Retrieving high-resolution surface solar radiation with cloud parameters derived by combining MODIS and MTSAT data

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Abstract: Cloud parameters (cloud mask, effective particle radius and liquid/ice 1 water path) are the important inputs in estimating surface solar radiation (SSR). 2 These parameters can be derived from MODIS with high accuracy but their temporal 3 resolution is too low to obtain high temporal resolution SSR retrievals. In order to 4 obtain hourly cloud parameters, the Artificial Neural Network (ANN) is applied in 5 this study to directly construct a functional relationship between MODIS cloud 6 products and Multi-functional Transport Satellite (MTSAT) geostationary satellite 7 signals. Meanwhile, an efficient parameterization model for SSR retrieval is 8 introduced and, when driven with MODIS atmospheric and land products, its root 9 mean square error (RMSE) is about 100 W m⁻² for 44 Baseline Surface Radiation 10 Network (BSRN) stations. Once the estimated cloud parameters and other 11 12 information (such as aerosol, precipitable water, ozone and so on) are input to the model, we can derive SSR at high spatio-temporal resolution. The retrieved SSR is 13 first evaluated against hourly radiation data at three experimental stations in the 14 Haihe River Basin of China. The mean bias error (MBE) and RMSE in hourly SSR 15 estimate are 12.0 W m⁻² (or 3.5%) and 98.5 W m⁻² (or 28.9%), respectively. The 16 retrieved SSR is also evaluated against daily radiation data at 90 China 17 Meteorological Administration (CMA) stations. The MBEs are 9.8 W m⁻² (or 5.4%); 18 the RMSEs in daily and monthly-mean SSR estimates are 34.2 W m⁻² (or 19.1%) and 19 22.1 W m⁻² (or 12.3%), respectively. The accuracy is comparable or even higher than 20 other two radiation products (GLASS and ISCCP-FD), and the present method is 21 more computationally efficient and can produce hourly SSR data at a spatial 22

resolution of 5 km.

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Keywords: Solar radiation; High resolution; Cloud parameters; Cloud detection

26 **1. Introduction**

Surface solar radiation (SSR), as a component of the surface radiation budget, is 27 the primary source of energy for the Earth's system. It controls both water and 28 energy exchanges on the land surfaces and thus is a major forcing for land surface 29 models, hydrological models, and ecological models (Xue et al., 2013; Huang et al., 30 2016). SSR is also essential for many applications such as determination of the site 31 of solar power stations and design of heating systems (Berbery et al., 1999; Oliver 32 and Jackson, 2001; Roebeling et al., 2004; Mondol et al., 2008; Benghanem and 33 34 Mellit, 2010). However, in situ measurements of SSR are sparse, which are not adequate to represent regional characteristics of SSR, due to high spatial variability 35 of SSR, especially in mountain regions. 36

37 Satellites can be utilized to retrieve spatially continuous SSR over a wide geographical extent. Currently, there are several global satellite SSR products, such 38 as the Global Energy and Water cycle Experiment Surface Radiation Budget 39 (GEWEX-SRB, Stackhouse et al., et al., 2004,) and the International Satellite Cloud 40 Climatology Project Flux Data (ISCCP-FD, Zhang et al., 2004). But their spatial 41 resolutions (>100 km) are too coarse to well meet the requirements of land surface 42 43 processes studies and practical applications. Moreover, their accuracy needs further improvements. As indicated by Yang et al. (2008), the SSR of GEWEX-SRB and 44 ISCCP-FD have large discrepancies in highly variable terrain in the Tibetan Plateau. 45 Wu et al. (2011) evaluated the monthly mean SSR of GEWEX-SRB over China, and 46 found that the SSR was generally overestimated over eastern China but occasionally 47

underestimated over western China. Therefore, it is necessary to develop new
methods that can produce high-accuracy and high-resolution SSR products.

50 So far, numerous methods have been developed to retrieve SSR from satellite signals. These methods can be roughly divided into three categories. One is look-up 51 table methods that use satellite signals to match a pre-established radiative-transfer 52 database (Pinker et al., 2003; Liang et al., 2006; Mueller et al., 2009; Lu et al., 2010; 53 Huang et al., 2011; Ma and Pinker, 2012). These methods are not computational 54 economical, and most of them only use visible channel data. The second is 55 56 parameterization methods that directly calculate SSR by a parameterization model, with inputs of cloud, aerosol and other atmospheric and surface variables (Zhang et 57 al., 2004; Halthore et al., 2005; Wang et al., 2009; Kim and Ramanathan, 2008; 58 Huang et al., 2012; Sun et al., 2012). Some inputs (e.g. cloud parameters) of these 59 methods change rapidly but it is hard to get them with high temporal resolution. The 60 third is statistical methods that directly link satellite-observed signals to SSR 61 measurements at regional scales (Lu et al., 2011). The disadvantage of these methods 62 is their limited generalization. In addition, the combination of the above methods is 63 64 also widely adopted by many researchers (e.g. Hammer et al., 2003; Rigollier et al., 2004; Posselt et al., 2012; and Wang et al., 2011; 2014; Tanahashi et al., 2001; 65 Kawai and Kawamura, 2005; Yeom et al., 2008; 2010). These combined methods 66 firstly calculate clear-sky SSR by a look-up table method or a parameterization 67 method, and then the cloud index or cloud attenuation coefficient derived from 68 satellite data is used to calculate all-sky SSR. Their applicability needs further tests 69

70 at global scale.

Currently, both polar-orbit and geostationary satellites can be used to retrieve the 71 72 SSR, with different merits and defects. Sensors onboard polar-orbit satellites generally have higher spectral resolutions than geostationary satellites. For example, the 73 Moderate Resolution Imaging Spectroradiometer (MODIS) onboard Terra and Aqua 74 75 platforms has 36 spectral bands, but the Multi-functional Transport Satellite (MTSAT) and Geostationary Operational Environmental Satellites (GOES) have only five 76 spectral bands. Sensors with high spectral resolution have great advantage in 77 78 retrieving cloud properties (Huang et al., 2006). As a fact, MODIS can provide cloud 79 property data with high accuracy, which are used in many studies for SSR estimation (Wang et al., 2009; Huang et al., 2011; Qin et al., 2015). However, their temporal 80 resolutions are too low to capture the diurnal cycle. By contrast, geostationary 81 satellites can provide continuous observations with high temporal resolutions, and 82 thus can capture the diurnal cycle of sky-conditions at regional scales. But it is 83 difficult to directly derive cloud properties based on geostationary satellites due to 84 their low spectral resolutions (King et al., 1997; Huang et al., 2005; Minnis et al., 85 2007). As well-known, the largest uncertainties in satellite retrieval of SSR are 86 attributed to the inadequate information on cloud properties. Combination of 87 polar-orbit and geostationary satellites may provide an opportunity to derive the cloud 88 properties at high temporal resolutions. 89

90 This paper presents a new method to quickly estimate SSR by combining signals91 of polar-orbit and geostationary satellites. This method includes two steps. The first

step is to estimate hourly cloud parameters by combining high-accuracy cloud 92 products of MODIS and high temporal resolution top of atmosphere (TOA) radiance 93 data of all MTSAT channels. The second step is to use the cloud information and 94 other auxiliary information in an efficient parameterization model to retrieve SSR at a 95 high spatio-temperoal resolution. The paper is organized as follows. The data used are 96 introduced in Section 2. The SSR retrieval scheme is presented in Section 3. Section 4 97 presents the validation results and discussions. Finally, conclusions and remarks are 98 given in section 5. 99

100

101 **2 Data**

102 **2.1. MTSAT Data**

The MTSAT (includes MTSAT-1R and MTSAT-2) data of the Japan 103 Meteorological Agency (JMA) is used in this study. The MTSAT-1R, launched on 26 104 February 2005, is positioned at 140° E above the equator, and the MTSAT-2, launched 105 on 18 February 2006, is positioned at 145° E above the equator. As the next 106 generation of satellite series, they succeed the Geostationary Meteorological Satellite 107 (GMS) series and take over the role of observing East Asia and the Western Pacific. 108 The imager onboard MTSAT scans the earth every 30 minutes and provides images in 109 five channels (see Table 1). The spatial resolution of MTSAT data at nadir is 1 km for 110 the visible sensor, and 4 km for all the other infrared sensors. The visible and infrared 111 data were resampled to a spatial resolution of 5 km by Kochi University, and all these 112 five-channel data are used in this study to retrieve SSR. 113

114 **2.2. MODIS Products**

The MODIS level-2 products (version 5.1) are used in this study. These MODIS 115 products contains cloud products (MOD06, MYD06), aerosol products (MOD04, 116 MYD04), atmospheric profiles products (MOD07, MYD07), and albedo products 117 (MCD43C3), where MOD denotes data collected from the Terra platform. MYD 118 indicates data collected from Aqua platform, and MCD means combined product 119 derived from both Terra and Aqua platforms (Schaaf et al., 2002; King et al., 2003). 120 The spatial resolutions of the aerosol products (MOD04, MYD04), atmospheric 121 profiles products (MOD07, MYD07) and albedo products (MCD43C3) are 5 km; 122 whereas, the spatial resolution of cloud products is 1 km. Thus we resample the cloud 123 products to a spatial resolution of 5 km. The temporal resolution of atmosphere 124 125 products is generally two daytime observations every day, while that of MCD43C3 is 16 day. 126

These products are used for two purposes. One is to evaluate a new SSR retrieval 127 algorithm developed by the authors (Qin et al., 2015), which is driven by MODIS 128 atmospheric and land products. The inputs of this algorithm are MODIS products of 129 precipitable water, aerosol loading, ozone thickness, surface pressure, effective 130 particle radius of water/ice cloud, liquid/ice water path, cloud fraction, and ground 131 surface albedo. The other is to build mathematical relationships between MODIS 132 cloud products (effective particle radius and liquid/ice water path) and MTSAT 133 signals through ANN training, and then the cloud properties are estimated from 134 MTSAT signals by this ANN model. To reduce the uncertainty of the ANN model, 135

136 we only select high-quality MODIS data for the training.

137 2.3. SSR Measurement Data

138 Three types of surface radiation observation data are used to validate SSR retrievals in this study. The first one is the ground measurements data collected at 44 139 Baseline Surface Radiation Network (BSRN) stations located in contrasting climatic 140 zones (see the Red Cross marks in Figure 1). Radiation observations at BSRN are 141 conducted with instruments of the highest available quality, and are recognized as the 142 most reliable data. Their temporal resolutions are 1 or 3 minutes. The measured SSR 143 144 are averaged over one hour centered on the satellite overpass. The second one is the in-situ data collected at three experimental stations located in Haihe River Basin, 145 China. Figure 1 shows the spatial distribution of the experimental stations, which are 146 147 marked by the blue cross symbols, and the basic information on the three stations are given in Table 2. The radiation data were sampled at every 1 or 2 s and the average 148 values of each 10 or 30 min were recorded. The detailed information about the 149 observations is available in Liu et al. (2013). The third one is the daily SSR data at 150 China Meteorological Administration (CMA) radiation stations. Figure 1 shows the 151 geographical distribution of these radiation stations denoted by circles throughout 152 China. The elevations of these stations vary from 1 to 4507 m. A set of quality-check 153 procedures has been applied to these data (Tang et al., 2010). 154

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156 **3 SSR Retrieval Scheme**

157 The SSR retrieval scheme includes three key steps, as presented in Figure 2.

First, the clear-sky and cloudy conditions of the MTSAT data are flagged by cloud 158 detection in the image preprocessing procedure (Section 3.1), and the cloudy pixels 159 160 are divided into water cloud and ice cloud. Second, cloud parameters (effective particle radius and liquid/ice water path) are derived by ANN models (Section 3.2) 161 built by all MTSAT channels signals and the MODIS level-2 cloud products. Third, 162 the hourly SSR is estimated by a physical retrieval algorithm (Section 3.3), given the 163 above derived cloud parameters and other inputs. Daily SSR values are obtained by 164 integrating hourly SSR values. The following three sub-sections describe the details 165 166 of each step.

167 **3.1 Cloud Detection**

Because of limitations of traditional cloud detection methods (e.g. threshold 168 approaches and statistical approaches) (Liu et al. 2009), an ANN method is trained 169 with the Levenberg-Marquardt optimization algorithm to detect clouds. Similar to 170 MODIS cloud mask, three classes (water cloud, ice cloud and clear land or sea) are 171 172 defined. The ANN contains three layers: input layer, output layer and one hidden layer between them. The input layer has nine parameters, which are five MTSAT 173 channel signals, three angles information (the cosines of satellite viewing zenith 174 angle, solar zenith angle and the relative azimuth angle between the sun and the 175 satellite), and pixel's elevation. The hidden layer contains 20 neurons with 176 hyperbolic tangent sigmoid transfer function as the transfer function. In the output 177 layer, three neurons with linear transfer function are utilized to denote the cloud 178 detection results. 179

In the training, we select high-quality MODIS cloud mask data as the "truth" of 180 the output, and the MTSAT signals as input. To enhance the possibility of 181 distinguishing clouds from snow, we also randomly choose clear-sky pixels above 182 snow surface and cloud-sky pixels above snow surface through visual identification. 183 Finally, the trained ANN is used to detect clouds, and the result is one of clear sky. 184 water cloud and ice cloud. 185

One may question that the trained ANN may lose representativeness for cases 186 that solar zenith angles are large (e.g., the hours around sunrise and sunset), because 187 188 the overpass times of Terra-MODIS and Aqua-MODIS roughly are 10:30 and 13:30, around which the solar zenith angles are relatively small. To alleviate this issue, a 189 large number of data points are selected in this study to train the ANN. These data 190 points cover most of China and span all four seasons. We have checked the training 191 data and found that the values of solar zenith angle vary from about 7.1° to 78.3°. 192 This range of solar zenith angle is sufficiently wide except for extreme cases such as 193 the hours around sunrise and sunset, but the value of SSR is very small in the 194 extreme cases. Also, it should be noted that the angle information is not the 195 determinative factor in cloud detection. 196

197

3.2 Cloud Parameter Estimation

Similar to Section 3.1, another ANN model is used to estimate cloud parameters 198 (effective particle radius and liquid/ice water path) from MTSAT image. Again, the 199 ANN model is trained with high-quality MODIS cloud products as "truth" of the 200 output and MTSAT signals as input. The MODIS cloud products are randomly 201

selected, and split into two parts: one for training and other for independent validation. Comparison between the two parts indicates that the trained ANNs behave similar to each other. To improve the generalization of the ANN model, we use all the data to train the ANN.

After all the data are used to train the ANN, Figures 3 and 4 show the cloud 206 parameters (effective particle radius and liquid/ ice water path) comparisons between 207 the MODIS "true values" and the estimated ones by ANNs for water cloud and ice 208 cloud, respectively. It can be seen that the estimated effective particle radius for both 209 210 water cloud and ice cloud are generally comparable to the observed ones, and their correlation coefficients are both greater than 0.60. The estimated liquid/ice water 211 path for both water cloud and ice cloud are generally consistent with the observed 212 ones, and their correlation coefficients are both greater than 0.70. The performance 213 of the trained ANNs for both water cloud and ice cloud at other pixels, which are not 214 used to build the ANNs, behaves similar as to the ones in Figures 3 and 4 (not shown 215 216 here). Therefore, the built ANNs can catch the functional relationships between the MODIS cloud parameters and MTSAT signals. Based on the ANNs, the cloud 217 218 parameters can be efficiently derived from MTSAT data for the estimation of high spatio-temporal resolution SSR. 219

To further investigate the effect of errors in cloud parameters estimates on the accuracy of the SSR retrieval algorithm, a sensitivity test of the SSR retrieval algorithm to cloud parameters (effective particle radius and liquid/ice water path) is presented in Figure 5. The condition used for the sensitivity test is specified as a

mid-latitude atmosphere with: solar zenith angle of 60 degree, surface elevation of 0.0 224 km, precipitable water of 0.14 cm, total zone amount of 0.25 cm, surface albedo of 0.2 225 and Ångström turbidity coefficient of 0.1. We estimated the sensitivity of SSR 226 retrieval to estimation errors in both liquid/ice water path and effective particle radius. 227 As shown in Figure 3 and Figure 4, the estimated mean effective particle radius within 228 one standard deviation (1 σ) correspond to the ranges of about 8-12 μ m and 22-30 μ m 229 for water cloud and ice cloud, which would lead to SSR changing about 25 W m⁻² and 230 15 W m⁻² as seen from Figure 5, respectively. The estimated mean cloud liquid/ice 231 water path within 1σ correspond to the ranges of about 45-185 g m⁻², 80-240 g m⁻², 232 which would lead to SSR changing about 154 W m⁻² and 172 W m⁻², respectively. 233 Obviously, errors in SSR caused by the cloud liquid/ice water path estimation errors 234 235 are much greater than the ones caused by cloud effective particle estimation errors.

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3.3 SSR Retrieval Algorithm

The SSR retrieval algorithm used in this study is developed by Qin et al. (2015). This algorithm is mainly based on the cloud parameterization developed by Chou et al. (1999) and a clear-sky broadband radiative transfer model developed by Yang et al. (2006). The detailed description of cloud parameterization and the SSR parameterization are presented in Appendix A1 and A2, respectively.

In order to estimate the SSR, the retrieval algorithm needs to input cloud parameters, surface elevation, the precipitable water (PW), the thickness of ozone layer, the Ångström turbidity coefficient, and surface albedo. Qin et al. (2015) drove the algorithm with MODIS level-2 atmospheric and land products and validated the instantaneous SSR at nine stations. The mean Root Mean Square Error (RMSE) is about 100 W m⁻². To further test the performance of the algorithm globally, we validated the instantaneous SSR estimated with MODIS products at 44 BSRN stations in 2009. Figure 6 presents validation results. The mean RMSEs for Terra and Aqua are about 101 W m⁻² and 106 W m⁻², which may indicate that this algorithm can effectively retrieve SSR based on MODIS products globally. Therefore, we may expect to apply the algorithm on the geostationary satellite.

The key of applying the SSR retrieval algorithm on geostationary satellite is the 253 254 acquisition of input parameters. The cloud parameters can be derived efficiently by the ANNs in sub-section 3.2. The influence of the PW on the SSR is significant for 255 the cloud-free conditions. Therefore, the PW here is derived by the split-window 256 algorithm of Chesters et al., (1987) under cloud-free conditions as adopted by 257 Tanahashi et al., (2001) and Lu et al., (2010). However, the PW for cloudy 258 conditions is set at 2.9 g/cm^2 , as defined in the standard atmospheric profile of the 259 mid-latitude summer model, since the cloud effects on the SSR is dominant. The 260 Ångström turbidity coefficient is produced by the GADS (Global Aerosol Data Set 261 2.2a; see Koepke et al., 1997 and Hess et al., 1998) model. The thickness of ozone 262 layer is obtained from TOMS (Total Ozone Mapping Spectrometer) zonal means 263 provided NASA/GSFC Ozone Processing Team 264 by (see https://ozoneaq.gsfc.nasa.gov/data/toms/). The surface elevation data are from the 265 near-global elevation model Shuttle Radar Topography Mission (SRTM) 30 data set 266 and have been averaged to the 0.05° latitude-longitude grids of the MTSAT imagery. 267

The surface albedo data are from the MODIS MCD43A3 16 day albedo.

269 **4 Results and Discussions**

As mentioned above, SSR measurements at three experimental stations over Haihe River Basin and 90 CMA radiation stations in 2009 are used to evaluate the accuracy of the hourly, daily and monthly SSR retrieval from collocated satellite pixels, respectively. The performance of the SSR estimate is evaluated using three metrics: mean bias error (MBE, in W m⁻²), RMSE, (in W m⁻²), and correlation coefficient (R).

276 4.1 Validation of Hourly SSR in Haihe River Basin

Pinker et al. (2003) pointed out that an hourly interval is suitable for evaluating 277 satellite instantaneous SSR retrievals due to the dependence on the average speed of 278 cloud movement. Furthermore, Deneke et al. (2009) demonstrated that the observed 279 280 SSR averaging over a period of 40-80 min is optimal for a comparison with satellite retrievals. Therefore, here we adopt hourly SSR observations, centered on the time 281 of the satellite overpass on the hour, to evaluate the satellite-derived hourly values. 282 Figures 7(a)-(c) show the validation results of the hourly SSR estimates in 2009 at 283 the three experimental stations (Miyun, Daxing, and Guantao) in Haihe River Basin. 284 The average RMSE on an hourly timescale for these three stations is 98.5 W m^{-2} 285 (28.9%) and the corresponding MBE is 12.0 W m⁻² (3.5%). The overall positive 286 MBE indicates overestimation of the hourly SSR retrievals with MTSAT data at the 287 three stations. The lack of three-dimensional radiative effects in the SSR retrieval 288

algorithm and the appearance of broken clouds are the potential reasons for the hourly SSR bias (Deneke et al., 2008). Another reason for the discrepancies may be attributed to the different amounts of cloud in the different illumination and viewing paths when comparing the satellite retrievals with the ground measurements (Liang et al., 2006). In addition, it might be caused by the retrieval algorithm error.

In a word, although the retrievals in Haihe River Basin have slight biases toward overestimating the hourly SSR values, the results still indicate acceptable agreement between satellite retrievals and ground observations at the hourly time scale.

4.2 Validation of Daily and Monthly SSR at CMA

Figure 8 shows the validation results for the daily and monthly mean SSR 299 estimates at all CMA radiation stations, respectively. The daily and monthly mean 300 SSR estimates show high correlation with the ground SSR measurements, with 301 correlation coefficients of 0.93 and 0.95, respectively. Both the daily and monthly 302 mean SSR estimates exhibit a positive mean bias of 9.8 W m^{-2} (or 5.4%) and RMSE 303 of 34.2 W m⁻² (or 19.1%) on daily scale, 22.1 W m⁻² (or 12.3%) on monthly scale. 304 These RMSE values are comparable to the results of Kawai and Kawamura (2005) 305 with 19.5% daily RMSE, those of Lu et al. (2010) with 17.7% daily RMSE, and the 306 results of Lu et al. (2011) with 20.4% daily RMSE and 11.4% monthly RMSE. 307 Moreover, the daily mean RMSE of our study is obviously lower than that of Jia et al. 308 (2013), which estimates SSR with FY-2C and their daily mean RMSE over China is 309 about 49.3 W m⁻² (or 27.5%). These results suggest that our SSR estimation with 310

MTSAT data works well for various climate regions, land cover types and elevations. 311 The differences between satellite-derived estimates and ground observations may be 312 313 attributed to calibration uncertainty of the satellite sensor, the cloud detection error, uncertainty in the retrieval algorithm, errors in ground observations, and the 314 representativeness of the station data. The representativeness of the station data is 315 crucial for evaluating the satellite-derived estimates. For example, the Ermeishan 316 station (No. 56385) of CMA was deployed at the top of Emei Mountain, which 317 cannot well represent the corresponding pixel of MTSAT. The mean elevation of the 318 319 pixel is 1005 m, while the station's elevation is 3047 m.

320 The spatial distribution of MBE and RMSE for daily and monthly mean SSR estimates at all the CMA radiation stations are presented in Figure 9, respectively. 321 Most of daily and monthly mean MBE values are positive and less than 30 W m⁻². 322 The large positive MBE mainly located in the southern China, in which the 323 corresponding RMSE values are relatively large. This phenomenon can be easily 324 explained. Because southern China (20°-35°N, 103°-120°E) is the largest cloudy 325 subtropical continental region (Yu et al. 2001), which was also confirmed by Li et al. 326 (2004) based on multi-year ISCCP data and surface cloud observations. When cloud 327 distribution become more complicated, the accuracy of cloud parameters estimates 328 (see section 3.3) would decrease, and leads to larger error in SSR retrieval. However, 329 most of the RMSEs are less than 40 W m⁻² for daily SSR and less than 30 W m⁻² for 330 monthly mean SSR, indicating the retrieval algorithm had relatively reliable 331 estimation performance at individual observation station. 332

4.3 Comparisons with Other SSR Estimates

Two satellite SSR products are selected to compare with the SSR estimate in this 334 study. One is the Global Land Surface Satellite (GLASS) SSR products, which were 335 also retrieved from MTSAT data by look-up table method (Zhang et al. 2014). The 336 GLASS SSR algorithm is similar to the photosynthetically active radiation (PAR) 337 retrieval algorithm of Liang et al. (2006). The other is the ISCCP-FD SSR products, 338 which were produced by a NASA Goddard Institute for Space Studies (GISS) 339 radiative transfer model based on the ISCCP D1 data at 2.5° spatial resolution and 340 3-hour temporal resolution (Zhang et al., 2004). It may incur large errors to validate 341 ISCCP-FD SSR products by using instantaneous in situ measurements because its 342 spatial resolution is rather coarse (about 280 km). However, at daily time scale, the 343 344 spatial sampling errors become small (Li et al., 2005). Thus, we compare our SSR estimates with GLASS and ISCCP-FD product at a daily time scale. Figure 10 shows 345 the performance comparisons between our SSR estimates and the two satellites SSR 346 products on a daily time scale at all CMA radiation stations except the Ermeishan 347 station during 2009. The number of daily validation data here is less than the one in 348 Figure 7(a) due to some missing values in the GLASS products at some points, which 349 are excluded from comparison. As shown in the Figure 10, the ISCCP-FD SSR 350 retrievals perform slightly worse than the ones of our algorithm and the GLASS in 351 terms of RMSE and R. The RMSE of our algorithm is comparable to the one of 352 GLASS, though the MBE of our algorithm is larger than the one of GLASS. The 353 GLASS produces smaller scattering than our algorithm, while it underestimates the 354

SSR at peak values and overestimates the SSR at low values. This would be due to the 355 coarse spectral resolution of geostationary satellites (MTSAT), which cannot work 356 well in the extreme conditions (namely, extremely low value and high value). Another 357 feature is that our algorithm generally overestimates the SSR, with mean MBE of 9.4 358 $W m^{-2}$. This phenomenon may be attributed to the general underestimations of liquid 359 water path and ice water path, which can be seen in Figures 3 and 4. We suspect that 360 the general underestimations of liquid water path and ice water path in Figures 3 and 4 361 would also stem from the coarse spectral resolution of MTSAT. However, the linear 362 fitting curve of our estimate is closer to the 1:1 line than the ones of the GLASS and 363 the ISCCP-FD. This demonstrates that our algorithm can produce a comparable or 364 even higher accuracy than the GLASS and the ISCCP-FD products. 365

366 **4.4 Applications in China**

Based on the above SSR retrieval scheme and MTSAT data, we derive an 367 eight-year high spatio-temporal resolution SSR dataset (hourly, 5 km) over China 368 369 from 2007 to 2014. This dataset is significantly important for the regions where few ground-based measurements are available, such as the Tibetan Plateau. Figure 11 370 shows the monthly-mean SSR images for 12 months in 2009 over the mainland 371 China. As seen, these 12 images thoroughly exhibit the spatial-temporal patterns of 372 SSR over the mainland China. The spatial distribution characteristics of Figure 11 373 are consistent with the result of Tang et al. (2013), which was derived based on the 374 SSR estimations at 716 CMA stations. The SSR values are the highest in summer 375 and lowest in winter, spring and autumn are in the midst. The formation of this 376

phenomenon is primarily controlled by sun elevation and the annual cycle of day 377 length. In addition, some interesting regional characteristics can be found. The 378 379 maximum radiation appears over the Tibetan Plateau, where the average elevation is more than 4 km and thus radiation extinction is small. The minimum radiation is 380 over southwestern China (Sichuan Basin and Guizhou), where are often covered by 381 stratiform clouds. Meanwhile, both the two extreme values lie on the belt between 382 25°N and 35°N. SSR generally increases from east to west except for southwestern 383 China, and decreases with increasing latitude in the western China. There is no doubt 384 385 that the sparse ground-based observations could not distinguish such regional differences in SSR distribution. The eight-year SSR dataset will be released after the 386 publication of this article. 387

388

389 **5 Conclusions and Remarks**

To obtain high-resolution SSR data, this study developed an ANN-based 390 algorithm to estimate cloud parameters (cloud mask, effective particle radius and 391 liquid/ice water path) from MTSAT imagery. The algorithm was built by the 392 combination of MODIS cloud products and MTSAT data. The estimated cloud 393 parameters and other information (such as aerosol, ozone, PW and so on) were put 394 into a parameterization model to estimate SSR. The estimated SSR was validated 395 against both experimental data and operational station data in China, with RMSE of 396 98.5 W m⁻² for hourly SSR, 34.2 W m⁻² for daily SSR and 22.1 W m⁻² for monthly 397 SSR, and MBE of about 10 W m⁻². 398

Compared with two satellite radiation products (GLASS and ISCCP-FD), the 399 SSR estimate presented in this study has a comparable accuracy in terms of RMSE. 400 The GLASS underestimates the peak values of SSR while overestimates the low 401 values. Our algorithm generally overestimates the SSR, which might be attributed to 402 the underestimation of the cloud water path. The combining of CLOUDSAT and 403 MTSAT in the future may be an alternative method to further improve the accuracy 404 of cloud parameters, because the CLOUDSAT has more advantage in retrieving 405 cloud parameters than MODIS. 406

407

408 Appendix A

409 A.1 Cloud Parameterization

The cloud parameterization schemes of Chou et al. (1999) are actually parameterization of three key parameters, which are optical thickness, single-scattering co-albedo and asymmetry factor, for ice/water cloud at 11 individual broad spectral bands, respectively. They are expressed as:

414
$$\delta = CWP(a_0 + a_1/r_e),$$
 (A1)

415
$$1 - \omega = b_0 + b_1 r_e + b_2 r_e^2$$
, (A2)

416
$$g = c_0 + c_1 r_e + c_2 r_e^2$$
, (A3)

where a, b, and c are regression coefficients and theirs values are given in Chou et al. (1999). re is the effective particle radius for ice/water cloud, and CWP is the cloud ice/water path. Taking the ratio of the extraterrestrial solar radiation of each band to that of the total spectrum for weight, thus the single-scattering properties for 421 ice/water cloud at shortwave broadband can be derived, respectively.

422
$$\overline{\delta} = -\log\left(\frac{\sum_{i=1}^{11} S_{0i} * e^{(-\delta_i)}}{\sum_{i=1}^{11} S_{0i}}\right),$$
 (A4)

423
$$\overline{\omega} = -\log\left(\frac{\sum_{i=1}^{11} S_{0i} * e^{(-\delta_i * \omega_i)}}{\sum_{i=1}^{11} S_{0i}}\right) / \overline{\delta},$$
 (A5)

424
$$\overline{g} = -\log\left(\frac{\sum_{i=1}^{11} S_{0i} * e^{(-\delta_i * \omega_i * g_i)}}{\sum_{i=1}^{11} S_{0i}}\right) / (\overline{\delta} * \overline{\omega}), \qquad (A6)$$

425 where δ_i , ω_i and g_i are the single-scattering properties for ice/water cloud at each 426 band, S_{0i} is the extraterrestrial solar radiation of each band.

Therefore, if the values of *CWP* and *re* were known, the single-scattering properties at shortwave broadband can be determined. Furthermore, the transmittance due to water cloud attenuation $(\bar{\tau}_{wc})$ and ice cloud attenuation $(\bar{\tau}_{ic})$ can be obtained as follow,

$$431 \quad \overline{\tau}_{wc} = e^{(-\overline{\delta}_w / \mu_0)}, \qquad (A7)$$

432
$$\overline{\tau}_{ic} = e^{(-\overline{\delta}_i / \mu_0)},$$
 (A8)

433 where μ_0 is the cosine of solar zenith angle. $\overline{\tau}_{wc}$ and $\overline{\tau}_{ic}$ can be divided into 434 processes of scattering and absorption, respectively.

$$\tau_{\rm wc} = \bar{\tau}_{\rm wca} \bar{\tau}_{\rm wcs},$$
 (A9)

$$\tau_{ic} = \overline{\tau}_{ica} \overline{\tau}_{ics}, \qquad (A10)$$

437 where $\overline{\tau}_{wca}$ And $\overline{\tau}_{wcs}$ are transmittances due to water cloud absorption and

438 scattering, respectively; $\overline{\tau}_{ica}$ and $\overline{\tau}_{ics}$ are transmittances due to ice cloud absorption 439 and scattering, respectively.

440

A.2 SSR Parameterization

441 SSR under cloudy sky conditions can be given by the following equation, if not
442 taking into account the multiple reflections between the ground and atmosphere,

443
$$R_{sw,cld} = R_0(\overline{\tau}_b + \overline{\tau}_d), \qquad (A11)$$

444 where R_0 is solar radiation on a horizontal surface at the top of atmosphere, $\overline{\tau}_b$ and 445 $\overline{\tau}_d$ are the broadband direct radiative transmittance and the diffuse radiative 446 transmittance, which are given by,

447
$$\overline{\tau}_{b} \approx \overline{\tau}_{oz} \overline{\tau}_{w} \overline{\tau}_{g} \overline{\tau}_{r} \overline{\tau}_{a} \overline{\tau}_{c},$$
 (A12)

448
$$\bar{\tau}_{d} = \bar{\tau}_{d1} + \bar{\tau}_{d2} + \bar{\tau}_{d3},$$
 (A13)

449 where $\overline{\tau}_r$, $\overline{\tau}_a$, $\overline{\tau}_{oz}$, $\overline{\tau}_w$, $\overline{\tau}_g$ and $\overline{\tau}_c$ are, respectively, solar radiation 450 transmittances of six damping processes in the atmospheric layer, viz. Rayleigh 451 scattering, aerosol extinction, ozone absorption, water vapor absorption, permanent 452 gases absorption and cloud extinction. $\overline{\tau}_a$ is divided into processes of scattering 453 and absorption.

$$454 \quad \tau_{a} = \tau_{aa}\tau_{as}, \qquad (A14)$$

where $\overline{\tau}_{aa}$ and $\overline{\tau}_{as}$ are transmittances due to the aerosol absorption and scattering, respectively. The detailed calculation of $\overline{\tau}_r$, $\overline{\tau}_a$, $\overline{\tau}_{oz}$, $\overline{\tau}_w$ and $\overline{\tau}_g$ can be found in Yang et al. (2006). $\overline{\tau}_c$ can be calculated according the above cloud parameterization scheme. 459 $\overline{\tau}_{d1}, \overline{\tau}_{d2}$ and $\overline{\tau}_{d3}$ are forward diffuse radiative transmittances due to Rayleigh 460 scattering, aerosol scattering, cloud scattering, and are given by,

461
$$\overline{\tau}_{d1} \approx 0.5 \overline{\tau}_{oz} \overline{\tau}_{g} \overline{\tau}_{w} \overline{\tau}_{aa} \overline{\tau}_{wca} (1 - \overline{\tau}_{r})$$
 for water cloud, (A15a)

462
$$\overline{\tau}_{d1} \approx 0.5 \overline{\tau}_{oz} \overline{\tau}_{g} \overline{\tau}_{w} \overline{\tau}_{aa} \overline{\tau}_{ica} (1 - \overline{\tau}_{r})$$
 for ice cloud, (A15b)

463
$$\tau_{d2} \approx f_a(\mu_0) \tau_{oz} \tau_g \tau_w \tau_{aa} \tau_{wca} \tau_r (1 - \tau_{as})$$
 for water cloud, (A16a)

464
$$\overline{\tau}_{d2} \approx f_a(\mu_0)\overline{\tau}_{oz}\overline{\tau}_g\overline{\tau}_w\overline{\tau}_{aa}\overline{\tau}_{ica}\overline{\tau}_r(1-\overline{\tau}_{as})$$
 for ice cloud, (A16b)

465
$$\overline{\tau}_{d3} \approx f_{W}(\mu_{0})\overline{\tau}_{oz}\overline{\tau}_{g}\overline{\tau}_{W}\overline{\tau}_{aa}\overline{\tau}_{wca}\overline{\tau}_{r}\overline{\tau}_{as}(1-\overline{\tau}_{wcs})$$
 for water cloud, (A17a)

466
$$\overline{\tau}_{d3} \approx f_i(\mu_0)\overline{\tau}_{oz}\overline{\tau}_g\overline{\tau}_w\overline{\tau}_{aa}\overline{\tau}_{ica}\overline{\tau}_r\overline{\tau}_{as}(1-\overline{\tau}_{ics})$$
 for ice cloud, (A17b)

467 where 0.5 is the fraction of the Rayleigh-scattered flux which is scattered into the downward hemisphere (another 0.5 is scattered upward). $f_a(\mu_0)$ is the fraction of 468 the aerosol-scattered flux which is scattered into the downward hemisphere 469 $(1 - f_a(\mu_0))$ is scattered upward), $f_w(\mu_0)$ is the fraction of the water cloud-scattered 470 flux which is scattered into the downward hemisphere $(1 - f_w(\mu_0))$ is scattered 471 upward), $f_i(\mu_0)$ is the fraction of the ice cloud-scattered flux which is scattered into 472 the downward hemisphere $(1 - f_i(\mu_0))$ is scattered upward). The factors $f_a(\mu_0)$, 473 $f_w(\mu_0)$ and $f_i(\mu_0)$, which depend on cosine of the solar zenith angle (μ_0) and the 474 asymmetry factor (g) and can be derived by integration of scattering phase function, 475 are given according to parameterization of P.räisänen (2002) by, 476

477
$$f_{a}(\mu_{0}) = 0.4482 + (5.3664 - 22.1608t + 28.6995t^{2} - 11.1348t^{3})(\frac{g_{a}}{g_{a} + 1}),$$
 (A18a)

478
$$f_w(\mu_0) = 0.3312 + 1.1285(\mu_0^{0.7469})(\frac{g_w}{g_w + 1}),$$
 (A18b)

479
$$f_i(\mu_0) = 0.4250 + 0.9595(\mu_0^{0.8484})(\frac{g_i}{g_i+1}),$$
 (A18c)

480
$$t = (\mu_0 + 0.1)^{0.25}$$
, (A19)

where, g_a, g_w and g_i are the asymmetry factors of aerosol, water cloud, and ice 481 cloud, respectively. The asymmetry factors of water cloud and ice cloud can be 482 calculated according the above cloud parameterization. While the asymmetry factors 483 and single-scattering albedo of the aerosol are interpolated from the observed ones at 484 all the AErosol RObotic NETwork (AERONET) sites (Dubovik and King, 2000). 485

Considering the multiple reflections between the ground and atmosphere, The 486 SSR can be given by, 487

488
$$R_{sw} = \frac{(1 - C_w - C_i)R_{sw,clr} + C_w R_{sw,wc} + C_i R_{sw,ic}}{(1 - \rho_{a,all}\rho_g)}$$

(A20) 489

where R_{sw} is SSR, C_{w} and C_{i} are water cloud cover and ice cloud cover, 490 respectively. R_{sw,clr}, R_{sw,wc} and R_{sw,ic} are SSR under clear-sky, water cloudy sky 491 and ice cloudy sky, respectively. $R_{sw,clr}$ can be derived from equations (11-17) 492 when τ_c , τ_{wca} , τ_{ica} , τ_{wcs} , τ_{ics} are all equal to 1. $\rho_{a,all}$ and ρ_g are albedos of 493 atmospheric and ground, respectively. $\rho_{a,all}$ can be determined by, 494

495
$$\rho_{a,all} = (1 - C_w - C_i)\rho_{a,clr} + C_w\rho_{a,wc} + C_i\rho_{a,ic},$$
 (A21)

where $\rho_{a,clr}$, $\rho_{a,wc}$ and $\rho_{a,ic}$ are albedos of atmospheric under clear sky, water cloudy 496 sky and ice cloudy sky, respectively. They are given by 497

498
$$\rho_{a,clr} \approx \overline{\tau'_{g}} \, \overline{\tau'_{w}} \, \overline{\tau'_{oz}} \, \overline{\tau'_{aa}} \, \{0.5(1 - \overline{\tau'_{r}}) + [1 - f_{a}(1/\sqrt{3})] \, \overline{\tau'_{r}} \, (1 - \overline{\tau'_{as}})\}$$

499 for clear skies, (A22a)

499 for clear skies,

500
$$\rho_{a,wc} \approx \overline{t}'_{g} \overline{t}'_{w} \overline{t}'_{oz} \overline{t}'_{aa} \overline{t}'_{wca} \{0.5(1 - \overline{t}'_{r}) + [1 - f_{a}(1/\sqrt{3})]\overline{t}'_{r} (1 - \overline{t}'_{as}) + [1 - f_{w}(1/\sqrt{3})]\overline{t}'_{r} \overline{t}'_{as} (1 - \overline{t}'_{wcs})\}$$
501 for water cloud, (A22b)
502
$$\rho_{a,ic} \approx \overline{t}'_{g} \overline{t}'_{w} \overline{t}'_{oz} \overline{t}'_{aa} \overline{t}'_{ica} \{0.5(1 - \overline{t}'_{r}) + [1 - f_{a}(1/\sqrt{3})]\overline{t}'_{r} (1 - \overline{t}'_{as}) + [1 - f_{i}(1/\sqrt{3})]\overline{t}'_{r} \overline{t}'_{as} (1 - \overline{t}'_{ics})\}$$
503 for ice cloud, (A22c)
504 where the transmissivities $\overline{t}'_{g}, \overline{t}'_{w}, \overline{t}'_{oz}, \overline{t}'_{r}, \overline{t}'_{aa}, \overline{t}'_{as}, \overline{t}'_{wca}, \overline{t}'_{ica}, \overline{t}'_{wcs} and \overline{t}'_{ics} are all
505 evaluated at an effective relative air mass of $\sqrt{3}$ to account for absorption or
506 reflectance over path lengths averaged over the whole upward hemisphere.
507$

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2004. 709

710 **Figure captions**

- Figure 1 Spatial distribution of ground stations used for SSR retrieval validation.
 The Red Cross marks illustrate the 44 BSRN stations, the Blue Cross
 marks denote three experimental stations over Haihe River Basin in China,
 and the Circle marks represent the 90 CMA radiation stations.
- **Figure 2** Flowchart of the SSR retrieval algorithm.
- Figure 3 Comparisons of water Cloud parameters between the MODIS "true values"
 and the estimated ones by ANN for (a) effective particle radius and (b)
- 718 liquid water path.
- **Figure 4** Same as Figure 3, but for ice cloud.
- Figure 5 (a) Sensitivity of SSR to cloud liquid/ice water path, given the effective
 particle radius for water cloud and ice cloud to be 12 μm and 30 μm,
 respectively; (b) Sensitivity of SSR to cloud effective particle radius for
- water cloud and ice cloud, given liquid/ice water path to be 80 g m^{-2} .
- Figure 6 Validation of instantaneous SSR estimated with the MODIS atmospheric and land products against the observed ones at 44 BSRN stations in 2009 for (a) Terra and (b) Aqua platforms. Unit of MBE and RMSE is W m^{-2} .
- Figure 7 Comparison between the observed and the estimated hourly SSR at three
 experimental stations over Haihe River Basin in 2009. Unit of MBE and
 RMSE is W m⁻².
- Figure 8 (a) Comparison between the observed and the estimated daily SSR at all
 CMA radiation stations in 2009. (b) Similar to panel (a), but for monthly

SSR. Unit of MBE and RMSE is W m⁻².

733	Figure 9 Spatial distributions of MBE and RMSE for daily and monthly SSR
734	estimates at all CMA radiation stations in 2009, respectively. The size of
735	the circles is corresponding to the MBE and RMSE values. The solid circle
736	means that the MBE is greater than zero, and the open circle means that
737	the MBE is less than zero. The units of RMSE and MBE described on the
738	legend are in W m^{-2} .
739	Figure 10 Comparison between the observed and the estimated daily SSR at all
740	CMA radiation stations in 2009 for (a) This study, (b) The GLASS and (c)

ISCCP-FD. Unit of MBE and RMSE is W m⁻². 741

Figure 11 SSR estimates for 12 months in 2009 over the mainland China. The unit 742 of the SSR is $W m^{-2}$, and the pixel size is about 5 km. 743

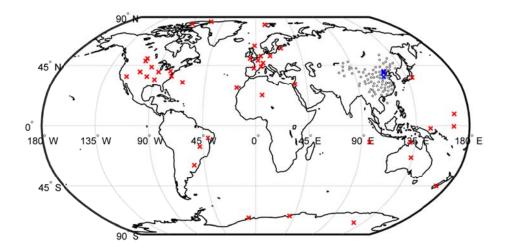




Figure 1 Spatial distribution of ground stations used for SSR retrieval validation. The
Red Cross marks illustrate the 44 BSRN stations, the Blue Cross marks
denote three experimental stations over Haihe River Basin in China, and the
Circle marks represent the 90 CMA radiation stations.

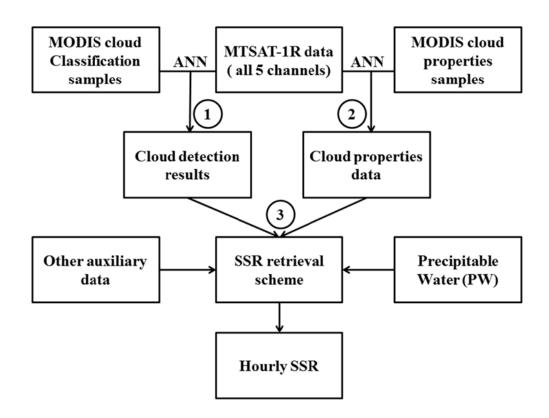


Figure 2 Flowchart of the SSR retrieval algorithm.

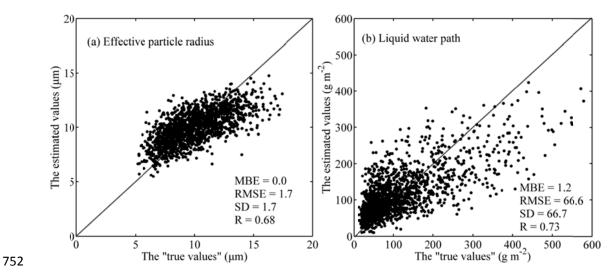


Figure 3 Comparisons of water cloud parameters between the MODIS "true values"

and the estimated ones by ANN for (a) effective particle radius and (b)

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liquid water path.

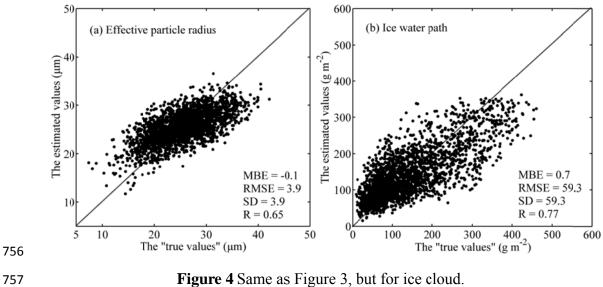


Figure 4 Same as Figure 3, but for ice cloud.

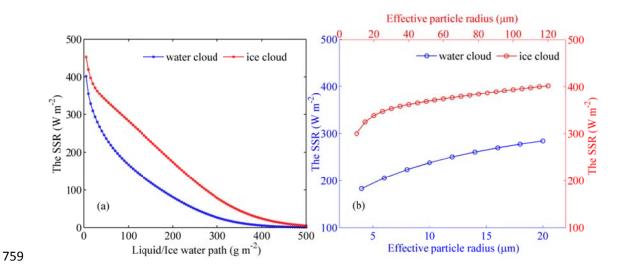


Figure 5 (a) Sensitivity of SSR to cloud liquid/ice water path, given the effective
particle radius for water cloud and ice cloud to be 12 μm and 30 μm,
respectively; (b) Sensitivity of SSR to cloud effective particle radius for
water cloud and ice cloud, given liquid/ice water path to be 80 g m⁻².

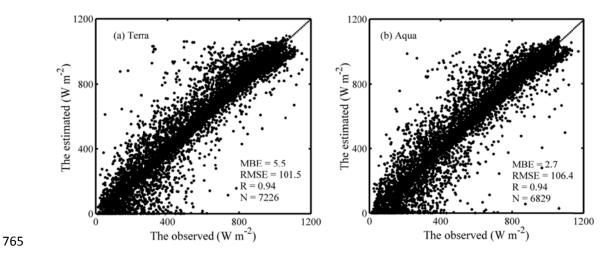


Figure 6 Validation of instantaneous SSR estimated with the MODIS atmospheric
 and land products against the observed ones at 44 BSRN stations in 2009
 for (a) Terra and (b) Aqua platforms. Unit of MBE and RMSE is W m⁻².

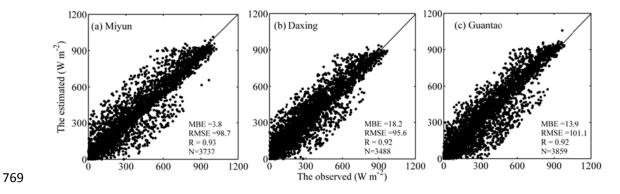


Figure 7 Comparison between the observed and the estimated hourly SSR at three
 experimental stations over Haihe River Basin in 2009. Unit of MBE and
 RMSE is W m⁻². Points outside *3-std* were removed (about 1.88%).

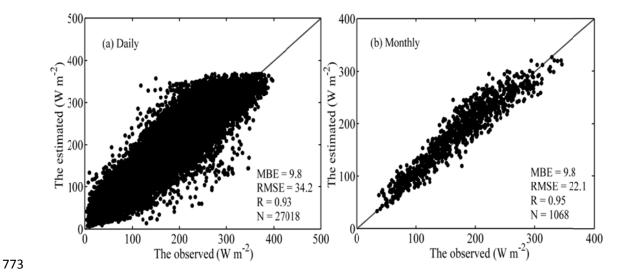
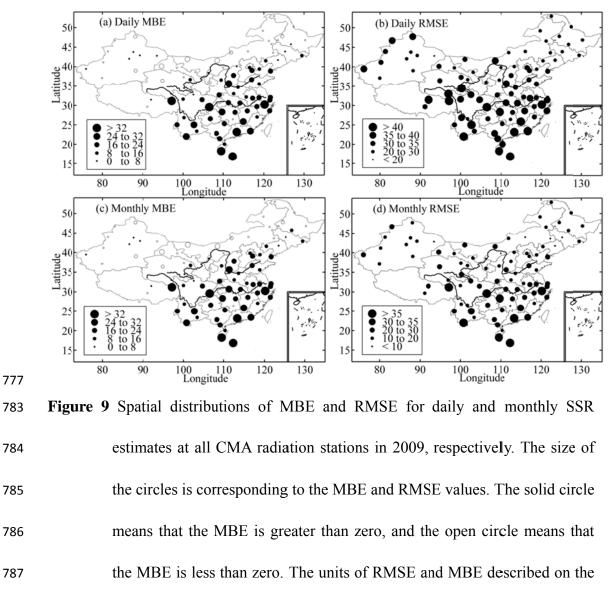
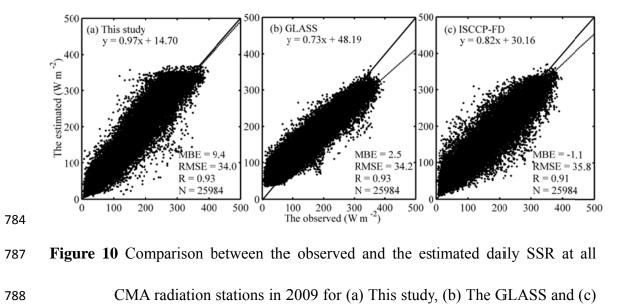


Figure 8 (a) Comparison between the observed and the estimated daily SSR at all
CMA radiation stations in 2009; (b) Similar to panel (a), but for monthly
SSR. Unit of MBE and RMSE is W m⁻².



788 legend are in W m⁻².



789 ISCCP-FD. Unit of MBE and RMSE is $W m^{-2}$.

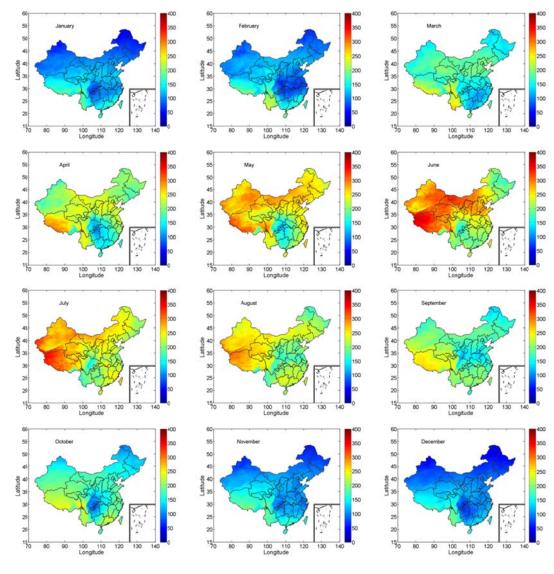


Figure 11 SSR estimates for 12 months in 2009 over the mainland China. The unit of the SSR is W m⁻², and the pixel size is about 5 km.

Channel	Band Wavelength Resolution at nac	
	(µm)	(km)
VIS	0.55-0.90	1.0×1.0
IR-1	10.3-11.3	4.0 imes 4.0
IR-2	11.5-12.5	4.0 imes 4.0
IR-3	6.5-7.0	4.0 imes 4.0
IR-4	3.5-4.0	4.0 imes 4.0

 Table 1 Characteristics of MTSAT bands used in this study.

Latitude (°N)	Longitude (°E)	Altitude (m)	Instrument
			height (m)
40.6	117.3	350	30.8
39.6	116.4	20	28.0
36.5	115.1	30	15.7
	39.6	39.6 116.4	39.6 116.4 20

Table 2 The basic information of three experimental stations over Haihe River Basin.