



On the competition among aerosol number, size and composition in CCN variability

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On the competition among aerosol number, size and composition in predicting CCN variability: a multi-annual field study in an urbanized desert

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Abstract

A two-year dataset of measured CCN concentrations at 0.2 % supersaturation is combined with aerosol size distribution and aerosol chemistry data to probe the effects of aerosol number concentrations, size distribution and composition on CCN patterns.

Data have been collected over a period of two years (2012–2014) in central Tucson, Arizona: a significant urban area surrounded by a sparsely populated desert. Average CCN concentrations are typically lowest in spring (233 cm^{-3}), highest in winter (430 cm^{-3}) and have a secondary peak during the North American Monsoon season (July to September; 372 cm^{-3}). There is significant variability outside of seasonal patterns with extreme concentrations (1 and 99 % levels) ranging from 56 to 1945 cm^{-3} as measured during the winter, the season with highest variability.

Modeled CCN concentrations based on fixed chemical composition achieve better closure in winter, with size and number alone able to predict 82 % of the variance in CCN concentration. Changes in aerosol chemistry are typically aligned with changes in size and aerosol number, such that composition can be parameterized even though it is still variable. In summer, models based on fixed chemical composition explain at best only 41 % (pre-monsoon) and 36 % (monsoon) of the variance. This is attributed to the effects of secondary organic aerosol (SOA) production, the competition between new particle formation and condensational growth, and the complex interaction of meteorology, regional and local emissions, and multi-phase chemistry during the North American Monsoon. Chemical composition is found to be an important factor for improving predictability in spring and on longer timescales in winter.

Regimes where parameterized models exhibit improved predictive skill are typically explained by strong relationships between CCN concentrations and the prevailing meteorology and dominant aerosol chemistry mechanisms suggesting that similar findings could be possible in other locations with comparable climates and geography.

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1 Introduction

The influence of atmospheric aerosol particles on cloud properties and the consequential changes in radiative forcing carry the largest source of uncertainty in climate change prediction (IPCC, 2013). Cloud condensation nuclei (CCN) are the subset of aerosol particles that activate into droplets at a given supersaturation and their concentration therefore governs the microphysical and optical properties of clouds (Twomey, 1977; Albrecht, 1989). The global spatial and temporal variability of CCN concentrations consequently hold significant weight in predicting the droplet distribution in clouds and the ensuing microphysical and radiative properties (McFiggans et al., 2006; Andreae and Rosenfeld, 2008). Ultimately, CCN have been found to be a major factor in modulating cloud dynamics in both clean and polluted environments, with direct consequences on the hydrological cycle (Andreae et al., 2004; Altaratz et al., 2008; Stevens and Feingold, 2009).

While laboratory experiments involving the activation of single salt species (e.g. ammonium sulfate) or simple mixtures of organic compounds have offered satisfactory experimental validation (e.g. Brechtel and Kreidenweis, 2000) of the original underlying physical theory of droplet activation (Köhler, 1936), the extension to ambient atmospheric aerosol has proven more elusive (Covert et al., 1998; Chuang et al., 2000; Roberts et al., 2002; McFiggans et al., 2006; Ervens et al., 2010). Recent field studies (e.g., Broekhuizen et al., 2006; Dusek et al., 2006; Ervens et al., 2007, 2010; Hudson, 2007; Cubison et al., 2008; Quinn et al., 2008; Burkart et al., 2011), spanning a range of aerosol scenarios, have not yet provided a comprehensive agreement on the relative importance of factors which affect CCN and the cloud droplet distribution, namely the following: the aerosol number, size distribution, composition and supersaturation (Lance et al., 2004; Rissman et al., 2004; McFiggans et al., 2006; Andreae and Rosenfeld, 2008; Partridge et al., 2012).

During cloud formation, the supersaturation is driven by a combination of the aerosol related properties and dynamics (i.e., the updraft velocity) and therefore a complete de-

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scription of the cloud system involves a two-way coupling of aerosol microphysics with circulation dynamics (Feingold, 2003). Modeling studies have shown that typically the supersaturation adjusts to large changes in aerosol properties (i.e., number, size and composition) to dampen the resulting variability observed in cloud droplet number concentration (Feingold, 2003); however, it has also been found that the distribution of CCN can have a significant impact on the cloud microphysics by affecting the droplet distribution (Feingold et al., 1999; McFiggans et al., 2006). The dynamics of initial droplet growth are affected by CCN properties (Feingold and Chuang, 2002; Raymond and Pandis, 2002, 2003; Chuang, 2003) and interstitial gas chemistry (Nenes et al., 2002; Lim et al., 2005) affecting gas-particle partitioning through cloud processing.

Excluding the environmental factors that regulate supersaturation and droplet growth kinetics, and focusing only on aerosol related properties, which drive the initial activation, yields important information relating to the physical and chemical processes. CCN closure studies typically attempt to model the CCN concentration from measured aerosol number, size and composition and then compare the modeled CCN to direct measurements under a controlled set of supersaturated conditions (e.g., Dusek et al., 2006; Ervens et al., 2007, 2010; Cubison et al., 2008; Bougiatioti et al., 2009; Lance et al., 2009; Jurányi et al., 2011; Martin et al., 2011; Levin et al., 2012; Moore et al., 2012; Latham et al., 2013; Wu et al., 2013; Almeida et al., 2014). The respective importance of composition and size distribution on CCN activation remains an outstanding question. Closure studies have generally been successful for background and remote sites (e.g., Jurányi et al., 2010), but less so in urban areas (e.g., Burkart et al., 2012). The complexity of the aerosol composition and variability in the aerosol mixing state are often the explanation for unsatisfactory closure (Cubison et al., 2008; Ervens et al., 2010). The single hygroscopicity parameter κ -Köhler Theory (Petters and Kreidenweis, 2007, 2008) is based on a volume weighted mixing rule to describe the solute effect of an internally mixed aerosol population; however, this may not be suitable for particles with complex morphology (e.g., Dusek et al., 2011; Hersey et al., 2013).

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Physical aging processes such as coagulation and condensational growth impact CCN concentrations by changing the size distribution of aerosol particles in the range critical to droplet activation, which, in combination with chemical processing, shifts the aerosol population towards a more uniform mixing state when compared to fresh emissions (Covert and Heintzenberg, 1993; Weingartner et al., 2002; Ervens et al., 2007; Andreae and Rosenfeld, 2008). While condensational growth processes increase CCN concentration by growing ultrafine particles into the critical range for droplet activation, coagulation may result in either increasing or decreasing CCN concentration since increased size comes at the expense of aerosol number (Riipinen et al., 2011). Uncertainties in nucleation rates and primary emissions have been shown to have significant impacts on global estimates of CCN concentration (Pierce and Adams, 2009).

With regard to chemical factors, one of the largest uncertainties in predicting CCN concentration is the nature of the organic fraction of aerosol, which typically comprises many hundreds of compounds with a wide range of chemical structures, affecting solubility, volatility and water uptake (Saxena and Hildemann, 1996; Marcolli et al., 2004; Kanakidou et al., 2005). Furthermore, the existence of low-solubility organic species can, in parallel, cause surface tension depression (the surfactant effect) which enhances droplet activation more than their low solubility would suggest (Shulman et al., 1996; Facchini et al., 2000; Raymond and Pandis, 2002; Hartz et al., 2006; Moore et al., 2008). The photochemically driven oxidation of organic species in the particle phase (Rudich, 2003; Molina et al., 2004; Kanakidou et al., 2005), oligomerization of unsaturated hydrocarbons and carbonyls (Gao et al., 2004; Kalberer et al., 2004) and the partitioning of semi-volatile species from the gas phase (Pankow, 1994a, b; Seinfeld and Pankow, 2003) leads to changes in aerosol properties over timescales from hours to days and may enhance or suppress hygroscopicity (Petters et al., 2006; Michaud et al., 2009). Organics can also cause liquid phase separation with the formation of hydrophobic organic film coatings (Gill et al., 1983; Gilman et al., 2004) or metal-organic interactions which form hard shell insoluble coatings (Drozd et al., 2014), both of which would tend to oppose the surfactant effect, in terms of droplet activation.

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The degree to which compositional and mixing state variation affects the hygroscopic properties of an aerosol population in polluted air masses seems to be strongly dependent on location and proximity to emissions (Covert and Heintzenberg, 1993; Cubison et al., 2008; Ervens et al., 2010). The study of CCN activation within an urban environment offers unique opportunities to address the challenges associated with the inhomogeneity of sources and aerosol aging, which gives rise to difficulties in predicting water uptake behavior. Field studies purporting to quantify the influences of aerosol number, size and compositional factors on CCN activity are often carried out over a limited, but intense, period and hence offer a worthy characterization of the duration of the study but perhaps lack climatological context, even related to sub-seasonal variability. We present long-term measurements of bulk CCN and submicron size distributions taken jointly over multiple years in an under-studied type of environment, specifically a populated desert.

The present study takes place at a rooftop location in central Tucson. Tucson, Arizona is located in the heart of the Sonoran Desert in the semi-arid southwestern United States. This location offers some unique opportunities for the study of CCN activation primarily since there have been comparatively fewer documented measurements of CCN in arid regions. In addition, southern Arizona is situated in the region affected by the North American Monsoon (NAM) and as a result the highest monthly rainfall occurs during July and August and is accompanied by a strong influx of tropical moisture. The onset of the NAM in late June or early July leads to a rapid change from very hot and dry pre-monsoon conditions to the humid conditions associated with the monsoon and leads to changes in the aerosol properties (Sorooshian et al., 2011; Youn et al., 2013). Aside from the NAM, southern Arizona is situated in a relatively stable synoptic weather pattern, which gives rise to generally clear skies and light surface winds. The strong insolation produces a deep convective boundary layer in the afternoon and clear conditions lead to significant nocturnal cooling which together produce a significant but predictable diurnal cycle in temperature, humidity and convective boundary layer mixing.

measured hourly organic carbon (OC) and elemental carbon (EC) concentrations in $PM_{2.5}$. Limits of detection were 0.2 and $1. \mu\text{g m}^{-3}$ for EC and OC, respectively. Water-soluble organic carbon (WSOC) was measured in $PM_{2.5}$ using a particle-into-liquid sampler (PILS, Brechtel Manufacturing Inc.) coupled to a total organic carbon analyzer (TOC; Sievers Model 800) (Sullivan et al., 2006; Duong et al., 2011; Wonaschütz et al., 2011). The overall measurement uncertainty associated with the reported WSOC concentrations is estimated to be approximately 10 % with a limit of detection of $0.1 \mu\text{g m}^{-3}$.

2.3 Local meteorology

Collocated measurements of basic meteorological variables (including temperature, pressure, humidity, wind speed, wind direction and rainfall) were obtained at 5 s time resolution and archived as 1 min and hourly averages. In addition, 1 min direct normal irradiance (DNI) was obtained from the NREL Observed Atmospheric and Solar Information System (OASIS; http://www.nrel.gov/midc/ua_oasis/) site on an adjacent building on the university campus. SuomiNet GPS derived precipitable water vapor (PW) (Ware et al., 2000) data were obtained from the University of Arizona SA46 site (32.2298°N , 110.9539°W , 762 m.a.s.l.) resolved to 30 min mean estimates. Finally, radiosonde data from the nearby National Weather Service were obtained from twice-daily balloon launches at 4 a.m. and 4 p.m. LT.

2.4 EPA IMPROVE

$PM_{2.5}$ aerosol composition measurements were obtained from two sites in the Inter-agency Monitoring of Protected Visual Environments (IMPROVE) network of filter samples (Malm et al., 1994). The Saguaro National Monument site (32.1742°N , 110.7372°W , 933 m.a.s.l.) is located within the foothills of the Rincon Mountains at the eastern extent of the Tucson metropolitan area and approximately 21 km east of TACO. The Saguaro West site (32.2486°N , 111.2178°W , 718 m.a.s.l.) is located on the western side of the topographically less prominent Tucson Mountains approximately

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25 km west of TACO. 24 h filter samples are collected at each site every three days. Data were obtained to coincide with as much of the study period as possible and were available up to December 2013 at the time of writing. Filter samples were analyzed for ions, metal and non-metal elements, and carbon (elemental and organic).

5 Details on the extraction and analysis methodology are provided extensively elsewhere (http://vista.cira.colostate.edu/improve/Publications/IMPROVE_SOPs.htm). In addition to direct measurement, the IMPROVE network reports empirically derived concentrations relevant to atmospheric aerosol including fine soil, sea salt, ammonium sulfate and ammonium nitrate (Malm et al., 1994).

10 2.5 Data organization and quality control

All TACO data (CCN, SMPS, OC/EC and meteorology) are time synchronized and archived as averages at hourly increments. Sub-hourly variability in both the CCN concentration and the aerosol size distribution is highly influenced by localized intermittent sources, atmospheric turbulence and measurement related lags and noise. Since many of the metrics used in the interpretation of CCN variability involve ratios (or other non-linear functions) combining CCN and SMPS data, pre-filtering data to 1 h reduces extraneous influences caused by sub-hourly covariance. All meteorological fields (except PW and radiosonde data) were additionally archived at 1 min resolution. SMPS data from May and June 2013 are removed owing to sub-optimal data quality resulting from an instrument malfunction.

3 Climatological results

3.1 Monthly and seasonal statistics

Monthly statistics of CN and CCN concentrations (henceforth referred to as CN and CCN) illustrate different trends as CN reveals a more stable annual cycle with minor reduction towards a minimum in June (Fig. 1). CCN is more variable annually, and

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from windblown dust which occurs mainly in the spring and pre-monsoon seasons, and from the increase in sulfate during the pre-monsoon and monsoon (Sorooshian et al., 2013). Regional wildfire emissions are also most significant during pre-monsoon (Sorooshian et al., 2013). While dust particles may themselves act as CCN, they can also enhance the removal of CN and CCN by coalescence, while contributions from regional wildfire smoke may periodically enhance CN and CCN concentrations. Nitrate is more abundant in winter ($\sim 14\%$) compared to other seasons and may be a factor in the observed winter maximum in CCN concentrations. Sea salt contributes a modest fraction ($\sim 4.5\%$) of pre-monsoon aerosol when mid-tropospheric air originates mainly from the sub-tropical Pacific. The sum of the constituents presented in Fig. 2 constitute between 93 and 101 % of the seasonal average $PM_{2.5}$ as reported by gravimetric analysis.

The strong influence of urban sources on the fine mode carbonaceous aerosol in central Tucson is demonstrated by the elevated seasonal mean OC and EC mass concentrations at TACO vs. the IMPROVE data (Table 2). This result is consistent with comparisons made by Sorooshian et al. (2011) for urban and rural sites in Arizona, which showed that carbonaceous mass concentrations varied strongly between urban and rural sites, whereas sulfate was more regionally homogenous.

3.2 Diurnal and weekly cycles

The diurnal cycle of CN illustrates a clear pattern involving a complex interaction of sources and sinks (Fig. 3a). During weekdays, early mornings (7 a.m. to 9 a.m.) are characterized by traffic emissions, which increase the CN and EC concentrations (Fig. 3d) indicative of fresh fossil combustion aerosol. Mean CN concentrations at 8 a.m. on weekdays (7925 cm^{-3}) are more than 160 % of the equivalent weekend concentrations (4887 cm^{-3}). During the late morning, the convective boundary layer develops and dilutes the surface layer with relatively clean air from the free troposphere and/or residual layer leading to a marked drop in EC, OC (Fig. 3d) and CN. Through the middle of the day, the convective boundary layer is still growing; however, a subtle

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reduction in the rate of decrease in CN (Noon to 2 p.m.) is suggestive of nucleation and growth of new particles which contribute as a source of CN. This is supported by the following: (i) concurrent enhancement in WSOC : OC ratios (Fig. 4c), which can be used as a proxy for secondary organic aerosol (SOA) away from biomass burning sources (Miyazaki et al., 2006; Kondo et al., 2007; Weber et al., 2007), (ii) increasing OC : EC ratios (Fig. 4c); and (iii) a second dip in the mean aerosol diameter (Fig. 4b). The latter two results are particularly clear on weekends when the morning traffic signature is suppressed.

By mid-afternoon (2 p.m. to 4 p.m.), the convective boundary layer reaches its peak depth and photochemical processes begin to slow down, leaving transport (vertical and horizontal) and coagulation as the dominant mechanisms, producing a net reduction in CN concentrations (Fig. 3a) and increase in mean diameter (Fig. 4b) while integrated aerosol volume concentration (used as a proxy for relative trends in PM_{10}) remains flat (Fig. 4b). By late afternoon (4 p.m. to 6 p.m.) the convective boundary layer decouples from the surface and aerosol number and mass concentrations build again in the surface layer due to the evening peak in traffic emissions, with accompanying increases in EC and OC and reductions in mean diameter. During this time, secondary aerosol processes may still be influential once the boundary layer is decoupled, since residual ozone concentrations near the surface may still be sufficient to drive SOA production in the now thin surface layer.

The annualized diurnal cycle of CCN (Fig. 3b) is less pronounced than that of CN mainly since CCN are typically unaffected by contributions from ultrafine particles with diameters less than 50 nm, which are highly variable. There is an increase in CCN during the evening, reaching a daily maximum at 10 p.m. and, interestingly, concentrations on weekends (429 cm^{-3}) are higher than on weekdays (380 cm^{-3}). There is a large range of CCN variability observed within each hour when compared to the hourly composite mean trend which is partially explained by the seasonal differences in the CCN diurnal cycle (Fig. 3c). During winter, there is a significant diurnal cycle in CCN, while in other seasons the diurnal pattern is relatively flat. Due to reduced winter temper-

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atures, semi-volatile organics are more likely to partition to the particle phase, which may incrementally shift the size distribution of freshly emitted particles associated with morning traffic towards larger sizes. In addition, nitrate also forms a larger component of the regional aerosol than in other seasons, which helps to increase the hygroscopicity and to reduce the diameter required for droplet activation. Both factors likely work in tandem with the diurnal emissions cycle, which results in a CCN pattern which more closely follows CN than other seasons. The other notable feature is that the peak CCN concentration occurs during the night in winter while it occurs during the afternoon in summer. In addition to partitioning of semi-volatiles, emissions from domestic wood burning are another potential contributor to CCN in the winter, while in summer it is likely SOA production, driven by photochemistry and moisture during the day (Youn et al., 2013).

A bulk hygroscopicity parameter (κ) is derived using the method of Petters and Kreidenweis (2007) and by assuming total activation above a critical activation diameter, such that the CCN concentration exactly matches the concentration of particles exceeding this critical diameter (Furutani et al., 2008; Burkart et al., 2011; Wonaschütz et al., 2013). Hygroscopicity decreases concurrently with the morning traffic signature (Fig. 4a) and then rebounds through the day to produce a peak between 2 p.m. and 4 p.m. matching expectations of organic aging and condensational growth by photochemically oxidized organics and sulfate. As expected, the morning minimum is less extreme on weekends (0.15) compared to weekdays (0.10) due to reduced traffic and this trend remains through the day with weekend maxima (0.21) exceeding weekday values (0.19). During the evening and night, the offset is far smaller (~ 0.005). The κ parameter tracks the diurnal pattern of activation ratio (Fig. 4a), defined as the ratio of CCN to CN, which on first glance, together with the rather modest changes in mean aerosol diameter (Fig. 4b), would indicate that chemical composition is driving the CCN variability at least on diurnal scales. However, two corollaries should be highlighted: (a) the mean aerosol diameter is a rather simplistic representation of changes in the size distribution, and (b) as mentioned earlier, the majority of the CCN variability

is not described by composite mean hourly trends, at least in an annual sense, and thus, as will be examined in the forthcoming section, a more rigorous treatment of the size distribution is needed to better explain overall CCN variability.

4 Size distribution

Several studies (e.g., Conant et al., 2004; Dusek et al., 2006; Ervens et al., 2007) have suggested that the size distribution alone can explain CCN variability, however there are other examples (e.g., Hudson, 2007; Burkart et al., 2011), which refute this particularly in cases where the aerosol is externally mixed. If the physical and chemical processes which govern size and composition changes are intrinsically tied to a single governing mechanism, a parameterization involving one component may suitably capture the variability in the other, at least when considering a fixed supersaturation. Furu-tani et al. (2008) reported the activation diameter to be well correlated with activation ratio during a ship-borne study in the eastern North Pacific, suggesting compositional changes as a result of aging (where size also increases) to be the major driver for CCN variability. In contrast, Burkart et al. (2011) examined the same relationship but found poor correlation between activation ratio and activation diameter in Vienna, Austria, suggesting a more complex relationship between size and composition.

The shape of the size distribution can be used to interpret physical processes (e.g., condensation, evaporation, nucleation, coagulation), while relative changes in CN concentration, combined with changes in shape, offer insight into atmospheric processes (e.g., advection and diffusion) and emissions. The well-established “K-means” clustering algorithm (Hartigan and Wong, 1979; Lloyd, 1982) was used here as a statistical tool to group size distributions by shape. The method was implemented with four clusters and the resulting four cluster centroids denoted archetypal size distribution shapes (Fig. 5), to which the observations were assigned, according to their degree of association. Cluster associations were “fuzzy”, and therefore an observation could be partially assigned to multiple clusters to reflect the continuity of transitions between clusters in

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the dataset. A full description of the clustering method and the method by which associations are made is provided in the Supplement. The mean diurnal cycle of cluster associations (Fig. 5) and their mean properties (Table 3) provide a physical description of the clusters and are hereafter given the following identifiers, which are indicative of the physical process or “regime” that is suggested by the cluster properties: nucleation (N), fresh fossil (FF), winter/nocturnal (WN), and coagulation/condensation (CC).

Winter (W) and summer (PM and M) exhibit substantially different patterns in cluster associations on diurnal scales, while the transition seasons (S and F) contain features of both winter and summer and are therefore more mixed in terms of the driving mechanisms. During winter (W), large swings in the size distribution shape are uncommon; however, with activation at 0.2% supersaturation occurring at diameters as low as 100 nm, the growth that accompanies a shift from FF to WN is sufficient to significantly increase the activation ratio. Unlike other seasons, it is likely that the main driver for size distribution changes occurring during winter is the equilibrium partitioning of semi-volatile species between gas and particle phase (e.g., nitrate). An additional contributor may result from the offset in emissions patterns between traffic (day) and domestic wood burning (night). Anomalously colder or more humid conditions tend to result in larger and more hygroscopic particle distributions and are typically also associated with more stable near-surface conditions leading to suppressed mixing and higher aerosol loading as seen in the WN CN, EC and OC concentrations (Table 3). In the extreme, the infrequent winter occurrence of the CC cluster is merely an extension of this trend occurring during the coldest winter nights where average hygroscopicity reaches $\kappa = 0.23$ and average CCN concentrations are 811 cm^{-3} . The fact that number, size and hygroscopicity tend to act in association is perhaps the reason why CCN variability is highest in winter on both synoptic and diurnal scales.

Conversely, in summer (PM and M) the shape of the size distribution is very variable and exhibits large swings between N and CC clusters (Fig. 5). After primary emissions associated with the morning traffic peak (FF cluster) have been diluted through boundary layer mixing, competition between the N and CC cluster takes over. Unlike

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winter, there is no monotonic relationship between meteorology and size. Instead, hotter conditions with higher solar exposure tend to bifurcate the size distribution more between N and CC clusters with cooler and cloudy conditions favoring the retention of the intermediate FF or WN clusters. This suggests that the N and CC clusters are partially driven by photochemically produced secondary aerosol. Higher temperature and stronger direct normal irradiance (DNI) are likely coupled with higher hydroxyl concentrations, and ozone concentrations are typically 30–40 % higher for N and CC clusters (Table 3), which accelerates the production of reduced volatility oxidized organic vapors from precursor volatile organic compounds (VOCs). The partitioning of these vapors between condensation on existing particles and nucleation of new particles is likely a function of the aerosol surface area and the production rate of the low-volatility organics. Anomalously dry conditions are a feature of the N cluster, suggestive of reduced aerosol water reducing the available surface area. Another possible mechanism affecting the N cluster during the summer (PM and M) is the evaporation, or lack of condensation, of semi-volatile organic compounds associated with traffic emissions (Robinson et al., 2007) such that the FF cluster takes on some of the features of the N cluster. This mechanism would be supported by the anomalous contribution of EC to the N cluster during the PM and M seasons. Further analysis of the aerosol and gas phase composition is needed, before and during the monsoon, in order to fully understand the balance of regional and local processes in driving the preference of N and CC clusters.

Tucson often is under the influence of very light mean surface winds and so during the day, the predominant mechanism for ventilation of urban aerosol is through vertical mixing of the convective boundary layer, which is supported by measurements at a nearby mountain site (Shaw, 2007). Furthermore, the climatological mesoscale surface wind pattern, particularly in summer, is light southeasterly winds during the night and morning, followed by northwesterlies in the afternoon and evening, induced by regional topography (Philippin and Betterton, 1997). It is therefore possible for urban aerosol particles and precursor gases to be recycled over the site during the course of

the day, through both these mechanisms. Processes which control the cluster associations may be also dependent on regional (e.g., nucleation of biogenic SOA) as well as local effects (e.g., recycling of urban emissions), which happened at an earlier time. The complex influences of this “memory effect”, together with the interaction of meteorology and emissions may be one of the contributing factors which cause evening and overnight CCN concentrations to be higher on weekends (Fig. 3b).

5 CCN closure

Studies aimed at achieving a predictive model of CCN concentrations from measured number, size and composition (i.e., CCN closure) have shown mixed ability to predict CCN concentrations across a range of aerosol scenarios. To examine these dependencies, in the context of the present study, we consider the effect that simplifying assumptions have on the ability to predict CCN. Traditionally, closure studies aim to predict the hygroscopic properties from measured composition or sub-saturated growth factors, which are then combined with size distribution measurements to predict CCN (e.g., Ervens et al., 2010). With this method the inter-comparison of various scenarios, and the resulting degree to which CCN concentrations are predicted, is affected by both the model assumptions and the accuracy by which aerosol physicochemical properties are measured. Our focus here is to study the degree of CCN variability explained by incremental simplifications in a predictive model considered across a range of timescales. Forthcoming work will separately study the degree of correspondence of hygroscopicity between the sub- and supersaturated regimes.

Seven, highly simplified, predictive models are used to estimate CCN over the entire study period: (i) constant CCN (baseline), (ii) constant activation ratio (assesses the effect of number only), (iii) constant hygroscopicity (effect of number and size distribution), (iv) constant size distribution (effect of number and hygroscopicity), (v) measured number with size distribution shape and hygroscopicity derived from cluster associations, (vi) measured size and number with cluster derived hygroscopicity, and (vii) all

parameters (a reconstruction, for reference only). The inclusion of models (v) and (vi) assesses whether the predictive skill can be improved by the use of a reduced order representation of the size distribution and hygroscopicity parameter (κ). Models (v) and (vi) can be considered an incremental refinement to models (ii) and (iii) where the assumption is that there is prior knowledge of expected cluster properties and associations.

Predicted CCN concentrations are compared to those measured and two performance metrics are evaluated: (i) “percentage variance explained” (VE) metric, which is the variance of the measured CCN explained by the model as determined by mean square residuals; and (ii) a “normalized mean error” (NME) metric, defined as the root-mean-square residual between modeled and measured CCN concentrations expressed as a percentage of the mean measured CCN concentration for the epoch. While both these metrics are connected, the VE is a better descriptor of how well the model represents the process whereas the NME puts the model in the context of overall predictability. Models are first tested using (i) the cumulative dataset and (ii) for the five predefined seasons with model parameters set using seasonal best-fit values. The models (except (v) and (vi)) are then tested, using the same methodology, on data that have been filtered using a 24 h running average and seven day average, with the underlying motivation to determine if environmental factors which control CCN predictability differ between diurnally and synoptically driven timescales.

The results (Table 4) show that when all seasons are considered, a constant hygroscopicity assumption explains more of the measured variance ($\sim 63\%$ VE) than a constant size distribution ($\sim 44\%$ VE) suggesting that overall the size distribution is generally a more important driver for CCN variability than composition. However, the goodness-of-fit (VE) is far lower than that presented by Dusek et al. (2006) and is probably associated with the complexity of the aerosol mixing state and spatiotemporal variability in composition, due to the proximity of the TACO site to fresh emission sources as compared to the Dusek et al. (2006) study site. In the daily and weekly filtered cases, the relative balance between size and composition is also similar. Using

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the submicron number concentration as a predictive model for CCN (i.e., a constant activation ratio assumption) performs poorly in all annual cases (and all seasonal cases except winter) since it is strongly affected by variability in nucleation and small Aitken mode particles from fresh emissions that do not contribute to CCN at the supersaturation levels considered here.

Compared to other seasons, the simplified predictive models perform the best in winter in terms of VE, however, this season also has far higher variability in CCN than any other season across the three timescales considered. Winter is also the only season where a constant activation ratio assumption offers any skill in CCN predictability suggesting that the modulation of CCN is more tied to bulk aerosol sources and sinks than compositional or size dependent changes or that these processes are strongly interlinked. Winter aerosol is mainly controlled by an interplay of urban emissions balanced by transport and mixing such that there is a strong correlation between the diurnal cycle of CN and EC, which serves as a combustion tracer. Strong nocturnal surface inversions, in conjunction with a lack of surface wind induced mixing, trap urban emissions close to the surface before the convective boundary layer develops, which happens later in the day than other seasons. Intermittent synoptic scale influences, such as frontal passages, affect aerosol sinks directly through wet scavenging, although this effect is presumably much weaker than less arid regions, and drive regional transport in the lower troposphere, which ventilates the urban plume. Synoptic systems affect column stability, which indirectly affects aerosol loading by regulating the extent of diurnally driven vertical mixing. Chemical aging processes and photochemically driven secondary aerosol formation are suppressed in winter compared to other seasons simplifying the diurnal changes in hygroscopicity and size distribution, although size and hygroscopicity appear to be tied to the diurnal cycle through temperature changes. Both size (constant κ , Model (iii)) and hygroscopicity (constant size distribution, Model (iv)) simplified models explain 82 and 73 % of the CCN variance, respectively, reiterating that size and hygroscopicity changes are strongly coupled. The weekly filtered data indicate that hygroscopicity becomes marginally more influential than size changes over

longer timescales and is perhaps a consequence of regional sources associated with long-range transport competing with local emissions.

Regional scale transport is also an important feature of spring, which is a transition season where mid-latitude meteorology still affects the region, boundary layer mixing becomes more vigorous and surface winds are strongest on average. Dust loading is highest and temperature changes on diurnal and synoptic scales are also greatest which affects the partitioning of semi-volatile species (e.g., nitrate). The complex mixing state and highly variable aerosol chemistry makes CCN prediction difficult as reflected in the poor performance of the simplified models. The modeled predictability indicates that composition is far more important than size during spring and in fact the daily-filtered data suggests that using the size distribution (Model (iii)) to predict CCN is worse than assuming a constant seasonal average concentration, indicative of complex aerosol mixing states, morphology and scale-dependent mechanisms.

The pre-monsoon summer reveals a steady improvement in the model performance towards longer timescales (i.e., weekly) and the increasing relative importance of hygroscopicity. Intense solar radiation during this season increases the importance of VOC and SO₂ chemistry to form secondary aerosol species. Aerosol number may be strongly influenced by nucleation and therefore knowledge of the size distribution becomes essential on sub-diurnal scales. Over longer timescales all simplified approximations become reasonable suggesting a more stable meteorological pattern, which is typical of this season: as the jet migrates northward, synoptic steering becomes lighter and the circulation pattern becomes more driven by mesoscale circulations. The increased importance of hygroscopicity on timescales longer than a week is perhaps indicative of the influence of wildfire smoke and intermittent regional dust transport which periodically affect southern Arizona during this season.

The monsoon season exhibits the poorest performance of the simplified models out of all seasons, which is perhaps expected given the very complex meteorological pattern and the interplay between secondary aerosol processes at the regional (e.g., biogenic SOA and sulfate) and local scale (e.g., urban SOA). Knowledge of the size

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distribution is essential since it is highly variable across all scales driven by both meteorological influences, in the form of monsoon thunderstorms, and secondary aerosol processes. Even considering size variability alone does not yield very satisfactory results implying that aerosol chemistry is very closely tied to changes in size distribution during the monsoon season. However, CCN variability is also lowest of all seasons, while the mean CCN concentration is relatively high implying partial cancellation in the effects caused by changes in size, number and composition. The consequence is that the NME metric is actually lowest in monsoon when a constant hygroscopicity model is used, which is the opposite of the situation during winter. Fall shows the opposite pattern to spring and pre-monsoon in that hygroscopicity has decreasing influence over longer timescales, and for the weekly filtered case, the constant hygroscopicity model provides a very satisfactory model of CCN variability.

The inclusion of the cluster associations to estimate κ (Model vi) provides an incremental improvement in the predictive skill (+3 to +15 % additional %VE) when compared to a seasonally constant κ (Model iii), with the exception of the pre-monsoon summer season, where a reduction in %VE was observed ($\sim -7\%$). Annually, the increase was approximately +5 % on %VE. The comparison between the cluster-derived activation ratio (Model v) and a constant activation ratio (Model ii) was far more significant with an annual increase of +59 % on %VE suggesting that a low-order representation of the size distribution shape, where other data is unavailable (e.g., from remote sensing methods), may offer a worthwhile improvement to the estimation of CCN concentration.

6 Conclusions

This study investigates the respective importance of aerosol number concentration, size distribution and composition in driving CCN variability in Tucson, Arizona. In doing so, a long-term characterization of the seasonal, weekly and diurnal patterns in aerosol number concentration, size distribution and selected particle chemistry has

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been achieved. Seasonally, the average CN concentration exhibits a moderate trend towards a minimum during summer, while CCN concentrations exhibit significant winter and summer peaks. Weekday and weekend CN concentrations track the respective diurnal weekday and weekend EC and OC mass concentrations, indicating a strong influence of local combustion aerosol, predominantly from vehicle emissions but also, in winter, from domestic biomass burning. Activation ratio and hygroscopicity, as estimated by κ , track the morning peak in fossil fuel emissions, by concurrently showing a marked reduction, particularly on weekdays. This helps to support the notion that CCN concentrations are not significantly enhanced by fresh fossil emissions. The effects of local emissions are typically offset by those of boundary layer mixing; however, during the warmer and more photochemically active seasons, secondary aerosol processes become more influential.

During winter, the interplay between chemistry and dynamics is such that increasing size is accompanied by increasing hygroscopicity. This occurs most commonly at night and during anomalously cold periods, when boundary layer mixing is suppressed and aerosol loading is high, thus increasing CCN concentrations. Conversely, during the day and particularly during anomalously warm and dry periods, there is sufficient convective mixing to dilute the aerosol, evaporate hygroscopic semi-volatile species and generally promote the abundance of smaller particles, reducing CCN concentrations. The combined result of these effects is to increase the variability in CCN, since each of these contributing factors act together to enhance or suppress CCN concentrations. The added consequence is that simplified models offer substantial predictive skill for CCN variability even though the observed changes in the size distribution are relatively subtle.

The summer is divided by the arrival of the North American Monsoon (July–September), which rapidly increases the abundance of moisture compared to the very hot and dry months that precede it (May–June). Secondary production of sulfate and organics becomes more influential during both summer seasons, and photochemically produced aerosol appears to be the mechanism responsible for an afternoon maxi-

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mum in CCN concentration, compared to a nocturnal maximum in winter. The diurnal cycle of the boundary layer follows a similar pattern to other seasons, except that mixing heights are generally higher and nocturnal surface inversions are less pronounced, especially during the monsoon. While CN concentrations drop off during the day similar to other seasons, CCN concentrations remain relatively more stable indicating that condensed SOA and sulfate play a significant role in offsetting the loss in CCN caused by dilution.

Another important feature of the summer is the bifurcation in the size distribution shape, where the pattern swings back and forth from (i) an abundance of ultrafine particles that are potentially tied to a nucleation event to (ii) a deficiency of Aitken mode particles, and a growth in the number of particles larger than 100 nm that are more in line with a background aerosol population. While the meteorological conditions favoring both regimes are similar and likely explained by SOA and sulfate production, the mechanisms responsible for the bifurcation are still unclear. Possible mechanisms include aerosol water uptake, leading to increased aerosol surface area for condensation, which is supported by lower humidity on days when ultrafine particles are present, particularly before the monsoon. During the monsoon, regional biogenic SOA produced as a result of increased vegetation may explain the periodic import of small SOA particles into the urban plume. Finally, the role of the monsoon thunderstorms may also be responsible for erratic changes to the size distribution simply through the sporadic disruption of the local and regional circulation pattern.

The sensitivities of CCN concentration to changes in aerosol number, size and composition can be well represented in a theoretical framework as described by Köhler Theory and its various refinements. However, the extent to which these driving components vary, and the mechanisms through which they interact, is the primary limitation in consolidating parametric representations suitable for predictive models. Achieving satisfactory CCN closure using measurements of aerosol chemistry and size has generally been most successful with background aerosol where substantial changes in composition are dampened by aging processes. However, the results of this study sug-

gest that in certain regimes (e.g., during winter), where composition, size and number concentration have a more deterministic relationship, there are still opportunities for parametric simplifications to be successful even when aerosol chemistry is relatively complex. Since the relationship can be explained by somewhat broad environmental mechanisms not entirely specific to Tucson, similar conclusions can be drawn for other urban areas with comparable geographical and climatological settings.

Future work will focus on the predictability of κ using measurements of composition, patterns in the environmental conditions (e.g., emissions, meteorology and other auxiliary measures), and sub-saturated aerosol hygroscopicity with the primary goal being to determine if a single-parameter representation of CCN activation is suitable for this environment. In addition, we will focus on addressing the factors which control the summertime size distribution bifurcations and the extent to which they are influenced by biogenic and anthropogenic SOA production pathways.

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Table 1. Seasonal mean and extreme CN and CCN concentrations from hourly averaged data. Seasons are defined as follows: winter (W = DJF), spring (S = MA), pre-monsoon (PM = MJ), monsoon (M = JAS), fall (F = ON).

Concentration (cm ⁻³)		W	S	PM	M	F
CN	Mean	5189	4853	3872	4200	5200
	Max (99 %)	14 406	13 799	10 869	11 606	13 682
	Min (1 %)	749	686	807	1070	853
CCN _{SS=0.2%}	Mean	430	233	301	372	303
	Max (99 %)	1945	809	667	741	951
	Min (1 %)	56	59	101	100	81

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Table 2. Seasonal mean OC and EC concentrations, and associated SDs, at the TACO and IMPROVE sites.

Site	Concentration ($\mu\text{g m}^{-3}$)	W	S	PM	M	F
TACO	EC	0.69 ± 0.66	0.38 ± 0.38	0.27 ± 0.36	0.40 ± 0.34	0.54 ± 0.46
	OC	6.96 ± 3.40	5.05 ± 2.25	4.87 ± 1.98	4.40 ± 1.60	5.31 ± 2.20
SAGUARO NM	EC	0.15 ± 0.07	0.11 ± 0.05	0.10 ± 0.05	0.12 ± 0.04	0.13 ± 0.07
	OC	0.51 ± 0.18	0.50 ± 0.17	0.63 ± 0.33	0.63 ± 0.27	0.45 ± 0.20
SAGUARO WEST	EC	0.22 ± 0.13	0.12 ± 0.06	0.11 ± 0.05	0.13 ± 0.04	0.18 ± 0.08
	OC	0.61 ± 0.30	0.49 ± 0.17	0.74 ± 0.32	0.69 ± 0.28	0.55 ± 0.20

Table 3. Seasonally derived mean cluster properties and associated environmental conditions (AR = activation ratio). Meteorological variables (T , RH and direct normal irradiance (DNI)) are presented as anomalies, based on departure from hourly means for each month. Entries in parentheses indicate that the cluster occurs less than 15 % of the time in that season. An asterisk (*) next to EC denotes a case when the concentration is below LOD. O₃ data are obtained from a surface pollutant monitoring site (~ 9 km from TACO) operated by the Pima County Department of Environmental Quality (Children’s Park Station).

Cluster	Season	CN cm ⁻³	CCN cm ⁻³	AR –	κ –	EC μg m ⁻³	OC μg m ⁻³	WSOC:OC –	O ₃ ppb	ΔT °C	ΔRH %	ΔDNI W m ⁻²
N	W	(4007)	(195)	(0.065)	(0.19)	(0.21)	(4.81)	(0.38)	(37)	(2.60)	(–3.8)	(35)
	S	(4966)	(228)	(0.057)	(0.16)	(0.17)*	(4.51)	(0.19)	(45)	(0.97)	(–1.7)	(75)
	PM	4328	276	0.076	0.15	0.23	4.48	0.29	44	0.53	–0.3	23
	M	5687	351	0.086	0.17	0.38	4.35	0.38	36	0.44	–2.6	38
	F	6674	249	0.067	0.17	0.33	4.35	0.20	33	0.68	0.9	40
FF	W	4985	249	0.064	0.17	0.75	7.20	0.23	25	0.85	–1.7	4
	S	5161	198	0.050	0.13	0.36	5.35	0.18	32	–0.30	–0.8	–3
	PM	4935	278	0.067	0.12	0.10*	5.32	0.20	33	–0.77	–1.4	–49
	M	5536	360	0.084	0.15	0.46	5.09	0.32	29	–0.64	1.7	–41
	F	7256	282	0.058	0.14	0.56	5.55	0.26	19	–0.16	–1.2	–15
WN	W	6337	490	0.093	0.19	1.79	11.	0.18	16	–0.42	–0.1	–4
	S	4980	278	0.071	0.16	0.36	5.63	0.18	29	–0.25	2.	–43
	PM	(4042)	(334)	(0.098)	(0.15)	(0.07)*	(5.09)	(0.20)	(35)	(–0.72)	(0.5)	(–40)
	M	(4382)	(392)	(0.106)	(0.16)	(0.40)	(5.33)	(0.34)	(29)	(–0.96)	(5.8)	(–60)
	F	7743	363	0.080	0.16	0.62	5.94	0.33	16	–1.06	0.9	–26
CC	W	(6203)	(811)	(0.153)	(0.23)	(1.08)	(9.15)	(0.27)	(18)	(–0.39)	(5.6)	(–13)
	S	(2659)	(267)	(0.124)	(0.18)	(0.17)*	(4.63)	(0.19)	(44)	(1.30)	(–0.3)	(–21)
	PM	2412	349	0.166	0.15	0.09*	5.03	0.28	46	0.41	1.3	17
	M	2884	414	0.173	0.17	0.27	4.43	0.37	38	0.26	0.2	–24
	F	(3964)	(356)	(0.145)	(0.20)	(0.33)	(4.93)	(0.27)	(30)	(1.48)	(0.9)	(–25)

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Table 4. Closure model performance as quantified by variance explained (top) and normalized mean error (bottom). Models (i)–(iv) include holding constant either CCN, activation ratio (AR), κ , or size distribution (SD). Model (v) uses the cluster properties and associations (see Fig. 5 and Table 3), Model (vi) uses the same assumptions as Model (iii) except that κ is determined from cluster associations, and Model (vii) is a reconstruction for reference only. A dash (–) indicates that the result is not available or performed so poorly it cannot be quantified by the metric.

		Model (%VE)						
		(i) Const. CCN	(ii) Const. AR	(iii) Const. κ	(iv) Const. SD	(v) Clus. only	(vi) Clus. κ	(vii) Ref.
All	ALL	–	3.7	63.2	43.9	62.3	68.4	99.6
	W	–	44.9	81.6	72.5	78.4	84.1	99.7
	S	–	–	25.3	55.3	3.5	37.5	99.7
	PM	–	–	40.5	–	43.1	34.2	99.4
	M	–	–	35.5	–	–	42.3	99.1
	F	–	–	40.3	31.1	3.4	54.6	99.4
Daily	ALL	–	6.1	70.0	47.0	–	–	99.4
	W	–	35.9	81.2	71.6	–	–	99.5
	S	–	6.5	–	62.1	–	–	99.0
	PM	–	0.2	52.5	15.4	–	–	98.7
	M	–	–	64.0	–	–	–	98.5
	F	–	–	59.9	17.8	–	–	98.1
Weekly	ALL	–	7.1	67.7	43.3	–	–	99.0
	W	–	15.8	66.4	77.8	–	–	98.8
	S	–	6.0	33.7	74.1	–	–	98.3
	PM	–	45.4	72.9	75.8	–	–	96.9
	M	–	–	43.9	–	–	–	96.3
	F	–	3.9	89.5	0.3	–	–	97.9

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Table 4. Continued.

		Model (%NME)						
		(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)
		Const. CCN	Const. AR	Const. κ	Const. SD	Clus. only	Clus. κ	Ref.
All	ALL	73	72	45	55	45	41	4.4
	W	94	70	40	49	44	38	5.2
	S	70	73	60	47	69	55	4.0
	PM	46	59	36	53	35	38	3.7
	M	34	58	27	58	36	26	3.3
	F	53	60	41	44	52	36	4.0
Daily	ALL	53	52	29	40	–	–	4.2
	W	63	51	27	34	–	–	4.6
	S	48	47	60	30	–	–	4.7
	PM	33	32	22	30	–	–	3.7
	M	26	37	16	37	–	–	3.2
	F	31	34	20	28	–	–	4.2
Weekly	ALL	36	35	20	27	–	–	3.6
	W	36	33	21	17	–	–	4.0
	S	27	26	22	14	–	–	3.5
	PM	22	16	11	11	–	–	3.8
	M	16	20	12	25	–	–	3.1
	F	21	21	6.8	21	–	–	3.1

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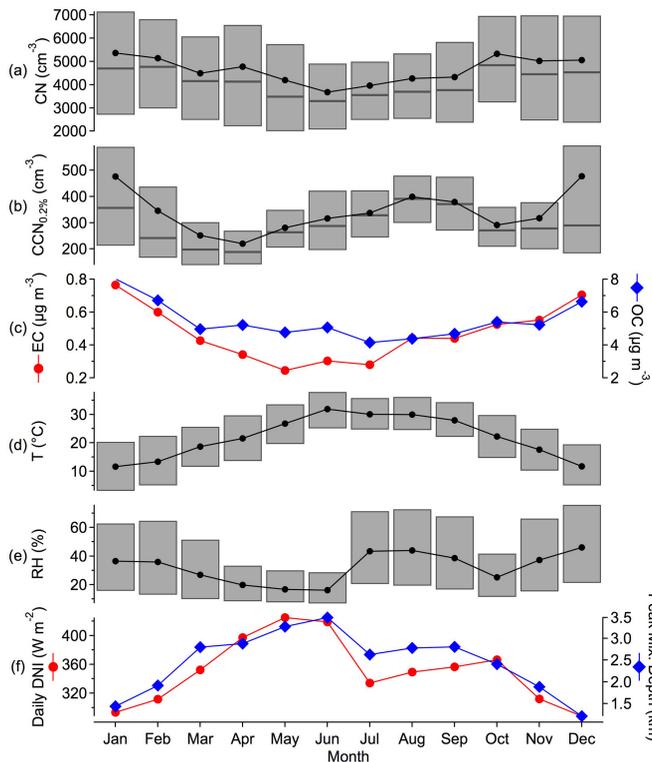


Figure 1. Monthly statistics of **(a)** CN, **(b)** CCN (0.2%), **(c)** OC and EC, **(d)** temperature, **(e)** RH, and **(f)** direct normal irradiance (DNI). Circles, diamonds, and the lines connecting them represent monthly averages. For **(a)** CN and **(b)** CCN, bars represent median and interquartile range of sub-monthly variability of the 1 h averaged data. For **(d)** temperature and **(e)** relative humidity, bars represent monthly extremes, as measured by 5 and 95% levels of the 1 min average data. DNI is presented using 24 h averages so that it includes the effect of the changing length of day with season, and peak mixing depth is calculated using the 4 p.m. radiosonde data.

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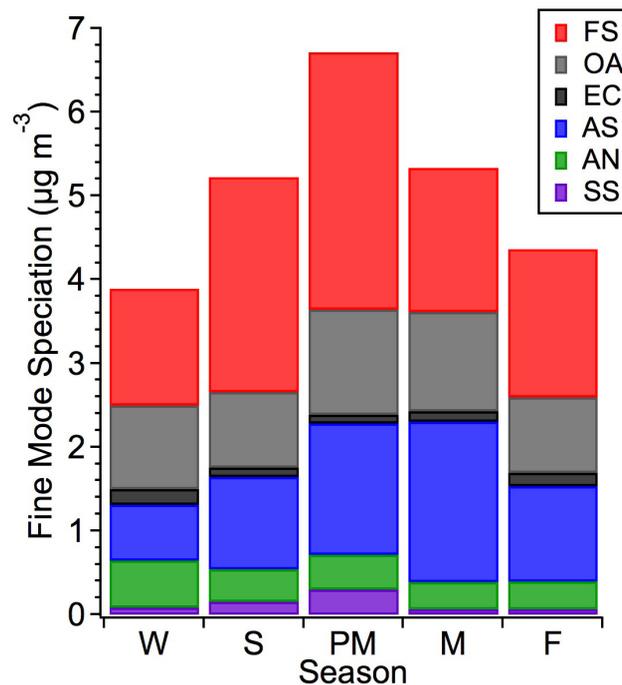


Figure 2. Seasonal PM_{2.5} speciation from the averaged Saguaro National Park and Saguaro West IMPROVE sites. Six major groupings comprising the PM_{2.5} mass are shown: FS = fine soil, OA = organic aerosol, EC = elemental carbon, AS = ammonium sulfate, AN = ammonium nitrate, SS = sea salt.

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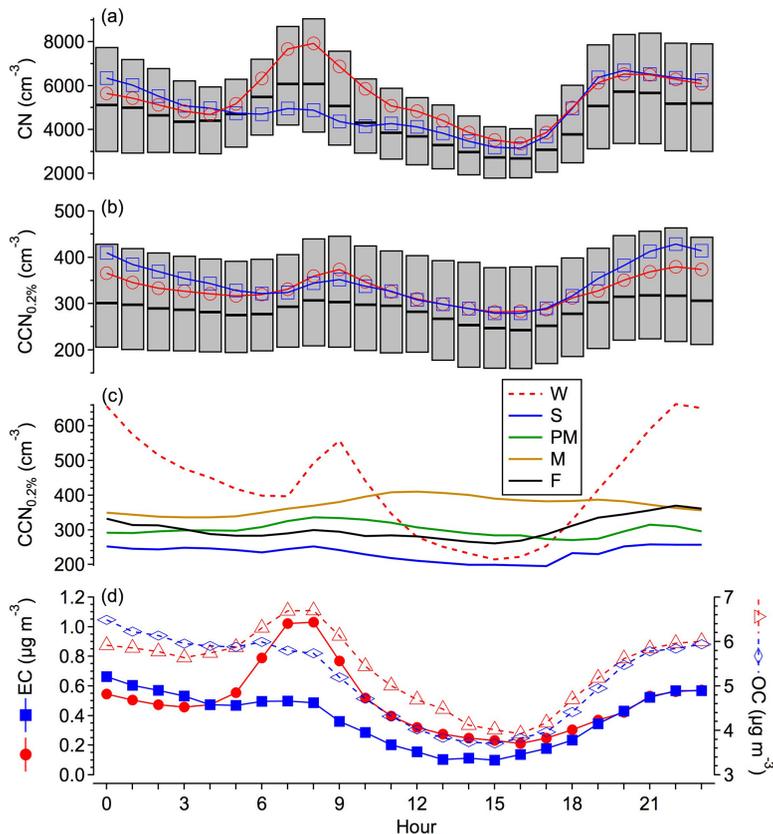


Figure 3. Hourly trends of **(a)** CN and **(b)** CCN (0.2%). Bars indicate median and interquartile range of the variability within each hour. Mean CN and CCN concentrations are shown for both weekdays (red) and weekends (blue). Hourly trends of CCN are shown in **(c)** for each season. Mean EC (solid) and OC (dashed) concentrations **(d)** are shown for weekdays (red) and weekends (blue).

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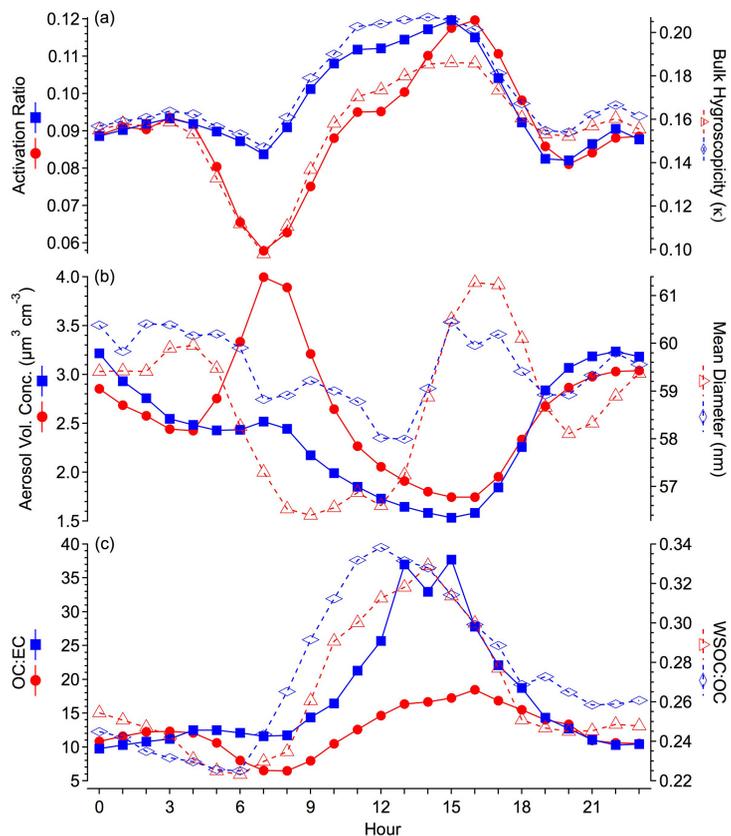


Figure 4. Hourly trends of activation related properties, OC : EC ratio, and WSOC : OC ratio for weekdays (red) and weekends (blue). Note the applicability of the OC : EC ratio starts to become less well defined on weekends above 25 since EC concentrations are typically below LOD.

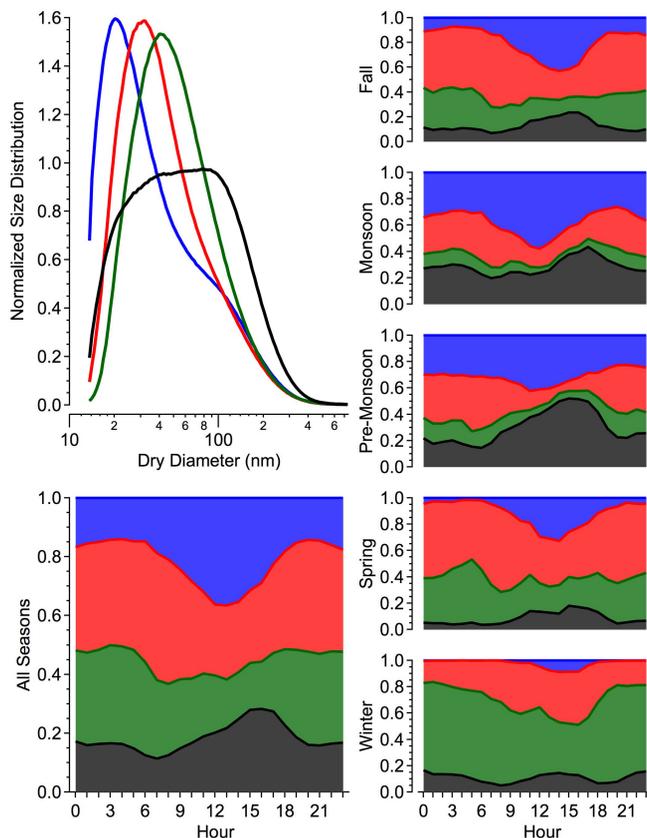


Figure 5. Size distribution cluster centroids, as derived by the K-means algorithm, and the hourly distribution of cluster associations separated by season. Clusters are assigned the following identifiers: Nucleation (N; blue), Fresh Fossil (FF; red), Winter/Nocturnal (WN; green) and Condensation/Coagulation (CC; black).