

1 **Response to reviewers, manuscript #ACPD2014-1024**

2 **Interactive comment on "Survival and ice nucleation activity of bacteria as aerosols in a**
3 **cloud simulation chamber" by P. Amato et al.**

4

5 **Anonymous Referee #1**

6

7 We thank Referee 1 for raising weaknesses in the discussion manuscript. Our responses to
8 each comment are indicated below.

9

10 **Specific comments:**

11 **Referee#1: Introduction, page 4059:** It is well explained why certain bacteria stains were
12 used in this study, but I miss here the motivation to investigate the influence of sulfates.

13 **Response of the authors:** a precision indicating that these were "as surrogates for the
14 presence of anthropogenic aerosols" was inserted in the text after "sulfates" (line 98 of the
15 revised manuscript).

16

17 **Referee#1: Material and methods, page 4061, line 23:** It is not clear to me what "the
18 frequency of INP per cell was > 2% etc." means. If it means that more than 2% of the cells in
19 the suspension were IN active shouldn't it be per total cells then? This would make more
20 sense when reading the text. Why not use frequency of IN active cells as later used in the text
21 (page 4069, line 27).

22 **Response of the authors:** We agree that the INP mentioned actually refers to cells, so "INP"
23 has been changed to "IN active cells" in this section.

24

25 **Referee#1: Page 4063, line 6:** It is written that in all cases clouds were generated. Can the
26 authors explain why only in two out of 14 cases aerosol samples were collected after
27 dissipation?

28 **Response of the authors:** The number of experimental time points was limited due to
29 practical reasons, notably the time needed for conditioning the chamber. Collecting more
30 samples after cloud dissipation would have been interesting of course, but we had to
31 compromise between (i) taking more samples before expansion cooling for investigating
32 closely bacteria as aerosols and their impact on clouds, and (ii) focusing on the impact of
33 clouds on bacteria by taking some samples after dissipation. We chose to give priority to (i),
34 and still briefly approached (ii). We thought that the results obtained in (ii) were quite original
35 and worth mentioning here, despite the fact that only a few samples were investigated.

36

37 **Referee#1: Figure S1:** The symbols are partly hard to distinguish e.g. Exp 10 and Exp 7 have
38 both red lines? I suggest to also including the information in the legend that the temperature
39 was reduced in Exp.8.

40 **Response of the authors:** the color settings of the figure have been rearranged for better
41 clarity. We did not find relevant to include the information that the temperature was reduced
42 in Exp. 8 in particular, as we should then also indicate experimental details for the other Exp.,
43 and that these are already presented in Table 1. Rather, the information that experimental
44 conditions are indicated in Table 1 has been included in Fig S1 legend as "Details about the
45 experimental conditions used in each experiment are given in Table 1".

46

47 **Referee#1: Throughout the manuscript:** Why are IN active cells called sometimes INP and
48 sometimes IN active cells? Can the authors clarify?

49 **Response of the authors:** INP is for referring to the particle carrying the site at the origin of
50 ice formation, disregarding its nature, while the term IN active cells refers to the phenotype of
51 the bacteria in question. This is based on the recent terminology proposed by Vali et al.
52 (2014), where it is recommended to use the term INP in all cases for atmospheric applications.
53

54 **Other comments/typos:**

55 **Referee#1: Abstract: page 4057, line20:** space is missing in perm2

56 **Material and methods, page 4061, line 7:** it seems that a word is missing here: acted öasö
57 cloud condensation nuclei.

58 **Page 4061, line 23:** particleösö or öanö ice nucleating particle?

59 **Results and Discussion page 4070, line 14:** frequency of INöPö per cell?

60 **Response of the authors:** all these have been corrected
61

62 **Referee#1: Figure 4 caption:** öper total airborne cellö sounds odd, just per cell or airborne
63 cell is good.

64 **Response of the authors:** the word ötotalö has been removed here.
65

66 References cited:

67 Vali, G., DeMott, P., Möhler, O. and Whale, T. F.: Ice nucleation terminology, Atmos Chem
68 Phys Discuss, 14(15), 22155ö22162, doi:10.5194/acpd-14-22155-2014, 2014.

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Anonymous Referee #2

We thank Referee 2 for raising weaknesses in the discussion manuscript. Our responses to each comment are indicated below:

Referee#2: Comments: Page 4059; line 21 ó define AIDA right after ðAIDAö.

Response of the authors: This has been corrected in the revised manuscript.

Referee#2: Page 4060; Recommend authors to include an introduction to their experimental approach after introducing the AIDA chamber and to direct the reader to Table 1. It could be as simple as a very brief overview of their experiment types (c, d, and e markers listed in Table 1). Suggest authors rearrange section to include chamber experiment descriptions follow by sampling frequency and SMPS/APS descriptions.

Response of the authors: Section 2.1 was reorganized following Reviewer 2's recommendations.

Referee#2: Page 4060; line 8- ðFor the ageing experiments at constant atmospheric pressureö ó what does this mean? Is the aerosolization process not the same for all experiments? Where there experiments for which this spraying/evaporating was not true?

Response of the authors: the aerosolization process was similar for all the experiments, so the specification that this was ðfor the ageing experiments at constant atmospheric pressureö has been removed.

Also, could the authors provide a discussion on how the aerosolization process used in this study relates to any hypothesized natural aerosolization processes? Bacteria can be emitted from spray (ocean) but also is often dry generated. The comparison is later made to bacteria released from agricultural fields, yet agricultural emissions are not necessarily release from a spray.

Response of the authors: It is true that spraying cultivated bacteria in a simulation chamber is probably different from naturally grown bacteria aerosolized by wind or bubble bursting. A paragraph discussing the different ways of aerosolization was inserted in the text, Section 3.3 (lines 323-338 of the revised manuscript):

ðAerosolization, *i.e.* the transfer of cells from a solid surface or from a liquid to the air, is a critical step. In nature, the dragging forces created by wind on surfaces generate aerosols by saltation/blasting phenomena (Grini et al., 2002) and result in increased amounts of airborne microorganisms during high wind speed events (e.g., Lindemann and Upper, 1985). Splashing raindrops on surfaces colonized by microorganisms like plant leaves also lead to the aerosolization of living bacteria (Graham et al., 1977). From liquids, a well-know process of aerosolization is bubble-bursting (Blanchard and Syzdek, 1982). This is actually a phenomenon by which certain types of cells in a community are preferentially aerosolized, thus adding a new layer of complexity in the process of bacterial aerosolization as it results in dissimilarities between the microbial composition in the bulk liquid source and in the air above (Agogu   et al., 2005; Fahlgren et al., 2015). The complexity of this phenomenon was probably not reflected in our experimental setup, with bacterial cells being sprayed from liquid suspensions. However, the results presented here only considered bacteria already aerosolized and avoided taking into account the aerosolization step. Hence, considering that the process of aerosolization did not affect subsequent survival rates as aerosol, we can place our results in natural atmospheric context.ö

125 **Referee#2: Page 4060; line 10-** This sentence is fairly confusing and reads as if evaporation
126 is a mechanism for releasing bacterial cells a dry aerosol state. "were" should be written as
127 "where". Suggest they rewrite as: "The relative humidity of the chamber was 90 to 95% with
128 respect to ice, thus sprayed droplets evaporated upon entering the chamber. The dried
129 bacterial cell aerosol was then aged for up to 18 hours at the given chamber pressure,
130 temperature and relative humidity, as summarized in Table 1."

131 **Response of the authors:** We thank Reviewer 2 for this suggestion, which we integrated in
132 the text (line 113 of the revised manuscript).

133

134 **Page 4061; line 4** "and then saturation with respect to the supercooled liquid droplet
135 phase" should be "and is saturated with respect to water".

136 **Response of the authors:** This has been corrected.

137

138 **Referee#2: Page 4061; line 7** "replace "bacterial cells acted cloud" with "bacterial
139 cells acted as cloud"

140 **Response of the authors:** This has been corrected.

141

142 **Referee#2: Page 4061; line 12** "Please clarify that the chamber was not particle free.
143 Recommend changing "filled with" to "re-pressurized to atmospheric pressure using".

144 **Response of the authors:** The chamber was particle free at all times, except for the bacteria
145 that were sprayed into it. This information is now indicated in Section 2.1 as "After each
146 experiment, the chamber was cleaned by deep depressurization, and refilled with particle free
147 air, so that the chamber was particle free at the beginning of the next experiment." When it
148 was repressurized after expansion cooling, particle free air was also used.

149

150 **Referee#2: Page 4061; lines 22- 28** "Recommend including a table to describe different IN
151 activities for these bacterial strains for ease of read."

152 **Response of the authors:** As indicated, the information concerning the ice nucleation activity
153 of the 3 bacterial strains used in this study was from previous work (Attard et al., 2012; Joly
154 et al., 2013). Data is already presented in the form of such table for 13b-2 and 32b-74 in Joly
155 et al. (2013). Since the main findings for the 3 strains are summarized here in a few lines
156 (onset temperature of IN activity and frequency of active cells at characteristic temperatures),
157 we think that including such a table is not really appropriate.

158

159 **Referee#2: Page 4062; line 8** "as described" where? I think they mean "as described in
160 Section 2.4".

161 **Response of the authors:** This has been changed to "as describe in Section 2.4" as suggested.

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163 **Referee#2: Page 4062; lines 17-19** "The wording here is confusing, suggest authors clarify
164 that the control was impingement liquid placed in the impinger without aerosol sampling."

165 **Response of the authors:** This was modified into "Unexposed aliquots of the water used as
166 the impingement liquid served as negative controls for ice nucleation assays and cell counts",
167 line 183 of the revised manuscript.

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170 **Referee#2: Page 4063; line 1** "Is this assumption correct? Please provide a reference. Is
171 there a size-dependence to the collection efficiency of the impinger (eg. can the impinger
172 collect a 100 nm particle as efficiently as a 5000 nm particle)? Please address."

173 **Response of the authors:** The assumption that the collection efficiency of BioSampler
174 impinger is 100% was used for relating the concentrations measured in the impingement

175 liquid to the air inside the chamber. Our main constraints in the choice of the sampler here
176 was to use a method largely spread in bioaerosol studies, to avoid altering cell viability and
177 integrity upon sampling, while having good collection efficiency. Impingers were found to be
178 the most appropriate samples in our case. They are widely used for studying airborne bacteria
179 and fungi (e.g. Angenent et al., 2005; Fierer et al., 2008). However, no information was found
180 concerning their actual absolute collection efficiency. Jensen et al. (1992) already used these
181 samplers as the upper reference in a comparative study about the collection efficiency of
182 bioaerosol samplers. It was maximum for particles of 1 μm in diameter, and the collection
183 efficiency was decreased by only less than 20% in the case of 0.3 μm particles. It was found
184 that cells can be damaged upon long sampling periods (i.e. > 60min, Terzieva et al., 1996),
185 but they systematically appear as the most appropriate methods for living bioaerosols
186 collection, especially over short sampling times like in our study (10 min) (Griffin et al.,
187 2010; Thorne et al., 1992). The reference Jensen et al., (1992) was included in the manuscript
188 for justifying the assumption of 100% collection efficiency (line 194 of the revised
189 manuscript), and the reference Terzieva et al. (1996) was included for indicating that cell
190 aggregates may have disrupted upon sampling (line 261 of the revised manuscript).

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192 **Referee#2: Page 4064;** header ó should this be INP (rather than IN) assays? Also, please
193 provide a description of how you calculate the error bars presented on Figure 4. Why are
194 some points in Figure 4 without error bars?

195 Response of the authors: öIN assaysö refers here to öIce nucleation assaysö, so it is correct
196 here. The error bars were standard deviations from the mean of independent replicate
197 experiments, so these were not possibly calculated in the cases where experiments were not
198 replicated i.e. for example in the presence of ammonium sulfate). The information about error
199 bars was already indicated in Figure 4's legend.

200
201 **Referee#2: Page 4065; Section 3.1** ó Interesting results and the translation to an atmospheric
202 perspective is great and useful. However, I think that if these results are presented this way,
203 there should be a discussion on the caveats of the jump that is made from these lab
204 experiments to the complex natural population of atmospheric bacteria. It is important to
205 translate these results to an atmospheric context, but there is a significant amount of
206 discussion that should be included to address how the translation could be invalid. Although
207 the species evaluated in this study were identified in atmospheric samples, the impact of
208 evaporation (during aerosolization process in these experiments), cloud activation, etc could
209 potentially differ depending on the origin of the bacteria.

210 **Response of the authors:** We agree that the experimental set up is probably quite different
211 from what happens in nature. Such discussion about the impacts of other atmospheric factors
212 that could alter survival (i.e. UV light, osmotic shocks and free radicals) was already present
213 in the original manuscript (now in Section 3.4 of the revised version).

214
215 I also recommend the authors to reorganize this section. Suggest having subsections for
216 öTime dependent survival ratesö and öImpact of cloud processing on cell survival ratesö and
217 öatmospheric implicationsö.

218 **Response of the authors:** We agree on the fact that Section 3.1 is probably too long and that
219 it mixed different results together. So it was cut into ö3.1 Initial total and cultivable airborne
220 cell concentrationsö (lines 244-270 of the revised manuscript), ö3.2 Survival rate time
221 dependenceö (lines 272-308), ö3.3 Implications for airborne bacteria disseminationö (lines
222 310-350) and ö3.4 Impact of cloud processing on survivalö (lines 352-373). The structure of
223 the next section öIce nucleation activityö remained unchanged, but is now consequently
224 numbered 3.5 (line 375).

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- 285
- 286

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288 **Additional changes:**

289 **Lines 65-67:** "However, it is not clear what fraction of the microorganisms aerosolized
290 survive over this time scale, and if they maintain properties pertinent with interactions with
291 atmospheric water." changed to "However, it is not clear what fraction of the aerosolized
292 microorganisms survive over this time scale, and if they maintain properties allowing
293 interactions with atmospheric water."

294 **Lines 96-97:** "IN particles" was modified into "ice nucleating particles (INP)".

295 **Line 273:** "eventual" was changed to "possible";

296 **Line 276:** "it allowed cleaning the data" was changed to "it allowed the data to be
297 cleaned";

298 **Line 278:** "it normalized to the cultivability" was changed to "it normalized by the
299 cultivability";

300 **Line 317:** "it 1.7% of the cells would still be cultivable, and based on it" was changed to
301 "it 1.7% of the cells would still be cultivable. Based on it";

302 **Line 349:** References about observations of long distance dispersion of microbes by the
303 atmosphere have been added: (Bovallius et al., 1978; Comte et al., 2014; Hervàs et al., 2009;
304 Hervas and Casamayor, 2009).

305 **3.5 Ice nucleation activity, line 397:** "the frequency of IN active cells was decreased by
306 about 98.5%..." was changed to "the frequency of INP per cell was decreased by about
307 98.5%".

308 **Line 428:** "it in bacteria" changed to "for bacteria";

309 **Line 429:** "it a compromise between the distance travelled (decreasing for large aggregates)
310 and the chances of dissemination (increasing for large aggregates)." was changed to "it a
311 compromise between the distance travelled (which decreases for large aggregates) and the
312 chances of successful dissemination (which increases for large aggregates)."

313

314

1 **Survival and ice nucleation activity of bacteria as aerosols in a cloud**

2 **simulation chamber**

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22
23 **Keywords:** Bacteria, aerosol, ice nucleation, survival, cloud chamber, *Pseudomonas*

24

25 **Abstract**

26 The residence time of bacterial cells in the atmosphere is predictable by numerical models.
27 However, estimations of their aerial dispersion as living entities are limited by lacks of
28 information concerning survival rates and behavior in relation to atmospheric water. Here we
29 investigate the viability and ice nucleation (IN) activity of typical atmospheric ice nucleation
30 active bacteria (*Pseudomonas syringae* and *P. fluorescens*) when airborne in a cloud
31 simulation chamber (AIDA, Karlsruhe, Germany). Cell suspensions were sprayed into the
32 chamber and aerosol samples were collected by impingement at designated times over a total
33 duration of up to 18 hours, and at some occasions after dissipation of a cloud formed by
34 depressurization. Aerosol concentration was monitored simultaneously by online instruments.
35 The cultivability of airborne cells decreased exponentially over time with a half-life time of
36 250 ± 30 min (about 3.5 to 4.5 hours). In contrast, IN activity remained unchanged for several
37 hours after aerosolization, demonstrating that IN activity was maintained after cell death.
38 Interestingly, the relative abundance of IN active cells still airborne in the chamber was
39 strongly decreased after cloud formation and dissipation. This illustrates the preferential
40 precipitation of IN active cells by wet processes. Our results indicate that from 10^6 cells
41 aerosolized from a surface, one would survive the average duration of its atmospheric journey
42 estimated at 3.4 days. Statistically, this corresponds to the emission of 1 cell that achieves
43 dissemination every ~33 minutes per m^2 of cultivated crops fields, a strong source of airborne
44 bacteria. Based on the observed survival rates, depending on wind speed, the trajectory
45 endpoint could be situated several hundreds to thousands of kilometers from the emission
46 source. These results should improve the representation of the aerial dissemination of bacteria
47 in numeric models.

48

49 **1. Introduction**

50 Microorganisms are known to be dispersed into the atmosphere and disseminated over long
51 distances (e.g., Bovallius et al., 1978; Brodie et al., 2007; Griffin et al., 2001; Smith et al.,
52 2013, and review by Morris et al., 2014b). This has obvious implications for Human, animal
53 and plant epidemiology as well as microbial ecology (Monteil et al., 2014; Morris et al., 2007,
54 2008; Mantl-Temkiv et al., 2013). Moreover, some particular bacteria notably found in the
55 atmosphere and clouds can induce heterogeneous ice formation (Cochet and Widehem, 2000;
56 Joly et al., 2013; Lindemann et al., 1982), which probably affects cloud physics and
57 potentially triggers precipitation (Möhler et al., 2007). All these aspects motivated the
58 development of numerical models intended to describe and predict the aerial dispersion of
59 microorganisms. For instance, Burrows et al. (2009a, 2009b) constrained a general
60 atmospheric circulation model using data from the literature and estimates of concentrations
61 and vertical fluxes of airborne microorganisms. They estimated that $\sim 10^{24}$ bacteria are emitted
62 into the atmosphere each year at the global scale, with a residence time aloft between 2 and 10
63 days (~ 3 days on average) depending on emission sources and on meteorological conditions.
64 Such a time span should allow microbial cells (*i.e.* particles of $\sim 1 \mu\text{m}$) to travel over hundreds
65 or thousands of kilometers. However, it is not clear what fraction of the aerosolized
66 microorganisms ~~aerosolized~~ survive over this time scale, and if they maintain properties
67 ~~pertinent with~~allowing -interactions with atmospheric water.

68 Most studies aiming at predicting the death rate of airborne bacteria were carried out in the
69 late 1960s and early 70s, with particular emphasis on the influence of temperature and
70 relative humidity (Cox and Goldberg, 1972; Ehrlich et al., 1970; Lighthart, 1973; Wright et
71 al., 1969). The ability of bacteria to survive as aerosols and the influence of abiotic
72 parameters on survival were shown to strongly depend on the microorganism (Marthi et al.,
73 1990). In experiments at constant temperature ranging from -18°C to 49°C , the survival rate

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74 of *Mycoplasma pneumoniae*, *Serratia marcescens* and *Escherichia coli* decreased with
75 increasing temperature, while this had no or little effect on the survival of *Bacillus subtilis*
76 (Ehrlich et al., 1970; Wright et al., 1969). The highest survival rates were invariably observed
77 at extreme low and high levels of humidity (Cox and Goldberg, 1972; Wright et al., 1969).
78 Finally, carbon monoxide concentration was shown to have variable impacts on the viability
79 of airborne bacteria, with protective or deleterious effects depending on humidity and on the
80 species (Lighthart, 1973). Lighthart (1989) compiled these data and others to build statistical
81 models describing the death rate of airborne bacteria based on aerosol age, temperature, Gram
82 reaction and humidity. Survival rate was resolved by aerosol age, i.e. time after
83 aerosolization, at more than 90%.

84 In a scientific context motivated by interrogations about cloud-microbes interactions, we
85 studied bacteria originating from atmospheric samples and selected for their relevance to
86 atmospheric questions, *Pseudomonas syringae* and *P. fluorescens*. Indeed, these bacteria are
87 among the most frequent species recovered from natural clouds (Väitilingom et al., 2012),
88 some strains are known plant pathogens (Berge et al., 2014) and some, including those
89 investigated here, are ice nucleation (IN) active and have potential impacts on cloud
90 microphysics and precipitation (e.g., Attard et al., 2012; Cochet and Widehem, 2000; Joly et
91 al., 2013; Möhler et al., 2007; Sands et al., 1982). IN active bacteria were shown earlier to
92 induce the formation of ice crystals within simulated clouds (Maki and Willoughby, 1978;
93 Möhler et al., 2008). Here we aimed at examining the survival and IN activity of such typical
94 bacterial aerosols in the atmosphere, using the AIDA (Aerosol Interactions and Dynamics in
95 the Atmosphere) cloud chamber (~~Aerosol Interactions and Dynamics in the Atmosphere~~). Cell
96 suspensions were sprayed in the chamber and the concentrations of airborne micron-sized
97 particles, total and cultivable cells and ~~IN-ice nucleating~~ particles (INP) were measured over
98 time for up to several hours after aerosolization. The influence of cloud formation, and the

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99 | presence of sulfates as surrogates for the presence of anthropogenic aerosols were briefly
100 | approached and seemed to deeply alter cell survival and IN activity. The data presented could
101 | be used for improving the parameterization of numerical al models describing the atmospheric
102 | dispersion of bacteria.

103

104 | **2. Material and Methods**

105 | *2.1 Experimental setup and particle concentration measurements*

106 | The AIDA (~~Aerosol Interaction and Dynamics in the Atmosphere~~) 84-m³ chamber at the
107 | Karlsruhe Institute of Technology was used in this study both as a static aerosol chamber in
108 | order to store and age the bacterial cell aerosols ~~for up to 18 hours~~, and as an expansion cloud
109 | chamber in order to simulate cloud activation events and investigate the impact of fresh and
110 | aged IN active bacterial aerosols on cloud microphysics and its effect on the viability of
111 | bacterial cells. The experiments were conducted during the BIO06 campaign in May 2011.
112 | ~~For the ageing experiments at constant atmospheric pressure, the eCell suspensions (see~~
113 | section 2.2) were sprayed into the ~~aerosol and cloud~~ chamber at the beginning of the
114 | experiments. ~~The initial relative humidity i~~Inside the chamber was around 90% to 95% with
115 | respect to ice, ~~the~~thus the sprayed droplets water quickly evaporated upon entering the
116 | chamber. ~~and the~~The dried bacterial cell aerosolss were then thus released into a dry aerosol
117 | state were they just floatedaged for up ~~around for up~~ to 18 hours at the given chamber
118 | pressure, temperature and relative humidity, ~~which was around 90% to 95% with respect to~~
119 | ice in all ageing experiments, as summarized in. ~~Three ageing experiments were performed at~~
120 | constant temperature, in one experiment the temperature was slightly reduced with time (see
121 | Table 1). Aerosol samples were collected (see section 2.3) during this step of aerosol ageing
122 | in order to measure the airborne concentrations of total cells, the cultivable cell number
123 | fraction (section 2.4), and the IN activity of the material collected (section 2.5). Samples

124 were systematically taken 30 min after spraying, and also after 120 min (2 h), 300 min (5 h),
125 420 min (7 h), 1020 min (17 h), and 1080 min (18 h).

126 ~~Aerosol concentration and size in the chamber were monitored using the combination of a~~
127 ~~Scanning Mobility Particle Spectrometer (SMPS) and an Aerodynamic Particle Sizer (APS),~~
128 ~~both from TSI Incorporated, USA. The concentration of particles in the size mode around 0.6~~
129 ~~μm to about 5 μm is referred to here as $\text{Cells}_{\text{APS}}$; it corresponds to single intact bacterial cells~~
130 ~~and small agglomerates of cells.~~

131 ~~Several aerosol samples were taken during these experiments in order to measure the~~
132 ~~number concentrations of total cells, and the cultivable cell number fraction. During most~~
133 ~~experiments, only one sample was taken 30 min after spray generation of the aerosol.~~

134 During three experiments, a second sample aerosol samples for microbiological analyses
135 was ~~were~~ also taken after a cloud activation and evaporation cycle in the AIDA chamber.
136 Such a Such a cloud -cycle in AIDA is initiated by reducing the chamber pressure within a few
137 minutes from about 1000 hPa to 800 hPa by strong pumping. This pressure change simulates
138 the conditions of an air parcel rising in the atmosphere at a vertical updraft velocity of up to a
139 few m s^{-1} , which induces a respective cooling of the air and an increase of the relative
140 humidity. The expansion run starts at a relative humidity of about 90 to 95% with respect to
141 ice, so that at start temperatures below 0°C the air in the cloud chamber first exceeds
142 saturation with respect to ~~the ice, phase~~ and then saturation with respect to ~~the supercooled~~
143 liquid ~~droplet phase~~ water. Depending on the temperature and the ice nucleation activity of the
144 bacterial cells, some ice particles may already be formed in the regime between ice and water
145 saturation. In all the experiments discussed here, water saturation was exceeded, so ~~that~~ all
146 bacterial cells acted as cloud condensation nuclei and ~~by that~~ were first immersed in
147 supercooled cloud droplets before ~~for a few minutes~~ eventually targetting ice formation. After
148 the pumping stopped at a pressure of about 800 hPa, the temperature started to increase due to

149 heat flow from the warmer chamber walls, and the cloud droplets started to evaporate. After
150 full evaporation of the cloud droplets, the chamber was ~~filled-re-pressurized with-using~~
151 particle free synthetic air to atmospheric pressure ~~and the second cell sample was taken from~~
152 ~~the chamber. Aerosol samples were collected once the pressure inside the chamber was~~
153 ~~returned to ambient pressure. In one of the three experiments during which aerosol samples~~
154 ~~were collected for microbiological analyses after cloud evaporation, bacteria were sprayed as~~
155 ~~a suspension in (NH₄)₂SO₄ (50 g L⁻¹, or 0.38 M) (Exp. 12, Table 1), rather than deionized~~
156 ~~water, in order to generate sulfate aerosols and examine competition effects between sulfates~~
157 ~~and bacteria on cloud formation and ice nucleation. This also produced preliminary results~~
158 ~~about the potential impact of anthropogenic aerosols on the survival of airborne bacteria.~~

159 ~~After each experiment, the chamber was cleaned by deep depressurization, and refilled~~
160 ~~with particle free air, so that the chamber was particle free at the beginning of the next~~
161 ~~experiment.~~

162 ~~Aerosol concentration and size in the chamber were monitored during the experiments using a~~
163 ~~combination of a Scanning Mobility Particle Spectrometer (SMPS) and an Aerodynamic~~
164 ~~Particle Sizer (APS), both from TSI Incorporated, USA. The concentration of particles in the~~
165 ~~size mode around 0.6 μm to about 5 μm is referred to here as Cells_{APS}; it corresponds to~~
166 ~~single intact bacterial cells and small agglomerates of cells.~~

167

168 2.2 Bacterial strains and preparation of cell suspensions

169 The following bacterial strains were used: *Pseudomonas syringae* 13b-2 and *P. syringae*
170 32b-74, both isolated from cloud water samples collected from the puy de Dôme Mountain in
171 France [GenBank accession numbers of the 16S rRNA gene sequences: DQ512785 and
172 HQ256872, respectively (Amato et al., 2007; Väitilingom et al., 2012)], and *P. fluorescens*
173 CGina-01 isolated from Cotton Glacier in Antarctica [GenBank accession number FJ152549;

174 (Foreman et al., 2013)]. These were all previously demonstrated to be IN active by droplet-
175 freezing assays (Attard et al., 2012; Joly et al., 2013). *P. syringae* 32b-74 in suspension in
176 deionized water at the concentration of $\sim 10^9$ cells mL⁻¹ nucleated ice at -3°C; the frequency
177 of ~~ice nucleating particle (INP) per~~IN active cells was > 2% at -4°C and > 4% at -6°C, which
178 ranks this strain among the most efficient IN active bacteria described so far. The onset
179 freezing temperature of *P. fluorescens* CGina-01 at similar cell concentration was -4°C, with
180 ~~an INP per cell frequency~~a frequency of IN active cells 3 to 4 orders of magnitude lower than
181 that of 32b-74. *P. syringae* 13b-2 nucleated ice at -4°C to -5°C, with a much lower activity
182 ($\sim 10^{-7}$ IN active cells~~P~~ per cell at -6°C).

183 Bacteria from stock suspensions were grown on Kingø medium B agar (King et al., 1954)
184 for two days at ambient room temperature (*i.e.* 22°C-25°C). Cells were then scrapped off agar
185 using sterile plastic loops, suspended in sterile deionized water at a concentration of
186 approximately $\sim 10^9$ mL⁻¹, and incubated overnight at 4°C. In one experiment, cells were
187 suspended in a solution of (NH₄)₂SO₄ (50 g L⁻¹, or 0.38 M) in order to examine the influence
188 of sulfate coating. In each experiment, a volume of ~50 mL of the cell suspension was
189 sprayed into the cloud simulation chamber (for details see Möhler et al., 2008). The actual cell
190 concentration in the initial suspensions was later determined ~~later~~ by flow cytometry (total
191 cells) and standard dilution plating (colony forming units; CFU), as described in Section 2.4.
192 These were used for inferring the initial concentrations of total and cultivable cells airborne in
193 the AIDA chamber, considering a volume of 84 m³; these are referred to as **Cells_{SUSP}** and
194 **CFU_{SUSP}**, respectively.

195 ~~In one of the experiments, cell suspension was prepared in a solution of (NH₄)₂SO₄ (50 g~~
196 ~~L⁻¹, or 0.38 M) in order to examine the influence of sulfate coating.~~

197

198 *2.3 Sampling from the cloud simulation chamber for microbiological analyses*

199 Sampling for microbiological analyses was performed using an ethanol-washed impinger
200 (SKC Biosampler; Lin et al., 1999) rinsed several times with sterile deionized water and filled
201 with ~20 mL of sterile deionized water just prior to use. ~~The w~~Unexposed aliquots of the
202 water used as the impingement liquid served as ~~a~~ negative controls for ice nucleation assays
203 and cell counts. In those controls, no ice nucleation event was detected within the temperature
204 range investigated, and cell count was < 0.005% of the cell counts in samples. Sampling
205 operations were performed at a constant air flow of 12.5 L min⁻¹ for 10 min periods using a
206 membrane vacuum pump (KFC), with the inlet of the impinger connected to the inside of the
207 chamber via a stainless steel sampling tube of 4 mm inner diameter. The exact volume of
208 water contained in the sampler (~20 mL) before and after sampling was determined by
209 weighting. It was used to relate the total and cultivable cell concentrations in the impingement
210 liquid to their respective concentrations in the air in the AIDA chamber when equilibrated
211 with atmospheric pressure, considering the volume of the impingement liquid and the
212 sampling rate and time, and assuming 100% collection efficiency (Jensen et al., 1992). These
213 are referred to as **Cells_{IMP}** and **CFU_{IMP}** throughout the manuscript.

214 ~~Aerosols from the chamber were systematically collected 30 min after spraying bacterial~~
215 ~~suspensions. In the course of aerosol ageing experiments, samples were also taken 120 min (2~~
216 ~~h), 300 min (5 h), 420 min (7 h), 1020 min (17 h), and 1080 min (18 h) after spraying. In all~~
217 ~~eases, from both fresh and aged bacterial aerosols, a cloud was generated afterwards by~~
218 ~~expansion cooling (i.e. depressurization) for microphysical characterization; at some~~
219 ~~occasions, aerosol samples were also collected once the cloud had dissipated and the pressure~~
220 ~~inside the chamber was returned to ambient pressure.~~

221

222 *2.4 Total cells and colony counts*

223 The concentrations of cultivable and total cells in the impingement liquid were determined
224 by ~~two~~ complementary methods. Cultivable cells were counted as colony forming units
225 (CFU). Twenty μL of 10-fold serial dilutions of the impingement liquid were spread on R2A
226 medium (Reasoner and Geldreich, 1985) and incubated at 22-25°C for 2 to 3 days before
227 counting the colonies formed. Total cells were counted by flow cytometry, on triplicate
228 samples of 450 μL of the impingement liquid mixed with 50 μL of 5% glutaraldehyde
229 (Sigma) (0.5% final concentration) and stored at -20°C. These were then mixed with one
230 volume (500 μL) of Tris-EDTA buffer at pH 8.0 (10 mM Tris; 1 mM EDTA, final
231 concentrations) and diluted in deionized water to a range of cell concentrations compatible
232 with the analysis. Finally, 10 μL of the DNA specific fluorochrome SYBR-Green (100X
233 concentration; Invitrogen) were added to the samples before incubation in the dark for at least
234 20 min then injection into the flow cytometer (Becton-Dickinson FACScalibur). Particles
235 fluorescing at 530 nm when excited at 488 nm, *i.e.* labeled with SYBR-Green, were detected
236 and counted by the cytometer. Counts were performed for 2 min or 100,000 events at a flow
237 rate of about 90 $\mu\text{L min}^{-1}$. The exact flow rate was then measured for each series of
238 measurements by weighting a water sample before and after a 20 to 30 minute run in the
239 instrument. All solutions used for flow cytometry analyses were freshly filtered through
240 polycarbonate syringe filters (0.22 μm porosity, Whatman) before use in order to prevent the
241 presence of contaminating particles. In each sample, a population of particles unambiguously
242 attributed to bacterial cells based on their intensity of fluorescence and side-scattering was
243 detected. Finally, cultivability was calculated as the ratio between CFU and total cells counts.

244

245 2.5 *IN assays*

246 The concentration of ice nucleating particles (C_{INP}) in the collection liquid was assayed by
247 the drop-freezing method described previously (Vali, 1971). Series of sixteen 0.2 mL

248 microtubes containing 20 μL of the impingement liquid, undiluted or diluted 10-fold in
249 distilled water, were placed in a cooling bath (Ecoline Staredition Lauda E200) and exposed
250 to decreasing temperatures from -2°C to -10°C with 1°C steps. The number of tubes
251 containing aliquots still in the liquid phase was counted after exposition for 8 min at each
252 temperature step, and C_{INP} was calculated as:

$$253 \quad C_{\text{INP}} = [\ln(N_{\text{total}}) - \ln(N_{\text{liquid}})]_T / V \times (1 / D_f)$$

254 with N_{total} is the total number of tubes tested in a given dilution series (16), N_{liquid} the
255 corresponding number of tubes still liquid after 8 min at temperature T, V the volume of
256 liquid in each tube (0.02 mL) and D_f the dilution factor (1 or 10). C_{INP} were finally
257 normalized to the corresponding total cell concentrations measured by flow cytometry.

258

259 2.6 Data analyses

260 Exponential regression curves of the type $y = a \cdot e^{(-bt)}$ were fitted to the data. As all the data
261 were normalized to the first time point measured in the corresponding experiment (i.e. 30
262 minutes after spraying, time set as the $t=0$ for data analysis), a was equal to 1 and the
263 concentration had its maximum value at $t = 30$ (time t being expressed in minutes). The time
264 constant of this first-order decay equation is $\tau = 1/b$, b being the decay rate constant, and the
265 half-life time $t_{1/2}$, at which the concentration has decreased to half the start value, can be
266 calculated as $t_{1/2} = \ln(2)/b$.

267 All statistical analyses were performed using PAST version 2.04 (Hammer et al., 2001).

268

269 3. Results and Discussion

270 ~~3.1 Initial F_t total and cultivable airborne cell concentrations and survival~~

271 3.1

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2, 3, ... + Commencer à : 1 +
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272 A total of 9, 3 and 2 experiments were carried out in the cloud simulation chamber with the
273 strains *Pseudomonas syringae* 32b-74, *P. fluorescens* CGina-01 and *P. syringae* 13b-2,
274 respectively. The initial airborne total and cultivable cell concentrations inferred from in the
275 initial cell suspensions (SUSP subscript), and the concentrations measured with the APS
276 (APS subscript) and from impinger samples (IMP subscript) 30 min and up to 1080 min (18
277 h) after aerosolization are presented in **Table 1**. Fifty mL of cell suspensions at concentrations
278 ranging from 3.65×10^8 to 1.15×10^9 cells mL⁻¹ were sprayed in the chamber, corresponding to
279 theoretical initial total airborne cell concentrations (Cells_{SUSP}) of 217 to 684 cells cm⁻³ in the
280 84 m³-chamber. The concentrations actually measured 30 minutes later by the APS (Cells_{APS})
281 and from impinger samples (Cells_{IMP}) were both significantly lower (t-test; $p < 10^{-6}$ and $p =$
282 0.02, respectively; $n = 13$) and ranged in average between 138 and 289 cells cm⁻³ and between
283 258 and 451 cells cm⁻³, respectively. At this time point, Cells_{IMP} was significantly higher than
284 Cells_{APS} by a factor of 1.82 ± 0.40 in average (t-test; $p < 0.01$; $n = 13$), indicating the presence
285 of cell aggregates in the ~ 1 μ m aerosol population (it extended to about 5 μ m at the beginning
286 of the experiments). These were disrupted in the impinger during sampling and counted later
287 as individual cells by flow cytometry ([Terzieva et al., 1996](#)). The presence of aggregates was
288 also evidenced in the suspensions sprayed by the fact that the concentration of cultivable cell
289 (CFU_{SUSP}) exceeded that of total cells (Cells_{SUSP}) (t-test; $p < 0.01$; $n = 13$), with particularly
290 large deviations on CFU counts between technical replicates, and resulting in cultivability >
291 100%, and at some occasions > 1000% (see **Table 1**). Cell suspensions were prepared by
292 scratching colonies from the surface of agar plates. Even though care was taken for
293 homogenizing them, some heterogeneity probably persisted and resulted in the presence of
294 cell clusters. However, it unintentionally mimicked bacterial aerosols in natural context, as
295 most cultivable bacteria in the atmosphere were found associated with particles (Shaffer and
296 Lighthart, 1997).

297
298 3.2 Survival rate time dependence
299 With the intention to take only into account cells already airborne and avoid any ~~eventual~~
300 ~~possible~~ impact of the spraying process on cultivability, data analysis was restricted to $t \times 30$
301 minutes after aerosolization and data were normalized to the values measured at this
302 experimental time point. This normalization also allowed ~~cleaning~~ the data ~~to be cleaned~~ by
303 avoiding the large deviations on cultivable cell concentration and on cultivability rate in the
304 initial suspensions (CFU_{SUSP}). Each individual absolute value of cultivability (*i.e.* not
305 normalized ~~to-by~~ the cultivability measured at this time point) is plotted in **Figure S1**. The
306 normalized temporal decay of airborne micron-sized particles (Cells_{APS}), total cells (Cells_{IMP})
307 and cultivable cells (CFU_{IMP}) concentrations was determined from experiments #7, #8, #10
308 and #11 (**Figure 1**). The concentration of particles in the 1 μm -mode (Cells_{APS}) decreased
309 exponentially over time with a time constant $= 1260 \pm 170$ min (Pearson's $r = 0.992$; $n = 7$).
310 The concentration of airborne cells (Cells_{IMP}) decreased faster with a time constant $= 500 \pm$
311 120 min (Pearson's $r = 0.937$; $n = 9$). The upper bound diameter of the Cells_{APS} size mode,
312 extending to approximately 5 μm at the beginning of the experiments, decreased to around 3
313 μm after 7 hours, and the cell-to-particle ratio (Cells_{IMP}/ Cells_{APS}) decreased from 1.82 ± 0.40
314 ($n = 13$) to 1.06 ± 0.06 ($n = 2$). These indicated that the cell clusters were progressively
315 removed from the aerosol population by sedimentation. Cultivable cell concentration
316 (CFU_{IMP}) decreased with a time constant $= 230 \pm 10$ min (Pearson's $r = 0.990$; $n = 9$). This
317 concentration therefore decreased about twice as fast as that of the concentration of total cells
318 Cells_{IMP} due to additional temporal loss of cultivability. The decay rate constant b for
319 cultivability was $\sim 0.28\% \text{ min}^{-1}$, corresponding to a time constant $= 360 \pm 40$ min and a half-
320 life $t_{1/2} = 250 \pm 30$ min (3.5 to 4.5 h) (Pearson's $r = 0.911$; $n = 9$) (**Fig. 2**). This has to be

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321 regarded as the most conservative estimate (lower bound) for viability, as viable but non
322 cultivable (VBNC) state is common in aerosolized cells (Heidelberg et al., 1997).

323 Despite the fact that the bacteria investigated here are non-spore-formers, they lost
324 cultivability only 1.5 to 3 times faster than spores of *Bacillus subtilis* within the same
325 temperature range, which decayed at rates of 0.19% and 0.10 % min⁻¹ at -29°C and 4 °C,
326 respectively (Ehrlich et al., 1970). Lighthart (1989) proposed a general time-dependent model
327 of biological decay (decrease of survival rate) for airborne bacteria by mixing experimental
328 data from several bacterial strains, including *Pseudomonas* species (**Figure 2**). This fits our
329 data with a Pearson's *r* of only 0.517 (n = 9), and we observed a much higher cultivability
330 than what would have been expected from this model, at least for the first 10 hours following
331 aerosolization. This implies that the *Pseudomonas* strains investigated here, which were
332 originally isolated from atmospheric samples, are more resistant as airborne than the
333 "average" bacterium considered in this model; it could indicate that these strains are to some
334 extent adapted to atmospheric transport (e.g., Mantl-Temkiv et al., 2012).

335

336 3.3 Implications for airborne bacteria dissemination

337 Assuming that bacteria have an aerodynamic diameter of about 1 µm, they have a low
338 sedimentation velocity on the order of 10⁻⁴ m s⁻¹ (Malcolm and Raupach, 1991). In addition,
339 such particles fall into the so-called "scavenging gap", and they have a particularly long
340 residence time in the atmosphere (Hobbs, 1993). Indeed, residence time was estimated to be
341 2.3 to 9.6 days in the case of single bacterial cells depending on the source ecosystem, with a
342 global mean of 3.4 days (Burrows et al., 2009a). Under our conditions, after 1 day airborne,
343 1.7% of the cells would still be cultivable. ~~and based~~ Based on these extreme and mean residence
344 times, between 0.009% and 1.22 × 10⁻¹⁵ % of aerosolized cells (0.0001% in average, *i.e.* 1/
345 10⁶) would survive the duration of their atmospheric journey until deposition. Statistically,

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346 this implies that the emission of at least 11,000 cells is necessary, 10^6 in average, to assure
347 that one survives the residence time and arrives at its endpoint by atmospheric dissemination.

348 Aerosolization, i.e. the transfer of cells from a solid surface or from a liquid to the air, is a
349 critical step. In nature, the drag forces created by wind on surfaces generate aerosols by
350 saltation/blasting phenomena (Grini et al., 2002) and result in increased amounts of airborne
351 microorganisms during high wind speed events (e.g., Lindemann and Upper., 1985).
352 Splashing raindrops on surfaces colonized by microorganisms like plant leaves also lead to
353 the aerosolization of living bacteria (Graham et al., 1977). From liquids, a well-know process
354 of aerosolization is bubble-bursting (Blanchard and Syzdek, 1982). This is actually a
355 phenomenon by which certain types of cells in a community are preferentially aerosolized,
356 thus adding a new layer of complexity in the process of bacterial aerosolization as it results in
357 dissimilarities between the microbial composition in the bulk liquid source and in the air
358 above (Agogu e et al., 2005; Fahlgren et al., 2015). The complexity of this phenomenon was
359 probably not reflected in our experimental setup, with bacterial cells being sprayed from
360 liquid suspensions. However, the results presented here only considered bacteria already
361 aerosolized and avoided taking into account the aerosolization step. Hence, considering that
362 the process of aerosolization did not affect subsequent survival rates as aerosol, we can place
363 our results in natural atmospheric context. Plants are among the strongest natural sources of
364 airborne bacteria identified, with emission fluxes around $500 \text{ CFU m}^{-2} \text{ s}^{-1}$ measured above
365 bean and alfalfa fields (Lindemann et al., 1982). At such a rate, each m^2 of crop field would
366 emit 1 cell capable of surviving its atmospheric transport every 33 minutes. In other words, 1
367 cell capable of disseminating alive would be emitted every second by a field of $\sim 2000 \text{ m}^2$.

368 Once airborne, as a first approximation bacteria are passively transported horizontally at
369 the speed of horizontal wind. So, for typical horizontal winds in the troposphere, i.e. ~ 2 to
370 $\sim 30 \text{ m s}^{-1}$ (not considering extreme events such as storms or cyclones), at the survival rate

371 measured here, 50% of the cells emitted alive from a source would be transported about 30 to
372 600 km away, and 1% would reach the ground up to 4000 km away (**Figure 3**). There are
373 indeed many observations of such long distance transport of living bacteria between distant
374 ecosystems in nature (Bovallius et al., 1978; Hervàs et al., 2009; Hervàs and Casamayor,
375 2009; Comte et al., 2014).

377 3.4 Impact of cloud processing

378 -The conditions investigated here (temperature between -20°C and 0°C and absence of
379 light) can be considered relatively close to the conditions encountered in the high atmosphere
380 during the night. It is probable that in nature, during the day, UV light has ~~ave~~ deleterious effect
381 and increases mortality rates (Tong and Lighthart, 1997). In addition, cloud formation can
382 alter viability, as shown in samples collected after expansion cooling (*i.e.* depressurization)
383 experiments (experiments #6 and #9). Even though it is not statistically testable here, we
384 noticed a strong decrease in the cultivability of *P. s.* 32b-74 and CGina-01 cells exposed to a
385 cloud (see **Table 1, Figure 2 and Figure S1**). Fractions of only about ~12% and ~40% of the
386 cells cultivable before expansion cooling remained cultivable after cloud dissipation for 32b-
387 74 and CGina-01, respectively, compared to ~70% when the pressure was maintained
388 constant. For cloud formation in the AIDA chamber, pressure was typically decreased at rates
389 of 30 to 50 hPa min⁻¹ during expansion, and the associated cooling rates were typically 2 K
390 min⁻¹ at the beginning of an expansion and below 0.5 K min⁻¹ towards the end of the
391 expansion. Considering pressure and temperature changes with altitude of 10 hPa and 1 K
392 every 100 m, these roughly correspond to uplifts of air masses of around 100 to 500 m min⁻¹
393 (1.7 to 8.3 m s⁻¹), which falls within the range of observations for convective precipitating
394 clouds (Balsley et al., 1988). Our results suggest that the shifts in environmental conditions
395 encountered by living cells transported upward, along with the osmotic shock and free

396 radicals generated by water condensation and freezing (e.g., Stead and Park, 2000; Tanghe et
397 al., 2003) ~~in clouds~~ probably alter airborne cell survival in clouds to a larger extent compared
398 with non convective situations.

399

400 3.2.5 Ice nucleation activity

401 **Figure 4** shows freezing profiles of air samples collected by impingement from the
402 cloud chamber at different times after injection of *P. syringae* 32b-74 suspensions. Thirty
403 minutes after aerosolization, there were $\sim 2 \times 10^{-5}$ INP cell⁻¹ at -3°C (1 INP every ~50,000
404 cells) and $\sim 3 \times 10^{-3}$ INP cell⁻¹ at -5°C (1 INP every ~333 cells) on a per-total-cells (Cells_{SIMP})
405 basis. This is about one ~~thenthenth~~ the IN activity of cells in suspension for this strain (Joly et
406 al., 2013). Decreased IN activity in airborne bacteria compared with suspension was expected
407 from previous observations in cloud simulation chamber involving *P. syringae* (Maki and
408 Willoughby, 1978). No further significant loss of activity over time was observed at
409 temperatures $\geq -4^\circ\text{C}$ in aerosolized cells (ANOVA, 5% confidence level), *i.e.* the frequency of
410 INP cell⁻¹ did not vary with time after aerosolization. This confirmed that non-viable cells
411 retained IN activity, as previously reported (Kozloff et al., 1991).

412 In the natural atmosphere, phenomena such as coating may affect bacterial IN activity. For
413 example coating with sulfate was reported to decrease the IN activity of soot or Arizona Test
414 Dust particles, a material widely used in laboratory ice nucleation studies as a surrogate for
415 natural mineral aerosols (Cziczo et al., 2009; Möhler et al., 2005; ~~Niedermeier et al., 2011;~~
416 ~~Pinti et al., 2012~~). However, sulfate coating had no detectable impact on the IN activity of the
417 commercial powder of lyophilized IN active *P. syringae* cells Snomax (Chernoff and
418 Bertram, 2010). In order to further investigate the influence of sulfate coating, cells were
419 suspended in a solution of ammonium sulfate instead of water before being sprayed into the
420 chamber (experiment #12, **Table 1**). Thirty minutes after spraying, we found that the

421 frequency of INP per cell had decreased markedly compared to cells sprayed from water
422 suspensions, especially at the warmest temperatures of activity: the frequency of INP per cell
423 ~~active cells~~ was decreased by about 98.5%, 91% and 34% at -4°C, -5°C, and -7°C,
424 respectively (**Fig. 4**). In this particular bacterial strain, pH at values typical for cloud water
425 influenced by anthropogenic emissions (pH ~4) were also shown to be responsible for a
426 significant decrease of INA (Attard et al., 2012). Such observations show that the IN activity
427 of bacteria is clearly modulated by abiotic factors, and this must be kept in mind when
428 replacing experimentations into environmental context.

429 The capacity of cells of nucleating ice in the atmosphere is particularly relevant where
430 condensed water is present, i.e. in clouds. Using the AIDA chamber, it was shown previously
431 that some strains of *P. viridiflava* and *P. syringae* can act as INP in clouds at temperatures
432 around -10°C in the immersion-freezing mode (Möhler et al., 2008). Here, clouds were
433 formed in the chamber by expansion cooling in two experiments (experiments #6 and #9), and
434 aerosol samples were collected by impingement after cloud dissipation, when the pressure
435 inside the chamber was back to ambient pressure (**Table 1**). The onset ice formation
436 temperature of the impingement liquid was -6°C, compared to -3°C in samples not exposed to
437 cloud, and the frequency of INP per cell was decreased by three orders of magnitude (**Fig. 4**).
438 A possible deactivation effect of the IN activity of bacteria was already suggested from
439 equivalent experiments (Möhler et al., 2008). However, our results expressed on a per-cell
440 basis suggest that, more likely, IN active cells among a population of airborne bacteria were
441 more efficiently precipitated than others. This could explain the observed distribution of IN
442 active bacteria in natural air, clouds and precipitation: Stephanie and Waturangi (Stephanie
443 and Waturangi, 2011) observed that the proportion of IN active bacterial strains was higher in
444 falling rain water than in the air at the same location. In addition, whereas only 50% of the *P.*
445 *syringae* strains isolated from non-precipitating cloud water were IN active (8 strains) (Joly et

446 al., 2013), those isolated from freshly fallen snow by Morris et al. (Morris et al., 2008) all had
447 this capacity (47 strains).

448

449 **4. Conclusions**

450 In this work, we observed that the concentration and cultivability of cells aerosolized in the
451 AIDA cloud chamber decreased exponentially over time at constant rates. Aggregation
452 seemed to favor cell survival, but this was of course at the cost of the time span as airborne
453 and so, in nature, of the potential distance of dispersion. Hence, ~~in~~for bacteria, aerial
454 dissemination is clearly a compromise between the distance travelled (which decreases~~ing~~ for
455 large aggregates) and the chances of successful dissemination (which increasing-increases for
456 large aggregates).

457 The survival rate determined here should provide basis to the existing numerical models
458 describing the aerial dispersion of bacteria (Burrows et al., 2009a; Sesartic et al., 2012), in
459 order to better predict their atmospheric transport as living entities. By focusing on time as the
460 only explicative variable, we were able to explain quite well (Pearson's $r = 0.911$) the
461 decrease of cultivability observed for *Pseudomonas syringae* and *P. fluorescens* in the AIDA
462 chamber, although adjustments of the predictions in an environmental context could be made
463 by integrating viability parameters as needed, like temperature, humidity, UV, or phenotypic
464 traits. Some work in this direction has already been carried out (Attard et al., 2012; Lighthart,
465 1973; Lighthart et al., 1971; Smith et al., 2011; Tong and Lighthart, 1997), but more
466 experiments would help building a more mechanistic viability model. In addition, these
467 models are still weakened by the large uncertainties that remain concerning the input to be
468 used, as there are still very few data available about the sources of airborne bacteria and the
469 associated emission fluxes (e.g. Lindemann et al., 1982). These need to be documented for
470 different surface types and meteorological situations.

471 Numerical simulations demonstrated that the impact of IN active bacteria on precipitation
472 is probably negligible at the scale of the planet (Hoose et al., 2010; Sesartic et al., 2012).
473 However precipitation patterns at regional scales have important socio-economic impacts and
474 the underlying processes still need to be elucidated. We observed that the IN activity of
475 airborne bacteria did not change over time for at least several hours after aerosolization. In
476 nature, this is enough time for an IN active cell to be transported to high altitudes and get
477 incorporated into a cloud. Then, as suggested by others (Constantinidou et al., 1990; Möhler
478 et al., 2008; Morris et al., 2008, 2014a), they can induce freezing of supercooled droplets,
479 trigger precipitation and thus selectively prime their own redeposition. For a complete and
480 accurate description of the transport of bacteria in the atmosphere, the partitioning of cells
481 ~~and~~ in particular of IN active cells, between air, clouds and precipitation should be
482 determined.

483

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495

496 **6. References**

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684

685 **Table 1:** Detailed cell concentrations and cultivability for the different experiments carried
686 out in the chamber, expressed as the mean of triplicate analyses \pm standard error from the
687 mean when ever available. (*P.s.*: *Pseudomonas syringae*; *P.f.*: *Pseudomonas fluorescens*).

Exp #	AIDA BIO-06 Exp #	Strain	Initial characteristics ^a			Time after spraying (min)	Initial temperature and conditions of experiment	Airborne in the cloud chamber ^b			
			Cells _{SUSP} P cm ⁻³	CFU _{SUSP} cm ⁻³	% cultivable cells _{SUSP}			Cells _{SAPS} cm ⁻³	Cells _{IMP} cm ⁻³	CFU _{IMP} cm ⁻³	% cultivable cells _{IMP}
1 ^u	2	<i>P.s.</i> 32b-74	684 \pm 64	3522 \pm 2138	515% \pm 316%	30	-5.2°C, no cloud	138	293 \pm 5	123 \pm 63	42% \pm 21%
2 ^u	4	<i>P.s.</i> 32b-74	530 \pm 59	1091 \pm 169	206% \pm 39%	30	0.1°C, no cloud	173	279 \pm 232	328 \pm 34	118% \pm 99%
3 ^u	6	<i>P.s.</i> 13b-2	474 \pm 19	694 \pm 172	147% \pm 37%	30	-2.3°C, no cloud	216	382 \pm 8	314 \pm 10	82% \pm 3%
4 ^u	8	<i>P.s.</i> 13b-2	474 \pm 19	694 \pm 172	147% \pm 37%	30	-17.8°C, no cloud	204	448 \pm 5	217 \pm 61	49% \pm 14%
5 ^u	10	<i>P.f.</i> CGina-01	217 \pm 34	1339 \pm 107	616% \pm 108%	30	-2.3°C, no cloud	269	361 \pm 49	249 \pm 24	69% \pm 11%
13 ^u	29	<i>P.s.</i> 32b-74	491 \pm 80	13591 \pm 13980	2770% \pm 2884%	30	-7.2°C, no cloud	180	387 \pm 22	227 \pm 26	59% \pm 8%
14 ^u	32	<i>P.s.</i> 32b-74	491 \pm 80	13591 \pm 13980	2770% \pm 2884%	30	-13.7°C, no cloud	178	306 \pm 19	195 \pm 37	64% \pm 13%
7	15	<i>P.s.</i> 32b-74	437 \pm 82	754 \pm 96	173% \pm 39%	30	-1.4°C, no cloud	214	436 \pm 74	128 \pm 21	29% \pm 7%
						120		184	291 \pm 35	79 \pm 11	27% \pm 5%
						300		155	171 \pm 27	42 \pm 4	25% \pm 4%
						420		141	143 \pm 19	26 \pm 3	18% \pm 3%
8	17	<i>P.s.</i> 32b-74	525 \pm 48	1349 \pm 326	257% \pm 67%	30	-2°C to -20°C, no cloud	185	349 \pm 24	237 \pm 69	68% \pm 20%
						120		ND	261 \pm 11	128 \pm 15	49% \pm 6%
						300		ND	185 \pm 13	69 \pm 6	37% \pm 4%
						420		139	154 \pm 15	46 \pm 2	30% \pm 3%
10	22	<i>P.s.</i> 32b-74	473 \pm 90	1567 \pm 757	332% \pm 172%	30	-1.3°C, no cloud	172	451 \pm 59	276 \pm 31	61% \pm 11%
11	24	<i>P.f.</i> CGina-01	303 \pm 22	10700 \pm 12584	3529% \pm 4158%	30	-1.3°C, no cloud	289	321 \pm 36	242 \pm 43	75% \pm 16%
						1080		121	82 \pm 2	2 \pm 0	3% \pm 0%
6	12	<i>P.f.</i> CGina-01	217 \pm 34	1339 \pm 107	616% \pm 108%	30	-16.7°C, before cloud formed	186	282 \pm 36	264 \pm 92	94% \pm 35%
						150	After cloud dissipation	ND	221 \pm 19	83 \pm 29	38% \pm 13%
9	19	<i>P.s.</i> 32b-74	529 \pm 103	13026 \pm 12101	2464% \pm 2339%	30	-19°C, before cloud formed	158	258 \pm 24	124 \pm 7	48% \pm 5%
						150	After cloud dissipation	ND	139 \pm 19	8 \pm 4	6% \pm 3%
12	26	<i>P.s.</i> 32b-74	340 \pm 107	11161 \pm 10312	3285% \pm 3207%	30	-16.7°C, in the presence of ammonium sulfate	ND	318 \pm 24	10 \pm 3	3% \pm 1%

688 ^a As inferred from the cell suspensions sprayed;

689 ^b As measured by aerosol particle sizer and from impinger samples.

690 ^u Experiments intended to investigate the impact of fresh IN active bacterial aerosols on the
691 microphysics of clouds generated in the AIDA chamber by expansion cooling; no sample for
692 microbiological analyses was collected after cloud dissipation.

693 Experiments intended to investigate the impact of ageing on the survival and IN activity of
694 bacteria as aerosols; clouds were generated afterward for investigating their impacts on
695 microphysics; no sample for microbiological analyses was collected after cloud dissipation.

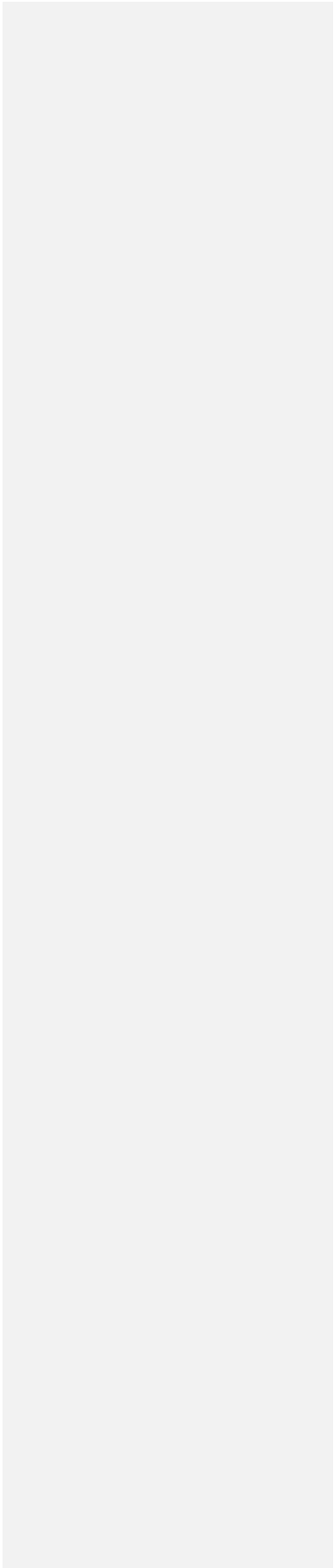
696 Experiments intended to investigate the impact of clouds or sulfate coating on the survival
697 and IN activity of bacterial aerosols.

698

Mis en forme : Interligne : simple

699

700



701 **Figure legends:**

702 **Figure 1:** Temporal evolution of total airborne cell concentration measured with the APS
703 ($\text{Cells}_{\text{APS}}$, open symbols) and total and cultivable cells concentrations measured from
704 impinger samples ($\text{Cells}_{\text{IMP}}$, black symbols, and CFU_{IMP} , grey symbols, respectively) of *P.*
705 *syringae* 32b-74 and *P. fluorescens* CGina-01 in the chamber, relative to the concentrations
706 measured 30 minutes after spraying cell suspensions. Error bars are standard deviations from
707 the mean of triplicate samples. The curves show fitted exponential temporal decays. For total
708 particles: Pearson's $r = 0.992$. $n = 7$; for total cells: Pearson's $r = 0.937$. $n = 9$; for cultivable
709 cells: Pearson's $r = 0.990$. $n = 9$. Corresponding calculated time constants (τ) and half-life
710 times ($t_{1/2}$) are indicated on the right of the figure (mean \pm standard deviation).

711

712 **Figure 2:** Temporal evolution after aerosolization of the proportion of cultivable cells
713 (cultivability) in impinger samples in *P. syringae* 32b-74 and *P. fluorescens* CGina-01,
714 relative to the cultivability measured 30 minutes after spraying cell suspensions, in the
715 absence of cloud (black symbols) or after cloud formation and dissipation (open symbols).
716 Error bars are standard deviations from the mean of triplicate samples. The black dashed
717 curve shows fitted exponential temporal decay of cultivability in the absence of a cloud
718 (Pearson's $r = 0.911$. $n = 9$). The corresponding calculated time constant (τ) and half-life time
719 ($t_{1/2}$) are indicated on the right of the figure (mean \pm standard deviation). Data using the
720 equation (5) from (Lighthart, 1989) are also plotted for comparison (dashed grey line); this
721 model valid for an average bacterial strain fits our data with a Pearson's r of 0.517 ($n = 9$).

722

723 **Figure 3:** Proportion of cultivable airborne cells associated with the distance reached from
724 their emission source for typical horizontal wind velocities (2, 5, 10 and 30 m s^{-1} , i.e. 7.2, 18,
725 36 and 108 km h^{-1} , respectively), relative to the respective initial cultivability, as inferred

726 from the data presented in **Figure 2**. The proportion of 0.0001% is reached in 3.4 days, the
727 mean residence time of bacteria in the atmosphere estimated by Burrows et al. (2009a).

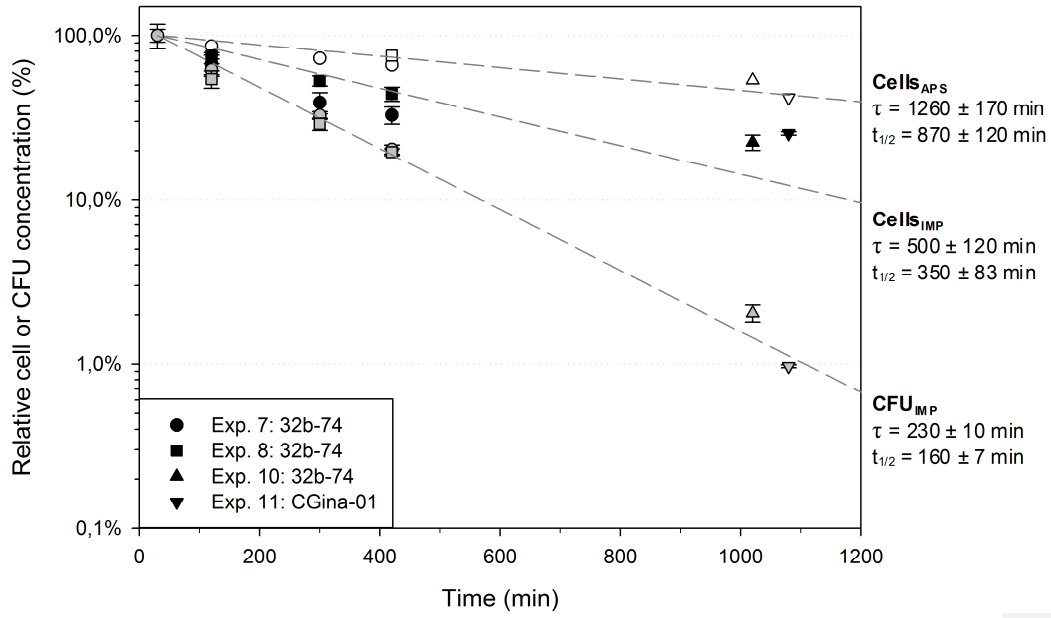
728

729 | **Figure 4:** Cumulative frequencies of INP per ~~total~~-airborne cell in *P. syringae* 32b-74
730 within the AIDA chamber 30 min, 7 h and 17 h after aerosolization in the absence of cloud
731 (black symbols), 30 min after aerosolization in the presence of ammonium sulfate (grey
732 symbols), and when the pressure inside the chamber was returned to ambient after cloud
733 formation by expansion cooling (open triangles). Error bars are standard deviations from the
734 mean of independent experiments, when available.

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737 **Figure 1**

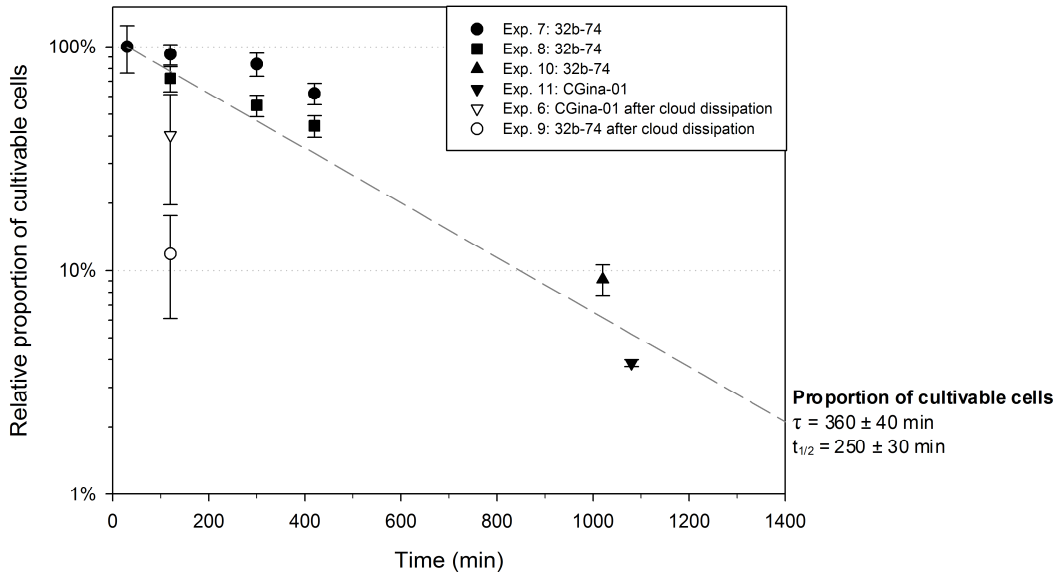


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740 **Figure 2**

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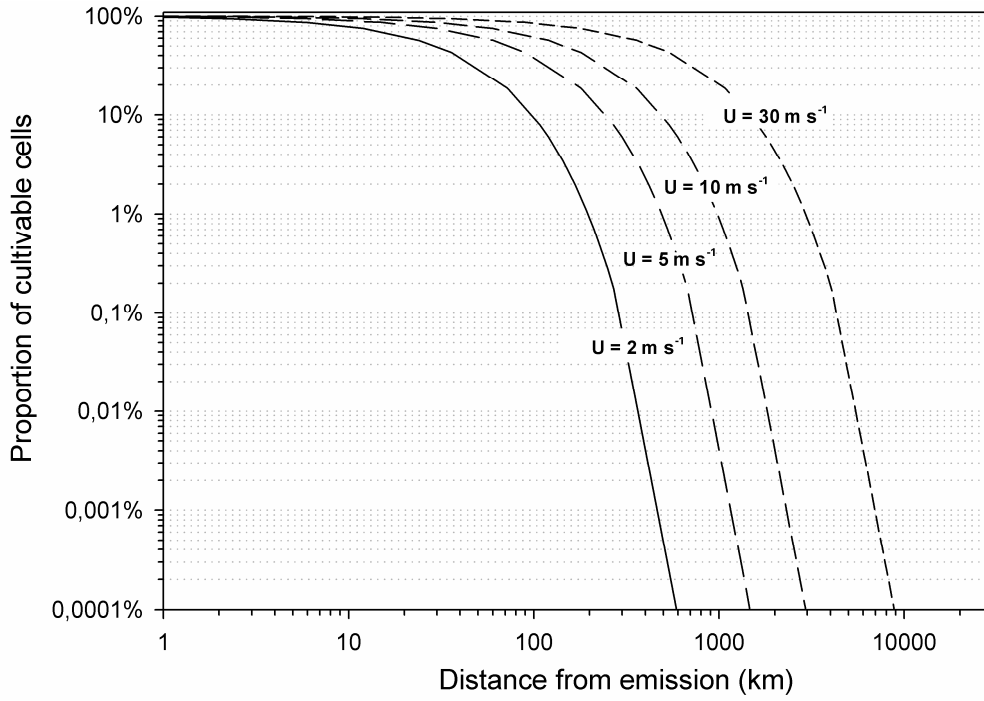
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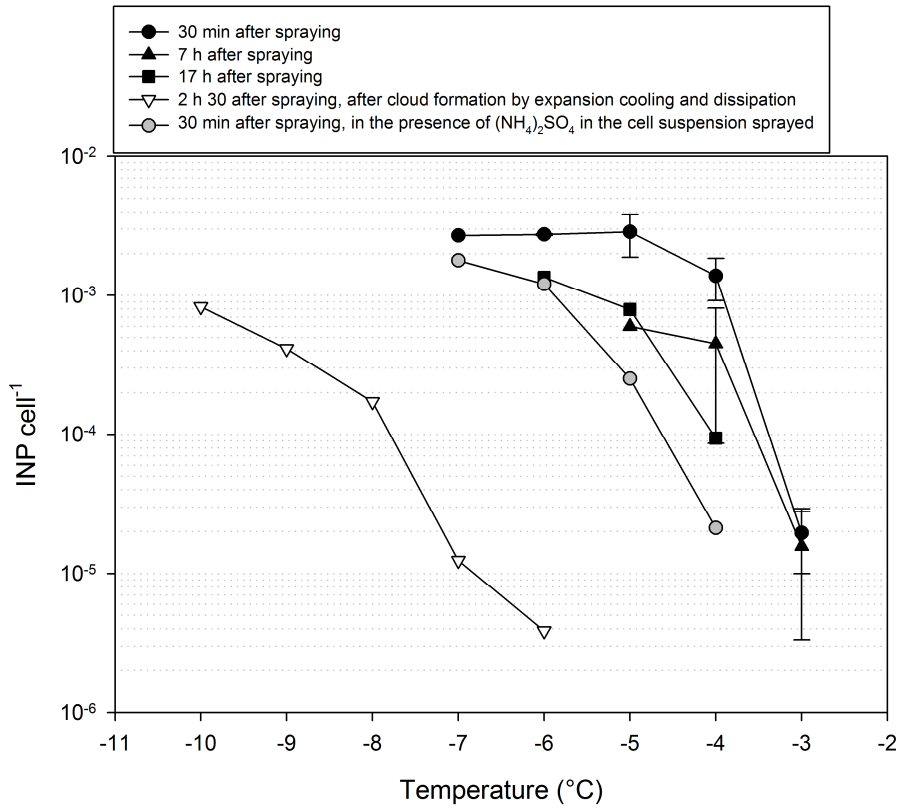
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748 **Figure 3**



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750 **Figure 4**



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