

1 **Reassessing the ratio of glyoxal to formaldehyde as an**
2 **indicator of hydrocarbon precursor speciation**

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1 **Abstract**

2 The yield of formaldehyde (HCHO) and glyoxal (CHOCHO) from oxidation of volatile organic
3 compounds (VOCs) depends on precursor VOC structure and the concentration of NO_x ($\text{NO}_x =$
4 $\text{NO} + \text{NO}_2$). Previous work has proposed that the ratio of CHOCHO to HCHO (R_{GF}) can be used
5 as an indicator of precursor VOC speciation, and absolute concentrations of the CHOCHO and
6 HCHO as indicators of NO_x . Because this metric is measurable by satellite, it is potentially
7 useful on a global scale; however, absolute values and trends in R_{GF} have differed between
8 satellite and ground-based observations. To investigate potential causes of previous
9 discrepancies and the usefulness of this ratio, we present measurements of CHOCHO and HCHO
10 over the Southeast United States (SE US) from the 2013 SENEX flight campaign, and compare
11 these measurements with OMI satellite retrievals. High time-resolution flight measurements
12 show that high R_{GF} is associated with monoterpene emissions, low R_{GF} is associated with
13 isoprene oxidation, and emissions associated with oil and gas production can lead to small-scale
14 variation in regional R_{GF} . During the summertime in the SE US, R_{GF} is not a reliable diagnostic
15 of anthropogenic VOC emissions, as HCHO and CHOCHO production are dominated by
16 isoprene oxidation. Our results show that the new CHOCHO retrieval algorithm reduces the
17 previous disagreement between satellite and in situ R_{GF} observations. As the absolute values and
18 trends in R_{GF} observed during SENEX are largely reproduced by OMI observations, we conclude
19 that satellite-based observations of R_{GF} can be used alongside knowledge of land-use as a global
20 diagnostic of dominant hydrocarbon speciation.

21

22 **1 Introduction**

23 Though volatile organic compounds (VOCs) are present only in trace amounts in the atmosphere,
24 their presence can drive the formation of pollutants such as secondary organic aerosol and ozone.
25 The impact of VOC emissions on tropospheric chemistry depends on the speciation of emitted
26 VOCs and their degradation pathways. As many as 10^5 different species of VOCs are estimated
27 to have been measured in the atmosphere (Goldstein and Galbally, 2007). While an air mass will
28 usually contain a large variety of VOCs, often a particular species or subset of species (e.g.
29 biogenics) will dominate the photochemistry, giving rise to the production of a range of

1 oxygenated VOCs (OVOCs). Thus, OVOCs can provide downstream constraints on the rates and
2 pathways of VOC oxidation.

3 Here, we focus on the production of two ubiquitous OVOCs: formaldehyde (HCHO) and glyoxal
4 (CHOCHO). HCHO is formed from the oxidation of nearly every anthropogenic and biogenic
5 VOC (AVOC/BVOC, respectively). Though photochemical formation is thought to dominate the
6 HCHO global budget (Fortems-Cheiney et al., 2012), direct HCHO emissions from pyrogenic,
7 anthropogenic, and biogenic activity have also been observed (Guenther et al., 1995;
8 Kesselmeier et al., 1997; Holzinger et al., 1999; Garcia et al., 2006; DiGangi et al., 2011).
9 CHOCHO is formed from the oxidation of a smaller subset of VOCs, particularly alkenes,
10 aromatics, isoprene, and monoterpenes (Fu et al., 2008). Direct emission from biofuel and
11 biomass burning can also be a significant source of CHOCHO (McDonald et al., 2000; Hays et
12 al., 2002; Christian et al., 2003; Greenberg et al., 2006). Because the yields of HCHO and
13 CHOCHO differ between classes of VOC, and because their atmospheric lifetimes are similar,
14 the relative abundance of CHOCHO and HCHO has been hypothesized to reflect the speciation
15 of VOCs contributing to total VOC reactivity (Vrekousiss et al., 2010; DiGangi et al., 2012;
16 MacDonald et al., 2012; Li et al., 2014; Miller et al., 2014).

17 A major motivating factor for examining the ratio of glyoxal to formaldehyde (R_{GF} , in units of
18 mole/mole) is the ability to quantify both compounds on a global scale from satellite retrievals.
19 Currently, HCHO and CHOCHO are the only two OVOCs with UV-Visible absorption features
20 strong enough to enable solar backscatter measurements of vertical column densities. Long term
21 continuous HCHO columns are available from four satellite-based instruments: GOME (Global
22 Ozone Monitoring Experiment), SCIAMACHY (SCanning Imaging Absorption spectroMeter for
23 Atmospheric CHartography), OMI (Ozone Monitoring Instrument), and GOME-2. CHOCHO
24 retrievals are available from SCIAMACHY, OMI, and GOME-2. Satellite-derived R_{GF} could be
25 a promising diagnostic tool in determining the speciation of VOC precursors that lead to
26 pollution formation in a given region, especially as retrievals improve in temporal and spatial
27 resolution.

28 Table 1 summarizes previously published observations and conclusions about R_{GF} . Using
29 GOME-2 satellite retrievals, Vrekoussis et al. (2010) observed R_{GF} as low as 3% in

1 anthropogenic regions and between 4 and 6% over heavily vegetated regions. This was
2 interpreted as an indication that anthropogenic precursors favor HCHO production relative to
3 CHOCHO, while biogenic precursors favor CHOCHO production relative to HCHO. Primary
4 emissions of HCHO were also thought to lower the observed R_{GF} in anthropogenic regions. In
5 contrast, using ground-based measurements, DiGangi et al. (2012) observed R_{GF} values typically
6 <2% in rural areas, while fresh anthropogenic influence increased R_{GF} to 4%. These observations
7 yielded a directly contradictory interpretation: AVOCs favor CHOCHO production, whereas
8 BVOCs favor HCHO production. Furthermore, DiGangi et al. (2012) showed that, given the
9 same VOC speciation, R_{GF} was invariant despite changes in observed NO_x concentrations. They
10 proposed that this was a result of CHOCHO and HCHO formation primarily via the high- NO_x
11 pathway of organic peroxy radical (RO_2) reactions, which in turn makes the absolute
12 concentration of either OVOC equally dependant on NO_x and therefore leaves R_{GF} unchanged.

13 Following these two investigations, high values of R_{GF} (20-40%) were observed above an Asian
14 tropical forest (MacDonald et al., 2012), agreeing qualitatively with the conclusion of Vrekoussis
15 et al. that high R_{GF} is consistent with biogenic source areas. The reported R_{GF} values, however,
16 are an order of magnitude greater than satellite observations (Vrekoussis et al., 2010; Miller et
17 al., 2014). Li et al. (2014) report an average R_{GF} of 6% at a semi-rural site in Southern China.
18 Both observations and model simulations showed that increasing AVOC emissions lead to an
19 increase in R_{GF} . The model simulations indicated R_{GF} was controlled not only by VOC
20 speciation, but also by NO_x and OH mixing ratios, as well as physical processes such as
21 CHOCHO deposition and aerosol uptake (Li et al., 2014). Recently, a new algorithm for the
22 retrieval of CHOCHO from OMI was developed which lessens sensitivity to water vapor
23 abundance and produces on-average lower CHOCHO vertical column densities due to the choice
24 of reference sector (Miller et al., 2014). In contrast to the ranges of R_{GF} reported by Vrekoussis
25 et al. (2010), the OMI retrieval yields high R_{GF} in areas associated with monoterpene emissions,
26 intermediate R_{GF} in areas dominated by anthropogenic emissions, and low R_{GF} in regions
27 associated with strong isoprene emissions.

28 The cause of the discrepancies between satellite and ground-based R_{GF} trends and absolute
29 values are unknown. DiGangi et al. (2012) suggested column-integrated and ground-based
30 measurements in forests may differ due to direct HCHO emissions, or boundary layer ratios

1 could be systematically lower than free troposphere ratios. Additionally, Miller et al. (2014)
2 highlight interferences from water vapor, reference sector selection, and multi-year averaging as
3 potential causes for the previous errors in satellite retrievals. Despite the different ranges and
4 trends of observed values, all previously published work concludes that R_{GF} reflects at least in
5 part the speciation of VOCs in a given air mass. If R_{GF} is to be used as a global tracer of VOC
6 composition, all factors influencing R_{GF} must be fully elucidated, and satellite retrievals must be
7 validated against field observations.

8 With flights transecting both anthropogenic and biogenic regions, as well as profiles extending
9 from the boundary layer into the free troposphere, the 2013 SENEX (Southeast Nexus) field
10 campaign provides an unprecedented opportunity to address these uncertainties. Unlike ground-
11 based field campaigns, the flight campaign provides information about the vertical structure of
12 the trace gasses and a direct, real-time comparison of R_{GF} in urban outflow and in the
13 surrounding rural areas. To our knowledge, this data represents the first high-time resolution
14 simultaneous in situ flight-based measurements of HCHO and CHOCHO. We present absolute
15 mixing ratios of HCHO and CHOCHO observed during daytime flights in the Southeast United
16 States (SE US) and discuss the observed relationships of R_{GF} with observed VOC precursors and
17 anthropogenic influence. Finally, to investigate the applicability of our findings for global
18 studies, we compare flight-based R_{GF} with those derived from OMI observations.

19

20 **2 Experimental Methods**

21 **2.1 SENEX flight measurements**

22 During the SENEX project in June and July of 2013, HCHO, CHOCHO, NO_x , and VOC
23 measurements were acquired simultaneously from the NOAA WP-3D research aircraft during 13
24 daytime flights. An in-depth description of the SENEX science goals, NOAA WP-3D aircraft, all
25 onboard instrumentation, and each flight plan can be found elsewhere (C. Warneke, in
26 preparation, 2015). A summary of average conditions for each flight is provided in Table S1.

27 HCHO was measured at 1 Hz by the NASA In Situ Atmospheric Formaldehyde (ISAF)
28 instrument (Cazorla et al., 2014), which is based on the Fiber-Laser-Induced-Fluorescence

1 (FILIF) technique (Hottle et al., 2009; DiGangi et al., 2011; Kaiser et al., 2014). The reported
 2 accuracy of the HCHO measurements is 10%. CHOCHO was measured at 0.2 Hz by Airborne
 3 Cavity Enhanced Spectrometer (ACES) with 6% accuracy. (Washenfelder et al., 2011; K. Min,
 4 in preparation, 2015). The precision of the CHOCHO measurement was a significant fraction of
 5 the typical ambient concentration (32 ppt precision, with a typical concentration of 100-150
 6 pptv), such that precision is a more stringent limitation on data quality than accuracy relative to
 7 HCHO, for which the signal was consistently much larger (HCHO precision 25 ppt, with
 8 concentrations typically > 3 ppb).

9 NO and NO₂ were measured by ozone-induced chemiluminescence (CL) and UV photolysis
 10 followed by CL, respectively (Ryerson et al., 1998; Pollack et al., 2012). VOCs were measured
 11 at 20% accuracy using proton-transfer reaction mass spectrometry (de Gouw and Warneke,
 12 2007). Unless otherwise specified, all data shown here are filtered to remove in-cloud
 13 measurements, measurements below 200 m or above 1200 m, and data that may be affected by
 14 the exhaust of the WP-3D aircraft. R_{GF} is calculated by averaging the 1 s HCHO data to the 5 s
 15 CHOCHO observations.

16 **2.2 Satellite retrievals**

17 The Ozone Monitoring Instrument (OMI) is a nadir viewing UV-Visible grating spectrometer,
 18 launched onboard the NASA Aura satellite in July 2004 (Levelt et al., 2006). OMI provides daily
 19 global coverage at high spatial resolution (13 x 24 km footprint at nadir). We use slant column
 20 densities (Ω_s) of HCHO and CHOCHO from 2006 to 2007 derived from fits to OMI spectra
 21 (González Abad et al., 2014; Miller et al., 2014). HCHO and CHOCHO are retrieved between
 22 328.5-365.5 nm and 435-461 nm respectively. Slant columns are adjusted to vertical column
 23 densities (Ω_v) using scattering weights ($S(z)$) archived from the retrieval product, and species
 24 concentration profiles ($n(z)$) from the GEOS-Chem chemical transport model (v9-01-03) (Bey
 25 et al., 2001; Mao et al., 2013).

$$26 \quad \Omega_v = \Omega_s \frac{\int_0^\infty n(z) dz}{\int_0^\infty S(z)n(z) dz} \quad (1)$$

1 Here we use daily GEOS-Chem profiles spanning the observation period averaged between
2 13:00-14:00 local time (LT), close to the satellite equatorial crossing time (13:38 LT). The
3 satellite observations are gridded as seasonal averages on a $0.5^\circ \times 0.5^\circ$ (lat x lon) grid. In this
4 analysis, we use the averaged vertical column densities for June through August of 2007. The
5 overlap between the satellite footprint and output grid is accounted for using an area-weighted
6 tessellation algorithm (Liu et al., 2006). Satellite pixels with cloud fractions larger than 0.2
7 (derived from the OMI O₂-O₂ cloud algorithm (Stammes et al., 2008) and those impacted by the
8 row anomaly (<http://www.knmi.nl/omi/research/product/rowanomaly-background.php>) are
9 filtered before gridding.

10 The sources of errors in satellite measurements are numerous, including uncertainties in
11 temperature-dependent absorption cross sections, the computation of the air mass factor,
12 instrumental errors (e.g., wavelength calibration), potential interferences from other compounds,
13 and low signal to noise. Seasonal averaging helps to reduce these errors. Assuming a 15%
14 systematic uncertainty and following the formulation thoroughly explained in Vrekoussis et al.
15 (2010), (section 4.3.1), the average error in satellite R_{GF} over the SE US is 0.005, which is 18%
16 of the average R_{GF} value observed in this region.

17

18 **3 Results and Discussion**

19 Figure 1 shows daytime SENEX flight tracks colored by HCHO, CHOCHO, and R_{GF} , with major
20 emissions sources also indicated. Emissions information was acquired from the Continuous
21 Emissions Monitoring Systems dataset for July - September of 2012
22 (<http://ampd.epa.gov/ampd/>). In general, HCHO and CHOCHO mixing ratios are higher in the
23 areas associated with high BVOC emissions (southern flights). In particular, high HCHO is
24 observed over the Ozarks “isoprene volcano” (Wiedinmyer et al., 2005). The concentrations of
25 both OVOCs are higher in regions with anthropogenic influence than in the surrounding
26 biogenically dominated areas. Compared to the northern cities of Indianapolis and St. Louis,
27 Birmingham and Atlanta have higher mixing ratios of HCHO and CHOCHO in their outflows.
28 The Haynesville shale region has higher mixing ratios of both OVOCs, and CHOCHO is

1 especially enhanced. While HCHO and CHOCHO mixing ratios each vary by more than a factor
2 of 4, the overall variability of R_{GF} observed during the SENEX flight campaign is low.

3 Boundary HCHO and CHOCHO measurements were also acquired during the Nashville/Middle
4 Tennessee Ozone Study in June/July of 1995. While SENEX flight tracks more heavily sampled
5 oil and natural gas fields, both studies are mainly representative of the isoprene-rich SE US. The
6 average HCHO mixing ratio is similar (4.2 ppb in 1995, 4.4 ppb in this study), as is the average
7 CHOCHO mixing ratio (0.07 ppb in 1995, 0.10 ppb in this study), leading to similar R_{GF} (1.7%
8 in 1995, and 2.2% in this study) (Lee et al., 1998).

9 Figure 2 shows the same SENEX flight data gridded to the resolution of the OMI satellite
10 retrievals ($0.5^\circ \times 0.5^\circ$). Removing the flights with distinctly high or low R_{GF} observations (June
11 10th, June 25th, and June 26th) the average gridded R_{GF} is $2.5\% \pm 0.5\%$, with a correlation
12 coefficient between HCHO and CHOCHO of $r^2 = 0.70$. Below, we discuss these regions of
13 notably high and low R_{GF} as well as the influence of urban emissions on the ratio.

14 Variability in the time of measurement may have an impact on the comparison of absolute
15 concentrations of both OVOCs and R_{GF} , as measurements were acquired over a range of mid-day
16 hours (~10:00-17:00 local time, see Table S1), and both HCHO and CHOCHO have strong
17 diurnal cycles. By comparing the observations made within 1 hour on the same day, we aim to
18 minimize any impact diurnal variation of R_{GF} would have on this analysis.

19 **3.1 Regions of high R_{GF}**

20 During flights on 10 June and 25 June, the region responsible for the high observed R_{GF} (4-7%)
21 is in the southeast corner of the flight track, over the Kisatchie National Forest (Fig. 3a, 3b). The
22 dominant tree species in this region is longleaf pine (<http://www.wlf.louisiana.gov>). Longleaf
23 pine (*Pinus palustris*) is reported to emit monoterpenes but not isoprene (Rasmussen, 1972). The
24 measured emission rate of β -pinene is the largest, and approximately 30% greater than the α -
25 pinene emission rate. All other monoterpenes emission rates are at least an order of magnitude
26 lower (Geron et al., 2000). Indeed, measured monoterpene mixing ratios are elevated over this
27 portion of the flight track (Fig. 3c, 3d), while isoprene (not shown) is relatively constant over the
28 footprints of both flights. The high-monoterpene/high- R_{GF} relationship is in agreement with the

1 Miller et al. (2014) satellite observation of high R_{GF} values above the boreal forests, where the
2 high CHOCHO yield of monoterpenes is cited as the primary driver of R_{GF} (Fu et al., 2008). As
3 in the two flights over the Kisatchie Forest, the June 26th flight also highlights a region with high
4 monoterpenes and $R_{GF} > 3\%$ (arrow on Fig. 4).

5 Also on the June 25th flight, high R_{GF} ($> 8\%$) is seen on the northeast side of the flight track
6 (circled on Fig. 3b and 3d). Unlike the high R_{GF} associated with the monoterpenes emissions,
7 these values are not replicated in the same area during the June 10th flight. In this region, R_{GF} is
8 driven by a decrease in HCHO mixing ratio while the CHOCHO mixing ratio is slightly elevated
9 (Fig. 5). Sharp features in meteorological measurements such as potential temperature, an
10 increase in ozone, and a decrease in all other VOC and OVOC mixing ratios suggest an incursion
11 of free tropospheric air. Given the lack of VOC precursors and other oxidation products, and
12 assuming it is not a measurement artifact, the source of CHOCHO in the free troposphere is still
13 unknown. The effect of trace gas vertical profile structure on the analysis of R_{GF} is examined in
14 further detail in section 3.4.

15 **3.2 Regions of low R_{GF}**

16 On the June 26th flight, north of the gas production near the eastern side of the flight track,
17 CHOCHO concentrations are low while HCHO mixing ratios are typical of other SENEX
18 observations, driving R_{GF} to near 0% (Fig. 4). Concentrations of BVOC and AVOC precursors
19 are also low in this region; however, methane mixing ratios are dramatically elevated. While
20 isoprene is the dominant VOC in terms of calculated OH reactivity, increased HCHO relative to
21 CHOCHO could be a result of oxidation of alkanes, which are associated with oil and natural gas
22 (O&NG) production (Gilman et al., 2013). Gas flaring could also be a large source of direct
23 HCHO emissions (Pikelnaya et al., 2013).

24 On the portion of the June 26th flight flown over the Mark Twain National Forest in the Missouri
25 Ozarks (Fig. 4), the average R_{GF} is $1.1 \pm 0.2\%$. Here, the average isoprene concentration is high
26 (7 ± 2 ppb), NO_x is low (0.23 ± 0.02 ppb), and AVOC concentrations are low compared to
27 BVOCs (toluene = 0.06 ± 0.01 ppb). This suggests relatively pristine regions with strong
28 isoprene emissions can be characterized by low R_{GF} . It is important to note that these
29 measurements were acquired later in the day than most other measurements ($\sim 2:30$ L.T, Table

1 S1), and approximately 3 hours later than the measurements acquired on the southwest portion of
2 the flight track. We thus cannot rule out diurnal variation as an influence on R_{GF} in this region.

3 **3.3 Urban influence on R_{GF}**

4 Because R_{GF} may be influenced by AVOC emissions and/or NO_x (Table 1), it has been proposed
5 that R_{GF} can be used a diagnostic of the chemistry that leads to O_3 formation (Vrekousiss et al.,
6 2010; DiGangi et al., 2012; Li et al., 2014). Potential explanations for varying R_{GF} in urban areas
7 include (1) preferential formation of one OVOC from AVOCs, (2) faster oxidation caused by
8 high OH leading to different relative concentrations of the OVOCs, and (3) differing NO_x
9 dependencies of OVOC yields.

10 A comparison of in-plume and surrounding background measurements from the June 12th flight
11 through Atlanta can help determine which of these factors may contribute to differences in
12 observed R_{GF} . During this flight, northwesterly winds brought emissions from a nearby paper
13 mill and power plant over the Atlanta area. As it travelled, the plume encountered emissions
14 from the Atlanta international airport and other point and area sources. Figure 6 shows the flight
15 path colored by CO, which demonstrates the boundary between background and polluted air.
16 Figure 7 shows the mixing ratios of isoprene, toluene, NO_x , HCHO, CHOCHO, and the
17 observed R_{GF} for the first four transects downwind of Atlanta.

18 Inside the plume, NO_x is enhanced, AVOCs such as toluene are high, BVOC mixing ratios are
19 low, and concentrations of both OVOCs increased significantly (Fig 7). However, no clear
20 distinction between in-plume and background measurements can be seen in R_{GF} . This trend in
21 increasing HCHO and CHOCHO but consistent R_{GF} is also seen in several other flight tracks
22 following urban outflow (for further examples, see Fig. S1 and S2 highlighting the July 5th flight
23 over St. Louis).

24 There are two potentially compounding causes of the increase in HCHO and CHOCHO
25 concentrations. First, direct emissions of the OVOCs or oxidation of AVOCs in the plume add to
26 the background concentrations of HCHO and CHOCHO. While the oxidation of the observed
27 AVOCs will increase OVOCs, the contribution of isoprene and its first generation oxidation
28 products methyl-vinyl-ketone (MVK) and methacrolein (MACR) to OH reactivity is more than a

1 factor of 10 times greater than the contribution from measured AVOCs. Therefore, isoprene is
2 still likely the dominant HCHO and CHOCHO precursor. Second, higher NO_x in the plume
3 leads to more efficient oxidation of VOCs, depleting mixing ratios of primary VOCs such as
4 isoprene and increasing its oxidation products. This is consistent with the classical NO_x-
5 dependence of OH concentrations (Rohrer et al., 2014). The ratio of MVK and MACR to
6 isoprene can be used as an indicator of the extent of photochemical processing (Fig. 7). The
7 higher in-plume ratio of MVK+MACR to isoprene supports the conclusion that oxidation occurs
8 faster in the plume. It is important to note that the low-NO_x oxidation product ISOPOOH
9 (isoprene hydroxy hydroperoxide) can interfere with PTR-MS measurements of MVK+MACR
10 (Rivera-Rios et al., 2014), and potentially also measurements of HCHO. If ISOPOOH creates a
11 positive bias MVK+MACR measurement, the artifact would be larger in the low-NO_x areas,
12 artificially increasing the (MVK+MACR)/isoprene ratio observed outside of the plume. Because
13 (MVK+MACR)/isoprene is higher inside the plume, any interference would not affect the
14 conclusion that oxidation occurs faster in the plume.

15 The absolute concentrations of HCHO and CHOCHO point to more rapid oxidation of isoprene
16 in-plume as well as a potentially small contribution of AVOCs to both overall OVOC budgets,
17 but neither of these characteristics influence R_{GF}. As stated above, a third potential driver of R_{GF}
18 is a difference in high- and low-NO_x oxidation mechanisms. Again, though the NO_x
19 concentrations observed in-plume are significantly different than the surrounding air such that
20 RO₂ spans different fates (reaction with NO versus reaction with HO₂ and isomerization), no
21 characteristic change in R_{GF} is observed. Therefore, R_{GF} cannot be used to diagnose AVOC
22 emissions, RO₂ fate, or OH levels in urban areas where isoprene emissions dominate the HCHO
23 and CHOCHO budgets.

24 As discussed in section 3.2, the Ozarks demonstrated especially low R_{GF}. Both the Atlanta
25 background air and the Ozarks are low-NO_x isoprene-dominated regions (0.5 ppb NO_x near
26 Atlanta, 0.2 ppb NO_x in the Ozarks), yet R_{GF} observations in these areas are significantly
27 different. As previously discussed, urban emissions do not cause significant changes in R_{GF} if
28 isoprene is the dominant VOC; therefore, some other factor must contribute to the comparably
29 low R_{GF} over the Ozarks. While the observations of R_{GF} over the Ozarks were acquired at ~14:20
30 L.T., later observations of R_{GF} in the plume background are not significantly different than the

1 earlier observations shown in Figure 7 (R_{GF} of $2.2 \pm 0.3\%$ between 14:00 and 14:30 L.T.). This
2 suggests that diurnal variation of R_{GF} is not the driving cause of the difference between Atlanta
3 and Ozark observations.

4 The most notable difference between the regions is the observed concentrations of isoprene.
5 Isoprene reached over 10 ppb in the Ozarks, while the Atlanta background air reached only 4
6 ppb. A stronger relative contribution of monoterpenes to the HCHO and CHOCHO budgets in
7 Atlanta could result in the higher observed R_{GF} (~50 ppt monoterpene/ppb isoprene near Atlanta,
8 ~15 ppt monoterpenes/ppb isoprene near the Ozarks). Alternatively, the relationship of HCHO
9 and CHOCHO with isoprene may be non-linear, with higher isoprene emissions leading to lower
10 R_{GF} . Because low- NO_x isoprene oxidation is not well understood, especially with respect to OH
11 concentrations (Rohrer et al., 2014), HCHO yields (Palmer et al., 2006; Marais et al., 2012), and
12 CHOCHO yields (Stavrakou et al., 2009), model analysis cannot conclusively determine the
13 cause of decreasing R_{GF} with increasing isoprene emissions. A model can be useful, however, in
14 determining the anticipated influence of hydrocarbon speciation on R_{GF} , as discussed below.

15 **3.4 Modeled trends in R_{GF} with hydrocarbon speciation**

16 The values of R_{GF} presented above suggest that (1) monoterpene oxidation leads to higher R_{GF}
17 than isoprene, (2) AVOCs must have substantially high concentrations to affect R_{GF} in regions
18 with high isoprene emissions, and (3) depending on the surrounding BVOC emissions, alkanes
19 could decrease the regional R_{GF} . To examine if these results are consistent with our
20 understanding of the oxidation mechanisms of each VOC precursor, a simple 0-D box model
21 analysis was performed using the University of Washington Chemical Box Model (UWCM)
22 (Wolfe and Thornton, 2011), which incorporates the Master Chemical Mechanism v 3.2 (Jenkin
23 et al., 1997; Saunders et al., 2003).

24 The intent of these model scenarios is not to compare modeled concentrations of CHOCHO and
25 HCHO to their observed values, nor to compare modeled and measured R_{GF} , but to investigate
26 the relative values of R_{GF} predicted by the model for each VOC precursor. Temperature, relative
27 humidity, O_3 , and CO are held at their observed campaign averages (297 K, 70%, 51 ppb, and
28 140 ppb, respectively). OH is held at 4×10^6 molec/cm³, and NO_x is constrained to the measured
29 values representative of the plume background on 12 June ($NO = 0.06$ ppb; $NO_2 = 0.41$ ppb).

1 The solar zenith angle is set to 13.4° , representative of the sun's position over Atlanta at 12:00
2 local time on June 12th. Pressure is set to a constant 760 Torr, and all species are given an
3 additional sink with a lifetime of 24 hours in lieu of explicitly modeling physical loss processes
4 like deposition and dilution. The only hydrocarbon present in each model scenario is the VOC of
5 interest, held at a constant concentration of 1 ppb. Integration time is set to 5 days, at which point
6 the concentrations of both OVOCs are nearly constant. The calculated mixing ratios of
7 CHOCHO and HCHO at the end of the model runs are shown in Table 2.

8 Compared to isoprene, the two monoterpenes investigated here (α - and β -pinene) produce more
9 CHOCHO per HCHO. As this effect has been demonstrated in model calculations, satellite
10 observations, and flight-based measurements, we conclude that observations of high values of
11 R_{GF} are a result of high monoterpene compared to isoprene emissions. The absolute
12 concentrations of both OVOCs produced from the oxidation of AVOCs studied here (benzene,
13 toluene, ethene, ethyne, and the alkanes) are substantially lower compared to the yield from
14 BVOCs. Because these AVOCs have long lifetimes, the concentration of AVOC would need to
15 be substantially higher than BVOC to dominate the HCHO or CHOCHO budget. This is not
16 likely in most of the SE US. However, AVOCs can dominate chemistry in O&NG production
17 areas (Katzenstein et al., 2003; Edwards et al., 2014) and may be relatively more important in the
18 winter when BVOC emissions are low or in areas with less vegetation. Alkanes and ethene
19 produce less CHOCHO per HCHO compared to all BVOCs. In contrast, ethyne, benzene, and
20 toluene produce much more CHOCHO relative to HCHO. The effect of AVOCs on R_{GF} is likely
21 dependent on the speciation of emitted AVOCs, the strength of local BVOC emissions, and any
22 direct OVOC emissions (e.g. HCHO from gas flaring). These compounding factors could make
23 measurements of R_{GF} a convoluted diagnostic for assessing the VOC composition of different
24 airmasses.

25 **3.5 Comparison with satellite retrievals**

26 While ideally 2013 OMI retrievals would be used in this analysis, the satellite has experienced
27 severe degradation such that quantitative CHOCHO is not easily determined. Only the 2007
28 retrievals are available at this time. One of the major conclusions reached using the SENEX in-
29 situ measurements is that in the SE US, R_{GF} is not a diagnostic of anthropogenic emissions, as

1 HCHO and CHOCHO production are dominated by isoprene oxidation. Our in situ
2 measurements also show that R_{GF} is unaffected by NO_x and OH (Section 3.3). Therefore, as long
3 as isoprene is the dominant VOC for HCHO and CHOCHO production in the SE US in both
4 2007 and 2013, the comparison between 2007 satellite and 2013 in situ R_{GF} remains valid. Both
5 this work and analysis of the previous 1995 Nashville/Middle Tennessee Ozone Study (Le et al.,
6 1998) find isoprene to be the dominant HCHO source. Interannual variability of summertime
7 isoprene emissions is estimated to be between 8 and 18% for the contiguous U.S. during the
8 summers (Tawfik et al., 2012). Therefore, it is likely that isoprene is also the dominant OVOC
9 source in 2007.

10 When comparing flight-based observations with satellite retrievals, it is important to consider the
11 inherently different information these two measurements provide. Comparisons between column-
12 integrated satellite retrievals and single-altitude measurements are only valid if the point
13 measurements represent the seasonal mean of the behavior of the vertical column as a whole. To
14 examine any effect of vertical distribution of HCHO and CHOCHO on satellite observations of
15 R_{GF} , we investigate the campaign average vertical profiles of both OVOCs, and R_{GF} calculated
16 from those averages (Fig. 8). Both OVOCs show the expected decrease in concentration with
17 altitude; however, the relative difference between boundary layer and free troposphere mixing
18 ratios is greater for HCHO. This gives rise to a small increase in R_{GF} in the free troposphere. A
19 higher free tropospheric R_{GF} was also observed in the 1995 Nashville/Middle Tennessee Ozone
20 Study (Lee et al., 1998).

21 While R_{GF} is typically slightly higher in the free troposphere than the boundary layer, no clear
22 altitude dependence in R_{GF} is observed within the boundary layer (Figure 8c, altitudes less than 2
23 km, and figure S4 for individual profiles). In the free troposphere, CHOCHO measurements are
24 below the detection limit (23 ppt at 3.25 km, detection limit = 32 ppt/5s). The observed
25 variability in R_{GF} at high altitudes can largely be attributed to noise in the CHOCHO
26 measurements at such low concentrations. Because the uncertainty in CHOCHO concentrations
27 from measurement precision is typically greater than that from measurement accuracy, we take
28 (Measured CHOCHO – 32 ppt) as the lower limit of CHOCHO as measured by ACES. If
29 measurements are positively biased by as little as 16 ppt, which is within this range of
30 uncertainty, corrected data would not demonstrate an increase in R_{GF} with altitude.

1 If the difference in HCHO and CHOCHO vertical structures is not a measurement artifact, the
2 cause of the increase in R_{GF} in the free troposphere is unclear. VOC precursors with longer
3 lifetimes that reach the free troposphere could preferentially form CHOCHO; however, all
4 species of measured VOCs exhibit a similar steep decrease in concentration at high altitudes.
5 Alternatively, the lifetimes of CHOCHO and HCHO could vary with altitude in such a way that
6 HCHO concentrations show a more steep vertical dependence. However, this is unlikely as the
7 photolysis and reaction with OH play nearly identical roles in the relative loss processes of the
8 two OVOCs. Li et al. (2014) inferred different mixing layer heights for the two OVOCs. They
9 calculated that the lifetime of isoprene was shorter than the typical boundary layer mixing time,
10 and therefore hypothesized that HCHO production happened earlier (i.e. at lower altitudes) than
11 CHOCHO production. In contrast, we see that the boundary layer is typically uniformly mixed
12 with respect to HCHO and CHOCHO, potentially signifying the lifetime of the two OVOCs is
13 longer than the boundary layer mixing time. Therefore, the time dependence of HCHO and
14 CHOCHO production is unlikely to be the underlying cause of the difference in R_{GF} observed in
15 the free troposphere. Finally, heterogeneous oxidation of aerosols has been proposed as a source
16 of CHOCHO and other OVOCs in the free troposphere (Volkamer et al., 2015). No specific
17 source of sufficient magnitude has been identified, but processes which release glyoxal, such as
18 the ozonolysis of fatty acids (Zhou et al. 2014), would be potential candidates. Any such source
19 would need to produce glyoxal in excess over formaldehyde.

20 Regardless of cause of the higher relative R_{GF} in the free troposphere, because the boundary
21 layer contains the majority of HCHO and CHOCHO, the R_{GF} calculated from in situ HCHO and
22 CHOCHO vertical column densities is only slightly higher than the average R_{GF} observed in the
23 boundary layer (2.7% calculated from Ω_V , 2.0% at 900 m). A similar analysis using each local
24 vertical profile measurement rather than the campaign average vertical profiles yields the same
25 conclusions. Table 3 lists the R_{GF} observed in the boundary layer and the R_{GF} calculated from in
26 situ HCHO and CHOCHO vertical column densities for all profiles extending above 3 km, which
27 were all flown in the Atlanta/Birmingham area. A map of profile locations, HCHO and
28 CHOCHO measurements, and R_{GF} for each profile can be found in the supporting information
29 (Fig. S3 and Fig. S4). In general, profiles with a smaller percentage of measurements acquired in
30 the free troposphere do not display large difference between boundary layer and R_{GF} calculated

1 from in situ HCHO and CHOCHO vertical column densities. Individual profile measurements
2 and campaign-averaged data support the conclusion that R_{GF} as observed by satellite retrievals
3 should exhibit similar ranges as boundary layer observations, though a positive bias may be
4 observed due to relatively higher CHOCHO in the free troposphere.

5 OMI satellite observations from June through August of 2007 over the United States are shown
6 in Fig. 9. HCHO and CHOCHO are elevated over the SE US, where high isoprene emissions are
7 expected to lead to increases in both OVOCs. Compared to the rest of the US, R_{GF} in this region
8 is low. The northwest region of the US, where monoterpene emissions are high
9 (Sakulyanontvittaya et al., 2008), demonstrates the highest R_{GF} over the US.

10 To compare satellite and flight-based observations, flight data were averaged to the $0.5^\circ \times 0.5^\circ$
11 OMI resolution. Summertime satellite retrievals and flight observations of CHOCHO v. HCHO
12 show similar correlations, with $r^2 \sim 0.4$. (Fig. 10 and Table 4). The satellite average R_{GF} is ~ 0.6
13 percentage points higher than flight-based observations gridded to the same resolution. While
14 this cannot be explained by the error and standard deviation of the gridded SENEX data and the
15 uncertainty in the vertical column densities, this percentage is much smaller than the previous
16 discrepancies between satellite and point-based measurements (DiGangi et al., 2012).

17 Figure 11 shows that while there is no correlation between satellite and flight R_{GF} ($r^2 = 0.003$),
18 the range of observed values are in good agreement (1.5-4%). Seasonal averages of R_{GF} from
19 satellite retrievals are less likely to reflect extreme values and high-emission events compared to
20 flight data, therefore high correlation is not anticipated at this time scale. Similarly, the
21 correlation between satellite and ground HCHO ($r^2=0.15$) and CHOCHO ($r^2=0.044$) are low.
22 Satellite and flight HCHO observations show stronger correlation than CHOCHO observations
23 likely because CHOCHO aircraft measurements and satellite retrievals have higher relative
24 uncertainties than HCHO retrievals (Miller et al., 2014; González Abad et al., 2105), and in situ
25 CHOCHO measurements are close to the detection limit. The high and low values of R_{GF}
26 observed during the SENEX field campaign (June 25th and 26th flights) are not reproduced in
27 the satellite observations A comparison of average BVOC emissions and O&NG production
28 activity during the summer of 2007 and June 2013 would be needed to demonstrate that satellite
29 R_{GF} would be expected to show similar deviations from its average value. Furthermore, small

1 scale variation in satellite R_{GF} is mostly associated with noise, such that retrievals shown cannot
2 distinguish the local influences (i.e. the Kisatchie National forest).

3 Besides the new CHOCHO retrieval method, one key distinction between this comparison and
4 comparisons in previous studies (i.e., DiGangi et al., 2010) is the use of satellite retrievals for
5 only the summer observational period rather the annual averages. Ground and flight based
6 measurements are typically performed in the summer, when BVOC emissions are high.
7 Therefore, point-based measurements may be biased to display the influence of BVOC emissions
8 on R_{GF} .

9

10 **4 Conclusions: Can R_{GF} be used as a global indicator of VOC speciation?**

11 Overall, the flight-based measurements presented here show that R_{GF} is indicative of VOC
12 speciation in select situations. High R_{GF} (>3%) is consistently observed in areas with high
13 monoterpene emissions, and low R_{GF} (<2.5%) is associated with strong isoprene emissions. No
14 consistent influence of AVOC or NO_x emissions on the background R_{GF} was observed, likely
15 because biogenic VOC emission strength determines R_{GF} in the SE US. The previously observed
16 quick and short (2-5 min) increase in R_{GF} in DiGangi et al. (2010) may have been a result of
17 extremely fresh emissions (e.g., diesel trucks emit at a rate of CHOCHO/HCHO = 9.4%
18 (Schauer et al., 1999), and not indicative of larger scale changes in dominant VOC speciation.
19 Emissions associated with oil and gas production areas can cause R_{GF} to deviate from the values
20 observed over their background levels. However, the absolute value of R_{GF} in such regions is
21 likely dependent on background BVOC emissions, speciation of AVOCs, and any direct OVOC
22 emissions.

23 Compared to previous literature, absolute values of flight-based R_{GF} are in better agreement with
24 satellite observations using the new CHOCHO retrieval algorithms. While time resolution plays
25 a large role in direct comparisons of point-based measurements and satellite retrievals, the trend
26 of high R_{GF} over areas with monoterpenes and low R_{GF} over areas with isoprene is broadly in
27 agreement for the two platforms. With these trends validated by ground measurements, R_{GF}
28 based on satellite retrievals may be useful as a diagnostic of BVOC emissions. As these

1 retrievals become available at higher time and spatial resolution, R_{GF} can be used to help identify
2 the speciation of VOCs leading to secondary pollutant formation on a regional scale.

3

4 **Acknowledgements**

5 The authors would like to acknowledge contribution from all members of the SENEX flight and
6 science teams. Funding was provided by U.S. EPA-Science to Achieve Results (STAR)
7 program- Grant 83540601. This research has not been subjected to any EPA review and
8 therefore does not necessarily reflect the views of the Agency, and no official endorsement
9 should be inferred. J. Kaiser acknowledges support from NASA Headquarters under the NASA
10 Earth and Space Science Fellowship Program - Grant NNX14AK97H. This work was also
11 supported as part of the NASA Aura Science Team.

12

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- 1 Formation of gas-phase carbonyls from heterogeneous oxidation of polyunsaturated fatty acids at
- 2 the air-water interface and of the sea surface microlayer, *Atmos. Chem. Phys.*, 14, 1371-1384,
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1 Table 1. Summary of previous published absolute values and trends of R_{GF}

Reference	Method	R_{GF} under biogenic influence (%)	R_{GF} under anthropogenic influence (%)	Trend in R_{GF} with anthropogenic influence
Vrekousiss et al. (2010)	Satellite	>4.5	<4.5	Decreasing
DiGangi et al. (2012)	LIF ^a /LIP ^b ; review of previous ground-based measurements	<2	>2.5	Increasing; independent of NO_x
MacDonald et al. (2012)	DOAS ^c ; model analysis	20-40	--	--
Li et al. (2014)	DOAS; model analysis	0.2-17		Generally increasing; depends on NO_x , OH, and physical processes
Miller et al. (2014)	Satellite	<4 (isoprene) >4 (monoterpenes)	~4	Depends on BVOC
This work	LIF/ACES ^d	<2.5(isoprene) >3 (monoterpenes)	variable	Depends on BVOC and AVOC

2 ^aLaser Induced Fluorescence (HCHO)

3 ^bLaser Induced Phosphorescence (CHOCHO)

4 ^cDifferential Optical Absorption Spectroscopy

5 ^dAirborne Cavity Enhanced Spectrometer (CHOCHO)

1 Table 2. Relative abundance of HCHO and CHOCHO from 1 ppb of a given precursor^a

Precursor	CHOCHO	HCHO	Ratio (%) ^b
Isoprene	0.27 ppb	4.3 ppb	6.3
α -pinene	0.31 ppb	3.6 ppb	8.6
β -pinene	0.49 ppb	3.6 ppb	14
Ethane	0.02 ppt	5.4 ppt	0.4
Ethene	586 ppt	24 ppt	4.2
Ethyne	0.91 ppt	14 ppt	1500
Propane	0.02 ppt	8.8 ppt	0.2
n-butane	1.5 ppt	140 ppt	1.1
Benzene	23 ppt	7.6 ppt	303
Toluene	103 ppt	150 ppt	69

2 ^aCalculated using a 0-D box model. See text for details.

3 ^bRatio = CHOCHO/HCHO

1 Table 3. Comparison of column-integrated and boundary layer R_{GF}

Profile number	Boundary layer R_{GF}^a	R_{GF} calculated from in situ HCHO Ω_V and CHOCHO Ω_V	Difference ^b	% of altitude range in FT ^c
1	2.7	3.2	0.6	68
2	2.2	2.6	0.4	53
3	2.7	3.4	0.7	50
4	2.0	2.1	0.1	50
5	1.7	2.1	0.4	50
6	1.9	2.2	0.3	47
7	2.4	2.2	-0.2	42
8	1.9	1.9	0.0	17
9	2.1	2.1	0.0	15
10	2.5	1.9	-0.7	15
11	2.6	2.4	-0.2	8
12	2.0	2.1	0.1	8

2 ^aObserved at 1 km

3 ^bCalculated as column-integrated R_{GF} - boundary layer R_{GF}

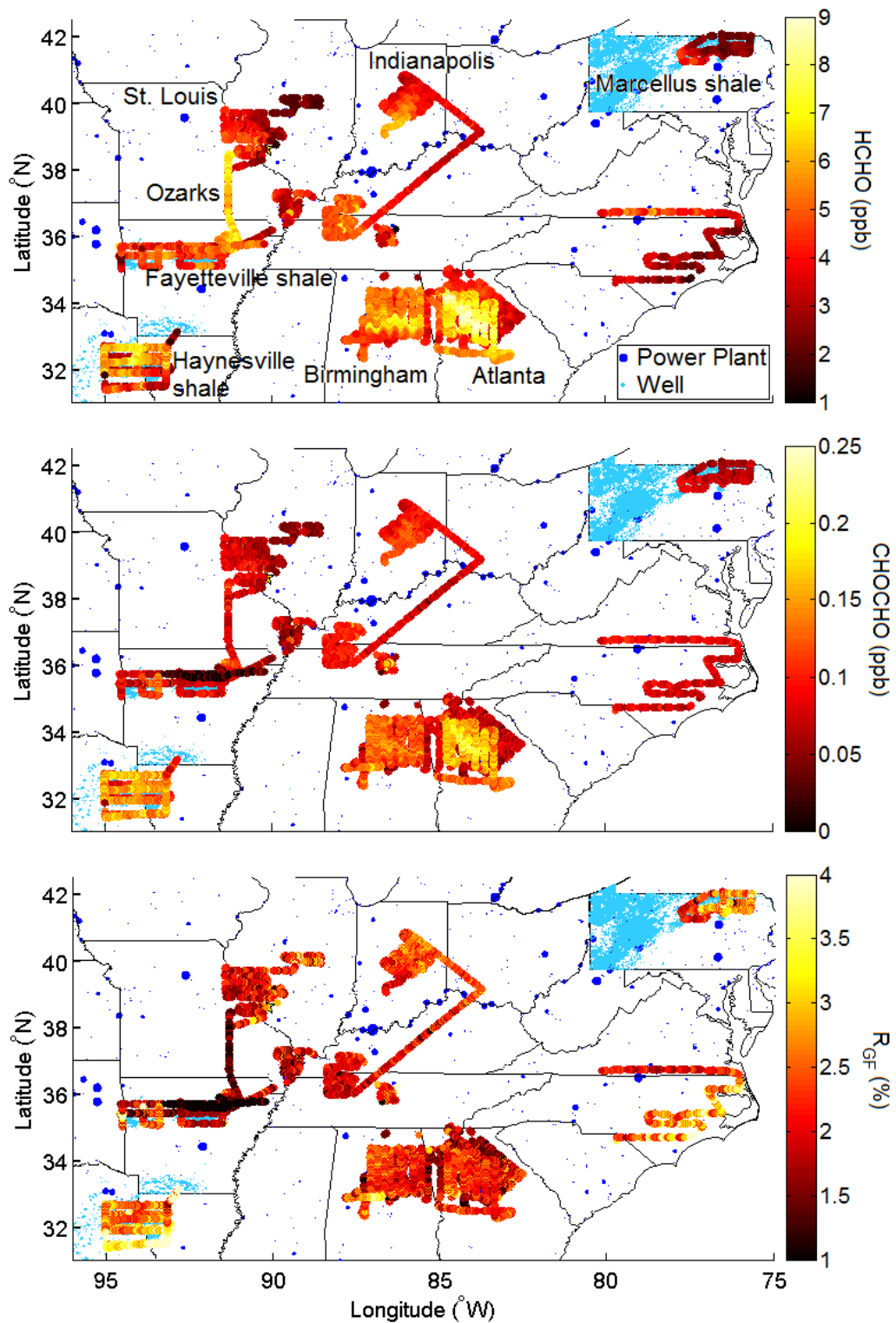
4 ^cFT = Free troposphere. Boundary layer height determined by gradient in O_3

1 Table 4. Linear fits of CHOCHO v. HCHO observations^a

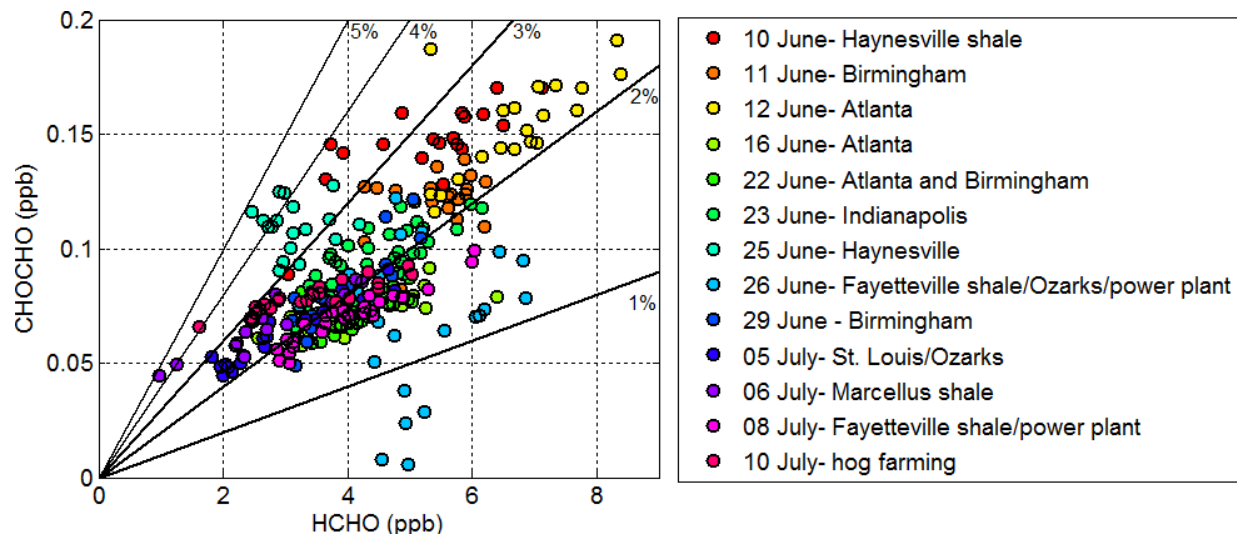
Method	Slope	Intercept	r^2	Average R_{GF} (%)
Flight	0.017	0.019 ppb	0.43	2.2
Satellite	0.024	~0.016 ppb ^b (6.6×10^{13} molec/cm ²)	0.38	2.8

2 ^aAll data are gridded to 0.5° x 0.5° resolution for orthogonal distance regression analysis. For
 3 SENEX flight observations, all flights (including Haynesville and Fayetteville areas) are
 4 included.

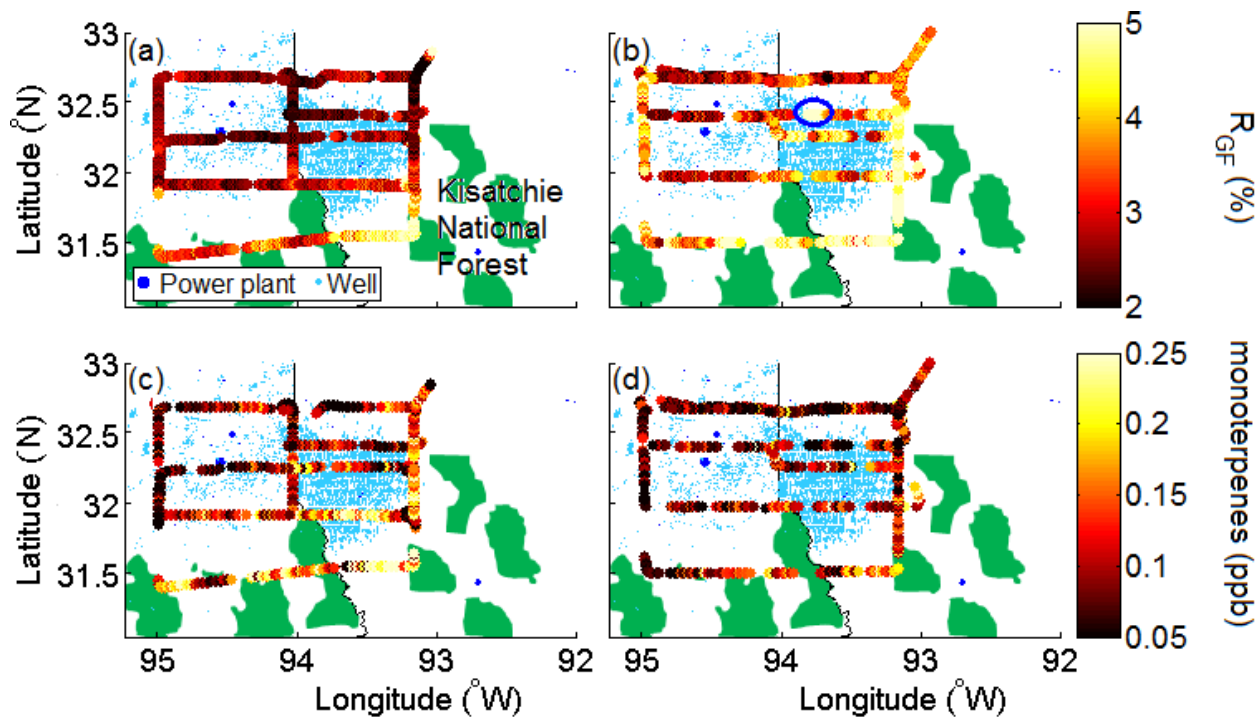
5 ^bGround level mixing ratio was calculated assuming CHOCHO and HCHO are contained within
 6 a well mixed 1500 m boundary layer and an atmospheric scale height of 7.5 km.



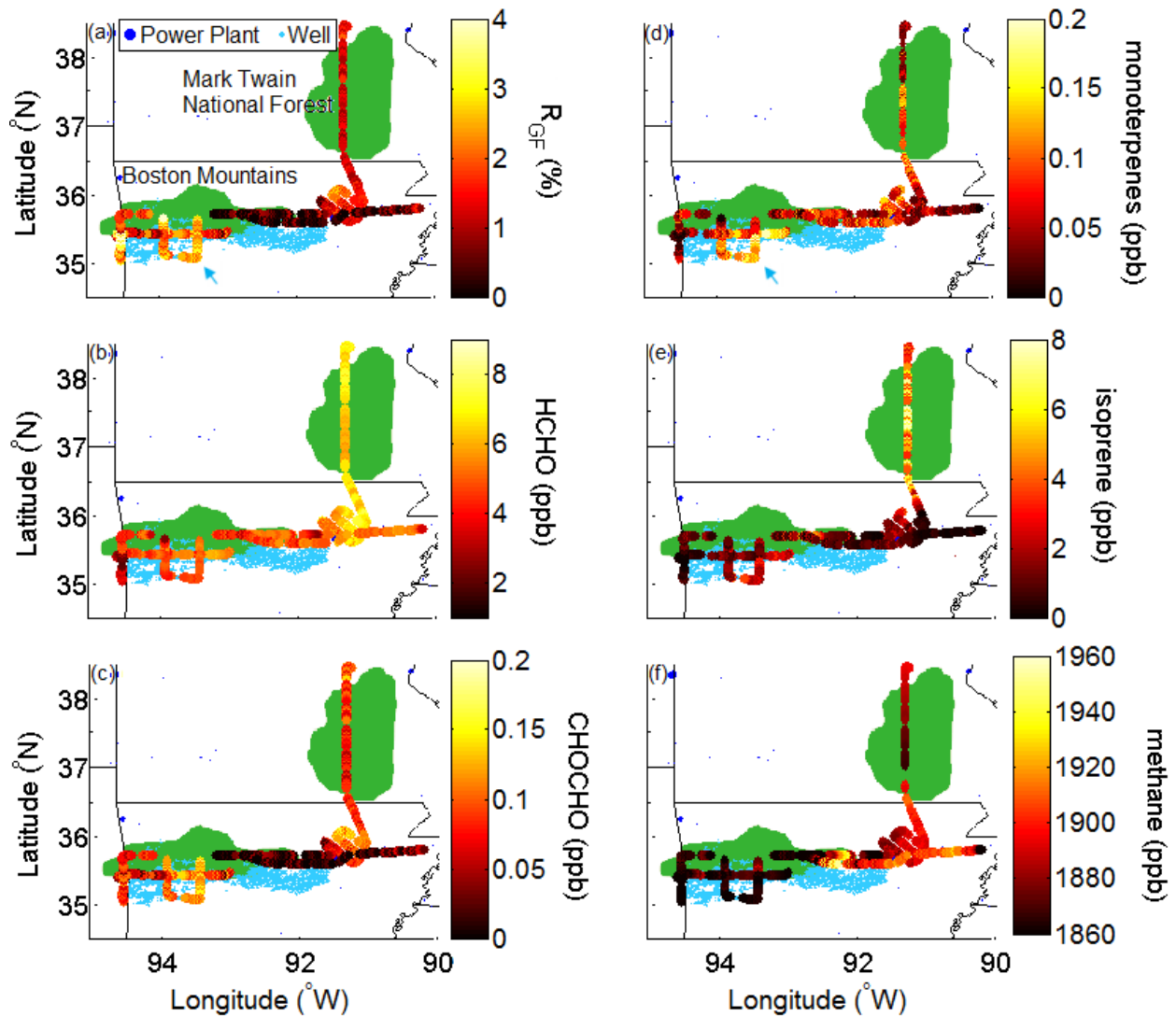
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 2 Figure 1. Daytime flight tracks colored by HCHO, CHOCHO, and R_{GF} . Power plant markers are
 3 scaled by NO_x emissions.



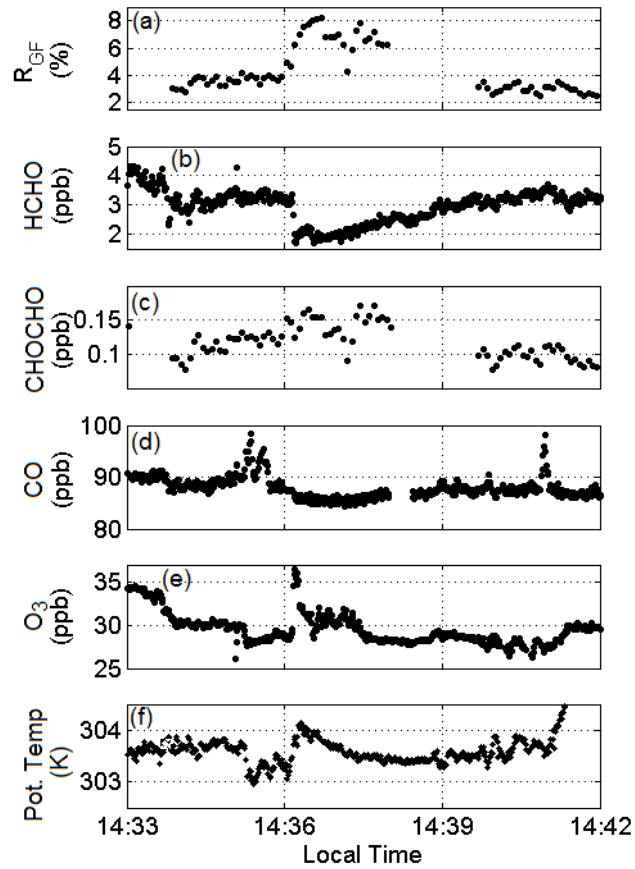
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 2 Figure 2. The relationship of CHOCHO and HCHO for each flight, gridded to OMI satellite
 3 resolution. Flights with extreme values of R_{GF} include those to the Haynesville shale (10 June
 4 and 25 June) and the Ozarks (26 June).



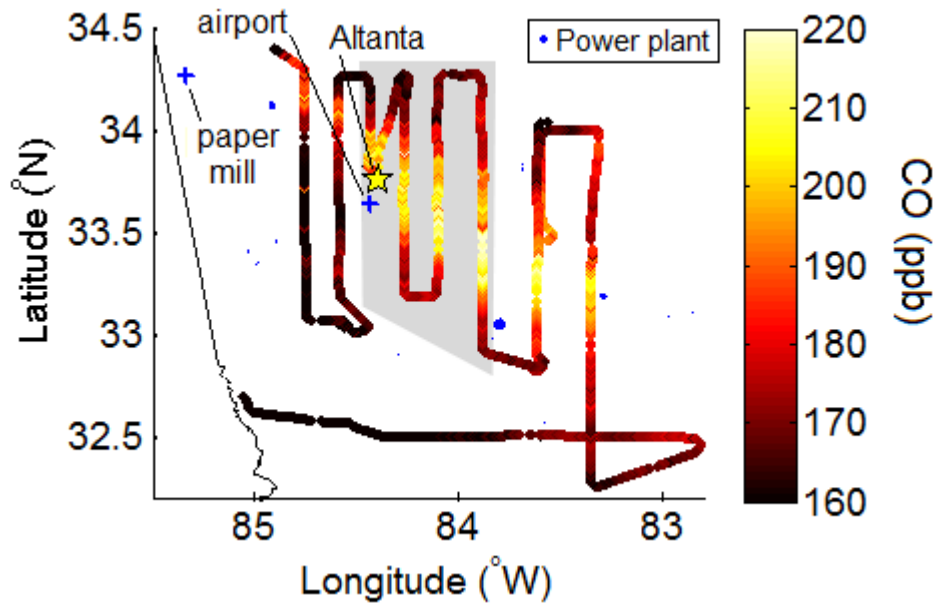
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 2 Figure 3. Flight tracks for 10 June (a, c) 25 June (b,d) over the Haynesville shale, colored by R_{GF}
 3 and the measured monoterpene mixing ratio. The southeast corner highlights high R_{GF} in a
 4 region with high monoterpene concentrations. The blue circle indicates the location of high R_{GF}
 5 discussed further in the text. Figure 5 shows meteorological and trace gas measurements
 6 acquired at this location. National parks are shown in green, and the Kisatchie National Forest is
 7 labeled in (a).



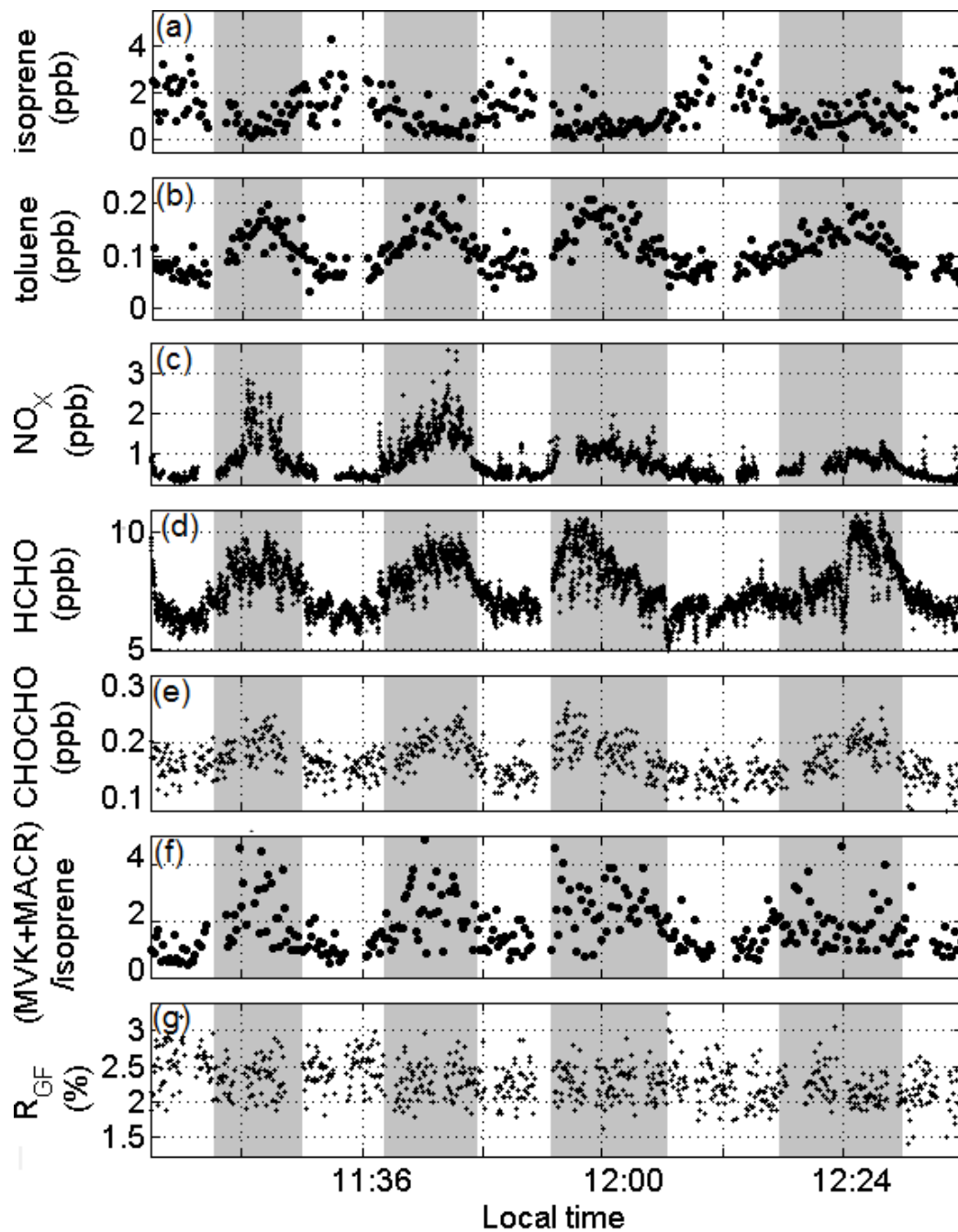
1
 2 Figure 4. Flight track for the 26 June over the Fayetteville shale, the independence power plant,
 3 and the Ozarks, colored by the specified trace gas mixing ratio and R_{GF} . The blue arrow
 4 highlights the region of elevated monoterpene mixing ratios. National forests are shown in green.



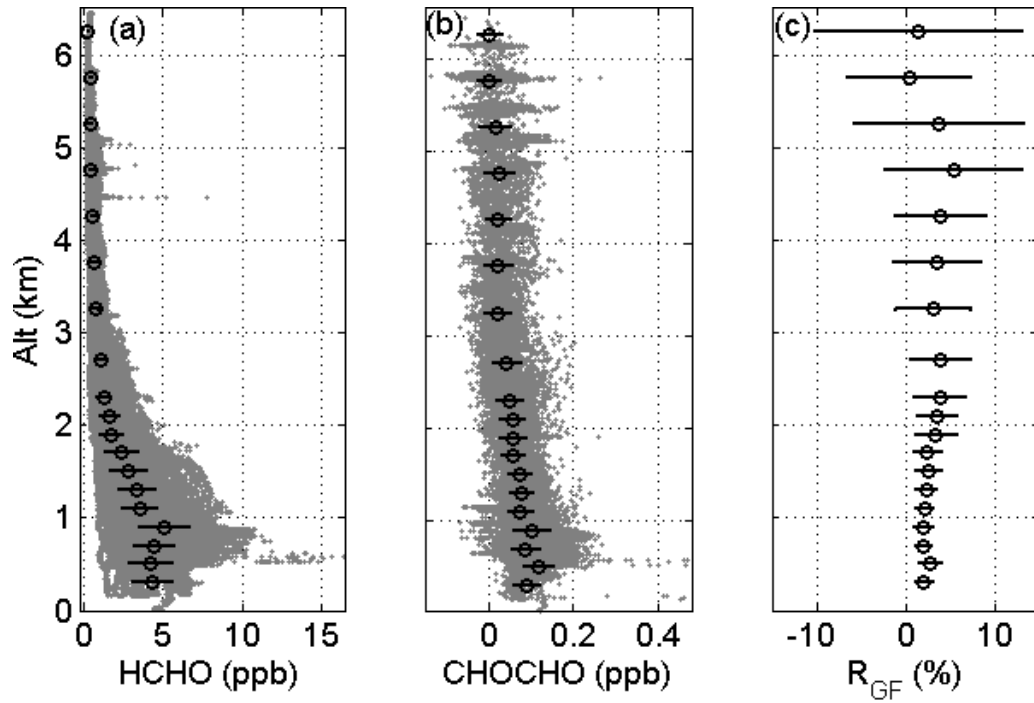
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 2 Figure 5. Time series of specified measurements during the rapid increase in R_{GF} observed south
 3 of Shreveport on the 25 June flight (blue circle in Fig. 3). An incursion of free tropospheric air
 4 near 14:36 L.T. drives high R_{GF} .



1
 2 Figure 6. Flight track for 12 June colored by CO, which shows the combined outflow of Atlanta,
 3 the airport, and a paper mill on the surrounding background. Measurements acquired in the
 4 shaded area are shown in Fig. 7.

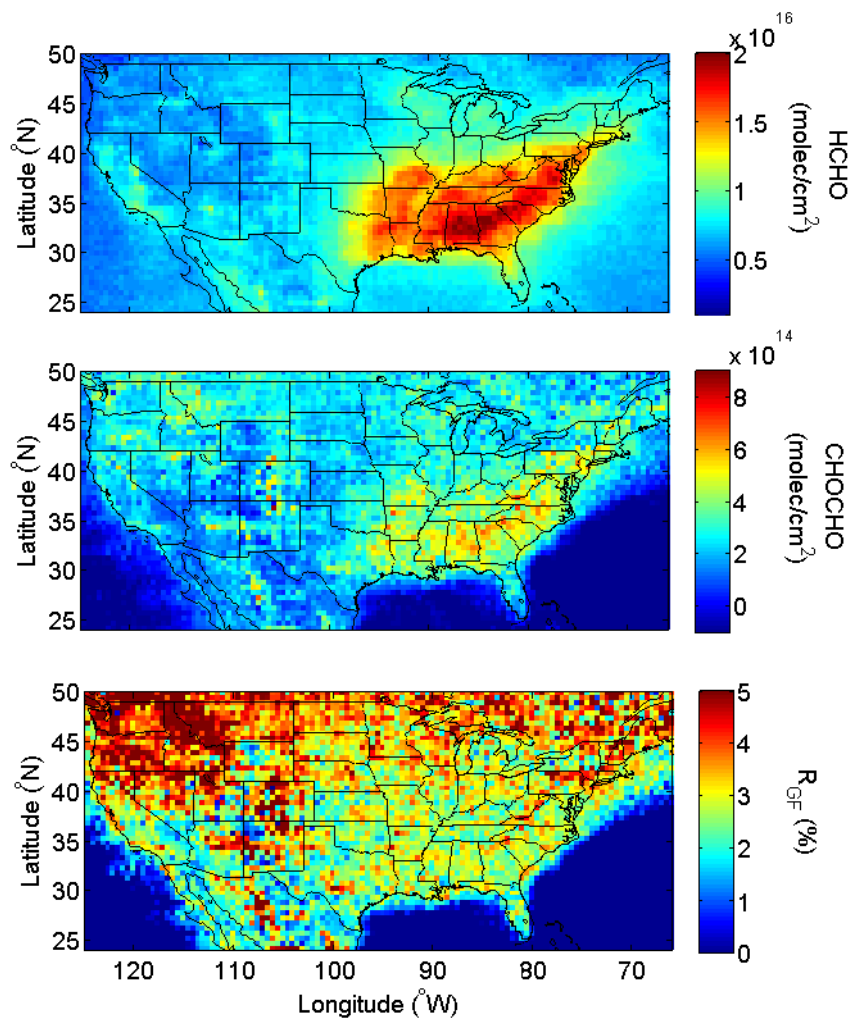


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 2 Figure 7. Measurements acquired on the 12 June flight corresponding to the boxed in region in
 3 Fig. 6. Shaded regions indicate high anthropogenic influence. While the measurements alter
 4 between AVOC/high NO_x and BVOC/low NO_x regimes, little change is seen in R_{GF} . The
 5 maximum values of NO_x and (MVK+MACR)/isoprene fall above the limits shown here.

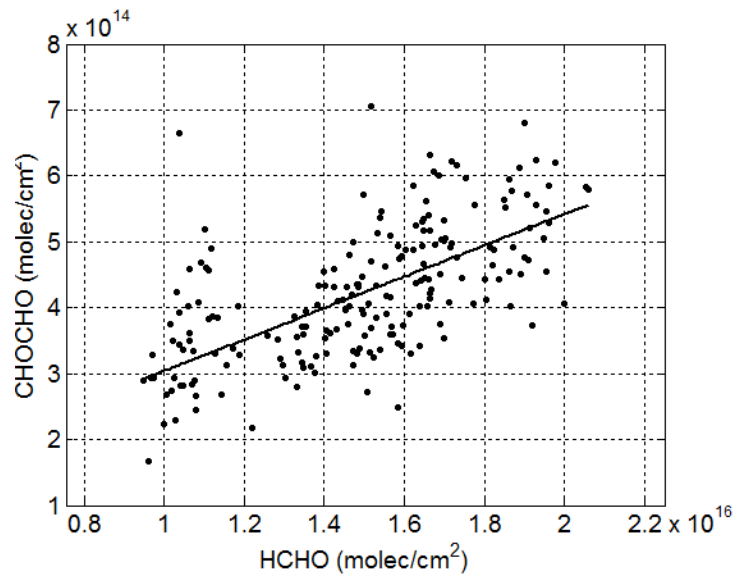


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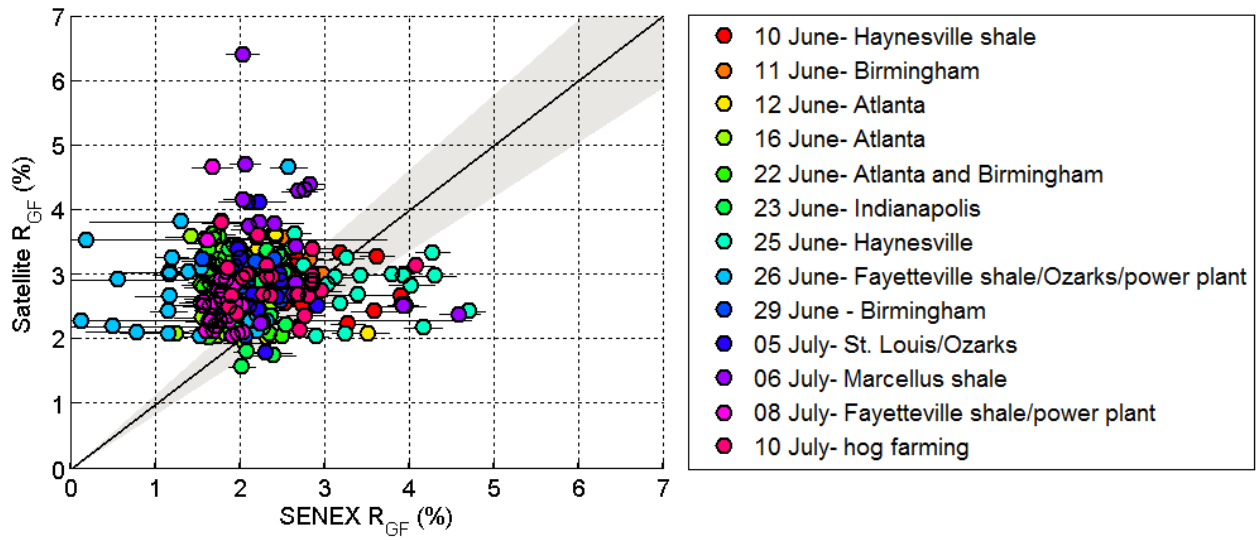
2 Figure 8. Average vertical profile of (a) HCHO and (b) CHOCHO measurements acquired
 3 during the flights specified in Fig. 1. Gray dots represent all measurements, black circles are
 4 averages in a given altitude bin, and error bars are standard deviation within that bin. Bins are
 5 200 m in height from 200 to 2500 m, and 500 m thereafter. (c) R_{GF} calculated from average
 6 HCHO and CHOCHO profiles. Error bars are calculated from the standard deviations of HCHO
 7 and CHOCHO observations.



1
 2 Figure 9. OMI Satellite retrievals of HCHO and CHOCHO vertical density during June through
 3 August of 2007. The ratio to CHOCHO to HCHO is shown in the bottom panel.



- 1
- 2 Figure 10. Satellite retrieval of CHOCHO v HCHO corresponding to grid coordinates of SENEX
- 3 boundary layer measurements. Statistics for the linear fits are shown in Table 4.



1
 2 Figure 11. Satellite and SENEX R_{GF} . The line represents a 1-1 relationship, error bars represent
 3 standard deviations of SENEX measurements within the given pixel, and the shaded area
 4 represents the accuracy of the SENEX measurements.