

We are very grateful to the editor and reviewers for the comments on the revised manuscript. We have taken all this feedback into account to further improve our manuscript. Please find below our replies following the comments. Comments are listed first, followed by the replies. The red text shows the changes from the previous version. We have made some other minor changes in the revised manuscript.

**1)** In abstract “to the present” assumes what? You probably should pick an end date.  
: “to the present” has been replaced.

(before) The Multi-angle Imaging SpectroRadiometer (MISR) Joint Aerosol (JOINT\_AS) Level 3 product provides a global, descriptive summary of MISR Level 2 aerosol optical depth (AOD) and aerosol type information for each month between March 2000 and the present.

(after) The Multi-angle Imaging SpectroRadiometer (MISR) Joint Aerosol (JOINT\_AS) Level 3 product provides a global, descriptive summary of MISR Level 2 aerosol optical depth (AOD) and aerosol type information for each month **over 16+ years since March 2000.**

**2)** Figure 6 caption does not match the figure.

: The caption for Figure 6 has been corrected.

(before) Figure 6. (a) Climatological mean optical depth of the non-spherical aerosols in MISR, dust AOD in (b) SPRINTARS and (c) GOCART over West Africa for July. Data from the eight Julys between 2000 and 2007 are averaged for MISR and GOCART. Spatio-temporal distributions of (d) the non-absorbing (or carbonaceous) AOD ...

(after) Figure 6. (a) Climatological mean optical depth of the **spherical absorbing** aerosols in MISR, dust AOD in (b) **GOCART** and (c) **SPRINTARS** over West Africa for July. Data from the eight Julys between 2000 and 2007 are averaged for MISR and GOCART. Spatio-temporal distributions of (d) the **absorbing** (or carbonaceous) AOD ...

**3)** There are some blank spots (assume no data for an entire year?) in Figure 5.

: The plots in Figure 5 show monthly-mean AOD for 15 Julys between 2000 and 2014. The blank spots mean that there are no retrieved AOD data for a month. The figure caption has been revised.

(before) Figure 5. Monthly mean optical depth of the dust aerosols from MISR in July for 15 years between 2000 and 2014.

(after) Figure 5. Monthly mean optical depth of the **non-spherical** aerosols from MISR in July for 15 years between 2000 and 2014. **The white blank spots indicate that there were no retrieved non-spherical AOD for the month.**

**4)** Maybe skip most of the discussion about Gaussian distributions (page 6) and go straight to use of lognormal to model. Most people believe that AOD distributions are close to lognormal, and unlike MODIS, MISR isn't crazy enough to retrieve negative AOD values.

: Our results show that the lognormal assumption for AOD distributions is not always appropriate. For example, in Fig 2d, the three distributions are close to lognormal, indicating that we could take

the logarithm of non-absorbing AOD and compare the mean of  $\log(\text{AOD})$  between MISR and the models. In contrast, Fig 2e and Fig 6e exhibit that the total AOD distributions from MISR and AERONET do not follow the lognormal distributions. So simple averaging of  $\log(\text{AOD})$  could discard potentially significant information such as multiple peaks shown in Fig2e and Fig 6e. We believe that our discussion on page 6 is meaningful.

5) One of the three reviewers asked about more details about the chemical processes implemented in the model, but they are not convinced clear answers were provided, but rather references are only provided. Can you address this issue to satisfy this concern?

: We thank for the comment. We have revised the Model section by adding following sentences.

(added) The GOCART CTM includes the sulfur chemistry reactions from Müller and Brasseur (1995), such as DMS-OH, SO<sub>2</sub>-OH and SO<sub>2</sub>-H<sub>2</sub>O<sub>2</sub> reactions, to simulate chemical production of sulfate aerosols.

(added) Similar to the GOCART, the chemical reactions to form sulfate aerosols include the DMS-OH, SO<sub>2</sub>-OH, SO<sub>2</sub>-O<sub>3</sub> and SO<sub>2</sub>-H<sub>2</sub>O<sub>2</sub> reactions. The detailed rate constants can be found in Table 4 of Takemura00.

6) One of the three reviewers noted that the answer concerning the added value of this study for improving the model is not sufficient. Comparisons focused on AOD only are not enough to constrain and improve emissions, transport and deposition processes, which required additional dedicated observations. Can you comment about this issue and if anything else can be put forward in the draft to satisfy this concern?

: We agree with this. We have revised the following paragraph in the conclusion.

(before) We believe that the comparison of AOD distributions by components between MISR and chemistry models will provide useful guidance to improve model emissions, transport processes, and will ultimately improve computations of aerosol-related radiative forcing in the models. The first step should be matching simulated AOD by components with those from MISR by adjusting emissions and lifetime of aerosols in models. Further research along these lines would clarify uncertainties of chemistry models on regional and global scales. Conversely, scrutinizing the AOD distributions reported by MISR using chemistry climate models may feedback and improve the quality of aerosol retrievals from MISR.

(after) We believe that the comparison of AOD distributions by components between MISR and chemistry models will provide useful guidance to improve **computations of aerosol-related radiative forcing in the models. Given that models reasonably represent transport processes and chemical reactions associated with aerosols, MISR AOD by components can provide a constraint on the strength of emissions (e.g., Petrenko et al., 2012) and lifetime of aerosols.** The first step should be matching simulated AOD by components with those from MISR by adjusting emissions and lifetime of aerosols in models. Further research along these lines would clarify uncertainties of chemistry models on regional and global scales. Conversely, scrutinizing the AOD distributions reported by MISR using chemistry climate models may feedback and improve the quality of aerosol retrievals from MISR.

7) One of the three reviewers is concerned that the model used is too simple, in terms of aerosol-chemistry, to perform rigorous comparisons with MISR retrievals, especially for polluted regions. Can you address this concern in the draft somehow?

: If a model uses complicated aerosol chemistry and provides a variety of aerosol species, comparing the simulated AOD with MISR AOD by components becomes a completely under-constrained problem. As we mentioned in the Data section, only eight components are considered in the MISR algorithm climatology. Given the limitation of satellite remote sensing to constrain

chemical composition of aerosols in chemistry models, the simplicity of the model chemistry is not important for this study. In the Introduction, we list the previous studies comparing only total AOD between chemistry models and satellite observations, not considering even the simple aerosol chemistry of models.

# Climatology of the aerosol optical depth by components from the Multiangle Imaging SpectroRadiometer (MISR) and chemistry transport models

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**Abstract.** The Multi-angle Imaging SpectroRadiometer (MISR) Joint Aerosol (JOINT\_AS) Level 3 product provides a global, descriptive summary of MISR Level 2 aerosol optical depth (AOD) and aerosol type information for each month ~~between March 2000 and the present.~~ [over 16+ years since March 2000.](#) Using Version 1 of JOINT\_AS, which is based on the operational (Version 22) MISR Level 2 aerosol product, this study analyzes, for the first time, characteristics of observed and simulated distributions of AOD for three broad classes of aerosols: spherical non-absorbing, spherical absorbing, and non-spherical – near or downwind of their major source regions. The statistical moments (means, standard deviations, and skewnesses) and distributions of AOD by components derived from the JOINT\_AS are compared with results from two chemistry transport models (CTMs), the Goddard Chemistry Aerosol Radiation and Transport (GOCART) and SPectral RadIatioN-TrAnSport (SPRINTARS). Overall, the AOD distributions retrieved from MISR and modeled by GOCART and SPRINTARS agree with each other in a qualitative sense. Marginal distributions of AOD for each aerosol type in both MISR and models show considerable high positive skewness, which indicates the importance of including extreme AOD events when comparing satellite retrievals with models. The MISR JOINT\_AS product will greatly facilitate comparisons between satellite observations and model simulations of aerosols by type.

## 1 Introduction

Atmospheric aerosol distributions and temporal variations play fundamental roles in ~~the~~ Earth's climate system. Direct radiative forcing of aerosol scattering and absorption of shortwave radiation is estimated at around  $-0.7 \text{ Wm}^{-2}$  from reanalysis data (Bellouin et al., 2013) and  $-1.3 \text{ Wm}^{-2}$  based on satellite observations (Bellouin et al., 2008). In addition, aerosols affect radiative forcing

indirectly by changing the microphysical properties of clouds and precipitation. Studies of aerosol impacts on large-scale circulation have brought further attention to interactions between aerosols and clouds. For example, Li et al. (2008) shows that the recent positive trend in wintertime precipitation  
25 over the North Pacific is related to increased aerosol emissions. Zhang et al. (2007) and Wang et al. (2014) suggest that increased anthropogenic aerosol emissions in Asia can strengthen cyclones along the Pacific storm track. Both direct and indirect radiative forcing of aerosols are expected to be more important under a changing climate. For example, Ganor et al. (2010) and Lu et al. (2010) report increasing dust aerosols in Africa and sulfate aerosols in China, respectively. However, global  
30 climate models (GCMs) have a hard time producing consistent radiative forcing responses to varying concentrations of aerosols (IPCC, 2013). In fact, indirect radiative forcing due to aerosols is one of the dominant sources of uncertainty in the energy budget of many GCMs (Regayre et al., 2014).

Despite substantial improvements in the representation of physical and chemical processes related to aerosols in global-scale chemistry models relative to most GCMs, recent work has shown that  
35 ~~chemistry~~ such models still exhibit considerable biases and uncertainties in aerosol concentrations and related radiative forcings (Lee et al., 2013; Shindell et al., 2013). Therefore, validating simulated aerosols in chemistry models is critical in order to better understand the root causes of these biases and uncertainties.

Aerosol-radiation interactions are determined by the size distribution of aerosols, as well as their  
40 shape and light-absorption properties (Boucher et al., 2013). Understanding the optical and microphysical characteristics of natural and anthropogenic aerosols is critical for advancing the ability of chemistry climate models (CCMs) to correctly simulate the climate impact of aerosols. Nevertheless, many previous studies evaluating simulated aerosol optical depth (AOD) in models against satellite observations, such as those available from the Moderate Resolution Imaging Spectroradiometer  
45 (MODIS) and the Multi-angle Imaging SpectroRadiometer (MISR), have used only the total column AOD without taking into account aerosol type information (e.g., Tilmes et al., 2015). Shindell et al. (2013) compared AODs for each aerosol type simulated in nine CCMs. Not surprisingly, the difference in component AODs among models is much greater than the difference in total AODs (see Fig. 3 in Shindell et al., 2013). To understand the diverse partitioning of AOD among dust, sea salt, sulfate, nitrate, black carbon, and organic carbon in CCMs, it is important to compare the simulated component AODs against global climatological maps of observed AOD by components, ~~if~~ to the  
50 extent possible.

Unfortunately, the retrieval of AOD by type from satellite observations and using the retrieved AOD for chemistry model evaluation have been, and remain, ~~a significant challenge~~ significant challenges.  
55 Aerosol polarization measurement by the POLDER3 (POLarization and Directionality of the Earth Reflectance) instrument onboard the PARASOL (Polarization and Anisotropy of Reflectances for Atmospheric Sciences coupled with Observations from a Lidar) enables classifying observed aerosols into various types (Russell et al., 2014). CALIPSO (Cloud-Aerosol Lidar and Infrared Pathfinder

Satellite Observation) also provides aerosol classification from backscatter and depolarization ~~measurement~~measurements, plus some geographical constraints (Omar et al., 2009). Higurashi and Nakajima (2002) suggests detecting a dominant aerosol type using radiances from four spectral channels on the Sea-viewing Wide Field-of-view Sensor (SeaWiFS). Kim et al. (2007) used both MODIS observations and data from the Ozone Monitoring Instrument (OMI) to classify retrieved aerosol types, but the algorithm was similarly limited to providing a single, dominant aerosol type. Even these state-of-art satellite observations providing information on AOD by components cannot be readily compared with simulated AOD for different aerosol types. The aerosol type in satellite retrievals is defined by optical properties, whereas the simulated aerosol type is specified by chemical composition. As a result, in the AOD climatology by type reconstructed in Nabat et al. (2013), total AOD is from a satellite instrument, but the AOD by type ~~was derived~~ is partitioned solely from the fractions of the five aerosol types (sulfate, black carbon, organic carbon, dust, and sea salt) simulated in two CCMs. Holzer-Popp et al. (2008) provides an overview of currently available aerosol type datasets from satellites, and ~~used combined data from~~ the Advanced Along Track Scanning Radiometer (AATSR) and the Scanning Imaging Absorption Spectrometer for Atmospheric Cartography (SCIAMACHY) onboard the European Environmental Satellite (ENVISAT) to produce total column AOD and speciation by aerosol mixtures. In their study, total column AOD and surface reflectivity were derived from AATSR observations and these variables were used to simulate spectra for pre-defined aerosol mixtures, which were selected by comparison with the observed SCIAMACHY spectra.

Due to its unique ~~multiangle~~ multi-angle viewing approach, the MISR instrument on NASA's Terra satellite is capable of distinguishing mixtures of aerosol types without relying on data from other instruments (~~Diner et al., 2005a~~)(Kahn et al., 2001; Diner et al., 2005a). MISR measures radiation in four spectral bands (blue-446 nm, green-558 nm, red-672 nm, and near infrared-866 nm) from nine different viewing directions ( $\pm 70.5^\circ$ ,  $\pm 60.0^\circ$ ,  $\pm 45.6^\circ$ ,  $\pm 26.1^\circ$ , and  $0.0^\circ$  along the direction of satellite motion), allowing retrievals of aerosol particle size and shape (Kahn et al., 2001; Diner et al., 2005b). The operational (Version 22) aerosol retrieval algorithm is based on matching observed top of atmosphere (TOA) radiances to radiances modeled for AODs ranging from 0.0 to 3.0 from 74 "mixtures", each defined as up to the three of eight "pure" particle types, or "components"," in specific mid-visible AOD proportions. Each component represents a single size distribution having specific optical properties (Kahn et al., 2010). There are optical models for four spherical non-absorbing components of different sizes, two spherical absorbing components with different single-scattering albedos, and two non-spherical dust optical analogs. Within the algorithm, a mixture is acceptable, or "passing," if the difference between the observed and modeled radiances is less than a pre-determined value. In the Level 2 (swath) product, the mean AOD of the passing mixtures is reported in the field "RegBestEstimateSpectralOptDepth" at a spatial resolution of 17.6 km  $\times$  17.6 km. The best-fitting mixture out of the 74 candidate mixtures is reported as the "RegLowestResidMixture," but the AOD and information about which mixtures were considered "passing"

are retained in the fields “OptDepthPerMixture” and “AerRetrSuccFlagPerMixture,” respectively, for further evaluation. Validation of the Level 2 Version 22 product against ground-based observations from the Aerosol Robotic Network (AERONET) (Holben et al., 1998), including assessments of particle type retrievals, can be found in Kahn et al. (2010) and Kahn and Gaitley (2015). The mixture type information in the MISR Version 22 aerosol product has been exploited by Li et al. (2013) and Li et al. (2015), for example, who used CCM information to select from the complete set of “passing” mixtures to improve agreement with AERONET in the continental United States.

The main objective of the current study is to compare multi-year MISR AOD climatologies with simulated AODs, ~~broken-down~~ partitioned by aerosol type. The results of this work highlight the added value of using AOD by components from MISR in evaluating chemistry transport models (CTMs) and CCMs. Due to the relatively short lifetime of aerosols compared to trace gases, it is also important to consider the spatial inhomogeneity of aerosol distributions and resulting regional effects. MISR’s aerosol-type information becomes more reliable in the regions where AOD exceeds about 0.15 and 0.2 (Kahn et al., 2010; Kahn and Gaitley, 2015). Therefore, here we focus, in particular, on characterizing AOD distributions in regions near major aerosol emission sources: East Asia, the Sahara Desert, and West Africa, with comparisons between MISR climatological observations and two model simulations. One is from the Goddard Chemistry Aerosol Radiation and Transport (GOCART) model (Chin et al., 2002, 2014), and the other is from the SPectral RadIation-TrAnSport (SPRINTARS) model for Aerosol Species (Takemura et al., 2002, 2005) interactively coupled to the Nonhydrostatic Icosahedral Atmospheric Model (NICAM) (Satoh et al., 2008, 2014; Suzuki et al., 2008). We also demonstrate why it is important to consider spatio-temporal distributions of AOD when comparing satellite observations and models rather than simply using spatially and temporally averaged AODs for model evaluation.

The remainder of the paper is structured as follows. The data and models used in this study are described in Section 2. Comparisons between MISR retrievals and model simulations are presented in Section 3, followed by a summary of key findings in Section 4.

## 2 Data

### 2.1 MISR Level 3 Joint Aerosol product

As the MISR mission progresses, and more observations become available for model intercomparison projects – such as AeroCom (Schulz et al., 2006; Myhre et al., 2013), the Coupled Model Intercomparison Project Phase 5 (CMIP5) (Taylor et al., 2012), and the Atmospheric Chemistry and Climate Model Intercomparison Project (ACCMIP) (Lamarque et al., 2013), it is important that these data become more accessible (Teixeira et al., 2014). Getting the mixture information from the MISR Level 2 aerosol product is an indirect procedure, requiring access to Hierarchical Data Format (HDF) Vertex Data (VDATA) fields that map integer-valued mixture identifiers in the [MISR](#) Level

2 files to the MISR-corresponding components and corresponding mixing proportions. To make this information more readily available, and to reduce the overall data volume required for large-scale analysis, the MISR project provides a Level 3 (gridded) Joint Aerosol Product (JOINT\_AS) that summarizes the Level 2 aerosol retrievals on a monthly,  $5^\circ \times 5^\circ$  latitude-longitude spatial-temporal grid. “Joint” refers to the joint distributions of aerosol types reported in this product, and “AS” refers to the MISR Aerosol-Surface algorithm that ~~produced~~produces the original Level 2 product from which JOINT\_AS is derived. The contents of the JOINT\_AS product can be thought of as eight-dimensional histograms summarizing a large number of retrievals in every grid cell. The summarization algorithm is based on Braverman and Di Girolamo (2002). Each grid cell in the product contains a set of representative vectors and their associated weights in what is essentially a multi-dimensional probability distribution. The representative vectors have eight elements, which are the mid-visible (558 nm) AODs assigned to the eight components in the MISR algorithm climatology. These eight components include four non-absorbing spherical particle optical analogs, having size distributions with effective radii of 0.06, 0.12, 0.26, and  $2.80 \mu\text{m}$ ; two spherical absorbing particle analogs, both having size distributions with an effective radius of  $0.12 \mu\text{m}$  and single-scattering albedos of 0.9 and 0.8 in the mid-visible; and two non-spherical-particle size distributions corresponding to dust, one of grains with an effective radius of  $0.75 \mu\text{m}$ , and the other of spheroids with an effective radius of  $3.32 \mu\text{m}$  (Kahn et al., 2010; Kahn and Gaitley, 2015).

Conceptually, one can think of the JOINT\_AS product as being created as follows. For a given grid cell, all mixtures that pass the algorithm acceptance criteria are transformed into an eight-vector that aggregates component-AOD proportions across mixtures, to yield total proportions of each component. Next, these proportions are multiplied by the total retrieved mid-visible AOD (“RegBestEstimateSpectralOptDepth”) to create an eight-vector of AODs that sums to the total reported AOD. To summarize the multi-dimensional distribution of AOD, the JOINT\_AS product uses a clustering algorithm to partition the eight vectors into groups with similar members. These are effectively new, statistically representative mixtures of the eight components. A detailed description of the clustering algorithm used to generate the JOINT\_AS can be found in Braverman (2002). In this study, we use Version 1 of the MISR JOINT\_AS, based on the operational (Version 22) Level 2 MISR aerosol retrievals, for all months during the 15-year period from March 2000 through February 2015. When comparing MISR JOINT\_AS with model simulations, we used the data for the 8-year period between 2000 and 2007.

Figure 1 shows an example of how a two-dimensional subset of the eight-dimensional histogram contained within the MISR JOINT\_AS product can be visualized as a scatterplot of absorbing, spherical particle AOD plotted against non-absorbing, spherical particle AOD over East Asia for the month of April. One-dimensional AOD sampling distributions are shown as the histogram on the top of the figure for non-absorbing, spherical particles and to the right of the figure, AOD for spherical absorbing particles. The scatterplot itself shows how these two aerosol types co-vary in this region for the

chosen time period. From the histograms, the MISR V22 product identifies non-absorbing, spherical particles as the dominant aerosol component, with AOD that tends to span the range from about 0.025 to 0.15, whereas retrieved absorbing AOD ~~contribute~~ contributes only less than about 0.02 to the total AOD, in part due to relatively low sensitivity to particle single-scattering albedo (SSA) in most remote-sensing retrievals, and in part to limitations in the MISR Version 22 algorithm climatology, which contains very few absorbing-aerosol components, so SSA tends to be overestimated when absorbing particles are present (Kahn et al., 2010; Kahn and Gaitley, 2015), though absorbing fraction of the total AOD also tends to be less than the scattering fraction. Because of this, there is very little covariance between the absorbing and non-absorbing component AODs in this case. In other words, the changes in retrieved non-absorbing AOD over the area are not related to retrieved absorbing AOD, at least based on the MISR V22 product.

Note that for comparisons with models, we bookkeep in this study the total optical depth for spherical non-absorbing, spherical absorbing, and non-spherical aerosols as the three highest-level aerosol-type categories in the MISR climatology. However, the absorbing AOD for a given retrieval is defined as the sum over all components,  $\sum_{components} (1 - SSA) \times (component\ AOD)$ . So, for example, particles having  $SSA = 0.8$  would only contribute 20% of their AOD to absorption.

From the information used to construct the marginal histograms, it is simple to calculate the moments (mean, variance, and skewness) of the AOD distributions for different aerosol types. The  $k$ -th central moment of the distribution ( $M_k$ ) with a sample size,  $N$ , is conventionally defined as follows:

$$\text{first moment (mean)} = \bar{x} = \frac{1}{N} \sum_{j=1}^N x_j \quad (1)$$

$$\text{k-th central moment} = M_k = \frac{1}{N} \sum_{j=1}^N (x_j - \bar{x})^k \quad (2)$$

where  $x_j$  corresponds to the  $j$ th AOD. Using the above definitions, the skewness of the distribution can be represented as:

$$skew = N \frac{M_3}{M_2^{1.5}}. \quad (3)$$

If the data follow a normal (Gaussian) distribution, the skewness of the data should be close to zero. If a distribution has positive skewness, the tail representing values larger than the median of the distribution is longer than the tail representing smaller values. Conversely, if the distribution has a negative skewness, the tail representing smaller values is enhanced. If the skewness of the distribution is not close to zero, the mean and standard deviation are not enough to appropriately represent the distribution. For a normal distribution the standard deviation of the sample skewness is approximately  $\sqrt{15/N}$ , where  $N$  is the sample size. Skewness values less than a few times as large as this (e.g.,  $|skew| < 3$  for a sample size of 15) should be viewed with suspicion. On the other hand, when the distribution of data is highly skewed, this indicates that it is necessary to analyze

individual values or at least a summary histogram of the data in order to understand how the data are actually distributed. As we will show, the ability to easily determine the moments and distributions of the MISR AODs by three general categories (spherical non-absorbing, spherical absorbing, and non-spherical) is an important feature of the JOINT\_AS product.

## 2.2 Models

In this study, we compare the AOD by components from the JOINT\_AS product with results from two CTMs. Unlike CCMs, which generate their own meteorological fields, CTMs require meteorological input from another GCM. The GOCART simulation used here has horizontal resolution of  $2.5^\circ \times 1.5^\circ$ , and is driven by meteorology fields from the Goddard Earth Observing System - Data Assimilation system (GEOS-DAS). The GOCART model used the emission data provided for the AeroCom Phase II model experiments (Diehl et al., 2012) for the 8-year period between 2000 and 2007. The GOCART CTM includes the sulfur chemistry reactions from Muller and Brasseur (1995), such as  $DMS - OH$ ,  $SO_2 - OH$  and  $SO_2 - H_2O_2$  reactions, to simulate chemical production of sulfate aerosols. The model output provides daily optical depths of total aerosols, black carbon, dust, organic aerosols, sulfate and sea salt at a wavelength of 550 nm.

We also compare the MISR JOINT\_AS product to the SPRINTARS CTM because of the model's unusually high spatial and temporal resolution; although the SPRINTARS detail will average out in the comparison, in some respects the aggregated data might provide a more accurate species-specific AOD picture. SPRINTARS simulation is coupled to the high-resolution NICAM model, which reports AOD at 550 nm wavelength every three hours for four different aerosol types (carbonaceous, dust, sea salt, and sulfate), with a horizontal resolution of 7 km globally. Similar to the GOCART, the chemical reactions to form sulfate aerosols include the  $DMS - OH$ ,  $SO_2 - OH$ ,  $SO_2 - O_3$  and  $SO_2 - H_2O_2$  reactions. The detailed rate constants can be found in Table 4 of Takemura et al. (2000). As described by Suzuki et al. (2008), the SPRINTARS CTM reasonably reproduces global distributions of total AOD in comparison with MODIS near major aerosol emission sources. SPRINTARS is also one of the models included the AeroCom intercomparison (Huneus et al., 2011). However, due to computational limitations, the SPRINTARS simulation period available for this study covers only the eight days from 1 July through 8 July 2006. In addition, we found that the JOINT\_AS product for the single month of July 2006 contains a significant number of missing values even at  $5^\circ \times 5^\circ$  spatial resolution. The missing data are likely due to cloud screening and locations being flagged as inappropriate for aerosol retrievals, as discussed in Kahn et al. (2009). To enable the comparison between MISR and SPRINTARS, we had to aggregate the MISR data for multiple Julys, and assume that the AOD distribution does not change significantly from one year to the next during the month of July (the "stationarity" assumption). However, stationarity does not hold near the major aerosol emission sources, as shown later in Fig. 5. the East Atlantic part

[of the Results section](#). So we view the results of the SPRINTARS comparison as an adjunct to the GOCART comparison.

It is important to note that the aerosol types in the GOCART and SPRINTARS models are different from the components used in the operational MISR aerosol retrievals (see Table 1 of Kahn and Gaitley, 2015). In order to compare the MISR AOD by components with those of the models, MISR aerosol types were combined in the manner shown in Table 1. AODs from weakly and strongly absorbing spherical aerosols in the MISR dataset, with SSA in the mid-visible of 0.9 and 0.8, respectively, were combined to construct an analog to modeled carbonaceous aerosols. The combination of the more absorbing and less absorbing spherical particles is intended to represent the range of such particles in nature (e.g., Liu et al., 2014). Given the limited non-spherical dust models in the MISR operational retrieval, the AODs from the non-spherical grains and coarse spheroids from MISR (Kalashnikova et al., 2005) were combined. Finally, AODs from the three (small) non-absorbing spherical particles were added to compare to the modeled sulfate aerosols. The largest MISR particle type, with an effective radius of  $2.8 \mu\text{m}$ , was excluded when calculating the non-absorbing AOD due to issues with retrieval sensitivity to this component (Kahn et al., 2010).

### 3 Results

#### 3.1 East Asia

Rapid increases in emissions of aerosols and their precursors in East Asia ~~have caused~~ [are causing](#) growing concern because of the broad impact they have on aerosol loading over the North Pacific and mainland North America, especially the United States (Yu et al., 2008). Figure 2 compares climatological AODs from spherical non-absorbing aerosols for July retrieved by MISR in East Asia with the sulfate AOD predicted by GOCART and SPRINTARS. Figures 2a and 2b are the respective MISR non-absorbing and GOCART sulfate AOD maps averaged over 8 years, and Figure 2c is the sulfate AOD in SPRINTARS for the beginning of July 2006. The three maps of non-absorbing AOD are displayed using the same color scale. Peak AOD values correspond to source regions in the Shandong Province south of Beijing and are closely related to the emissions in this heavily industrial region (Streets et al., 2007). The spatial gradient in AOD is due to transport and deposition processes. In spite of the differences in time period between SPRINTARS and the other two datasets, Fig. 2a - 2c show good qualitative agreement in their representation of the spatial distribution of spherical non-absorbing/sulfate AOD. The reason for the generally good agreement is likely that the industrial source regions are well considered in the emission database used for GOCART and SPRINTARS, and at least at this level-of-detail, inter-annual variability does not alias the SPRINTARS result.

Figures 2d and 2e show the probability mass function (PMF) of the non-absorbing AOD and total AOD, corresponding to the white boxes on the maps in Figs. 2a - 2c. The white boxes capture the region of highest AOD loading. The daily AOD observations from the AERONET station at

Shouxian ( $116.8^{\circ}E, 32.6^{\circ}N$ ), inside the white box, is available only in 2008. The PMF is simply the histogram scaled so that the total area of the bars corresponds to some fixed value. It is important to recognize that Fig. 2d and Fig. 2e from MISR and GOCART include both temporal and spatial variability, because data for the histograms are aggregated over an 8-year time period, whereas Fig. 2d from SPRINTARS and Fig. 2e from SPRINTARS and AERONET represent primarily spatial variability, and Fig. 2e from AERONET represents primarily temporal variability. Even so, the non-absorbing particle histograms have similar overall shapes, with similar standard deviations (0.39 for MISR, 0.33 for GOCART and 0.33 for SPRINTARS), but considerable disagreement differences in the peak magnitudes and the mean non-absorbing/sulfate AOD values (0.40 for MISR, 0.53 for GOCART and 0.36 for SPRINTARS). Also noticeable about the shapes of the distributions is that they are non-Gaussian, with long positive tails. The ability to visualize the full AOD distribution is an important analysis technique enabled by the MISR JOINT\_AS product. The skewness of the MISR distribution is 1.70 and for GOCART and SPRINTARS, the skewness values are 0.93 and 2.6 respectively, showing that the GOCART model has smaller positive skewness corresponding to more high AOD values between 0.5 and 1.5 than MISR, whereas SPRINTARS is more peaked toward the lower values. These features in the distributions are not clearly visible when comparing climatological mean AOD spatial maps in Fig. 2a - 2c.

Levy et al. (2009) describe how the monthly mean AOD reported by satellite instruments is highly dependent on the averaging method selected. Reliance on the mean and standard deviation alone are particularly problematic when high-large outliers are common. A satellite instrument can miss extreme events due to its sampling characteristics (Colarco et al., 2014), but these events may be captured by a model with better temporal and complete spatial sampling. If this is the case, the mean AOD values could be very different, but, as shown here, the distributions are still similar.

Compared to the distributions of non-absorbing AOD in Fig. 2d, there are significant differences in the total AOD distributions across the observations and models. The secondary peak around AOD 1.5 only appears in the AERONET AOD data. MISR's total AOD observations show a multimodal distribution similar to AERONET, but still the differences between MISR and AERONET are large, and of course, the temporal and spatial sampling of the two are quite different. Unlike the observations, the total AOD distributions for the two models are positively skewed and unimodal. When the distributions have multiple modes, we should not read too much into averaged AOD for comparison between observations and models.

It is worth noting that taking the base-10 logarithm of the non-absorbing/sulfate AODs in Fig. 2d results in distributions with skewnesses of -0.21 (MISR), -0.41 (GOCART), and -0.11 (SPRINTARS). These skewness values are close to zero, indicating that these modified distributions are nearly log-normal, as is typical for atmospheric pollutant concentrations (Ott, 1990). The results in Fig. 2 as a whole indicate that combining MISR spherical non-absorbing AOD in the manner described appears to be a good proxy for sulfate aerosol loading, at least in July in East Asia.

When comparing satellite-derived total column AOD with modeled AOD, it is common practice to  
310 assume that a single, dominant aerosol type accounts for the majority of the modeled AOD in a region  
(e.g., Kim et al., 2007). However, this approach will not work if the dominant pollutant type varies  
over time (Wang et al., 2010). Another useful characteristic of the MISR operational aerosol retrieval  
is that the mixture climatology is applied globally to all locations and seasons, unlike MODIS over  
315 ~~the~~AERONET climatology (Levy et al., 2013). A recent study by Eck et al. (2013), for example,  
shows that the seasonality in biomass-burning SSA in southern Africa is better captured by MISR  
than MODIS due to the algorithm flexibility in choosing mixtures, combined with the additional  
information content of the multi-angle measurements (e.g., Kahn and Gaitley, 2015). Figure 3 shows  
the monthly partitioning of aerosol type from MISR, GOCART and SPRINTARS, based on the 8-  
320 year climatology from MISR and GOCART and the 8-day average from ~~GOCART~~SPRINTARS.  
This comparison is possible because the MISR JOINT\_AS product retains statistical information  
on the full range of “passing” particle types within a  $5^\circ \times 5^\circ$  grid cell instead of reporting a single,  
dominant particle type.

What is immediately apparent when comparing the April and July particle type climatologies  
325 from MISR and GOCART is that the dominant source region in April is located in the vicinity of  
Hong Kong, whereas in July it is farther to the north as discussed in relation to Fig. 2. In April,  
the southern source region is dominated by non-absorbing aerosols (sulfate and possibly nitrate),  
with absorbing aerosols being a secondary contributor. Both the partitioning and seasonality are  
consistent with monthly observations of  $PM_{2.5}$  constituents in Hong Kong (Haung et al., 2014).  
330 Additionally, there is significantly more non-spherical aerosols in the region in April, when dust  
storms are most frequent (e.g., Wang et al., 2010; Lee et al., 2013). Although individual dust events  
do not last very long, they are captured in the long-term MISR climatology due to their seasonal  
recurrence. The dust makes an important contribution to the total AOD especially in April, but it  
would be a mistake to use the total monthly mean AOD in the region to study the transport and  
335 radiative effects of dust by itself in China. The dust AOD in GOCART and SPRINTARS is higher  
than MISR non-spherical AOD in July and especially in April. Sulfate AOD in SPRINTARS for July  
shows qualitative good agreement with MISR and GOCART, but the sulfate AOD in the models is  
higher than MISR spherical non-absorbing AOD in both months. Figure 3 demonstrates the value  
of aerosol data from the MISR JOINT\_AS product to further study climate impacts and air quality  
340 issues due to aerosols over East Asia together with chemistry model simulations.

### 3.2 Eastern Atlantic

The eastern Atlantic Ocean in July is directly downwind from the largest source of dust aerosols  
on Earth (e.g., Koven and Fung, 2008; Ridley et al., 2012). Figure 4a shows a map of the climatol-  
ogy of non-spherical aerosol optical depth for July from 8 years of MISR data from the JOINT\_AS

345 product for the eastern Atlantic off western Africa. Figure 4b and 4c show the dust aerosol AOD simulated by GOCART and SPRINTARS respectively for the same region. We focus on retrievals over ocean because of the highly episodic nature of dust events (e.g., Ben-Ami et al., 2012) (see also Fig. 5), which make direct comparisons difficult in the source regions themselves [due to sampling differences](#). In addition, although MISR-reported AODs show good agreement with AERONET over ocean, downwind of the source region, the magnitude tends to be underestimated in situations with high aerosol loading, as in the case of large dust outbreaks, especially near-source (Kahn et al., 2010; Carboni et al., 2012; Banks et al., 2013). On the modeling side, in the first AEROCOM intercomparison, the SPRINTARS model also tends to underestimate the emissions in north Africa, with dust particles having too short a lifetime (1.6 days) (Huneus et al., 2011). In this regard, it is important to recall that the MISR and GOCART data represent temporal averages over 8 years, whereas the SPRINTARS model would capture background dust levels, and possibly a single, large dust event. The two models, especially GOCART, show much higher dust AOD over land than the MISR Version 22 product. In spite of these differences, there is general agreement among the spatial patterns of the Figs. 4a - 4c maps. The latitudinal and longitudinal spread is less in SPRINTARS than in the MISR and GOCART climatologies. Between the end of March and mid-October, the location of the maximum dust emission shifts with time, consistent with the differences shown here in the latitudinal distributions (Prospero et al., 2002; Ben-Ami et al., 2012). The difference in longitudinal extent could be related to the difference in the dust particle lifetime in the SPRINTARS (Huneus et al., 2011) and GOCART models.

365 Focusing on the white rectangles on the maps, Figs. 4d and 4e show the PMFs of the non-spherical (dust) AOD and total AOD from MISR and the models. GOCART shows the largest mean dust AOD of 0.51, followed by MISR (0.41) and SPRINTARS (0.33). The three distributions have similar standard deviations (0.23 for MISR, 0.22 for GOCART, and 0.20 for SPRINTARS). The distributions also commonly have significant positive skewness (0.88 for MISR, 0.63 for GOCART and 1.40 for SPRINTARS), with the SPRINTARS model showing greater skewness than the satellite observations. Looking at the distributions themselves, it is apparent that both non-spherical and total AOD in the region is much better behaved than the AOD distribution in East Asia, which contains multiple modes in the observed total AOD distributions. Although the peaks of the three distributions in Fig. 4d are nearly identical (around 0.4), the SPRINTARS distribution is more skewed than MISR and GOCART. The relatively small positive skewness in MISR and GOCART may be due to sampling over the longer 8-year period. Careful inspection of the three distributions shows that frequency of AOD values larger than the highest peak in the SPRINTARS model falls off more rapidly than it does in the MISR observations and GOCART model, another indication that the dust lifetime may be too short in SPRINTARS. Again, this demonstrates the importance of comparing probability distributions in AOD between models and observations rather than relying only on differences in mean AODs as a model performance metric. The July total AOD observations from the AERONET site at

Capo Verde (22.9°W, 16.7°N) is available only for 2005, so the green histogram in Fig. 4e is based on the data for a single month.

Figure 5 shows the non-spherical AOD for north Africa for July for 2000 to 2014 from the MISR  
385 JOINT\_AS product at  $5^\circ \times 5^\circ$  resolution. The significant inter-annual variability in both dust loading and peak locations is immediately apparent. The western Sahara is particularly variable in both location and intensity, but the region downwind from the Bodélé Depression in Chad (Bristow et al., 2009), around  $10^\circ$  E, is persistent, although with varying intensity from year to year. This indicates that in ~~north~~-North Africa, the stationarity assumption for the spatial distribution of non-spherical  
390 AOD does not work well. Therefore, if simulation datasets are available for a longer period, it is important to compare simulated dust AOD over the region using observational datasets with enough temporal overlap with the simulation.

### 3.3 Central Africa

Over half of the global emissions of carbon ~~come~~-comes from Africa (van der Werf et al., 2010) and  
395 in Central Africa, south of the Equator, these emissions are dominated by savanna and grassland fires (Ichoku and Ellison, 2014). Figure 6a shows the MISR absorbing aerosol climatology for July from 8 years of data from the JOINT\_AS product. Figure 6b exhibits the sum of two optical depths, black carbon and organic aerosols in July from GOCART, averaged ~~for~~-over 8 years. Figure 6c shows the carbonaceous aerosols from the SPRINTARS model from 1 July through 8 July 2006. The agree-  
400 ment between the satellite observations and the models appears to be qualitatively good. Careful examination shows that the highest aerosol loading predicted by the models occurs primarily over northwestern Angola and the Democratic Republic of the Congo, whereas the satellite data has a maximum extending from the Democratic Republic of the Congo, across the Republic of the Congo, into the Atlantic Ocean. The modeled carbonaceous aerosols are therefore displaced to the south-  
405 east relative to the MISR satellite observations. A similar displacement was found by Liousse et al. (2010) when comparing POLDER total column AOD measurements from the PARASOL satellite with modeled AOD for July 2006. These authors attribute the displacement to errors in the location of the biomass burning emissions, errors in transport, or errors in the satellite products. In fact, the location of the maximum carbonaceous AOD in SPRINTARS corresponds well with the location of  
410 the maximum BC emission in the Global Emissions Inventory Activities (GEIA) emissions (Liousse et al., 2010), which are used in the SPRINTARS model (Takemura et al., 2005). The GEIA emissions distribution in this region is consistent with version 3 of the Global Fire Emissions Database (GFED3) (van der Werf et al., 2010) as well as the Fire Energetics and Emissions Research version 1.0 (FEER.v1) (Ichoku and Ellison, 2014) database. The spatial distribution of absorbing aerosols  
415 from MISR shown in Fig. 6a is also consistent with the AOD map from POLDER shown in Liousse et al. (2010), even though the PARASOL satellite has a 13:30 LT (Local Time) equatorial crossing time compared to the 10:30 LT equatorial crossing time for the Terra satellite. These results impli-

cate the transport and deposition processes in the GOCART and SPRINTARS models, ~~as opposed~~  
~~to rather than~~ the emissions inventory or the satellite products, themselves. Even so, recent work by  
420 Marlier et al. (2014) has shown that daily fire emissions lead to different model results compared to  
monthly fire emissions, and Veira et al. (2015) show that the injection height of aerosols can also  
play a role in the modeled distribution of AOD from biomass burning. The paper by Matichuk et al.  
(2007) includes a longer list of additional model sensitivities that complicate model predictions of  
carbonaceous aerosol loading, particularly in transport regions.

425 Figures 6d and 6e show the distributions of spherical absorbing/carbonaceous AOD and total AOD  
from MISR, the two models, and AERONET for the white boxes on the maps in Figs. 6a, 6b and 6c,  
which corresponds to the region of highest AOD loading in both the observations and the models.  
In this case, the two distributions from the models are quite similar. The mean MISR absorbing  
particle AOD is 0.36, and the means for GOCART and SPRINTARS carbonaceous AOD are 0.45  
430 and 0.40, with the difference between MISR and the models likely due to the higher AOD near the  
source regions, and possibly the lack of absorbing-particle optical models in the MISR climatology  
covering the natural range of size distributions and SSA values (Kahn et al., 2010). The peak values  
in the models are also slightly higher than MISR. The standard deviations for MISR, GOCART and  
SPRINTARS are 0.22, 0.19 and 0.18, respectively, with the MISR distribution skewed slightly higher  
435 with a skewness of 0.77, compared to 0.67 for GOCART and 0.71 for SPRINTARS. These results  
are consistent with the models producing carbonaceous aerosols that are not transported as far as the  
MISR observations indicate. The Lope National Park AERONET site in Gabon provides the only  
available surface-based total AOD observations in the study region for July 2014. The AERONET  
site reported anomalously high AOD with mean of 0.91. Due to the limited temporal coverage of  
440 the AERONET observations, MISR's total AOD data over a longer period is much more useful for  
comparing with simulated total AOD. Again, the ability to generate distributions of AOD by aerosol  
type and total AOD is an important strength of the MISR JOINT\_AS product and, as shown in this  
example, provides a powerful model diagnostic.

#### 4 Conclusions

445 Although a number of previous studies have evaluated aerosols modeled in both GCMs and chem-  
istry models using observational datasets from a variety of sources, studies focusing on specific  
aerosol types have been limited by the lack of global comparison datasets. However, it is well un-  
derstood that model improvements depend on moving beyond simple comparisons of total AOD. In  
this work, we describe the MISR Level 3 Joint Aerosol product that provides monthly climatolog-  
450 ical distributions of AOD for eight different aerosol components, which allows detailed statistical  
comparisons between satellite observations and models. In addition, we demonstrate how the MISR  
components can be combined into analogs for model aerosol species. In comparisons with ~~the~~ two

chemistry transport models, GOCART and SPRINTARS, we show that reliance on the simple mean and standard deviation of the AOD distribution can result in misleading conclusions when evaluating  
455 simulated AOD against observed AOD. In the three high-AOD regions studied, high positive skewness in the component-AOD distributions is indicative of large outliers that may be due to episodic events or differences in sampling that must be considered when making comparisons between satellite observations and model datasets. We also show how the reliance on a single, dominant aerosol type ~~may~~ can be inappropriate for certain locations and seasons.

460 We believe that the comparison of AOD distributions by components between MISR and chemistry models will provide useful guidance to improve ~~model emissions, transport processes, and will ultimately improve~~ computations of aerosol-related radiative forcing in the models. Given that models reasonably represent transport processes and chemical reactions associated with aerosols, MISR AOD by components can provide a constraint on the strength of emissions (e.g., Petrenko et al., 2012) and  
465 lifetime of aerosols. The first step should be matching simulated AOD by components with those from MISR by adjusting emissions and lifetime of aerosols in models. Further research along these lines would clarify uncertainties of chemistry models on regional and global scales. Conversely, scrutinizing the AOD distributions reported by MISR using chemistry climate models may feed back and improve the quality of aerosol retrievals from MISR.

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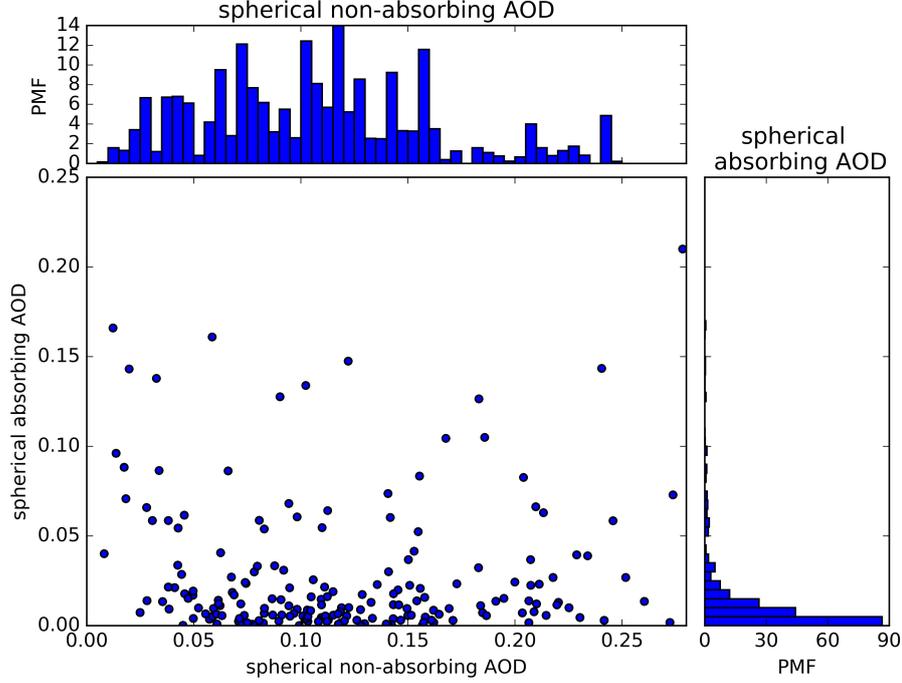
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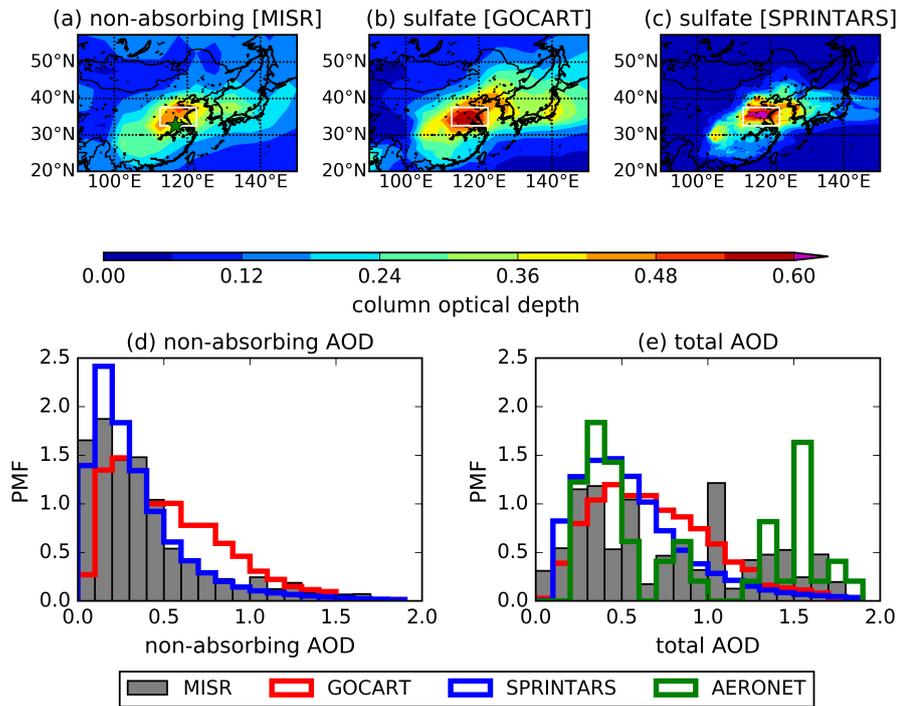
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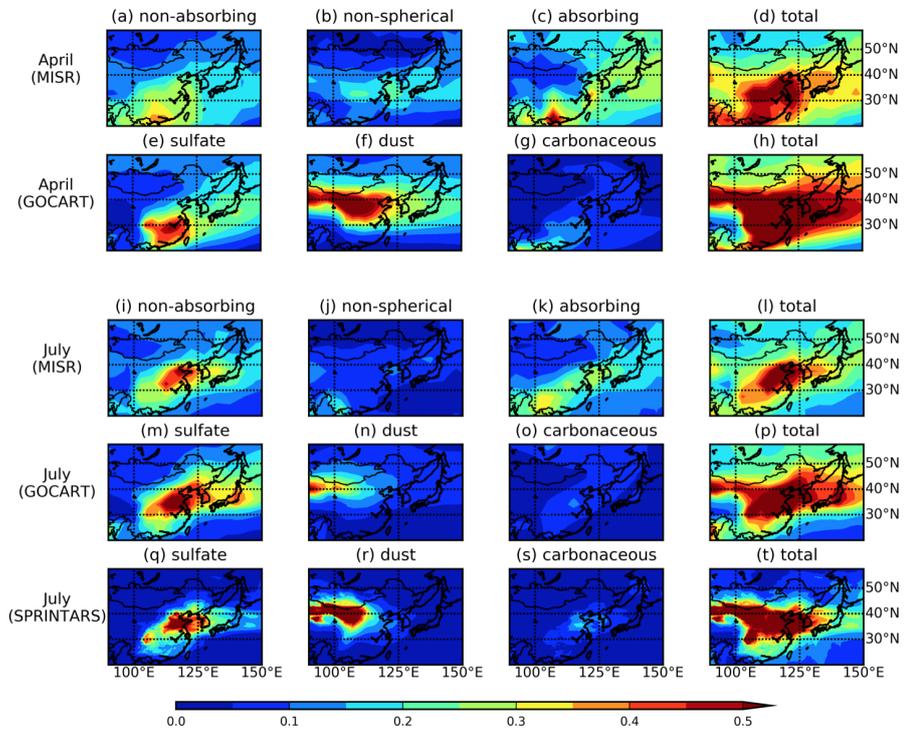
**Figure 1.** A scatter plot of spherical absorbing-component AOD and spherical non-absorbing-component AOD at 558 nm wavelength and their histograms over East Asia ( $117.5^{\circ} - 127.5^{\circ} E, 32.5^{\circ} - 42.5^{\circ} N$ ) in April between 2000 and 2014. The AOD data are from MISR Version 1 of the Level 3 Joint Aerosol product (JOINT\_AS) based on the Version 22 operational Level 2 aerosol retrievals. The two histograms for spherical non-absorbing and absorbing-component AOD are scaled to show a probability mass function (PMF) so that the total area of the bars in each histogram becomes one.

**Table 1.** Combination of AOD by components for comparison of MISR with the GOCART and SPRINTARS models. The names of aerosol components in MISR are from Table 1 in Kahn et al. (2015).

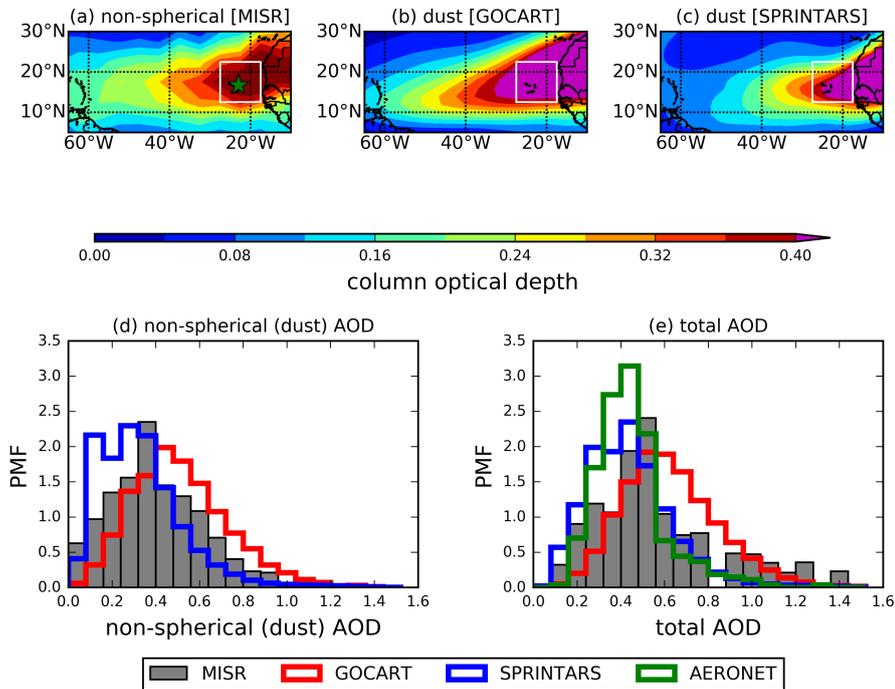
MISR	GOCART	SPRINTARS
weakly+strongly spherical absorbing aerosols : sph_absorb_0.12_ssa_green_09 + sph_absorb_0.12_ssa_green_08	black carbon +organic aerosols	carbonaceous aerosols
medium + coarse non-spherical aerosols : medium_grains + coarse_spheroids	dust	dust
very small + small + medium spherical non-absorbing aerosols : sph_nonabsorb_0.06 + sph_nonabsorb_0.12 + sph_nonabsorb_0.26	sulfate	sulfate



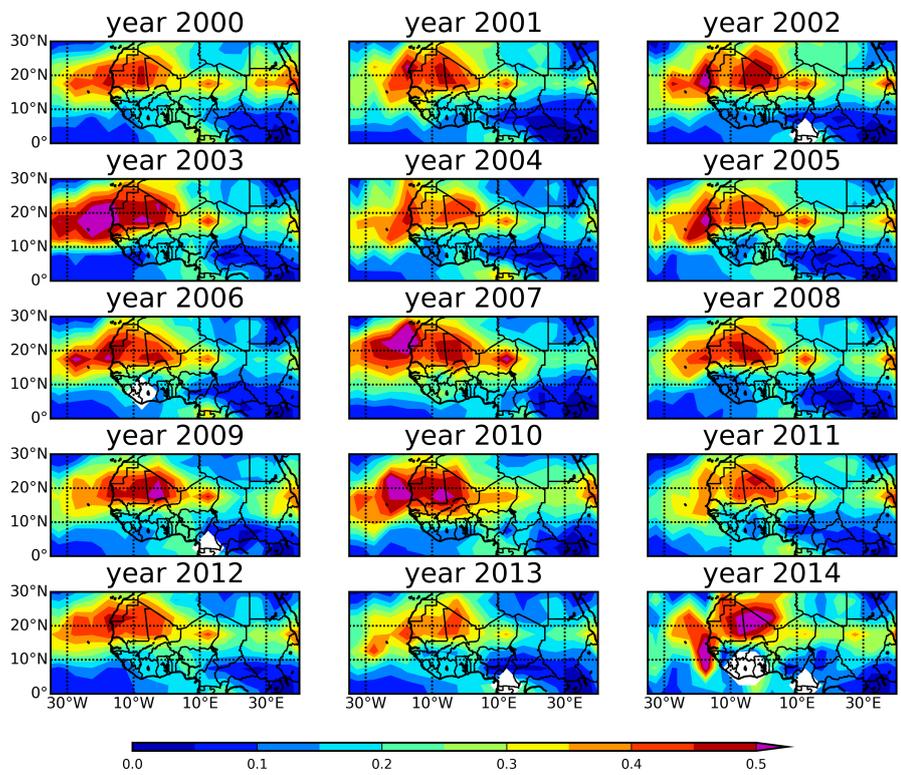
**Figure 2.** (a) Climatological mean optical depth of the spherical non-absorbing aerosol components in MISR, sulfate aerosol optical depth in (b) GOCART, and (c) SPRINTARS over East Asia for July. Data from the eight Julys between 2000 and 2007 are averaged for MISR and GOCART. Spatio-temporal distributions of (d) the non-absorbing (or sulfate) AOD and (e) total AOD from MISR (grey bars), from GOCART (red lines) and from SPRINTARS (blue lines), covering the boxed emission source region ( $112.5^{\circ}E - 122.5^{\circ}E, 32.5^{\circ} - 37.5^{\circ}N$ ) are displayed. The green star in (a) displays a location of the AERONET station in Shouxian. The green line in (e) represents the total AOD distribution in Shouxian for July 2008.



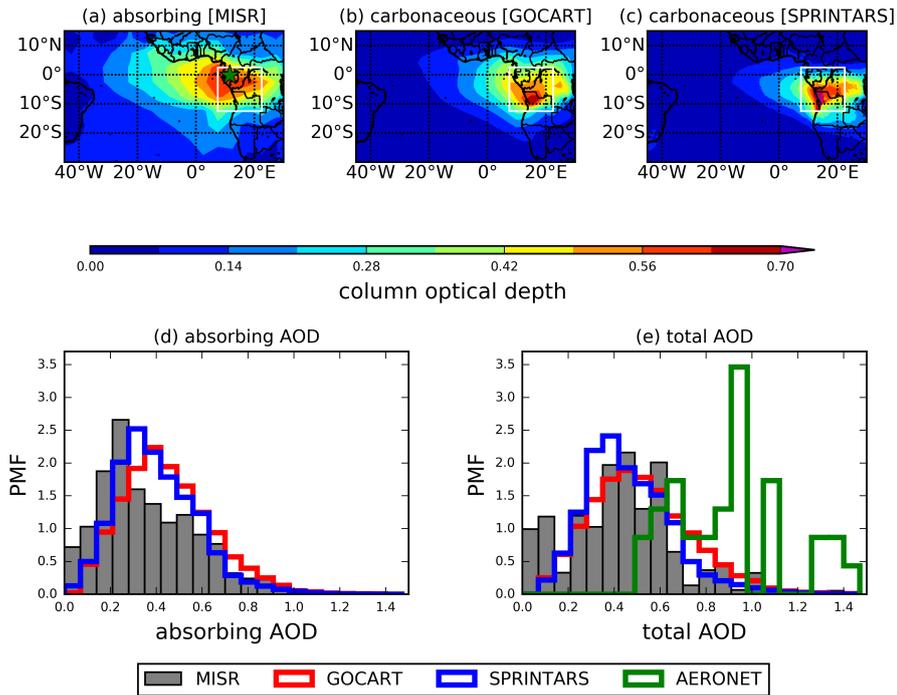
**Figure 3.** Maps of average MISR optical depth of spherical non-absorbing aerosols, non-spherical, spherical absorbing aerosols and total aerosols in April ((a)-(d)) and July ((i)-(l)). Maps of average GOCART optical depth of sulfate, dust, carbonaceous and total aerosols in April ((e)-(h)) and July ((m)-(p)). Maps of average SPRINTARS optical depth of sulfate, dust, carbonaceous and total aerosols in July ((q)-(t)).



**Figure 4.** (a) Climatological mean optical depth of the non-spherical aerosols in MISR, dust AOD in (b) SPRINTARS-GOCART and (c) GOCART-SPRINTARS over the Eastern Atlantic for July. Data from the eight Julys between 2000 and 2007 are averaged for MISR and GOCART. Spatio-temporal distributions of (d) the non-spherical (or dust) AOD and (e) total AOD from MISR (grey bars), from GOCART (red lines), and from SPRINTARS (blue lines), covering the boxed region ( $112.5^{\circ}E - 122.5^{\circ}E, 32.5^{\circ} - 37.5^{\circ}N$ ) off the coast are displayed. The green star in (a) displays a location of the AERONET station in Capo Verde. The green line in (e) represents the total AOD distribution in Capo Verde for July 2005.



**Figure 5.** Monthly mean optical depth of the dust-non-spherical aerosols from MISR in July for 15 years between 2000 and 2014. The white blank spots indicate that there were no retrieved non-spherical AOD for the month.



**Figure 6.** (a) Climatological mean optical depth of the ~~non-spherical~~-spherical absorbing aerosols in MISR, dust AOD in (b) ~~SPRINTARS~~-GOCART and (c) ~~GOCART~~-SPRINTARS over West Africa for July. Data from the eight Julys between 2000 and 2007 are averaged for MISR and GOCART. Spatio-temporal distributions of (d) the ~~non-absorbing~~-absorbing (or carbonaceous) AOD and (e) total AOD from MISR (grey bars), from GOCART (red lines), and from SPRINTARS (blue lines), covering the boxed emission source region ( $7.5^{\circ}E - 22.5^{\circ}E, 12.5^{\circ}S - 2.5^{\circ}N$ ) are displayed. The green star in (a) displays a location of the AERONET station in Lope National Park. The green line in (e) represents the total AOD distribution in Lope National Park for July 2014.