

1 Dear Editor,

2 First, we thank you for handing this manuscript. We revised the paper according to reviewer's  
3 comments and suggestions. The key revisions are summarized below and the paper is  
4 significantly improved. We hope that the revised paper could satisfy you and the referees, and  
5 that you now find it suitable for publication in ACP.

6 Best regards,

7 Tao

8

### 9 **Summary of key revisions**

10 The key revisions of the manuscript according to the reviewers' comments are  
11 summarized below by sections:

12

#### 13 **Title**

14 The title was changed to 'Marine Boundary Layer Structure as Observed by A-Train  
15 Satellites' according to referee 1's specific comment (1).

16

#### 17 **1 2 Data**

##### 18 **2 2.1 Satellite Observations and Data Collocation**

19 (1) Information of CloudSat and AMSR-E was added according to referee 1's specific  
20 comment (7).

21 (2) According to referee 1's specific comment (12) and referee 2's comment (3), more  
22 information related to the evaluation of AMSR-E U10m and SST is provided now.

23 (3) Details of the data collocation were rephrased according to referee 1's major comment  
24 (1).

25

1 **3 3 MBL Structure Identification Methodology**

2 **4 3.2 MBL structure identification methodology for CALIOP**

3 (1) According to referee 2's major comment (2), additional evaluation results for the lidar-  
4 based MBL structure determination methodology with collocated clear-sky lidar and  
5 radiosonde measurements at the ARM Nauru site were added. The results show good  
6 accuracies of our lidar based BLH and MLH determinations for clear-sky MBL.

7 (2) Correlation coefficients and a measure of the statistical significance level were added  
8 for related results according to referee 1's major comment (3) and specific comment (20,30),  
9 referee 2's minor comment (6) and main issue (1), and referee 3's comment (1).

10

11 **5 4 Results and Discussions**

12 **6 4.1 MBL Structure over the Eastern Pacific Ocean**

13 (1) According to referee 3's comment (1), spatial correlations in Fig. 3, correlations  
14 between wind and EIS in Fig. 4 and 5, and uncertainties of MLH/BLH and EIS were  
15 quantified.

16 (2) The correlation coefficients of aerosol loading (contours in Figs. 4 and 5 (a1-a4)) in  
17 the well-mixed layer with the  $U_{10m}$  were added according to and referee 1's specific comment  
18 (42) and referee 2's minor comment (8).

19 (3) The global ocean data are used in the analysis of the factors controlling MBL  
20 decoupling structure and stratiform cloud top behaviors instead of eastern Pacific Ocean data  
21 according to referee 1's major comment (4). Related statements were revised accordingly  
22 based on the new results. Correlation coefficients and their significance levels were provided  
23 in the analyses according to referee 1's major comment (4), referee 2's main issue (1), and  
24 referee 3's comment (1).

25

26 **Acknowledgements:** Acknowledgements now include all the instrument teams and data  
27 providers as suggested by referee 1's specific comment (56) and referee 2's minor comment  
28 (9).

29

30 **Figures:** All figures were re-plotted according to reviewers' comments.

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**Language:** All language issues from reviewers' comments were corrected as suggested (not listed here one by one). Efforts were made to improve the language of the manuscript and the paper was proofread by the OSA Language-Editing Service.

**The detailed point-to-point responses are given below:**

**General response**

The authors thank the reviewers for their helping to improve this manuscript and the English language. We greatly appreciate your detail comments and creative suggestions. We revised the paper according to your suggestions. Our replies to the comments are given below.

**Anonymous Referee #1**

**Received and published: 15 December 2015**

**Review of "Marine boundary layer structure as observed by space-based lidar" by Luo et al. for publication in Atmospheric Chemistry and Physics.**

**The authors present an investigation of the marine boundary layer in the eastern Pacific, primarily during the MAGIC field campaign, but also extending to a 4 year (2006- 2010) climatology using the lidar on the CALIPSO satellite. They examine processes related to decoupling within the boundary layer resulting in a well-mixed layer within the deeper boundary layer structure. In addition to CALIPSO, they examine soundings from the field campaign, AIRS retrieved soundings, and estimates from ECMWF among others. Several issues with the analysis and description need to be addressed before publication in ACP.**

**Major comments**

1 **1) You specify that you do not use any cloudy CALIOP profiles in your estimation of**  
2 **BLH structure and this needs further clarification. Did you remove every profile**  
3 **affected by clouds or only those meeting some threshold? Did this include thin cirrus?**  
4 **How did you calculate MLH under these conditions?**

5 **Reply:** In this paper, we used CALIOP profiles with no cloud below 8km, including cases  
6 with clouds above 8km. The MLH is calculated based on aerosol backscattering coefficient,  
7 which is not affected by the high clouds. Details were added into manuscript and relative  
8 statements in section 2 and section 3.2 were changed.

9

10 **2) In your comparisons between MAGIC soundings and CALIOP, in order to be**  
11 **consistent, you should only examine clear-sky MAGIC soundings. Otherwise you are**  
12 **comparing different conditions. Also, you specify that the collocations are within 2.5**  
13 **degrees, but you don't specify the time range. What temporal restrictions did you use**  
14 **for your collocations.**

15 **Reply:** The evaluation of lidar methodology with soundings were performed with 2-year  
16 (2007-2008) clear-sky Atmospheric Radiation Measurement Program (ARM) Climate  
17 Research Facility (ACRF) radiosonde and micro pulse lidar (MPL) observations (Xie et al.,  
18 2010, Mather and Voyles, 2013) at Nauru (marine site). Compared to radiosonde-derived  
19 BLH, the bias and root mean square error (RMSE) of MPL derived BLH is  $-0.12 \pm 0.24$  km  
20 and correlation coefficient between each other is 0.75. Compared to radiosonde-derived  
21 MLH, the bias and RMSE of MPL derived MLH is  $-0.06 \pm 0.16$  km and correlation coefficient  
22 between each other is 0.66. Compared to radiosonde-derived MLH/BLH, the bias and RMSE  
23 of MPL derived MLH/BLH is  $-0.02 \pm 0.1$  and correlation coefficient between each other is  
24 0.61. All the correlation coefficients are reported at confidence level of 0.01. These  
25 evaluations indicate the good accuracies of our lidar based BLH and MLH determinations for  
26 clear-sky MBL structure study. Relative statements were added into this section.

27 The MAGIC soundings are mostly under cloudy conditions and are poorly collocated with  
28 CALIPSO overpass. The temporal restriction for the collocation is 1 day. The purpose of the  
29 evaluations of CALIOP with MAGIC soundings was to show that the CALIOP-observed  
30 clear-sky MBL structure could be similar to the structure of the nearby cloudy-sky MBL in  
31 some extent. This is the basic assumption for discussion in section 4.2. Relative statements  
32 were added into this section and section 4.2.

1

2 **3) In general, parts of the analysis stating good correlation would greatly benefit from**  
3 **the authors providing correlation coefficients and a measure of the statistical**  
4 **significance.**

5 **In multiple sections, the authors state that two things are well correlated, but show no**  
6 **quantitative evidence, other than an examination of the plots which can be subjective,**  
7 **especially since some of the plots are small and hard to read.**

8 **Reply:** The correlation coefficients and a measure of the statistical significance were provided  
9 in the analysis now when discussing their agreements. The plots were improved according to  
10 referees' comments.

11

12

13 **4) In the conclusions, you state that MBL decoupling is mainly controlled by EIS, but I**  
14 **don't think you've shown this. If that were the case, I'd expect to see a stronger**  
15 **relationship between the two. In a global analysis (i.e. not just over eastern Pacific), I**  
16 **would like to see the correlation coefficients and their significance and an explanation of**  
17 **the physical mechanisms and why other variables (e.g. SST) are not responsible before**  
18 **making this claim. Correlation does not equal cause and effect.**

19 **Reply:** Now, the analysis was performed over the global ocean, and the correlation and  
20 statistical significance were provided to show the relationship between EIS and MBL  
21 decoupling.

22 The entrainment of the dry warm air above the inversion can be the main factor controlling  
23 the MBL decoupling. The EIS has a significant control of the MBL top entrainment. Because  
24 the SST, wind shear and surface heat flux also affect the entrainment process (Venzenten et  
25 al., 1999), it is reasonable to expect that these parameters also impact MBL decoupling.  
26 However, we did not find significant relationship between MBL decoupling and  $U_{10m}$  or SST.  
27 Instead, we found that the MBL coupling structure is controlled by both LTS and EIS when  
28  $EIS < \sim 3$  K, that is, better mixed MBL with increasing of EIS and decreasing of LTS. One  
29 reason for a weak relationship with  $U_{10m}$  or SST could be the uncertainties in satellite  
30 retrievals of these parameters. Another reason could be that the role of other variables was  
31 partially included by the EIS and LTS. Statements were changed to reflect the above

1 discussion.

2

3 **5) Please proofread the English grammar more carefully.**

4 6.1 **Reply:** We put efforts on the language and the paper was proofread by the OSA  
5 Language-Editing Service. The manuscript is improved now.

6

7 **Specific comments:**

8 **1) The title implies that this analysis relies primarily upon space-based lidar when much**  
9 **of the analysis relies on data from other instruments. In section 3.2, it is stated that only**  
10 **BLH estimated from clear-sky CALIOP profiles are used. Considering that much of the**  
11 **analysis concerns the presence of stratocumulus and cumulus clouds, conditions in**  
12 **which CALIOP is not used, the title is misleading and should be changed to reflect the**  
13 **broader array of instrumentation used.**

14 **Reply:** The title was changed to 'Marine Boundary Layer Structure as Observed by A-Train  
15 Satellites'.

16

17 **2) Page 34066, line 19: "However, over oceans, the BLH is associated with the aerosol**  
18 **layer top (clear sky) or stratiform cloud top (cloudy sky), : : ." How does this differ from**  
19 **BLH and MLH over land?**

20 **Reply:** As shown in Luo et al. (2014a), over land, the gradient or variance methods could  
21 identify the BLH, while aerosol layer top is usually higher than the BLH. The statement was  
22 added.

23

24 **3) Page 340066, line 20: the word below is misspelled**

25 **Reply:** Corrected.

26

27 **4) Page 34066, line 21: You state that there is a strong aerosol gradient at the top of the**  
28 **MLH. Is this not present also at the BLH top? If not, how does your threshold method**

1 **detect the BLH?**

2 **Reply:** The lidar methodology were developed and evaluated with the 2-year (2007-2008)  
3 clear-sky Atmospheric Radiation Measurement Program (ARM) Climate Research Facility  
4 (ACRF) radiosonde and micro pulse lidar (MPL) observations (Xie et al., 2010, Mather and  
5 Voyles, 2013) at Nauru (marine site). As detailed in Luo et al. (2014a), over ocean, the MBL  
6 top (BLH) is usually at the aerosol layer top, and the MLH is usually close to the height with  
7 a strong aerosol gradient below BLH. For decoupled MBL, the aerosol gradient at the BLH  
8 top is weaker than that at the MLH top, as a case shown in Figure 4 in Luo et al. (2014a). For  
9 well-mixed MBL, the BLH and MLH are same.

10

11 **5) Page 34066, line 25: “Difficulties in identifying stratiform clouds: : :” Do you mean**  
12 **difficulties in differentiating between cumulus and stratiform clouds?**

13 **Reply:** Changed to ‘differentiating between cumulus and stratiform clouds’.

14

15 **6) Page 34067, lines 17-19: The phrasing here is awkward. Please reword. Please also**  
16 **specify that the 532nm polarized backscatter is both perpendicular and parallel**  
17 **polarizations.**

18 **Reply:** Rephrased to ‘CALIOP is a dual-wavelength (532 and 1064 nm) backscatter lidar,  
19 which is carried on the Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations  
20 (CALIPSO) (Winker et al., 2007; Winker et al., 2009). At 532 nm, the CALIOP provides both  
21 the parallel and perpendicular polarization components of attenuated backscatter.’

22

23

24 **7) Page 34067, line 23: You need to introduce CloudSat (and later AMSR-E) and**  
25 **provide references.**

26 **Reply:** Information of CloudSat and AMSR-E was added as suggested.

27

28 **8)Page 34068, lines 9-11: The explanation of EIS could be clearer. Perhaps including the**  
29 **equation would be helpful. Also, what level moist adiabatic lapse rate did you use?**

1 **Reply:** Equation was added. The moist adiabatic lapse rate is at 850hpa.

2

3 **9) Page 34068, line 8: The text says you use the surface potential temperature, but the**  
4 **equation here implies 1000 hPa potential temperature. Which did you use?**

5 **Reply:** The equation was changed to match the text.

6

7 **10) Page 34068, lines 25-26: How do you differentiate between the AMSR-E passes in**  
8 **your analysis; which did you use? What is the timescale of these maps? Did you use**  
9 **daily data, monthly, etc.?**

10 **Reply:** We used both ascending and descending passes for daytime and nighttime orbits. The  
11 AMSR-E passes were differentiated based on the geo-locations and observation times  
12 provided in this dataset. AMSR-E Level 3 daily Ocean Products version-7 was used.  
13 Information was added.

14

15 **11) Page 34068: Specify that AMSR-E is onboard Aqua and therefore is collocated with**  
16 **AIRS and AMSU and in the A-train with CALIPSO and thus the instruments are**  
17 **sampling similar conditions and time of day.**

18 **Reply:** Statements were added as suggested.

19

20 **12) Page 34068, lines 26-27: You specify the RMS differences, but don't say relative to**  
21 **what. This information is necessary for understanding the associated uncertainty.**

22 **Reply:** Statements were changed to 'Error in the data was estimated using the root mean  
23 square (RMS) difference between AMSR-E  $U_{10m}$  and  $U_{10m}$  coming from four other satellite  
24 microwave radiometers (three SSM/Is and TRMM TMI) and with  $U_{10m}$  from the satellite  
25 microwave scatterometer QuikScat (0.92 m/s with a bias of 0.57 m/s) (Wentz et al., 2003).  
26 This calculation gave an RMS difference between AMSR-E SST retrievals and the Reynolds  
27 SST as 0.76 K (Wentz et al., 2003). Validation using data from a buoy (National Data Buoy  
28 Center, NDBC)  $U_{10m}$  (mean value of 6.61 m/s) gave an RMS difference with AMSR-E  $U_{10m}$   
29 (mean value of 6.46 m/s) is 1.63 m/s with a bias of -0.15 m/s (Luo et al., 2015). Validation



1 with NDBC buoy SST (mean value of 299.49 K) in this study showed that the RMS  
2 difference in AMSR-E SST (mean value of 299.26 K) is 0.99 K with a bias of  $-0.23$  K. ’

3

4

5 **13) Page 34069, line 1: Earlier, you state that AMSR-E data are on a 0.25 degree map,**  
6 **but here you say 25km. Which resolution is used?**

7 **Reply:** Changed from 25km to 0.25 degree.

8

9 **14) Page 34069, line 7: Spell out GPCI**

10 **Reply:** Added.

11

12 **15) Page 34070, lines 6-10: If the HSRL signal is negatively impacted by cloud cover and**  
13 **much of the transect included clouds, what uncertainty is present in your aerosol**  
14 **distribution estimate?**

15 **Reply:** The HSRL signal was only used to show the aerosol and cloud vertical distribution  
16 along the transect. The HSRL can detect the aerosol signal below clouds because it is looking  
17 upward from ship. As can be seen in Figure 1 when western than longitude of  $\sim -140^\circ$ , there is a  
18 layer below clouds (if exist) with more aerosol loading and with the layer top close to the  
19 MLH identified from radiosonde. Due to the high cloud occurrence along MAGCI transect,  
20 we did not applied the lidar methodology to HSRL clear-sky profiles.

21

22

23 **16) Page 34070, line 12: Why is sonde in all capital letters and why is radiosonde or**  
24 **rawinsonde not spelled out?**

25 **Reply:** Changed ‘SONDE’ to ‘radiosonde’ throughout the manuscript.

26

27 **17) Page 34070, line 22: Did you determine the method based on the structure? Please**  
28 **clarify or reword. Was the MLH detected by radiosonde or the lidar? If it was the**

1 **radiosonde, how was this determined?**

2 **Reply:** Statement was removed.

3 The MLH was detected with both radiosonde and the lidar.

4 For radiosonde, MLH height was identified as the base of the first inversion layer with  
5 inversion strength larger than 0.05K/100m in radiosonde potential temperature profiles.

6 Statements were changed to make it clear.

7

8 **18) Page 34070, line 23: “Figure 1 presents the one transect: : :” was there only one**  
9 **transect?**

10 **Reply:** There are total 40 transects in MAGIC field campaign. Figure 1 presents one transect.

11

12 **19) Page 34070, line 24: Temperature is misspelled. Please use spell check.**

13 **Reply:** Corrected.

14

15 **20) Page 34070, lines 24-25: What is the correlation between the BLH and aerosol**  
16 **layer/Sc top?**

17 **Reply:** The correlation coefficient between the radiosonde BLH and aerosol layer top is 0.75  
18 for clear-sky observations (at the ARM Nauru cite), and is 0.56 for loosely collocated clear-  
19 sky CALIOP and all-sky MAGIC observations. The correlation coefficient between the  
20 CALIOP-derived BLH and Sc top is 0.66. All correlation coefficients are at confident level of  
21 0.01. Statements were added into this section.

22

23 **21) Page 34070, lines 22-26: How close is the spatiotemporal location of the soundings**  
24 **with HSRL?**

25 **Reply:** The soundings and HSRL were all deployed on the commercial cargo container ship  
26 Horizon Spirit in MAGIC field campaign.

27

28 **22) Page 34071, line 2 and Fig. 1: It is difficult to see the inversion associated with the**

1 **MLH described in the text in this figure. It would be helpful to see one profile of theta**  
2 **with the associated TAB from HSRL.**

3 **Reply:** The figure can be found in Figure 4 of Luo et al. (2014a) with ground-based lidar and  
4 radiosonde observations. Statement was added.

5

6 **23) Page 34071, line 8: How was the 0.05K/100m threshold identified?**

7 **Reply:** The threshold was chosen based on visual check through all MAGIC transects.  
8 Statement was added to clarify it.

9

10

11 **24) Fig 1: What does it mean when the MLH in Fig. 1 is at the surface. To my eye, it**  
12 **looks like MLH=BLH east of longitude 137 degrees W.**

13 **Reply:** The lower limit of the y-axis is 0.3km. The radiosonde-derived MLHs are ~0.3km due  
14 to weak inversion above this height when east of longitude 137 degrees.

15

16 **25) Page 34071, line 23: Since the sonde data are not the truth, do not say that the**

17 **CALIOP derived BLH are biased lower. Just say that they are lower. And this is not**  
18 **true over the eastern domain.**

19 **Reply:** 'biased' was removed.

20

21 **26) Page 34071, lines 25-26: How large is the spatial mismatch? What is the temporal**  
22 **mismatch? How many observations go into both estimates? A better comparison would**  
23 **limit the radiosonde data to clear-sky only so they are more comparable to the**  
24 **CALIPSO estimates.**

25 **Reply:** We used quite loose constrains (1-day and 2.5 degree) to find collocated MAGIC and  
26 CALIOP measurements. However, the statistical results showed that the CALIOP-observed  
27 clear-sky MBL structure could capture a similar structure of the nearby cloudy-sky MBL.

28 The clear-sky only evaluation of the lidar methodology was added by using the 2-year (2007-

1 2008) collocated clear-sky Atmospheric Radiation Measurement Program (ARM) Climate  
2 Research Facility (ACRF) radiosonde and micro pulse lidar (MPL) observations (Xie et al.,  
3 2010, Mather and Voyles, 2013) at Nauru (marine site).

4

5 **27) Page 34072, lines 1-4: Why would you expect a cloud-free regime and a cloudy**  
6 **regime to have similar BLH? And if the spatiotemporal mismatch is very large, such**  
7 **that one is not representative of the other, why is there good agreement?**

8 **Reply:** Even though there are differences between a cloud-free regime and a cloudy region,  
9 but during MAGIC, we expect the both regimes show a consistent picture of MBL transition  
10 from the coastal to the far ocean. That is why they agree statistically but with small mean  
11 differences.

12

13 **28) Page 34071, line 6: indicates is misspelled. Please use spell check.**

14 **Reply:** Corrected.

15 **29) Page 34071, line 6: LCL is shown in Fig. 2c, not 2b.**

16 **Reply:** Corrected.

17

18 **30) Page 34071, lines 10-12: What are the correlation coefficients for these comparisons?**  
19 **Are they statistically significant? For instance, the BLH seems to be an average of 1-1.5**  
20 **km so an error of 25-40% seems large. What is your justification for this being a good**  
21 **fit?**

22 **Reply:** Those were compared between CALIOP cloud-free cases with MAGIC cloudy cases  
23 based on loosely collocated data, which results in large random errors in the comparison. As  
24 mentioned above, data from the both regimes show a consistent trend. As given in the reply to  
25 your major comment 2, the comparison based on collocated cloud-free cases at an ARM  
26 tropical site was given to more reliably to show the performance of the algorithm.

27

28

29 **31) Page 34072, line 16: How is the MBLC dataset built?**

1 **Reply:** The MBLC dataset includes cloud type and stratiform-cloud top based on 2B-  
2 CLDCLDCLASS-LIDAR, and drizzle information based on 1B-CPR dataset as described in  
3 the previous section. The statement was changed to ‘In this section, we will investigate the  
4 MBL structure over the eastern Pacific Ocean with the 4-year new MBL and marine boundary  
5 layer cloud (MBLC, including cloud type and stratiform-cloud top based on 2B-  
6 CLDCLDCLASS-LIDAR, and drizzle information based on 1B-CPR) dataset as described in  
7 the previous section.’

8

9 **32) Page 34072, line 24: Cold SST could also be partly responsible. If it was due solely to**  
10 **subsidence, the BLH would be low over the deserts.**

11 **Reply: Changed to ‘due to the strong subsidence and cold SST’.**

12

13 **33) Page 34072, line 26: How do you determine the clear-sky BLH when convection is**  
14 **occurring? Usually convection is associated with clouds.**

15 **Reply:** The SST over the ITCZ region is high, which can result in stronger buoyancy-driven  
16 turbulence and thus stronger vertical mixing. Statement was changed to ‘The BLH is high  
17 over the Intertropical Convergence Zone (ITCZ) due to large-scale convergence and the high  
18 SST, which results in strong buoyancy-driven vertical turbulence mixing’ to make it clear.

19

20 **34) Page 34073, lines 7-11: Please rephrase. First you say there are magnitude**  
21 **differences and then you provide an example where you say that McGrath-Spangler and**  
22 **Denning give a similar pattern and value. These two statements are inconsistent.**

23 **Reply:** The BLH reported in McGrath-Spangler and Denning (2013) show a quite similar  
24 pattern and value to the MLH in our results, which means their BLH is much lower than the  
25 BLH in our results. Statements were changed to clear this point.

26

27 **35) Page 34073, line 17: There is a minimum in MLH/BLH over the equator, implying**  
28 **weaker mixing from 160W to 100W**

29 **Reply:** Statement was added.

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**36) Page 34073, lines 25-26: This is repetitive**

**Reply:** Deleted.

**37) Page 34073, lines 19-29: If clear-sky is included, how do you define a CTH in the case without clouds?**

**Reply:** Within the collocated  $0.25^\circ$  grid-box, there are many cases with the part of grid-box covered by stratiform clouds and the rest being clear sky. In these cases, both BLH and CTH are available and good correlation cloud be found between the BLH and stratiform CTH as shown section 3.2. Statement was added to clarify it.

**38) Page 34073, line 27: What cloud types are away from the coast with tops  $\leq 2.5\text{km}$ ?**

**Please state to be consistent with your statement about stratiform clouds in the previous line.**

**Reply:** ‘the drizzled stratiform cloud tops’ was added here.

**39) Page 34074, line 1: Specify what you mean by “approaching the central Tropical Pacific”**

**Reply:** Changed to the tropic Pacific near longitude of  $\sim 180^\circ\text{W}$ .

**40) Page 34074, lines 6-7: Are these stratiform clouds included in Fig. 3a?**

**Reply:** The BLH and MLH were identified from the clear-sky CALIOP profiles in the collocated  $0.25^\circ$  grid-box. Therefore, Fig. 3a includes all cases with cloud fraction  $< 1$ .

**41) Page 34074, lines 8-12: Reword to make clear that heterogeneous conditions are less likely to precipitate than more homogeneously cloudy ones.**

**Reply:** Reworded as suggested.

1

2 **42) Page 34074, line29: When you state something has a good positive correlation, please**  
3 **include the correlation coefficient and the significance.**

4 **Reply:** The optical depth in the lower well-mixed layer has the correlation coefficient of 0.64  
5 at confident level of 0.01 with the  $U_{10m}$  in NPO, but the correlation coefficient is -0.08 at  
6 confident level of 0.39 in the SPO. The statement was added.

7

8 **43) Page 34075: There appears to be a seasonal cycle in the near coastal aerosol**  
9 **concentrations in the SPO transect. Can you comment on this?**

10 **Reply:** The seasonal cycle in the near coastal aerosols is mainly driven by seasonal sea  
11 salt source (link with wind) and MLH and BLH variations. However, the near coastal  
12 aerosol can still be influenced by the continental aerosol sources.

13

14 **44) Page 34075, line 12: “Sc occurrence and EIS correlate well: :” this is not true near**  
15 **the coast. What other processes could be involved?**

16 **Reply:** Other processes such as sea-land breeze and cold current near the coasts of Northern  
17 and Southern America resulting cold SST could be involved. Statements were added.

18

19 **45) Page 34075, line 13: If drizzle occurrence isn’t discussed other than to say it isn’t**  
20 **correlated with EIS, why show it in this plot?**

21 **Reply:** Removed from the plot.

22

23 **46) Page 34075, line 25: This doesn’t seem to be the case for NPO during DJF. Do you**  
24 **have any explanation for the seasonal dependence?**

25 **Reply:** We further investigated the possible controlling factors to the  $CTH_{no\ drizzle}/CTH_{drizzle}$   
26 beyond EIS over global oceans. The SST was found to be important. Under cold SST  
27 ( $SST < -20^{\circ}C$ ), mean  $CTH_{no\ drizzle}/CTH_{drizzle}$  does not vary with EIS. Under warm SST  
28 ( $SST > -20^{\circ}C$ ) where the Sc-to-Cu transition happens, the mean  $CTH_{no\ drizzle}/CTH_{drizzle}$  shows  
29 good correlation with EIS, with the correlation coefficient  $>0.89$  at confident level of 0.01.

1 The near-coast (eastern than  $\sim$ -130) SST for NPO during DJF is close to or colder than 20°C.  
2 Therefore, it seems no good relationship between EIS and  $CTH_{no\ drizzle}/CTH_{drizzle}$  for this  
3 season.

4 Figure 6(c) and relative statements were changed.

5

6 **47) Page 34076, lines 17-18: Please rephrase for clarity.**

7 **Reply:** Rephrased as ‘The  $CTH_{no\ drizzle}$  is close to the BLH but is lower than the  $CTH_{drizzle}$ .’

8

9 **48) Page 34077, lines 19-21: If Sc represent more than 1/2 of the grid box and CALIOP**  
10 **has a small footprint, why would clear-sky MBL be represented in the average?**

11 **Reply:** According to the evaluations of CALIOP-derived clear-sky MBL structure with  
12 MAGIC cloudy-sky MBL structure and the evaluation of CALIOP-derived clear-sky BLH  
13 with the nearby stratiform cloud top from 2B-CLDCLASS-LIDAR in section 3.2, it is  
14 reasonable to assume that the cloud-topped MBL can have the similar structure to the nearby  
15 clear-sky MBL within the 0.25° footprint for the Sc and Cu MBL cases. Statements were  
16 added.

17

18 **49) Fig. 7: In Section 3.2, you say you cannot use CALIOP to estimate BL structure in**  
19 **cloudy conditions so what is your justification for using it to show TAB under stratiform**  
20 **and Cu conditions?**

21 **Reply:** Figure 7 is the mean BL structure in term of TAB from the cases with both clear-sky  
22 and stratiform/Cu cloud in the same 0.25° grid box. The definitions of the stratiform and Cu  
23 cloud conditions were in this section and in the caption of the figure. Relative statements were  
24 revised to make it clear.

25

26 **50) Fig. 1 caption: The diamonds and circles are black, not magenta.**

27 **Reply:** Corrected.

28

29 **51) Fig. 2: Instead of showing the 1:1 line, it would be helpful to show a best fit line or**



1 **lines.**

2 **Reply:** Due to uneven data samples the best-fit line may be misleading. Thus, we only  
3 provide related statistics in the text. The 1:1 line offers a simple way to assess differences  
4 between different measurements.

5

6 **52) Fig. 3: I don't believe Fig. 3h (u10m) is discussed. If not, please remove.**

7 **Reply:** Removed as suggested.

8

9 **53) Fig 4: For consistency with Fig. 5 and to make it easier to determine proximity to the**  
10 **coast, change the x-axis to show longitude.**

11 **Reply:** Changed as suggested.

12

13 **54) Fig. 6: (1) Are these scatter plots? If so, please remove the connecting lines. (2) What**  
14 **instrument is used to calculate the MLH/BLH here (6b)? (3) Please label the y-axis in**  
15 **Fig. 6c.**

16 **Reply: (1)** The lines were kept to make the trends in plots more clear.

17 **(2)** It is CALIOP-derived MLH/BLH in (6b). A statement was added to clarify it.

18 **(3)** Added.

19

20 **55) Fig. 7: (1) Are these associated with a particular season or the 4 year climatology?**

21 **(2) How many profiles are averaged in each? Are any cases better or worse sampled?**

22 **(3) If you're including BLH up to 1.6 km in Fig. 7c, extend the y-axis to include these**  
23 **values.**

24 **(4) Please include symbols to indicate the estimated BLH and MLH so your point is**  
25 **clear about decoupling between the two with varying EIS and BLH.**

26 **Reply: (1)** These are the 4-year climatology. A statement was added to clarify it.

27 **(2)** The total case numbers in each plot are (a1) 7019; (a2) 903; (a3) 3050; (b1) 9911; (b2)  
28 1595; (b3) 3900; (c1) 11166; (c2) 1714; (c3) 4249. The number of stratiform cloud case is

1 smaller than other two cases, but is still large enough to support the statistical analysis (70-  
2 400 cases were averaged for each stratiform cloud case profile).

3 **(3)** The y-axis is extended to 2km.

4 **(4)** We tried to add symbols but the plots become too complicated. Also, these are mean  
5 profiles from individual profiles with different MLH. The BLH for (a1-a3) is ~ 0.7km, for  
6 (b1-b3) is ~1.1km, and for (c1-c3) is ~1.5km. The MLH varies profile by profile from ~0.5 to  
7 ~0.9km.

8

9 **56) Your acknowledgements need to include the instrument teams and data providers.**

10 **For instance, if you acquired the CALIPSO data from the ASDC, they provide a sample**  
11 **acknowledgement (available from <https://eosweb.larc.nasa.gov/citing-asdc-data>): Citing**  
12 **ASDC Data Acknowledgments The data obtained from the Atmospheric Science Data**  
13 **Center (ASDC) are free of charge for use in research, publications, and commercial**  
14 **applications. When data from the ASDC are used in a publication, we request this**  
15 **acknowledgment be included: "These data were obtained from the NASA Langley**  
16 **Research Center Atmospheric Science Data Center."**

17 **A lot of work goes into collecting and archiving the data that you used and this effort**  
18 **needs to be acknowledged. Additional acknowledgements are required for each of the**  
19 **datasets you used. Most data providers also provide a sample acknowledgement.**

20 **Reply:** Acknowledgements were revised as suggested.

21

22

23 **Anonymous Referee #2**

24 **The authors present a study of the boundary layer height and mixing layer height based**  
25 **on 4 years of CALIOP data using the clear sky aerosol backscatter in the eastern pacific**  
26 **region, with additional help from CLOUDSAT, AIRS, AMSR-E data and ECMWF**  
27 **model data. The use of the CALIOP data is evaluated using data from the MAGIC field**  
28 **campaign and SONDE data. The relation of the decoupling of the estimated boundary**

1 **layer height and mixing layer height with the estimated inversion strength is explored.**  
2 **The study shows a promising method of studying the boundary layer structure using**  
3 **satellite lidar data. I am very impressed with the BL dataset the authors have made**  
4 **using the CALIPSO data.**

5 **Many of the major issues have already been addressed by the Anonymous referee 1, I**  
6 **will not add them here again.**

7 **(1) The main issue I want to mention here is that the authors need to add correlation**  
8 **values, and in some cases the means/rms (see below) when they are discussed. The**  
9 **results discussed can only be appreciated and referred to in future work when we can**  
10 **assess the real values/effects.**

11 **Reply:** Thanks for the comments. We have added correlation values, significant level, and in  
12 some cases the means/rms in the revised manuscript.

13

14 **Minor additional comments:**

15 **(1) Please use spell checker once more! Page 34065 Lines 4-11: Please rephrase the**  
16 **entire paragraph, each individual line is short and uses the word decoupled making it**  
17 **hard to read.**

18 **Reply:** We put efforts on the language and the paper was proofread by the OSA Language-  
19 Editing Service. The manuscript is improved now.

20 **Rephrased as suggested.**

21

22 **(2) Page 34066: line 20 bellow ! below**

1 Reply: Corrected.

2

3 **(3) Page 34068: lines 26-27. The RMS of the SST can be seen as relatively small knowing**  
4 **roughly the absolute mean values but in case of the winds it is hard to see if the bias and**  
5 **rms are high or low. Please provide the mean values for both wind and sst. Are the RMS**  
6 **and bias in the wind absolute or relative to the wind value, i.e. is the rms for all winds**  
7 **representative of the error or is it overestimated by the occurrence of a few higher wind**  
8 **events?**

9 **Reply:** There are no mean values reported in Wentz et al. (2003). Validations of AMSR-E  
10 SST and  $U_{10m}$  with NDBC bouy SST and  $U_{10m}$  were added in to this paragraph.

11 Statements were changed to ‘Error in the data was estimated using the root mean square  
12 (RMS) difference between AMSR-E  $U_{10m}$  and  $U_{10m}$  coming from four other satellite  
13 microwave radiometers (three SSM/Is and TRMM TMI) and with  $U_{10m}$  from the satellite  
14 microwave scatterometer QuikScat (0.92 m/s with a bias of 0.57 m/s) (Wentz et al., 2003).  
15 This calculation gave an RMS difference between AMSR-E SST retrievals and the Reynolds  
16 SST as 0.76 K (Wentz et al., 2003). Validation using data from a buoy (National Data Buoy  
17 Center, NDBC)  $U_{10m}$  (mean value of 6.61 m/s) gave an RMS difference with AMSR-E  $U_{10m}$   
18 (mean value of 6.46 m/s) is 1.63 m/s with a bias of  $-0.15$  m/s (Luo et al., 2015). Validation  
19 with NDBC buoy SST (mean value of 299.49 K) in this study showed that the RMS  
20 difference in AMSR-E SST (mean value of 299.26 K) is 0.99 K with a bias of  $-0.23$  K. ’

21 The RMS and bias in the wind are absolute values.

22

23 **(4) Page 34070 ; Lines 8-10. The method was not used, did you estimate the MBL on any**

1 **other way or was this not possible at all. And if not, what did you do with the data of the**  
2 **cloud contaminated data, since you mention in 34071 line 22 that the MHL was based on**  
3 **the MBL structure observed in MAGIC**

4 Reply: The CALIOP-based MBL structure identification method was only applied to the  
5 cloud-free CALIOP profiles by using the aerosol vertical distribution as the proxies of BLH  
6 and MLH. For cloudy conditions, the CALIOP cannot penetrate the thick cloud to detect the  
7 aerosol below clouds, and the stratiform cloud top was treated as the MBL top in this paper.

8 To evaluate the CALIOP-based MBL structure, MAGIC soundings were used to determine  
9 the MBL structure. Due to the high occurrence of the cloud along the MAGIC transect (as can  
10 be seen in figure 1), we did not apply the CALIOP-based MBL structure identification  
11 method to the ship-based HRSL observations.

12

13

14 **Line 13: What does SONDE stand for. Do you mean Sonde or is it an abbreviation not**  
15 **defined.**

16 Reply: Changed to ‘radiosonde’.

17

18 **(5) Page 34071: Line 23. Biased lower is not correct here. That would mean that SONDE**  
19 **is the truth.**

20 Reply: Changed ‘biased lower’ to ‘lower’.

21

22 **(6) Page 34072: Line 4/5 please provide correlation values. The red dots in Figure 2 show**

1 **no correlation in this presentation, a 2D histogram may show that there is a positive**  
2 **correlation but not as plotted here. Same holds for Lines 10/11. To strengthen your case**  
3 **you should provide a correlation factor (the figure does show this of course in 2d)**

4 **Reply:** The correlation values were added as suggested.

5

6 **Line 15 ‘‘built in the last’’ ! described in the previous**

7 **Reply:** Changed as suggested.

8

9 **(7) Page 34073: Line 2 : correct ‘‘shows increase tendency when westwards’’**

10 **Reply:** Changed to ‘shows westward increasing tendency’.

11 **Line 11: results**

12 **Reply:** Corrected

13 **(8) Page 34074 Discussion on salt aerosol vs U10 in NPO and SPO. I am not convinced**  
14 **by the explanation of the lack of U10 correspondence in the SPO region. Could you**  
15 **compare the TAB vs U10 along the two boxes. This way you may be able to see if above**  
16 **a MLH threshold value the NPO and SPO show the same U10-TAB[for  $Z < Z_{BLH}$ ]**  
17 **relationship.**

18 **Reply:** We have examined the relationship between sea salt aerosol optical depth and U10.  
19 The optical depth in the lower well-mixed layer has the correlation coefficient of 0.64 at  
20 confident level of 0.01 with the  $U_{10m}$  in NPO, but the correlation coefficient is -0.08 at  
21 confident level of 0.39 in the SPO. Statements were added.

22

1 **(8) Page 34076 Lines 9-13 Give values of mean/error and correlations when you mention**  
2 **it in the discussion**

3 **Reply:** The correlations were added in the discussion. The errors were added into figure 6 and  
4 its caption.

5

6 **(9) Acknowledgements: You use a lot of data sources but mention non of these in the**  
7 **acknowledgements. Please add those from which you downloaded the data (i.e.**  
8 **MAGICS/calipso/SONDE)**

9 **Reply:** Changed as suggested.

10

11 **Figures:**

12 **(11) Figure 1 Change Magenta to Black**

13 **Reply:** Changed.

14

15 **(12) Figure 3: Skip a number of latitude values, it feels crowded**

16 **Reply:** Changed as suggested.

17

18 **(13) Figure 4/5: Small fonts. EIS values unreadable as they overlap vertically, lower text**  
19 **of CTHxx in d3 and d4**

20 **Reply:** The figure was re-plotted to make the text clear.

21

1 **(14) Figure 6a: Provide error estimates in Figure to provide a visual estimate of what we**  
2 **look at and if the slope difference has significance. You can also show it in a contour**  
3 **lightly colored box if you are afraid that it becomes crowded**

4 **Reply:** Error was added into plot and was stated in figure caption.

5

6

7 **Anonymous Referee #3**

8 **Received and published: 31 December 2015**

9 **This paper uses clear sky aerosol back scattering signal to estimate boundary layer**  
10 **height (BLH) and mixing layer height (MLH) and then studies the relation between**  
11 **MBL decoupling (MLH/BLH) and the estimated inversion strength (EIS). The overall**  
12 **research topic is interesting and approach is good. However, the analysis needs to be**  
13 **more quantitative and there should be more descriptions on the data processing. I would**  
14 **be happy to recommend the publication of this paper when the following concerns are**  
15 **addressed.**

16 **1) Provide quantitative measures whenever possible. I list here a few examples for**  
17 **reference. The uncertainties in the estimated BLH and MLH using the aerosol back**  
18 **scattering are estimated by comparing with SONDE-derived heights. (See first**  
19 **paragraph in page 34071.) But, for MLH, is +/-0.45km a good precision? How would it**  
20 **affect the relation between the ratio BLH/MLH and EIS? For fig 2b, it would be useful**  
21 **to report also the correlations between SONDE and CALIPSO-derived heights to tell**  
22 **how tightly the heights derived by SONDE and CALIPSO are connected.**

23 **Reply:**



1 The MAGIC soundings are mostly under cloudy conditions and are difficult to be collocated  
2 with CALIPSO overpass. Thus, we have to relax the temporal difference for the collocation to  
3 1-day. Therefore, the large spatial and temporal separations result in large differences  
4 between the two measurements. The main purpose of the evaluations of CALIOP with  
5 MAGIC soundings was to show that the CALIOP-observed clear-sky MBL structure could be  
6 similar to the structure of the nearby cloudy MBL in some extent. This is the basic  
7 assumption for the discussion in section 4.2. Statements were added to make the points more  
8 clear now.

9

10 To better evaluate the lidar-base MLH and BLH detection with radiosonde measurements, we  
11 analyzed 2-year (2007-2008) collocated clear-sky Atmospheric Radiation Measurement  
12 Program (ARM) Climate Research Facility (ACRF) radiosonde and micropulse lidar (MPL)  
13 observations (Xie et al., 2010, Mather and Voyles, 2013) at Nauru (marine site). Compared to  
14 radiosonde-derived BLH, the bias and root mean square error (RMSE) of MPL derived BLH  
15 is  $-0.12 \pm 0.24$  km and correlation coefficient between each other is 0.75. Compared to  
16 radiosonde-derived MLH, the bias and RMSE of MPL derived MLH is  $-0.06 \pm 0.16$  km and  
17 correlation coefficient between each other is 0.66. Compared to radiosonde-derived  
18 MLH/BLH, the bias and RMSE of MPL derived MLH/BLH is  $-0.02 \pm 0.1$  and correlation  
19 coefficient between each other is 0.61. All the correlation coefficients are reported at  
20 confidence level of 0.01. These evaluations indicate the good accuracies of our lidar based  
21 BLH and MLH determinations for clear-sky MBL structure study. Relative statements were  
22 added into this section. These new evaluation results were added into this section.

23

24 **In fig 3, it is also useful to use spatial correlations to quantify similarity between**  
25 **patterns.**

26 **Reply:** The correlation coefficient between MLH and BLH is 0.6 at confidence level of 0.01  
27 in spatial pattern. The correlation coefficient between stratiform cloud occurrence and EIS is  
28 0.78 at confidence level of 0.01 in spatial pattern. The correlation coefficient between non-  
29 drizzled stratiform cloud top and the drizzled stratiform cloud top is 0.53 at confidence level

1 of 0.01 in spatial pattern. Relative statements were added.

2

3 **In fig 4,5, correlations with wind and EIS should be quantified.**

4 **Reply:** The EIS over NPO shows negative correlation with the  $U_{10m}$ , with the correlation  
5 coefficient of -0.64 at confidence level of 0.01, but shows positive correlation with the  $U_{10m}$

6 when  $EIS < 3$  K over SPO, with the correlation coefficient of 0.6 at confidence level of 0.01.

7 A statement was added.

8

9 **In fig 6, the uncertainties of MLH/BLH, and EIS should be quantified.**

10 **Reply:** Errors was added into the plot and into the figure caption.

11

12 **2) provide more details about data processing. Here are some examples. For fig 3c, when**  
13 **computing MLH/BLH, is it computed as the ratio of average MLH and average BLH or**  
14 **the average of ratio over the 4 year? Is EIS only computed for clear sky? How do we**  
15 **connect with cloud, for example, in the discussion of last paragraph in page 34073?**

16 **Reply:** Figure 3c is computed with the average of ratio over the 4 year.

17 The AIRS-derived EIS can only be obtained under clear-sky and broken cloud cover  
18 conditions. However, as shown in Yue et al. (2001), AIRS can provide reasonable the  
19 seasonal mean EIS as compared to model simulations and the AIRS-derived-EIS has strong  
20 connection with low cloud. It can also be seen in Figs. 3 that the correlation coefficient  
21 between the spatial distributions of stratiform cloud occurrence and EIS is 0.78 at confidence  
22 level of 0.01. A relative statement was added.

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**For fig 6, how are data points computed? Are they time averages of data at different spatial locations?**

**Reply:** For Fig. 6 (a) and (b), there is no time averages of data, and data was sorted and averaged into different bins of EIS or LTS.

For Fig. 6 (c), data was averaged into 2.5-degree grid-box to provide seasonal means. Then the seasonal-mean data was sorted and averaged into different bins of EIS.

Details were added into the manuscript now.

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# Marine Boundary Layer Structure as Observed by [A-train Satellites](#)

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## Abstract

The marine boundary layer (MBL) structure is important to [the marine low cloud processes](#), [and](#) the exchange of heat, momentum, and moisture between oceans and the low atmosphere. This [study examines the](#) MBL structure over the eastern Pacific region [and further explores the controlling factors of MBL structure over the global oceans with a new 4-year satellite-based dataset](#). The [MBL top \(BLH\) and the mixing layer height \(MLH\) were identified using the MBL](#) aerosol lidar backscattering from the CALIPSO (Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations). Results showed that [the](#) MBL is generally decoupled with MLH/BLH ratio ranging from  $\sim 0.5$  to  $\sim 0.8$ . [The](#) MBL decoupling magnitude is mainly controlled by estimated inversion strength (EIS), [which in turn controls](#) the cloud top entrainment process. The systematic differences between drizzling and non-drizzling stratocumulus tops [also show dependence on EIS. This may be related to the meso-scale circulations or gravity wave in the MBL](#). Further analysis indicates that the MBL shows [a](#) similar decoupled structure for clear sky and cumulus cloud-topped conditions, but is better mixed under stratiform cloud breakup and overcast conditions.

## 1 **7 Introduction**

2 The planetary boundary layer is the lowest part of the troposphere that is directly influenced  
3 by the Earth's surface. It is [considered to be](#) important for the exchange of heat, momentum,  
4 and moisture between the surface and the upper troposphere (Stull, 1988). Over oceans, the  
5 marine boundary layer (MBL) clouds are frequently present within the MBL, [making](#)  
6 significant contributions to the energy and moisture budgets of the earth [because of](#) their high  
7 albedo (Klein and Hartmann, 1993; Norris and Leovy, 1994; Norris, 1998; Wood and  
8 Bretherton, 2004). [Despite](#) decades of research efforts, the MBL clouds [are](#) still one of the  
9 primary contributors to the uncertainty in the model predictions of climate change (Bony and  
10 Dufresne, 2005; Randall et al., 2007; Wyant et al., 2015). [Because of](#) the close interactions of  
11 MBL clouds with the vertical structure and turbulence of the MBL, the representation of  
12 convection and MBL processes [is](#) critical to the successful [climate](#) simulations (Randall et al.  
13 1985; Albrecht et al., 1995; Bony and Dufresne, 2005; Wyant et al., 2010; Zhang, et al.,  
14 2011).

15 The [decoupling of the](#) MBL is frequently [observed](#) at the downwind of the subtropical  
16 stratocumulus regions [when the turbulence does not strong enough to maintain a well-mixed](#)  
17 [MBL, especially when the MBL is higher than 1km](#) (Bretherton and Wyant, 1997; Wood and  
18 Bretherton, 2004; Jone et al., 2011; [Caldwell et al., 2012](#)). [A wide range of factors controls](#)  
19 [the MBL decoupling](#). Bretherton and Wyant (1997) suggested that the decoupling structure is  
20 mainly driven by an increasing ratio of the surface latent heat flux to the net radiative cooling  
21 in the cloud and [that](#) other factors such as drizzle, the vertical distribution of radiative cooling  
22 in the cloud, and sensible heat fluxes, only play less important roles. [Meanwhile](#), Zhou et al.  
23 (2015) showed that the entrainment of the dry warm air above the inversion could be the  
24 dominant factor triggering the systematic decoupling, while surface latent heat flux,  
25 precipitation, and diurnal circulation did not play major roles.

26 The MBL structure and processes are still not well understood [with](#) observations mainly  
27 limited to specific case studies [in early studies](#) (Wood and Bretherton, 2004). The boundary  
28 layer structure can be derived from ground-based observations such as sounding (Seidel et al.,  
29 2010) or lidar (Emeis et al. 2008). However, ground-based observations of the MBL over the  
30 global oceans are sparse and may be not representative. Wood and Bretherton (2004) [were the](#)  
31 first [to attempt](#) a combination of MODIS and reanalysis data to study the MBL decoupling,  
32 though [this](#) passive remote sensing cannot [produce](#) direct measurements of MBL structures.

1 New satellite-based observations [allow innovative](#) ways to observe the boundary layer  
2 structure. The global boundary layer height (BLH) climatology [has been](#) derived by using  
3 Global Positioning System radio occultation (GPS-RO) measurements (Ratnam and Basha,  
4 2010; Guo et al, 2011; Ao et al, 2012), the Lidar In-space Technology Experiment (LITE)  
5 (Randall et al., 1998), the Geoscience Laser Altimeter System (GLAS) (Palm et al., 2005),  
6 and the Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) (Jordan et al., 2010,  
7 McGrath-Spangler and Denning, 2012, 2013). GPS-RO provides a valuable global view of  
8 height-resolved refractivity or moisture structure of boundary layer, but suffers with very  
9 coarse spatial resolutions (200 m in vertical and ~200 km horizontal) and [has limited](#)  
10 penetration into the lowest 500 m of [the](#) atmosphere (Xie et al, 2012). Satellite-based lidar is  
11 sensitive to boundary layer aerosols and clouds, providing global measurements of aerosol  
12 properties and their vertical distributions. [As the](#) aerosol vertical distribution in the boundary  
13 layer is heavily influenced by the boundary layer thermal structure, aerosol structures were  
14 [used](#) as a good proxy to study the MBL structures (Stull and Eloranta, 1984; Boers et al.,  
15 1984; Melfi et al., 1985; Boers and Eloranta, 1986; Leventidou et al., 2013; Luo, et al., 2014a;  
16 Kong and Fan, 2015).

17 [Early](#) studies have shown that satellite-based lidar [is effective at deriving](#) global BLH  
18 distributions (Randall et al., 1998; Palm et al., 2005; Jordan et al., 2010; McGrath-Spangler  
19 and Denning, 2012, 2013). [This is](#) especially [true when](#) using CALIOP observations, [because](#)  
20 [of their](#) much finer vertical (30m) and horizontal resolution (333m) in the lower troposphere.  
21 [The aforementioned studies used](#) gradient or variance methods over land and ocean under all-  
22 sky or no-optically-thick-cloud conditions. [Over land, the gradient or variance methods could](#)  
23 [identify the BLH, which is usually lower than the aerosol layer](#) (Luo et al., 2014a). However,  
24 over oceans, the BLH is associated with the aerosol layer top (clear sky) or stratiform cloud  
25 top (cloudy sky). [Under decoupled MBL conditions,](#) a [well-mixed](#) layer usually exists below  
26 the BLH with a [stronger](#) gradient in aerosol loading near the mixed layer height (MLH) [than](#)  
27 [near the BLH](#) (Luo, et al., 2014a). [Thus, the aforementioned studies have the potential to](#)  
28 [report MLH as BLH as they](#) did not fully consider the MBL decoupled structure in choosing  
29 lidar methodologies. In [the](#) MBL, [difficulties in differentiating between](#) the stratiform clouds  
30 [and cumulus clouds could](#) lead to BLH [uncertainties, as the cumulus cloud top heights are](#)  
31 [often higher than the BLH](#). Those issues could result in statistical biases in marine BLH

1 distributions [differences in reported values](#) and [spatial distributions](#) of the BLH over ocean  
2 among [early](#) studies.

3 [After](#) considering [the](#) MBL decoupling structure, a new CALIOP based approach was  
4 developed to reliably determine BLH and MLH [in order](#) to investigate the clear-sky MBL  
5 decouple structure (Luo, et al., 2014a). This [study uses this](#) new method to investigate the  
6 MBL decouple structure over the eastern Pacific Ocean region [using CALIOP observations](#),  
7 and combining [CloudSat observations](#) with reliable cloud type identification to provide BLH  
8 information under stratiform-cloud-topped conditions. [The authors also present an](#)  
9 [examination of the dependence of the MBL decoupled structure on environmental parameters](#)  
10 [over global oceans](#). Section 2 describes the data used in this study. Section 3 introduces and  
11 evaluates the lidar MBL structure identification methodology with the ship-base observations.  
12 Section 4 presents the results and discussions, and brief conclusions are in section 5.

## 13 **8 Data**

### 14 **8.1 Satellite Observations and Data Collocation**

15 [This study uses multiple](#) remotely sensed and operational meteorological datasets over [global](#)  
16 [oceans](#) during the period [from June](#) 2006 to [December](#) 2010.

17 [Clear-sky MBL structure was determined from the](#) cloud-free CALIOP measured aerosol  
18 backscattering [with the cloud-free condition defined as no cloud below 8km, although cases](#)  
19 [with optically thin high clouds above 8km are included](#). CALIOP [is a dual-wavelength \(532](#)  
20 [and 1064 nm\) backscatter lidar, which is](#) carried on the Cloud-Aerosol Lidar and Infrared  
21 Pathfinder Satellite Observations (CALIPSO) ([Winker et al., 2007; Winker et al., 2009](#)). [At](#)  
22 [532 nm, the CALIOP provides both the parallel and perpendicular polarization components of](#)  
23 [attenuated backscatter](#). The along-track footprint of CALIOP is 333m [with](#) the vertical  
24 resolution [of](#) 30m below 8.2 km. CALIOP level 1B data provide three calibrated and geo-  
25 located lidar profiles of 532nm and 1064nm total attenuated backscatter (TAB) and 532nm  
26 perpendicular polarization component. The molecular backscattering was estimated [using](#) the  
27 temperature and pressure profiles from the ECMWF-AUX (European Center for Medium  
28 range Weather Forecasting AUX-algorithm, Partain, 2004).

29 [CloudSat carries a 94 GHZ cloud profiling radar \(CPR\) \(Stephens et al., 2002\)](#). [The CloudSat](#)  
30 [antenna pattern provides an instantaneous footprint at mean sea level of approximately 1.3](#)  
31 [km, while vertically it has 125 bins with a bin size of about 240m. Cloud top height \(CTH\)](#)

1 [and cloud type were obtained from the 2B-CLDCLASS-LIDAR product](#) (Wang et al., [2012](#);  
2 [Sassen and Wang 2012](#)) with combining CloudSat and CALIOP observations, [allowing](#) better  
3 identify the cloud boundaries. [In order to produce clear-sky aerosol information,](#) cloudy  
4 CALIOP profiles were removed from further averaging. [And](#) the cloudy BLH was estimated  
5 from the CTH of marine stratiform clouds, which [was a good proxy for estimating the](#) marine  
6 BLH under cloudy conditions [and has been](#) widely used in the previous studies (Minnis et al.  
7 1992; Wood and Bretherton 2004; Ahlgrimm and Randall 2006; Zuidema et al. 2009;  
8 Karlsson et al., 2010). [Classification of drizzle within the Cloudy MBL was performed by](#)  
9 [applying a threshold of -20 dB \(Leon et al., 2008\) to the](#) CloudSat CPR measured reflectivity  
10 factor in CloudSat 1B-CPR product (Tanelli et al., 2008).

11 The atmospheric large-scale stability parameters used in this study include lower tropospheric  
12 stability (LTS) (Klein and Hartmann 1993), and estimated inversion strength (EIS) (Wood  
13 and Bretherton 2006). [LTS is calculated using the difference in potential temperature between](#)  
14 [700 hPa and the surface \( \$\theta\_{700} - \theta\_{\text{surface}}\$ \), whereas EIS is the difference between LTS and  \$\Gamma\_{850}^\*\$](#)   
15 [\( \$Z\_{700} - \text{LCL}\$ \), where,  \$\Gamma\_{850}\$  is the moist adiabatic lapse rate at 850hPa, LCL is lifting](#)  
16 [condensation level, and  \$Z\_{700}\$  is the height at 700hPa. EIS is considered a more precise](#)  
17 [measure of the strength of a possible inversion than the LTS. EIS and LTS](#) were estimated  
18 from AIRS (the Atmospheric Infrared Sounder) level 2 version 5 products (Jason, 2008).  
19 AIRS is a grating spectrometer [carried on Aqua. It has](#) a spectral resolution of  $v/\Delta v \approx 1200$ , a  
20 total of 2378 channels in the range of 3.7–15.4  $\mu\text{m}$  with a few spectral gaps, and provides  
21 well-calibrated level 1B radiances (Overoye, 1999). AIRS is co-registered with AMSU  
22 (Pagano et al., 2003; Lambriksen and Lee, 2003), and the combined measurements are used  
23 to retrieve temperature, humidity and numerous other surface and atmospheric parameters.  
24 Geophysical retrievals are obtained in clear sky and broken cloud cover [through the use of a](#)  
25 [cloud-clearing methodology \(Susskind et al., 2003\). Though there is no retrieval under](#)  
26 [overcast conditions, AIRS can provide a reasonable measure of the seasonal mean EIS as](#)  
27 [compared to model simulations \(Yue et al., 2001\). Additionally, the AIRS-derived EIS has](#)  
28 [strong connection with low cloud \(Yue et al., 2001\), making the, seasonal-mean EIS](#)  
29 [appropriate for the analysis of the MBL cloud behaviors in this paper.](#)

30 [The sea surface temperature \(SST\) and surface wind speed at 10m \( \$U\_{10m}\$ \)](#) were obtained from  
31 AMSR-E Level 3 daily Ocean Products version-7 (Wentz et al., 2014). [The Advanced](#)  
32 [Microwave Scanning Radiometer - Earth Observing System \(AMSR-E\) is a twelve-channel,](#)



1 [six-frequency, passive-microwave radiometer system \(Kawanishi et al, 2003\)](#). It measures  
2 [horizontally and vertically polarized brightness temperatures at 6.9, 10.7, 18.7, 23.8, 36.5, and](#)  
3 [89.0 GHz. Spatial resolution of the individual measurements varies from 5.4 km at 89 GHz to](#)  
4 [56 km at 6.9 GHz. AMSR-E is co-located with AIRS and AMSU onboard Aqua and in the A-](#)  
5 [train with CALIPSO; thus, the instruments are sampling similar conditions and the same time](#)  
6 [of day](#). The daily AMSR-E Ocean Products are produced by Remote Sensing Systems (RSS,  
7 <http://www.remss.com/>). The orbital data is mapped to 0.25° grid box and is divided into 2  
8 maps based on ascending and descending passes [for daytime and nighttime orbits](#). [Error in the](#)  
9 [data was estimated using the root mean square \(RMS\) difference between AMSR-E  \$U\_{10m}\$  and](#)  
10  [\$U\_{10m}\$  coming from four other satellite microwave radiometers \(three SSM/Is and TRMM](#)  
11 [TMI\) and with  \$U\_{10m}\$  from the satellite microwave scatterometer QuikScat \(0.92 m/s with a](#)  
12 [bias of 0.57 m/s\) \(Wentz et al., 2003\). This calculation gave an RMS difference between](#)  
13 [AMSR-E SST retrievals and the Reynolds SST as 0.76 K \(Wentz et al., 2003\). Validation](#)  
14 [using data from a buoy \(National Data Buoy Center, NDBC\)  \$U\_{10m}\$  \(mean value of 6.61 m/s\)](#)  
15 [gave an RMS difference with AMSR-E  \$U\_{10m}\$  \(mean value of 6.46 m/s\) is 1.63 m/s with a bias](#)  
16 [of -0.15 m/s \(Luo et al., 2015\). Validation with NDBC buoy SST \(mean value of 299.49 K\)](#)  
17 [in this study showed that the RMS difference in AMSR-E SST \(mean value of 299.26 K\) is](#)  
18 [0.99 K with a bias of -0.23 K](#).

19 All the related datasets were collocated into AMSR-E [0.25° grid-box](#) and cloud-free CALIOP  
20 backscattering profiles are then averaged. [CALIOP backscattering profiles with no cloud](#)  
21 [below 8km \(including cases with clouds above 8km\) were averaged. Thus, within each 0.25°](#)  
22 [grid-box, there are three general conditions of the MBL: 100% cloud cover, partial cloud](#)  
23 [cover, and cloud-free. For the 100% cloud cover the BLH is determined from stratiform CTH.](#)  
24 [For the partial cloud cover, and cloud-free conditions the daily day- or night- averaged cloud-](#)  
25 [free CALIOP measurements are used to determine BLH and MLH. The following analyses](#)  
26 [only present data taken over the oceans \(within 50°N and 50°S, and at least 200km away from](#)  
27 [continental boundaries\), but include both daytime and nighttime observations. The MBL](#)  
28 [aerosol identifications are the same as in Luo et al. \(2014a\).](#)

## 29 **8.2 MAGIC and Collocated Satellite Observations**

30 The Marine ARM GPCI ([GCSS Pacific Cross-section Intercomparison, a working group of](#)  
31 [GCSS; GCSS is GEWEX Cloud Systems Study](#)) Investigation of Clouds (MAGIC) field  
32 campaign (<http://www.arm.gov/sites/amf/mag/>) deployed the U.S. Department of Energy

1 (DOE) Atmospheric Radiation Measurement Program Mobile Facility 2 (AMF2) on the  
2 commercial cargo container ship Horizon Spirit from October 2012 through September 2013  
3 with 20 round trips (Lewis et al., 2012; Zhou et al., 2015). The MAGIC transect is the line  
4 from the coast of California to Hawaii (35.8°N, 125.8°W to 18°S, 173.8°W) and was  
5 undertaken to provide unprecedented, intra-seasonal, high-resolution ship-based observations  
6 in order to improve the understanding of the Sc-to-Cu (Stratocumulus-to-Cumulus) transition  
7 along this transect. The AMF2 contained a state-of-the-art instrumentation suite and was  
8 designed to operate in a wide range of climate conditions and locations, including shipboard  
9 deployments.

10 This study used atmospheric soundings and MARMETX (marine meteorological  
11 measurements) datasets to characterize MBL structure. Standard radiosondes (Vaisala model  
12 MW-31, SNE50401) were launched every 6-hour to measure vertical profiles of the  
13 thermodynamic state of the atmosphere (temperature, pressure, relative humidity, and wind  
14 speed and direction). The MARMETX dataset  
15 (<http://www.arm.gov/campaigns/amf2012magic/>) contains standard surface meteorological  
16 parameters measured by the MARMET: temperature (T), pressure (P), relative humidity  
17 (RH), and apparent and true wind speed and direction; and the sea surface skin temperature  
18 measured by the Infrared Sea surface Temperature Autonomous Radiometer (ISAR) with an  
19 accuracy of better than 0.18°C.

20 The high spectral resolution lidar (HRSL, Shipley et al., 1983; Piironen and Eloranta, 1994)  
21 measuring total attenuated backscattering was also used to document the aerosol and cloud  
22 distributions. Because of the high occurrence of the cloud along the MAGIC transect, the  
23 lidar-based MBL structure identification method was not applied to the HRSL observations.

24 To evaluate the satellite-retrieved MBL structure with results from MAGIC soundings, the  
25 cloud-free CALIOP observations within a 2.5° grid-box and within 1 day of MAGIC  
26 soundings during October 2012 through September 2013 were collocated. The loose  
27 restriction was applied in the collocation, because limited MAGIC soundings, poor spatial  
28 coverage of CALIOP measurements, and high occurrence of clouds in the region. The cloud-  
29 free CALIOP profiles were firstly averaged into 0.25° grid-box to improve the signal-to-noise  
30 ratio. Then the MBL structure were identified and averaged into the 2.5° grid-box.

31

## 9 MBL Structure Identification Methodology

### 9.1 MBL structure identification methodology for [radiosonde](#)

For [radiosonde](#), the BLH was determined by the Richardson number (RI) method (with the Eq. (2) in Vogelezang and Holtslag, 1996). [This method](#) determines the BLH as the height at [where](#) RI is larger than the critical value (= 0.25). The RI method is suitable for both stable and convective boundary layers. This method [gives the BLH more physical meaning as it](#) relates the derived BLH to boundary layer processes - surface heating, wind shear and capping inversion. [Also](#), RI method [does not produce a negative BLH, as it does not depend](#) strongly on [the](#) sounding vertical resolution [of the sounding](#). Therefore, [the RI method is often considered](#) as the best estimation [against which](#) to evaluate lidar based BLH estimations (Hennemuth and Lammert, 2006; Seidel et al, 2010).

Figure 1 presents one transect [HSRL and potential temperature from](#) MAGIC measurements. It [is clear](#) that the BLHs [from the](#) RI method correspond [well](#) with the aerosol layer tops, or stratiform clouds tops over the stratiform cloud region (eastern than longitude of  $\sim 137^\circ$ ). [There is also some correspondence of BLHs](#) with the highest cumulus clouds tops over the cumulus cloud region (western than longitude of  $\sim 137^\circ$ ). Over [the](#) cumulus cloud region the MBL becomes obviously decoupled, and there is usually one or more [weak](#) inversion layers below the RI determined BLH. The [lowest](#) inversion layer usually limits the upward transportation of the aerosols to form a layer, [forming a layer](#) with more concentrated aerosols than that above ([this can also be seen in Figure 4 in Luo et al. \(2014a\)](#)). [This inversion](#) can [also](#) limit the vertical developments of the small cumulus clouds [that may](#) form in the mixing layer. This characteristic [allows](#) the [identification of](#) MLH height as the base of the [lowest](#) inversion layer with inversion strength larger than 0.05K/100m in [radiosonde](#) potential temperature profiles. [This threshold was chosen based on visual check of all MAGIC transects.](#)

### 9.2 MBL structure identification methodology for CALIOP

As detailed in Luo et al. (2014b), the BLH can be determined with an improved threshold method [using a](#) threshold  $\beta'_{thr} = \beta'_m + 2 * MBV$  [applied to the marine aerosol backscattering coefficient profile retrieved from](#) collocated CALIOP level 1B data. [In this equation](#),  $\beta'_m$  is the molecular backscattering [coefficient](#), estimated by temperature and pressure profiles from

1 ECMWF-AUX products; MBV is the measured backscatter variation, estimated as the  
2 standard deviation of measured attenuated backscatter coefficients from 30 to 40 km.

3 The MLH was identified by the gradient method (Luo et al., 2014a). The gradient of aerosol  
4 backscattering coefficient is calculated after three points moving average smoothing. After  
5 smoothing, the MLH is determined to be the lowest point with an aerosol backscattering  
6 coefficient gradient larger than 2 times of the molecular backscattering gradient.

7 The evaluation of lidar methodology with radiosonde soundings were performed with 2-year  
8 (2007-2008) clear-sky Atmospheric Radiation Measurement Program (ARM) Climate  
9 Research Facility (ACRF) radiosonde and micro pulse lidar (MPL) observations (Xie et al.,  
10 2010, Mather and Voyles, 2013) collected from Nauru (marine site). Detailed data process  
11 can be found in Luo et al. (2014a). When compared to radiosonde-derived BLH, the bias and  
12 root mean square error (RMSE) of MPL derived BLH is  $-0.12 \pm 0.24$  km with a correlation  
13 coefficient of 0.75. When compared to radiosonde-derived MLH, the bias and RMSE of MPL  
14 derived MLH is  $-0.06 \pm 0.16$  km with a correlation coefficient of 0.66. An overall  
15 comparison of radiosonde-derived to MPL derived MLH/BLH produces a bias and RMSE of  
16  $-0.02 \pm 0.1$  and a correlation coefficient between of 0.61. All the correlation coefficients are  
17 reported at confidence level of 0.01. These small values of mean bias indicate that our  
18 CALIOP based BLH and MLH determinations for clear-sky MBL can be considered accurate.

19 Further evaluations were performed with loosely collocated CALIOP and MAGIC  
20 observations. While only cloud-free CALIOP profiles can be used to derive the MBL  
21 structure, the soundings were measured in all-sky conditions (mostly cloudy conditions) (Fig.  
22 1). Figure 2 shows the comparisons of MBL structure between radiosonde and CALIOP  
23 measurements. The mean MBL structure by CALIOP and radiosonde along the MAGIC  
24 transect is shown in Fig. 2 (a). Both results show a similar trend in the MBL structure, being  
25 less decoupled near the coast and more decoupled over the far ocean. The heights of the  
26 CALIOP-derived BLH and MLH are lower than those derived from the radiosonde. Over the  
27 stratiform cloud regions, the CALIOP-derived MBL structure appears more decoupled than in  
28 the radiosonde results. However, the CALIOP-derived BLH shows good agreement with  
29 those from radiosonde as shown in Fig. 2 (b). The bias and RMSE in CALIOP-derived BLH  
30 were calculated to be  $-0.14 \pm 0.37$  km, with a correlation coefficient of 0.56 at the confidence  
31 level of 0.01. For CALIOP-derived MLH, the bias and RMSE is  $-0.1 \pm 0.45$  km with a  
32 correlation coefficient of 0.34 at the confidence level of 0.01. Although the biases are small,

1 the RMSE differences are large, mainly as a result of limited sampling and large spatial  
2 mismatch, and different cloud conditions. This is especially true over the stratiform cloud  
3 region where the cloud fraction in the MBL is very high (Fig. 1). In this area the collocated  
4 cloud-free CALIOP profiles are often too far from the sounding observations to produce a  
5 strong correlation. However, Fig. 2 clearly shows that the CALIOP-observed clear-sky MBL  
6 structure captures a similar spatial trend as those from the nearby cloudy-sky MBL.

7 Additionally, the radiosonde-derived MLH agrees well with the LCL (Fig. 2(b)), with the bias  
8 and RMSE of  $-0.13 \pm 0.21$  km, and with a correlation coefficient of 0.73 at the confidence  
9 level of 0.01. Figure 2(d) shows that the CALIOP-derived BLH and stratiform CTHs ( $CTH_{sc}$ )  
10 within the same AMSR-E grid box over the eastern Pacific Ocean region show good  
11 agreements, with the bias and RMSE of  $-0.06 \pm 0.52$  km, and with a correlation coefficient of  
12 0.66 at the confidence level of 0.01. Good agreement between the CALIOP-derived BLH and  
13  $CTH_{sc}$  can also be found over the global oceans (Luo et al., 2014a).

## 14 **10 Results and Discussions**

### 15 **10.1 MBL Structure over the Eastern Pacific Ocean**

16 This section uses the 4-year new MBL and marine boundary layer cloud (MBLC) dataset  
17 described in the previous to investigate the MBL structure over the eastern Pacific. The  
18 MBLC dataset includes cloud type and stratiform-cloud ( $Sc$ ) top and drizzle information  
19 based on the CloudSat products. Figure 3 shows the 4-year mean MBL structure (BLH, MLH  
20 and MLH/BLH),  $CTH_{sc}$  (with or without drizzle), EIS and  $U_{10m}$  over the eastern Pacific  
21 Ocean. Hereafter, the MBL structure (BLH, MLH and MLH/BLH) is referred to the clear-sky  
22 condition with aerosols as a proxy, while the  $CTH_{sc}$  is used as the proxy of BLH under  
23 cloudy conditions.

24 The 4-year mean BLH over the eastern Pacific Ocean is shown in Fig. 3 (a). Fig. 3 (a) shows  
25 that the marine BLH is lower than  $\sim 1$  km near the coast region at latitude of  $\sim \pm 30^\circ$ . This is  
26 assumed to due to the strong subsidence and low SST. When moving away from the strong  
27 subsidence region, the BLH increases. The BLH is highest over the Intertropical Convergence  
28 Zone (ITCZ) attributed to large-scale convergence and the high SST causing strong  
29 buoyancy-driven vertical turbulence mixing. This is especially prevalent over the eastern  
30 Pacific near the Central America. However, the BLH is low along the equator with a tendency  
31 to rise heading westward. The 4-year mean MLH (Fig. 3(b)) shows a similar spatial pattern as

1 | the BLH, [with a correlation coefficient of 0.6 at confidence level of 0.01](#). The [rising](#) trend of  
2 | BLH when away from the coast was also illustrated in former satellite-based studies (Ratnam  
3 | and Basha, 2010; Guo et al, 2011; Ao et al, 2012; Randall et al., 1998; Palm et al., 2005;  
4 | Jordan et al., 2010; McGrath-Spangler and Denning, 2012, 2013). However, [due to different](#)  
5 | [methodologies associated with different definition of BLH and the filtering of cloud](#)  
6 | [conditions, this study shows a significant magnitude of differences in BLH form](#) former  
7 | studies. [As an](#) example, the BLH reported in McGrath-Spangler and Denning (2013) [is much](#)  
8 | [lower than the BLH seen in our results, but there is similarity in](#) pattern and value [of our](#)  
9 | [MLH and the McGrath-Spangler and Denning BLH](#) over the eastern Pacific Ocean.

10 | The 4-year mean MBL coupling status in terms of [averaged ratio of MLH/BLH](#) is shown in  
11 | Fig. 3 (c). [The better mixed the MBL, the](#) larger the [ratio of MLH/BLH](#). [This is shown](#) in the  
12 | stratiform cloud dominated region ([where Sc Fraction > ~0.4](#) with stronger EIS and lower  
13 | BLH) [where there is higher MLH/BLH than](#) in the cumulus cloud dominated region (where  
14 | Sc Fraction < ~0.4 with weaker EIS and higher BLH). The MBL is obviously decoupled over  
15 | the ITCZ. [The MBL](#) shows better mixing [from 100°W to 80°W of the equator, but weak](#)  
16 | [mixing from 160°W to 100°W of the equator](#). [And the decoupling trend of the MBL is present](#)  
17 | [westward along the equator](#).

18 | [Sc](#) occurs more frequently (Sc fraction > ~0.6) when EIS > ~ 1K, with [a](#) decreasing fraction  
19 | towards the far ocean, as shown in Fig. 3 (d). [Sc occurrence depends on the EIS \(Fig. 3 \(g\)\),](#)  
20 | [with a correlation coefficient of 0.78 at confidence level of 0.01 in their spatial patterns](#).  
21 | Figures 3 (e) and (f) show [Sc tops with and without](#) drizzle. The [Sc](#) case is defined as the case  
22 | [where there are only Sc](#) (and clear-sky if it has) profiles in the collocated [0.25°](#) grid-box ([the](#)  
23 | [Sc fraction > 0](#)). [These cases are then broken into the Sc case with and without drizzle. The Sc](#)  
24 | [case with drizzle is the Sc case where](#) at least one [Sc](#) profile in the collocated [0.25°](#) grid-box  
25 | [has drizzle, while the remaining Sc cases are non-drizzled Sc case](#). The drizzled [Sc](#) tops are  
26 | lower than ~1.5 km [when](#) near the coast where the stratus cloud is dominant, and [the drizzled](#)  
27 | [Sc tops rise](#) up to ~2.5 km [as distance](#) away from the coast [increases](#). The non-drizzled [Sc](#)  
28 | [tops](#) show [a similar pattern to](#) the drizzled [Sc top \(with a correlation coefficient of 0.53 at](#)  
29 | [confidence level of 0.01 in their spatial pattern\)](#), except that the non-drizzled [Sc top are lower](#)  
30 | when approaching the tropical Pacific [near longitude of ~180°W](#). [Generally, the drizzled Sc](#)  
31 | [top is ~0.2 to 1 km higher than the non-drizzle Sc top, which suggests the important role of](#)  
32 | the mesoscale circulations in MBL. [Precipitation more commonly occurs in](#) updraft regions

1 and the breakup of Sc usually happens in downdrafts areas, which was also observed in the  
2 rift area of Sc (Sharon et al., 2006) and in MAGIC (Zhou et al., 2015). Furthermore, the  
3 occurrence of drizzled Sc case is ~6.2% (the number of Sc profiles with drizzle / the number  
4 of Sc profiles) among MBL cases where a 0.25° grid-box contains both Sc and clear-sky,  
5 comparing to ~32% of all MBL cases being stratiform cloud with drizzle cases. The Sc case  
6 containing clear-sky profiles are where broken Sc clouds or a cloud edge enter a 0.25° grid-  
7 box. This relationship indicates that heterogeneous cloudy conditions within a grid-box (i.e.,  
8 broken Sc clouds or near the cloud edge) are less likely to produce precipitation than where  
9 the conditions are more homogeneously cloudy.

10 The detailed assessments of the seasonal MBL and MBLC structures in the two selected  
11 transects over the northeastern and southeastern Pacific Ocean (NPO and SPO) are presented  
12 in Figs. 4 and 5. Figs. 4 and 5 (a1-a4) show the seasonal mean MBL structure in terms of  
13 MBL aerosol loading, overlain with seasonal mean BLH and MLH. The mean BLH, MLH  
14 and their standard deviations, show that the MBL tends to be more frequently well mixed near  
15 the coast region and be more frequently decoupled over the far ocean. This corresponds to a  
16 stronger EIS near the coast and weaker EIS over the far ocean (the black diamond-solid lines  
17 in Figs. 4 and 5 (b1-b4)). The EIS over the NPO shows negative correlation with the  $U_{10m}$ ,  
18 with a correlation coefficient of -0.64 at confidence level of 0.01, but there is a positive  
19 correlation with the  $U_{10m}$  when  $EIS < 3$  K over the SPO, with the correlation coefficient of 0.6  
20 at confidence level of 0.01. The seasonal variations in the MBL structure are small over both  
21 the NPO and SPO regions, except that the MBL tends to be lower and better mixed near the  
22 coast region during March, April and May (MAM) and June, July and August (JJA) over the  
23 NPO, and in JJA and September, October and November (SON) over the SPO. This is likely  
24 associated with the stronger EIS ( $> 5$  K) in these seasons than EIS ( $< 5$  K) in the other  
25 seasons.

26 Surface wind speed is the main factor controlling the loading of sea salt aerosols near the  
27 surface, while its vertical distribution is closely related to the boundary layer processes (Luo  
28 et al., 2014b). When distant away from the coast, the aerosol loading (Figs. 4 and 5 (a1-a4)) in  
29 the well-mixed layer shows strong positive correlation with the  $U_{10m}$  in NPO with a  
30 correlation coefficient of 0.64 at the confidence level of 0.01. However, there is almost no  
31 correlation between them in the SPO (correlation coefficient of -0.08 at the confidence level  
32 of 0.39). In the SPO, when further east than longitude of  $\sim -100^\circ$ , the aerosol loading in the

1 lower well-mixed layer increases with decreasing of the  $U_{10m}$ . This is attributed to lowering  
2 MLH limiting the vertical transportation. When near the coast region, the aerosol loading in  
3 the well-mixed layer has weak correlation with the  $U_{10m}$  over both regions, possibly due to the  
4 aerosol transported from the continent.

5 Figures 4 and 5 (c1-c4) show the mean Sc occurrences over the two regions. Over the NPO  
6 region (Fig. 4 (c1-c4)), the Sc occurrence is small near the coast and increases to a maximum  
7 of  $\sim 0.6$  near the longitude of  $\sim -130^\circ$  to  $-135^\circ$ . It then decreases when west southward towards  
8 the tropic. Over the NPO, the Sc occurrence increases with decreasing of EIS when distant  
9 from the coast to the maximum occurrence point (at longitude of  $\sim -135^\circ$ ), with the correlation  
10 coefficient of -0.51 at the confidence level of 0.01. And there shows a positive correlation  
11 with EIS from the maximum occurrence point down to the equator, with the correlation  
12 coefficient of 0.92 at the confidence level of 0.01. Over the SPO region (Fig. 5 (c1-c4)), the  
13 maximum Sc occurrence point is close to the coast. Therefore, the Sc occurrence and the EIS  
14 both decrease when far away from the coast and correlate well with each other when further  
15 west than longitude of  $\sim 80^\circ$ , with the correlation coefficient of 0.91 at the confidence level of  
16 0.01. In the near-coast region, other processes such as sea-land breeze and cold current  
17 producing cold SST could affect the relationship between EIS and Sc occurrence. The drizzle  
18 occurrence showed a weak correlation with EIS in both regions (not shown here).

19 Figures 4 and 5 (d1-d4) show the seasonal mean  $CTH_{drizzle}$  (blue diamond line) and  $CTH_{no}$   
20  $drizzle$  (green diamond line) along with the seasonal mean BLH and MLH over the NPO and  
21 SPO. The  $CTH_{no\ drizzle}$  is lower than the  $CTH_{drizzle}$ , but is close to the BLH. Over the NPO  
22 region, the  $CTH_{drizzle}$  shows strong negative correlation with the EIS, with the correlation  
23 coefficients of  $< -0.82$  at the confidence level of 0.01. Over the NPO region, in MAM, JJA  
24 and SON, the  $CTH_{drizzle}$  shows strong negative correlation with the EIS, with the correlation  
25 coefficients of  $< -0.77$  at the confidence level of 0.01, while very weak correlation in  
26 December, January and February (DJF), with the correlation coefficients  $< -0.33$  at the  
27 confidence level of 0.08. The  $CTH_{no\ drizzle}$  generally shows a weak correlation with the EIS,  
28 although there is a positive correlation with the EIS for sub-regions, such as over the SPO  
29 when west of longitude of  $\sim -90^\circ$  in DJF and MAM and when west of longitude of  $\sim -100^\circ$  in  
30 JJA and SON, with the correlation coefficients of  $> 0.64$  at the confidence level of 0.01. The  
31 difference between  $CTH_{drizzle}$  and  $CTH_{no\ drizzle}$  shows strong dependence on the EIS, i.e., there  
32 is a smaller difference associated with stronger EIS and larger difference associated with



1 weaker EIS. This is attributed to a stronger EIS indicating a more stable MABL, which allows  
2 for small depth variations associated with several possible vertical displacement forces in  
3 MBL. Thus, a small difference between  $CTH_{drizzle}$  and  $CTH_{no\ drizzle}$  is expected under stronger  
4 EIS.

5 The MBL activities are strongly connected with the large-scale stabilities. Figure 6 shows the  
6 relationships between EIS and MBL coupling structure. In Fig. 6 (a), MAGIC observations  
7 and CALIOP observations over the extended MAGIC region were sorted and averaged into  
8 different bins of EIS. Both observations from MAGIC radiosonde and CALIOP show that the  
9 MBL tends to be better mixed as EIS increases. One of the main parameters controlling the  
10 entrainment process is the inversion strength near the mixing layer top (Venzenen et al.,  
11 1999). According to the definition of EIS, it implies that a stronger EIS leads to a stronger the  
12 inversion near the mixing layer top, and a weaker the entrainment of the dry warm air above  
13 the inversion. Therefore, the relationship between EIS and MBL structure indicates that the  
14 entrainment of the dry warm air above the inversion would be the main factor controlling the  
15 MBL decoupling. It could also be expected that the SST, wind shear and surface heat flux  
16 may also affect MBL decoupling as these parameters or processes can also affect the  
17 entrainment process (Venzenen et al., 1999). However, analyses of  $U_{10m}$  and SST show only  
18 very weak correlations with MBL coupling structure. This is possibly due to the uncertainties  
19 in satellite retrievals of these parameters or that the role of other factors was partially included  
20 in the EIS.

21 After further investigation, we concluded that the MBL coupling structure is controlled by  
22 both LTS and EIS when  $EIS < \sim 3\ K$ , i.e., there is greater mixing in the MBL with increasing  
23 EIS and decreasing of LTS. Fig. 6(b) shows the mean CALIOP-derived MBL coupling  
24 structure over global oceans under binned EIS and LTS values. As shown in Fig. 6(b), the  
25 mean MBL coupling structure in terms of MLH/BLH shows good correlation with EIS under  
26 different bins of LTS when LTS is between 2.5K and 17.5K (correlation coefficient of  $> 0.88$   
27 at confidence level of 0.01). And the MBL coupling structure in term of MLH/BLH shows a  
28 very strong negative correlation with LTS when binned  $EIS < 2K$  (correlation coefficient  $< -$   
29 0.95 at confidence level of 0.01).

30 The differences between drizzling and non-drizzling Sc tops are also controlled by the EIS.  
31 Figure 6(c) shows the seasonal mean relationship over the global oceans between EIS and  
32  $CTH_{no\ drizzle}/CTH_{drizzle}$  binned by SST. The SST, EIS and  $CTH_{no\ drizzle}/CTH_{drizzle}$  was averaged

1 across a  $2.5^\circ \times 2.5^\circ$  grid box and different seasons. After this, the seasonal-mean  $CTH_{no}$   
2  $drizzle/CTH_{drizzle}$  was sorted and averaged into different bins of EIS and SST. This binning  
3 showed that with cold SST ( $SST \leq 20^\circ C$ ) in the middle to high latitude regions, mean  $CTH_{no}$   
4  $drizzle/CTH_{drizzle}$  does not vary with EIS, whereas in the Sc-to-Cu transition regions where there  
5 is warm SST ( $SST > 20^\circ C$ ), the mean  $CTH_{no\ drizzle}/CTH_{drizzle}$  shows good dependence on EIS (a  
6 correlation coefficient  $>0.89$  at confidence level of 0.01). The relative difference between  
7  $CTH_{drizzle}$  and  $CTH_{no\ drizzle}$  becomes larger with decreasing EIS and increasing SST, indicating  
8 more vigorous the subsidence and uplifting in the MBL under weak EIS conditions and  
9 warmer SST. This result suggests that the subsidence and uplifting may relate to meso-scale  
10 processes, such as gravity waves, which can be generated from the geostrophic adjustment, jet  
11 break or other sources, affecting the morphology of clouds (Jiang and Wang, 2012; Allen et  
12 al., 2013) over the Sc-to-Cu transition regions. The different roles of SST and EIS in  
13 controlling Sc top and precipitation generation in different regions will be further investigated  
14 of in future studies.

## 15 10.2 Discussion

16 The MBL decoupling was suggested to play an important role in Sc-to-Cu transition  
17 (Bretherton and Wyant, 1997; Wood and Bretherton, 2004). The MBL structure is shown in  
18 Fig. 7 as the mean of aerosol backscattering from the cases with both clear-sky and  
19 stratiform/Cu cloud in the same  $0.25^\circ$  grid box over the eastern Pacific Ocean where the Sc-  
20 to-Cu transition frequently happens. The clear condition is defined as totally cloud-free in the  
21 25 km AMSR-E footprint (named as clear MBL). This condition is expected to be less  
22 affected by the local circulation associated with the cloud development. Aerosols under the  
23 stratiform cloud condition are derived from cases with partially stratiform cloud and partially  
24 clear sky in a  $0.25^\circ$  AMSR-E footprint (named as stratiform MBL). Aerosols under the Cu  
25 cloud condition are derived from cases with partially Cu cloud and partially clear sky in a  
26  $0.25^\circ$  AMSR-E footprint (named as Cu MBL). According to the comparison of CALIOP-  
27 derived clear-sky MBL structure with near-by cloudy-sky MBL structure from MAGIC  
28 radiosonde and with the nearby stratiform cloud top from 2B-CLDCLASS-LIDAR in section  
29 3.2, it is reasonable to assume that the cloud-topped MBL can have the similar structure to the  
30 nearby clear-sky MBL within a  $0.25^\circ$  footprint for the Sc and Cu MBL cases. Figure 7 shows  
31 that the clear MBL and Cu MBL become more decoupled with increasing BLH and  
32 decreasing EIS as indicated by large vertical gradients between mixing layer aerosols and near

1 MBL top aerosols. The Stratiform MBL shares similar characteristics to the Cu MBL, but are  
2 better mixed than clear MBL and Cu MBL when  $EIS > 0$ . According to Fig. 3, the region with  
3  $EIS < 0$  K is the Cu cloud dominated region (where the fraction of Sc cloud is smaller than  
4 0.2), and the Sc MBL cases here are more likely to associated with the clear-sky MBL  
5 adjacent to the small Sc. The region of  $0 K < EIS < 2.5 K$  is considered a transition region  
6 where the Sc clouds are broken down and transit to Cu clouds. The Stratiform MBL cases  
7 with  $0 K < EIS < 2.5 K$  are more likely associated to the clear-sky MBL adjacent to broken Sc.  
8 The stratiform MBL cases with  $EIS > 2.5 K$  are more likely associated with the clear-sky  
9 MBL near the edge of overcast Sc in the region where Sc fraction  $> \sim 0.6$ . When  $EIS < 0K$ , the  
10 stratiform MBL showed no major difference between clear MBL and Cu MBL. With  
11 increasing EIS, corresponding to increasing amount of stratiform clouds, the presence of  
12 large-scale subsidence prompts a well-mixed MBL, or more occasionally a decoupled MBL  
13 with two well-mixed sub-layers (Fig. 7(c2)).

## 14 **11 Conclusions**

15 This paper used 4-year satellite observations to investigate the MBL decoupled structure and  
16 its spatial distribution over the eastern Pacific region and its dependence on environmental  
17 parameters over global oceans (within latitude of  $\pm 50^\circ$ ). The aerosol information in CALIOP-  
18 measured backscattering data is considered to be a good proxy for the MBL decoupled  
19 structure. The aerosol layer top is a good indicator for BLH and was able be identified by the  
20 threshold method, whereas the MLH could be identified by the gradient methods. The lidar  
21 determined BLH showed good agreements with BLH determined by the RI method using  
22 radiosonde measurements and with the stratiform cloud top from CloudSat product. The lidar  
23 determined MLH showed good agreement with the base of lowest inversion layer in  
24 radiosonde temperature profiles.

25 The lidar methodology was then applied to the 4-year satellite observations over the eastern  
26 Pacific Ocean. Clear-sky MBL structure characteristics were analyzed together with the  
27 cloudy MBL top (inferred from the stratiform cloud top). For the first time, the climatology  
28 and seasonal variations of the MBL structure in the eastern Pacific Ocean region were  
29 presented and analyzed. This analysis showed that MBL is generally decoupled, with  
30 MLH/BLH ratio ranging from  $\sim 0.5$  to  $\sim 0.8$ . The MBL decoupling magnitude is mainly  
31 controlled by EIS that affects the cloud top entrainment process, with correlation coefficient  
32 of  $> 0.88$  at confidence level of 0.01 between the mean MBL coupling structure in terms of

1 [MLH/BLH and EIS when binned LTS is between 2.5K and 17.5K.](#) The systematic differences  
2 between drizzling and non-drizzling Sc tops [over the Sc-to-Cu transition region](#) also show  
3 dependence on EIS [and may relate to the meso-scale circulations driven by gravity wave in](#)  
4 [MBL](#). Further analysis showed that the MBL shows similar decoupled structure [under](#) clear  
5 sky and [cumulus](#) cloud-topped conditions, but is better mixed [under](#) Sc breakup and overcast  
6 conditions.

7 This study demonstrated that satellite lidar measurements offer a unique opportunity to  
8 characterize MBL over [global oceans](#), [something](#) no possible [using](#) other [techniques](#). [Multi-](#)  
9 [satellite measurements](#) also offer a chance to further study related MBL processes. [Using](#)  
10 [observational](#) results presented here, [it](#) will be [possible](#) to evaluate and improve model MBL  
11 simulations under different dynamical and thermodynamical conditions.

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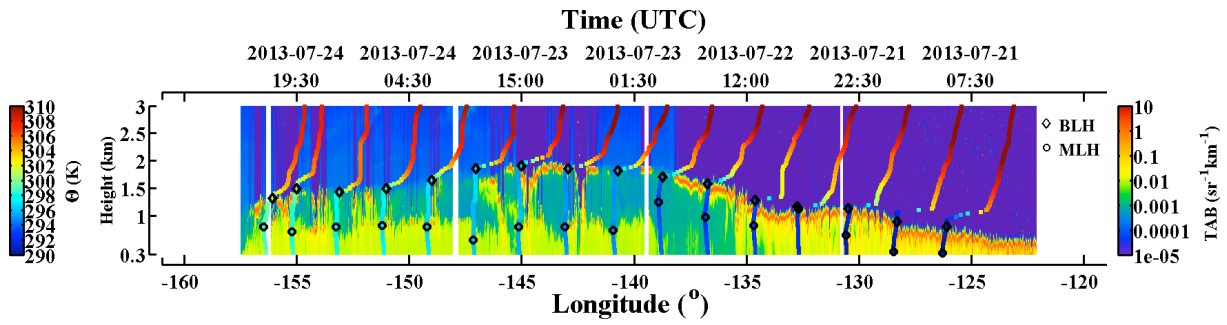
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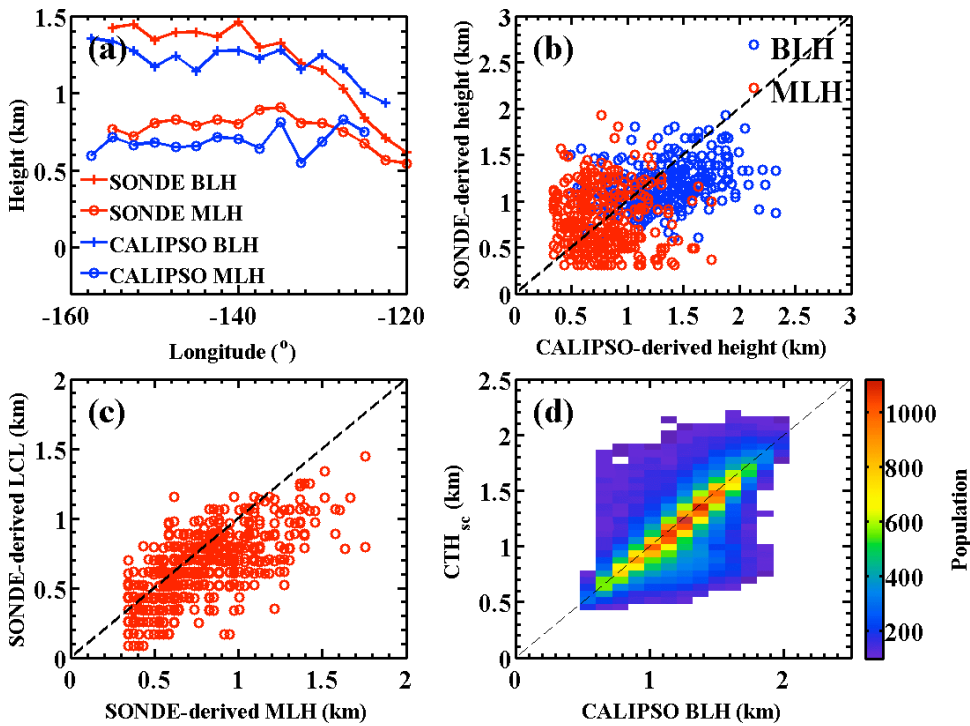
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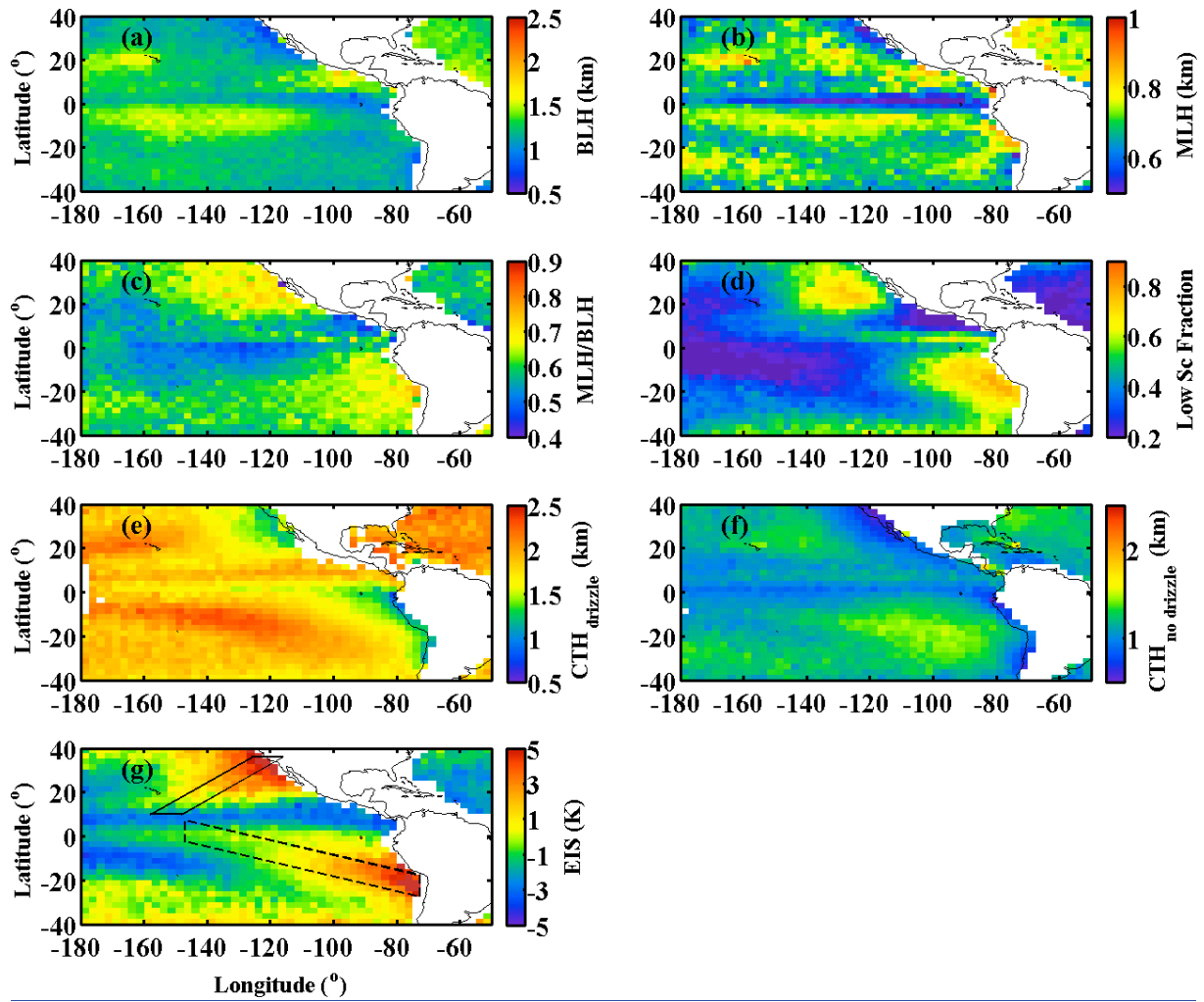
4 Figure 1. Potential temperature profiles and retrieved MBL structure (black diamonds for  
 5 BLH and black circles for MLH) for a MAGIC leg from 2013/07/21 - 2013/07/24, overlaid  
 6 with total attenuated backscattering from HSRL.



7

8

9 Figure 2. (a) Mean MBL structure along longitude from MAGIC radiosonde and collocated  
 10 CALIOP observations; (b) comparisons of radiosonde and CALIOP derived BLH and MLH;  
 11 (c) comparison of radiosonde derived MLH and LCL; (d) comparison of CALIOP derived  
 12 BLH and stratiform cloud top ( $CTH_{sc}$ ).



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3 Figure 3. The spatial distribution of (a) CALIOP derived BLH, (b) CALIOP derived MLH,  
 4 (c) CALIOP derived MBL decoupling structure in term of MLH/BLH, (d) Marine low clouds  
 5 fraction, (e) drizzled stratiform CTH (CTH<sub>drizzle</sub>), (f) non-drizzled stratiform CTH (CTH<sub>no</sub>  
 6 drizzle), (g) EIS. The solid and dashed boxes in (g) denote the selected transects on the  
 7 northeastern and southeastern Pacific Ocean (NPO and SPO) used in Figs. 4 and 5  
 8 respectively.

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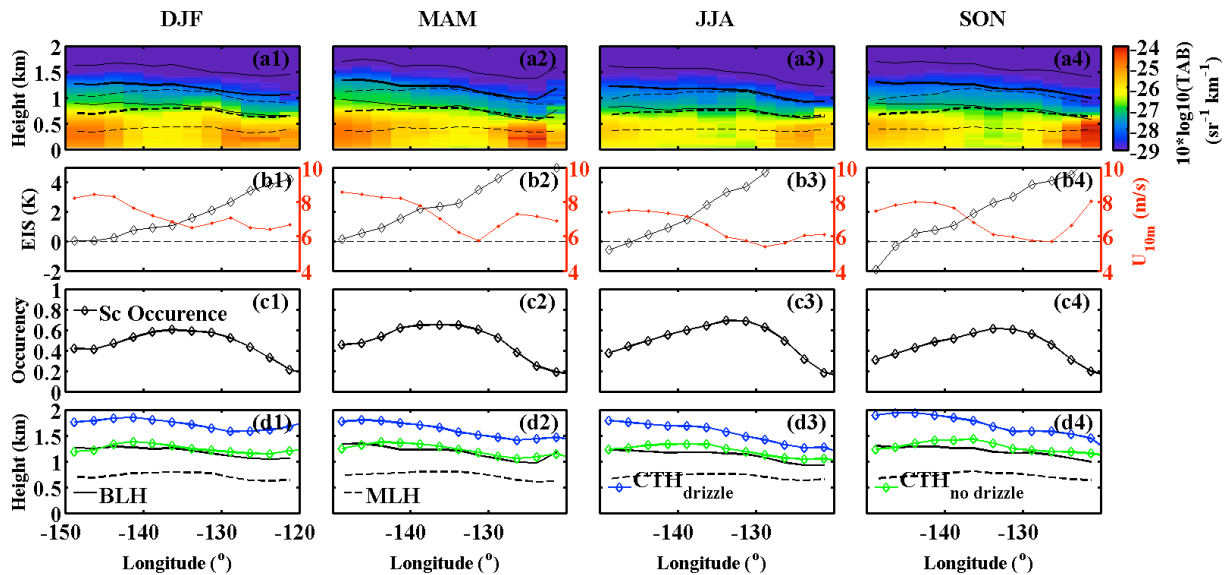


Figure 4: The satellite MBL observations along the transect region on the northeastern Pacific Ocean (NPO, solid box in fig 3e) in different seasons: (a1-a4) the mean BLH (solid line) and MLH (dashed line) overlaid with TAB, and corresponding standard deviations (thin solid and dashed lines); (b1-b4) EIS (black diamond line) and  $U_{10m}$  (red dot line); (c1-c4) stratocumulus (Sc) occurrence; (d1-d4) comparisons of BLH, MLH,  $CTH_{drizzle}$ , and  $CTH_{no\ drizzle}$ .

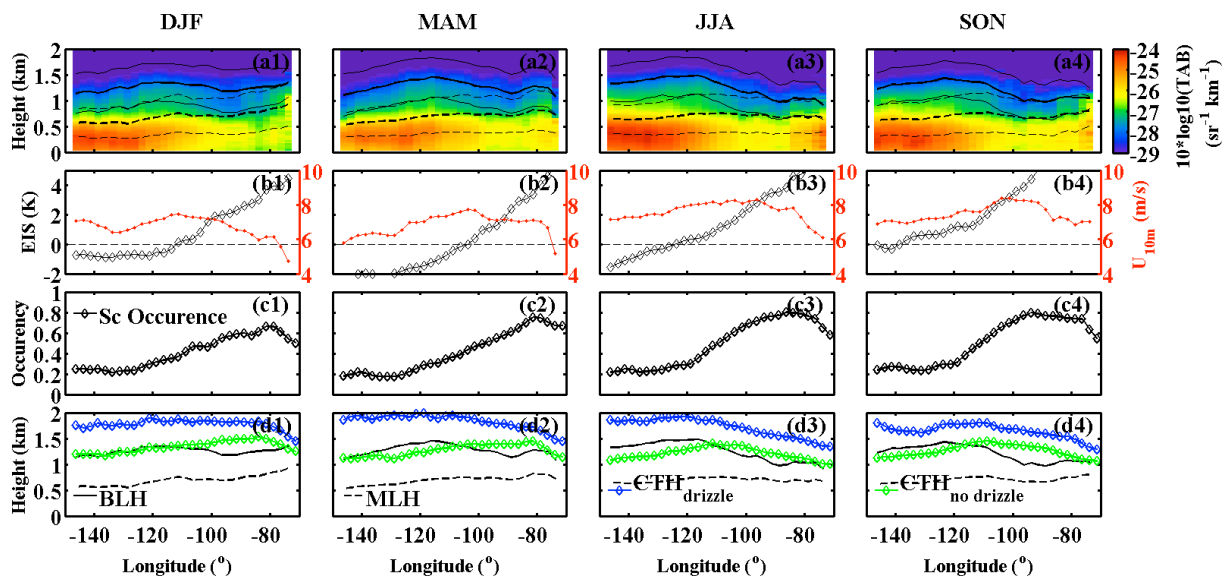
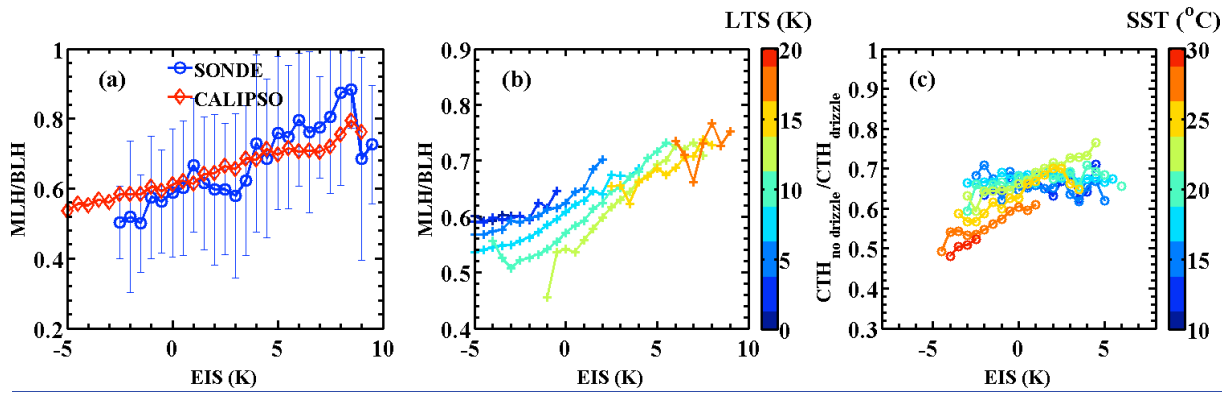
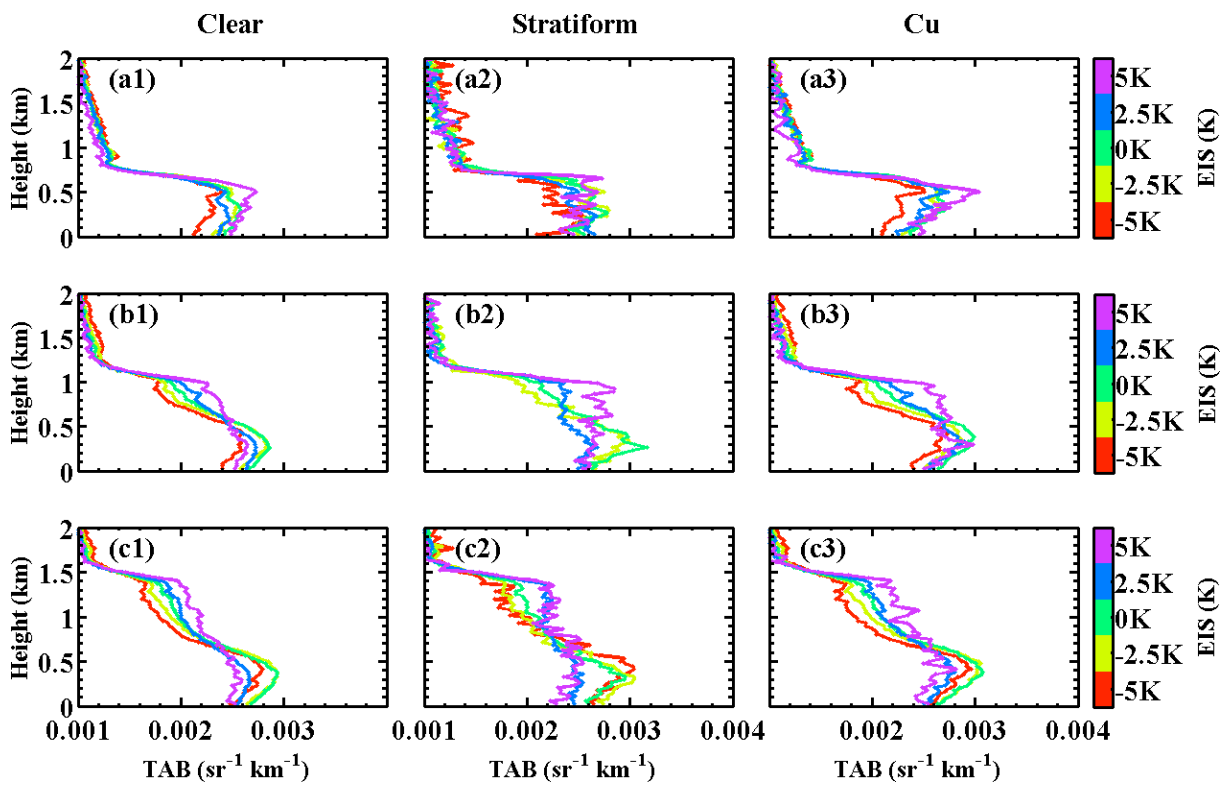


Figure 5: Same as Fig. 4 but for the transect region on the southeastern Pacific Ocean (SPO, dashed box in fig 3e) in different seasons.



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Figure 6. (a) Relationship with EIS and MLH/BLH in MAGIC and Satellite observations over extended MAGIC region; (b) relationship between EIS and CALIP-derived MLH/BLH under different LTS over the global oceans; (c) seasonal mean relationship between EIS with CTH<sub>no drizzle</sub>/CTH<sub>drizzle</sub> under different SST over the global oceans. The standard deviations in the figures (a) and (b) are  $\sim 0.2$ , and  $\sim 0.1$  in (c).



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Figure 7. Mean MBL CALIOP TAB structure under different conditions from 4-year climatology over the eastern Pacific Ocean:  $0.6\text{km} < \text{BLH} < 0.8\text{ km}$  (a1, a2, a3),  $1\text{ km} < \text{BLH} < 1.2\text{ km}$  (b1, b2, b3), and  $1.4\text{ km} < \text{BLH} < 1.6\text{ km}$  (c1, c2, c3). (a1, b1, c1) are under the clear conditions that is defined as totally cloud-free over a  $0.25^\circ$  AMSR-E footprint; (a2, b2,

1 c2) are under the stratiform cloud conditions that is defined as with only stratiform cloud and  
2 clear sky in each 0.25° AMSR-E footprint; (a3, b3, c3) are under the Cu cloud conditions that  
3 is defined as with only Cu cloud and clear sky in each 0.25° AMSR-E footprint. Only results  
4 with  $5 \text{ m/s} < U_{10\text{m}} < 8 \text{ m/s}$  were included.