

Response to Reviewer #1:

We thank the reviewer for his/her very useful comments and questions, which helped us improving our manuscript. Below we show the reviewer's comments in roman font and our answers italicized.

General comments

- I am concern that the results are applicable only to this particular model (AER-2D and SOCOL-AER), since other models have already found different optimizations, which might be very different from the one for SOCOL-AER (for example in Aquila et al. (2012; 2013) the best results are obtained with an SO₂ injection between 16-18km). I think that this manuscript would improve and become relevant for a more general public if the authors elaborated more on what is causing the difference between model simulations. Why are particle size distributions different among simulations? Is it a matter of different relative humidity at higher or lower altitudes, or does a less broad distribution foster more coagulation? Can the life time of the stratospheric aerosol be evaluated in each case? What causes the difference in life time, the injection altitude or the faster sedimentation due to larger particles? I would also be interested in knowing more about the difference between the 2D and 3D model results. Why do the vertical profiles in Fig. 5 look so much better in the case of the 3D model? Which process is involved?

We think that our results are not "applicable only to this particular model", rather that other models that used simplified aerosol microphysics might have more uncertainty and biases. Based on the top 15 scenarios in Table 1, we obtain the location parameter $\mu = 20.7 \pm 1.8$ km, with the mean skewness $\alpha = -0.8$ km. This means that the SO₂ injection peaks likely at 19.9 km with a standard error of 1.8 km, whose 95% confidence interval (16.3-23.3 km, plus or minus 1.96 standard errors) includes the results from Aquila et al. (which are in the lower range of our results). However, Aquila et al. prescribed the aerosol size distribution assuming a lognormal distribution with median radius of 0.35 μm , which is "within the range of observed values for sulfate aerosol from Mount Pinatubo". While this "within the range" might be overall a good estimate, this approximation cannot take the evolution of sizes in the months following the eruption into account. In particular, this may underestimate the size of the particles because, based on observations, the mode radius can reach over 0.5 μm during the first year after the eruption (see Figs. 2b and 3b of Bingen et al., 2004; or Fig. 4 of Russell et al., 1996). Consequently, the sedimentation of large particles in Aquila et al. is likely underestimated after the Pinatubo eruption, which might be compensated for by SO₂ injection into the lowermost stratosphere. Furthermore, as Bingen et al. (2004) state, "we expect the performances of the climatology to decrease in situations ... when the coexistence of several particle modes make the choice of a monomodal size distribution inadequate". Finally, English et al. (2013) simulated the 1991 Pinatubo eruption with a vertical profile

peaking at 21 km using a size-resolving aerosol-chemistry-climate model, which is similar to the SOCOL-AER model configuration.

The above arguments highlight the importance of treating the microphysics of the stratospheric aerosol properly, which is done in our calculations. While our 2-D model approach allows us to perform very many calculations, which appear necessary to constrain the parameters of the initial volcanic plume sufficiently, it relies on prescribed winds and assumes the aerosol distribution to be sufficiently zonally symmetric. Therefore, we compared with 3-D model calculations using a free-running CCM (SOCOL) coupled with the very same microphysical module (AER) as used in the 2-D model. Our use of a CCM is similar to the approach of Aquila et al. but with coupled microphysics.

In the text, we corrected a small error, which enhanced the above disagreement with Aquila et al.: “the maximum located between 19-22 km” changed to “the maximum likely between 18-21 km”. In calculating the position of the maximum we forgot to take the skewness α into account, which lowers the location of the injection maximum based on the location parameter μ . The corrected range, 18-21 km, is derived from 19.86 km plus or minus one standard error of 1.79 km.

Some more specific answers to the reviewer's questions:

-Why are particle size distributions different among simulations? *As stated above: Aquila et al. assumed a constant value, whereas AER and SOCOL-AER size distributions are computed in a coupled model.*

-Is it a matter of different relative humidity at higher or lower altitudes? *Changes in stratospheric relative humidity are small and have a negligible effect (just a few percent) on particle radius outside the polar regions.*

-Or does a less broad distribution foster more coagulation? *Yes. A less broad distribution leads to a higher number density, which in turn causes more coagulation.*

-Can the life time of the stratospheric aerosol be evaluated in each case? *Yes. We can roughly estimate the global lifetime of the stratospheric aerosol through the evolution of the global aerosol burden (looking at its maximum and e-folding time).*

-What causes the difference in life time, the injection altitude or the faster sedimentation due to larger particles? *Indeed, both affect the lifetime of the aerosol. A mass increase by 20% would cause increases in sedimentation velocities in the order 10% and lower lifetimes by 10% (if we assumed that the removal from the stratosphere of the large volcanic particles was controlled by sedimentation). Similarly, a decrease by 10% in the distance of the volcanic plume above the tropopause level, say from 20.5 km to 20.0 km, will, very roughly, also lower the lifetime by 10%. These are very rough estimates, just meant to demonstrate that both effects are important. Accurate calculations require a sophisticated coupled model.*

-Why do the vertical profiles in Fig. 5 look so much better in the case of the 3D model? Which process is involved? *The 3D model shows a better extinction vertical profile likely because the 3D model uses an improved sedimentation scheme, while the 2-D model uses an upwind scheme. See Benduhn and Lawrence (2013), Sheng et al. (2015) and Sheng et al. (Size-Resolved Stratospheric Aerosol Distributions after Pinatubo Derived from a Coupled Aerosol-Chemistry-Climate Model, submitted to JGR). Transition from 2D to 3D seems to play a lesser role (see below Figure 2).*

- It would be useful to plot Table 1 on a graph, for instance using scatter plots relating the observed and modeled values of SO₂, effective radius, aerosol burden, and extinction coefficient, color coded by, for instance, SO₂ injected mass and/or altitude. I would also find interesting and clear to see a Hovmöller diagram (time by latitude) of the zonal mean stratospheric AOT vs time. One of the big problems for simulations of the Pinatubo aerosol is capturing the early southward transport of the volcanic clouds, and such a diagram would show with set of parameters (especially altitude) would lead to the better result.

Here we provide an example plot (Figure 1) of the observed and modeled aerosol burden (14 Mt of SO₂ injection) colored by altitudes. The figure here shows that for the 14 Mt of SO₂ injection, the best agreement with the observed aerosol burden above 15 Tg can be reached by injecting SO₂ near 18-19 km, while below 15 Tg can be reached near 21-22 km. Model values depend on not only the initial injection mass and altitude, but also the skewness and sigma (i.e. the vertical profile). Therefore, to plot the Table 1 requires a multi dimensional plot, which may not be useful to present all the information clearly as the scoring table already provides. So we prefer not to include such a plot.

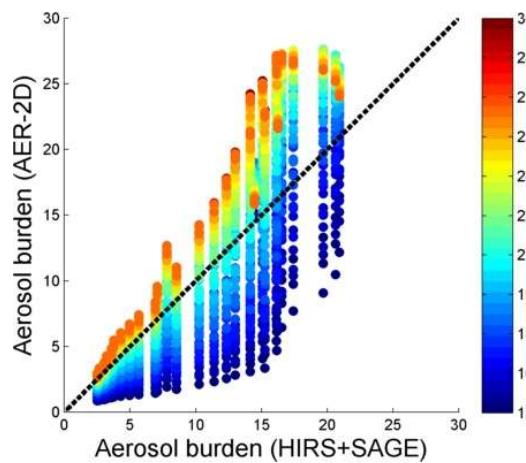


Figure 1. Scatter plot of observed aerosol burden based on the composite of HIRS and SAGE and 2-D AER modeled values (14 Mt of SO₂ injection). Color bar: altitude (km) of SO₂ injection maximum.

We added a time-latitude plot of stratospheric AOT (Fig. 6 in the revised manuscript), in which southward transport of volcanic clouds is clearly seen. We thank the reviewer for the helpful suggestion.

Specific comments:

- p4603 L20: 2006 is not very recent

We omit “recent”.

- p4604 L11: With respect to which quantity was AER 2-D one of the best models? What is both for background and volcanic aerosol?

With respect to SO₂, aerosol number density and extinctions under both background and volcanic conditions. We improved text accordingly.

- p4605 L20: How does SOCOL-AER simulated the stratosphere? 39 vertical layers are not many: is the stratosphere well resolved? Is the QBO included?

There are 15 levels for the stratosphere (100hPa – 1hPa). The resolution is about 1.5 km in the lower stratosphere, and about 2-3 km above 25 km. The QBO is nudged. We added the QBO information in the text.

- p4606 L6-10: It is not clear from the manuscript for how long was the SO₂ injection prescribed in the model, and on which day. The authors argue for the applicability of the 2D model that the SO₂ e-folding time of 25 days is comparable to the zonal transport around the globe of 25 days. From this reasoning, then the 2D model should be initialized after 20 days. However, the e-folding time marks when already 2/3 of the SO₂ has been transformed into aerosol, therefore also sulfate aerosol should be included in the initialization.

The SO₂ injection was prescribed on June 15-16, 1991. This is a 2D approximation. However, we see no significant differences (Figure 2 below) in the 3D simulations between a point injection and a 2D-like injection (i.e. inject SO₂ into an entire latitudinal band). Therefore, we think our 2D approach is reasonable albeit the 2D limitation. The initialization of sulfate aerosol is extremely uncertain due to unknown aerosol size distributions, which might cause larger bias or errors.

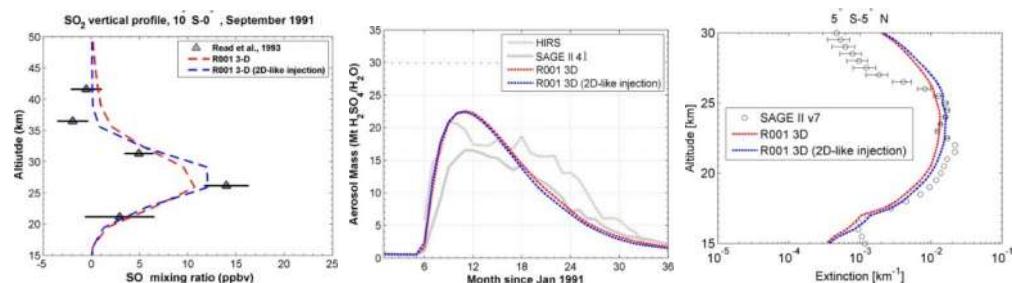


Figure 2. SOCOL-AER 3D simulations. Comparison between a SO₂ point injection (red) and a 2D-like injection (blue). Left panel: SO₂ vertical profile. Middle panel: global aerosol burden. Right panel: 1020 nm extinction (5°S-5°N, Jan 1992)

- p4608 L12: Are the authors calculating both the error in magnitude and spatial distribution? If the simulated maximum of SO₂ concentration is comparable in magnitude to the observations, but slightly north than the observations, how is that calculated in this metric?

No. We only calculated the error in magnitude. We compared the model grid boxes corresponding to the location of observations.

- p4612 L22: With respect to what Is the BDC in SOCOL faster? AER-2D or observations?

"With respect to observations". We improved the text accordingly.

- p4614 L25: The overestimates in modeled extinctions are with SOCOL or with other models? I don't think that this work allows to make conclusions on other model performances.

The overestimates in modeled extinctions presented in SPARC (2006). We improved the text.

Response to Reviewer #2:

We thank the reviewer for his/her very useful comments and questions, which helped us improving our manuscript. Below we show the reviewer's comments in roman font and our answers italicized.

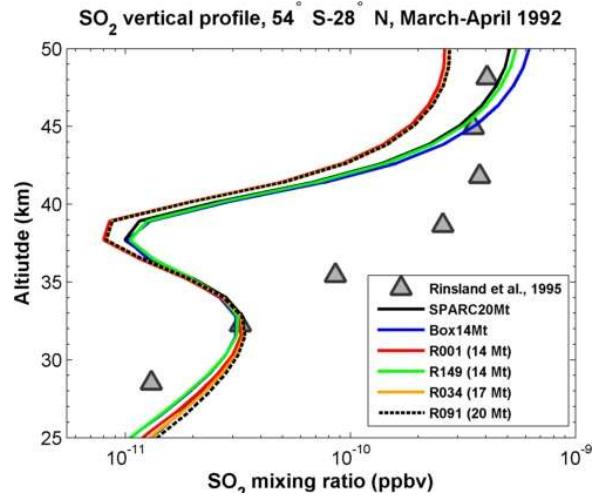
1. General comments

The conclusion that the lowermost estimate of earlier studies for SO₂ injected by Pinatubo should be selected is only of limited value because it appears to be significantly perturbed by model artifacts and arbitrary weighting of 'scores' for differences to observations. Giving the MLS SO₂ measurements a higher weight and the rather uncertain burdens estimated from SAGE during saturation of the instrument a lower one would completely change the conclusions. From text and figures it is also often not clear how the scores were calculated. In the table and the figures important cases are missing. In the introduction the ATMOS observations of Rinsland et al. (1995) should be cited. They should be included into the scoring scheme too.

We do not agree with the reviewer about the 'model artifacts', which are mentioned here at the start but then not detailed (below OH concentrations and the QBO are mentioned, but these should not be deciding factors). Nor would we agree on the weighting being 'arbitrary'. Actually the choice of weighting is discussed in detail in the text (Section 3.1). Giving the MLS SO₂ measurements a higher weight, as suggested by the reviewer, would not be proper due to the very short lifetime of SO₂ and the fact that the only available measurements with vertical resolution of SO₂ in the stratosphere during the Pinatubo period have been made by MLS, which unfortunately only started its mission only three months after the eruption (as we clearly state on p. 4603, l. 6-9). The burdens are estimated from both HIRS and SAGE. HIRS is applied during the saturation phase of SAGE. The overall uncertainty of the composite of HIRS and SAGE is estimated to be about 20% (as stated at the top of p. 4610).

We are grateful for the suggestion to add the formulas for calculating the scores, which we now do in Section 3.1.

ATMOS observations (ALTAS-1) of Rinsland et al. (1995) are during March 24 and April 2, 1992, which is about 10 months after the Pinatubo eruption (SO₂ lifetime in the stratosphere is about a month), i.e. SO₂ concentrations are lower than 10⁴ of the initial value. Furthermore, ATMOS measurements are in the upper stratosphere (above ~30km), where the source of SO₂ mainly comes from photodissociation of H₂SO₄. However, model results above 35 km are very sensitive to the photolysis of H₂SO₄ (see Fig. 2 in Rinsland et al., 1995), which is highly uncertain. Below 35 km, as shown in the attached plot below, the AER model results from different initial injections of SO₂ agree reasonably with ATMOS, but do not differ from each other significantly. Therefore, we refrain from including ATMOS observations into the scoring scheme.



2. Specific comments

Section 2.1: Is the pre-calculated OH based on the updated chemistry? Why OH is pre-calculated? Most chemical 2D-models calculate that in interactive mode. Errors in the troposphere due to simplified hydrocarbons are not relevant for Pinatubo. I suppose meteoric dust is not treated explicitly.

OH is pre-calculated because this study uses a version of the AER 2-D model with only sulfate source gases and intermediate products, along with resulting aerosol, interactively calculated. Sulfur gases are sensitive to OH, but OH is not sensitive to sulfur gases at levels below and including the Pinatubo-injected SO₂ amounts (see Bekki, GRL, 22, 913-916, 1995). Omitting the full chemical mechanism that would be used in an ozone simulation makes the model rapid enough for the ensemble calculations presented here. The pre-calculated OH-fields used in the AER 2D model is the same as Notholt et al. (2005). The OH-fields have been calculated with the 3-D chemistry-transport model MATCH-MPIC (Lawrence et al., 2001). Notholt et al. (2005) found that the model results are in reasonable agreement with calculations from 3-D models [Pitari et al., 2002] and with PEM tropics data (Thornton et al., 1999). Furthermore, differences between the AER pre-calculated OH fields and AER full chemistry are within 10-30% throughout the stratosphere (except for very high latitudes), which results in potential discrepancies in the same order as the uncertainty of the rate coefficient of SO₂ + OH. We don't expect this to be significant in comparison with other 2-D model uncertainties.

Meteoric dust is indeed not treated in the AER model, but this is irrelevant under volcanic conditions such as after the Pinatubo eruption, when the meteoritic mass in the stratosphere corresponds to less than 1% of the total aerosol mass.

Section 2.2: Due to the low vertical resolution the 3D model cannot have an internal Quasi-Biennial Oscillation. Is nudging applied? Especially if this is not the case the tropical water vapor tape recorder is artificially fast. Please expand.

QBO in the 3D model is indeed nudged. We add this information in Section 2.2.

Section 3, metrics, paragraph 1: An equation should be given for scores. What is in the denominator? The exact definition is especially important for the extinction which varies on a logarithmic scale. Now the text is rather confusing. Paragraph 2: I cannot follow the arguments for weighting. Before September 1992 SAGE derived burden is very uncertain due to saturation and gap filling. What is month 12 in line 14? Is this December 1991 (Fig. 3) or June 1992? It is not appropriate to give SAGE burdens (and extinctions in lower stratosphere) a large weight from January 1992 to September 1992.

We revised the manuscript and now explicitly provide the equation that we used to calculate the scores in Section 3.1. We changed 'month 12' to 'December 1991'. SAGE is most saturated and uncertain in the tropics during first six months after Pinatubo, i.e. before January 1992, see Russell et al. (1996). HIRS is applied during the most saturated phase of SAGE. See our answers in General Comments.

Section 3, scoring table: The case with rank 1 for SO₂ should be listed in Table 1 too. The cases with peak emission at 29.5km should be skipped because that is against any observation. The conclusion in line 19 is strongly dependent on the arbitrary weighting and too early. All scenarios having rank 1 in one criterion should be discussed in more detail.

We now list the case with rank 1 for SO₂. We still keep some of the cases with peak emission at 29.5 km in order to show some examples for these worst scenarios. We don't agree on "arbitrary weighting". See our answer in General Comments. We now provide more discussion about all scenarios having rank 1 in one criterion in Section 3.3-3.6.

Section 3, matching SO₂: Here also the scenario with rank one in this criterion should be discussed.

We added some discussion about the scenario with rank 1 for SO₂ in Section 3.3.

Section 3, matching burden: The sulfate mass without water should be given too because there is often confusion in the literature on this. There is the common problem that simulations are too high in the early phase and too low in the second and third year after the eruption. Elaborate more in this. The sentence with 'age of air' is confusing.

We add the information about sulfate mass without water in the text. "Too high in the early phase" could be due to the fact that the early data in SAGE-4λ are either gap-filled and therefore uncertain, or are based on very few original SAGE measurements (while all other measurement situations were opaque), which leads to a low bias in the SAGE-4λ data set. In other words, the measured data may be erroneously low (This is also the reason we use HIRS data in the first six months after the Pinatubo eruption).

Concerning the too low burden or AOD in the 2nd and 3rd year, actually the 2-D simulations show very reasonable agreement with the observed global aerosol burden (Fig. 3) and AOT (Fig. 6, a new figure suggested by the reviewer #1). For the 3D model, too low tropical AOT in the 2nd and 3rd year might be partially explained by the too low age of air in the 3D model (Sheng et al., 2015).

Section 3, matching extinctions: All shown 2D-simulations overestimate extinction above about 23 km and underestimate it in the lowermost stratosphere 1 year after the eruption. All scores are rather poor in this criterion but have a large weight.

Extinction scores in the lower stratosphere (18-23km) are reasonable and have a much larger weight than those above 23 km and in the lowermost stratosphere, because extinctions at 525 nm and 1020 nm at 18-23 km after the Pinatubo eruption (see Fig. 5) are one to several orders of magnitude larger than those above 23 km and in the lowermost stratosphere (15-16 km). We calculated the score by the relative Euclidean norm, therefore the scores above 23 km and in the lowermost stratosphere have a very small weight. We now clarify this in the text (Section 3.1).

3. Technical corrections

Page 4605, line 12: Typo?

“couple” changed to “coupled”.

Page 4606, lines 16ff and Table 1: μ is a bad choice for an altitude parameter since it is normally used in atmospheric sciences for totally different quantities. Better use for example z_0 .

μ is a standard notation for the skewed normal distribution. As it is properly defined on p4606-4607 we do not think that it causes any confusion in the text. Therefore, we prefer to keep the present notation. Page 4608, lines 16f: improve structure, sentence is confusing.

We added a formula and reworded the sentence.

Page 4608, lines 25ff: the numbers should go also into the table caption

Done.

Introduce subsections in section 3.

We introduced subsections in Section 3.

Figure 1: Include all relevant simulation numbers of Table 1 in legend.

Done.

A Perturbed Parameter Model Ensemble to Investigate 1991 Mt Pinatubo's Initial Sulfur Mass Emission

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Abstract. We have performed more than 300 atmospheric simulations of the 1991 Pinatubo eruption using the AER 2-D sulfate aerosol model to optimize the initial sulfur mass injection as function of altitude, which in previous modeling studies has often been chosen in an ad hoc manner (e.g., by applying a rectangular-shaped emission profile). Our simulations are generated by varying a 4-parameter vertical mass distribution, which is determined by a total injection mass and a skew-normal distribution function. Our results suggest that (a) the initial mass loading of the Pinatubo eruption is approximately 14 Mt of SO₂; (b) the injection vertical distribution is strongly skewed towards the lower stratosphere, leading to a peak mass sulfur injection at ~~19-22~~¹⁸⁻²¹ km. The optimized distribution largely improves the previously found overestimates in modeled extinctions in comparison with SAGE II solar occultation measurements.

not only of the eruptions themselves on weather and climate, but also potential impacts of stratospheric sulfate geoengineering.

The uncertainties in determining the initial total mass and altitude distribution of SO₂ released by Pinatubo remain high. Stowe et al. (1992) deduced a mass of 13.6 megatons of SO₂ based on the aerosol optical thickness observed by the Advanced Very High Resolution Radiometer (AVHRR). By analyzing SO₂ absorption measurements from the Total Ozone Mapping Spectrometer (TOMS) satellite instrument, Bluth et al. (1992) estimated an initial mass loading of approximately 20 Mt of SO₂. This study was later reevaluated by Krueger et al. (1995), who determined a range of 14-28 Mt emitted by Pinatubo, given the large retrieval uncertainties associated with TOMS. Later, Guo et al. (2004) constrained this range to 14-22 Mt of SO₂. Besides the total emitted mass, the altitude distribution of the SO₂ emission is also not well constrained. The only available measurements with vertical resolution of SO₂ in the stratosphere during the Pinatubo period have been made by the Microwave Limb Sounder (MLS) in September 1991 (Read et al., 1993), which unfortunately only started its mission three months after the eruption. Given the lack of measurements in the period immediately following the Pinatubo eruption, modeling studies of Pinatubo (e.g., Weisenstein et al., 1997; Timmreck et al., 1999; SPARC, 2006; Heckendorn et al., 2009; Niemeier et al., 2009; Toohey et al., 2011; Aquila et al., 2012; English et al., 2013; Dhomse et al., 2014) have employed very different mass loadings, emission altitudes and vertical

1 Introduction

The eruption of Mt Pinatubo on 15 June 1991 injected large amounts of sulfur dioxide into the stratosphere. It perturbed the radiative, dynamical and chemical processes in the Earth atmosphere (McCormick et al., 1995) and caused a global surface cooling of approximately 0.5 K (Dutton and Christy, 1992). The Pinatubo eruption serves as a useful analogue for geoengineering via injection of sulfur-containing gases into the stratosphere (Crutzen, 2006; Robock et al., 2013). Therefore, modeling volcanic eruptions advances our knowledge

mass distributions, which leads to biases in the local heating and consequently in the dynamical responses and time evolution of the stratospheric aerosol burden. These uncertainties 10 make it difficult to accurately simulate the Pinatubo eruption 60 in addition to model-specific artifacts.

Here, we attempt to provide a solution to the problems outlined above. We use the AER 2-D size-bin resolving (also 110 called sectional or spectral) sulfate aerosol model (Weisenstein et al., 1997), which participated in a recent an international aerosol assessment (SPARC, 2006), and was one of the best-performing stratospheric aerosol models (in terms of comparing SO_2 , aerosol size distributions and extinctions with observations) under both background and volcanic 120 conditions. We present results from more than 300 atmospheric simulations of the Pinatubo eruption based on different combinations of four emission parameters, namely the total SO_2 mass and a 3-parameter skew-normal distribution of SO_2 as function of altitude. We calculate aerosol extinctions 125 from all of the simulations and compare them with Stratospheric Aerosol and Gas Experiment II (SAGE II) measurements (Thomason et al., 1997, 2008). Such a head-on approach is currently impossible for global 3-D models due to computational expenses. The purpose of this work is to provide 130 a universal emission scenario for global 3-D model simulations. To this end we optimize the emission parameters such that the resulting SO_2 plume, aerosol size distributions, aerosol burdens and extinctions match balloon-borne, satellite and lidar measurements. In Section 2 we describe the 2-D 135 model and the experimental design of our Pinatubo simulations. Section 3 compares the Pinatubo simulations with the observations, and conclusions follow in Section 4.

2 Method

2.1 AER 2-D sulfate aerosol model

The AER 2-D sulfate aerosol model participated in a recent 140 an international aerosol assessment (SPARC, 2006), in which it was compared with satellite, ground lidar and balloon measurements, as well as with other 2-D and 3-D aerosol models, and subsequently recognized as one of the best existing stratospheric aerosol models with respect to SO_2 , aerosol 145 size distributions and extinctions under both background and volcanic conditions. The model represents sulfuric acid aerosols ($\text{H}_2\text{SO}_4/\text{H}_2\text{O}$) on the global domain from the surface to about 60 km with approximately 9.5° horizontal and 1.2 km vertical resolution. The model is driven by year- 150 by-year wind fields and temperature from Fleming et al. (1999), which were derived from observed ozone, water vapor, zonal wind, temperature, planetary waves, and quasi-biennial oscillation (QBO). The model chemistry includes the sulfate precursor gases carbonyl sulfide (OCS), sulfur 155 dioxide (SO_2), sulfur trioxide (SO_3), sulfuric acid (H_2SO_4), dimethyl sulfide (DMS), carbon disulfide (CS_2), hydrogen

sulfide (H_2S) and methyl sulfonic acid (MSA). The model uses pre-calculated values of OH and other oxidants from Weisenstein et al. (1996) Notholt et al. (2005). Photodissociation and chemical reactions are listed in Weisenstein et al. (1997) and their rates are updated to Sander et al. (2011). The particle distribution is resolved by 40 size bins spanning wet radii from 0.39 nm to $3.2 \mu\text{m}$ by volume doubling. Such a sectional approach was proven to be more accurate in representing aerosol mass/extinctions compared to prescribed unimodal or multimodal lognormal distributions (Weisenstein et al., 2007). The sulfuric acid aerosols are treated as liquid binary solution droplets. Their exact composition is directly derived from the surrounding temperature and humidity according to Tabazadeh et al. (1997). Microphysical processes in the model include homogeneous nucleation, condensation/evaporation, coagulation, sedimentation, as well as tropospheric rainout/washout. These processes determine the evolution of the aerosol concentration in each size bin, thus the entire particle size distribution. Operator splitting methods are used in the model with a time step of one hour for transport, chemistry, and microphysics, and 3-minute substeps for the microphysical processes that exchange gas-phase H_2SO_4 with condensed phase, and 15-minute substeps for the coagulation process. For more detailed descriptions of chemistry and microphysics in the model we refer to Weisenstein et al. (1997, 2007).

2.2 Coupled 3-D aerosol-chemistry-climate model

We employ the couple coupled aerosol-chemistry-climate model SOCOL-AER (Sheng et al., 2014) (Sheng et al., 2015) in order to verify the consistency between a 2-D model forced with observed dynamics and a 3-D free-running model. SOCOL-AER couples the size resolved AER 2-D microphysical model into the chemistry-climate model SOCOL (Stenke et al., 2013) with interactive aerosol radiative forcing. In this study we use the T31 horizontal resolution ($3.75^\circ \times 3.75^\circ$) and 39 vertical levels (from surface to 0.01 hPa) with operator splitting approaches in time: transport nudged quasi-biennial oscillation. Transport is calculated every 15 minutes, whereas chemistry, microphysics and radiation are calculated every two hours with 40 substeps (3-minute) for the microphysics. This model has been well validated by comparing calculations with sulfur-containing gases, aerosol extinctions at different wavelength channels (from 525 nm to $5.26 \mu\text{m}$), and aerosol size distributions from satellite and in situ observations. It has been used to study the global atmospheric sulfur budget under volcanically quiescent conditions and moderate volcanic eruptions such as the 2011 Nabro eruption. A detailed description of SOCOL-AER is presented by Sheng et al. (2014) Sheng et al. (2015).

Table 1. Scores and rankings of 326 AER 2-D atmospheric simulations of the Pinatubo eruption sorted according to the weighted rank (“RankWt”). The weighting is given by 16.7% of the SO_2 score (Score SO_2), 16.7% of the OPC score (Score OPC), 33.3% of the global burden score (Score Burden), and 33.3% of the aerosol extinction score (Score Ext). Scores of two additional 3-D simulations “R001 3-D” and “R149 3-D” from the aerosol-chemistry-climate model SOCOL-AER are provided at the bottom of the table.

Mass (Mt SO_2)	Location μ (km)	Scale σ (km)	Skewness α (km)	Score SO_2	Score OPC	Score Burden	Score Ext	Score Avg	Score Wt	Rank SO_2	Rank OPC	Rank Burden	Rank Ext	Rank Avg	Rank Wt	Scenario Name
14	22.59	4	-2	0.22	0.47	0.16	0.25	0.28	0.25	20	23	7	11	2	1	R001
14	22.59	3	-2	0.11	0.47	0.19	0.28	0.27	0.26	4	24	14	28	1	2	
14	20.27	2	0	0.19	0.47	0.19	0.27	0.28	0.26	14	21	11	24	3	3	
14	21.43	3	-1	0.28	0.47	0.17	0.26	0.29	0.27	29	22	8	12	5	4	
14	21.43	4	-1	0.35	0.50	0.14	0.23	0.31	0.27	52	46	2	4	7	5	
14	19.11	3	0	0.38	0.48	0.15	0.24	0.31	0.27	57	32	4	7	9	6	
14	21.43	2	-1	0.19	0.45	0.21	0.30	0.29	0.28	13	13	19	43	4	7	
14	17.95	4	0	0.44	0.50	0.13	0.23	0.32	0.28	72	49	1	2	15	8	R008
14	20.27	3	0	0.31	0.53	0.17	0.24	0.31	0.28	42	67	9	6	8	9	
14	19.11	4	0	0.41	0.54	0.14	0.22	0.33	0.28	68	77	3	1	20	10	R010
14	22.59	3	-1	0.22	0.52	0.21	0.26	0.30	0.28	18	65	20	18	6	11	
14	22.59	4	-1	0.34	0.54	0.19	0.24	0.33	0.29	51	88	13	5	19	12	
14	20.27	4	-1	0.45	0.46	0.16	0.25	0.33	0.29	77	17	6	10	22	13	
14	21.43	4	-2	0.40	0.45	0.19	0.27	0.33	0.29	64	8	12	19	16	14	
14	16.79	4	0	0.50	0.48	0.15	0.24	0.34	0.29	88	29	5	8	27	15	
14	21.43	3	-2	0.37	0.44	0.21	0.28	0.32	0.30	54	3	18	33	14	16	
14	23.75	4	-2	0.29	0.54	0.22	0.26	0.33	0.30	36	81	24	15	18	17	
14	21.43	2	0	0.20	0.53	0.25	0.29	0.32	0.30	16	69	35	39	11	18	
14	21.43	2	-2	0.28	0.43	0.24	0.31	0.32	0.30	31	1	28	64	10	19	R019
14	17.95	3	0	0.51	0.46	0.18	0.26	0.35	0.31	89	16	10	16	32	20	
...	
14	23.75	3	-2	0.28	0.54	0.27	0.29	0.35	0.32	35	82	40	40	28	33	
17	22.59	4	-2	0.07	0.55	0.31	0.36	0.32	0.33	3	96	63	108	13	34	R034
17	21.43	4	-1	0.23	0.57	0.28	0.31	0.35	0.33	23	105	48	58	29	35	
...	
17	16.79	4	-1	0.73	0.48	0.31	0.34	0.47	0.42	138	31	67	87	97	89	
17	20.27	4	0	0.38	0.66	0.40	0.34	0.45	0.42	58	157	121	90	90	90	
20	21.43	3	-1	0.04	0.62	0.44	0.50	0.40	0.42	1	142	154	196	60	91	R091
20	16.79	4	1	0.70	0.51	0.33	0.34	0.47	0.42	132	54	77	85	100	92	
17	20.27	2	-2	0.63	0.50	0.34	0.37	0.46	0.43	120	45	89	119	94	93	
14	/	/	/	0.70	0.70	0.31	0.27	0.50	0.43	133	184	66	20	116	94	Box14Mt
20	17.95	2	0	0.61	0.53	0.35	0.37	0.46	0.43	112	68	92	112	95	95	
...	
14	26.07	3	-1	0.94	0.71	0.43	0.32	0.60	0.53	197	195	141	74	164	149	R149
...	
14	17.95	2	-2	0.96	0.61	0.56	0.57	0.67	0.64	207	133	207	227	208	215	
20	/	/	/	0.47	0.78	0.67	0.61	0.63	0.64	79	244	249	245	178	216	SPARC20Mt
14	16.79	2	-1	0.96	0.60	0.57	0.57	0.67	0.64	203	122	211	229	206	217	
...	
20	29.55	2	0	1.68	0.85	0.86	0.94	1.08	1.02	323	281	291	319	324	322	
20	29.55	2	-2	1.68	0.86	0.87	0.94	1.09	1.03	322	284	295	315	325	323	
20	29.55	3	0	1.52	0.90	0.91	0.96	1.07	1.03	317	306	306	326	321	324	
20	28.39	2	0	1.60	0.88	0.89	0.95	1.08	1.03	320	298	298	323	322	325	
20	29.55	2	-1	1.67	0.86	0.88	0.95	1.09	1.03	321	288	297	321	326	326	
14	~22	4	-2	0.30	0.46	0.18	0.20	0.29	0.25							R001 3-D
14	~26	3	-1	0.93	0.53	0.36	0.38	0.55	0.49							R149 3-D

2.3 Experiments

We have simulated the Pinatubo-like eruption by injecting SO_2 directly into the stratosphere. In the 2-D model, the injection is immediately mixed zonally, and takes place into the latitude band 5°S – 14°N , which is an approximation to the observed rapid zonal transport of the SO_2 cloud derived from satellite measurements (Bluth et al., 1992; Guo et al., 2004). The lack of zonal resolution is clearly a deficiency of our approach, but since SO_2 removal/conversion rate (e-folding time) is sufficiently slow ($\tau \sim 25$ days) and the zonal transport around the globe sufficiently fast ($\tau \sim 20$ days) (Guo et al., 2004), a zonal-mean description is a reasonable approximation. Also, the spaceborne aerosol data are typically provided as zonal averages. We examined three cases of total mass, namely 14, 17 and 20 Mt of SO_2 . The injection height extends from near the tropical tropopause (17 km) to 30 km. The vertical mass distribution is then represented by $M_{tot}F(z)$ where M_{tot} is the SO_2 mass magnitude in units of megaton (Mt) and $F(z) = f(z)/\int_{z_{\min}=17}^{z_{\max}=30} f(x) \, dx$ (in km^{-1}) is a vertical distribution function of altitude $z \in [17 \text{ km}, 30 \text{ km}]$ with a skew-normal distribution $f(z)$ given

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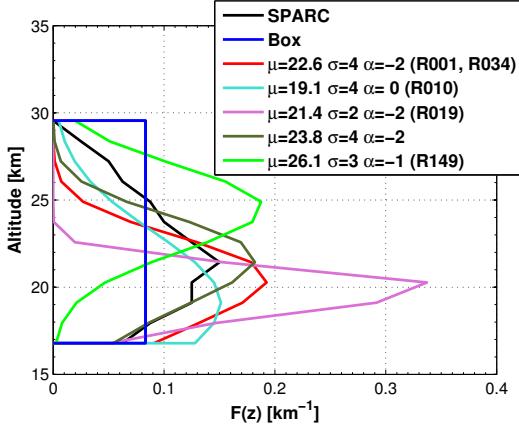


Fig. 1. Vertical distribution function $F(z)$. Black line: used in SPARC (2006) Blue line: uniform (box) profile that distributes SO_2 homogeneously with altitudes. Each of these curves encloses a unit area.

by (Azzalini, 2005)

$$f(z) = \frac{2}{\sqrt{2\pi}\sigma} e^{-\frac{(z-\mu)^2}{2\sigma^2}} \int_{-\infty}^{\frac{\alpha z - \mu}{\sigma}} \frac{1}{\sqrt{2\pi}} e^{-\frac{x^2}{2}} dx$$

Figure 1 shows a few examples of $F(z)$. The location parameter μ depends on available model levels and determines the altitude where the maximum of the emitted SO_2 cloud is located when there is no skewness. The skewness or asymmetry of the curve increases when $|\alpha|$ increases and vanishes when $\alpha = 0$ (normal distribution). A negative α drives the location of the maximum SO_2 emission to lower altitudes, while a positive α to higher altitudes. The scale parameter σ indicates how much dispersion takes place near the maximum, that is, it determines the width or standard deviation of the asymmetric bell-shaped curve.

The four parameters M_{tot} , μ , σ and α enable representation of a substantial space of SO_2 distributions, whose evolution is computed forward in time (taking into account the transport and comprehensive chemical and microphysical processes), in order to compare with the satellite extinction data. We simulate the following cases in detail:

$$M_{tot} \in \{14 \text{ Mt}, 17 \text{ Mt}, 20 \text{ Mt}\},$$

$$\mu \in \{16.79 \text{ km} + n \times 1.16 \text{ km}, n = 0 \dots 11\},$$

$$\sigma \in \{2 \text{ km}, 3 \text{ km}, 4 \text{ km}\}$$

$$\alpha \in \{-2, -1, 0\}$$

which results in 324 different scenarios. The choice of the boundaries for this set of scenarios is already based on exploratory simulations. For example, based on the results of our 2-D model, it does not make sense to consider total masses $M_{tot} > 20 \text{ Mt}$, since no choice of the other three parameters would allow to reconcile the model results with the

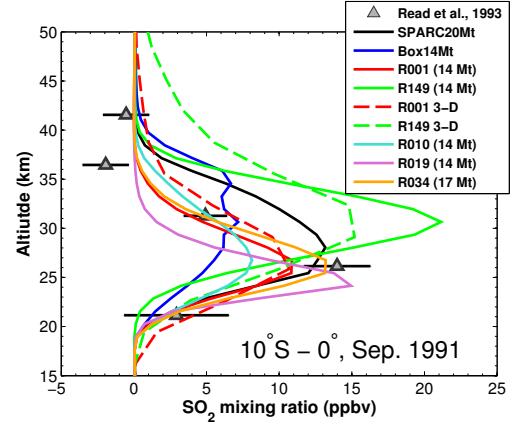


Fig. 2. Vertical profiles of monthly zonal mean SO_2 mixing ratio at 10°S - 0°N in September 1991. Simulations are represented in different colors. Observations (triangles) are taken from Microwave Limb Sounder (MLS) measurements (Read et al., 1993).

observations. Similarly, skewness $\alpha > 0$ can lead to more biased model results, because the skew towards higher altitudes cannot be offset by lower M_{tot} . In addition to the above 324 simulations, we consider another two scenarios, which are adopted in modeling studies of Pinatubo: (1) Box14Mt has a uniform ('Box') profile, which is similar to Dhomse et al. (2014) and the simulation "CONTROL_HIGH" in Aquila et al. (2012), injecting the SO_2 mass homogeneously along altitudes (shown in Figure 1); (2) SPARC20Mt is the reproduction of the Pinatubo simulation conducted in SPARC (2006), which injects 20 Mt of SO_2 and has a vertical profile 'SPARC' shown in Figure 1.

A selected list from the 324 simulations is summarized in Table 1, in which the specific choice of the four parameters for each scenario is provided. The score and ranking of these scenarios are discussed later in the text.

Given the limitation of the 2-D approach, we further perform two 3-D Pinatubo-like simulations (R001 3-D and R149 3-D at the bottom of Table 1) using the coupled aerosol-chemistry-climate model SOCOL-AER (Sheng et al., 2014) Sheng et al. (2015) to check the consistency between 2-D and 3-D approaches. Note that the location parameters used in the 3-D runs differ slightly from the corresponding 2-D runs (i.e. R001 and R149) due to different vertical model levels between the two models.

3 Results and Discussions

We compare our results with SO_2 vertical profiles measured by the Microwave Limb Sounder (MLS) onboard the Upper Atmosphere Research Satellite (UARS) between 10°S - 0° in September 1991 (Read et al., 1993), the optical particle counter (OPC) measurements operated above Laramie, Wyoming (Deshler et al., 2003; Deshler, 2008), the global

aerosol burden derived from the High-resolution Infrared Radiation Sounder (HIRS) (Baran and Foot, 1994) and from Stratospheric Aerosol and Gas Experiment II (SAGE II) using the 4λ method (SAGE-4 λ) (Arfeuille et al., 2013), as well as aerosol extinctions measured by SAGE II (Thomason et al., 1997, 2008).

Metrics and data sets.

3.1 Metrics and data sets.

To determine an optimal set of the emission parameters, we define four metrics (ScoreSO₂, ScoreBurden, ScoreOPC and ScoreExt) based on these four measurements sets described above, and rank all of our 324 simulations by a weighted score (ScoreWt) of the four metrics (see Table 1). **ScoreSO₂**

ScoreSO₂ is calculated as the relative l^2 -norm (Euclidean norm) error with respect to the MLS measurements, whose negative values:

$$\|X_{\text{SO}_2, \text{model}} - X_{\text{SO}_2, \text{MLS}}\| / \|X_{\text{SO}_2, \text{MLS}}\|,$$

where X is a one-dimensional vector of SO₂ mixing ratio in altitude (21 km, 26 km, 31 km, 36 km and 41 km). The negative values of the MLS measurements are set to zero in the calculation. **ScoreBurden**

ScoreBurden is the average of the relative l^2 -norm errors with respect to HIRS (Jul. - Dec. 1991) and SAGE-4 λ (Jan. 1992 - Dec. 1993). **ScoreOPC** is evaluated by the:

$$\frac{1}{2} (\|B_{\text{model}}^{t_1} - B_{\text{HIRS}}^{t_1}\| / \|B_{\text{HIRS}}^{t_1}\| + \|B_{\text{model}}^{t_2} - B_{\text{SAGE}}^{t_2}\| / \|B_{\text{SAGE}}^{t_2}\|)$$

where B^{t_1} is a one-dimensional (in time) vector of the aerosol burden for Jul. - Dec. 1991 and B^{t_2} for Jan. 1992 - Dec. 1993.

ScoreOPC. We first calculate the relative l^2 -norm errors (in space) with respect to the OPC measurements for:

$$\text{errOPC} = \|N_{\text{model}} - N_{\text{OPC}}\| / \|N_{\text{OPC}}\|$$

where N is a one-dimensional vector of the cumulative particle number concentration in altitude (15-30 km). We then evaluate a quadratic mean (RMS):

$$\text{rmsOPC} = \text{RMS}\{\text{errOPC}_r\}$$

where r denotes four particle size channels ($r > 0.01 \mu\text{m}$, $r > 0.15 \mu\text{m}$, $r > 0.25 \mu\text{m}$ and $r > 0.5 \mu\text{m}$), and then averaged over time (from Oct. to Dec. 1992). Finally, **ScoreOPC** is obtained by averaging rmsOPC from October 1991 to December 1992. **ScoreExt** is evaluated by the relative l^2 -norm error (in space) with respect to gap-filled SAGE II v7.0, December 1992.

ScoreExt. The uncertainty of SAGE is generally better than $\sim 20\%$ for 525 nm and $\sim 10\%$ for 1020 nm (see Fig. 4.1

in SPARC (2006)). Therefore, ScoreExt is weighted as one third for 525 nm (ScoreExt525nm) and two thirds for 1020 nm (ScoreExt1020nm). The calculations for ScoreExt525nm and then averaged over time (from Jan. to Dec. 1993) are similar to those in ScoreOPC. Latitude bands (50–40°S, 30–20°S, 5°S–5°N, 20–30°N and 40–50°N) take the place of the particle size channels. The temporal average is from January 1992 to December 1993.

Note that extinction coefficients in the lower stratosphere (18–23 km) have a much larger weight than those above 23 km and in the lowermost stratosphere, because extinctions at 525 nm and 1020 nm at 18–23 km after the Pinatubo eruption (see Figure 5) are one to several orders of magnitude larger than those above 23 km and in the lowermost stratosphere. We calculate the score by the relative Euclidean norm, therefore the scores above 23 km and in the lowermost stratosphere have a relatively small weight.

The overall score ScoreWt is weighted as follows: 16.7% of the SO₂ score (ScoreSO₂), 16.7% of the OPC score (ScoreOPC), 33.3% of the global burden score (ScoreBurden), and 33.3% of the aerosol extinction score (ScoreExt). The choice of the weighting is discussed below.

MLS detected residual SO₂ in the stratosphere after approximately 100 days after the eruption. The uncertainty of ScoreSO₂ is likely larger than ScoreBurden and ScoreExt due to short lifetime of SO₂ and uncertain OH fields. Assuming an uncertainty in OH fields of 10% (e.g., Prinn et al., 2005) translates into an uncertainty of 30% in SO₂ at ~ 90 days after the eruption. Moreover, ScoreOPC has also less weight than ScoreBurden and ScoreExt because of the small temporal and spatial sample size of the balloon-borne OPC measurements, which are not conducted very frequently (a maximum of two measurements per month after the Pinatubo eruption) and located only above Laramie. In contrast, SAGE II, as an occultation instrument, becomes very reliable when the stratosphere starts to be sufficiently transparent. The measurement uncertainty is generally better than $\sim 20\%$ for 525 nm wavelength and $\sim 10\%$ for 1020 nm (see Fig. 4.1 in SPARC (2006)). Therefore, ScoreExt is weighted as one third for 525 nm and two thirds for 1020 nm. Finally, ScoreBurden uses the HIRS-derived data up to month 12 December 1991 and the SAGE-derived data afterwards. During the first 6 months after the Pinatubo eruption, the SAGE II instrument was largely saturated in the tropical region (Russell et al., 1996; Thomason et al., 1997; SPARC, 2006; Arfeuille et al., 2013), and therefore the aerosol mass retrieved from SAGE II during this period very likely underestimates the initial loading significantly. The SAGE-4 λ data set corrects for this deficiency by filling observational gaps by means of Lidar data. However, Lidar-derived extinctions are generally lower than SAGE II below 21 km (SPARC, 2006), and are not located in the equatorial region (see Fig. 3.7 in SPARC (2006)), where maximum mass loadings are expected. Therefore, SAGE II gap-filled data probably remain as a lower limit after the eruption. Conversely, HIRS

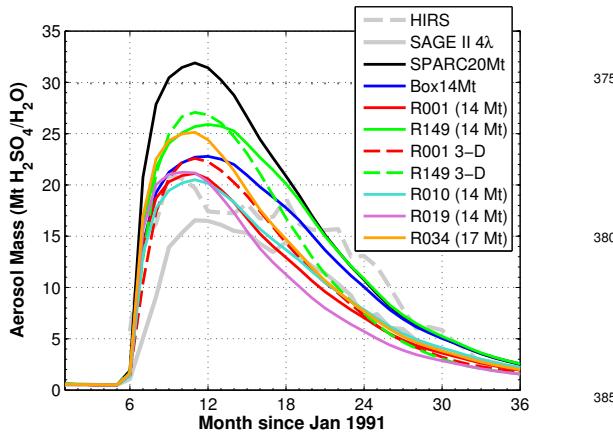


Fig. 3. Evolution of simulated global stratospheric aerosol burden (Mt $\text{H}_2\text{SO}_4/\text{H}_2\text{O}$) compared to the HIRS and SAGE II-derived data. HIRS-derived data include both tropospheric and stratospheric aerosols (Baran and Foot, 1994). SAGE II aerosol data is derived from the retrieval algorithm SAGE 4λ by Arfouille et al. (2013), and include only stratospheric aerosols.

measurements represent an upper limit since they account for the entire aerosol column including the troposphere. This may explain the considerable difference between SAGE II and HIRS during the first year after Pinatubo (see Figure 3). After this period, HIRS tends to be noisy due to its lack of sensitivity at high latitudes where there is a contribution from errors in the background signal (Baran and Foot, 1994). In contrast, SAGE II, as an occultation instrument, becomes more reliable when the stratosphere starts to be sufficiently transparent. Therefore, ScoreBurden uses the HIRS-derived data up to month 12 December 1991 and the SAGE-derived data afterwards, with an overall uncertainty of 20%. ScoreExt uses the SAGE II measurements from January 1992 to exclude the most saturated phase of SAGE II.

Scoring table:

3.2 Scoring table.

Table 1 shows the scores of selected scenarios, sorted according to the weighted rank (“RankWt” in the next to last column). The best scenarios (RankWt ≤ 15) reveal that the total injection mass (M_{tot}) is 14 Mt of SO_2 , 70-80% of which is below 24 km, and its maximum is likely between 19-22 km with 3-4 km width (scale parameter σ). Location parameters μ larger than 22 km are generally skewed towards a lower altitude (negative α). These sort of vertical profiles provide a range for the parameters of the optimal vertical distribution: $\mu = 20.66 \pm 1.79$ km, $\sigma = 3.33 \pm 0.72$ km and $\alpha = -0.8 \pm 0.77$. Two examples (scenarios R001 and R010 marked in Table 1) are shown in Figure 1. The worst scenarios (RankWt ≥ 317) in Table 1 are those with 20 Mt SO_2 injection mass and highest location parameters ($\mu = 29.55$ km). The scenarios such as Box14Mt and R149 rank much

more poorly than the optimal scenarios, although their injection mass is the same, because their vertical profiles (shown in Figure 1) inject over 50% mass above 23-24 km. The scenario R034 has the same vertical profile as R001, but more emitted mass (17 Mt SO_2), leading to poorer ranks in the aerosol burden and extinctions. The scenario SPARC20Mt ranks at 211 in Table 1, although its vertical profile is close to the optimal scenarios (about 10-20% more mass above 23 km). This implies that emitting 17 or 20 Mt SO_2 is very likely an overestimation.

The optimal vertical profiles found in Table 1 are generally consistent with the earlier volcanic plume studies of Fero et al. (2009) and Herzog and Graf (2010). Fero et al. (2009) showed that the SO_2 plume from the 1991 Pinatubo eruption originated at an altitude of ~ 25 km near the source and descended to an altitude of ~ 22 km as the plume moved across the Indian Ocean. Herzog and Graf (2010) suggested that initially SO_2 from a co-ignimbrite eruption (such as Pinatubo) that was forced over a large area, may reach above 30 km but the majority of SO_2 would then collapse or sink back to its neutral buoyancy height (15-22 km) (see Fig.1 in their paper).

We choose seven discuss in detail nine scenarios (R001, R010, R019, R034, R149, Box14Mt, SPARC20Mt, R001 3-D and R149 3-D) to be discussed in detail. R001 represents the overall optimal scenario. In comparison, R010 ranks first in the ScoreExt and third in the ScoreBurden, as an example of scenarios with high rankings in the extinction and aerosol burden scores. R019 matches best the OPC measurement, but has poorer scores in the other criteria than R001 and R010. R091 and R034, R149 and Box14Mt are in the center span of the ranking field: R034 has the have a similar or the same vertical profile as R001, but injects larger sulfur mass (and both agree very well with the SO_2 observations (ranking first and third in the ScoreSO₂, respectively), but perform very poorly among other scores due to their abundant initial injections (20 Mt and 17 Mt SO_2), respectively). Here we only select R034 for later discussion. R149 and Box14Mt (with Rank RankWt 94) inject the same sulfur mass as in R001, but use different vertical profiles (maximum injection mass of R149 is located at ~ 26 km). SPARC20Mt (with Rank 216) turns out to be a bad representation, which reproduces the previous simulation conducted in SPARC (2006). The two 3-D scenarios R001 3-D and R149 3-D correspond to the 2-D scenarios R001 and R149, respectively. The scores of the 3-D simulations runs are similar to the corresponding 2-D simulations ones.

Matching SO_2 . Figure 2 shows the comparison of

3.3 Matching SO_2 .

Figure 2 compares the modeled SO_2 with MLS measurements three months after the eruption, in September 1991. The scenario R001 captures the measured SO_2 profile, and only underestimates the measured maximum SO_2 mixing

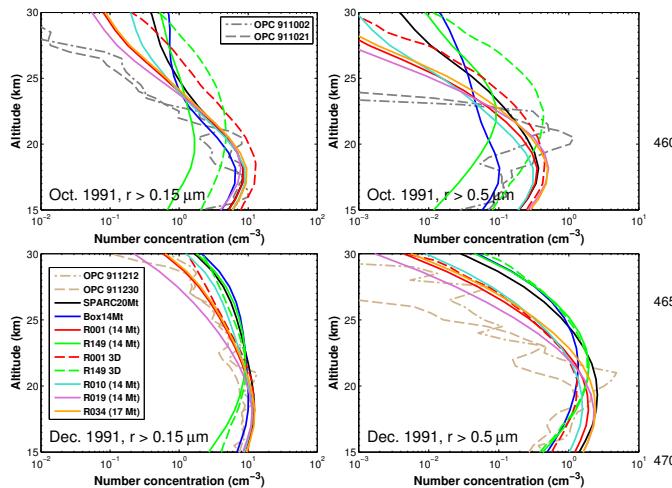


Fig. 4. Cumulative particle number concentrations of OPC measurements (Deshler et al., 2003; Deshler, 2008), and model simulations in October 1991 (upper panels) and December 1991 (lower panels) for particle size channels $r > 0.15 \mu\text{m}$ (left panels) and $r > 0.5 \mu\text{m}$ (right panels).

ratio near 26 km by about 20%. SO_2 modeled by R034 shows excellent agreement with MLS measurement. R010 produces about 20–30% less SO_2 near 26 km compared to R001, and rather more above 30 km. This could be explained by the fact that R010 disperses slightly more SO_2 above 24 km compared to R001. The SO_2 vertical profile of R019 is shifted to lower altitudes compared with the observed values, likely due to its concentrated injection distribution near 19–20 km (see Figure 1). Box14Mt and R149 fail to match the observed profile, and SPARC20Mt shows better agreement with the observations under 28 km, but nevertheless better than Box14Mt and R149, but largely overestimates the observations above. The common feature of R149, Box14Mt and SPARC20Mt is that their initial vertical distributions release much more SO_2 above 24 km compared to R001, which is skewed towards lower altitudes, therefore retaining more than 90% of emitted SO_2 below 24 km (Figure 1). SO_2 distributions simulated by the two 3-D simulations (dashed curves in Figure 2) are similar to the corresponding AER 2-D simulations, though SOCOL-AER predicts a lower maximum value and more readily distributes SO_2 to higher altitudes, reflecting differences in OH and transport between the two models.

Matching the burden.

3.4 Matching the burden.

Figure 3 shows the evolution of the simulated stratospheric aerosol burden (integrated above the tropopause) in units of teragram of megaton of $\text{H}_2\text{SO}_4/\text{H}_2\text{O}$ droplet total mass compared to the aerosol mass) compared to that derived from HIRS (Baran and Foot, 1994), and SAGE II using

the and SAGE-4λ method (Arfouille et al., 2013). In Figure 3, (Arfouille et al., 2013), R001 matches the HIRS-derived maximum aerosol burden of 21 Mt (equivalently 15–16 Mt of sulfate mass without water) during the first few months after the eruption, and after month 14 agrees with the SAGE-derived burden (mostly within 20%). In contrast, SPARC20Mt reaches a maximum burden of 32 Mt of $\text{H}_2\text{SO}_4/\text{H}_2\text{O}$, which is ~50% more than the 21 Mt derived from HIRS. R034 emits 17 Mt of SO_2 using the same vertical profile as R001, and peaks at 25 Mt of aerosol mass, about ~30% more than HIRS, whereas the uncertainty of HIRS is about 10% (Baran and Foot, 1994). This means that the initial mass loading of 17 or 20 Mt of SO_2 into the stratosphere is apparently too high. Different vertical profiles Scenarios using 14 Mt of SO_2 show a high sensitivity in that the evolution of the aerosol burden is highly sensitive to different injection profiles. R010 initially distributes somewhat more SO_2 above 24 km compared to R001, and shows a better decay rate of the aerosol burden. R019 emits SO_2 mainly concentrated between 19–21 km, and its aerosol burden peaks similarly to R001, but declines more rapidly. R149 and Box14Mt inject about 60% and 40% of their sulfur mass above 24 km, respectively, and lead to a greater maximum aerosol burden and a slower decay rate of the burden than R001. R149 has even a slightly larger maximum aerosol burden than R034, even though R034 has the larger initial SO_2 mass loading. This is likely due to the fact that above 24 km the mean age of air and Together, these results reveal that the residence time of sedimenting aerosol are greater than in the lower stratosphere, where most of the sulfur mass of R001 and R034 is located. injection altitude and initial mass loading affect the lifetime of the volcanic aerosol. An increase in the distance of the volcanic plume above the tropopause will increase the lifetime of the volcanic aerosol due to a longer residence time for sedimenting particles and a slower pathway of the aerosol within the Brewer-Dobson circulation. On the contrary, a larger initial mass loading may offset a higher injection altitude because of faster sedimentation caused by larger particles.

The results of “R001 3-D” using the coupled aerosol-chemistry-climate model SOCOL-AER is consistent (mostly within 10%) with the AER 2-D simulation R001. In contrast, the consistency between R149 and “R149 3-D” is less satisfactory. The maximum aerosol burden simulated by “R149 3-D” is within 10% of R149, but the e-folding time of the aerosol burden in the 3-D simulation (“R149 3-D”) is significantly faster (13 versus 15 months) than in the 2-D simulation (R149), indicating. This indicates that in addition to the initial mass loading and microphysics also the model dynamics plays an important role in. model dynamics is essential to the decay of the volcanic aerosols. This difference between R149 (AER) and “R149 3-D” (SOCOL-AER) is possibly due to an insufficient rate of exchange of air between the troposphere and stratosphere in the AER 2-D model (Weisenstein et al., 1997) and/or a faster Brewer-

Dobson circulation in the middle stratosphere using the free-running 3-D SOCOL-AER. Indeed, SOCOL-AER has a too fast tape recorder signal, which is a measure of the Brewer-Dobson circulation (Stenke et al., 2013) with respect to observations in the SOCOL (see the “tape recorder” in Fig. 8 of Stenke et al. (2013)).

Matching particle size distributions.

3.5 Matching particle size distributions.

Figure 4 shows comparisons between the optical particle counter (OPC) measurements operated above Laramie (Deshler et al., 2003; Deshler, 2008) and model-calculated cumulative particle number concentrations in October and December 1991 for two size channels ($r > 0.15 \mu\text{m}$ and $r > 0.5 \mu\text{m}$). Below 23 km, R001 reasonably matches the observations for $r > 0.15 \mu\text{m}$, but less satisfactorily for $r > 0.5 \mu\text{m}$. The number density from R010 is slightly higher than R001 above ~ 24 km, which is consistent with the comparison between initial vertical profiles of R001 and R010 (see Figure 1). R019 agrees best with the observed number density, particularly above 24 km, because R019 emits very little SO_2 above 22 km. R034 predicts slightly higher number concentrations than R001 due to its larger initial mass loading (17 Mt SO_2), but shows in general similar results to R001. In contrast, the calculations from R149, Box14Mt and SPARC20Mt differ significantly from R001. Above 23 km, these three scenarios further overestimate the observations than R001 because their initial injection profiles release much more SO_2 above 23 km compared to R001. Below 23 km, R149 substantially underestimates the observations in October 1991 as its injected mass is mainly situated locates mainly between 23-27 km, while Box14Mt shows better agreement with the observations ($r > 0.5 \mu\text{m}$) below 18 km than R001, but largely underestimates the maximum near 21 km. SPARC20Mt is similar to R001 below 20 km since its initial mass loading (20 Mt SO_2) compensates for the deficiency of its vertical mass injection profile in the lower stratosphere. The calculations from SOCOL-AER are generally consistent with the corresponding 2-D calculations ones (R001 and R149). SOCOL-AER produces higher number concentration in October 1991 compared to the AER 2-D model. In December 1991 this difference between the 2-D and 3-D simulations shrinks, and “R001 3-D” further improves the agreement with the OPC measurements below 18 km for $r > 0.5 \mu\text{m}$.

Matching extinctions.

3.6 Matching extinctions.

We compare the modeled 1020 nm extinctions with the gap-filled SAGE II version 7.0 (Figure 5). SAGE II data points with horizontal bars are actual SAGE II measurements and denote natural variabilities, while data points without bars are gap-filled from lidar ground stations, which have a higher

uncertainty (SPARC, 2006). Figure 5 shows comparisons in January (upper panel) and July (lower panel) 1992 for five latitude bands from left to right: 50-40°S, 30-20°S, 5°S-5°N, 20-30°N and 40-50°N.

In January 1992, all the simulations reproduce aerosol extinctions reasonably near 20 km (mostly within 50-100% of observed aerosol extinctions). The calculations with R001, R010 and R019 agree better with observed aerosol extinctions compared to the other 2-D simulations, particularly R010 performs best in the lower stratosphere (where ScoreExt by definition has a large weight), while R019 matches the observations well above 24 km. R034 is generally 10-20% larger than R001 due to its higher initial mass loading, although it has the same vertical profile as R001. SPARC20Mt has even larger values than R034 due to a 20 Mt of SO_2 mass loading. Box14Mt and R149 largely overestimate the observed extinctions above 24 km. The 3-D simulation “R001 3-D” is superior to all the 2-D simulations, while “R149 3-D” performs worse than the 2-D simulations R001 and R034. Likewise, in June 1992, R001 also does, R010 and R019 also do a better job than other 2-D simulations. The two 3-D simulations “R001 3-D” and “R149 3-D” are now both superior to all 2-D model results, although the differences between them start to shrink as the their aerosol burdens are now within 10% from each other. Overall, the calculations of Here the 3D model shows a better extinction vertical profile likely because the 3D model uses an improved numerical scheme based on Walcek (2000) for sedimentation, while the 2-D model uses an upwind scheme, which would cause artificial upward transport of particles to high altitudes (Benduhn and Lawrence, 2013; Sheng et al., 2015). Overall, the results from SPARC20Mt, Box14Mt, R034 and R149 display a common deficiency, as they tend to overestimate aerosol extinctions in high altitudes above 24 km. Excessive initial mass loading (as in SPARC20Mt or R034) is one of the reasons. However, the shape of the initial mass vertical profiles appears to be at least as important as the initial mass loading. Box14Mt has 30% less total mass loading than SPARC20Mt, but it shows even higher extinctions in high altitudes because it has 40% of its mass injected above 24 km, while SPARC20Mt has only about 20% of its mass there.

Figure 6 compares the modeled aerosol optical thickness (AOT) with the SAGE II measurements. The southward transport of volcanic cloud observed in SAGE II is reasonably reproduced by the models. The best scenarios here are R001 and R010, whose SO_2 injection profiles peak between 18-21 km and disperse the volcanic plume broadly ($\sigma = 4 \text{ km}$). In contrast, R019 constricts the initial SO_2 between 18-22 km, which leads to a faster decay of AOT than R001 and R010. R149 and SPARC20Mt distribute too much volcanic cloud to high latitudes due to injecting SO_2 excessively above 24 km. The impact of the initial vertical distribution of SO_2 is more pronounced in the 3D simulations as shown in the two bottom panels. These results show that AOT is affected by initial injection profile of SO_2 and the

optimal parameters found in Table 1 would lead to better model results when compared to SAGE II observations.

4 Conclusions

We have conducted over 300 Pinatubo-like simulations based on variations of four parameters of initial total SO₂ mass and altitude distribution. These parameters control the temporal and spatial evolution of stratospheric aerosols in the years following the Pinatubo eruption. The altitude distribution of SO₂ injection is represented by a skew-normal distribution. Our simulations suggest that Pinatubo injected less than 17 Mt of SO₂ into the stratosphere and that good agreement can be reached with a 14 Mt injection, 80% of which was injected below 24 km with the maximum located between 19–22 likely between 18–21 km. This reproduces HIRS and SAGE II-based estimates of the evolution of total stratospheric aerosol burden. Furthermore, this largely improves the previous overestimates in presented in SPARC (2006) in modeled extinctions at high altitudes when comparing to SAGE II gap-filled measurements SPARC (2006), and realistically simulates aerosol extinctions in the lower stratosphere. We have defined an optimal set of the emission parameters such that the resulting burdens and extinctions match satellite and lidar measurements, and reduce the uncertainties in modeling the initial sulfur mass loading of Pinatubo.

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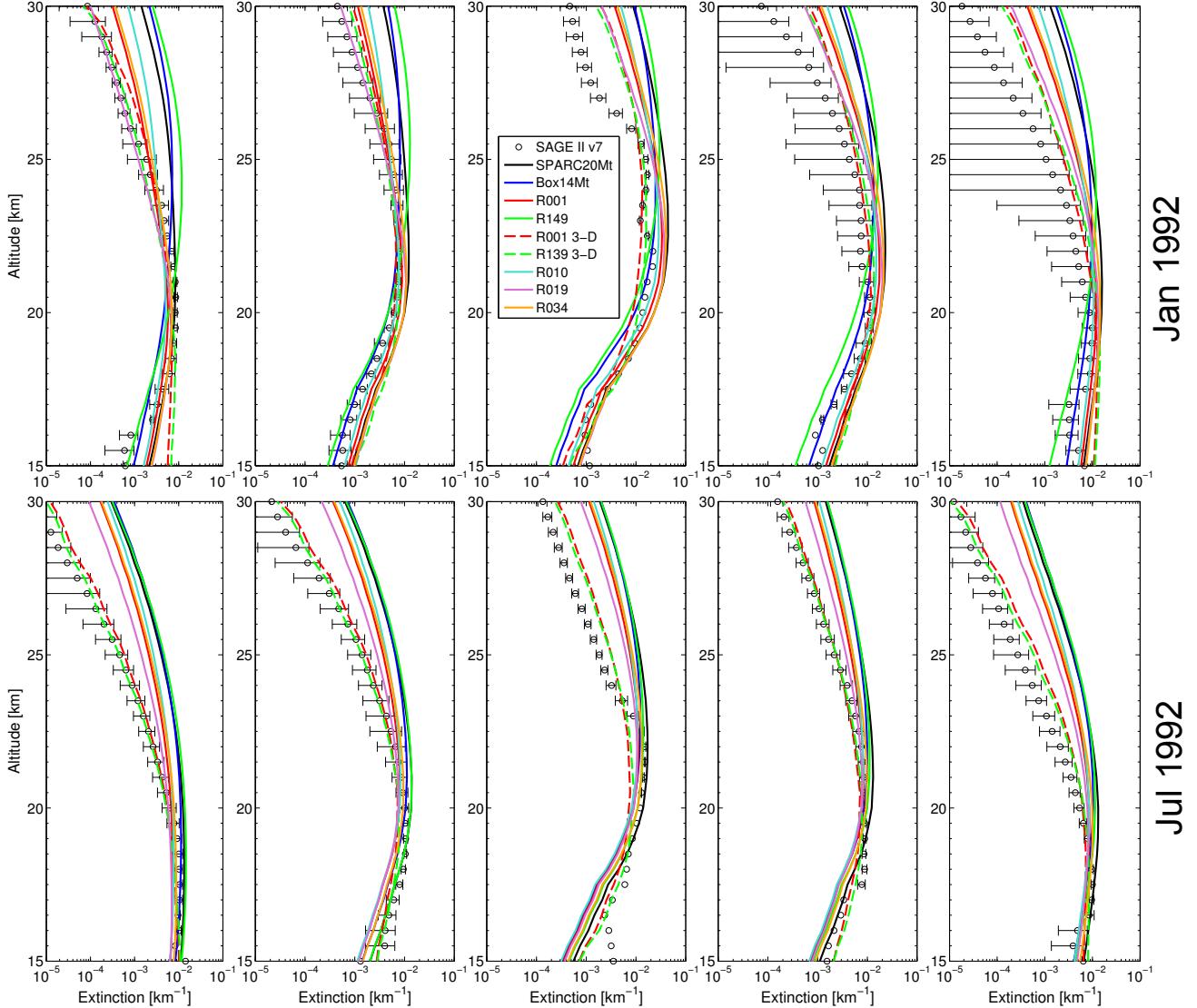


Fig. 5. Aerosol 1020 nm extinction comparisons of SAGE II (version 7.0) and model simulations at five latitude bands 50-40°S, 30-20°S, 5°S-5°N, 20-30°N and 40-50°N for January (upper panel) and July 1992 (lower panel). Solid curves: AER 2-D model results. Dashed curves: 3-D SOCOL-AER model results.

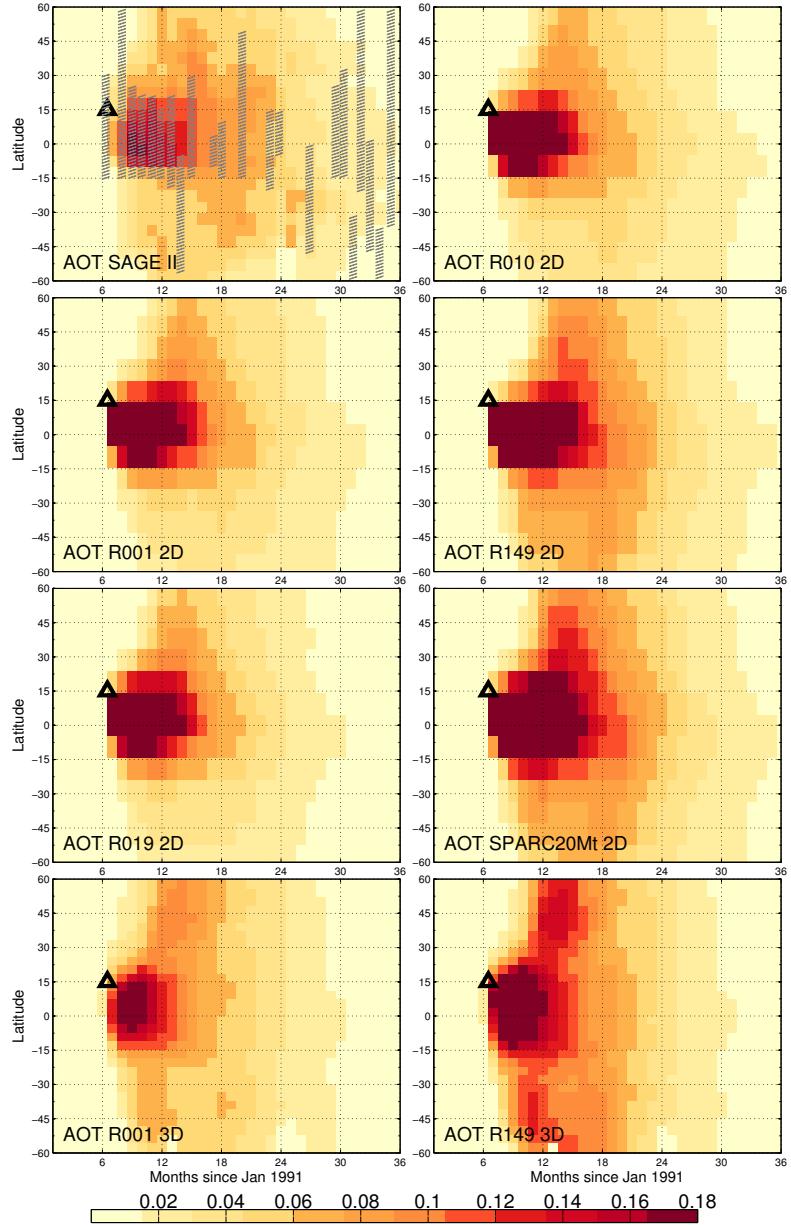


Fig. 6. Aerosol optical thickness (AOT, 15–30 km) comparison between SAGE II (version 7.0) and model simulations. Hatched: gap-filled data are used. Triangle: time-latitude location of the Pinatubo eruption.

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