# Atmospheric Chemistry and Physics Discussions

This discussion paper is/has been under review for the journal Atmospheric Chemistry and Physics (ACP). Please refer to the corresponding final paper in ACP if available.

# Global evaluation of ammonia bi-directional exchange

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Received: 7 December 2014 - Accepted: 27 January 2015 - Published: 20 February 2015

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Published by Copernicus Publications on behalf of the European Geosciences Union.

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# Abstract

Bi-directional air–surface exchange of ammonia (NH<sub>3</sub>) has been neglected in many air quality models. In this study, we implement the bi-directional exchange of NH<sub>3</sub> in the GEOS-Chem global chemical transport model. We also introduce an updated diurnal variability scheme for NH<sub>3</sub> livestock emissions and evaluate the recently devel-

- oped MASAGE\_NH<sub>3</sub> bottom up inventory. While updated diurnal variability improves comparison of modeled-to-hourly in situ measurements in the Southeastern US, NH<sub>3</sub> concentrations decrease throughout the globe, up to 17 ppb in India and Southeastern China, with corresponding decreases in aerosol nitrate by up to  $7 \,\mu g m^{-3}$ . The
- <sup>10</sup> ammonium  $(NH_4^+)$  soil pool in the bi-directional exchange model largely extends the NH<sub>3</sub> lifetime in the atmosphere. Including bi-directional exchange generally increases NH<sub>3</sub> gross emissions (7.1 %) and surface concentrations (up to 3.9 ppb) throughout the globe in July, except in India and Southeastern China. In April and October, it decreases NH<sub>3</sub> gross emissions in the Northern Hemisphere (e.g., 43.6 % in April in
- <sup>5</sup> China) and increases NH<sub>3</sub> gross emissions in the Southern Hemisphere. Bi-directional exchange does not largely impact NH<sup>+</sup><sub>4</sub> wet deposition overall. While bi-directional exchange is fundamentally a better representation of NH<sub>3</sub> emissions from fertilizers, emissions from primary sources are still underestimated and thus significant model biases remain when compared to in situ measurements in the US. The adjoint of bi-directional
- 20 exchange has also been developed for the GEOS-Chem model and is used to investigate the sensitivity of NH<sub>3</sub> concentrations with respect to soil pH and fertilizer application rate. This study thus lays the groundwork for future inverse modeling studies to more directly constrain these physical processes rather than tuning bulk uni-directional NH<sub>3</sub> emissions.

# 1 Introduction

Ammonia  $(NH_3)$  is an important precursor of particulate matter  $(PM_{2.5})$  that harms human health (Reiss et al., 2007; Pope et al., 2009; Crouse et al., 2012) and impacts climate through aerosol and short-lived greenhouse gas concentrations (Langridge et al.,

<sup>5</sup> 2012). Global emissions of NH<sub>3</sub> have increased by a factor of 2 to 5 since pre-industrial times, and they are projected to continue to rise over the next 100 years (Lamarque et al., 2011; Ciais, 2013). NH<sub>3</sub> is an important component of the nitrogen cycle and accounts for a significant fraction of long-range transport (100's of km) of reactive nitrogen (Galloway et al., 2008). Excessive deposition of NH<sub>3</sub> already threatens many sensitive ecosystems (Liu et al., 2013).

Uncertainties in estimates of  $NH_3$  emissions are significant. Surface-level  $NH_3$  measurements have been limited in spatial and temporal coverage, leading to large discrepancies in emissions estimates (Pinder et al., 2006). Additional information from remote sensing observations has been used to gain a better understanding of  $NH_3$  dis-

- tributions (Clarisse et al., 2009; Shephard et al., 2011; Pinder et al., 2011; Van Damme et al., 2014). These observations have also been used as inverse modeling constraints on NH<sub>3</sub> emissions (Zhu et al., 2013). While this approach leads to improved results regarding the comparison of air quality model estimates to independent surface observations in the US (Zhu et al., 2013), several limitations of this approach were identified.
- First, model biases in NH<sub>x</sub> wet deposition were not reduced. Emission constraints from remote sensing measurements available only once per day were very sensitive to the model's diurnal variation of NH<sub>3</sub> sources. Also, the remote sensing observations used in Zhu et al. (2013) are sparsely distributed, leading to a quantifiable sampling bias. Other inverse modeling studies of NH<sub>3</sub> emissions have been performed using in situ
- <sup>25</sup> observations, such as aerosol SO<sub>4</sub><sup>2+</sup> and NO<sub>3</sub><sup>-</sup> (Henze et al., 2009), aircraft observations of NH<sub>3</sub> (Schiferl et al., 2014) or wet deposition of NH<sub>4</sub><sup>+</sup> (Paulot et al., 2014). However, these approaches still have disadvantages as they are limited to the small spatiotemporal coverage of available aircraft measurements, or are sensitive to large

model biases in  $HNO_3$  (Heald et al., 2012; Zhang et al., 2012) or precipitation Paulot et al. (2014).

The modest success of previous inverse modeling studies suggests that updates to the dynamic and physical processes governing NH<sub>3</sub> are needed in addition to improve-

- ments in emissions estimates. Nighttime NH<sub>3</sub> concentrations are consistently overestimated in many air quality models (e.g., GEOS-Chem, CMAQ). This may contribute to an overestimate of monthly averaged NH<sub>3</sub> concentration following the assimilation of Tropospheric Emission Spectrometer (TES) observations (Zhu et al., 2013).
- Another area in which many air quality models are currently deficient is in treatment of the air–surface exchange of NH<sub>3</sub>. Rigorous treatment of the bi-directional flux of NH<sub>3</sub> can substantially impact NH<sub>3</sub> deposition, emission, re-emission and atmospheric lifetime (Sutton et al., 2007). Re-emission of NH<sub>3</sub> from soils can be a significant part of NH<sub>3</sub> sources in some regions. However, this bi-directional exchange mechanism is neglected by many air quality models (e.g., GEOS-Chem). Several recent studies have
- <sup>15</sup> begun to include resistance-based bi-directional exchange wherein the NH<sub>3</sub> flux direction is determined by comparing the ambient NH<sub>3</sub> concentration to the NH<sub>3</sub> in-canopy compensation point. Sutton et al. (1998) and Nemitz et al. (2001) began with the air-canopy exchange model and extended the model by including air-soil exchange, but with no soil resistance. Cooter et al. (2010) and Bash et al. (2010) developed and extended the model by and the air-soil exchange.
- extended the model to include a soil capacitance which assumes that NH<sub>3</sub> and NH<sub>4</sub> exist in equilibrium in the soil. Bi-directional exchange of NH<sub>3</sub> coupled in a regional airquality model (Community Multiscale Air-Quality (CMAQ)) is evaluated in Bash et al. (2013) and Pleim et al. (2013).

Based on these previous studies, investigating the diurnal patterns of NH<sub>3</sub> emissions and bi-directional air–surface exchange is critical for reducing uncertainties in the GEOS-Chem model, which may in turn afford better top-down constraints NH<sub>3</sub> source distributions and temporal and seasonal variations. In this paper, we apply a new diurnal distribution pattern to NH<sub>3</sub> livestock emissions in GEOS-Chem, which is developed based on observations of emissions in the Concentrated Animal Feeding Operation Discussion Paper | Discussion Paper |

(CAFO) dominated areas in North Carolina (Bash et al., 2015). We then implement bi-directional exchange of  $NH_3$  in a global chemical transport model – GEOS-Chem – following Pleim et al. (2013), and compare the model to in situ observations. As a first step towards including bi-directional exchange in  $NH_3$  inverse modeling, we also

- <sup>5</sup> develop the adjoint of bi-directional exchange in GEOS-Chem; this also provides a useful method for quantifying the sensitivities of GEOS-Chem simulations with respect to important parameters in the bi-directional model, such as soil pH and fertilizer (only mineral fertilizer is considered in NH<sub>3</sub> bi-directional exchange) application rate, which are themselves uncertain.
- Section 2 describes the model we use in this study. Section 3 introduces the in situ observation networks we use for evaluation. The impacts of implementing the new diurnal variation pattern of NH<sub>3</sub> emissions are presented in Sect. 4. The details of developing bi-directional exchange and its adjoint in GEOS-Chem are described in Sect. 5, followed by the evaluations and adjoint sensitivity analysis in Sect. 6. We present our
- <sup>15</sup> conclusions in Sect. 7.

# 2 Methods

# 2.1 GEOS-Chem

GEOS-Chem is a chemical transport model driven with assimilated meteorology from the Goddard Earth Observing System (GEOS) of the NASA Global Modeling and As-

- <sup>20</sup> similation Office (Bey et al., 2001). We use the nested grid of the model (horizontal resolution  $1/2^{\circ} \times 2/3^{\circ}$ ) over the US and  $2^{\circ} \times 2.5^{\circ}$  horizontal resolution for the rest of the world. The year 2008 is simulated with a spin-up period of 3 months. The tropospheric oxidant chemistry simulation in GEOS-Chem includes a detailed ozone-NO<sub>x</sub>-hydrocarbon-aerosol chemical mechanism (Bey et al., 2001) coupled with a sulfate-
- nitrate-ammonia aerosol thermodynamics module described in Park et al. (2004). The wet deposition scheme of soluble aerosols and gases is described in Liu et al. (2001).

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The dry deposition of aerosols and gases scheme is based on a resistance-in-series model (Wesely, 1989), updated here to include bi-directional exchange (see Sect. 5). Global anthropogenic and natural sources of NH<sub>3</sub> are from the GEIA inventory 1990

(Bouwman et al., 1997). The anthropogenic emissions are updated by the following regional inventories: the 2005 US EPA National Emissions Inventory (NEI) for US, the Criteria Air Contaminants (CAC) inventory for Canada (van Donkelaar et al., 2008), the

- inventory of Streets et al. (2006) for Asia, and the Co-operative Program for Monitoring and Evaluation of the Long-range Transmission of Air Pollutants in Europe (EMEP) inventory for Europe (Vestreng and Klein, 2002). Monthly biomass burning emissions are from van der Werf et al. (2010), and biofuel emissions are from Yevich and Logan
- (2003).

# 2.2 GEOS-Chem adjoint model

An adjoint model is an efficient tool for investigating the sensitivity of model estimates with respect to all model parameters simultaneously. This approach has been applied

- <sup>15</sup> in recent decades in chemical transport models for source analysis of atmospheric tracers (Fisher and Lary, 1995; Elbern et al., 1997) and for constraining emissions of tropospheric chemical species (Elbern et al., 2000). Adjoint models have also been used in air quality model sensitivity studies (e.g., Martien and Harley, 2006). The adjoint of GEOS-Chem is fully described and validated in Henze et al. (2007). It has been used
- for data assimilation using in situ observations (e.g., Henze et al., 2009; Paulot et al., 2014) and remote sensing observations (e.g., Kopacz et al., 2010; Zhu et al., 2013; Xu et al., 2013). In this paper, we use the adjoint model to investigate the sensitivity of modeled NH<sub>3</sub> with bi-directional exchange and a new diurnal distribution of NH<sub>3</sub> livestock emissions with respect to soil pH and fertilizer application rate.

# 3 Observations

# 3.1 Surface measurements

We use surface observations of  $\rm NH_3$  and wet deposited  $\rm NH_4^+$  from several networks to evaluate model estimates. The SouthEastern Aerosol Research and Characterization

<sup>5</sup> (SEARCH) network contains monitoring stations throughout the Southeast US. The SEARCH network provides different sampling frequencies, such as daily, 3 day, 6 day, 1 min, 5 min and hourly, at different sites. Three monitoring stations (Oak Grove, MS, Jefferson Street, GA, and Yorkville, GA) provide 5 min long surface NH<sub>3</sub> observations. We average the 5 min long observations of these three sites and convert them to be
 <sup>10</sup> hourly average NH<sub>3</sub> concentration in July 2008.

The Ammonia Monitoring Network (AMoN) of National Atmospheric Deposition Program (NADP) contains 21 sites across the US with two-week long sample accumulation (Puchalski et al., 2011). We average the two-week long observations from November 2007 through June 2010 to monthly  $NH_3$  concentrations. The Interagency Monitor-

- <sup>15</sup> ing of Protected Visual Environments (IMPROVE) network (Malm et al., 2004) consists of more than 200 sites in the continental US which collect PM<sub>2.5</sub> particles over 24 h every third day. We use monthly average sulfate and nitrate aerosols concentrations. We use wet NH<sup>+</sup><sub>4</sub> deposition observations from several monitoring networks around the world. The NADP National Trends Network (NTN) (http://nadp.sws.uiuc.edu/NTN)
- <sup>20</sup> contains more than 200 sites in US which are predominately located in rural areas. It provides wet deposition observations of ammonium with week-long sample accumulation. The Canadian Air and Precipitation Monitoring Network (CAPMoN) (http://www.on.ec.gc.ca/natchem) contains about 26 sites which are predominately located in Central and Eastern Canada with 24 h integrated sample times. The Eu-
- <sup>25</sup> ropean Monitoring and Evaluation Program (EMEP) (http://www.nilu.no/projects/ccc/ emepdata.html) contains about 70 sites which are predominately located away from local emission sources. It has daily, weekly, and bi-weekly observations of ammonium available in different sites. The Acid Deposition Monitoring Network in East Asia

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(EANET) (http://www.eanet.asia/product) contains 54 sites (21 urban, 13 rural, and 20 remote sites) with monthly observations of wet deposition of ammonium. We convert the daily/weekly/bi-weekly observations to monthly average  $\rm NH_4^+$  concentration in 2008.

# 5 4 Diurnal variability of ammonia livestock emission

# 4.1 Development of new diurnal distribution scheme

Simulated  $NH_3$  surface concentrations in GEOS-Chem are significantly overestimated at nighttime compared to hourly observations from the SEARCH network (Zhu et al., 2013). The standard  $NH_3$  emissions in GEOS-Chem are evenly distributed throughout

<sup>10</sup> the 24 h of each day of the month, as indicated by the blue line in Fig. 1. That the simulated NH<sub>3</sub> emissions do not have any diurnal variation is a likely explanation for this discrepancy with hourly observation. Thus, a new diurnal distribution scheme for NH<sub>3</sub> livestock emissions has been developed in CMAQ (Bash et al., 2015). Here we implement this algorithm in GEOS-Chem. The hourly NH<sub>3</sub> livestock emission,  $E_{\rm h}(t)$ , is calculated from the monthly total emission,  $E_{\rm m}$ , as

$$E_{\rm h}(t) = E_{\rm m} N_{\rm met}(t),$$

where  $N_{\text{met}}(t)$  is the hourly fraction of the NH<sub>3</sub> livestock emission during the month. This depends on the aerodynamic resistance,  $R_{\text{a}}$  [s<sup>-1</sup>m], and surface temperature, T [K],

<sup>20</sup> 
$$N_{\text{met}}(t) = \frac{H(t)/R_{a}(t)}{\sum_{t=1}^{n} (H(t)/R_{a}(t))},$$

(1)

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where *n* is the number of hours in a month, *t* is the time during the month, from 1 to *n*, and H(t) is the Henry's equilibrium, calculated following Nemitz et al. (2000),

$$H(t) = \frac{161\,500}{T} e^{-10\,380/T},$$

More details of the development of this diurnal variability scheme can be found in Bash et al. (2015).

# 4.2 Global distribution

We replace the standard GEOS-Chem livestock emissions, which are evenly distributed for each hour of the day (static), with this new diurnal variability of livestock emissions that peaks in the middle of the day (dynamic) (Fig. 1). This also introduces

- daily variability of livestock emissions into the simulation, which is not considered in the standard GEOS-Chem model. As the standard GEOS-Chem anthropogenic emissions do not distinguish the livestock emissions sector, we calculate the absolute NH<sub>3</sub> livestock emissions based on the fractions of livestock emissions in anthropogenic emissions in the 2008 NEI.
- Significant improvements are found when we compare surface NH<sub>3</sub> concentrations to SEARCH observations after implementing the dynamic diurnal emissions. The dynamic case decreases the surface NH<sub>3</sub> concentration relative to the static case by several ppb at night and increases concentrations slightly (up to 1 ppb) in the day. This reduces the model mean bias by up to 2.9 ppb at night.
- To apply the dynamic emissions scheme globally, we implement a new global NH<sub>3</sub> anthropogenic emissions inventory Magnitude And Seasonality of AGricultural Emissions model (MASAGE\_NH<sub>3</sub>, Paulot et al., 2014), which contains sector-specific emissions for different agriculture sources, such as livestock emissions (the standard GEOS-Chem NH<sub>3</sub> emissions do not clearly distinguish this sector). Figure 2 shows the
- global distribution of surface NH<sub>3</sub> concentrations from the GEOS-Chem static and dynamic cases in April, July, and October of 2008. The third column shows the difference

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between the dynamic and the static cases. In general, the dynamic case decreases the monthly  $NH_3$  surface concentration throughout the world with significant changes in Southeast China and India in all three months, which can be up to 17.1 ppb in China in October and 12.1 ppb in India in April. There are also large decreases in the Eastern US (up to 3.3 ppb) and southeastern of South America.

The modeled Representative Volume Mixing Ratio (RVMR) (Shephard et al., 2011) underestimates the observed RVMR from TES in the US and most places of the globe (Shephard et al., 2011; Zhu et al., 2013). In this study, we also compare the modeled RVMR from static and dynamic cases to the TES RVMR. We calculate modeled

- <sup>10</sup> RVMR at the same time and locations of TES retrievals during 2006 through 2009. We average the RVMRs at the 2° × 2.5° grid resolution for each month (April, July, and October). The static RVMR underestimates the TES RVMR throughout the globe in all three months except in India and Southeastern China in April. With the new diurnal variability scheme (dynamic case), the modeled RVMR increases in many places
- (e.g., Eastern China, Northern India, South America) and decreases in the Middle US and Northern Europe. The differences between the dynamic and static RVMR are from –1.5 to 1.6 ppb. These changes generally reduce differences between modeled and observed RVMR, while the differences are enhanced in a few locations, such as Northern India in April. However, the magnitude of these changes are small compared to
- the differences (from -11.4 to 3 ppb) between the static RVMR and TES RVMR. We are able to detect more obvious changes between the static and dynamic cases when focusing on a livestock source region (California) and a hotter day, during which the dynamic RVMR increases 3.4 ppb (Henze et al., 2015).
- High biases of surface nitrate aerosol concentrations in GEOS-Chem are found in the US (e.g., Heald et al., 2012; Walker et al., 2012). Here we consider the impact of dynamic NH<sub>3</sub> livestock emissions on surface nitrate concentration in the US, as well as globally. Figure 3 presents the global distribution of surface nitrate concentration from the GEOS-Chem static and dynamic cases in April, July, and October of 2008. The dynamic case decreases the nitrate concentration significantly in Eastern China in

(3)

all three months, which can be as large as  $7 \mu g m^{-3}$  in October. There are also large decreases in the Eastern US which can be up to  $2.7 \mu g m^{-3}$  in July. In October, there are large decreases in the dynamic case in comparision to static case in Northern India (up to  $3.9 \mu g m^{-3}$ ) and Europe (up to  $2.4 \mu g m^{-3}$  in Poland).

- Investigating the impacts of dynamic  $NH_3$  livestock emissions on nitrogen deposition is also of interest. In Fig. 4, we show the global distribution of total nitrogen deposition (wet deposition of  $NH_3$ , ammonium,  $HNO_3$  and nitrate, and dry deposition of  $NH_3$ , ammonium,  $NO_2$ , PAN,  $N_2O_5$ ,  $HNO_3$  and nitrate) from GEOS-Chem static and dynamic cases in April, July, and October of 2008. The dynamic case decreases ni-
- trogen deposition in most places in the world, yet increases it in several locations. The largest decrease of nitrogen deposition occurs in Northern India in April by up to 3.6 kg N ha<sup>-1</sup> month<sup>-1</sup>. The total amount of nitrogen deposition in India decreases by 8.6% in April. Decreases in nitrogen deposition in the dynamic case occur in Southeastern China in all three months, with the total amount of nitrogen deposition in China
- decreasing by 4.7% in April, 2.8% in July, 3.1% in October. The new diurnal variability scheme has more NH<sub>3</sub> from livestock emissions emitted in the daytime, when the boundary layer is thicker than nighttime. Typically, this lowers deposition largely at night. However, it may also be conducive to more export of NH<sub>3</sub> in the atmosphere during the day. Thus, slight increases of nitrogen in the dynamic cases occur downwind of
- 20 regions with large NH<sub>3</sub> sources in the base cases, such as increases in southeastern Russia owing to enhanced NH<sub>3</sub> export from Eastern China.

#### 5 Bi-directional exchange of NH<sub>3</sub>

### 5.1 Bi-directional flux calculation

The dry deposition scheme in the standard GEOS-Chem model is based on the resistance in series formulation of Wesely (1989), which only considers the unidirectional flux of NH<sub>3</sub> from the air to the surface. However, the air–surface exchange is known to

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actually be bi-directional. In this paper, we update the dry deposition of  $NH_3$  to combine  $NH_3$  dry deposition from the atmosphere and emission from vegetation. A simplified schematic of the updated air–surface exchange process of  $NH_3$  is shown in Fig. 5. More details of this bi-directional scheme can be found in Cooter et al. (2010) and  $_5$  Pleim et al. (2013). The total air–surface exchange flux,  $F_t$ , is calculated as a function

Pleim et al. (2013). The total air–surface exchange flux, F<sub>t</sub>, is calculated as a function of the gradient between the ambient NH<sub>3</sub> concentration in the first (surface) layer of the model and the canopy compensation point (Bash et al., 2013; Pleim et al., 2013),

$$F_{\rm t} = \frac{C_{\rm c} - C_{\rm a}}{R_{\rm a} + 0.5 R_{\rm inc}},\tag{4}$$

where  $C_a$  is the ambient NH<sub>3</sub> concentration of the first atmospheric layer of the model,  $C_c$  is the canopy compensation point (which is set at one half of the in-canopy resistance, since NH<sub>3</sub> can come from either air or soil to the canopy, thus, splitting  $R_{inc}$ symmetrically is appropriate),  $R_a$  is the aerodynamic resistance, and  $R_{inc}$  is the incanopy aerodynamic resistance.  $C_a > C_c$  will result in deposition from air to surface, and  $C_a < C_c$  will result in emission from surface to air.  $C_c$  is calculated as (Bash et al., 2013),

$$C_{\rm c} = \frac{\frac{C_{\rm a}}{R_{\rm a} + 0.5R_{\rm inc}} + \frac{C_{\rm st}}{R_{\rm b} + R_{\rm st}} + \frac{C_{\rm g}}{0.5R_{\rm inc} + R_{\rm bg} + R_{\rm soil}}}{(R_{\rm a} + 0.5R_{\rm inc})^{-1} + (R_{\rm b} + R_{\rm st})^{-1} + (R_{\rm b} + R_{\rm w})^{-1} + (0.5R_{\rm inc} + R_{\rm bg} + R_{\rm soil})^{-1}},$$
(5)

where  $R_{\rm b}$ ,  $R_{\rm bg}$ ,  $R_{\rm st}$ ,  $R_{\rm soil}$  and  $R_{\rm w}$  are the resistances at the quasi-laminar boundary layer of leaf surface, the quasi-laminar boundary layer of ground surface, the leaf stomatal, soil and cuticle respectively.  $R_{\rm a}$ ,  $R_{\rm b}$ ,  $R_{\rm bg}$ ,  $R_{\rm st}$  and  $R_{\rm w}$  are already defined and used in the standard GEOS-Chem deposition scheme. Here we define and calculate  $R_{\rm soil}$  and  $R_{\rm inc}$  following Pleim et al. (2013).  $C_{\rm st}$  and  $C_{\rm g}$  are the NH<sub>3</sub> concentrations in the leaf stomata and soil pores respectively. They are calculated as functions of temperature and NH<sub>3</sub> emission potential ( $\Gamma_{\rm st, g}$ , dimensionless) in the leaf stomata and soil (Nemitz et al., 2000).

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$$=\frac{[NH_4^+]}{[H^+]}.$$
 (6)

 $\Gamma_{st}$  is calculated as a function of land cover type, and the values of different land cover types are based on Zhang et al. (2010).  $\Gamma_g$  is calculated as a function of soil <sup>5</sup> pH and NH<sup>+</sup><sub>4</sub> concentration in the soil,  $[NH^+_4]_{soil}$ . Soil pH data is taken from ISRIC – World Soil Information with a 0.5° × 0.5° global resolution (http://www.isric.org/data/ data-download). We model the  $[NH^+_4]_{soil}$  as an ammonium pool in the soil, which is a function of fertilizer application rate, deposition, nitrification, soil moisture, and emission in bi-directional exchange. The calculation of  $[NH^+_4]_{soil}$  is described in the next section.

To compare the deposition (downward) flux and emission (upward) flux of the bidirectional case to the base case, we define diagnostic variables for gross deposition flux  $F_{dep}$  and emission flux  $F_{emis}$  as follows (Bash et al., 2013; Jeong et al., 2013),

$$F_{\rm dep} = \frac{C_{\rm c} - C_{\rm a}}{R_{\rm a} + 0.5 R_{\rm inc}} |_{C_{\rm st} = 0, C_{\rm g} = 0},\tag{7}$$

<sup>15</sup> 
$$F_{\text{emis}} = \frac{C_{\text{c}}}{R_{\text{a}} + 0.5R_{\text{inc}}}|_{C_{\text{a}}=0},$$

where  $F_{dep}$  is calculated under the assumption that there is no NH<sub>3</sub> emission potential from the soil and canopy, and  $F_{emis}$  is calculated under the assumption that there is no NH<sub>3</sub> in the atmosphere. Thus,  $F_{dep} + F_{emis} = F_t$ .

#### 5.2 Soil ammonium pool

<sup>20</sup> Here we introduce a NH<sup>+</sup><sub>4</sub> pool to track the NH<sub>3</sub> and NH<sup>+</sup><sub>4</sub> in the atmosphere and in the soil. The inputs to the ammonium pool in the soil are NH<sub>x</sub> (NH<sub>3</sub> and NH<sup>+</sup><sub>4</sub>) deposition from the atmosphere, NH<sub>3</sub> emission from the soil, and N fertilizer application rate. The 4835

annual N fertilizer application rates are from Potter et al. (2010), which has chemical fertilizer (global total 70 Tg Nyr<sup>-1</sup>) with a  $0.5^{\circ} \times 0.5^{\circ}$  resolution for the year 2000. We assume that all forms of N fertilizers will convert to NH<sup>+</sup><sub>4</sub> rapidly after fertilizer application. This dataset is also used to develop the global soil nitric oxide emissions in GEOS-Chem in Hudman et al. (2012). We use the same treatment of annual total fer-

- tilization as Hudman et al. (2012) to derive daily fertilizer application rates by applying 75% of the annual total fertilization amount around the first day of the growing season (green-up day), distributed with a Guassian distribution one month after. The other 25% is evenly distributed over the remaining time before the end of the growing sea-
- son (brown-down day). The determination of green-up and brown-down days is based on the growing season dates derived from the MODIS Land Cover Dynamics product (MCD 12Q2) using the MODIS enhanced vegetation index (EVI) (Hudman et al., 2012). Using the fertilizer inputs described above, in addition to inputs from deposition and

outputs from emission, the time dependent soil  $NH_4^+$  pool [mol L<sup>-1</sup>] is calculated as

<sup>15</sup> 
$$[\mathrm{NH}_{4}^{+}]_{\mathrm{soil}} = \frac{[\mathrm{NH}_{\mathrm{x}}]_{\mathrm{dep}}}{d_{\mathrm{s}}\theta N_{\mathrm{A}}} + \frac{[\mathrm{N}]_{\mathrm{fert}}}{d_{\mathrm{s}}\theta M_{\mathrm{N}}} - \frac{[\mathrm{NH}_{3}]_{\mathrm{bidi\ emit}}}{d_{\mathrm{s}}\theta N_{\mathrm{A}}},$$
 (9)

where  $[NH_x]_{dep}$  [molec cm<sup>-2</sup>] is deposition from wet and dry deposition of NH<sub>3</sub> and NH<sub>4</sub><sup>+</sup>,  $[N]_{fert}$  [Ngm<sup>-2</sup>] is the NH<sub>4</sub><sup>+</sup> from fertilizer,  $[NH_3]_{bidi emit}$  [molec cm<sup>-2</sup>] is the gross NH<sub>4</sub><sup>+</sup> emitting from the soil due to bi-directional exchange,  $M_N$  is the molar mass of nitrogen,  $d_s$  is the depth of the soil layer, taken to be 0.02 m,  $\theta$  is the soil wetness [m<sup>3</sup> m<sup>-3</sup>], and  $N_A$  is Avogadro's number. We then solve the mass balance equation for

[m<sup>°</sup> m<sup>-°</sup>], and N<sub>A</sub> is Avogadro's number. We then solve the mass [NH<sub>x</sub>]<sub>dep</sub> and [N]<sub>fert</sub>,

$$\frac{d[NH_x]_{dep}}{dt} = S_{dep} - \frac{[NH_x]_{dep}}{\tau} - L_{dep},$$
(10)

$$\frac{d[N]_{\text{fert}}}{dt} = S_{\text{fert}} - \frac{[N]_{\text{fert}}}{\tau},$$
(11)

(8)

where  $\tau$  is the decay time owing to nitrification rate of NH<sup>+</sup><sub>4</sub> in soil. We assume  $\tau$  is 15 days, since almost all NH<sub>4</sub><sup>+</sup> will convert to NO<sub>3</sub><sup>-</sup> within that timespan (Matson et al., 1998).  $S_{dep}$  is the deposition rate,  $S_{fert}$  is the fertilizer application rate, and  $L_{dep}$  is the deposition loss rate. We use the same assumption as Hudman et al. (2012) that only

60% of this deposited NH<sub>x</sub> will enter the soil, while the rest of the NH<sub>x</sub> deposition will runoff into waterways. Here we do not consider the production of  $NH_4^+$  from  $NO_3^-$  in the nitrogen cycle from mineralization nor immobilization. The time scale of these processes can be years, which is much larger than the time scale of the NH<sup>+</sup><sub>4</sub> simulations considered here; Cooter et al. (2010) also found these processes were not needed to accurately simulate NH<sub>3</sub> over managed lands on similar time scales. 10

#### 5.3 Adjoint of bi-directional exchange

To investigate the sensitivity of modeled NH<sub>3</sub> concentrations to the parameters in the bi-directional exchange model, and to facilitate future inverse modeling, we develop the adjoint of our updated NH<sub>3</sub> flux scheme. Here we consider two key parameters, soil pH and fertilizer application rate, since their values are highly approximate.

The adjoint sensitivity is defined as

15

$$\lambda_{\sigma} = \frac{\partial J(\mathsf{NH}_3)}{\partial \sigma},\tag{12}$$

where J (NH<sub>3</sub>) is the total mass of ammonia at surface level in each grid box during 1 week. The unit of J (NH<sub>3</sub>) is kgbox<sup>-1</sup>.  $\sigma$  in this study is defined as the soil pH scaling factor ( $\sigma_{pH}$ ) or fertilizer application rate scaling factor ( $\sigma_{fert\_rate}$ ).  $\sigma_{pH}$  is defined as  $\frac{pH}{pH^0}$ 20 and  $\sigma_{\text{fert_rate}}$  is defined as  $\frac{\text{fert_rate}}{\text{fert_rate}^0}$ , pH<sup>0</sup> and fert\_rate<sup>0</sup> are the initial estimate of soil pH from ISBIC and fertilizer application rate (w. D. ). from ISRIC and fertilizer application rates from Potter et al. (2010).  $\lambda_{\sigma}$  is the sensitivity of J (NH<sub>3</sub>) with respect to the bi-directional exchange model parameters  $\sigma$ .

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#### Validating the adjoint of bi-directional exchange 5.4

We validate the accuracy of the adjoint model by comparing the sensitivity of NH<sub>3</sub> surface concentrations with respect to soil pH and fertilizer application rate calculated using the adjoint model with sensitivities calculated using the finite differences method. In order to make such comparisions efficiently throughout the model domain, horizontal transport is turned off for these tests (e.g., Henze et al., 2007). Figure 6 shows the

comparison of sensitivities calculated by adjoint and finite difference. The cost function is evaluated once at the end of a one week simulation. The slope of a linear regression and square of correlation coefficient,  $R^2$ , are both close to unity, demonstrating the accuracy of adjoint of the bi-directional model.

# **Results and discussion**

For the US region, we use nested horizontal resolution  $(1/2^{\circ} \times 2/3^{\circ})$  simulations with the standard set of GEOS-Chem emission inventories. For the global simulation, we introduce a new bottom up emission inventory for NH<sub>3</sub> agriculture sources,

MASAGE\_NH3 (Paulot et al., 2014). The full description of the differences between the GESO-Chem standard NH<sub>3</sub> emission inventories and MASAGE\_NH3 is in Paulot et al. (2014). We perform global simulation at a horizontal resolution of 2° × 2.5°. All simulations include the dynamic treatment of the diurnal variability of livestock emissions described in Sect. 4.

6.1 US 20

> We run the GEOS-Chem model for April, July, and October of 2008 with the updated diurnal variation of NH<sub>3</sub> livestock emissions and the bi-directional exchange mechanism. Figure 7 shows the NH<sub>3</sub> total gross emissions from GEOS-Chem with (BIDI) and without (BASE) the bi-directional air-surface exchange. The total gross emissions of

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BIDI case are the sum of primary emissions and upward fluxes from soil and vegeta-25

tion. Bi-directional exchange generally increases gross emissions in most parts of the US in July (up to  $0.43 \text{ Ggmonth}^{-1}$ ) and decreases gross emissions throughout the US in October (up to  $0.29 \text{ Ggmonth}^{-1}$ ). Significant decreases occur in the Great Plains region in both April and October with a magnitude of up to 0.23 Gg month<sup>-1</sup> in April

- and 0.29 Gg month<sup>-1</sup> in October. Bi-directional exchange does not much alter the total modeled emissions in the US in July (increase by 5.9%) and October (decrease by 13.9%), but does lead to a decrease of 23.3% in April. With the ammonium soil pool, the model can preserve ammonia/ammonium in the soil rather than emitting it directly after fertilizer application. This is the main reason that gross emissions decrease in
- the Great Plains in April and October. In July, there is not as much fertilizer applied as in April. However, the bi-directional exchange between the air and surface can induce NH<sub>3</sub> to be re-emitted from the ammonium soil pool which reserve ammonium from previous deposition and fertilizer application.
- The spatial distributions of surface NH<sub>3</sub> concentrations in GEOS-Chem are shown in Fig. 8. In general, bi-directional exchange decreases monthly NH<sub>3</sub> surface concentrations in April (up to 1.8 ppb) and October (up to 2.1 ppb), and increases it in July (up to 2.8 ppb) throughout the US. There are peak decreases in  $NH_3$  surface concentrations in the Great Plains in both April and October and increases in California in July. These changes of surface NH<sub>3</sub> concentration are consistent with the pattern of changes to NH<sub>3</sub> emissions in Fig. 7.

# 6.1.1 Evaluation with NH<sub>3</sub>

We evaluate the GEOS-Chem simulation with bi-directional exchange by comparing the model values to in situ observations from AMoN. Figure 9 shows the comparison of GEOS-Chem surface NH<sub>3</sub> concentrations in the BASE and BIDI cases with AMoN observations. Bi-directional exchange decreases the normalized mean bias (NMB) from -0.227 to -0.165 in July, and increases the NMB from -0.701 and -0.197 to -0.829 and 0.283 in April and October, respectively. The root mean square error (RMSE) de-

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creases by 18.3% in July, and increases by 16.7% in April and 19.2% in October.

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 $R^2$  values increase by 20.6% in July, and decrease by 37.6% in April and 49.1% in October. The slope slightly increases by 0.5% in July, and decreases by 53.5% and 37.5% in April and October, respectively. The changes in slopes can also be seen in Fig. 8 as bi-directional exchange decreases the NH<sub>3</sub> monthly average concentration at

- AMoN sites in April and October while it increases the NH<sub>3</sub> monthly average concentrations in July. Modeled surface NH<sub>3</sub> concentrations are significantly lower than the AMoN observations in April and October by a factor of 2-5, which is not unreasonable given likely underestimates in primary emissions (Zhu et al., 2013; Nowak et al., 2012; Schiferl et al., 2014). Such large underestimation is not corrected by applying the
- NH<sub>3</sub> bi-directional exchange to the model. Other improvements in the model besides bi-directional exchange, such as updating primary NH3 emissions, are also required for better estimating NH<sub>3</sub> surface concentrations.

# 6.1.2 Evaluation with aerosol nitrate

We also compare the simulated nitrate aerosol concentrations to the aerosol observations from IMPROVE. Figure 10 shows the simulated monthly average nitrate aerosol 15 surface concentration from the GEOS-Chem BASE and BIDI cases in comparison to IMPROVE observations in 2008. GEOS-Chem overestimates nitrate in the BASE case in all three months. The overestimates in BASE cases can be 5 times larger in October. Bi-directional exchange generally decreases the nitrate concentrations in April, which

- makes the slope of the regression line decrease by 45.4%. However there are still large overestimates (~ a factor of 2 on average) in the Northeast US and large underestimates (up to  $1.7 \,\mu g m^{-3}$ ) in South California in the BIDI case in April. Bi-directional exchange slightly increases (less than 0.5 µgm<sup>-3</sup>) nitrate in July and decreases (less than  $0.4 \,\mu g \,m^{-3}$ ) nitrate in October, which does not significantly impact the comparison of modeled nitrate with IMPROVE observations.
- Overestimation of nitrate in GEOS-Chem is a long recognized problem (Park et al., 2004; Liao et al., 2007; Henze et al., 2009; Heald et al., 2012; Walker et al., 2012; Zhu

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et al., 2013). Heald et al. (2012) recommend that reducing the nitric acid to 75% would bring the magnitude of nitrate aerosol concentration into agreement with the IMPROVE observations. In our study, based on the comparison of BASE modeled nitrate concentration and IMPROVE observation, we perform sensitivity studies by reducing the nitric

- acid to 50 % in July and to 20 % in October at each timestep in the GEOS-Chem model for both BASE and BIDI cases. Modeled nitrate concentrations reduce dramatically with this adjustment in July and October, but overestimates still exist in many places in the Eastern US We also compare the modeled NH3 surface concentrations in the sensitivity simulations with adjusted nitric acid concentrations to the AMoN observa-
- tions, since reducing the nitric acid in the model may cause NH<sub>3</sub> to partition more to the gas phase, which could bring modeled NH<sub>3</sub> concentrations into better agreement with AMoN observations. However, no significant impacts are found in NH<sub>3</sub> concentrations at AMoN site locations with these nitric acid adjustments, consistent with earlier assessments that the model's nitrate formation is NH<sub>3</sub> limited throughout much of the
- US (Park et al., 2004). Overall, overestimation of model nitrate by a factor of 3 to 5 appears to be a model deficiency beyond the issue of NH<sub>3</sub> bi-directional exchange.

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# 6.1.3 Comparison to inverse modeling

Inverse modeling estimates of uni-directional NH<sub>3</sub> emissions using TES observations lead to overestimates of ammonia concentration in comparison to surface observations from AMoN in July (Zhu et al., 2013), and emissions estimates in July are much higher 20 than other top-down or bottom up studies (Paulot et al., 2014). It is thus of interest to evaluate whether bi-directional exchange of NH<sub>3</sub> would reduce this high bias. Although repeating the inverse modeling with TES NH<sub>3</sub> observations and bi-directional exchange

is beyond the scope of this work, we can use the optimized emissions from Zhu et al. (2013) as the basis upon which bi-directional exchange is applied. Figure 11 shows the modeled NH<sub>3</sub> monthly average surface concentrations in comparison to the AMoN observations. The left column of Fig. 11 is from the optimized NH<sub>3</sub> estimates from Zhu et al. (2013). In the right column, the modeled NH<sub>3</sub> monthly average concentrations 4841

are from GEOS-Chem with NH<sub>3</sub> bi-directional exchange using the optimized emissions from Zhu et al. (2013). The model with bi-directional exchange decreases the high bias in July: the NMB decreases by 80.4 %; the RMSE decreases by 56.7 %. The  $R^2$ value increases by 43.3%. However, the model with bi-directional exchange now un-

- derestimates the NH<sub>3</sub> monthly average concentrations in April and October. The RMSE increases by 4.1% in April and 28.8% in October. The impacts of NH<sub>3</sub> concentration with respect to emissions in the model with bi-directional exchange are nonlinear. Using the optimized NH<sub>3</sub> emissions inventories from the TES NH<sub>3</sub> assimilation with the BASE model does not guarantee a better estimation of NH<sub>3</sub> surface concentrations
- with the BIDI model. Therefore, full coupling of inverse modeling with TES NH<sub>3</sub> observations and bi-directional exchange is necessary. Also, investigating the sensitivities of bi-directional model results to the NH<sub>3</sub> emissions, as well as other critical parameters, is important for improving the NH<sub>3</sub> concentration estimation.

# 6.2 Global modeling results

- <sup>15</sup> While bi-directional exchange of NH<sub>3</sub> has previously been implemented in regional models (e.g., Bash et al., 2013; Zhang et al., 2010; Wichink Kruit et al., 2012), with the GEOS-Chem model we have the chance to evaluate NH<sub>3</sub> bi-directional exchange on global scales for the first time. The global distribution of NH<sub>3</sub> gross emissions in both BASE and BIDI cases, as well as their differences, are shown in Fig. 12. Gen-
- erally, bi-directional exchange decreases NH<sub>3</sub> emissions in the Northern Hemisphere, 20 and increases NH<sub>3</sub> gross emissions in the Southern Hemisphere in April and October. Total NH<sub>3</sub> emissions in the Northern Hemisphere decrease by 22.6 % in April and 7.8% in October. In July, bi-directional exchange increases NH<sub>3</sub> emissions in most places (7.1% globally), except China and India. Significant decreases in NH<sub>3</sub> emis-
- sions in the BIDI case occur in Southeastern China and Northern India in all three months. The magnitudes of the decreases can be up to 18.4 Gg month<sup>-1</sup> in China and 16.5 Gg month<sup>-1</sup> in India in July. Total NH<sub>3</sub> emissions in China decrease by 43.6 % in April, 31.4 % in July, and 24.7 % in October. Total  $\rm NH_3$  emissions in India decrease by

28.8 % in April, 22.8 % in July, and 7.2 % in October. There are also large decreases of total NH<sub>3</sub> emissions in the US, Mexico and Europe in April of up to 6.5 Gg month<sup>-1</sup>.

The changes of  $NH_3$  gross emissions between BASE and BIDI cases can be seen more directly from the comparison of fertilizers emissions in the BASE case with those

- in the BIDI case. In Fig. 13, we show the global distribution of NH<sub>3</sub> fertilizer emissions in the BASE and BIDI cases. In BIDI case, the fertilizer emissions are the upward fluxes from soil and vegetation from bi-directional exchange. The third column is the NH<sub>3</sub> emissions from all other sources except fertilizers in April, July, and October of 2008. In the BASE case, fertilizers emissions have peak values in Eastern China and Middle
- East Asia and much smaller values elsewhere. Fertilizers emissions in the BIDI case increase in many places where there are no or near zero values in the BASE case. In the BIDI case, the fertilizer emissions distribution is much more homogeneous. As we described in Sect. 6.1, fertilizer emissions are lower in the BIDI case under cool spring and fall time conditions due to the temperature effects on NH<sub>3</sub> emissions and storage
- in the soil ammonium pool. The deposition and re-emission processes in bi-directional exchange model thus extend the effect of NH<sub>3</sub> emissions from fertilizers. There are obvious trends that fertilizer emissions in the Northern Hemisphere are larger than those in the Southern Hemisphere in spring and summer, and fertilizer emissions in the Southern Hemisphere are larger than those in the Northern Hemisphere in fall. The
   global amount of NH<sub>3</sub> fertilizer emissions is 27.8% of total emissions from all sources
- in the BASE case and 12.8% in the BIDI case in April.

Figure 14 shows the global distribution of  $NH_3$  monthly surface concentrations in the BASE and BIDI cases and their differences in April, July and October. In general, bi-directional exchange increases  $NH_3$  concentrations throughout the world in

July by up to 3.9 ppb. It decreases NH<sub>3</sub> concentrations in the Northern Hemisphere (up to 27.6 ppb) and increases NH<sub>3</sub> concentrations in the Southern Hemisphere (up to 4.2 ppb) in April and October. Significant decreases of NH<sub>3</sub> concentrations occur in China in all three months with up to 20.6 ppb in April, 12.8 ppb in July, and 15.7 ppb in October. Paulot et al. (2014) indicated the MASAGE NH<sub>3</sub> emissions, which we use in China in all three months with up to 20.6 ppb in April, 12.8 ppb in July, and 15.7 ppb in October. Paulot et al. (2014) indicated the MASAGE NH<sub>3</sub> emissions, which we use in

this study, were higher than the bottom-up  $NH_3$  emissions from Huang et al. (2012) in China in April and July, and similar to the emissions from Streets et al. (2003) in April, July, and October. Overestimation of  $NH_3$  surface concentrations in GEOS-Chem in China are found in Wang et al. (2013) when using  $NH_3$  emissions from Streets et al.

- <sup>5</sup> (2003), leading to an overestimation of nitrate aerosol concentrations in China. Observations from IASI have discrepancies over China with NH<sub>3</sub> concentrations in GEOS-Chem (Kharol et al., 2013; Clarisse et al., 2009) that may in part be improved by the impacts of bi-directional exchange. However, observations from TES show NH<sub>3</sub> concentrations in GEOS-Chem (with NH<sub>3</sub> emissions from Streets et al., 2003) are under-
- estimated in many places of the globe including China (Shephard et al., 2011). We must note that the lower NH<sub>3</sub> concentrations presented here are daily averages, while IASI and TES data are for a particular hour of the day. The changes in the emissions profile may reduce the model underestimate against the satellite observations while decreasing the mean NH<sub>3</sub> concentrations.

#### 15 6.3 Wet deposition evaluation (global and US)

We compare the model  $NH_4^+$  wet deposition to in situ observations in several regions of the world using NTN for the continental US, CAPMoN for Canada, EMEP for Europe, and EANET for East Asia, see Fig. 15. For the model  $NH_4^+$  wet deposition, we also include the model  $NH_3$  wet deposition since  $NH_4^+$  wet deposition from in situ observa-

<sup>20</sup> tions includes precipitated NH<sub>3</sub>. Since there are biases in the modeled precipitation, we scale the model wet deposition by multiplying the modeled deposition by the ratio of the observed to modeled precipitation,  $\operatorname{Flux}_{model} \cdot \left(\frac{P_{obs}}{P_{model}}\right)^{0.6}$ , following the correction method in Paulot et al. (2014). We only include observations that have  $0.25 < \frac{P_{obs}}{P_{sim}} < 4$  to limit the effect of this correction (Paulot et al., 2014), and we also exclude observations

<sup>25</sup> which are beyond three times the standard deviation of observed NH<sup>+</sup><sub>4</sub> wet deposition to avoid outliers.

In general, the GEOS-Chem model underestimates  $NH_4^+$  wet deposition throughout the world in the BASE case. Large increases in  $NH_4^+$  wet deposition in the BIDI cases are found in the US, Canada, and Europe in July (up to 6.31 kg ha<sup>-1</sup> yr<sup>-1</sup>). The slopes of the regression line when compared to observations increase by 37.9% in US, 54.9% in Canada, and EUR

in Canada, and 17.7 % in Europe in the BIDI cases in July, all becoming closer to unity. However, the bi-directional exchange increases the RMSE by 64.3 % in the US, 37.2 % in Canada, and 36.0 % in Europe.

Bi-directional exchange does not impact the NH<sup>+</sup><sub>4</sub> wet deposition much in April and October. It decreases NH<sup>+</sup><sub>4</sub> wet deposition slightly (up to 3.77 kg ha<sup>-1</sup> yr<sup>-1</sup> in Europe) at
<sup>10</sup> most of the observation locations in the US, Canada, and Europe in April. The slopes decrease by 14.3% in the US, 6.8% in Canada, and 12.3% in Europe. Bi-directional exchange decreases the NMB by 46.4% in the US, 37.6% in Europe in April, but increases the NMB by 28.3% in Canada, and 11.6% in East Asia. In October, bi-directional exchange increases NH<sup>+</sup><sub>4</sub> wet deposition slightly at most of the observation
<sup>15</sup> locations (up to 3.85 kg ha<sup>-1</sup> yr<sup>-1</sup>). The changes in RMSE between BASE and BIDI

cases are small, less than 10%.

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The overall differences of NH<sub>4</sub><sup>+</sup> wet deposition between the BASE and BIDI cases are generally small (from -4.95 to 6.31 kgha<sup>-1</sup> yr<sup>-1</sup>), even when the differences in NH<sub>3</sub> emissions are substantial. For example, total NH<sub>3</sub> emissions decrease by 43.6 % in

<sup>20</sup> China in April with bi-directional exchange, but changes in  $NH_4^+$  wet deposition are not very large (from -4.95 to 2.52 kg ha<sup>-1</sup> yr<sup>-1</sup>). While implementing  $NH_3$  bi-directional exchange leads to improvements in some regions and seasons, it does not uniformly reduce error in model estimation of  $NH_4^+$  wet deposition.

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# 6.4 Adjoint sensitivity analysis

#### 6.4.1 Global adjoint sensitivities

In Sect. 5.3, we demonstrated the accuracy of the sensitivities calculated using the adjoint of the GEOS-Chem bi-directional model. In this section, we present the adjoint sensitivities of  $NH_3$  surface concentrations with respect to the important parameters in the bi-directional model. Figure 16 shows the adjoint sensitivities of  $NH_3$  surface concentration with respect to the scaling factors for the soil pH (left) and for the fertilizer application rate (right) in April, July, and October 2008. The sensitivities with respect to both parameters are always positive throughout the globe. Sensitivities of  $NH_3$  to

- fertilizer application rate are positive as excess fertilizer application will increase the NH<sub>3</sub> soil emission potential. Sensitivities of NH<sub>3</sub> to soil pH are also positive as low H<sup>+</sup> concentrations in soil (high soil pH) increases dissociation of NH<sub>4</sub><sup>+</sup> to NH<sub>3</sub>, thereby increasing the potential for volatilization of NH<sub>3</sub>.
- The relationship between NH<sub>3</sub> concentration and soil pH is stronger during the growing season since more ammonium is in the soil pool. Slight changes in pH may have large impacts on the amount of NH<sub>3</sub> emitted from soil and further induce large differences in NH<sub>3</sub> surface concentrations. As we can see in the left column of Fig. 16, the sensitivities of NH<sub>3</sub> surface concentrations with respect to soil pH scaling factors are larger in the Northern Hemisphere than those in the Southern Hemisphere in April and
- July, and less in the Northern Hemisphere than those in the Southern Hemisphere in October, since the growing seasons are in April in the Northern Hemisphere and in October in the Southern Hemisphere. Large sensitivities in July in the Northern Hemisphere are due to ammonium in the soil pool accumulated from CAFO emissions via deposition. However, some caution is warranted in interpreting the seasonality of these
- 25 sensitivities, as our model does not include any seasonal variations in soil pH. Seasonal variability of soil pH is driven by fertilizer rate, timing of fertilizer application, root and bacterial activity, soil moisture, organic matter, and salt levels (Murdock and Call, 2006). Soil pH is observed to be highest at or near mid-winter and lowest at late sum-

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mer (Slattery and Ronnfeldt, 1992). Variation of soil pH can be more than one unit from spring to fall (Angima, 2010), thus the uncertainty in the constant annual soil pH used here could be about 20% owing to neglecting seasonality.

- The relationship between NH<sub>3</sub> concentration and fertilizer application rate is also seasonally dependent. The seasonal trends of sensitivities of NH<sub>3</sub> to fertilizer application rate are similar to sensitivities of NH<sub>3</sub> to soil pH. Larger sensitivities appear in places with lower fertilizer application rates than those with plenty of fertilizer. For example, the largest fertilizer application rates appear in Southeast China, Northwest Europe and Northern India in April, and sensitivities are nearly zero in each of these
- locations. That the magnitude of the fertilizer application rates itself is an important factor in determining the sensitivities of NH<sub>3</sub> concentration to the fertilizer application rate is indicative of the nonlinear relationship introduced by treatment of bi-directional exchange.
- Through investigating the sensitivities of NH<sub>3</sub> surface concentration to the soil pH and the fertilizer application rate, we know that NH<sub>3</sub> surface concentrations are very sensitive to these parameters in many places of globe. We also find that NH<sub>3</sub> surface concentrations are more sensitive to soil pH than fertilizer application rate in general. In addition to the adjoint sensitivity analysis of NH<sub>3</sub> concentrations to the soil pH and the fertilizer application rate, it is also interesting to know the ranking of sensitivities of
- <sup>20</sup> NH<sub>3</sub> concentrations with respect to other parameters, such as NH<sub>3</sub> concentrations at compensation points ( $C_c$ ,  $C_{st}$ ,  $C_g$ ), NH<sub>3</sub> emission potentials ( $\Gamma_g$ ,  $\Gamma_{st}$ ), and resistances ( $R_a$ ,  $R_{inc}$ ,  $R_{soil}$ ,  $R_g$ ,  $R_{st}$ ,  $R_{bg}$ ,  $R_w$ ). Knowledge of the sensitivity of NH<sub>3</sub> concentrations with respect to these parameters may help improve the model estimation of the spatial and temporal distributions as well as the magnitudes of NH<sub>3</sub> concentrations.

#### 25 6.4.2 Comparison to in situ NH<sub>3</sub> with adjusted BIDI parameters

Based on the adjoint sensitivity analysis we have shown above and forward sensitivity analysis for all the parameters mentioned above (results not shown), we know that soil pH is one of the most critical parameters in the GEOS-Chem bi-directional exchange

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model. It is interesting to explore to what extent biases in the modeled  $NH_3$  concentrations may be explained by uncertainties in the parameters of the bi-directional model, rather than e.g., revising livestock  $NH_3$  emissions. To test this, we increase the soil pH value by a factor of 1.1, since uncertainties of seasonal soil pH are about 20%. As

- expected, the NH<sub>3</sub> surface concentrations generally increase over the globe (e.g., up to 3.4 ppb in April). Large increases occur in places with large sensitivities to soil pH (Fig. 16, upper right). NH<sub>3</sub> concentrations are underestimated in the model in comparison to the AMoN observations in the US. They are also underestimated in many parts of globe in comparison to TES observations (Shephard et al., 2011). With this adjust-
- <sup>10</sup> ment to soil pH, the discrepancy between TES observations and the model in upper levels of the boundary layer may potentially be reduced in regions where GEOS-Chem NH<sub>3</sub> is underestimated before the growing seasons and overestimated after the growing seasons. Slight increases in NH<sub>3</sub> surface concentrations are found throughout the US as NH<sub>3</sub> is not very sensitive to soil pH in the US (see Fig. 16). Thus, this adjustment does not improve the comparison to AMoN observations in the US.
  - In this study, we did not consider the adjustment of soil pH in agricultural areas by the farmers who limit the soil pH in a certain range to improve crop yield (Haynes and Naidu, 1998). However, no significant changes in the modeled surface  $NH_3$  concentrations occur with bi-directional exchange when we limit the soil pH in the agricultural
- areas between 5.5 and 6.5 (generally less than 1 ppb over the globe, up to 3.4 ppb in India), since sensitivities are not very strong in the agricultural areas (see left column of Fig. 16).

Small differences between bi-directional and unidirectional fluxes in the US are also indicated in Dennis et al. (2013), wherein sensitivity tests were performed varying the

<sup>25</sup> soil emission potential ( $\Gamma_g$ , a parameter which includes both soil pH and fertilizer application rate) in CMAQ. It was found that the impact on total N deposition at continental scales was generally small (< 5 %), with very few (< 10 %) grid cells having differences up to 20 %.

From Zhu et al. (2013), we know that the underestimation of  $NH_3$  emissions in the unidirectional model can be as much as a factor of 9 in the US. We also notice that  $NH_3$ may not change much when fertilizer emissions increase a lot in regions such as Midwest US and Northern Australia (see Figs. 13 and 14). Thus, low emissions from other

- sources, such as livestock, may be a big part of the reason for underestimating NH<sub>3</sub> 5 concentrations in the bi-directional exchange model. To better understand this, we also test increasing NH<sub>3</sub> livestock emissions by a factor of 8 in April and 3 in October as NH<sub>3</sub> concentrations are generally underestimated by around 8 and 3 times (Fig. 9) compare to AMoN observations in April and October, respectively. These adjustments bring the
- NH<sub>3</sub> concentrations into a much better agreement with the magnitude of AMoN observations, see Fig. 17. However, uniformly increasing the livestock emissions does not well represent the NH<sub>3</sub> spatial distribution with the AMoN observations (correlations of model and observation are very low). Overall, treatment of bi-directional exchange can improve our understanding of NH3 emissions from fertilizers, but this alone may not
- improve estimation of NH<sub>3</sub> concentrations, NH<sub>4</sub><sup>+</sup> wet depositions, and nitrate aerosol 15 concentrations. Additional work including bi-directional exchange in NH<sub>3</sub> inverse modeling is needed, as large underestimates in NH<sub>3</sub> primary sources exist in the model and simply applying the scheme to optimized emissions from inverse modeling can not well capture the spatial variability of NH<sub>3</sub> concentrations that are the responses of both bi-directional exchange processes and emissions. 20

# 6.4.3 Spot sensitivity analysis

Here we investigate to what extent bi-directional exchange increases the NH<sub>3</sub> lifetime, which is a critical issue for controlling nitrogen deposition and PM<sub>2.5</sub> formation. Through the adjoint method, we are able to assess source contributions to model estimates in particular response regions (e.g., Lee et al., 2014). In Fig. 18, we show the adjoint 25 sensitivity of NH<sub>3</sub> surface concentration at a single location (88° W, 40° N) with respect to the NH<sub>3</sub> anthropogenic emissions at all grid cells in April 2008. In the BASE case (left panel), the NH<sub>3</sub> surface concentration is most sensitive to the emissions from

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the same grid cell, and is less sensitive to the emissions from surrounding grid cells. With the bi-directional exchange (right panel), the NH<sub>3</sub> concentration is sensitive to the emissions from a much wider range, which extends all the way to Canada. Some of the sensitivities are very strong even though they are a long distance away from the location of the NH<sub>3</sub> concentration under consideration. The deposition and re-emission processes in the bi-directional exchange extends the spatial range of influence of NH<sub>3</sub> emissions and, in effect, the NH<sub>3</sub> lifetime. Thus, modeled NH<sub>3</sub> concentrations in Illinois can be impacted by the emissions from Kansas or even from Canada.

#### 7 Conclusions

- 10 In this study, we have considered a more detailed, process-level treatment of NH<sub>3</sub> sources in a global chemical transport model (GEOS-Chem) and evaluated the model behavior in terms of biases in estimated  $NH_3$ , nitrate, and  $NH_4^+$  wet deposition, and the factors driving these processes in the model. First, we update the diurnal variability of NH<sub>3</sub> livestock emissions. In general, by implementing this diurnal variability scheme,
- the global NH<sub>3</sub> concentrations, nitrate aerosol concentrations, and nitrogen deposition all decrease. The largest decreases always occur in Southeastern China and Northern India. More NH<sub>3</sub> from livestock emitted in the daytime largely decreases the NH<sub>3</sub> surface concentrations in the night and increases concentrations during the day, which is more conducive to export of NH<sub>3</sub>.
- We have also developed bi-directional exchange of NH<sub>3</sub> and its adjoint in the GEOS-20 Chem model. Bi-directional exchange generally increases NH<sub>3</sub> gross emissions in most parts of the US and most places around the globe in July, except China and India. These are mainly due to the NH<sub>3</sub> re-emissions from the ammonium soil pool that accumulates ammonium from previous months. Bi-directional exchange generally
- decreases NH<sub>3</sub> gross emissions in the US in April and October. On a global scale, bi-directional exchange decreases NH<sub>3</sub> gross emissions in the Northern Hemisphere in April and October, and increases NH<sub>3</sub> gross emissions in the Southern Hemisphere.

During the growing seasons, the ammonium soil pool preserves ammonia/ammonium in the soil rather than emitting it directly after fertilizer application.

Bi-directional exchange increases monthly  $NH_3$  surface concentrations throughout the world in July, which improves comparison to the AMoN observations in the US.

<sup>5</sup> It decreases NH<sub>3</sub> surface concentrations in the Northern Hemisphere and increases NH<sub>3</sub> concentrations in the Southern Hemisphere in April and October. Bi-directional exchange does not have a large impact on model biases in nitrate aerosol, which are likely owing to overestimated nitric acid concentration (Heald et al., 2012). However, with the deposition and re-emission of NH<sub>3</sub> inherent in bi-directional exchange, NH<sub>3</sub>

can be impacted by sources from a much greater distance, which is a critical issue when considering strategies for controlling nitrogen deposition and  $PM_{2.5}$  formation. Bi-directional exchange largely increases  $NH_4^+$  wet deposition in the US, Canada, and Europe in July, but slightly decreases  $NH_4^+$  wet deposition in April and has little impact in October. The overall differences of  $NH_4^+$  wet deposition between the BASE

- and BIDI cases are generally small, even when the differences in NH<sub>3</sub> fertilizer emissions are large. While observations of wet deposition have been used to constrain NH<sub>3</sub> sources in previous works (Gilliland et al., 2003, 2006; Zhang et al., 2012; Paulot et al., 2014), this dataset does not appear sufficient to provide constraints on model treatment of bi-directional exchange.
- <sup>20</sup> Using the adjoint of bi-directional exchange, we investigate the spatial and seasonal dependency of NH<sub>3</sub> surface concentrations in the GEOS-Chem model on the soil pH and fertilizer application rate, which are themselves uncertain. Soil pH is known to be seasonally variable. Updating the soil pH with seasonal variability would impact the results of bi-directional exchange across wide regions of globe. However, updating the
- soil pH with seasonal variability does not seem sufficient to improve comparison with in situ observations in the US, as primary sources are likely underestimated by a factor of 3 or more. Further, uniformly increasing the emissions from primary sources degrades the spatial variability of simulated NH<sub>3</sub>.

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Overall, bi-directional exchange largely extends the lifetime of  $NH_3$  in the atmosphere via deposition and re-emission processes. This model provides a better fundamental description of  $NH_3$  emissions from fertilizers. However, implementing bi-directional exchange does not uniformly improve estimation of  $NH_3$  concentrations,  $NH_4^+$  wet de-

- <sup>5</sup> position, and nitrate aerosol concentrations. Domain-wide adjustments to soil pH or livestock emissions do not improve the model comparison to the full suite of measurements from different platforms, locations and seasons considered here. Thus, incorporating bi-directional exchange in an inverse model is required in future work to correct the low biases in NH<sub>3</sub> primary sources without over adjusting these sources to account for the low biase of the primary sources without over adjusting these sources to account
- <sup>10</sup> for model error from neglecting bi-directional exchange processes.

Acknowledgements. This work is supported by NASA grants NNX09AN77G and NNX10AG63G and EPA STAR award RD834559. While this manuscript has been reviewed by the Environmental Protection Agency and approved for publication, it may not reflect official agency views or policies.

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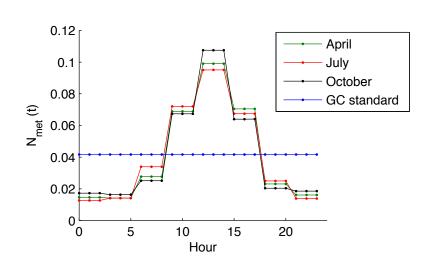
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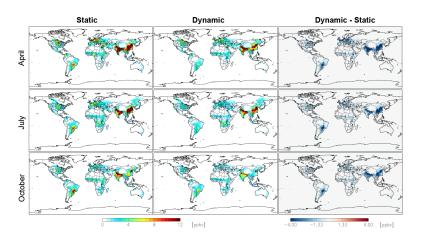
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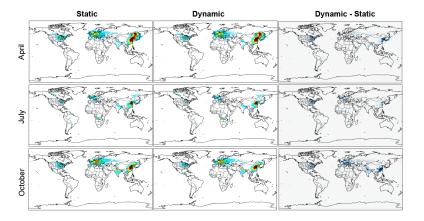
**Figure 1.** Monthly averaged diurnal variation fractions of livestock emissions of year 2008 over the US. Blue line is the standard GEOS-Chem. Dark green, red and black lines are the newly developed diurnal pattern of NH<sub>3</sub> livestock emissions in April, July and October, respectively.



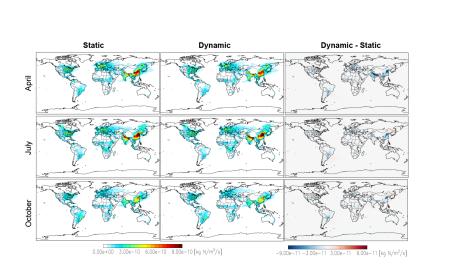
**Figure 2.** Spatial distribution of GEOS-Chem simulated  $NH_3$  concentration at surface level in static, dynamic cases and their differences. Monthly averages are shown for April, July and October of 2008.



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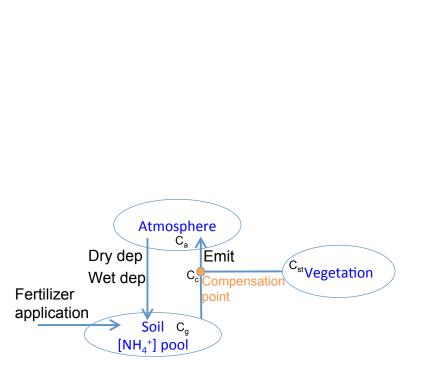


**Figure 3.** Spatial distribution of GEOS-Chem simulated nitrate concentration at surface level in static, dynamic cases and their differences. Monthly averages are shown for April, July and October of 2008.



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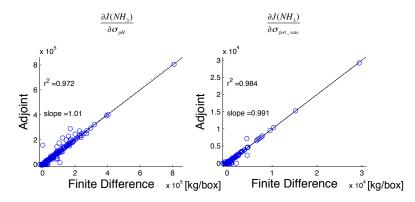
**Figure 4.** Spatial distribution of GEOS-Chem simulated total N deposition in static, dynamic cases and their differences. Monthly averages are shown for April, July and October of 2008.



**Figure 5.** Simplified schematic of  $NH_3$  bi-directional exchange model.  $C_a$ ,  $C_g$ ,  $C_{st}$  are the  $NH_3$  concentrations in the atmosphere, soil and stomata, respectively.  $C_c$  is the  $NH_3$  concentration at the canopy compensation point.

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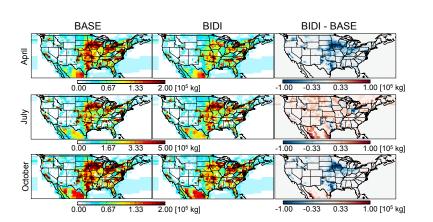
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**Figure 6.** The adjoint sensitivity of  $NH_3$  surface level concentration with respect to soil pH (left) and fertilizer application rate (right) compared to finite difference gradients. The cost function is evaluated once at the end of a one week simulation which excludes horizontal transport.







**Figure 7.** Spatial distribution of ammonia total emissions from GEOS-Chem with (BIDI) and without (BASE) bi-directional exchange and their differences in April, July and October of 2008. The total emissions in the BIDI case are the sum of upward fluxes from soil and vegetation from the bi-directional exchange and emissions from all other sources except fertilizers.

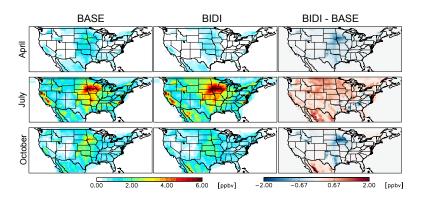
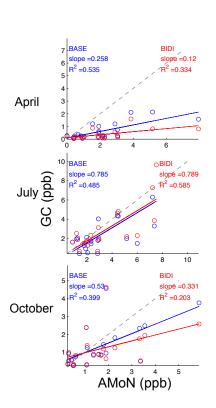
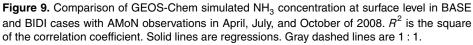


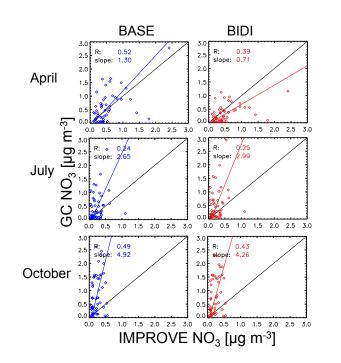
Figure 8. Spatial distribution of ammonia concentration at surface level of GEOS-Chem with (BIDI) and without (BASE) bi-directional exchange and their differences in April, July and October of 2008.



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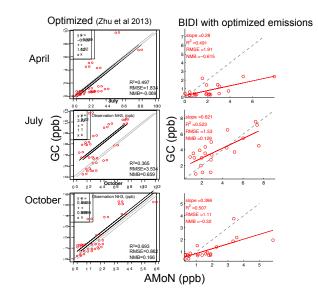


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**Figure 10.** Comparison of GEOS-Chem simulated nitrate aerosol concentration at surface level in BASE and BIDI cases with IMPROVE observations in April, July, and October of 2008. *R* is the correlation coefficient.





**Figure 11.** Left column: comparison of GEOS-Chem optimized NH<sub>3</sub> concentration at surface level from Zhu et al. (2013) with AMoN observations. Right column: comparison of GEOS-Chem simulated NH<sub>3</sub> concentration at surface level in BIDI case using optimized NH<sub>3</sub> emissions from Zhu et al. (2013) with AMoN observations.  $R^2$  is the square of the correlation coefficient. Gray dashed lines are 1 : 1.

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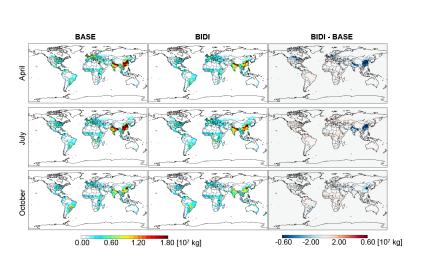


Figure 12. Global distribution of ammonia gross emissions from GEOS-Chem with (BIDI) and without (BASE) bi-directional exchange and their differences in April, July and October of 2008. The total emissions in the BIDI case are the sum of upward fluxes from soil and vegetation from the bi-directional exchange and emissions from all other sources except fertilizers.

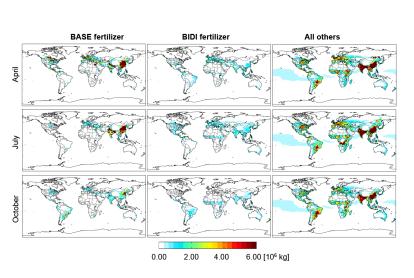
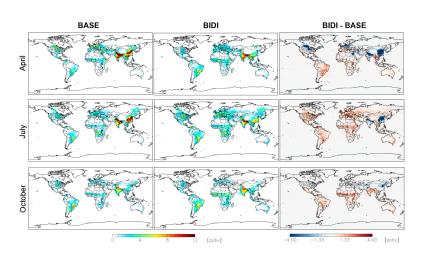
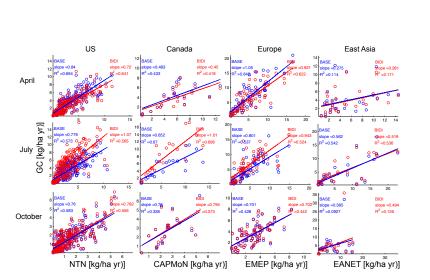


Figure 13. Global distribution of original ammonia fertilizer emissions in BASE case (BASE fertilizer), upward flux from soil and vegetation in BIDI case (BIDI fertilizer), and ammonia emissions from all other sources except fertilizers (All others) in April, July and October of 2008.



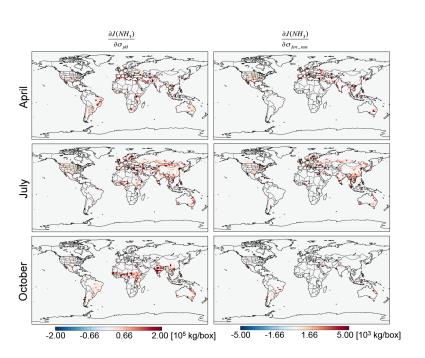


**Figure 14.** Global distribution of ammonia concentration at surface level of GEOS-Chem with (BIDI) and without (BASE) bi-directional exchange and their differences in April, July and October of 2008.



**Figure 15.** Comparisons of GEOS-Chem modeled  $NH_4^+$  wet deposition in BASE (blue) and BIDI (red) cases with in situ observations in US (1st column), Canada (2nd column), Europe (3rd column), and East Asia (4th column) in April (1st row), July (2nd row), and October (3rd row) of 2008. The *y* axis represent the model values, and the *x* axis represent observations from NTN (for US), CAPMoN (for Canada), EMEP (for Europe), EANET (for East Asia).  $R^2$  is the square of the correlation coefficient.

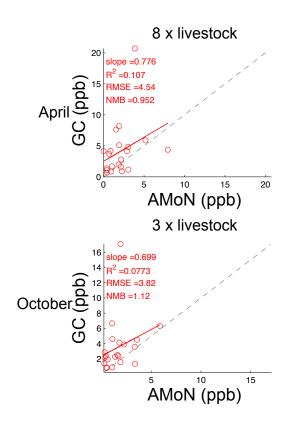
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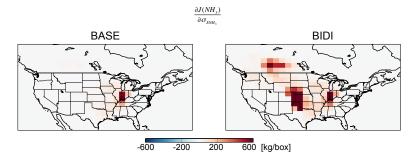
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**Figure 16.** The adjoint sensitivities of  $NH_3$  surface level concentration with respect to soil pH scaling factor (left) and fertilizer application rate scaling factor (right) in April, July, and October of 2008. Note that sensitivities in the left and right columns have different scales.

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**Figure 17.** Comparison of  $NH_3$  surface concentrations from GEOS-Chem with bi-directional exchange to AMoN observations. The livestock emissions in the model are increased by a factor of 6 in April, and 3 in October.



**Figure 18.** The adjoint sensitivities of  $NH_3$  surface level concentration at 88° W, 40° N with respect to  $NH_3$  anthropogenic emission scaling factor at all grid cells in both BASE (left) and BIDI (right) cases in April 2008.

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