

1 Unusual stratospheric ozone anomalies observed in 22 years 2 of measurements from Lauder, New Zealand

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10 Abstract

11 The Microwave Ozone Profiling Instrument (MOPII) has provided ozone (O₃) profiles
12 for the Network for the Detection of Atmospheric Composition Change (NDACC) at Lauder,
13 New Zealand (45.0°S, 169.7°E), since 1992. We present the entire 22 year dataset and compare
14 with satellite O₃ observations. We study in detail two particularly interesting variations in O₃.
15 The first is a large positive O₃ anomaly that occurs in the mid-stratosphere (~10-30 hPa) in June
16 2001, which is caused by an anticyclonic circulation that persists for several weeks over Lauder.
17 This O₃ anomaly is associated with the most equatorward June average tracer equivalent latitude
18 (TrEL) over the 36-year period (1979-2014) for which the Modern Era Retrospective-Analysis
19 for Research and Applications (MERRA) reanalysis is available. A second, longer-lived feature,
20 is a positive O₃ anomaly in the mid-stratosphere (~10 hPa) from mid-2009 until mid-2013.
21 Coincident measurements from the Aura Microwave Limb Sounder (MLS) show that these high
22 O₃ mixing ratios are well correlated with high nitrous oxide (N₂O) mixing ratios. This
23 correlation suggests that the high O₃ over this 4-year period is driven by unusual dynamics. The
24 beginning of the high O₃ and high N₂O period at Lauder (and throughout this latitude band)

1 occurs nearly simultaneously with a sharp decrease in O₃ and N₂O at the equator, and the period
2 ends nearly simultaneously with a sharp increase in O₃ and N₂O at the equator.

3

4 **1. Introduction**

5 Observations of total column ozone (O₃) show that, over most of the globe, O₃ loss has
6 leveled off since ~2000, and O₃ has even begun to increase. The large decline observed from the
7 1960s to the late 1990s has ended as a result of the reduction in chlorofluorocarbon (CFC)
8 emissions following the 1987 Montreal Protocol (WMO, 2014). While global O₃ may be
9 recovering, the magnitude and sign of stratospheric O₃ trends over multi-decadal timescales in
10 the mid-stratosphere strongly depends on geographical location. It is important to understand the
11 causes of this geographical variation.

12 Several studies of satellite data show the variability in O₃ trends depending upon the
13 exact timeframe and geographical location. Kyrölä et al. (2013), using measurements from the
14 Stratospheric Aerosol and Gas Experiment (SAGE) from 1984-1997, show a general decrease in
15 O₃ that is statistically significant over much of the stratosphere and is particularly large in the
16 mid-latitude upper stratosphere. However, they also show an increase in equatorial O₃ (albeit not
17 statistically significant) in the 30-35 km region.

18 There are a number of studies covering later years, all of which show a variation in O₃
19 that differs dramatically from that of the 1984-1997 SAGE data. Nedoluha et al. (2015) studied
20 O₃ over the period 1991-2005, when Halogen Occultation Experiment (HALOE) measurements
21 are available, and found a strong decrease in mid-stratospheric O₃ in the tropics over this period.
22 Kyrölä et al. (2013) also examined the period 1997-2011, showing a general increase in O₃ from
23 SAGE and Global Ozone Monitoring by Occultation of Stars (GOMOS) measurements, but a

1 statistically significant decrease near 30 km in the tropics. Measurements from the Scanning
2 Imaging Absorption Spectrometer for Atmospheric Chartography (SCHIAMACHY) instrument
3 for the period 2002-2012, reported by Gebhardt et al. (2014), showed a pattern similar to the
4 1997-2011 pattern reported by Kyrölä et al. (2013), i.e., a strong statistically significant decrease
5 in tropical O₃ in the 30-35 km region while most of the middle atmosphere shows a slight
6 increase in O₃. Eckert et al. (2014), using Michelson Interferometer for Passive Atmospheric
7 Sounding (MIPAS) data from 2002-2012, also showed a general increase in O₃ in most regions,
8 especially in the Southern Hemisphere mid-latitudes near ~20 hPa, but found statistically
9 significant negative trends in the tropics from ~25 hPa to 5 hPa. Finally, Nedoluha et al. (2015)
10 showed that from 2004-2013 Aura MLS measurements showed a strong decrease in mid-
11 stratospheric O₃ in the tropics. Nedoluha et al. (2015) also showed, based on changes in N₂O
12 measured by MLS and NO_x measured by HALOE, that the decadal scale changes in equatorial
13 O₃ of the magnitude observed could best be understood as being caused by dynamical variations.
14 The goal of this paper is to better understand how variations in mid-stratospheric O₃ over Lauder
15 are affected by large scale dynamical variations. We will examine in detail two particular
16 variations in O₃: a monthly anomaly in 2001 and a 4 year anomaly from 2009-2013. The 4 year
17 anomaly, when analyzed from the beginning of the Aura MLS time series, results in a positive
18 linear O₃ trend in the mid-stratosphere over Lauder, in the opposite sense to the trend over this
19 time period in the tropics. An improved understanding of how dynamical variations affect mid-
20 stratospheric O₃ variations at this Southern mid-latitude site is important for interpreting
21 measurements from mid-latitude sites in terms of long-term global O₃ change.

22 This paper is organized as follows. Section 2 describes the ground-based and satellite
23 measurements. Section 3 examines the MOPI O₃ time series, focusing on the unusual anomalies

1 in 2001 and 2009-2013. Section 4 examines MOPI O₃ in the context of global O₃ and N₂O
2 variations, and in Section 5 we summarize the most prominent anomalies in our data set along
3 with our suggested explanations.

4

5 **2. Measurements**

6 The Microwave Ozone Profiling Instrument (MOPI1) instrument has been making
7 measurements of stratospheric O₃ from the Network for the Detection of Atmospheric
8 Composition Change (NDACC) station at Lauder, New Zealand (45.0°S, 169.7°E) since 1992.
9 With the exception of repairs, the instrument has been essentially unchanged during this entire
10 period. Both this MOPI1 instrument and the similar MOPI2 instrument deployed at Mauna Loa,
11 Hawaii, since 1995, have been used as a ground-based reference for a number of satellite
12 instruments. Satellite measurements can provide a global perspective for the MOPI
13 measurements, and we will use measurements from Aura MLS to provide such a global
14 perspective for MOPI ozone variations since 2004. Here we present a brief description of both
15 the ground-based microwave and satellite measurement techniques.

16

17 **2.1 Ground-based microwave measurements**

18 Each MOPI instrument uses a heterodyne receiver coupled to a 120 channel filter
19 spectrometer to measure the line emission spectrum produced by a thermally excited, purely
20 rotational ozone transition at 110.836 GHz (2.7 mm wavelength). The spectral intensities and
21 measurements of the tropospheric thermal emission are calibrated with black body sources at
22 ambient and liquid nitrogen temperatures. The tropospheric opacity is calculated from hourly
23 emission measurements. The experimental technique was described in Parrish et al. (1992), and

1 technical details on the instrument used for this work are given in Parrish (1994). MOPI
2 measurements have been employed in several validation and trend studies (e.g., Boyd et al.,
3 2007; Steinbrecht et al., 2009).

4 MOPI observations are made continuously, and spectra associated with large or highly
5 variable tropospheric opacity are discarded from further analysis. This technique allows
6 measurements in weather ranging from clear sky to some overcast conditions. The standard
7 MOPI retrieval product, which will be used here, provides up to four retrievals per day. Spectral
8 scans are recorded over ~20 minute intervals, and those scans that are made in suitable weather
9 conditions are averaged over four 6-hour periods starting at local midnight. The diurnal
10 variations in the O₃ measurements from the MOPI2 instrument at Mauna Loa (using a 1-hour
11 retrieval product) have been validated and compared to the Goddard Earth Observing System
12 Chemistry Climate Model (GEOSCCM) O₃ (Parrish et al., 2014), and measurements and model
13 mixing ratios, compared in 2-3 km altitude layers from 20 to 65 km, generally agree to better
14 than 1.5% of the midnight value. For this study we make use of three of the four daily retrievals,
15 and do not include the daytime afternoon measurements (i.e., 1200-1800 local time). This
16 selection has been made because, at Lauder, these measurements show a slightly anomalous
17 vertical profile in the mid-stratosphere, with values at 10 hPa lower by ~3% than at other times
18 of the day. We believe that these variations may be caused by the strong thermal cycles in the
19 building housing the instrument, especially in the afternoon.

20 In Fig. 1 we show a typical spectrum and O₃ profile retrieval from the MOPI1 instrument.
21 As described in Parrish et al. (1992), the measurement shown is obtained using a switching
22 technique (Parrish et al., 1988) so that the spectrum used in the retrievals is the difference
23 between measurements being made at a low elevation angle (typically between 15° and 23°), and

1 measurements made near the zenith through an attenuating sheet of plexiglass. The low
2 elevation angle measurement is continually adjusted so that the measured temperature in the two
3 positions is approximately balanced, and any remaining slope or offset in this difference
4 spectrum is removed before retrieving the O₃ profile. The O₃ mixing ratio profiles are retrieved
5 from the difference spectra using an adaptation of the optimal estimation method of Rodgers
6 (1976), discussed in Parrish et al. (1992) and Connor et al. (1995). Error analysis techniques are
7 discussed in the latter paper. The native units of the system are mixing ratio vs. pressure.

8 In Fig. 2 we show typical averaging kernels for the MOPI1 version 6 retrievals. The
9 vertical resolution of the MOPI1 measurements is ~7-8 km (FWHM) at 10 hPa, slightly coarser
10 than at Mauna Loa, where the MOPI2 resolution at the 10 hPa pressure level is ~6 km. The
11 MOPI retrievals have a measurement contribution of near 100% at 10 hPa, as defined by the
12 technique of Connor et al. (1995).

13 **2.2 Satellite measurements**

14 We compare MOPI O₃ measurements with observations from three satellites that provide
15 coincident measurements. Two of these are solar occultation instruments, which make ~15
16 sunrise and ~15 sunset high vertical resolution (~1 km) profile measurements each day, generally
17 at different latitudes, with the latitude bands varying differently over the course of the season
18 depending on the satellite orbit. The majority of MOPI-satellite comparisons come from Aura
19 MLS, which provides measurements over all latitudes between 82°S and 82°N on a daily basis.

20 The SAGE II instrument was launched in October 1984 aboard the Earth Radiation
21 Budget Satellite, and continued making measurements through August 2005. It consisted of a
22 seven channel solar photometer using ultraviolet and visible channels between 0.38 and 1.0 μm
23 in solar occultation mode to retrieve atmospheric profiles of ozone, water vapor, nitrogen dioxide

1 and aerosol extinction. Measurements were made over a latitude range from 80°S to 80°N. The
2 measurements are retrieved as ozone number density as a function of altitude, but are also
3 provided as ozone mixing ratio as a function of pressure. The version 6.1 data is described in
4 Wang et al. (2002).

5 The v7.00 dataset (Damadeo et al., 2013), released in December 2012, is used in this
6 analysis. This latest processing version implements an algorithm that is consistent across all
7 SAGE missions. The most significant change in the new version is that, whereas the previous
8 SAGE retrievals made use of the meteorological profiles from the Climate Prediction Center
9 (CPC) NCEP analysis, the new retrievals make use of the Modern Era Retrospective-Analysis
10 for Research and Applications (MERRA) reanalysis. Retrievals using the meteorological
11 profiles from the MERRA reanalysis show significantly different O₃ mixing ratios as a function
12 of pressure in the upper stratosphere and mesosphere (pressures below ~4 hPa). For the pressure
13 levels of interest for this study, however, the differences between the v6.1 and v7.00 SAGE O₃
14 retrievals are insignificant.

15 HALOE solar occultation measurements of O₃ are available from 1991-2005. The
16 latitude bands drifted daily so that near global latitudinal coverage was provided in both sunrise
17 and sunset modes five times over the course of a year. The trends in the HALOE O₃
18 measurements have been compared against SAGE II (Nazaryan et al., 2005) and differences
19 have been found to be on the order of less than 0.3% per year in a majority of latitude bands at
20 25, 35, 45, and 55 km.

21 Aura MLS measurements of O₃ and N₂O are available since 2004. The stratospheric O₃
22 product has been validated by Froidevaux et al. (2008). The vertical resolution of the MLS O₃
23 measurements at 10 hPa is ~3 km. The N₂O measurements have been validated by Lambert et

1 al. (2007) and have a vertical resolution of ~4 km. Upper Atmosphere Research Satellite
2 (UARS) MLS measurements of O₃ are available from 1991-1999, and were validated by
3 Froidevaux et al. (1996) for the v2.2 retrievals and by Livesey et al. (2003) for the v5 retrievals.

4

5 **3. The MOPI O₃ timeseries**

6 In Fig. 3 we show the monthly anomalies at selected pressure levels for the entire
7 MOPII data record. The anomalies are calculated by first fitting the data with a sinusoidal
8 seasonal cycle (including annual and semi-annual terms) and then subtracting this seasonal cycle
9 from the data. There are two interesting features that particularly stand out. The first is the large
10 positive anomaly that occurs in June 2001 at 31.6, 17.7, and 10.0 hPa (green boxes). The
11 second, much longer-term feature, is the positive anomaly at 10.0 and 5.6 hPa from August 2009
12 through July 2013 (red boxes). During this period the mean monthly O₃ anomaly at 10 hPa is
13 0.32 ppmv, only 7 of the 47 measurement months show a negative anomaly, and the 3-month
14 smoothing never shows a negative anomaly. This period ends with a sharp drop in O₃ in August
15 2013.

16

17 **3.1 Unusually high mid-stratospheric O₃ in June 2001**

18 Since there are no MLS measurements available to document the global variation in O₃
19 during June 2001, we use Tracer Equivalent Latitude (TrEL) simulations in order to better
20 understand the June 2001 anomaly over Lauder. TrEL is determined from isentropic passive
21 tracer advection calculations on the sphere as described by Allen and Nakamura (2003). The
22 tracer mixing ratio is converted to an equivalent latitude by matching the area enclosed by tracer
23 contours to that enclosed by an equivalent latitude line. Specific details of the TrEL calculation

1 used for this paper, based on MERRA winds, are provided by Allen et al. (2012). The average
2 TrEL in June 2001 over Lauder on potential temperatures surfaces from 550 K to 850 K (~35 to
3 10 hPa) was the highest (i.e., most equatorward TrEL) June average observed throughout the 36-
4 year period from 1979-2014. At 650 K the mean TrEL value at Lauder in June 2001 was ~31°S,
5 indicating unusually tropical air relative to the latitude of the site.

6 From ~30 hPa to ~3 hPa, O₃ generally increases from pole to equator throughout the year,
7 hence the unusually high (equatorward) TrEL is associated with high O₃. We calculated the
8 climatological monthly O₃ latitudinal gradient from 45°S to 35°S from the MLS measurements,
9 and found that from 20-10 hPa, this gradient peaks during the months of March-June. Hence O₃
10 mixing ratios measured over Lauder are particularly sensitive to changes in TrEL during these
11 months. Thus the unusually high O₃ anomaly in June 2001 is likely the result of an unusual
12 amount of equatorward air over Lauder at a time when O₃ variations are particularly sensitive to
13 such transport.

14 To better explain the dynamics that caused this unusually high TrEL (and hence O₃) over
15 Lauder in June 2001 we show, in Fig. 4, the TrEL at 650 K (~20 hPa) for the entire Southern
16 Hemisphere from 18 June – 2 July 2001. Low TrEL occurs throughout the polar vortex, also
17 identified by streamlines (white contours) circling the pole. A strong anticyclone, identified by
18 closed streamlines and elevated TrEL (marked with black “H”), moves eastward from 18-22
19 June, before remaining relatively stationary for the next 8 days. This is an unusually strong
20 “blocking” type pattern that kept high TrEL/high O₃ air over Lauder. Figure 5 shows the vertical
21 structure of this feature at 45°S, identified by zonal anomalies of geopotential height over a range
22 of pressure surfaces from 1000 to 0.1 hPa. Elevated values extend from the tropopause (~200
23 hPa) into the lower mesosphere, tilting westward and narrowing with height. The anomaly peaks

1 at ~10 hPa, with a longitudinal extent of ~120°. While this quasi-stationary stratospheric
2 “Australian high” signature is known to occur in the SH spring (Harvey et al., 2002), this is the
3 largest and most persistent episode observed in June in the 36-year TrEL simulation.

4

5 **3.2 Unusually high mid-stratospheric O₃ from August 2009 through July 2013**

6 The 4 years of elevated O₃ (2009-2013) occurred during the period when coincident Aura
7 MLS measurements are available. Aura MLS overpasses occur near 01:15 and 14:30 local solar
8 time at the latitude of Lauder. Since we are not using the MOPI1 measurements from 12:00-
9 18:00, only the 01:15 overpasses are used. For comparison with MOPI1, we choose a longitude
10 coincidence criterion of +/-6°, which generally includes two daily 01:15 MLS overpasses. The
11 monthly averages at 10 hPa from 2004-2014 are compared in Fig. 6. In Fig. 6 we show MLS
12 measurements both with and without convolution with MOPI averaging kernels. As the
13 difference is small, all other satellite measurements are shown without convolution. The MLS
14 measurements show very good agreement with the MOPI measurements over the entire period,
15 and both instruments show the large O₃ increase in mid-2009 and the large decrease in mid-2013.
16 In mid-2009, mixing ratios increased from values near the lowest observed during the Lauder
17 winter over this 10 year period, to values at, or near, the highest observed in a Lauder summer.
18 There was a month-long gap in the MOPI1 measurements in July 2013, but the observed drop in
19 the coincident MLS measurements is very similar to that of the MOPI1 measurements between
20 June and August 2013. Both instruments show that, in August 2013, the O₃ values were the
21 lowest since 2009.

22 The unusual nature of the 2009-2013 period is even more clearly emphasized in Fig. 7,
23 which shows annual average anomalies from MOPI and from four satellite instruments that

1 measured O₃ over extended periods since the early 1990's. All of the measurements shown in
2 Fig. 7 are provided on their native grid. For the SAGE II measurements the native grid is
3 altitude, and results are shown at 30 km. For HALOE, UARS and Aura MLS, and MOPI we
4 show results at a 10 hPa. Note that, with the exception of the MOPI measurements, the O₃
5 anomalies shown in Fig. 7 are zonally averaged. Since only the MOPI1 measurements are
6 available throughout the entire time period, all of the satellite measurements have been offset so
7 that the average ozone matches that of MOPI1 during the period of coincidence. We note that
8 there is an increase of ~4% in the MOPI measurements relative to both the locally coincident and
9 the zonally averaged and convolved Aura MLS (shown in Fig. 6), which occurs primarily near
10 the beginning of the Aura MLS timeseries. Since Fig. 7 shows annual averages it helps to
11 emphasize the Quasi-Biennial Oscillation (QBO). The annual-average MOPI measurements
12 show local minima in 1997, 1999, 2002, 2004, late 2006/early 2007, and late 2008/early 2009.
13 Following the minimum in late 2008/early 2009 the O₃ rises and remains well above the long-
14 term average until 2013.

15

16 **4. O₃ and N₂O at Lauder and at the Equator**

17 **4.1 Monthly O₃ anomaly correlations**

18 To better understand the global implications of the observed O₃ variations over Lauder,
19 we investigated how the variations in O₃ observed over Lauder compare globally with changes in
20 MLS O₃. We first calculated monthly averaged O₃ at each MLS pressure level from 50 to 1 hPa
21 in 2° latitude bins for 10 years of MLS data (2004-2014). We then calculated a climatological
22 (i.e., 10-year) average for each calendar month. Using this climatology, we calculated an
23 anomaly for each month of the 10-year series as a function of latitude and pressure. A similar

1 monthly anomaly time series was calculated for the MOPI ozone at 10 hPa. Correlation
2 coefficients were calculated between the 10 hPa MOPI anomalies and the MLS anomalies at
3 different pressures and latitudes, using months where both MLS and MOPI measurements were
4 available.

5 Figure 8 shows the correlation coefficient (r) as a function of pressure and latitude. The
6 strongest correlation occurs slightly equatorward of Lauder and at a slightly higher pressure
7 level. This is likely due to differences in instrumental errors, vertical resolution, and because the
8 MOPI1 measurement is for local conditions near Lauder and not a zonal average. At the equator
9 and 10 hPa there is a strong anti-correlation ($r < -0.5$) between MOPI1 and MLS (the correlation
10 between 10 hPa MLS O₃ at 45°S and the equator is similar). There is also a weaker anti-
11 correlation between MOPI1 and MLS O₃ at ~20-45°N below 10 hPa.

12 The geographical correlations seen in Fig. 8 are similar to those discovered by Randel
13 and Wu (1996), who used singular value decomposition (SVD) analysis to study the relationship
14 between QBO zonal winds and global SAGE O₃ anomalies. The second mode of their analysis
15 (SVD2; which explains 25% of the overall covariance) shows an anti-correlation between 10 hPa
16 O₃ at Southern mid-latitudes and at the equator. It also shows a much weaker anti-correlation
17 between 10 hPa O₃ at Southern mid-latitudes and O₃ at Northern mid-latitudes at slightly higher
18 pressures.

19 Of course the temporal correlations shown in Fig. 8 give no indication of the time period
20 over which the correlation is taking place (by using anomalies we have eliminated only the
21 seasonal cycle), and could, e.g., represent QBO-like variations, solar cycle driven variations, or
22 decadal-scale changes. What Fig. 8 certainly does emphasize is that the anomalies over Lauder

1 at 10 hPa during the period 2004-2014 are not, predominantly, driven by a decadal scale global
2 trend.

3

4 **4.2 Links between O₃ and N₂O**

5 Nedoluha et al. (2015) showed that O₃ variations at the equator are very strongly
6 positively correlated to variations in N₂O. This relationship could best be understood as
7 resulting from dynamical variations. Using a 2D chemical transport model, Nedoluha et al.
8 (2015) showed that slower ascent resulted in more N₂O being photodissociated and oxidized to
9 produce NO_x (while reducing N₂O), and the increased NO_x destroyed more ozone, resulting in a
10 positive correlation between O₃ and N₂O. Such a relationship has been previously deduced from
11 changes in HALOE measurements of NO₂ at ~10 hPa from 1993-1997, where the change in NO₂
12 was shown to be consistent with a decrease in upward transport (Nedoluha et al., 1998).

13 In Fig. 9 we show monthly average anomalies for O₃ and N₂O from Aura MLS and
14 MOPI at 10 hPa. The variations in both O₃ and N₂O from 5°S to 5°N (Fig. 9, right) show a clear,
15 and similar, QBO signature. The connection between the QBO signal in O₃ and NO_y (which is
16 affected by N₂O) was recognized in SAGE II data by Chipperfield et al. (1994), who pointed out
17 that it was the result of QBO modulation of the vertical advection, with faster ascent resulting in
18 larger O₃ mixing ratios in the mid-stratosphere.

19 In addition to O₃ and N₂O, Fig. 9 shows the zonally averaged 30 hPa QBO winds over
20 the equator from the Climate Data Assimilation System (from www.cpc.ncep.noaa.gov). The 10
21 hPa equatorial O₃ and N₂O anomalies show a slight phase-lag relative to the 30 hPa QBO wind
22 anomaly, but the generally positive correlation between this 30 hPa wind anomaly and O₃ and

1 N₂O mixing ratios suggests that an anomalously fast ascent rate near 10 hPa is associated with
2 westerly (positive) winds at 30 hPa.

3 Figure 9 also shows that in 2006, 2008, 2010, and 2013 there are sharp increases in O₃
4 and N₂O from 5°S to 5°N near the middle of the year, while in 2007, 2009, and 2011 there are
5 sharp decreases. Following these sharp changes the equatorial anomaly often remains high (or
6 low) until the next June/July period. Thus the variation is often nearly biennial except for the
7 absence of a change in sign for the O₃ and N₂O anomalies from 5°S to 5°N in June/July 2012.

8 While the variation shown in Fig. 9 seems to be primarily nearly biennial, the period
9 from 2009-2013 shows lower average equatorial O₃ and N₂O mixing ratios than are observed
10 from 2004-2008, as is apparent in the annual averages shown in Fig. 7. Figure 9 shows that the
11 5°S to 5°N O₃ and N₂O mixing ratios have both lower maxima and lower minima at a similar
12 phase of the QBO. While these equatorial N₂O and O₃ anomalies are correlated with the phase
13 of the QBO wind anomalies, it is not clear whether or not the unusually low O₃ and N₂O mixing
14 ratios in 2009-2013 are associated with unusual QBO wind anomalies.

15 There are some peculiarities in the QBO winds during the 2009-2013 period. For
16 instance, the westerly wind anomalies in 2010 are weaker than the other four cycles during this
17 period (16.0 m/s in August 2010 is the lowest maximum since 1992). The easterly 30 hPa wind
18 anomalies in 2009/2010 are unusually strong for ~3 months before an unusually fast transition
19 back to westerly winds, while the 21.4 m/s maximum easterly wind anomaly in 2012 is the
20 weakest over the four cycles shown. The 30 hPa wind anomalies during the 2008-2013 period
21 persist for slightly longer than usual. The winds switched from easterly to westerly in March
22 2008, August 2010, and March 2013, producing QBOs of length 29 months and 31 months
23 respectively.

1 The 10 hPa O₃ and N₂O anomalies at 40°S to 50°S (Fig. 9, left) are not as strongly
2 correlated as at the equator (see Fig. 4, Nedoluha et al., 2015), but nonetheless there is clearly a
3 positive correlation between the anomalies of these two species. Not unexpectedly, given the
4 correlations shown in Fig. 8, these Southern mid-latitude anomalies show variations that are
5 usually opposite to those seen at the equator. Most clearly the sharp changes in June/July are
6 anti-correlated with those near the equator. Figure 9 shows that, like the O₃ values that have
7 been shown previously, the N₂O values over latitudes near Lauder are elevated from 2009-2013.

8 The lower stratospheric anomalies in O₃ and N₂O at 40°S to 50°S are likely to be caused
9 by the variations in the rate at which tropical air with high N₂O and low O₃ air moves into the
10 Southern mid-latitudes, relative to the rate at which low N₂O and high O₃ air descends into this
11 region. The same tropical 30 hPa westerly winds which are associated with the increased ascent
12 rate in the tropics seem to be correlated with a decrease in transport from the tropics into the
13 Southern Hemisphere, resulting in an anti-correlation between N₂O (and hence O₃) anomalies at
14 the tropics and the Southern mid-latitudes.

15

16 **4.3 Decadal changes in O₃ and N₂O**

17 To provide a global perspective on the 2009-2013 anomalies, we used linear regression to
18 fit the MLS monthly mean data from August 2004 through May 2013 to 8 parameters, including
19 annual and semi-annual sinusoidal terms, the 30 hPa and 50 hPa QBO winds, and a linear trend
20 term. The linear trend terms from these fits are shown in Fig. 10. Based on the monthly MLS
21 dataset that was used for the fit, the average 1- σ uncertainty in the O₃ (N₂O) trend fit is 0.008
22 ppmv/yr (0.46 ppbv/yr), and it is <0.020 ppmv/yr (<1.05 ppbv/yr) everywhere in Fig. 10. The 1-
23 σ uncertainty in the O₃ (N₂O) trend fit at 45°S is <0.011 ppmv/yr (<0.76 ppbv/yr). Since there is

1 no clear correlation between the amplitude of the QBO wind variation and the depth of the N₂O
2 and O₃ changes in 2009-2013, these changes are fit by the linear trend term. The O₃ linear trend
3 fit plot (Fig. 10a) has been shown previously in Nedoluha et al. (2015), where it was shown that
4 the decrease observed at 10 hPa near the equator has been occurring for more than 20 years.
5 While a linear trend is clearly a very coarse representation of the MLS data from 2004-2013, it
6 does allow us to show the strong global correlations between N₂O and O₃ increases (and
7 decreases) during this time period. While the beginning and ending dates are slightly different,
8 Fig. 10 is qualitatively consistent with the conclusion in Mahieu et al. (2014) that the air in the
9 SH mid-latitude lower stratosphere is younger in 2010/2011 than in 2005/2006, while the
10 opposite is true in the NH. Stiller et al. (2012) show an age-of-air trend from 2002-2010 which
11 exhibits the same interhemispheric difference over much of the lower stratosphere at mid-
12 latitudes, but they do show older air over the latitude of Lauder above 25 km.

13

14 **5. Conclusions**

15 We have investigated two unusual O₃ variations which occurred in the mid-stratosphere
16 over Lauder, New Zealand during the 22 years of ground-based microwave measurements from
17 the site. First, we examined a large positive O₃ anomaly that was observed by the MOPI
18 instrument in June 2001. The anomaly was associated with an unusually persistent stratospheric
19 blocking anticyclone that kept air from more equatorial latitudes (with high ozone) over Lauder
20 for much of this month. The very unusual nature of this event was emphasized by comparing the
21 average Tracer Equivalent Latitude (TrEL) in June 2001 over Lauder on potential temperatures
22 surfaces from 550 to 850 K (~35 to 10 hPa) with values found in other years. It was found that

1 the TrEL in June 2001 was higher (i.e., more equatorward TrEL) than in any other June
2 throughout the 36-year period 1979-2014.

3 The second interesting, and much longer-term, feature is the positive O₃ anomaly near
4 ~10 hPa which persists over Southern mid-latitudes from 2009-2013. During this period N₂O in
5 this region is also unusually high, and the same chemical-dynamical relationship that causes the
6 very strong N₂O-O₃ correlation in the tropics is likely the cause of the high O₃. Briefly, N₂O
7 decreases rapidly both as a function of increasing altitude and increasing distance from the
8 tropics due to photodissociation and oxidation. Thus the high N₂O at Southern mid-latitudes
9 from 2009-2013 suggests that air was transported into this region from the tropical lower
10 stratosphere more quickly during this period, thus decreasing the amount of photodissociation
11 and oxidation of N₂O. At the same time, air was being transported more slowly into the tropical
12 10 hPa region. The mid-2013 decrease in mid-latitude N₂O suggests that air is now again being
13 transported more quickly upwards in the tropics as opposed to being shifted towards Southern
14 mid-latitudes, but it remains to be seen whether this is a brief interruption, a halt, or a reversal of
15 a decadal scale trend.

16

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22 HALOE data are available from the NASA Goddard Earth Science Data Information and
23 Services Center (acdisc.gsfc.nasa.gov).

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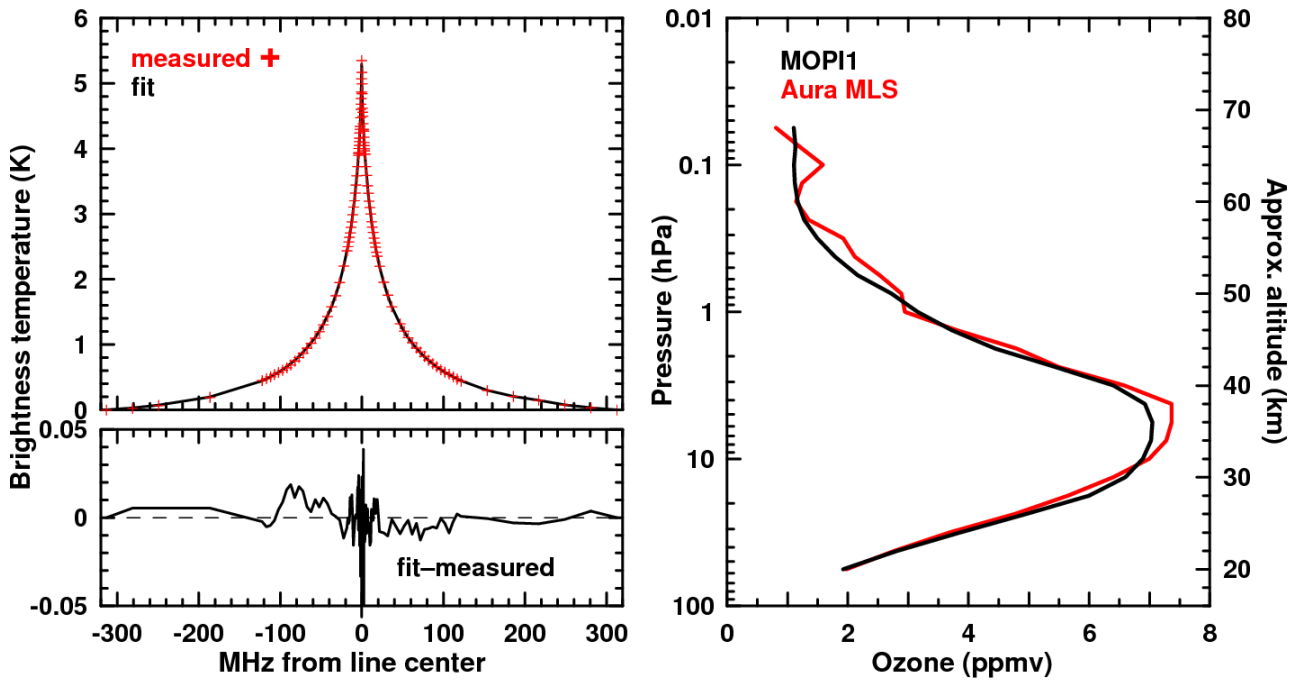
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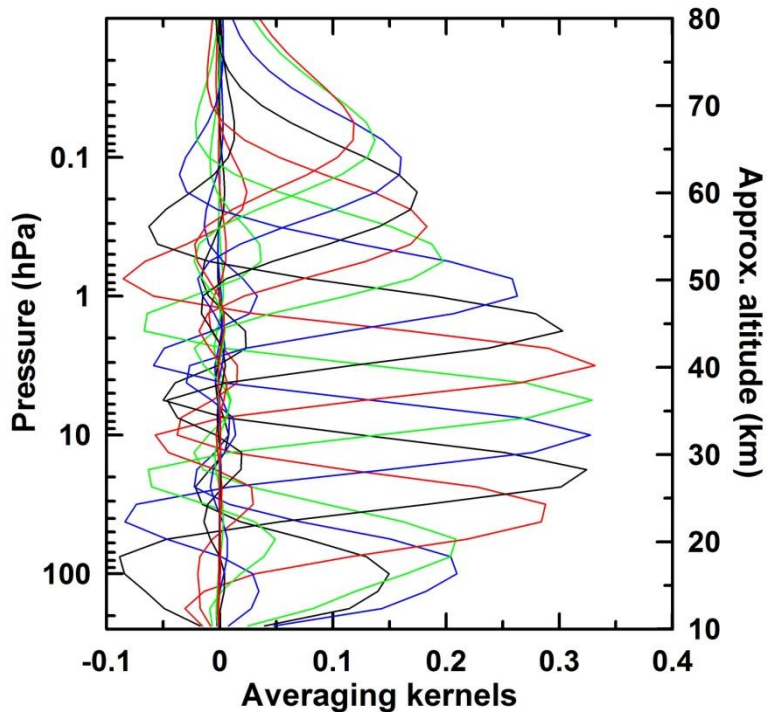
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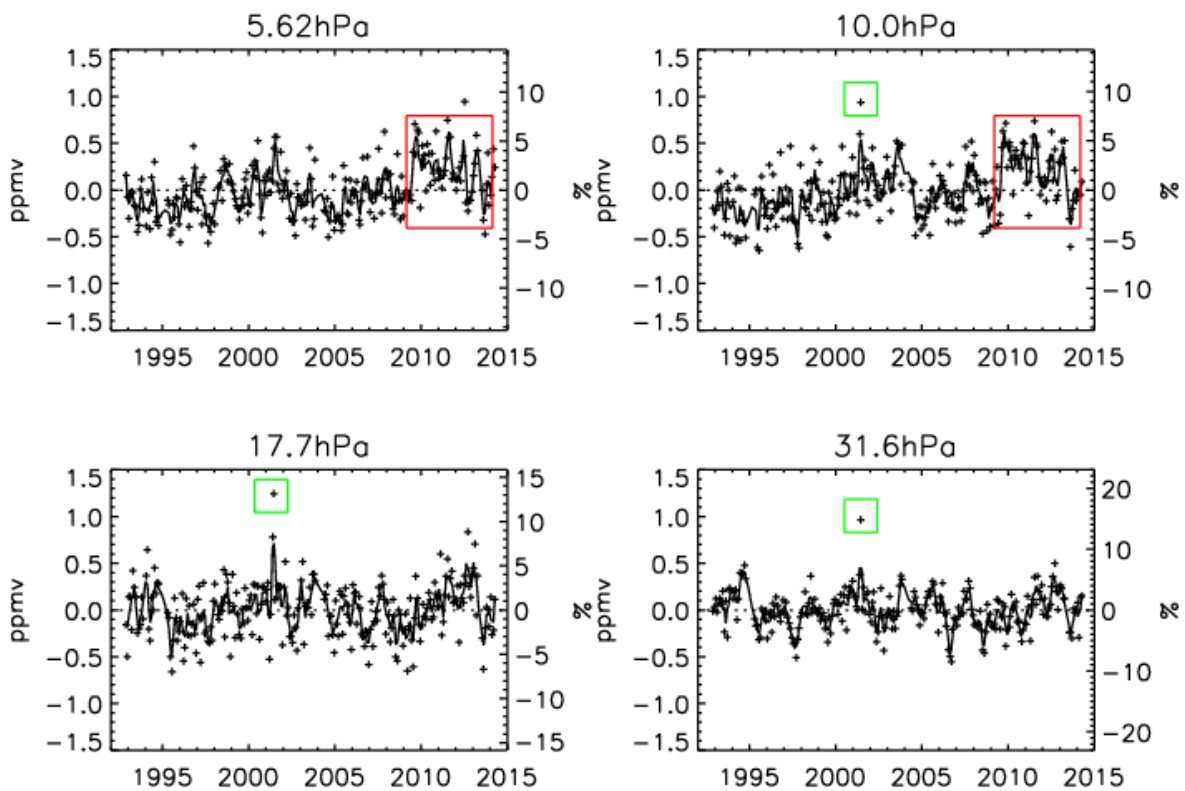


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Figure 1 – Top left: The spectrum centered at 110.836GHz as measured by MOPI1 from Lauder over 3 h on 11 March 2014 (red crosses), and the model fit to this spectrum (black line). Bottom left: The residual difference between the measured and modeled spectrum. Right: The retrieved O₃ profile from MOPI1 (black) and from a coincident Aura MLS measurement (red).

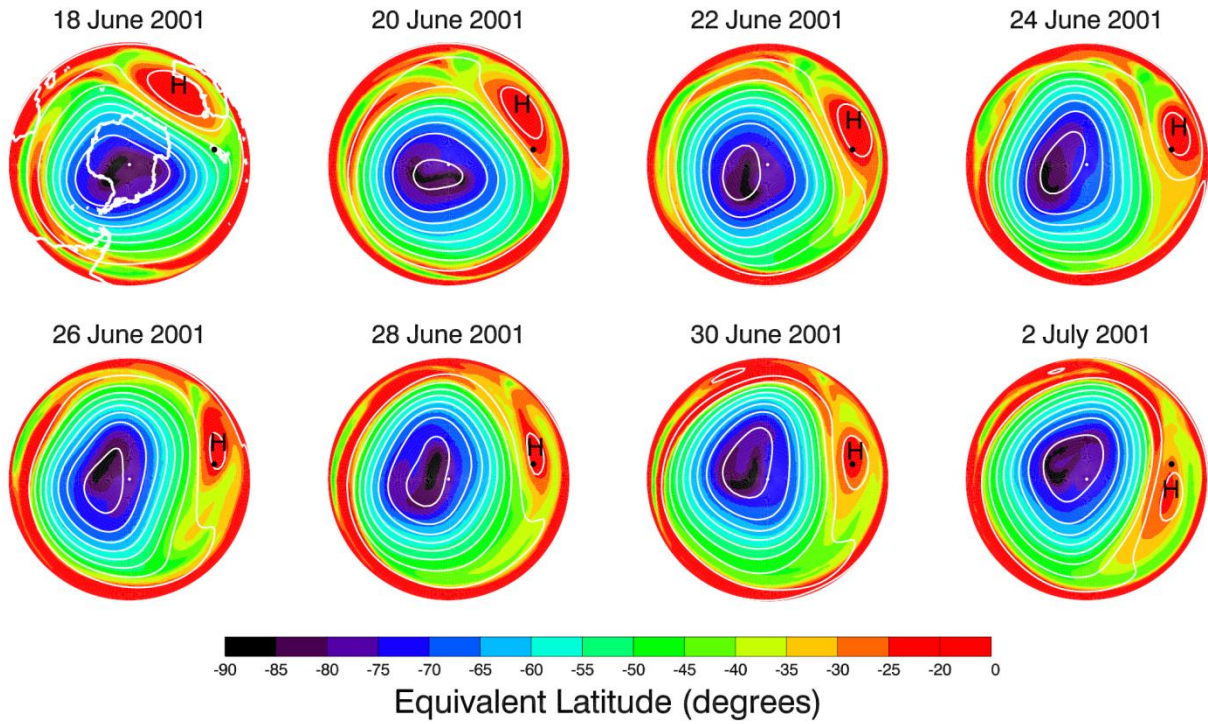


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Figure 2 – Typical averaging kernels for the MOPI1 instrument based on 6 h of spectral integration. Averaging kernels are shown for every second level, with the averaging kernels in blue shown at 100, 10, 1, and 0.1 hPa.



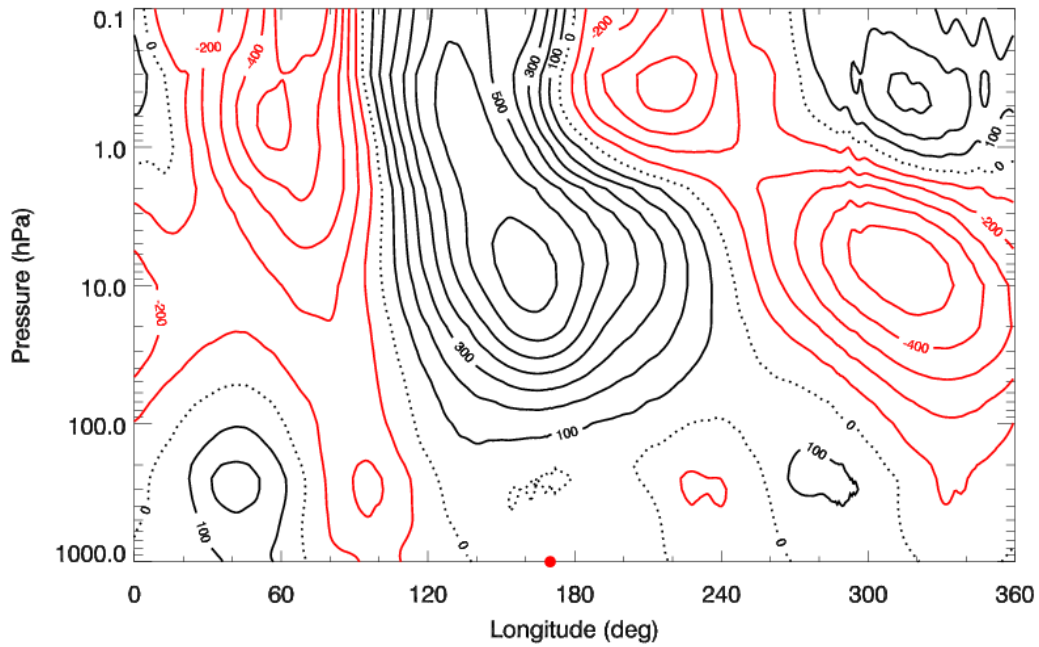
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Figure 3 – Crosses show monthly ozone anomalies from the MOPI1 measurements. The line shows a 3-point smoothing of the data. Boxes indicate periods of particular interest (see text).

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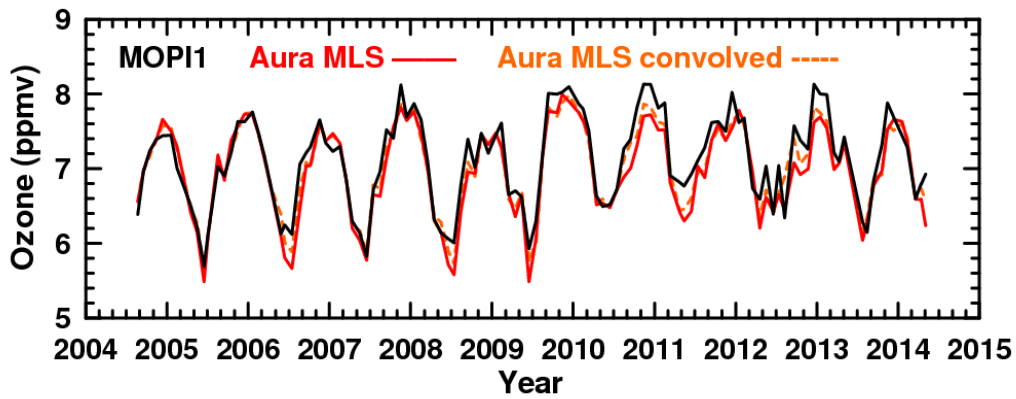
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5 **Figure 4 – The tracer equivalent latitude (see text) for the Southern Hemisphere at 650 K. The location of Lauder (45°S,**
6 **169.7°E) is indicated by a black dot. White contours are 650 K streamlines at constant intervals. The black “H” indicates the**
7 **location of strong anticyclonic circulation.**

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 2 Figure 5 - The MERRA geopotential height anomaly, in 100 m increments, calculated for the period 21-30 June 2001, at 45°S.
 3 The longitude of Lauder is indicated by the red dot. Positive (negative) anomalies are identified by solid black (red) contours,
 4 while the black dotted line indicates zero anomaly.

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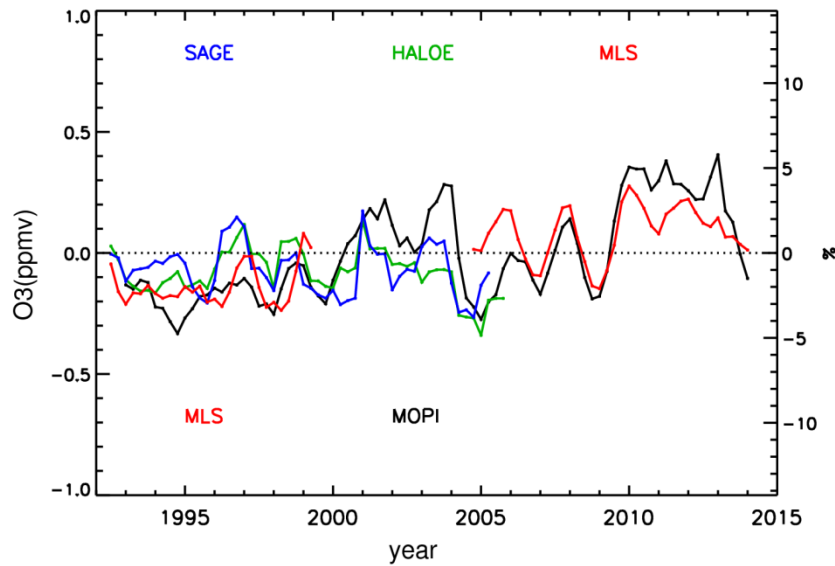
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 8 Figure 6 - Monthly ozone averages for MOPI1 (black), Aura MLS (red), and Aura MLS convolved with the MOPI averaging
 9 kernels (dashed orange) measurement pairs at 10 hPa. Measurements are shown when there is an MLS measurement taken
 10 within +/-1° latitude and +/-6° longitude within 6 hours of a MOPI measurement.

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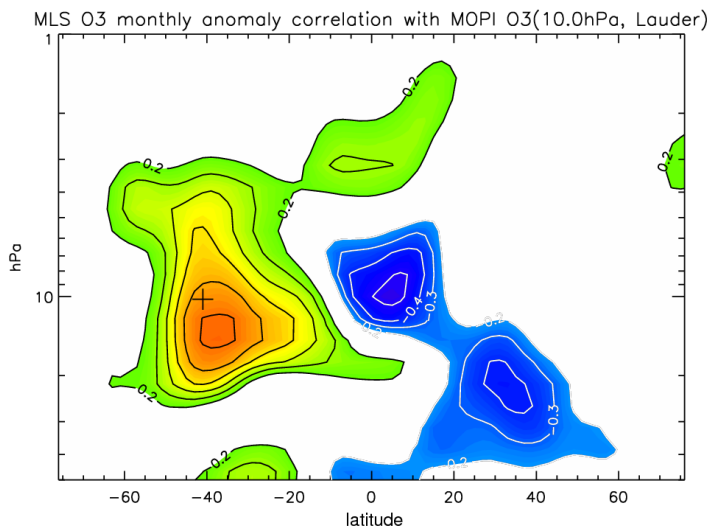
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3 **Figure 7 Annual average ozone anomalies at 10 hPa (30 km for SAGE II) shown 4-times annually (with annual averages for**
 4 **each instrument taken from January-December, April-March, July-June, and October-September). Results are shown for**
 5 **SAGE II (blue), HALOE (green), UARS and Aura MLS (both red), and MOPI1 (black). Satellite measurements (latitudinal**
 6 **averages from 40°S-50°S) have been offset so that the average ozone matches that of MOPI1 during the period of**
 7 **coincidence.**

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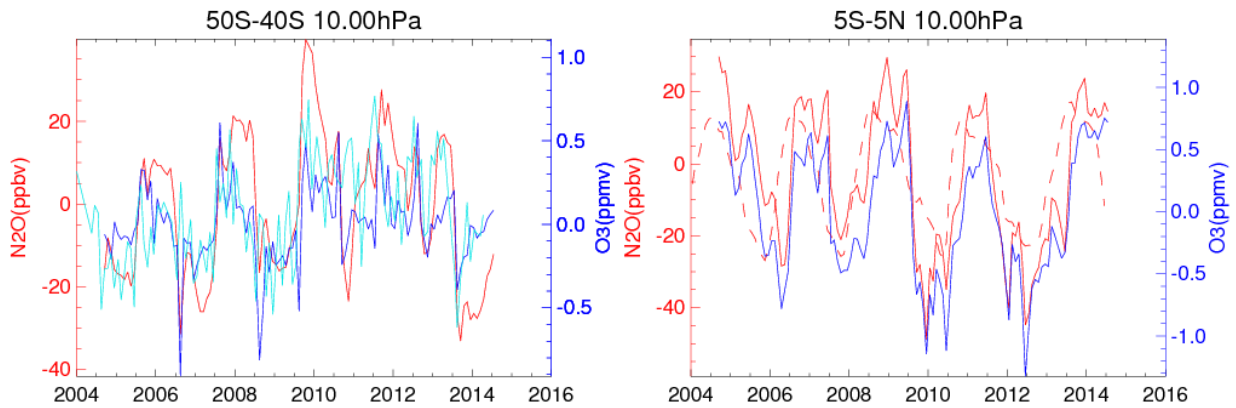
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12 **Figure 8 - The correlation coefficient of the monthly MLS O₃ anomalies with the monthly anomalies of the MOPI1 O₃**
 13 **measurements at 10 hPa. The cross represents the latitude of Lauder at 10 hPa. Contour lines are shown for r=+/- 0.2, 0.3,**
 14 **0.4, 0.5, 0.6, and 0.7.**

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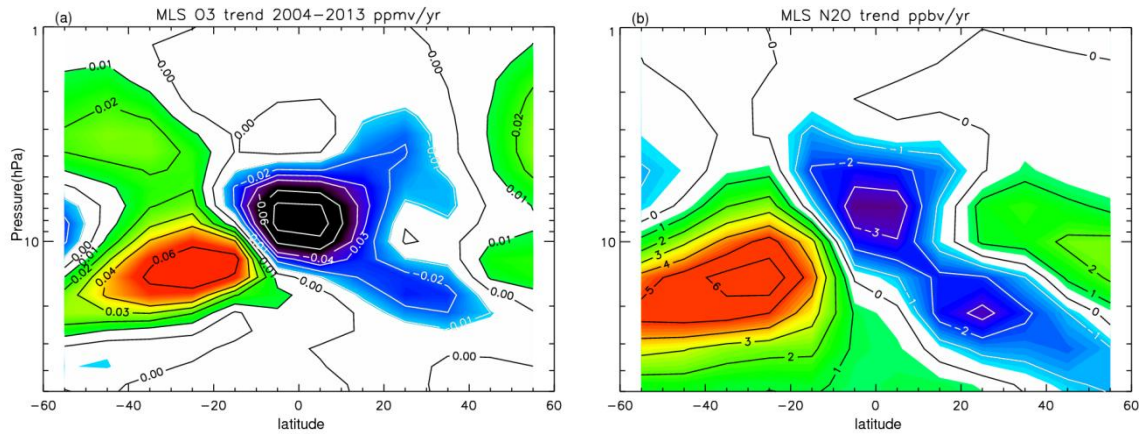
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4 **Figure 9 - Monthly average anomalies for N₂O (red) and O₃ (blue) as measured by MLS at 10 hPa within 5° of the Lauder**
5 **latitude (45°S) (left) and within 5° of the equator (right). The left hand plot also shows the monthly average O₃ anomalies**
6 **(based on the 2004-2014 averages) for MOPI (cyan). The right hand plot also shows (dashed red line) the 30 hPa QBO index**
7 **in m s⁻¹, using the same numerical scale as the N₂O in ppbv.**

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15 **Figure 10 - Linear trend fits to MLS O₃ and N₂O measurements from August 2004 through May 2013. Contour lines for O₃ are**
16 **shown at +/-0.01, 0.02, 0.03, 0.04, 0.06, 0.08 ppmv yr⁻¹. Contour lines for N₂O are shown at intervals of 1 ppmv yr⁻¹. The O₃**
17 **figure is from Nedoluha et al. (2015).**

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