# Responses to anonymous referee #1

# Received and published: 30<sup>th</sup> May 2016

We thank the reviewer for the careful review of our revised manuscript. In the following please find our responses to the comments one by one and the corresponding changes made to the manuscript. The original comments are shown in italics.

1) Organic Nitrates: What is the cause of the negative data points in Figure S11? This should be explained, perhaps briefly in the figure caption. In addition, the diurnal average in Figure S12 should be a box and whiskers plot rather than a simple average, which does not show the variability in the data.

### **Response:**

We agree with the reviewer that the variability of the organic nitrates is not expressed well by Figure S12. We therefore added diurnal plots of Org-NO<sub>3</sub> concentrations averaged for each period and included standard deviations for each plot as error bars, which we think shows more clearly the variability between the periods than a box and whisker plot for the whole campaign. The caption of Figure S12 was changed accordingly to:

Average diurnal variations (LT) of the ACSM organic nitrate concentrations, calculated according to Sec. 3.2 in the main text. Concentrations are averaged over each period (left) and for the entire campaign (right), where the respective number of averaged data points is written in brackets. Error bars represent standard deviations of the mean values.

To explain the negative data points of the Org-NO<sub>3</sub> time series and to account for the changes in Figure S12, we changed the Org-NO<sub>3</sub> discussion in the manuscript to:

"Note that the organic nitrate concentrations may biased low considering that, although the nitrate concentrations both instruments are considered  $PM_1$ , the transmission efficiency of the ACSM decreases for particles with diameters between ca. 800 nm to 1 µm. This may also explain the slightly negative OrgNO<sub>3</sub> values seen in Figure S11. The organic nitrate fraction shows for nearly all periods and for the whole campaign a maximum concentration in the night, followed by a decrease during the day. Particulate organic nitrates found in this study may therefore be considered as mainly semi-volatile as the evaporation from particles is

dominating during warmer daytime. Due to the low temperatures within period 3, evaporation is less important during this time as seen by the daytime maximum."

2) PMF: The fact that the correlations between the tracers and OA components are generally low has not been really addressed in the revisions, other than for the case of eBC. A sentence or two should be added to the manuscript stating that the low correlations indicate that the identities of the PMF components are uncertain.

### **Response:**

We agree that in case of the two POA factors, the agreement with the respective tracers CO and  $NO_x$  are not as high as the correlation of e.g. OOA with the SOA tracers nitrate and sulfate, which in our opinion have satisfying correlation coefficients with OOA. As emphasized in the manuscript, the HULIS factor was identified as background aerosol and has therefore by definition low correlations to any external tracer (Paglione et al., 2014).

In Table S4 in the supplement, the highest important correlation coefficients are now written in bold values. To underline and explain the uncertainty of the identification of the POA factors and to account for a comment from referee #4, the section starting on page 21, line 23, was changed to:

"Note that the correlation (Pearson- $R^2$ ) of the POA factors with eBC data is relatively low ( $R^2 = 0.38$  and 0.39 with HOA and BBOA, respectively), while in turn HULIS shows a higher correlation with eBC,  $R^2 = 0.47$ . Furthermore, correlation coefficients of the POA factors with CO and NO<sub>x</sub> time series do not exceed values above 0.47. Low correlations of POA and those tracers, e.g. with Pearson- $R^2$  of HOA with CO or BC varying from far below 0.5 to slightly above 0.5 were also seen in a number of previous studies at remote and rural sites (Canonaco et al., 2013; Minguillón et al., 2015; Schmale et al., 2013; Setyan et al., 2012), including Cabauw (Crippa et al., 2014; Mensah, 2011). There, low concentrations of POA factors and (e-)BC to total aerosol mass resulted in higher uncertainties for the identification of these factors, likewise in this study. Nevertheless, the correlations of the POA factors, which underlines, together with the very high agreement of m/z 60 with BBOA, the correct identification of HOA and BBOA. The higher correlation of HULIS with eBC can be understood in the light of a study by Andreae and Gelencser (2006) who pointed out that the

fiber filters, as used by the MAAP, loaded with macroscopic amounts of humic like substances samples look very dark brown or nearly black. A possible partly interference of HULIS with BC measurements can therefore not be excluded and would explain why the HULIS factor, in contrast to the POA factors, has a relatively high correlation coefficient with BC data. The use of instruments like an aethalometer or a particle soot absorption photometer as used in other studies would reduce these interferences (Andreae and Gelencser, 2006; Petzold et al., 2013), but were not available during the campaign. Given again the relatively low contribution of eBC to total aerosol mass though, a possible partial interference with HULIS is of minor importance regarding total aerosol masses."

3) CE Algorithm: I disagree with the statement that the Middlebrook et al. algorithm is not "suitable" for this study, which implies the algorithm is flawed. Aerosol thermodynamics dictates that if the ammonium nitrate fraction is high, then the charge of  $NH^+$  is fully balanced by  $SO4^{2^-}$ ,  $NO^{3^-}$ , and Cl. In other words, the particulate sulfuric acid must be fully neutralized before the incorporation of ammonium nitrate. In this study, the problem is rather that the ACSM doesn't distinguish inorganic and organic nitrate, which is biasing the calculation of predicted ammonium. I don't think the current CE calculation used in the manuscript needs to be changed, but it should be acknowledged that the calculation is very similar to the Middlebrook approach. However, the influence of particle acidity has been ignored here, which is reasonable given the high ammonium nitrate fraction. One could have used the MARGA NO3 - rather than the ACSM NO3 – for the CE calculation, and then I would expect the Middlebrook et al. algorithm to work very well.

#### **Response:**

We agree that the current formulation does not show the similarity of both algorithms and misleads to the conclusion that the Middlebrook approach is in general not useful for certain data sets, in data sets with a measured to predicted NH4 variable around 0.75 the step-function will lead to discontinuous values of CE. Therefore, the sentence on page 8, line 15, was changed to:

"Therefore the Middlebrook algorithm was not used for this data set, which showed at the same time low ratios of measured to predicted NH<sub>4</sub> and high AMNF's."

However, we do not see how the use of MARGA  $PM_1$  nitrate time series would have been useful for the determination of the CE for this data set. Does the reviewer suggest using a mixture of ACSM and MARGA data as input for the Middlebrook algorithm? This would imply the use of ACSM data that is subject to CE aspects in combination with MARGA data where no such effects apply. How this should improve the determination of the CE is unclear to us. If the reviewer on the other hand suggests the use of the ratio of MARGA-NO<sub>3</sub> to ACSM-NO<sub>3</sub> to derive the CE from these data, this approach would suffer from the intrinsic assumption that all nitrate measured in ACSM is inorganic as is the case for MARGA measured nitrate. It would thus impede any possibility to evaluate organic nitrate contributions in this data set and lead to inconsistencies in the ion balance.

4) SMPS Correction: Another explanation for the discrepancy in the SMPS measurements is that the instruments sampling from 60 m height are not sampling the same air mass as the MARGA and ACSM, which sampled at a lower height. This possibility should be mentioned in the text. It is not necessarily always the case that both measurements are sampling within a well-mixed boundary layer.

#### **Response:**

The authors agree that this issue is missing as a possible explanation for the discrepancy in general when comparing the data sets from these instruments. Therefore, the following sentence was added to the discussion in section 3.1:

Furthermore, discrepancies can also result from the different sampling heights of the SMPS and MAAP (both at 60 m) and ACSM and MARGA (both 5 m), through which the instruments may sampled partly within different layers with different mass concentrations.

#### **References:**

Andreae, M.O., Gelencser, A., 2006. Black carbon or brown carbon? The nature of lightabsorbing carbonaceous aerosols. Atmospheric Chemistry and Physics 6, 3131-3148.

Canonaco, F., Crippa, M., Slowik, J.G., Baltensperger, U., Prevot, A.S.H., 2013. SoFi, an IGOR-based interface for the efficient use of the generalized Multilinear Engine (ME-2) for the source apportionment: ME-2 application to Aerosol Mass Spectrometer data. Atmospheric Measurement Techniques 6, 3649-3661.

Crippa, M., Canonaco, F., Lanz, V.A., Äijälä, M., Allan, J.D., Carbone, S., Capes, G., Ceburnis, D., Dall'Osto, M., Day, D.A., DeCarlo, P.F., Ehn, M., Eriksson, A., Freney, E., Hildebrandt Ruiz, L., Hillamo, R., Jimenez, J.L., Junninen, H., Kiendler-Scharr, A., Kortelainen, A.-M., Kulmala, M., Laaksonen, A., Mensah, A.A., Mohr, C., Nemitz, E., O'Dowd, C., Ovadnevaite, J., Pandis, S.N., Petäjä, T., Poulain, L., Saarikoski, S., Sellegri, K., Swietlicki, E., Tiitta, P., Worsnop, D.R., Baltensperger, U., Prevot, A.S.H., 2014. Organic

aerosol components derived from 25 AMS data sets across Europe using a consistent ME-2 based source apportionment approach. Atmospheric Chemistry and Physics 14, 6159-6176.

Mensah, A.A., 2011. Water and organic nitrate detection in an AMS: Laboratory characterization and application to ambient measurements. Universität zu Köln.

Minguillón, M.C., Ripoll, A., Pérez, N., Prévôt, A.S.H., Canonaco, F., Querol, X., Alastuey, A., 2015. Chemical characterization of submicron regional background aerosols in the western Mediterranean using an Aerosol Chemical Speciation Monitor. Atmos. Chem. Phys. 15, 6379-6391.

Paglione, M., Kiendler-Scharr, A., Mensah, A.A., Finessi, E., Giulianelli, L., Sandrini, S., Facchini, M.C., Fuzzi, S., Schlag, P., Piazzalunga, A., Tagliavini, E., Henzing, J.S., Decesari, S., 2014. Identification of humic-like substances (HULIS) in oxygenated organic aerosols using NMR and AMS factor analyses and liquid chromatographic techniques. Atmospheric Chemistry and Physics 14, 25-45.

Petzold, A., Ogren, J.A., Fiebig, M., Laj, P., Li, S.-M., Baltensperger, U., Holzer-Popp, T., Kinne, S., Pappalardo, G., Sugimoto, N., Wehrli, C., Wiedensohler, A., Zhang, X.-Y., 2013. Recommendations for reporting "black carbon" measurements. Atmospheric Chemistry and Physics 13, 8365-8379.

Schmale, J., Schneider, J., Nemitz, E., Tang, Y.S., Dragosits, U., Blackall, T.D., Trathan, P.N., Phillips, G.J., Sutton, M., Braban, C.F., 2013. Sub-Antarctic marine aerosol: dominant contributions from biogenic sources. Atmospheric Chemistry and Physics 13, 8669-8694.

Setyan, A., Zhang, Q., Merkel, M., Knighton, W.B., Sun, Y., Song, C., Shilling, J.E., Onasch, T.B., Herndon, S.C., Worsnop, D.R., Fast, J.D., Zaveri, R.A., Berg, L.K., Wiedensohler, A., Flowers, B.A., Dubey, M.K., Subramanian, R., 2012. Characterization of submicron particles influenced by mixed biogenic and anthropogenic emissions using high-resolution aerosol mass spectrometry: results from CARES. Atmospheric Chemistry and Physics 12, 8131-8156.

# Responses to anonymous referee #2

# Received and published: 19th May 2016

We thank the reviewer for the careful review of our revised manuscript. In the following please find our responses to the comments one by one and the corresponding changes made to the manuscript. The original comments are shown in italics.

# 1) Detection limits

To me the answer by the authors "Furthermore, the ACSM Local software version used in this study could not show data acquired during the filter cycle measurements (e.g. closed mass spectra/time series)" is not convincing. Is it really the case that the "closed MS" data are not saved? Maybe contact with Aerodyne/Tofwerk/Boulder (Joel Kimmel or Mike Cubison) can help?

# **Response:**

The closed and the open mass spectra are saved within the raw data (as itx files), from which the differential mass spectra are determined by the ACSM evaluation software. This software is for now only able to calculate and show the open, closed, and differential mass spectra and time series of the whole data set, but not distinguished for each species (by applying the frag table) or even with applied RIT and air beam correction. This was confirmed by Phil Croteau (PSI, Switzerland), who is the main responsible for the ACSM software. In the near future it is planned to add codes to investigate open and closed mass spectra for each aerosol species, similar to the AMS software bundles SQUIRREL and PIKA.

# 2) Particle losses

I don't agree that an estimation of the particle losses in an aerosol sampling line is out of the scope of an aerosol paper, especially when the absolute mass concentrations play an important role. There are rather simple empirical formulas (e.g., in the book of W. Hinds) that allow for an estimation of particle losses based on Stokes number, settling velocity etc.

# See for example:

<u>http://www.wmo-gaw-wcc-aerosol-physics.org/files/WCCAP-recommendation-for-aerosol-inlets-and-sampling-tubes.pdf</u>

#### **Response:**

It was not the author's intention to lower down the importance of the estimation of particle losses generally in a publication where mass concentrations are in the main focus, as the reviewer emphasized correctly. We would like to emphasize though that considering the good agreement of the SMPS with the ACSM data and the low contribution of eBC to total mass concentrations, potential uncertainties resulting from the wall loss correction would not influence the conclusions of this study.

As the reviewer pointed out, the formulas provided by the book of Hinds or the recommendation of the WCCAP are based on (simple) empirical formulas. These cannot consider all potential losses of the sample inlet system of the MAAP and the SMPS, neither distinguish between different aerosol compositions. It should also be noted that the SMPS and the MAAP were sampling from the same inlet at 60 m but were located in different rooms in the basement, meaning that their quite complicated inlet lines are partly different by means of diameter, length and number and angles of bends, in addition to different flow rates.

The results given by Henzing (2011) show size dependent loss determinations from direct measurements performed at these specific inlet systems using the same instruments as used in this study. They also calculated the losses for different aerosol compositions. We therefore decided to apply the loss correction originating from comprehensive measurements evaluating them as more precise than corrections from empirical formulas.

#### **References:**

Henzing, J.S., 2011. Interactive comment on: "Number size distributions and seasonality of submicron particles in Europe 2008–2009" by Asmi et al. Atmos. Chem. Phys. Discuss. 11, C3137–C3142.

# Responses to anonymous referee #4

# Received and published: 23<sup>th</sup> May 2016

We thank the reviewer for the careful review of our revised manuscript. In the following please find our responses to the comments one by one and the corresponding changes made to the manuscript. The original comments are shown in italics.

1) P4, L27: Should be 'quadrupole', not 'quarupol'.

# **Response:**

This error was corrected.

2) P11, L3: If 'Polyflo' is a trade name, a manufacturer and/or address should be given.

# **Response:**

In the revised manuscript, the word Polyflo was removed and replaced as "polyethylene tube".

3) P21: The conclusion that HULIS is interfering with the eBC measurement is a strong one and implies that the majority of the reported eBC concentrations are erroneous and should be excluded from the mass closure work. However, I do not think that a simple correlation proves this; the authors should really perform multilinear regressions with the different tracers rather than simple correlations. Also, it is possible that the relationship between eBC and HULIS represents aged pollution where the POA has been chemically removed through atmospheric processing and replaced by SOA. I would tone down this conclusion.

# **Response:**

The authors agree with the reviewer that that this conclusion was emphasized too much without showing it as a guess with some uncertainty, as it questions the eBC measurements from this study. To also account for another comment from referee #1 this section was therefore changed to:

"Note that the correlation (Pearson-R<sup>2</sup>) of the POA factors with eBC data is relatively low (R<sup>2</sup> = 0.38 and 0.39 with HOA and BBOA, respectively), while in turn HULIS shows a higher correlation with eBC, R<sup>2</sup> = 0.47. Furthermore, correlation coefficients of the POA factors with

CO and NO<sub>x</sub> time series do not exceed values above 0.47. Low correlations of POA and those tracers, e.g. HOA with CO or BC varying from far below 0.5 to slightly above 0.5 were also seen in a number of previous studies at remote and rural sites (Canonaco et al., 2013; Minguillón et al., 2015; Schmale et al., 2013; Setyan et al., 2012), including Cabauw (Crippa et al., 2014; Mensah, 2011). There, low concentrations of POA factors and (e-)BC to total aerosol mass were determined and resulted in higher uncertainties for the identification of these factors, likewise in this study. Nevertheless, the correlations POA factors with CO and NO<sub>x</sub> are still by far higher than the correlation of these tracers with the SOA factors, which, together with the very high agreement of m/z 60 with BBOA, underlines the correct identification of HOA and BBOA. The higher correlation of HULIS with eBC can be understood in the light of a study by Andreae and Gelencser (2006) who pointed out that the fiber filters, as used by the MAAP, loaded with macroscopic amounts of humic like substances samples look very dark brown or nearly black. A possible partly interference of HULIS with BC measurements can therefore not be excluded and would explain why the HULIS factor, in contrast to the POA factors, has a relatively high correlation coefficient with BC data. The use of instruments like an aethalometer or a particle soot absorption photometer as used in other studies would reduce these interferences (Andreae and Gelencser, 2006; Petzold et al., 2013), but were not available during the campaign. Given the relatively low contribution of eBC to total aerosol mass though, a possible partial interference with HULIS is of minor importance regarding total aerosol masses."

4) Figure S7e: No need to give colours in the caption, as a legend is present. Descriptions like 'turquoise' are not useful because different colours can come out differently on individual screens and printers.

# **Response:**

As suggested by the reviewer, the color descriptions in the caption of this picture were removed.

# **References:**

Andreae, M.O., Gelencser, A., 2006. Black carbon or brown carbon? The nature of lightabsorbing carbonaceous aerosols. Atmospheric Chemistry and Physics 6, 3131-3148.

Canonaco, F., Crippa, M., Slowik, J.G., Baltensperger, U., Prevot, A.S.H., 2013. SoFi, an IGOR-based interface for the efficient use of the generalized Multilinear Engine (ME-2) for the source apportionment: ME-2 application to Aerosol Mass Spectrometer data. Atmospheric Measurement Techniques 6, 3649-3661.

Crippa, M., Canonaco, F., Lanz, V.A., Äijälä, M., Allan, J.D., Carbone, S., Capes, G., Ceburnis, D., Dall'Osto, M., Day, D.A., DeCarlo, P.F., Ehn, M., Eriksson, A., Freney, E., Hildebrandt Ruiz, L., Hillamo, R., Jimenez, J.L., Junninen, H., Kiendler-Scharr, A., Kortelainen, A.-M., Kulmala, M., Laaksonen, A., Mensah, A.A., Mohr, C., Nemitz, E., O'Dowd, C., Ovadnevaite, J., Pandis, S.N., Petäjä, T., Poulain, L., Saarikoski, S., Sellegri, K., Swietlicki, E., Tiitta, P., Worsnop, D.R., Baltensperger, U., Prevot, A.S.H., 2014. Organic aerosol components derived from 25 AMS data sets across Europe using a consistent ME-2 based source apportionment approach. Atmospheric Chemistry and Physics 14, 6159-6176.

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Setyan, A., Zhang, Q., Merkel, M., Knighton, W.B., Sun, Y., Song, C., Shilling, J.E., Onasch, T.B., Herndon, S.C., Worsnop, D.R., Fast, J.D., Zaveri, R.A., Berg, L.K., Wiedensohler, A., Flowers, B.A., Dubey, M.K., Subramanian, R., 2012. Characterization of submicron particles influenced by mixed biogenic and anthropogenic emissions using high-resolution aerosol mass spectrometry: results from CARES. Atmospheric Chemistry and Physics 12, 8131-8156.

# Aerosol source apportionment from 1-year-measurements at the CESAR tower at Cabauw, NL

3

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### 1 Abstract

2 Intensive measurements of submicron aerosol particles and their chemical composition were 3 performed with an Aerosol Chemical Speciation Monitor (ACSM) at the Cabauw Experimental Site for Atmospheric Research (CESAR) in Cabauw, NL, sampling at 5 m 4 5 height above ground. The campaign lasted nearly one year from July 2012 to June 2013 as part of the EU-FP7-ACTRIS project (Q-ACSM Network). Including equivalent black carbon 6 7 an average particulate mass concentration of  $9.50 \,\mu g \, m^{-3}$  was obtained during the whole 8 campaign with dominant contributions from ammonium nitrate (45%), organic aerosol (OA, 9 29%), and ammonium sulfate (19%). 12 exceedances of the World Health Organization (WHO)  $PM_{2.5}$  daily mean limit (25 µg m<sup>-3</sup>) were observed at this rural site using  $PM_1$ 10 instrumentation only. Ammonium nitrate and OA represented the largest contributors to total 11 12 particulate matter during periods of exceedance.

13 Source apportionment of OA was performed season-wise by Positive Matrix Factorization 14 (PMF) using the Multilinear Engine 2 (ME-2) controlled via the source finder (SoFi). Primary 15 organic aerosols were attributed mainly to traffic (8% - 16% contribution to total OA, 16 averaged season-wise) and biomass burning (0% - 23%). Secondary organic aerosols (SOA, 17 61% - 84%) dominated the organic fraction during the whole campaign, particularly on days 18 with high mass loadings. A SOA factor which is attributed to humic-like substances (HULIS) 19 was identified as a highly oxidized background aerosol in Cabauw. This shows the 20 importance of atmospheric ageing processes for aerosol concentration at this rural site. Due to 21 the large secondary fraction, the reduction of particulate mass at this rural site is challenging 22 on a local scale.

#### 1 1 Introduction

Atmospheric aerosols have large impacts on the climate directly by scattering and absorbing short wave radiation. Besides the resulting influence on the visibility (Ramanathan et al., 2007; Romanou et al., 2007), this can have a cooling or heating effect on the atmosphere (IPCC, 2013). The indirect effect refers to the impact of particles on cloud formation and their properties.

7 In addition, particles can impact adversely on human health by e.g. increasing the probability 8 of cardiopulmonary and lung cancer mortality (Pope et al., 2002). The World Health 9 Organization (WHO) recently estimated that globally, 3.7 million deaths were attributable to 10 ambient air pollution in both cities and rural areas in 2012 (EU, 2008). This mortality is 11 reported to be due to exposure to small particulate matter  $(PM_{10})$ , which can cause cardiovascular and respiratory disease, and cancers. Particles with lower diameters such as 12 13 PM<sub>2.5</sub> or PM<sub>1</sub> are reported to have enhanced toxicological effects since they can deposit more 14 deeply in the respiratory system and remain suspended for longer periods of time (Pope and 15 Dockery, 2006). Therefore, a number of institutions established several air quality standards for different particle sizes to limit aerosol mass. The WHO air quality guideline (global 16 update 2005, WHO (2006)) defines a PM<sub>2.5</sub> daily mean limit of 25  $\mu$ g m<sup>-3</sup> and a PM<sub>2.5</sub> annual 17 mean limit of 10 µg m<sup>-3</sup>. The European Union Air Quality Directive 2008/50/EC provides 18 only a target value of the annual mean limit of  $PM_{2.5}$  of 25 µg m<sup>-3</sup> (EU, 2008). 19

20 Air quality and climate effects are not only depending on the particle number concentration 21 and size, but also on their chemical composition. This information is not only relevant to 22 investigate the nature and magnitude of each effect, but also for the identification and 23 quantification of aerosol sources and mitigation strategies for a potential reduction of aerosol 24 mass concentrations. Major inorganic components of PM<sub>1</sub> consist mainly of ammonium 25 nitrate (NH<sub>4</sub>NO<sub>3</sub>) and ammonium sulfate ((NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>), formed in the presence of ammonia  $(NH_3)$ , nitrogen oxides  $(NO_x = NO + NO_2)$  and sulfur dioxide  $(SO_2)$ , respectively (Seinfeld 26 27 and Pandis, 2006). Therefore NH<sub>4</sub>NO<sub>3</sub> and (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> are strongly attributed to 28 anthropogenic sources (Finlayson-Pitts and Pitts, 2000). Since the reactions leading to 29 inorganic aerosol happen from gaseous precursors in the atmosphere, the condensed products 30 are considered as secondary aerosols, while primary aerosols like black carbon (BC) are 31 emitted directly.

In contrast, organic aerosols (OA), which can also be of primary (POA) or secondary (SOA)
 origin, consist of up to hundreds of thousands of different molecules (Goldstein and Galbally,

1 2007), where SOA contributes on average 70% to organic aerosol mass (Hallquist et al., 2 2009). SOA is formed by gas to particle conversion of atmospherically oxidized semi- and low-volatile organic compounds (VOC's). Guenther et al. (1995) estimated a global VOC budget in the order of 1150 Tg carbon per year. Biogenic VOC's (BVOC's) contribute approximately 90% of total VOC, including isoprene (50% of total BVOC's), monoterpenes (15%), and sesquiterpenes (3%) (Guenther et al., 2012). In turn, 10% of emitted VOC's are of anthropogenic origin, including e.g. alkanes, alkenes, benzene and toluene.

8 The investigation of the aerosol composition is critical for the development of climate models, 9 since the composition influences important particle properties. However, its determination is 10 still challenging, especially in case of OA, which contribute significantly to atmospheric 11 particulate matter (Jimenez et al., 2009). In fact, the lack of knowledge on particle 12 composition is a key contribution to the large uncertainty for the determination of the total 13 anthropogenic radiative forcing (IPCC, 2013).

14 The development of online aerosol mass spectrometric techniques during the last decades 15 enhanced the possibilities to investigate aerosol chemical composition in real-time (DeCarlo 16 et al., 2006; Jayne et al., 2000; Jimenez et al., 2003). The Aerosol Mass Spectrometer (AMS, 17 Aerodyne Research Inc., Billerica, MA, USA) is a powerful instrument to quantitatively 18 measure the chemical composition of the non-refractory fraction of PM<sub>1</sub> (NR-PM<sub>1</sub>) with high 19 time and mass resolution. Due to the high amount of maintenance from skilled and trained 20 personnel needed for continuous operating measurement campaigns using an AMS are usually 21 not exceeding one or two months (Sun et al., 2012). Since the variation of aerosol 22 composition is very high depending on measurement site and season (Jimenez et al., 2009), 23 long term measurements ( $\geq 1$  year) are clearly needed. At a European level, this effort is 24 supported by the Aerosols, Clouds and Traces gases Research InfraStructure network 25 (ACTRIS) program that aims at pooling high-quality data from state-of-the-art 26 instrumentation such as the Aerodyne Aerosol Chemical Speciation Monitor (ACSM). The 27 Quadrupole-ACSM (Q-ACSM) is specially designed for long-term continuous and real-time 28 measurements of mass concentrations and composition of NR-PM<sub>1</sub> species (Ng et al., 2011b).

In this study, an ACSM was used to measure the submicron aerosol chemical composition from 11.07.2012 to 03.06.2013 at the CESAR tower in Cabauw, NL, as part of the EU-FP7-ACTRIS project. A collocated Multi-Angle Absorption Photometer (MAAP, Thermo Scientific Model 5012), provided equivalent black carbon (eBC) data. Organic aerosol data was further analyzed by Positive Matrix Factorization using the Multilinear Engine 2 1 (Paatero, 1999) via the source finder (SoFi, Canonaco et al. (2013)). This data set shows the 2 long-term variability of particle composition and is used for source apportionment of 3 atmospheric aerosols at this North Western European rural site, with the focus on periods 4 where air quality standards were violated. This information can be further used to establish 5 strategies for the reduction of particulate matter.

### 1 2 Methodology

#### 2 2.1 Site description: CESAR

3 The CESAR tower is 220 m high and managed and operated by the Royal Netherlands 4 Meteorological Institute (KNMI, The Netherlands). It is located in a rural site (51.970°N, 5 4.926°E) near Cabauw, the Netherlands, about 20 km south-west of the city of Utrecht and 6 about 45 km south east of the Dutch North Sea cost. The site conditions are typical for North 7 Western Europe. They can either be maritime or continental, depending on the wind direction. 8 The surface elevation changes in the surrounding are at most a few meters over 20 km. The 9 tower ground is approximately 0.7 m below sea level, the diurnal variation of the temperature is relatively stable (Vermeulen et al., 2011). The direct surroundings of the tower have a 10 11 relatively low population density. The nearby region is used mainly by agriculture, with a mixture of intensively and extensively managed grassland. These are used also for animal 12 keepings like cattle and sheep, besides nearby located chicken farms. 13

14 The tower is equipped with external platforms and booms at 2 m, 10 m, 20 m, 40 m, 80 m, 15 140 m, and 200 m. At all these levels, meteorological observations of standard parameters like 16 wind speed, wind direction, dew point temperature, and ambient temperature are routinely 17 performed (Ulden and Wieringa, 1996). These data sets are available at the CESAR data base 18 (KNMI, 2013). In addition, other meteorological data like precipitation, radiation and remote 19 sensing, including lidar, radar and radiometer techniques, are acquired at the tower and 20 submitted to the CESAR data base. Also concentrations of gaseous compounds, including 21 greenhouse gases, are monitored at CESAR (Russchenberg et al., 2005; Vermeulen et al., 22 2011). The National Institute for Public Health and the Environment (RIVM, the Netherlands) 23 provides hourly data from gaseous CO, NO, NO<sub>2</sub>, SO, SO<sub>2</sub>, and O<sub>3</sub>, but also daily PM<sub>10</sub> measurements. Data of ambient CO<sub>2</sub>, CH<sub>4</sub> (both measured at 20 m, 60 m, 120 m, and 200 m 24 height), and Radon 222 (<sup>222</sup>Rn, measured at 20 m and 200 m height) is determined by ECN on 25 26 a time scale of 30 minutes. A map indicating the land use of the Netherlands is given in the 27 supplement (Fig. S1).

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#### 1 **2.2 ACSM sampling and data analysis**

2 The ACSM measures the NR-PM<sub>1</sub> fraction, including the organic fraction (Org), ammonium 3 (NH<sub>4</sub>), nitrate (NO<sub>3</sub>), sulfate (SO<sub>4</sub>), and chloride (Chl), using mass spectrometric information 4 on a time base of approximately 30 minutes. The ACSM used in this work is equipped with a commercial grade Quadrupole Residual Gas Analyzer (RGA), thus it is also called a Q-5 6 ACSM. This instrument is described in detail by Ng et al. (2011b). The RGA provides unit 7 mass resolution (UMR) mass spectra. Fractions of measured UMR signals were assigned to 8 individual aerosol species using the fragmentation table introduced by Allan et al. (2004). Due 9 to the automated zeroing system used for the ACSM, adjustments of the fragmentation table 10 entries concerning interferences from air beam molecules are not needed. All ACSM data 11 processing and analysis within this work was performed using software provided by 12 Aerodyne Research (ACSM Local, version 1.531, ARI (2012)) within IGOR Pro version 13 6.2.3.

14 Recent studies showed good agreements of ACSM data with data from other aerosol 15 instruments like the High Resolution Time-of-Flight AMS (HR-ToF-AMS) in ambient 16 measurement campaigns, even in highly polluted areas such as Beijing (Sun et al., 2012; Sun 17 et al., 2011). An intercomparison of two collocated ACSM's resulted in strong correlations  $(R^2 = 0.8)$  and agreements within 27% (Budisulistiorini et al., 2014). Crenn et al. (2015) 18 19 reported similar results from the intercomparison of 13 ACSM's, a ToF-ACSM's, a ToF-20 AMS, and other collocated instruments in the region of Paris, France. There, the same ACSM 21 instrument (S/N A140-145) as the one used for this study was tested. Those results indicate 22 that the ACSM can be used as a suitable and cost-effective alternative to the AMS for aerosol 23 composition measurements due to its capability of stable and reproducible operation.

24 Mass calibrations were performed approximately every month and were based on determining 25 the instrument response factor (RF) (Ng et al., 2011b) using monodisperse NH<sub>4</sub>NO<sub>3</sub> (320 nm) 26 as calibration substance and a Condensation Particle Counter (CPC, TSI 3022a) as reference instrument (Jayne et al., 2000; Jimenez et al., 2003). An average  $RF_{NO3}$  of 2.74 ± 0.45  $\cdot 10^{-11}$ 27 28 was obtained and used for the calculation of aerosol mass concentrations. Instead of 29 performing a mass calibration for every aerosol species, relative ionization efficiencies 30 (RIE's), compared to that of nitrate, were used. The RIE's of NH<sub>4</sub> and SO<sub>4</sub> were determined 31 directly during the mass calibrations by measuring dry NH<sub>4</sub>NO<sub>3</sub> and (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> particles after 32 another. Averaged over all calibrations, a RIE<sub>NH4</sub> of  $7.53 \pm 0.21$  and a RIE<sub>SO4</sub> of  $0.81 \pm 0.10$ were found and used for the whole data set. Calibration results gained from this particular 33

instrument during an intercomparison in Paris as described by Crenn et al. (2015) were within the uncertainty or only slightly different ( $RF_{NO3}=2.34 \cdot 10^{-11}$ ,  $RIE_{NH4}=6.54$ ,  $RIE_{SO4}=0.62$ ) considering the large differences between individual instruments at the intercomparison. RIE values of 1.4 and 1.3 for organics and chloride, respectively, were taken from the literature (Alfarra et al., 2004; Canagaratna et al., 2007).

6 A site specific, time resolved particle collection efficiency (CE) correction algorithm 7 (equations are given in the supplement) was applied, which was developed by Mensah et al. 8 (2012), using SMPS data as reference. In contrast to the commonly used constant value of 0.5 9 this CE correction accounts for the high ammonium nitrate mass fraction (ANMF) found at 10 this site and is thus more suitable for the data presented here. Another algorithm for 11 composition dependent CE determination (Middlebrook et al., 2012) was also tested for its 12 validity. It uses a threshold ratio of measured to predicted NH<sub>4</sub> to switch between two different equations to determine the CE. The threshold value of 0.75 is close to the observed 13 14 ratio of measured over predicted NH<sub>4</sub> of this data set, resulting in large discontinuities of CE values and in consequence, discontinuous changes in aerosol mass concentrations. Therefore 15 16 the Middlebrook algorithm was not used for this data set, which showed at the same time low ratios of measured to predicted NH<sub>4</sub> and high AMNF's. According to Ng et al. (2011b) and 17 18 Sun et al. (2012), the variability of the instrument performance was corrected based on the 19 inlet pressure and N<sub>2</sub> signal, as well as the signals derived from the internal naphthalene 20 source were taken to correct for the mass dependent ion transmission efficiency of the RGA.

The detection limits for each species were not determined within this work, but are reported to be 0.148, 0.284, 0.012, 0.024, and 0.011  $\mu$ g m<sup>-3</sup> (3 $\sigma$ ) for organics, ammonium, nitrate, sulfate, and chloride, respectively, at an averaging time of 30 minutes (Ng et al., 2011b).

During the whole campaign, the ACSM was located inside the CESAR tower building. Its inlet was placed on the roof of the building at approximately 5 m height above ground. The inlet head was equipped with a PM<sub>2.5</sub> cyclone (URG-2000-30EN, URG Corporation, Chapel Hill, USA). The sample air was pulled through a 10 m copper line (ID = 6.5 mm) at a flow rate of 9 L min<sup>-1</sup>. From this flow, a subsample of 1 L min<sup>-1</sup> was diverted to a Nafion dryer (RH < 40%) of which approximately 80 mL min<sup>-1</sup> entered the ACSM. This resulted in a total residence time of 18 seconds.

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#### 1 2.3 Collocated aerosol measurements

The following collocated aerosol instruments were used for cross-validation of the ACSM data: (i) a Scanning Mobility Particle Sizer (SMPS, TSI 3034), operated by the Netherlands Organization for Applied Scientific Research (TNO, The Netherlands), (ii) a Monitor for Aerosol and Gases (MARGA, Applikon Analytical BV), operated by ECN, and (iii) a HR-ToF-AMS, which was operated by Forschungszentrum Juelich during the first 6 days of the ACSM campaign. In addition, BC data obtained by a MAAP instrument (TNO, The Netherlands) was included into the analysis.

9 The MAAP instrument has been introduced by Petzold and Schönlinner (2004) and Petzold et 10 al. (2005). It is designed for the determination of the black carbon (BC), which is a product of 11 incomplete combustion. There is in the scientific community a general consensus over what 12 black carbon is in terms of properties (Bond et al., 2013) The MAAP measures the strong 13 visible light absorption property of BC by simultaneous measurements of the radiation 14 penetrating through and scattered back from a particle-loaded fiber filter. According to 15 Petzold et al. (2013), optical BC determined by MAAP is to be referred to as equivalent black 16 carbon (eBC). One property of BC is that it is highly refractory with a vaporization 17 temperature near 4000K (Schwarz et al., 2006), thus BC is not vaporized at 600°C and cannot be measured by the ACSM. The MAAP achieves a time resolution of 5 minutes with an 18 19 uncertainty of 12% (Petzold and Schönlinner, 2004). A mass absorption cross section (MAC) of 6.6 m<sup>2</sup> g<sup>-1</sup> for a wavelength of 637 nm (Müller et al., 2011) was chosen to convert the 20 21 measured particle absorption coefficient to eBC mass concentrations. Although the MAAP 22 has no size selective inlet beside the PM<sub>10</sub> heads described below, it can be assumed that eBC-23 containing aerosol generally fall into the submicron size range (Bond et al., 2013). Thus eBC 24 mass concentrations are considered as part of the PM<sub>1</sub> fraction from hereon. As seen later the 25 eBC fraction is rather low throughout the campaign, meaning that the overall error of this 26 assumption is not significant.

The SMPS (TSI, Model 3034) is a sequential combination of several integrated components: an impactor, a neutralizer, a differential mobility analyser and a condensation particle counter. It determines the size distribution of particles in a range of 10 nm to 487 nm (electromobility diameter). The SMPS aerosol mass concentration was calculated from the measured volume distributions using the particle density determined by the aerosol composition information derived from the ACSM and the MAAP. Assuming spherical particles, the total density is computed by using the densities of the aerosol species, weighted by their mass fractions. Bulk densities of  $NH_4NO_3$  (1.72 g cm<sup>-3</sup>) and  $(NH_4)_2SO_4$  (1.77 g cm<sup>-3</sup>), and densities of 1.8 g cm<sup>-3</sup> for BC (Bond and Bergstrom, 2006; Park et al., 2004) of organics (1.4 g cm<sup>-3</sup>, Hallquist et al. (2009)) were taken into account. Considering its low influence on the total particle density at this site, it is acceptable to set the density for chloride to 1 g cm<sup>-3</sup> (Mensah et al., 2012).

5 During the presented campaign, the MAAP and the SMPS were connected to the common aerosol inlet which sampled at 60 m height. This inlet consisted of four PM<sub>10</sub> size selective 6 7 heads at the top, followed by a Nafion dryer to keep the relative humidity (RH) of the sample 8 air below 40%. The stainless steel pipe, ranging from the aperture at 60 m to the basement, 9 has an inner diameter of 0.5" (= 1.27 cm) and ends in a manifold, where the sampled air is distributed to a variety of different instruments, including the MAAP and the SMPS, each 10 with its own sample flow. An overall sample flow of 60 L min<sup>-1</sup> was adjusted inside the 60 m 11 12 pipe, assuring laminar conditions.

13 SMPS data was corrected size dependently for (diffusional) losses in the inlet system and SMPS system itself according to Henzing (2011) who compared theoretical findings with 14 15 measured losses that are obtained by measuring simultaneously before and after the various 16 parts of the inlet system at the CESAR tower. In addition, particles of different compositions 17 were measured in 2013 simultaneously at the pipe entrance at 60 m height and in the basement (J. S. Henzing, personal communication). For more than 8000 simultaneous 18 19 observations, the results showed that aerosol measurements through this 60 m sampling line 20 underestimate PM<sub>10</sub>-eBC by approximately 33% with an uncertainty of 7%. Therefore, eBC 21 obtained from the MAAP are divided by a factor of 0.66 to account for these losses. For the 22 inorganic species penetrations through this inlet line were reported to be 62-73% for nitrate, 23 55-64% for sulfate, and 54-56% for ammonium. However these results were not used for 24 corrections in this work.

25 The MARGA measures water soluble components of both the gas and aerosol phase 26 simultaneously, including the aerosol species nitrate, ammonium, sulfate, and chloride. It uses 27 a Wet Annular Denuder (WAD) followed by a Steam Jet Aerosol Collector (SJAC). The 28 operational and calibration procedures, as well as details of the data analysis are given by 29 Trebs et al. (2004). During the campaign the MARGA collected alternately the PM<sub>1</sub> and PM<sub>2.5</sub> fraction of ambient particles at ambient RH and temperature, each fraction sampled 30 hourly with a measurement error smaller than 10% (Schaap et al., 2011). The detection limits 31 for the most abundant aerosol species were 0.05, 0.10, 0.08, 0.01  $\mu g \ m^{-3}$  (4  $\sigma$ , Rumsey et al. 32 (2014)) for ammonium, nitrate, sulfate, and chloride, respectively. 33

1 The MARGA inlet was equipped with a PM<sub>10</sub> size selective head (Rupprecht and Pataschnick, 2 R&P), placed on the roof of the tower building next to the ACSM inlet aperture at 5 m height. 3 The sample air was transferred into the instrument within a polyethylene tube with an inner 4 diameter of 0.5" (= 1.27 cm) and a sample flow of 16.7 L min<sup>-1</sup>, which is either directed through a PM<sub>1</sub> or a PM<sub>2.5</sub> size selective cyclone. A detailed description of the MARGA inlet 5 6 system at the Cabauw tower was previously described by Schaap et al. (2011). There, wall 7 losses were investigated and found to be less than 2% for several gaseous and particulate 8 compounds. To compare with the ACSM, only MARGA data containing PM<sub>1</sub> composition is 9 considered within this work.

10 An Aerodyne HR-ToF-AMS was connected to the MARGA inlet during the first 6 days of the 11 campaign. As the ACSM is built upon AMS, the latter measures likewise the chemical 12 composition of the  $PM_1$  non-refractory (NR) fraction. Instrumental details are available in 13 Jayne et al. (2000), Jimenez et al. (2003), and DeCarlo et al. (2006).

14

### 15 **2.4** Statistical methods of organic aerosol data analysis

16 Source apportionment of organic aerosol components was performed using Positive Matrix 17 Factorization (PMF, Paatero (1997); Paatero and Tapper (1994)) via the ME-2 solver 18 (Paatero, 1999). PMF is a bilinear model and assumes that the original data set, containing 19 variable mass spectra over time, is a linear combination of a given number of factors, each 20 with a constant mass spectrum and its contributions over time. It has been successfully used in 21 AMS ambient studies apportioning the measured organic mass spectra in terms of 22 source/process-related components (Zhang et al., 2011). With the ME-2 solver it is possible to 23 introduce a priori mass spectral information and hence to reduce the rotational ambiguity, i.e. 24 similar PMF results with the same goodness of fit, of PMF solutions (Paatero and Hopke, 25 2003).

The extraction of OA data and error matrices as mass concentrations in  $\mu$ g m<sup>-3</sup> over time, as well as their preparation for PMF/ME-2 according to Ulbrich et al. (2009), was done within the ACSM software. Only m/z's  $\leq$  100 were considered here since they represented nearly the whole OA mass (around 98%) and did not interfere with ion fragments originating from naphthalene (e.g., m/z 127, 128, and 129, see also Sec. 2.2). From these matrices, the m/z 12 was discarded because it showed negative signals, probably due to too short delay time of the quadrupole scan (125 ms) after a valve switch (Fröhlich et al., 2015). In addition, the m/z's 37 and 38 were also removed from the organic matrices of the whole campaign except for winter 2 2013. This was done because the signal at these masses showed high interferences with the 3 chloride related ions <sup>37</sup>Cl and H<sup>37</sup>Cl. Including these ions lead to unreasonable PMF factors 4 which mainly contained only these two masses and represented the chloride time series, 5 whereas during Winter 2013 no such interferences were observed.

6 The interface source finder (SoFi, Canonaco et al. (2013)), version 4.8, was used to control 7 ME-2 for the PMF runs of the ACSM OA data adopting the source apportionment strategy 8 developed by Crippa et al. (2014). Briefly, unconstrained PMF runs were first investigated 9 with 1 to 10 factors and a moderate number of seeds (10 to 15) for each factor number. If 10 Primary Organic Aerosol (POA) factor profiles like hydrocarbon-like OA (HOA) or OA from 11 biomass burning (BBOA) were found, site specific POA mass spectra or spectra derived from 12 the data base were constrained and a sensitivity analysis performed on the tightness of 13 constraint (a-value, Lanz et al. (2008)). Since aged OA (or oxidized OA, OOA) factors show 14 more variability between measurement sites in terms of their mass spectra (MS) than POA, it 15 is not appropriate to constrain SOA factor profiles using reference spectra derived from 16 different locations (Canonaco et al., 2015). According to Crippa et al. (2014), HOA MS 17 should be more constrained (a-value between 0 and 0.2) than BBOA MS (a  $\approx$  0.3), since the 18 BBOA fingerprint shows higher variations depending on the burning type and conditions 19 (Alfarra et al., 2007). In each step, either in unconstrained or constrained approaches, the 20 following criteria for finding a proper solution were used based on the recommendations from 21 Ulbrich et al. (2009) and Canonaco et al. (2013):

• The quality parameter  $Q/Q_{exp}$  was minimized.

• Factor profiles have reasonable mass spectra, as expected for the measurement site.

Factor time series have high correlations with respective external data sets such as
 gaseous CO, CO<sub>2</sub>, NO<sub>x</sub>, and particulate nitrate, sulfate and black carbon.

When a proper solution is found, 50 seed runs were used to find the global minimum
 for Q/Q<sub>exp</sub>.

Investigation of the rotational ambiguity of the solution space is carried out using the
 a-value approach for the constrained factor profiles

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#### 1 **3 Results and discussion**

# 2 3.1 Cross-validation of particulate total mass and chemical species 3 concentrations

The particle density during the ACSM campaign was determined using the chemical 4 5 composition data from the ACSM and the MAAP and resulted in an average of 1.63  $\pm$  $0.12 \text{ g cm}^{-3}$ . The time series of the particle density is given in the supplement. It was used to 6 7 calculate the SMPS total mass concentration from its measured volume concentration 8 throughout the campaign. Due to the relatively low signal-to-noise ratio of the ACSM, the 9 density shows scattering only during periods with low mass loadings. Therefore it does not 10 influence the cross-validation with the SMPS mass significantly. Figures S3 and S4 show the 11 time series of the SMPS mass and the combined mass concentrations measured by ACSM and 12 MAAP and the correlation plot of both data sets, respectively. Using 12275 common data 13 points for the linear fit, a good qualitative and quantitative agreement (Slope:  $1.16 \pm 0.01$ , intercept:  $-1.05 \pm 0.06$ , R<sup>2</sup> = 0.78) was observed. Excluding eBC data resulted in a slope of 14 1.13  $\pm$  0.01, an intercept of -1.14  $\pm$  0.06, and a R<sup>2</sup> of 0.78. The negative offset can be 15 16 explained by minor influences of sea salt and dust particles, which can be detected well by the 17 SMPS and MARGA but not by the ACSM with a sufficient sensitivity. But the low value of 18 the intercept shows already that the uncertainty introduced by these aerosol components is 19 rather low in general. This can also be explained by the low concentrations of Mg, Na, K and 20 Ca as measured by the MARGA (see below) and the assumption that the majority of dust 21 particles is most likely found in particles with diameters larger than 1 or even 2.5 µm 22 (Finlayson-Pitts and Pitts (2000) and references therein).

23 Since the MARGA measures routinely the water soluble inorganic aerosol compounds, data 24 from ACSM inorganic species were synchronized and compared to corresponding MARGA 25 PM<sub>1</sub> data for the whole measurement period. The temporal overlap with the collocated high 26 resolution AMS was between 11 and 17 July 2012. The correlation parameters of individual 27 aerosol species and respective total masses between the ACSM data and the data sets from the 28 MARGA and AMS are given in Table 1, using 1943 and 294 common data points, 29 respectively. The corresponding correlation graphs are shown in the supplement (Fig. S5 and 30 S6). Except for chloride, high correlation coefficients were achieved. Furthermore, the 31 comparison to both total mass time evolutions shows very high qualitative and quantitative 32 agreement. The quantitative difference between ACSM- and AMS-organics is also very low, 33 and the discrepancies in case of ammonium and nitrate are within the stated  $\pm$  30% accuracy

1 of the AMS and ACSM (Ng et al., 2011b) and the  $\pm$  10% for the MARGA–NO<sub>3</sub>, respectively 2 (Makkonen et al., 2012). Similar variations were also found by Crenn et al. (2015) as well as 3 Budisulistiorini et al. (2014). The latter reported of a comparison between two collocated ACSM's (± 27%,  $R^2 = 0.21$  for Chl,  $R^2 > 0.8$  for the other species) and between these 4 ACSM's and a continuous Tapered Element Oscillating Microbalance (TEOM, PM<sub>2.5</sub>) 5 6 instrument. Note that the major ions used for nitrate detection in AMS and ACSM (NO<sup>+</sup> and  $NO_2^+$ ) are produced from both inorganic and organic nitrate (e.g. Farmer et al. (2010)). The 7 8 higher ACSM nitrate compared to MARGA nitrate can therefore also be explained by the 9 presence of organic nitrates. In case of nitrate the ASCM and MARGA comparison cannot be 10 seen as independent. The underestimation of the ACSM in case of sulfate exceeding the 11 uncertainties may arise from calibration issues. The mass calibration procedure used in this 12 work was mainly adopted from AMS procedures which may not be directly suitable for the 13 ACSM. The RIE of sulfate might be overestimated due to high observed background signals during the calibration using (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> particles. An overestimated RIE<sub>SO4</sub> results in 14 15 underestimated mass concentrations. This would in turn explain the low SO<sub>4</sub> mass 16 concentrations comparing to the MARGA and AMS. Additionally, the ACSM fragmentation 17 table could not be adjusted for interferences of ions from different aerosol species on the same 18 m/z properly because ACSM software used in this study did not allow plotting time series for 19 specific fragments apportioned by the fragmentation table. Thus, the standard table had to be 20 used. Furthermore, the MARGA measured only low concentrations of Mg, Na, K and Ca (average sum:  $0.08 \ \mu g \ m^{-3}$ ). Thus, contributions of their corresponding sulfate salts, for which 21 22 the ACSM is less sensitive, are negligible in this context. It should also be noted, that chloride 23 concentrations can originate from particulate organic and inorganic chloride components 24 originating from e.g. sea salt. For the latter, the ACSM is much less sensitive than the 25 MARGA. As described above, influences from sea salt can be considered rather low. In turn, 26 the MARGA might be less sensitive to organic chlorides, as they are likely less water soluble 27 than inorganic chlorides. These explanations would explain the low agreement between the 28 two instruments in case of chloride.

Overall, the comparison of the data measured by the ACSM and MAAP with collocated aerosol instruments showed a good reliability, precision, and in most cases a good accuracy over the whole campaign, including periods with high and low mass loadings. Therefore, the here obtained chemical composition can be used qualitatively and quantitatively for the source apportionment of aerosol components at this rural site. Major discrepancies to the SMPS especially during some of the pollution events like 16 to 27 January 2013 and 5 to 8

1 May 2013 (see below) can be explained by the correction of losses through the 60 m inlet line 2 which was done size dependently and did not account for losses of individual species as 3 mentioned in chapter 2.3. Furthermore, discrepancies can also result from the different 4 sampling heights of the SMPS and MAAP (both at 60 m) and ACSM and MARGA (both 5 5 m), through which the instruments may sampled partly within different layers with different 6 mass concentrations. As the quantitative agreements of individual inorganic species as well as 7 of total inorganics between the ACSM and the MARGA during these periods are much 8 higher, the mass loadings determined from these instruments are more reliable than the SMPS 9 data.

10

# 11 **3.2** Aerosol chemical composition

12 A meteorological overview of this campaign, including wind direction, precipitation, Radon-13 222 measurements and ambient temperature and relative humidity (RH) is provided in Fig. 14 S7. Table S1 shows temperature and RH values averaged over selected periods (see below). If 15 not stated else, all data shown in this chapter is in UTC (local time minus 1 or 2 hours, 16 respectively) and averaged and synchronized to the ACSM data resolution of 30 minutes. 17 Summing up the ACSM and MAAP data, a total mass concentration of 9.5  $\mu$ g m<sup>-3</sup> was 18 measured on average, with a maximum of 78.4  $\mu$ g m<sup>-3</sup> and a minimum of 0.2  $\mu$ g m<sup>-3</sup>.

- 19 Data coverage of 75% for one day and 90% for one calendar year, respectively, is defined 20 mandatory for a proper risk assessment according to the WHO air quality guideline. The last 21 requirement could not be achieved either with the ACSM or with the MARGA between July 22 2012 and June 2013 (70% and 71% coverage, respectively). Nevertheless, the average total 23 mass derived from the combination of the MARGA PM<sub>2.5</sub> data (including all water soluble inorganic components NO<sub>3</sub>, NH<sub>4</sub>, SO<sub>4</sub>, Cl, Na, K, Mg, and Ca), MAAP eBC and ACSM PM<sub>1</sub> 24 organics resulted in 12.5  $\mu$ g m<sup>-3</sup> during this time, clearly exceeding the WHO PM<sub>2.5</sub> annual 25 mean limit (10  $\mu$ g m<sup>-3</sup>) by 25%. Even the PM<sub>1</sub> concentration inferred from ACSM + MAAP 26 data (campaign average 9.5  $\mu$ g m<sup>-3</sup>) approached the WHO PM<sub>2.5</sub> limit. 27
- 28 The time series of the daily mean total mass derived from both combinations (MARGA-PM<sub>2.5</sub>
- 29 + ACSM-Org + eBC and ACSM-total + eBC), where the required data coverage of 75% was
- 30 reached, are shown in Fig. 1. The WHO  $PM_{2.5}$  daily mean limit of 25 µg m<sup>-3</sup> was exceeded on
- 31 17 and 12 days, respectively. 8 and 7 exceedances were observed during a period with high
- 32 pollution from 16 to 27 January 2013, respectively.

1 In Fig. 2 the average contributions of individual species are shown as pie charts (a) and 2 stacked time series (b). A technical problem of the MAAP instrument was responsible for the 3 lack of BC data from 15 February 2013 to 25 April 2013. To determine properly average 4 species contributions for each pie chart the campaign was therefore not divided strictly 5 season-wise, but into five periods. The first two sections represent the summer (July-August-6 September) and autumn (October-November-December) 2012, while the first half of 2013 7 was divided into periods with and without BC data. Larger gaps in ACSM data occurred 8 mainly due to problems with the RGA detector, in addition to minor measurement gaps for 9 maintenance and calibrations.

Overall, particulate nitrate and organics were the dominant species, representing 39% and 29% of the total aerosol, respectively. Both compounds show similar contributions in summer (period 1) and autumn 2012 (period 2), whereas in winter (January-February-March, period 3 and beginning of 4) and spring (April-May-June, periods 4 and 5) the NO<sub>3</sub> fraction increased up to an average of 46% of the total particulate mass, and the organic and eBC fractions decreased. The contributions of the other components showed only small variations between the seasons (see Table S2).

17 The most significant pollution events (17 to 21 August 2012, 21 to 25 October 2012, 16 to 27 January 2013, and 5 to 8 May 2013) are highlighted with shaded backgrounds in Fig. 2. 18 19 During these events, MARGA PM<sub>2.5</sub> data showed up to 33% higher (e.g. on 17 to 21 August 2012) total inorganic masses than ACSM PM1 inorganics as implicated in Fig. 1. As 20 21 mentioned above the number and proportions of exceedances of the WHO PM<sub>2.5</sub> daily mean 22 limit is therefore even higher than when only PM<sub>1</sub> data is considered. During the last three 23 events, northerly and north-easterly winds dominated. The period 16 to 27 January 2013 24 showed also the lowest temperatures (average: -4°C) with respect to the whole campaign and 25 a temperature inversion between 2 m and 40 m height in the in the morning 25 January 2013, where both instruments showed total mass concentrations of ca.  $20 \mu g m^{-3}$ . On the other hand, 26 no temperature inversion was seen during times when very high (> 40  $\mu$ g m<sup>-3</sup>) aerosol mass 27 28 loadings were observed, even in winter times. Many sudden drops of the particulate mass can 29 be either explained by changes in wind direction and/or precipitation events, like in case of 30 the two latter pollution events (16 to 27 January 2013, and 5 to 8 May 2013).

Figure 3 shows the diurnal patterns of each individual species and the total particulate mass for the whole campaign. Corresponding plots with data averaged separately for the five chosen periods can be found in the supplement (Fig. S8). Overall, NO<sub>3</sub> showed the largest

1 diurnal variation, with a maximum during the night/morning hours, reflecting its nighttime 2 production and a minimum during the day due to the volatility of NH<sub>4</sub>NO<sub>3</sub>. This pattern is 3 more pronounced in the warmer periods 1 and 5. Since the majority of ammonium is originated from NH<sub>4</sub>NO<sub>3</sub>, NH<sub>4</sub> has a similar pattern to that of NO<sub>3</sub>. SO<sub>4</sub>, which is mainly 4 5 formed photochemically during the day from gaseous SO<sub>2</sub>, showed peaks during daytime, 6 although its overall variation is rather low. The maxima of BC can be attributed to direct 7 emissions from traffic (morning and evening rush hours) and biomass burning events 8 (domestic heating in the evenings/nights). Finally, OA showed peaks at the evening hours 9 during the colder periods and a daytime minimum during the summer. More detailed discussion of the diurnal patterns of individual OA factors is given below. 10

11 An ion balance of all inorganic compounds indicates that too less NH<sub>4</sub> was measured to 12 neutralize all NO<sub>3</sub> and SO<sub>4</sub> to their corresponding ammonium salts. The measured NH<sub>4</sub> mass concentration against the predicted NH<sub>4</sub> from the ion balance is plotted in the supplement 13 14 (Fig. S9) and resulted in a slope of  $0.83 \pm 0.00$ . One uncertainty of the ion balance is 15 introduced by the use of RIE's for the inorganic species. As shown below we consider 16 uncertainties of RIE to be of minor importance in explaining the observed low particulate 17 NH<sub>4</sub> concentration. It should be noted that for the calculation of the ion balance all measured 18 NO<sub>3</sub> is considered. In addition to NH<sub>4</sub>NO<sub>3</sub> organic nitrates give rise to nitrate signal in the 19 AMS, albeit with distinct difference in relative ion abundance (Alfarra et al., 2004; Fry et al., 20 2011; Fry et al., 2009). It was not possible to distinguish the organic and inorganic nitrate 21 fraction based on their mass spectra with the ACSM. Since a relatively high amount of 22 particulate organic nitrates was found in previous campaigns at CESAR using an AMS 23 (Mensah, 2011), and the region is characterized by high NH<sub>3</sub> emissions (Derksen et al., 2011), 24 the potential contribution of organic nitrates to the gap in the ion balance was explored as 25 follows.

26 In contrast to the ion balance from the ACSM data, MARGA PM<sub>1</sub> measurements during the whole campaign showed a nearly 1:1 correlation of measured against predicted NH<sub>4</sub> (slope of 27 the linear regression line:  $1.03 \pm 0.00$ , Pearson-R<sup>2</sup> = 0.97), but with a negative offset of ca. 28  $0.30 \pm 0.01 \ \mu g \ m^{-3}$ . This offset, which is at least 3 times higher than the detection limits of the 29 MARGA, cannot be explained by including positive metal ions to the ion balance since the 30 31 sum of Mg, Na, K and Ca mass concentrations had low contribution to particulate mass as 32 mentioned in section 3.1. Thus, significant influence of their nitrate salts to total nitrate can be 33 excluded. In addition, as the MARGA is measuring the water-soluble nitrate fraction, the

1 MARGA-NO<sub>3</sub> can be considered to be exclusively NH<sub>4</sub>NO<sub>3</sub>. This assumption is acceptable, 2 as shown by using the MARGA-NO<sub>3</sub> instead of the ACSM-total-NO<sub>3</sub> for the ion balance of 3 ACSM data (including ACSM-SO<sub>4</sub>, -Chl and -NH<sub>4</sub>), following a procedure given by Xu et al. 4 (2015) who calculated the organic nitrate fraction by subtracting the inorganic nitrate 5 concentrations measured by a particle-into-liquid sampler (PILS, see Orsini et al. (2003)) 6 from ToF-AMS total nitrate concentrations. In the Cabauw data set, the correlation of 7 measured against predicted NH<sub>4</sub> resulted in a nearly 1:1 regression line without a significant 8 offset (Fig. S10). This is in agreement with the MARGA internal ion balance which also 9 indicates neutralized inorganic aerosols. Therefore, the mass concentration of nitrate groups associated with organic molecules (hereafter called organic nitrate or OrgNO<sub>3</sub>), can be 10 11 estimated by subtracting the MARGA-nitrate from the ACSM-nitrate concentration. The 12  $OrgNO_3$  time series using this approach is plotted in Fig. S11, the respective diurnal variation 13 averaged over for each period and for the entire campaign in Fig. S12. An average mass fraction of 9% was calculated for OrgNO<sub>3</sub> (average concentration: 0.43  $\mu$ g m<sup>-3</sup>) in respect to 14 15 total ACSM-NO<sub>3</sub>. Note that the organic nitrate concentrations may biased low considering 16 that, although the nitrate concentrations both instruments are considered  $PM_1$ , the 17 transmission efficiency of the ACSM decreases for particles with diameters between ca. 800 nm to 1 µm. This may also explain the slightly negative OrgNO<sub>3</sub> values seen in Figure 18 19 S11. The organic nitrate fraction shows for nearly all periods and for the whole campaign a 20 maximum concentration in the night, followed by a decrease during the day. Particulate 21 organic nitrates found in this study may therefore be considered as mainly semi-volatile as the 22 evaporation from particles is dominating during warmer daytime. Due to the low temperatures 23 within period 3, evaporation is less important during this time as seen by the daytime 24 maximum. These findings are in agreement with previously reported relatively high AMS organic nitrate fractions by Mensah (2011) in May 2008 (0.5 µg m<sup>-3</sup>, 35% of total nitrate, 25 5.2% of total aerosol mass) and March 2009 (0.2 µg m<sup>-3</sup>, 10% of total nitrate, 3.6% of total 26 27 aerosol mass) in Cabauw.

28

### 29 **3.3** Factor analysis of organic aerosols

Prior to PMF analysis, the ACSM data set was subdivided into four data sets, which were
explored by PMF separately, mainly due to the operational time of the ACSM that are in the
following referred as: (i) July-August-September 2012: Summer 2012; (ii) OctoberNovember-December 2012: Autumn 2012; (iii) January-February-March 2013: Winter 2013;

(iv) April-May-beginning of June 2013: Spring 2013. A more detailed overview of the
 selected seasons is given in Table S3.

3 Figure 4 summarizes the time series of PMF factor classes (two POA factors: HOA and 4 BBOA; and two SOA factors: OOA and a humic-like substances (HULIS) related factor, see below for descriptions) found for all seasons, except for BBOA in Summer 2012. A 5 6 corresponding graph dividing these PMF results into the five periods according to Fig. 2 is 7 shown in Fig. S13. The POA profiles were constrained within ME-2 using the HOA and 8 BBOA mass spectra found by ME-2 operated PMF analyses by Crippa et al. (2014) at the 9 CESAR tower in Cabauw in March 2009. For the HOA profile, a constant a-value of 0.1 was 10 found to be most suitable for every season. If observed, the BBOA a-value was set to either 11 0.2 (Autumn 2012) or 0.3 (Winter and Spring 2013). The a-values based on different 12 sensitivity tests for each season as described by Canonaco et al. (2013). Factor profiles including fractional contributions of marker ions (f44, f43, and f60) and diurnal variations for 13 14 Winter 2013 are displayed in Fig. 5 as a representative example. Corresponding graphs for 15 every season are shown in Fig. S14 and S15, respectively. Table S4 gives an overview of the 16 temporal correlations of each factor with external data sets, while scatter plots from Winter 17 2013 profiles against profiles found in May 2008 at CESAR by Crippa et al. (2014) are given 18 in Fig. S16.

19 As mentioned, no significant BBOA influence was seen in Summer 2012. Thus, a three-factor 20 solution was chosen for this season and consequently, no BBOA time series exists at that 21 time. For all other factors the time series are continuous on 1 October 2012, i.e. analysis of 22 separate data files leads to a consistent result in terms of both factor profiles and 23 concentrations. The SOA factors showed always higher contribution (54% - 84%, averaged 24 season-wise) to total organics compared to POA (16% - 46%). For all PMF factors, no 25 preferential wind direction was observed over the entire campaign. During the pollution 26 events mentioned above, OOA originated mostly from the directions between 20° and 180° in 27 respect to the tower. This is not the case for HULIS, which origins varied throughout all 28 directions, also during pollution events.

The seasonal average HOA contribution to total organic mass was highest in Summer 2012 and lowest in Spring 2013 (16% and 8%, respectively). All HOA diurnal patterns (Fig. 5b) showed a maximum at 7 and 11 am (LT) and a slight increase in the evening, emphasizing that its main source is related to traffic likewise to BC (see Sec. 3.1). In Winter 2013, these maxima were less distinctive comparing to the other seasons. HOA Highest temporal agreements with HOA were seen by the POA tracers BC, NO<sub>x</sub> and CO ( $R^2 = 0.38$ , 0.47, and 0.47, respectively) over the entire campaign.

3 The BBOA profile showed a very high contribution of m/z 60, which is dominated by the  $C_2H_4O_2^+$  ion. This fragment is characteristic for anhydrosugars such as levoglucosan (Alfarra 4 5 et al., 2007) which are established markers of wood combustion processes (Simoneit and 6 Elias, 2001; Simoneit et al., 1999). The fractions of m/z 60 to the BBOA profile in Autumn 7 2012 (3.7%) and Winter 2013 (3.2%) are higher than in Spring 2013 (2.4%). As mentioned, 8 BBOA was not found in Summer 2012. This was verified by the fact that the contribution of 9 m/z 60 to the BBOA profile decreases for higher a-values in that season, which is an 10 indication for the non-existence of BBOA. The highest contributions of BBOA to total 11 organics were seen in the colder Autumn (23%) and Winter seasons (15%). This and the 12 diurnal maximum during the evenings and nights match the expectations for a factor linked 13 with domestic heating activities, together with the fact, that this factor was not seen during the 14 warmer summer season. Averaged over the whole campaign, the contribution to total organics 15 was 13%, including Summer 2012, where its fraction was set to zero. In Winter, the correlations with eBC and CO were higher ( $R^2 = 0.64$  and 0.57, respectively) than over the 16 whole campaign ( $R^2 = 0.39$  and 0.49, respectively), meaning that these compounds are 17 18 reasonably more attributed to domestic heating during the colder periods comparing to the 19 contribution of heating to BC and CO during the other seasons in this region.

20 The OOA profile is similar to a MS pattern as expected for a low volatile OOA (LVOOA) factor. The correlation coefficients (Pearson- $R^2$ ) with the OOA and LVOOA spectra given by 21 22 Ng et al. (2011a) are 0.94 and 0.97, respectively. Similar agreement was found compared to the LVOOA factor observed by Mensah (2011) and Crippa et al. (2014) ( $R^2 = 0.97$  and 0.94, 23 24 respectively) at the CESAR tower in May 2008. The OOA factor showed a night-time maximum and a day-time minimum. This is rather characteristic for a semi volatile OOA 25 (SVOOA) behavior, as well as the high agreement with NO<sub>3</sub> over the whole year ( $R^2 = 0.63$ ). 26 as described by Lanz et al. (2007). The correlation to the LVOOA associated compound SO<sub>4</sub> 27 is less significant ( $R^2 = 0.48$ ). OOA dominated the organic fraction in Winter and Spring 2013 28 (47% and 48% contribution, respectively; 33% over the whole year). During the defined 29 pollution events the increase of the OOA mass concentration (up to  $11.8 \ \mu g \ m^{-3}$ ), relative to 30 the campaign average  $(1.05 \ \mu g \ m^{-3})$ , is much stronger compared to the other PMF factors, 31 demonstrating that this factor is mainly responsible the high total OA mass during these 32 33 periods.

1 The so called HULIS factor showed the highest f44 values of all factors, increasing from 2 Summer 2012 to Spring 2013 from 0.23 to 0.35. The HULIS factor class was first observed 3 by Mensah (2011) in previous AMS campaigns at the CESAR tower in May 2008 and March 4 2009. The identification and characterization of this factor class was done in the 2008 5 campaign by the comparison with data from an ion-exchange chromatographic method for 6 direct quantification of humic-like substances (HULIS) and from water-soluble organic 7 carbon (WSOC) analyzed offline on a set of filters collected in parallel (Paglione et al., 2014). 8 The HULIS factor provided the highest contribution to the total organic mass over the entire 9 campaign (41%) and was the dominant factor in Summer and Autumn 2012. Since it had no distinct diurnal variation and preferential wind direction, it can be considered as regional 10 11 background aerosol at this rural site. Additionally, the variation between the seasonal average 12 concentrations of HULIS within the ACSM data set is less than  $\pm$  10%. Also the comparison 13 to the most important tracers (Table S4 in the supplement) showed no preferential attribution, either to a low-volatile ( $R^2 = 0.41$  with SO<sub>4</sub>), semi-volatile ( $R^2 = 0.39$  with NO<sub>3</sub>) or to primary 14 organic aerosol ( $R^2 = 0.47$  with eBC). These characteristics were also reported for the HULIS 15 16 factor found at CESAR in May 2008 (Crippa et al., 2014; Mensah, 2011). The correlation of 17 the sum of the secondary inorganic species NO<sub>3</sub> and SO<sub>4</sub> with the sum of the OOA and HULIS time series gives a coefficient of  $R^2 = 0.70$ , which is slightly higher than with OOA 18 only ( $R^2 = 0.67$ ). This might confirm the SOA character of the HULIS factor. The reason why 19 HULIS was the dominant factor in Summer and Autumn 2012, is due to the lower mass 20 21 concentrations of the other factors compared to the remaining periods. In turn, OA mass 22 increased during pollution events mainly due to the increase of the other SOA factor, namely 23 OOA. A number of studies are published with different theories on the formation and sources of atmospheric HULIS. It shows similarities to terrestrial humic acids (HA) and fulvic acids 24 25 (FA) due to their (poly-) acidic nature, but with lower molecular weight (< 1000 amu) than 26 HA or FA (Graber and Rudich, 2006; Kiss et al., 2003). On the other hand, solid-phase 27 extraction protocols for HULIS associate them with the more hydrophobic fraction of water-28 soluble aerosols (e.g. Varga et al. (2001)). Graber and Rudich (2006) suggested that the 29 formation of HULIS happens by oligomerization of lighter organic acids in liquid droplets in 30 the atmosphere within time scales of hours to days. This emphasizes, that HULIS is an 31 ubiquitous background factor at Cabauw, characterized as regionally well mixed aerosol of 32 long atmospheric lifetime.

- Note that the correlation (Pearson- $\mathbb{R}^2$ ) of the POA factors with eBC data is relatively low
- $(R^2 = 0.38 \text{ and } 0.39 \text{ with HOA and BBOA, respectively}), while in turn HULIS shows a higher$

correlation with eBC,  $R^2 = 0.47$ . Furthermore, correlation coefficients of the POA factors with 1 2 CO and NO<sub>x</sub> time series do not exceed values above 0.47. Low correlations of POA and those 3 tracers, e.g. HOA with CO or BC varying from far below 0.5 to slightly above 0.5 were also 4 seen in a number of previous studies at remote and rural sites (Canonaco et al., 2013; 5 Minguillón et al., 2015; Schmale et al., 2013; Setyan et al., 2012), including Cabauw (Crippa 6 et al., 2014; Mensah et al., 2011). There, low concentrations of POA factors and (e-)BC to 7 total aerosol mass were determined and resulted in higher uncertainties for the identification 8 of these factors, likewise in this study. Nevertheless, the correlations POA factors with CO 9 and  $NO_x$  are still by far higher than the correlation of these tracers with the SOA factors, which, together with the very high agreement of m/z 60 with BBOA, underlines the correct 10 identification of HOA and BBOA. The higher correlation of HULIS with eBC can be 11 12 understood in the light of a study by Andreae and Gelencser (2006) who pointed out that the fiber filters, as used by the MAAP, loaded with macroscopic amounts of humic like 13 substances samples look very dark brown or nearly black. A possible partly interference of 14 15 HULIS with BC measurements can therefore not be excluded and would explain why the 16 HULIS factor, in contrast to the POA factors, has a relatively high correlation coefficient with 17 BC data. The use of instruments like an aethalometer or a particle soot absorption photometer 18 as used in other studies would reduce these interferences (Andreae and Gelencser, 2006; Petzold et al., 2013), but were not available during the campaign. Though given the relatively 19 low contribution of eBC to total aerosol mass, a possible partial interference with HULIS is of 20

21 minor importance regarding total aerosol masses.

22 The source apportionment as described here used a data set which was subdivided into the 23 four seasons prior to PMF analysis. A single PMF analysis of the whole data set with 24 constrained HOA and BBOA profiles lead to solutions with a highly overestimated BBOA 25 factor in the summer, compared to the results when the seasons were explored individually 26 (see Fig. S17 and S18 in the supplement). Furthermore, the contributions of individual factors 27 change significantly in some periods, especially for the OOA factor during pollution events. 28 This is mainly driven by the different OOA-f44 and -f43 values. This behavior is independent 29 from applied a-values for BBOA may result from the uncertainty of this statistical tool. Since 30 there was no evidence of BBOA seen in the separate analysis of the summer period (e.g. low 31 fraction of m/z 60 in the organic mass spectrum and no correlation of the constrained BBOA 32 factor with POA tracers, no matter which a-value was used), the solutions derived from the 33 single PMF analysis was reasonably rejected.

#### 2 **3.4** Composition and sources of aerosols during pollution events

3 The investigation of the aerosol composition during the pollution events showed that the 4 majority was contributed from secondary aerosols. As an example, Fig. 6 displays average 5 abundances during two selected periods (17 to 21 August 2012 and 16 to 27 January 2013), 6 where the organic fraction is further distinguished into the PMF factors. Nitrate and organics 7 are still the dominant species, while the primary aerosol components (BC, HOA, BBOA) have 8 even less influence than averaged over the respective season. An exception is seen from 9 BBOA, which contribution is reasonably higher during the latter pollution event with very 10 low temperatures, when domestic heating sources most likely increased. But even at this time, 11 primary organics do not exceed 11% of total mass on average.

12 Since the major inorganic components NH<sub>4</sub>NO<sub>3</sub> and (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> are produced by atmospheric 13 processes, they are considered as secondary aerosols. Together with the high abundance of 14 SOA (OOA, HULIS), chemically aged aerosol components have the largest impact on total 15 particulate mass (up to 90%) at Cabauw. The largest effect on a potential reduction of 16 particulate mass can therefore be achieved by reducing NO<sub>x</sub> and/or NH<sub>3</sub> emissions, the 17 anthropogenic precursors of particulate NH<sub>4</sub> and NO<sub>3</sub>. Indeed, on 16 January 2013 highest  $NO_x$  values were observed compared to the whole campaign (daily mean: 96 µg m<sup>-3</sup>; seasonal 18 average:  $29 \mu g m^{-3}$ ). Also the highest NH<sub>3</sub> values were obtained between 17 and 19 August 19 2012 (daily means: 26-28 µg m<sup>-3</sup>; seasonal average: 10 µg m<sup>-3</sup>). These ammonia values are 20 21 higher than previously reported for Cabauw, e.g. by Derksen et al. (2011) in May 2008 (approximately 20  $\mu$ g m<sup>-3</sup>). Lolkema et al. (2015) gave an annual average of 5.3  $\mu$ g m<sup>-3</sup> for 22 23 2013 in this region.

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1

#### 25 4 Conclusions

This work provides chemical composition data of atmospheric aerosols acquired during one year at the CESAR tower in Cabauw, the Netherlands, which is a representative rural site for North Western Europe. The concentration of submicron particles from combined ACSM and MAAP data showed 12 exceedances from the WHO  $PM_{2.5}$  daily mean limit. The respective campaign average of 9.5 µg m<sup>-3</sup> approached the WHO  $PM_{2.5}$  annual mean limit. Taking MARGA  $PM_{2.5}$  into account the number and proportions of these exceedances are even higher, emphasizing the importance of these high ACSM  $PM_1$  results shown here which

1 represent lower limits. As carbonaceous compounds are estimated to be five times more toxic 2 than inorganic particles (Lelieveld et al., 2015) MARGA data alone would not give 3 sufficiently possible implications regarding adverse health effects. While few people live in 4 the direct vicinity of the measurement site, the high aerosol concentration measured at the site 5 can be considered to represent the regional background. This regional background is adding to 6 local aerosol contributions in high populated urban sites (Pandis et al., 2013), namely the 4 7 largest cities of the Netherlands which have a distance of 40 km or less from the CESAR 8 tower.

9 Particulate mass loadings found at this rural site are dominated by secondary aerosol 10 formation through atmospheric gas phase chemistry and particle phase aging. It is shown that 11 particulate ammonium nitrate is the major aerosol component (39% on average) and 12 represents the more hygroscopic aerosol fraction Since the human respiratory system is characterized by high humidity more hygroscopic aerosols have a higher deposition tendency 13 14 within the human lung than less water soluble particle compounds (Asgharian, 2004; Broday 15 and Georgopoulos, 2001). With special regard to adverse health effects this is very crucial 16 because Asgharian (2004) also found that especially hygroscopic submicron particles can 17 deposit in the entire lung. The high ammonium nitrate fraction also implies that inorganic SA 18 reduction in Cabauw can be most efficiently achieved through the reduction of gaseous 19 ammonia emissions in the area.

20 As indicated by model results for the South Western United States from Zhang and Wu (2013), the reduction of NH<sub>3</sub> emissions, in conjunction with already implemented SO<sub>2</sub> and 21 NO<sub>x</sub> emission reductions, can further reduce PM<sub>2.5</sub> than reducing SO<sub>2</sub> and NO<sub>x</sub> emissions 22 23 alone, particularly for regions with high emissions of NH<sub>3</sub> from agricultural sources. The 24 latter is clearly the case for Cabauw with its high number of animal husbandry and the use of 25 nitrogen containing fertilizers around the CESAR tower. Banzhaf et al. (2013) derived similar 26 conclusions for PM<sub>10</sub> using different emission scenarios within domains covering Germany 27 and Europe. Applying a 3d chemical transport model over Europe Megaritis et al. (2014) 28 found that a reduction of NH<sub>3</sub> emissions by 50% would have a much higher effect on 29 reducing PM<sub>2.5</sub> than decreasing NO<sub>x</sub> emissions by 50%. The latter scenario would even result 30 in negative side effects such as higher tropospheric ozone concentrations (especially in 31 summertime 4% over Western Europe and up to 40% in major urban areas) and higher 32 amounts of particulate sulfate and OA by 8% and 12%, respectively, in winter.

1 The local mitigation of organic aerosol mass (29% contribution on average) is more 2 challenging, as secondary organic aerosols are highly abundant at the Cabauw site (74% and 3 22% of OA and total PM<sub>1</sub> on average, respectively). The presented data set shows a large and ubiquitous HULIS fraction (37%) which based on diurnal patterns and a lack of correlation 4 5 with wind direction can be considered as long-range background aerosol formed from 6 atmospheric aging processes. In turn, primary organic aerosols emitted mainly from traffic 7 and biomass burning (12% and 13% of OA on average) have only minor importance. For a 8 more detailed identification of the SOA sources compound specific measurements of OA as 9 well as routine VOC monitoring are needed.

Finally, the presented data set and interpretations provide an important contribution to the EU-FP7-ACTRIS project which supported building of new knowledge as well as policy issues on climate change, air quality, and long-range transport of pollutants on a European scale.

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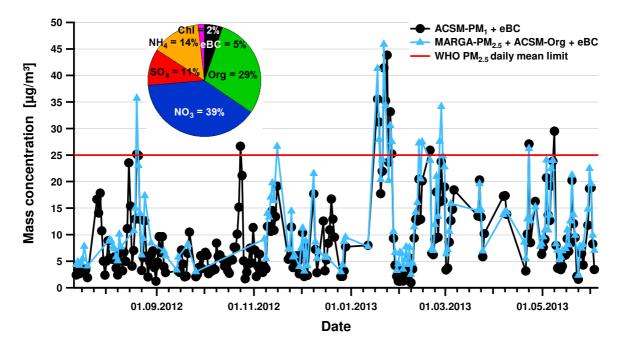
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1	Table 1: Results of the ACSM to MARGA and ACSM to AMS comparisons for individual
2	species and the respective total masses. Note that for comparison with the MARGA total mass
3	concentrations, only the ACSM inorganic species were considered.

		Slope	intercept [µg m <sup>-3</sup> ]	$R^2$
Chl	MARGA	$0.49\pm0.02$	$0.11 \pm 0.01$	0.24
CIII	AMS	$0.67 \pm 0.01$	$-0.01 \pm 0.01$	0.31
NILI	MARGA	$0.88\pm0.01$	$0.07 \pm 0.01$	0.93
$NH_4$	AMS	$0.82 \pm 0.03$	$0.01 \pm 0.02$	0.71
50	MARGA	$0.63 \pm 0.01$	$-0.08 \pm 0.01$	0.86
$SO_4$	AMS	$0.49\pm0.02$	$-0.18 \pm 0.02$	0.76
NO	MARGA	$1.23 \pm 0.01$	$-0.37 \pm 0.03$	0.96
NO <sub>3</sub>	AMS	$1.17\pm0.02$	$-0.04 \pm 0.02$	0.89
Organics	AMS	$1.03 \pm 0.04$	$0.07\pm0.04$	0.73
Total	MARGA	$1.05 \pm 0.01$	$-0.70 \pm 0.06$	0.93
Total	AMS	$0.90 \pm 0.02$	$-0.02 \pm 0.07$	0.84



1

Figure 1: Time series of the daily mean. The black line represents the sum of eBC and all ACSM species, the blue line the sum of eBC, ACSM organics and all MARGA-PM<sub>2.5</sub> species. The pie chart shows the fractional abundances of individual eBC and ACSM species averaged over the whole campaign. For the determination of the daily means missing eBC data was filled with zero values, thus deriving lower concentration limits.

7

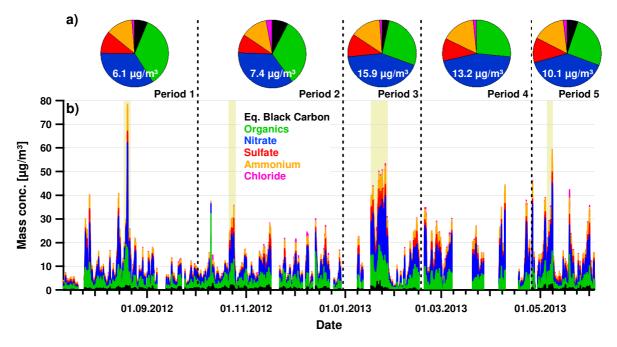
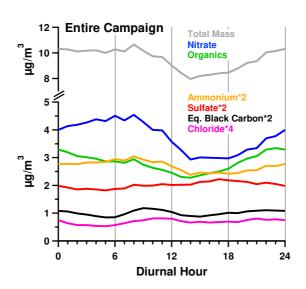
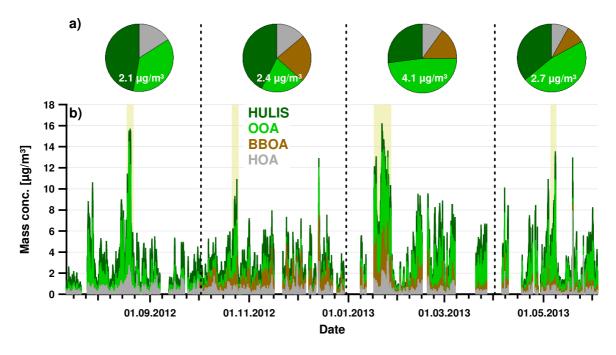


Figure 2: Overview of the ACSM campaign: a) Pie charts of average fractional abundances of
aerosol species, separated in five periods. The respective average total mass concentration is
written inside the pie chart. b) Stacked time series of mass concentrations of aerosol species.
Pollution events are indicated by shaded areas.



8 Figure 3: Diurnal variation (local time, LT) of individual species and the total mass, averaged

9 over the whole ACSM campaign



2 Figure 4: Overview of ACSM PMF factors: a) Seasonal pie charts of the factor contributions.

3 The respective average total organic concentration is written inside the pie chart. b) Stacked

4 time series of mass concentrations. Shaded areas represent highly polluted events.

5

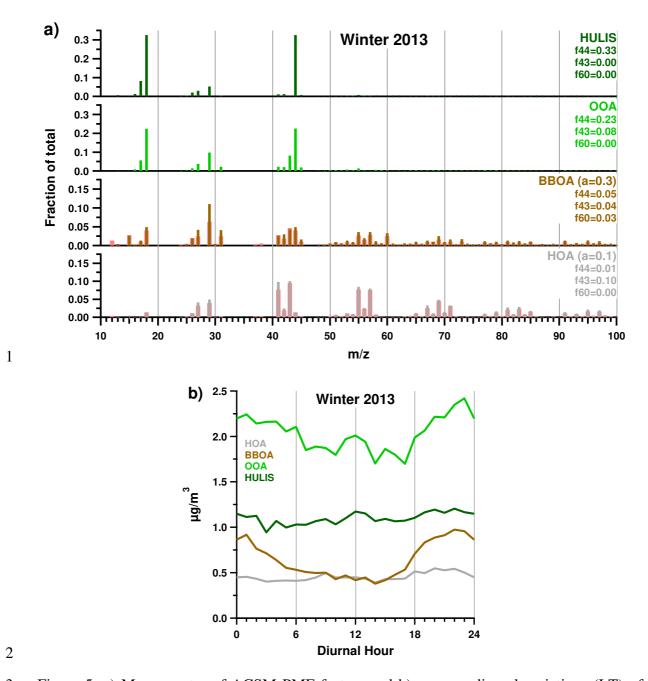
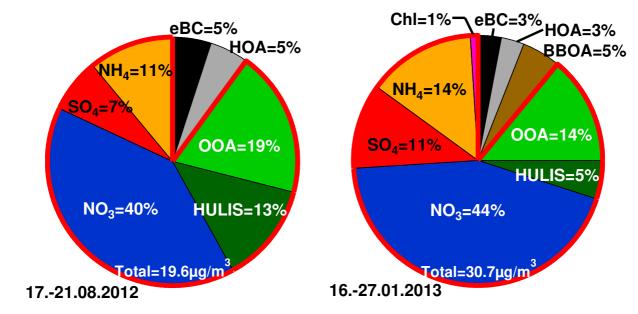


Figure 5: a) Mass spectra of ACSM PMF factors and b) average diurnal variations (LT) of
ACSM factors found in Winter 2013. For the constrained profiles HOA and BBOA, the
applied a-value is written in brackets. Corresponding reference spectra are shown by red bars.
Note that the y-axis scales of the POA profiles are zoomed by a factor of 2 comparing to SOA
profiles.





- 2 Figure 6: Average contributions of individual aerosol species and PMF factors during selected
- 3 pollution events. Surrounded red regions represent the secondary aerosol fraction.