1 The authors would like to thank the two anonymous reviewers for their helpful 2 comments and suggestions. All comments are addressed below. For clarity, the referee's 3 comments are copied in italic, the author's replies in normal font, and the content added to 4 the manuscript is highlighted in yellow.

# 5 Anonymous Referee # 1

6 Major comments 1: Background subtraction is a major issue with the results described 7 here and I am not convinced that EF values are well calculated, to state the least. Prior 8 acceptance of the manuscript, the authors must provide convincing arguments that EF values 9 calculated using ambient measurements at one site as background values for tunnels – which apparently are not nearby (not clear also!) - is accurate. One suggestion to make it in the least 10 justifiable is to compare, if existing, concentration of parameters such as OC, EC, PM2.5, O3, 11 12 CO, SO2, NO, NO2, NOx, NOy and so forth from the tunnel entrance and the ambient sampling 13 site. Please also expand thoroughly explanation on how was it implemented, as only very broad 14 and unclear explanation was provided in the manuscript from P.33761 L.20 to P.33762 L.16.

# 15 <u>Reply:</u>

16 We thank the reviewer for this suggestion. The background correction used previously 17 considered the results from samples collected during an ambient campaign located 5 km away 18 from TJQ and 15 km from TRA. This method raised questions related to its representativeness 19 and also how much the meteorological conditions would affect the EF calculation. Although we 20 think that the background subtraction is defendable by the means suggested by the reviewer, 21 we decided to use a more robust method, as presented below. Both methods yield similar 22 results, however, the new method (based on correlation with CO) allowed also to distinguish 23 between aerosol from engine exhaust and aerosol from other vehicle operation (braking, tire 24 wear etc.). The new method for background correction considers only information collected 25 during the experimental tunnel campaigns, inside and outside the tunnels:

The following part regarding the background correction will be added in the revised manuscript:

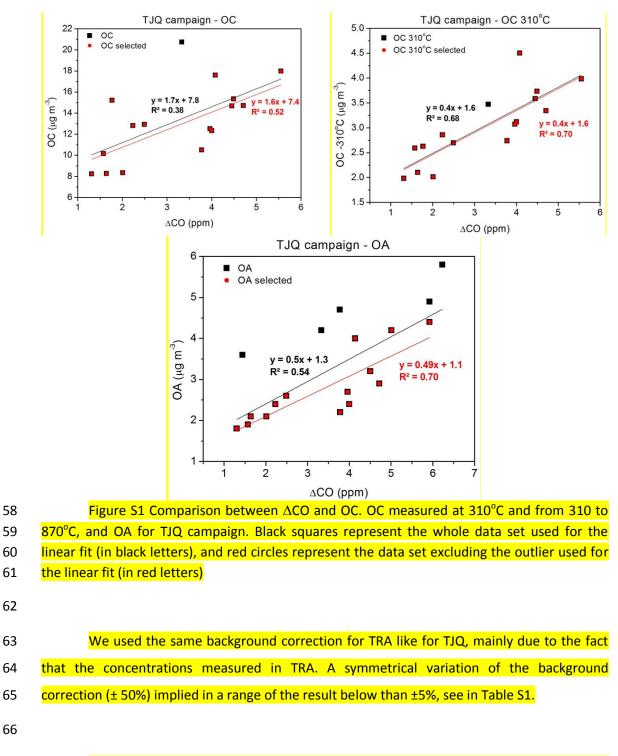
28 "The ventilation system in the tunnels brings the air from the outside to the interior by 29 ventilation fans on the roof of the tunnels operating according to the CO level in order to 30 provide fresh air inside. This air already contains some urban background aerosol and hence a 31 subtraction of this background is necessary to remove any contribution not originating from 32 the traffic inside the tunnel itself. Considering that the difference of CO between inside and 33 outside ( $\Delta$ CO) is directly related to the vehicular emission (for Sao Paulo, more than 90% of CO 34 comes from vehicular emissions), a linear relation between  $\Delta CO$  and the pollutant from the 35 same source is expected. Therefore, the intercept of this fit was considered the background 36 concentration. This relation between  $\Delta CO$  and OA (and OC) was mainly observed for the TJQ 37 campaign. For the TRA campaign, this linear relation was not as evident as for the TJQ 38 campaign. This is mainly  $\Delta CO$  did not vary strongly in the TRA tunnel, which made a linear fit unreliable. We considered the background air near the tunnels was the same for both tunnels, 39 40 and consequently subtracted the background estimates obtained for TJQ. Due to the high 41 concentrations in the TRA tunnel, any type background subtraction will have not a strong effect on the final results. More details about the background correction can be found in the 42 43 supplement."

44

The following part regarding the background correction will be added in the supplement:

47 "Background correction for emission factor calculation

The background correction for TJQ campaign was based on the linear fit between OA 48 49 (and OC) and  $\Delta$ CO. These linear relations are presented in Figure S1, for TJQ campaign. For 50 PTR-MS analyses, the OA concentrations were calculated from the sum of all temperature step 51 contributions. Figure S1 presents the best linear fit, obtained by excluding outliers (based on 52 standard boxplot analyses). After excluding the outliers, the background correction was 53 performed for each compound (PTR-MS) and fraction (TOT), per temperature step. For OC and 54 OA analyses, 1 (TJQ06) and 5 (TJQ01F, TJQ06F, TJQ08F, TJQ09F and TJQ17F) samples were 55 excluded, respectively. It is important to highlight that the exclusion of outliers did not have significant impact on the slope and intercept, and improved mainly the correlation (R<sup>2</sup>). 56



- 67 Table S1: EF of OA and OC averages emission factors and SD (in brackets) for HDV
- 68 (estimated from TRA tunnel campaign), in mg kg<sup>-1</sup> of burned fuel.

	PTR			TOT	
<b>Correction</b>	<mark>OA</mark>	Oxygenated	<mark>Up to 300°C</mark>	<mark>oc</mark>	<mark>OC up to 310°C</mark>
<mark>0.5*bg</mark>	<mark>84.6 (13.0)</mark>	<mark>54.6 (8.3)</mark>	<mark>78.4 (12.5)</mark>	<mark>458.3 (86.3)</mark>	<mark>94.1 (10.3)</mark>
<mark>1* bg</mark>	<mark>80.8 (13.0)</mark>	<mark>52.2 (8.4)</mark>	<mark>74.9 (12.4)</mark>	<mark>423.7 (89.2)</mark>	<mark>87.0 (10.2)</mark>
<mark>2*bg</mark>	<mark>73.4 (12.9)</mark>	<mark>46.8 (8.3)</mark>	<mark>68.1 (12.3)</mark>	<mark>354.4 (95.5)</mark>	<mark>72.8 (10.3)</mark>

70 The background correction was performed based on the linear fit between the 71 compound and  $\Delta$ CO: slope > 0, (i) the intercept > 0 and lower than the measured concentration then, the correction was the subtraction of the intercept from the measured 72 73 concentration, (ii) if the intercept was negative, but R<sup>2</sup> > 0.45 (related to vehicular emission), 74 then no subtraction was performed. A small number of compounds (16 in total) were present 75 in the tunnels at significantly higher than ambient concentrations, but did not show a 76 significant correlation with  $\Delta$ CO. An example is mass 149.024, that was present in the TJQ Tunnel at 354.6 ng m<sup>-3</sup> on average versus 108.5 ng m<sup>-3</sup> in ambient air during a winter campaign 77 performed in the city of Sao Paulo, 5 km away from TJQ and 15 km from TRA (yet unpublished 78 79 results). For this mass we could not estimate a background using the correlation with  $\Delta$ CO. 80 Therefore we subtracted the ambient concentration instead. However, due to the high 81 concentrations in the tunnel, the emission factors for this compound were not very sensitive to the subtracted background, e.g. at 150°C the ambient concentration of m/z 149.024 was 82 83 53.9 ng m<sup>-3</sup>, and the average concentrations of the filters collected in the tunnels were 203.1 84 and 182.5 ng m<sup>-3</sup> for TJQ and TRA, respectively."

85

Major comments 2: The motivation of the work is somewhat lost along the manuscript. The abstract and introduction mention ethanol being used by LDV, but no deeper discussion is provided on expected changes in tailpipe emissions resulting from the fuel itself, whereas there is already abundant literature in the topic: Karavalakis et al., 2014; Matti Maricq, 2012; Myung et al., 2009 just to name a few.

91 <u>Reply:</u>

We thank the reviewer for the suggestion and we included more discussions related tothe specific characteristics of Sao Paulo fleet to the introduction:

94 "The usage of ethanol blends on flex-fuel vehicles has been widely discussed. Some 95 advantages on increasing the ethanol blend in gasoline by flex-fuels vehicles were discussed by 96 Karavalakis et al. (2014). They showed a significant reduction in the emission of particulate 97 matter (PM) mass including soot, and particle number, but, a sharp increase of acetaldehyde. 98 Besides, they also discussed that the way the gasoline injection is performed in the vehicle has 99 a significant impact on soot emissions, e.g. gasoline direct injection vehicles emitted more soot 100 than port fuel injection. In an investigation of the size distribution of soot formed from 101 ethanol/gasoline blend diffusion flames, Matti Maricq (2012) found only little effect on the size

102 distribution with the addition of small amount of ethanol. Furthermore, they found that high

amounts of ethanol in the fuel (85%) lead to significant reduction of semi volatile organic
 formation.

105 In a comparison between ethanol fuel contents (E85 and E75, 85 and 75% of ethanol in 106 gasoline respectively), in two different studies, Suarez-Bertoa et al. (2015a) and Suarez-Bertoa 107 et al. (2015) concluded that a higher amount of ethanol resulted in a reduction on nitrogen 108 oxides (NO+NO<sub>2</sub>=NO<sub>x</sub>) emitted, however, it increased acetaldehyde and ethanol emissions, 109 which leads to a significant increase of ozone formation potential (OFP). This finding was in line 110 with the work by Salvo and Geiger (2014). Based on observation of road traffic levels, 111 meteorological conditions and pollutant concentrations associated to a consumer demand 112 model (for ethanol and gasoline), they concluded that ozone ambient levels reduce with 113 decreased ethanol amounts in fuel.

114 The emissions due to the use of diesel and bio-diesel have many important differences 115 that affect the formation of secondary organic aerosol and the formation of fine particles. The 116 use of biodiesel is associated to an increase in  $NO_x$  emission (Hoekman and Robbins, 2012), carbonyl compounds (Machado Corrêa and Arbilla, 2008) and also some poly aromatic 117 118 hydrocarbons (PAH's) (Karavalakis et al., 2011). The number and size distribution of particles 119 are also affected by the use of biodiesel. The ambient air in Sao Paulo city is highly affected by the implementation of different fuels and this has to be better evaluated as the ozone and fine 120 121 particle concentrations are presenting frequent violations of air quality standards (Cetesb, 122 <mark>2014).</mark>"

123

Major comments 3: As a general issue of the manuscript, hardly the results presented were put in context by comparing with known literature, and when performed, very poorly. The clearest example is the V-K diagram (P.33770 L:7-12 and figure 5) which were frequently studied from the AMS community but very lightly compared in the manuscript, in particular for ambient measurements. Would be interesting a comparison of different chemical groups and their volatility with results elsewhere.

# 130 <u>Reply:</u>

We thank the reviewer for the suggestion. In the revised version we extended thediscussion concerning Table 3, Figure 5, and Figure 6.

133 Table 3:

"Table 3: OA (TD-PTR-MS), OC (TOT) and PM2.5 averages emission factors (mg kg<sup>-1</sup> of 134 135 burned fuel) and standard deviation of the filters, for LDV and HDV. (Values in brackets

### 136 correspond to the EF in mg km<sup>-1</sup>)

1	PTR-MS			TOT		Gravimetry <sup>b</sup>
	<mark>up to</mark> 300°C	Tot	tal <sup>a</sup>	<mark>at 310°C</mark>	<mark>310 - 870°C</mark>	PM <sub>2.5</sub>
		All compounds	Compounds with O		1	1
	<mark>27.2 ± 7.5</mark>	30.3 ± 8.5	21.5 ± 6.5	23.3 ± 8.4	<mark>84.3 ± 66.3</mark>	<mark>300 ± 100</mark>
<mark>LDV</mark>	<mark>(1.7 ± 0.5)</mark>	<mark>(1.9 ± 0.5)</mark>	<mark>(1.3 ± 0.4)</mark>	<mark>(1.5 ± 0.5)</mark>	<mark>(5.2 ± 4.2)</mark>	<mark>(20 ± 8)</mark>
HDV	<mark>74.9 ± 12.4</mark>	<mark>80.8 ± 13.0</mark>	<mark>52.2 ± 8.4</mark>	<mark>89.2 ± 10.2</mark>	<mark>423.7 ± 87.0</mark>	<mark>700 ± 300</mark>
	<mark>(18.9 ± 3.1)</mark>	<mark>(20.4 ± 3.3)</mark>	<mark>(13.2 ± 2.1)</mark>	<mark>(22.5 ± 2.6)</mark>	<mark>(107.0 ± 22.0)</mark>	<mark>(277 ± 108)</mark>

137

138 The EF(OA) values presented here were lower than the ones found in other studies. Chirico et al.(2011) found 33.7 (HDV) 5.6 (LDV) mg km<sup>-1</sup>, and another study in Zhujiang Tunnel, 139 140 Guangzhou, China (He et al., 2008) found 76 (HDV) and 19 (LDV) mg km<sup>-1</sup>. The observed 141 differences are mostly due fact that in our study a large fraction of OA is missed due to the 142 350°C limit for thermal desorption. Additional effects could be due to the different fuel 143 composition used in Brazil, since the Brazilian gasoline includes 25% of ethanol. It has been 144 shown that an increased percentage of biofuel can lead to the reduction of the particulate 145 matter emission (Karavalakis et al., 2014; Mamakos et al., 2013). This may explain the larger 146 difference observed for LDV as compared to HDV.

147 Regarding the EF (OC), most of the references found did not distinguish between the 148 contribution of LDV and HDV for EF calculations. In a studys conducted in China (Cheng et al., 149 2010) in Shing Mun Tunnel for diesel emission characterization found an emission factor of 150 67.9 mg km<sup>-1</sup> for OC. Zhang et al. (2015) found 19.2 mg km<sup>-1</sup> (12% HDV and 27% liquefied petroleum gas vehicles). Hung-Lung and Yao-Sheng (2009) and Handler et al. (2008) found 4.7 151 (~15% HDV) and 2.3 (~10% HDV) mg km<sup>-1</sup>, respectively. These values, although comparable, 152 153 were lower than EF (OC) considering only LDV. In conclusion, we can affirm that the vehicles in Sao Paulo city emit more OC/km<sup>-1</sup> than in several other cities. 154

155

156

# Figure 5:

157 Figure 5 shows the relation between the atomic ratios H/C and O/C (Van Krevelen 158 Diagram) calculated from the mass concentration, without the background correction proposed by the EF calculation. Besides the ratios from the tunnels campaigns discussed here, 159

Figure 5 also present the average ratios from an ambient campaign performed in the Sao Paulo city (5 km away from TJQ and 15 km from TRA) during the South Hemisphere winter on 2012 (yet unpublished results). The average ambient O/C was higher than measured in the tunnels. This can be associated to photochemical reactions in presence of sunlight producing oxygenated aerosol. The high H/C ratios found for the tunnels samples indicated that fresh aerosol were collected on the filters due to primary emission from vehicle exhaust.

166 The O/C and H/C ratios presented more variation for the samples collected during the 167 TJQ campaign than for the samples collected in TRA; possibly due to the differences in the 168 traffic and congestion (see Table 1). In general, the samples collected during the morning (for 6 169 h) and at night (for 12 h) were more oxidized than the others. This can be related to a smaller 170 number of cars and consequently to less POA emissions. In addition, the contribution of 171 external air was more significant during these times. The afternoon samples (sampled for 3 h) 172 were collected during the traffic congestion periods (between 5 and 8 pm, Brito et al., 2013) 173 suggesting that POA dominated the burden sampled on the filters. Samples collected during 174 the day (for 12 h) were mainly dominated by afternoon traffic congestion profile. 175 Consequently, we used the 12h-day samples and the afternoon samples from the TJQ tunnel 176 to calculate LDV emission factors.

177 The O/C ratios ranged between 0.16 and 0.21 (O/C), indicating a higher amount of 178 oxygen in POA for the OA desorbed up to 350°C than reported in previous studies. The ratios 179 found here were significantly higher than the ratio found for gasoline and diesel (around 0.04) measured on POA formed under controlled conditions (Aiken et al., 2008). In a different tunnel 180 181 study, Chirico et al. (2011) also found significant differences, the O/C ratios ranged between 182 0.073 (workday) and 0.199 (weekend). Collier et al. (2015) estimated O/C ratios around 0.19 183 for low particulate matter concentrations, measured in vehicles using a dynamometer. Given 184 the fact that O/C ratios measured with the TD-PTR-MS are usually biased low (Holzinger et al., 185 2013), the values found here indicate a more oxidized aerosol originated from the fuels used in 186 Brazil, which may be related to the use of ethanol and bio-diesel.

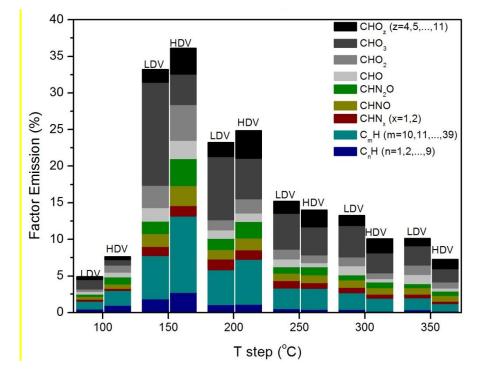
187 Chirico et al. (2011) found H/C ratios ranging between 1.84 and 1.71, for working and 188 weekend days, respectively. These values were higher than 1.62, found by Aiken et al. (2008), 189 in ambient measurements performed in Mexico City. In both studies the H/C ratio was higher 190 than found here, ranging between 1.25 and 1.45. This is in agreement with the higher O/C 191 ratio found in this study, showing a higher oxygenation state of the particulate compounds 192 sampled in the tunnels comparing to results from Mexico City or Switzerland. It is important to 193 highlight here that the AMS operates at high vaporization temperatures (usually constantly at

## 194 600°C), measuring smaller particles (PM1) than discussed here, and uses a different method of

# 195 ionization, namely electron impact ionization.



# <mark>Figure 6:</mark>



### 197

# Figure 6: Fraction of total average emission (in %) divided into groups containing CH, CHO, CHON, and CHN, considering different numbers of carbon and oxygen atoms in the compounds, for LDV and HDV at each temperature step.

The distribution of the total emissions over the different desorption temperatures is presented in Figure 6. This analysis indicated that OA produced from HDV was slightly more volatile than OA from LDV. As expected, hydrocarbons (HC) represented the most volatile group. Their volatility was related to the number of carbons present in molecules: short-chain hydrocarbons (up to 9 carbon atoms) were more volatile than the long-chain ones (more than 9 carbon atoms). The short-chain HC contribution was very low at 250°C and higher temperatures, while the long-chain HC contribution was still significant at 350°C.

The oxygenated hydrocarbon compounds were the most significant group in the aerosol composition. The group containing up to 3 oxygen atoms was the predominantly due to m/z 149.024, mainly at 150 and 200°C, for LDV emission. The relative contribution from oxygenated compounds to the total OA increased during the last temperature steps.

In addition, the fraction of ions with at least one oxygen atom is higher than reported
 by Chirico et al. (2011) in a tunnel in Switzerland. Chirico et al. (2011)showed that CH-ions

14	largely dominated the average OA mass spectra from online AMS measurements sampled
15	during rush hours on working days. The difference to this study can be explained by both, the
16	different analytical techniques and the use of ethanol and biodiesel in Brazilian fuels, which
17	have higher oxygen content than the fuels used in the Swiss."
18	
19	Minor comments
20	Abstract. P.33756, L.1-2: This starting sentence provides the reader the (wrong,
21	impression that there are these only four factors regulating the impact of vehicle emissions in
22	urban pollution, and furthermore, that they are equally important, which obviously is not true
3	Please rephrase it.
24	Reply:
25	We agree that the statement could lead to confusion and hence we have changed the
26	introduction s follows:
27	"Vehicular emissions contribute significantly to air pollution in big cities. Both, gas and
28	particulate emissions, are highly variable and depend on factors such as the type of vehicle
29	type of fuel, cruising velocity or brake use."
30	
31	Please use E25 throughout the manuscript as oppose to gasohol. Also, would be better
32	for the reader E100 instead of hydrated ethanol.
33	Changes made as suggested
34	
35	The acronym for tunnel identification can be improved, maybe JQ and RMC?
36	Reply:
37	In the interest of consistency with other published work (including in ACP) from the
38	same study, we decided to use the same identification (Pérez-Martínez et al.(2014) and Brite
9	et al.(2013))
.0	
11	Abstract. P.33756, L.26-27: Please rephrase.
42	Reply:
43	In the revised manuscript we changed the respective part to:

244	"Additionally, 70% and 65% of the emitted mass (OA) originates from oxygenated
245	compounds for LDV and HDV, respectively. This may be a consequence of the high oxygen
246	content of the fuel. On the other hand additional oxygenation may occur during fuel
247	combustion."
248	
249	P.33758,L.10-12: It is not clear in the sentence the role of ethanol in gasoline and ozone
250	by this sentence alone, please make it clearer.
251	<u>Reply:</u>
252	We changed the respective part in the revised manuscript as follows:
253	"Despite an increase in the number of vehicles, the program resulted in an improved
254	air quality with lower concentrations of carbon monoxide (CO), sulfur dioxide (SO $_2$ ) and coarse
255	particles (with diameters between 2.5 and 10 $\mu$ m), as shown by Carvalho et al. (2015).
256	Regarding the emission of fine particles (PM $_{2.5}$ ) and ozone (O $_3$ ), Pérez-Martínez et al. (2014) did
257	not observe a decreasing trend. On the other hand, for ozone levels, Salvo and Geiger (2014)
258	demonstrated a decrease by replacing gasoline with ethanol."
259	
260	P.33758,L.23: This paragraph is disconnected from the rest of the text, please remove it
261	or distribute it along the text where it would belong.
262	Bonhu
262	<u>Reply:</u>
263	We moved the discussion to P.33759, L13.
264	
265	P.33758,L.28: Replace density by dense
266	Change made as suggested
267	
268	P.33758,L.29: Remove "in"
269	Change made as suggested
270	
271	P.33758,L.28 – P.33759,L.22: Please cut down these two paragraphs to the central
272 273	question: What is the current knowledge of chemical-physical characteristics of vehicular emitted organic genosals in Sao Paolo, and their role on urban pollution?
273	emitted organic aerosols in Sao Paolo, and their role on urban pollution?

274 **Reply:** 

We agree that this part should be cut down as suggested. In order to keep also the motivation for this study clear, we changed this part in the revised manuscript as follows:

277 "Due to its dense population, political and economic importance, the MASP has been 278 in the focus of several studies that investigated the impact of vehicular emissions on the 279 concentration and composition of particulate matter (Albuquerque et al., 2012; Andrade et al., 280 2012; Miranda and Andrade, 2005; Miranda et al., 2002), although only few publications 281 focused on the organic part of the aerosols. In a study performed in 2008, Souza et al. (2014) 282 estimated from OC measurements that around 26% of the PM<sub>2.5</sub> was composed of particulate 283 organic matter. Recently, Brito et al. (2013) discussed the aerosol composition including OC 284 and PAH in a tunnel study. They performed a chemical characterization of PM2.5 by separating 285 the total mass into organic carbon, elemental carbon, and contributions from other trace 286 elements. They concluded that the organic aerosol fraction estimated from OC measurements 287 represented around 40% of PM<sub>2.5</sub> emitted by both light duty vehicles (LDV) and heavy duty 288 vehicles (HDV).

289 Since the vehicular emission in Sao Paulo city is the main source for PM<sub>2.5</sub>, it is of 290 importance to distinguish the contributions from LDV and HDV. Different methods can be used 291 in order to estimate the emissions from the vehicular fleet. Emission factors (EF) for gaseous 292 and total PM<sub>2.5</sub> have been calculated based on tunnel measurements by Pérez-Martínez et al. 293 (2014), showing that LDV emitted more CO than HDV, but much lower amounts of  $NO_x$  and 294 PM2.5 (EF<sub>PM2.5</sub> of 20 and 277 mg km<sup>-1</sup> for LDV and HDV, respectively). Nevertheless, no 295 publication so far discussed the organic composition of aerosols formed from vehicular 296 emissions.

We believe the main contribution of this work is to analyze the composition of organic compounds found in fine particles emitted by the transport sector in Sao Paulo, which has the unique characteristic of using bio-fuels on a large scale. Here, we discuss the composition of OA and EF of condensed organics from LDV and HDV, obtained from aerosol filter samples (PM<sub>2.5</sub>) collected in traffic tunnels. For the first time, the TD-PTR-MS was applied to filter samples from Sao Paulo, where hundreds of organic compounds were identified to contribute to OA."

304

305 P.33760,L.11: It is missing a period between LT and TJQ.

306 <u>Reply:</u>

307	There was a typo, therefore the right sentence is now:
308	"The direction of the traffic in this tunnel alternated twice a day at 6 AM and 9 AM."
309	
310	P.33760,L.18: it is missing the word "wind"
311	Change made as suggested
312	
313	P.33771,L.12: Please combine this paragraph to the previous one.
314	Change made as suggested
315	

# 316 Anonymous Referee # 2

# 317 Major comments:

318 Treatment of ambient data and background subtraction:

319 Overall the data appears to have high potential for yielding useful information, but the 320 approach taken has serious issues. The greatest issue in this reviewer's view is the background 321 subtraction method. First the authors discuss in a relatively vague way that previous studies 322 have demonstrated that year-to-year variability of aerosol concentrations are consistent and 323 that spatial variability is also low. They use this to justify subtracting an averaged value from 324 their filter results. However, later in the manuscript they talk about potential issues related to 325 the background subtraction such as in the final paragraph before section 3 and when 326 discussing Figure 5, starting on line 13 of page 13. Furthermore, there are two types of 327 backgrounds discussed which further confuses their arguments: the background for defining 328 true EF values and that found during off-line analysis. This reviewer suggests that the authors 329 discuss in more detail all the potential issues related to this background subtraction method 330 including: 1) discussing variability of the 31 ambient air filters taken including total 331 concentrations and chemistry and how these compare to the tunnel measurements, and 2) how 332 the different meteorological conditions could affect PM concentrations and composition, 333 especially since the ambient samples were taken during a different time of year as the tunnel 334 samples. Some of these details could be provided in the supplementary information. The 335 reviewer acknowledges that the authors only use the filters with the highest concentrations for 336 LDV EF calculation in order to reduce background PM influences, however, this issue is brought

up later in the manuscript which makes the overall discussion on background treatment seemscattered.

339 <u>Reply:</u>

340 We thank the reviewer for this suggestion. The background correction has been 341 improved. Please see our reply to major comment #1 of the first reviewer.

342

343

### Technical details of tunnel measurements:

One minor issue but which has implications on the EF discussion as well as the background subtraction discussion is the description of the measurement within the tunnel and the ventilation of the tunnel using outside air. It wasn't clear to this reviewer whether the ventilation brought in fresh air before or after the measurement location. A diagram in the supplementary would be very helpful for describing the method. As written the description was vague. Further details in related articles could not be found.

## 350 <u>Reply:</u>

351 The ventilation system in the tunnels brings the air from the outside to the interior, by 352 ventilation fans on the roof of the tunnels operating continuously to provide fresh air to inside, 353 therefore also during the sampling time. Furthermore, the vehicles running inside also 354 contribute to the ventilation, the normal flows inside the tunnels were 4.9 (TJQ) and 6.1 m s<sup>-</sup> <sup>1</sup>(TRA), and during congestion, these values decreased to 1.0 m s<sup>-1</sup>, for both tunnels. In 355 356 conclusion, even during the congestion periods, the fresh air from outside was still coming inside the tunnels, and consequently the background correction for emission factors 357 358 calculation was still necessary.

359

### 360 *EF of individual ions and PTR-MS:*

361 Another major issue is the discussion of EF of individual ions. The analytical method 362 used, TD-PTR-MS, could introduce some issues that are not discussed explicitly in this paper. Compound dependent ionization efficiencies would affect the quantification of the compounds 363 364 identified. The authors would have to identify the original parent molecules that produce the 365 principal ions presented in tables 4 and 5 and using standards identify their respective ionization efficiencies. This would facilitate a proper quantification for the purpose of EF 366 367 calculation. That entails significantly more analysis and lab work. Alternately, the authors can 368 discuss these issues openly and even quote some literature values for previously determined ionization efficiencies (using the same or similar technique and/or instrument) of important
compounds identified here. Furthermore, the tracer identified for LDV (m/z 149.131 C11H16H+;
pentyl benzene) is collocated with an ion discussed possible positive artifact (m/z 149.024
C8H4O3H+; phthalic anhydride). How well are these ions separated? What is the resolution of
this instrument and what limitations exist in quantifying and identifying ions using this
method? A close-up of the ions identified at m/z 149 would be helpful.

375 Reply:

376 As specified in the manuscript all concentrations were calculated according to the method outlined in Holzinger et al. 2010. We assumed a reaction rate constant of 3 x  $10^{-9}$ 377 cm<sup>3</sup>s<sup>-1</sup>, which implies the same sensitivity for all compounds. . This is a standard method for 378 379 PTR-MS when complex mixtures of unknown composition are measured. Typical errors in the 380 order of ~40% apply for individual species as discussed in Holzinger et al. (2010) and Timkovsky 381 et al. (2015). The mass resolving power of the TOF was in the range of 3000-4000 (FWHM) for 382 all measurements and the peak shape was near Gaussian. So, the peaks detected at 149.024 383 and 149.131 were well separated by more than 5 sigma of the normal distribution. We 384 included this relevant information to the revised version of the manuscript.

385

# 386 *PM Composition:*

387 In Figure 4 the authors provide the fractional contribution of major ion types based on 388 their elemental composition. One major result is that 20% of the composition is comprised of 389 nitrogen containing ions. This is a huge value. A guick literature search showed that nitrogen 390 containing molecules typically make up much smaller percentages of vehicle emissions. 391 Although the authors provide one possible explanation, this reviewer feels that they do not go 392 far enough in explaining this striking result. This could be an issue of improper background 393 subtraction, effect of after-treatment devices, or it may indeed be something to do with 394 biodiesel emissions. Because this result is so striking, the authors should provide proof that 395 their identification of nitrogen containing ions is indeed sound. In the supplementary it would 396 be useful to show the raw mass spectrum with ions fit showing that nitrogen containing ions 397 unambiguously exist in their results. A discussion of information available in the literature on 398 nitrogen containing components in vehicle emissions seems necessary. See for instance:

Inomata S, Fushimi A, Sato K, Fujitani Y, & Yamada H (2015) 4-Nitrophenol, 1 nitropyrene, and 9-nitroanthracene emissions in exhaust particles from diesel vehicles with
 different exhaust gas treatments. Atmospheric Environment 110:93-102.

402 Karavalakis G, Boutsika V, Stournas S, & Bakeas E (2011) Biodiesel emissions profile in
403 modern diesel vehicles. Part 2: Effect of biodiesel origin on carbonyl, PAH, nitro-PAHand oxy404 PAH emissions. Science of The Total Environment 409(4):738-747.

405 Suarez-Bertoa R, et al. (2015) Primary emissions and secondary organic aerosol 406 formationfrom the exhaust of a flex-fuel (ethanol) vehicle. Atmospheric Environment 117:200-407 211.

Chirico R, P.F. DeCarlo, M.F. Heringa, T. Tritscher, R. Richter, A.S.H. Prevot, J. Dommen,
E. Weingartner, G. Wehrle, M. Gysel, M. Laborde, and U. Baltensperger (2010) Impact of
Aftertreatment Devices on Primary Emissions and Secondary Organic Aerosol Formation
Potential from In-use Diesel Vehicles: Results from Smog Chamber Experiments. Atmospheric
Chemistry and Physics 10(23):11545-11563.

Chirico R, et al. (2011) Aerosol and trace gas vehicle emission factors measured in a
tunnel using an Aerosol Mass Spectrometer and other on-line instrumentation. Atmospheric
Environment 45(13):2182-2192.

# 416 <u>Reply:</u>

417 Compounds with one N atom can typically be attributed without doubt - these 418 compounds constitute about 50% of the mass of N-compounds. Compounds with two nitrogen 419 atoms are more ambiguous and false attributions cannot be ruled out completely, because 420 there is often an alternative CHO compound with a mass difference of only a few mDa. We 421 wish to point out that despite the high relative fractions of N compounds the absolute 422 emission factors are still high but not dramatically above other reported values. To account for 423 the reviewer's concerns we added discussion showing that high levels of N-compounds can be 424 understood:

425 " The high levels of NOx chemistry may be enhanced due to the use of bio-diesel in 426 accordance with findings in other studies, such as Hoekman and Robbins (2012). They compared the emissions from conventional diesel to biodiesel and concluded that the reason 427 428 for the high emission factor for NO<sub>x</sub> in the biodiesel can be associated to the injection timing, 429 ignition delay and other combustion process. The increase of NO<sub>x</sub> emission when biodiesel is 430 used is very variable according to the amount and type of biodiesel used. The use of exhaust 431 gas treatment can decrease the nitrogen oxides emission but only a minor fraction of diesel 432 vehicles uses exhaust gas treatments in Brazil, as the implementation of regulation for new 433 heavy-duty diesel emissions is dated in 2013 (Euro 7).

434 Another important point of concern is that if the increase in the use of biodiesel can 435 result in higher amounts of  $NO_x$  emissions the formation of secondary particles can also be 436 increased. Rollins et al. (2011), in an original work, showed experimentally that nitrogen oxides affect the formation of organic aerosol production mainly at nighttime. Particulate organic 437 438 nitrates formation increases at night with NO<sub>x</sub>, and most nighttime secondary OA is due to NO $_3$ radicals, formed by anthropogenic NO<sub>x</sub> emissions. Due to the absence of sunlight, the 439 440 chemistry inside tunnels can be compared to nighttime chemistry. This may be another aspect 441 explaining the high nitrogen content found in the tunnel samples as presented here."

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## Discussion of external influences on EF values:

444 The authors do not explicitly discuss effects of partitioning, meteorology or driving 445 behavior on their results. In table 1, for instance, the column labeled OAb, which corresponds to 446 total OA as measured by the TD-PTR-MS, shows systematically higher values between May 4th 447 and May 6th but there do not appear to be more cars overall and there does not appear to be 448 more OC or higher concentrations of CO and CO2. This is interesting and yet is not addressed or 449 discussed. It appears that external factors may be influencing their results. This would affect 450 their EF calculations. If these issues have been addressed in other articles it would be useful to 451 provide a brief description and provide a citation.

452 Reply:

The sampling time between 04<sup>th</sup> to 06<sup>th</sup> May varied between 6 (morning), 3 453 454 (afternoon/evening) and 12 (night) hours. For the beginning of the campaign, the samples 455 were collected during the peak of congestion (7-10 am, and 5-7pm, as presented by Brito et al., 2013) and then for 12 hours during the night. After 9<sup>th</sup> May, the sampling time was 456 457 extended to 12 hours, including not only the two main peaks of congestion on the same 458 sample, but also during periods with lower volume of vehicles. In consequence, the average 459 concentrations are lower than when the sampling would have only been done during the 460 congestion periods. In contrast, the amount of vehicles, shown in Table 1, represents the total 461 vehicle number during the respective sampling period. Since the 12-hours-samples also include 462 sample air during periods with no congestion, a correlation of vehicles to OA can potentially 463 only be observed between samples with similar sampling times.

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Overall impact of results and conclusions:

Finally, in discussing their EF results, the authors do not compare their calculated values to other regions. It would be useful to determine how comparable these EF values are to cities with perhaps similar or different fleet compositions. This could further the discussion on how fuel/fleet types affect air quality, making this paper more relevant on a global scale. Given that this journal is widely read internationally, discussing their results and the significance of their work in order to appeal to an international audience would make it more relevant to other readers. (PART I)

473 One of the conclusions of this paper is that OA emissions from LDV and HDV are 474 complex and dynamic and that "emission patterns can be used to study processing of young 475 aerosol in ambient air." This conclusion seems out of place given that the authors don't 476 explicitly discuss aerosol processing. The authors do discuss the elemental composition as a 477 function of time of day, such as in Figure 5, however their discussion is brief and serves to 478 justify limiting EF calculation to afternoon filter samples. Overall, the focus mostly appears to 479 be on defining EF. This reviewer would suggest organizing the paper to make the arguments 480 more cohesive. (PART II)

481 <u>(PART I)</u>

We thank the reviewer for the suggestion. We improved the discussion accordingly.Please see our reply to major comment #3 of the first reviewer.

484 (PART II)

485 We thank the reviewer for this suggestion and due to the restructuring of the 486 manuscript; the mentioned part above was excluded from the content.

487

488 Technical issues:

There are a few technical issues such as improper method for including a citation within a sentence such as keeping the parenthesis while referring to the citation explicitly. For example line 9 of page 4 the citation should read "Carvalho et al. (2015)". There are a few issues with grammar that could be addressed but seems irrelevant compared to the larger issues discussed above.

494 We thank the reviewer for pointing some minor issues, and we changed them as 495 suggested.

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