p3 l15-16: Here I think you intended to condense too much into one sentence, leading to the expression "unususlly tropical characteristics". I guess you mean something like "... air with tropical characteristics which is not usually seen over Lauder..." I suggest to `deconvolve' this expression and, if need be, split the sentence into two.

This sentence is indeed awkward. Upon further consideration it seemed better to drop the "tropical characteristics" portion of this sentence altogether. This is really an inappropriate point to bring up at this point of the paper.

p4 l4: "and a discussion is presented in Section 5" seems to be a somewhat empty statement. I would prefer if you could be a little more specific here. e.g. "...we summarize the most prominent anomalies in our data set along with our suggested explanations" or something like that. By the way: I miss a conclusions Section. Since the discussion takes already place in Sections 3 and 4, one could think about changing the current "Discussion" section into a "Conclusions" section.

We relabeled Section 5 as "Conclusions". The wording that you gave here seemed to describe Section 5 perfectly, so we used it.

p4 l.23: Would "spectral opacity of the troposphere" clearer? I am not an expert in microwave measurements but the term "tropospheric opacity" seems to imply a scalar value and thus should only be used if it is meant this way.

Over the spectral range of these measurements the tropospheric opacity is almost constant, so a scalar value is not inappropriate.

p5 l.5: "selected spectra chosen" sounds a bit circular. Do you mean "...continuously, and spectra associated with large or highly variable tropospheric opacity are discarded from further analysis..."

You're right, it sounds awkward, so we've replaced it with your suggested phrase. We have also dropped the phrase: "where limits for these values are set empirically at each site", since our Mauna Loa measurements are not discussed in this manuscript.

p5 l.9: Here it would be interesting to describe how long it takes to make one single measurement. Later in the paper you mention the term `integration time' but here you just talk about 6-hour data periods and the reader does not know if you average all the measurements in a 6-hours bin or if one measurement takes six hours. You could introduce and explicate the term `integration time' already here.

We now write: "Spectral scans are recorded over ~20 minute intervals, and those scans that are made in suitable weather conditions are averaged over four 6-hour periods starting at local midnight.

p.5. l. 13: "value is a bit vague, because the agreement depends probably on the specific definition of the quantity, and particularly the vertical resolution. Write "concentration" or "mixing ratio" or whatever the correct quantity is instead of value, and mention what layer thickness or altitude resolution the quantity refers to.

We now write: "mixing ratios, compared in 2-3 km altitude layers from 20 to 65 km,"

p.5. l22/23: "above the horizon" seems obsolete to me, because this is already said with the term `elevation angle'.

You're right. We've deleted that phrase and have also added some clarification here and in the next line since it wasn't clear that this angle was varying. We now write: "…the spectrum used in the retrievals is the difference between measurements being made at a low elevation angle (typically between 15° and 23°), and measurements made near the zenith through an attenuating sheet of plexiglass. The low elevation angle measurement is continually adjusted so that the measured temperature in the two positions is approximately balanced, …"

p.6 l.3: Wouldn't "difference spectra" be clearer here? I am not sure if I understood the text correctly. One way to understand it is that the y-vector in the retrieval is actually the difference of two spectra. lines 21 and 22 of page 5 seem to suggest this. The other way to understand it is that the y-vector in the retrieval is a concatenation of the two spectra recorded under different angles. In this case some information is obtained from the difference but formally it is not the difference which is analyzed. According to what understanding is correct, please insert either 'difference' in l. 3 or rewrite the first part of this paragraph accordingly.

The y-vector in the retrieval is a spectrum made up of the difference of two spectra, one taken near 22.6 degrees and one near zenith. So yes, you're right, "difference spectra" is clearer.

p6 l 5: I do not think that the term 'independent variable' is adequate here. In the RTE, the concentrations are the independent variable and the radiance the dependent variables, and in an inversion context the radiances are the independent variables and the concentrations the dependent variables. I suggest to replace this by 'altitude coordinate'.

We have replaced this sentence with "The native units of the system are mixing ratio vs. pressure."

p6 l11/12: It is not quite intuitive why you mention already here that the averaging kernels are not used. I would prefer that you mention this when the actual MOPI1-Satellite comparison is made. I think this is more adequate because there is no such comparison over a couple of pages, and the first one shown does use the averaging kernels.

Yes, good point. We have removed this sentence and added in the first paragraph of 3.2 the sentences: "In Fig. 6 we show MLS measurements both with and without convolution with MOPI averaging kernels. As the difference is small, all other satellite measurements are shown without convolution."

the page where Sect 5 begins, l 5: 'ending' typo double 'd'

Thanks. It's strange that the spell-checker didn't catch this.

same page l5 ff: The age of air trends by Stiller, 2012, 'Observed temporal evolution of global mean age of stratospheric air for the 2002 to 2010 period', ACP 12 3311-3331 seem to be consistent with this (see their Fig 10 top panel).

Stiller (2012) actually show an increase in AoA at 45S above 25km. Nevertheless, this is clearly relevant work, and we have added at the end of 4.3 the sentence: "Stiller et al. (2012) show an age-of-air trend from 2002-2010 which exhibits the same interhemispheric difference over much of the lower stratosphere at mid-latitudes, but they do show older air over the latitude of Lauder above 25 km."

Fig 7 Caption: "shown 4-times annually": Here it is not quite clear to me what is meant. "for four datas sets"?

No, the 4-times annually refers to the number of times that a point is plotted annually for each dataset. We have added in "for each instrument" in the parentheses, so that the first sentence of the caption now reads: "Annual average ozone anomalies at 10 hPa (30 km for SAGE II) shown 4-times annually (with annual averages for each instrument taken from January-December, April-March, July-June, and October-September)."

Fig 7: The left axis caption should not only include the unit but also the quantity, e.g. "ozone anomaly / ppmv"; The lower axis should be "year"

Done

Fig 8: The numbers of the isolines are hard to read. Could you make them larger or provide a colour scale?

Indeed, the values on this figure are given are not clearly delineated. We added to the figure caption the sentence: "Contour lines are shown for r=+/- 0.2, 0.3, 0.4, 0.5, 0.6, and 0.7." This makes it consistent with Figure 10 and hopefully makes it easier for the reader to estimate values than even a color bar. I hope this is acceptable.

Figures, general: I have just learned that, according to the relevant iso-norm, the units in plots should not be written in parentheses but like "quantity / unit". I will not force you to make all these changes for this paper but in the long run it may be better to convert the plotting routines towards the iso norm.

Thanks for not making us redo the figures. I'll try to remember the iso rules for next time.

Unusual stratospheric ozone anomalies observed in 22 years of measurements from Lauder, New Zealand

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Abstract

The Microwave Ozone Profiling Instrument (MOPI1) has provided ozone (O_3) profiles for the Network for the Detection of Atmospheric Composition Change (NDACC) at Lauder, New Zealand (45.0°S, 169.7°E), since 1992. We present the entire 22 year dataset and compare with satellite O_3 observations. We study in detail two particularly interesting variations in O_3. The first is a large positive O_3 anomaly that occurs in the mid-stratosphere (~10-30 hPa) in June 2001, which is caused by an anticyclonic circulation that persists for several weeks over Lauder. This O_3 anomaly is associated with the most equatorward June average tracer equivalent latitude (TrEL) over the 36-year period (1979-2014) for which the Modern Era Retrospective-Analysis for Research and Applications (MERRA) reanalysis is available. A second, longer-lived feature, is a positive O_3 anomaly in the mid-stratosphere (~10 hPa) from mid-2009 until mid-2013. Coincident measurements from the Aura Microwave Limb Sounder (MLS) show that these high O_3 mixing ratios are well correlated with high nitrous oxide (N_2O) mixing ratios. This correlation suggests that the high O_3 over this 4-year period is driven by unusual dynamics. The beginning of the high O_3 and high N_2O period at Lauder (and throughout this latitude band)

occurs nearly simultaneously with a sharp decrease in O_3 and N_2O at the equator, and the period

ends nearly simultaneously with a sharp increase in O_3 and N_2O at the equator.

1. Introduction

Observations of total column ozone (O_3) show that, over most of the globe, O_3 loss has

leveled off since ~2000, and O_3 has even begun to increase. The large decline observed from the

1960s to the late 1990s has ended as a result of the reduction in chlorofluorocarbon (CFC)

emissions following the 1987 Montreal Protocol (WMO, 2014). While global O_3 may be

recovering, the magnitude and sign of stratospheric O_3 trends over multi-decadal timescales in

the mid-stratosphere strongly depends on geographical location. It is important to understand the

causes of this geographical variation.

Several studies of satellite data show the variability in O_3 trends depending upon the

exact timeframe and geographical location. Kyrölä et al. (2013), using measurements from the

Stratospheric Aerosol and Gas Experiment (SAGE) from 1984-1997, show a general decrease in

O_3 that is statistically significant over much of the stratosphere and is particularly large in the

mid-latitude upper stratosphere. However, they also show an increase in equatorial O_3 (albeit not

statistically significant) in the 30-35 km region.

There are a number of studies covering later years, all of which show a variation in O_3

that differs dramatically from that of the 1984-1997 SAGE data. Nedoluha et al. (2015) studied

O_3 over the period 1991-2005, when Halogen Occultation Experiment (HALOE) measurements

are available, and found a strong decrease in mid-stratospheric O_3 in the tropics over this period.

Kyrölä et al. (2013) also examined the period 1997-2011, showing a general increase in O_3 from

SAGE and Global Ozone Monitoring by Occultation of Stars (GOMOS) measurements, but a

statistically significant decrease near 30 km in the tropics. Measurements from the Scanning Imaging Absorption Spectrometer for Atmospheric Chartography (SCHIAMACHY) instrument for the period 2002-2012, reported by Gebhardt et al. (2014), showed a pattern similar to the 1997-2011 pattern reported by Kyrölä et al. (2013), i.e., a strong statistically significant decrease in tropical O_3 in the 30-35 km region while most of the middle atmosphere shows a slight increase in O_3. Eckert et al. (2014), using Michelson Interferometer for Passive Atmospheric Sounding (MIPAS) data from 2002-2012, also showed a general increase in O_3 in most regions, especially in the Southern Hemisphere mid-latitudes near ~20 hPa, but found statistically significant negative trends in the tropics from ~25 hPa to 5 hPa. Finally, Nedoluha et al. (2015) showed that from 2004-2013 Aura MLS measurements showed a strong decrease in mid-stratospheric O_3 in the tropics. Nedoluha et al. (2015) also showed, based on changes in N_2O measured by MLS and NO_x measured by HALOE, that the decadal scale changes in equatorial O_3 of the magnitude observed could best be understood as being caused by dynamical variations. The goal of this paper is to better understand how variations in mid-stratospheric O_3 over Lauder are affected by large scale dynamical variations. We will examine in detail two particular variations in O_3: a monthly anomaly in 2001 and a 4 year anomaly from 2009-2013. The 4 year anomaly, when analyzed from the beginning of the Aura MLS time series, results in a positive linear O_3 trend in the mid-stratosphere over Lauder, in the opposite sense to the trend over this time period in the tropics. An improved understanding of how dynamical variations affect mid-stratospheric O_3 variations at this Southern mid-latitude site is important for interpreting measurements from mid-latitude sites in terms of long-term global O_3 change.

This paper is organized as follows. Section 2 describes the ground-based and satellite measurements. Section 3 examines the MOPI O_3 time series, focusing on the unusual anomalies

Deleted: , and in particular how air with unusually tropical characteristics affects the O_3 mixing ratios over Lauder.

in 2001 and 2009-2013. Section 4 examines MOPI O_3 in the context of global O_3 and N_2O variations, and in Section 5 we summarize the most prominent anomalies in our data set along with our suggested explanations.

2. Measurements

The Microwave Ozone Profiling Instrument (MOPI1) instrument has been making measurements of stratospheric O_3 from the Network for the Detection of Atmospheric Composition Change (NDACC) station at Lauder, New Zealand (45.0°S, 169.7°E) since 1992. With the exception of repairs, the instrument has been essentially unchanged during this entire period. Both this MOPI1 instrument and the similar MOPI2 instrument deployed at Mauna Loa, Hawaii, since 1995, have been used as a ground-based reference for a number of satellite instruments. Satellite measurements can provide a global perspective for the MOPI measurements, and we will use measurements from Aura MLS to provide such a global perspective for MOPI ozone variations since 2004. Here we present a brief description of both the ground-based microwave and satellite measurement techniques.

2.1 Ground-based microwave measurements

Each MOPI instrument uses a heterodyne receiver coupled to a 120 channel filter spectrometer to measure the line emission spectrum produced by a thermally excited, purely rotational ozone transition at 110.836 GHz (2.7 mm wavelength). The spectral intensities and measurements of the tropospheric thermal emission are calibrated with black body sources at ambient and liquid nitrogen temperatures. The tropospheric opacity is calculated from hourly emission measurements. The experimental technique was described in Parrish et al. (1992), and

technical details on the instrument used for this work are given in Parrish (1994). MOPI

measurements have been employed in several validation and trend studies (e.g., Boyd et al.,

2007; Steinbrecht et al., 2009).

MOPI observations are made continuously, and spectra associated with large or highly variable tropospheric opacity are discarded from further analysis. This technique allows measurements in weather ranging from clear sky to some overcast conditions. The standard MOPI retrieval product, which will be used here, provides up to four retrievals per day. Spectral scans are recorded over ~20 minute intervals, and those scans that are made in suitable weather conditions are averaged over four 6-hour periods starting at local midnight. The diurnal variations in the O_3 measurements from the MOPI2 instrument at Mauna Loa (using a 1-hour retrieval product) have been validated and compared to the Goddard Earth Observing System Chemistry Climate Model (GEOSCCM) O_3 (Parrish et al., 2014), and measurements and model mixing ratios, compared in 2-3 km altitude layers from 20 to 65 km, generally agree to better than 1.5% of the midnight value. For this study we make use of three of the four daily retrievals, and do not include the daytime afternoon measurements (i.e., 1200-1800 local time). This selection has been made because, at Lauder, these measurements show a slightly anomalous vertical profile in the mid-stratosphere, with values at 10 hPa lower by ~3% than at other times of the day. We believe that these variations may be caused by the strong thermal cycles in the building housing the instrument, especially in the afternoon.

In Fig. 1 we show a typical spectrum and O_3 profile retrieval from the MOPI1 instrument. As described in Parrish et al. (1992), the measurement shown is obtained using a switching technique (Parrish et al., 1988) so that the spectrum used in the retrievals is the difference between measurements being made at a low elevation angle (typically between 15° and 23°), and

measurements made near the zenith through an attenuating sheet of plexiglass. The low elevation angle measurement is continually adjusted so that the measured temperature in the two positions is approximately balanced, and any remaining slope or offset in this difference spectrum is removed before retrieving the O_3 profile. The O_3 mixing ratio profiles are retrieved from the difference spectra using an adaptation of the optimal estimation method of Rodgers (1976), discussed in Parrish et al. (1992) and Connor et al. (1995). Error analysis techniques are discussed in the latter paper. The native units of the system are mixing ratio vs. pressure.

    In Fig. 2 we show typical averaging kernels for the MOPI1 version 6 retrievals. The vertical resolution of the MOPI1 measurements is ~7-8 km (FWHM) at 10 hPa, slightly coarser than at Mauna Loa, where the MOPI2 resolution at the 10 hPa pressure level is ~6 km. The MOPI retrievals have a measurement contribution of near 100% at 10 hPa, as defined by the technique of Connor et al. (1995).

2.2 Satellite measurements

    We compare MOPI O_3 measurements with observations from three satellites that provide coincident measurements. Two of these are solar occultation instruments, which make ~15 sunrise and ~15 sunset high vertical resolution (~1 km) profile measurements each day, generally at different latitudes, with the latitude bands varying differently over the course of the season depending on the satellite orbit. The majority of MOPI-satellite comparisons come from Aura MLS, which provides measurements over all latitudes between 82°S and 82°N on a daily basis.

    The SAGE II instrument was launched in October 1984 aboard the Earth Radiation Budget Satellite, and continued making measurements through August 2005. It consisted of a seven channel solar photometer using ultraviolet and visible channels between 0.38 and 1.0 μm

in solar occultation mode to retrieve atmospheric profiles of ozone, water vapor, nitrogen dioxide and aerosol extinction. Measurements were made over a latitude range from 80°S to 80°N. The measurements are retrieved as ozone number density as a function of altitude, but are also provided as ozone mixing ratio as a function of pressure. The version 6.1 data is described in Wang et al. (2002).

The v7.00 dataset (Damadeo et al., 2013), released in December 2012, is used in this analysis. This latest processing version implements an algorithm that is consistent across all SAGE missions. The most significant change in the new version is that, whereas the previous SAGE retrievals made use of the meteorological profiles from the Climate Prediction Center (CPC) NCEP analysis, the new retrievals make use of the Modern Era Retrospective-Analysis for Research and Applications (MERRA) reanalysis. Retrievals using the meteorological profiles from the MERRA reanalysis show significantly different O_3 mixing ratios as a function of pressure in the upper stratosphere and mesosphere (pressures below ~4 hPa). For the pressure levels of interest for this study, however, the differences between the v6.1 and v7.00 SAGE O_3 retrievals are insignificant.

HALOE solar occultation measurements of O_3 are available from 1991-2005. The latitude bands drifted daily so that near global latitudinal coverage was provided in both sunrise and sunset modes five times over the course of a year. The trends in the HALOE O_3 measurements have been compared against SAGE II (Nazaryan et al., 2005) and differences have been found to be on the order of less than 0.3% per year in a majority of latitude bands at 25, 35, 45, and 55 km.

Aura MLS measurements of O_3 and N_2O are available since 2004. The stratospheric O_3 product has been validated by Froidevaux et al. (2008). The vertical resolution of the MLS O_3

1 measurements at 10 hPa is ~3 km. The N$_2$O measurements have been validated by Lambert et

2 al. (2007) and have a vertical resolution of ~4 km. Upper Atmosphere Research Satellite

3 (UARS) MLS measurements of O$_3$ are available from 1991-1999, and were validated by

4 Froidevaux et al. (1996) for the v2.2 retrievals and by Livesey et al. (2003) for the v5 retrievals.

5

3. The MOPI O$_3$ timeseries

7   In Fig. 3 we show the monthly anomalies at selected pressure levels for the entire

8 MOPI1 data record. The anomalies are calculated by first fitting the data with a sinusoidal

9 seasonal cycle (including annual and semi-annual terms) and then subtracting this seasonal cycle

10 from the data. There are two interesting features that particularly stand out. The first is the large

11 positive anomaly that occurs in June 2001 at 31.6, 17.7, and 10.0 hPa (green boxes). The

12 second, much longer-term feature, is the positive anomaly at 10.0 and 5.6 hPa from August 2009

13 through July 2013 (red boxes). During this period the mean monthly O$_3$ anomaly at 10 hPa is

14 0.32 ppmv, only 7 of the 47 measurement months show a negative anomaly, and the 3-month

15 smoothing never shows a negative anomaly. This period ends with a sharp drop in O$_3$ in August

16 2013.

17

3.1 Unusually high mid-stratospheric O$_3$ in June 2001

19   Since there are no MLS measurements available to document the global variation in O$_3$

20 during June 2001, we use Tracer Equivalent Latitude (TrEL) simulations in order to better

21 understand the June 2001 anomaly over Lauder. TrEL is determined from isentropic passive

22 tracer advection calculations on the sphere as described by Allen and Nakamura (2003). The

23 tracer mixing ratio is converted to an equivalent latitude by matching the area enclosed by tracer

contours to that enclosed by an equivalent latitude line. Specific details of the TrEL calculation used for this paper, based on MERRA winds, are provided by Allen et al. (2012). The average TrEL in June 2001 over Lauder on potential temperatures surfaces from 550 K to 850 K (~35 to 10 hPa) was the highest (i.e., most equatorward TrEL) June average observed throughout the 36-year period from 1979-2014. At 650 K the mean TrEL value at Lauder in June 2001 was ~31°S, indicating unusually tropical air relative to the latitude of the site.

From ~30 hPa to ~3 hPa, O_3 generally increases from pole to equator throughout the year, hence the unusually high (equatorward) TrEL is associated with high O_3. We calculated the climatological monthly O_3 latitudinal gradient from 45°S to 35°S from the MLS measurements, and found that from 20-10 hPa, this gradient peaks during the months of March-June. Hence O_3 mixing ratios measured over Lauder are particularly sensitive to changes in TrEL during these months. Thus the unusually high O_3 anomaly in June 2001 is likely the result of an unusual amount of equatorward air over Lauder at a time when O_3 variations are particularly sensitive to such transport.

To better explain the dynamics that caused this unusually high TrEL (and hence O_3) over Lauder in June 2001 we show, in Fig. 4, the TrEL at 650 K (~20 hPa) for the entire Southern Hemisphere from 18 June – 2 July 2001. Low TrEL occurs throughout the polar vortex, also identified by streamlines (white contours) circling the pole. A strong anticyclone, identified by closed streamlines and elevated TrEL (marked with black "H"), moves eastward from 18-22 June, before remaining relatively stationary for the next 8 days. This is an unusually strong "blocking" type pattern that kept high TrEL/high O_3 air over Lauder. Figure 5 shows the vertical structure of this feature at 45ºS, identified by zonal anomalies of geopotential height over a range of pressure surfaces from 1000 to 0.1 hPa. Elevated values extend from the tropopause (~200

hPa) into the lower mesosphere, tilting westward and narrowing with height. The anomaly peaks at ~10 hPa, with a longitudinal extent of ~120°. While this quasi-stationary stratospheric "Australian high" signature is known to occur in the SH spring (Harvey et al., 2002), this is the largest and most persistent episode observed in June in the 36-year TrEL simulation.

3.2 Unusually high mid-stratospheric O_3 from August 2009 through July 2013

The 4 years of elevated O_3 (2009-2013) occurred during the period when coincident Aura MLS measurements are available. Aura MLS overpasses occur near 01:15 and 14:30 local solar time at the latitude of Lauder. Since we are not using the MOPI1 measurements from 12:00-18:00, only the 01:15 overpasses are used. For comparison with MOPI1, we choose a longitude coincidence criterion of +/-6°, which generally includes two daily 01:15 MLS overpasses. The monthly averages at 10 hPa from 2004-2014 are compared in Fig. 6. In Fig. 6 we show MLS measurements both with and without convolution with MOPI averaging kernels. As the difference is small, all other satellite measurements are shown without convolution. The MLS measurements show very good agreement with the MOPI measurements over the entire period, and both instruments show the large O_3 increase in mid-2009 and the large decrease in mid-2013. In mid-2009, mixing ratios increased from values near the lowest observed during the Lauder winter over this 10 year period, to values at, or near, the highest observed in a Lauder summer. There was a month-long gap in the MOPI1 measurements in July 2013, but the observed drop in the coincident MLS measurements is very similar to that of the MOPI1 measurements between June and August 2013. Both instruments show that, in August 2013, the O_3 values were the lowest since 2009.

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Deleted: Unless otherwise indicated the satellite measurements which will be shown have not been convolved with the MOPI averaging kernels.¶

The unusual nature of the 2009-2013 period is even more clearly emphasized in Fig. 7, which shows annual average anomalies from MOPI and from four satellite instruments that measured O_3 over extended periods since the early 1990's. All of the measurements shown in Fig. 7 are provided on their native grid. For the SAGE II measurements the native grid is altitude, and results are shown at 30 km. For HALOE, UARS and Aura MLS, and MOPI we show results at a 10 hPa. Note that, with the exception of the MOPI measurements, the O_3 anomalies shown in Fig. 7 are zonally averaged. Since only the MOPI1 measurements are available throughout the entire time period, all of the satellite measurements have been offset so that the average ozone matches that of MOPI1 during the period of coincidence. We note that there is an increase of ~4% in the MOPI measurements relative to both the locally coincident and the zonally averaged and convolved Aura MLS (shown in Fig. 6), which occurs primarily near the beginning of the Aura MLS timeseries. Since Fig. 7 shows annual averages it helps to emphasize the Quasi-Biennial Oscillation (QBO). The annual-average MOPI measurements show local minima in 1997, 1999, 2002, 2004, late 2006/early 2007, and late 2008/early 2009. Following the minimum in late 2008/early 2009 the O_3 rises and remains well above the long-term average until 2013.

4. O_3 and N_2O at Lauder and at the Equator

4.1 Monthly O_3 anomaly correlations

To better understand the global implications of the observed O_3 variations over Lauder, we investigated how the variations in O_3 observed over Lauder compare globally with changes in MLS O_3. We first calculated monthly averaged O_3 at each MLS pressure level from 50 to 1 hPa in 2° latitude bins for 10 years of MLS data (2004-2014). We then calculated a climatological

1   (i.e., 10-year) average for each calendar month. Using this climatology, we calculated an

2   anomaly for each month of the 10-year series as a function of latitude and pressure. A similar

3   monthly anomaly time series was calculated for the MOPI ozone at 10 hPa. Correlation

4   coefficients were calculated between the 10 hPa MOPI anomalies and the MLS anomalies at

5   different pressures and latitudes, using months where both MLS and MOPI measurements were

6   available.

7   Figure 8 shows the correlation coefficient (r) as a function of pressure and latitude. The

8   strongest correlation occurs slightly equatorward of Lauder and at a slightly higher pressure

9   level. This is likely due to differences in instrumental errors, vertical resolution, and because the

10   MOPI1 measurement is for local conditions near Lauder and not a zonal average. At the equator

11   and 10 hPa there is a strong anti-correlation ($r < -0.5$) between MOPI1 and MLS (the correlation

12   between 10 hPa MLS O_3 at 45°S and the equator is similar). There is also a weaker anti-

13   correlation between MOPI1 and MLS O_3 at ~20-45°N below 10 hPa.

14   The geographical correlations seen in Fig. 8 are similar to those discovered by Randel

15   and Wu (1996), who used singular value decomposition (SVD) analysis to study the relationship

16   between QBO zonal winds and global SAGE O_3 anomalies. The second mode of their analysis

17   (SVD2; which explains 25% of the overall covariance) shows an anti-correlation between 10 hPa

18   O_3 at Southern mid-latitudes and at the equator. It also shows a much weaker anti-correlation

19   between 10 hPa O_3 at Southern mid-latitudes and O_3 at Northern mid-latitudes at slightly higher

20   pressures.

21   Of course the temporal correlations shown in Fig. 8 give no indication of the time period

22   over which the correlation is taking place (by using anomalies we have eliminated only the

23   seasonal cycle), and could, e.g., represent QBO-like variations, solar cycle driven variations, or

1 decadal-scale changes. What Fig. 8 certainly does emphasize is that the anomalies over Lauder

2 at 10 hPa during the period 2004-2014 are not, predominantly, driven by a decadal scale global

3 trend.

4

5 **4.2 Links between O_3 and N_2O**

6   Nedoluha et al. (2015) showed that O_3 variations at the equator are very strongly

7 positively correlated to variations in N_2O. This relationship could best be understood as

8 resulting from dynamical variations. Using a 2D chemical transport model, Nedoluha et al.

9 (2015) showed that slower ascent resulted in more N_2O being photodissociated and oxidized to

10 produce NO_x (while reducing N_2O), and the increased NO_x destroyed more ozone, resulting in a

11 positive correlation between O_3 and N_2O. Such a relationship has been previously deduced from

12 changes in HALOE measurements of NO_2 at ~10 hPa from 1993-1997, where the change in NO_2

13 was shown to be consistent with a decrease in upward transport (Nedoluha et al., 1998).

14   In Fig. 9 we show monthly average anomalies for O_3 and N_2O from Aura MLS and

15 MOPI at 10 hPa. The variations in both O_3 and N_2O from 5°S to 5°N (Fig. 9, right) show a clear,

16 and similar, QBO signature. The connection between the QBO signal in O_3 and NO_y (which is

17 affected by N_2O) was recognized in SAGE II data by Chipperfield et al. (1994), who pointed out

18 that it was the result of QBO modulation of the vertical advection, with faster ascent resulting in

19 larger O_3 mixing ratios in the mid-stratosphere.

20   In addition to O_3 and N_2O, Fig. 9 shows the zonally averaged 30 hPa QBO winds over

21 the equator from the Climate Data Assimilation System (from www.cpc.ncep.noaa.gov). The 10

22 hPa equatorial O_3 and N_2O anomalies show a slight phase-lag relative to the 30 hPa QBO wind

23 anomaly, but the generally positive correlation between this 30 hPa wind anomaly and O_3 and

N$_2$O mixing ratios suggests that an anomalously fast ascent rate near 10 hPa is associated with westerly (positive) winds at 30 hPa.

Figure 9 also shows that in 2006, 2008, 2010, and 2013 there are sharp increases in O$_3$ and N$_2$O from 5°S to 5°N near the middle of the year, while in 2007, 2009, and 2011 there are sharp decreases. Following these sharp changes the equatorial anomaly often remains high (or low) until the next June/July period. Thus the variation is often nearly biennial except for the absence of a change in sign for the O$_3$ and N$_2$O anomalies from 5°S to 5°N in June/July 2012.

While the variation shown in Fig. 9 seems to be primarily nearly biennial, the period from 2009-2013 shows lower average equatorial O$_3$ and N$_2$O mixing ratios than are observed from 2004-2008, as is apparent in the annual averages shown in Fig. 7. Figure 9 shows that the 5°S to 5°N O$_3$ and N$_2$O mixing ratios have both lower maxima and lower minima at a similar phase of the QBO. While these equatorial N$_2$O and O$_3$ anomalies are correlated with the phase of the QBO wind anomalies, it is not clear whether or not the unusually low O$_3$ and N$_2$O mixing ratios in 2009-2013 are associated with unusual QBO wind anomalies.

There are some peculiarities in the QBO winds during the 2009-2013 period. For instance, the westerly wind anomalies in 2010 are weaker than the other four cycles during this period (16.0 m/s in August 2010 is the lowest maximum since 1992). The easterly 30 hPa wind anomalies in 2009/2010 are unusually strong for ~3 months before an unusually fast transition back to westerly winds, while the 21.4 m/s maximum easterly wind anomaly in 2012 is the weakest over the four cycles shown. The 30 hPa wind anomalies during the 2008-2013 period persist for slightly longer than usual. The winds switched from easterly to westerly in March 2008, August 2010, and March 2013, producing QBOs of length 29 months and 31 months respectively.

The 10 hPa O_3 and N_2O anomalies at 40°S to 50°S (Fig. 9, left) are not as strongly correlated as at the equator (see Fig. 4, Nedoluha et al., 2015), but nonetheless there is clearly a positive correlation between the anomalies of these two species. Not unexpectedly, given the correlations shown in Fig. 8, these Southern mid-latitude anomalies show variations that are usually opposite to those seen at the equator. Most clearly the sharp changes in June/July are anti-correlated with those near the equator. Figure 9 shows that, like the O_3 values that have been shown previously, the N_2O values over latitudes near Lauder are elevated from 2009-2013.

The lower stratospheric anomalies in O_3 and N_2O at 40°S to 50°S are likely to be caused by the variations in the rate at which tropical air with high N_2O and low O_3 air moves into the Southern mid-latitudes, relative to the rate at which low N_2O and high O_3 air descends into this region. The same tropical 30 hPa westerly winds which are associated with the increased ascent rate in the tropics seem to be correlated with a decrease in transport from the tropics into the Southern Hemisphere, resulting in an anti-correlation between N_2O (and hence O_3) anomalies at the tropics and the Southern mid-latitudes.

4.3 Decadal changes in O_3 and N_2O

To provide a global perspective on the 2009-2013 anomalies, we used linear regression to fit the MLS monthly mean data from August 2004 through May 2013 to 8 parameters, including annual and semi-annual sinusoidal terms, the 30 hPa and 50 hPa QBO winds, and a linear trend term. The linear trend terms from these fits are shown in Fig. 10. Based on the monthly MLS dataset that was used for the fit, the average 1-σ uncertainty in the O_3 (N_2O) trend fit is 0.008 ppmv/yr (0.46 ppbv/yr), and it is <0.020 ppmv/yr (<1.05 ppbv/yr) everywhere in Fig. 10. The 1-σ uncertainty in the O_3 (N_2O) trend fit at 45°S is <0.011 ppmv/yr (<0.76 ppbv/yr). Since there is

no clear correlation between the amplitude of the QBO wind variation and the depth of the N_2O

and O_3 changes in 2009-2013, these changes are fit by the linear trend term. The O_3 linear trend

fit plot (Fig. 10a) has been shown previously in Nedoluha et al. (2015), where it was shown that

the decrease observed at 10 hPa near the equator has been occuring for more than 20 years.

While a linear trend is clearly a very coarse representation of the MLS data from 2004-2013, it

does allow us to show the strong global correlations between N_2O and O_3 increases (and

decreases) during this time period. While the beginning and ending dates are slightly different,

Fig. 10 is qualitatively consistent with the conclusion in Mahieu et al. (2014) that the air in the

SH mid-latitude lower stratosphere is younger in 2010/2011 than in 2005/2006, while the

opposite is true in the NH. Stiller et al. (2012) show an age-of-air trend from 2002-2010 which

exhibits the same interhemispheric difference over much of the lower stratosphere at mid-

latitudes, but they do show older air over the latitude of Lauder above 25 km.

5. Conclusions

We have investigated two unusual O_3 variations which occurred in the mid-stratosphere

over Lauder, New Zealand during the 22 years of ground-based microwave measurements from

the site. First, we examined a large positive O_3 anomaly that was observed by the MOPI

instrument in June 2001. The anomaly was associated with an unusually persistent stratospheric

blocking anticyclone that kept air from more equatorial latitudes (with high ozone) over Lauder

for much of this month. The very unusual nature of this event was emphasized by comparing the

average Tracer Equivalent Latitude (TrEL) in June 2001 over Lauder on potential temperatures

surfaces from 550 to 850 K (~35 to 10 hPa) with values found in other years. It was found that

the TrEL in June 2001 was higher (i.e., more equatorward TrEL) than in any other June throughout the 36-year period 1979-2014.

The second interesting, and much longer-term, feature is the positive O_3 anomaly near ~10 hPa which persists over Southern mid-latitudes from 2009-2013. During this period N_2O in this region is also unusually high, and the same chemical-dynamical relationship that causes the very strong N_2O-O_3 correlation in the tropics is likely the cause of the high O_3. Briefly, N_2O decreases rapidly both as a function of increasing altitude and increasing distance from the tropics due to photodissociation and oxidation. Thus the high N_2O at Southern mid-latitudes from 2009-2013 suggests that air was transported into this region from the tropical lower stratosphere more quickly during this period, thus decreasing the amount of photodissociation and oxidation of N_2O. At the same time, air was being transported more slowly into the tropical 10 hPa region. The mid-2013 decrease in mid-latitude N_2O suggests that air is now again being transported more quickly upwards in the tropics as opposed to being shifted towards Southern mid-latitudes, but it remains to be seen whether this is a brief interruption, a halt, or a reversal of a decadal scale trend.

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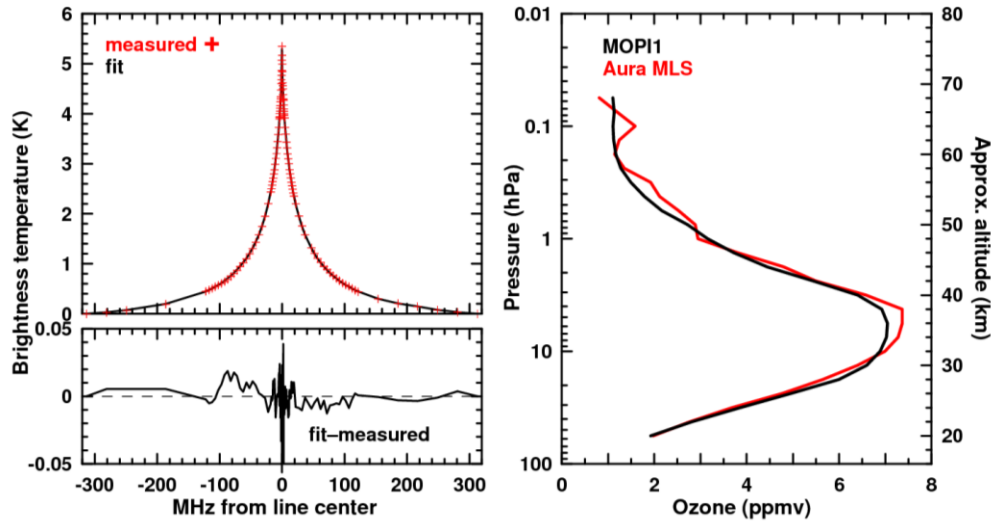
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5 Figure 1 – Top left: The spectrum centered at 110.836GHz as measured by MOPI1 from Lauder over 3 h on 11 March 2014
6 (red crosses), and the model fit to this spectrum (black line). Bottom left: The residual difference between the measured and
7 modeled spectrum. Right: The retrieved O_3 profile from MOPI1 (black) and from a coincident Aura MLS measurement (red).

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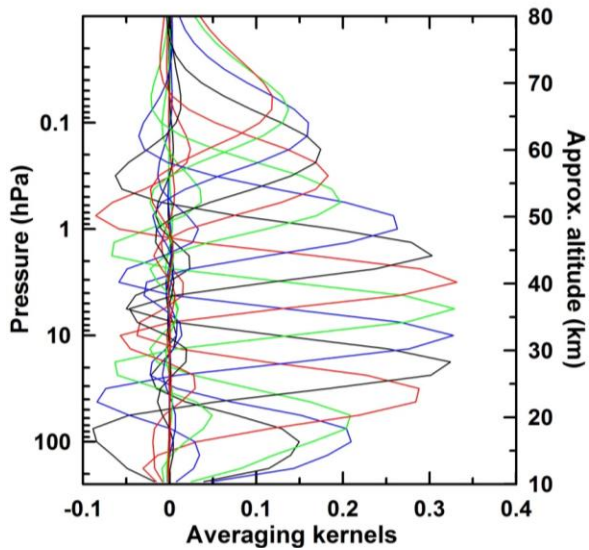
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2 **Figure 2 – Typical averaging kernels for the MOPI1 instrument based on 6 h of spectral integration. Averaging kernels are**
3 **shown for every second level, with the averaging kernels in blue shown at 100, 10, 1, and 0.1 hPa.**
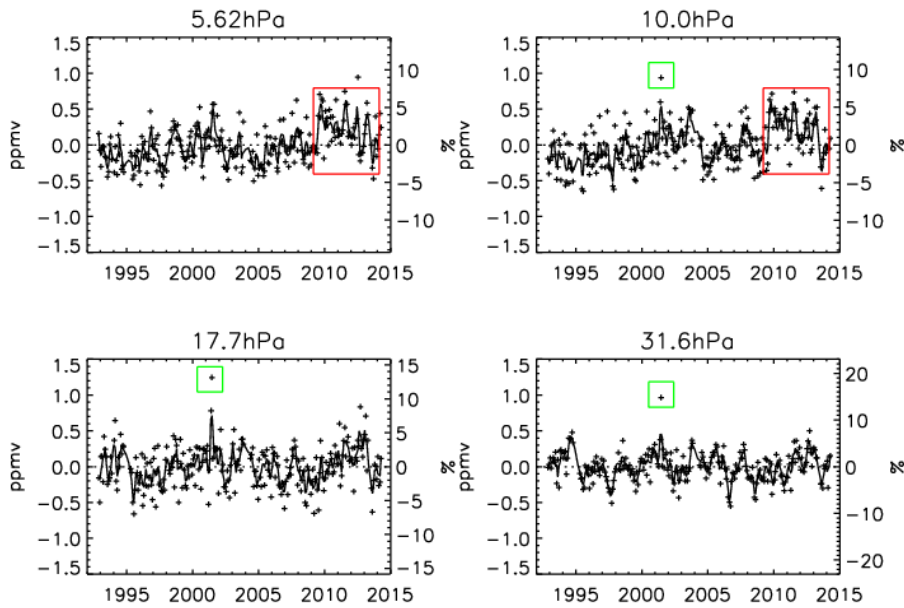
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5 **Figure 3 – Crosses show monthly ozone anomalies from the MOPI1 measurements. The line shows a 3-point smoothing of**
6 **the data. Boxes indicate periods of particular interest (see text).**

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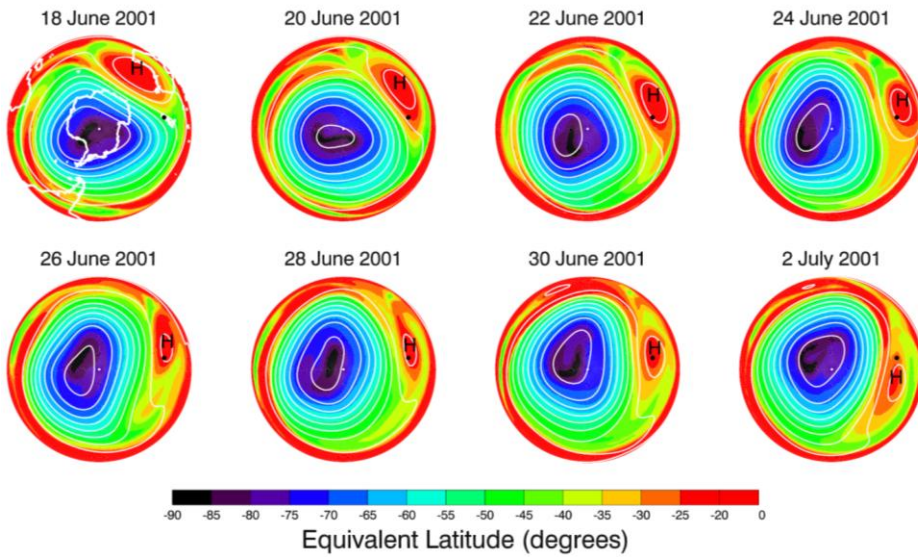
Figure 4 – The tracer equivalent latitude (see text) for the Southern Hemisphere at 650 K. The location of Lauder (45°S, 169.7^{o}E) is indicated by a black dot. White contours are 650 K streamlines at constant intervals. The black "H" indicates the location of strong anticyclonic circulation.

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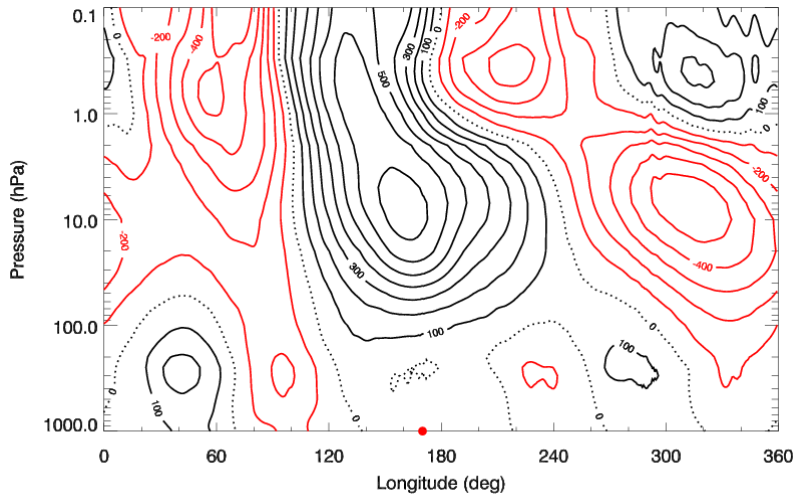
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2 **Figure 5 - The MERRA geopotential height anomaly, in 100 m increments, calculated for the period 21-30 June 2001, at 45°S.**
3 **The longitude of Lauder is indicated by the red dot. Positive (negative) anomalies are identified by solid black (red) contours,**
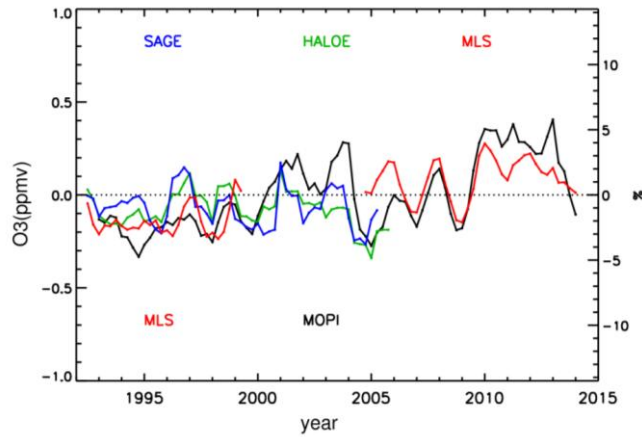4 **while the black dotted line indicates zero anomaly.**

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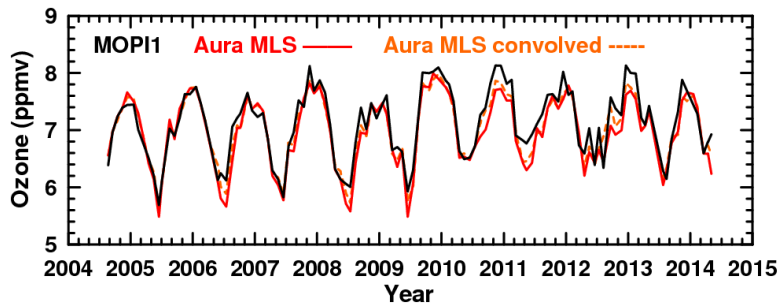
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8 **Figure 6 - Monthly ozone averages for MOPI1 (black), Aura MLS (red), and Aura MLS convolved with the MOPI averaging**
9 **kernels (dashed orange) measurement pairs at 10 hPa. Measurements are shown when there is an MLS measurement taken**
10 **within +/-1° latitude and +/-6° longitude within 6 hours of a MOPI measurement.**

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Figure 7 Annual average ozone anomalies at 10 hPa (30 km for SAGE II) shown 4-times annually (with annual averages for each instrument taken from January-December, April-March, July-June, and October-September). Results are shown for SAGE II (blue), HALOE (green), UARS and Aura MLS (both red), and MOPI1 (black). Satellite measurements (latitudinal averages from 40°S-50°S) have been offset so that the average ozone matches that of MOPI1 during the period of coincidence.
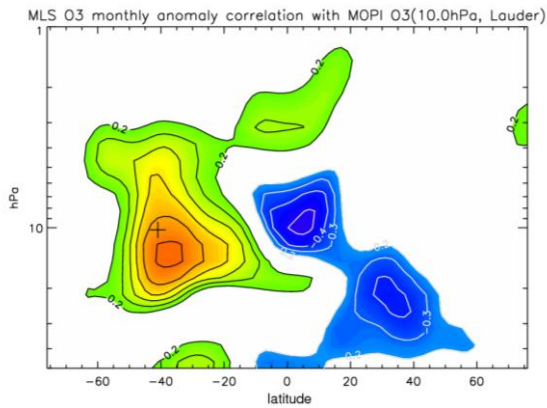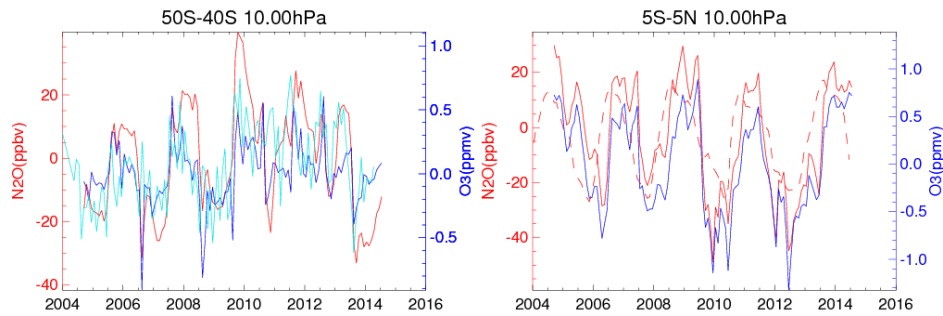

Figure 8 - The correlation coefficient of the monthly MLS O$_3$ anomalies with the monthly anomalies of the MOPI1 O$_3$ measurements at 10 hPa. The cross represents the latitude of Lauder at 10 hPa. Contour lines are shown for r=+/- 0.2, 0.3, 0.4, 0.5, 0.6, and 0.7.

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4   **Figure 9 - Monthly average anomalies for N$_2$O (red) and O$_3$ (blue) as measured by MLS at 10 hPa within 5° of the Lauder**
5   **latitude (45°S) (left) and within 5° of the equator (right). The left hand plot also shows the monthly average O$_3$ anomalies**
6   **(based on the 2004-2014 averages) for MOPI (cyan). The right hand plot also shows (dashed red line) the 30 hPa QBO index**
7   **in m s^{-1}, using the same numerical scale as the N$_2$O in ppbv.**

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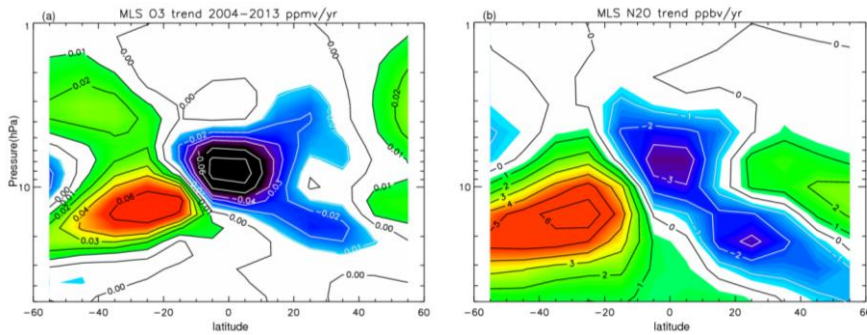
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15   **Figure 10 - Linear trend fits to MLS O$_3$ and N$_2$O measurements from August 2004 through May 2013. Contour lines for O$_3$ are**
16   **shown at +/-0.01, 0.02, 0.03, 0.04, 0.06, 0.08 ppmv yr^{-1}. Contour lines for N$_2$O are shown at intervals of 1 ppmv yr^{-1}. The O$_3$**
17   **figure is from Nedoluha et al. (2015).**

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