# Impacts of anthropogenic and natural sources on free tropospheric ozone

over the Middle East Zhe Jiang<sup>1,\*</sup>, Kazuyuki Miyazaki<sup>2</sup>, John R. Worden<sup>1</sup>, Jane J. Liu<sup>3,4</sup>, Dylan B. A. Jones<sup>5</sup>, Daven K. Henze<sup>6</sup> <sup>1</sup>Jet Propulsion Laboratory, California Institute of Technology, Pasadena, CA, USA <sup>2</sup>Japan Agency for Marine-Earth Science and Technology, Yokohama, Japan <sup>3</sup>Department of Geography and Planning, University of Toronto, Toronto, ON, Canada <sup>4</sup>School of Atmospheric Sciences, Nanjing University, Nanjing, China <sup>5</sup>Department of Physics, University of Toronto, Toronto, ON, Canada <sup>6</sup>Department of Mechanical Engineering, University of Colorado, Boulder, CO, USA \*Now at National Center for Atmospheric Research, Boulder, CO, USA 

#### **Abstract**

28

29

30

31

32

33

34

35

36

37

38

39

40

41

42

43

Significant progress has been made in identifying the influence of different processes and emissions on the summertime enhancements of free tropospheric ozone (O<sub>3</sub>) at northern midlatitude regions. However, the exact contribution of regional emissions, chemical and transport processes to these summertime enhancements is still not well quantified. Here we focus on quantifying the influence of regional emissions on the summertime O<sub>3</sub> enhancements over the Middle East, using updated reactive nitrogen (NO<sub>x</sub>) emissions. We then use the adjoint of the GEOS-Chem model with these updated NO<sub>x</sub> emissions to show that the global total contribution of lightning NO<sub>x</sub> on middle free tropospheric O<sub>3</sub> over the Middle East is about two times larger than that from global anthropogenic sources. The summertime middle free tropospheric O<sub>3</sub> enhancement is primarily due to Asian NO<sub>x</sub> emissions, with approximately equivalent contributions from Asian anthropogenic activities and lightning. In the Middle Eastern lower free troposphere, lightning NO<sub>x</sub> from Europe/North America and anthropogenic NO<sub>x</sub> from Middle Eastern local emissions are the primary sources of O<sub>3</sub>. This work highlights the critical role of lightning NO<sub>x</sub> on northern mid-latitude free tropospheric O<sub>3</sub> and the important effect of the Asian summer monsoon on the export of Asian pollutants.

44

45

46

47

48

49

50

#### 1. Introduction

O<sub>3</sub> is produced in the troposphere when volatile organic compounds (VOC) and carbon monoxide (CO) are photochemically oxidized in the presence of NO<sub>x</sub>. Tropospheric O<sub>3</sub> is an important pollutant and greenhouse gas. It also plays a critical role in determining the oxidizing capacity of the troposphere. The O<sub>3</sub> distribution in the troposphere is strongly influenced by dynamical processes, as well as by the regional chemical sources and sinks of O<sub>3</sub>. Previous

studies (e.g., Park et al. 2007; Worden et al. 2009; Vogel et al. 2014) have demonstrated that rapid convective transport associated with the Asian monsoon anticyclone can result in significant enhancement of  $O_3$  abundance over Asia, northern Africa and Europe. The stratosphere-troposphere exchange of  $O_3$  also has important effects on the distribution of tropospheric  $O_3$  (e.g., Barth et al. 2013; Neu et al. 2014).

Tropospheric O<sub>3</sub> peaks in the summer in broad regions of the northern hemispheric middle latitudes (Zanis et al. 2007; Cristofanelli et al. 2014). Recent studies (e.g. Liu et al. 2009; Worden et al. 2009; Zanis et al. 2014) showed that the summertime maximum in free tropospheric O<sub>3</sub> over the Middle East as observed by the Tropospheric Emission Spectrometer (TES) was consistent with the model predictions of Li et al. (2001). Liu et al. (2009) indicated that the enhancement of free tropospheric O<sub>3</sub> over the Middle East is mainly due to the influence of the Arabian anticyclone in the middle troposphere, which traps O<sub>3</sub> that is produced locally as well as O<sub>3</sub> and its precursors that are transported from rest of Asia. Recent studies (Ricaud et al. 2014; Vogel et al. 2014) demonstrated that the Asian monsoon anticyclone provides an effective pathway to redistribute Asian pollutants globally. An improved understanding about the mechanism of the summertime enhancement of free tropospheric O<sub>3</sub> over the Middle East is thus important as it will provide critical information about the sources and variation of tropospheric O<sub>3</sub> in the northern hemisphere.

In this study, we assess the influence of anthropogenic and natural sources of O<sub>3</sub> precursors on free tropospheric O<sub>3</sub> enhancement over the Middle East. During the past decade there have been several studies using data assimilation and inverse modeling approaches to better quantify the emission estimates of O<sub>3</sub> precursors (e.g. Fu et al. 2007; Lamsal et al. 2011; Miyazaki et al. 2012; Jiang et al. 2015a). In order to better represent the emission change in the

past decade in our analysis, we adopted the most recent updated NO<sub>x</sub> emission estimates from the assimilation study of Miyazaki et al. (2015) for the period of 2005-2012, which employed remote-sensing measurements from OMI (Ozone Monitoring Instrument), MLS (Microwave Limb Sounder), TES and MOPITT (Measurement of Pollution In The Troposphere). Miyazaki et al. (2015) obtained significant bias reductions for O<sub>3</sub> and nitrogen dioxide (NO<sub>2</sub>), relative to satellite and ozonesonde measurements. Use of their updated NO<sub>x</sub> emission estimates is, therefore, expected to provide a better simulation of tropospheric O<sub>3</sub> than the Global Emissions Inventory Activity (GEIA) (Benkovitz et al. 1996) used by Liu et al. (2009). In their analysis, Liu et al. (2009) used the tagging capability of the GEOS-Chem model to quantify the regional influence on the Middle East O<sub>3</sub> maximum, based on the linearized O<sub>3</sub> production/loss rate. However, that approach cannot track O<sub>3</sub> sources back to emissions of O<sub>3</sub> precursors and only provides a coarse aggregation of the regional contributions. Here, following Jiang et al. (2015b), we use the adjoint of the GEOS-Chem model to carry out a more detailed sensitivity analysis, which will allow us to better distinguish the contributions of different regions and emission categories to free tropospheric O<sub>3</sub> over the Middle East.

# 2. GEOS-Chem model with updated surface NO<sub>x</sub> emissions

74

75

76

77

78

79

80

81

82

83

84

85

86

87

88

89

90

91

92

93

94

95

96

The GEOS-Chem CTM (http://www.geos-chem.org) is driven by assimilated meteorological data from the NASA Goddard Earth Observing System (GEOS-5) at the Global Modeling and data Assimilation Office. We used version v34 of the GEOS-Chem adjoint model, which is based on v8-02-01 of GEOS-Chem with relevant updates through v9-01-01. The standard GEOS-Chem chemical mechanism includes 43 tracers, which can simulate detailed tropospheric O<sub>3</sub>-NO<sub>x</sub>-hydrocarbon chemistry, including the radiative and heterogeneous effects of aerosols. The global anthropogenic emission inventory is EDGAR 3.2 FT2000 (Olivier et al.,

2001), updated by the following regional emission inventories: the INTEX-B Asia emissions inventory for 2006 (Zhang et al., 2009), the Cooperative Program for Monitoring and Evaluation of the Long-range Transmission of Air Pollutants in Europe (EMEP) inventory for Europe in 2000 (Vestreng et al., 2002), the US Environmental Protection Agency National Emission Inventory (NEI) for 2005 in North America, the Criteria Air Contaminants (CAC) inventory for Canada, and the Big Bend Regional Aerosol and Visibility Observational (BRAVO) Study Emissions Inventory for Mexico (Kuhns et al., 2003). Biomass burning emissions are from the inter-annual GFED3 inventory (van der Werf et al., 2010). The soil NO<sub>x</sub> emission scheme is based on Yienger and Levy (1995) and Wang et al. (1998), as a function of vegetation type, temperature, precipitation history and fertilizer usage. The emissions of biogenic volatile organic compounds (VOCs) are from MEGAN 2.0 (Millet et al. 2008).

We adopted the updated surface NO<sub>x</sub> emission estimates from Miyazaki et al. (2015) for the period 2005-2012. Using the combined assimilation of remote-sensing measurements from OMI NO<sub>2</sub>, MLS and TES O<sub>3</sub>, and MOPITT CO, Miyazaki et al. (2015) constrained NO<sub>x</sub> emissions as well as lightning NO<sub>x</sub> sources and the chemical concentration of various species in the troposphere with the CHASER model (Sudo et al. 2007). The analysis was conducted with a local ensemble transform Kalman filter (LETKF) method, with 30 ensembles and a 450 km horizontal localization scale for surface NO<sub>x</sub> emissions. A major advantage of the multispecies data assimilation used in Miyazaki et al. (2015) is that observations of one species (for example, O<sub>3</sub>) can provide additional constraints on other species (for example, NO<sub>x</sub>) through the improvement in atmospheric fields and emission fluxes influencing the NO<sub>x</sub> chemistry. In 2005, the assimilation resulted in a 25% increase in NO<sub>x</sub> emissions for Asia, relative to the GEOS-Chem a priori emissions. The adjustments for NO<sub>x</sub> emissions from Europe and North America

were much smaller. The inversion result was evaluated with independent data from satellite, aircraft, ozonesonde and surface in-situ measurements, which demonstrated large bias reductions after assimilation. For free tropospheric O<sub>3</sub>, the mean model bias relative to ozonesonde measurements was reduced from -2.3 ppb to 0.4 ppb in the tropics and -1.4 ppb to 0.9 ppb in the northern hemisphere after assimilation, in which the surface NO<sub>x</sub> emission optimization played a crucial role in reducing the model bias in the lower and middle troposphere (Miyazaki et al. 2015). It should be noted that we did not use the updated lightning NO<sub>x</sub> emissions in this work, because of the larger uncertainties for those emission estimates (e.g., spurious variations were introduced because of the lack of constraints from the TES measurements after 2010). Because of the limitation of short horizontal localization length (with the cut-off radius of 1643 km) and the short data assimilation window (i.e., two hours), the influence of long-range transport processes cannot be sufficiently considered in the data assimilation framework of Miyazaki et al. (2015), and thus, the estimated CO emissions may have large uncertainty. Therefore, we did not use the optimised CO emissions in this work. In 2005, the global total lightning NO<sub>x</sub> source in the GEOS-Chem simulation is 6.0 TgN; the value is within the range of recent best estimates (e.g., 5±3 TgNyr<sup>-1</sup> in Schumann and Huntrieser (2007) and 6.3±1.4 TgNyr<sup>-1</sup> in Miyazaki et al. (2014)).

120

121

122

123

124

125

126

127

128

129

130

131

132

133

134

135

136

137

138

139

140

141

142

Figure 1 shows NO<sub>x</sub> emissions from anthropogenic activities, lightning, soil and biomass burning emissions in the model. There are strong anthropogenic emissions from eastern Asia, eastern North America and Europe, and the emission strengths are nearly constant between summer and winter. The seasonality of lightning and soil NO<sub>x</sub> are similar: more NO<sub>x</sub> emission in the summer hemisphere, but the emission strength is lower than that for the anthropogenic sources. The emissions from biomass burning have strong seasonality, generally peaking in the

biomass burning seasons.

# 3. Summertime enhancement of free tropospheric ozone over the Middle East

The TES instrument was launched on NASA's Aura spacecraft on 15 July 2004. The satellite is in a sun-synchronous polar orbit of 705 km and crosses the equator at 1:45 and 13:45 local time. With a footprint of 8 km x 5 km, TES measures radiances between 3.3-15.4 μm with global coverage of 16 days (Beer et al. 2001) of observations. In the troposphere, TES O<sub>3</sub> profile retrievals have 1-2 degrees of freedom for signal (DOFS). We use data from the "lite" product (http://tes.jpl.nasa.gov/data/), which reports volume mixing ratios (VMR) on 26 pressure levels for O<sub>3</sub>. The TES retrievals use a monthly mean profile of the trace gas from the MOZART-4 CTM (chemical transport model), averaged over 10° latitude x 60° longitude, as the a priori information. We refer the reader to Jiang et al. (2015b) for more details about the application and evaluation of TES O<sub>3</sub> data.

Figure 2a-2d presents the modeled middle free tropospheric (464 hPa) O<sub>3</sub> distribution for Mar 2005 – Feb 2006. An obvious feature is the low O<sub>3</sub> concentrations over the maritime continent and the Amazon, which is consistent with previous studies using measurements from satellite, ozonesonde and aircraft (Rex et al. 2014; Bela et al. 2015). Over the northern middle latitudes, O<sub>3</sub> concentrations are highest in summer. The tropospheric O<sub>3</sub> concentrations in the middle troposphere start to increase in spring and then decrease dramatically in fall, which is consistent with seasonal cycle observed at European mountain sites (Zanis et al. 2007; Cristofanelli et al. 2014). Figure 2e-2h shows the modeled middle tropospheric (464 hPa) O<sub>3</sub> smoothed with the TES averaging kernels and a priori. The unsmoothed (Figure 2a-2d) and smoothed (Figure 2e-2h) O<sub>3</sub> distributions are highly consistent, although there is a small difference in the magnitude. Figure 2i-2l presents the TES O<sub>3</sub> retrievals at 464 hPa, which

demonstrates good agreement globally with respect to the model.

Figure 3 shows the monthly variation of mean O<sub>3</sub> over the Middle East at different levels. In the lower and middle troposphere, the relative difference between the model and data is generally less than 10%, whereas the bias is a little larger in the upper troposphere. Figure 3 shows significant and moderate O<sub>3</sub> enhancement during the summer in the middle and lower troposphere, respectively, over the Middle East. In contrast, O<sub>3</sub> concentrations in the upper troposphere are at a minimum in summer, implying altitude dependent mechanisms for the O<sub>3</sub> variations. Obtaining a better understanding of these mechanisms is important because it provides critical insights about the sources and variations of tropospheric O<sub>3</sub> in the northern hemisphere.

# 4. Impact of anthropogenic and natural sources on the Middle East ozone

Liu et al. (2009) indicated that O<sub>3</sub> production over the Middle East and rest of Asia both contribute about 30% of free tropospheric O<sub>3</sub> over the Middle East in July 2005. However, due to the limitation of the tagging approach that they employed, they were not able to obtain a detailed description of the sensitivity of Middle East O<sub>3</sub> to the precursor emissions. In this section, we will use the adjoint of the full-chemistry GEOS-Chem model (Henze et al. 2007) to quantify O<sub>3</sub> source contributions, similar to previous studies (Lapina et al. 2014; Jiang et al. 2015b). The adjoint model, which includes both chemistry and transport, is run backwards to computationally efficiently provide sensitivities with respect to each of the model's emissions from each species, sector, and grid cell.

Figure 4 shows the response of O<sub>3</sub> in the lower free troposphere (700 - 600 hPa) and middle troposphere (450 - 350 hPa) over the Middle East (30-60°E, 20-40°N) to O<sub>3</sub> precursor emission perturbation for Jun-Aug 2005. The response can be explained as the mean change

(unit of ppbv) of regional mean O<sub>3</sub> due to 10% increase of O<sub>3</sub> precursor emissions in a particular grid assuming unchanged chemical environment. For example, one particular grid with response 0.02 ppb implies mean free tropospheric O<sub>3</sub> over the Middle East will be increased by 0.02 ppb, if the O<sub>3</sub> precursor emission in this grid is increased by 10% under current chemical regime.

In the middle troposphere, anthropogenic and natural  $NO_x$  emissions from Asia, particularly from India, are the primary sources of  $O_3$  precursors and subsequent  $O_3$  production (Figure 4a). In contrast,  $O_3$  and  $O_3$  production in the lower free troposphere depends primarily on  $NO_x$  emissions in the Middle East, but with significant contributions from natural and anthropogenic sources elsewhere in the northern hemisphere. This distinct difference in source regions for  $O_3$ , between the middle and lower free troposphere, highlights the complex transport pathways that bring air from other parts of the world to this region (e.g. Liu et al., 2011; Safieddine et al., 2014). For both the lower and middle free troposphere, the contributions from other source types, primarily  $NO_x$  from biofuel and soil emissions, or biomass burning, are less significant in this season. The contributions from anthropogenic CO and biogenic isoprene are small in this season, indicating that  $O_3$  production is primarily  $NO_x$  limited, and thus, we will focus on the contributions of  $NO_x$  to  $O_3$  in the following discussions.

Table 1 provides the seasonal mean value of the response of Middle Eastern O<sub>3</sub> in the middle troposphere (450 - 350 hPa) to NO<sub>x</sub> perturbations between Mar 2005 – Feb 2006. The analysis shows a maximum, total global response (1.85 ppb) in summer, corresponding to the summertime O<sub>3</sub> maximum. The total global contribution from lightning NO<sub>x</sub> is about two times larger than that from anthropogenic emissions in all seasons, implying that lightning NO<sub>x</sub> is the dominant source for middle free tropospheric O<sub>3</sub> over the Middle East, which is consistent with Liu et al. (2009), who indicated that most free tropospheric O<sub>3</sub> (about 75%) over the Middle East

is produced in the free troposphere (700 hPa - tropopause).

During Jun-Aug 2005, the region that makes the largest contributions to O<sub>3</sub> in the middle troposphere over the Middle East is Asia (0.93 ppb), followed by Europe/North America (0.45 ppb). The contribution from Middle Eastern local emissions is much smaller (0.12 ppb), representing only 13% of Asian contributions. In contrast, Liu et al. (2009) found that O<sub>3</sub> production (as opposed to emissions) over the Middle East and O<sub>3</sub> production over Asia make contributions to free tropospheric O<sub>3</sub> of similar magnitude, and the contribution from North America and Europe is negligible. The large discrepancy between these two studies implies that most O<sub>3</sub> produced over the Middle East is due to imported O<sub>3</sub> precursors from long-range transport, which would not be accounted for with the method employed by Liu et al. (2009), underscoring the significant role of long-range transport of O<sub>3</sub> precursors on free tropospheric O<sub>3</sub> production.

There are pronounced discrepancies between the seasonality of the regional contributions. For Asia, the total contribution to O<sub>3</sub> in the Middle Eastern middle troposphere is 0.93 ppb in summer, which is about three times larger than in spring (0.35 ppb) and fall (0.37 ppb). In contrast, the total contribution of Europe/North America is 0.45 ppb in summer, similar as that in spring (0.45 ppb) and fall (0.41 ppb); the total contribution from the rest of the world is minimum in summer. The discrepancy in the seasonal variations suggests that Asian emissions are the main sources driving the summertime O<sub>3</sub> maximum over the Middle East. Asian anthropogenic and lightning NO<sub>x</sub> emissions have similar impacts, 0.40 ppb and 0.53 ppb, respectively, on the Middle Eastern summertime O<sub>3</sub>. It should be noted that the influence from stratosphere-tropsophere exchange is not assessed in this work, as previous studies with GEOS-Chem model (Li et al. 2001, Liu et al. 2009) showed that the contribution from stratospheric O<sub>3</sub>

to the summertime O<sub>3</sub> enhancement is small. More efforts are needed in future to sufficiently evaluate the contribution of stratosphere-tropsophere exchange, as suggested by some recent model studies (e.g. Lelieveld et al. 2009; Spohn et al. 2014; Zanis et al. 2014).

To better understand the transport of Asian emissions to the Middle East, we conducted an analysis using an idealized CO-like tracer. We performed a tagged-CO simulation for the periods Mar-May and Jun-Aug 2005. Combustion CO emissions (fossil fuel, biofuel and biomass burning) are released over India and southeast Asia either from surface (Figure 5a-5i) or from middle free troposphere (Figure 5j-5l). The CO emission in Mar-May 2005 is set as the same as that in Jun-Aug 2005. Following Jiang et al. (2015a), we assume a constant and uniform timescale for loss (lifetime). The simulations were initialized with a uniformly low abundance of 1 ppty for the tracer.

With 30-day lifetime, our analysis shows significant influence from transport of Asian emissions to the upper free troposphere (Figure 5c) in Jun-Aug 2005, associated with the Tibetan anti-cyclone. Figures 5d-5f show the difference of CO-like tracer concentrations between Jun-Aug and Mar-May 2005. Because the imposed emissions and sink for the tracer are constant, these differences are completely driven by seasonal variations in transport. Compared to spring, the transport of Asian emissions in summer has a moderate impact in the middle troposphere (Figure 5e), but a significant influence in the upper troposphere (Figure 5d). This shows the transport pathway during the Asian summer monsoon season is as follows: pollutants are lifted into upper troposphere through convection (e.g. Park et al., 2007; Worden et al., 2009) and trapped within the Tibetan anti-cyclone (e.g. Li et al., 2001). On the other hand, the enhancement of summertime O<sub>3</sub> over the Middle East is at a maximum in the middle free troposphere (Figure 3). This altitude discrepancy suggests the existence of other process besides Asian summer

monsoon. As mentioned in the Introduction, Liu et al. (2009) indicated that the Arabian anticyclone in the middle troposphere plays an important role in trapping the subsided O<sub>3</sub> and its precursors in the Middle East, which is consistent with our results.

Over India and Southeast Asia, the intensity of NO<sub>x</sub> emissions from anthropogenic sources (Figure 1a) is much larger than that from lightning (Figure 1c), however, the contributions of anthropogenic and lightning emissions to middle free tropospheric O<sub>3</sub> over Middle East are similar (Figure 4a-b). This discrepancy suggests that free tropospheric NO<sub>x</sub> sources have larger impacts than surface sources on free tropospheric O<sub>3</sub>, associated with faster transport and longer lifetime in free troposphere. In order to evaluate the influence of source level, we conducted a model analysis by releasing (simulated) combustion CO emissions from the surface (1-day lifetime, Figure 5g-5i) and middle free troposphere (7-day lifetime, Figure 5j-5l). The results confirmed the significant contribution from free tropospheric sources.

Table 2 provides the seasonal mean value of the O<sub>3</sub> response in the Middle Eastern lower free troposphere (700 - 600 hPa) to regional NO<sub>x</sub> emissions. During Jun-Aug 2005, the largest contribution (0.63 ppb) to lower tropospheric O<sub>3</sub> over the Middle East is from European/North American emissions, followed by Middle Eastern local emissions (0.37 ppb). The large differences in regional contributions with altitude demonstrate the significant influence of dynamics on the distribution of free tropospheric O<sub>3</sub>. The global contribution from lightning NO<sub>x</sub> is about 25%-75% larger than that from anthropogenic emissions, implying lightning still plays an important role at these lower altitudes. Note that the lightning NO<sub>x</sub> parameterization used in GEOS-Chem may have large uncertainties (e.g., Schumann and Huntrieser, 2007) and have influenced our estimates. For instance, the C-shape assumption, with a first maximum in the upper troposphere and a second maximum in the boundary layer as proposed by Pickering et al.

(1998), may place too much  $NO_x$  near the surface (Ott et al., 2010) and overestimate the peak source height over land and the tropical oceans (Miyazaki et al., 2014).

In order to isolate the potential influence of interanual variations in factors such as dynamics and biomass burning, we conducted a sensitivity analysis for the period Jun-Aug 2005-2012, using the updated surface  $NO_x$  emission estimates from Miyazaki et al. (2015). For the global total response in the middle free troposphere (Table 1), there is good consistency between the 2005 analysis (1.85 ppb) and eight-year mean value (1.81 ppb). Small discrepancies are obtained for regional contributions; for example, the Asian contribution is 0.93 ppb in 2005, and 0.85 ppb in eight-year mean value. Despite the small discrepancies, the consistency between the 2005 analysis and the eight-year mean values suggests that our conclusions based on the 2005 analysis provide a good representation for the free tropospheric  $O_3$  variation over the Middle East.

#### **5. Conclusions**

Remote sensing measurements from TES show a maximum in summertime free tropospheric O<sub>3</sub> over the Middle East (Worden et al. 2009; Liu et al. 2009). Using updated NO<sub>x</sub> emission estimates from Miyazaki et al. (2015), we conducted an adjoint sensitivity analysis to study the impact of anthropogenic and natural sources on free tropospheric O<sub>3</sub> over the Middle East.

Our results reveal that the global total contribution of lightning  $NO_x$  on middle free tropospheric  $O_3$  over the Middle East is about two times larger than that from global anthropogenic sources. We find that emissions from Asia contribute the most to middle tropospheric  $O_3$  over the Middle East in summer, followed by European/North American emissions. The middle tropospheric  $O_3$  maximum in summer is driven by Asian emissions, with

Asian anthropogenic and lightning  $NO_x$  emissions having similar contributions to the enhanced  $O_3$ . Dynamics play a critical role on the buildup of middle free tropospheric  $O_3$  over the Middle East:  $O_3$  and its precursors are lifted into the upper troposphere through convection, trapped within the Tibetan anti-cyclone, and descend over the Middle East and subsequently trapped within the Arabian anti-cyclone. In contrast,  $O_3$  in the lower free troposphere is influenced primarily by  $O_3$  precursor emissions in the Middle East, with significant contributions from natural and anthropogenic sources elsewhere in the northern hemisphere. This distinct difference in source regions for  $O_3$  and its precursors, and the altitude variations of the regional influences, highlights the complex transport pathways that bring air from other parts of the world to this region.

Although our conclusions are based on an analysis in 2005, the consistency between our 2005 analysis and an eight-year (2005-2012) climatology suggests that our analysis provides a good representation for the free tropospheric O<sub>3</sub> variations over the Middle East. However, noticeable discrepancies were obtained for some regional contributions; for example, the eight-year mean Asian contribution is 10% lower than that in 2005. In future studies, we will investigate the influences of changes in emissions and interannual variations in the meteorological conditions on free tropospheric O<sub>3</sub> over the Middle East and across the northern hemisphere to provide critical information for enhanced understanding of the processes contributing to variations in tropospheric O<sub>3</sub>.

### References

- Barth, M. C., Lee, J., Hodzic, A., Pfister, G., Skamarock, W. C., Worden, J., Wong, J. and
- Noone, D.: Thunderstorms and upper troposphere chemistry during the early stages of the 2006

- North American Monsoon, Atmos. Chem. Phys., 12(22), 11003–11026, doi:10.5194/acp-12-
- 328 11003-2012, 2012.
- Bela, M. M., Longo, K. M., Freitas, S. R., Moreira, D. S., Beck, V., Wofsy, S. C., Gerbig, C.,
- Wiedemann, K., Andreae, M. O., and Artaxo, P.: Ozone production and transport over the
- Amazon Basin during the dry-to-wet and wet-to-dry transition seasons, Atmos. Chem. Phys.,
- 332 15, 757-782, doi:10.5194/acp-15-757-2015, 2015.
- Benkovitz, C. M., Scholtz, M. T., Pacyna, J., Tarraso n, L., Dignon, J., Voldner, E. C., Spiro, P.
- A., Logan, J. A., and Graedel, T. E.: Global gridded inventories of anthropogenic emissions of
- 335 sulfur and nitrogen, J. Geophys. Res., 101(D22), 29,239–29,253, doi:10.1029/96JD00126,
- 336 1996.
- Cristofanelli, P., Scheel, H.-E., Steinbacher, M., Saliba, M., Azzopardi, F., Ellul, R., Fröhlich, M.,
- Tositti, L., Brattich, E., Maione, M., Calzolari, F., Duchi, R., Landi, T. C., Marinoni, A. and
- Bonasoni, P.: Long-term surface ozone variability at Mt. Cimone WMO/GAW global station
- 340 (2165 m a.s.l., Italy), Atmospheric Environment, 101, doi:10.1016/j.atmosenv.2014.11.012,
- 341 2014.
- Fu, T., Jacob, D., Palmer, P., Chance, K., Wang, Y., Barletta, B., Blake, D., Stanton, J. and
- Pilling, M.: Space based formaldehyde measurements as constraints on volatile organic
- 344 compound emissions in east and south Asia and implications for ozone, J. Geophys. Res.,
- 345 112(D6), doi:10.1029/2006JD007853, 2007.
- 346 Henze, D. K., Hakami, A., and Seinfeld, J. H.: Development of the adjoint of GEOS-Chem,
- 347 Atmos. Chem. Phys., 7, 2413-2433, doi:10.5194/acp-7-2413-2007, 2007.
- Jiang, Z., Jones, D. B. A., Worden, H. M., and Henze, D. K.: Sensitivity of top-down CO source
- estimates to the modeled vertical structure in atmospheric CO, Atmos. Chem. Phys., 15, 1521-

- 350 1537, doi:10.5194/acp-15-1521-2015, 2015a.
- Jiang, Z., Worden, J. R., Jones, D. B. A., Lin, J.-T., Verstraeten, W. W., and Henze, D. K.:
- Constraints on Asian ozone using Aura TES, OMI and Terra MOPITT, Atmos. Chem. Phys.,
- 353 15, 99-112, doi:10.5194/acp-15-99-2015, 2015b.
- Kuhns, H., Green, M. and Etyemezian, V.: Big Bend Regional Aerosol and Visibility
- Observational (BRAVO) Study Emissions Inventory, Report prepared for BRAVO Steering
- Committee, Desert Research Institute, Las Vegas, Nevada, 2003.
- Lamsal, L., Martin, R., Padmanabhan, A., Donkelaar, A., Zhang, Q., Sioris, C., Chance, K.,
- Kurosu, T. and Newchurch, M.: Application of satellite observations for timely updates to
- 359 global anthropogenic NO<sub>x</sub> emission inventories, Geophys. Res. Lett., 38(5),
- 360 doi:10.1029/2010GL046476, 2011.
- Lapina, K., Henze, D. K., Milford, J. B., Huang, M., Lin, M., Fiore, A. M., Carmichael, G.,
- Pfister, G. G., and Bowman, K.: Assessment of source contributions to seasonal vegetative
- 363 exposure to ozone in the U.S., J. Geophys. Res.-Atmos., 119, 324–340, 2014.
- Lelieveld, J., Hoor, P., Jöckel, P., Pozzer, A., Hadjinicolaou, P., Cammas, J.-P. and Beirle, S.:
- Severe ozone air pollution in the Persian Gulf region, Atmos Chem Phys, 9(4), 1393–1406,
- 366 doi:10.5194/acp-9-1393-2009, 2009.
- Li, Q., Jacob, D. J., Logan, J. A., Bey, I., Yantosca, R. M., Liu, H., Martin, R. V., Fiore, A. M.,
- Field, B. D., Duncan, B. N. and Thouret, V.: A Tropospheric Ozone Maximum Over the
- 369 Middle East, Geophys. Res. Lett., 28(17), doi: 10.1029/2001GL013134, 2001.
- Liu, J., Jones, D. B., Worden, J., Noone, D., Parrington, M. and Kar, J.: Analysis of the
- 371 summertime buildup of tropospheric ozone abundances over the Middle East and North Africa
- as observed by the Tropospheric Emission Spectrometer instrument, J. Geophys. Res., 114(D5),

- 373 doi:10.1029/2008JD010993, 2009.
- Liu, J., Jones, D. B., Zhang, S., and Kar, J.: Influence of interannual variations in transport on
- summertime abundances of ozone over the Middle East, J. Geophys. Res, 116, D20310,
- 376 doi:10.1029/2011JD016188, 2011.
- Millet, D. B., Jacob, D. J., Boersma, K. F., Fu, T.-M., Kurosu, T. P., Chance, K., Heald, C. L.,
- Guenther, A.: Spatial distribution of isoprene emissions from North America derived from
- formaldehyde column measurements by the OMI satellite sensor, J. Geophys. Res., 113,
- 380 D02307, doi:10.1029/2007JD008950, 2008.
- 381 Miyazaki, K., Eskes, H. and Sudo, K.: Global NOx emission estimates derived from an
- assimilation of OMI tropospheric NO2 columns, Atmos. Chem. Phys., 12(5), 2263–2288,
- 383 doi:10.5194/acp-12-2263-2012, 2012.
- Miyazaki, K., Eskes, H. J., Sudo, K., and Zhang, C.: Global lightning NO<sub>x</sub> production estimated
- by an assimilation of multiple satellite data sets, Atmos. Chem. Phys., 14, 3277–3305,
- 386 doi:10.5194/acp-14-3277-2014, 2014.
- 387 Miyazaki, K., Eskes, H. J., and Sudo, K.: A tropospheric chemistry reanalysis for the years
- 388 2005–2012 based on an assimilation of OMI, MLS, TES, and MOPITT satellite data, Atmos.
- 389 Chem. Phys., 15, 8315-8348, doi:10.5194/acp-15-8315-2015, 2015.
- Neu, J., Flury, T., Manney, G., Santee, M., Livesey, N. and Worden, J.: Tropospheric ozone
- variations governed by changes in stratospheric circulation, Nature Geosci, 7(5), 340–344,
- 392 doi:10.1038/ngeo2138, 2014.
- Olivier, J. G. J. and Berdowski, J. J. M.: Global emissions sources and sinks, in: The Climate
- 394 System, edited by: Berdowski, J., Guicherit, R., and Heij, B. J., 33–78, A. A. Balkema Pub-
- lishers/Swets & Zeitlinger Publishers, Lisse, the Netherlands, 2001.

- Ott, L. E., Pickering, K. E., Stenchikov, G. L., Allen, D. J., DeCaria, A. J., Ridley, B., Lin, R.-F.,
- Lang, S., and Tao, W.-K.: Production of lightning NO<sub>x</sub> and its vertical distribution calculated
- from three-dimensional cloud-scale chemical transport model simulations, J. Geophys. Res.,
- 399 115, D04301, doi:10.1029/2009JD011880, 2010.
- 400 Park, M., Randel, W. J., Gettelman, A., Massie, S. T. and Jiang, J. H.: Transport above the Asian
- 401 summer monsoon anticyclone inferred from Aura Microwave Limb Sounder tracers, J.
- 402 Geophys. Res, 112(D16), D16309, doi:10.1029/2006JD008294, 2007.
- 403 Pickering, K. E., Wang, Y., Tao, W. K., Price, C., and Muller, J. F.: Vertical distributions of
- lightning NO<sub>x</sub> for use in regional and global chemical transport models, J. Geophys. Res., 103,
- 405 31203–31216, doi:10.1029/98JD02651, 1998.
- 406 Rex, M., Wohltmann, I., Ridder, T., Lehmann, R., Rosenlof, K., Wennberg, P., Weisenstein, D.,
- Notholt, J., Krüger, K., Mohr, V. and Tegtmeier, S.: A tropical West Pacific OH minimum and
- implications for stratospheric composition, Atmos. Chem. Phys., 14(9), 4827–4841,
- 409 doi:10.5194/acp-14-4827-2014, 2014.
- 410 Ricaud, P., Sič, B., Amraoui, L., Attié, J.-L., Zbinden, R., Huszar, P., Szopa, S., Parmentier, J.,
- Jaidan, N., Michou, M., Abida, R., Carminati, F., Hauglustaine, D., August, T., Warner, J.,
- Imasu, R., Saitoh, N. and Peuch, V.-H.: Impact of the Asian monsoon anticyclone on the
- variability of mid-to-upper tropospheric methane above the Mediterranean Basin, Atmos.
- 414 Chem. Phys., 14(20), 11427–11446, doi:10.5194/acp-14-11427-2014, 2014.
- Safieddine, S., Boynard, A., Coheur, P.-F., Hurtmans, D., Pfister, G., Quennehen, B., Thomas, J.,
- Raut, J. C., Law, K. S., Klimont, Z., Hadji-Lazaro, J., George, M. and Clerbaux, C.:
- Summertime tropospheric ozone assessment over the Mediterranean region using the thermal
- infrared IASI/MetOp sounder and the WRF-Chem model, Atmos. Chem. Phys., 10119,

- 419 doi:10.5194/acp-14-10119-2014, 2014.
- 420 Schumann, U. and Huntrieser, H.: The global lightning-induced nitrogen oxides source, Atmos.
- 421 Chem. Phys., 7, 3823–3907, doi:10.5194/acp-7-3823-2007, 2007.
- Spohn, T. and Rappenglück, B.: Tracking potential sources of peak ozone concentrations in the
- 423 upper troposphere over the Arabian Gulf region, Atmospheric Environment, 101, 257-269,
- 424 doi:10.1016/j.atmosenv.2014.11.026, 2014.
- Sudo, K., and Akimoto, H.: Global source attribution of tropospheric ozone: Long-range
- 426 transport from various source regions, J. Geophys. Res., 112, D12302,
- 427 doi:10.1029/2006JD007992, 2007.
- 428 van der Werf, G. R., Randerson, J. T., Giglio, L., Collatz, G. J., Mu, M., Kasibhatla, P. S.,
- Morton, D. C., DeFries, R. S., Jin, Y., and van Leeuwen, T. T.: Global fire emissions and the
- contribution of deforestation, savanna, forest, agricultural, and peat fires (1997–2009), Atmos.
- 431 Chem. Phys., 10, 11707–11735, doi:10.5194/acp-10-11707-2010, 2010.
- Vestreng, V. and Klein, H.: Emission data reported to UNECE/EMEP. Quality assurance and
- 433 trend analysis and Presentation of WebDab, Norwegian Meteorological Institute, Oslo,
- Norway, MSC-W Status Report, 2002.
- Vogel, Günther, Müller, Grooß, J.-U., Hoor, Krämer, Müller, Zahn and Riese: Fast transport
- from Southeast Asia boundary layer sources to northern Europe: rapid uplift in typhoons and
- eastward eddy shedding of the Asian monsoon anticyclone, Atmos. Chem. Phys., 14, 2014.
- Wang, Y., Jacob, D. J., and Logan, J. A.: Global simulation of tropospheric O<sub>3</sub>-NO x-
- hydrocarbon chemistry: 1. Model formulation, J. Geophys. Res., 103(D9), 10713–10725,
- 440 doi:10.1029/98JD00158, 1998.
- Worden, J., Jones, D., Liu, J., Parrington, M., Bowman, K., Stajner, I., Beer, R., Jiang, J.,

- Thouret, V., Kulawik, S., Li, J., Verma, S. and Worden, H.: Observed vertical distribution of
- 443 tropospheric ozone during the Asian summertime monsoon, J. Geophys. Res., 114(D13),
- 444 doi:10.1029/2008JD010560, 2009.
- 445 Yienger, J. J., and Levy, H. II,: Empirical model of global soil-biogenic NO<sub>γ</sub> emissions, J.
- 446 Geophys. Res.,100(D6), 11447–11464, doi:10.1029/95JD00370, 1995.
- Zanis, P., Ganser, A., Zellweger, C., Henne, S., Steinbacher, M. and Staehelin, J.: Seasonal
- variability of measured ozone production efficiencies in the lower free troposphere of Central
- Europe, Atmos. Chem. Phys., 7(1), 223–236, doi:10.5194/acp-7-223-2007, 2007.
- Zanis, P., Hadjinicolaou, P., Pozzer, A., Tyrlis, E., Dafka, S., Mihalopoulos, N. and Lelieveld, J.:
- Summertime free-tropospheric ozone pool over the eastern Mediterranean/Middle East, Atmos
- 452 Chem Phys, 14(1), 115–132, doi:10.5194/acp-14-115-2014, 2014.
- Zhang, Q., Streets, D. G., Carmichael, G. R., He, K. B., Huo, H., Kannari, A., Klimont, Z., Park,
- 454 I. S., Reddy, S., Fu, J. S., Chen, D., Duan, L., Lei, Y., Wang, L. T., and Yao, Z. L.: Asian
- emissions in 2006 for the NASA INTEX-B mission, Atmos. Chem. Phys., 9, 5131–5153,
- 456 doi:10.5194/acp-9-5131-2009, 2009.

# 458 **Tables and Figures**

457

465

469

- 459 **Table 1.** Response of middle free tropospheric (450 350 hPa) O<sub>3</sub> over Middle East Asia (30-
- 460 60°E, 20-40°N) to NO<sub>x</sub> emission perturbation in the period of Mar 2005 Feb 2006. The value
- can be explained as the mean change (unit of ppbv) of regional mean O<sub>3</sub> due to 10% increase of
- 462 NO<sub>x</sub> emission in a particular region (Asia, North America + Europe, Middle East Asia, and Rest
- of World) assuming unchanged chemical environment. The last column shows the multi-year
- 464 mean value for Jun-Aug 2005-2012.
- 466 **Table 2.** Response of lower free tropospheric (700 600 hPa) O<sub>3</sub> over Middle East Asia (30-
- 467 60°E, 20-40°N) to NO<sub>x</sub> emission perturbation in the period of Mar 2005 Feb 2006. The last
- 468 column shows the multi-year mean value for Jun-Aug 2005-2012

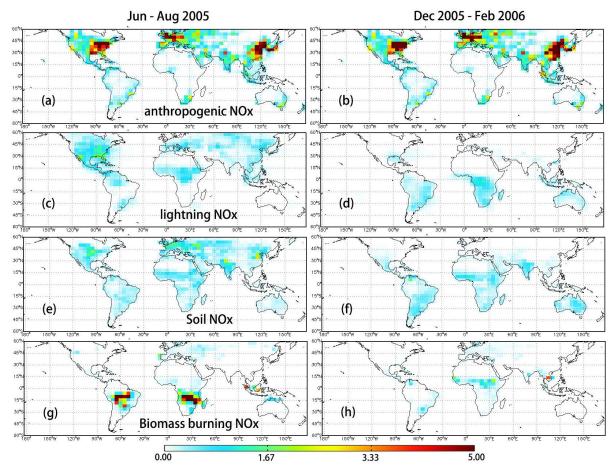
Figure 1. Seasonal mean NO<sub>x</sub> emission from anthropogenic, lightning, soil and biomass burning.
The unit is 10<sup>10</sup> molec/cm<sup>2</sup>/s.

**Figure 2**. Seasonal mean middle free tropospheric O<sub>3</sub> (464 hPa) in the period of Mar 2005 – Feb 2006. Panel (a-d): GEOS-Chem simulation. Panel (e-h): GEOS-Chem simulation smoothed with TES averaging kernel and a priori. Panel (i-l): TES O3 retriveals.

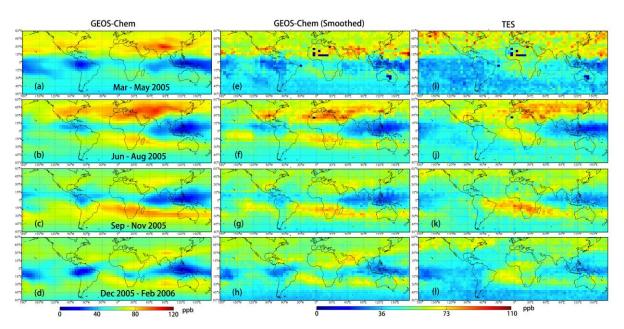
**Figure 3**. Monthly mean O<sub>3</sub> concentration for lower free troposphere (681 hPa), middle free troposphere (464 hPa) and upper free troposphere (215 hPa) in the period of Mar 2005 – Feb 2006 over Middle East Asia (Blue Box in Figure 4) for TES O3 retriveals and GEOS-Chem simulation (smoothed with TES averaging kernel and a priori). There is no TES data available in June 2005.

 **Figure 4.** Response of middle free tropospheric (450 - 350 hPa) and lower free tropospheric (700 - 600 hPa) O<sub>3</sub> over Middle East Asia (Blue Box) to precursor emission perturbation from anthropogenic NO<sub>x</sub>, lightning NO<sub>x</sub>, other NO<sub>x</sub> sources (biomass burning, biofuel and soil NO<sub>x</sub>), anthropogenic CO and biogenic isoprene, for Jun-Aug 2005. The response can be explained as the mean change (unit of ppbv) of regional mean O<sub>3</sub> due to 10% increase of precursor emissions in a particular grid assuming unchanged chemical environment.

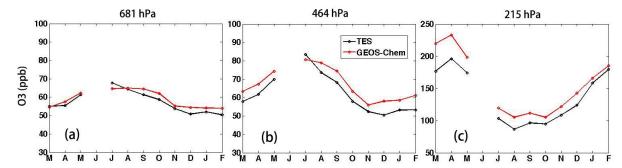
**Figure 5.** (a,b,c) Distribution of CO-like tracer (30-day lifetime) in Jun-Aug 2005 in lower free troposphere (700 - 600 hPa), middle free tropospheric (450 - 350 hPa) and upper free tropospheric (300 – 100 hPa). The Blue box defines the Middle East domain. The Black box defines the region where CO was released from combustion sources only (fossil fuel, biofuel and biomass burning); (d,e,f) Difference of CO-like tracer (30-day lifetime) concentration between Jun-Aug and Mar-May 2005. The CO emission in Mar-May 2005 is set as the same as that in Jun-Aug 2005; (g,h,i) Difference of CO-like tracer (1-day lifetime) concentration between Jun-Aug and Mar-May 2005; (j,k,l) Difference of CO-like tracer (7-day lifetime) concentration between Jun-Aug and Mar-May 2005; The combustion sources are released in middle free troposphere.



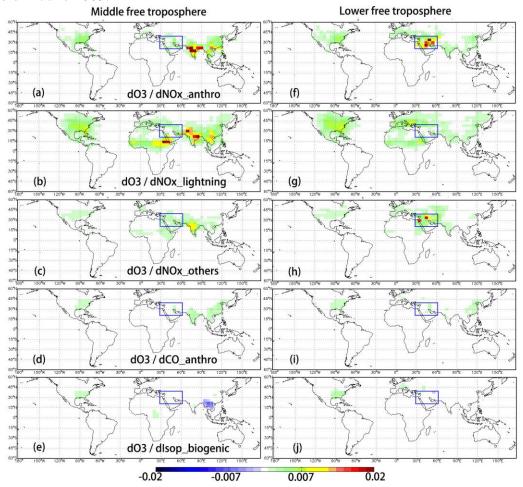
**Figure 1**. Seasonal mean  $NO_x$  emission from anthropogenic, lightning, soil and biomass burning. The unit is  $10^{10}$  molec/cm<sup>2</sup>/s.



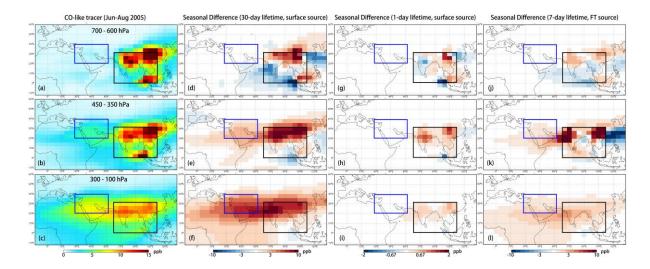
**Figure 2**. Seasonal mean middle free tropospheric O<sub>3</sub> (464 hPa) in the period of Mar 2005 – Feb 2006. Panel (a-d): GEOS-Chem simulation. Panel (e-h): GEOS-Chem simulation smoothed with TES averaging kernel and a priori. Panel (i-l): TES O3 retriveals.



**Figure 3**. Monthly mean O<sub>3</sub> concentration for lower free troposphere (681 hPa), middle free troposphere (464 hPa) and upper free troposphere (215 hPa) in the period of Mar 2005 – Feb 2006 over Middle East Asia (Blue Box in Figure 4) for TES O3 retriveals and GEOS-Chem simulation (smoothed with TES averaging kernel and a priori). There is no TES data available in June 2005.



**Figure 4.** Response of middle free tropospheric (450 - 350 hPa) and lower free tropospheric (700 - 600 hPa) O<sub>3</sub> over Middle East Asia (Blue Box) to precursor emission perturbation from anthropogenic NO<sub>x</sub>, lightning NO<sub>x</sub>, other NO<sub>x</sub> sources (biomass burning, biofuel and soil NOx), anthropogenic CO and biogenic isoprene, for Jun-Aug 2005. The response can be explained as the mean change (unit of ppbv) of regional mean O<sub>3</sub> due to 10% increase of precursor emissions in a particular grid assuming unchanged chemical environment.



**Figure 5.** (a,b,c) Distribution of CO-like tracer (30-day lifetime) in Jun-Aug 2005 in lower free troposphere (700 - 600 hPa), middle free tropospheric (450 - 350 hPa) and upper free tropospheric (300 – 100 hPa). The Blue box defines the Middle East domain. The Black box defines the region where CO was released from combustion sources only (fossil fuel, biofuel and biomass burning); (d,e,f) Difference of CO-like tracer (30-day lifetime) concentration between Jun-Aug and Mar-May 2005. The CO emission in Mar-May 2005 is set as the same as that in Jun-Aug 2005; (g,h,i) Difference of CO-like tracer (1-day lifetime) concentration between Jun-Aug and Mar-May 2005; (j,k,l) Difference of CO-like tracer (7-day lifetime) concentration between Jun-Aug and Mar-May 2005; The combustion sources are released in middle free troposphere.

Middle Free Troposphere		Jun-Aug	Sep-Nov	Dec-Feb	Mar-May	Jun-Aug 2005-2012
Asia	Anthro	0.40	0.17	0.09	0.13	0.38
	Lightning	0.53	0.21	0.08	0.22	0.47
	Total	0.93	0.37	0.17	0.35	0.85
NA + EU	Anthro	0.11	0.13	0.06	0.14	0.11
	Lightning	0.34	0.28	0.10	0.31	0.35
	Total	0.45	0.41	0.16	0.45	0.46
Middle East	Anthro	0.04	0.03	0.01	0.03	0.07
	Lightning	0.08	0.03	0.01	0.05	0.08
	Total	0.12	0.05	0.02	0.08	0.15
Rest of World	Anthro	0.01	0.04	0.04	0.03	0.02
	Lightning	0.33	0.55	0.46	0.40	0.33
	Total	0.34	0.58	0.51	0.43	0.35
Global	Anthro	0.57	0.36	0.20	0.34	0.58
	Lightning	1.28	1.06	0.65	0.98	1.23
	Total	1.85	1.42	0.85	1.32	1.81
Others		0.36	0.40	0.32	0.35	0.38

**Table 1.** Response of middle free tropospheric (450 - 350 hPa)  $O_3$  over Middle East Asia ( $30\text{-}60^\circ\text{E}$ ,  $20\text{-}40^\circ\text{N}$ ) to  $NO_x$  emission perturbation in the period of Mar 2005 – Feb 2006. The value can be explained as the mean change (unit of ppbv) of regional mean  $O_3$  due to 10% increase of  $NO_x$  emission in a particular region (Asia, North America + Europe, Middle East Asia, and Rest of World) assuming unchanged chemical environment. The last column shows the multi-year mean value for Jun-Aug 2005-2012.

Lower Free Troposphere		Jun-Aug	Sep-Nov	Dec-Feb	Mar-May	Jun-Aug 2005-2012
Asia	Anthro	0.12	0.10	0.07	0.09	0.11
	Lightning	0.18	0.10	0.04	0.10	0.15
	Total	0.29	0.20	0.11	0.20	0.26
NA + EU	Anthro	0.16	0.14	0.08	0.16	0.15
	Lightning	0.47	0.28	0.09	0.23	0.46
	Total	0.63	0.42	0.16	0.38	0.61
Middle East	Anthro	0.29	0.17	0.03	0.16	0.38
	Lightning	0.07	0.04	0.02	0.06	0.07
	Total	0.37	0.21	0.05	0.22	0.44
Rest of World	Anthro	0.03	0.03	0.04	0.04	0.03
	Lightning	0.21	0.35	0.24	0.17	0.19
	Total	0.23	0.38	0.27	0.21	0.22
Global	Anthro	0.59	0.44	0.21	0.45	0.67
	Lightning	0.93	0.77	0.38	0.56	0.87
	Total	1.52	1.22	0.60	1.00	1.54
Others		0.38	0.36	0.30	0.32	0.41

**Table 2.** Response of lower free tropospheric (700 - 600 hPa)  $O_3$  over Middle East Asia (30-60°E, 20-40°N) to  $NO_x$  emission perturbation in the period of Mar 2005 – Feb 2006. The last column shows the multi-year mean value for Jun-Aug 2005-2012.