

Dear Editor,

We appreciate very much your comments that we believe have helped us to strengthen the manuscript. Below we include the updated manuscript with tracking, which addressed each comment.

*Sincerely,
Juying Warner*

*Department of Atmospheric & Oceanic Science
University of Maryland
Email: juying@atmos.umd.edu*

1 **The Global Tropospheric Ammonia Distribution as seen in the 13-year AIRS**
2 **Measurement Record**

3 Warner, J.X.¹, Z. Wei¹, L. L. Strow², R. R. Dickerson¹, J. B. Nowak³

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5 ¹Department of Atmospheric and Oceanic Science, University of Maryland College Park,
6 College Park, MD 20742, U.S.A.

7 ²Department of Physics and Joint Center for Environmental Technology, University of Maryland
8 Baltimore County, Baltimore, MD 21250, U.S.A.

9 ³Aerodyne Research, Inc., Billerica, MA 01821, U.S.A.

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32 **Abstract:**

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34 Ammonia (NH₃) plays an increasingly important role in the global biogeochemical cycle of
35 reactive nitrogen as well as in aerosol formation and climate. We present extensive and nearly
36 continuous global ammonia measurements made by the Atmospheric Infrared Sounder (AIRS)
37 from the Aqua satellite to identify and quantify major persistent and episodic sources as well as
38 to characterize seasonality. We examine the 13-year period from September 2002 through
39 August 2015 with a retrieval algorithm using an optimal estimation technique with a set of three,
40 spatially and temporally uniform a priori profiles. Vertical profiles show good agreement (~5 –
41 15%) between AIRS NH₃ and the in situ profiles from the winter 2013 DISCOVER-AQ
42 ([DISCOVER-Air Quality](#)) field campaign in central California, despite the likely biases due to
43 spatial resolution differences between the two instruments. [The AIRS instrument](#) captures the
44 strongest consistent NH₃ concentrations due to emissions from the anthropogenic (agricultural)
45 source regions, such as [South Asia \(India/Pakistan\)](#), [China](#), the [United States \(U.S.\)](#), parts of
46 Europe, [Southeast \(SE\) Asia \(Thailand/Myanmar/Laos\)](#), the central portion of South America, as
47 well as Western and Northern Africa. These correspond primarily to irrigated croplands, as well
48 as regions with heavy precipitation, with extensive animal feeding operations and fertilizer
49 applications where a summer maximum and a secondary spring maximum are reliably
50 observable. In the Southern Hemisphere (SH) regular agricultural fires contribute to a spring
51 maximum. Regions of strong episodic emissions include Russia and Alaska as well as parts of
52 South America, Africa, and Indonesia. Biomass burning, especially wildfires, dominate these
53 episodic NH₃ high concentrations.

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65 **1. Introduction**

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67 Global ammonia (NH₃) emissions are increasing due to the increased agricultural livestock
68 numbers coupled with the increasing use of nitrogen fertilization. Atmospheric ammonia has
69 impacts upon local scales, acidification and eutrophication of the ecosystems, and international
70 (transboundary), as well as local, scales through formation of fine ammonium containing
71 aerosols (Sutton et al., 2007, 2008). Ammonia reacts rapidly with sulfuric (H₂SO₄), nitric
72 (HNO₃), and hydrochloric (HCl) acids to form a large fraction of secondary aerosols, i.e., fine
73 Particulate Matter (PM_{2.5}) (particles less than 2.5 micrometers in diameter) (Malm et al., 2004).
74 These ammonium containing aerosols affect Earth's radiative balance, both directly by scattering
75 incoming radiation and indirectly by acting as cloud condensation nuclei (e.g., Adams et al.,
76 2001; Martin et al., 2004; Abbatt et al., 2006; Wang et al., 2008; Henze et al., 2012). A large
77 percentage of PM_{2.5} can penetrate human respiratory systems and deposit in the lungs and
78 alveolar regions, thus endangering public health (e.g., Pope et al., 2002). Ammonia deposition
79 modifies the transport lifetimes, and deposition patterns of sulfur dioxide (SO₂) and nitrogen
80 dioxide (NO_x) (Wang et al., 2008; Henze et al., 2012). Additionally, ammonia increases the
81 concentrations of the greenhouse gas, nitrous oxide (N₂O) (EPA, 2011) and, together with NH₄⁺
82 content in soils, NH₃ is involved in CH₄ production and release (Fowler et al., 2009). NH₃ can
83 also contribute to increases in radiative forcing through conversion of organic carbon (OC) into
84 brown carbon (BrC) (Updyke et al., 2012). Therefore, monitoring NH₃ global distribution of
85 sources is important to human health, with respect to air and water quality, and climate change.

86

87 Atmospheric ammonia concentrations have been modeled from a three-dimensional coupled-
88 oxidant-aerosol model (GEOS-Chem) (Bey et al., 2001) to estimate natural and transboundary
89 pollution influences on sulfate-nitrate-ammonium aerosol concentrations in the United States
90 (U.S.) (Park et al., 2004). We used the simulated NH₃ fields from GEOS-Chem as the retrieval a
91 priori for this study. A number of ammonia related science studies and top-down inventory
92 studies are based on GEOS-Chem and its adjoint (Henze et al., 2009; Heald et al., 2012; Paulot
93 et al., 2013; Zhu et al., 2013; Paulot et al., 2014; Paulot and Jacob, 2014). The model's ammonia
94 emissions were based on annual data from the 1990 1° x 1° GEIA inventory of Bouwman et al.
95 (1997). Table 1b from Park et al. (2004) shows a summary of global and contiguous U.S.

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100 ammonia emissions for 2001. The inventory's categories include anthropogenic sources:
101 domesticated animals, fertilizers, human bodies, industry, fossil fuels, and natural sources:
102 oceans, crops, soils, and wild animals. Additional emissions from biomass burning and biofuel
103 used were computed using the global inventories of Duncan et al. (2003) and Yevich and Logan
104 (2003), with an emission factor of 1.3 g NH₃ per kilogram dry mass burned (Andreae and Merlet,
105 2001). For the emissions from domesticated animals and soils, the GEOS-Chem model used the
106 exponential dependencies on temperature reported by Aneja et al. (2000) and Roelle and Aneja
107 (2002), respectively. Ammonia emissions from crops and fertilizers were assumed to vary
108 seasonally with the number of daylight hours (Adams et al., 1999). Seasonal variations in
109 biomass burning and biofuel emissions in the model were specified based on satellite
110 observations (Duncan et al., 2003) and the heating degree-days approach (Park et al., 2004). The
111 GEOS-Chem model can be used to generate 3-D global monthly mean fields of NH₃
112 concentrations, or higher temporal resolutions (e.g., [daily or hourly](#)), for various years.

113
114 Satellite remote sensing offers unique opportunities to monitor environmental variables with
115 [relatively high](#) temporal and spatial [coverages](#). Ammonia measurements with large, daily global
116 coverage are challenging and lacking, partly due to the relatively short (hours to a day) lifetime
117 of NH₃ near the Earth's surface, and partly because its retrievals require high sensitivity that can
118 be only obtained from areas with high thermal contrast (TC, the temperature difference between
119 that of the surface temperature and of the first discernable atmospheric layer) near the surface
120 (Clarisse et al., 2010). First measurements of ammonia from space were reported over Beijing
121 and San Diego, CA areas, [as examples](#), with the Tropospheric Emission Spectrometer (TES,
122 Beer et al., 2008) and in biomass burning plumes with the Infrared Atmospheric Sounding
123 Interferometer (IASI, Coheur et al., 2009) satellite. Shephard et al. (2011) documented the TES
124 ammonia retrieval methodology. TES NH₃ data has been utilized jointly with GEOS-Chem in
125 various emission source studies (e.g. [Alvarado et al., 2011](#); [Pinder et al., 2011](#); [Walker et al.,](#)
126 [2012](#); [Zhu et al., 2013](#)). Luo et al. (2014) compared TES NH₃ versus carbon monoxide (CO)
127 ratios, using data from [the](#) year 2007, to those of [the](#) GEOS-Chem model with a focus on
128 biomass burning emissions using TES representative volume mixing ratio values (Shephard et al.,
129 2011).

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135 The first global map of ammonia was created from IASI measurements by correlating observed
136 brightness temperature differences [between strong NH₃ absorbing channels and weak ones](#) to
137 NH₃ total columns using averaged datasets from 2008 (Clarisse et al., 2009). It was later
138 concluded that this method tends to underestimate the global emission inventories at a number of
139 global NH₃ hotspots using IASI radiances. Clarisse et al. (2010) examined the ammonia amounts
140 in the San Joaquin Valley [of California in the U.S.](#) using an optimal estimation (OE) retrieval
141 method (Rodgers, 2000) with a global uniform a priori and IASI radiances and compared [them](#)
142 with TES measurements. They studied the factors influencing the ability to use satellite [infrared](#)
143 (IR) instruments to retrieve accurate NH₃ columns and concentrations, finding that the main
144 factors were NH₃ concentrations and thermal contrast. They concluded that through retrieval and
145 forward radiative transfer model runs, if both of the NH₃ concentrations and thermal contrast are
146 large enough, it is possible to quantify ammonia near the lowest level of the atmosphere. R'Honi
147 et al. (2013) discussed the elevated concentrations of NH₃ and HCOOH emitted by the 2010
148 Russian wildfires. Heald et al. (2012) [used](#) IASI ammonia products [jointly with](#) the GEOS-Chem
149 [output to study inorganic aerosol loading and atmospheric ammonia concentrations over the U.S.](#)
150
151 Global ammonia sources and variability based on continuous monitoring with longer than a
152 decade record (13 years) have not been [hitherto](#) available. This study introduces a newly
153 developed daily and global ammonia product from the Atmospheric Infrared Sounder (AIRS) on
154 the NASA EOS Aqua satellite, [spanning September 2002 through August 2015](#). The AIRS orbit
155 covers nearly the entire globe twice daily, and due to cloud clearing, recovers up to 70% of
156 cloudy coverage (Susskind et al., 2003; Warner et al., 2013). Additionally, AIRS is in the
157 afternoon [Equator](#) crossing time; and therefore, it offers high sensitivity due to higher surface
158 temperature and provides higher thermal contrast to NH₃ measurements.
159
160 In the next section, we detail the methodology used to develop the global products of NH₃ and
161 present the discussions for data quality. In Section 3, we show examples of validation cases
162 using in situ data from a recent NASA aircraft mission – DISCOVER-AQ (Crawford et al.,
163 2014) (<http://discover-aq.larc.nasa.gov>). Section 4 illustrates the global distributions of the NH₃
164 sources. We demonstrate the seasonal variability of NH₃ concentrations using AIRS 13 [year](#)
165 measurements in Section 5, before summarizing results in Section 6.

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2. Methodology

The AIRS instrument is a grating spectrometer with 2378 separate spectral channels between 650-2670 cm^{-1} (15.3-3.8 μm) with a spectral resolving power on the order of 1200. Twelve channels of the AIRS radiances in the window regions (860 – 875, 928 – 932, and 965 – 967 cm^{-1}) are currently used to retrieve NH_3 . These channels are carefully selected so that the retrievals are based on the NH_3 sensitivity, while the effects of the surface and overlapping gases are minimized. AIRS cloud clearing, described by Susskind et al. (2003), increases the data coverage significantly to nearly 50 – 70% of the total measurements, instead of the pure clear coverage of approximately 10 – 15% at a 13.5 km^2 single-view pixel size (Warner et al., 2013). AIRS NH_3 retrievals are based on the cloud-cleared radiances (CCRs) from AIRS L2 products. The averaging kernel (AK) peaks at about 918 hPa giving AIRS good sensitivity to lower tropospheric NH_3 because the planetary boundary layer generally extends above this altitude at the overpass local time of 1:30 pm.

The algorithm used in this AIRS NH_3 study was based on a retrieval module developed for AIRS carbon monoxide (CO) products (Warner et al., 2010). This module was built upon and added to the current AIRS operational system or team algorithm (Susskind et al., 2003), but used a different minimization method. The NH_3 module uses AIRS Version 6 (V6) Level 2 (L2) profiles and errors from the previous retrieval steps (i.e., surface, clouds, water vapor, ozone, methane, CO) as input to the AIRS forward model – the Stand-alone AIRS Radiative Transfer Algorithm (SARTA) (Strow et al., 2003). We used SARTA with the addition of NH_3 as a variable gas, which was carried out by co-author Strow and co-workers, since the official AIRS forward model does not include NH_3 absorption as a variable. AIRS NH_3 retrievals use an OE method following the formulations given by Rodgers (2000), and also described by Pan et al., (1998). The OE retrieval output quantities not only include the NH_3 concentrations, but also provide the AKs, the error covariance, and the degrees of freedom for signal (DOFS), which benefit model verifications and data assimilation by using well-quantified errors.

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205 Given a model of the instrument's signals, in the OE method, the forward equation for the NH₃
206 profile retrieval problem can be written as:

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$$208 \quad \mathbf{y} = \mathbf{f}(\mathbf{x}, \mathbf{b}) + \mathbf{n}, \quad (1)$$

209

210 where \mathbf{y} is the vector of measured radiances, \mathbf{x} is the state vector (variables to be retrieved from
211 the measurements), \mathbf{b} represents all other parameters used by the forward model, $\mathbf{f}(\mathbf{x}, \mathbf{b})$ is the
212 forward model function, and \mathbf{n} is the instrument noise. For the variables that obey a Gaussian
213 distribution, this inverse problem is equivalent to the maximum likelihood solution. By using a
214 Newtonian iteration; the solution to equation (1) can be written as (Rodgers, 2000):

215

$$216 \quad \mathbf{x}_{n+1} = \mathbf{x}_a + \mathbf{C}_a \mathbf{K}_n^T (\mathbf{K}_n \mathbf{C}_a \mathbf{K}_n^T + \mathbf{C}_e)^{-1} [\mathbf{y} - \mathbf{y}_n - \mathbf{K}_n (\mathbf{x}_a - \mathbf{x}_n)] \quad (2)$$

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218 where n is the order of iteration and \mathbf{C}_e is the measurement error covariance matrix.

219 $\mathbf{K}_n = \partial \mathbf{f}(\mathbf{x}, \mathbf{b}) / \partial \mathbf{x}$ is the jacobian matrix for iteration n , which is the sensitivity matrix of the
220 forward model to the state vector \mathbf{x} . \mathbf{x}_a is the mean of the a priori distribution and \mathbf{C}_a is the a
221 priori error covariance matrix for \mathbf{x}_a .

222

223 As defined by the retrieval formulations, the AKs are computed using the following:

224

$$225 \quad \mathbf{A} = \mathbf{C}_a \mathbf{K}^T (\mathbf{K} \mathbf{C}_a \mathbf{K}^T + \mathbf{C}_e)^{-1} \mathbf{K} \quad (3)$$

226

227 and,

228

$$229 \quad \mathbf{x}' \approx \mathbf{A} \mathbf{x} + (\mathbf{I} - \mathbf{A}) \mathbf{x}_a, \quad (4)$$

230

231 where \mathbf{I} represents the identity matrix and \mathbf{x} is the true state. Equation (4) states that in the
232 absence of other error sources the retrieved state is a weighted mean of the true state and the a
233 priori state, with the weight \mathbf{A} for the true state and $\mathbf{I} - \mathbf{A}$ for the a priori. This shows the
234 importance of AKs as diagnostics of the retrieval. The closer the matrix \mathbf{A} is to the identity
235 matrix the more the retrieved state resembles the true state.

236

237 The optimal estimation method requires an a priori mean profile and a corresponding error
238 covariance matrix that represent the current knowledge of the geophysical property, i.e., NH₃,
239 prior to the retrieval. Due to the high spatial variability and short lifetime of NH₃, a simple fixed
240 a priori for all emission scenarios is not appropriate. We developed a global mean, multi-year
241 averaged (2003-2012), three-tier a priori from GEOS-Chem model (v9-02) simulations for high,
242 moderate, and low pollutions. We used GEOS-5 MERRA datasets from the NASA Global
243 Modeling and Assimilation Office (Rienecker et al., 2011) to drive the meteorological fields in
244 the GEOS-Chem simulations. Figure 1 shows the a priori mean profiles (solid curve with
245 squares) and the error covariance matrices (horizontal bars) for the low (left panel), the moderate
246 (middle panel), and the high pollution (right panel), respectively. The high pollution range was
247 defined by profiles with Volume Mixing Ratios (VMRs) greater than or equal to 5 parts-per-
248 billion-volume (ppbv) at [the](#) surface. The moderate pollution range includes the profiles with
249 surface VMRs greater than or equal to 1 ppbv but less than 5 ppbv, or greater than 1 ppbv at any
250 level between the surface and 500hPa. The low pollution is then defined as being lower than the
251 lower bounds of the moderate pollution range. The profiles were adjusted to match AIRS
252 forward model levels. The modeled profiles are extrapolated near the surface with additional
253 constraints to [eliminate high values in the model near the surface, which](#) are likely seen by
254 satellite sensors.

255

256 [The](#) same set of the three-tier a priori [profiles](#) is used globally and throughout the AIRS data
257 record. Thus, any spatial and temporal NH₃ variations detected using this algorithm are from
258 AIRS measurements. To select one of the three a priori [profiles](#) for each AIRS pixel, we examine
259 the brightness temperature difference between a strong and a weak channel, divided by the
260 measurement noise of the strong channel, defined as a “difference of brightness temperature
261 index” (DBTI). This is similar to the method used by TES NH₃ and described by Shephard et al.
262 (2011). The DBTIs vary with meteorological conditions and, most importantly, the thermal
263 contrast at the surface. To take into account of these effects, we simulate the relationship
264 between the brightness temperature differences and TC under various meteorological conditions
265 using SARTA. We randomly picked 13790 profiles from AIRS L2 products over land from the
266 months of January, April, July, and October in years 2003, 2008, and 2011. We then perturbed

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270 the NH₃ values spanning the three a priori mean profiles using the range of 0 – 100 ppbv
271 [multiplied by a random number](#) for each atmospheric profile. The observed brightness
272 temperatures are compared with the simulated values at a given TC to determine the level of a
273 priori for the full retrievals. Figure 2 depicts a relationship between the DBTI and DOFS for the
274 three emission levels with low emissions in blue, moderate emissions in green, and high
275 emissions in red. The higher DBTIs are correlated with higher DOFS, which represent higher
276 surface thermal contrast (Deeter et al., 2007).

277

278 The NH₃ retrieval quality assurance levels are determined based on the retrieval sensitivities
279 under various meteorological and surface conditions using the AKs and the DOFS. We also take
280 into account the performance of the retrievals against surface thermal contrasts from AIRS
281 products. Additionally, we examine the retrieval residuals, χ^2 , and the number of iterations to set
282 proper quality assurance flags. [The retrieval residuals in Kelvin \(K\) are defined by the square
283 root of the mean variance of the observed brightness temperatures minus calculated.](#) The NH₃
284 retrieval quality is affected by the meteorological properties, such as the vertical temperature and
285 water vapor profiles, surface temperatures, and emissivity, which are used to model the
286 atmosphere. We also adapt the error information provided by the AIRS CCR for the relevant
287 channels, which includes meteorological quantities that are used in deriving the AIRS CCR
288 ([http://disc.sci.gsfc.nasa.gov/AIRS/documentation/v6_docs/v6releasedocs1/V6_Level_2_Cloud_](http://disc.sci.gsfc.nasa.gov/AIRS/documentation/v6_docs/v6releasedocs1/V6_Level_2_Cloud_Cleared_Radiances.pdf)
289 [Cleared_Radiances.pdf](http://disc.sci.gsfc.nasa.gov/AIRS/documentation/v6_docs/v6releasedocs1/V6_Level_2_Cloud_Cleared_Radiances.pdf)). This error information is flagged by Q0, Q1, and Q2 with Q0 having
290 the highest quality and Q2 being unusable. In the remaining discussions of this study, we used χ^2
291 between 0.9 and 27, considering that the channels used are not all spectrally independent. The
292 number of iterations limit was set at 10, meanwhile, only the cases with retrieval residuals less
293 than 1 K are used. We also excluded cases with the surface thermal contrast between -4 and +4 K,
294 to avoid ambiguous a priori levels; however, this primarily affects areas over the global oceans.
295 Any additional screening of the data for higher quality requirements, e.g., the use of DOFS, will
296 be discussed case by case. Although we have developed AIRS NH₃ products for all available
297 datasets, only the daytime and land cases are discussed in this study. Additionally, only radiances
298 with quality flag as Q0 are selected for the discussions in the following sections to ensure the
299 best accuracy.

300

301 **3. Validation with in situ measurements**

302

303 Validations of retrievals using in situ measurements are vital to quantifying uncertainties in the
304 concentrations, sources, transport patterns, and trends using satellite data. Direct measurements
305 of tropospheric NH₃ are relatively sparse and in situ measurements above the ground level,
306 necessary to validate satellite retrievals, are available for only limited locations and time periods
307 (e.g. Nowak et al., 2007, 2010, and 2012). Validation of AIRS NH₃ datasets with available in
308 situ measurements is a continuous effort as more in situ measurements become available. As an
309 example of our validation effort, we use the DISCOVER-AQ NH₃ measurements over California
310 (<https://www-air.larc.nasa.gov/cgi-bin/ArcView/discover-aq.ca-2013-->). The sampling inlet and
311 NH₃ calibration set-up used during DISCOVER AQ with the cavity ring down spectrometer
312 (CRDS) (G2103, Picarro Inc.) is the same as used with the Chemical Ionization Mass
313 Spectrometry (CIMS) and described in Nowak et al. (2007). The CRDS, aboard the NASA P-3B
314 aircraft during DISCOVER-AQ CA, data period covers January 16 to February 06, 2013. The in-
315 situ NH₃ vertical profiles were made in the Southern San Joaquin Valley of California. This
316 region inside the central valley of California, between the coastal mountains in the west and the
317 Sierra Nevada Mountains in the east, consists largely of farmland with scattered dairy
318 farms. Although most of the area is rural, the profiles were made near the small cities of Hanford
319 and Corcoran. We only select spiral profiles from the flights within 45 km of the center of the
320 retrieved AIRS profiles, for the closest match, and within 3 hours of the measurement window,
321 similar to the method used for AIRS CO validation (Warner et al., 2006).

322

323 Figure 3 shows four retrieval profiles that show high NH₃ concentrations and meet the matching
324 criteria, where the red curves represent AIRS retrieved profiles, gray curves are the a priori
325 profiles, green solid lines are in situ spiral profiles, and the blue dashed lines are the convolved
326 in situ profiles by AIRS NH₃ AKs. [The in situ spiral profiles are taken by flying an aircraft in the
327 spiral shape in descending or ascending order near a central location, hence are the closest to
328 being the true vertical profiles.](#) Note that in Fig. 3, the x-axis is linear from 0 to 25 ppbv and
329 logarithmic from 25 to 150 ppbv. The convolved in situ profiles take into account satellite
330 retrieval sensitivities, making them appropriate to compare against satellite retrievals (Rodgers
331 and Connor, 2003). The convolution calculations follow Eq. (3) and (4) in Sec. 2. The top left

332 panel shows a case measured on January 16, 2013 with the retrieval quality at 0, DOFS at 0.64,
333 χ^2 at 1.91, the retrieval residual at 0.07 [K](#), and the measurement time differences at 1.31 hours.
334 The distance between the in situ profile and the center of the AIRS profile is approximately 13.5
335 km. The top right panel shows four in situ profiles from January 21, 2013 with AIRS retrieved
336 profile quality at 0, DOFS at 0.66, χ^2 at 1.26, the retrieval residual at 0.07 [K](#), the time differences
337 ranging from 0.58 to 1.68 hours, and the distance differences at approximately 56 km for all four
338 profiles. The two profiles in the bottom left panel are also from January 21, 2013, with quality at
339 0, DOFS at 0.83, χ^2 at 0.31, the retrieval residual at 0.06 [K](#). The time differences to the AIRS
340 retrieved profile are 1.02 and -1.25 hours, and the distances are 38.3 and 38.7 km, respectively.
341 In the bottom right panel, there are four profiles taken from February 4, 2013, with the retrieval
342 profile quality at 0, DOFS at 0.84, χ^2 at 1.1, and the retrieval residual at 0.05 [K](#). The time
343 differences between the in situ and the retrieved profiles are 1.63, 1.40, -0.47, and -0.71, and the
344 distances are 5.1, 45.2, 4.9, and 45.2 km, respectively. Some of the AIRS retrievals collocate
345 with several in situ profiles, and these show substantial spatial variability.

346
347 Over regions with high NH_3 in situ concentrations, the convolved in situ profiles agree with the
348 retrievals within <1 to ~3 ppbv (~5-15%) near the top of the boundary layer, as seen in the top
349 two panels in Fig. 3. These two AIRS NH_3 profiles show good retrieval sensitivities with DOFS
350 at approximately 0.64 and 0.66, χ^2 at 1.91 and 1.26, and the residual at 0.07 [K](#), respectively. The
351 top left in situ profile is relatively close (13.5 km) to the center of the AIRS pixel, whereas the
352 top right in situ profiles are further away (~46 km) from the center of the AIRS pixel. When the
353 NH_3 amount is low and there is very little sensitivity in AIRS measurements, the convolved
354 profiles converge to the a priori profiles, as seen in the profiles with low NH_3 concentrations in
355 the top right panel and in the bottom left panel. In the bottom right panel, there are four in situ
356 profiles close to the AIRS profile – the AIRS pixel measures the average effect of the area
357 represented by the four in situ profiles. Below 925 – 950 hPa in height, the in situ NH_3 mixing
358 ratios are significantly higher than the retrieved profiles, indicating a limitation of satellite
359 remote sensing in capturing near surface composition properties. Note again that each AIRS
360 profile covers a surface area of 45 km^2 where in situ observed NH_3 amounts can vary by a factor
361 of ten. The aircraft in situ flights sometimes are biased by their proximity to strong local point
362 sources. Therefore, the differences between the retrievals and in situ measurements are likely due

363 to sampling issues, although the retrieved profile matches the average of the in situ profiles as
364 discussed above. Nonetheless, the vertical profiles show good agreement (~5 – 15%) between
365 AIRS NH₃ and the in situ profiles in the examples given above.

366

367 4. Global Ammonia Concentrations

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369 The AIRS global NH₃ VMRs at 918 hPa, averaged from [September](#) 2002 through [August](#) 2015,
370 are shown in the upper panel of Fig. 4. The lower panel in Fig. 4 shows the total occurrences of
371 elevated [concentrations](#) (VMRs \geq 1.0 ppbv at 918 hPa) for the same dataset. The occurrences, in
372 numbers of days, are good indicators of the types of emission sources either due to recurring
373 agricultural practices or episodic forest fires. It is important to analyze the NH₃ VMRs together
374 with the occurrences to identify major emission sources. Another important quantity used in the
375 NH₃ source analysis is the retrieval DOFS. Figure 5 shows the AIRS NH₃ DOFS values being in
376 a range of 0.1 to slightly above 1.0. The regions with DOFS greater than 0.4 are generally
377 associated with high NH₃ [concentrations](#) and strong signal to noise ratios. We used a threshold
378 level of DOFS of 0.1 to screen the retrievals in the Fig. 4 top panel to eliminate noise and to
379 focus on where AIRS sensitivity is high. Areas with DOFS < 0.1 in the whole data record are
380 indicated in white. The AIRS retrievals are sensitive to NH₃ concentrations in the lowest layer of
381 the atmosphere between 850 hPa and the surface, with sensitivity peaking at approximately 918
382 hPa based on the retrieved AKs (not shown). Therefore, we use NH₃ VMRs at this level for all
383 discussions in this study. There are diurnal variations in the datasets (not shown) that may be due
384 to a number of factors including the day-night differences of emissions and chemical reactions
385 and possibly measurement sensitivities, which [are](#) beyond the scope of this paper and will be
386 studied at a later time. Also note that the missing data over land in certain regions are either due
387 to high elevation (above the 918 hPa altitude level), and therefore not shown, or persistent
388 cloudy days.

389

390 Globally, AIRS shows strong NH₃ hotspots from biogenic and anthropogenic sources including
391 South Asia (India/Pakistan), East Asia (China), the central U.S., parts of Europe, Southeast Asia
392 (Thailand/Myanmar/Laos), the central portion of South America, and Western and Northern
393 Africa, where both the NH₃ VMRs and the frequent occurrences are high. The primary sources

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399 for these regions are from human activities, e.g., livestock waste management and other
400 agricultural activities. The NH₃ concentrations over these hot spots vary from ~2.5 to above 10
401 ppbv, averaged over 13 years covering both strong and weak emission periods. Also seen are
402 large regions of high NH₃ concentrations due to biomass burning events over Russia, Alaska,
403 South America, Africa, and Indonesia, represented by high VMRs and low occurrences. High
404 concentrations of NH₃ are persistent over South America and reflect emissions from biomass
405 burning that are trapped by the Andes

406 http://earthobservatory.nasa.gov/IOTD/view.php?id=8033&eoan=image&eoci=related_image.

407 The hot spot over South Asia corresponds to the heavily populated Indo-Gangetic Plain with
408 plentiful, fertile croplands and extensive livestock, and bounded on the north by the Himalayas
409 (Yamaji et al., 2004). The absolute maximum on Fig. 4 is found over the Punjab which has the
410 highest population density in Pakistan.

411

412 To understand the persistent emission sources, we filtered the NH₃ VMRs with the collocated
413 occurrences of elevated concentrations (≥ 1.4 ppbv) greater than 40 days; and the results are
414 shown in Fig. 6 top panel. Although a sufficient concentration (≥ 1.4 ppbv) threshold is used to
415 calculate occurrences of the persistent sources, we used all VMR values, with DOFS greater than
416 0.1, for the VMR maps. The persistent NH₃ sources not only include those large regions listed
417 above, but also include small geographical areas such as in the San Joaquin Valley of central
418 California in the U.S. (with low sulfur emissions and where livestock are plentiful); the Po
419 Valley, Italy; Fergana Valley, Uzbekistan; Azerbaijan; the Nile Delta and along the banks of the
420 Nile River in Egypt; and the Sichuan Basin in China. Some of these source locations are
421 consistent with those previously reported by Clarisse et al. (2009). These emission hotspots are
422 compared with the “Pasture and Cropland Map” (see middle panel in Fig. 6), posted by
423 <http://OurWorldInData.org>, located at the Institute for New Economic Thinking at the Oxford
424 Martin School. AIRS NH₃ source regions are strongly correlated with cropland areas, e.g., over
425 India, China, the middle U.S., Western Africa, eastern South America, and Europe. Note that
426 four of the strongest emission regions correspond to high percentage irrigated agricultural areas
427 (see bottom panel in Fig. 6), i.e., over Pakistan, India, northern Italy, and Azerbaijan adjacent to
428 the Caspian Sea. The irrigated agricultural land includes that irrigated by controlled flooding.
429 These data are provided by the World Bank (data.worldbank.org) where the color values are the

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434 percent agricultural irrigated land of total agricultural land. These irrigation activities are
435 associated with periods of fertilization and ammonia release. Sommer et al. (2004) studied the
436 relationship between the fertilizing time and the ammonia release time and indicated that the
437 fertilizers applied in March can be released in the June to August time frame depending on the
438 amount of precipitation. The irrigation practices may have the same effect as high amounts of
439 precipitation.

440

441 Over China, the AIRS retrieval can match high-resolution inventories distinguishing the two
442 major animal husbandry areas in east-central China (Henan, Shandong, and Hebei provinces) as
443 well as Sichuan to their southwest (Huang et al., 2012). Additional weaker, but persistent, NH₃
444 sources are also seen in the Fig. 6 top panel that are likely related to livestock and agriculture
445 practices. These source regions include areas in eastern North Carolina (consistent with Wu et al.,
446 2008), Arizona near Phoenix, in the east coast of Spain near Barcelona and Águilas, and over
447 large areas in the Netherlands, in Mozambique in Africa, and the Gambela National Park region
448 between Ethiopia and South Sudan.

449

450 5. Seasonal Variability

451

452 Seasonal variations are shown in Fig. 7 in the four NH₃ VMR maps, averaged between Sept.
453 2002 and Aug. 2015, for December-January-February (DJF, upper left panel), March-April-May
454 (MAM, upper right panel), June-July-August (JJA, lower left panel), and September-October-
455 November (SON, lower right panel), respectively, with DOFS greater than 0.1 and no cutoff for
456 the VMRs. Globally, the [highest concentrations](#) are in the NH summer and spring seasons, with
457 the exception from strong biomass burning (BB) sources, i.e., over South America, the Southeast
458 Asia, and Russia in the NH fall season. The highest NH₃ concentrations over non-BB dominant
459 regions occur over India, China, the Mid-West U.S., and part of Europe in the summer months.
460 The longest high [concentration](#) seasons are over northern India, collocated with the measurement
461 of high NH₄⁺ in the precipitation over India reported by Kulshrestha et al. (2005). The seasonal
462 NH₃ VMR distributions in China, Europe, and the U.S. are also consistent, to a large extent, with
463 the Paulot et al. (2014) study of agricultural emissions inventory derived by high-resolution
464 inversion of ammonium wet deposition data. This is especially true for the spring season, as seen

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468 in Fig. A1 of Paulot et al. (2014), [showing](https://fpaulot.bitbucket.org/MASAGE/) MASAGE_NH3 (Magnitude and Seasonality of
469 Agricultural Emissions for NH₃, <https://fpaulot.bitbucket.org/MASAGE/>) emissions of NH₃ from
470 fertilizers.

471

472 High average concentrations (Fig. 7) with low frequencies [of occurrences](#) (Fig. 8) generally
473 indicate NH₃ from biomass burning (BB). The greatest emissions from BB in the NH appear in
474 the summer months over Siberia and eastern Russia as well as over Alaska, U.S. The highest
475 [concentrations](#) due to BB in the SH appear over South America in September to November
476 (spring for the SH) when precipitation is minimal and burning extensive (Oliveras et al., 2014).

477 Over SE Asia where the dry season and most BB [occur](#) in March to May, we find another local
478 maximum (Lin et al., 2013). Over Africa high [concentrations](#) from BB occur in the Western and
479 Central regions, although both high concentrations and frequencies [of occurrences](#) appear in the
480 Sahel just south of the Sahara in the NH winter. In that region persistent burning of agricultural
481 waste has been reported (Haywood et al., 2008); see also [http://rapidfire.sci.gsfc.nasa.gov/cgi-](http://rapidfire.sci.gsfc.nasa.gov/cgi-bin/imagery/firemaps.cgi)
482 [bin/imagery/firemaps.cgi](http://rapidfire.sci.gsfc.nasa.gov/cgi-bin/imagery/firemaps.cgi).

483

484 Ammonia seasonal variations are presented (Fig. 9) using the monthly mean VMRs averaged
485 over the 13-year period. Simple hemispheric averages of NH₃ concentrations for all cases do not
486 accurately reflect the seasonality of the important agricultural activities in the NH, due to the
487 mixing with BB cases and low NH₃ regions, as well as regions with missing values due to weeks
488 of persistent cloud cover. To understand how NH₃ emissions vary seasonally due to human
489 activities, we focus on the NH₃ [concentrations](#) from the continuous emission sources. As in the
490 case of Fig. 6, where we showed continuous sources using screening by the occurrences of
491 elevated [concentrations](#), we select the occurrence thresholds at [concentration](#) levels higher than
492 1.4 ppbv on at least 40 days of the 13-year record. Figure 9 shows the monthly mean variations
493 of NH₃ (solid line) in both the NH (upper panel) and the SH (lower panel); the dashed lines show
494 the $\pm 1\sigma$ (standard deviation, STD) and the shaded areas represent the maximum and minimum
495 range of each dataset. In the NH, the high emission period starts in April and the NH₃
496 concentrations peak in June. The NH average of the VMR concentrations from April through
497 July is in the range of 3.7 – 4.0 ppbv; and it gradually decreases to the minimum of below 2 ppbv
498 in November-December-January. The range of monthly mean variability between different years

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506 is also larger from April to September (at ~1 ppbv) than in the winter months (at ~0.4 ppbv). The
507 STD decreases from the summer values of 0.6 ppbv to 0.3 ppbv in the winter.

508

509 Seasonal variation in the SH (lower panel in Fig. 9) shows that the primary sources of NH₃
510 emission are from BB, as was seen in the NH₃ seasonal maps (e.g., Fig. 7). Although the filtering
511 for the continuous emission sources eliminated some large occasional fires (i.e., over Indonesia),
512 there are still regularly occurring fires, such as those over the central part of South America. The
513 NH₃ [concentrations](#) in the SH peaks in September with an average value near 3.5 ppbv and
514 decreases sharply after the SH spring season. The season of high [concentrations](#) in the SH is
515 much shorter than in the NH, as demonstrated by the widths of the seasonal distribution curves.
516 The largest STD occurs in September with a magnitude of 2 ppbv, but the variation between
517 different years in the winter is very small (~0.25 ppbv).

518

519 6. Summary

520

521 [The](#) AIRS ammonia (NH₃) [measurements](#) with a 13-year data record provide global daily maps,
522 identify major source regions, and show seasonal cycles. This enables studies for detailed
523 locations of the sources and their spatial and temporal variations. [The](#) AIRS NH₃ products using
524 [the optimal estimation \(OE\)](#) retrievals provide retrieval sensitivity properties, in addition to NH₃
525 concentrations, such as: the [averaging kernels \(AKs\)](#), error covariance matrices, and the [degrees](#)
526 [of freedom for signal \(DOFS\)](#). This will facilitate sensor inter-comparisons, model verifications,
527 and data assimilation of satellite retrievals. AIRS measurements can not only capture high
528 biomass burning emissions (e.g., over Russia, Alaska, South America, Africa, and Indonesia)
529 and/or accumulated concentrations such as in various valleys (e.g., San Joaquin Valley,
530 California in the U.S., the Po Valley, Italy, Fergana Valley, Uzbekistan, and the Sichuan Basin in
531 China), but also emissions due to routine animal feeding and agriculture activities (e.g.,
532 Azerbaijan, Nile Delta and along the banks of the Nile River in Egypt, the Mid-West U.S., North
533 Carolina, U.S., the east coast of Spain, in the Netherlands, in Mozambique and Ethiopia, Africa,
534 and especially the Indo-Gangetic Plain of South Asia). Over China, the AIRS retrieval can match
535 high-resolution inventories distinguishing the two major animal husbandry areas in east-central
536 China and the Sichuan Basin. Preliminary validation results show excellent agreement with in

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540 situ airborne measurements (to within 5-15% of the retrieved profiles). Note that since each
541 AIRS profile covers a surface area of 45 km² where the NH₃ amounts can vary largely, the
542 simple numerical differences may not be the optimal way to validate satellite ammonia products.

543

544 We use frequent occurrences of NH₃ elevated [concentrations](#) to select persistent sources. This
545 distinguishes the NH₃ emissions due to human activities versus occasional fires or retrieval noise.

546 We show the persistent ammonia sources correlate well with cropland usage, particularly in
547 regions where irrigation is a routine practice. We show the hemispheric seasonal variation using
548 sources screened by the high NH₃ frequent occurrences. The NH high NH₃ [concentrations](#) occur

549 in the spring and summer with highest from April to July and lowest in November through
550 January. In the SH, the NH₃ [concentration](#) is highest in September, this is most likely due to BB
551 emissions shown by the high VRMs and relatively low frequent [occurrences](#).

552

553 Detailed examinations of specific regions are needed and will be included in future studies to
554 improve our understanding of the processes that control the NH₃ distribution and variability. The
555 recent NH₃ trends from AIRS 13-year measurements will also be a subject of future studies since
556 the scope of this paper is to focus on the algorithm details and the global distributions. Results in
557 this study are focused on land and daytime only. Future studies will include more complicated
558 surface types, i.e., ocean surfaces and regions with lower thermal contrast. The diurnal variations
559 will also be an important topic in the future studies. We have used the pixels with the highest
560 quality cloud-cleared radiances (at 45 km² spatial resolution) defined by the earlier steps of AIRS
561 retrievals, while a future direction will be to also use the higher spatial resolution single-view
562 pixels (at 13.5 km²) under clear-sky conditions (Warner et al., 2013).

563

564 **Acknowledgement:**

565

566 This study was funded by NASA's The Science of Terra and Aqua program under grant numbers
567 NNX11AG39G and NNX12AJ05G. We wish to acknowledge the GEOS-Chem team, AIRS
568 science team, DISCOVER-AQ team. MERRA data used in this study/project have been provided
569 by GMAO at NASA Goddard Space Flight Center through the NASA GES DISC online archive.
570 Resources supporting this work were provided by the NASA High-End Computing (HEC)

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577 Program through the NASA Center for Climate Simulation (NCCS) at Goddard Space Flight
578 Center.

579

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Moved up [2]: Paulot, F. and Jacob, D. J.:
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804

805 **Figure Captions:**

806

807 **Fig.1.** The *a priori* profiles and the square root of the diagonal terms of the error covariance
 808 matrices for the low [pollution](#) (left panel), the moderate [pollution](#) (middle panel), and the high
 809 [pollution scenarios](#) (right panel), respectively.

810

811 **Fig. 2.** Correlation between the DBTI (Difference of Brightness Temperature Index) and DOFS
 812 (Degrees Of Freedom for Signal) for the three emission [scenarios](#) with low [pollution](#) in blue,
 813 moderate [pollution](#) in green, and high [pollution](#) in red.

814

815 **Fig. 3.** AIRS NH₃ validation against CRDS (the cavity ring down spectrometer) spiral profiles
 816 collected during the DISCOVER-AQ CA (01/16-02/06, 2013). The red curves represent AIRS
 817 retrieved profiles, gray curves are the *a priori* profiles, green solid lines are *in situ* spiral profiles,
 818 and the blue dashed lines are the convolved profiles using AIRS NH₃ AKs. The x-axis is linear
 819 from 0 to 25 ppbv and logarithmic from 25 to 150 ppbv.

820

821 **Fig. 4.** Upper panel: AIRS global NH₃ VMRs at 918 hPa, averaged from September 2002
 822 through August 2015. The colorbar is linear from 0 to 5 ppbv and 5 to 10 ppbv, but with
 823 different increments. Lower panel: The total occurrences (number of days) of high
 824 [concentrations](#) (VMRs > 1.0 ppbv at 918 hPa) in the 13-year period. Red/blue [colors](#) indicate

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833 relatively high/low occurrences of high [concentrations](#), respectively.

834

835 **Fig. 5.** AIRS NH₃ DOFS values averaged over September 2002 – August 2015 period. Red/blue

836 [colors](#) indicate relatively high/low DOFS, respectively.

837

838 **Fig. 6.** Top panel: The NH₃ VMRs from the persistent sources filtered with the collocated

839 occurrences of elevated [concentrations](#) (≥ 1.4 ppbv) using a threshold of [greater than 40](#) days;

840 Middle panel: Pasture and Cropland Map (<http://OurWorldInData.org>); and Bottom panel:

841 irrigated agricultural land areas (data.worldbank.org).

842

843 **Fig. 7.** AIRS NH₃ VMRs at 918 hPa averaged between September 2002 and August 2015 for

844 December-January-February (DJF, upper left panel), March-April-May (MAM, upper right

845 panel), June-July-August (JJA, lower left panel), and September-October-November (SON,

846 lower right panel), with DOFS greater than 0.1 and no cutoff limit for the VMRs. Red/purple

847 [colors](#) indicate relatively high/low NH₃ VMRs.

848

849 **Fig. 8.** As in Fig. 7 except for the occurrences of high [concentrations](#) (VMRs ≥ 1 ppbv). Red/blue

850 [colors](#) indicate relatively high/low occurrences of high [concentrations](#).

851

852 **Fig. 9.** The NH₃ monthly mean variations (solid line) in the NH (upper panel) and the SH (lower

853 panel), respectively. The long-dash lines show the 1σ standard deviation (STD); and the shaded

854 areas represent the maximum and minimum range of each dataset.

855

856 **Footnotes for Figure 6:**

857

858 The World Bank provided the statement that the maps displayed on the World Bank web site are

859 for reference only and do not imply any judgment on the legal status of any territory, or any

860 endorsement or acceptance of such boundaries.

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