

1 We thank the referee #1 for giving valuable comments. We respond to each specific comment
2 below. The comments and questions from referee #1 are in italic font.

3

4 General comment:

5 *My only general concern might be that the results could be somewhat overstated. The last two figures*
6 *(9 and 10) of the paper show the main results. The in situ results are discarded earlier as they don't*
7 *match the hypothesis, and Figure 9 does not clearly indicate the satellite results show a decrease in*
8 *NO₂ during the period of the YOG, so the authors need Figure 10 (NO_x emissions estimates) to prove*
9 *their point and quantify a 25% reduction in NO_x from the emissions controls. However, from both*
10 *figures 9 and 10, it's clear there is quite a lot of year-to-year variability. In fact, both 2013 and 2014*
11 *in that figure are consistently lower for the summer/fall period than the average (almost looks like a*
12 *downward trend over previous years?). Also, the only significant reduction shows up in September*
13 *2014 (after the games period) due to the lack of observations during August 2014. I realize there is*
14 *probably an issue of computational power, but an indication of the variability of emissions in Figure*
15 *10 from other years (2005-2012) or in the text could be useful. I believe these concerns could be*
16 *assuaged with some more caveats in the text and the abstract, and I would recommend publication in*
17 *ACP.*

18

19

20 We appreciate the valuable comments from referee #1. Although we still stand behind our
21 conclusions, we weaken our conclusions somewhat in this paper.

22 We changed the text at page 6353, line 8-10 into:

23 “This reduction is probably caused by the more permanent air quality regulations taken by...”

24 And line13:

25 “This is partly a consequence of the use of monthly means, while the regulations became
26 active at the end of August. It is also a consequence of the lack of.....”

27 We changed our conclusion given in line 20 on the same page 6353: “We conclude that the
28 NO_x emission reduction detected by DECSO **for the YOG period and afterwards** was at
29 least 25%, showing that the air quality regulations taken by the local government were
30 effective.”

31 We can see the decrease of the NO₂ concentration during the YOG (August) in figure 9. But
32 we do not know if the decrease is caused by a reduction of NO_x emission during this period
33 or by the rainy weather. That's why we need NO_x emission estimates to check if the air
34 quality regulations applied by the local government were effective.

35 The NO₂ concentrations are lower in 2013 and 2014 compared to the average of 2005-2012
36 because there were important events in both years (the Asian Games in 2013 and the YOG in
37 2014).

38 Because of the issue of heavy computational demand, we haven't calculate the NO_x
39 emissions from 2005 to 2012 in Figure 10.

40

41 *Specific Comments:*

1

2 Thanks for correcting the mistakes of English writing. We revise them in the text. We answer
3 each specific question below. The questions/comments from referee #1 are in italic font.

4 *6338,23: This sentence is a bit vague, maybe give example.*

5 We think we already give an example in the text. “For example, the Nanjing smog episode in
6 December 2013 led to a strong increase in NO₂ concentrations without an increase in NO_x
7 emissions.” We are not sure what kind of example the referee means.

8

9 *6341,1-4: Martin et al used observed columns, not concentrations for top-down emissions.*

10 We agree with the referee. We change the word “concentration” to “columns”.

11

12 *6343,4: Is there a reference for the “industry” partitioning?*

13 We don’t have a reference. We estimate the factor table for the situation of China to the best
14 of our knowledge.

15 The caption of Table 2 is changed to “Table 2. The estimated redistribution of MEIC sectors
16 over SNAP 97 sectors”

17 The sentence in line 3 page 6343 is changed to “[...] in the CHIMERE model, we estimate
18 the redistribution of the emissions over the sectors (see Table 2).”

19

20 *6343,7: What is the source of the climatological profiles?*

21 The climatology was compiled from a 2003-2008 run of the global chemistry transport model
22 TM5. We use the same method as described in Mijling and van der A (2012). So we didn’t
23 give detailed information for this issue.

24 We add the reference on Page 6343 line 5 and mention the source of the climatological
25 profiles: “As mentioned in the paper of Mijling and van der A (2012), to compare CHIMERE
26 simulations with satellite observations, we extend the modelled vertical profiles from 500hPa
27 to the tropopause by adding a climatological partial column, which is from an average of a
28 2003-2008 run of the global chemistry transport model TM5.”

29

30 *6344,20: Lin et al. 2014 is missing from reference list.*

31 Thank you for checking the reference and giving another good study to cite. We also noticed
32 that it is missing in the references just after the paper was accepted for ACPD. We add the
33 reference and also cite the paper of Leitao et al. 2011.

34

35 *6345, Section 2.3: This is an interesting way to back out an “in situ NO₂”, which seems hard to find*
36 *for China, particularly for non-Chinese speakers. Can you give a reference for the Technical*
37 *Regulation manual? Also, is it complicated to do this? I think it would be useful here to briefly*
38 *describe this process. Is the AQI a direct function of the NO₂ amount, or is it a single value that*

1 includes contributions from the other species (O_3 , SO_2 , PM). How do you back out the NO_2 amount?
2 Also, you mention errors. Since you use the data to “validate” improvements in your model in Figure
3 1, but then ignore the data for the analysis of the YOG emissions, I think it would be instructive to
4 give some numerical examples of the uncertainties, maybe by referencing other papers that use in situ
5 data.

6 The aqicn.org team publishes the hourly Air Quality Index (AQI) of specific air pollutants,
7 such as NO_2 , SO_2 , and particulate matter (PM_{10} and $PM_{2.5}$). Thus, we calculate NO_2
8 concentration by converting the AQI of NO_2 . To make it clear for readers: We replace the
9 words “different air pollutants” by “specific air pollutants” on line 16 page 6345

10 We add the link of Technical Regulation on Ambient Air Quality Index in China in line 18 on
11 page 6345:

12 <http://kjs.mep.gov.cn/hjbhzbz/bzwb/dqhjbh/jcgfffbz/201203/W020120410332725219541.pdf>

13 We couldn't find any information of the uncertainties of the in-situ observation. We ignore
14 the data for analysis of the concentration during the YOG due to its large daily and monthly
15 variability. The location of the in-situ observation is in the center of Nanjing. As we
16 mentioned on Page 6347, “in urban areas the local sources have transient influences on in-
17 situ observations”. We agree with the conclusion of Blond et al. (2007) and therefore we
18 don't use the daily and hourly in-situ observations to validate the model results. However, we
19 think 8 month of in-situ measurements have enough statistics for validation of the diurnal
20 cycle.

21

22 6346, Section 3.1: Figure 1 shows hardly any bias, but this seems like it might be more coincidence
23 than anything since as you noted earlier, the errors in the in situ data could be large, and also why
24 would that in situ data be representative of the 0.25×0.25 model grid but you ignore the data later on
25 for looking at emissions changes in August 2014 as non-representative of the area? Again, I think it is
26 important to emphasize the uncertainties in the in situ data, even if they match the model well.

27 We explained in the last comments.

28 We add the explanation in line 16:

29 “Blond et al. (2007) concluded [...] In spite of this, by using the 8-month average of the
30 diurnal cycle to reduce the noise from the in-situ measurements. We see some improvements
31 for these averaged NO_2 concentrations in CHIMERE v2013.”

32

33 6348,21: I'm surprised these might not be systematically biased one way. Can you elaborate on the
34 causes?

35 In the text on page 6349 we have added: “The effect of high aerosol concentrations on the
36 NO_2 retrieval is non-linear and depends strongly on both the type of aerosol and its
37 concentration. Also the height of the aerosol layer and the presence of clouds play a role. (Lin
38 et al., 2014, Leitao et al., 2010)”

39

40 6350,6: I'm confused about what you mean by “removed in a single day”

41 We re-elaborate the sentence: “At these dates the derived NO_x emissions drop to zero in one
42 day and then slowly increase again to the previous emission levels in the following days.”

1

2 6350,22: *13:30LT is the overpass time at the equator. What is the typical overpass at Nanjing? Is it*
3 *closest to 13:00 LT in Nanjing? Since you only look at in situ data by itself, and not in combination*
4 *with OMI data, why not use all 24-hour data to look for reductions in NO₂? (Conversely, if you did*
5 *plan to use the in situ data in combination with OMI, you would want to consider using only data in*
6 *coincidence with OMI overpasses to avoid day-to-day sampling issues, or use a CTM vs. observations*
7 *scaling factor to correct for OMI sampling.)*
8

9 We have checked the typical overpass time at Nanjing for OMI. The average overpass time is
10 13:30 local time. We add this to the text.

11 We have made a monthly average plot by using all 24-hour data to look for reductions in NO₂,
12 but we didn't see any reduction. The standard deviation of monthly data by using all 24 hour
13 data is very large, because the NO₂ concentration is very high during night time. We try to
14 compare the monthly average of in-situ observations with OMI data and that's why we use
15 the data of 13LT. However, we still see a high variability in the monthly averaged data,
16 indicating that the data are strongly affected by highly variable local sources (e.g. local traffic)
17 and weather.

18 For a thorough validation of the OMI observations, the referee gave some good suggestions.
19 However, we think the quality of ground data is not good enough to justify such a validation
20 effort.

21

22 6351,3: *This statement implies you know the answer before there is any data to support your*
23 *hypothesis. Reword this statement.*

24 Thank you for this comment. We revise the sentence to:

25 "Therefore, we conclude that the in-situ measurements are not representative for the whole
26 city of Nanjing."

27

28 6351,13: *Not clear what is meant by a small trend. Do you mean upwards, downwards, 2013+2014*
29 *lower than others, etc...? Expand on this statement.*

30 There is a small increasing trend of the NO₂ column from 2005 to 2011 in Nanjing.

31 We change the sentence to:

32 "Although a small increasing trend from 2005 to 2011 is visible in the satellite data, it is
33 negligible compared to the SD of the natural variability."

34

35 6351,20: *Why are concentrations lower for the following months? You discuss the timeline of*
36 *regulations in Table 1, but nothing past August 31. The lifetime of NO_x isn't such that concentrations*
37 *would stay low after August. Were regulations kept in place? Elaborate here.*

38 Several measures taken by government were continued, especially related to NO₂. In Table 1
39 we have underlined the regulations with a permanent character. Also some less well
40 documented technical improvements have been implemented. At the end of page 6339 we
41 added:

42 "In addition, several technical improvements have been implemented to reduce pollution
43 from heavy industry and power plants."

1 Table 1. Air quality regulations taken by the Nanjing authorities in the year of YOG2014.
 2 The period is the start time of different regulations. The underline regulations are effective
 3 after the YOG.

Period	Regulations
<u>1st May - 30th June</u>	The local government started to shut down the coal-burning factories
<u>1st July - 15th July</u>	All coal-burning factories have been shut down
<u>16th July - 31st July</u>	The work on one third of construction sites was stopped. The parking fees in downtown increased sevenfold.
<u>1st August – 15th August</u>	The work on 2000 construction sites was stopped. Heavy-industry factories reduced manufacturing by 20 percent. <u>Vehicles with high emissions were banned from the city.</u> Open space barbecue restaurants were closed. <u>900 electric buses and 500 taxis have been put into operation.</u>
<u>16th August-31st August</u>	The work at all construction sites was put on hold

4
 5 We change the sentence line 20-22 page 6352 to “Due to the effect of the continuous air
 6 quality regulations during the YOG and afterwards, the NO₂ concentrations of the following
 7 months are also lower than for previous years.”

8
 9 *6353,13: You attribute the high values in August 2014 vs September to cloudy weather and lack of*
 10 *observations. I think it would be instructive to mention here how many OMI observations you actually*
 11 *get for each month. Also, the errors in Figure 10 are fairly consistent month-to-month. I'm not sure*
 12 *exactly how the assimilation works, but wouldn't one expect the errors to be larger for months that*
 13 *have very little observational data, so that August 2014 would have large error, but September would*
 14 *have small error?*

15
 16 The DECSO algorithm is using all measurements in the neighborhood that have been
 17 transported to or from the Nanjing region. This most important feature of DECSO has now
 18 been emphasized more in the text.

19 Reductions in emissions at the end of August or the following months can appear with a time
 20 lag in the Kalman filter results (see e.g Brunner et al., 2012). This time lag is not fixed but
 21 depends on the amount, interval, accuracy and distance of the observations and it is therefore
 22 difficult to quantify. In future research we intend to reduce this time lag by using a
 23 Smoothing Kalman Filter technique.

24 Due to the fact that we use monthly mean values and the Olympic Games took place at the
 25 end of the monthly period, the effect will be less obvious in August because of the first half
 26 of the month having normal NO_x emission levels. Since many regulations for NO_x had a more
 27 permanent character the emission reduction is better visible in September.

1 And line13 is changed into:

2 “This is partly a consequence of the use of monthly means, while the regulations became
3 active at the end of August. It is also a consequence of the lack of.....”

4 At the end of line 5 page 6352, we add:

5 “The emission estimates use not only satellite observations in the location of the YOG but
6 use all observations over China that are transported from and to Nanjing. Besides transport of
7 air, the meteorological effect on the lifetime of NO₂ is taken into account.”

8

9

10 *6354,1: The noise in the observations is not discussed earlier, they are just dismissed as not*
11 *supporting the conclusions. Elaborate on the dismissal of in situ data earlier in paper, and “noise”.*

12 The in-situ observation has a large hourly and daily variability

13 We change the sentence into:

14 “The in-situ observations have a large variability, even after averaging to a monthly means. ”

15

16 *6354,14: NO₂ is only deposited through dry deposition, not wet deposition.*

17 The wet deposition of NO₃ increases due to the rainy weather. NO₃ is one of the reservoir
18 gasses for NO₂. When NO₃ decreases, it will increase the dry deposition of NO₂.

19 We add this explanation in our paper in line14 page 6345:

20 “because changes in NO₂ concentrations can have more causes such as horizontal transport of
21 NO₂ or increased wet deposition of the NO₂ reservoir gas NO₃ due to the rainy weather.”

22

23 *6354,22: Again, mention how few observations you have during this period.*

24 During the YOG, the observations over Nanjing are few. But there are observations over
25 other areas near Nanjing. Our DECSO algorithm considers the transport of NO_x emissions.
26 The observations in other places can also affect the emission in Nanjing. We have clarified
27 this in the paper.

28

29 *6356,9: Again, not clear that it really is reduced from Figure 9. Lots of other months in 2013 and*
30 *2014 look low as well.*

31 The deviation of August 2014 from the average value for the years from 2005 to 2012 is three times
32 larger than the standard deviation. This is different from all the other months. This is mentioned in the
33 paper.

34

35 *Figure 1: I’m confused about what this figure indicates. Is it pure CHIMERE modeled NO₂ or is it*
36 *OMI-assimilated (as indicated in legend)? Note this in caption.*

37 It is OMI-assimilated. We add this in caption: “Figure 2. The diurnal cycle in Nanjing from

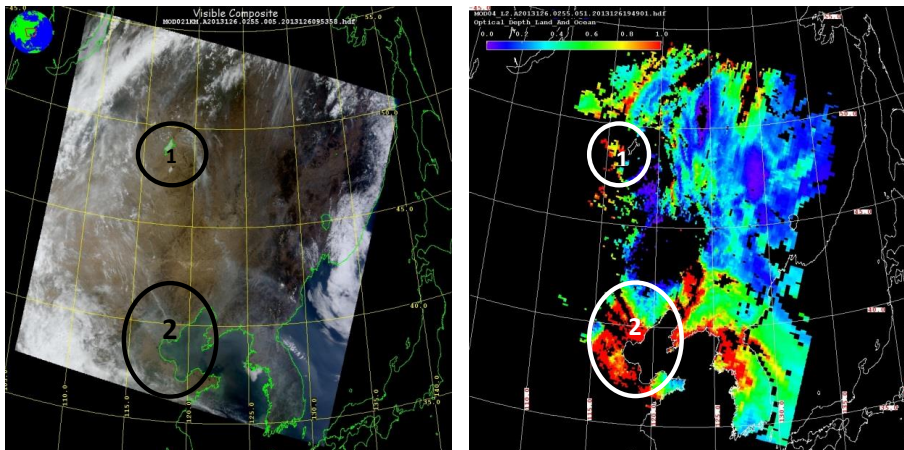
38 January to August 2014 according in-situ observations, OMI-assimilated CHIMERE v2013

39 and CHIMERE v2006. ”

1

2 *Figure 5: It is difficult to see the land borders in panel (b). These figures would be easier to read if*
3 *the data were plotted with the same limits and scale side-by-side.*

4 We change this figure.



5

6

7 Figure 6. The RGB image (left) and Aerosol Optical Depth (right) from MODIS on 6 May
8 2013. Circle 1 and Circle 2 represent the Hulunbuir sand land and the Bohai Bay respectively.
9 (The figures are from
10 https://ladsweb.nascom.nasa.gov/browse_images/granule_browser.html)

11

12

13 *Figure 8: This may seem picky, but there is no purple in the figure. "Inland water" all seems to show*
14 *up as blue "ocean".*

15 We also notice that there is no distinction between inland and sea water in the land use data.

16 We change this figure.

17

18

19 *Figure 9 and 10: Line colors are reversed for 2013 and 2014 in Figure 9 and 10. It would be easier to*
20 *read the figures if they were consistent between the two figures.*

21 Yes, thank you for this comment. We make the colors consistent in these two figures.

22

23

24

1

2 We thank the referee #2 for giving valuable comments. We think that the paper has been
3 improved based on the suggestions from the referee. We respond to each specific comment
4 below. The comments and questions from referee #2 are in italic font.

5

6 *In the introduction, the authors cite van der A (2006) regarding the strong NO₂ increase over China
7 over the past two decades. I think it would be good scientific practice to acknowledge the earlier
8 scientific literature on this subject (Irie et al., Richter et al. both from 2005).*

9 We agree with this suggestion. We add the reference of Richter et al., 2005 in the
10 introduction (Page 6339) by changing line 9-10 to “For instance, satellite measurements
11 showed that NO₂ column concentrations increased about 50 % from 1996 to 2005 (Irie et al.
12 2005; Richter et al, 2005; van der A et al., 2006).”

13

14 *On p. 6343, the authors describe that they extend the modelled NO₂ fields above the CHIMERE upper
15 boundary at 500hPa using a climatological partial column. This gives rise to the following questions:*

16 *– How is this column derived? – How is the AK applied to this partial column?*

17 We use the same method as Mijling and van der A (2012). So we didn’t give detail
18 information for these two questions. We add the reference on Page 6343 line 5: “As
19 mentioned in the paper of Mijling and van der A (2012), to compare...”

20

21 *Also on p. 6343, the authors describe an updated version of DECSO. It is not clear to the reader, why
22 some parts of the description of the DECSO improvements are here, i.e., in section 2.1, and others are
23 in the later chapter 3.*

24 The description of the updated version in this part shows the difference of the default version
25 we use in this study and the original version from the paper of Mijling and van der A (2012).
26 We refer to this version as DECSO v3a. More detail information on the improvements
27 leading to DECSO v3a will be published in another paper. However, we add some details in
28 this paper to clarify this to the readers. During the research, we found some problems over the
29 study domain East Asia. We solve the problems by replacing the CTM model and adding a
30 satellite observation filter, This improved version is referred to as DECSO v3b.

31 *On the same subject: The authors fail to give either details or reference regarding the sector-
32 dependent injection height in the model.*

33 We give more details about the sector-dependent emission injection height.

1 We change this paragraph on Page 6343.

2 “In this study, we used an updated version of DECSO, which is referred to as DECSO v3a. In
3 particular, the calculation speed has been improved in this update. DECSO does not
4 distinguish between biogenic emissions and the anthropogenic sectorial emissions. Emission
5 differences are attributed to anthropogenic contribution only, i.e. the biogenic emissions are
6 assumed to be modelled correctly by the CTM. Emission updates are distributed by ratio over
7 the sectors (power, industry, transport, domestic) as described by the apriori emission
8 inventory. If a grid cell is dominated by power plant emissions, however, emission updates
9 are attributed to the power sector only. The locations of power plants are provided to the
10 algorithm as additional a priori information. In DECSO v3a, the emission injection height has
11 been made sector-dependent. Emissions are injected in the lowest three model layers of the
12 CTM; each sector having its characteristic vertical emission distribution. For example,
13 transport emissions are released at the surface, while power plant emissions are fully released
14 in the third model layer corresponding at a typical smokestack height.”

15 *On the same subject: The authors fail to give either details or reference regarding the used backward*
16 *trajectory calculations.*

17 We add the following explanation in this part of the text.

18 “Trajectory calculations of the observed species are crucial in the determination of the
19 source-receptor relations. The DECSO algorithm uses meteorological wind fields (the same
20 as used in the CTM) to calculate how the content of a tropospheric column is advected over
21 the model domain. Here, the injection heights are distributed according the modelled vertical
22 NO_x distribution. In DECSO, the forward trajectory calculation is changed to a backward
23 trajectory calculation, i.e. the source-receptor relations are calculated backward in time, based
24 on the height distribution of NO_x modelled at satellite overpass time.”

25 *On the same subject: The authors' re-definition of the observation error Eobs seems arbitrary.*
26 *Specifically,*

27 *– the authors fail to justify this error tuning; they simply describe the effects, but not why it should be*
28 *a valid assumption to give more weight to larger columns.*

29 Assuming the relative error of observation will be more or less equal. Then during the data
30 assimilation process in DECSO, the error of high observations will be relatively high, thus
31 the weight of this high observation is low. But the low NO₂ observation value with low error
32 has more weight. This will favor the low observations and thus, the emission updates cannot
33 easily capture new emission points or high emission episodes.

34 We add the reason after the description of the effects by tuning the satellite error on Page
35 6343 (line 21). “[...absolute error for low values (typically around $0.5 \cdot 10^{15}$ molecules cm⁻²).
36 In this way, DECSO captures better new emission points or high emission episodes.”

1

2 – *the wording the error [. . .] is recalculated is misleading, as it suggests that Eobs is a physically*
3 *meaningful error estimate. I suggest re-wording this along the lines of DESCOS v3 uses tuned*
4 *synthetic error estimates derived from the original satellite uncertainties via [. . .].*

5 We agree this comment. The sentence on Page 6342 line 14-15 is changed as following:

6 “In DESCOS v3a, tuned synthetic error estimates E_{obs} are used, derived from the original
7 satellite observation via:”

8

9 – *I fail to see how, using typical C_{sat} of $5E15$, f can be anything different than zero, which according*
10 *to equation (1) just leads to a 50% reduction of the observational error*

11 The C_{sat} is a normalized value from 10^{15} . We add the following explanation in the text (Page
12 6343 line 18).

13 “[...]the satellite observations. The unit in this formula is 10^{15} molecules cm^{-2} . The modified
14 [...]”.

15 This aim of this formula is to keep the original observation error for low NO_2 observation
16 values and half the original error for high NO_2 observation values. So the high observation
17 values will have more weight during the data assimilation process.

18

19 *On l. 27 on p. 6344, the authors should justify why they filter out the outmost 4 pixels on either side of*
20 *the scan.*

21 Considering this comment, we add the following explanation on line 27 Page6344.

22 “because the size of these pixels is 3 times larger than the model grid cell. After the filtering,
23 the largest footprint is about $75 \times 21 \text{ km}^2$ ”.

24 *In l. 1 on p. 6345, the authors should detail which surface albedo dataset they use for the filtering.*

25 The surface albedo is given in the retrieval data product and this filter is suggested by the
26 DOMINO Product Specification Document on www.temis.nl. We use this surface albedo
27 criterion only to remove the observations over snow and ice.

28 We modify the sentence on line1 page 6345 into:

1 “To reduce the influence of bright surface scenes on the quality of the retrieval product, we
2 use only observations having a surface albedo lower than 20% to remove observations over
3 snow and ice (Product Specification Document of DOMINO v2 on www.temis.nl).”

4

5 *In ll. 8–10 on p. 6345, the authors (quite inspecifically) describe that the selected data is still of*
6 *sufficient quality; however, they should also discuss the potential influence / additional uncertainties*
7 *this modified cloud filter criterion has on / adds to the satellite measurements.*

8 We have checked the distribution of the selected satellite data, which remained unchanged.
9 Indeed, the error on the monthly mean data changes. Therefore, we have replaced line 9-11
10 on page 6345 with:

11 “From our analysis of the satellite data we conclude that as a result of this new limit on the
12 cloud fraction the error on the measurements increases with less than 20% and without
13 introducing biases. Yet this effect is compensated by the advantage that more data becomes
14 available. The number of observations increases with about 37 % over the whole domain”

15

16 *On the same subject: The authors claim that the number of observations increases [by] about 37%,*
17 *however, an increase of 37% on a total number of zero measurements (as given earlier) is still zero*
18 *measurements.*

19 The emission of Nanjing can be affected by the observations over the whole east Asian
20 domain due to the transport. The transport process of NO₂ concentrations is considered in our
21 DECSO algorithm. The 37% increase of measurements is over the whole domain. This is
22 added in the paper.

23

24 *In l. 18 on p. 6345, the authors should give the exact URL where the conversion table from the*
25 *Technical Regulation on Ambient Air Quality Index in China is available.*

26 We add the link of Technical Regulation on Ambient Air Quality Index in China in line 18 on
27 page 6345.

28 <http://kjs.mep.gov.cn/hjbhzb/bzwb/dqhjbh/jcgfffbz/201203/W020120410332725219541.pdf>

29 *On the same subject: It is not entirely clear which in-situ NO₂ measurements the authors actually use.*
30 *From what is described in the text, it seems that they do not use the original in-situ measurements but*
31 *rather calculate the in-situ measurements from the AQI values. However, air quality indices are*
32 *usually derived from a number of different air quality indicators; the aqicn.org website lists PM, O₃,*
33 *NO₂, SO₂, CO. Mathematically, the calculation of the AQI is therefore a mapping from an n -*
34 *dimensional state space to a 1 -dimensional value. Therefore, it is not clear how the authors can*

1 *derive the NO₂ concentrations which lead to a given AQI value from the AQI (and the mapping table)*
2 *alone.*

3 The aqicn.org team publishes the hourly Air Quality Index (AQI) of specific air pollutants,
4 such as NO₂, SO₂, and particulate matter (PM10 and PM2.5). Thus, we calculate the NO₂
5 concentration by converting the AQI of NO₂.

6 To clarify this we replace the words “different air pollutants” by “specific air pollutants” on
7 line 16 page 6345.

8

9 *In l. 11 on p. 6346, the authors write that NO emissions cannot be negligible. This sounds incorrect to*
10 *me; maybe the authors meant to write can be non-negligible?*

11 Yes, we agree and we change the word “negligible” to “neglected”.

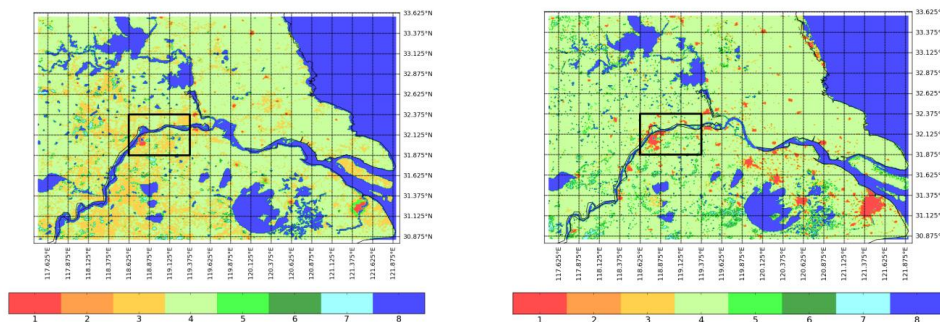
12 The new sentence in line 11 on page 6346 is:

13 “The added biogenic emissions can affect the emissions estimated for rural areas as biogenic
14 NO emissions in rural areas cannot be neglected in summertime.”

15

16 *In l. 19 on the same p. 6346, the authors write that land use may have large differences in 15 years.*
17 *Why don't they just show the land use maps for the Nanjing region from both datasets? Also, the*
18 *authors should reference their Fig.8 in this context.*

19 Thanks for the valuable suggestion. We moved the Figure 8 to Figure 1 and add the new
20 figure of the land use (GLCF 1994) used in CHIMERE 2006.



21

22 Figure 1. Land use over the Jiangsu Province from Global Land Cover Facility (1994) (left)
23 and the GlobCover Land Cover (2009) (right) and as used in CHIMERE v2006 and

1 CHIMERE v2013. The 8 categories are: 1. Urban, 2. Barren land, 3. Grassland, 4.
2 Agricultural land, 5. Shrubs, 6. Needleleaf forest, 7. Broadleaf forest, 8. Water. The solid
3 rectangle (about 50 x 90 km²) indicates the 6 grid cells that cover the Nanjing area.

4

5 *In l. 22 on the same page 6346, the authors should write the differences between DECSO versions 3a*
6 *and 3b. In the earlier chapter 2.1, the authors only talked about v3, and now there are two sub-*
7 *versions, and the authors do not explicitly state their differences.*

8 DECSO v3a uses the old model CHIMERE v2006 and DECSO v3b uses the new version
9 CHIMERE v2013b. The DECSO algorithm we described in part 2.1 is actually DECSO v3a.
10 We change the version name in that part to make it more clear.

11

12 *In ll. 5f on p. 6347, the authors state that CHIMERE v2013 improves the NO₂ concentrations during*
13 *night, while improvements during daytime are rather small. However, it is unclear with respect to*
14 *which reference the concentrations improve. For nighttime, the authors have stated that CHIMERE*
15 *v2013 gets rid of unrealistically low boundary layer heights which previously lead to unrealistically*
16 *high NO₂ concentrations, but for the daytime, it is unclear which reference the authors refer to when*
17 *they notice a small improvement, especially, since they did not point towards any deficiencies of*
18 *CHIMERE v2006 during daytime.*

19 To make it clear, we modify the sentence in line 5 page 6347 into:

20 “As expected, v2013 improves the surface concentration simulation at nighttime, while
21 differences during daytime are rather small compared to the in-situ observations.”

22 *Again on the matter of improvements of CHIMERE v2013: In l. 16 the authors claim to see some*
23 *improvements for averaged NO₂ concentrations; but given their own reference to Blond et al. (2007),*
24 *and given that the surface concentrations used in the present study are unreliable (as previously*
25 *stated by the authors), maybe the conclusion of noticeable improvements is not justified well enough.*

26 We think the 8 month in-situ measurement have enough statistics for the diurnal cycle. We
27 agree with the conclusion of Blond et al. (2007) and that is why we don't use the daily and
28 hourly in-situ observations to validate the model results.

29 We add explanation in line 16:

30 “Blond et al. (2007) concluded [...] In spite of this, by using the 8-month average of the
31 diurnal cycle to reduce the noise of the in-situ measurements, we see some improvements for
32 these averaged NO₂ concentrations in CHIMERE v2013.”

33

1 *In l. 25 on the same page 6347, the authors see indication of a better performance of CHIMERE*
2 *v2013 in summertime, based on the OmF they calculate. However, this conclusion would only be*
3 *valid if the satellite measurements were reflecting the true NO₂ concentrations in the atmosphere.*
4 *Given that the NO₂ measurements are subject to measurement uncertainties, the statistical*
5 *significance of the conclusion CHIMERE v2013 is better than CHIMERE v2006 depends on the*
6 *magnitude of the measurement uncertainties. At least theoretically, it would be possible that the*
7 *CHIMERE v2006 forecast were more accurate than the CHIMERE v2013 forecast; if now the NO₂*
8 *measurements were strongly biased towards the v2013 forecast, this could lead to the observed OmF*
9 *values. Therefore, I do not see justification for the authors' conclusion that v2013 is better than v2006.*
10 *I would appreciate at least a comment from the authors on this matter.*

11 The comparison of CHIMERE v2006 and v2013 are done with the same set of NO₂ values. If,
12 as the reviewer suggests the NO₂ observations are biased, the data assimilation will adapt the
13 emissions (i.e. the free parameter) to remove these biases. This means that the analysis in the
14 data assimilation will in general be close to the observations. In the next assimilation step the
15 model error will grow and the OmF values can be used to judge the performance of the model
16 over a single time step. In addition, the OmF distributions of both CHIMERE v2006 and
17 V2013 are checked and did not show any biases. Since this is a common method for data
18 assimilation schemes (see e.g. Data Assimilation: Making Sense of Observations, W. Lahoz,
19 B. Khattatov, R. Menard(Eds), 2010, page 357), we prefer not to add an additional
20 explanation to the text.

21

22 *In lines 6 f. on p. 6348, the authors write [. . .] the NO_x emissions are almost entirely removed [. . .].*
23 *This statement is not understandable to a reader who is not familiar with the DECSO algorithm. I*
24 *suggest the authors elaborate a bit on this statement so that readers not familiar with DECSO can*
25 *understand it.*

26 We re-elaborate the sentence: “At these dates the derived NO_x emissions drop to zero in one
27 day and then slowly increase again to the previous emission levels in the following days.”

28

29 *In line 8 on the same page 6348, the authors say that the unrealistic emission updates are related to*
30 *large OmF values. However, correlation is not causation, so I would appreciate if the authors could*
31 *modify their statement from a relation to a concurrence.*

32 We agree with this comment.

33 “These unrealistic emission updates concurred with extreme OmF values (lower than -5 or
34 higher than $10 \cdot 10^{15}$ molecules cm⁻²) with relative small OmF variances[...].”

35

1 *In line 13 on the same page 6348, the authors should give reference to the PM data set they used for*
2 *this observation.*

3 Following the reviewer's suggestion, we add the reference of PM data set here.

4 “[...] the in-situ observations of PM10 from CNEMC (see section 2.3) show [...]”

5

6 *In line 17 on the same page 6348, the authors write about an underestimation of cloud fraction [. . .]*
7 *from OMI. However, this statement is only admissible if it were already established that the MODIS*
8 *cloud fraction is more correct / of higher quality than the OMI cloud fraction. As the authors do not*
9 *give reference to any study allowing this conclusion, I believe it is not adequate to speak of an*
10 *underestimation.*

11 We agree with the referee that it is too premature to state that MODIS is giving better cloud
12 fraction values under these circumstances, especially since the relation between aerosols and
13 cloud fraction is rather complex. Therefore we have changed “The underestimation of cloud
14 fraction” into “The deviating cloud fraction”.

15

16

17 *In line 19 on the same page 6348, the authors should give proper reference to the cloud retrieval*
18 *algorithm.*

19 We have added the proper reference for the cloud algorithm product that is part of
20 DOMINO2:

21 Acarreta, J. R., J. F. De Haan, and P. Stammes (2004), Cloud pressure retrieval using the O2–
22 O2 absorption band at 477 nm, *J. Geophys. Res.*, 109, D05204, doi:10.1029/2003JD003915.

23 Stammes, P., M. Sneep, J. F. de Haan, J. P. Veefkind, P. Wang, and P. F. Levelt (2008),
24 Effective cloud fractions from the Ozone Monitoring Instrument: Theoretical framework and
25 validation, *J. Geophys. Res.*, 113, D16S38, doi:10.1029/2007JD008820.

26

27

28 *In line 22 on the same page 6348, the authors give reference to Lin et al. 2014. However, in the*
29 *reference list of the article, there is no such reference to be found; a proper study to cite in this*
30 *context would be Leitao et al. 2011.*

1 Thank you for checking the reference and giving another good study to cite. We also noticed
2 that it is missing in the references just after the paper was accepted for ACPD. We add the
3 reference and also cite the paper of Leitao et al. 2011.

4

5 *In line 24 on the same page 6348 the authors should remind the reader that the observational*
6 *uncertainty they are talking about to actually refers to the redefined observational error E_{obs} from Eq.*
7 *1.*

8 Thanks for the advice. We make a reference here to Equation 1.

9

10 *In lines 3 f. on page 6349, the authors should explain why haze around the Bohai Bay [. . .] indicates*
11 *that the high aerosol concentrations are near the surface.*

12 We change the sentence to “The RGB image of MODIS shows haze around the Bohai Bay,
13 which indicates that high aerosol concentrations are present in that area.” The height of the
14 aerosols is in fact not important, we just want to stress that high aerosol concentration may
15 have affected the NO₂ retrieval products.

16

17 *In line 12 on the same page 6349, the authors should explain why they chose the filter criterion they*
18 *mention, and how they arrived at this criterion.*

19 An OmF filter is a common method in data assimilation to filter out the outliers. In lines 13-
20 27 on page 6349, we explained why we use this particular criterion.

21

22 *In line 17 on the same page 6349, the authors should explain why the longer NO₂ lifetime can lead to*
23 *larger OmF values in winter.*

24 The lifetime of NO₂ is much longer in winter than in summer. Therefore, the NO₂
25 concentration is higher than in summer. Assuming the relative error of the observation is the
26 same in winter and summer, this leads to larger OmF values in winter time. We add a short
27 explanation in the paper.

28

29 *In lines 13-19 on the same page 6349, the authors should discuss what it means for their conclusions*
30 *that the distribution of the OmF in winter is clearly not Gaussian (see the heavy tail to the right in Fig.*
31 *6b), given that they explicitly state on line 7 of the same page 6349 that /In the data assimilation it is*
32 *assumed that the OmF distribution is Gaussian.*

1 In data assimilation, the OmF is assumed to be Gaussian distribution. The figure shows that
2 the tails of distribution on both side do not follow the good Gaussian distribution. Therefore
3 we use this OmF criterion to filter out those heavy tails. The distribution after applying the
4 filter show a better Gaussian shape. In the paper, we add that the tails are not Gaussian and
5 filtered.

6

7 *In lines 9 f. on page 6350, the authors write that /when the pixel size of the satellite is twice that of the*
8 *model grid cell, the updates of emissions in that grid [cell] will even be doubled/. This statement*
9 *again is not understandable to a reader who is not familiar with DECSO, so the authors should*
10 *explain why this is the case. As a side note, to a reader not familiar with DECSO, this sounds like a*
11 *serious flaw of the DECSO algorithm, so I do see the necessity to explain.*

12 This is probably not explained very well by us, since this statement is completely unrelated to
13 the DECSO algorithm. It is simply that the back-of-the-envelope calculation is done for
14 emissions and concentrations in a single grid cell. If the satellite observation measures an
15 average concentration for twice this area, the total amount of NO₂ will be twice as high and
16 therefore the emissions have to be twice as high to explain this amount of NO₂.

17 On second thought, we decided to remove this remark in the paper to avoid confusion for the
18 reader.

19

20 *In line 13 on page 6351, the authors write that they include this in the SD. This statement is not*
21 *understandable. What is SD? Why do they chose to include the trend in the SD? What does this even*
22 *mean?*

23 It is the rule of ACP that Standard Deviation is SD and it is automatically changed. We saw
24 that the small trend of NO₂ can be neglected compared to the standard deviation of the NO₂
25 concentration from year to year.

26 We replace the previous sentence with: “Although a small increasing trend from 2005 to
27 2011 is visible in the satellite data, it is negligible compared to the SD of the natural
28 variability.”

29

30 *In line 16 on the same page 6351, the authors speak of a small decrease in [. . .] February. However,*
31 *I do not see any decrease in Fig. 9 in February.*

32 Compared to the NO₂ concentration in Jan. and Mar., the NO₂ concentration in Feb. is lower
33 than in these two months.

34

1 *In line 19 on the same page 6351, the unit molec cm⁻² cannot be correct with a number 6.6.*

2 Yes, the referee is correct. We change it into 6.6 10¹⁵ molecules cm⁻².

3

4 *In lines 20 f. on the same page 6351, I the authors write that consequently [. . .] NO₂ concentrations*
5 *of the following months are also lower than in previous years. Given the short lifetime of NO₂ in the*
6 *atmosphere, I do not understand the causal connection implied by the authors' use of the word*
7 *consequently. It would be nice to hear the authors' interpretation of this: Were the pollution control*
8 *measures prolonged by the authorities? Were they voluntarily continued by the population? Is this a*
9 *mystery? Also, the authors should define the following months, given that they never clearly stated*
10 *their study period.*

11 Considering the comments of the referee, we remove the word “consequently”. We change
12 the previous sentence into “Due to the effect of the continuous air quality regulations during
13 the YOG and afterwards, the NO₂ concentrations of the following months are also lower than
14 for previous years.”

15 Several measures taken by government were continued, especially related to NO₂. In Table 1
16 we have underlined the regulations with a permanent character. Also some less well
17 documented technical improvements have been implemented. At the end of page 6339 we
18 added:

19 “In addition, several technical improvements have been implemented to reduce pollution
20 from heavy industry and power plants.”

21

22 *In line 27 on the same page 6351, the authors should cite previous studies showing that differences*
23 *[. . .] can be attributed to the meteorological conditions.*

24 We cite a previous study here.

25 Lin, W., Xu, X., Ge, B., and Liu, X.: Gaseous pollutants in Beijing urban area during the
26 heating period 2007–2008: variability, sources, meteorological, and chemical impacts, *Atmos.*
27 *Chem. Phys.*, 11, 8157-8170, doi:10.5194/acp-11-8157-2011, 2011.

28

29 *The authors have to modify the conclusion they give in lines 12-16 on page 6353. From my*
30 *understanding, the lack of observations in the second half of August 2014 means that it is impossible*
31 *to decide if the emission reductions shown by DECSO for September 2014 actually occurred in*
32 *August or September 2014. While I agree that it is highly probable that the emission reductions did*
33 *indeed occur in August as a consequence of the implemented pollution control strategies, from a*
34 *scientific point of view, it is impossible to draw this conclusion without doubt. I believe it is absolutely*

1 *necessary to explicitly state this uncertainty of the time of emission reduction. Also, looking at Figure*
2 *3, it seems that there are no measurements in the Month of September, except for the last days of the*
3 *month. What is the implication of this for the conclusions? And again, the statement cannot be*
4 *understood by a reader unfamiliar with the DECSO algorithm, so the authors should add one or two*
5 *sentences about this.*

6 We agree with the referee that although highly probable we are not sure the emission
7 reductions did occur at the end of August. There are several reasons that makes it probable:

- 8 • Although in Figure 3 no measurements appear in September, this Figure shows only
9 observations directly over the center of Nanjing, while the DECSO algorithm is using
10 all measurements in the neighborhood that have been transported to or from the
11 Nanjing region. This most important feature of DECSO has now been emphasized
12 more in the text.
- 13 • Reductions in emissions at the end of August or the following months can appear with
14 a time lag in the Kalman filter results (see e.g Brunner et al., 2012). This time lag is
15 not fixed but depends on the amount, interval, accuracy and distance of the
16 observations and it is therefore difficult to quantify. In future research we intend to
17 reduce this time lag by using a Smoothing Kalman Filter technique.
- 18 • Due to the fact that we use monthly mean values and the Olympic Games took place
19 at the end of the monthly period, the effect will be less obvious in August because of
20 the first half of the month having normal NO_x emission levels. Since many
21 regulations for NO_x had a more permanent character the emission reduction is better
22 visible in September.

23 We changed the text at page 6353, line 8-10 into:

24 “This reduction is probably caused by the more permanent air quality regulations taken by...”

25 And line13:

26 “This is partly a consequence of the use of monthly means, while the regulations became
27 active at the end of August. It is also a consequence of the lack of.....”

28

29 *Also the conclusion given in line 20 on the same page 6353 has to be modified, as DECSO did not*
30 *detect any emission reduction for the YOG period, as there were almost no measurements during the*
31 *YOG period. The conclusion has to be softened.*

32 We soften our conclusion in the revised text.

33 “We conclude that the NO_x emission reduction detected by DECSO **for the YOG period**
34 **and afterwards** was at least 25%, showing that the air quality regulations taken by the local
35 government were effective.”

36

1 *In line 20 of page 6354, the authors should explain why the legislative measures which were only in*
2 *place until 31 Aug 2014 (see Tab. 1) still effect NO₂ concentrations in the following month, given the*
3 *short lifetime of tropospheric NO₂.*

4 There are several regulations still effective after the YOG. We underline those regulations in
5 table. 1

6

7 *In line 27 on the same page 6354, the authors should explain how they arrive at a resolution of 50 x*
8 *90km; the spatial resolution of the model was not explicitly given before.*

9 We mentioned the model resolution in Section 2.1 P6342 line 9. The model has a spatial
10 resolution of 0.25x0.25 degree. The solid rectangle showed in figure 8 is about 50x90 km².
11 We add this in the caption of the land use figure to make it more clear to the readers.

12

13 *In lines 12-15 on page 6355 (or earlier, when introducing the concept of the OmF filter), the authors*
14 *should elaborate why they chose an OmF filter and do not explicitly filter out scenes contaminated by*
15 *high aerosol loads, using OMI or MODIS AOD measurements.*

16 As shown by Lin et al. (2014) the relation between aerosols and cloud retrievals are complex
17 and non-linear. It is therefore not straightforward to filter deviating NO₂ retrievals based on
18 aerosol information. It depends on the type of aerosols and the concentration as shown by
19 both Lin et al. (2014) and Leitao et al. (2010). The study of the effect of aerosols on NO₂
20 retrievals and how to filter or to improve these retrievals is topic of future research. On the
21 other hand, filtering of outliers in data assimilation by using an OmF filter criterion is not
22 uncommon. The reason why we have not applied such a filter in previous versions of DECSO
23 is already explained in the text. However, in this case we have applied a very cautious version
24 of the OmF filter, which avoids building a complicated filter based on aerosol information of
25 type, concentration and its interaction with clouds.

26 In the text on page 6349 we have added: "The effect of high aerosol concentrations on the
27 NO₂ retrieval is non-linear and depends strongly on both the type of aerosol and its
28 concentration (Lin et al., 2014, Leitao et al., 2010). It is therefore difficult to filter out outliers
29 in the observed NO₂ based on aerosol data."

30

31 *In line 24 on the same page 6355, the authors write about high electricity consumptions by power*
32 *plants. They probably mean high electricity production of power plants.*

33 Yes, the referee is correct. We modify it in the paper.

34

1 *In Table 1 on page 6361, it is not clear if earlier regulations were complemented or replaced by later*
2 *regulations. Also, the authors should give reference to the source of information.*

3 The time in the table shows the start time for different regulations. Some of them will be
4 effective after the YOG. We change the table in our paper to make it clear. We got the
5 information in this table from a large range of media, newspaper and internet sources.

6

7 *In the discussion of Table 2 on page 6362, the authors should clearly state on which grounds they*
8 *decided on the redistribution factors, or they are arbitrary.*

9 We estimate the factor table for the situation of china to the best of our knowledge.

10 The caption of Table 2 is changed to “Table 2. The estimated redistribution of MEIC sectors
11 over SNAP 97 sectors”

12 The sentence in line 3 page 6343 is changed to “[...] in the CHIMERE model, we estimate
13 the redistribution of the emissions over the sectors (see Table 2). ”

14

15 *In Figure 1 on page 6363, the authors should clarify if the x axis is sun local time or time zone local*
16 *time.*

17 Sun local time and time zone local time is the same in Nanjing. But we add sun local time in
18 the figure to make it more clear.

19

20 *In Figure 2 on page 6364, the colorbar does not have any units. What do the colors mean?*

21 The colorbar represents the frequency of satellite observations for that specific value of OmF.
22 We add this in the caption of Figure 2.

23

24 *In the same Figure 2, it is unclear what is actually shown. Are these time averages of the whole*
25 *period Jun-Aug? All individual satellite measurements? All individual model grid cells? What is the*
26 *spatial domain used for this Figure?*

27 They are all individual satellite measurements over the whole Asian domain from Jun. to Aug.

28 We change the caption of Figure 2 into:

1 “Figure 3. The comparison of the absolute OmF (10^{15} molecules/cm²) of CHIMERE v2006
2 and CHIMERE v2013 for the whole East Asian domain from June to August . The color
3 represents the frequency of satellite observations for that specific value of OmF. ”

4

5 *For Figure 3 on page 6365, the authors should explain the meaning of the dotted horizontal lines.*
6 *Also, it is not clear which spatial domain this Figure refers to. Finally, it is not clear what σ_{obs} refers*
7 *to - is this the same as E_{obs} from Eq. 1?*

8 We remove the dotted horizontal lines in Figure 3. The time series of the OmF is for the
9 single grid cell over the center of Nanjing. We added this to the caption. The error bar is the
10 root mean square error of observations (E_{obs}).

11 Here is the new caption of figure 3:

12 “The time series of the OmF from January 2013 to September 2014 for the single grid cell
13 over the center of Nanjing. The error bar is the root mean square error of observations (E_{obs}).
14 ”

15

16 *Figure 5 on page 6367 lacks any proper reference to the source of the images*

17 We mentioned it in the acknowledgement. But we also add the link to the figure caption.

18 <https://ladsweb.nascom.nasa.gov/>

19 *In Figure 7 on page 6369, the authors should clarify if they mean sun local time or timezone local*
20 *time. Also, given that the vertical bars are not errors but show the natural variability within one*
21 *month, maybe the authors should not call the bars error bars.*

22 We clarify the local time is sun local time. Indeed the vertical bars show the natural
23 variability and are not error bars. We change the caption of this figure.

24

25 *In Figure 8 on page 6370, it might be helpful to see a second subplot showing the effectively chosen*
26 *land cover type for each grid cell, i.e., the scaled-down version of the same data.*

27 CHIMERE model use the information of the percentage of different land use categories.
28 Figure 1 (formerly Figure 8) shows the land use information used by CHIMERE.

29

1 *In Figure 9 on page 6371, again the authors write error bars even though the vertical bars do not*
2 *contain error information but show the natural variability for a single month. Also, SD should be*
3 *spelled out as standard deviation.*

4 We agree with the referee and change the caption. We wrote standard deviation. But the SD
5 is a rule of ACP and automatically changed.

6

7 *Figure 10 on page 6372 shows that there is a problem with the error of the mean NO_x emission*
8 *estimate from DECSO (shaded areas): For August 2014, the minimum value of the shaded area is*
9 *higher than the maximum value for September 2014, i.e., there is no overlap between the credible*
10 *regions of the emission estimate for August and September 2014. However, the authors do draw the*
11 *conclusion that the emission reductions seen in September actually happened in August already. I see*
12 *a contradiction here: Either, the authors' conclusion is correct; in this case, the errors shown as*
13 *shaded areas in Figure 10 are clearly too small. Or, the errors shown in Figure 10 are correct, but*
14 *then the authors' conclusion would be merely speculation, as it would not be backed by the error*
15 *estimates.*

16 Reductions in emissions at the end of August or the following months can appear with a time
17 lag in the Kalman filter results (see e.g Brunner et al., 2012). This time lag is not fixed but
18 depends on the amount, interval, accuracy and distance of the observations and it is therefore
19 difficult to quantify. Although the strong point of a Kalman Filter is its detailed error analysis,
20 this time lag is not incorporated in its formalism. Thus the error of DECSO does not account
21 for the delay. There is no good procedure to calculate the error due to this time lag. In future
22 research we intend to reduce this time lag by using a Smoothing Kalman Filter technique. We
23 add more explanation in our paper.

24

25

26

1 We thank the referee #3 for giving valuable comments. We respond to each specific comment
2 below. The comments and questions from referee #3 are in italic font.

3 *One concern is the 1-month lag between derived emission reduction (in September) and the actual*
4 *emission regulation (in August and prior). The lag has been attributed to the issue of Kalman-based*
5 *inversion and the lack of OMI data. While this may be the case, a more detailed analysis is needed.*
6 *This is because the lifetime of NO_x is short (several hours in summer), and thus there should not be*
7 *any obvious lag (i.e., more than 1 day) between regulation and reduction. How many days are*
8 *missing in August compared to other months (e.g., February, when there is no lag)? In addition, all*
9 *NO_x-relevant emission regulations have been implemented by Aug 15, therefore it is not clear why*
10 *emission reduction is not shown in August and previous months. In particular, Fig. 10 shows that*
11 *June-August 2014 have higher emissions than June- August 2013, in contrast to the emission*
12 *regulation starting from May 2014.*

13 We understand the concern of the referee and have added more explanations to the text.

14 Reductions in emissions at the end of August or the following months can appear with a time
15 lag in the Kalman filter results (see e.g Brunner et al., 2012). This time lag is not fixed but
16 depends on the amount, interval, accuracy and distance of the observations and it is therefore
17 difficult to quantify. Although the strong point of a Kalman Filter is its detailed error analysis,
18 this time lag is not incorporated in it is formalism. In future research we intend to reduce this
19 time lag by using a Smoothing Kalman Filter technique.

20 The question how many days are missing cannot be answered straightforwardly, because the
21 DECSO algorithm is using all measurements in the neighborhood that has been transported to
22 or from the Nanjing region. This most important feature of DECSO has been emphasized
23 more in the text. In February no time lag appears because the Spring Festival is usually in the
24 beginning of February, thus a time lag of several days will have no effect on the results.

25 The difference between 2013 and 2014 are all within the error bars of the emission estimates,
26 so it is difficult to draw any conclusions from that. Due to the fact that we use monthly mean
27 values and the Olympic Games took place at the end of the monthly period, its effect will be
28 less obvious in August because of the first half of the month having normal NO_x emission
29 levels. Since many regulations for NO_x had a more permanent character the emission
30 reduction is better visible in September.

31

32 At the end of page 3 we added:

33 “In addition, several technical improvements have been implemented to reduce pollution
34 from heavy industry and power plants.”

35 We changed the text at page 17, line 8-10 into:

36 “This reduction is probably caused by the more permanent air quality regulations taken by...”

37 And line13:

38 “This is partly a consequence of the use of monthly means, while the regulations became
39 active at the end of August. It is also a consequence of the lack of.....”

1

2 *The authors make efforts to filter out aerosol-affected data. The criterion is based on NO₂*
3 *comparison (OmF) rather than on the aerosol amount directly. There is a concern with this practical*
4 *choice – whether a scene is filtered out or included depends on how “bad” the comparison is rather*
5 *than depending on the underlined physical reasons (i.e., aerosols). As lots of “outliers” are filtered*
6 *out, the criteria certainly affect the subsequent emission inversion. Is it possible to compare the*
7 *chosen filter to an alternative filter where days with aerosols higher than some threshold are excluded?*
8 *Are there high-aerosol days in the chosen days?*

9 As shown by Lin et al. (2014) the relation between aerosols and cloud retrievals are complex
10 and non-linear. It is therefore not straightforward to filter deviating NO₂ retrievals based on
11 aerosol information. It depends on the type of aerosols and the concentration as shown by
12 both Lin et al. (2014) and Leitao et al. (2010). The study of the effect of aerosols on NO₂
13 retrievals and how to filter or to improve these retrievals is topic of future research. On the
14 other hand, filtering of outliers in data assimilation by using an OmF filter criterion is not
15 uncommon. The reason why we have not applied such a filter in previous versions of DECSO
16 is already explained in the text. However, in this case we have applied a very cautious version
17 of the OmF filter, which avoids building a complicated filter based on aerosol information of
18 type, concentration and its interaction with clouds.

19 In the text on page 6349 we have added: “The effect of high aerosol concentrations on the
20 NO₂ retrieval is non-linear and depends strongly on both the type of aerosol and its
21 concentration (Lin et al., 2014, Leitao et al., 2010). It is therefore difficult to filter out outliers
22 in the observed NO₂ based on aerosol data.”

23

24 *How many data are available for each month?*

25 There are about 1500 OMI observations used in DECSO each day for the whole domain of
26 East Asia.

27 The DECSO algorithm is using all measurements in the neighborhood that have been
28 transported to or from the Nanjing region. This most important feature of DECSO has now
29 been emphasized more in the text.

30

31 *The OmF filter is based on absolute value rather than percentage value. Considering the seasonality*
32 *in NO₂, applying the filter means stronger filtering in summer and weaker filtering in winter. This will*
33 *affect the derived emission seasonality. Please discuss.*

34 We considered to use a percentage value during the research. However, it didn't work well
35 when we used the percentage of the forecast or observation value. For example, if the
36 forecast value is very high, the OmF absolute value could also be very high but the
37 percentage can be small. It still causes the problem that the derived NO_x emissions drop to
38 zero in one day and then slowly increase again to the previous emission levels in the
39 following days. On the other hand, if the forecast or observation value is very low, the OmF
40 absolute value are low enough and doesn't cause any problem in DECSO but the percentage

1 can be higher than the criterion. In general, when we used a percentage value as a criterion,
2 many data causing a wrong emission update were still used, while many data with good
3 quality were filtered out.

4 We add “Not losing sensitivity to new emission sources is also the reason we do not choose a
5 relative filter criterion” in the paper.

6 It looks like stronger filtering in summer and weaker filtering in winter. However, the amount
7 of observations are much higher in summer than in winter. After using the same filter for
8 summer and winter, there are still more observations used in summer than in winter.

9

10

11 *The choice of asymmetric filtering could be better discussed. Line 24-27 of P6349 is not clear.*

12 Assuming the relative error of observation will be more or less equal. Then during the data
13 assimilation process in DECSO, the error of high observations will be relatively high, thus
14 the weight of this high observation is low. But the low NO₂ observation value with low error
15 has more weight. This will favor the low observations

16 We add the following sentences at the end of *Line 24-27 of P6349*:

17 “The observation with low error have more weight in the data assimilation process.”

18

19 *A looser cloud screening is used to include more OMI pixels. CRF > 50% means less than half of*
20 *TOA radiance comes from ‘clear-sky’ portion of the pixel. The looser criterion may increase the data*
21 *noise, which is especially relevant to the daily-based emission inversion. Some relevant figures and*
22 *quantitative analysis would be welcome.*

23 We have checked the distribution of the selected satellite data, which remained unchanged.
24 Indeed, the error on the monthly mean data changes. Therefore, we have replaced line 9-11
25 on page 6345 with:

26 “From our analysis of the satellite data we conclude that as a result of this new limit on the
27 cloud fraction the error on the measurements increases with less than 20% and without
28 introducing biases. Yet this effect is compensated by the advantage that more data becomes
29 available. The number of observations increases with about 37 % over the whole domain”

30

31 *On the same issue – the ‘back-of-the-envelop’ calculation should be cautiously interpreted, as the*
32 *lifetime of NO_x could vary dramatically from one day to another, leading to large changes in NO₂*
33 *VCD even with the same emissions.*

34 The purpose of back of the envelop calculation is to give readers an impression on the effect of the
35 large OmF on emission estimates. We use seasonal average to reduce the effect of horizontal
36 transportation. The lifetime of NO_x could vary from one day to another. We give the difference of the
37 NO₂ concentration caused by different emissions on an average value. We add this to the paper.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39

The effect of Chinese Spring Festival has been studied by previous research on satellite NO₂, so as the effects of lifetime versus emission on the seasonality of NO₂. Please give more discussions on or comparisons with these relevant studies.

Wang et al. (2011) showed the monthly NO₂ ground observations of the three cities Beijing, Shanghai and Guangzhou in 2005. There is also a small decrease of NO₂ concentrations in February. But they didn't discuss this small decrease.

Most recent studies we find about the Chinese Spring Festival and NO_x emissions only focus on the emissions from fireworks (usually aerosols) during the Spring Festival and from traffic during the travelling before and after the Spring Festival.

In line 17 page 6351, we add:

“The monthly averages of NO₂ in-situ observations shown by Wang et al. (2011) for Beijing, Shanghai and Guangzhou in 2005 were also reduced by around 10% in February.”

At the end of line 7 page 6353, we add:

“Ran et al. (2009) explained high NO_x concentrations in are caused by slower chemical processes and shallow boundary layers contributing to accumulation of NO_x. The table of Wang et al. (2012) annual and summer NO_x emissions from coal-fired power plants in 2005-2007 for different provinces in China showed that the NO_x emissions in Jiangsu Province in summer is higher than mean seasonal emissions.”

The model seems to exclude lightning emissions. Lightning emissions are very important in summer, especially considering the much increased sensitivity of OMI to aloft NO₂. In addition, lightning emissions vary significantly from one year to another, and a climatological adjustment does not affect the interannual variability. Please discuss the implications of the lightning treatment on the emission inversion here.

Opposite to regions more to the South in China the vertical column of NO₂ from lightning (max. 0.5×10^{15} molecules/cm²) in the region of Nanjing is much less than the NO₂ from anthropogenic sources ($10\text{-}30 \times 10^{15}$ molecules/cm²) as can be seen in studies of e.g. Schumann and Huntrieser (2007), van der A et al. (2008), and Belmonte Rivas, et al., (2015). Thus 2% of the total column NO₂ can be attributed to lightning and variations in lightning activity will be much lower than 2%. Therefore, we have ignored the lightning contribution in this study, although in other regions this can have important consequences.

Is 'biogenic' emission of NO the same as soil NO_x? If not, does the model consider soil emissions that also peak in summer?

Yes. Biogenic emission of NO is soil emission. We clarified this in the revision.

How is the model error treated?

1 This is described in the paper of Mijling and van der A (2012) and we have added an additional
2 reference to the paper.

3
4 *The choice of Eobs in Eq. 1 needs more justification. Also, what is the unit of Csat, Eobs and Esat?*

5 The C_{sat} is a normalized value from 10^{15} . We add the explanation in this part (Page 6343 line
6 18).

7 “[...] the satellite observations. The unit in this formula is 10^{15} molecules cm^{-2} . The modified
8 [...]”.

9 This aim of this formula is to keep the original observation error for low NO_2 observation
10 values and half the original error for high NO_2 observation values. So the high observation
11 values will have more weight during the data assimilation process.

12 We add the reason after the description of the effects by tuning the satellite error on Page
13 6343 (line 21). “[...] absolute error for low values (typically around $0.5 \cdot 10^{15}$ molecules cm^{-2}).
14 Thus, DECSO can better capture new emission points or high emission episodes.”

15
16 *The paper cites H. Zhang et al. (2009) for explanation of the large emission seasonality. What is the
17 quantitative result from Zhang et al. and is their result comparable to here?*

18 They showed the trends of electricity consumption in Nanjing from 2000 to 2006. It kept increasing
19 and has strong seasonality during these 7 years. The value of electricity consumption in summer is at
20 least two times higher than in winter every year as shown in their figure. They studied the relation of
21 temperature and electricity consumption and concluded there is a significant correlation between them.
22 We can't quantify the effect on the amount of NO_x emissions from the value of electricity
23 consumptions, since there are also other sources involved. We add “The value of electricity
24 consumption in summer is at least two times higher than in winter every year and keeps
25 increasing during those 7 years.” in the text.

26

1 We thank the referee #4 for giving valuable comments. We respond to each specific comment
2 below. The comments and questions from referee #4 are in italic font.

3

4 *This paper by Ding et al. focuses on the estimation of NO_x emissions during the 2014 Youth Olympic
5 Games in Nanjing. They constrain daily NO₂ column observations from OMI and simulations from
6 the regional CHIMERE model to infer NO_x emissions. I agree with one of the reviewers that the most
7 significant results are presented in Figure 9 and 10, which I am most concerned with. I do not think
8 this paper is suitable for publication in ACP unless substantial revisions are made.*

9 *I agree with most comments from Reviewer #2. I have few additional comments:*

10 Although we do not know which specific comments the referee is referring to, the responses
11 on those comments can be found in the answers to referee 2.

12

13 *1) MEIC inventory as well as Zhang et al [2009] inventory suggests small monthly variation in
14 emissions. Emission estimates from the DECSO algorithm is suggesting ~50% higher emissions in
15 July than January. Small drop in February is explained by previous publications by Zhang et al., but
16 the seasonal variation in Figure 10 looks unrealistic based on all existing bottom-up inventories over
17 China. It is most likely coming from deficiency of the DECSO algorithm or the CTM they use. Satellite
18 retrievals may have seasonal bias, yielding seasonal biases in NO_x emissions. There are several
19 factors that could lead to the biased inversion. Either exploring those factors or providing enough
20 justification why bottom-up emission is wrong is necessary.*

21 Unfortunately we couldn't infer from literature how the seasonal cycle in MEIC is
22 constructed. We assume that it is similar as described in Zhang et al. (2009). According
23 Zhang et al. (2009) the seasonal cycle is superimposed on the annual inventory. This seasonal
24 cycle is based on monthly activities per province for industrial activities, while for residential
25 emissions it is based on the method of Streets et al. (2003). This seasonal cycle for the
26 residential sector is based on assumptions on the use of stove operation as function of
27 temperature, and is derived for the whole of China.

28 The difference with our work is two-fold:

29 1) Our results are for 2013 and 2014, more than 10 years after the analysis of Streets et al.
30 (2003), in which time a lot has changed in the Chinese society. For the city of Nanjing there
31 is practically no coal used for heating, but on the other hand the use of air condition has
32 increased strongly in the last 15 year.

33 2) The seasonal cycle was applied to the bottom-up inventory on a provincial level or even
34 national level, while we are presenting results at a city-level (Nanjing). As shown in H.
35 Zhang et al., (2009) electricity consumption is higher in summer than for winter for this
36 specific city.

37 We would also like to add that the used NO₂ satellite data has been validated and show no
38 significant seasonal cycle. (e.g. Boersma et al., 2011 and references therein) .

39 In the text we added the following discussion: "The difference with the seasonal cycle of
40 MEIC might be attributed to the fact that our results are derived on city-level, while the
41 seasonal cycle for bottom-up inventories are often derived on a national or provincial scale

1 (e.g. Q. Zhang et al. , 2009).”

2

3 *2) Use of OMI data: There might be some limitations in the understanding and use of OMI data. I*
4 *think, DOMINO algorithm accounts for aerosol effect through not just cloud information as discussed*
5 *in the paper but also surface reflectivity (OMI LER). Exclusion of scenes with high aerosols may*
6 *remove polluted days since high aerosols may occur for days with high NO₂ pollution. Results from*
7 *Lin et al., who use MODIS reflectivity and model aerosol, may not provide sufficient justification as*
8 *the study did not examine the relationship between Kleipool LER with LER calculated from MODIS*
9 *reflectivity and observed aerosol. Discussions on the application of averaging kernel are necessary*
10 *since the idea here is to replace the TM4 profiles used in retrievals by DECSO profiles. Section title*
11 *“Improvement of the satellite data” is misleading because this work does not improve any aspect of*
12 *retrieval algorithm and satellite data product. Better title would have been “Data selection and pre-*
13 *processing” or something similar. 70% cloud radiance fraction threshold is higher than many*
14 *previous studies use. Since cloudy observations have larger errors, inversion is more error prone with*
15 *higher threshold. Criterion for OmF is very subjective. Why choose the range [-5, 10] e15? Why not*
16 *[-5, 5] e15? Why not relative value rather than absolute value? Why not percentile range? Is the*
17 *selected range applicable to any region or just over China?*

18 - Although some of our authors have a thorough understanding of the DOMINO algorithm,
19 since they are involved in the development of DOMINO for many years, we think discussing
20 the performance/flaws of the DOMINO algorithm and the related findings of the Lin et al. is
21 out of scope of this paper and not relevant for our results. We only conclude, based on the
22 data assimilation results, that a very small fraction of the DOMINO NO₂ data is unrealistic
23 and that these observations always occur in the presence of high aerosol concentrations.

24 - We agree that the title is not entirely correct and we changed the title of the section into
25 “Quality control of satellite data”

26 - Although a limit of 70 % for the cloud fraction on average increases the error of the
27 measurements by less than 20%, this is compensated by the 37% increase in the number of
28 observations.

29 - Although the exact limit to filter outliers in OmF values is always arbitrary, we give a
30 detailed description why we choose these limit values (page 6349, line 13 - page 6350, line
31 18). The asymmetric limits are chosen because the OmF distribution is asymmetric in its tails,
32 caused by the fact that there are no negative NO₂ observations, but there is virtually no limit
33 to the maximum NO₂. To use a relative factor will filter a lot of data for the low NO₂
34 concentrations where we have found no problems with the observations. In the end, we have
35 been deliberately on the cautious side with our choice of this criterion and less than 3% of the
36 data is filtered over China. The limit is also applicable for other regions.

37 In our discussion we added on page 6349,

38 On line 11: “...new power plants. Not losing sensitivity to new emission sources is also the
39 reason we do not choose a relative filter criterion. We select...”

40 On line 12: “We select a OmF filter criterion in the range of [-5, 10] x 10¹⁵ molecules cm⁻²
41 based on our analysis discussed below.”

42 On line 14: “.... September 2014 is Gaussian except for its tails and 97% of”

1

2 3) *Use of surface data: I do not understand the logic of using surface data. There is a big unknown*
3 *about the quality of the surface NO₂ data they use for validation of the model results. How the*
4 *(comparison) exercise is going to be insightful if the accuracy of the data used in the analysis is*
5 *unknown? In addition, the comparison of NO₂ at a surface site with model results at 0.25x0.25 is not*
6 *really helpful.*

7 This is exactly what we argue in the manuscript: the validation by comparison with surface
8 data is of very limited value. Therefore, we use only hourly values averaged over a long time
9 period of 8 months for the comparison. Since the surface data is available, we did not want to
10 ignore this source of information for our model validation. For the sake of completeness we
11 feel obliged to present our conclusions on the comparison with ground data.

12

13 4) *Data analysis: Based on information presented in Table 2, it is more logical to focus the analysis*
14 *for May-September period examining how each regulation was effective in reducing pollution level.*
15 *From Figure 9, it is difficult to link the changes observed in the YOG period to regulations in place as*
16 *the results are similar for 2013 and 2014. Authors state in introduction that derived emission is better*
17 *to study the effectiveness of the air quality measures, but it is unclear to me how satellite-derived*
18 *emission is better than satellite observations themselves as the model is not providing any additional*
19 *information regarding regulations. In fact, one might introduce model errors in the inferred emissions.*
20 *For the nature of the work presented in the paper, I do not see much advantage of the chosen*
21 *approach.*

22 As argued here and in previous papers (e.g. Mijling et al., 2009) this analysis based on
23 satellite concentrations only is not sufficient, since meteorological conditions and transport of
24 polluted air are strongly affecting the concentrations. This means that there is no linear
25 relation between air quality regulations and NO₂ concentrations. On the other hand the
26 relation between regulations and emissions is very direct and linear, which not only justifies
27 our approach but it is also an improvement on the analysis of measured NO₂ concentrations.

28 The emission estimates use not only satellite observations in the location of the YOG but use
29 all observations over China that are transported from and to Nanjing. Besides taking transport
30 into account the meteorological effect on the lifetime of NO₂ is taken into account.

31 Indeed, this is, as pointed out by the referee, shown by the fact that Figure 9 is less
32 convincing than the results in Figure 10. Figure 9 shows only concentrations, while Figure 10
33 is showing the emissions taken into account transport and meteorological conditions leading
34 to actually smaller errors on the results.

35 At the end of line 5 page 6352, we add:

36 “The emission estimates use not only satellite observations in the location of the YOG but
37 use all observations over China that are transported from and to Nanjing. Besides taking
38 transport into account the meteorological effect on the lifetime of NO₂ is taken into account.”

39

40 5) *Several statements in the “Model improvement” section require citations. Please, use NO₂*
41 *columns consistently instead of NO₂ concentrations and NO_x emissions instead of NO₂ emissions.*

1 The Chimere model is described in section 2.1 with references to Schmidt, 2001; Bessagnet et al.,
2 2004; and Menut et al., 2013.

3 We have made the use of “NO₂ columns” and “NO_x emissions” more consistent throughout the text.

4

5

1 **NO_x emission estimates during the 2014 Youth Olympic** 2 **Games in Nanjing**

3

4 **J. Ding^{1,2}, R. J. van der A¹, B. Mijling¹, P. F. Levelt^{1,2}, and N. Hao³**

5 [1] Royal Netherlands Meteorological Institute (KNMI), De Bilt, the Netherlands

6 [2] Delft University of Technology, Delft, the Netherlands

7 [3] German Aerospace Center (DLR), Oberpfaffenhofen, Germany

8 Correspondence to: J. Ding (jieying.ding@knmi.nl)

9

10 **Abstract**

11 | The Nanjing Government ~~has taken~~applied temporary environmental regulations to guarantee
12 | good air quality during the Youth Olympic Games (YOG) in 2014. We study the effect of
13 | those regulations by applying the emission estimate algorithm DECSO (Daily Emission
14 | estimates Constrained by Satellite Observations) to measurements of the Ozone Monitoring
15 | Instrument (OMI). We improved DECSO by updating the chemical transport model
16 | CHIMERE from v2006 to v2013 and by adding an Observation minus Forecast (OmF)
17 | criterion to filter outlying satellite retrievals due to high aerosol concentrations. The
18 | comparison of model results with both ground and satellite observations indicates that
19 | CHIMERE v2013 is better performing than CHIMERE v2006. After filtering the satellite
20 | observations with high aerosol loads that were leading to large OmF values, unrealistic jumps
21 | in the emission estimates are removed. Despite the cloudy conditions during the YOG we
22 | could still see a decrease of tropospheric NO₂ column concentrations of about 32% in the
23 | OMI observations as compared to the average NO₂ ~~concentrations-colums~~
24 | The results of the improved DECSO algorithm for NO_x emissions show a reduction of at least
25 | 25% during the YOG period and afterwards. This indicates that air quality regulations taken
26 | by the local government ~~were successful~~have effect in reducing NO_x emissions. The

1 algorithm is also able to detect an emission reduction of 10% during the Chinese Spring
2 Festival. This study demonstrates the capacity of the DECSO algorithm to capture the change
3 of NO_x emissions on a monthly scale. We also show that the observed NO₂ columns
4 concentrations and the derived emissions show different patterns that provide complimentary
5 information. For example, the Nanjing smog episode in December 2013 led to a strong
6 increase in NO₂ concentrations without an increase in NO_x emissions. Furthermore, DECSO
7 gives us important information of-on the non-trivial seasonal relation between NO_x emissions
8 and NO₂ concentrations on a local scale.

9

10 1 Introduction

11 Reducing air pollution is one of the biggest environmental challenges currently in China.
12 Nearly 75% of urban areas are regularly polluted in a way that is-was considered unsuitable
13 for their inhabitants in 2004 (Shao et al., 2006). In mega cities and their immediate vicinities,
14 air pollutants exceed the Chinese Grade-II standard (80 µg m⁻³ for daily NO₂) on 10-30% inef
15 the days (Chan and Yao, 2008). Air pollution is directly related to the economic growth in
16 China and its accompanying increase of energy consumption. In the last two decades, air
17 pollutants persistently increased in China. For instance, satellite measurements showed that
18 NO₂ column concentrations increased about 20% per year 50 % from 1996 to 2005-
19 2005; Richter et al., 2005; van der A et al., 2006). By combining satellite observations with
20 air quality models, Itahashi et al. (2014) showed that the strong increase of NO₂
21 concentrations-columns over East China is-has been caused by a doubling of NO_x
22 (NO_x=NO+NO₂) emissions during 2000 to 2010. Zhang et al. (2007) found that NO_x
23 emissions increased with 70% between 1995 and 2006 and Lamsal et al. (2011) found that
24 anthropogenic NO_x emissions increased with 18.8% during the period 2006 to 2009.

25 Nanjing, the capital of Jiangsu Province, is a highly urbanized and industrialized city located
26 in East China, in the northwest part of the Yangtze River Delta (YRD). By 2012, the area of
27 Nanjing had a population of 8.2 million (Nanjing statistical Bureau, 2013). The YRD is one
28 of the largest economic and most polluted regions in China. Tu et al. (2007) found that the
29 largest fraction of air pollution by NO_x and SO₂ can be attributed to local sources in Nanjing.
30 Li et al. (2011) concluded that air pollutant concentrations and visibility demanded urgent air

1 pollution regulations in the YRD region. From 16th to 29th August 2014, the Youth Olympic
2 Games (YOG) was held in Nanjing. To guarantee good air quality during the Games, the city
3 government carried out temporary strict environmental regulations with 35 directives from
4 May to August. Other cities in the YRD cooperated with Nanjing to ensure good air quality
5 during the Games. The periods with the main regulations are shown in Table 1. -In addition,
6 several technical improvements have been implemented to reduce pollution from heavy
7 industry and power plants.

8 ~~Also for~~For previous major international events in China, local authorities have tried to
9 comply with the air quality standards of the World Health Organization (WHO), which has a
10 limit of 200 $\mu\text{g m}^{-3}$ for hourly NO_2 concentrations. For each event, the local government
11 imposed restrictions on heavy industry, construction and traffic. In 2008 the Beijing
12 Municipal Government implemented a series of air pollution control measures for Beijing
13 and surrounding cities to guarantee good air quality for the 29th Olympic Games. These
14 control measures significantly reduced the emissions and concentrations of pollutants.
15 Satellite data show the NO_2 column concentrations decreased ~~with~~ at least 40% compared to
16 previous years (Mijling et al., 2009; Witte et al., 2009). Both bottom-up and top-down
17 emission estimates show a decrease of about 40% in NO_x emissions (Wang et al., 2009;
18 Wang et al., 2010, Mijling et al., 2013). During the 2010 World Expo in Shanghai the NO_2
19 ~~concentration-column~~ was reduced by 8% from May to August according to an analysis of
20 Hao et al. (2011) of space-based measurements compared to previous years. In November
21 2010 emission reduction measures introduced by the Guangzhou authorities also successfully
22 improved air quality for the Asian Games. Wu et al. (2013) claimed a NO_x emission
23 reduction of 43.5% based on mobile DOAS measurements. The emission reduction of NO_x
24 based on model simulations was estimated to be about 40% (Liu et al., 2013).

25 However, to study the effectiveness of the air quality measures, it is not enough to look at the
26 concentration measurements alone, as the reduction of air pollutants can also be affected by
27 favorable meteorological conditions. Emissions need to be derived to better show the effect
28 of temporary air quality regulations carried out for the Games. Up-to-date emission data is
29 difficult to obtain, as most emission inventories are developed by a bottom-up approach
30 based on statistics on source sector, land-use and sector specific emission factors.

31 The bottom-up approach introduces large uncertainties in the emission inventories. To
32 improve emission inventories, a top-down approach can be used by estimating emissions

1 from satellite observations (Streets et al., 2013). For constraining emissions of short lived
2 species, Martin et al. (2003) used the ratio of the simulated to the observed concentration to
3 scale a priori emissions. They used optimal estimation to weigh the a priori emission
4 inventory with the top-down estimates, resulting in an a posteriori inventory with error
5 estimates. This method assumes that the relationship between emissions and concentrations is
6 not affected by transport. Non-linear and non-local relations between emission and
7 concentration can be indirectly solved by applying the method iteratively (e.g. Zhao and
8 Wang (2009)), although a posteriori error estimates are lost in this way. Kurokawa et al.,
9 (2009) and Stavrou et al. (2008) used 4DVAR techniques to estimate emissions by
10 applying an adjoint model of the chemistry transport model to calculate the sensitivities.
11 Another popular data assimilation method is the Ensemble Kalman Filter (Evensen, 2003),
12 which does not require an adjoint model and is relatively easy to implement. As an extension
13 of the Kalman filter, it employs a Monte Carlo approach to represent the uncertainty of the
14 model system with a large stochastic ensemble. Whenever the filter requires statistics such as
15 mean and covariance, these are obtained from the sample statistics of the ensemble (Miyazaki
16 et al., 2012).

17 To get fast updates for short lived air pollutants, Mijling and van der A (2012) designed a
18 Daily Emission estimates Constrained by Satellite Observation (DECSO) algorithm. DECSO
19 is an inversion method based on an extended Kalman filter. The algorithm only needs one
20 forward model run of a chemical transport model (CTM) to calculate all local and non-local
21 emission/concentration relations. It updates emissions by addition instead of scaling, enabling
22 the detection of unaccounted emission sources.

23 In this study, we use the latest version of DECSO with OMI satellite data to study how the
24 environmental regulations affect the NO_x emissions in Nanjing during the 2014 YOG.
25 Detecting emission changes for Nanjing is challenging, as it is a smaller city than e.g. Beijing.
26 In addition, Nanjing is in one of the most populated areas of China close to Shanghai with a
27 population of about 24 million. Therefore we have introduced a few improvements in the
28 DECSO algorithm to better resolve small scale emission changes in time and location. The
29 improvements consist of an updated CTM and better filtering of erroneous satellite
30 observations. The emission estimates will be based on the satellite observations of OMI,
31 taking advantage of its high spatial resolution needed to resolve the changes in the Nanjing
32 area. With this improved algorithm we will compare the NO_x emissions during the YOG with
33 NO_x emissions of the previous year in [the](#) Yangtze Delta River.

1

2 2 Methods

3 2.1 Emission estimates

4 For the emission estimates of NO_x over China we use the DECSO algorithm (Mijling and van
5 der A, 2012). It uses a CTM to simulate the NO₂ concentrations and daily satellite
6 observations of NO₂ column concentrations to constrain NO_x emissions. The algorithm is
7 based on an extended Kalman filter to get new emission estimates by optimizing NO₂ column
8 concentrations of model and satellite observations. The inclusion of sensitivities of NO₂
9 column concentrations on the NO_x emissions in other locations is an essential part of DECSO.
10 A terrain-following trajectory analysis is used in this calculation to describe the transport of
11 NO₂ over the model domain for a time interval between two overpasses of the satellite
12 instrument. This approach results in a fast algorithm suitable for daily estimates of NO_x
13 emissions on a 0.25° x 0.25° resolution. A detailed description of DECSO v1 can be found in
14 Mijling and van der A (2012).

15 The CTM used in DECSO is CHIMERE (Schmidt, 2001; Bessagnet et al., 2004; Menut et al.,
16 2013). CHIMERE is implemented on a 0.25° x 0.25° spatial grid over East Asia from 18° N
17 to 50° N and 102° E to 132° E. It contains 8 atmospheric layers up to 500 hPa. The
18 meteorological input for CHIMERE is the operational meteorological forecast of the
19 European Centre for Medium-Range Weather Forecasts (ECMWF) with a horizontal
20 resolution of approximately 25x25 km². The Multi-resolution Emission Inventory for China
21 (MEIC) (He, 2012) for 2010 gridded to a resolution of 0.25° x 0.25°, is used for the initial
22 emissions in DECSO. Outside China, where no MEIC emissions are defined, the emission
23 inventory of INTEX-B (Zhang et al., 2009b) is used. As the emission sector definition used in
24 MEIC and INTEX-B does not match the 11 activity sectors according to the SNAP (Selected
25 Nomenclature for Air Pollution) 97, which are internally used in the CHIMERE model, we
26 estimate the redistribution of the emissions over the sectors according to (see Table 2).

27 As mentioned in the paper of Mijling and van der A (2012), to compare CHIMERE
28 simulations with satellite observations, we extend the modelled vertical profiles from 500hPa
29 to the tropopause by adding a climatological partial column-, which is from an average of a

1 2003-2008 run of the global chemistry transport model TM5. The simulated NO₂ column
2 concentrations on the model grid are redistributed to the satellite footprints. To enable direct
3 comparison between simulated and observed tropospheric vertical column, the averaging
4 kernel from the satellite retrieval is then applied to the modelled vertical profile.

5 In this study, we used an updated version of DECSO, which is referred to as DECSO v3a.
6 Especially-In particular, the calculation speed has been improved in this update. DECSO does
7 not distinguish between biogenic emissions and the anthropogenic sectorial emissions.
8 Emission differences are attributed to anthropogenic contribution only, i.e. the biogenic
9 emissions are assumed to be modeled correctly by the CTM. Emission updates are distributed
10 by ratio over the sectors (power, industry, transport, domestic) as described by the apriori
11 emission inventory.~~Furthermore, the emission injection height has been made sector-~~
12 ~~dependent~~ If a grid cell is dominated by power plant emissions, however, emission updates
13 are attributed to the power sector only. The locations of power plants are provided to the
14 algorithm as additional a priori information. In DECSO v3a, the emission injection height has
15 been made sector-dependent. Emissions are injected in the lowest three model layers of the
16 CTM; each sector having its characteristic vertical emission distribution. For example,
17 transport emissions are released at the surface, while power plant emissions are fully released
18 in the third model layer corresponding at a typical smokestack height. -Trajectory calculations
19 of the observed species are crucial in the determination of the source-receptor relations. The
20 DECSO algorithm uses meteorological wind fields (the same as used in the CTM) to
21 calculate how the content of a tropospheric column is advected over the model domain. Here,
22 the injection heights is distributed according to the modeled vertical NO_x distribution. In
23 DECSO, the forward trajectory calculation is changed to a backward trajectory calculation,
24 i.e. the source-receptor relations are calculated backward in time, based on the height
25 distribution of NO_x modelled at satellite overpass time.

26 ~~and the forward trajectory calculation is changed to a backward calculation.~~ In DECSO v3a,
27 ~~the tuned synthetic error estimates~~ E_{obs} ~~of estimates are used, derived from the original a~~
28 ~~satellite observation~~ via is recalculated according to:

$$29 \quad E_{obs} = f \cdot E_{sat} + (1 - f) \cdot (0.5 \cdot E_{sat}), \text{ with } f = e^{\left(\frac{-C_{sat}}{2}\right)} \quad (1)$$

30 where E_{sat} is the original observation error from the retrieval method and C_{sat} is the retrieved
31 NO₂ column of the satellite observation. The unit in this formula is 10¹⁵ molecules cm⁻². The

1 modified errors give more weight to satellite observations with high values during the
2 assimilation by reducing their relative error while maintaining the dominating absolute error
3 for low values (typically around $0.5 \cdot 10^{15}$ molecules cm^{-2}). [In this way, DECSO captures](#)
4 [better new emission points or high emission episodes.](#)
5

6 **2.2 Satellite observations**

7 In this study, satellite observations from the Dutch-Finnish Ozone Monitoring Instrument
8 (OMI) on NASA's (National Aeronautics and Space Administration) Aura satellite (Levelt et
9 al., 2006) are used in DECSO. The satellite was launched on 15 July 2004 into a sun-
10 synchronous polar orbit at 705 km altitude. OMI is a nadir-viewing spectrometer measuring
11 the atmosphere-backscattered solar light in the ultraviolet-visible (UV/VIS) range from 270
12 to 500 nm with a spectral resolution of about 0.5nm. The 114° wide view of OMI results in a
13 swath width of 2600 km, providing daily global coverage in about 14 orbits. The local
14 overpass time is around 13:30 local time (LT). The pixel size of OMI is $24 \times 13 \text{ km}^2$ at nadir
15 and increases to about $150 \times 28 \text{ km}^2$ at the end of the swath.

16 We use the tropospheric NO_2 vertical column concentrations retrieved with the Dutch OMI
17 NO_2 retrieval (DOMINO) algorithm version 2 (Boersma et al., 2011). The dataset is available
18 on the Tropospheric Emissions Monitoring Internet Service (TEMIS) portal
19 (<http://www.temis.nl>). The DOMINO algorithm first obtains NO_2 slant columns from the
20 OMI reflectance spectra by using Differential Optical Absorption Spectroscopy (DOAS).
21 After separating the stratospheric and tropospheric contribution to the slant column,
22 DOMINO converts the tropospheric slant column to a vertical column with the tropospheric
23 air mass factor (AMF) (Boersma et al., 2007, 2011). DOMINO v2.0 mainly improves the
24 NO_2 air mass factor by improved radiative transfer, surface albedo, terrain height, clouds and
25 a priori vertical NO_2 profiles. The bias between DOMINO v2.0 and Multi-Axis Differential
26 Optical Absorption Spectroscopy (MAX-DOAS) ground observations at 5 locations is only -
27 $10 \pm 14\%$ over China and Japan (Irie et al., 2012). The DOMINO algorithm does not explicitly
28 account for the effect of aerosols on the solar radiation. Rather it is indirectly accounted for
29 by the higher cloud fraction in aerosol contaminated scenes. However, Lin et al. (2014)
30 concluded that especially in China the effects of aerosols and surface reflectance anisotropy

1 have implications for retrievals of NO₂ from OMI and suggested that exclusion of high
2 aerosol scenes supports better emission estimates at fine spatial and temporal scales.

3 Since 25 June 2007, OMI data has been affected by the so-called row anomaly, which
4 deteriorates the spectral observations for particular viewing directions of OMI (Boersma et al.,
5 2011; Kroon et al., 2011). 29 out of the 60 rows are affected by the row anomalies and no
6 longer used after 1 January 2011. We also filter out the 4 pixels at either side of the swath,
7 because the size of these pixels is 3 times larger than the model grid cell. This makes
8 filtering, the largest footprint is about 75x21 km². To reduce the influence of cloudy and
9 bright surface scenes on the quality of the retrieval product, we use only observations having
10 a surface albedo lower than 20% to remove observations over snow and ice (Product
11 Specification Document of DOMINO v2 on www.temis.nl). The observations with clouds
12 below 800 hPa are also filtered out as these retrievals are very sensitive to small differences
13 in the NO₂ profile shape and the retrieved cloud height. Mijling and van der A (2012) filter
14 out the observations with a cloud fraction higher than 20%. Based on this filtering, there are
15 no tropospheric NO₂ satellite observations over Nanjing during the YOG due to the cloudy
16 conditions at the overpass time of the satellite. Thus, to obtain more NO₂ satellite
17 observations, we use observations with a cloud radiance fraction lower than 70%
18 (comparable with a cloud fraction of about 30-35%) instead of the cloud fraction lower than
19 20%. From our analysis of the satellite data we conclude that as a result of this new limit on
20 the cloud fraction the error on the measurements increases with less than 20% and without
21 introducing biases. Yet this effect is compensated by the advantage that more data becomes
22 available. The number of observations increases with about 37 %.After checking the monthly
23 averages and the distribution of the satellite data, we find that the selected data is still of
24 sufficient quality while the number of observations increases with about 37%over the whole
25 domain.

Formatted: Font: 12 pt

26

27 **2.3 Ground-based observations**

28 To validate the model results in Nanjing, we use available independent measurements from
29 the national in-situ observation network, which are collected and maintained by the China
30 National Environmental Monitoring Center (CNEMC). The aqicn.org team publishes the

1 hourly Air Quality Index (AQI) of different-specific air pollutants, such as NO₂, SO₂, and
2 particulate matter (PM10 and PM2.5), on their website based on the measurements from
3 CNEMC. The AQI is calculated by the conversion table from the Technical Regulation on
4 Ambient Air Quality Index in China published by the Ministry of Environmental Protection
5 (<http://kjs.mep.gov.cn/hjbhzbz/bzwb/dqhjbh/jcgfffbz/201203/W020120410332725219541.pdf>
6). We use the same table to convert the AQI back to the surface concentration unit of $\mu\text{g m}^{-3}$.
7 For this study, the NO₂ hourly ground-in-situ measurements of Nanjing for the period of
8 April 2013 to December 2014 are used. The location of these measurements is the Nanjing
9 People's Government building, which is located in the center of Nanjing. Interpretation of the
10 validation results is troubled by the absence of peripheral information of the ground-in-situ
11 measurements. For instance, the type of instrument is unknown and the exact location of the
12 measurement such as the height or the distance to a local traffic road is unclear.

13

14 **3 Improvements of DECSO**

15 **3.1 Model improvement**

16 The performance of the CTM is important for the DECSO results. CHIMERE v2006 is an
17 outdated model version which has been used in DECSO algorithm versions up to v3a. To
18 improve the emission estimation results, we updated the CTM to CHIMERE v2013 (DECSO
19 v3b).

20 The new model adds biogenic emissions of six species: isoprene, α -isoprene, α -pinene, β -
21 pinene, limonene, ocimene and NO. These biogenic emissions are calculated by the model
22 preprocessor using the MEGAN model and land use data (Menut et al., 2013). The added
23 biogenic emissions can affect the emissions estimated for rural areas as biogenic NO
24 emissions in rural areas cannot be negligible-neglected in summertime. Compared to the old
25 version of CHIMERE, the new model version includes a more advanced scheme for
26 secondary organic aerosol chemistry. In addition, the chemical reaction rates are updated and
27 a new transport scheme is used in the new CHIMERE model. For CHIMERE v2013 we use
28 the same input data except for the land use data. We use land use data from the GlobCover
29 Land Cover (GCLC version 2.3) database, which is updated for the year 2009, while the land

1 use database included in CHIMERE v2006 is the Global Land Cover Facility (GLFC) giving
2 the land use of 1994. As China is a fast developing country, the land use may have large
3 differences in 15 years due to urbanization [\(see Figure 1\)](#). Thus, the updated land use
4 database will positively affect the model simulations over China.

5 To assess the effect of the new CTM, we run DECSO v3a and DECSO v3b for the period
6 January 2013 to August 2014. Figure [4-2](#) shows the comparison of the average diurnal cycle
7 of surface NO₂ concentrations from the two CHIMERE models with in-situ observations in
8 Nanjing averaged for January to August 2014. We select the 0.25°x0.25° model grid cell that
9 contains the in-situ measurement location. According to GCLC database, 70% of the grid cell
10 is urban area. We see that the surface NO₂ concentration of CHIMERE v2013 during
11 nighttime is closer to the observations than for CHIMERE v2006. Our earlier model
12 evaluations of CHIMERE showed that the nocturnal surface NO₂ concentrations simulated by
13 CHIMERE v2006 are usually too high in urban areas caused by unrealistically low boundary
14 layer heights and too little vertical diffusion. In CHIMERE v2013, the boundary layer heights
15 over urban areas are limited by a minimum boundary layer height. As expected, v2013
16 improves the surface concentration simulation at nighttime, while [improvements-differences](#)
17 during daytime are rather small [compared to the in-situ observations](#). We calculate the bias
18 and Root Mean Square Error (RMSE) between the model results and in-situ observations.
19 The bias of CHIMERE v2013 is 3.7 μg m⁻³ which is 10 μg m⁻³ smaller than for CHIMERE
20 v2006. The difference of RMSE between the two models is very small, the RMSE of
21 CHIMERE v2013 is 28 μg m⁻³ and of CHIMERE v2006 is 31 μg m⁻³. For the satellite
22 overpass time, the bias improves from 4.4 to 1.8 μg m⁻³ while the RMSE remains the same.
23 However, in urban areas the local sources have transient influences on in-situ observations.
24 Blond et al. (2007) concluded that urban in-situ observations of NO₂ cannot be used for the
25 validation of a CTM model with low spatial resolution because the representativeness of the
26 in-situ measurement for the grid cell is very low. In spite of this, [by using the 8-month](#)
27 [average of the diurnal cycle to reduce the noise from the in-situ measurements](#), we ~~still~~ see
28 some improvements for averaged NO₂ concentrations in CHIMERE v2013.

29 In order to get a more comprehensive validation of the model results, we compare the two
30 CHIMERE models with OMI satellite observations. During the data assimilation of DECSO
31 the daily “Observation minus Forecasts” (OmF) values have been stored. The OmF is a
32 common measure for the forecasting capabilities of the model in the data assimilation. We

1 compare the absolute OmF of both models for the summer (June to August) of 2014 in Figure
2 | [23](#). In the Figure a linear regression is fitted through the data points that shows the absolute
3 OmF of CHIMERE v2013 is lower than that of CHIMERE v2006 indicating a better
4 performance of CHIMERE v2013 in summertime. However, the absolute OmF of two
5 models is similar in wintertime. Since biogenic emissions are negligible in wintertime, this
6 may point to an effect of the missing biogenic emissions in the older version of CHIMERE.
7 Based on these comparisons we selected CHIMERE v2013 in DECSO v3b for NO_x emission
8 estimates in this study.

9

10 | **3.2 Quality control of satellite data Improvement of the satellite data**

11 Earlier studies showed that the DOMINO v2 retrievals do not account enough for the effect
12 of high aerosol concentrations on NO₂ columns (see section 2.2) and at the same time we
13 know that high aerosol concentrations are a significant problem in most mega cities in China.
14 When checking the time series of NO_x emissions over Nanjing for 2013 by DECSO v3b, we
15 find some suspicious fluctuations at particular days. At these dates the derived NO_x emissions
16 are almost entirely removed drop to zero in one day and then slowly increase again to the
17 previous emission levels in the following days. These unrealistic emission updates are related
18 concurrent with ~~to~~ extreme OmF values (lower than -5 or higher than 10 10¹⁵ molecules cm⁻²)
19 with relative small OmF variances, which are calculated as the quadratic sum of model and
20 observation errors (Figure [34](#)). In the time period of our study there are 20 days with these
21 extreme OmF values, 6 are positive and 14 are negative. All are having a significant impact
22 on the NO_x emissions. For most of those 20 days, the in-situ observations of PM10 from
23 CNEMC (see section 2.3) show high aerosol concentrations, which are above 100 μg m⁻³ in
24 Nanjing. We also see a strong haze above Nanjing for all the 20 days from visual inspection
25 of the MODIS RGB images. In addition, we noticed that the MODIS images show higher
26 cloud fractions than the fractions retrieved from OMI observations. The underestimation
27 deviating of cloud fraction information from the OMI satellite retrieval is probably due to the
28 aerosol conditions, which are not taken into account in the cloud retrieval algorithm (Acarreta
29 et al., 2004; Stammes et al., 2008). High aerosol concentrations can not only complexly affect
30 the cloud fraction and cloud pressure retrieval but also directly affect the NO₂ retrieval and
31 results in either over- or under- estimated NO₂ column concentrations (Lin et al., 2014).

Formatted: Font color: Red

1 | Figure 4-5 shows an example of such an extreme case for East China on 6 May 2013 with
2 | high (positive) OmF values in combination with low observational uncertainties (Eq. 1). In
3 | the image we identify two areas with satellite observations that are at least $10 \cdot 10^{15}$ molecules
4 | cm^{-2} higher than the model forecast. One is over the Hulunbuir sand land at the border of
5 | China and Mongolia, the other one is around the Bohai Bay. We compared the observations
6 | with the MODIS RGB and Aerosol Optical Depth (AOD) images on that day (Figure 56).
7 | The MODIS AOD image shows high aerosol values around the Bohai Bay and over the
8 | Hulunbuir sand land. The RGB image of MODIS shows haze around the Bohai Bay, which
9 | indicates that ~~the~~ high aerosol concentrations are ~~near the surface~~ presented in that area.
10 | However, the aerosol information is not used in the retrieval of the DOMINO NO_2 product
11 | leading to NO_2 observations that are strongly deviating from the model forecast.

12 | The effect of high aerosol concentrations on the NO_2 retrieval is non-linear and depends
13 | strongly on both the type of aerosol and its concentration. Also the height of the aerosol layer
14 | and the presence of clouds play a role (Leitão et al., 2010; Lin et al., 2014). It is therefore
15 | difficult to filter out outliers in the observed NO_2 based on aerosol data.” In the data
16 | assimilation it is assumed that the OmF distribution is Gaussian and OmF can be used to filter
17 | outliers from the data. So far, no OmF outlier criterion has been used in DECSO. Our
18 | previous analysis, however, shows the need for the detection of outliers. A filter has to be
19 | implemented with care, to avoid that the algorithm becomes insensitive to new emission
20 | sources such as new power plants. Not losing sensitivity to new emission sources is also the
21 | reason we do not choose a relative filter criterion. We select an OmF filter criterion in the
22 | range of $[-5, 10] \cdot 10^{15}$ molecules cm^{-2} based on our analysis discussed below.

23 | The distribution of OmF of all pixels over our domain from January 2013 to September 2014
24 | is Gaussian except for its tails and 97% of the OmF is in the interval of $[-5, 10] \cdot 10^{15}$
25 | molecules cm^{-2} . However, over highly polluted areas both satellite observations and model
26 | results have larger errors resulting in higher OmF values. In addition, the lifetime of NO_2 is
27 | much longer in winter than in summer. Therefore, the NO_2 column concentration is higher
28 | than in summer. which may lead to large OmF values in winter time. We choose 15 high
29 | polluted cities in China based on AQI and study the distribution of the OmF for the summer
30 | period (April to September, 2013) and the winter period (October, 2013 to March, 2014)
31 | (Figure 67). As expected, the distribution of OmF is wider in winter than in summer. In
32 | summer 70% of the OmF values are in the interval of $[-5, 10] \cdot 10^{15}$ molecules cm^{-2} , while in

Formatted: Font: 12 pt

Formatted: Justified

Formatted: Font: 12 pt, Font color: Red

Formatted: Font: 12 pt, Font color: Red

Formatted: Font: 12 pt

1 winter 50% of the OmF values are within $[-5, 10] 10^{15}$ molecules cm^{-2} . We select an
2 asymmetric interval –because the assimilation is especially sensitive to very negative outliers
3 in OmF caused by low observations (having small observational errors associated), as
4 opposed to very positive outliers caused by high observations, which are associated with
5 large observational errors. The observations with low error have more weight in the data
6 assimilation process.

7 To figure out the effect of a large OmF on ~~NO₂-NO_x~~ emission estimates, we compare a free
8 run of CHIMERE v2013 with the MEIC inventory with a run with the DECSO v3b
9 assimilation. During the summertime, the ~~mean~~ difference in the seasonal average of the NO₂
10 column concentrations between these two runs is $4.8 10^{15}$ molecules/ cm^2 in the Nanjing area
11 (six grid cells). This column difference is caused by the NO_x emission difference of $9.2 10^{15}$
12 molecules $\text{cm}^{-2} \text{h}^{-1}$. From a simple back-of-the-envelope calculation we derive that a negative
13 $5 10^{15}$ molecules cm^{-2} difference in NO₂ columns requires a $9.6 10^{15}$ molecules $\text{cm}^{-2} \text{h}^{-1}$
14 emission change, which would mean that all NO_x emissions in Nanjing would be removed in
15 a single day. This change in emission is comparable to the total emissions of 2 large-sized
16 coal-fired power plants. ~~In addition, when the pixel size of the satellite is twice that of the~~
17 ~~model grid cell, the updates of emissions in that grid will even be doubled.~~ This shows that a
18 change in OmF of $5 10^{15}$ molecules cm^{-2} is very unrealistic even in the most extreme cases.
19 Therefore, this limit will be used as a criterion to filter outliers, which are in general caused
20 by wrong NO₂ retrievals. To avoid the influence of the extreme OmF on emission estimates
21 and still be able to monitor real emission changes, we filter out negative OmF values lower
22 than $5 10^{15}$ molecules cm^{-2} and positive OmF values more than $10 10^{15}$ molecules cm^{-2} to be
23 conservative. After applying the OmF filter criteria, we filter out 16% of the extreme OmF
24 in the polluted cities and less than 3% in the whole domain. The large unrealistic jumps in
25 emission disappear from the time series.

26

27 **4 Emission analysis for the Nanjing Youth Olympic Games**

28 First, we compare NO₂ monthly average concentrations in 2014 with previous years using
29 in-situ and satellite observations. For the in-situ observations we select the monthly mean at
30 13:00 LT to be able to compare the results with the satellite observations whose overpass

1 | time is about 13:30 LT (see ~~Figure7~~Figure8), which is also the average overpass time in
2 | Nanjing. Compared to the year 2013 the in-situ measurements show no significant
3 | improvement in the surface NO₂ concentration at 13:00 LT for the period (May to August,
4 | 2014) when the government took air quality regulations for the YOG. However, we see a
5 | high variability in the monthly averaged data, indicating that the data are strongly affected by
6 | highly variable local sources (e.g. local traffic) and weather. We also calculate the monthly
7 | average using all measurements and we still see no improvements of the surface NO₂
8 | concentration for the YOG period. Therefore, we ~~assume~~conclude that the in-situ
9 | measurements are not representative for the whole city of Nanjing.

10 | Figure 8-1 shows the land-use over Jiangsu Province. The rectangle referred to as the Nanjing
11 | area, covers the whole of Nanjing including all industrial areas along Yangtze River.
12 | According to the MEIC sector distribution, the power plants in the selected area are
13 | dominating the NO_x emissions. To study the effects of the air quality regulations for the YOG
14 | on tropospheric NO₂ column concentrations, we compare the monthly averages of satellite
15 | observations over the Nanjing area for each year from 2005 to 2014 by regriding the
16 | observational data on the model grid over the area.

17 | The satellite observations show that on average the NO₂ column concentrations are rather
18 | similar from year to year (Figure 9). Although a small increasing trend from 2005 to 2011 is
19 | visible in the satellite data, ~~we include this in the standard deviation~~it is negligible compared
20 | to the SD of the natural variability. It is clear that the NO₂ ~~e~~concentrations~~columns~~ have a
21 | seasonal cycle that is lower in summer than in winter due to the seasonal change of the NO₂
22 | lifetime (van der A et al., 2006). Note that the small decrease in ~~e~~concentrations~~columns~~ in
23 | February might be caused by the reduced emissions during the Spring Festival (Zhang et al.,
24 | 2009b). The monthly averages of NO₂ in-situ observations shown by Wang et al. (2011) for
25 | Beijing, Shanghai and Guangzhou in 2005 were also reduced by around 10% in February. We
26 | see that the NO₂ ~~e~~concentration~~column~~ during the YOG period (August 2014) is on average
27 | only 6.6 10¹⁵ molecules cm⁻², which is the lowest value among the last 10 years and more
28 | than 3 standard deviations from the mean. ~~Consequently,~~Due to the effect of the continuous
29 | air quality regulations for the YOG and afterwards, the NO₂ ~~e~~concentrations~~columns~~ of the
30 | following months are also lower than for previous years. In November, the local government
31 | took similar air quality regulations for the first National Memorial ceremony held on 13th
32 | December, 2014. That might explain the lower NO₂ ~~e~~concentrations~~columns~~ of the last two

Formatted: Space Before: 12 pt

1 months of 2014 compared to those of 2013 and compared to the average of the last 8 years.
2 | However, it is still within the range of the standard deviation of NO₂ ~~concentrations-columns~~
3 for the last 8 years. Differences from year to year can also be attributed to the meteorological
4 | conditions (Lin et al., 2011). Particularly in December 2013, NO₂ ~~concentrations-columns~~ are
5 very high. This episode is well known as a heavy smog period in Nanjing because stagnant
6 air in the region accumulated anthropogenic pollution. Compared to the averaged NO₂
7 | ~~concentration-column~~ in August from 2005 to 2012, the NO₂ ~~concentration-column~~ of August
8 in 2014 is decreased with 32% in Nanjing. However, this significant decrease can be caused
9 | by the rainy weather during that month. Thus, ~~NO₂-NO_x~~ emission estimates are needed to
10 show if the air quality regulations were really effective. The emission estimates use not only
11 satellite observations in the location of the YOG but use all observations over China that are
12 transported from and to Nanjing. Besides transport of air, the meteorological effect on the
13 lifetime of NO₂ is taken into account.

14 To compare the NO_x emissions in Nanjing in 2014, especially during the YOG, with the same
15 period of the year 2013, we run DECSO v3b with the OmF criterion as described in Section
16 3.2 from October 2012 to December 2014, where the first three months are used as spin-up
17 period. Figure 10 shows the monthly NO_x emissions in Nanjing for the year 2013 and 2014
18 estimated by this version of DECSO. For comparison the initial MEIC inventory is also
19 | plotted in the Figure. The ~~NO₂-NO_x~~ emissions have a different seasonal cycle compared to
20 the NO₂ ~~concentrations-columns~~ of satellite observations in Nanjing. The months with high
21 emissions are June and July while the highest NO₂ ~~concentrations-columns~~ of the satellite
22 observations appear in January and December. According to the sector distribution in the
23 MEIC inventory, the emissions of power plants and industrial activities are the main sources
24 in Nanjing. At least 50% of the total NO_x emissions are from power plants and 40% are from
25 the industrial activities. Zhang et al. (2009) showed that the seasonal cycle of the electricity
26 | consumption in Nanjing for the ~~6-7~~ years from 2000 to 2006 peaks in the summertime,
27 because the electricity consumption and power load are highly correlated with temperature in
28 | summer. The value of electricity consumption in summer is at least two times higher than in
29 winter every year and keeps increasing during those 7 years. The seasonality of electricity
30 consumption is caused by the increasing usage of air conditioning in the hot season, while
31 | there is no heating system used in winter time in Nanjing. The opposite cycles of ~~column~~
32 concentrations (Figure 9) and emissions (Figure 10) show that the high NO₂ concentrations in
33 winter in Nanjing are mainly affected by the long lifetime of NO_x, while the seasonal cycle of

1 NO_x emissions is reversed as a result of the increased electricity consumption in summertime.
2 The difference with the seasonal cycle of MEIC might be attributed to the fact that our results
3 are derived on city-level, while the seasonal cycle for bottom-up inventories are often derived
4 on a national or provincial scale (e.g. Zhang et al., 2009b)

5 We see a drop in NO_x emissions in February for both years calculated with DECSO, which is
6 also visible in the MEIC inventory of 2010 (Figure 10). This jump is consistent with the
7 decrease of NO₂ ~~concentrations-columns~~ of the satellite observations in February compared to
8 the neighboring months. Compared to the neighboring months, the NO_x emission reduction
9 in February is about 10% in 2013 and 2014. This NO_x emission decrease was also noticed by
10 Zhang et al. (2009b) in the INTEX-B inventory and likely to be caused by the reduced
11 industrial activities during the Spring Festival. Interestingly, we do not see an increase of
12 NO_x emissions in the December 2013 smog period. This shows that the smog is caused by the
13 meteorological conditions rather than increased emissions.

14 Figure 10 shows a large reduction of NO_x emissions in September, 2014. This reduction is
15 probably caused by the more permanent air quality regulations taken by the local government
16 to reduce air pollutants during the YOG period. The total NO_x emissions in September in
17 Nanjing are 4.5 Gg N. Compared to the same time of the year 2013, the reduction is about
18 25%. However, the emission reduction in this case seems to have a delay of one month. This
19 is partly a consequence of the use of monthly means, while the regulations became active at
20 the end of August. It is also a consequence of the lack of satellite observations due to the
21 rainy (and therefore cloudy) weather in the second half of August 2014 when the YOG took
22 place. For ~~this-these~~ kind of conditions, DECSO only detects the full extent of the emission
23 reduction in September. We also see a NO_x emission reduction of 10% in August, 2013,
24 compared to the neighboring months. One likely reason for this reduction is that the Asian
25 Youth Games were held during that time. The local government also took measures to ensure
26 good air quality for that event but not as strict as for the YOG in 2014. We conclude that the
27 NO_x emission reduction detected by DECSO for the YOG period and afterwards was at least
28 25%, showing that the air quality regulations taken by the local government were effective.

29

30 **5 Discussion and conclusions**

1 In this study the effect of the air quality regulations of the local government during the YOG
2 in Nanjing in 2014 has been quantified by analyzing observations on the ground and from the
3 satellite. The focus in this study was on the reduced NO₂ concentrations and NO_x emissions.
4 We compared NO₂ during the YOG period with previous years using the in-situ and the OMI
5 satellite observations. The in-situ observations ~~are very noisy~~have a large variability, even
6 after averaging on a monthly basis. This is probably caused by the variability of local
7 sources and it indicates that these in-situ observations are not representative for the larger
8 area of Nanjing. The in-situ data shows no significant decrease during the YOG period. Since
9 we have no error estimates of the in-situ observations and very little information on the
10 instrument and measurement techniques we discard the results of the in-situ observations in
11 our conclusions.

12 For the view from space we limited ourselves to retrievals of tropospheric NO₂ from OMI,
13 taking advantage of the high spatial resolution of OMI observations compared to similar
14 instruments. The monthly OMI satellite observations showed a 32% decrease of the NO₂
15 column concentration during the YOG period in Nanjing compared to the average value for
16 the last 10 years. However, the decrease of NO₂ ~~concentrations~~columns observed by the
17 satellite is not an objective measure to verify the impact of the air quality regulations taken by
18 the local government, because changes in NO₂ ~~concentrations~~columns can have more causes
19 such as horizontal transport of NO₂ or increased wet deposition of the NO₂ reservoir gas NO₃
20 due to the rainy weather. Furthermore, due to cloudy conditions, the August average of 2014
21 is based on few observations. Therefore, it is important to analyze the emissions to show if
22 the air quality regulations ~~has~~have really affected the NO₂ concentrations.

23 The results of our improved emission estimate algorithm DECSO show that NO_x emissions
24 decreased with at least 25% in September 2014, which shows that the air quality regulations
25 were effective during the YOG period and that only a small part of the reduced NO₂ column
26 concentrations were caused by the weather conditions. However, the reduction has one month
27 delay in our results. This is because satellite observations were scarce in the Nanjing area
28 during the YOG (16 to 29 August) causing the DECSO algorithm to converge slower to the
29 new emissions, which is typical for the Kalman filter approach used in DECSO.

30 We were able to see the emission reduction of NO_x in the selected 6 grid cells representative
31 for the Nanjing area. That means that DECSO at least is able to estimate NO_x emissions on a
32 spatial resolution of about 50 x 90 km². If we apply the same analysis on single grid cells the

1 results are noisier because the footprint of the OMI covers on average a larger area than a
2 single grid cell. To achieve emission estimates in a smaller area, either satellite observations
3 with a higher spatial resolution are required, or longer time periods should be considered.

4 The quality of our emission estimates is highly related to the quality of the model and the
5 satellite observations. We improved the DECSO algorithm by using a new version of the
6 CTM: CHIMERE v2013 instead of CHIMERE v2006. The comparison of OmF between two
7 models showed that CHIMERE v2013 has a better performance in summertime. Good quality
8 of satellite observations is also essential for emission estimates. The DOMINO retrieval
9 algorithm does not properly account for the effects of high aerosol concentrations, which are
10 common in China, on the retrieved NO₂ columns. In case of high aerosol concentrations, the
11 difference of the model simulations and the retrievals is very large, which leads to wrong
12 updates of NO_x emission in DECSO. To improve the satellite observations we have set an
13 OmF criterion to filter out erroneous observations and to avoid unrealistic NO_x emission
14 updates. We set the limitation to the range -5 to 10 10¹⁵ molecules cm⁻² for the OmF. With this
15 filter criterion, the unrealistic updates of NO_x emissions are mostly prevented. We will
16 further ~~analysis-analyze~~ the impact of high aerosol concentrations on the retrieved NO₂
17 columns in future research.

18 Furthermore, we observed an opposite seasonal cycle of NO_x emissions compared to the NO₂
19 ~~concentrations-columns~~ observed by OMI satellite. The seasonal cycle of NO_x emissions is
20 not the same for the whole China domain since the different climate in the North and the
21 South of China leads to a different variability of energy consumption during the year. In
22 Nanjing, as in most parts of Southern China, people use air conditioning in summer and do
23 not use heating systems in winter. This leads to larger electricity ~~consumptions-production~~
24 power plants in summer causing higher NO_x emissions. Tu et al. (2007) studied the air
25 pollutants in Nanjing and also found high NO₂ ~~concentrations-columns~~ in winter but
26 concluded that the high NO₂ ~~concentrations-columns~~ were caused by high NO_x emissions in
27 winter, while our emission estimates show the opposite. Wang et al. (2007) analyzed the
28 seasonality of NO_x emissions based on GOME satellite observations for the regions north and
29 south of Yangtze River, defined as north and south China. Their results of south China
30 showed the same seasonal cycle of NO₂ columns but a very weak seasonality of NO_x
31 emissions and they also concluded that the NO_x lifetime mainly determines the NO₂ columns.
32 Ran et al. (2009) ~~explained high NO_x concentrations in are caused by slower chemical~~

[processes and shallow boundary layers contributing to accumulation of NO_x. The table of Wang et al. \(2012\) annual and summer NO_x emissions from coal-fired power plants in 2005-2007 for different provinces in China showed that the NO_x emissions in Jiangsu Province in summer is higher than mean seasonal emissions.](#)

In conclusion, in the emission estimates we not only found a reversed seasonal cycle peaking in summertime, but also indications for reduced emissions during the Spring Festival, the Asian Youth Games in 2013 and the YOG 2014. Based on our emission estimates the air quality regulation during the YOG 2014 [and afterwards](#) reduced the NO_x emissions ~~with~~ [by](#) at least 25 percent. This, together with favorable meteorological conditions, was responsible for the decrease of 32% in NO₂ column concentrations observed from space. For the case of the YOG, our results can help the local government to identify the impact of their air quality regulations on reducing NO_x emissions.

Acknowledgement

The research was part of the GlobEmission Project funded and supported by the European Space Agency. We acknowledge Tsinghua University for providing the MEIC inventory and the ESA GlobCover 2009 Project for the land use dataset. The MODIS images used in this study were acquired as part of the NASA's Earth-Sun System Division and archived and distributed by the MODIS Adaptive Processing System (MODAPS). The OMI is part of the NASA Earth Observing System (EOS) Aura satellite payload. The OMI project is managed by the Netherlands Space Office (NSO) and the Royal Netherlands Meteorological Institute (KNMI).

Reference

Van der A, R. J., Peters, D. H. M. U., Eskes, H., Boersma, K. F., Van Roozendael, M., De Smedt, I. and Kelder, H. M.: Detection of the trend and seasonal variation in tropospheric NO₂ over China, *J. Geophys. Res.*, 111, D12317, doi:10.1029/2005JD006594, 2006.

[Acarreta, J. R., De Haan, J. F. and Stammes, P.: Cloud pressure retrieval using the O₂-O₂ absorption band at 477 nm, *J. Geophys. Res.*, 109\(D5\), D05204, doi:10.1029/2003JD003915, 2004.](#)

- 1 Bessagnet, B., Hodzic, A., Vautard, R., Beekmann, M., Cheinet, S., Honoré, C., Liousse, C.
2 and Rouil, L.: Aerosol modeling with CHIMERE—preliminary evaluation at the continental
3 scale, *Atmos. Environ.*, 38(18), 2803–2817, doi:10.1016/j.atmosenv.2004.02.034, 2004.
- 4 Blond, N., Boersma, K. F., Eskes, H. J., van der A, R. J., Van Roozendael, M., De Smedt, I.,
5 Bergametti, G. and Vautard, R.: Intercomparison of SCIAMACHY nitrogen dioxide
6 observations, in situ measurements and air quality modeling results over Western Europe, *J.*
7 *Geophys. Res.*, 112(2), 1–20, doi:10.1029/2006JD007277, 2007.
- 8 Boersma, K. F., Eskes, H. J., Dirksen, R. J., van der A, R. J., Veeffkind, J. P., Stammes, P.,
9 Huijnen, V., Kleipool, Q. L., Sneep, M., Claas, J., Leitão, J., Richter, a., Zhou, Y. and
10 Brunner, D.: An improved tropospheric NO₂ column retrieval algorithm for the Ozone
11 Monitoring Instrument, *Atmos. Meas. Tech.*, 4(9), 1905–1928, doi:10.5194/amt-4-1905-2011,
12 2011.
- 13 Boersma, K. F., Eskes, H. J., Veeffkind, J. P., Brinksma, E. J., Sneep, M., Oord, G. H. J. Van
14 Den, Levelt, P. F., Stammes, P. and Gleason, J. F.: Near-real time retrieval of tropospheric
15 NO₂ from OMI, *Atmos. Chem. Phys.*, 7(8), 2103–2118, 2007.
- 16 Chan, C. and Yao, X.: Air pollution in mega cities in China, *Atmos. Environ.*, 42(1), 1–42,
17 doi:10.1016/j.atmosenv.2007.09.003, 2008.
- 18 Evensen, G.: The Ensemble Kalman Filter: theoretical formulation and practical
19 implementation, *Ocean Dyn.*, 53(4), 343–367, doi:10.1007/s10236-003-0036-9, 2003.
- 20 Hao, N., Valks, P., Loyola, D., Cheng, Y. F. and Zimmer, W.: Space-based measurements of
21 air quality during the World Expo 2010 in Shanghai, *Environ. Res. Lett.*, 6(4), 044004,
22 doi:10.1088/1748-9326/6/4/044004, 2011.
- 23 He, K.: Multi-resolution Emission Inventory for China (MEIC): model framework and 1990-
24 2010 anthropogenic emissions, in International Global Atmospheric Chemistry Conference,
25 17-21 September, Beijing, China. [online] Available from:
26 <http://adsabs.harvard.edu/abs/2012AGUFM.A32B..05H> (Accessed 4 February 2015), 2012.
- 27 [Irie, H.: Evaluation of long-term tropospheric NO₂ data obtained by GOME over East Asia in](#)
28 [1996–2002, *Geophys. Res. Lett.*, 32\(11\), L11810, doi:10.1029/2005GL022770, 2005.](#)
- 29 Irie, H., Boersma, K. F., Kanaya, Y., Takashima, H., Pan, X. and Wang, Z. F.: Quantitative
30 bias estimates for tropospheric NO₂ columns retrieved from SCIAMACHY, OMI, and
31 GOME-2 using a common standard for East Asia, *Atmos. Meas. Tech.*, 5(10), 2403–2411,
32 doi:10.5194/amt-5-2403-2012, 2012.
- 33 Itahashi, S., Uno, I., Irie, H., Kurokawa, J.-I. and Ohara, T.: Regional modeling of
34 tropospheric NO₂ vertical column density over East Asia during the period 2000–2010:
35 comparison with multisatellite observations, *Atmos. Chem. Phys.*, 14(7), 3623–3635,
36 doi:10.5194/acp-14-3623-2014, 2014.
- 37 Kroon, M., de Haan, J. F., Veeffkind, J. P., Froidevaux, L., Wang, R., Kivi, R. and
38 Hakkarainen, J. J.: Validation of operational ozone profiles from the Ozone Monitoring
39 Instrument, *J. Geophys. Res.*, 116(D18), D18305, doi:10.1029/2010JD015100, 2011.

- 1 Kurokawa, J., Yumimoto, K., Uno, I. and Ohara, T.: Adjoint inverse modeling of NO_x
2 emissions over eastern China using satellite observations of NO₂ vertical column densities,
3 *Atmos. Environ.*, 43(11), 1878–1887, doi:10.1016/j.atmosenv.2008.12.030, 2009.
- 4 Lamsal, L. N., Martin, R. V., Padmanabhan, A., van Donkelaar, A., Zhang, Q., Sioris, C. E.,
5 Chance, K., Kurosu, T. P. and Newchurch, M. J.: Application of satellite observations for
6 timely updates to global anthropogenic NO_x emission inventories, *Geophys. Res. Lett.*, 38(5),
7 L05810, doi:10.1029/2010GL046476, 2011.
- 8 [Leitão, J., Richter, A., Vrekoussis, M., Kokhanovsky, A., Zhang, Q. J., Beekmann, M. and](#)
9 [Burrows, J. P.: On the improvement of NO₂ satellite retrievals – aerosol impact on the](#)
10 [airmass factors, *Atmos. Meas. Tech.*, 3\(2\), 475–493, doi:10.5194/amt-3-475-2010, 2010.](#)
- 11 Levelt, P. F., van den Oord, G. H. J., Dobber, M. R., Malkki, A., Stammes, P., Lundell, J. O.
12 V. and Saari, H.: The ozone monitoring instrument, *IEEE Trans. Geosci. Remote Sens.*, 44(5),
13 1093–1101, doi:10.1109/TGRS.2006.872333, 2006.
- 14 Li, L., Chen, C. H., Fu, J. S., Huang, C., Street, D. G., Huang, H. Y., Zhang, G. F., Wang, Y.
15 J., Jiang, C. J., Wang, H. L., Chen, Y. R. and Fu, J. M.: Air quality and emissions in the
16 Yangtze River Delta, China, *Atmos. Chem. Phys.*, 11(4), 1621–1639, doi:10.5194/acp-11-
17 1621-2011, 2011.
- 18 [Lin, J.-T., Martin, R. V., Boersma, K. F., Sneep, M., Stammes, P., Spurr, R., Wang, P., Van](#)
19 [Roozendaal, M., Clémer, K. and Irie, H.: Retrieving tropospheric nitrogen dioxide from the](#)
20 [Ozone Monitoring Instrument: effects of aerosols, surface reflectance anisotropy, and vertical](#)
21 [profile of nitrogen dioxide, *Atmos. Chem. Phys.*, 14\(3\), 1441–1461, doi:10.5194/acp-14-](#)
22 [1441-2014, 2014.](#)
- 23 [Lin, W., Xu, X., Ge, B. and Liu, X.: Gaseous pollutants in Beijing urban area during the](#)
24 [heating period 2007–2008: variability, sources, meteorological, and chemical impacts, *Atmos.*](#)
25 [*Chem. Phys.*, 11\(15\), 8157–8170, doi:10.5194/acp-11-8157-2011, 2011.](#)
- 26 Liu, H., Wang, X., Zhang, J., He, K., Wu, Y. and Xu, J.: Emission controls and changes in air
27 quality in Guangzhou during the Asian Games, *Atmos. Environ.*, 76, 81–93,
28 doi:10.1016/j.atmosenv.2012.08.004, 2013.
- 29 Martin, R. V., Jacob, D. J., Kurosu, T. P., Chance, K., Palmer, P. I. and Evans, M. J.: Global
30 inventory of nitrogen oxide emissions constrained by space-based observations of NO₂
31 columns, *J. Geophys. Res.*, 108(D17), 4537, doi:10.1029/2003JD003453, 2003.
- 32 Menut, L., Bessagnet, B., Khvorostyanov, D., Beekmann, M., Blond, N., Colette, A., Coll, I.,
33 Curci, G., Foret, G., Hodzic, A., Mailler, S., Meleux, F., Monge, J.-L., Pison, I., Siour, G.,
34 Turquety, S., Valari, M., Vautard, R. and Vivanco, M. G.: CHIMERE 2013: a model for
35 regional atmospheric composition modelling, *Geosci. Model Dev.*, 6(4), 981–1028,
36 doi:10.5194/gmd-6-981-2013, 2013.
- 37 Mijling, B. and van der A, R. J.: Using daily satellite observations to estimate emissions of
38 short-lived air pollutants on a mesoscopic scale, *J. Geophys. Res. Atmos.*, 117, D17302,
39 doi:10.1029/2012JD017817, 2012.

- 1 Mijling, B., van der A, R. J., Boersma, K. F., Van Roozendaal, M., De Smedt, I. and Kelder,
2 H. M.: Reductions of NO₂ detected from space during the 2008 Beijing Olympic Games,
3 *Geophys. Res. Lett.*, 36(13), L13801, doi:10.1029/2009GL038943, 2009.
- 4 Miyazaki, K., Eskes, H. J., Sudo, K., Takigawa, M., Weele, M. van and Boersma, K. F.:
5 Simultaneous assimilation of satellite NO₂, O₃, CO, and HNO₃ data for the analysis of
6 tropospheric chemical composition and emissions, *Atmos. Chem. Phys.*, 12(20), 9545–9579,
7 doi:10.5194/acp-12-9545-2012, 2012.
- 8 [Ran, L., Zhao, C., Geng, F., Tie, X., Tang, X., Peng, L., Zhou, G., Yu, Q., Xu, J. and](#)
9 [Guenther, A.: Ozone photochemical production in urban Shanghai, China: Analysis based on](#)
10 [ground level observations, *J. Geophys. Res.*, 114\(D15\), D15301, doi:10.1029/2008JD010752,](#)
11 [2009.](#)
- 12 Richter, A., Burrows, J. P., Nüss, H., Granier, C. and Niemeier, U.: Increase in tropospheric
13 nitrogen dioxide over China observed from space., *Nature*, 437(7055), 129–32,
14 doi:10.1038/nature04092, 2005.
- 15 Schmidt, H.: A comparison of simulated and observed ozone mixing ratios for the summer of
16 1998 in Western Europe, *Atmos. Environ.*, 35(36), 6277–6297, doi:10.1016/S1352-
17 2310(01)00451-4, 2001.
- 18 Shao, M., Tang, X., Zhang, Y. and Li, W.: City clusters in China: air and surface water
19 pollution, *Front. Ecol. Environ.*, 4(7), 353–361, doi:10.1890/1540-
20 9295(2006)004[0353:CCICAA]2.0.CO;2, 2006.
- 21 [Stammes, P., Sneep, M., de Haan, J. F., Veeffkind, J. P., Wang, P. and Levelt, P. F.: Effective](#)
22 [cloud fractions from the Ozone Monitoring Instrument: Theoretical framework and validation,](#)
23 [*J. Geophys. Res.*, 113\(D16\), D16S38, doi:10.1029/2007JD008820, 2008.](#)
- 24 Stavroukou, T., Müller, J.-F., Boersma, K. F., De Smedt, I. and van der A, R. J.: Assessing the
25 distribution and growth rates of NO_x emission sources by inverting a 10-year record of NO₂
26 satellite columns, *Geophys. Res. Lett.*, 35(10), doi:10.1029/2008GL033521, 2008.
- 27 Streets, D. G., Canty, T., Carmichael, G. R., de Foy, B., Dickerson, R. R., Duncan, B. N.,
28 Edwards, D. P., Haynes, J. A., Henze, D. K., Houyoux, M. R., Jacob, D. J., Krotkov, N. A.,
29 Lamsal, L. N., Liu, Y., Lu, Z., Martin, R. V., Pfister, G. G., Pinder, R. W., Salawitch, R. J.
30 and Wecht, K. J.: Emissions estimation from satellite retrievals: A review of current
31 capability, *Atmos. Environ.*, 77, 1011–1042, doi:10.1016/j.atmosenv.2013.05.051, 2013.
- 32 Tu, J., Xia, Z.-G., Wang, H. and Li, W.: Temporal variations in surface ozone and its
33 precursors and meteorological effects at an urban site in China, *Atmos. Res.*, 85(3-4), 310–
34 337, doi:10.1016/j.atmosres.2007.02.003, 2007.
- 35 [Wang, S. W., Zhang, Q., Streets, D. G., He, K. B., Martin, R. V., Lamsal, L. N., Chen, D.,](#)
36 [Lei, Y. and Lu, Z.: Growth in NO_x emissions from power plants in China: bottom-up](#)
37 [estimates and satellite observations, *Atmos. Chem. Phys.*, 12\(10\), 4429–4447,](#)
38 [doi:10.5194/acp-12-4429-2012, 2012.](#)

- 1 Wang, S., Xing, J., Chatani, S., Hao, J., Klimont, Z., Cofala, J. and Amann, M.: Verification
2 of anthropogenic emissions of China by satellite and ground observations, *Atmos. Environ.*,
3 45(35), 6347–6358, doi:10.1016/j.atmosenv.2011.08.054, 2011.
- 4 Wang, S., Zhao, M., Xing, J., Wu, Y., Zhou, Y., Lei, Y., He, K., Fu, L. and Hao, J.:
5 Quantifying the air pollutants emission reduction during the 2008 olympic games in Beijing,
6 *Environ. Sci. Technol.*, 44(7), 2490–2496, 2010.
- 7 Wang, Y., Hao, J., McElroy, M. B., Munger, J. W., Ma, H., Chen, D. and Nielsen, C. P.:
8 Ozone air quality during the 2008 Beijing Olympics: effectiveness of emission restrictions,
9 *Atmos. Chem. Phys.*, 9(14), 5237–5251, doi:10.5194/acp-9-5237-2009, 2009.
- 10 Wang, Y., McElroy, M. B., Martin, R. V., Streets, D. G., Zhang, Q. and Fu, T. M.: Seasonal
11 variability of NO_x emissions over east China constrained by satellite observations:
12 Implications for combustion and microbial sources, *J. Geophys. Res. Atmos.*, 112(x), 1–19,
13 doi:10.1029/2006JD007538, 2007.
- 14 Witte, J. C., Schoeberl, M. R., Douglass, A. R., Gleason, J. F., Krotkov, N. A., Gille, J. C.,
15 Pickering, K. E. and Livesey, N.: Satellite observations of changes in air quality during the
16 2008 Beijing Olympics and Paralympics, *Geophys. Res. Lett.*, 36(17), L17803,
17 doi:10.1029/2009GL039236, 2009.
- 18 Wu, F. C., Xie, P. H., Li, A., Chan, K. L., Hartl, A., Wang, Y., Si, F. Q., Zeng, Y., Qin, M.,
19 Xu, J., Liu, J. G., Liu, W. Q. and Wenig, M.: Observations of SO₂ by mobile DOAS in the
20 Guangzhou eastern area during the Asian Games 2010, *Atmos. Meas. Tech.*, 6(9), 2277–2292,
21 doi:10.5194/amt-6-2277-2013, 2013.
- 22 Zhang, H., Sun, Z., Zhen, Y., Zhang, X. and Yu, B.: Impact of Temperature Change on
23 Urban Electric Power Load in Nanjing, *Transations Atmos. Sci.*, 32(4), 536–542, 2009a.
- 24 Zhang, Q., Streets, D. G., Carmichael, G. R., He, K., Huo, H., Kannari, a., Klimont, Z., Park,
25 I., Reddy, S., Fu, J. S., Chen, D., Duan, L., Lei, Y., Wang, L. and Yao, Z.: Asian emissions in
26 2006 for the NASA INTEX-B mission, *Atmos. Chem. Phys. Discuss.*, 9, 4081–4139,
27 doi:10.5194/acpd-9-4081-2009, 2009b.
- 28 Zhang, Q., Streets, D. G., He, K., Wang, Y., Richter, A., Burrows, J. P., Uno, I., Jang, C. J.,
29 Chen, D., Yao, Z. and Lei, Y.: NO_x emission trends for China, 1995–2004: The view from
30 the ground and the view from space, *J. Geophys. Res.*, 112, D22306,
31 doi:10.1029/2007JD008684, 2007.
- 32 Zhao, C. and Wang, Y.: Assimilated inversion of NO_x emissions over east Asia using OMI
33 NO₂ column measurements, *Geophys. Res. Lett.*, 36(6), L06805, doi:10.1029/2008GL037123,
34 2009.

35

1 Table 1. Air quality regulations taken by the Nanjing authorities in the year of YOG2014.
 2 The period is the start time of different regulations. The underline regulations are effective
 3 after the YOG.

Period	Regulations
1 st May - 30 th June	The local government started to shut down the coal-burning factories
1 st July - 15 th July	All coal-burning factories have been shut down
16 th July - 31 st July	The work on one third of construction sites was stopped. The parking fees in downtown increased sevenfold.
1 st August – 15 th August	The work on 2000 construction sites was stopped. Heavy-industry factories reduced manufacturing by 20 percent. <u>Vehicles with high emissions were banned from the city.</u> Open space barbecue restaurants were closed. <u>900 electric buses and 500 taxis have been put into operation.</u>
16 th August-31 st August	The work at all construction sites was put on hold

Formatted: Underline

Formatted: Underline

4

5

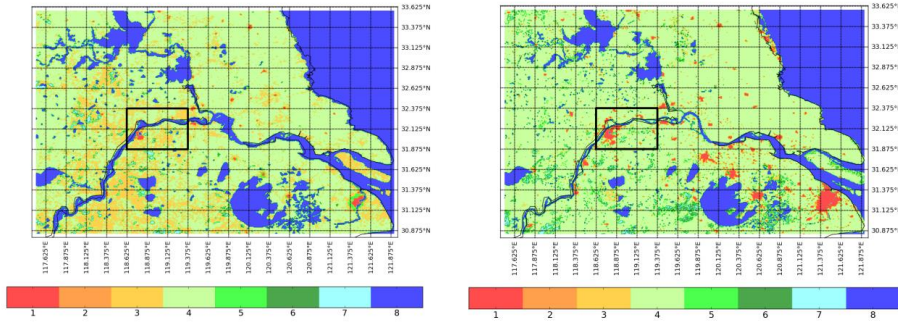
1 | Table 2. Estimated rRedistribution of MEIC sectors over SNAP 97 -sectors

MEIC sectors SNAP 97 sectors	Power	Industry	Transport	Residential	Agriculture
Combustion in energy and transformation industries	1	-	-	-	-
Non-industrial combustion plants	-	-	-	1	-
Combustion in manufacturing industry	-	0.3	-	-	-
Production process	-	0.3	-	-	-
Extraction and distribution of fossil fuels and geothermal energy	-	0.4	-	-	-
Solvent and other product use	-	-	-	-	-
Road transport	-	-	1	-	-
Other mobile sources and machinery	-	-	-	-	-
Waste treatment and disposal	-	-	-	-	-
Agriculture	-	-	-	-	1
Other source and sinks	-	-	-	-	-

2

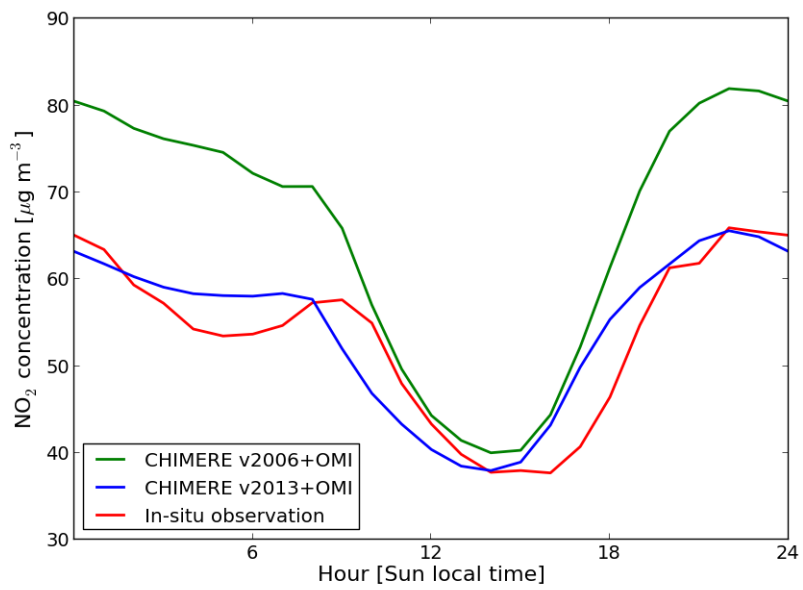
3

4



1
2
3
4
5
6
7
8

Figure 1. Land use over the Jiangsu Province from Global Land Cover Facility (1994) (left) and the GlobCover Land Cover (2009) (right) and as used in CHIMERE v2006 and CHIMERE v2013. The 8 categories are: 1. Urban, 2. Barren land, 3. Grassland, 4. Agricultural land, 5. Shrubs, 6. Needleleaf forest, 7. Broadleaf forest, 8. Water. The solid rectangle (about 50 x 90 km²) indicates the 6 grid cells that cover the Nanjing area.



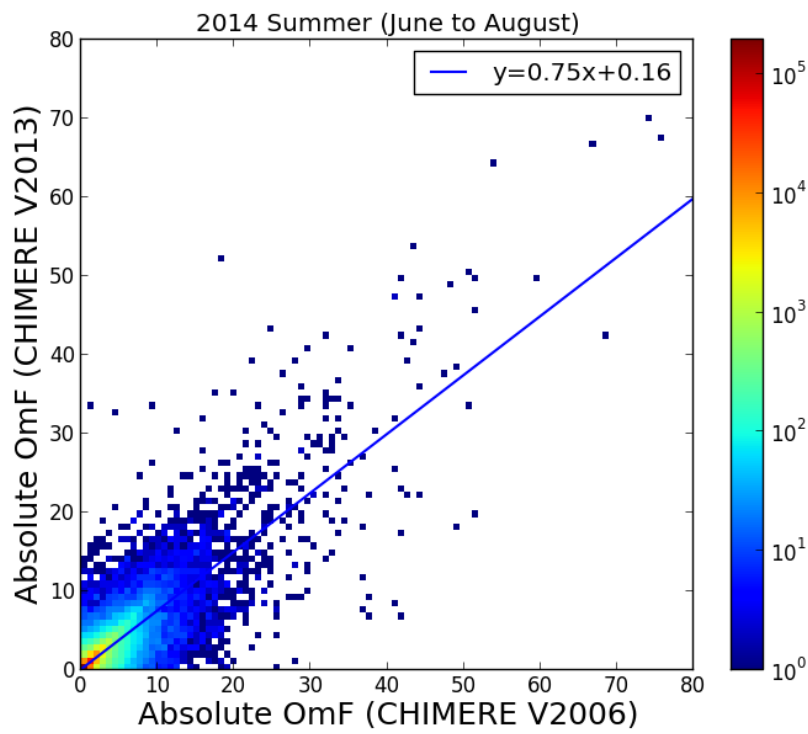
1

2

3 Figure 4.2. The diurnal cycle in Nanjing from January to August 2014 according in-situ

4 observations, OMI-assimilated CHIMERE v2013 and CHIMERE v2006.

5

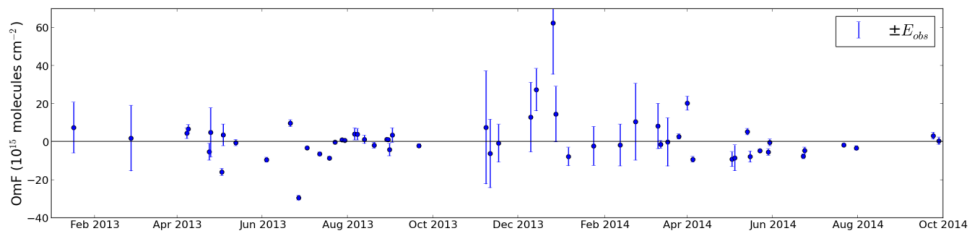


1

2

3 | Figure 23. The comparison of the absolute OmF (10^{15} molecules/cm²) of CHIMERE v2006
 4 | and CHIMERE v2013 for the whole East Asian domain from June to August. The colorbar
 5 | represents the frequency of satellite observations for that specific value of OmF.

6



1

2

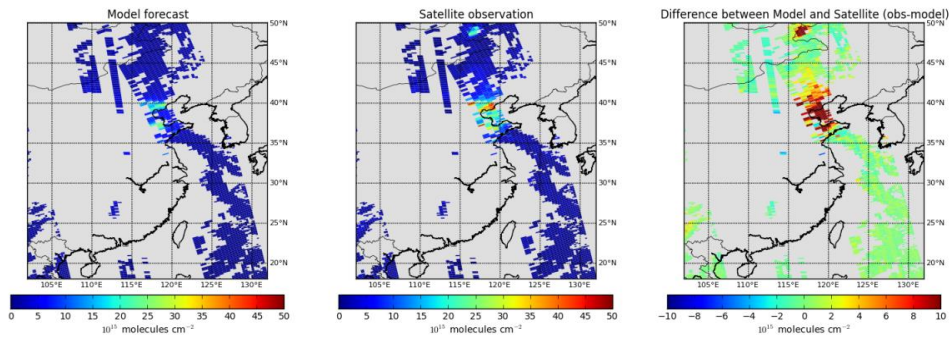
3

4

5

6

Figure 34. The time series of the OmF from January 2013 to September 2014 for the single grid cell over the center of Nanjing. The error bar is the root mean square error of observations ($\pm E_{obs}$).

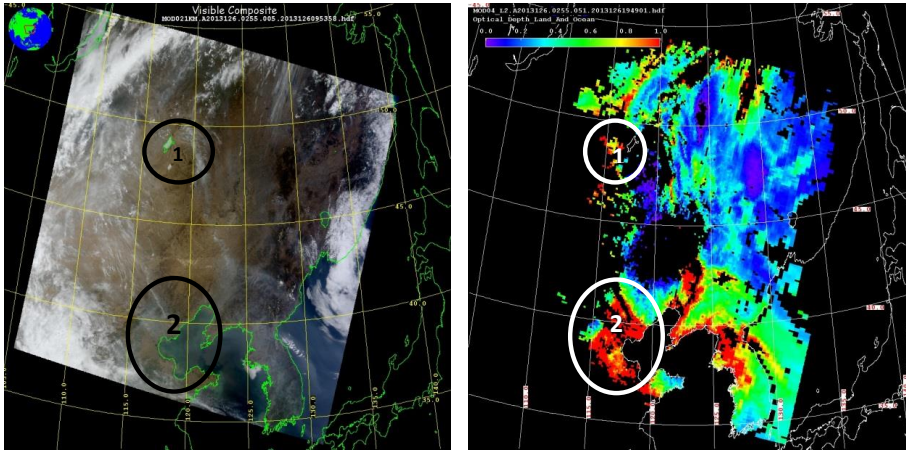


1

2

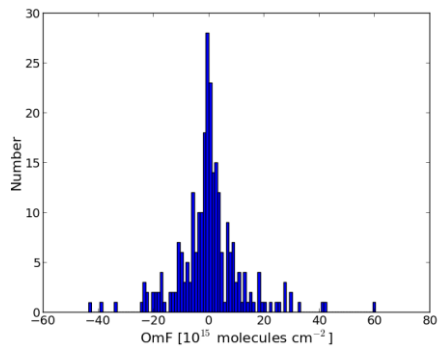
3 | Figure 45. The comparison of the CHIMERE v2013 forecast (left) with OMI satellite
 4 observations (middle) on 6 May 2013. The right plot shows the difference between
 5 observations and forecast (OmF).

6

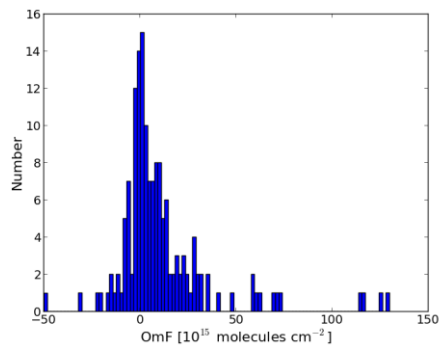


1
2
3
4
5
6
7

Figure 56. The RGB image (left) and Aerosol Optical Depth (right) from MODIS on 6 May 2013. Circle 1 and circle 2 represent the Hulunbuir sand land and the Bohai Bay respectively. (The figures are from https://ladsweb.nascom.nasa.gov/browse_images/granule_browser.html)



a. April to September 2013



b. October 2013 to March 2014

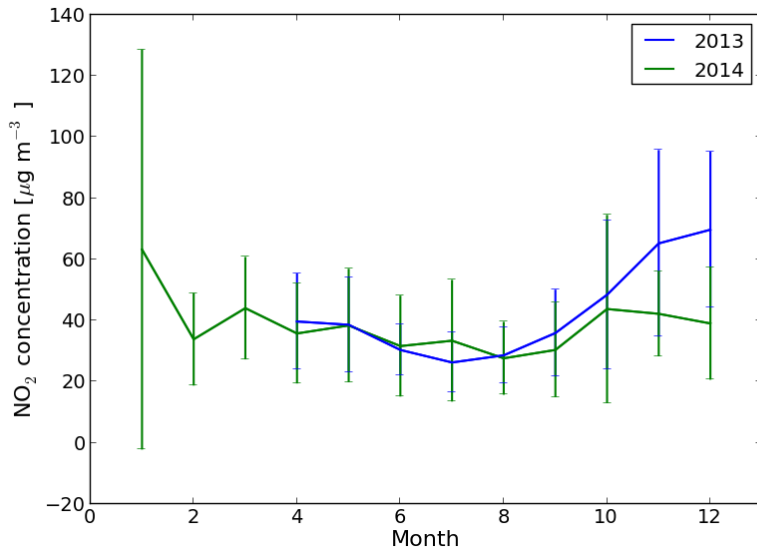
1

2

3 | Figure 76. The distribution of the OmF values over 15 polluted cities in summer (a) and in
 4 | winter (b). The 15 polluted cities are Baoding, Beijing, Chengdu, Harbin, Hohhot,
 5 | Guangzhou, Jinan, Shanghai, Shenyang, Shijiazhuang, Tianjin, Wuhan, Xi'an, Xingtai and
 6 | Zhengzhou.

7

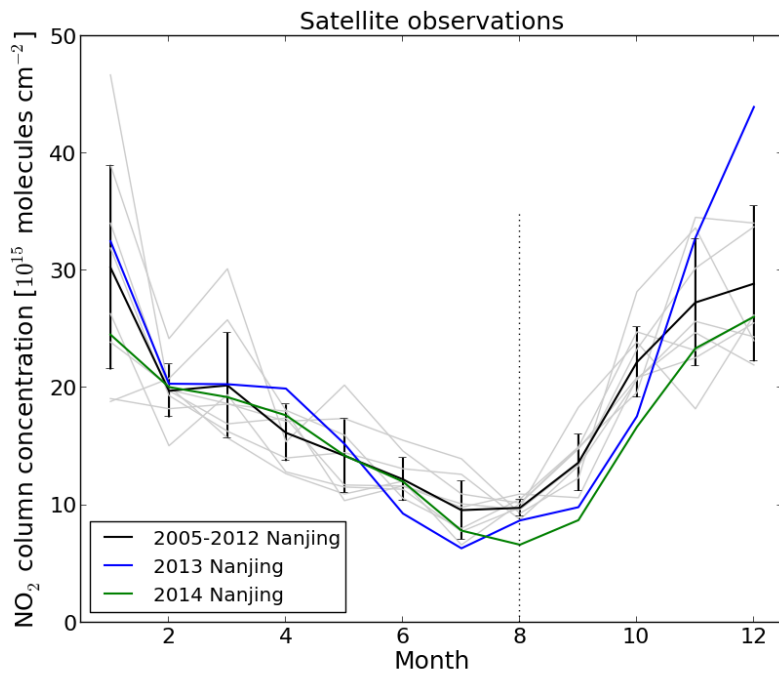
8



1
2
3
4
5
6

Figure 78. The monthly averaged in-situ NO₂ concentration at 13 local time in Nanjing for 2013 and 2014. The error-bar is the standard deviation (natural variability) of the observations for each month (derived from the daily data on www.aqicn.org).

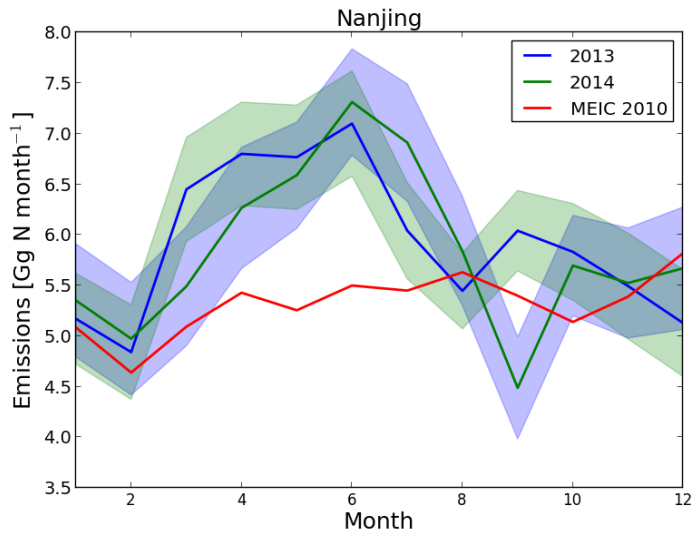
1
2
3



4
5

6 Figure 9. The monthly averages of OMI satellite observations of tropospheric NO₂
7 concentrations. The solid lines are the measurements over the Nanjing area. The grey lines
8 are the monthly averages for each year from 2005 to 2012 to indicate the annual variability.
9 The black lines show the average value for the years from 2005 to 2012. The error bars are the
10 standard deviations of monthly NO₂ observations from 2005 to 2012.

11
12



1
2
3
4
5
6

Figure 10. The monthly NO_x emission estimates by DECSO in Nanjing for 2013 (blue line) and 2014 (green line) and the monthly NO_x emission of the MEIC inventory of 2010 (red line). The shade areas show the error of the mean NO_x emission estimates from DECSO.