- 1 Influences of emission sources and meteorology on aerosol
- 2 chemistry in a polluted urban environment: Results from
- 3 DISCOVER-AQ California

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#### Abstract

- 2 The San Joaquin Valley (SJV) in California experiences persistent air quality problems
- 3 associated with elevated particulate matter (PM) concentrations due to anthropogenic emissions,
- 4 topography, and meteorological conditions. Thus it is important to unravel the various sources
- 5 and processes that affect the physico-chemical properties of PM in order to better inform
- 6 pollution abatement strategies and improve parameterizations in air quality models.
- 7 During January and February 2013, a ground supersite was installed at the Fresno-Garland
- 8 California Air Resources Board (CARB) monitoring station, where comprehensive, real-time
- 9 measurements of PM and trace gases were performed using instruments including an Aerodyne
- High Resolution Time-of-Flight Aerosol Mass Spectrometer (HR-ToF-AMS) and an Ionicon
- Proton Transfer Reaction Time-of-Flight Mass Spectrometer (PTR-TOF-MS) as part of the
- 12 NASA Deriving Information on Surface Conditions from Column and Vertically Resolved
- Observations Relevant to Air Quality (DISCOVER-AQ) campaign. The average submicron
- 14 aerosol (PM<sub>1</sub>) concentration was 31.0 µg m<sup>-3</sup> and the total mass was dominated by organic
- aerosols (OA, 55%), followed by ammonium nitrate (35%). High PM pollution events were
- 16 commonly associated with elevated OA concentrations, mostly from primary sources. Organic
- aerosols had average atomic oxygen-to-carbon (O/C), hydrogen-to-carbon (H/C), and nitrogen-
- to-carbon (N/C) ratios of 0.42, 1.70, and 0.017, respectively. Six distinct sources of organic
- 19 aerosol were identified from positive matrix factorization (PMF) analysis of the AMS data:
- 20 hydrocarbon-like OA (HOA; 9% of total OA; O/C = 0.09) associated with local traffic, cooking
- OA (COA; 18% of total OA; O/C = 0.19) associated with food cooking activities, two biomass
- burning OAs (BBOA1; 13% of total OA; O/C = 0.33 and BBOA2; 20% of total OA; O/C = 0.60)
- 23 most likely associated with residential space heating from wood combustion, and semi-volatile
- 24 oxygenated OA (SV-OOA; 16% of total OA; O/C = 0.63) and low volatility oxygenated OA
- 25 (LV-OOA; 24% of total OA; O/C = 0.90) formed via chemical reactions in the atmosphere.
- 26 Large differences in aerosol chemistry at Fresno were observed between the current campaign
- 27 (winter 2013) and a previous wintertime campaign (winter 2010), most notably that PM<sub>1</sub>
- concentrations that were nearly three times higher in 2013 than in 2010. These variations were
- 29 attributed to differences in the meteorological conditions, which influenced primary emissions
- and secondary aerosol formation. In particular, COA and BBOA concentrations were greater in

2013 than 2010, where colder temperatures in 2013 likely resulted in increased biomass burning activities. The influence from a nighttime formed residual layer that mixed down in the morning was found to be much more intense in 2013 than 2010, leading to sharp increases in ground-level concentrations of secondary aerosol species including nitrate, sulfate, and OOA, in the morning between 08:00 to 12:00 PST. This is an indication that nighttime chemical reactions may have played a more important role in 2013. As solar radiation was stronger in 2013 the higher nitrate and OOA concentrations in 2013 could also be partly due to greater photochemical production of secondary aerosol species. The greater solar radiation and larger range in temperature in 2013 also likely led to both SV-OOA and LV-OOA being observed in 2013 whereas only a single OOA factor was identified in 2010.

### 1 Introduction

Ambient aerosols have long been recognized as having adverse effects on human health (Pope and Dockery, 2006) although it is unclear which aerosol property, or properties, are responsible for such effects (Harrison and Yin, 2000). Atmospheric particles can also significantly impact the Earth's climate (Pöschl, 2005) and represent one of largest sources of uncertainty in predicting future climate change (IPCC, 2013), primarily due to the complex nature of the particles. This is in part due to many different components contributing to particulate matter (PM), which have a range of chemical compositions and originate from a large range of sources and processes (Seinfeld and Pandis, 2006). This is especially true in the case of organic aerosols (OA), which often represent the largest component of the total fine PM mass, contributing up to 90% depending on location (Kanakidou et al., 2005; Zhang et al., 2007a). However, the sources, atmospheric aging, properties, and impacts of OA are not well understood despite being the focus of numerous studies (e.g. Gelencsér et al., 2007; Jimenez et al., 2009; Ng et al., 2010; Ervens et al., 2011). 

In addition to effects on human health and climate, aerosols are also known to influence air quality, and elevated PM concentrations are common issues in urban areas due to anthropogenic emissions and meteorological conditions (Watson, 2002). The importance of different emissions and conditions varies with season; increased primary emissions coupled with stagnant conditions in winter result in pollution events, whereas increased photochemical activity during the summer

leads to photochemical haze or smog (Goldstein et al., 2009; Martin et al., 2011). The San 1 2 Joaquin Valley (SJV) in California experiences persistent air quality problems and remains one 3 of the most polluted regions in the US despite many years of regulatory control efforts (e.g. 4 Chow et al., 2006). Located in central California with mountainous topography, the geographic 5 features of the SJV trap pollutants and subsequently lead to deterioration of air quality, 6 particularly during winter. Consequently, the SJV often exceeds the National Ambient Air 7 Quality Standards (NAAQS) for PM<sub>2.5</sub> and PM<sub>10</sub> (particles with aerodynamic diameters less than 8 2.5 µm and 10 µm, respectively) (Gorin et al., 2006; Lurmann et al., 2006; Ngo et al., 2010). In 9 addition, residents of the SJV suffer the highest rates of cardio-respiratory diseases in the country 10 (Hall et al., 2008; Association, 2015). 11

Previous studies have shown that the composition of ambient aerosols in Fresno, one of the most populated cities in the SJV, is complex, with organic species representing an important component of PM, often contributing up to two-thirds of the total mass(Chu et al., 2004; Chow et al., 2006; Turkiewicz et al., 2006; Ge et al., 2012a). Intense urban and agricultural emissions have been found to contribute to both local and regional PM pollution problems in Fresno (Chow et al., 1993; Watson et al., 2000; Sorooshian et al., 2008; Ge et al., 2012a). PM pollution is particularly severe in winter due to a combination of factors including elevated emissions from residential wood combustion for heating and lower boundary layer height and stagnant conditions that favor the accumulation of PM and secondary aerosol precursors (Brown et al., 2006). In addition, the typical cold and high humidity weather in the winter promotes gas-toparticle partitioning of semi-volatile species. Regional fog events that enhance aqueous phase formation of sulfate and secondary organic aerosol (SOA) also frequently occur in the area (Collett et al., 1999; Herckes et al., 2007; Ge et al., 2012a; Ge et al., 2012b). The interactions between these factors affect the composition, size, hygroscopicity, and optical properties of wintertime aerosols within the SJV in a complicated and dynamic manner (Ge et al., 2012a; Ge et al., 2012b). Unraveling the various sources and processes affecting the physico-chemical properties of aerosols as well as how these change both temporally and spatially is important to better inform and further develop pollution abatement strategies and to improve parameterizations in air quality models. In particular, detailed information obtained from in situ measurements can facilitate fundamental understanding of processes that influence formation,

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1 properties, and transport of atmospheric aerosols and can lead to improvements in our ability to

2 predict how changes in atmospheric composition influence air quality.

3 As part of the NASA DISCOVER-AQ (Deriving Information on Surface conditions from 4 COlumn and VERtically resolved observations relevant to Air Quality) campaign many aerosol, 5 gas-phase, and meteorological measurements were made during winter 2013 at the ground 6 supersite in Fresno at the Fresno-Garland California Air Resources Board (CARB) monitoring 7 station. The aim of this study was to obtain a comprehensive and detailed understanding of the 8 chemical, microphysical, and optical properties of wintertime aerosols within the SJV and the 9 processes that drive the observed temporal and diurnal variations and vertical distribution of 10 particles over this region. Here we report the results from an Aerodyne High Resolution Time-11 of-Flight Aerosol Mass Spectrometer (HR-ToF-AMS) which was deployed for the 4-week 12 intensive measurement campaign to characterize size-resolved chemical composition of non-13 refractory submicron particulates (NR-PM<sub>1</sub>) with high time resolution (Canagaratna et al., 2007). 14 In addition to the high-resolution mass spectra and elemental ratios determined by the HR-ToF-15 AMS (Canagaratna et al., 2015), factor analysis of aerosol mass spectra can provide insight into 16 the sources, evolution, and temporal trends of OA (Zhang et al., 2011). In this paper we will 17 discuss the chemical composition of the aerosols at Fresno, particularly the results from analysis 18 of the OA fraction using Positive Matrix Factorization (PMF, Ulbrich et al., 2009) including the 19 detailed chemical composition of the resolved components and their temporal variations to 20 investigate emission sources. Volatile organic compound (VOC) measurements from the Proton 21 Transfer Reaction Time-of-Flight Mass Spectrometer (PTR-TOF-MS) are used to help interpret 22 AMS data and to support the aerosol sources identified from factor analysis. In addition we will 23 also compare results with those obtained from a similar study performed in 2010 to gain insight 24 into the role of meteorology in influencing aerosol chemical composition.

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## 2 Experimental methods

# 2.1 DISCOVER-AQ project

- 28 DISCOVER-AQ is a coordinated effort mission combining surface and aircraft based
- 29 measurements to characterize and understand how aerosols and trace gases evolve throughout the
- day and across urban areas. The overarching aim of the DISCOVER-AQ project (http://discover-

1 aq.larc.nasa.gov/) is to relate concurrent observations of column abundances to surface 2 concentrations of key gaseous pollutants and aerosols to improve the interpretation of satellite 3 observations and diagnoses of near-surface air quality (http://discover-4 aq.larc.nasa.gov/pdf/DISCOVER-AQ science.pdf). One of the objectives is to characterize the 5 differences in diurnal variation of surface and column observations for key trace gases and 6 aerosols. To achieve this goal, gas and particle-phase measurements were made throughout the 7 day from two aircraft and a network of US ground sites that experience diverse meteorological 8 and surface conditions. The factors that contribute to local air quality problems (e.g., emissions, 9 transport, and chemistry) also vary between the sites. Of the two aircraft, the NASA P-3B made daytime measurements close to the ground, at constant altitudes of ~2500 m or ~400 m, or flew 10 11 in tight spirals to measure vertical profiles throughout the SJV. The vertical profile 12 measurements were made over seven select ground locations, including the Fresno supersite 13 where detailed ground measurements were made to allow for quantitative connections to be 14 made between the surface aerosol concentrations and properties and those observed aloft (e.g. 15 Pusede et al., 2016). Data from the DISCOVER-AQ project is available to the public at: 16 http://www-air.larc.nasa.gov/missions/discover-aq/discover-aq.html.Fresno supersite and instrumentation 17 18 Situated approximately 320 km north of Los Angeles, 260 km east of the Pacific Ocean, and 275 19 km south of Sacramento, Fresno is an ideal location to study the influence of different sources on 20 PM. Therefore, there was a particular focus on aerosol properties and processes in the winter 21 2013 DISCOVER-AQ campaign which took place from January 13 to February 10. During this 22 time, the weather was cold (average temperature of 7.9 °C) and relatively dry (average RH of 69 23 %) with frequent sunshine. Comprehensive, real-time measurements of particle composition, size 24 distribution, optical and radiative properties, hygroscopicity, and volatility along with a broad 25 suite of *in-situ* gas-phase and aerosol column measurements were made at the ground supersite at 26 the Fresno-Garland monitoring station of the California Air Resource Board (CARB) (36.7854°, 27 -119.7732°, 97 m a.s.l., Fig. 1a). A wide range of meteorological and air-quality data were also 28 collected routinely by CARB from this site. The Yosemite FWY-41 highway is located 29 approximately 1 km to the west of the sampling site, residential areas surround the site to the 30 north and a commercial area is to the south (Fig. 1b).

1 Highly time-resolved in situ PM measurements at the Fresno supersite provide the data necessary 2 to elucidate aerosol sources and processes and to interpret the comprehensive airborne datasets 3 and remote sensing observations. The setup of the real-time particle instruments deployed at the 4 Fresno supersite is shown in Fig. 1c. NR-PM<sub>1</sub> chemical composition and speciated size 5 distributions were measured by an Aerodyne HR-ToF-AMS at a time resolution of 2.5 min and 6 measurements of PM<sub>2.5</sub> water-soluble composition of both inorganic and organic ions, including 7 sulfate, nitrate, nitrite, ammonium, sodium, potassium, formate, and glycolate, were obtained 8 using the Particle Into Liquid Sampler (PILS; Metrohm) coupled with two Ion Chromatography 9 systems (IC) (Parworth et al., In preparation). Black carbon mass concentration and size 10 distribution (between ~100-400 nm volume equivalent diameter) were measured with the single 11 particle soot photometer (SP2; DMT) (Schwarz et al., 2006), which measures the per-particle mass of refractory BC in individual particles by illuminating particles with high intensity 1064 12 13 nm radiation. Further information on the operation and analysis of the SP2 can be found in 14 Zhang et al. (Submitted). For VOC analysis an Ionicon high resolution PTR-TOF-MS 8000 15 (Ionicon Analytik, Austria) was used (Graus et al., 2010; Müller et al., 2013). Particle size 16 distributions were measured with a Scanning Mobility Particle Sizer (SMPS) over the mobility diameter range 8-858 nm (Setyan et al., 2012). The hourly ambient temperature and relative 17 18 humidity (RH) data as well as trace gas (e.g., CO and NO<sub>2</sub>) concentrations were acquired from 19 the CARB website (http://www.arb.ca.gov/html/ds.htm). Solar radiation measurements were obtained from the nearby Clovis site (36.8193°, -119.7164°, 113 m a.s.l.) maintained by the San 20 21 Joaquin Air Pollution Control District. The solar radiation sensor is a Met One instrument, 22 Model 095, with a broadband spectral response between 285 and 2800 nm. The data reported in 23 this paper are in local time, which is Pacific Standard Time (PST) and 8 h earlier than 24 Coordinated Universal Time (UTC). 25 The focus of this study is on the measurements from the HR-ToF-AMS (DeCarlo et al., 2006), 26 which was operated in the standard configuration and sampled mass spectra (MS) and particle 27 time of flight (PToF) data downstream of a PM<sub>2.5</sub> cyclone (URG) (Fig. 1c). Further, the HR-ToF-AMS was operated under 'V' and 'W' ion optical modes, where higher sensitivity but lower 28 29 mass resolution is achieved in 'V' mode, and lower sensitivity but higher mass resolution is 30 achieved with 'W' mode. Ionization efficiency (IE) and particle sizing calibrations were

- 1 performed following standard protocols (Canagaratna et al., 2007) on January 13, January 19,
- 2 and February 8.

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## 2.2 Data analysis

4 2.2.1 Basic HR-ToF-AMS data analysis and intercomparisons with collocated measurements

5 HR-ToF-AMS data were processed and analyzed within Igor Pro (Wavemetrics) using the 6 standard ToF-AMS analysis toolkit software package, SQUIRREL (SeQUential Igor data 7 RetRiEval) v1.56D, and the PIKA module v1.15D (available for download at 8 http://cires.colorado.edu/jimenez-group/ToFAMSResources/ToFSoftware/index.html). 9 standard fragmentation table described by Allan et al. (2004) was used with some small 10 modifications to process the raw mass spectra. The modifications were based on data from three 11 filtered air periods during the campaign, which enable the contribution of background gas-phase 12 signal to be estimated and removed from the particle-phase signals. Adjustments made included those to the measured  $CO_2^+$  (m/z = 44) signal in order to remove contributions from gas phase 13  $CO_2$  as well as the  $^{15}N^+$  to  $^{14}N^+$  ratio for air signals at m/z = 29. For improved oxygen-to-carbon 14 (O/C) estimations, there is a need to perform a time-dependent CO<sub>2</sub><sup>+</sup> subtraction (Collier and 15 16 Zhang, 2013), however, due to high organic aerosol loading during this study, gas-phase contribution represented a minor fraction of the total  $CO_2^+$  signal and using a constant 17 background  $\mathrm{CO_2}^+$  subtraction had little influence on the determination of  $\mathrm{org}\text{-}\mathrm{CO_2}^+$  signals. 18 Relative ionization efficiencies (RIE) of 1.05, 1.256, and 3.65 were used for nitrate, sulfate, and 19 20 ammonium, respectively, and were determined based on calibrations using pure NH<sub>4</sub>NO<sub>3</sub> and 21 (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> particles. Although applying a collection efficiency (CE) of 0.5 (default) to whole 22 datasets has been deemed valid for measurements from most ambient environments, several 23 factors, including the relative humidity of the sampling line, ammonium nitrate content, and 24 acidity/neutralization of the sulfate content, have been found to influence the particle phase in 25 the AMS. Consequently, a time- and composition-dependent CE was applied to the data based on 26 the algorithm by Middlebrook et al. (2012). Although nitrate was often observed to be an important component of PM<sub>1</sub> during this study, the campaign average ( $\pm 1\sigma$ ) CE was  $0.5 \pm 0.04$ . 27 28 Quantification of NR-PM<sub>1</sub> species was validated through comparisons between the total PM<sub>1</sub> 29 mass concentration (NR-PM<sub>1</sub> plus BC) and the apparent particle volume concentration from the 30 SMPS (Fig. S1 in the Supplement). The AMS total mass-based size distribution compares well

1 with the volume size distribution of the SMPS throughout the day (Fig. S2). Extensive 2 comparisons were also made between the AMS and PILS-IC measurements, where strong correlations were found for nitrate (NO<sub>3</sub><sup>-</sup>), sulfate (SO<sub>4</sub><sup>2-</sup>), ammonium (NH<sub>4</sub><sup>+</sup>), and chloride (Cl<sup>-</sup>) 3 (Pearson's r of 0.96, 0.94, 0.97, and 0.90, respectively) with orthogonal distance regression fit 4 5 slopes of 1.26, 1.27, 1.34, and 1.25, respectively (Parworth et al., In preparation). The difference 6 between PILS-IC and AMS measurements is likely because the PILS-IC measures PM<sub>2.5</sub> and the AMS measures NR-PM<sub>1</sub>. Elemental ratios between oxygen (O), carbon (C), hydrogen (H), and 7 8 nitrogen (N) as well as the organic mass-to-carbon ratio (OM/OC) of OA were determined from 9 analysis of the W mode high resolution mass spectra (HRMS) data following the method 10 reported recently in Canagaratna et al. (2015). This method is an update to the Aiken-Ambient 11 method (Aiken et al., 2008) and is referred to as the Improved-Ambient method. The elemental 12 ratios calculated using both the Aiken-Ambient and Improved-Ambient methods are detailed in 13 Table S1 in the Supplement. The elemental ratios calculated using the Aiken-Ambient method 14 are compared to those calculated using the Improved-Ambient method (Fig. S3) and show high correlation; the slope and r<sup>2</sup> for O/C are 0.76 and 0.995, for H/C are 0.91 and 0.980, and for 15 16 OM/OC are 0.92 and 0.988. These comparisons are consistent with the average differences for 17 the ratios between the two methods reported in Canagaratna et al. (2015), with increases of 27, 11, and 9 % for O/C, H/C, and OM/OC ratios, respectively. Unless otherwise indicated, the O/C, 18 H/C, and OM/OC ratios stated in this paper from other studies have been calculated using the 19 updated elemental analysis method and are detailed in the Supplement of Canagaratna et al. 20 21 (2015) (Table S1 and S2). This updated method reproduces ratios that are within 28% for O/C 22 and 13% for H/C of the known molecular values of individual oxidized standards. The precision 23 of these measurements, however, is much higher, with good mass spectral precision between 24 different AMS instruments. Further, AMS mass spectra of structurally stable compounds are highly comparable to those in the NIST database. 25

## 26 2.2.2 Positive Matrix Factorization (PMF) of HR-ToF-AMS Organic Spectra

- 27 PMF analysis was performed using the PMF2 algorithm in robust mode (Paatero and Tapper,
- 28 1994) and conducted using the PMF Evaluation Toolkit (PET) v2.05 (Ulbrich et al., 2009)
- 29 downloaded from <a href="http://cires1.colorado.edu/jimenez-group/wiki/index.php/PMF-">http://cires1.colorado.edu/jimenez-group/wiki/index.php/PMF-</a>
- 30 AMS Analysis Guide#PMF Evaluation Tool Software. The data and error matrices were

prepared according to the protocol as described by Ulbrich et al. (2009) and outlined in Table 1

of Zhang et al. (2011). In brief, a minimum error value was added to the error matrix and ions

were assessed and treated according to their signal-to-noise ratio (SNR); ions with a SNR less

than 0.2 were removed and those with a SNR between 0.2 and 2 were downweighted by

increasing their errors by a factor of 2. Further, ions related to m/z 44 (CO<sub>2</sub><sup>+</sup>) were also

6 downweighted so as to not overestimate the contribution of  $CO_2^+$ . Finally, isotopes were not

included in the matrices as their signals are scaled to their parent ions rather than being measured

8 directly. The resulting matrix therefore consisted of ions between m/z's 12 and 120.

followed to assess the quality and suitability of a solution set.

9 PMF was applied to the data and the number of factors (*p*) in the solution was explored from 1 10 up to 9. However, as the number of factors in the real dataset is unknown and the PMF algorithm 11 is able to provide a number of mathematically sound solutions which could be deemed physically 12 meaningful, several criteria are used to carefully evaluate and select the appropriate number of 13 factors from the model. As this is one of the most critical aspects of PMF analysis, the 14 recommendations outlined in Zhang et al. (2011), including investigation of the key diagnostic 15 plots, mass spectral signatures, diurnal profiles, and correlations with external tracers, were

Within the PMF analysis toolkit, there are several diagnostics that can be used to aid the choosing of the best modeled number of factors including Q and  $f_{Peak}$ . Q is the quality of fit parameter and the ratio of the expected Q ( $Q/Q_{exp}$ ) indicates how well the model fit the data; as the number of factors in a solution increase, the degrees of freedom increase and  $Q/Q_{exp}$  decreases close to 1 as more data are able to be fit. The  $f_{Peak}$  parameter is used to demonstrate the variation of the solutions and can indicate the rotational stability of the solution sets. Negative  $f_{Peak}$  values result in variations in the time series and positive values result in variations in the mass spectra of the solutions. However, the solution set is most likely to be physically meaningful when  $f_{Peak}$  is zero (Paatero et al., 2002). In this study, the rotational stability of each of the solution sets were explored through the  $f_{Peak}$  parameter from -1 to 1, with an increment of 0.1. The 6-factor solution with  $f_{Peak}$  0 ( $Q/Q_{exp} = 2.85$ ) was chosen for further analyses as the solution was deemed robust and representative as it satisfied the above criteria including good separation of the temporal and mass spectral variations of the six factors. A summary of the key diagnostics is presented in Fig. S4 and a comparison of the factor mass spectra with reference mass spectra, including those determined from a campaign in Fresno in winter 2010, are listed in

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- 1 Table S2. The 6-factor solution was found to be very stable as the mass fraction of each of the
- factors remained relatively constant between  $f_{\text{Peaks}}$  -0.4 and +1, inclusive (Fig. S4c). Figure S5
- 3 shows the mass spectra and time series of the 5- and 7-factor solutions. Factors 5 and 2 in the 5-
- 4 factor solution set could be identified as hydrocarbon-like OA (HOA) and cooking OA (COA),
- 5 respectively, but are more oxidized than similar factors from previous studies, possibly due to
- 6 mixing of factors with an oxygenated OA (OOA) factor, thus implying the factors could be
- 7 further separated. In contrast, the temporal variations of several factors are similar in the 7-factor
- 8 solution set, indicative of factor splitting. In addition, three potential oxygenated OA (OOA)
- 9 factors are identified in the 7-factor solution (Factors 1, 2, and 4), but the mass spectrum of
- 10 Factor 2 appears to be a combination of the other two factors. Further, Factor 4 is predominantly
- 11 composed of m/z 43, which is unlikely to be physically real. Consequently, the 6-factor solution,
- including two biomass burning OA (BBOA) and two OOA factors, was deemed the best solution
- to represent the organic aerosols in this study.
- 14 2.2.3 Estimation of the OA factor size distributions
- 15 Size distributions can provide some insight into the nature of the aerosol such as whether they
- are primary or secondary in nature and if they have likely undergone aqueous-phase processing.
- 17 The size distributions of each of the OA factors from PMF analysis were therefore determined
- using a multivariate linear regression algorithm defined as:
- 19  $ms_{t,i} = \sum_{p=1}^{n} ms_p \times c_{p,t,i}$  (Eq. 1)
- where  $ms_{t,i}$  is the measured mass spectrum of organics in unit mass resolution (UMR) for time
- period t and size bin i,  $ms_p$  is the UMR mass spectrum of the factor p from PMF analysis of the
- OA HRMS, and  $c_{p,t,i}$  is the corresponding fitting parameter. This algorithm decomposes the mass
- 23 spectra of OA corresponding to individual size-bins into the linear combination of the unit mass
- resolution mass spectra of the *n* number of factors determined from PMF analysis of the HRMS,
- assuming the spectral profile of each factor in different size bins is constant.
- In this study, all mass spectra consist of m/z's 12 to 120 amu. The measured organic mass
- spectral matrix is size-resolved for the whole measurement period over the size range 40-1200
- 28 nm and to improve the signal to noise ratio the matrix was averaged into 23 size bins. This input
- data vector,  $ms_{t,i}$  was also normalized to the average OA mass spectrum from high resolution

- analysis prior to being linearly decomposed. For this analysis only 4 main OA factors were used
- 2 (HOA, COA, BBOA, and OOA), as a more robust result was obtained compared to when all six
- 3 individual factors were used. The mass spectra of the two BBOA factors were summed
- 4 according to the contribution of each of the two factors to total BBOA mass and the time series
- 5 were summed prior to linear decomposition. The semi-volatile OOA (SV-OOA) and low
- 6 volatility OOA (LV-OOA) factors were treated in the same way to produce a total average OOA
- 7 mass spectrum and time series. A summary of the key diagnostics from the fitting is presented in
- 8 Fig. S6, where it can be seen that for each size bin there is good agreement between the
- 9 reconstructed OA and the measured OA (r = 0.9993, Fig. S6a). Furthermore, the mass-weighted
- 10 size distributions of the four OA factors, which are normalized to their corresponding mass
- 11 concentrations, compare well with that of the total organics (Fig. S6d).
- 12 2.2.4 Calculation of the ammonium nitrate gas-to-particle partitioning equilibrium constant
- 13 The oxidation of nitrogen dioxide (NO<sub>2</sub>) in the atmosphere forms nitric acid (HNO<sub>3</sub>), which
- tends to remain in the gas phase when there is limited availability of ammonia (NH<sub>3</sub>). However,
- when sufficient NH<sub>3</sub> is available (e.g. Lurmann et al., 2006), as is the case in the SJV, HNO<sub>3</sub>
- reacts with NH<sub>3</sub> to form particulate NH<sub>4</sub>NO<sub>3</sub> (Eq. 2).

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$$NH_3(g) + HNO_3(g) \leftrightarrow NH_4NO_3(s)$$
 (Eq. 2)

- 18 The partitioning between the gas and particle phases depends strongly on the ambient
- temperature and the equilibrium constant  $K_{AN}$  of Eq. 2 can be calculated as:

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$$K_{AN} = K_{AN}(298)exp\left\{a\left(\frac{298}{T} - 1\right) + b\left[1 + \ln\left(\frac{298}{T}\right) - \frac{298}{T}\right]\right\}$$
 (Eq. 3)

- 21 where *T* is the ambient temperature in Kelvin,  $K_{AN}(298) = 3.36 \times 10^{16} \text{ (atm}^{-2})$ , a = 75.11, and  $b = 10^{16} \text{ (atm}^{-2})$
- 22 -13.5 (Seinfeld and Pandis, 2006).

## 24 3 Results and discussion

#### 25 3.1 Temporal and diurnal variations of PM<sub>1</sub> composition and size distribution

- 26 Frequent PM pollution events were observed at Fresno during DISCOVER-AQ; PM<sub>1</sub>
- 27 concentrations exceeded the 24-hour NAAQS for PM<sub>2.5</sub> (35 μg m<sup>-3</sup>) on 50% of the days (Fig. 2).
- 28 PM<sub>2.5</sub> concentrations are estimated to be approximately 25% greater than PM<sub>1</sub> (Parworth et al.,

- 1 In preparation) thus it is likely that PM<sub>2.5</sub> concentrations violated these standards for two-thirds
- of the campaign. Between January 13 and February 11, 2013 the average PM<sub>1</sub> concentration was
- 3 31.0 μg m<sup>-3</sup>, with a maximum concentration of 130 μg m<sup>-3</sup> measured on 14 January (Fig. 2 and
- 4 Table 1). OA contributed, on average, 55% to the total PM<sub>1</sub> mass, representing the largest
- 5 component, followed by NO<sub>3</sub><sup>-</sup> (27%), with smaller contributions from NH<sub>4</sub><sup>+</sup> (9%), BC (5%),
- 6 SO<sub>4</sub><sup>2-</sup> (3%), and Cl<sup>-</sup> (1%) (Fig. 3a and Table 1). In addition, the molar equivalent ratios of total
- 7 inorganic anions to ammonium (=  $(SO_4^{2}/48 + NO_3/62 + Cl/35.5) / (NH_4/18)$ ) were close to 1,
- 8 indicating the presence of neutralized inorganic aerosols in the form of ammonium salts during
- 9 the campaign (Zhang et al., 2007b).
- 10 Diurnal patterns and particle size distributions can offer insight into aerosol sources, formation
- processes, and behavior. During this study, high OA and BC concentrations occurred overnight,
- with maximum concentrations usually observed at 22:00 PST (Fig. 4a and b), associated with a
- shallow boundary layer (BL) coupled with enhanced emissions from activities such biomass
- burning for residential space heating. In addition, a smaller morning peak (~7:00-8:00 PST) is
- observed in the diurnal profiles of BC and can be associated with morning traffic rush hour.
- 16 Conversely, daily variations in inorganic species concentrations were similar, with a sharp
- increase between 08:00-10:00 PST and peaking around midday (Fig. 4c-f), suggesting they have
- similar sources. This daytime peak in concentrations is consistent with previous observations
- 19 (Brown et al., 2006; Lurmann et al., 2006) and has been attributed to the mixing down of
- 20 secondary aerosols formed at night in a residual layer aloft associated with BL dynamics
- 21 (Watson and Chow, 2002b, a; Chow et al., 2006; Pusede et al., 2015).
- 22 In terms of mass-based size distributions, OA exhibited a broad size distribution, peaking
- between 350-450 nm in vacuum aerodynamic diameter ( $D_{va}$ ) (Fig. 3b). The mode of OA size
- 24 distribution varied as a function of time of day (Fig. 4g and Fig. S7a); a narrower size
- 25 distribution peaking at  $\sim 400$  nm is observed during the day with a broadening and shifting to
- smaller sizes from the evening and into the morning. Nitrate, sulfate, and ammonium all peaked
- in size close to  $D_{va}$  of 500 nm (Fig. 3b) and the peak size varied little across the day (Fig. 4h-j
- and Fig. S7b-d). Differences in size distribution patterns between the organic and inorganic
- 29 species suggest that the formation of secondary inorganic species were influenced by aqueous-
- 30 phase processes, consistent with findings from a previous study in Fresno (Ge et al., 2012b).
- 31 Both primary and secondary aerosols contribute to the broad size distribution of organics, with

- 1 anthropogenic primary emissions predominantly from fossil fuel and biomass combustion
- 2 emissions influencing the distribution in the morning and evening and secondary formation
- 3 influencing the daytime size distributions.

## 3.2 Organic aerosol characteristics and source apportionment

- 5 3.2.1 Bulk composition and elemental ratios of organic aerosol
- 6 Organic aerosols are a complex mixture of hundreds of carbon-containing compounds that are
- 7 emitted from different sources and have undergone different atmospheric processes. OA exhibit
- 8 a range of properties and subsequently will have a number of impacts on air quality, human
- 9 health, and climate. Understanding the elemental composition of OA and separating the organic
- fraction into its various components are important in order to gain insight into the sources and
- atmospheric processing of particulate organics as well as the behavior and characteristics of the
- 12 aerosols.

- 13 In winter 2013 at Fresno the OA fraction was found to be composed of approximately 68%
- carbon, 23% oxygen, 8% hydrogen and 1% nitrogen (Fig. 5a). The average carbon-normalized
- molecular formula of OA was  $C_1H_{1.7}O_{0.42}N_{0.017}S_{0.0004}$ , yielding an average OM/OC of 1.71. The
- 16 O/C and H/C atomic ratios (Table S1) are similar to revised values observed at other urban
- 17 locations (Canagaratna et al., 2015 and references within). The influence of anthropogenic
- emissions is evident in the diurnal profile of the H/C ratio (Fig.5b), which exhibits peaks at 08:00
- and 20:00 PST, likely reflecting the morning and evening rush hours as well as evening meal
- 20 times and residential heating. However, compared to nighttime, higher O/C and lower H/C ratios
- 21 were observed during a large part of the day suggesting that production of secondary organic
- 22 aerosol (SOA) was prevalent during the day and outweighed emissions of primary organic
- aerosol (POA), with the converse true in the evening. The diurnal profile of the nitrogen-to-
- carbon (N/C) ratio is relatively similar to that of the O/C ratio suggesting that although nitrogen-
- containing organic ions are scarce, the majority of the N in OA is likely associated with SOA in
- 26 this study.
- 27 The largest component of the OA mass spectral signal was found to be the C<sub>x</sub>H<sub>y</sub><sup>+</sup> ion family
- 28 (47%, Fig. 5a), followed by the  $C_xH_vO_1^+$  (31%) and  $C_xH_vO_2^+$  (15%) ion families and smaller
- contributions from the  $C_xH_vN_p^+$  (3%),  $C_xH_vN_pO_z^+$  (2%), and  $H_vO_1^+$  (2%) ion families. The
- largest peak in the average OA spectrum is at m/z 43 (Fig. 5c), accounting for 8% of the total OA

- 1 signal with a composition of 71%  $C_2H_3O^+$ , 27%  $C_3H_7^+$ , 1% CHON<sup>+</sup>, and 1%  $C_2H_5N^+$ . The
- second largest peak in the average OA spectrum is m/z 44, which is dominated by the  $CO_2^+$  ion
- 3 (84%). The peak at m/z 60 is composed almost entirely of  $C_2H_4O_2^+$  (98%) and 88% of the peak
- 4 at m/z 73 is composed of  $C_3H_5O_2^+$ . The strong signals at m/z's 60 and 73 are of particular interest
- 5 as they are known fragment ions in the electron impact mass spectrum (EI-MS) of levoglucosan
- 6 and anhydrous sugars, which are all tracers of biomass burning aerosol (Alfarra et al., 2007;
- 7 Aiken et al., 2008). m/z 57, which is used as a tracer for HOA for urban datasets (Zhang et al.,
- 8 2005a) and noted as a main fragment ion of levoglucosan (Schneider et al., 2006), is composed
- 9 predominantly of  $C_4H_9^+$  (50%) and  $C_3H_5O^+$  (48%) in this study.
- 10 Separation of the organic fraction into its components can be achieved through the application of
- multivariate models such as PMF (Lanz et al., 2007; Ulbrich et al., 2009; Zhang et al., 2011). In
- this study, six OA factors were identified from PMF analysis of the high resolution organic mass
- spectra consisting of four POA factors (HOA, COA, BBOA1, and BBOA2) and two SOA factors
- 14 (LV-OOA and SV-OOA). An overview of the chemical composition and temporal variations of
- 15 the six factors is shown in Fig. 6. LV-OOA (24%) represents the largest fraction of OA mass and
- 16 the smallest faction is accounted for by HOA (9%). COA, BBOA1, BBOA2, and SV-OOA
- account for 18, 13, 20, and 16% of the total OA mass, respectively. Together, the primary
- 18 components on average account for 60% of the total OA mass in Fresno during winter 2013 (Fig.
- 19 6s) and LV-OOA accounts for 60% of the total SOA mass. The chemical composition, size
- distribution, and temporal variations of each factor are discussed in detail in sections 3.2.2-3.2.5.
- 21 3.2.2 Hydrocarbon-like OA (HOA)
- The O/C ratio of the HOA in this study is low (0.09) whereas the H/C ratio is very high (2.10)
- 23 indicating that chemically reduced hydrocarbon species dominate the composition. This is
- confirmed by the HOA mass spectrum which is dominated by the C<sub>x</sub>H<sub>y</sub><sup>+</sup> ion family (85%, Fig.
- 6a), with major peaks at m/z's 41, 43, 55, and 57 that comprise signals from the  $C_3H_5^+$ ,  $C_3H_7^+$ ,
- 26 C<sub>4</sub>H<sub>7</sub><sup>+</sup>, and C<sub>4</sub>H<sub>9</sub><sup>+</sup> ions, respectively. These major peaks and the overall picket fence
- 27 fragmentation pattern resulting from the C<sub>n</sub>H<sub>2n+1</sub><sup>+</sup> ions are typical in HOA mass spectra from
- other studies due to the association of these aerosols with fossil fuel combustion activities (e.g.
- 29 Zhang et al., 2005a; Lanz et al., 2008; Sun et al., 2011; Ge et al., 2012a). In this study, the HOA
- mass spectrum agrees well with those from vehicle emission studies (e.g. r = 0.92-0.98 for the

- 1 correlations with spectra from Collier et al., 2015) (Fig. S8). HOA exhibits a relatively broad
- 2 size distribution and peaks at the smallest size of all the OA factors at around 190 nm (Fig. 6u).
- 3 The largest contribution of HOA to total OA is in the ultraffine mode (< 100 nm; Fig. 6v), which
- 4 is the size associated with aerosols from combustion activities (Zhang et al., 2005a).
- 5 Strong correlations are observed between the time series of HOA and the  $C_nH_{2n\pm 1}^+$  ions, e.g.,
- $C_{3}H_{7}^{+}$  (r = 0.92),  $C_{4}H_{7}^{+}$  (r = 0.90),  $C_{4}H_{9}^{+}$  (r = 0.95) and  $C_{5}H_{11}^{+}$  (r = 0.96) (Table 2). The time
- 7 series of HOA correlates well with tracers for vehicular emissions, particularly aromatic species
- 8 such as benzene and toluene (r = 0.83 and 0.75, respectively; Fig. 6g and Table 2). Polar plots
- 9 showing the concentration of a pollutant as a function of wind speed and direction also suggest
- similar local sources for HOA, benzene, and toluene as they have similar spatial distributions
- with the highest concentrations at low wind speeds (Fig. 7). The association of HOA and traffic
- is further supported by the diurnal profile of HOA, as shown in Fig. 6m, where concentrations
- peak at times corresponding to rush hour traffic. However, the morning peak at 07:00 PST is
- slightly earlier than that from a similar campaign performed in a nearby location in winter 2010
- 15 (Ge et al., 2012a), where the morning peak was observed between 08:00-10:00 PST. The evening
- peak is also relatively broad in the current study (18:00-00:00 PST) with a maximum at 22:00
- 17 PST, which is later than expected for a peak in rush hour traffic so may indicate that lower BL
- heights result in enhanced HOA concentrations at night.
- 19 3.2.3 Cooking OA (COA)
- 20 The COA in this study has an O/C ratio of 0.19, which is lower than the revised O/C ratio for
- 21 COA in Barcelona (0.27) and New York City (0.23) but is higher than the COA identified in
- Fresno in 2010 (0.14) (Table S3). The OM/OC ratio is 1.42 and the H/C ratio is 1.90. The mass-
- based size distribution of COA peaks in the accumulation mode at approximately 400 nm (Fig.
- 24 6u), greater than that of HOA and consistent with previous observations of COA size
- distributions (e.g. Canagaratna et al., 2004; Ge et al., 2012a) although a wide range of sizes of
- 26 particles emitted from cooking activities can be observed due to the different methods of
- 27 cooking, ingredients used, and distances from the cooking source. Compared to the other OA
- 28 factors, the fractional contribution of COA to total OA does not vary as dramatically with size
- 29 (Fig. 6v).

1 COA has been observed to be an important component of ambient aerosols in many urban 2 locations (Allan et al., 2010; Sun et al., 2011; Mohr et al., 2012; Crippa et al., 2013) where m/z's 3 55, 57, 41, and 43 have been used as key m/z's to identify the presence of aerosols from cooking related activities. In addition, Sun et al. (2011) suggested that C<sub>5</sub>H<sub>8</sub>O<sup>+</sup>, C<sub>6</sub>H<sub>10</sub>O<sup>+</sup>, and C<sub>7</sub>H<sub>12</sub>O<sup>+</sup> 4 5 are likely good tracer ions for COA. As the main peaks in the COA spectrum are also important 6 in HOA, Mohr et al. (2012) developed a method for estimating COA in ambient datasets to a 7 first order based on fractions of the organic signals at m/z's 55 and 57. When HRMS data are available the  $C_3H_3O^+$  and  $C_3H_5O^+$  ions at m/z's 55 and 57 may be used;  $C_3H_3O^+$  is typically 8 observed to dominate the signal at m/z 55 compared to  $C_4H_7^+$  in COA with the converse true for 9 10 HOA. However, BBOA and OOA can also contribute to the signal at m/z 55 and in this study the 11 total BBOA contributes 34% to the  $C_3H_3O^+$  ion (BBOA1 = 13%, BBOA2 = 21%), whereas COA 12 only contributes 29% (Fig. S9) thus the method to distinguish between HOA and COA 13 developed by Mohr et al. (2012) may therefore not be particularly useful here due to the 14 influence from BBOA. However, COA contains a greater proportion of oxygen-containing ions such as  $C_xH_vO_1^+$  and  $C_xH_vO_2^+$  than HOA which contribute a total of 29.5% to COA and only 15 10.5% to HOA (Fig. S10). 16 17 The diurnal pattern of COA exhibits a large evening peak, with a maximum concentration at 18 19:00 PST which gradually decreases during the night (Fig. 6n). The evening peak is likely 19 associated with dinnertime cooking activities, although this could be enhanced due to influences 20 from residential wood combustion activities. As the COA from a campaign in Fresno during 21 winter 2010 (Ge et al., 2012a) appears to be less influenced by BBOA, the COA mass spectrum 22 from Ge et al. (2012a) is used to estimate the contribution of BBOA to COA in 2013. The 23 resulting mass spectrum exhibits characteristics of BBOA (Fig. S11a) and contributes 24 approximately 20% to COA in 2013. The diurnal profile of COA with the estimated BBOA 25

influence removed is compared to that of the COA retrieved from PMF analysis (Fig. S11b); the concentrations during the night are reduced in the profile without the BBOA influence but a lunchtime peak is still not evident in 2013. Nevertheless, the time series of COA correlates well with the  $C_3H_3O^+$  (r = 0.88) and  $C_7H_{12}O^+$  (r = 0.94) ions (Fig. 6h and Table 2) as well as with  $C_6H_{10}O^+$  (r = 0.92) and  $C_5H_8O^+$  (r = 0.94). COA also contributes 56%, 69%, and 64% to the  $C_5H_8O^+$ ,  $C_6H_{10}O^+$ , and  $C_7H_{12}O^+$  ions, respectively (Fig. S9). These observations thus support the identification of this factor as COA.

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#### 3.2.4 Biomass burning OA (BBOA1 and BBOA2)

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2 Residential space heating is recognized as an important source of aerosols in many locations, 3 especially in urban locations where BBOA and solid fuel OA (SFOA) factors have been identified in source apportionment studies (Lanz et al., 2007; Aiken et al., 2009; Allan et al., 4 5 2010; Ge et al., 2012a; Mohr et al., 2012; Xu et al., 2015; Young et al., 2015a; Young et al., 6 2015b). BBOA is typically associated with wood combustion and is prevalent during the winter 7 in the SJV (Chow et al., 2006; Chen et al., 2007; Ge et al., 2012a). The importance of biomass 8 burning emissions in this area is further highlighted as two BBOA factors were derived from 9 PMF analysis of OA, together contributing 33% to the total OA. According to the polar plots 10 showing potential source influences, high concentrations of both BBOA1 and BBOA2 occur at 11 low wind speeds (Fig. 7) indicating emissions likely arise from similar local activities rather than 12 being transported to the site. This is further indicated by the mass-based size distribution of total 13 BBOA, which is more similar to that of HOA than the OOAs. The total BBOA size distribution 14 peaks in the accumulation mode, at 220 nm (Fig. 6u). In addition, BBOA dominates the mass 15 fraction of OA at small sizes, particularly at  $100 < D_{va} < 200$  nm (Fig. 6v), supporting the 16 association of these aerosols with combustion activities. 17 As the chemical composition of ambient BBOA is found to be highly variable (DeCarlo et al., 18 2010; Parworth et al., 2015), multiple BBOA factors identified from factorization analyses could 19 represent differences in the degree of atmospheric processing (e.g. Brito et al., 2014), 20 combustion conditions (e.g. Young et al., 2015b), and fuel types. Both BBOA mass spectra contain enhanced biomass burning tracer peaks at m/z = 60 (mostly  $C_2H_4O_2^+$ ) and 73 (mostly 21  $C_3H_5O_2^+$ ) (Fig. 6c and d) but m/z = 60 and 73 contribute less to the total BBOA1 signal (1.6%) 22 23 and 0.95%, respectively) than to the total BBOA2 signal (5.8% and 2.5%, respectively). This 24 difference is particularly evident when the two factors are plotted in the triangular space used to 25 investigate the BBOA evolution proposed by Cubison et al. (2011) (Fig. S12a). BBOA2 also has a higher O/C ratio than BBOA1 (0.60 vs. 0.33; Fig. 6c and d), whereas the C<sub>x</sub>H<sub>y</sub><sup>+</sup> ion family 26 contributes more to BBOA1 than BBOA2 (57.6% vs 34.3%; Fig. S10). The difference in 27 oxidation (indicated by the O/C ratio) and  $f_{60}$  between the factors could therefore suggest 28 29 different burning behaviors or fuel type. Indeed, a wide range of O/C ratios for primary organic 30 emissions from biomass burning has been observed in various studies (0.15-0.60 e.g. Heringa et

- al., 2011; Ortega et al., 2013) due to differences in burner type, combustion phases, and fuel
- 2 types.
- 3 The time series of BBOA1 and BBOA2 are compared with those of wood burning relevant
- 4 species frequently used in the literature (Simoneit et al., 1999; Jordan et al., 2006; Otto et al.,
- 5 2006; Aiken et al., 2009). Tracers such as acetonitrile are external measurements and are
- 6 independent of the BBOA identification from PMF analysis whereas org60 is measured by the
- 7 AMS and is used to identify BBOA factors. However, some biomass burning tracers measured
- 8 by the AMS, such as polycyclic aromatic hydrocarbons (PAHs), are independent of the BBOA
- 9 identification; PAHs are at m/z's greater than 200 and PMF in this study was only performed on
- 10 m/z's up to 120. BBOA1 correlates well with nitrogen-containing ions (Table 2), particularly
- $C_3H_7N^+$  (r = 0.74) and CHN<sup>+</sup> (r = 0.69) (Fig. 6i), consistent with emissions of nitriles from
- biomass burning and combustion activities (Simoneit et al., 2003), although BBOA2 correlates
- more strongly with acetonitrile than BBOA1 (r = 0.61 vs. r = 0.43), with similar polar plots of
- both factors and acetonitrile (Fig. 7). BBOA2 also has stronger correlations than BBOA1 with
- other biomass burning tracer species, including potassium (r = 0.86) and BC (r = 0.79). Often
- used as tracers for biomass burning activities, PAHs are byproducts of incomplete combustion,
- many of which are mutagenic and carcinogens (Hannigan et al., 1998; Marr et al., 2006; Dzepina
- 18 et al., 2007). Using the method described in Dzepina et al. (2007), total PAHs were estimated
- 19 from the AMS; a stronger correlation is observed between BBOA2 and PAHs than BBOA1 (r =
- 0.87 compared to r = 0.61). Consequently, adverse health effects associated with biomass
- burning emissions should be of great concern, especially during wintertime.
- 22 Both BBOA1 and BBOA2 have similar diurnal trends, with concentrations increasing overnight
- and low concentrations during the day. This diurnal behavior provides strong confidence that
- BBOA is associated with residential wood combustion for space heating. However, BBOA2 has
- a more distinct diurnal profile (Fig. 6o), with an especially large difference between daytime and
- 26 nighttime concentrations, whereas the difference in BBOA1 concentrations between the day and
- 27 night is not as large (Fig. 6p). Further, the greatest BBOA1 concentration occurs at the beginning
- of the campaign (Figs. 6i and j), which coincides with particularly low temperatures (Fig. 2a). To
- 29 investigate the influence of meteorology (in particular, ambient temperature), the campaign is
- 30 split into two periods: Period 1 covers the beginning of the campaign up until 20 January and
- Period 2 covers the remainder of the campaign. The first period was found to be colder than the

- 1 rest of the campaign with an average temperature of 5.5 °C and a minimum of -4.1 °C compared
- 2 to an average of 8.7 °C and a minimum of -0.3 °C for Period 2. During this first period, BBOA1
- 3 contributes up to 60% to the total OA mass and averages 25% whereas BBOA2 only contributes
- 4 an average of 15% to OA mass (Fig. 2g). BBOA is observed to dominate the composition of
- 5 PM<sub>1</sub> at low temperatures during the campaign (Fig. S13a); BBOA1 contributes 22% and BBOA2
- 6 contributes 14% at the very coldest temperatures, which occur over night and peak in the early
- 7 morning (Fig. S13b). As this first period was particularly cold there may have been an increase
- 8 in residential wood burning. Given these observations of differences in the temporal trends and
- 9 the associated meteorological differences, it is likely that the two factors are associated with
- different burning behaviors, although we are unable to fully explain the differences between the
- 11 two BBOA factors in terms of their sources.
- 12 3.2.5 Low volatility and semi-volatile oxygenated OA (LV-OOA and SV-OOA)
- 13 Two oxygenated OA (OOA) factors were identified in this study, together accounting for 40% of
- 14 the total OA mass (Fig. 6s). The mass spectra of both factors contain two major peaks at  $CO_2^+$
- 15 (m/z = 44) and  $C_2H_3O^+$  (m/z = 43) (Fig. 6e and f). Following analysis of thermodenuder data (not
- reported here), the more oxidized OOA (O/C = 0.90; H/C = 1.57) is labeled as low volatility
- OOA (LV-OOA) and the less oxygenated factor (O/C = 0.63; H/C = 1.70) is labeled as semi-
- volatile OOA (SV-OOA). The O/C ratio of SV-OOA is higher than HOA and COA and the O/C
- 19 ratio of LV-OOA is significantly higher than those of the POA factors, consistent with
- 20 observations that ambient primary OA tend to be less oxidized than secondary OA.
- 21 The secondary nature of OOA is further supported by the mass-based size distribution of the
- 22 total OOA (Fig. 6u), which is similar to that of the secondary inorganics (Fig. 3b). The total
- OOA size distribution is the narrowest of all the OA factors and peaks at the largest  $D_{vq}$  in the
- 24 accumulation mode (~460 nm), similar to that of OOA from 2010 (Ge et al., 2012a). The mass
- 25 fraction of OOA increases with increasing particle size (Fig. 6v), contributing more than 50% to
- the total OA mass at sizes greater than approximately 500 nm.
- The  $f_{44}$  vs.  $f_{43}$  space (Ng et al., 2010) is frequently used to describe and explain OA evolution in
- 28 the atmosphere. In this study, LV-OOA falls within the region previously identified by Morgan
- et al. (2010) as corresponding to LV-OOA (Fig. S12b) and, although located outside of the
- 30 triangle, SV-OOA still falls within its respective region. All four POA factors identified in this

- study are located at the bottom left of the triangle thus are far from the two SOA factors,
- 2 highlighting the differences in sources and precursors (as suggested by the  $f_{43}$  values) and degree
- of oxygenation (as inferred from the  $f_{44}$  values) between POA and SOA. Methanesulfonic acid
- 4 (MSA) has been shown to be secondary in previous studies and is mostly the product of dimethyl
- 5 sulfide (DMS) oxidation (von Glasow and Crutzen, 2004). The AMS has successfully measured
- 6 MSA in several studies, both over the ocean as well as in urban areas (e.g. Phinney et al., 2006;
- 7 Zorn et al., 2008; Ge et al., 2012b). Consequently, the secondary nature of SV-OOA and LV-
- 8 OOA is further supported by strong correlations observed with the AMS spectral ions for MSA
- 9 (Table 2):  $CH_2SO_2^+$  (r = 0.80 and 0.47 for SV-OOA and LV-OOA, respectively),  $CH_3SO_2^+$  (r =
- 10 0.81 and 0.45), and  $CH_4SO_2^+$  (r = 0.77 and 0.44).
- 11 The regional versus local nature of SOA is often inferred from correlations with various tracers.
- As detailed in Table 2, SV-OOA and LV-OOA correlate relatively strongly with nitrate (r = 0.88
- and 0.59, respectively) and sulfate (r = 0.74 and 0.64, respectively). Sulfate is typically observed
- 14 to be regional and nitrate is often formed more locally due to the emission patterns of their
- 15 respective precursor gases thus SV-OOA is likely more local whereas LV-OOA is likely to be
- 16 more regional. Compared to the POA factors, which have local sources, the highest SOA
- 17 concentrations are found at a larger range of wind speeds and directions (Fig. 7), however SV-
- 18 OOA appears to be influenced more by local emissions or events as high concentrations are
- 19 associated with a smaller range of wind speeds and directions than LV-OOA. The diurnal
- 20 profiles of SV-OOA and LV-OOA are similar with a mid-morning peak in concentration (10:00-
- 21 11:00 PST, Fig. 6q and r) yet the trend is more distinct for SV-OOA as LV-OOA concentrations
- are more constant throughout the day. These observations indicate the fresher, more localized
- 23 nature of SV-OOA and the more aged and regional nature of LV-OOA.
- 24 The fractional contribution of SOA to total OA mass is greatest during the day whereas POA
- dominates in the evening until mid-morning (Fig. 6t), indicating the influence of boundary layer
- 26 dynamics, local anthropogenic emissions, and photochemical activity. Furthermore, the
- 27 contribution of LV-OOA to total OA mass also increases during two distinct periods of the
- 28 campaign (24-28 January 2013 and 5-9 February 2013) (Fig. 2g) although the total organic
- 29 aerosol concentration is relatively low. These periods are characterized by an average RH of
- 30 80%. Furthermore the RH is greater than 90% for 15% of this time compared to a frequency of
- only 0.4% for the other times suggesting that aqueous-phase processing may have influenced the

- 1 production of secondary aerosol species (Dall'Osto et al., 2009; Ge et al., 2012b), leading to
- 2 increased LV-OOA concentrations during humid periods.

## 3.3 Comparison of weekday and weekend diurnal profiles and insights into PM

#### 4 sources

- 5 Diurnal profiles can provide insight into aerosol sources as well as atmospheric processes and
- 6 dynamics. As many aerosol sources can be anthropogenic, comparing the diurnal profiles
- between the weekdays and weekends can help to separate the influence of different sources and
- 8 processes on the temporal variations in the aerosol concentrations. Weekdays can be considered
- 9 to be Monday to Friday, inclusive, with Saturday and Sunday being weekends. However, as the
- 10 concentrations of some species, particularly secondary species like nitrate, may be controlled by
- emissions from the previous day (e.g. NO<sub>x</sub>), an alternative classification where Tuesday to
- 12 Friday, inclusive, were considered weekdays and only Sunday as a weekend (Fig. S14a and b)
- was also used for examining the diurnal profiles. Since little difference in the trends is observed
- in the diurnal profiles between the two classifications, the Monday-Friday and Saturday-Sunday
- definitions are used for the following analyses.
- 16 The weekday and weekend diurnal profiles for PM<sub>1</sub> species, the six OA factors from PMF
- 17 analysis, several VOC species as well as various gas phase species and meteorological
- parameters are shown in Fig. 8 (also see Fig. S15). As expected, the diurnal variations in
- meteorology do not change significantly from the weekdays to the weekends. The diurnal pattern
- 20 of COA only varies slightly between weekdays and weekends; weekend concentrations are
- 21 slightly higher than those during the week, which could be due to people continuing activities
- longer into the evenings. Similarly, BBOA2 differs a little between the weekdays and weekends,
- 23 with slightly higher concentrations in the evenings at weekends. Acetonitrile exhibits similar
- 24 diurnal variations to that of BBOA2. In contrast, the concentration of BBOA1 is greater during
- 25 the week than during the weekend, with concentrations approximately a factor of 2 greater,
- 26 particularly during the morning. However, these results are likely skewed by the first week of
- 27 particularly cold temperatures, which is evident when the diurnal profiles for the weekdays and
- weekend days for the first week are compared with those from the remaining three weeks (Fig.
- 29 S16). Such temperatures likely lead to an increase in burning activities. Biomass burning likely
- influences chloride concentrations, as is evident from the similarity of the diurnal profiles as well

as the strong correlations between chloride and total BBOA (r = 0.58, Table 2) and biomass burning tracers potassium and acetonitrile (r = 0.67 and 0.51, respectively. Not shown here).

3 Differences in weekday and weekend diurnal variations can also be attributed to changes in 4 anthropogenic behavior. For example, it is clear that HOA is associated with traffic as the 5 morning rush-hour peak is only evident in the weekday diurnal and the evening peak in 6 concentration is slightly reduced at the weekends (Fig. 8 and Fig. S15). This is also the case for 7 NO<sub>x</sub>, CO, and BC, which are all fossil fuel combustion tracers. In addition, the diurnal profiles of 8 VOCs associated with vehicle emissions such as benzene and toluene on weekdays and 9 weekends are similar to that of HOA. The diurnal profiles of isoprene are also similar to HOA 10 indicating that isoprene may be associated with vehicle emissions. Previous studies report the 11 predominance of anthropogenic sources of isoprene in urban areas during winter (Borbon et al., 12 2001; Seco et al., 2013), however, other hydrocarbons (e.g. cycloalkanes) could also be 13 contributing to the PTR-MS signal at this m/z (e.g. Yuan et al., 2014). Odd oxygen ( $O_x = O_3 +$ NO<sub>2</sub>) is used here to examine the differences between weekdays and weekends rather than O<sub>3</sub> 14 15 due to the influence of NO<sub>x</sub> on O<sub>3</sub> concentrations (Herndon et al., 2008); O<sub>3</sub> is observed to be 16 lower during the weekdays due to titration by NO whereas NO<sub>x</sub> is lower at the weekends thus O<sub>3</sub> 17 concentrations are higher. The diurnal profile of O<sub>x</sub> is therefore comparable between weekday 18 and weekends. SO<sub>2</sub> also exhibits a similar trend with a prominent morning peak only on 19 weekdays, indicating that traffic is a main source of SO<sub>2</sub> in Fresno. Indeed, the SO<sub>2</sub> diurnal 20 profiles between weekdays and weekends with those associated with traffic combustion (e.g., 21  $NO_x$ , HOA, and BC) are similar and strong correlations between  $SO_2$  and  $NO_x$  are observed (r = 0.877, Fig. S17a). However,  $SO_4^{2-}$  exhibits a late morning peak during the day on both the 22 weekdays and at weekends. A similar trend is seen for the other secondary inorganics as well as 23 24 the secondary organics, although the morning increase is less distinct for LV-OOA.

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# 3.4 Insights into meteorological influences

- 27 3.4.1 Pollution events in Fresno during winter 2013
- 28 Two main pollution events occurred during the campaign (14 January-23 January and 29
- 29 January-5 February), characterized by persistent exceedances of the NAAQS (Fig. 2e). The

average PM<sub>1</sub> concentration was higher during the first event than the second event (44 µg m<sup>-3</sup> 1 compared to 36 ug m<sup>-3</sup>) (Fig. 2h and i) and the average compositions of PM<sub>1</sub> are also fairly 2 3 different. POA accounted for a greater proportion of the PM<sub>1</sub> mass during the first event than the 4 second event (37% vs. 29%) (Fig. 2i and k), mainly due to the larger contribution of BBOA 5 during the first event. The mass concentration of BBOA1, in particular, differs by a factor of five 6 between the two events. Lower temperatures were experienced during the first event (6.2 °C vs. 7 9.5 °C), which likely lead to an increase in biomass burning activities. On the other hand, both 8 the RH and temperature were higher during the second event (63.8 % vs. 68.9 %), as well as 9 ozone (6.2 ppb vs. 9.5 ppb), which may have influenced the formation of secondary species 10 through aqueous-phase processing and photochemistry. However, the difference in mass 11 concentrations of SV-OOA and LV-OOA between the first and second events is not as large as 12 that for BBOA1 suggesting that temperature has the largest influence on the chemical 13 composition during these two pollution events. Nevertheless, it is evident that meteorology 14 influences both primary emissions and the production of secondary species during the campaign; 15 although high PM<sub>1</sub> concentrations are predominantly driven by primary species, the contributions from secondary species are still important (Fig. 9). 16

## 17 3.4.2 Comparison with winter 2010

18 In January 2010, similar measurements were made in Fresno at a site approximately 2.75 km 19 from the one in this study (Ge et al., 2012a; Ge et al., 2012b). Despite both campaigns taking 20 place during wintertime and the close proximity of the two sites, there are notable differences 21 between observed aerosol characteristics (Table 3). For example, the PM<sub>1</sub> mass loading was much greater and approximately 2.5 times larger in 2013 than in 2010 (31.0 µg m<sup>-3</sup> vs. 12.7 µg 22 m<sup>-3</sup>. Fig 10a). The average O/C ratio of organics was also higher in 2013 (0.42 vs. 0.35 in 2010) 23 24 and the H/C was lower (1.70 vs. 1.75). One of the most noticeable differences between the two 25 campaigns arises from the number and type of OA factors identified from PMF analysis of the 26 organic aerosol fraction. In 2010, four OA factors were identified: HOA, COA, BBOA, and 27 OOA, whereas in 2013 six factors were identified including two BBOA and two OOA factors yet 28 the type of emission sources are not expected to have significantly changed within three years.

29 Meteorological conditions were noticeably different during the two measurement periods; on

average, winter 2010 had 16% higher RH and was 1.8°C warmer than winter 2013 (Fig. S18),

with nearly 6°C difference in the coldest temperatures (4.0°C in 2013 vs. 9.7°C in 2010 for the 1 25<sup>th</sup> percentile). The average wind speed was much lower in 2013 (1.0 m s<sup>-1</sup> compared to 5.7 m s<sup>-1</sup> 2 <sup>1</sup> in 2010), and solar radiation was greater in 2013 and 2010 (average of 273 W m<sup>-2</sup> and 146 W 3 m<sup>-2</sup>, respectively, for daylight hours, 6:00-17:00 PST). The higher total mass concentration in 4 2013 (Fig. 10a) can thus be attributed to the particularly stagnant conditions from a more severe 5 6 winter inversion with lower wind speeds and colder temperatures than those in 2010. In terms of 7 fractional contributions of the species to the total mass, HOA and OOA are greater in 2010 than 8 2013 whereas nitrate and BBOA are greater in 2013 (Fig. 10b). In addition to winter 2013 being 9 colder on average than winter 2010, especially low ambient temperatures during the first week 10 (average of 5.5 °C) likely led to an increase in biomass burning in an effort to increase 11 temperatures within the home during this period as discussed in Section 3.2.4. In comparison, it 12 is likely that winter biomass burning activities are represented by only a single BBOA factor in 13 2010 due to the milder conditions and less dramatic temperature changes. The estimated size distributions of the OA factors, as well as nitrate and sulfate, were compared between 2013 and 14 15 2010 (Ge et al., 2012b); the particle sizes are observed to be narrower and larger in 2013 than in 16 2010 (Fig. S19). These differences appear to be consistent with overall more aged aerosol in 2013, which is likely related to the more stagnant meteorological conditions. 17 18 Ammonium nitrate is semi-volatile with a strong dependency on temperature and humidity. 19 Thus, for the following analyses all dense fog and precipitation events have been removed from 20 the 2010 data, and the cold period and precipitation events have been removed from the 2013 21 data with the resulting mass concentrations and fractional contributions of PM<sub>1</sub> species shown in 22 Fig. 10c and d. There are several different nitrate production mechanisms including gas-to-23 particle partitioning, photochemical production of HNO<sub>3</sub>, as well as the mixing down of a 24 nocturnal residual layer. In 2010, the diurnal cycle of nitrate (Fig. 11a) was attributed to 25 enhanced gas-to-particle partitioning and near-surface aqueous-phase processing from nighttime 26 fogs (Ge et al., 2012a), where the nighttime fogs were not necessarily dense fogs. The diurnal 27 profile of nitrate in 2013, however, is very different, with the highest average concentrations 28 occurring during the late morning, suggesting temporal variability in gas-to-particle partitioning 29 due to surface-level fogs or instantaneous surface-level temperature is not a major pathway for 30 nitrate production during this study. This is further supported by the calculated diurnal profile of

the ammonium nitrate equilibrium constant (see Sect. 2.3.4 for equations) (Seinfeld and Pandis,

1 2006) (Seinfeld and Pandis, 2006), which peaks in the early morning, approximately 4-5 hours 2 before the peak in nitrate concentrations (Fig. 11b). It is estimated that on average, 89% of the 3 total nitrate (the sum of particle-phase nitrate and the HNO<sub>3</sub> for gas-phase nitrate) is in the 4 particle phase indicating that most HNO<sub>3</sub> that formed has likely partitioned to the particle-phase. 5 However, the measurements of HNO<sub>3</sub> concentrations in 2013 are only approximately seven-6 hourly averages. Therefore, a proxy for the daytime photochemical HNO<sub>3</sub> production rate, [NO<sub>2</sub>] 7 times solar radiation (e.g. Zhang et al., 2005b), is used here to better characterize any rapid 8 changes in concentrations and thus likely formation of nitrate. The proxy exhibits a similar peak 9 in the diurnal pattern to that of nitrate suggesting photochemical production plays some role in 10 the production of nitrate. However, the change in morning nitrate concentrations in 2013 is 11 greater than 2010 and is larger than would be expected from the difference in the peak in the proxy between the two years. Consequently, photochemical production of nitrate likely only 12 13 plays a small role in 2013. In a study conducted at Fresno between 2000 and 2005 (Chow et al., 14 2008) a peak in daily nitrate concentrations at 11:00-12:00 PST during winter was observed and 15 attributed to the mixing down of a residual layer where particulate nitrate was formed aloft 16 during the night and brought to the surface after sunrise following the break-up of the boundary layer (Watson and Chow, 2002b, a; Brown et al., 2006; Chow et al., 2006). To investigate the 17 18 influence of a residual layer in enhancing nitrate concentrations at the surface in 2010 and 2013 19 the diurnal variations in CO, to represent changes in boundary layer dynamics, and in O<sub>x</sub> 20 (O<sub>3</sub>+NO<sub>2</sub>) are examined (Fig. 11). The CO profile is very similar in the evenings during the 21 weekdays and weekend (Fig. 8) indicating that boundary layer dynamics are more important in 22 influencing CO concentrations than rush hour emissions in the evening. Thus at around 17:00 23 PST the sun sets, the boundary layer starts to collapse, and pollutants that mixed aloft during the 24 day would be decoupled from the surface (Pusede et al., 2016). Consequently, the concentration 25 of nitrate that could have formed during the night would depend on the initial concentrations of 26 the pollutants such as NO<sub>2</sub> and O<sub>3</sub> in the residual layer. O<sub>x</sub> is used here to indicate HNO<sub>3</sub> 27 production, although N<sub>2</sub>O<sub>5</sub> chemistry can also influence the formation of HNO<sub>3</sub>. Nevertheless, 28 the concentration of O<sub>x</sub> at 17:00 PST was greater in 2013 than 2010 (41 ppb vs. 31 ppb) 29 suggesting the influence of the residual layer on daytime nitrate concentrations was more 30 important in 2013 and is evident on several days (Fig. S14c). However, HNO<sub>3</sub> production is also 31 influenced by N<sub>2</sub>O<sub>5</sub>. In addition to differences in the importance of the various formation

1 pathways of nitrate, it could be that the losses of nitrate also differed between the two years. For

2 example, the higher temperatures in 2010 may have resulted in a greater fraction of HNO<sub>3</sub>

3 remaining in the gas phase.

The formation of other secondary species in 2010 was primarily attributed to in-fog processing and overall high humidity with enhanced gas-to-particle partitioning also playing an important role. However, in 2013 it is the nocturnal residual layer that appears to have the greatest influence on the diurnal variations of the secondary species; the diurnal profiles of the secondary inorganics, SV-OOA, and to some extent LV-OOA, are similar to that of nitrate, exhibiting the late morning peak in concentrations (Fig. 4 and 6). The influence of the residual layer is particularly clear when comparing the weekday and weekend diurnal profiles of sulfate and its precursor gas, SO<sub>2</sub>; the profiles are similar between weekdays and weekends for sulfate, but not for  $SO_2$  (Fig. 8). In addition, a strong correlation is observed between  $SO_2$  and CO (r = 0.871, Fig. S17b). Although the nocturnal residual layer has previously been observed in Fresno (e.g. Watson and Chow, 2002b; Chow et al., 2006), its presence and subsequent influence on aerosol concentrations at the surface has typically been considered only in the context of nitrate. To our knowledge, this is the first time that the influence of the residual layer on other secondary species, such as sulfate, has been reported.

In contrast to 2010, two OOA subtypes, SV-OOA and LV-OOA, were identified in 2013, which is surprising since more than one OOA factor is typically only observed at the same time during summer periods when the range in photochemical conditions and ambient temperature is larger (Jimenez et al., 2009). However, the solar radiation and the range of temperatures were larger in 2013 than 2010 (Fig. S18 and Table 3). Thus, it is possible that the contrast in meteorological conditions that influenced secondary organic aerosol formation in 2013 enabled OOA to be separated into the two subcomponents whereas the conditions were not as significantly different in 2010. However, the fractional contribution of OOA to the total secondary aerosol mass is greater in 2010 than 2013 (Fig. 10b), which could be in part due to the large contribution from nitrate in 2013 but also due to aqueous-phase processing in fogs in 2010 (Ge et al., 2012b). The contribution of total OOA is similar between the two years when the dense fogs, precipitation events and cold periods are removed from the respective datasets (27% vs. 25%), suggesting that aqueous-phase processing was more important for production of OOA in 2010 as the RH was

1 higher on average throughout the 2010 campaign, whereas the greater solar radiation in 2013 led

to more photochemical production of more oxidized OOA.

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#### 4 Conclusions

- 5 Particulate matter was characterized during winter 2013 at Fresno, one of the most populated
- 6 cities in the SJV in California, using an HR-ToF-AMS as part of the NASA DISCOVER-AQ
- 7 campaign. The average PM<sub>1</sub> concentration was 31.0 μg m<sup>-3</sup> and the total mass was dominated by
- 8 organic aerosols (55%), which had an average concentration of 17.1 μg m<sup>-3</sup>. OA had an average
- 9 O/C ratio of 0.42 and an H/C ratio of 1.70 using the Improved-Ambient elemental analysis
- method recently reported in Canagaratna et al. (2015).
- To gain insight into the sources and processes influencing the aerosols in Fresno, PMF was
- applied to the OA fraction where six factors were identified: HOA associated with local traffic,
- 13 COA associated with food cooking activities, two BBOAs (BBOA1 and BBOA2) associated
- 14 with residential space heating from wood combustion, SV-OOA and LV-OOA formed via
- chemical reactions in the atmosphere. During winter 2013, the four POA factors (HOA, COA,
- 16 BBOA1, and BBOA2) accounted for 60% of the total OA mass with the other 40% accounted
- 17 for by the two secondary OA factors. LV-OOA represents the largest OA component (24%) and
- accounts for 60% of the total SOA mass.
- 19 The two BBOAs differed temporally and chemically, where BBOA1 was markedly present
- during the first few days of the campaign and had a less distinct diurnal profile compared to
- BBOA2. BBOA2 was observed to be more oxygenated than BBOA1 and correlated better with
- 22 most biomass burning tracers other than the nitrogen-containing species with which BBOA1 had
- a stronger relationship. Differences in the two factors were likely due predominantly to burning
- 24 behaviors and variations in meteorology whereby temperatures during the first week of the
- campaign were below freezing, leading to an increase in residential wood combustion for space
- 26 heating.
- 27 Similar measurements were performed at a nearby location during winter in 2010 yet the
- 28 resulting aerosol chemistry is considerably different to that of 2013, where the average NR-PM<sub>1</sub>
- 29 concentration in 2013 was more than a factor of two greater than 2010 (29.6 μg m<sup>-3</sup> compared to

11.7 µg m<sup>-3</sup>). In 2013 the contribution of nitrate to the total PM<sub>1</sub> (NR-PM<sub>1</sub> plus BC) was greater 1 2 than in 2010 and another BBOA and OOA factor were identified in addition to the HOA, COA, 3 BBOA, and OOA factors derived from the 2010 OA dataset. As the types of aerosol sources are 4 unlikely to have changed significantly between the two years, observed differences are 5 predominantly due to meteorological influences, with colder and drier conditions in 2013 than 6 2010. Coupled with low wind speeds, the stagnant conditions in 2013 favored the accumulation 7 of pollution. In addition, the first week of the 2013 campaign was characterized by a period of 8 particularly low temperatures, likely resulting in an increase in biomass burning activities and 9 thus the identification of two BBOA factors in 2013. However, gas-to-particle partitioning due to 10 lower temperatures could not fully explain the observed increase in nitrate concentrations from 11 2010 to 2013. The excess nitrate mass was attributed to photochemical formation during the day as well as the addition of nitrate in the late morning which had formed in a residual layer aloft 12 13 during the night. The nocturnal residual layer is also observed to influence the diurnal variation 14 in concentrations of other secondary inorganic and organic aerosols. The greater solar radiation 15 and larger range in temperature likely lead to both SV-OOA and LV-OOA being observed in 16 2013 whereas the meteorological conditions were not as contrasting in 2010 and OOA production was influenced more by aqueous-phase processes, particularly in fogs. 17

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**Table 1.** Average ( $\pm$  one standard deviation), minimum and maximum concentrations of the PM<sub>1</sub> species and the total PM<sub>1</sub> mass over the whole campaign and the average contribution of each of the PM<sub>1</sub> species to the total PM<sub>1</sub> mass.

	Average concentration	Minimum	Maximum	Fraction of total
	$\pm$ one standard	concentration	concentration	$PM_1 \pm one$
	deviation (µg m <sup>-3</sup> )	$(\mu g m^{-3})$	$(\mu g m^{-3})$	standard
				deviation (%)
Organics	$17.1 \pm 12.2$	0.38	111	$55 \pm 39$
Nitrate	$8.23 \pm 5.38$	0.08	28.0	$27 \pm 17$
Sulfate	$0.97 \pm 0.57$	0.10	3.47	3 ± 2
Ammonium	$2.94 \pm 1.82$	0.03	9.08	9 ± 6
Chloride	$0.34 \pm 0.26$	0.001	3.29	1 ± 1
Black carbon	$1.48 \pm 0.93$	0.07	8.32	5 ± 3
Total PM <sub>1</sub>	$31.0 \pm 17.6$	0.70	130	-

**Table 2.** Correlation coefficient (Pearson's r) for linear regressions between OA factors (including the sum of both BBOA factors as well as the sum of the OOA factors) and various particle- and gas-phase species and ions.

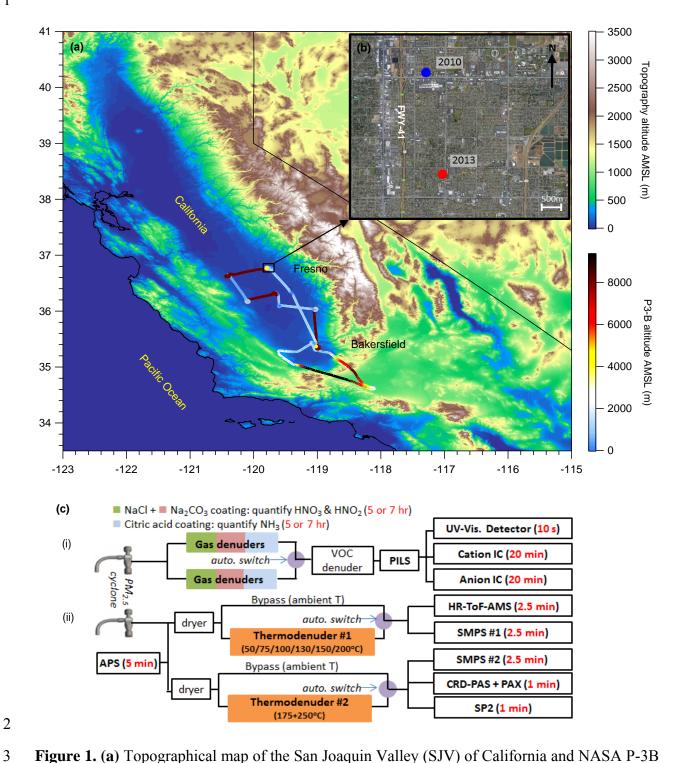
r	НОА	COA	BBOA1	BBOA2	BBOA1 + BBOA2	SV- OOA	LV- OOA	SV- OOA + LV- OOA
Nitrate	0.14	0.15	0.16	0.00	0.08	0.88	0.59	0.88
Sulfate	0.04	0.08	-0.05	-0.08	-0.08	0.74	0.64	0.80
Ammonium	0.13	0.14	0.14	-0.01	0.06	0.87	0.62	0.89
Chloride	0.53	0.43	0.42	0.56	0.58	0.40	0.25	0.39
Org60	0.73	0.67	0.54	0.93	0.89	0.09	-0.02	0.05
$CO_2^+$ (AMS)	0.48	0.54	0.39	0.43	0.48	0.77	0.64	0.83
K (AMS)	0.76	0.73	0.60	0.77	0.81	0.43	0.22	0.40
PAH	0.72	0.60	0.61	0.87	0.89	-0.05	-0.18	-0.12
BC	0.76	0.58	0.60	0.79	0.83	0.24	0.06	0.19
CO	0.81	0.48	0.64	0.69	0.76	0.19	-0.03	0.12
$NO_x$	0.81	0.45	0.61	0.64	0.71	0.16	-0.07	0.08
Acetonitrile	0.62	0.51	0.43	0.61	0.61	0.15	0.05	0.12
Benzene	0.83	0.58	0.59	0.77	0.79	0.14	-0.02	0.09
Toluene	0.75	0.53	0.43	0.64	0.63	0.22	0.05	0.18
Acetaldehyde	0.64	0.50	0.51	0.43	0.53	0.47	0.15	0.39
Acetic acid	0.41	0.36	0.49	0.28	0.42	0.29	0.03	0.22
Acetone	0.33	0.35	0.19	0.23	0.24	0.31	0.19	0.30
Methanol	0.46	0.42	0.36	0.30	0.37	0.36	0.13	0.30
Acetone/propanal	0.29	0.33	0.12	0.20	0.19	0.29	0.20	0.29
C <sub>8</sub> alkylbenzenes	0.76	0.54	0.44	0.61	0.62	0.23	0.05	0.18
C <sub>9</sub> alkylbenzenes	0.75	0.54	0.35	0.60	0.57	0.24	0.05	0.19
Isoprene	0.83	0.61	0.51	0.72	0.72	0.24	-0.03	0.15
MVK/MACR*	0.77	0.58	0.40	0.64	0.62	0.26	0.05	0.21
Monoterpenes	0.73	0.52	0.53	0.71	0.73	0.19	-0.01	0.12
$C_2H_5N^+$	0.65	0.43	0.72	0.40	0.61	0.55	0.12	0.43
$C_3H_3O^+$	0.79	0.88	0.58	0.77	0.81	0.40	0.21	0.38
$C_3H_7^+$	0.92	0.90	0.63	0.69	0.77	0.22	0.03	0.17
$C_3H_7N^+$	0.52	0.24	0.74	0.27	0.54	0.36	0.03	0.27
$C_4H_7^+$	0.90	0.92	0.60	0.66	0.74	0.29	0.08	0.24
$C_4H_9^+$	0.95	0.87	0.62	0.68	0.76	0.18	-0.01	0.12
$C_5H_{11}^+$	0.96	0.85	0.62	0.68	0.76	0.18	-0.01	0.12
$C_5H_8O^+$	0.78	0.94	0.51	0.58	0.64	0.38	0.18	0.35
$C_6H_{10}O^+$	0.80	0.92	0.55	0.53	0.63	0.19	0.04	0.15

$C_7H_{12}O^+$	0.77	0.94	0.48	0.57	0.62	0.36	0.15	0.32
$C_9H_7^{+}$	0.82	0.74	0.72	0.90	0.96	0.18	-0.05	0.10
CHN <sup>+</sup>	0.49	0.37	0.69	0.35	0.56	0.58	0.36	0.57
$CN^+$	0.42	0.29	0.56	0.25	0.44	0.52	0.30	0.49
$\mathrm{CH_2SO_2}^+$	0.01	0.07	0.00	-0.11	-0.08	0.80	0.47	0.77
$\mathrm{CH_3SO_2}^+$	0.06	0.07	0.10	-0.06	0.00	0.81	0.45	0.76
CH <sub>4</sub> SO <sub>2</sub> <sup>+</sup>	-0.01	0.02	0.05	-0.10	-0.05	0.77	0.44	0.73

<sup>1 \*</sup>MVK stands for methylvinylketone and MACR stands for methacrolein.

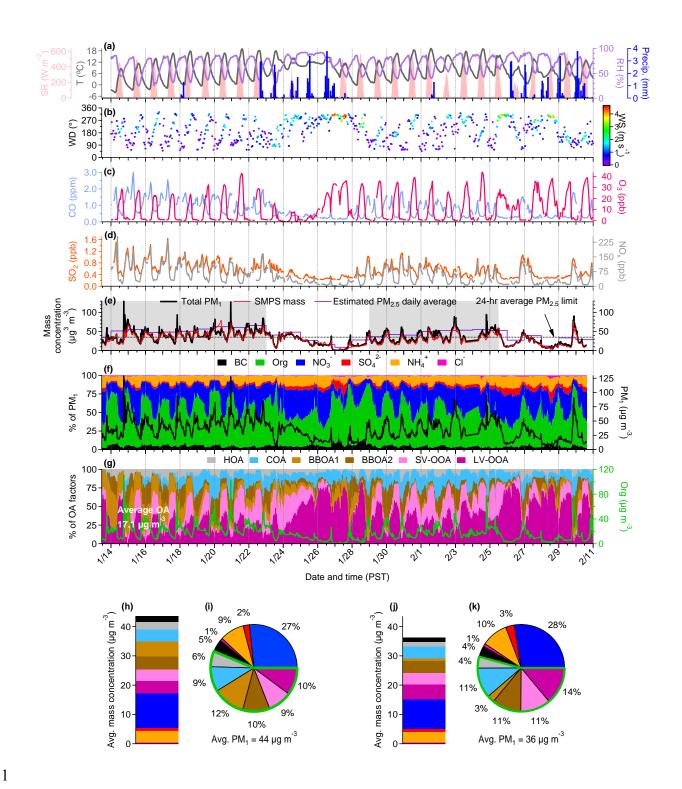
Table 3. Comparison of aerosol properties and meteorological parameters between the campaign
in Fresno in winter 2010 and winter 2013.

	Jan. 9 – Jan. 23, 2010	Jan. 13 – Feb. 11, 2013			
Average NR-PM <sub>1</sub> mass concentration ± one standard deviation (μg m <sup>-3</sup> )	$11.7 \pm 10.8$	$29.6 \pm 16.9$			
O/C (H/C) ratio*	$0.35 \pm 0.07 \ (1.75 \pm 0.08)$	$0.42 \pm 0.10 \ (1.70 \pm 0.05)$			
OA factors from PMF	HOA, COA, BBOA, OOA	HOA, COA, BBOA1, BBOA2, SV-OOA, LV-OOA			
Temperature (°C) (Average $\pm 1\sigma$ )	$9.7 \pm 3.1$	$7.9 \pm 5.2$			
RH (%) (Average $\pm 1\sigma$ )	$85 \pm 12$	$69 \pm 17$			
*calculated using the improved	Canagaratna-ambient method	(Canagaratna et al., 2015).			



**Figure 1.** (a) Topographical map of the San Joaquin Valley (SJV) of California and NASA P-3B flight tracks during the winter 2013 DISCOVER-AQ campaign; (b) the inset shows the location of the supersite in Fresno from winter 2013 (denoted by the red circle) and the location of a

1 similar campaign that took place in winter 2010 (Ge et al., 2012a; Ge et al., 2012b) (denoted by 2 the blue circle); (c) setup of the real-time instruments deployed at the Fresno supersite. (i) A 3 particle-into-liquid sampler (PILS) was coupled with two ion chromatographs (IC) and a UV-Vis 4 detector. The PILS sampled after a fresh set of three annular denuders every 5 or 7 hours; (ii) 5 After a PM<sub>2.5</sub> inlet, the flow was split into three paths: the first path included the high-resolution 6 time-of-flight aerosol mass spectrometer (HR-ToF-AMS) and a scanning mobility particle sizer 7 (SMPS) which sampled alternatively through a bypass line and a thermodenuder (TD). The second path included a SMPS, a cavitiy ring-down photoacoustic spectrometer (CRD-PAS) and 8 9 particle extinctometer (PEX) and a single particle soot photometer (SP2). A TD was used to volatilize aerosol at 175 °C then 250 °C. The third path led to an aerodynamic particle sizer 10 11 (APS).



**Figure 2.** Overview of the chemical composition and temporal trends of submicron aerosols at Fresno in the San Joaquin Valley in January and February 2013 including (a) time series of ambient air temperature (T), relative humidity (RH), solar radiation (SR), and precipitation

(Precip.); (b) time series of wind direction (WD) colored by wind speed (WS); (c) time series of gas phase pollutants (CO and O<sub>3</sub>); (d) time series of gas phase pollutants (SO<sub>2</sub> and NO<sub>y</sub>); (e) time series of total PM<sub>1</sub> and SMPS mass concentrations where SMPS mass was calculated using a time-varying density based on measured particle composition (see Fig. S1b). Also shown are the 24-hour average National Ambient Air Quality Standard for PM<sub>2.5</sub> (35 μg m<sup>-3</sup>) and the calculated average daily PM<sub>2.5</sub> concentrations for comparison. Persistent exceedances of this standard characterize the two pollution periods highlighted by the gray shading (14-23 January and 29 January-5 February); (f) time series of the mass fractional contribution of organic aerosols (Org.), nitrate (NO<sub>3</sub><sup>-</sup>), sulfate (SO<sub>4</sub><sup>2-</sup>), ammonium (NH<sub>4</sub><sup>+</sup>), chloride (Cl<sup>-</sup>) and BC to total PM<sub>1</sub> and time series of the total PM<sub>1</sub> concentration on the right axis; and (g) time series of the mass fractional contribution to total organic aerosol (OA) of the six factors derived from positive matrix factorization (PMF) analysis (see Sect. 3.2) and the time series of the organic aerosols. (h) average mass concentration of the PM<sub>1</sub> species during the first polluted period. The organic aerosol fraction has been split into its components as derived from PMF analysis; (i) compositional pie chart of the PM<sub>1</sub> species from the first polluted period; (i) average mass concentration of the PM<sub>1</sub> species during the second polluted period. The organic aerosol fraction has been split into its components as derived from PMF analysis; (k) compositional pie chart of the PM<sub>1</sub> species from the second polluted period.

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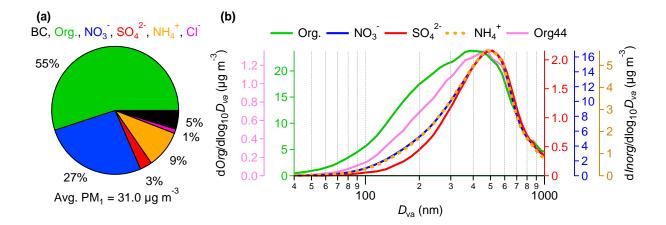
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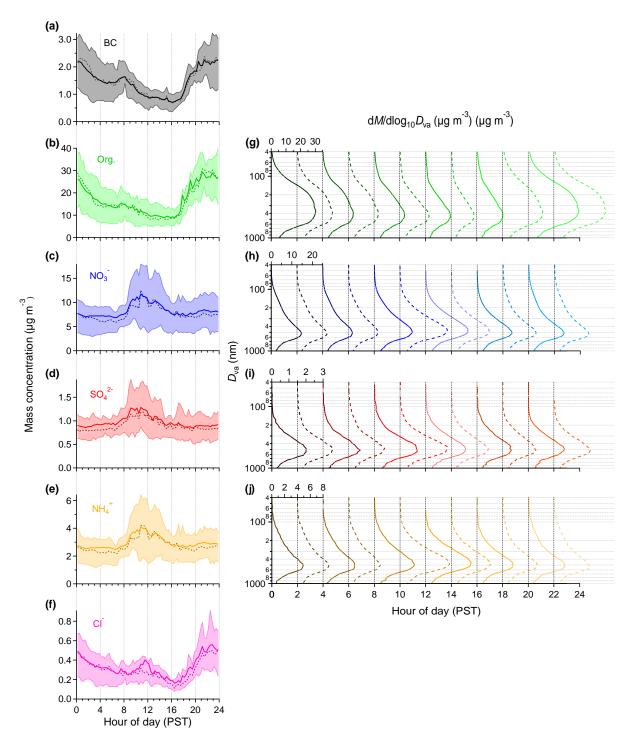
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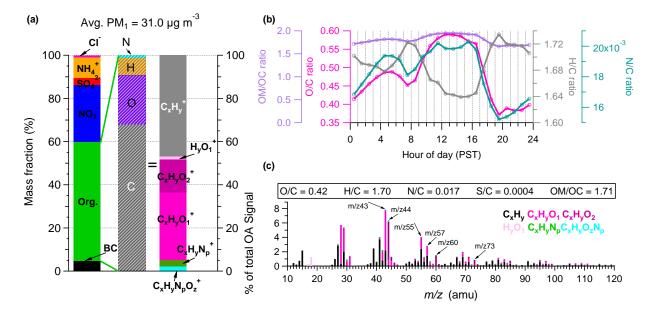


**Figure 3.** (a) Average compositional pie chart of PM<sub>1</sub> species (non-refractory-PM<sub>1</sub> plus BC) for the whole campaign; (b) Campaign-averaged size distributions for individual NR-PM<sub>1</sub> species where Org44 is used to represent secondary organic aerosols. The organic aerosol distribution has been smoothed using the binomial smooth algorithm within Igor.

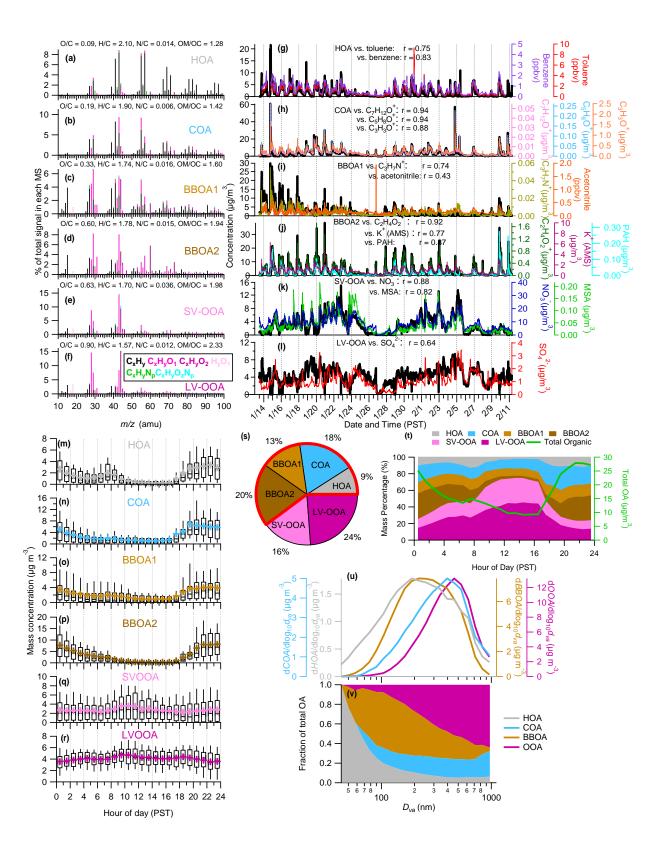


**Figure 4.** (a-f) Average diurnal profiles of each of the  $PM_1$  species where BC measurements are from the SP2 (the 75<sup>th</sup> and 25<sup>th</sup> percentiles are denoted by the top and bottom of the shaded region, the median values are denoted by the broken, dark colored lines, and the mean values are denoted by the solid, light-colored lines); (g-j) Two-hour average diurnal size distributions for

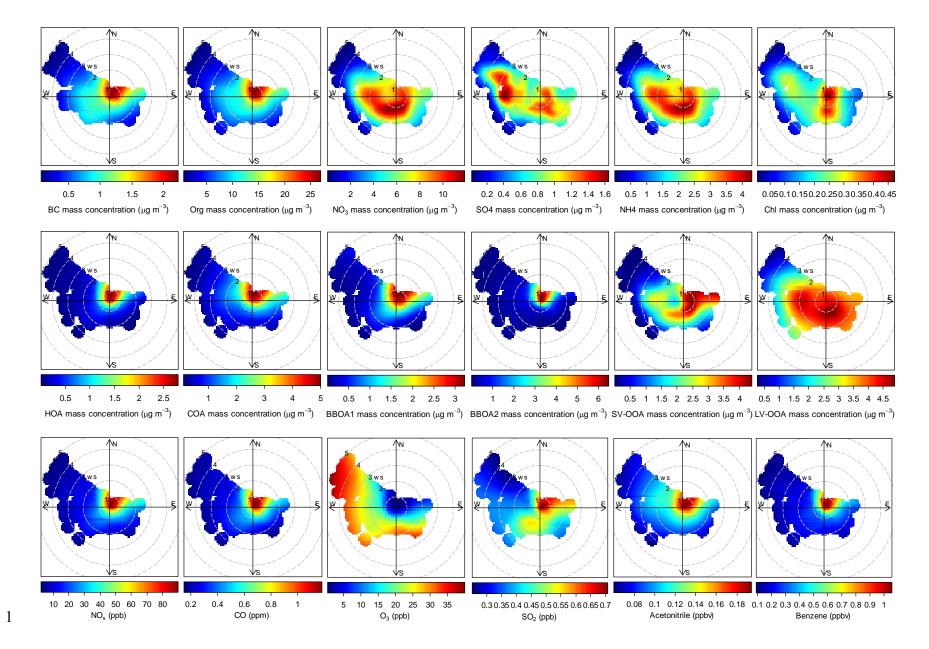
1 each of the NR-PM<sub>1</sub> species. The size distribution of chloride is not included here due to its low 2 signal-to-noise. The vertical gridlines indicate the zero line for each of the two-hour averaged 3 mass-based size distributions and the starting hour of the averaging period (e.g. the zero line for 4 the average size distribution for 06:00-08:00 PST is the vertical line at the 6 hour tick). Each size 5 distribution is scaled to the maximum mass range for that species, as indicated by the top axis for 6 the 00:00-02:00 PST distribution. The organic aerosol distribution has been smoothed using the 7 binomial smoothing algorithm within Igor. Mass-based diurnal size distributions between 30 and 8 1400 nm, of NR-PM<sub>1</sub> species, Org44, used to represent secondary organic aerosols, and Org41, 9 used to represent hydrocarbon containing aerosols, are shown in Fig. S7.



**Figure 5.** (a) Overview of the average PM<sub>1</sub> and OA compositions in Fresno 2013; (b) Average diurnal profiles of the oxygen-to-carbon (O/C), hydrogen-to-carbon (H/C), nitrogen-to-carbon (N/C), and organic matter-to-organic carbon (OM/OC) ratios of OA, where the O/C, H/C and OM/OC elemental ratios were determined using the Canagaratna-Ambient method (Canagaratna et al., 2015); and (c) Average high-resolution mass spectrum of OA colored by ion families. The average elemental ratios for the organic aerosol fraction are detailed in the box.



1 **Figure 6.** Overview of the results from positive matrix factorization (PMF) analysis including 2 high-resolution mass spectra of the (a) hydrocarbon-like OA (HOA), (b) cooking OA (COA), (c) 3 biomass burning OA 1 (BBOA1), (d) biomass burning OA 2 (BBOA2), (e) semi volatile 4 oxygenated OA (SV-OOA), and (f) low volatility oxygenated OA (LV-OOA) colored by 5 different ion families; (g-l) time series of each of the OA factors and various tracer species; (mr) average diurnal profiles of each of the OA factors (the 90<sup>th</sup> and 10<sup>th</sup> percentiles are denoted by 6 the whiskers above and below the boxes, the 75<sup>th</sup> and 25<sup>th</sup> percentiles are denoted by the top and 7 8 bottom of the boxes, the median values are denoted by the horizontal line within the box, and the 9 mean values are denoted by the colored markers); (s) compositional pie chart of the average 10 fractional contribution of each of the OA factors to the total OA for the campaign; (t) average 11 diurnal mass fractional contribution of each of the OA factors to the total OA diurnal and the 12 total OA mass loading; and (u) average size distributions of the OA factors where BBOA1 and BBOA2 were summed together to BBOA before performing the analysis. Similarly, SV-OOA 13 14 and LV-OOA were also summed to OOA before performing the analysis. (v) average mass 15 fractional contributions of the OA components to the total OA mass as a function of size.



- 1 Figure 7. Polar plots of hourly averaged PM<sub>1</sub> species concentrations (top row), mass
- 2 concentrations of the six OA factors identified from PMF analysis (middle row), and mixing
- 3 ratios of various gas phase species from the CARB monitoring station as well as acetonitrile and
- 4 benzene VOCs measured by the PTR-TOF-MS (bottom row) as a function of wind speed and
- 5 direction. These polar plots were plotted in R using the openair package (Carslaw and Ropkins,
- 6 2012; Carslaw, 2015), a data analysis tool for investigating air pollution.

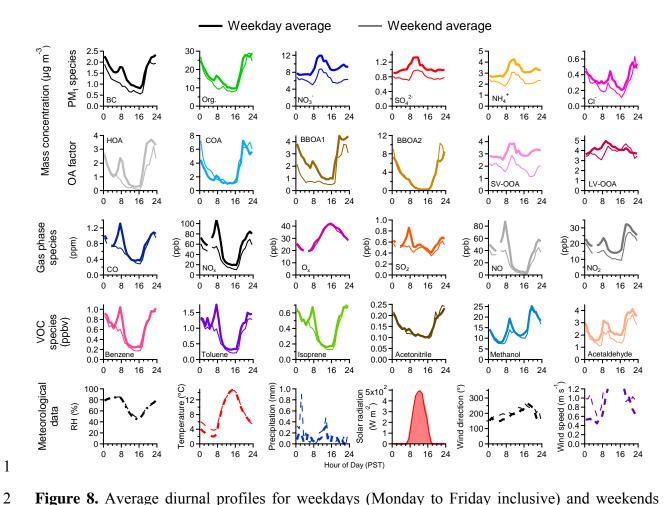


Figure 8. Average diurnal profiles for weekdays (Monday to Friday inclusive) and weekends (Saturday and Sunday) for the PM<sub>1</sub> species measured by the AMS and SP2 (top row), the six OA factors identified from PMF analysis (second row from the top), various gas phase species from the CARB monitoring station (middle row), several VOCs measured by the PTR-MS (second row from the bottom) and various meteorological parameters (bottom row). The average diurnal profiles along with the standard deviations for all species for weekdays and weekends are shown in Fig. S15.

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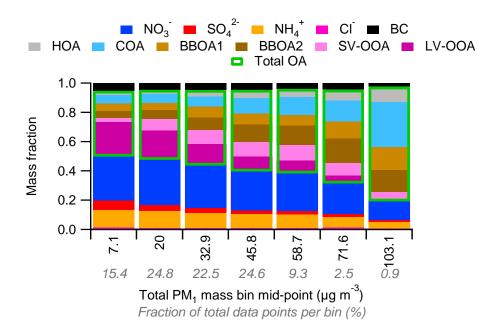
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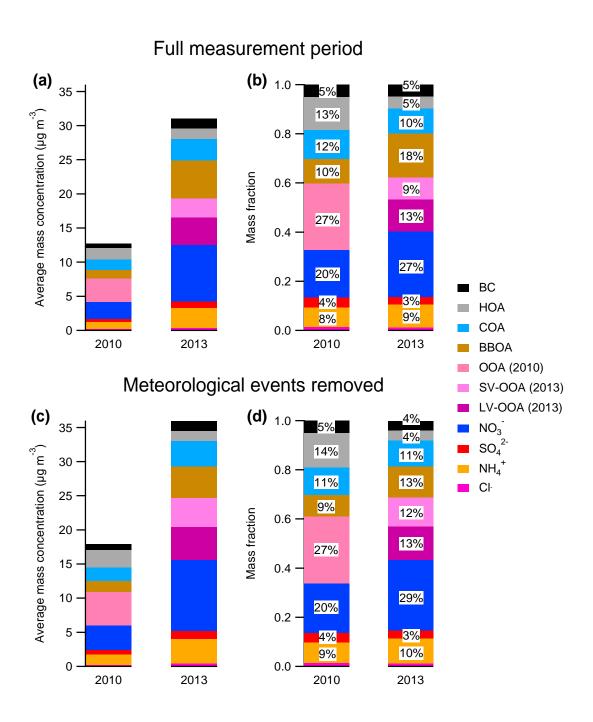
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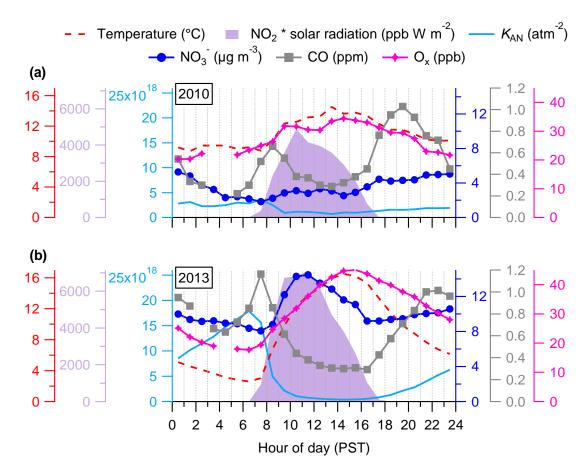


**Figure 9.** Mass fractional contribution to total PM<sub>1</sub> of the non-refractory secondary inorganic species (nitrate (NO<sub>3</sub><sup>-</sup>), sulfate (SO<sub>4</sub><sup>2</sup><sup>-</sup>), ammonium (NH<sub>4</sub><sup>+</sup>), chloride (Cl<sup>-</sup>)), black carbon (BC), and the six OA factors (hydrocarbon-like OA (HOA), cooking OA (COA), biomass burning OA 1 (BBOA1), biomass burning OA 2 (BBOA2), semi-volatile oxygenated OA (SV-OOA), low volatility oxygenated OA (LV-OOA)) as a function of total PM<sub>1</sub> mass during the whole campaign. The green outline indicates to the fraction of total OA. Note that the final bin comprises the top four mass bins in order to improve the statistics for these high loading bins.



**Figure 10.** Comparison of aerosol composition between 2010 and 2013: (a) Mass concentrations of all PM<sub>1</sub> species for the full measurement period; (b) Fractional contributions of PM<sub>1</sub> species to the total PM<sub>1</sub> mass for the full measurement period; (c) and (d) are the same as (a) and (b) except for the fog events and precipitation events are removed from the 2010 dataset and the cold period and precipitation events are removed from the 2013 dataset. In all cases, the organic

- 1 fraction has been separated into its respective components determined from PMF analysis.
- 2 BBOA1 and BBOA2 from 2013 have been summed to give the total BBOA mass and fractional
- 3 contributions. BC in 2010 was estimated assuming the contribution to total PM1 mass was
- 4 similar to 2013 (~5%). The contribution of chloride to total mass is 1% in all cases.



**Figure 11.** Diurnal profiles for nitrate and various parameters and proxies for formation pathways in 2010 (a) and 2013 (b). Parameters shown include temperature, CO for boundary layer dynamics,  $O_x$  as a proxy for photochemical formation of HNO<sub>3</sub> and subsequently particulate nitrate, NO<sub>2</sub> x solar radiation as a proxy for daytime HNO<sub>3</sub> formation,  $K_{AN}$  is the equilibrium constant for gas-to-particle partitioning for ammonium nitrate. As ammonium nitrate formation is dependent on temperature and humidity, fog events, cold periods, and precipitation events have been removed from the respective datasets prior to the analysis.