- 1 Chemical characterization of organic particulate matter from on-road
- 2 traffic in Sao Paulo, Brazil
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- 11 Abstract
- 12 This study reports emission of organic particulate matter by Light (LDV) and Heavy (HDV) duty 13 vehicles in the city of Sao Paulo, Brazil, where vehicles run on three different fuel types: 14 gasoline with 25% ethanol (called gasohol, E25), hydrated ethanol (E100), and diesel (with 5% 15 of biodiesel). The experiments were performed in two tunnels: Janio Quadros (TJQ) where 99% 16 of the vehicles are LDV, and Rodoanel Mario Covas (TRA) where up to 30% of the fleet was 17 HDV. Fine particulate matter (PM_{2.5}) samples were collected on quartz filters in May and July 2011 at TJQ and TRA, respectively. The samples were analyzed by Thermal-Desorption Proton-18 19 Transfer-Reaction Mass-Spectrometry (TD-PTR-MS), and by Thermal-Optical Transmittance 20 (TOT). Emission factors (EF) for organic aerosol (OA) and organic carbon (OC) were calculated 21 for the HDV and the LDV fleet. We found that HDV emitted more PM2.5 than LDV, with OC 22 emission factors of 108 and 523 mg kg⁻¹burned fuel for LDV and HDV, respectively. More than 23 700 ions were identified by TD-PTR-MS and the EF profiles obtained from HDV and LDV 24 exhibited distinct features. Unique organic tracers for gasoline, biodiesel, and tire wear have 25 been tentatively identified. Nitrogen-containing compounds contributed around 20% to the EF 26 values for both types of vehicles, possibly associated with incomplete fuel burning or fast 27 secondary production. Additionally, 70% and 65% of the emitted mass (OA) originates from 28 oxygenated compounds for LDV and HDV, respectively. This may be a consequence of the high 29 oxygen content of the fuel. On the other hand additional oxygenation may occur during fuel 30 combustion. The high fractions of nitrogen and oxygen containing compounds show that 31 chemical processing close to the engine/tailpipe region is an important factor influencing

primary organic aerosol emission. The thermal desorption analysis showed that HDV emitted
 compounds with higher volatility, mainly oxygenated and longer chain hydrocarbons than LDV.

34

35 **1.** Introduction

The Metropolitan Area of Sao Paulo (MASP) is composed of 39 municipalities, with a fleet of 36 37 more than 7 million vehicles (Cetesb, 2014), which nowadays run on three different types of 38 fuel: diesel (with 5% of biodiesel, henceforth referred to as diesel), hydrated ethanol (E100) 39 and gasohol (gasoline with 25% of ethanol, E25). The number of vehicles has grown more 40 rapidly than the population in the last 15 years. In 2000, the population was around 10 million 41 and the number of vehicles was 0.9 million in the city of Sao Paulo. In 2013, these values 42 increased to 11.4 million and around 4.5 million, respectively (Infocidade, 2015; Cetesb, 2014). 43 Figure 1 presents the evolution of initial registrations of new vehicles in Sao Paulo, classified by 44 fuel usage over the past 40 years (Cetesb, 2014). In 2003, a new vehicle technology was introduced: *flex* fuel vehicles, which are able to operate on any proportion of E100 and E25. 45

46 The implementation of the National Pro Alcohol Program (Proalcool) in Brazil during the 1980s 47 had an important influence on the increase in vehicles running on E100. The production of 48 ethanol increased from 1 million cubic meter (1970's) to more than 10 million (in the mid-49 1980's), (Stattman et al., 2013). The program stimulated the use of alcohol from sugarcane as 50 fuel in order to decrease the dependence on imported fuel and also to stimulate industrial and 51 agricultural growth (Rico and Sauer, 2015; Stattman et al., 2013). Besides that, between 1973 and 1974 the addition of 10% of ethanol to gasoline was legally mandated. Following a 52 53 governmental change in 1985, the subsidy for alcohol decreased dramatically, thus the alcohol 54 price increased, followed by a fall in sales of ethanol fueled vehicles (Figure 1).

55 In the early 1990s the number of vehicles increased substantially due to a political decision of 56 increasing the sales of vehicles to stimulate the economy. Following international regulations 57 for vehicular emissions, the Program for Controlling Vehicular Emission (PROCONVE) was 58 implemented in the late 1980's. This program established emission standards for new vehicles 59 with the aim of reducing these emissions (Szwarcfiter et al., 2005). Despite an increase in the number of vehicles, the program resulted in an improved air quality with lower concentrations 60 of carbon monoxide (CO), sulfur dioxide (SO₂) and coarse particles (with diameters between 61 2.5 and 10 μ m), as shown by Carvalho et al. (2015). Regarding the emission of fine particles 62 63 (PM_{2.5}) and ozone (O₃), Pérez-Martínez et al. (2014) did not observe a decreasing trend. On the other hand, Salvo and Geiger (2014) attributed lower ozone levels to a shift in fuel use from
ethanol to gasoline, while CO and NO_x exhibited an increasing trend.

66 The usage of ethanol blends on flex-fuel vehicles has been widely discussed. Some advantages 67 on increasing the ethanol blend in gasoline by flex-fuels vehicles were discussed by Karavalakis 68 et al. (2014). They showed a significant reduction in the emission of particulate matter (PM) 69 mass including soot, and particle number but a sharp increase of acetaldehyde. Besides, they 70 also discussed that the way the gasoline injection is performed in the vehicle has a significant 71 impact on soot emissions, e.g. gasoline direct injection vehicles emitted more soot than port-72 fuel injection. In an investigation of the size distribution of soot formed from ethanol/gasoline 73 blend diffusion flames, Matti Maricq (2012) found that the addition of small amounts of 74 ethanol insignificantly changed the size distribution . Furthermore, they found that high 75 amounts of ethanol in the fuel (E85) lead to a significant reduction of semi volatile organic 76 compound formation.

77 In a comparison between ethanol fuel contents (E85 and E75, 85 and 75% of ethanol in 78 gasoline respectively) in two different studies, Suarez-Bertoa et al. (2015a) and Suarez-Bertoa 79 et al. (2015) concluded that a higher amount of ethanol resulted in a reduction of nitrogen 80 oxides (NO+NO₂=NO_x) emissions, however, it increased acetaldehyde and ethanol emissions, 81 which leads to a significant increase of ozone formation potential (OFP). Based on observations 82 of road traffic levels, meteorological conditions and pollutant concentrations associated to a 83 consumer demand model (for ethanol and gasoline), Salvo and Geiger (2014) concluded that 84 ozone ambient levels increased with increased ethanol amounts in fuel.

Regarding the use of biodiesel, in 2004, the National Program of Production and Usage of
Biodiesel (PNPB) was created in order to stimulate the use of biofuels as well as the associated
agricultural activities for its production. In the same year, the addition of 2% of biodiesel to
conventional diesel fuel was authorized, but only since 2008 this addition has become
mandatory. Until 2010, the percentage has gradually increased to the current 5% (MME,
2015). Nowadays, 74.7% of the biodiesel produced in Brazil is made from soybean oil, 20.4%
from animal fat (mainly bovine), and 4.9% from other sources (ANP, 2015).

92 The emissions due to the use of diesel and bio-diesel have many important differences that

affect the formation of secondary organic aerosol and the formation of fine particles. The use

of biodiesel is associated with an increase in NO_x emission (Hoekman and Robbins, 2012),

- 95 carbonyl compounds (Machado Corrêa and Arbilla, 2008) and also some poly aromatic
- 96 hydrocarbons (PAHs) (Karavalakis et al., 2011). The number and size distribution of particles

97 are also affected by the use of biodiesel. The ambient air in Sao Paulo city is highly affected by
98 policy decisions concerning the use of different fuels. Therefore, the effects of specific fuels on
99 ozone formation and fine particle concentrations need to be better understood in order to
100 comply with air quality standards (Cetesb, 2014).

101 Due to its dense population, political and economic importance, the MASP has been the focus 102 of several studies that investigated the impact of vehicular emissions on the concentration and 103 composition of particulate matter (Albuguergue et al., 2012; Andrade et al., 2012; Miranda 104 and Andrade, 2005; Miranda et al., 2002), although only few publications focused on the 105 organic part of the aerosols. In a study performed in 2008, Souza et al. (2014) estimated from 106 OC measurements that approximately 26% of the PM_{2.5} was composed of particulate organic 107 matter. Recently, Brito et al. (2013) discussed the aerosol composition including OC and PAH in 108 a tunnel study. They performed a chemical characterization of PM_{2.5} by separating the total 109 mass into organic carbon, elemental carbon, and contributions from other trace elements. 110 They concluded that the organic aerosol fraction estimated from OC measurements 111 represented around 40% of PM_{2.5} emitted by both light duty vehicles (LDV) and heavy duty 112 vehicles (HDV).

113 Since the vehicular emission in Sao Paulo city is the main source for PM_{2.5}, it is of importance

to distinguish the contributions from LDV and HDV. Different methods can be used in order to

estimate the emissions from the vehicular fleet. Emission factors (EF) for gaseous and total

116 PM_{2.5} have been calculated based on tunnel measurements by Pérez-Martínez et al. (2014),

117 showing that LDV emitted similar levels of CO than HDV, but much lower amounts of NO_x and

118 PM_{2.5} (EF_{PM2.5} of 20 and 277 mg km⁻¹ for LDV and HDV, respectively). Nevertheless, no

119 publication so far has discussed the organic composition of aerosols formed from vehicular

120 emissions.

121 The main contribution of this work is to analyze the composition of organic compounds found

122 in fine particles emitted by the transport sector in Sao Paulo, which has the unique

123 characteristic of using bio-fuels on a large scale. We discuss the composition of OA and EF of

124 condensed organics from LDV and HDV, obtained from aerosol filter samples (PM_{2.5}) collected

125 in traffic tunnels. For the first time, the TD-PTR-MS was applied to filter samples from Sao

126 Paulo, where hundreds of organic compounds were identified to contribute to OA.

128 **2.** Methods

129 2.1 Field campaigns

130 The field campaigns were performed at two different tunnels: the first campaign took place in

131 the Janio Quadros tunnel (TJQ), from 4th to 13rd May 2011 and a second campaign was

132 performed in the Rodoanel Mario Covas tunnel (TRA), from 6th to 17th July 2011.

133 TJQ is a two-lane tunnel located in the Center of Sao Paulo and characterized mainly by light-

duty vehicle traffic. The direction of the traffic in this tunnel alternated twice a day at 6 AM

and 9 AM. TJQ has a length of 1.9 km, speed limit of 60 km h⁻¹, and a natural wind flow velocity

136 ranging from 1.0 to 4.9 m s⁻¹ during congested and normal traffic conditions, respectively

137 (Pérez-Martínez et al., 2014). TRA is located on the outskirts of the city on a highway ring. This

138 tunnel is an important alternative route for heavy-duty vehicles due to traffic restrictions in

the center of Sao Paulo. With a length of 1.7 km and a speed limit of 70 km/h, the traffic flow

140 is always on four lanes in one direction. Pérez-Martínez et al. (2014) described that the natural

141 flow velocity ranged from 1.0 to 6.1 m/s during congested and normal traffic conditions,

142 respectively.

143 In TJQ, the traffic of vehicles was monitored by cameras and vehicle numbers were obtained

by counting from recorded videos. The fleet was classified into four different groups: HDV,

LDV, motorcycles and taxis. For this study, the motorcycles and the taxis were considered as

146 LDV, since they use E100 or E25 (see Table 1). The TRA campaign had an automated counting

147 system by weighing vehicles, which sorts the fleet into the two categories LDV and HDV. The

148 other two kinds of vehicles were excluded mainly due to the fact that motorcycles hardly

149 circulate on highways with high speed limit and taxi traffic is very limited far from the city

150 center. A detailed discussion about the traffic of the vehicles during these experiments is

shown by Brito et al. (2013) and Pérez-Martínez et al. (2014).

152 Filter samples were collected at the midpoint of both tunnels. Two samplers were deployed in 153 parallel: a low-volume sampler (Partisol Dichotomous Ambient Particle Sampler, with the sampling rate of 16.6 L min⁻¹) collected simultaneously PM_{2.5} and PM_{2.5-10} on two different 154 155 filters (coarse particles, comprising PM₁₀) and a mini-volume sampler (Airmetrics, with a sampling rate of 5 L min⁻¹), sampled only the PM_{2.5} fraction. These samples were collected on 156 157 pre-heated quartz fiber filters (800 °C, for 12 hours), subsequently wrapped in aluminum foil 158 (pre-cleaned at 550 °C, for 8 hours) and stored inside polyethylene bags in a freezer at -18 °C 159 until analysis.

160 Measurements of carbon monoxide (CO) and carbon dioxide (CO₂) were performed inside and 161 outside the tunnels during the whole campaigns. CO measurements were done with non-162 dispersive infrared photometry equipment (Thermo Electron 48B). CO₂ was measured using a 163 LICOR-6262 instrument inside and a Picarro-G1301 instrument outside the tunnels, as 164 described in detail elsewhere (Pérez-Martínez et al., 2014). Trace gas concentrations were 165 averaged to the filter sampling times. These values as well as the information regarding the 166 samples are summarized in Table 1 and Table 2. The gaseous concentration was obtained on 167 an hourly base and the average value was calculated to match the period of filter sampling.

168 2.2 Analytical methods

169 A Proton-Transfer-Reaction Time of Flight Mass-Spectrometer (PTR-ToF-MS, model PTR-170 TOF8000, Ionicon Analytik GmbH, Austria, referred to as PTR-MS hereafter) was used to 171 perform the analysis of organic compounds on the filters (collected by the low volume 172 sampler, Partisol). Briefly, the PTR-MS uses a soft chemical ionization technique, reducing the 173 fragmentation compared to electron impact ionization. Reactions between protonated water 174 (H_3O^+) and organic species in the sample lead to mostly non-dissociative proton transfers, with 175 the advantage that most organic compounds can be detected quantitatively. A detailed 176 discussion on the system, using a quadrupole detector, can be found in Hansel et al. (1995) 177 and Lindinger et al. (1998), while Graus et al. (2010) and Jordan et al. (2009) describe PTR-MS 178 using a Time of Flight Mass Spectrometer.

179 The PTR-ToF-MS used in this study operated with the following settings: drift tube 180 temperature at 120° C; inlet tube temperature at 180° C; and ion source voltages of Us = 140V, 181 Uso= 92V, E/N 130 Td. The extraction voltage at the end of the drift tube (Udx) was 28V. We assumed a reaction rate constant of $3 \times 10^{-9} \text{ cm}^3 \text{s}^{-1}$, which implies the same sensitivity for all 182 183 compounds. This is a standard method for PTR-MS when complex mixtures of unknown 184 composition are measured. Typical errors in the order of ~40% apply for individual species as 185 discussed in Holzinger et al. (2010) and Timkovsky et al. (2015). The mass resolving power of 186 the TOF was in the range of 3000-4000 (FWHM) for all measurements and the peak shape was 187 near Gaussian. For instance, the peaks detected at 149.024 Da and 149.131 Da were well 188 separated by more than 5 sigma of the normal distribution.

189 A thermal desorption system was used for the filter sample analysis, as described by

190 Timkovsky et al. (2015). In short, the setup consisted of a cylindrical quartz glass tube

191 surrounded by two ovens: the first oven, where the sample was inserted using a filter holder,

192 can be controlled over a temperature range of 50 to 350°C. The second oven worked at a

193 constant temperature of 180°C. A piece of 0.20 cm² area from each filter was introduced to the 194 first oven at 50 °C and heated in temperature steps of 50°C from 100 to 350°C, allowing 3 195 minutes for the measurement at each temperature. The N₂ flow rate (ultrapure nitrogen, 5.7 purity, Airproducts) was usually adjusted by a thermal mass-flow controller (MKS Instruments, 196 197 Germany) at 100 ml min⁻¹, except for a few tunnel samples, which were measured at a flow rate of 50 ml min⁻¹. Pure N₂ was used as carrier gas and transported organic molecules 198 199 desorbed from the sample to the PTR-MS. The heating steps were performed under an inert 200 atmosphere, where no other gas besides N_2 was present in the oven system, in order to 201 exclude oxidation during desorption. Three replicas were measured from each filter and unless 202 otherwise mentioned the average of the three replicas is presented and discussed hereafter. 203 The quartz filters were collected by the mini-volume sampler and were used for the 204 quantification of Total Carbon (TC) separated in Organic (OC) and elemental (EC) carbon using 205 Thermal-Optical Transmittance (TOT) with a Sunset Laboratory Inc. instrument (Sunset labs, 206 Tigard, USA) as described by Brito et al. (2013). The evaluation of OC occurred at temperature 207 steps of 310, 475, 615, and 870°C, with heating times ranging from 60 to 200s. Here, the 208 discussion focus is on particulate organic matter. Thus, the EC and TC values are not presented. 209 Pérez-Martínez et al. (2014) presented emission factors of the total PM_{2.5} mass concentration, 210 for the same tunnel campaigns described here. Briefly, PM_{2.5} was sampled on polycarbonate 211 filter by a dichotomous sampler, and its mass concentration was gravimetrically determined, 212 using an electronic microbalance with a sensitivity of 1 µg under controlled conditions.

213

214 2.3 TD-PTR-MS data treatment

215 The TD-PTR-MS data evaluation was performed with custom routines described in Holzinger et

al. (2010) by implementing the widget-tool, using Interactive Data Language (IDL, version 7.0,

217 ITT Visual Information Solutions), described in Holzinger (2015). In total, 762 ions were

218 detected in the mass spectra. In order to avoid primary ions and inorganic ions, all ions with

219 m/z<40 Da were excluded, except m/z 31.077 (CH_2OH^{\dagger}) and 33.033 (CH_4OH^{\dagger}). Additionally,

220 ions associated with the inorganic ion NO_2^+ and higher water clusters ($(H_2O)_2H_3O^+$) were

removed. After this screening, the final mass list contained 712 ions that were attributed to

222 organic molecules.

The data (volume mixing ratios, VMR, in nmol mol⁻¹) had a temporal resolution of 5s. Similar to the procedure described by Timkovsky et al. (2015), the instrument background ($VMR_{i.instrbad}$), identified in Figure 2 by the first horizontal gray line, was subtracted from the measured
volume mixing ratio (*VMR*_{i.measeured}) for an ion '*i*' at each temperature step:

 $227 \quad VMR_i = VMR_{i,measured} - VMR_{i,instrbgd} \tag{1}$

Where: *VMR_i* is the volume mixing ratio corrected by the background. This calculation was
done for all filters samples and all field blanks. Figure 2 presents an example of this procedure:
the sum of the volume mixing ratios for all m/z>50 Da per time interval of 5 s (also called
cycles). The different temperature plateaus are separated by the vertical gray lines. The
background is calculated by averaging the first eight cycles before heating starts as indicated
by the first short horizontal line (close to zero). All other short horizontal lines represent the
averages of each temperature step.

235 All filter samples were measured three times. From these measurements, the average of the

236 VMR per filter was calculated for each ion *i* at each temperature step $(\overline{VMR_{l}})$. Note that all

237 VMR_i values have been normalized to a N_2 carrier gas flow of 100 ml min⁻¹.

A t-test was performed in order to confirm the statistical significance of the ion signals
compared to the blank filters. These blank filters were treated exactly like the aerosol sample
filters (preparation, storage and analysis), except that no particles were collected on them. For
TJQ campaign, 18 blank filters were analyzed, and for TRA, 14 blank filters. After this test, 605
(TJQ) and 627 (TRA) ion masses were kept in the database as their signal was significantly
above the signal of the blank filters.

For the remaining masses, the median VMR of the field blanks (fb) was subtracted from the average VMR of the sampled filters ($(\overline{VMR}_{i,sampled})$) for each ion '*i*' and each temperature step.

247
$$VMR_{i,final} = (\overline{VMR_{i,sampled}}) - (\overline{VMR_{i,fb}})_{MED}$$
 (2)

The $VMR_{i,final}$ was used to calculate the concentration (ng m⁻³) for a specific ion '*i*', at a specific temperature step (C_i), according to Timkovsky et al. (2015):

250
$$C_i = \frac{VMR_{i,final} * M_i * V_{Nitrogen}}{V_{samp} * f}$$
(3)

251 Where: M_i is the molecular weight of the ion 'i' (minus one atomic mass unit., once TD-PTR-MS 252 measures protonated ions), $V_{Nitrogen}$ is the amount of N₂ carrier gas (in mol), V_{samp} is the volume 253 of air during sampling (in m³), and *f* is the area of the measured filter aliquot divided by the 254 area of the whole filter (Timkovsky et al., 2015).

256 2.4 Vehicular Emission Factors of organic species

257 Emission factors (EF) in units of mg of pollutant per kg of burned fuel were calculated

- according to Eq (4) (Martins et al., 2006; Kirchstetter et al., 1999; Miguel et al., 1998),
- assuming that under normal driving conditions the fuel is converted to CO and CO₂ while

260 contributions from other carbon compounds are negligible:

261
$$EF_P = 10^3 \left(\frac{\Delta[P]}{\Delta[CO_2] + \Delta[CO]}\right) \omega_c$$
(4)

262 Where: *EF_P* is the emission factor of pollutant P (mg of P per kg of burned fuel); Δ [P] is the 263 increase of [P] above the background levels (in ng m⁻³); Δ [CO₂] and Δ [CO] are the increases of 264 the CO₂ and CO concentrations, respectively, above the background levels [µg of carbon m⁻³]. 265 The ω_c term is the fuel carbon weight fraction (in g of Carbon per g of fuel) for a fuel $c - \omega_G =$ 266 0.757 for E25 and $\omega_D = 0.818$ for diesel.

The emission factors for LDV were directly calculated from the filters sampled in the TJQ
tunnel due to the fact that LDV dominated the emissions in the TJQ tunnel. However, the HDV
EF can be estimated after subtracting LDV emissions from the samples collected in the TRA.
Previous studies, also performed in tunnels, have shown that HDV and LDV emit comparable
amounts of CO per travelled distance (Kirchstetter et al., 1999; Miguel et al., 1998; Pierson et
al., 1996). The CO₂ emissions from the diesel burning could be estimated according to the
following equation:

274
$$\frac{\Delta[CO_2]_D}{\Delta[CO_2]} = \frac{f_D U_D \rho_D \omega_D}{f_D U_D \rho_D \omega_D + ((1 - f_D) U_G \rho_G \omega_G)}$$
(5)

275 Where $\Delta [CO_2]_D$ is the component of $\Delta [CO_2]$ related to the emissions from diesel vehicles 276 (equal to HDV), f_D is the fraction of HDV, U is the average fuel consumption rate in g km⁻¹ (75 g 277 km⁻¹ for E25and 251 g km⁻¹ for diesel), ρ is the fuel density (765 g l⁻¹ for E25, 854 g l⁻¹ for 278 diesel). The subscripts *G* and *D* denote gasohol (E25) and diesel, respectively.

The contribution of HDV to the concentration of a pollutant *P* can be estimated by theequation:

$$\Delta[P]_{HDV} = \Delta[P] - \Delta[CO](1 - f_D) \left(\frac{\Delta[P]_{LDV}}{\Delta[CO]_{LDV}}\right)_{TJQ}$$
(6)

282 Where $\Delta[P]_{HDV}$ is the contribution of $\Delta[P]$, related to HDV emissions, $\Delta[CO](1 - f_D)$ is the 283 fraction of $\Delta[CO]$ attributed to the LDV emissions. The last term in equation (6) was calculated 284 from the measurements in the TJQ campaign. 285 The ventilation system in the tunnels brings the air from the outside to the interior by 286 ventilation fans on the roof of the tunnels operating according to the CO level in order to 287 provide fresh air inside. This air already contains some urban background aerosol and hence a 288 subtraction of this background is necessary to remove any contribution not originating from 289 the traffic inside the tunnel itself. Considering that the difference of CO between inside and 290 outside (Δ CO) is directly related to the vehicular emission (for Sao Paulo, more than 90% of CO 291 comes from vehicular emissions), a linear relation between ΔCO and the pollutant, P, from the 292 same source is expected. The background concentration can therefore be calculated as the 293 intercept of a linear fit between Δ CO and P. A linear relation between Δ CO and OA (and OC) 294 was mainly observed for the TJQ campaign. For the TRA campaign, the linear relation was not 295 as evident as for the TJQ campaign. This is mainly because our measurements show that most 296 OA originated from HDV, while most CO originated from LDV. Thus the sources of OA and CO 297 were decoupled in the TRA tunnel. Moreover, ΔCO did not vary strongly in the TRA tunnel, 298 which made a linear fit unreliable. We considered the background air near the tunnels was the 299 same for both tunnels, and consequently subtracted the background estimates obtained for TJQ. Due to the high concentrations in the TRA tunnel, any type of background subtraction will 300 301 have an insignificant effect on the final results. More details about the background correction 302 can be found in the supplement.

303

304 3. Results and discussion

Table 3 shows EFs (in mg of pollutant per kg of burned fuel) for OC, OA, and total PM_{2.5}, as
obtained by TOT, TD-PTR-MS, and gravimetrical analyses (Pérez-Martínez et al. 2014),
respectively. All EFs were higher for HDV than for LDV.

308 The EF of OC represented 28% and 60% of the EF of PM_{2.5} for LDV and HDV, respectively. Brito 309 et al. (2013) used OA/OC ratios of 1.6 and 1.5 for the TJQ and TRA campaigns, respectively. 310 Using these ratios and measured OC (TOT, up to 310 °C) and OA (TD-PTR-MS, up to 300°C) we 311 infer that TD-PTR-MS quantified ~70% and ~55% of LDV and HDV emissions, respectively, 312 which is in line with known loss processes in TD-PTR-MS discussed by Holzinger et al. (2010 313 and 2013). It is difficult to compare the OA measured by TD-PTR-MS and TOT, respectively, 314 because of different desorption temperatures. Overall we estimate that OA as measured by 315 TD-PTR-MS accounts for 30% or less for the total organic matter measured by TOT analyzer 316 over all thermal fractions (up to 870°C).

317 Table 3 also presents the EF of compounds containing oxygen (O) for LDV and HDV. We found

high contributions from oxygenated compounds of around 70% and 65% for LDV and HDV,

319 respectively. This indicates that the fraction of oxygenated compounds in particulate matter is

320 substantially higher than in the fuel. This can be associated to significant oxidation during the

321 combustion, since photochemical processes are negligible inside tunnels due to the absence of

322 sunlight.

323 The EF(OA) values presented here were lower than the ones found in other studies. Chirico et

al.(2011) found 33.7 (HDV) 5.6 (LDV) mg km⁻¹, and another study in the ZhujiangTunnel in

325 Guangzhou, China (He et al., 2008) found 76 (HDV) and 19 (LDV) mg km⁻¹. The observed

326 differences are mostly due to the fact that in our study a large fraction of OA is missed due to

327 the 350°C limit for thermal desorption. Additional effects could be due to the different fuel

328 composition used in Brazil, since the Brazilian gasoline includes 25% of ethanol. It has been

329 shown that an increased percentage of biofuel can lead to the reduction of the particulate

matter emission (Karavalakis et al., 2014; Mamakos et al., 2013). This may explain the larger

difference observed for LDV as compared to HDV.

332 Regarding the EF (OC), most of the references found did not distinguish between the

333 contribution of LDV and HDV for EF calculations. In a study conducted in China (Cheng et al.,

2010) in Shing Mun Tunnel for diesel emission characterization found an emission factor of

335 67.9 mg km⁻¹ for OC. Zhang et al. (2015) found 19.2 mg km⁻¹,12% HDV and 27% liquefied

petroleum gas vehicles. Hung-Lung and Yao-Sheng (2009) and Handler et al. (2008) found 4.7

337 (~15% HDV) and 2.3 (~10% HDV) mg km⁻¹, respectively. These values, although comparable,

338 were lower than EF (OC) considering only LDV. In conclusion, we can affirm that the vehicles in

339 Sao Paulo city emit more OC/km than in several other cities.

340 Figure 3 shows the average EF (in mg kg⁻¹ of fuel) profiles for LDV and HDV, obtained from the

341 TD-PTR-MS. As discussed above, HDV emitted higher concentrations of organic particulate

342 compounds than the vehicles using E25. Differences between LDV and HDV are also seen from

343 the chemical composition of the emitted particles. Several ions above 475 Da were detected

344 from LDV emissions with the TD-PTR-MS, and only one compound exceeded EF's of 0.100 mg

kg⁻¹ of fuel. In contrast, many compounds emitted by HDV exceeded 0.100 mg kg⁻¹ of fuel,

especially at m/z's at around 200 Da, however, no ions above 475 Da were detected.

Table 4 and Table 5 show the ten highest average EF values for both types of vehicles, as well

348 as their m/z, their tentative empirical formula, the median, maximum and minimum EF values.

349 The complete list of all compounds is shown in the Supplementary Material (Table S2). Using

improved routines described in Holzinger et al. (2010), it was possible to attribute empirical
formulas to the m/z identified by the TD-PTR-MS, namely compounds with up to 16 atoms of
oxygen and 2 atoms of nitrogen.

353 The highest average EF was found for m/z 149.024 for both LDV and HDV with the value of 4.9 354 and 3.1 mg/kg of fuel, respectively. This compound was identified as $C_8H_4O_3$, tentatively 355 attributed to phthalic anhydride. This compound is known for its use as plasticizers 356 (responsible for the flexibility, resilience and transparency of the plastic) and it is also present 357 in the plastic bags in which the filters (wrapped in aluminum foil) have been stored. Therefore, 358 this peak is potentially prone to positive artifacts caused by the handling of the filters, however 359 the blank filters that were also stored in plastic bags did not show a significant signal at this 360 mass. It is important to point out that the instrumental background, field blank, and ambient 361 air background subtractions were performed before calculating the emission factors and give 362 no indication of an artifact. Therefore this ion is most likely originating from the collected 363 aerosol on the filters. Furthermore, phthalic anhydride (C₈H₄O₃, detected on m/z 149.024) has 364 been positively identified in the atmosphere (Chan et al., 2009; Samy and Zielinska, 2010). We 365 suggest that this species may be produced from tire wear. This is also supported by the fact 366 that measured concentrations of m/z 149.024 do not correlate with excess CO (see 367 supplemental information), a behavior which was observed for most ions and indicates that 368 the emission is associated with fuel combustion.

369 The ion detected at m/z 149.131 ($C_{11}H_{16}H^{\dagger}$), as presented in Table 4, was tentatively attributed 370 to pentyl benzene. Pentyl benzene is a potential unique tracer for gasoline, as this compound 371 is a known constituent of gasoline, e.g. Ramadhan and Al-Hyali (1999) used pentyl benzene to 372 calculate the octane number in the fuel. For HDV emissions, m/z 299.289 is a potentially 373 unique tracer for this source. This ion was attributed to the formula $C_{19}H_{38}O_2H^+$, and tentatively 374 attributed to methyl stearate, which is one of the main components found in biodiesel (Naik et 375 al., 2011). The emission factor for LDV was approximately a factor of 6 lower (see the 376 Supplementary Material) than for HDV and this signal might originate from the low number of 377 diesel fueled vehicles moving in the TJQ tunnel.

Figure 4 shows the average EF for LDV and HDV divided in groups containing: CH, CHO, CHON,
and CHN. The hydrocarbon group (CH) presented an important contribution to the total EF: for
the LDV close to a quarter and for the HDV the contribution was around a third. Oxygenated
hydrocarbons (CHO) showed highest contribution to emissions for both vehicle types, where
LDV (60%) exhibited a larger fraction than HDV (48%). The high fraction of oxygen containing
compounds shows that chemical processing close to the engine/tailpipe region is an important

factor influencing primary organic aerosol emission. Our findings are in line with Collier et al.

385 (2015) who measured high O/C ratios in primary organic aerosol from gasoline and diesel

engines. The nitrogen-containing groups contributed around 20% to the measured OA. Such a

- 387 high percentage in the aerosol may be due to NO_x chemistry during the combustion process
- 388 (thermal effect). Another production pathway for CHON compounds is facilitated by the
- absence of sunlight in the tunnels, which mimics nighttime chemistry. Several studies have

390 shown that most nighttime secondary OA is due to NO₃ radicals formed by anthropogenic NO_x

- 391 emissions (e.g. Rollins et al., 2011)). It is an open question to what extent the production of
- CHON compounds can be attributed to the use of bio-diesel, however, it is worthwhile to note
 that Hoekman and Robbins (2012) associated bio-diesel use with higher NO_x emissions.

Figure 5 shows the relation between the atomic ratios H/C and O/C (Van Krevelen Diagram), calculated from the mass concentration, corrected for ambient background as used for the EF

396 calculation. Besides the ratios from the tunnel campaigns discussed here, Figure 5 also present

the average ratios from an ambient campaign performed in the Sao Paulo city (5 km away

398 from TJQ and 15 km from TRA) during the Southern Hemisphere winter, between August and

399 September 2012 (yet unpublished results). The average ambient O/C was higher than

400 measured in the tunnels, which is associated with photochemical reactions in presence of

401 sunlight producing more oxygenated aerosol. The high H/C ratios found for the tunnels

402 samples indicated that fresh aerosol were collected on the filters due to primary emission from403 vehicle exhaust.

The O/C and H/C ratios presented more variation for the samples collected during the TJQ campaign than for the samples collected in TRA; possibly due to the differences in the traffic and congestion (see Table 1). In general, the samples collected during the morning (for 6 h) and at night (for 12 h) were more oxidized than the others. This can be related to a smaller number of cars and consequently to less POA emissions. The afternoon samples (sampled for 3 h) were collected during the traffic congestion periods (between 5 and 8 pm, Brito et al., 2013) suggesting that POA dominated the burden sampled on the filters. Samples collected during

411 the day (for 12 h) were mainly dominated by afternoon traffic congestion profile.

The O/C ratios ranged between 0.14 and 0.20 (O/C), indicating a higher amount of oxygen in
POA for the OA desorbed up to 350°C than reported in previous studies. The ratios found here
were significantly higher than the ratio found for gasoline and diesel (around 0.04), measured
on POA formed under controlled conditions (Aiken et al., 2008). In a different tunnel study,
Chirico et al. (2011) also found significant differences, the O/C ratios ranged between 0.073

417 (workday) and 0.199 (weekend). Collier et al. (2015) estimated O/C ratios around 0.19 for low

418 particulate matter concentrations, measured in vehicles using a dynamometer, and they found 419 higher O/C values for diesel engines. Given the fact that O/C ratios measured with the TD-PTR-420 MS are biased low (Holzinger et al., 2013), the values found here indicate a more oxidized 421 aerosol originated from the fuels used in Brazil, which may be related to the use of ethanol

422 and bio-diesel.

423 Chirico et al. (2011) found H/C ratios ranging between 1.84 and 1.71, for working and weekend 424 days, respectively. These values were higher than 1.62, found by Aiken et al. (2008), in ambient 425 measurements performed in Mexico City. In both studies the H/C ratio was higher than found 426 here, ranging between 1.25 and 1.47. This is in agreement with the higher O/C ratio found in 427 this study, showing a higher oxygenation state of the particulate compounds sampled in the 428 tunnels comparing to results from Mexico City or Switzerland. It is important to highlight here 429 that it may also be due to differences in the applied techniques: the AMS operates at high 430 vaporization temperatures (usually constantly at 600°C), measuring smaller particles (PM1) 431 than discussed here, and uses a different method of ionization, namely electron impact ionization.

432

433 The distribution of the total emissions over the different desorption temperatures is presented 434 in Figure 6. This analysis indicated that OA produced from HDV were more volatile than OA

435 from LDV. As expected, hydrocarbons (HC) represented the most volatile group. Their volatility

436 was related to the number of carbons present in molecules: short-chain hydrocarbons (up to 9

437 carbon atoms) were more volatile than the long-chain ones (more than 9 carbon atoms). The

438 short-chain HC contribution was very low at 250°C and higher temperatures, while the long-

439 chain HC contribution was still significant at 350°C.

440 HDV emitted more volatile nitrogen compounds than LDV. Such distinction between the two 441 categories of vehicular fleet was not observed in previous studies.

442 The oxygenated hydrocarbon compounds were the most significant group in the aerosol

443 composition. The group containing up to 3 oxygen atoms was predominant due to m/z

444 149.024, mainly at 150 and 200°C, for LDV emission. The relative contribution from

445 oxygenated compounds to the total OA increased during the last temperature steps.

446 In addition, the fraction of ions with at least one oxygen atom is higher than reported by

447 Chirico et al. (2011) in a tunnel in Switzerland. Chirico et al. (2011) showed that CH-ions largely

- 448 dominated the average OA mass spectra from online AMS measurements sampled during rush
- 449 hours on working days. The difference to this study can be explained by both the different

analytical techniques and the use of ethanol and biodiesel in Brazilian fuels, which have ahigher oxygen content than the fuels used in Switzerland.

452

453 **4. Conclusions**

454 The main objective was the characterization of average emission factors of organic particulate 455 compounds for Light (LDV) and Heavy (HDV) duty vehicles. The study was performed in the city 456 of Sao Paulo, Brazil. Its atmosphere is impacted by the burning of different fuels: gasohol 457 (gasoline with 25% of ethanol), ethanol, and diesel (95% diesel and 5% biodiesel). The organic 458 aerosol has an important contribution to the fine particle concentrations and previous studies 459 showed that the main sources of these particles are vehicular emissions. In this study, two 460 campaigns in traffic tunnels and one campaign in ambient air were performed in the city of Sao 461 Paulo, collecting aerosol samples on quartz filters. The quantification and identification of the 462 organic compounds was performed by TD-PTR-MS and TOT. Additional data from previous 463 studies in this area were used for comparison and interpretation.

We observed, with all methods, that HDV emitted more fine particles than LDV (per kg fuel
burned). OC represented a significant fraction of emitted PM_{2.5}: factors of 108 and 523 mg kg⁻¹
burned fuel for LDV and HDV, respectively. The amount of oxygen found in the desorbed
aerosol up to 350°C samples was higher than that found in the unburned fuels. The emission of
oxygenated compounds represented around 70% of total organic aerosol for both types of
vehicles measured by the TD-PTR-MS.

- 470 A comparison between EFs of organic compounds (obtained by the TD-PTR-MS) from LDV and
- 471 HDV showed distinct spectrum profiles. The m/z 149.024 ($C_8H_4O_3H^+$) may be a tracer for tire
- 472 wear. However, possible contamination could not be completely excluded. Furthermore, m/z
- 473 149.131 ($C_{11}H_{16}H^{\dagger}$) tentatively attributed to pentylbenzene may be a unique tracer for
- 474 gasoline, and, m/z 299.289 ($C_{19}H_{38}O_2H^{\dagger}$) tentatively attributed to methyl stearate, may be a
- 475 unique tracer for aerosols originating from biodiesel combustion.
- 476 A comparison among chemical groups (CH, CHO, CHON, and CHN) did show differences
- 477 between LDV and HDV emissions. The nitrogen-containing compound found in the particulate
- 478 phase contributed around 20% to the total OA emissions, probably related to NO_x chemistry
- 479 during fuel combustion. The thermal desorption analysis showed that HDV emitted more
- 480 volatile compounds, mainly oxygenated hydrocarbons containing up to two oxygen atoms and
- 481 long-chain hydrocarbons, than LDV.

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- 492

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- 653

Table 1: Filter identification, sampling time start, sampling duration, volume sampled (for OA

samples), vehicle counts, OC and OA concentrations, and average CO and CO₂ concentrations

656 during sampling in the TJQ tunnel in the year 2011.

		Sampling	Volume	# vehicles		0.0*	0.4**	Inside		Outside	
Filter #	Start Sampling	duration	sampled	# VCII	leies	OC* (µg m⁻³)	OA** (µg m⁻³)	CO_2	CO	$\rm CO_2$	CO
		(h)	(m³)	LDV	HDV	(µ8) (µ8)		(ppm)			
TJQ 01	4th May 08:16	6	5	13920	29	17.6	4.7	513.6	5.10	403.2	1.33
TJQ 02	4th May 17:00	3	3	12856	34		4.2	526.3	6.15	401.1	1.14
TJQ 03	4th May 20:28	12	11	13584	36	10.2	1.9	456.0	2.66	416.2	1.09
TJQ 04	5th May 08:22	5	5	14759	49	14.7	4.0	513.6	5.47	403.2	1.33
TJQ 05	5th May 17:00	3	3	12252	6		4.4	526.3	7.06	401.1	1.14
TJQ 06	5th May 20:10	12	11	13538	18	20.7	4.2	456.0	4.42	416.2	1.09
TJQ 08	6th May 08:13	6	5	13338	19	18.0	4.9	513.6	7.25	403.2	1.33
TJQ 09	6th May 17:00	3	2	12660	6		5.8	526.3	7.37	401.1	1.14
TJQ 10	6th May 20:15	12	11	12363	43	12.9	2.6	456.0	3.58	405.1	1.09
TJQ 11	7th May 08:05	12	11	24510	272	12.8	2.4	510.5	3.47	400.4	1.24
TJQ 12	9th May 08:10	12	11	25067	387	10.5	2.2	511.0	5.04	394.5	1.26
TJQ 13	9th May 20:10	12	11	11546	36	8.2	1.8	425.9	2.05	390.5	0.75
TJQ 14	10th May 08:11	12	11	31258	79	12.5	2.7	498.9	5.41	405.4	1.45
TJQ 15	10th May 20:15	12	11	13113	111	8.3	2.1	437.5	2.50	392.5	0.49
TJQ 16	11st May 08:22	12	11	36288	1223	14.7	2.9	507.3	5.45	395.7	0.73
TJQ 17	11st May 20:33	12	11	13274	86	15.2	3.6	488.1	3.32	458.5	1.88
TJQ 18	12nd May 08:37	11	10	32800	283	15.3	3.2	512.2	5.79	393.9	1.29
TJQ 19	12nd May 19:45	13	12	14209	35	8.3	2.1	436.3	2.40	393.2	0.76
TJQ 20	13rd May 08:25	12	11	27162	87	12.3	2.4	510.0	5.35	403.1	1.35

657 * measured by TOT **measured by TD-PTR-MS

- Table 2: Filter identification, sampling time start, sampling duration, volume sampled (for OA
- samples), vehicle counts, OC and OA concentration, and average CO and CO₂ concentrations
- 661 during sampling in the TRA tunnel in the year 2011.

	Start Sampling	Sampling duration	Volume sampled	# vehicles		OC* - (μg m ⁻³)	OA** (μg m ⁻³)	Inside		Outside	
Filter # S								CO ₂	со	CO ₂	СО
		(h)	(m ³)	LDV	HDV	(µ8)	(µ8)	(ppm)			
TRA 01	7th July 16:30	6	6	10497	4189	32.5	10.3	671.5	3.90	405.7	0.78
TRA 02	8th July 08:45	6	5	8406	4401	57.9	11.2	681.7	3.49	415.5	0.83
TRA 03	8th July 14:20	6	6	14432	5171	54.3	10.5	661.9	3.91	416.8	0.74
TRA 04	11st July 08:53	5	5	7675	3960	71.4	11.4	678.1	4.56	417.1	1.49
TRA 05	11st July 14:26	7	6	10807	4865	57.8	9.6	689.4	3.91	416.8	0.91
TRA 06	12nd July 08:18	6	5	9836	5030	98.1	12.1	746.5	5.35	417.6	2.09
TRA 07	12nd July 14:19	6	6	12860	5441	54.1	11.5	679.1	3.85	416.8	0.96
TRA 08	13rd July 08:10	6	5	10585	5426	60.2	14.8	696.1	4.79	417.6	1.01
TRA 09	13rd July 14:10	7	8	11739	5311	63.9	8.9	678.8	4.62	416.8	1.04
TRA 10	14th July 08:28	6	5	10751	5386	68.2	13.6	754.1	6.68	417.6	2.43
TRA 11	14th July 14:28	6	5	11795	5112	70.0	11.5	683.5	4.31	416.8	1.15
TRA 15	15th July 08:10	6	5	10400	5354	54.2	15.1	694.4	4.83	417.6	1.15
TRA 12	15th July 14:10	5	6	14351	5142	47.8	11.6	689.0	3.62	416.8	1.00

**measured by TD-PTR-MS

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* measured by TOT

Table 3: OA (TD-PTR-MS), OC (TOT) and PM_{2.5} averages emission factors (mg kg⁻¹ of burned

665 fuel) and standard deviation of the filters, for LDV and HDV (Values in brackets correspond to

666 the EF in mg km⁻¹)

		PTR-MS		-	Gravimetry [♭]			
	up to 300°C	Total ^ª		at 310°C	310 - 870°C	PM ₂₅		
		All compounds	Compounds with O			2.5		
LDV	27.2 ± 7.5	30.3 ± 8.5	21.5 ± 6.5	23.3 ± 8.4	84.3 ± 66.3	300 ± 100		
	(1.7 ± 0.5)	(1.9 ± 0.5)	(1.3 ± 0.4)	(1.5 ± 0.5)	(5.2 ± 4.2)	(20 ± 8)		
HDV	74.9 ± 12.4	80.8 ± 13.0	52.2 ± 8.4	89.2 ± 10.2	423.7 ± 87.0	700 ± 300		
	(18.9 ± 3.1)	(20.4 ± 3.3)	(13.2 ± 2.1)	(22.5 ± 2.6)	(107.0 ± 22.0)	(277 ± 108)		
^a The s	^a The sum of all EF per temperature step (from 100 until 350°C)							

668 ^b Values obtained from Pérez-Martínez et al. (2014)

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m/z	Empiracal Formula	Average ± SD	Med (Min, Max)
149.024	$C_8H_4O_3H^+$	4.89 ± 2.00	4.523 (2.228, 8.142)
150.027	$^{13}\text{CC}_7\text{H}_4\text{O}_3\text{H}^+$	0.463 ± 0.189	0.410 (0.210, 0.076)
149.131	$C_{11}H_{16}H^{+}$	0.250 ± 0.094	0.256 (0.122, 0.405)
299.289	$C_{19}H_{38}O_2H^+$	0.243 ± 0.127	0.189 (0.112, 0.476)
31.017	CH_2OH^+	0.239 ± 0.420	0.104 (0.017, 1.657)
177.055	$C_{10H_8O_3H^+}$	0.237 ± 0.327	0.107 (0.052, 1.262)
399.391	$C_{25H_{50}O_{3}H^{+}}$	0.227 ± 0.042	0.242 (0.124, 0.289)
114.091	$C_6H_{11}ONH^+$	0.207 ± 0.149	0.207 (0.036, 0.534)
99.008	$C_4H_2O_3H^+$	0.206 ± 0.118	0.205 (0.043, 0.399)
397.377	$C_{29}H_{48}H^{+}$	0.203 ± 0.041	0.210 (0.103, 0.256)

Table 4: The ten highest EFs (mg kg⁻¹ of fuel) for LDV.

m/z	Empiracal Formula	Average ± SD	Med (Min, Max)
149.024	$C_8H_4O_3H^+$	3.08 ± 0.54	2.89 (2.58, 4.30)
199.041	$C_{12H_6O_3H^+}$	1.45 ± 0.20	1.45 (1.12, 1.78)
299.289	$C_{19}H_{38}O_2H^{+}$	1.01 ± 0.22	0.993 (0.749, 1.47)
203.087	$C_9H_{14}O_5H^+$	1.01 ± 0.15	0.974 (0.829, 1.35)
181.080	$C_{5}H_{12}O_{5}N_{2}H^{+}$	0.771 ± 0.129	0.765 (0.584, 1.03)
207.117	$C_{16}H_{14}H^{+}$	0.746 ± 0.134	0.692 (0.496, 0.819)
213.060	$C_6H_{12}O_8H^+$	0.630 ± 0.099	0.632 (0.486, 0.819)
297.272	$C_{19}H_{36}O_{2}H^{+}$	0.584 ± 0.138	0.572 (0.407, 0.860)
163.040	$C_9H_6O_3H^+$	0.583 ± 0.132	0.560 (0.408, 0.844)
41.038	$C_3H_4H^+$	0.577 ± 0.088	0.576 (0.400, 0.691)

Table 5: The ten highest EFs (mg kg⁻¹ of fuel) for HDV.



676 Figure 1: Annual registrations of new vehicles in the city of Sao Paulo.





Figure 2: Result of an analysis of filter TRA 10 by TD-PTR-MS. The figure shows the total volume
mixing ratios (VMR) of all the ions above 50 Da (in nmol mol⁻¹) with a temporal resolution of

681 5s. The vertical lines represent the heating steps as indicated on the top of the figure. The

682 horizontal gray lines between these vertical lines are the concentration averages at each

683 temperature step, and the background level (the first short horizontal line) is subtracted from

684 these values before further analysis.



689 Figure 3: Average emission factors (mg kg⁻¹ of fuel burned) mass spectra identified by the TD-

690 PTR-MS for (a) LDV and (b) HDV.





695 containing CH, CHO, CHN, and CHON.



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699 Figure 5: Scatter plot of atomic ratios O/C and H/C (van Krevelen diagram) of TD-PTR-MS data

700 for measurements in the TRA and TJQ tunnels that have been corrected for ambient

background. The red marker represents average H/C and O/C values from ambient aerosol

samples (collected in Sao Paulo, between August and September 2012) that were also

analyzed with TD-PTR-MS (unpublished results).



707 Figure 6: Fraction of total average emission (in %) divided into groups containing CH, CHO,

708 CHON, and CHN, considering different numbers of carbon and oxygen atoms in the

compounds, for LDV and HDV at each temperature step.