# **1** Formation of Hydroxyl Radicals from Photolysis of

# 2 Secondary Organic Aerosol Material

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4 K.M. Badali, S. Zhou\*, D. Aljawhary, M. Antiñolo, W.J. Chen,

5 A. Lok, E. Mungall, J.P.S. Wong, R. Zhao, and J.P.D. Abbatt

6 University of Toronto, 80 St George Street, Toronto, Ontario, M5S 3H6, Canada

7 \*Correspondence to: S. Zhou (szhou@chem.utoronto.ca)

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## 9 Abstract

This paper demonstrates that OH radicals are formed by photolysis of secondary organic aerosol 10 (SOA) material formed by terpene ozonolysis. The SOA is collected on filters, dissolved in 11 water containing a radical trap (benzoic acid), and then exposed to ultraviolet light in a 12 photochemical reactor. The OH formation rates, which are similar for both  $\alpha$ -pinene and 13 limonene SOA, are measured from the formation rate of p-hydroxybenzoic acid as measured 14 15 using offline HPLC analysis. To evaluate whether the OH is formed by photolysis of  $H_2O_2$  or organic hydroperoxides (ROOH), the peroxide content of the SOA was measured using the 16 horseradish peroxidase-dichlorofluorescein (HRP-DCF) assay, which was calibrated using  $H_2O_2$ . 17 The OH formation rates from SOA are five times faster than from the photolysis of H<sub>2</sub>O<sub>2</sub> 18 19 solutions whose concentrations correspond to the peroxide content of the SOA solutions assuming that the HRP-DCF signal arises from H<sub>2</sub>O<sub>2</sub> alone. The higher rates of OH formation 20 21 from SOA are likely due to ROOH photolysis, but we cannot rule out a contribution from secondary processes as well. This result is substantiated by photolysis experiments conducted 22 23 with t-butyl hydroperoxide and cumene hydroperoxide which produce over three times more OH than photolysis of equivalent concentrations of  $H_2O_2$ . Relative to the peroxide level in the SOA 24 25 and assuming that the peroxides drive most of the ultraviolet absorption, the quantum yield for 26 OH generation from  $\alpha$ -pinene SOA is 0.8±0.4. This is the first demonstration of an efficient 27 photolytic source of OH in SOA, one that may affect both cloudwater and aerosol chemistry.

#### 1 **1 Introduction**

Given the importance of secondary organic aerosol (SOA) to both climate and air quality, considerable attention has been given to studying the formation pathways and composition of SOA, both in the lab and the field (Hallquist et al., 2009). However, less attention has been paid to its reactive properties and how the chemical nature of the particles may change as they transit through the atmosphere (Jimenez et al., 2009). If the particles become more hygroscopic, then they will be wet scavenged more easily. Similarly, their resultant health effects may transform if reactive functional groups are either produced or lost during processing.

9 One direction has been to address the multi-phase oxidation processes in which SOA participates, 10 primarily via oxidation by gas-phase OH radicals (George and Abbatt, 2010). The conclusions 11 from these studies are that heterogeneous exposure to OH leads to a more oxidized and 12 hygroscopic aerosol, with small amounts of mass loss occurring through fragmentation reactions 13 occurring on a timescale of a few days of equivalent OH exposure in the atmosphere.

A second, less explored direction for SOA processing studies has been with respect to 14 15 photochemical aging in the presence of ultraviolet light. In initial studies, many by Nizkorodov and co-workers, it has been shown that oxidative aging can also occur, leading to the formation 16 of small molecules in the gas phase (e.g. HCHO, HCOOH, CO), rapid loss of condensed phase 17 carbonyls, and some degree of mass loss (Walser et al., 2007; Mang et al., 2008; Bateman et al., 18 19 2011; Henry and Donahue, 2012; Epstein et al., 2014; Wong et al., 2014). The rates of this chemistry are dependent on environmental conditions, such as relative humidity (Wong et al., 20 21 2014). It is not clear from these experiments whether the aging occurs through only primary photochemical reactions or whether secondary processes also occur, initiated by radical 22 production in a primary photochemical step. These photochemical aging experiments may be 23 especially important for the change in optical properties of organic aerosol, especially for 24 25 photobleaching of brown carbon species that absorb in the visible and near UV range (Sareen et al., 2013; Lee et al., 2014; Zhong and Jang, 2014; Zhao et al., 2015). 26

To further our understanding of this photochemical aging mechanism, it is necessary to evaluate the potential for oxidant production within tropospheric particles. Although it is known that gasphase OH radicals collide with particles giving rise to oxidation, probably at the surface of the particle, the intrinsic sources of OH within a particle have not been well quantified. There are a number of routes that OH could form (Herrmann et al., 2010). The presence of soluble iron, for
example, could lead to OH production through the Fenton reaction. Photochemical processes
have been suggested as well, primarily through analogy to chemistry occurring in cloudwater,
such as the production of OH through the photolysis of efficient photochemical sources such as
dissolved nitrate, nitrite and hydrogen peroxide.

6 In this paper we measure the formation rate of OH radicals that occurs when SOA constituents 7 are photolyzed, most likely through the photodissociation of organohydroperoxides (ROOH). 8 The presence of ROOH in SOA, especially ozonolysis SOA formed from terpenes, is likely (Ehn 9 et al., 2014). They may form via Criegee biradicals, generated when ozone reacts with a carbon-10 carbon double bond, and also through H-abstractions that are part of chain oxidation mechanisms 11 (Crounse et al., 2013; Ehn et al., 2014). Indeed, it is likely that the molecular structures of extremely low volatility organic compounds (ELVOCs) formed in the ozonolysis of  $\alpha$ -pinene 12 consist of numerous hydroperoxide functional groups mounted on the background of ring-13 opened, functionalized  $\alpha$ -pinene starting material. Secondary chemistry may also occur in 14 aerosol subsequent to initial photolytic formation of radicals, producing additional photoactive 15 ROOH species. 16

A number of past studies have quantitatively investigated the association of different peroxide 17 species with SOA (Li et al., 2002; Docherty et al., 2005; Chen and Hopke, 2009a, b, 2010; Wang 18 19 et al., 2011; Bateman et al., 2011; Mertes et al., 2012; Mutzel et al., 2013). In a study conducted by Li et al., the concentrations of H<sub>2</sub>O<sub>2</sub> and ROOH formed during the ozonolysis of limonene 20 21 were measured in both the gas and particle phases simultaneously. It was estimated that roughly 1 22 ppb of peroxide was generated from the reaction at limonene and ozone levels relevant to indoor conditions (Li et al., 2002). A study by Docherty et al. measured the yields of total organic 23 24 peroxides in SOA formed through the ozonolysis of  $\alpha$ - and  $\beta$ -pinene,  $\Delta$ -3carene, and sabinene, reporting high yields of 47-85 % of the SOA mass (Docherty et al., 2005). Chen and Hopke 25 26 measured peroxides associated with particles formed using  $\alpha$ -pinene, limonene, and linalool VOC 27 precursors and measured their stability under different conditions (Chen and Hopke, 2009a, b, 28 2010), followed by the work of Wang et al. who also investigated peroxide formation and stability associated with SOA formed through the oxidation of  $\alpha$ - and  $\beta$ -pinene and toluene 29 precursors (Wang et al., 2011). Mertes et al. generated  $\alpha$ -pinene SOA, measuring peroxide yields 30 of 12-34 % of the SOA mass (Mertes et al., 2012). A study by Bateman et al. measured the yields 31

of peroxides from limonene SOA, reporting a value of 2% in terms of the moles of SOA
collected (Bateman et al., 2011). The stability of the peroxides under photolytic conditions was
also evaluated, finding that there was no significant change in peroxide levels following 14 hours
of photolysis, probably because of the formation of smaller peroxides during photoprocessing.

5 The importance of a photochemical OH source in SOA is that the OH radical may lead to 6 oxidation processes within aerosol particles and cloudwater. In aerosol particles in particular, with small aqueous volumes, the partitioning properties of volatile species such as H<sub>2</sub>O<sub>2</sub> indicate 7 that little is expected to reside in the particles. As well, it is possible that other OH sources, such 8 9 as nitrate ions, are not fully mixed with the SOA, especially if the particle has phase separated 10 into inorganic-rich and organic-rich components. However, OH generated from SOA will be 11 well mixed on a molecular scale with other SOA materials, so able to drive oxidative processes. A recent laboratory study has illustrated how OH generation within particles can lead to rapid 12 oxidation of organic constituents (Daumit et al., 2014). 13

We describe experiments where we photolyze SOA material generated in an environmental 14 chamber after it is collected and then dissolved in water. We use an aqueous radical trap, benzoic 15 acid (Klein et al., 1975; Anastasio and McGregor, 2001), to measure the OH production rate. To 16 17 relate OH generation rates to the composition of the SOA, we apply a standard assay (HRP-DCF) to measure the peroxide content of the aerosol components (Keston and Brandt, 1965). 18 Assuming that the peroxide signal arising from the HRP-DCF assay is due to the presence of 19 20 H<sub>2</sub>O<sub>2</sub>, we compare the OH production rates from dissolved SOA to the rate from solutions with corresponding concentrations of H<sub>2</sub>O<sub>2</sub>. We find that the OH production rates from SOA are 21 substantially higher than from the pure  $H_2O_2$  solutions, implying that species other than hydrogen 22 peroxide – likely organohydroperoxides – are photolyzing into OH. To our knowledge, this is 23 24 the first quantitative evaluation of the potential for SOA material to photolyze to form OH. As 25 part of the study, we also perform detailed stability tests of the peroxides within SOA, as 26 measured with the HRP-DCF assay, both in solution and on filter, to better establish their 27 environmental relevance.

#### 1 2 Methods

## 2 2.1 SOA Collection using an Environmental Chamber

An environmental chamber was used to collect SOA samples, both to evaluate peroxide yields 3 and for the photolysis experiments (see Figure 1a). The chamber is a  $1 \text{ m}^3$  Teflon bag supported 4 by a Teflon-coated frame. The bag is externally surrounded by metal panels, preventing exposure 5 to outside light. The chamber was operated in continuous mode with all flows controlled by 6 mass flow controllers. Ozone was generated by flowing purified air over a 185 nm mercury pen-7 ray lamp, with a flow rate of 6 slpm (MFC 5, see Figure 1a). A dilution flow of air of 7 slpm 8 (MFC 4) is mixed with the ozone flow prior to being introduced into the chamber. Limonene was 9 introduced to the chamber using a 10 sccm flow of nitrogen through a headspace bubbler chilled 10 at 5 °C (MFC 1). α-pinene was introduced to the chamber through a 12 sccm flow from a custom 11 cylinder with a certified mixing ratio (320 ppm  $\pm$  20 %  $\alpha$ -pinene in nitrogen, Air Liquide). The 12 VOC flows were carried with a dilution flow of air of 500 sccm (MFC 2), meeting an additional 13 dilution flow of 2.2 slpm (MFC 3) before entering the chamber through a stainless steel port. The 14 total flow rate through the chamber was held at 15 slpm using a diaphragm pump (MFC 6). 15 Aerosol samples were collected on supported PTFE filters (Zefluor, Pall Life Sciences, 47 mm 16 17 diameter, 2.0  $\mu$ m pore size) for 2 hours, collecting an average of 185  $\mu$ g and 900  $\mu$ g of  $\alpha$ -pinene and limonene SOA, respectively. 18

19 The ozone levels within the chamber were periodically measured using an ozone analyzer 20 (Thermo Environmental Instruments Inc, Model 49C). Approximately 320 ppb of ozone was introduced to the chamber, while excesses of 85 ppb and 140 ppb of ozone were measured at the 21 22 exit of the chamber during  $\alpha$ -pinene and limonene experiments respectively. The mixing ratio of limonene in the chamber was measured prior to oxidation to be 250 ppbv by a proton-transfer 23 reaction mass spectrometer (PTR-MS, Ionicon Analytik GmbH), whereas that for a-pinene was 24 25 also 250 ppbv as determined from flow rates from the certified cylinder. The mass loadings of SOA within the chamber while collection was proceeding were roughly 100  $\mu$ g/m<sup>3</sup> for  $\alpha$ -pinene 26 experiments, and 500  $\mu$ g/m<sup>3</sup> for limonene experiments, as inferred from the mass of aerosol 27 collected on the filter, the flow rate, and the collection time. 28

## 1 2.2 SOA Storage and Extraction

Following collection, SOA samples were either extracted immediately or else stored for stability
studies. To extract, filter samples were placed in a foil-covered Teflon bottle with 15 mL
deionized water (18 mΩ, Millipore) and placed on a shake table for 15 minutes at 420 rpm.
Following extraction, an aliquot of each sample was immediately prepared for analysis using the
HRP-DCF assay or used for photochemistry experiments.

To evaluate in-solution stability, extracted solutions were covered with parafilm and foil, and stored in the dark at room temperature. Those for on-filter stability testing were weighed and immediately placed in a sealed plastic filter holder (Analyslide Petri Dish, Pall Life Sciences).
For the stability studies, samples were placed either in a dark cupboard at room temperature or in a sealed plastic bag and stored in a dark freezer at -20 °C. Frozen samples were brought to room temperature before being removed from the filter holder to prevent the condensation of contaminants onto the filter surface.

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## 15 2.3 Horseradish Peroxidase-Dichlorofluorescein Assay

A stock solution of 2',7'-dichlorofluorescein diacetate (1 mM DCFHDA,  $C_{24}H_{14}C_{12}O_7$ , Sigma-16 17 Aldrich) was prepared in methanol and stored at -20 °C. The DCFHDA was converted to the hydrolyzed 2',7'-dichlorofluorescein (DCFH) form by adding 1.0 mL DCFHDA to 4.0 mL 18 sodium hydroxide (0.01 M, NaOH) and allowing the hydrolysis to proceed for 30 minutes at 19 room temperature. The fluorescing solution, referred to as HRP-DCF, was prepared by mixing 20 21 4.0 mL of DCFH with 7.0 mg of peroxidase from horseradish (HRP, Type I, Sigma-Aldrich), brought to a final volume of 100 mL with pH 7.2 phosphate buffer (7.35 mM KH<sub>2</sub>PO<sub>4</sub>, 17.6 mM 22 Na<sub>2</sub>HPO<sub>4</sub>). The HRP-DCF solution was kept in amber bottles on ice until needed. 23

Hydrogen peroxide standards were freshly prepared for the calibration of each assay. A stock solution (1 mM  $H_2O_2$ , made with  $H_2O_2$  30% wt ACS reagent, Sigma Aldrich) was prepared in deionized water, used for standard dilutions ranging from 2 to 20  $\mu$ M  $H_2O_2$ . Calibration standards were stored in amber bottles on ice until needed. The limit of detection of the HRP-DCF assay is 0.1  $\mu$ M  $H_2O_2$ . Calibration curves were also prepared using commercial sources of t-butyl hydroperoxide (Luperox TBH70X, 70% wt. in H<sub>2</sub>O, Sigma Aldrich), cumene hydroperoxide (technical grade, S0%, Sigma Aldrich), and di-t-butyl peroxide (Luperox DI, 98%, Sigma Aldrich). The signal responses were 97, 94, and 96 % lower, respectively, than for hydrogen peroxide. Commercially available organic peroxides are those that are relatively stable. And so we conclude that the HRP-DCF response will be either due to dissolved  $H_2O_2$  or to peroxides more unstable than those commercially available.

Assay samples were prepared by adding 250 μL of the sample with 2.25 mL HRP-DCF in
Teflon-capped amber vials. Samples were briefly mixed before reacting at room temperature in
the dark for 30 minutes. The reaction was quenched by putting vials on ice for 30 seconds, before
measuring the sample fluorescence. A spectrometer/fluorometer (SpectroVis Plus, Vernier) was
operated in fluorescence mode with a 500 nm excitation light source, measuring sample spectra at
523.9 nm. Data were collected using Logger Pro software (Version 3.8.2, Vernier).

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## 15 2.4 Measurement of OH Production Rates

Photolysis of 50 mL volumes of SOA solutions was conducted in a photochemical reactor in which a 100 mL glass vessel was situated in the center of an array of UV-B fluorescent lamps (see Figure 1b). The spectrum of the lamps was measured with a spectral radiometer (StellaNet Inc.) and the magnitude of the flux (see Figure 2) was calibrated by measuring the photoisomerization rate of 2-nitrobenzaldehyde (for details, see Zhao et al., 2015).

21 SOA solutions were prepared by mixing equal volumes of aqueous solutions of SOA and benzoic 22 acid (BA), a known OH radical trap, resulting in final SOA and BA concentrations of 250  $\mu$ M and 1.0 mM, respectively, where the SOA material is assumed to have an average effective 23 24 molecular weight of 200 g/mole (Bateman et al., 2011). The solutions were photolyzed for one hour with 2.5 mL samples taken at 30 and 60 minutes for subsequent analysis. OH production 25 26 rates are measured by the formation of parahydroxybenzoic acid (PHBA), measured using HPLC, where all sample solutions were acidified immediately prior to injection with 2 drops of 1.0 mM 27 H<sub>2</sub>SO<sub>4</sub>. A 40 µM glass injection syringe was rinsed five times with deionized water and five 28 times with sample solution. Sample solutions were analyzed using a 150 mm C-18 column with 29 30 fixed wavelength detector at  $\lambda = 256$  nm and detector range = 0.01. A 15-minute, 4-step gradient elution method was employed using mobile phase composed of acetonitrile and trifluoroacetic
 acid in deionized water.

3 PHBA forms in solution from OH reacting with benzoic acid, with a yield of 20% (Klein et al., 4 1975; Anastasio and McGregor, 2001). Based on experiments conducted with 0.25, 0.50 and 1.0 mM benzoic acid, it was determined that 1.0 mM solutions trap all the OH generated. In 5 6 particular, more OH was trapped in SOA photolysis experiments conducted with 0.50 mM BA 7 solutions than with 0.25 mM solutions but the amount trapped in 0.50 and 1.0 mM runs was the same within experimental error. Overall, the detection limit for OH production rate within the 8 SOA solutions was on the order of  $1 \times 10^{-10}$  M/s, as determined largely by background amounts of 9 PHBA present in the solutions without illumination. 10

Photolysis experiments were also performed with 12.5 μM solutions of commercial organic
hydroperoxides, namely t-butyl hydroperoxide and cumene hydroperoxide, and hydrogen
peroxide.

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#### 15 3 Results and Discussion

## 16 **3.1** SOA Peroxide Yields and Thermal Stability

A series of experiments was conducted with the HRP-DCF assay both to determine how best to 17 handle SOA samples to preserve their peroxide content and also to gain some information on the 18 nature of the peroxides present in the SOA, especially when dissolved in water. In particular, 19 HRP-DCF peroxide yields (see Table 1) from fresh SOA samples were measured immediately 20 21 following collection and the 15 minute aqueous extraction period. The yields have been reported in three ways: 1) % mole, moles of peroxides/moles of SOA  $\times 100\%$ , where the molecular weight 22 23 of SOA is assumed to be 200 g/mole (Bateman et al., 2011); 2) % mass, mass of peroxides/mass of SOA collected  $\times 100\%$ , where the molecular weight of peroxides is assumed to be 34 g/mole; 24 25 and 3) normalized yield, moles of peroxides/mass of SOA collected, where the peroxides are assumed to be  $H_2O_2$ . 26

Peroxide yields were also measured using SOA generated in a flow tube (see Supplementary Information, Figure S1) with mass loadings at least 10 times higher than those generated in the chamber. These results demonstrate that mass loading does not have a large effect on peroxide yields in SOA, at least over the range of conditions explored. For example, the fresh yields from
chamber SOA were 3.1 ± 0.5 % mole for α-pinene and 5.1 ± 0.1 % mole for limonene, very
similar to those from SOA collected using the flow tube: 4.2 ± 0.6 % mole and 3.8 ± 0.7 % mole,
respectively. Uncertainties quoted reflect variability from between 3 and 7 replicates, whereas
we estimate absolute uncertainties are on the order of ±20%.

6 The HRP-DCF peroxide yields from  $\alpha$ -pinene and limonene SOA only comprise a few percent of 7 the total moles of SOA material. These values are comparable to the results reported by Chen and Hopke, who also used the HRP-DCF assay to measure peroxides from SOA formed through  $\alpha$ -8 9 pinene and limonene ozonolysis (Chen and Hopke, 2009a, 2010). The studies by both Docherty 10 et al. and Mertes et al. used the iodide technique to quantify their peroxide species (Docherty et al., 2005; Mertes et al., 2012). This technique is sensitive to organic peroxides (i.e. ROOH and 11 ROOR), and therefore the yields reported by these studies are considered to be the total peroxide 12 content of the SOA. The study by Bateman et al. also used the iodide method to quantify 13 peroxides, using  $H_2O_2$  to calibrate the fluorescence response (Bateman et al., 2011). The other 14 studies using the iodide method calibrated the assay using benzoyl peroxide, an organic peroxide 15 16 that may have a different sensitivity to the assay than hydrogen peroxide. Finally, the study by Wang et al. reported yields that were somewhat lower than the  $\alpha$ -pinene yields in this study 17 (Wang et al., 2011). This study also used HRP as their catalyst, however they used a HPLC 18 technique to speciate the peroxides, and para-hydroxyphenyl-acetic acid was used in the place of 19 20 dichlorofluoroscein.

As noted in the Methods section, the sensitivity of the HRP-DCF assay heavily favours  $H_2O_2$ 21 22 although a weak sensitivity to commercial organic hydroperoxides exists. A control experiment was conducted in which a very high gas-phase concentration of  $H_2O_2$  (created by bubbling 250 23 24 sccm N<sub>2</sub> through 30% H<sub>2</sub>O<sub>2</sub> solution) was passed through a Teflon filter for an hour. Upon extraction in water and HRP-DCF analysis, it was found that no measurable amount of H<sub>2</sub>O<sub>2</sub> had 25 26 adhered to the filter. This indicates that the peroxides measured in this work were not in the form 27 of  $H_2O_2$  when present in the aerosol particles, as expected from the high volatility of  $H_2O_2$ . 28 Rather, the signal is most likely due to ROOH in the particle. These molecules may have a direct response in the HRP-DCF assay, higher than the stable commercial organic hydroperoxides. 29 And, it is possible that some of the signal arises from  $H_2O_2$  when SOA material is put into 30 solution. For example, a general class of compounds, the  $\alpha$ -hydroxyhydroperoxides, exist in 31

equilibrium in water with hydrogen peroxide and organics containing carbonyl functional groups,
especially aldehydes (Zhao et al., 2013). These species can be formed through the hydrolysis
reaction of Criegee intermediates. Similar conclusions have been made previously (Wang et al., 2011).

5 Support for the formation of some  $H_2O_2$  in solution comes from a set of stability experiments 6 conducted both in solution and on the filter, using SOA generated in the flow tube. As shown in 7 the Supplementary Information (see Figure S2), the stability in aqueous solutions of  $\alpha$ -pinene and limonene SOA had very similar trends following extraction. Within the first 48 hours after 8 9 extraction, the amount of peroxide in solution increased by approximately 50% of its original 10 yield. After 48 hours, the peroxide slowly decayed. A control experiment was performed in 11 which the Teflon filter was removed from the aqueous solution following the initial 15 minute extraction period. In this sample, the same increase in peroxide yields was observed within the 12 first 48 hours following extraction. If the peroxides in the particle begin as organic 13 hydroperoxides, the increase in yield may be due to a gradual decomposition reaction to form 14 H<sub>2</sub>O<sub>2</sub> within the first 48 hours. Although the total peroxide content in the extract may not be 15 changing, a larger fraction would be present in the H<sub>2</sub>O<sub>2</sub> form. Since the HRP-DCF assay is very 16 sensitive to H<sub>2</sub>O<sub>2</sub>, this could account for the increase in yields. We note that the increase in signal 17 in aqueous solutions is similar to that reported by Wang et al. where an increase in  $H_2O_2$  content 18 was observed within the initial 20 hours following extraction, followed by a period of stability 19 20 until approximately 80 hours after extraction (Wang et al., 2011).

21 A suite of experiments was also conducted to examine the thermal stability of the peroxide yields, as shown in Figure S2 as a function of filter age before extraction. At room temperature, a 22 loss of peroxide was observed with increasing filter age for both  $\alpha$ -pinene and limonene SOA. 23 24 Unlike the stability at room temperature, the peroxide yields were stable when the filters were stored in the freezer at -20 °C for up to 7 days. The loss observed at room temperature is likely 25 26 due to volatilization of small species, or perhaps the decomposition of species such as the  $\alpha$ -27 hydroxyhydroperoxides present on the filter into  $H_2O_2$  (as discussed above), followed by 28 volatilization. At low temperature, the volatilization and reaction rates of the organic peroxides are expected to be much slower than at room temperature, thus stabilizing the signal. This is in 29 30 accord with previous work (Chen and Hopke, 2010; Wang et al., 2011).

## 1 3.2 OH Generation Rates from Photolysis

2 The rates of PHBA formation from the 250  $\mu$ M  $\alpha$ -pinene and limonene SOA solutions are shown 3 in Figure 3. For each set of data, a small background signal of PHBA measured with no light 4 exposure at zero time has been subtracted from the data. The backgrounds are no larger than 5 20% of the signal at full time exposure. As well, four sets of control experiments were conducted 6 for one hour each – SOA mixed with benzoic acid in the dark, SOA on its own with light, SOA 7 on its own in the dark, benzoic acid on its own in light – to confirm that the PHBA signal measured arises from SOA photolysis to form OH. In each control experiment, the change of 8 9 signal over an hour was negligible, no more than 10% of the PHBA signal with SOA, benzoic 10 acid and light present simultaneously. Additional control experiments were conducted to confirm that BA and PHBA were photolytically stable for our conditions. 11

It is seen in Figure 3 that PHBA forms in a linear manner with time when SOA is photolyzed for 12 an hour in the presence of benzoic acid, arising from the formation of OH in solution. Both types 13 of SOA form OH with roughly the same efficiency. In the same figure are also included the 14 15 results for photolysis experiments conducted with 12.5  $\mu$ M solutions of the two commercial organic hydroperoxides and H<sub>2</sub>O<sub>2</sub>. Note that the concentrations of the latter solutions are 5% of 16 the concentration of the SOA solution, i.e. roughly matching the measured peroxide yields from 17 the SOA with the HRP-DCF assay (see Table 1). Interestingly, the OH yields from the hydrogen 18 19 peroxide solutions are a factor of five times smaller than those from SOA, whereas those from the 20 organic hydroperoxides are more comparable, within a factor of two. This indicates that the signal from the HRP-DRF assay for the SOA solutions does not arise solely from  $H_2O_2$ 21 22 photolysis. Instead, there must be other species in solution, likely ROOH molecules, that form OH upon UV illumination. 23

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## **4 Conclusions and Environmental Implications**

## 26 4.1 SOA Peroxides

Although total peroxide yields have been reported previously there is considerable uncertainty in
their interpretation as described above, dependent in part on the methods of analysis (see Table
1). The assays that have been used are non-specific, with the iodide approach sensitive to both

ROOH and ROOR species and the HRP-DCF method sensitive to H<sub>2</sub>O<sub>2</sub> and probably some 1 ROOH species. As described above, the peroxide stability studies indicate that the HRP-DCF 2 3 peroxide signal is stable when filters are stored frozen, likely because there is no decomposition and subsequent loss of volatile species that are HRF-DCF active. As well, the solution studies 4 show that a more active species, likely  $H_2O_2$ , is slowly formed in solution within the first 24 5 hours, perhaps from the decomposition of soluble species such as  $\alpha$ -hydroxyhydroperoxides. 6 7 These molecules are known to decompose to form hydrogen peroxide and organics with carbonyl functional groups (Wang et al., 2011; Zhao et al., 2013). We note in general that methods for the 8 9 accurate speciation and quantification of complex ROOH species are poorly developed, and limit some of the conclusions that can be made in this work and in the field in general. 10

11 The peroxides present in the particles have potential to influence photochemical pathways, as will be discussed below, but also to affect human health. SOA can be either inhaled directly or it can 12 deposit on indoor surfaces where it can become part of the semi-volatile organic matter that is 13 known to be ubiquitously present (Liu et al., 2003). For the airborne particles, peroxides 14 associated with the SOA, as one component of the general class of molecules referred to as 15 Reactive Oxygen Species (ROS), have the potential to cause oxidative stress upon inhalation. 16 Based on our work, it is expected that the peroxides exist as organic hydroperoxides in the 17 particles – and not as  $H_2O_2$  – thus allowing them to be carried deep into the respiratory system. 18 Being volatile and soluble, it is unlikely that gas phase H<sub>2</sub>O<sub>2</sub> can pass long distances into the 19 20 respiratory pathways. Once the particles deposit and dissolve in the lung fluid, the organic hydroperoxides may decompose to form H<sub>2</sub>O<sub>2</sub>, a potent ROS constituent. 21

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## 23 4.2 Photochemical Generation of OH

The primary result from this work is that photolysis of SOA material generates OH in solution, likely from ROOH species. In particular, the quantum yield for gas-phase t-butyl hydroperoxide is unity (Baasandorj et al., 2010). As well, the effective quantum yield for OH formation from  $H_2O_2$  in solution is also close to unity (Herrmann et al., 2010; Goldstein et al., 2007).

For this work, to calculate the overall quantum yield of OH from  $\alpha$ -pinene SOA peroxides we first calculate the first-order rate constant ( $k_{OH}$ ) describing OH formation:

1 
$$[OH]_t = [Peroxide]_o (1 - exp(-k_{OH}t))$$
 (1)

where [OH]<sub>t</sub> refers to the time dependent concentration of OH and [Peroxide]<sub>0</sub> represents the 2 3 maximum concentration of OH that will form from peroxide photolysis at infinite time, assuming that one OH radical can form from one peroxide molecule. To make this calculation, we convert 4 the PHBA formation rates in Figure 3 (i.e. the slopes of the lines-of-best-fit) into OH formation 5 6 rates assuming a yield of 20% of PHBA from reaction of OH with benzoic acid (Klein et al., 7 1975; Anastasio and McGregor, 2001). The results are given in Table 2. Using Eq. (1), the rate constant for OH production from  $\alpha$ -pinene SOA photolysis is thus calculated to be (1.4  $\pm$ 8 0.4)×10<sup>-4</sup> s<sup>-1</sup>, where the uncertainty arises from estimated absolute uncertainties in the measured 9 OH production rate and the peroxide concentration of the  $\alpha$ -pinene SOA solution. 10

We then express this photolysis rate constant,  $k_{OH}$ , in terms of the wavelength ( $\lambda$ ) dependent light intensity in the reactor (I( $\lambda$ )), the SOA absorption cross section ( $\sigma(\lambda)$ ) and the quantum yield ( $\phi(\lambda)$ ):

(2)

14 
$$k_{OH} = \int I(\lambda)\sigma(\lambda)\phi(\lambda) d(\lambda)$$

where we have previously published the molecular absorption cross section for  $\alpha$ -pinene SOA 15 generated in the same environmental chamber (Wong et al., 2014). Note that by doing so we are 16 referencing the quantum yield to the total concentration of peroxides in solution (i.e. assuming 17 18 that the OH is arising from the peroxides) and we are assuming that the absorption cross section 19 measured for SOA is determined by these peroxides in the pertinent wavelength range. While the absorption in the long-wavelength tail is likely due to other species, peroxides are likely to 20 contribute to some degree to the short wavelength absorption. We do not know the degree to 21 22 which other functional groups, such as carbonyls, also contribute.

By integrating this expression and equating it to the experimental  $k_{OH}$  value (i.e.  $(1.4 \pm 0.4) \times 10^{-4}$ s<sup>-1</sup>), we calculate the peroxide quantum yield for aqueous  $\alpha$ -pinene SOA to be  $0.8\pm0.4$ . This value represents an average effective quantum yield, assumed to be wavelength independent, for all routes to OH formation in solution. Its large value close to unity provides support that SOA peroxides are indeed the source of the OH measured. Using the same approach and literature values for the absorption cross section (Finlayson-Pitts and Pitts, 2000), we calculate the quantum yield for OH production from H<sub>2</sub>O<sub>2</sub> in our experiments to be  $1.1\pm0.4$ , in agreement within experimental uncertainties with values in the literature (Goldstein et al., 2007; Herrmann
et al., 2010).

We cannot determine from these data alone whether the OH is formed only in a primary step, as from the photolysis of a ROOH functional group that is part of the original SOA material, or whether secondary chemistry also contributes. For example, subsequent chemistry might involve:

6	$ROOH + h\nu \rightarrow RO + OH$	(3)
7	$RO \rightarrow R' + R''HCO$	(4)
8	$R' + O_2 \rightarrow R'O_2$	(5)
9	$R'O_2 + HO_2 \rightarrow R'OOH + O_2$	(6)
10	$R'OOH + hv \rightarrow R'O + OH$	(7)

11

The HO<sub>2</sub> that is needed in Reaction (6) can be formed by photolysis of aldehydes that are part of
the SOA material or from those generated in Reaction (4):

14 $R''HCO + hv \rightarrow R'' + HCO$ (3)	8)	
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$$15 \quad \text{HCO} + \text{O}_2 \rightarrow \text{HO}_2 + \text{CO} \tag{9}$$

and potentially from the oxidation of benzoic acid. The  $HO_2$  can then go on to form  $H_2O_2$  (Hullar and Anastasio, 2011):

18 
$$HO_2 + HO_2 \rightarrow H_2O_2 + O_2$$
 (10)

19 which may generate OH upon photolysis.

An indication that secondary chemistry may be occurring is that we would have expected the primary OH production rate to start to level off at one hour reaction time due to the consumption of hydroperoxide species for the measured initial rate constant of  $1.4 \times 10^{-4}$  s<sup>-1</sup>, if primary photolysis is the only production route of OH. The fact that the production rate remains linear with time may indicate a secondary source of OH. Catalytic formation of ELVOC-like compounds during the OH oxidation may represent a sustained source of hydroperoxides, in addition to the mechanisms outlined above.

There are different approaches to assess the potential impact that SOA materials may have on condensed phase OH production rates in the atmosphere. To start, it is important to distinguish

between cloudwater and aerosol environments. For the very high liquid water volumes present in 1 cloudwater, highly soluble species that are also OH sources are present in reasonably high 2 3 amounts. For example,  $H_2O_2$  is present through Henry's Law solubility at the 10 to 100  $\mu$ M level for ambient gas phase mixing ratios of 0.1 to 1 ppbv (Sakugawa et al., 1990). The corresponding 4 concentration of SOA materials is not straightforward to estimate. Cloudwater dissolved organic 5 carbon (DOC) amounts range from a few mgC/L up to many tens of mgC/L in polluted 6 7 environments (Herckes et al., 2013). Taking 10 mgC/L as a representative value, an OM/OC ratio of 2, and assuming a molecular weight of 100 g/mole for dissolved species (i.e. a 8 9 combination of low molecular soluble species and higher molecular weight humic-acid-like molecules), this corresponds to a concentration of DOC species on the order of 200 µM. Only 10 10 11 to 50% of DOC species have been identified at the molecular level as small, soluble molecules 12 (Herckes et al., 2013), making it possible that dissolved organics from SOA and other sources 13 constitute the remaining fraction, i.e. roughly on the order of 100 µM. Thus, the SOA concentrations in cloudwater may be comparable to those of H<sub>2</sub>O<sub>2</sub>, or even higher. In terms of 14 15 OH-generating efficiency, Table 2 illustrates that SOA, on a per molar basis, is about 5 times less efficient than H<sub>2</sub>O<sub>2</sub>. However, the lower efficiency of OH production from SOA as compared to 16  $H_2O_2$  may be offset by higher dissolved concentrations, making the SOA an important OH source 17 in cloudwater. Confirmation of this hypothesis requires better speciation of organic materials in 18 19 cloudwater that arise from SOA.

Following this line-of-thought forward to aerosol particles, the concentrations of dissolved species such as  $H_2O_2$  will not be significantly higher than in cloudwater. However, the concentrations of SOA materials will be very much larger, approaching molar values, which will make the SOA much more important as a photolytic OH source.

24 From another perspective, we can compare our OH photolytic formation rates to the formation 25 rates of OH in cloud and aerosol that have been calculated, based on known concentrations of 26 constituents, known photochemistry and taking into account mass transfer from the gas phase (Arakaki et al., 2013). Formation rates vary widely from values of  $10^{-10}$  M/s in rainwater, to  $10^{-9}$ 27 M/s in cloud and fog, to  $10^{-7}$  to  $10^{-6}$  M/s in marine aerosol particles. By comparison, we observed 28 OH production rates of 10<sup>-9</sup> M/s for our 250 µM SOA solutions. Given that 250 µM is not an 29 30 inappropriate DOC concentration for cloudwater conditions, especially if highly polluted (Herckes et al., 2013), this shows that the source has the potential to compete with more 31

1 conventional OH sources, such as  $H_2O_2$ . The formation rate would be very much higher under 2 aerosol water conditions, given the high concentrations of SOA in such particles. We note that 3 mass transfer of OH from the gas phase is also important for the overall OH flux, and can 4 compete with these condensed-phase formation pathways.

5

## 6 Acknowledgements

- 7 The authors would like to acknowledge NSERC and the Sloan Foundation for providing support
- 8 for this project. M. Antiñolo thanks the Fundación Ramón Areces for funding.
- 9
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# 1 Figures and Tables

- 2 Table 1. Peroxide yields from fresh SOA samples (this study) and from literature reports (see
- 3 text for explanation).

α-Pinene	Detection method	Yield (% mole)	Yield (% mass)	Normalized (mole/µg)			
This study – Chamber	HRP-DCF	$3.1\pm0.5$	$0.5\pm0.1$	$(1.6 \pm 0.3) \text{ x} 10^{-10}$			
Docherty <i>et al.</i> , 2005	Iodide		47 ± 12				
Chen and Hopke, 2009	HRP-DCF			$(1.8 \pm 0.8) \text{ x} 10^{-10}$			
Wang et al., 2011	HRP- PHOPAA <sup>a</sup>			$(2.7 \pm 1.1) \times 10^{-11}$			
Mertes et al., 2012	Iodide		$34 \pm 4$				
Limonene	Detection method	Yield (% mole)	Yield (% mass)	Normalized (mole/µg)			
This study - Chamber	HRP-DCF	$5.1 \pm 0.1$	0.45 ±0.01	$(1.42 \pm 0.03) \times 10^{-10}$			
Chen and Hopke, 2010	HRP-DCF			$(1.6 \pm 0.1) \times 10^{-10}$			

2

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4 <sup>a</sup> - PHOPAA is para-hydroxyphenyl-acetic acid

Iodide

Bateman et al.,

2011

5

 $\sim 1 \text{ x} 10^{-10}$ 

- 1 **Table 2.** OH radical production rates from photolysis of 250  $\mu$ M solutions of SOA and 12.5  $\mu$ M
- 2 solutions of commercial peroxides, as calculated from the data in Figure 3 using literature values
- 3 for the yield of PHBA from the OH oxidation of benzoic acid (see text for details).

Sample	OH Production Rate (×10 <sup>-10</sup> M/s)
α-pinene SOA	$11.0 \pm 0.5$
limonene SOA	$9.0 \pm 1.5$
hydrogen peroxide	$2.0 \pm 0.5$
t-butyl hydroperoxide	$6.5 \pm 1.5$
cumene hydroperoxide	$6.5 \pm 0.5$



**Figure 1.** Schematic diagrams of the environmental chamber (a) and the photoreactor (b).



1

2

Figure 2. Photon flux (dashed line) inside the glass vessel in the photoreactor and SOA

3 absorption cross section from (Wong et al., 2014) (solid line).



4



Figure 3. Concentrations of p-hydroxybenzoic acid (PHBA) formed by photolysis experiments
 for: 250 μM solutions of SOA and 12.5 μM solutions of commercial hydroperoxides. Fits are

<sup>10</sup> lines of best fit, forced through the origin.