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7	Characteristics of Monsoon Inversions over Arabian Sea observed by Satellite Sounder and
8	Reanalysis data sets
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# 19 Abstract

Monsoon inversion (MIs) over Arabian Sea (AS) is one of the important characteristics 20 associated with the monsoon activity over Indian region during summer monsoon season. In the 21 22 present study, we have used five years (2009 - 2013) data of temperature and water vapor measurements obtained from satellite sounder instrument, Infrared Atmospheric Sounding 23 Interferometer (IASI) onboard MetOp satellite, besides ERA - Interim data, to study their 24 characteristics. The lower atmospheric data over the AS have been examined first to identify the 25 areas where monsoon inversions are predominant and occur with higher strength. Based on this 26 27 information, a detailed study has been made to investigate their characteristics separately in eastern AS (EAS) and western AS (WAS) to examine their contrasting features. The initiation and 28 29 dissipation times of MI, their percentage occurrence, strength etc., has been examined using the huge 30 data base. The relation with monsoon activity (rainfall) over Indian region during normal and poor 31 monsoon years is also studied. WAS  $\Delta T$  values are ~ 2 K less than those over the EAS,  $\Delta T$  being temperature difference between 950 and 850 hPa. A much larger contrast between WAS and EAS in 32 33  $\Delta T$  is noticed in ERA-Interim dataset vis a vis those observed by satellites. The possibility of detecting MI from another parameter, refractivity N, obtained directly from another satellite 34 constellation of GPS RO (COSMIC), has also been examined. MI detected from IASI and 35 Atmospheric InfraRed Sounder (AIRS) sounder onboard NOAA satellite have been compared to see 36 37 how far the two data sets can be combined to study the MI characteristics. We suggest MI could also 38 be included as one of the semi-permanent features of southwest monsoon along with the presently accepted six parameters. 39

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*Keywords:* Monsoon inversion, Arabian sea, lower atmospheric temperature, satellite sounders,
IASI, ERA

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# 45 **1. Introduction**

The Monsoon Inversion (MI) is one of the criteria providing a stability condition over the 46 western Arabian Sea (AS), extending sometimes through to the west coast of India. The MI controls 47 48 the mid tropospheric moisture content during the different phases of the monsoon. This shallow layer of low level inversion (below ~ 800 hPa) will act as a barrier in uplifting of the moisture, and could 49 act like a wave – guide for transport of water vapour to the mainland. The fluctuation of the rainfall 50 51 over the west coast of India is more closely related to changes in monsoon circulation over the AS (Das, 2002). The AS is located at the north head of the Indian Ocean. During the monsoon season, 52 53 Indian rainfall is dependent on the physical processes occurring over AS like SST, Somali Low Level Jet and the advection of hot air from the Arabian desert. These have profound effect on 54 strength of MI. Thus, MI has been known to be intimately associated with the activity of the Indian 55 56 southwest monsoon and has a close link with active and break spells (Narayanan and Rao, 2004).

57 MIs were first detected in 1964 during International Indian Ocean Expedition (IIOE) from ship radiosonde data by Colon (1964) and Ramage (1966). Subsequently from satellite derived 58 59 temperature and humidity data, this feature was detected by Narayanan and Rao (1981). They detected MI despite the coarse vertical resolution (~ 2 km) of the TIROS – N satellite temperature 60 sounding instruments (Thomas, 1980) of 1970 - 80s compared to the vertical extent (about 1 to 1.5 61 km) of the phenomena itself. They used a simple differencing technique by finding the difference, 62 63  $\Delta T$ , of sea skin temperature and 1000 to 850 hPa mean layer temperature (MLT) from the satellite 64 sounding data. By adopting this differencing procedure, they assumed that most of the systematic errors/limitations of retrieval methods and vertical resolution of satellite soundings may be getting 65 significantly minimized. Furthermore, the spatial and temporal nature of MIs is quite large compared 66 67 to normal boundary layer inversions observed over land and other oceans.

Using data of about 150 ship radiosonde and aircraft dropsonde profiles and concurrent
 TIROS – N satellite sounder data of MONsoon EXperiment (MONEX) conducted in 1979, they

showed that regions with  $\Delta T \leq 2$  K in satellite derived atmospheric temperatures are associated with AS MI. Study of these MIs over the western AS was one of the three major objectives of MONEX / FGGE -1979 (WMO, 1976). These are seen to be much stronger (temperature departures from normal profiles in some cases being as high as ~ 6 K in the lower 1 - 2 km height region) in contrast to the inversions observed over land or associated with trade wind inversions (~ 1 - 2 K).

75 MIs are characterized by both a vertical temperature increase in the altitude region from 0.5 km (in some cases even from surface) to  $\sim 2$  km and with a sharp fall in relative humidity (RH) 76 above this altitude region. Some of the observed features of MIs reported from the limited 77 78 observations to date (Colon, 1964; Ramage, 1966; Narayanan and Rao, 1981; 1989) are: (i) strength decreases and base increases from west to east AS, (ii) oscillation of its lateral boundary from west to 79 80 east with the activity of monsoon and (iii) associated oscillation of mid tropospheric water vapor 81 content from east to west, i.e. opposite to the boundary of temperature inversion. The two primary 82 causes proposed (Colon, 1964) for formation/maintenance of monsoon inversion are: (a) hot air advection from Arabia (~700 hPa) riding over cool maritime air (at levels below ~ 800 hPa) from 83 84 south Indian Ocean and (b) subsidence over western AS associated with monsoon convection over the Indian main land. This large scale subsidence had played a major role in the maintenance of MI 85 during the prolonged weak monsoon of 2002 (Narayanan et al., 2004). 86

However, not much attention was paid to the study of MI due to paucity of freely available 87 88 data over this region. The spatial density of TIROS – N satellite data available to the global research 89 community in 1979 was just a single temperature – humidity profile a day in a latitude – longitude grid box of 2.5° x 2.5° (Kidder et al., 1995). Narayanan and Rao (1981) had to adopt temporally a 90 pentad and spatially a 5° x 5° average to detect statistically significant results from the meager data 91 92 available then. Since 2008, the number of temperature and humidity profiles from polar orbiting satellites is nearly two orders of magnitude higher (about one vertical profile every 50 x 50 km, twice 93 each day and from two satellites) besides with a much better vertical and spectral resolution. Thus, it 94

has become possible now to study MI phenomena in greater detail. However, no in-situ data after the
1979 experiment are available in this region.

In the present study, we have used the high resolution and better accuracy temperature and 97 humidity profiles data obtained from Infrared Atmospheric Sounding Interferometer (IASI) onboard 98 MetOp satellite. These data have higher vertical resolution, i.e., ~ 400 m below 700 hPa, which is 99 much better than those of TIROS – N of MONEX 1979 period. Further, ERA-Interim data have been 100 101 used to compare the MI features seen in them with those from the satellite data. For explaining the relative contribution of subsidence and convection on MI, wind observations from ERA-interim 102 103 reanalysis data have been used. The temperature - humidity profile data are also available from NOAA – Atmospheric InfraRed Sounder (AIRS) instrument since 2002, all of which have also been 104 105 analysed in the same way as the IASI data. However, we have not presented those results here, 106 because of some inconsistencies (i.e. sometimes ERA - interim data shows MI but AIRS has 107 different features like no presence of MI, profile to profile match between AIRS and ERA-interim datasets are not seen i.e. inversion type changes or level of inversion changes) observed between the 108 109 IASI and AIRS data in studying the MI features. Thus, we have confined the present study to data only from one instrument, viz., IASI, which had been reported to be performing better (Smith et al., 110 2015). This is expected to also ensure that the results of temporal and spatial gradients of  $\Delta T$ 111 presented here (featuring MI) will be mutually consistent - even if the absolute values of 112 temperature/humidity may be having some errors. We have, however, included one section 113 114 comparing the results of these two instruments for studying the MI features. We have also shown to a limited extent the potential of the GPS RO measured 'refractivity' profiles in delineating inversion 115 116 regions. For this we have also used the MONEX in-situ temperature – humidity profiles of 1979.

117 **2. Data** 

As mentioned earlier, data from a variety of instruments have been used in this study – IASI
satellite instrument, ERA-Interim reanalysis data and, in-situ dropsondes/ radiosondes data obtained

during MONEX – 1979. Limited AIRS sounder data and GPS RO data have also been presented for
 comparison purposes. A short description of each of these data is given in the following sub-sections
 and is also summarized in Table 1.

# 123 2.1. IASI observations

IASI is a thermal infrared nadir-looking Fourier transform spectrometer which measures the 124 Earth's surface and the atmospheric radiation over a spectral range of 645–2760 cm<sup>-1</sup> with a 0.5 cm<sup>-1</sup> 125 spectral resolution. The IASI field of view is a matrix of  $2^{\circ} \times 2^{\circ}$  circular pixels, each with a diameter 126 footprint of 12 km at nadir. It measures on an average at each location on the Earth's surface twice a 127 128 day (at 09:30 and 21:30 hr local time), every 50 km at nadir, with an excellent horizontal coverage due to its polar orbit and its capability to scan across track over a swath width of 2200 km. The IASI 129 130 instrument (Clerbaux et al., 2007; 2009) measures temperature profiles in the troposphere and lower 131 stratosphere with a high accuracy (~1K root mean square) at a vertical resolution of 1 km in the 132 lower troposphere), as well as humidity profiles in the troposphere (10–15% accuracy with a 1–2 km vertical resolution) primarily for numerical weather prediction (Schlüssel et al., 2005). More details 133 134 about retrieval and validation are presented in Kwon et al. (2012). The support products, which we have used, are available at 100 pressure levels at 50 x 50 km horizontal grid spacing, but we restrict 135 the data from surface to 600 hPa for our analysis.. 136

# 137 2.2. Dropsonde / Radiosonde measurements MONEX (1979)

For the in-situ ground truth comparisons over AS between the longitudes 55° -75°E we also make use of the aircraft dropsondes and ship radiosonde observations obtained during MONEX 140 1979. MONEX was conducted during May - July 1979 and there were 416 radiosondes and 412 141 dropsondes measurements over AS. It may be noted that after the MONEX campaign in 1979, no 142 campaign has been organized to get in-situ data over western or central AS. During the Indian 143 ARMEX programme (2002), however, some in-situ data were available but only in the far eastern 144 AS (east of 70°) near the coast of India. Table 2 summarizes the comparison of in-situ observations with satellite data of 1979 by Narayanan and Rao (1981). This information on ΔT criterion has been
used as the reference in the present study. In this regard it is worth to quote recent study by Dwivedi
et al. (2016) who has reported observations of temperature inversions during July - August over
Muscat and Salah (east Arabia coast) from concurrent radiosonde and IASI data.

## 149 2.3. ERA-Interim data

The European Centre for Medium Range Weather Forecasts (ECMWF)-Interim is one of 150 most advanced in operational use for diagnosing the global atmosphere with an accuracy that is less 151 than what is theoretically possible (Simmons and Hollingsworth, 2002; Simmons et al., 2007). The 152 153 selected variables are specific humidity along with the temperature on different pressure levels. The atmospheric data are available at  $0.125^{\circ} \times 0.125^{\circ}$  latitude and longitude grids on 37 pressure levels 154 155 from 1000 to 1 hPa; however, we have used for the present study, data of 14 pressure levels from 156 1000 to 600 hPa for the period of 2009 to 2013. . Vertical as well as horizontal strength of MI have 157 been examined from these data sets and compared with satellite observations.

# 158 2.4. AIRS observations

AIRS onboard the Earth Observing System (EOS) - Aqua satellite of NASA was launched in 159 160 2002. This is also a polar orbiting satellite which crosses the equatorial latitudes at 13:30 hr LT and 161 01:30 hr LT for the ascending and descending passes, respectively. The orbit period is 98.99 min, and the orbit is sun synchronous with consecutive orbits separated by 2760 km at the equator. AIRS 162 has a field of view of 1.1° and provides a nominal spatial resolution of 13.5 km for IR channels and 163 164 approximately 2.3 km for visible/near-IR channels. AIRS data together with data from the Advanced Microwave Sounder Unit (AMSU) (Lambrigtsen, 2003) are used. We make use of AIRS support 165 data which have higher vertical resolution with 100 levels between 1100 and 0.016 hPa. In this 166 167 study, we use the data from surface to 600 hPa which have vertical resolution of 30-20 hPa. These data are available since 2003. We use data from 2009 - 2013 to compare with other data sets. 168

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### 170 **2.5.COSMIC GPS RO**

GPS RO technique is also a remote sounding satellite technique, and it uses the radio signals 171 received onboard a low Earth orbiting satellite from atmospheric limb sounding. The GPS RO 172 173 measurements have a vertical resolution ranging from 400 m to 1.4 km, which is much better than that of any other satellite data (Kursinski et al., 1997). COSMIC has vertical resolution of ~ 100 m in 174 the lower troposphere for temperature. The COSMIC GPS RO was successfully launched in mid-175 April 2006 (Anthes et al., 2008). Since 17 July 2006, COSMIC GPS RO provides accurate and high 176 vertical resolution profiles of atmospheric parameters that are almost uniformly distributed over the 177 178 globe. COSMIC provides a direct estimate of refractivity (from measurement of bending angle by 179 GPS technique) at very high vertical resolution, but have poor repetivity.

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## 181 **3.** Methodology and analysis procedure

As mentioned earlier, MI was first observed by Colon (1964) and Ramage (1966) over the
AS from ship upsonde profiles. They reported that MI lies between 900 and 800 hPa with strong
intensity over western AS (WAS) and weakens as its base rises and moves to eastern AS (EAS).
Following this study, Narayanan and Rao (1981) have shown MIs presence using the temperature
difference (ΔT) between the TIROS-N derived sea skin temperature and atmospheric layer mean
temperature (between1000 hPa and 850 hPa).

Note that lapse rate (dT / dz) of atmosphere at the tropospheric altitudes is a negative quantity. However, in this study (and also in Narayanan and Rao, 1981), we have considered  $\Delta T$  as the temperature difference between a lower level (higher temperature) and a higher level (lower temperature), so is a positive quantity between ~ + 6 and + 7 K. For inversion regions, it is negative or a small positive quantity (i.e. less than + 2 K).

193 After considering several limitations in the satellite data of that time, Narayanan and Rao 194 (1981) finally considered MI when the difference  $\Delta T$ , between surface and layer mean temperature

(of 1000 to 850 hPa), is 2 K or less, which otherwise was greater than 3 K. Since then, several
improvements in the satellite instruments, retrieval techniques and data products have come up in
these three decades.

Extensive AS MI features were observed from in-situ measurements during FGGE-MONEX 199 1979 experiment. Fig. 1a shows a typical example of MI observed in T and RH (Relative Humidity) 200 data obtained on 27 June 1979 at 0656 GMT at 20°N, 62°E from radiosonde. In this example MI 201 starts from surface and temperature departure is as high as ~ 10 K from a normal lapse rate profile at 202 900 hPa. The vertical extent of inversion varies from 0.5 km to even more than 1 km. It is to be 203 noted that AS MI are much stronger and long lasting i.e. less diurnal variation than normal boundary 204 layer and persist for many days compared to those over land regions.

205 A detailed analysis is made in this study by considering several thousands of profiles 206 obtained from different satellite observations now available over AS for in depth study of MI. Since 207 the MIs occur at low levels, first we tried with the earlier adopted criteria of Narayanan and Rao (1981) i.e., by taking difference between sea surface (skin) temperature and 925 hPa level (mean 208 209 pressure level of 1000 - 850 hPa MLT of TIROS-N data of the 1980 time frame) temperature and found those to be noisy for detecting MI. To avoid the surface emissivity effects in the retrieval at / 210 211 near surface (from the sounder instrument), we adopted the lower level in the present study as 950 hPa instead of sea surface / skin temperature. It was considered not appropriate to use SST/skin 212 temperature (though may be of higher accuracy) from a different source (like imager onboard the 213 214 same satellite) for estimating  $\Delta T$ . It was felt that this will not give the advantage of the differencing procedure employed earlier to detect inversion (Narayanan and Rao, 1981). This level criterion (950 215 -850 hPa) was arrived at after a detailed examination of  $\Delta T$  at a few more level intervals (viz 1000 -216 217 900 hPa, 1000 - 850 hPa, etc).

218 Thus, we have used:

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 $\Delta T = T (950 \text{ hPa}) - T (850 \text{ hPa})$ 

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(1)

to delineate MI. However, the actual levels used were 958 hPa and 852 hPa at which the support dataare available from the NOAA website.

While considering the normal atmospheric lapse rate of + 6 to +7 K / km (average of 340 222 223 non-inversion cases obtained during MONEX, figure not shown), it is expected to observe a  $\Delta T$  of + 6 to +7 K between 950 and 850 hPa (~ 1 km height difference). Note that Narayanan and Rao 224 (1981) have identified inversion (non-inversion) region as  $\Delta T \leq +2$  K ( $\Delta T > +2$  K) in TIROS – N 225 satellite data for a height range difference of ~ 0.75 km. For the present study (for 1 km height 226 difference) the same would translate to  $\Delta T \sim +2.7$  K for inversion delineation. However, to provide 227 228 margin of error, we have still considered  $\Delta T \leq +2$  K as criterion of inversion region. The interval 2.0 K to 2.7 K may still be a grey region which could be interpreted as inversion region on some 229 occasions. The criterion of  $\Delta T \ge +4$  K as non – inversion regions has been adopted. In the example 230 shown in Fig. 1a,  $\Delta T$  is (minus) - 1.3 K (note however, that the actual inversion value is ~ - 5 K 231 232 between surface and 900 hPa).

In general, a sudden drop in the water vapor just above the inversion is observed (e.g. RH 233 drop of ~ 70 %, as shown in Fig 1a). Since all the data sources mentioned in section 2 provide water 234 vapor information, we also have examined the changes happening in water vapor near/above the 235 inversion altitude. In general, inversion is identified in the temperature (water vapor) where it 236 increases (decreases sharply) instead of decreasing (decreasing gradually) with altitude. For 237 obtaining detailed characteristics of MIs over the Arabian sea, we have selected three 3° x 3° grid 238 boxes centered at latitude 18.5° N, and located at longitudes 60° E as WAS, 64<sup>0</sup> E as CAS (central 239 AS),  $71^{\circ}$  E as EAS (as shown in Fig.3). 240

# 241 **3.1.Quality checks for the profiles and volume of data**

Each temperature profile from the satellite data was interpolated from surface to 500 hPa (26 levels of support data) at 0.25 km intervals for our preliminary analysis. We have used the quality flag 0 and 1 from the given data set which are corresponding to best and good. There were many 245 erroneous profiles which could be observed even from a cursory examination of the data. Such bad profiles are discarded. The temperatures at a few levels were far wide of the normal profile. To 246 account for these types of profiles, we applied a quality check to filter out spurious data. All profiles 247 248 of July and August months of 2009 (poor monsoon year) and 2011 (normal monsoon year) were sorted out in 3 x 3 boxes of WAS and EAS. For each month the mean and standard deviation were 249 250 obtained for each interpolated levels separately. Those profiles for which the data at any one level was lying beyond + / - 2 sigma of the mean, were not considered for further analysis. From this 251 procedure we saw that nearly 25 - 30 % of profiles were getting filtered out. 252

Using these quality checked profiles, the procedure for selecting the right levels for calculating  $\Delta T$  was established. Thereafter, for all the other monsoon days of the five years, we have computed  $\Delta T$  for individual profiles by an automated procedure (without resorting to examining each profile). They were grouped and their  $\Delta T$  values averaged in 1° x 1° bins over the whole AS region. Diurnal variation of  $\Delta T$  was examined for a few months of data. Once we made sure that this is not discernible, the day and night data of a calendar day were merged in 1° x 1° boxes.

For further analysis, the average  $\Delta T$  values for the day (24 hr period) at 1° x 1° grids have 259 been used. Due to averaging of  $\Delta T$  of all the profiles in 1° x 1° box and morning and evening 260 overpasses (~ 6 to 8 values of  $\Delta T$  in 24 hours), the strength of MI may be getting somewhat reduced 261 (as MI occur at slightly different levels within a vertical range of 25 - 50 hPa, for different profiles in 262 the same  $1^{\circ} \ge 1^{\circ}$  box). For some studies (e.g. for Fig 2, 4, 5, etc), we have used only a section of 263 264 these data sets. The total number of profiles considered for the five years amount to nearly half a million, each for AIRS and IASI – considering that nearly 30 % profiles did not pass through our 265 quality check. 266

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## **4. Results and Discussions**

#### 4. 1. Monsoon Inversions observed in satellite and ERA-Interim datasets

Fig. 1a and 1b show MI observed on 27 June 1979 at 0730 GMT at 20°N, 60°E through 272 273 MONEX radiosonde and ERA – Interim data, respectively. The detailed comparison study between TIROS - N satellite data of 1979 and concurrent in-situ MONEX radiosonde profiles for 1979 274 southwest monsoon carried out by Narayanan and Rao (1981) is summarized in Table 2. This was 275 the only occasion (1979) when in-situ data were available over AS to compare with satellite 276 soundings. Thus, comparison of current satellite observations is being done in this study with ERA-277 278 Interim data. In this case, ERA - Interim data also catches the inversion but with a less rise in temperature (~ 3 - 4 K) and decrease in RH (~ 60%). To show the efficiency and strength of 279 currently available satellite measurements to delineate features of MI over AS, typical profiles of 280 281 temperature and RH obtained from collocated IASI and ERA-Interim on 30 July 2009, 0530 GMT 282 are plotted in Fig. 1c, and 1d, respectively. A clear MI in the satellite profile and ERA-Interim can be noticed though with somewhat varying strengths and base of inversion height. However, the top 283 284 height of inversion is consistent. These are the first reported results of MI features seen directly from the satellite observations over the AS which were shown earlier by Narayanan and Rao (1981) in an 285 indirect way by using  $\Delta T$  indices. In general, in the individual satellite profiles, we are able to see the 286 MI strengths ranging from  $\sim +2$  to -6 K (-8.8 K being the actual temperature difference between 287 930 hPa and 850 hPa in Fig. 1c). These MI lie mostly below 850 hPa level, but in rare occasions we 288 289 could see them even up to 700 hPa over the EAS – but of much weaker strength. The strength of MI is also seen to be decreasing from WAS to EAS which will be discussed in detail in later sections. 290

Thus, in Fig. 1, we have seen examples of MI comparison between radiosonde and ERA interim (1979) and between IASI and ERA-Interim (2009). There are some minor inconsistencies by way of inversion heights in individual profiles of the three data sets. However, our objective here is to examine the large scale characteristics of MI by considering average  $\Delta T$  computed from individual profiles in 1° x 1° grids.

296 4. 2. Contrasting behavior of MI between WAS and EAS

297 As observed from Fig. 1, MI can lie between surface and ~ 2 km during Indian Summer Monsoon (ISM) season (JJAS). Careful examination of time evolution of  $\Delta T$  over the western 298 Arabian sea reveals that the MI appears around first half of May and dissipate around late 299 September. Fig. 2 shows the evolution of the MI during two contrasting years (2009 a poor monsoon 300 year and 2011 a normal monsoon year). During the peak monsoon season of July – August, the 301 302 difference in  $\Delta T$  between the two years is significant. Also MI is more frequently observed with higher strength during the peak monsoon months of July and August. To investigate further their 303 304 contrasting features in WAS and EAS, data only of July and August from 2009 to 2013 are 305 presented.

In Fig. 3 we have summarized the three important characteristics of MI: their base altitude, strength (as revealed by  $\Delta$ T) and percentage occurrence during the complete season. For brevity, the results of only July and August months, averaged for all the five years 2009 – 2013 are shown in the figures. Fig. 3a and 3b show the spatial variation of base altitude of MI during July and August, respectively. The contrasting feature of base altitude of occurrence of MI is found mainly north of 15° N from the selected three grid boxes. It increases from WAS (below 1 km) to EAS (above 1.5 km) through CAS (1.0 -1.5 km).

As mentioned earlier, from very limited observations previous studies (Colon, 1964; Ramage, 1966; Narayanan and Rao, 2004) had suggested that strength and frequency of occurrence of the MI days will be more over WAS than over EAS. To investigate this contrasting behavior of MI in detail from satellite soundings, we examined the spatial variations of  $\Delta$ T. Fig. 3c (July) and 3d (August) shows the strength of MI increasing from EAS to the WAS and is prevalent mainly north of 15°N latitude extending from 15°N to 25° N latitude and 55° E to 68° E longitude. The strength of MI can

319 be noticed as  $\sim +2$  K near Arabia coast, but the normal environmental lapse rate condition of +6 to + 7 K/km is restored towards the Indian coast. From these figures a clear contrast in  $\Delta T$  with a 320 difference of around 2 K in the southeast quadrant of AS between July and August is also noticed. In 321 322 general, the AS is covered with lapse rate of + 4 K/km, which is the condition for taking the atmosphere towards stability during the month of August. The Somali low level jet is the location of 323 permanent region of MI during the month of July. In the spatial distribution of monsoon low level jet 324 (Roja Raman et al. 2011) revelas that the center of the core is seen around 13°N and 60°E and exists 325 strong shear between 850 hap and 700 hpa. Strong surface winds of south-west monsoon produce an 326 327 Ekman transport perpendicular to the wind flow with strong upwelling in the region which in turn brings the cool water from the deeper layers to surface. Simon et al. (2007) showed that WAS region 328 329 is the region of Somali upwelling, and also since the low level jet and surface wind are of the order 330 of  $\sim 20$  m/s, they produce sufficient cooling and the air above this region is still warmer when 331 compared to the upwelling area, producing strong inversion.

Fig. 3e and 3f show the spatial variation of percentage occurrence (PO) of MI during July and 332 August months. PO is calculated corresponding to  $\Delta T \leq +2$  K criteria. In general, it is observed that 333 WAS shows more number of MI cases (50 to 70%) compared to EAS (10 to 20%). ERA-Interim data 334 show only 30 to 50% cases of MI over WAS which will be dealt in detail in the following sub-335 sections. The maximum PO during the four months of monsoon over the WAS are 40 % (June), 60 336 337 % (July), 50 % (August) and 30 % (September) (figure not shown). The areal extent of the maximum 338 PO is seen during July. During September, very small area of northern AS is covered with ~ 50 %. No inversion is seen in the EAS box during the June and September periods. Despite its low strength 339 ( $\Delta$ T) PO show maximum occurrence of 60% in July. Since the PO and strength of MI over the CAS 340 341 are in between the features of EAS and WAS, further discussions pertain, only to WAS and EAS 342 boxes.

The PO of  $\Delta T$  values in different ranges observed in IASI for the five monsoon seasons is shown in Fig. 4.  $\Delta T$  values range from -2 to + 6 K (0 to + 7 K) in WAS (EAS) with peak occurring around + 1 to + 2 K (+3 to +4 K). There are only a few values of  $\Delta T$  less than + 2 K in EAS. Similar analysis is also made using ERA-Interim data and is shown in bottom panels of Fig. 4. ERA-Interim data show the contrast between WAS and EAS more clearly. In case of q at 700 hPa a difference of about 2 g/kg can be noticed, with EAS having higher humidity values than WAS in IASI. However, this feature is not observed in the ERA-Interim data.

To further examine the contrasting behavior between EAS and WAS, time series of  $\Delta T$  and 350 351 water vapour at 700 hPa is considered for different years. Daily mean variations of  $\Delta T$  and specific humidity, q, at 700 hPa in WAS and EAS during the monsoon season of the year 2012 observed by 352 IASI is shown in Fig. 5. Note that we have included results of all the days irrespective of whether MI 353 354 is present or not. Three point average smoothed curves are shown in the respective panels. In general, it can be seen that WAS  $\Delta T$  (q at 700 hPa) values are ~ + 2 K (1 - 2 g/kg) less than those 355 over EAS for the season as a whole (Fig. 5a and 5b). During all the years (2009 - 2013) of the 356 present study, IASI reveals (figure not shown) this feature. Similar analysis has been carried out 357 using ERA-Interim reanalysis data and is shown in Fig. 5c and 5d. A clear contrast between WAS 358 and EAS in  $\Delta T$  can be noticed in ERA-Interim data. A mean difference of ~ 2 K (~ 1 g/kg) can be 359 noticed in  $\Delta T$  (q at 700 hPa) between WAS and EAS, with EAS values being lower. A cyclic 360 behavior in  $\Delta T$  variations with a period of ~ 20-25 days in case of ERA-Interim is noticed but not 361 362 observed in the satellite measurements. There exists no significant diurnal variation in  $\Delta T$  (figure not shown). This was verified before averaging  $\Delta T$  of all profiles (day and night) in the 1° x 1° grids. 363 Due to inversion and stability, moisture is getting trapped at lower levels over WAS compared to 364 365 EAS as indicated in Fig. 5b and 5d observed from IASI and ERA-Interim, respectively.

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#### **4.3. Relation between MI over AS and monsoon activity**

Past investigations (e.g. Gadgil and Joseph, 2003) showed that the monsoon features vary 369 with activity of the monsoon. In general during the active phase of the ISM, there will be more 370 371 precipitation over central India (18°-28°N and 65° to 88°E). Similar variations in precipitation during the monsoon season can also be expected on regional scales. Gadgil and Joseph (2003), Kripalani et 372 al. (2004), Rajeevan et al. (2006) have considered the daily rainfall time series over central India 373 374 during monsoon months along with the climate normal to delineate 'active' and 'break' periods over the Indian region. On the basis of this data, Rajeevan and Bhate (2009) have defined active and break 375 376 phases over central India by considering the days exceeding the climate mean with +1 (-1) standardized anomaly as active (break) periods, provided it should persist at least for 3 days (triad). 377

Fig. 6 shows the latitude - longitude cross section of  $\Delta T$  and q at 700 hPa for active (14 - 17 378 379 July 2009) and break (30 July - 11 Aug. 2009) spells for the monsoon season of 2009 observed using 380 IASI and ERA-Interim data. Irrespective of the data source,  $\Delta T$  and associated q at 700 hPa reveal that a large part of WAS is covered with MI ( $\Delta T \leq +2$  K and less moisture values) up to west of ~ 381 382 68° E during the break spell as seen in Fig. 6a and 6e. In the north AS, MI reach as close as Gujarat coast during break spells (especially in ERA-Interim data), but are restricted to WAS during active 383 spells. During the active spell, the inversion regions from  $\Delta T$  maps are discontinous west of 65° E in 384 Fig. 6c. Also strengths of  $\Delta T$  in WAS are more as observed by ERA-Interim than by IASI during 385 386 break spells (30 July – 11 August 2009). ERA–Interim show (Fig. 6e and 6g) more smoothed results 387 and there is less change in areal extent in this case. Specific humidity q at 700 hPa shows clear result that during the break spell AS has less moisture and more during the active spell. One can notice the 388 feature of inversion from the figure where water vapor is being trapped in the lower portion resulting 389 390 in less moisture over WAS and more over the EAS. Thus, the q values also give a good indication of the inversion feature. 391

## **4.4. MI during normal and poor monsoon years**

It is well known that strong MI suppresses the vertical development of clouds; rain cannot 394 occur in such situations (Sathiyamoorthy et al., 2013). Using ARMEX-I (2002) data, Bhat (2006) 395 396 could notice strong and persistent inversions in the atmosphere over the AS and west coast of India. 397 This data proved very valuable as July 2002 rainfall was the lowest in the recorded history and the data collected over the AS and on the west coast helped in understanding the conditions that 398 399 prevailed over the eastern AS during one of the worst monsoon years. The relation between MI and central India rainfall is further investigated by separating the MI observed during normal (2010 -400 401 2013) and poor monsoon (2009) years. Time variations of  $\Delta T$  observed over WAS during two contrasting years of 2009 and 2011 obtained from IASI measurements and ERA-Interim data are 402 403 shown in Fig. 7. It can be seen that good monsoon year 2011 has higher  $\Delta T$  than poor monsoon year 404 2009 (Fig. 7a), and is the same for q i.e. higher value for the year 2011 (Fig. 7b). ΔT is observed to 405 be lower by about 2 K during the season as a whole in the poor monsoon year when compared to the good monsoon year, suggesting the possibility of a variation of this parameter between normal and 406 407 poor monsoon years. This aspect is clear from the right panels where difference between 2011 and 2009 observed in  $\Delta T$  (Fig. 7c) and q at 700 hPa (Fig. 7d) are shown. From this figure we can infer 408 409 that the year 2009 has less value of  $\Delta T$  and less value for q suggesting stronger MI during poor monsoon year. Note that during most of the time, the temperature in 2011 is higher (the difference 410 411 between 2011 and 2009 showing positive values) and less temperature lapse rate means more stable 412 layered atmosphere. In 2011, WAS temperature show higher values revealing less MI over AS when compared to 2009. The decreasing trend in  $\Delta T$  is discernible in difference plots for some particular 413 epochs. In general, ERA-Interim also show these features (Fig. 7e and 7f), but only to a moderate 414 415 extent. It may be noted that these inferences are based on the results of only one poor monsoon year (2009). 416

## 418 **4.5. Inter-comparison of MI features with IASI, AIRS and ERA**

Inter-comparison of the gross features of PO of MI (with  $\Delta T \leq 2$  K) in WAS and EAS 419 estimated for the five years of monsoon season by IASI,AIRS and ERA-Interim data are shown in 420 421 Fig. 8. In general, when we consider  $\Delta T$  as a parameter to detect MI, clear contrasting feature between WAS and EAS with higher PO in WAS can be noticed in all the data sources mentioned 422 above. PO in the IASI measurements ranges from 23% to 54%. Among these data sets, ERA-Interim 423 424 shows huge difference in the percentage occurrences between WAS and EAS, to the extent that not 425 even a single MI is seen in EAS in any year. Since the vertical resolution of the IASI temperature 426 profiles is better than AIRS, higher PO of MI in the WAS is noticed throughout when compared to AIRS, except in the case of 2012. However, ERA- Interim being a combination of model and 427 428 observations, it is not able to pick up the MI in the EAS where the strength of inversion is also 429 weak. The model appears to be smoothening the MI features of IASI when it is assimilated in the 430 ERA – Interim.

Among the satellite observations, IASI shows higher PO of MI days than AIRS, except 431 432 during 2012 over WAS. A distinct contrast between WAS and EAS with higher PO in the former region can be noticed. When we consider EAS as a place to detect MI, AIRS observed always higher 433 434 PO than IASI and almost nothing is noticed in ERA-Interim. Thus, we may infer that IASI is performing better than AIRS for detecting MI (as ERA is in better agreement with IASI rather than 435 436 with AIRS). Note that large inter-annual variability in MI is observed and this is expected to reflect 437 in the monsoonal activity over Indian region. It can also be seen that there is a steady decrease of PO of MI as observed by IASI from 2009 to 2013. No such feature is observed in AIRS – which shows 438 439 more random behavior over the different years.

We have also analysed and compared the  $\Delta T$  observed by IASI and AIRS over WAS and EAS (figure not shown). The analysis suggests that these two data sets cannot be merged to study the small changes of  $\Delta T$  in their intra-seasonal and inter-annual variations. This and the other

differences related to q at 700 hPa constrained us not to combine the AIRS data with IASI data in thepresent study.

# 445 **4.6. Monsoon Inversion derived from other parameters**

446 Narayanan and Rao (1989) had also considered equivalent potential temperature ( $\theta e$ ) differences to study MI. be incorporates the effect of both temperature and humidity. However, the 447 dynamic range of  $\Delta \theta e$  is no better than that of  $\Delta T$ . Recall that the troposphere is statically stable on 448 average, with a potential temperature gradient of 3.3 K/km (Wallace et. al., 2006). We make use of 449 another index here i.e. atmospheric refractivity (N) for identifying MI. Similar to  $\theta e$ , Refractivity 450 451 (N), is another atmospheric parameter which is a function of temperature and water vapor. It was shown that better information on boundary layer can be obtained from refractivity profiles than 452 453 virtual potential temperature though both have temperature and water vapor information (Basha and 454 Ratnam, 2009). Refractivity, N has a higher dynamic range and vertical variation as compared to 455 temperature (~ 15 N units vis a vis 2 K). More advantage of using N for delineating MI will be 456 available, provided, it is measured directly, for example, using GPS Radio Occultation technique, 457 instead of computing it from temperature and water vapor obtained from the sounders or from radiosonde. However, the spatio-temporal density of direct N observations is too sparse to get 458 459 meaningful statistics over equatorial regions.

We have computed refractivity N, from temperature and water vapor data of IASI (andMONEX radiosonde data), given by the expression:

462 
$$\mathbf{N} = 77.6 \left(\frac{\mathbf{P}}{\mathbf{T}}\right) + 3.73 \times 10^5 \left(\frac{\mathbf{e}}{\mathbf{T}^2}\right) \qquad (2)$$

463 where P is pressure, T temperature and *e* water vapor pressure.

464 Similar to  $\Delta T$  we have defined an index ' $\Delta N$ ' as:

465 
$$\Delta N = N (950 \text{ hPa}) - N (850 \text{ hPa})$$
 (3)

Profile of N computed from the temperature and humidity profiles of dropsonde (Fig. 9a) of MONEX time is shown in Fig. 9b. A drastic decrease in N (by 129 N units between 950 and 850 hPa) can be noticed near MI altitudes in this example. Thus, N can also be taken as a potential parameter to delineate inversion and for studying spatial and temporal variations of MI.

In order to see the relation between  $\Delta T$  and  $\Delta N$ , we have estimated  $\Delta N$  using all the MONEX 470 profiles obtained over AS. These include both inversion and non-inversion cases. There were 32 471 (346) profiles with inversion (non- inversion). Note that  $\Delta T \leq +2$  K and  $\Delta T > +4$  K are only 472 considered for obtaining above statistics and there exists 34 profiles in the transition zone (+2 to +3)473 474 K). Scatter plot between  $\Delta T$  and  $\Delta N$  for all 411 in-situ profiles of MONEX over AS is shown in Fig. 9c. Correlation coefficients between the two parameters are found to be 0.56 with 15.7 as standard 475 476 deviation. Note that  $\Delta T \leq +2$  K (inversion region) corresponds to  $\Delta N > 50$  N units which is shown 477 as blue line in Fig. 9c. We can infer that if  $\Delta N$  is less than 50 N units it corresponds to non-inversion 478 region ( $\Delta N$  more than 50 may be inversion or otherwise).  $\Delta N$  is thus a supportive parameter to  $\Delta T$  in identifying inversion / non inversion. Because of its larger dynamic range, details of inversion have 479 480 been identified in the  $\Delta T$  and  $\Delta N$  maps (figure not shown).

It is well known that COSMIC satellites are able to provide N profiles directly. The spatial 481 and temporal sampling of COSMIC at any particular region are, however, very meager. The 482 comparison map of  $\Delta N$  from IASI and  $\Delta N$  from COSMIC combined for a long break spell from 30 483 484 July to 11 August 2009 has been studied. This long period accumulation of data was necessary to 485 have sufficient data points from COSMIC to cover the entire AS. One can see  $\Delta N$  values above 50 N units (inversion region) covering the entire Arabian sea corresponding to  $\Delta T$  values being below 2 K 486 (shown by IASI, figure not shown). Over the AS region  $\Delta N$  observed for all the five years of our 487 study were combined to produce the frequency distribution of  $\Delta N$  over Western AS (5 – 25 °N, 56 – 488 65 °E, excluding land) and Eastern AS (5 – 25 °N, 66 – 75 °E, excluding land) and is shown in Fig. 489 10. Over WAS, 712 cases and over EAS 547 cases are showing  $\Delta N > 50$  N units (which may be 490

491 supportive to inversion). A difference of about 10 N units can be noticed, with WAS having higher
492 ΔN values.

# 493 **5. Summary and Conclusions**

494 Low level MI characteristics, which usually occur below 700 hPa over the AS during southwest monsoon months, have been identified directly from operational satellite temperature 495 496 retrievals. For the first time we have shown here cases of direct and unambiguous delineation of MI 497 from the satellite temperature and water vapor retrieval observations. We have used five years (2009-2013) data of two different satellite sounder instruments (mainly from IASI and for inter comparison 498 499 AIRS) along with ERA-Interim reanalysis data to delineate the characteristics of MI over AS. Their percentage occurrence, base height and strength have been studied. For supporting our findings, we 500 501 also compare with the campaign of MONEX 1979 in-situ measurements over AS. The main findings 502 obtained from the observational study are summarized in the following:

- 503 1. Percentage occurrences of MI over WAS (up to ~  $65^{\circ}$ E) is ~ 60 70 % and are always higher 504 and stronger than over EAS. WAS  $\Delta$ T values are ~ 2 K less than those over EAS.
- 505 2. MI is stronger during poor monsoon year (2009) and occurs on more occasions in WAS
  506 during break spells (30 July 11 August 2009). Whether this is true or not for all poor
  507 monsoon years need to be checked with more years of data.
- These features are also observed in the ERA-Interim data, but are restricted to some parts of
  AS with more smoothed variability.
- 4. Inter-comparison of IASI and AIRS profiles from the view of study of inversion suggests the
  differences do not warrant a mix of these two data sets for this study.
- 5. The refractivity data has only a supporting role to identify monsoon inversion regions.
- 513 Thus, MI seems to be a semi-permanent feature of Indian summer monsoon. It is suggested to
- 514 include this feature also in future monsoon diagnostic and forecast studies. The diagnostics from
- 515 ERA-Interim suggest the possibility of AS MI getting formed during mid May, primarily due to

- subsidence mechanism and maintained later by the combined effects of advection and subsidencewhich is the subject of our future study.
- 518
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- 526 rainfall data over Indian land mass.

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- 609 **Figure captions:**
- **Figure 1.** Typical examples showing MI in T and RH on (a) 27 June 1979 at 0730 GMT at 20°N,
- $611 \quad 60^{\circ}\text{E}$  measured by radiosonde during MONEX experiment, (b) same as (a) but at 0600 GMT from
- ERA, (c) 30 July 2009 at 0514 GMT at  $22^{\circ}$ N,  $68^{\circ}$ E by IASI, (d) 30 July 2009 by ERA-Interim at
- same location but at 0600 GMT. Note that scale for RH is shown in the top axis of (a) and (b).
- **Figure 2.** Time series of  $\Delta T$  for starting and ending of MI from April to October 2009 (black) and
- 615 2011 (blue). Green vertical lines are showing starting (01 May 2009) and ending (07 October 2009)
  616 time for MI.
- **Figure 3.** Base altitude occurrence of MI during (a) July, (b) August,  $\Delta T$  (Strength) of MI (c) July,
- (d) August, and Percentage occurrence of MI days (e) July, (f) August, averaged during 2009-2013
- observed by IASI (We are selecting WAS, CAS and EAS from this figure).
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- **Figure 5.** Time series of (a)  $\Delta T$  and (b) q at 700 hPa observed over WAS and EAS grid boxes during the monsoon season of the year 2012 by IASI, (c) and (d) same as (a) and (b) but obtained using ERA – Interim data. 3-point smoothed curves are shown.
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- July 2009). (e) and (f) and (g) and (h), same as (a) and (b) and (c) and (d) but observed by ERA-Interim, respectively.
- **Figure 7.** Time variations of (a)  $\Delta$ T and (b) q at 700 hPa observed over WAS during two contrasting
- 631 years of 2009 and 2011 by using IASI measurements and ERA-Interim products (e and f).
- 632 Difference between 2011 and 2009 observed in (c) ΔT and (d) q at 700 hPa for IASI and ERA-
- 633 Interim products (g and h).

- **Figure 8.** Percentage occurrence of MI observed with (a)  $\Delta T \le 2K$  using IASI, AIRS and ERA-Interim data during monsoon seasons of 2009-2013 over WAS and EAS.
- **Figure 9.** Typical examples showing MI in temperature and RH on (a) 27 June 1979 at 0656 GMT at
- 637  $20^{\circ}$ N,  $62^{\circ}$ E obtained from dropsondes from MONEX experiment, (b) N profile (c) Scatter plot of 638  $\Delta$ T and  $\Delta$ N.
- **Figure 10.** Frequency of  $\Delta N$  observed in Western AS and Eastern AS during monsoon season of the years 2009-2013 for various ranges of  $\Delta N$  by COSMIC. Western AS is showing higher valuesmeans inversion is there.
- 642

# 643 **Table captions:**

- **Table 1**: Data details for accuracy/error and availability.
- **Table 2**: Comparison of aircraft profiles with satellite data.

**Table 1**: Data details for accuracy/error and availability.

	IASI	AIRS	COSMIC	ERA-Interim	MONEX 1979
	11 101		GPS - RO		In-situ data
Launch of satellite	MetOp – A launched in October 2006, 8461 spectral Channels	Aqua launched in May 2002, 2378 spectral channels	GPS – RO microsatellit e receiver launched in April 2006		May – August 1979
Data availability from	August 2008	2003	April 2006	1979	May – August 1979
Data used in the present study	June – September 2009 - 2013	June – September 2009 – 2013	June – September 2009 - 2013	June – September 2009 - 2013	May – August 1979
Accuracy in Temperatur e	~ 1 K(RMS) at a vertical resolution of 1 Km(Clerbaux et al., 2007; 2009)	~ 1 K at a vertical resolution of 1 Km(Susskind et al., 2003)	Generally ~ 100m in the lower troposphere (not for T)	0.5 - 1.0 K at a vertical resolution of $0.8 - 1.0$ km	$\pm$ 1 <sup>6</sup> C in 4 vertical levels resolution( WMO report)
Accuracy in Humidity	$\sim 10 - 15$ % accuracy with a 1 - 2 Km vertical resolution(Clerb aux et al., 2007; 2009)(Schlüssel et al., 2005)	~15 % accuracy with a 2 Km vertical layer resolution(Susski nd et al., 2003)		$\sim$ 7.0 – 20 % at a vertical resolution of 0.8 – 1.0 km	$\pm$ 30 % at a vertical resolution of 4 levels.
Accuracy in Refractivity			400 m to 1.4 km (Kursinski et al., 1997),		
Horizontal resolution	15 Km	25 Km	2000 soundings per day	$\frac{1.5}{(\sim 80 \text{ km})}^{0} \times 1.5^{\circ}$	500 km
Pressure levels	1100- 0.0161 hPa - 100	1100 – 0.0161 hPa – 100	70% of occultations penetrate below 1 km (Anthes et al., 2008)	1013 – 1 hPa 37	1000 – 294 Different -2
Local equator crossing time	0930 LT descending node	1330 LT ascending node			
Swath	2200 km	1650 Km			

# **Table 2**: Comparison of aircraft profiles with satellite data.

	Aircraft	Near simultaneous satellite data		
	profiles			
		$\Delta T \leq 2 \ ^{0}C$	$\Delta T \ge 3 \ ^{0}C$	
No. Of profiles	30	23	7	
with well –			(for four of them	
marked			$\Delta T = 3^{0}C)$	
inversion below				
850 mbar				
No. Of profiles	129	0	129	
without well –				
marked				
inversion				

650 (Regenerated from Narayanan et al., 1981)

652 Figures:



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Figure 7. Time variations of (a) ΔT and (b) q at 700 hPa observed over WAS during two contrasting
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