

1 On the competition among aerosol number, size and composition in predicting CCN  
2 variability: a multi-annual field study in an urbanized desert

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## 17 **Abstract**

18  
19 A two-year dataset of measured CCN concentrations at 0.2% supersaturation is  
20 combined with aerosol size distribution and aerosol composition data to probe the  
21 effects of aerosol number concentrations, size distribution and composition on CCN  
22 patterns. Data have been collected over a period of two years (2012-2014) in  
23 central Tucson, Arizona: a significant urban area surrounded by a sparsely  
24 populated desert. Average CCN concentrations are typically lowest in spring (233  
25  $\text{cm}^{-3}$ ), highest in winter (430  $\text{cm}^{-3}$ ) and have a secondary peak during the North  
26 American Monsoon season (July to September; 372  $\text{cm}^{-3}$ ). There is significant  
27 variability outside of seasonal patterns with extreme concentrations (1% and 99%  
28 levels) ranging from 56  $\text{cm}^{-3}$  to 1945  $\text{cm}^{-3}$  as measured during the winter, the season  
29 with highest variability.

30  
31 Modeled CCN concentrations based on fixed chemical composition achieve better  
32 closure in winter, with size and number alone able to predict 82% of the variance in  
33 CCN concentration. Changes in aerosol chemical composition are typically aligned  
34 with changes in size and aerosol number, such that hygroscopicity can be  
35 parameterized even though it is still variable. In summer, models based on fixed  
36 chemical composition explain at best only 41% (pre-monsoon) and 36% (monsoon)  
37 of the variance. This is attributed to the effects of secondary organic aerosol (SOA)  
38 production, the competition between new particle formation and condensational  
39 growth, and the complex interaction of meteorology, regional and local emissions,  
40 and multi-phase chemistry during the North American Monsoon. Chemical  
41 composition is found to be an important factor for improving predictability in spring  
42 and on longer timescales in winter.

43  
44 Parameterized models typically exhibit improved predictive skill when there are  
45 strong relationships between CCN concentrations and the prevailing meteorology

46 and dominant aerosol physicochemical processes, suggesting that similar findings  
47 could be possible in other locations with comparable climates and geography.

48

49 1. Introduction

50

51 The influence of atmospheric aerosol particles on cloud properties and the  
52 consequential changes in radiative forcing carry the largest source of uncertainty in  
53 climate change prediction (IPCC, 2013). Cloud condensation nuclei (CCN) are the  
54 subset of aerosol particles that activate into droplets at a given supersaturation and  
55 their concentration therefore contributes to governing the microphysical and  
56 optical properties of clouds (Twomey, 1977; Albrecht, 1989). The global spatial and  
57 temporal variability of CCN concentrations consequently hold significant weight in  
58 predicting the droplet distribution in clouds and the ensuing microphysical and  
59 radiative properties (McFiggans et al., 2006; Andreae and Rosenfeld, 2008).  
60 Ultimately, CCN have been found to be a major factor in modulating cloud dynamics  
61 in both clean and polluted environments, with direct consequences on the  
62 hydrological cycle (Andreae et al., 2004; Altaratz et al., 2008; Stevens and Feingold,  
63 2009).

64

65 While laboratory experiments involving the activation of single salt species (e.g.  
66 ammonium sulfate) or simple mixtures of organic compounds have offered  
67 satisfactory experimental validation (e.g., Brechtel and Kreidenweis, 2000) of the  
68 original underlying physical theory of droplet activation (Köhler, 1936), the  
69 extension to ambient atmospheric aerosol has proven more elusive (Covert et al.,  
70 1998; Chuang et al., 2000; Roberts et al., 2002; McFiggans et al., 2006; Ervens et al.,  
71 2010). Recent field studies (e.g., Broekhuizen et al., 2006; Dusek et al., 2006; Ervens  
72 et al., 2007; Hudson, 2007; Cubison et al., 2008; Quinn et al., 2008; Ervens et al.,  
73 2010; Burkart et al., 2011), spanning a range of aerosol scenarios, have not yet  
74 provided a comprehensive agreement on the relative importance of factors which  
75 affect CCN and the cloud droplet number, namely the following: the aerosol number,  
76 size distribution, composition, supersaturation and aerosol mixing state (Lance et  
77 al., 2004; Rissman et al., 2004; McFiggans et al., 2006; Andreae and Rosenfeld, 2008;  
78 Partridge et al., 2012).

79

80 During cloud formation, the supersaturation is driven by a combination of the  
81 aerosol related properties and dynamics (i.e., the updraft velocity) and therefore a  
82 complete description of the cloud system involves a two-way coupling of aerosol  
83 microphysics with circulation dynamics (Feingold, 2003). Modeling studies have  
84 shown that typically the supersaturation adjusts to large changes in aerosol  
85 properties (i.e., number, size and composition) to dampen the resulting variability  
86 observed in cloud droplet number concentration (Feingold, 2003); however, it has  
87 also been found that the distribution of CCN can have a significant impact on the  
88 cloud microphysics by affecting the droplet distribution (Feingold et al., 1999;  
89 McFiggans et al. 2006). The dynamics of initial droplet growth are affected by CCN  
90 properties (Feingold and Chuang, 2002; Raymond and Pandis, 2002, 2003; Chuang,

91 2003) and interstitial gas chemistry (Nenes et al., 2002; Lim et al., 2005) affecting  
92 gas-particle partitioning through cloud processing.

93  
94 Excluding the environmental factors that regulate supersaturation and droplet  
95 growth kinetics, and focusing only on aerosol related properties that drive the initial  
96 activation, yields important information relating to hygroscopicity. CCN closure  
97 studies typically attempt to model the CCN concentration from measured aerosol  
98 number, size and composition and then compare the modeled CCN to direct  
99 measurements under a controlled set of supersaturated conditions (e.g., Dusek et al.,  
100 2006; Ervens et al., 2007; Cubison et al., 2008; Bougiatioti et al., 2009; Lance et al.,  
101 2009; Ervens et al., 2010; Jurányi et al., 2011, Martin et al., 2011; Levin et al., 2012;  
102 Moore et al., 2012; Lathem et al., 2013; Wu et al., 2013; Almeida et al., 2014). The  
103 respective importance of composition and size distribution on CCN activation  
104 remains an outstanding question. Closure studies have generally been successful for  
105 background and remote sites (e.g., Jurányi et al., 2010), but less so in urban areas  
106 (e.g., Burkart et al., 2012). The complexity of the aerosol composition and variability  
107 in the aerosol mixing state are often the explanation for unsatisfactory closure,  
108 under assumptions of bulk hygroscopic properties (Cubison et al., 2008; Ervens et  
109 al., 2010). The single hygroscopicity parameter  $\kappa$ -Köhler Theory (Petters and  
110 Kreidenweis, 2007, 2008) provides a theoretical framework to derive bulk  
111 hygroscopicity for internal mixtures, based on a volume weighted mixing rule. While  
112 this simplicity is advantageous for closure models, this approach may not be  
113 suitable for particles with complex morphology (e.g., Dusek et al., 2011; Hersey et  
114 al., 2013).

115  
116 Physical aging processes such as coagulation and condensational growth tend to  
117 shift the aerosol population towards a more uniform mixing state when compared  
118 to fresh emissions (Covert and Heintzenberg, 1993; Ervens et al., 2010). While  
119 condensational growth processes increase CCN concentration by growing ultrafine  
120 particles into the critical range for droplet activation, coagulation may result in  
121 either increasing or decreasing CCN concentration since increased size comes at the  
122 expense of aerosol number (Riipinen et al., 2011). Uncertainties in nucleation rates  
123 and primary emissions have been shown to have significant impacts on global  
124 estimates of CCN concentration (Pierce and Adams, 2009).

125  
126 The study of CCN activation within an urban environment offers unique  
127 opportunities to address the challenges associated with the inhomogeneity of  
128 sources and aerosol aging, which gives rise to difficulties in predicting water uptake  
129 behavior. Field studies purporting to quantify the influences of aerosol number, size  
130 and compositional factors on CCN activity are often carried out over a limited, but  
131 intense, period and hence offer a worthy characterization of the duration of the  
132 study but perhaps lack climatological context, even related to sub-seasonal  
133 variability. The current study addresses the two aforementioned issues by  
134 reporting on long-term measurements of CCN, submicron size distributions and  
135 composition taken jointly over multiple years in an urban area, specifically Tucson,  
136 Arizona.

137  
138 Tucson is located in the heart of the Sonoran Desert in the semi-arid southwestern  
139 United States. This location offers some unique opportunities for the study of CCN  
140 activation primarily since there have been comparatively fewer documented  
141 measurements of CCN in arid regions. In addition, southern Arizona is situated in  
142 the region affected by the North American Monsoon (NAM) and as a result the  
143 highest monthly rainfall occurs during July and August and is accompanied by a  
144 strong influx of tropical moisture. The onset of the NAM in late June or early July  
145 leads to a rapid change from very hot and dry pre-monsoon conditions to the humid  
146 conditions associated with the monsoon and leads to changes in the aerosol  
147 properties (Sorooshian et al., 2011; Youn et al., 2013). Aside from the NAM,  
148 southern Arizona is situated in a relatively stable synoptic weather pattern, which  
149 gives rise to generally clear skies and light surface winds. The strong insolation  
150 produces a deep convective boundary layer in the afternoon and clear conditions  
151 lead to significant nocturnal cooling which together produce a significant but  
152 predictable diurnal cycle in temperature, humidity and convective boundary layer  
153 mixing.

154  
155 The paper is subdivided as follows: (i) experimental methods and data collection are  
156 provided in Section 2; (ii) an overview of the “climatological” results is given in  
157 Section 3; (iii) the influence of size distribution and its relationship with  
158 composition is discussed in Section 4; (iv) CCN closure analysis is presented in  
159 Section 5; and (v) conclusions are presented in Section 6.

160  
161 2. Data and Methods

162  
163 2.1 Tucson Aerosol Characterization Observatory (TACO)

164  
165 The study site is located at a rooftop location (approximately 30 m above ground)  
166 on the University of Arizona campus (32.2299°N, 110.9538°W, 720 m ASL) in  
167 central Tucson (metro population ~1 million; U.S. Census Bureau, 2011). The  
168 sample inlet was located at rooftop level, approximately at the same height as  
169 nearby buildings, and 2 km northeast of downtown Tucson. The study period  
170 spanned more than two years (April 2012 – August 2014) and comprised long-term  
171 continuous measurements of CCN and related quantities, with a constant  
172 experimental setup.

173  
174 2.2 Aerosol Instrumentation

175  
176 Bulk CCN concentrations were measured using a CCN counter at fixed 0.2% super-  
177 saturation (CCN-100 Droplet Measurement Technologies; Roberts and Nenes,  
178 2005). Particle size-resolved number concentrations were obtained using a  
179 scanning mobility particle sizer (SMPS 3080, TSI Inc.) coupled to a condensation  
180 particle counter (CPC 3772, TSI Inc.). The SMPS operated at 10:1 sheath-to-sample  
181 flow ratio and with a mobility diameter range from 13-748 nm. The integration of  
182 the size-resolved data over the entire range provided a measure of total

183 condensation nuclei (CN). The CCN counter was calibrated twice during the study  
184 period using the method described in Rose et al. (2008) and exhibited a  
185 supersaturation of  $0.192\% \pm 0.005\%$  at the nominal 0.2% set-point value. A semi-  
186 continuous OC/EC analyzer (Sunset Laboratories Inc.) measured hourly organic  
187 carbon (OC) and elemental carbon (EC) concentrations in PM<sub>2.5</sub>. Limits of detection  
188 were 0.2  $\mu\text{g}/\text{m}^3$  and 1.0  $\mu\text{g}/\text{m}^3$  for EC and OC, respectively. Water-soluble organic  
189 carbon (WSOC) was measured in PM<sub>2.5</sub> using a particle-into-liquid sampler (PILS,  
190 Brechtel Manufacturing Inc.) coupled to a total organic carbon analyzer (TOC;  
191 Sievers Model 800) (Sullivan et al., 2006; Duong et al., 2011; Wonaschütz et al.,  
192 2011). The overall measurement uncertainty associated with the reported WSOC  
193 concentrations is estimated to be approximately 10% with a limit of detection of 0.1  
194  $\mu\text{g}/\text{m}^3$ .

195  
196 2.3 Local Meteorology  
197

198 Collocated measurements of basic meteorological variables (including temperature,  
199 pressure, humidity, wind speed, wind direction and rainfall) were obtained at 5-  
200 second time resolution and archived as 1-minute and hourly averages. In addition,  
201 1-minute direct normal irradiance (DNI) was obtained from the NREL Observed  
202 Atmospheric and Solar Information System (OASIS;  
203 [http://www.nrel.gov/midc/ua\\_oasis/](http://www.nrel.gov/midc/ua_oasis/)) site on an adjacent building on the university  
204 campus. SuomiNet GPS derived precipitable water vapor (PW) (Ware et al., 2000)  
205 data were obtained from the University of Arizona SA46 site ( $32.2298^\circ\text{N}$ ,  
206  $110.9539^\circ\text{W}$ , 762 m ASL) resolved to 30-minute mean estimates. Finally,  
207 radiosonde data from the nearby National Weather Service were obtained from  
208 twice-daily balloon launches at 4 AM and 4 PM local time.

209  
210 2.4 EPA IMPROVE  
211

212 PM<sub>2.5</sub> aerosol composition measurements were obtained from two sites in the Inter-  
213 agency Monitoring of Protected Visual Environments (IMPROVE) network of filter  
214 samples (Malm et al., 1994). The Saguaro National Monument site ( $32.1742^\circ\text{N}$ ,  
215  $110.7372^\circ\text{W}$ , 933 m ASL) is located within the foothills of the Rincon Mountains at  
216 the eastern extent of the Tucson metropolitan area and approximately 21 km east of  
217 TACO. The Saguaro West site ( $32.2486^\circ\text{N}$ ,  $111.2178^\circ\text{W}$ , 718 m ASL) is located on the  
218 western side of the topographically less prominent Tucson Mountains  
219 approximately 25 km west of TACO. 24-hour filter samples are collected at each site  
220 every three days. Data were obtained to coincide with as much of the study period  
221 as possible and were available up to December 2013 at the time of writing. Filter  
222 samples were analyzed for ions, metal and non-metal elements, and carbon  
223 (elemental and organic). Details on the extraction and analysis methodology are  
224 provided extensively elsewhere  
225 ([http://vista.cira.colostate.edu/improve/Publications/IMPROVE\\_SOPs.htm](http://vista.cira.colostate.edu/improve/Publications/IMPROVE_SOPs.htm)). In  
226 addition to direct measurement, the IMPROVE network reports empirically derived

227 concentrations relevant to atmospheric aerosol including fine soil, sea salt,  
228 ammonium sulfate and ammonium nitrate (Malm et al., 1994).

229

230 2.5 Data Organization and Quality Control

231

232 All TACO data (CCN, SMPS, OC/EC and meteorology) are time synchronized and  
233 archived as averages at hourly increments. Sub-hourly variability in both the CCN  
234 concentration and the aerosol size distribution is highly influenced by localized  
235 intermittent sources, atmospheric turbulence and measurement related lags and  
236 noise. Since many of the metrics used in the interpretation of CCN variability  
237 involve ratios (or other non-linear functions) combining CCN and SMPS data, pre-  
238 filtering data to 1-hour reduces extraneous influences caused by sub-hourly  
239 covariance. All meteorological fields (except PW and radiosonde data) were  
240 additionally archived at 1-minute resolution. SMPS data from May and June 2013  
241 are removed owing to sub-optimal data quality resulting from an instrument  
242 malfunction.

243

244 3. Climatological Results

245

246 3.1 Monthly and Seasonal Statistics

247

248 Monthly statistics of CN and CCN concentrations (henceforth referred to as CN and  
249 CCN) illustrate different trends as CN reveals a more stable annual cycle with minor  
250 reduction towards a minimum in June (Figure 1). CCN is more variable annually,  
251 and has two distinct peaks with a primary peak in December and a secondary peak  
252 in August. April has the lowest average CCN and also the lowest variability, as  
253 indicated by the interquartile range in Figure 1 for both CN and CCN. Conversely the  
254 interquartile range in CN for April is one of the highest, although in general CN  
255 exhibits significant sub-monthly variability when compared to the mean annual  
256 trends. OC and EC mass concentrations (Figure 1c) exhibit similar annual cycles,  
257 which suggests that aerosol related to urban combustion sources are ubiquitous;  
258 however, in summer the contribution is diluted by higher mixing heights (Figure 1f).  
259 Seasonal temperature (T; Figure 1d), relative humidity (RH; Figure 1e) and direct  
260 normal irradiance (DNI; Figure 1f) illustrate the impact of the NAM on local  
261 meteorology, where strong increases in moisture are accompanied by slight  
262 temperature reductions and increased cloud cover.

263

264 Henceforth, data are grouped seasonally rather than monthly to analyze the annual  
265 cycle. Five seasons are defined to reflect the significant difference in meteorology  
266 between the pre-monsoon summer and the onset of the NAM. These are winter (W  
267 = DJF), spring (S = MA), pre-monsoon (PM = MJ), monsoon (M = JAS), and fall (F =  
268 ON). Table 1 provides a summary of seasonal CN and CCN statistics and includes  
269 only periods when both measurements are available. Winter and fall have the  
270 highest mean CN concentrations ( $\sim 5200 \text{ cm}^{-3}$ ), while pre-monsoon has the lowest  
271 with a mean just below  $3900 \text{ cm}^{-3}$ . Extremes are quantified by 1% and 99%  
272 statistics and range between  $749 \text{ cm}^{-3}$  and  $14406 \text{ cm}^{-3}$  with winter showing the

273 highest variability. Average CCN concentrations are typically lowest in spring (233  
274 cm<sup>-3</sup>), highest in winter (430 cm<sup>-3</sup>) and have a secondary peak during the monsoon  
275 (372 cm<sup>-3</sup>). Extremes in CCN range between 56 cm<sup>-3</sup> and 1945 cm<sup>-3</sup> and winter  
276 variability far exceeds that of any other season.

277

278 Fine mode aerosol composition may help to explain the seasonal patterns in CCN  
279 and are illustrated using the IMPROVE data (Figure 2). Data are presented as an  
280 average of the two sites to the east and west of Tucson and can be interpreted as a  
281 suburban/semi-rural background reflecting regional scale aerosol composition onto  
282 which local urban sources are superimposed. Aerosol loading is highest during the  
283 pre-monsoon (PM) season, mainly due to the combined increase in the fine soil  
284 fraction, from windblown dust which occurs mainly in the spring and pre-monsoon  
285 seasons, and from the increase in sulfate during the pre-monsoon and monsoon  
286 (Sorooshian et al., 2013). Regional wildfire emissions are also most significant  
287 during pre-monsoon (Sorooshian et al., 2013). While dust particles may themselves  
288 act as CCN, they can also enhance the removal of CN and CCN by coalescence, while  
289 contributions from regional wildfire smoke may periodically enhance CN and CCN  
290 concentrations. Nitrate is more abundant in winter (~14%) compared to other  
291 seasons and may be a factor in the observed winter maximum in CCN  
292 concentrations. Sea salt contributes a modest fraction (~4.5%) of pre-monsoon  
293 aerosol when mid-tropospheric air originates mainly from the sub-tropical Pacific.  
294 The sum of the constituents presented in Figure 2 constitute between 93% and  
295 101% of the seasonal average PM<sub>2.5</sub> as reported by gravimetric analysis.

296

297 The strong influence of urban sources on the fine mode carbonaceous aerosol in  
298 central Tucson is demonstrated by the elevated seasonal mean OC and EC mass  
299 concentrations at TACO versus the IMPROVE data (Table 2). This result is  
300 consistent with comparisons made by Sorooshian et al. (2011) for urban and rural  
301 sites in Arizona, which showed that carbonaceous mass concentrations varied  
302 strongly between urban and rural sites, whereas sulfate was more regionally  
303 homogenous.

304

### 305 3.2 Diurnal and Weekly Cycles

306

307 The diurnal cycle of CN illustrates a clear pattern involving a complex interaction of  
308 sources and sinks (Figure 3a). During weekdays, early mornings (7 AM to 9 AM) are  
309 characterized by traffic emissions, which increase the CN and EC concentrations  
310 (Figure 3d) indicative of fresh fossil combustion aerosol. Mean CN concentrations at  
311 8 AM on weekdays (7925 cm<sup>-3</sup>) are more than 160% of the equivalent weekend  
312 concentrations (4887 cm<sup>-3</sup>). During the late morning, the convective boundary layer  
313 develops and dilutes the surface layer with relatively clean air from the free  
314 troposphere and/or residual layer leading to a marked drop in EC, OC (Figure 3d)  
315 and CN. Through the middle of the day, the convective boundary layer is still  
316 growing; however, a subtle reduction in the rate of decrease in CN (Noon to 2 PM) is  
317 suggestive of nucleation and growth of new particles which contribute as a source of  
318 CN. This is supported by the following: (i) concurrent enhancement in WSOC:OC

319 ratios (Figure 4c), which can be used as a proxy for secondary organic aerosol (SOA)  
320 away from biomass burning sources (Miyazaki et al., 2006; Kondo et al., 2007;  
321 Weber et al., 2007); (ii) increasing OC:EC ratios (Figure 4c); and (iii) a second dip in  
322 the mean aerosol diameter (Figure 4b). The latter two results are particularly clear  
323 on weekends when the morning traffic signature is suppressed.

324  
325 By mid-afternoon (2 PM to 4 PM), the convective boundary layer reaches its peak  
326 depth and photochemical processes begin to slow down, leaving transport (vertical  
327 and horizontal) and coagulation as the dominant mechanisms, producing a net  
328 reduction in CN concentrations (Figure 3a) and increase in mean diameter (Figure  
329 4b) while integrated aerosol volume concentration (used as a proxy for relative  
330 trends in PM<sub>1</sub>) remains flat (Figure 4b). By late afternoon (4 PM to 6 PM) the  
331 convective boundary layer decouples from the surface and aerosol number and  
332 mass concentrations build again in the surface layer due to the evening peak in  
333 traffic emissions, with accompanying increases in EC and OC and reductions in mean  
334 diameter. During this time, secondary aerosol may still be influential once the  
335 boundary layer is decoupled, since residual ozone concentrations near the surface  
336 may still be sufficient to drive SOA production in the now thin surface layer.

337  
338 The annualized diurnal cycle of CCN (Figure 3b) is less pronounced than that of CN  
339 mainly since CCN are typically unaffected by contributions from ultrafine particles  
340 with diameters less than 50 nm, which are highly variable. There is an increase in  
341 CCN during the evening, reaching a daily maximum at 10 PM and, interestingly,  
342 concentrations on weekends (429 cm<sup>-3</sup>) are higher than on weekdays (380 cm<sup>-3</sup>).  
343 There is a large range of CCN variability observed within each hour when compared  
344 to the hourly composite mean trend which is partially explained by the seasonal  
345 differences in the CCN diurnal cycle (Figure 3c). During winter, there is a significant  
346 diurnal cycle in CCN, while in other seasons the diurnal pattern is relatively flat.  
347 Due to reduced winter temperatures, semi-volatile organics are more likely to  
348 partition to the particle phase, which may incrementally shift the size distribution of  
349 freshly emitted particles associated with morning traffic towards larger sizes. In  
350 addition, nitrate also forms a larger component of the regional aerosol than in other  
351 seasons, which helps to increase the hygroscopicity and to reduce the diameter  
352 required for droplet activation. Both factors likely work in tandem with the diurnal  
353 emissions cycle, which results in a CCN pattern which more closely follows CN than  
354 other seasons. The other notable feature is that the peak CCN concentration occurs  
355 during the night in winter while it occurs during the afternoon in summer. In  
356 addition to partitioning of semi-volatiles, emissions from domestic wood burning  
357 are another potential contributor to CCN in the winter, while in summer it is likely  
358 SOA production, driven by photochemistry and moisture during the day (Youn et al.,  
359 2013).

360  
361 A bulk hygroscopicity parameter ( $\kappa$ ) is derived using the method of Petters and  
362 Kreidenweis (2007) and by assuming total activation above a critical activation  
363 diameter, such that the CCN concentration exactly matches the concentration of  
364 particles exceeding this critical diameter (Furutani et al., 2008; Burkart et al., 2011;

365 Wonaschütz et al., 2013). Hygroscopicity decreases concurrently with the morning  
366 traffic signature (Figure 4a) and then rebounds through the day to produce a peak  
367 between 2 PM and 4 PM matching expectations of organic aging and condensational  
368 growth by photochemically oxidized organics and sulfate. As expected, the morning  
369 minimum is less extreme on weekends ( $\kappa = 0.15$ ) compared to weekdays ( $\kappa = 0.10$ )  
370 due to reduced traffic and this trend remains through the day with weekend maxima  
371 ( $\kappa = 0.21$ ) exceeding weekday values ( $\kappa = 0.19$ ). During the evening and night, the  
372 offset is far smaller ( $\Delta\kappa \approx 0.005$ ). The  $\kappa$  parameter tracks the diurnal pattern of  
373 activation ratio (Figure 4a), defined as the ratio of CCN to CN, which on first glance,  
374 together with the rather modest changes in mean aerosol diameter (Figure 4b),  
375 would indicate that chemical composition is driving the CCN variability at least on  
376 diurnal scales. However, two corollaries should be highlighted: a) the mean aerosol  
377 diameter is a rather simplistic representation of changes in the size distribution, and  
378 b) as mentioned earlier, the majority of the CCN variability is not described by  
379 composite mean hourly trends, at least in an annual sense, and thus, as will be  
380 examined in the forthcoming section, a more rigorous treatment of the size  
381 distribution is needed to better explain overall CCN variability.  
382

#### 383 4. Size distribution

384

385 Several studies (e.g., Conant et al., 2004; Dusek et al., 2006; Ervens et al., 2007) have  
386 suggested that the size distribution alone can explain CCN variability, however there  
387 are other examples (e.g., Hudson 2007; Burkart et al., 2011), which refute this  
388 particularly in cases where the aerosol is externally mixed. If the physical and  
389 chemical processes which govern size and composition changes are intrinsically tied  
390 to a single governing mechanism, a parameterization involving one component may  
391 suitably capture the variability in the other, at least when considering a fixed  
392 supersaturation. Furutani et al. (2008) reported the activation diameter to be well  
393 correlated with activation ratio during a ship-borne study in the eastern North  
394 Pacific, suggesting compositional changes as a result of aging (where size also  
395 increases) to be the major driver for CCN variability. In contrast, Burkart et al.  
396 (2011) examined the same relationship but found poor correlation between  
397 activation ratio and activation diameter in Vienna, Austria, suggesting a more  
398 complex relationship between size and composition.  
399

400

401 The shape of the size distribution can be used to interpret physical processes (e.g.,  
402 condensation, evaporation, nucleation, coagulation), while relative changes in CN  
403 concentration, combined with changes in shape, offer insight into atmospheric  
404 processes (e.g., advection and diffusion) and emissions. The well-established “K-  
405 means” clustering algorithm (Hartigan and Wong, 1979; Lloyd, 1982) was used here  
406 as a statistical tool to group size distributions by shape. The method was  
407 implemented with four clusters and the resulting four cluster centroids denoted  
408 archetypal size distribution shapes (Figure 5), to which the observations were  
409 assigned, according to their degree of association. The selection of four clusters  
struck a balance between capturing the salient patterns, while maintaining

simplicity; however, we do not claim that this choice was optimal for all applications. Cluster associations were “fuzzy”, and therefore an observation could be partially assigned to multiple clusters to reflect the continuity of transitions between clusters in the dataset. This provides the added advantage that smooth transitions in cluster properties can be represented without the additional complexity of defining intermediate clusters. A full description of the clustering method and the method by which associations are made is provided in Appendix A. The mean diurnal cycle of cluster associations (Figure 5) and their mean properties (Table 3) provide a physical description of the clusters and are hereafter given the following identifiers, which are indicative of the physical process or ‘regime’ that is suggested by the cluster properties: nucleation (N), fresh fossil (FF), winter/nocturnal (WN), and coagulation/condensation (CC).

Winter (W) and summer (PM and M) exhibit substantially different patterns in cluster associations on diurnal scales, while the transition seasons (S and F) contain features of both winter and summer and are therefore more mixed in terms of the driving mechanisms. During winter (W), large swings in the size distribution shape are uncommon; however, with activation at 0.2% supersaturation occurring at diameters as low as 100 nm, the growth that accompanies a shift from FF to WN is sufficient to significantly increase the activation ratio. Unlike other seasons, it is likely that the main driver for size distribution changes occurring during winter is the equilibrium partitioning of semi-volatile species between gas and particle phase (e.g., nitrate). An additional contributor may result from the offset in emissions patterns between traffic (day) and domestic wood burning (night). Anomalously colder or more humid conditions tend to result in larger and more hygroscopic particle distributions and are typically also associated with more stable near-surface conditions leading to suppressed mixing and higher aerosol loading as seen in the WN CN, EC and OC concentrations (Table 3). In the extreme, the infrequent winter occurrence of the CC cluster is merely an extension of this trend occurring during the coldest winter nights where average hygroscopicity reaches  $\kappa=0.23$  and average CCN concentrations are  $811 \text{ cm}^{-3}$ . The fact that number, size and hygroscopicity tend to act in association is perhaps the reason why CCN variability is highest in winter on both synoptic and diurnal scales.

Conversely, in summer (PM and M) the shape of the size distribution is very variable and exhibits large swings between N and CC clusters (Figure 5). After primary emissions associated with the morning traffic peak (FF cluster) have been diluted through boundary layer mixing, competition between the N and CC cluster takes over. Unlike winter, there is no monotonic relationship between meteorology and size. Instead, hotter conditions with higher solar exposure tend to bifurcate the size distribution more between N and CC clusters with cooler and cloudy conditions favoring the retention of the intermediate FF or WN clusters. This suggests that the N and CC clusters are partially driven by photochemically produced secondary aerosol. Higher temperature and stronger direct normal irradiance (DNI) are likely coupled with higher hydroxyl concentrations, and ozone concentrations are typically 30-40% higher for N and CC clusters (Table 3), which accelerates the

456 production of reduced volatility oxidized organic vapors from precursor volatile  
457 organic compounds (VOCs). The partitioning of these vapors between condensation  
458 on existing particles and nucleation of new particles is likely a function of the  
459 aerosol surface area and the production rate of the low-volatility organics.  
460 Anomalously dry conditions are a feature of the N cluster, suggestive of reduced  
461 aerosol water reducing the available surface area. Another possible mechanism  
462 affecting the N cluster during the summer (PM and M) is the evaporation, or lack of  
463 condensation, of semi-volatile organic compounds associated with traffic emissions  
464 (Robinson et al., 2007) such that the FF cluster takes on some of the features of the  
465 N cluster. This mechanism would be supported by the anomalous contribution of  
466 EC to the N cluster during the PM and M seasons. Further analysis of the aerosol  
467 and gas phase composition is needed, before and during the monsoon, in order to  
468 fully understand the balance of regional and local processes in driving the  
469 preference of N and CC clusters.

470

471 Tucson often is under the influence of very light mean surface winds and so during  
472 the day, the predominant mechanism for ventilation of urban aerosol is through  
473 vertical mixing of the convective boundary layer, which is supported by  
474 measurements at a nearby mountain site (Shaw, 2007). Furthermore, the  
475 climatological mesoscale surface wind pattern, particularly in summer, is light  
476 southeasterly winds during the night and morning, followed by northwesterlies in  
477 the afternoon and evening, induced by regional topography (Philippin and  
478 Betterton, 1997). It is therefore possible for urban aerosol particles and precursor  
479 gases to be recycled over the site during the course of the day, through both these  
480 mechanisms. Processes which control the cluster associations may be also  
481 dependent on regional (e.g., nucleation of biogenic SOA) as well as local effects (e.g.,  
482 recycling of urban emissions), which happened at an earlier time. The complex  
483 influences of this ‘memory effect’, together with the interaction of meteorology and  
484 emissions may be one of the contributing factors which cause evening and overnight  
485 CCN concentrations to be higher on weekends (Figure 3b).

486

487 5. CCN closure

488

489 Studies aimed at achieving a predictive model of CCN concentrations from measured  
490 number, size and composition (i.e., CCN closure) have shown mixed ability to  
491 predict CCN concentrations across a range of aerosol scenarios. To examine these  
492 dependencies, in the context of the present study, we consider the effect that  
493 simplifying assumptions have on the ability to predict CCN. Traditionally, closure  
494 studies aim to predict the hygroscopic properties from measured composition or  
495 sub-saturated growth factors, which are then combined with size distribution  
496 measurements to predict CCN (e.g., Ervens et al., 2010). With this method the inter-  
497 comparison of various scenarios, and the resulting degree to which CCN  
498 concentrations are predicted, is affected by both the model assumptions and the  
499 accuracy by which aerosol physicochemical properties are measured. Our focus  
500 here is to study the degree of CCN variability explained by incremental  
501 simplifications in a predictive model considered across a range of timescales. One

502 major simplification is the limitation of the treatment of hygroscopicity to a bulk  
503 measurement, which is permitted to vary temporally but does not isolate size  
504 dependent changes in hygroscopicity nor the hygroscopicity distribution, which  
505 may be an important component in relation to external mixing. These aspects are  
506 beyond the scope of these parameterizations and are likely to contribute to model  
507 shortfalls. Forthcoming work will separately study the degree of correspondence of  
508 hygroscopicity between the sub- and supersaturated regimes, size-dependent  
509 hygroscopicity and composition, and the closure of hygroscopicity from composition  
510 measurements.

511  
512 Seven, highly simplified, predictive models are used to estimate CCN over the entire  
513 study period: (i) constant CCN (baseline); (ii) constant activation ratio (assesses the  
514 effect of number only); (iii) constant hygroscopicity (effect of number and size  
515 distribution); (iv) constant size distribution (effect of number and hygroscopicity);  
516 (v) measured number with size distribution shape and hygroscopicity derived from  
517 cluster associations; (vi) measured size and number with cluster derived  
518 hygroscopicity; and (vii) all parameters (a reconstruction, for reference only). The  
519 inclusion of models (v) and (vi) assesses whether the predictive skill can be  
520 improved by the use of a reduced order representation of the size distribution and  
521 hygroscopicity parameter ( $\kappa$ ). Models (v) and (vi) can be considered an incremental  
522 refinement to models (ii) and (iii) where the assumption is that there is prior  
523 knowledge of expected cluster properties and associations.  
524

525 Predicted CCN concentrations are compared to those measured and two  
526 performance metrics are evaluated: (i) “percentage variance explained” (VE)  
527 metric, which is the variance of the measured CCN explained by the model as  
528 determined by mean square residuals; and (ii) a “normalized mean error” (NME)  
529 metric, defined as the root-mean-square residual between modeled and measured  
530 CCN concentrations expressed as a percentage of the mean measured CCN  
531 concentration for the epoch. While both these metrics are connected, the VE is a  
532 better descriptor of the specific performance of the model, whereas the NME puts  
533 the model in the context of overall predictability. Models are first tested using (i)  
534 the cumulative dataset and (ii) for the five predefined seasons with model  
535 parameters set using seasonal best-fit values. The models (except (v) and (vi)) are  
536 then tested, using the same methodology, on data that have been filtered using a 24-  
537 hour running average and seven day average, with the underlying motivation to  
538 determine if environmental factors which control CCN predictability differ between  
539 diurnally and synoptically driven timescales.  
540

541 The results (Table 4) show that when all seasons are considered, a constant  
542 hygroscopicity assumption explains more of the measured variance (~63% VE)  
543 than a constant size distribution (~44% VE) suggesting that overall the size  
544 distribution is generally a more important driver for CCN variability than  
545 composition. However, the goodness-of-fit (VE) is far lower than that presented by  
546 Dusek et al. (2006) and is probably associated with the complexity of the aerosol  
547 mixing state and spatiotemporal variability in composition, due to the proximity of

548 the TACO site to fresh emission sources as compared to the Dusek et al. (2006)  
549 study site. To put the TACO results in more context, fresh pollution aerosol in other  
550 urban areas such as Riverside and Houston could not be fully represented without  
551 knowledge of size-resolved composition (Cubison et al., 2008; Ervens et al., 2010).  
552 A number of other studies have shown that mixing state can help improve predictive  
553 capability of CCN behavior (Wex et al., 2010), including Atlanta (Padro et al., 2012)  
554 and during early morning rush hour near Mexico City (Lance et al., 2013); but  
555 studies also report that hydrophobic particles emitted in urban areas quickly (~ few  
556 hours) become internal mixtures via condensation of secondary hygroscopic species  
557 (e.g., Wang et al., 2010; Mei et al., 2013).

558  
559 In the daily and weekly filtered cases, the relative balance between size and  
560 composition is also similar. Using the submicron number concentration as a  
561 predictive model for CCN (i.e., a constant activation ratio assumption) performs  
562 poorly in all annual cases (and all seasonal cases except winter) since it is strongly  
563 affected by variability in nucleation and small Aitken mode particles from fresh  
564 emissions that do not contribute to CCN at the supersaturation levels considered  
565 here.

566  
567 Compared to other seasons, the simplified predictive models perform the best in  
568 winter in terms of VE, however, this season also has far higher variability in CCN  
569 than any other season across the three timescales considered. Winter is also the  
570 only season where a constant activation ratio assumption offers any skill in CCN  
571 predictability suggesting that the modulation of CCN is more tied to bulk aerosol  
572 sources and sinks than compositional or size dependent changes or that these  
573 processes are strongly interlinked. Winter aerosol is mainly controlled by an  
574 interplay of urban emissions balanced by transport and mixing such that there is a  
575 strong correlation between the diurnal cycle of CN and EC, which serves as a  
576 combustion tracer. Strong nocturnal surface inversions, in conjunction with a lack  
577 of surface wind induced mixing, trap urban emissions close to the surface before the  
578 convective boundary layer develops, which happens later in the day than other  
579 seasons. Intermittent synoptic scale influences, such as frontal passages, affect  
580 aerosol sinks directly through wet scavenging, although this effect is presumably  
581 much weaker than less arid regions, and drive regional transport in the lower  
582 troposphere, which ventilates the urban plume. Synoptic systems affect column  
583 stability, which indirectly affects aerosol loading by regulating the extent of  
584 diurnally driven vertical mixing. Chemical aging processes and photochemically  
585 driven secondary aerosol formation are suppressed in winter compared to other  
586 seasons simplifying the diurnal changes in hygroscopicity and size distribution,  
587 although size and hygroscopicity appear to be tied to the diurnal cycle through  
588 temperature changes. Both size (constant  $\kappa$ , Model (iii)) and hygroscopicity  
589 (constant size distribution, Model (iv)) simplified models explain 82% and 73% of  
590 the CCN variance, respectively, reiterating that size and hygroscopicity changes are  
591 strongly coupled. The weekly filtered data indicate that hygroscopicity becomes  
592 marginally more influential than size changes over longer timescales and is perhaps

593 a consequence of regional sources associated with long-range transport competing  
594 with local emissions.

595  
596 Regional scale transport is also an important feature of spring, which is a transition  
597 season where mid-latitude meteorology still affects the region, boundary layer  
598 mixing becomes more vigorous and surface winds are strongest on average. Dust  
599 loading is highest and temperature changes on diurnal and synoptic scales are also  
600 greatest which affects the partitioning of semi-volatile species (e.g., nitrate). The  
601 complex mixing state and highly variable aerosol composition makes CCN  
602 prediction difficult as reflected in the poor performance of the simplified models.  
603 The modeled predictability indicates that composition is far more important than  
604 size during spring and in fact the daily-filtered data suggests that using the size  
605 distribution (Model (iii)) to predict CCN is worse than assuming a constant seasonal  
606 average concentration, indicative of complex aerosol mixing states, morphology and  
607 scale-dependent mechanisms.

608  
609 The pre-monsoon summer reveals a steady improvement in the model performance  
610 towards longer timescales (i.e., weekly) and the increasing relative importance of  
611 hygroscopicity. Intense solar radiation during this season increases the importance  
612 of VOC and SO<sub>2</sub> chemistry to form secondary aerosol species. Aerosol number may  
613 be strongly influenced by nucleation and therefore knowledge of the size  
614 distribution becomes essential on sub-diurnal scales. Over longer timescales all  
615 simplified approximations become reasonable suggesting a more stable  
616 meteorological pattern, which is typical of this season: as the jet migrates  
617 northward, synoptic steering becomes lighter and the circulation pattern becomes  
618 more driven by mesoscale circulations. The increased importance of hygroscopicity  
619 on timescales longer than a week is perhaps indicative of the influence of wildfire  
620 smoke and intermittent regional dust transport which periodically affect southern  
621 Arizona during this season.

622  
623 The monsoon season exhibits the poorest performance of the simplified models out  
624 of all seasons, which is perhaps expected given the very complex meteorological  
625 pattern and the interplay between secondary aerosol production at the regional  
626 (e.g., biogenic SOA and sulfate) and local scale (e.g., urban SOA). Knowledge of the  
627 size distribution is essential since it is highly variable across all scales driven by  
628 both meteorological influences, in the form of monsoon thunderstorms, and  
629 secondary aerosol processes. Even considering size variability alone does not yield  
630 very satisfactory results implying that aerosol composition is very closely tied to  
631 changes in size distribution during the monsoon season. However, CCN variability is  
632 also lowest of all seasons, while the mean CCN concentration is relatively high  
633 implying partial cancellation in the effects caused by changes in size, number and  
634 composition. The consequence is that the NME metric is actually lowest in monsoon  
635 when a constant hygroscopicity model is used, which is the opposite of the situation  
636 during winter. Fall shows the opposite pattern to spring and pre-monsoon in that  
637 hygroscopicity has decreasing influence over longer timescales, and for the weekly

638 filtered case, the constant hygroscopicity model provides a very satisfactory model  
639 of CCN variability.

640

641 The inclusion of the cluster associations to estimate  $\kappa$  (Model vi) provides an  
642 incremental improvement in the predictive skill (+3% to +15% additional %VE)  
643 when compared to a seasonally constant  $\kappa$  (Model iii), with the exception of the pre-  
644 monsoon summer season, where a reduction in %VE was observed (~-7%).  
645 Annually, the increase was approximately +5% on %VE. The comparison between  
646 the cluster-derived activation ratio (Model v) and a constant activation ratio (Model  
647 ii) was far more significant with an annual increase of +59% on %VE suggesting that  
648 a low-order representation of the size distribution shape, where other data is  
649 unavailable (e.g., from remote sensing methods), may offer a worthwhile  
650 improvement to the estimation of CCN concentration.

651

## 652 6. Conclusions

653

654 This study investigates the respective importance of aerosol number concentration,  
655 size distribution and composition in driving CCN variability in Tucson, Arizona. In  
656 doing so, a long-term characterization of the seasonal, weekly and diurnal patterns  
657 in aerosol number concentration, size distribution and selected particle speciation  
658 has been achieved. Seasonally, the average CN concentration exhibits a moderate  
659 trend towards a minimum during summer, while CCN concentrations exhibit  
660 significant winter and summer peaks. Weekday and weekend CN concentrations  
661 track the respective diurnal weekday and weekend EC and OC mass concentrations,  
662 indicating a strong influence of local combustion aerosol, predominantly from  
663 vehicle emissions but also, in winter, from domestic biomass burning. Activation  
664 ratio and hygroscopicity, as estimated by  $\kappa$ , track the morning peak in fossil fuel  
665 emissions, by concurrently showing a marked reduction, particularly on weekdays.  
666 This helps to support the notion that CCN concentrations are not significantly  
667 enhanced by fresh fossil emissions. The effects of local emissions are typically offset  
668 by those of boundary layer mixing; however, during the warmer and more  
669 photochemically active seasons, secondary aerosol processes become more  
670 influential.

671

672 During winter, the interplay between chemistry and dynamics is such that  
673 increasing size is accompanied by increasing hygroscopicity. This occurs most  
674 commonly at night and during anomalously cold periods, when boundary layer  
675 mixing is suppressed and aerosol loading is high, thus increasing CCN  
676 concentrations. Conversely, during the day and particularly during anomalously  
677 warm and dry periods, there is sufficient convective mixing to dilute the aerosol,  
678 evaporate hygroscopic semi-volatile species and generally promote the abundance  
679 of smaller particles, reducing CCN concentrations. The combined result of these  
680 effects is to increase the variability in CCN, since each of these contributing factors  
681 act together to enhance or suppress CCN concentrations. The added consequence is

682 that simplified models offer substantial predictive skill for CCN variability even  
683 though the observed changes in the size distribution are relatively subtle.

684  
685 The summer is divided by the arrival of the North American Monsoon (July -  
686 September), which rapidly increases the abundance of moisture compared to the  
687 very hot and dry months that precede it (May – June). Secondary production of  
688 sulfate and organics becomes more influential during both summer seasons, and  
689 photochemically produced aerosol appears to be the mechanism responsible for an  
690 afternoon maximum in CCN concentration, compared to a nocturnal maximum in  
691 winter. The diurnal cycle of the boundary layer follows a similar pattern to other  
692 seasons, except that mixing heights are generally higher and nocturnal surface  
693 inversions are less pronounced, especially during the monsoon. While CN  
694 concentrations drop off during the day similar to other seasons, CCN concentrations  
695 remain relatively more stable indicating that condensed SOA and sulfate play a  
696 significant role in offsetting the loss in CCN caused by dilution.

697  
698 Another important feature of the summer is the bifurcation in the size distribution  
699 shape, where the pattern swings back and forth from (i) an abundance of ultrafine  
700 particles that are potentially tied to a nucleation event to (ii) a deficiency of Aitken  
701 mode particles, and a growth in the number of particles larger than 100 nm that are  
702 more in line with a background aerosol population. While the meteorological  
703 conditions favoring both regimes are similar and likely explained by SOA and sulfate  
704 production, the mechanisms responsible for the bifurcation are still unclear.  
705 Possible mechanisms include aerosol water uptake, leading to increased aerosol  
706 surface area for condensation, which is supported by lower humidity on days when  
707 ultrafine particles are present, particularly before the monsoon. During the  
708 monsoon, regional biogenic SOA produced as a result of increased vegetation may  
709 explain the periodic import of small SOA particles into the urban plume. Finally, the  
710 role of the monsoon thunderstorms may also be responsible for erratic changes to  
711 the size distribution simply through the sporadic disruption of the local and regional  
712 circulation pattern.

713  
714 The sensitivities of CCN concentration to changes in aerosol number, size and  
715 composition can be well represented in a theoretical framework as described by  
716 Köhler Theory and its various refinements. However, the extent to which these  
717 driving components vary, and the mechanisms through which they interact, is the  
718 primary limitation in consolidating parametric representations suitable for  
719 predictive models. Achieving satisfactory CCN closure using measurements of  
720 chemical composition and size has generally been most successful with background  
721 aerosol where substantial changes in composition are damped by aging  
722 processes. However, the results of this study suggest that in certain regimes (e.g.,  
723 during winter), where composition, size and number concentration have a more  
724 deterministic relationship, there are still opportunities for parametric  
725 simplifications to be successful even when chemical processes are relatively  
726 complex. Since the relationship can be explained by somewhat broad  
727 environmental mechanisms not entirely specific to Tucson, similar conclusions can

728 be drawn for other urban areas with comparable geographical and climatological  
729 settings.

730  
731 The methods employed in this study also have implications for studies in other  
732 regions, specifically in the use of clustering and reduced models for CCN closure.  
733 While this study has considered model performance with respect to temporal scales  
734 of variability at one site, there is an opportunity to extend this methodology to  
735 assess spatial patterns across multiple sites, and to include the development of a  
736 generalized clustering method that categorizes spatial and temporal variability. The  
737 ultimate goal of such an effort would be to estimate the global performance (by  
738 areal coverage) of reduced order CCN closure approximations, a result which has  
739 substantial importance in constraining aerosol-cloud interactions for modeling  
740 future climate scenarios. Future work using the TACO dataset will focus on the  
741 predictability of  $\kappa$  using measurements of composition, patterns in the  
742 environmental conditions (e.g., emissions, meteorology and other auxiliary  
743 measures), and sub-saturated aerosol hygroscopicity with the primary goal being to  
744 determine if a single-parameter representation of CCN activation is suitable for this  
745 environment. In addition, we will focus on addressing the factors which control the  
746 summertime size distribution bifurcations and the extent to which they are  
747 influenced by biogenic and anthropogenic SOA production pathways.

748  
749 Acknowledgements:  
750

751 This research was supported in part by Grant 2 P42 ES04940-11 from the National  
752 Institute of Environmental Health Sciences (NIEHS) Superfund Research Program,  
753 NIH, the University of Arizona Foundation, the Institute of the Environment at the  
754 University of Arizona, and the Center for Environmentally Sustainable Mining  
755 through TRIF Water Sustainability Program funding. Funding is also acknowledged  
756 from NASA grants NNX14AK79H, NNX12AC10G, and NNX14AP75G. Glenn Shaw is  
757 acknowledged for helpful suggestions during the preparation of the manuscript. We  
758 acknowledge the sponsors of the IMPROVE network, the Pima County Department  
759 of Environmental Quality, NREL, UCAR and SuomiNet for data used in this study.

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1198 Table 1: Seasonal mean and extreme CN and CCN concentrations from hourly  
1199 averaged data. Seasons are defined as follows: winter (W = DJF), spring (S=MA),  
1200 pre-monsoon (PM = MJ), monsoon (M = JAS), fall (F = ON).  
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		Concentration (cm <sup>-3</sup> )	W	S	PM	M	F
CN	Mean	5189	4853	3872	4200	5200	
	Max (99%)	14406	13799	10869	11606	13682	
	Min (1%)	749	686	807	1070	853	
CCN <sub>SS=0.2%</sub>	Mean	430	233	301	372	303	
	Max (99%)	1945	809	667	741	951	
	Min (1%)	56	59	101	100	81	

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1203 Table 2: Seasonal mean OC and EC concentrations, and associated standard  
1204 deviations, at the TACO and IMPROVE sites.

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Site	Concentration ( $\mu\text{g m}^{-3}$ )	W	S	PM	M	F
TACO	EC	$0.69 \pm 0.66$	$0.38 \pm 0.38$	$0.27 \pm 0.36$	$0.40 \pm 0.34$	$0.54 \pm 0.46$
	OC	$6.96 \pm 3.40$	$5.05 \pm 2.25$	$4.87 \pm 1.98$	$4.40 \pm 1.60$	$5.31 \pm 2.20$
SAGUARO NM	EC	$0.15 \pm 0.07$	$0.11 \pm 0.05$	$0.10 \pm 0.05$	$0.12 \pm 0.04$	$0.13 \pm 0.07$
	OC	$0.51 \pm 0.18$	$0.50 \pm 0.17$	$0.63 \pm 0.33$	$0.63 \pm 0.27$	$0.45 \pm 0.20$
SAGUARO WEST	EC	$0.22 \pm 0.13$	$0.12 \pm 0.06$	$0.11 \pm 0.05$	$0.13 \pm 0.04$	$0.18 \pm 0.08$
	OC	$0.61 \pm 0.30$	$0.49 \pm 0.17$	$0.74 \pm 0.32$	$0.69 \pm 0.28$	$0.55 \pm 0.20$

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1210 Table 3: Seasonally derived mean cluster properties and associated environmental  
 1211 conditions (AR = activation ratio). Meteorological variables (T, RH and direct  
 1212 normal irradiance (DNI)) are presented as anomalies, based on departure from  
 1213 hourly means for each month. Entries in parentheses indicate that the cluster occurs  
 1214 less than 15% of the time in that season. An asterisk (\*) next to EC denotes a case  
 1215 when the concentration is below LOD. O<sub>3</sub> data are obtained from a surface pollutant  
 1216 monitoring site (~ 9 km from TACO) operated by the Pima County Department of  
 1217 Environmental Quality (Children's Park Station).

1218

Cluster	Season	CN cm <sup>-3</sup>	CCN cm <sup>-3</sup>	AR	$\kappa$	EC $\mu\text{g m}^{-3}$	OC $\mu\text{g m}^{-3}$	WSOC:OC	O <sub>3</sub> ppb	$\Delta T$ °C	$\Delta RH$ %	$\Delta DNI$ Wm <sup>-2</sup>
N	W	(4007)	(195)	(0.065)	(0.19)	(0.21)	(4.81)	(0.38)	(37)	(2.60)	(-3.8)	(35)
	S	(4966)	(228)	(0.057)	(0.16)	(0.17)*	(4.51)	(0.19)	(45)	(0.97)	(-1.7)	(75)
	PM	4328	276	0.076	0.15	0.23	4.48	0.29	44	0.53	-0.3	23
	M	5687	351	0.086	0.17	0.38	4.35	0.38	36	0.44	-2.6	38
	F	6674	249	0.067	0.17	0.33	4.35	0.20	33	0.68	0.9	40
FF	W	4985	249	0.064	0.17	0.75	7.20	0.23	25	0.85	-1.7	4
	S	5161	198	0.050	0.13	0.36	5.35	0.18	32	-0.30	-0.8	-3
	PM	4935	278	0.067	0.12	0.10*	5.32	0.20	33	-0.77	-1.4	-49
	M	5536	360	0.084	0.15	0.46	5.09	0.32	29	-0.64	1.7	-41
	F	7256	282	0.058	0.14	0.56	5.55	0.26	19	-0.16	-1.2	-15
WN	W	6337	490	0.093	0.19	1.79	11.0	0.18	16	-0.42	-0.1	-4
	S	4980	278	0.071	0.16	0.36	5.63	0.18	29	-0.25	2.0	-43
	PM	(4042)	(334)	(0.098)	(0.15)	(0.07)*	(5.09)	(0.20)	(35)	(-0.72)	(0.5)	(-40)
	M	(4382)	(392)	(0.106)	(0.16)	(0.40)	(5.33)	(0.34)	(29)	(-0.96)	(5.8)	(-60)
	F	7743	363	0.080	0.16	0.62	5.94	0.33	16	-1.06	0.9	-26
CC	W	(6203)	(811)	(0.153)	(0.23)	(1.08)	(9.15)	(0.27)	(18)	(-0.39)	(5.6)	(-13)
	S	(2659)	(267)	(0.124)	(0.18)	(0.17)*	(4.63)	(0.19)	(44)	(1.30)	(-0.3)	(-21)
	PM	2412	349	0.166	0.15	0.09*	5.03	0.28	46	0.41	1.3	17
	M	2884	414	0.173	0.17	0.27	4.43	0.37	38	0.26	0.2	-24
	F	(3964)	(356)	(0.145)	(0.20)	(0.33)	(4.93)	(0.27)	(30)	(1.48)	(0.9)	(-25)

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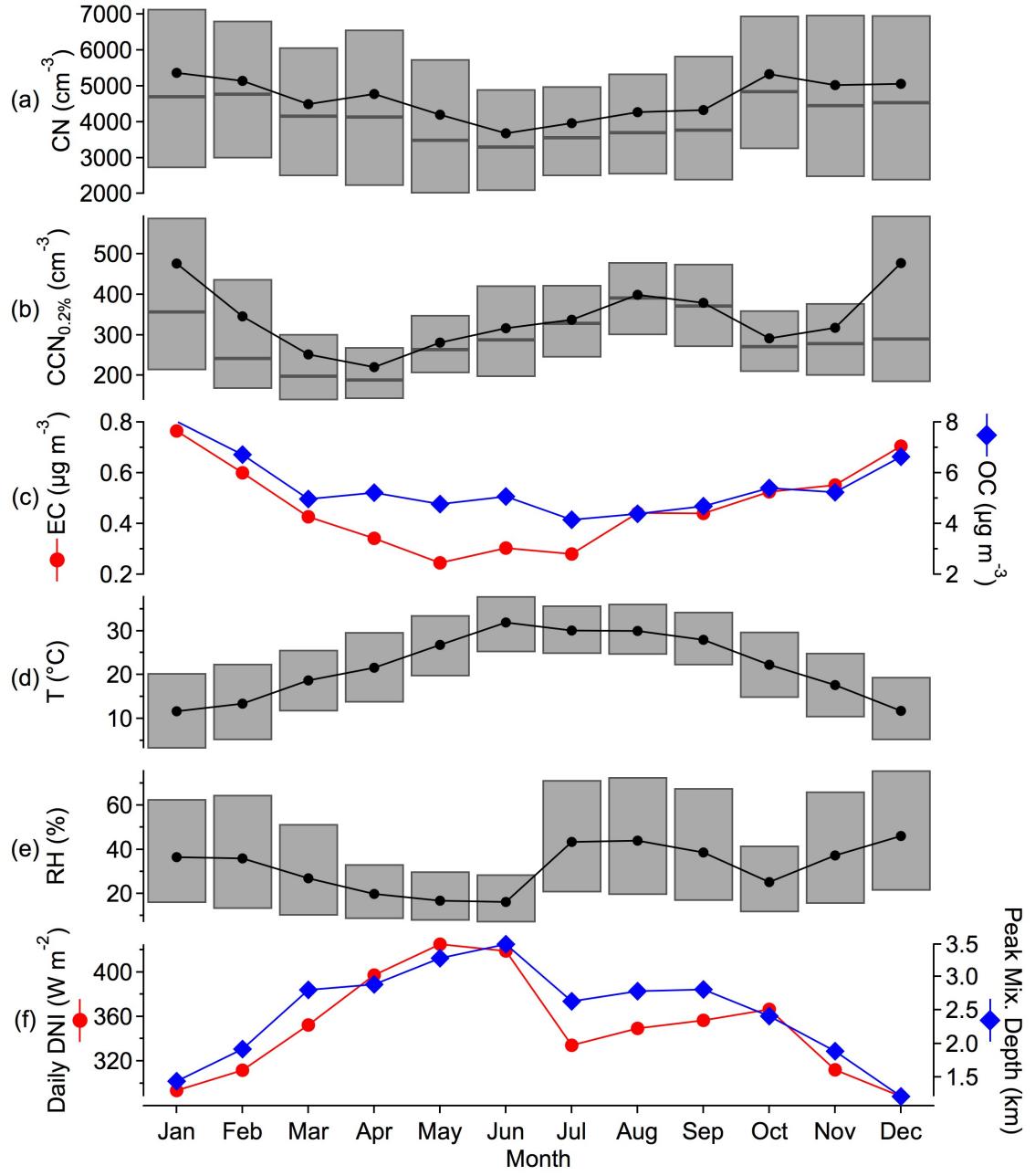
1221 Table 4: Closure model performance as quantified by variance explained (top) and  
 1222 normalized mean error (bottom). Models (i)-(iv) include holding constant either  
 1223 CCN, activation ratio (AR),  $\kappa$ , or size distribution (SD). Model (v) uses the cluster  
 1224 properties and associations (see Figure 5 and Table 3), Model (vi) uses the same  
 1225 assumptions as Model (iii) except that  $\kappa$  is determined from cluster associations,  
 1226 and Model (vii) is a reconstruction for reference only. A dash (-) indicates that the  
 1227 result is not available or performed so poorly it cannot be quantified by the metric.  
 1228

		Model (%VE)						
		(i) Const. CCN	(ii) Const. AR	(iii) Const. $\kappa$	(iv) Const. SD	(v) Clus. only	(vi) Clus. $\kappa$	(vii) Ref.
All	ALL	-	3.7	63.2	43.9	62.3	68.4	99.6
	W	-	44.9	81.6	72.5	78.4	84.1	99.7
	S	-	-	25.3	55.3	3.5	37.5	99.7
	PM	-	-	40.5	-	43.1	34.2	99.4
	M	-	-	35.5	-	-	42.3	99.1
	F	-	-	40.3	31.1	3.4	54.6	99.4
Daily	ALL	-	6.1	70.0	47.0	-	-	99.4
	W	-	35.9	81.2	71.6	-	-	99.5
	S	-	6.5	-	62.1	-	-	99.0
	PM	-	0.2	52.5	15.4	-	-	98.7
	M	-	-	64.0	-	-	-	98.5
	F	-	-	59.9	17.8	-	-	98.1
Weekly	ALL	-	7.1	67.7	43.3	-	-	99.0
	W	-	15.8	66.4	77.8	-	-	98.8
	S	-	6.0	33.7	74.1	-	-	98.3
	PM	-	45.4	72.9	75.8	-	-	96.9
	M	-	-	43.9	-	-	-	96.3
	F	-	3.9	89.5	0.3	-	-	97.9

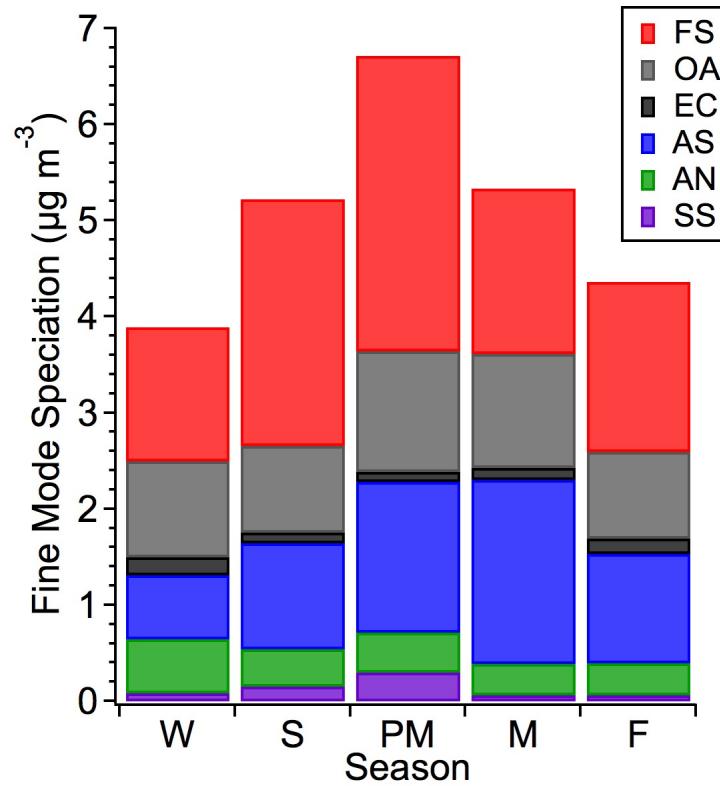
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		Model (%NME)						
		(i) Const. CCN	(ii) Const. AR	(iii) Const. $\kappa$	(iv) Const. SD	(v) Clus. only	(vi) Clus. $\kappa$	(vii) Ref.
All	ALL	73	72	45	55	45	41	4.4
	W	94	70	40	49	44	38	5.2
	S	70	73	60	47	69	55	4.0
	PM	46	59	36	53	35	38	3.7
	M	34	58	27	58	36	26	3.3
	F	53	60	41	44	52	36	4.0
Daily	ALL	53	52	29	40	-	-	4.2
	W	63	51	27	34	-	-	4.6
	S	48	47	60	30	-	-	4.7
	PM	33	32	22	30	-	-	3.7
	M	26	37	16	37	-	-	3.2
	F	31	34	20	28	-	-	4.2
Weekly	ALL	36	35	20	27	-	-	3.6
	W	36	33	21	17	-	-	4.0
	S	27	26	22	14	-	-	3.5
	PM	22	16	11	11	-	-	3.8
	M	16	20	12	25	-	-	3.1
	F	21	21	6.8	21	-	-	3.1

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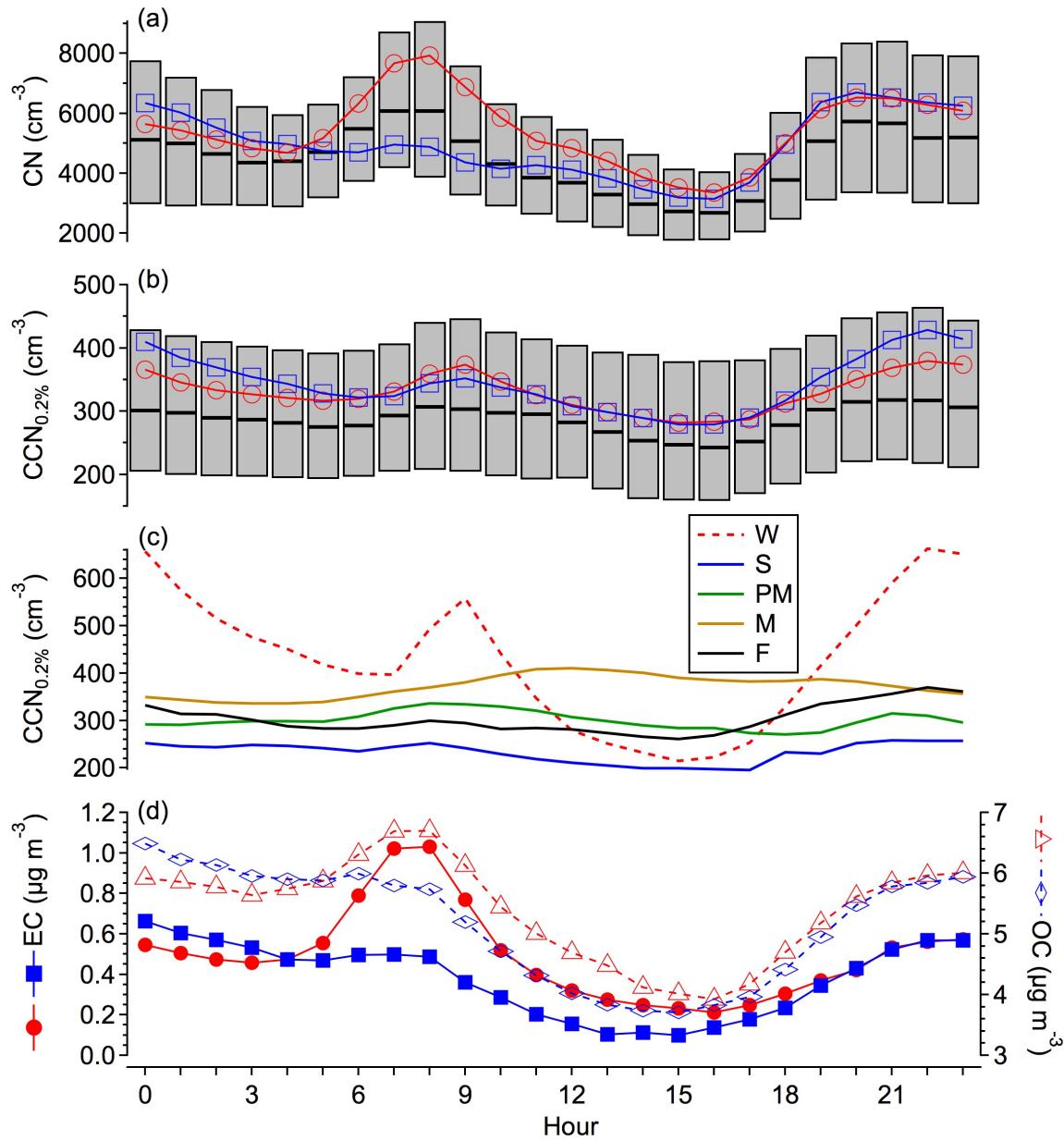


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1233 Figure 1: Monthly statistics of (a) CN, (b) CCN (0.2%), (c) OC and EC, (d)  
1234 temperature, (e) RH, and (f) direct normal irradiance (DNI). Circles, diamonds, and  
1235 the lines connecting them represent monthly averages. For (a) CN and (b) CCN, bars  
1236 represent median and interquartile range of sub-monthly variability of the 1-hr  
1237 averaged data. For (d) temperature and (e) relative humidity, bars represent  
1238 monthly extremes, as measured by 5% and 95% levels of the 1-min average data.  
1239 DNI is presented using 24-hour averages so that it includes the effect of the  
1240 changing length of day with season, and peak mixing depth is calculated using the 4  
1241 PM radiosonde data.  
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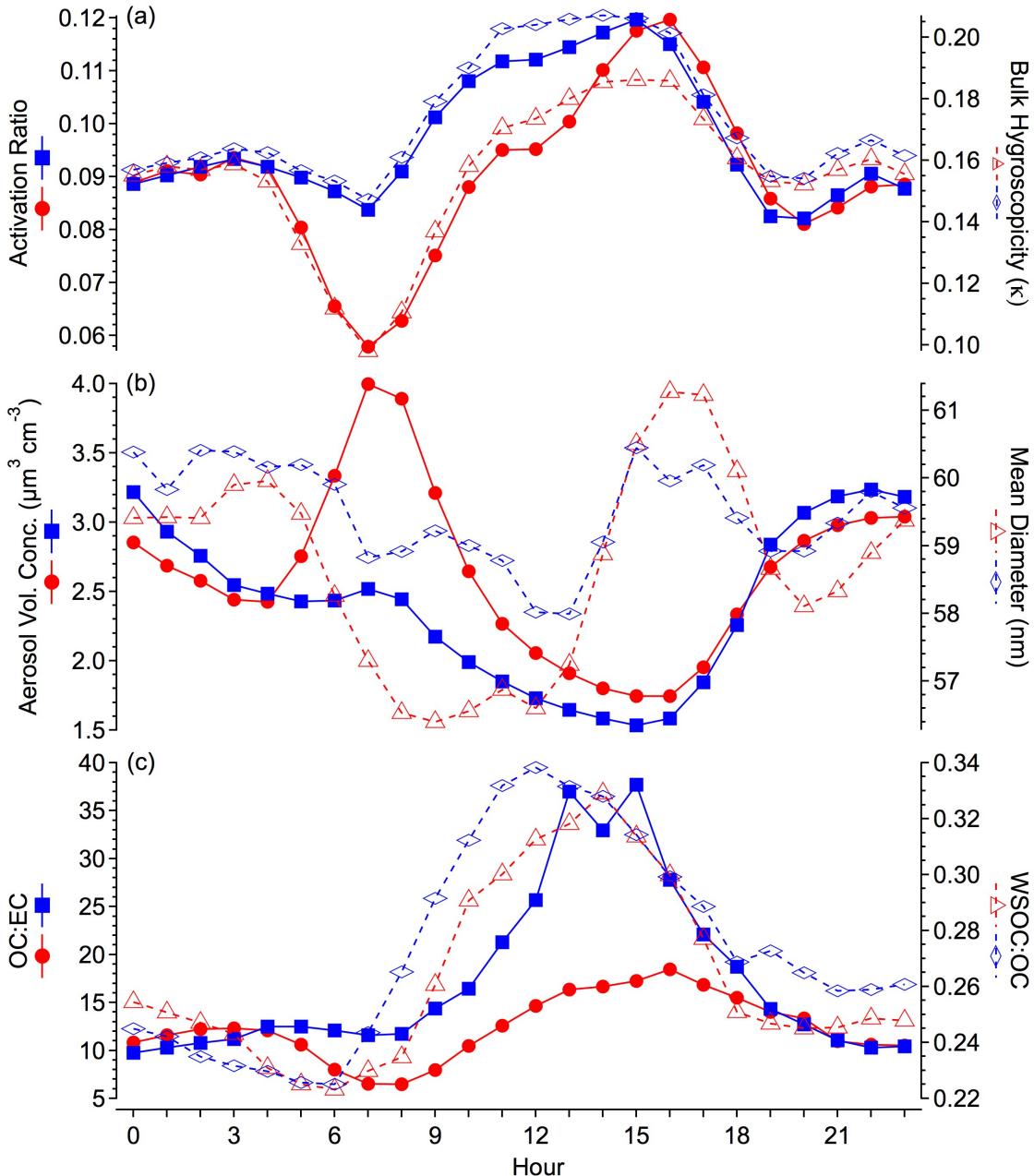


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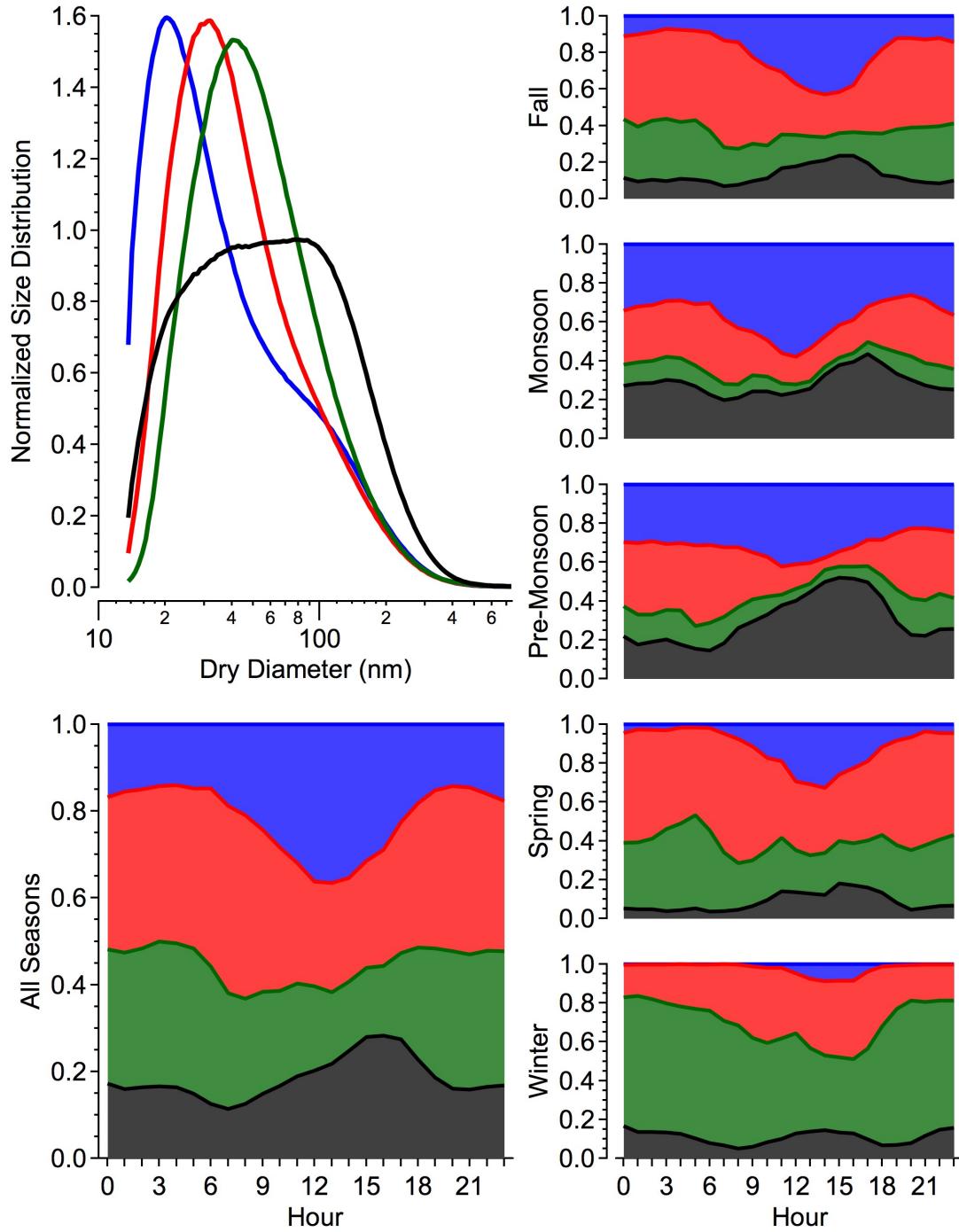
Figure 2: Seasonal PM<sub>2.5</sub> speciation from the averaged Saguaro National Park and Saguaro West IMPROVE sites. Six major groupings comprising the PM<sub>2.5</sub> mass are shown: FS = fine soil, OA = organic aerosol, EC = elemental carbon, AS = ammonium sulfate, AN = ammonium nitrate, SS = sea salt.



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1251 Figure 3: Hourly trends of (a) CN and (b) CCN (0.2%). Bars indicate median and  
1252 interquartile range of the variability within each hour. Mean CN and CCN  
1253 concentrations are shown for both weekdays (red) and weekends (blue). Hourly  
1254 trends of CCN are shown in (c) for each season. Mean EC (solid) and OC (dashed)  
1255 concentrations (d) are shown for weekdays (red) and weekends (blue).  
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1259 Figure 4: Hourly trends of activation related properties, OC:EC ratio, and WSOC:OC  
1260 ratio for weekdays (red) and weekends (blue). Note the applicability of the OC:EC  
1261 ratio starts to become less well defined on weekends above 25 since EC  
1262 concentrations are typically below LOD.  
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Figure 5: Size distribution cluster centroids, as derived by the K-means algorithm, and the hourly distribution of cluster associations separated by season. Clusters are assigned the following identifiers: Nucleation (N; blue), Fresh Fossil (FF; red), Winter/Nocturnal (WN; green) and Condensation/Coagulation (CC; black).