example, Broekhuizen et al. (2004) demonstrated that unsaturated fatty acid aerosol particles comprised of oleic acid became more CCN active in the presence of high exposures to O<sub>3</sub>. In a follow-up study, Shilling et al. (2007) corroborated this finding, attributing the enhancement in CCN activity to a combination of an increase in water-soluble material and decrease in surface tension of the aqueous droplet during

activation. Petters et al. (2006) demonstrated that the CCN activity of model saturated and unsaturated OA compounds is enhanced following oxidation by OH and  $NO_3$ . George et al. (2009) showed that the hygroscopicity of model OA, bis-ethyl-sebacate (BES) and stearic acid, was enhanced following oxidative aging by OH radicals, which was attributed to the formation of highly water-soluble oxygenated functional groups. The hygroscopicity of OH-impacted ambient biogenic SOA was shown to increase at higher OH exposures as a result of increasing oxygen-to-carbon (O : C) ratio (Wong et al., 2011).

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In an effort to better understand the influence of chemical aging on the CCN activity of BBA, recent studies have investigated the influence of oxidative aging on particle hygroscopicity of either particles generated in the laboratory from a specific emission source 10 (Martin et al., 2013; Grieshop et al., 2009; Novakov and Corrigan, 1996) or particles collected in the field (Rose et al., 2010; Gunthe et al., 2009), which may include multiple emission sources. While field-collected particle studies of hydroscopic growth and cloud formation are advantageous because they capture the chemical and physical complexity of ambient aerosol, they lack the specificity and control of laboratory studies in order 15 to fully understand the fundamental physico-chemical processes that govern cloud formation. Martin et al. (2013) investigated the impact of photo-oxidation on the hygroscopicity of wood burning particles and found that after several hours of aging in a smog chamber there was a general enhancement in  $\kappa$ ; however, this was attributed to both condensation of oxidized organic or inorganic matter and oxidation of the particulate matter itself. How-20 ever, the effects of OH-initiated oxidation on the hygroscopicity of BBA particles have not been examined systematically. In this work, we investigate the effects of heterogeneous OH oxidation of laboratory-generated BBA surrogate-particles on the particles' hygroscopicity. Here,  $\kappa$  is evaluated for several pure-component and multicomponent aerosol particles con-

<sup>25</sup> taining both sparingly soluble and highly water-soluble compounds, representing the range and complexity of atmospheric aerosol in regards to hygroscopicity and chemical composition.  $\kappa$  is evaluated as a function of OH exposure (i.e. [OH] × time) and O<sub>3</sub> exposure using a custom-built AFR coupled to a CCNc. The chemical aging effects on the CCN activity of internally mixed and organic-coated inorganic particles are presented.

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#### 2.1 Aerosol generation, flow conditions, and measurement

Surrogate polydisperse BBA particles were generated by atomizing 1 wt. % aqueous solutions of single-component particles LEV, MNC, KS, and particle mixtures of LEV : MNC : KS in 1 : 1 : 0, 0 : 1 : 1, 1 : 0 : 1, 1 : 1 : 1, and 1 : 0.03 : 0.3 mass ratios in a flow of ultra-high purity (UHP) N<sub>2</sub> using a commercial atomizer (TSI, Inc. model 3076). To simulate the partitioning of MNC from the gas phase to the particulate phase, first reagent MNC was heated (up to ~ 70 °C) and volatilized, and then condensed onto KS seed particles. Growth of the KS seed particles by MNC condensation was achieved by gradually cooling the mixed MNC/KS flow downstream of the heating section before entering the flow reactor. The atomized particles were dried by passing the atomized flow through two diffusion dryers prior to entering the AFR. After exiting the AFR, the particles were subsequently dried in two additional diffusion dryers, where the overall sample flow RH  $\leq$  5%, before the size analysis and CCN activity measurements. **This second drying stage was included in the experimental setup because the derivation of**  $\kappa$  **requires knowledge of dry particle size.** The dry particle size distribution was determined with a differential mobility analyzer (DMA, TSI Inc.

model 3081) and condensation particle counter (CPC, TSI Inc. model 3772), and sampled at a total flow rate of 1.3 standard liters per minute (slpm). Number-weighted mean particle diameters,  $\overline{D}_{p}$ , for all of the particles investigated in this study ranged from  $\sim$  40–150 nm.

#### 20 2.2 OH generation, flow conditions, and measurement

OH radicals were generated via  $O_3$  photolysis in the presence of water vapor in a 60 cm in length and 5 cm i.d. temperature-controlled Pyrex flow reactor as shown in Fig. 1 (Slade and Knopf, 2013; Kessler et al., 2010; George et al., 2009).  $O_3$  was produced by flowing 2–25 sccm (standard cubic centimeters per minute) of UHP  $O_2$  through an  $O_3$  producing lamp (Jelight model 600; emission wavelength,  $\lambda = 185$  nm).  $O_3$  concentrations ranged from 250 ppb to 20 ppm and were monitored throughout the experiment using an  $O_3$  pho-

tometric analyzer (2B Technologies model 202), which sampled at  $\sim$  850 sccm. An O<sub>3</sub> denuder containing Carulite 200 catalyst was connected to the outlet of the AFR to convert  $O_3$  to  $O_2$  before entering the aerosol charge neutralizer and other sensitive instrumentation. A 50-600 sccm flow of UHP N<sub>2</sub> was bubbled in a 500 mL Erlenmeyer flask filled with distilled/deionized Millipore water (resistivity >  $18.2 \text{ M}\Omega$  cm) to generate humidified condi-5 tions in the AFR. The relative humidity (RH) for all of the experiments was measured with an RH probe (Vaisala model HM70) and varied from 30 to 45%. The humidified and  $O_3$ flows were mixed in a 4.5 L glass vessel before entering with the particles into the AFR. The mixed  $N_2/O_2/O_3/H_2O$  and particle flow was then passed over a 60 cm  $O_3$ -free quartz tube containing a 60 cm in length mercury pen-ray lamp ( $\lambda > 220$  nm) to photolyze O<sub>3</sub>. The 10 lamp was cooled with a flow of compressed air. Total flow rates in the flow reactor ranged from  $\sim 2.2-3$  slpm corresponding to a range in residence times of 26–39s. Flows were laminar with Reynolds numbers between 60 and 80. OH concentrations were determined applying a photochemical box model validated based on isoprene loss measurements in the presence of OH as described previously (Slade and Knopf, 2013; George et al., 2009). 15 OH concentrations ranged from  $\sim 0.2 \times 10^{10}$ – $2 \times 10^{10}$  molecule cm<sup>-3</sup> and were varied by changing either RH or  $[O_3]$ . As previous studies have indicated, neither UV light nor  $O_3$ introduction in this manner leads to particle degradation or a significant change in particle mass or chemistry (George et al., 2009; Kessler et al., 2010; Slade and Knopf, 2013). Temperature inside the flow reactor was maintained near 298 K by a cooling jacket. A slight 20 temperature gradient of  $\sim 3 \,^{\circ}$ C from the leading edge of the sheath flow tube containing the lamp to the inner walls of the AFR was observed, but has no significant effect on [OH]. OH equivalent atmospheric exposures were determined from the product of the residence time in the AFR and applied [OH], which was then normalized to a daily averaged ambient  $[OH] = 2 \times 10^6$  molecule cm<sup>-3</sup>. Using this method allowed varying atmospheric OH expo-25 sures equivalent to < 1 day up to  $\sim 1$  week. At the given [OH], residence time, total pressure of 1 atm, and particle sizes, we assume OH mass transfer to the particles is sufficiently fast to maximize the exposure. At 40 % RH, the reactive uptake coefficient,  $\gamma$ , of LEV+OH would be 0.65 for atmospheric OH concentrations (Slade and Knopf, 2014). However, the

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presence of higher [OH] in the AFR decreases  $\gamma$  to ~ 0.2 (Slade and Knopf, 2013). OH diffusion impacts  $\gamma$  by only ~ 7% (Fuchs and Sutugin, 1970) implying that OH exposure is not diffusion limited. At RH > 15%, MNC is less reactive with OH, exhibiting  $\gamma < 0.07$  due to competitive co-adsorption of water and OH (Slade and Knopf, 2014). The presence of higher [O<sub>3</sub>] may further decrease the OH reactivity of OA (Renbaum and Smith, 2011). Under the applied experimental conditions, the multiphase reaction kinetics involving highly viscous organic material are likely limited by surface-bulk exchange (Arangio et al., 2015; Slade and Knopf, 2014).

#### 2.3 CCN measurements

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The CCNc and operating conditions are described in more detail in Mei et al. (2013a). 10 CCN activity data were acquired following procedures similar to previous studies (Petters and Kreidenweis, 2007; Petters et al., 2009), whereby the dry particle diameter is scanned while keeping the CCN chamber supersaturation fixed. A more detailed description of this approach is given in Petters et al. (2009). Briefly, particles first passed through a Kr-85 aerosol neutralizer (TSI 3077A) were size-selected using a DMA (TSI 3081) and processed 15 in a CCNc (DMT, single column CCNc) (Roberts and Nenes, 2005; Lance et al., 2006; Rose et al., 2008), while in tandem the total particle concentration was measured with a CPC. The CCNc was operated at 0.3 slpm total flow rate and 10:1 sheath-to-sample flow rate ratio. The total sample flow rate, which includes a 1 slpm CPC flow rate was 1.3 slpm and 10: 1.3 sheath-to-sample flow rate ratio was applied for the DMA. The temperature gradient 20 in the CCNc column was set by custom-programmed Labview software and operated at  $\Delta T = 6.5, 8, 10, \text{ and } 12 \text{ K}, \text{ corresponding to chamber supersaturations } S = 0.2, 0.27, 0.35,$ and 0.425 % based on routine calibrations applying atomized ammonium sulfate particles. The temperature gradient was stepped successively, from 6.5-12 K and in reverse. Each temperature gradient was maintained for a total of 14 min to allow an up and down scan of 25 the particle size distribution by the DMA. The aerosol size distributions and size-resolved CCN concentrations were acquired applying an inversion method described in Collins et al. (2002), which implicitly accounts for multiply charged particles. The ratio of the aerosol size

(2)

(3)

distribution and CCN size distribution provided size-resolved CCN activated fractions (i.e. the fraction of particles that become CCN at a given supersaturation and particle size).

#### 2.4 Hygroscopicity and CCN activity determination

The hygroscopicity and CCN activity can be described by  $\kappa$ -Köhler theory (Petters and Kreidenweis, 2007), which relates dry and wet particle diameter to the particle's critical supersaturation (RH above 100% at which the particle grows to a cloud droplet size) based on a single hygroscopicity parameter,  $\kappa$ . In  $\kappa$ -Köhler theory, the water vapor saturation ratio over an aqueous solution droplet as a function of droplet diameter, S(D), is given by

$$S(D) = \frac{D^3 - D_d^3}{D^3 - D_d^3(1 - \kappa)} \exp\left(\frac{4\sigma M_w}{RT\rho_w D}\right),\tag{1}$$

<sup>10</sup> where *D* is wet particle diameter,  $D_d$  is dry particle diameter,  $\sigma$  is droplet surface tension,  $M_w$  is the molecular weight of water, *R* is the universal gas constant, *T* is temperature, and  $\rho_w$  is density of water.  $\kappa$  ranges typically from  $\sim 0.5-1.4$  for hygroscopic inorganic species and from  $\sim 0.01-0.5$  for less-hygroscopic organic species;  $\kappa = 0$  represents an insoluble but wettable particle and thus Eq. (1) reduces to the Kelvin equation (Petters and Kreidenweis, 15 2007).

An alternative, approximate expression for determining  $\kappa$  is given as follows (Petters and Kreidenweis, 2007):

$$\kappa = \frac{4A^3}{27D_{\rm d}^3\ln^2 S_{\rm c}},$$

where

$$_{20} \quad A = \frac{4\sigma_{\mathsf{s/a}}M_{\mathsf{w}}}{\mathsf{RT}\rho_{\mathsf{w}}}.$$

 $S_{c}$  represents the critical supersaturation, i.e. point of supersaturation where more than 50 % of the initial dry particles are activated to CCN. Here, we assume  $\sigma_{s/a}$  is equivalent

to that of water. While aqueous solutions of LEV and KS exhibit surface tensions approximately equal to the surface tension of water (Tuckermann and Cammenga, 2004; Tuckermann, 2007), to our knowledge no previous surface tension measurements of MNC aqueous solutions have been made. Our assumption applying the surface tension of water at all OH exposures could result in an overestimation of  $\kappa$  since the presence of surface-active organics can decrease  $\sigma_{s/a}$  (George et al., 2009; Schwier et al., 2012; Noziére et al., 2014; Harmon et al., 2013). We do not have surface tension data of the different mixtures applied in this study. However, we anticipate that increasing OH exposure may decrease  $\sigma_{s/a}$ , thus enhancing the particle's CCN activity

as demonstrated in George et al. (2009) and Harmon et al. (2013).

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Hygroscopic growth of compounds exhibiting moderate to weak solubility in water can be limited by their low water-solubility (Petters and Kreidenweis, 2008), and thus cannot be treated as either fully dissolvable or insoluble substances. A theoretical treatment of  $\kappa$ , which includes solubility limitations has been detailed in Petters and Kreidenweis (2008). Here,

$$\kappa = \varepsilon_i \kappa_i H(x_i) \tag{4}$$

$$H(x_i) = \left\{ \begin{array}{ll} 1 & \text{if } x_i \ge 1; \\ x_i & \text{if } x_i < 1. \end{array} \right\},\tag{5}$$

where  $\varepsilon$  is the volume fraction of the solute *i* in the dry particle.  $\kappa_i$  is the theoretical  $\kappa$  of solute *i* in the absence of solubility limitations and given by

20 
$$\kappa_i = \frac{\nu \rho_i m_{\rm W}}{\rho_{\rm W} m_i},$$
 (6)

where  $\nu$  is the Van't Hoff factor,  $\rho_i$  is the density of solute,  $\rho_w$  is the density of water,  $m_i$  is the molar mass of the solute, and  $m_w$  is the molar mass of water.  $x_i$  is defined as the dissolved volume fraction of the solute (Petters and Kreidenweis, 2008) and given as

$$x_i = C_i \frac{V_{\mathsf{w}}}{V_i},\tag{7}$$

where  $C_i$  is the water solubility of the solute, expressed as the solute volume per unit water volume at equilibrium with saturation, and  $V_i$  is the volume of the solute. For complete dissociation,  $x_i$  is equal to unity. The parameters listed in Table 1 were used in predicting  $\kappa$ .

#### 3 Results and discussion

#### 5 3.1 CCN activity of BBA surrogate-particles

Exemplary activated fractions, i.e. fraction of initial dry particle sizes activated to CCN, for LEV, MNC, KS, and the ternary particle mixtures at a chamber supersaturation of 0.425% are shown in Fig. 2. The activated fraction curves were fit to a cumulative Gaussian distribution function as described in detail previously (Petters et al., 2009)

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$$f(x) = \frac{1}{2} \operatorname{erfc}\left(\frac{x}{\sqrt{2}}\right),$$
 (8)

where  $x = (D_d - D_{d,50})/\sigma_D$ . In the fitting procedure,  $D_d$  is the dependent variable and  $D_{d,50}$ and  $\sigma_D$  are adjustable parameters to minimize the root mean square error between f(x)and the data.  $D_{d,50}$  is the dry diameter interpreted as where 50 % of the dry particles have activated into cloud droplets, also referred to as the critical particle diameter,  $D_{p,c}$ .

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KS particles exhibit the smallest particle activation diameter of  $\sim$  50 nm, followed by LEV particles at  $\sim$  75 nm, and MNC particles at  $\sim$  210 nm at S = 0.425 %. In this study,  $\kappa$  is derived from Eq. (2), where *S* evaluated at 0.2, 0.27, 0.35, and 0.425 % is used in place of  $S_{\rm c}$ , and  $D_{\rm d}$  is the determined  $D_{\rm p,c}$ . At lower *S*, the activated fraction curves are shifted to larger sizes since the smaller particles do not activate at lower *S*.

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Table 2 lists the derived  $\kappa$  values for all of the particle types employed in this study in comparison to literature values. The reported uncertainties in  $\kappa$  are  $\pm 1\sigma$  from the mean  $\kappa$  derived at each *S*. The derived  $\kappa$  values for LEV and KS are consistent with  $\kappa$  for LEV and KS given in the literature. The critical diameter of LEV ( $\sim$  70 nm at S = 0.425%) is in good agreement with the critical diameter of LEV measured by Petters and Kreidenweis (2007)

(9)

at the same *S*.  $\kappa$  ranges from 0.149(±0.008) to 0.176(±0.009) for LEV over all *S*, in agreement with the humidified tandem-DMA (HT-DMA) derived  $\kappa = 0.165$  (Carrico et al., 2010). Within experimental uncertainty,  $\kappa$  for KS is in agreement with the value derived in Carrico et al. (2010), but exhibits a marginal increase as a function of *S*, possibly due to an increasing van't Hoff factor at higher *S*, similar to ammonium sulfate. To our knowledge, no previous hygroscopicity measurements of MNC have been made. For comparison, HULIS, which is known to contain nitrocatechols (Claeys et al., 2012), exhibits a  $\kappa$  value of 0.05 (Carrico et al., 2010). In addition,  $\kappa$  for NO<sub>3</sub> oxidized oleic acid particles, comprising similar chemical functionalities as MNC (i.e. nitrogen oxides and conjugated

 $_{\rm 10}$   $\,$  double bonds) is  $\sim 0.01$  (Petters et al., 2006).

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On average,  $\kappa$  for all of the binary and ternary mixed particles range from 0.111 (±0.010) to 0.373 (±0.034). Due to constraints in water uptake and water-solubility, mixed particles comprising a significant fraction of MNC (i.e. 1 : 1 : 0, 0 : 1 : 1, 1 : 1 : 1) exhibit relatively lower  $\kappa$  than the 1 : 0 : 1 mixture. The 1 : 0.03 : 0.3 ternary-component particles exhibit a slightly lower  $\kappa$  compared to the other particle mixtures, due to the relatively low KS content. As listed in Table 2, the derived  $\kappa$  values are reasonably predicted applying the volume mixing rule (Petters and Kreidenweis, 2007):

 $\kappa = \kappa_{\mathsf{Org}} \cdot \varepsilon_{\mathsf{Org}} + \kappa_{\mathsf{Inorg}} (1 - \varepsilon_{\mathsf{Org}}),$ 

where  $\kappa_{\text{Org}}$  and  $\kappa_{\text{Inorg}}$  are the  $\kappa$  values of the organic and inorganic particles, respectively, and  $\varepsilon_{\text{Org}}$  is the organic volume fraction of the particles. However, the quality of the estimate depends on whether the effects of solubility are to be included. For example, applying the experimentally-derived  $\kappa$  of MNC particles in the volume mixing rule results in a significant underprediction of  $\kappa$  for the 1:1:0, 0:1:1, and 1:1:1 particle mixtures. This deviation in  $\kappa$  suggests that water uptake by the pure-component MNC particles is mechanistically different than water uptake by the mixed particles, which contain a significant MNC volume fraction. The volume mixing rule is applicable over a range of mixtures and hygroscopicity. However, when the particles contain both soluble and sparingly soluble compounds, predicted  $\kappa$  can deviate significantly from derived  $\kappa$  (Petters and Kreidenweis, 2008). MNC is

significantly less water soluble than pure LEV and KS. During CCN activation, the most water soluble component preferentially dissolves, enhancing the solute effect in the Köhler equation. Since water soluble components in aerosol particles can retain greater liquid water content during water uptake, the less water soluble component can more easily dissolve (Bilde and Svenningsson, 2004; Abbatt et al., 2005; Shantz et al., 2008; Petters and 5 Kreidenweis, 2008). This solubility constraint in the volume mixing rule is described in more detail in Petters and Kreidenweis (2008). As a result and depending on the volume fraction of the sparingly soluble compounds in the particle, the peak of the Köhler curve may occur at a sufficiently large droplet size when all compounds, including the sparingly soluble compounds, are completely dissolved. The same can be applied here for the mixtures containing 10 an appreciable MNC volume fraction. The water solubility of MNC is approximated as C = 0.003, which is categorized as sparingly water soluble (Petters and Kreidenweis, 2008). To verify whether MNC behaves as if it is infinitely soluble in a solution with KS, Fig. 3 shows derived Köhler curves of pure MNC and mixtures containing variable KS volume fractions, and the MNC dissolved fraction,  $x_{MNC}$ . In Fig. 3, the critical 15 supersaturation, i.e. the maximum in the Köhler curve, decreases with increasing KS volume fraction. Accordingly, the MNC dissolved fraction increases with increasing KS volume fraction. At a KS volume fraction of  $\sim 36$  % (MNC volume fraction of  $\sim$  64 %) indicated by the orange curves in Fig. 3, the maximum in the Köhler curve corresponds to  $x_{MNC} \approx 1$ , implying that CCN activation is not limited by MNC solu-20 bility. This MNC volume fraction corresponds to the 1:1 by mass MNC : KS particles, which suggests that for this particular mixture, MNC behaves as if there are no solubility limitations during CCN activation (i.e. infinitely soluble, equivalent to  $C=\infty$ ) and  $\kappa$  of MNC can be predicted using Eq. (6). This result is consistent with the 1:1:1 by mass LEV: MNC: KS particles. In the presence of LEV, alone, MNC remains slightly 25 insoluble during CCN activation.

Figure 4 shows the predicted  $\kappa$  plotted against experimentally-derived  $\kappa$  for all of the particle mixtures and S applied in this study.  $\kappa$  was predicted applying the volume mixing rule under three different scenarios, (1) calculated from experimentally-

derived single-component  $\kappa$  ( $\kappa$ =limit; colorscale symbols), (2) calculated from Köhler theory ( $\kappa$ =KT; grayscale symbols), and (3) assuming no solubility limitations (i.e.  $C = \infty$ ; horizontal lines). For all particle mixtures containing equal by mass MNC (i.e. 1:1:0, 0:1:1, and 1:1:1), applying the experimentally-derived single-component  $\kappa$  underpredicts the experimentally-derived  $\kappa$  of the mixtures. As discussed previously, this underprediction is due to the enhancement in MNC water solubility when in the presence of water soluble LEV and KS, which is not accounted for applying the experimentally-derived single-component  $\kappa$ . Applying Köhler theory results in better agreement with the experimentally-derived  $\kappa$ , particularly for the 1:1:0 particle mixture. Predicted  $\kappa$  assuming no solubility limitations results in an overprediction for 10 the 1:1:0 particle mixture, but is in best agreement with the experimentally-derived  $\kappa$ for all other mixtures. The ability to predict experimentally-derived  $\kappa$  of the mixtures applying Köhler theory depends on the solubility and volume fractions of the different particle components, which have not all been measured. The solubility of MNC was estimated from the US Environmental Protection Agency's Estimation Program 15 Interface (EPI) suite (EPI, 2015). Applying this estimated solubility results in an underprediction in the 0:1:1 and 1:1:1  $\kappa$ , but is in best agreement for the 1:1:0 particle mixture. These results support that the volume mixing rule is most accurate when accounting for the changes to water-solubility when the components are mixed. In the presence of LEV, MNC remains slightly insoluble. However, in the presence of 20 KS, MNC behaves as if there are no solubility limitations during CCN activation.

#### 3.2 CCN activity of single-component BBA surrogate-particles exposed to OH

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Surrogate single-component BBA particles were oxidized in the presence of  $O_3$  (mixing ratio,  $\chi_{O_3} = 0.76-20 \text{ ppm}$ ) and in the presence of OH radicals  $(0.2 \times 10^{10}-2 \times 10^{10} \text{ molecule cm}^{-3})$ , corresponding to < 1 day up to  $\sim 1$  week of a 12 h daytime OH exposure at  $[OH] = 2 \times 10^6$  molecule cm<sup>-3</sup>.  $\kappa$  as a function of  $O_3$  exposure is presented in the supplementary material. Upon exposure to OH, both LEV and MNC particles exhibited significant chemical erosion due to molecular fragmentation and volatiliza-

tion (George et al., 2009; Kessler et al., 2010; Slade and Knopf, 2013). Figure 5 shows the evolution of LEV and MNC particle volume in the presence of OH, V (Hg lamp on, with  $O_3$ ), normalized to the initial particle volume just before switching on the Hg lamp,  $V_0$  (Hg lamp off, with O<sub>3</sub>), as a function of OH exposure. Following OH exposure, the average decrease in particle volume for all OH exposures for LEV and MNC 5 particles was  $36(\pm 7)\%$  and  $19(\pm 7)\%$ , respectively. In general, OH exposure led to an increase in LEV modal particle diameter and a decrease in MNC modal particle diameter. The increase in LEV modal particle diameter in combination with a decrease in total particle volume suggests the smallest LEV particles experienced the most chemical erosion. Occasionally, a second smaller size mode developed following OH 10 oxidation of pure MNC particles. While the exact mechanism for the formation of the smaller mode is not clear, we speculate that oH oxidation of gas-phase MNC could lead to in-situe particle formation in the flow reactor. Particle size is not expected to alter  $\kappa$  directly unless a change in particle size coincides with a change in particle composition. Given that there are two different particle populations and presumably 15 two different particle compositions following OH oxidation of MNC, the newly formed particles may affect the derived  $\kappa$ . Clearly, more careful control and study of the particle size distribution is needed to resolve the impacts of volatilization, but is beyond the scope of this study.

<sup>20</sup>  $\kappa$  was determined as a function of OH exposure and *S* for the single-component organic particles LEV and MNC as shown in the top panels of Fig. 6. The bottom panels of Fig. 6 correspond to the critical particle diameter as a function OH exposure. It should be noted that critical particle diameter decreases with increasing *S*. For the same exposure, smaller particles become more oxidized due to their larger effective <sup>25</sup> surface area to volume ratio. As demonstrated in Fig. 6 for both LEV and MNC, at a fixed OH exposure, the largest  $\kappa$  corresponds to the smallest critical particle diameter. While it is clear that  $\kappa$  depends on the particle size at a fixed OH exposure, we are interested in the resulting changes to  $\kappa$  due to increasing OH exposure at the applied *S*. For both LEV and MNC, the trend in  $\kappa$  as a function of OH exposure does not

## significantly deviate for the applied particle sizes. For LEV particles, $\kappa$ at the lowest OH exposure is not significantly different to $\kappa$ derived at the highest OH exposure. Conversely, MNC $\kappa$ increases significantly from $\sim$ 0.01 to $\sim$ 0.1 with increasing OH exposure at all applied *S*.

The reactive uptake, condensed-phase reaction products, and volatilized reaction prod-5 ucts resulting from heterogeneous OH oxidation of LEV is well-documented (Kessler et al., 2010; Hoffmann et al., 2010; Bai et al., 2013; Slade and Knopf, 2013, 2014; Zhao et al., 2014). However, there are no direct measurements of its CCN activity following OH oxidation. Kessler et al. (2010) showed that following OH exposure, particle volatilization accounts for a  $\sim$  20% by mass loss of LEV. This suggests that the majority of the reaction 10 products, which include carboxylic and aldehydic species (Bai et al., 2013; Zhao et al., 2014), remain in the condensed-phase. Although volatilization due to high OH exposures has been linked to an increase in the critical supersaturation and thus suppression in the CCN activity of oxidized squalane particles (Harmon et al., 2013), the results here suggest regardless of volatilization, the condensed-phase reaction products are just as or some-15 what more active CCN than pure LEV. On average, there is only a slight increase in  $\kappa$  for LEV particles with increasing OH exposure as indicated by the positive slope in the linear fit to the data at all applied S. Such an incremental enhancement in  $\kappa$  may be a result of similar  $\kappa$  between LEV and its oxidation products. The hygroscopicity of several carboxylic acids that may represent levoglucosan OH oxidation products, including malonic, glutaric, 20 glutamic, succinic, and adipic acid exhibit  $\kappa$  values between 0.088–0.248 (Petters and Kreidenweis, 2007), similar to  $\kappa$  of oxidized and pure LEV. Furthermore, the hygroscopicity of organic compounds containing hydroxyl functionalities similar to LEV or carboxylic groups are nearly equivalent (Suda et al., 2014). We also cannot rule out that volatilization, while reducing particle mass, also removes newly formed reaction products from the aerosol phase, 25 leaving the parent organic (i.e. LEV) and thus  $\kappa$ , unchanged.

The CCN activity of MNC aerosol particles increases with OH exposure as shown in the top right panel of Fig. 6. MNC becomes more CCN active with increasing OH exposure and  $\kappa$  transitions from  ${\sim}0.01$  in absence of OH to  ${\sim}0.1$  for OH exposures equivalent to

a few days in the atmosphere. Further exposure ( $\geq 4 \times 10^{11}$  molecules cm<sup>-3</sup> s<sup>-1</sup>) does not significantly enhance MNC  $\kappa$ , which suggests MNC or the particle surface is fully oxidized (Slade and Knopf, 2014) and that the reaction products reach a maximum in  $\kappa$ . Similar enhancements in  $\kappa$  and subsequent constant  $\kappa$  values with increasing OH exposure have been observed for organic aerosol with initially low hygroscopicity (George et al., 2009; Lambe et al., 2011). For example, George et al. (2009) observed that  $\kappa$  of BES increased from  $\sim 0.008$  to  $\sim 0.08$  for an OH exposure of  $\sim 1.5 \times 10^{12}$  molecule cm<sup>-3</sup> s and  $\kappa$  of stearic acid increased from  $\sim 0.004$  to  $\sim 0.04$  due to OH exposure of  $\sim 7.5 \times 10^{11}$  molecule cm<sup>-3</sup>

s.

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The enhancement in MNC  $\kappa$  following OH exposure may be linked to the formation of 10 more hydrophilic chemical functionalities. Strongly linked to enhancements in OA hygroscopicity are larger O: C ratios (Massoli et al., 2010; Lambe et al., 2011; Mei et al., 2013a, b: Suda et al., 2014). Neglecting the oxygen atoms in the -nitro functionality of MNC (Suda et al., 2014), the O:C ratio of pure MNC is  $\sim 0.29$ , close to the lower end in O:C where transitions from low  $\kappa$  to high  $\kappa$  typically occurs (Suda et al., 2014). The presence of -15 methyl, unsaturated, and -nitro functionalities are also linked to low hygroscopicity (Suda et al., 2014). As proposed in Slade and Knopf (2014) and observed for other nitro-phenolic species. OH oxidation of MNC can favor removal of the -nitro functionality by electrophilic substitution of OH (Slade and Knopf, 2013; Di Paola et al., 2003; Chen et al., 2007). OH substitution at the -methyl position and addition to the double bonds is also possible (An-20 bar et al., 1966). OH addition to the -nitro or -methyl functionality would increase O:C to  $\sim 0.43$  or  $\sim 0.5$ , respectively. OH substitution at both positions would enhance O:C to  $\sim$  0.67. Suda et al. (2014) showed that hydroxyl-dominated OA with an O:C of less than  $\sim 0.3$  has an apparent  $\kappa$  of  $< 10^{-3}$ . However, an increase in O:C to 0.4 or 0.6 due to the addition of hydroxyl, aldehydic, or carboxylic functionalities results in an enhanced  $\kappa$ 25 of ~ 0.1. Thus, small changes in O:C can significantly affect  $\kappa$ . Pure MNC is also sparingly soluble in water and thus  $\kappa$  is strongly dependent on its actual solubility, which can change depending on the oxidation level and the presence of other compounds having different solubility (Petters and Kreidenweis, 2008). Consequently, the conversion from low to high  $\kappa$  following OH oxidation is consistent with the addition of more hydrophilic functionalities and a molecular transition from sparingly soluble to sufficiently water-soluble. Interestingly, MNC, while having a ten times smaller OH uptake coefficient compared to LEV at the same RH (Slade and Knopf, 2014), exhibits a greater change in  $\kappa$  than LEV following OH oxidation. Under dry conditions, we understand uptake is limited by surface-bulk processes (Arangio et al., 2015; Slade and Knopf, 2014). In that case, due to the low hygroscopicity and low water-solubility of MNC, its viscosity may be sufficiently high that oxidation is limited to the particle surface. Consequently, MNC surface molecules may undergo several generations of oxidation as opposed to LEV, which is known to undergo a semi-solid to liquid phase transformation at the same RH=40% (Mikhailov et al., 2009). However, assessing the effects of RH or bulk diffusivity on hygroscopicity following OH exposure is beyond the scope of the current

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work.

## 3.3 CCN activity of binary-component BBA surrogate-particles exposed to OH

Binary-component particles consisting of LEV: MNC, LEV: KS, and MNC: KS in 1:1 mass 15 ratios were exposed to OH and analyzed for their hygroscopicity as a function of OH exposure. The approach here is to determine if the presence of more than one component can influence the hygroscopicity of another following OH and oxidation, i.e. are the observed changes in hygroscopicity of the pure component particles following OH oxidation retained when mixed? Figure 7 shows  $\kappa$  and critical particle diameter for the different binary 20 aerosol mixtures as a function of OH exposure for each applied S.  $\kappa$  as a function of  $O_3$  exposure is presented in the supplementary material. The dotted and dashed lines in Fig. 7 display the predicted  $\kappa$  as a function of OH exposure using the volume mixing rule including and excluding MNC solubility limitations, respectively, based on the linear fits of  $\kappa$  as a function of OH exposure for pure LEV and MNC particles 25 (Fig. 6) at each S. Modeled  $\kappa$  as a function of OH exposure excluding MNC solubility limitations (i.e. black dashed lines in Fig. 7) assumes  $\kappa$  for MNC of the mixed particles is 0.16.

There are two important points to be made of the results from Fig. 7. (1) Hygroscopicity of the mixed particles is virtually unchanged as a function of OH exposure, i.e. while OH exposure significantly impacts MNC hygroscopicity alone, it does not significantly influence  $\kappa$  for the binary component particles containing MNC as predicted by the volume mixing rule applying the single-component experimentally-derived  $\kappa$  for LEV, MNC, or 5 **KS.** (2)  $\kappa$  and the trend in  $\kappa$  with OH exposure are significantly underpredicted assuming MNC solubility limitations are applicable in the volume mixing rule (dotted lines in Fig. 7). As discussed previously and demonstrated in Fig. 3, the presence of either KS or LEV influences the extent that MNC solubility impacts particle activation. We have shown that MNC exhibits no solubility limitations for the volume fractions applied here. Larger MNC 10 volume fractions are expected to have a greater influence on  $\kappa$  following OH exposure. The organic content of BBA was shown to dominate hydroscopic growth, in particular the water soluble organic content (WSOC), which is largely levoglucosan (Dusek et al., 2011). Other studies have indicated that sparingly soluble organic compounds have limited importance on atmospheric aerosol CCN activity (Dusek et al., 2003; Gasparini et al., 2006; 15 Ervens et al., 2005; Andreae and Rosenfeld, 2008), although they are, besides completely insoluble organic material, the most likely class of compounds susceptible to hygroscopic changes following oxidation, due to their low water-solubility. In other words, there is more room for an enhancement in the solute effect of sparingly soluble organic particles than there are for more water-soluble particles. Our results show that oxidative aging impacts on 20 the hygroscopicity of pure component particles can be vastly different if the particles are internally mixed with substances having different water-solubility.

# 3.4 CCN activity of ternary-component BBA surrogate-particles exposed to OH

Here we investigate the CCN activity of internally mixed LEV, MNC, and KS particles with 1:1:1 and with an atmospherically relevant mass ratio of 1:0.03:0.3 (LEV:MNC:KS) following exposure to OH. The results for the OH-exposure are shown in Fig. 8 and  $\kappa$ as a function of O<sub>3</sub> exposure is presented in the supplementary material. Discussion Paper

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Within the uncertainty of the derived  $\kappa$  values for the 1:1:1 and 1:0.03:0.3 ternary component particles, their hygroscopicities are virtually unaffected by OH exposure, similar to the binary mixtures. However, on average the 1:1:1 particle mixture exhibits a slight enhancement in hygroscopicity. The predicted  $\kappa$  values for the 1:1:1 mixture, which include MNC solubility limitations (dotted lines), significantly underestimates  $\kappa$ , and only after removing these limitations (black dashed line) does the predicted  $\kappa$  agree with the experimentally-derived  $\kappa$ . This is not surprising, given that the more water-soluble components LEV and KS, are present at equal mass to MNC. Thus MNC behaves as if it is infinitely soluble during CCN activation. One possible explanation for the slight enhancement in  $\kappa$  with OH exposure, which differs from the binary mixed particles, is the presence of both MNC and LEV, which both exhibit enhancements in  $\kappa$  following OH oxidation. However, the range in derived  $\kappa$  at a given OH exposure is sufficiently large that within experimental uncertainty, there is no significant trend in  $\kappa$  with OH exposure.

The WSOC, mostly LEV, is known to dominate the BBA volume fraction (Dusek et al.,

- 15 2011). MNC constitutes ≤ 5 % by mass of the BBA organic fraction as determined from both field and lab chamber studies (linuma et al., 2010; Claeys et al., 2012). The remaining fraction can be largely composed of inorganic salts, including KS (Pósfai et al., 2003; Rissler et al., 2006). To simulate atmospheric BBA, we atomized a mixed aqueous solution of LEV, MNC, and KS in a mass ratio of 1 : 0.03 : 0.3 and determined its CCN activity unex-
- <sup>20</sup> posed and after exposure to OH and O<sub>3</sub>. The resulting  $\kappa$  of this mixture as a function of OH exposure is displayed in the top right panel of Fig. 8. As anticipated, since pure LEV shows little enhancement in CCN activity with OH exposure (Fig. 6) and dominates the volume fraction of this mixture, and KS is unreactive to OH, no enhancements in  $\kappa$  following OH exposure were observed. A similar observation was made from laboratory-controlled burns,
- <sup>25</sup> whereby following several hours of photo-oxidation, there were very slight enhancements in  $\kappa$  of the particles (Martin et al., 2013). Larger enhancements in  $\kappa$  were observed only for the SOA particles generated from oxidative aging of gas phase volatiles emitted during the controlled burns, in the absence of seed particles (Martin et al., 2013). This implies that photo-oxidative aging of BBA may contribute little to changes in its hygroscopicity, unless

the entire aerosol population is comprised of SOA material (e.g. MNC). Furthermore, both predicted  $\kappa$  including solubility limitations and without solubility limitations are in agreement with the derived values. This is due to the low mass fraction of MNC present, which has sufficiently low impact on both the solubility and oxidation level of the mixed aerosol particles.

#### 3.5 Mixing state effects on $\kappa$ 5

Internally mixed organic-inorganic atmospheric aerosol particles can exhibit phase separations, i.e. core-shell structure, which often contains an insoluble or solid inorganic core with a less viscous organic outter layer (Cruz and Pandis, 1998; Pósfai et al., 1998; Russell et al., 2002). The presence of an organic coating has been shown to impact CCN activity and water uptake (Cruz and Pandis, 1998; Abbatt et al., 2005; Garland et al., 2005), ice nucleation efficiency (Knopf et al., 2014; Wang et al., 2012; Baustian et al., 2012; Friedman et al., 2011; Möhler et al., 2008), and heterogeneous chemistry (Katrib et al., 2004; Gierlus et al., 2012; Knopf et al., 2007; Cosman et al., 2008). Because MNC originates from gas-phase chemical reactions, and its concentration determined in BBA particles, MNC must partition from the gas to the particulate phase. In this section, we investigate whether 15 mixing state of mixed MNC and KS particles has an effect on its CCN activity following OH exposure by the application of MNC-coated particles in comparison to the atomized MNC: KS binary component particles. For example, Abbatt et al. (2005) observed a complete deactiviation in the CCN activity of ammonium sulfate particles when thickly coated

with stearic acid. 20

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The CCN activity of KS particles coated with MNC was derived as a function of the organic volume fraction ( $V_{\rm f, org}$ ) of MNC, and before and after OH exposure as shown in Figs. 9A-C. Figure 9A displays a colormap of the dry KS particle size distribution evolution following exposure to MNC in the absence of OH, where time = 0 min is the point at which KS particle growth by MNC condensation begins. The 25th, 50th, and 75th percentiles of the number-weighted particle size distribution grew in size by  $\sim$ 20 nm as indicated by the red, black, and blue circles in Fig. 9A, respectively. For the 50th percentile, this corresponds to an enhancement in the MNC  $V_{\rm f.org}$  from 0% at time = 0 min to  $\sim$  70% shortly after, close to the  $V_{\rm f,org}$  of the atomized MNC : KS binary component particles of 64%. The similar  $V_{\rm f,org}$  between the atomized and coated MNC/KS particles enables a direct intercomparison of their hygroscopicity, since relatively larger MNC  $V_{\rm f,org}$  would bias towards lower  $\kappa$  and vice versa, as indicated in the colored dashed and dotted lines in Fig. 9B.

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The particles' hygroscopicity was analyzed throughout the period of condensational growth as demonstrated in Fig. 9B and shown as the black circles. It is important to note that the particle size distribution is scanned (up and down voltage scans) at four different S (0.2, 0.27, 0.35, and 0.425%) in ascending and descending order, a process that takes roughly 90 min. In contrast to the atomized binary-component particles, 10 here KS particles grow due to MNC condensation over this experimental time period. Hence, the DMA and CCNc capture the size distribution and CCN activity of a timedependent and compositionally-different particle population at each scan. The black line in Fig. 9B displays the steps in S over the course of the experiment. The first two  $\kappa$ values are of pure KS particles evaluated at S = 0.2 %. Subsequent  $\kappa$  values are of MNC-15 coated KS particles, which increase in  $V_{f,org}$  with time. The change in  $V_{f,org}$  with time is indicated by the red, black, and blue circles according to the 25th, 50th, and 75th percentiles of the particle population, respectively.  $V_{f,org}$  allows to compare derived  $\kappa$ with that predicted using the volume mixing rule. As previously discussed, the solubility limitations of pure MNC can be neglected when predicting  $\kappa$  of the atomized 1:1 mass 20 ratio MNC: KS binary component particles. To determine if the solubility of MNC impacts the MNC-coated KS particles similarly to the atomized mixture,  $\kappa$  is predicted using the volume mixing rule and applying the experimentally-derived pure MNC  $\kappa$ corresponding to a specific S (i.e. including solubility limitations), as indicated by the dotted lines in Fig. 9B, and compared to predicted  $\kappa$  applying a pure MNC  $\kappa$  of 25 0.16 (i.e. pure MNC  $\kappa$  in the absence of solubility limitations calculated from Eq. 6), as indicated by the dashed lines in Fig. 9B. The predicted  $\kappa$  with increasing  $V_{\text{forg}}$  generally captures the trend in experimentally-derived  $\kappa$  with increasing  $V_{\text{forg}}$ , however, similar to the atomized MNC: KS binary component particles, assuming MNC CCN activity is limited by

its solubility, the volume mixing rule underpredicts derived  $\kappa$ . When applying a pure MNC  $\kappa = 0.16$  in the absence of solubility limitations, the volume mixing rule is in slightly better agreement with the derived  $\kappa$  values. However, there are notable deviations between derived  $\kappa$  and predicted  $\kappa$  in both cases, which depend on S. For example, in Fig. 9b, the predicted  $\kappa$  including MNC solubility limitations (dotted line) is in better agreement with the 5 derived  $\kappa$  at S = 0.425 % than at lower S. At higher S, the particles that activate first are smaller in diameter than the particles that activate first at lower S. Assuming different sized KS particles were exposed to an equal quantity of gas-phase MNC, the larger particles, having relatively larger surface area than the smaller KS particles, would acquire a thinner organic coating, and thus relatively smaller  $V_{\rm f,org}$ . As a result, the particles that activate at 10 S = 0.425 % possess a larger  $V_{\text{f.org}}$  compared to the particles that activate at e.g. S = 0.2 %. This corresponds to a decrease in derived  $\kappa$  at S = 0.425 % (i.e. better agreement with predicted  $\kappa$  including MNC solubility limitations) relative to other S as indicated in Fig. 9B. The generally better agreement in the predicted  $\kappa$  excluding MNC solubility limitations with the experimentally-derived  $\kappa$  indicates that MNC is sufficiently water-soluble to not deactivate 15 KS, in contrast to the particle systems studied by Abbatt et al. (2005). One possible explanation for the higher than expected  $\kappa$  when KS is coated with MNC is that the particle-phase diffusivity is sufficiently high to allow water molecules to penetrate the KS core (Koop et al., 2011).

<sup>20</sup> The effects of OH exposure on the CCN activity of MNC-coated KS particles as a function of  $V_{\rm f,org}$  is given in Fig. 9C.  $\kappa$  is plotted as a function of MNC  $V_{\rm f,org}$ . The  $\kappa$  values resulting from an OH exposure of  $3.3 \times 10^{11}$  molecule cm<sup>-3</sup> s are given by the filled circles, whereby the different colors represent the applied S during the experiment. Open circles correspond to  $\kappa$  in the absence of OH. At  $V_{\rm f,org} = 0\%$   $\kappa$  is  $\sim 0.55$  and independent of OH exposure.  $\kappa$  decreases when  $V_{\rm f,org} \approx 70\%$ , but undergoes a slight enhancement following OH exposure. The dotted lines indicate the modeled change in  $\kappa$  as a function of  $V_{\rm f,org}$  applying the volume mixing rule and applying the experimentally-derived  $\kappa$  for MNC and KS at a given S (i.e. including MNC solubility limitations). Similar to the atomized 1:1 MNC:KS binary-component particles, modeled  $\kappa$  as a function of

 $V_{\text{f.org}}$  underpredicts the experimentally-derived OH-unexposed  $\kappa$ , even after accounting for the enhancements in pure-component MNC  $\kappa$  due to the high OH exposure. This suggests that in the presence of KS at this  $V_{\rm f,org} \approx 70$  %, MNC may not be limited by its solubility, similar to the atomized 1:1 mass ratio MNC : KS binary component particles, and that OH exposure can have very little impact on the CCN activity of 5 sparingly-soluble organics coated on water-soluble compounds. However, the dashed black line shows the modeled  $\kappa$  as a function of  $V_{\text{forg}}$  applying the volume mixing rule and assuming MNC is not limited by its solubility, i.e. MNC  $\kappa = 0.16$ , which slightly over predicts the derived  $\kappa$ , but is in better agreement with the trend in experimentally-derived  $\kappa$  for the OH exposed particles (filled circles, Fig. 9C). A reasonable explanation for this is that 10  $V_{\rm f,org} \approx 70$  % is sufficiently large such that MNC solubility limitations on the CCN activity of MNC-coated KS particles are partially exhibited. While OH exposure has a significant impact on the CCN activity of pure MNC, its impact on the CCN activity of MNC-coated KS particles is significantly less. The higher water-solubility of KS appears to govern hygroscopic growth, similar to the atomized MNC : KS binary component particles. This sug-15 gests that the water-solubility of the more soluble component of mixed-component aerosol particles can be more important for CCN activation than the actual mixing state of the particle.

### 4 Conclusions

- To our knowledge, there are no studies that have explicitly investigated the influence of OH-initiated oxidative aging on the hygroscopicity of organic and mixed organic-inorganic BBA particles. Biomass burning can greatly influence cloud formation and microphysical properties by increasing the available CCN in the atmosphere (Andreae et al., 2004). However, the efficiency at which aerosol particles act as CCN depends on their water solubility, hygroscopicity, and size, which can be altered by multiphase chemical reactions with gas
  - phase oxidants. While it is recognized that a significant fraction of BBA are comprised of organic material (Reid et al., 2005), most of which are water-soluble (Dusek et al., 2011;

Graham et al., 2002), water uptake can be sensitive to the inorganic mass fraction (Semeniuk et al., 2007; Ruehl et al., 2012). In this study we investigated how sensitive the CCN activity of single-component and mixed water-soluble/insoluble compounds associated with BBA are to OH oxidation. The important findings relevant to the atmosphere include (i) the hygroscopicity of water-soluble organic compounds is unaffected by chemical aging, (ii) the hygroscopicity of single-component water-insoluble organic compounds is affected by chemical aging as anticipated from previous studies (George et al., 2009; Lambe et al., 2011; Wong et al., 2011; Broekhuizen et al., 2004; Shilling et al., 2007; Petters et al., 2006), and (iii) if considering mixtures of water-soluble and insoluble materials, the effects of chemical aging by OH are more complicated and single-component derived  $\kappa$  and changes to  $\kappa$ as a function of OH exposure do not translate directly to mixtures.

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WSOC constitutes a significant fraction of biomass burning OA (Graham et al., 2002; Reid et al., 2005; Saarikoski et al., 2007; Saarnio et al., 2010; Dusek et al., 2011) and atmospheric OA in general (Saxena and Hildemann, 1996; Timonen et al., 2013). Water-soluble
OA is an effective CCN because it enhances the solute term in the Köhler equation. Chemical aging is known to promote the solubility of initially insoluble and sparingly soluble OA by yielding more water-soluble and multifunctional reaction products (George et al., 2009; Petters et al., 2006; Decesari et al., 2002). The question of atmospheric relevance depends on the concentration or potency of a particular molecule in the atmosphere. MNC, while contributing little to the mass fraction of BBA particles, is toxic to forests (Harrison et al., 2005) and recognized as an important biomass burning SOA molecular marker (linuma et al., 2010). An Other action at a particular to antice and the atmospherie and the atmospherie at a solute term.

- 2010). An OH exposure equivalent to only a few days of atmospheric exposure leads to an order of magnitude enhancement in MNC hygroscopicity. This implies that aged MNC is more susceptible to wet depositional losses over atmospherically relevant particle transport
   timescales, e.g. through cloud formation, compared to fresh MNC. Calculations from Pet-
- <sup>25</sup> timescales, e.g. through cloud formation, compared to tresh MNC. Calculations from Petters et al. (2006) indicate that substantial wet depositional losses can occur when  $\kappa > 0.01$ . The question of the utility of MNC as a molecular marker for source apportionment is raised since molecular markers are assumed to be inert over the course of its lifetime in the atmosphere. Clearly, OH oxidation of MNC influences its chemical composition, but in doing so

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also decreases its atmospheric lifetime by enhancing its CCN activity. However, our results strongly suggest if the OA is WSOC-dominated, e.g. by LEV, the reaction products likely have similar CCN activity to the parent WSOC, and thus particle oxidation plays a very minor role in enhancing the CCN activity of WSOC. Indeed, very little enhancements to the hygroscopicity of BBA produced from controlled wood burning resulted from several hours of photo-oxidation, likely a result of the high WSOC content of BBA (Martin et al., 2013).

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Much less is known of the effects of chemical aging on the CCN activity of internally mixed water-soluble and insoluble organic-inorganic particles. While oxidative aging can enhance the hygroscopicity of single-component particles with initially low water-solubility,

- atmospheric aerosol particles are not often pure and consist of both organic and inorganic compounds (Laskin et al., 2012; Knopf et al., 2014; Murphy and Thomson, 1997; Murphy et al., 2006; Middlebrook et al., 1998). Organic compounds alone can influence the hygroscopicity of inorganic aerosol particles (Marcolli et al., 2004; Choi and Chan, 2002; Svenningsson et al., 2006; Wang et al., 2008) and moderate amounts of water-soluble
- <sup>15</sup> inorganics can render low-solubility organics infinitely water-soluble (Bilde and Svenningsson, 2004; Abbatt et al., 2005; Shantz et al., 2008; Petters and Kreidenweis, 2008). When mixed with LEV or KS (or both) in significant mass fractions, the effects of OH oxidative aging on the hygroscopicity of single-compnent MNC are not revealed in the derived  $\kappa$ for the binary or ternary-component particles. Furthermore, a thick coating of MNC on
- KS particles had similar impacts on the CCN activity behavior with increasing OH exposure as the atomized binary-component MNC : KS particles. The water-soluble fraction (i.e. KS) was sufficiently large that MNC became infinitely soluble. Our results indicate that it is the fraction of the water-soluble component of internally mixed water-soluble and insoluble organic–inorganic particles that dictates whether chemical aging will enhance the particles'
- <sup>25</sup> CCN activity. Chemical aging has no major impact on the CCN activity of the mixed water-soluble and sparingly-soluble BBA compounds studied here, beyond the point that the less water-soluble component becomes infinitely soluble. Below this point, chemical aging can influence the CCN activity of the mixed particle.

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**Table 1.** Chemical properties of the different particle types investigated in this study and the parameters used in predicting  $\kappa$ .

Molecule	Structure	$M (g mol^{-1})$	$ ho$ (g cm $^{-3}$ )	Solubility (g $L^{-1}$ )	$C_i$	ν
	OH O OH OH					
Levoglucosan	ОН	162.14	1.69	1000	0.592	1
	H <sub>3</sub> C OH					
4-methyl-5-nitrocatechol	O <sub>2</sub> N <sup>×</sup> OH	169.13	1.5	<b>4.8</b> <sup>a</sup>	0.003	1
$K_2SO_4$		174.26	2.66	11	0.042	2 <sup>b</sup>

<sup>a</sup> Estimated using the US Environmental Protection Agency's Estimation Program Interface (EPI) Suite (EPI , 2015). <sup>b</sup> Taken from the reported Van't Hoff factor in Low (1969) for  $(NH_4)_2SO_4$  assuming a solution droplet molality of approximately 0.2.

Compound	$\kappa^{a}$					$\kappa^{c}$	
	0.2%	0.27%	0.35%	0.425%			
LEV	0.149 (±0.008)	0.175 (±0.010)	0.172 (±0.009)	0.176 (±0.009)	0.188	0.165 <sup>d</sup> 0.208 (±0.015) <sup>c</sup>	
KS	0.525 (±0.052)	0.575 (±0.026)	0.563 (±0.024)	0.538 (±0.074)	0.55	0.52 <sup>d</sup>	
MNC	N/A	0.013 (±0.003)	0.012 (±0.005)	0.008 (±0.002)	0.16		
LEV:MNC:KS	$\kappa^{a}$				$\kappa^{b}$		
Mass ratio	0.2%	0.27%	0.35%	0.425%			
1:1:0	0.114 (±0.010)	0.143 (±0.016)	0.131 (±0.005)	0.137 (±0.009)	0.173		
1:0:1	0.310 (±0.047)	0.360 (±0.031)	0.373 (±0.029)	0.373 (±0.034)	0.329		
0:1:1	0.239 (±0.030)	0.336 (±0.068)	0.331 (±0.014)	0.322 (±0.015)	0.300		
1:1:1	0.216 (±0.029)	0.255 (±0.012)	0.270 (±0.013)	0.268 (±0.013)	0.256		
1:0.03:0.3	0.209 (±0.010)	0.233 (±0.008)	0.232 (±0.005)	0.234 (±0.022)	0.241		

**Table 2.** Tabulated experimentally-derived hygroscopicity parameters,  $\kappa$ , for the various particle types investigated in this study before oxidation.

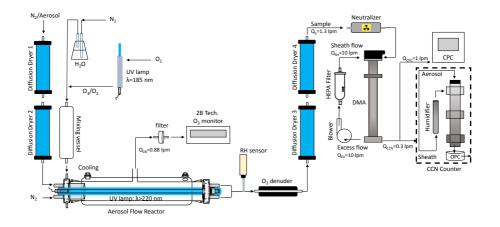
 $^{\rm a}$  This study. Reported uncertainties are 1 $\sigma$  from the mean in the derived  $\kappa.$ 

<sup>b</sup> Predicted values applying the volume mixing rule without solubility limitations.

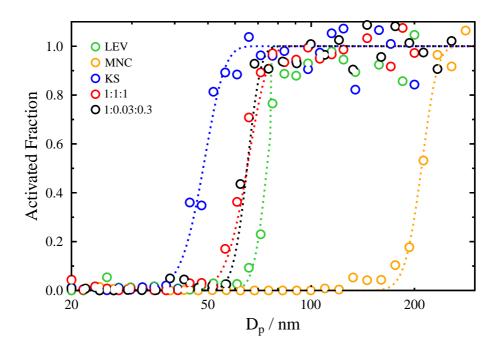
<sup>c</sup> Literature reported values.

<sup>d</sup> Carrico et al. (2010).

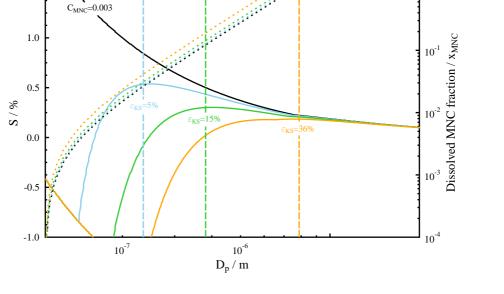
<sup>e</sup> Petters and Kreidenweis (2007).



**Figure 1.** Schematic illustration of the experimental setup to examine the effect of OH and  $O_3$  oxidation on the CCN activity of single-component and multicomponent biomass burning aerosol surrogate-particles. From top left to bottom right: aerosol generation and drying stage,  $O_3$  production and humidification (mixing vessel), the aerosol flow reactor,  $O_3$ -free ultra-violet lamp and  $O_3$  monitor, relative humidity probe (RH sensor),  $O_3$  denuder, second drying stages, aerosol sizing by the DMA and particle counting by the CPC, and determination of the CCN activity by the CCNc.



**Figure 2.** Activated fractions, i.e. fraction of the number of particles at a given particle size activated to CCN as a function of the initial dry particle diameter, for LEV (green), MNC (orange), KS (blue), 1:1:1 (red) and 1:0.03:0.3 (black) particles at a S = 0.425 %. The dotted lines correspond to the fits applying Eq. (8).



1.5

**Figure 3.** Example Köhler curves (solid lines) calculated from Eq. (1) for pure MNC (black), MNC mixed with 5 % (blue), 15 % (green), and 36 % (orange) by volume KS. The dotted lines are the dissolved fractions of MNC,  $x_{MNC}$ , calculated from Eq. (7), corresponding to the different Köhler curves. The vertical dashed lines indicate the maxima of the different Köhler curves. **The dry diameter applied is 110 nm.** 

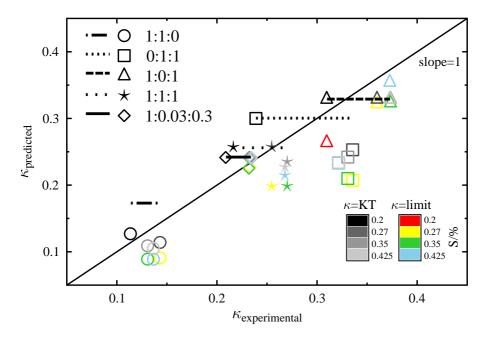


Figure 4. Predicted  $\kappa$  as a function of experimentally-derived  $\kappa$  at different supersaturation (*S*) for the binary and ternary particle mixtures of LEV, MNC, and KS.  $\kappa$  is predicted applying the volume mixing rule and based on single-component experimentally-derived  $\kappa$  at each *S* including solubility limitations ( $\kappa$ =limit; color scale),  $\kappa$  calculated from Köhler theory ( $\kappa$ =KT; gray scale), and  $\kappa$  assuming no solubility limitations (horizontal lines). Note that the horizontal lines span the range of experimentally-derived  $\kappa$ . The black diagonal line represents a slope of 1 in the derived vs. predicted  $\kappa$ . The LEV:MNC:KS mass ratios are indicated in the legend for 1:1:0 (circle), 0:1:1 (square), 1:0:1 (triangle), 1:1:1 (star), and 1:0.03:0.3 (diamond).

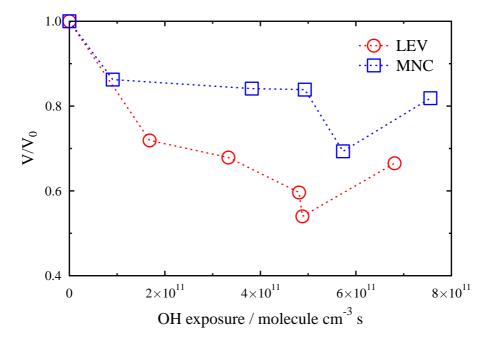
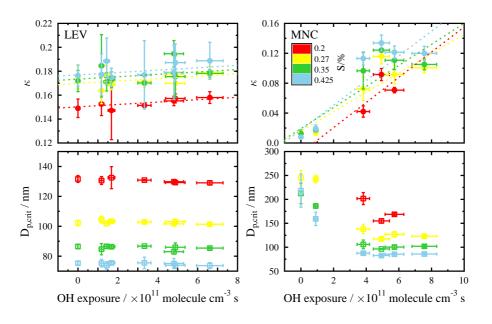


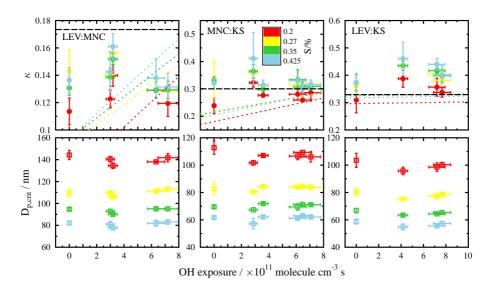
Figure 5. LEV and MNC particle volume change as a function of OH exposure. The measured particle volume in the presence of OH (V; Hg lamp on, with  $O_3$ ) is normalized to the measured particle volume in the absence of OH (V<sub>0</sub>; Hg lamp off, with  $O_3$ ).

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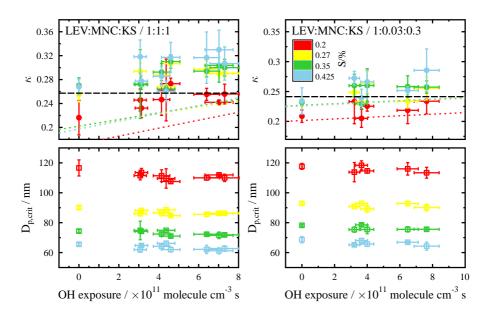
Discussion Paper



**Figure 6.** Derived  $\kappa$  (top) and critical particle diameter (bottom) for LEV and MNC particles are shown as a function of OH exposure. As indicated in the legend, the colors represent the different supersaturations (*S*) accessed during this study. The vertical error bars represent  $\pm 1\sigma$  from the mean of the data acquired at a given OH exposure and *S*. Horizontal error bars correspond to the uncertainty in the OH exposure based on a  $\pm 5\%$  drift in RH over the sampling period. The dotted lines show the best linear fit to the OH exposure data as a function of *S*.



**Figure 7.** Derived  $\kappa$  (top) and critical particle diameter (bottom) for the binary component particles with 1:1 mass ratios are shown as a function of OH exposure. As indicated in the legend, the colors represent the different supersaturations (*S*) accessed during this study. Error bars **are calculated as** in Fig. 6. The dotted lines are modeled  $\kappa$  using the volume mixing rule as a function of OH exposure applying the linear fit to the derived  $\kappa$  of pure MNC and LEV as a function of OH exposure (Fig. 6). The dashed black lines are the modeled  $\kappa$  using the volume mixing rule and assuming no solubility limitations.



**Figure 8.** Derived  $\kappa$  (top) and critical particle diameter (bottom) for the ternary component particles with LEV:MNC:KS mass ratios 1:1:1 (left) and 1:0.03:0.3 (right) are shown as a function of OH exposure. As indicated in the legend, the colors represent the different supersaturations (*S*) accessed during this study. The **dashed black lines are calculated as in Fig. 7 and error bars and dotted lines are calculated** as in Figs. 6 and 7, respectively.

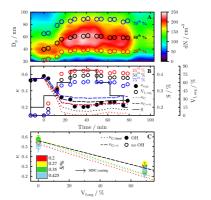


Figure 9. CCN activity of MNC-coated KS particles before and after exposure to OH. Panel A shows a color map of the number-weighted particle size disribution (dN) of KS and MNCcoated KS particles plotted as a function of MNC coating before exposure to OH. The open circles in panel A refer to the measured percentiles of the total particle population (25<sup>th</sup>red, 50<sup>th</sup>-black, 75<sup>th</sup>-blue). Panel B displays the change in particle hygroscopicity (filled circles) and MNC volume fraction ( $V_{\text{forg}}$ , open circles) with time as a function of S given as black solid line corresponding to the data presented in panel A. The dotted lines show the predicted  $\kappa$  using the volume mixing rule corresponding to the  $V_{\rm f,org}$  at a given time and **based on the experimentally**derived  $\kappa$  for KS and MNC given in table 1 as a function of S. The dashed lines represent the predicted  $\kappa$  using the volume mixing rule corresponding to the  $V_{f,org}$  at a given time and assuming the CCN activity of MNC is not limited by its solubility (i.e. MNC  $\kappa = 0.16$  calculated from Eq. 6). Panel C displays the change in  $\kappa$  for the MNC-coated KS particles as a function of  $V_{\text{f,ord}}$ , OH exposure, and S. OH unexposed particles are plotted as open circles. Filled circles correspond to particles exposed to OH at  $3.3 \times 10^{11}$  molecule cm<sup>-3</sup> s. The error bars represent  $1\sigma$  from the mean in  $\kappa$ . The colored dotted lines show predicted  $\kappa$  as a function of  $V_{\text{forg}}$  at different S using the volume mixing rule assuming the CCN activity of MNC is limited by its solubility. The black dashed line shows the predicted  $\kappa$  using the volume mixing rule assuming the CCN activity of MNC is not limited by its solubility.