

**Impact of the PNA
pattern on wintertime
aerosols in the US**

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The impact of monthly variation of the Pacific-North America (PNA) teleconnection pattern on wintertime surface-layer aerosol concentrations in the United States

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Abstract

The Pacific-North America teleconnection (PNA) is the leading general circulation pattern in the troposphere over the region of North Pacific to North America during wintertime. This study examined the impacts of monthly variation of the PNA phase (positive or negative phase) on wintertime surface-layer aerosol concentrations in the US by analyzing observations during 1999–2013 from the Air Quality System of Environmental Protection Agency (EPA-AQS) and the model results for 1986–2006 from the global three-dimensional Goddard Earth Observing System (GEOS) chemical transport model (GEOS-Chem). The composite analyses on the EPA-AQS observations over 1999–2003 showed that the average concentrations of $PM_{2.5}$, sulfate, nitrate, ammonium, organic carbon, and black carbon aerosols over the US were higher in the PNA positive phases than in the PNA negative phases by $1.4 \mu\text{g m}^{-3}$ (12.7%), $0.1 \mu\text{g m}^{-3}$ (6.4%), $0.3 \mu\text{g m}^{-3}$ (39.1%), $0.2 \mu\text{g m}^{-3}$ (22.8%), $0.8 \mu\text{g m}^{-3}$ (21.3%), and $0.2 \mu\text{g m}^{-3}$ (34.1%), respectively. The simulated geographical patterns of the differences in concentrations of all aerosol species between the PNA positive and negative phases were similar to observations. Based on the GEOS-Chem simulation driven by the assimilated meteorological fields, the PNA-induced variation in planetary boundary layer height was found to be the most dominant meteorological factor that influenced the concentrations of $PM_{2.5}$, sulfate, ammonium, organic carbon, and black carbon, and the PNA-induced variation in temperature was the most important parameter that influenced nitrate aerosol. Results from this work have important implications for understanding and prediction of air quality in the United States.

1 Introduction

Aerosols are the major air pollutants that have adverse effects on human health, reduce atmospheric visibility, and influence climate through aerosol-radiation and aerosol-cloud interactions (IPCC, 2013). Aerosol concentrations are high over the industrial-

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ized regions such as the US, Europe, and East Asia, which are driven by emissions of aerosols and aerosol precursors (Dutkiewicz et al., 2000; Vestreng et al., 2007; Hand et al., 2012a; Mijling et al., 2013) and regional meteorological conditions.

Previous studies have shown that aerosol concentrations are very sensitive to meteorological parameters (Aw and Kleeman, 2003; Wise and Comrie, 2005; Dawson et al., 2007; Kleeman, 2008; Jacob and Winner, 2009; Tai et al., 2010, 2012a). Aw (2003) examined the sensitivity of $PM_{2.5}$ (aerosol particles which diameter $\leq 2.5 \mu m$) concentration to temperature by performing sensitivity studies in the California Institute of Technology/UC Davis (CIT/UCD) air quality model. A cross-board increase in temperature by 5 K in Southern California on 25 September 1996, led to decreases in peak $PM_{2.5}$ concentrations by up to $30.7 \mu g m^{-3}$ ($\sim 30\%$). Wise and Comrie (2005) reported, by statistical analyses of observational datasets obtained from Air Quality System of US Environmental Protection Agency (EPA-AQS), that the variations in meteorological parameters accounted for 20–50 % of the variability in aerosol levels over 1990–2003 in five metropolitan areas in the southwestern US. They found that aerosols in these five cities were most sensitive to relative humidity. Dawson et al. (2007) found, by sensitivity studies in the Particulate Matter Comprehensive Air Quality Model with extensions (PMCAMx), that $PM_{2.5}$ concentrations in summer had a small sensitivity to temperature increases ($-16 ng m^{-3} K^{-1}$ on average) because the increases in sulfate offset the decreases in nitrate and organics, while $PM_{2.5}$ concentrations in winter decreased significantly with temperature ($-170 ng m^{-3} K^{-1}$ on average) because the increases in temperature led to large reductions in nitrate and organics. Dawson et al. (2007) also showed that $PM_{2.5}$ concentrations increased with humidity in both winter and summer. Jacob and Winner (2009) summarized by literature review that the regional stagnation, mixing depth, and precipitation are the most important meteorological parameters that influence surface-layer aerosol concentrations. Future climate change was also simulated to influence aerosol levels over the US by about $1 \mu g m^{-3}$ (Jacob and Winner, 2009), as a result of the climate-induced changes in atmospheric oxidants, transport, deposition, and the shift of gas-particle equilibria (Liao et al., 2006; Unger et al., 2006;

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Bauer et al., 2007; Jacob and Winner, 2009; Pye et al., 2009; Lam et al., 2011; Day and Pandis, 2011; Juda-Rezler et al., 2012; Tai et al., 2012b).

Previous studies have also reported that the changes in atmospheric circulation pattern, such as the East Asian Summer Monsoon (EASM), North Atlantic Oscillation (NAO), and El Niño-South Oscillation (ENSO), can modulate distributions and concentrations of aerosols. Zhu et al. (2012) found, by simulation of aerosol concentrations over years 1986–2006 with the global chemical transport model GEOS-Chem, that the decadal-scale weakening of the EASM led to increases in aerosol concentrations in eastern China, and summertime surface aerosol concentrations in the weakest EASM years were larger than those in the strongest EASM years by approximately 20%. Moulin et al. (1997) showed that the variations in NAO could influence mineral dust aerosol transported to the North Atlantic Ocean and the Mediterranean Sea, since the mean aerosol optical depth (AOD) of dust in summer correlated with the NAO index during 1983–1994 with a correlation coefficient of 0.50. Jerez et al. (2013) found, by simulations of aerosols for years 1970–1999 with the CHIMERE chemistry transport model driven by the BCMWF ERA40 reanalysis data, that the concentrations of PM₁₀ and PM_{2.5} in the southern European regions in winter differed by 10 and 20 μg m⁻³, respectively, between the positive and negative NAO phases. By using the multi-angle imaging spectroradiometer satellite (MISR) datasets of AOD during 2000–2011, Liu et al. (2013) found a period of 3–4 years in observed summertime AOD over the North China Plain (NCP), and the peak of summertime AOD in NCP occurred four months later after the rapidly transition of El Niño from a warm phase to a cold phase because of the associated cyclone anomaly and maritime inflow over the NCP. Singh and Palazoglu (2012) found correlations between PDO and ENSO and the aerosol exceedance days (defined as the days with PM_{2.5} concentrations larger than the US National Ambient Air Quality Standard) at 6 regions in the US by using the EPA-AQS PM_{2.5} wintertime datasets during 1950–2008.

The Pacific-North America teleconnection pattern (PNA) is one of the most recognized, influential climate patterns in the mid-latitudes over the region of North Pacific to

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North America during wintertime with monthly variations (Wallace and Gutzler, 1981; Blackmon et al., 1984; Liang et al., 2005; Athanasiadis and Ambaum, 2009). The PNA phase is defined by the geopotential height anomalies in the middle troposphere over the vicinity of Hawaii, the south of the Aleutian Islands, the intermountain region of North America, and the Gulf Coast region in the US (Wallace and Gutzler, 1981). A positive (negative) PNA phase is characterized by positive (negative) geopotential height anomalies over the vicinity of Hawaii and the northwestern North America, while negative (positive) geopotential height anomalies over south of the Aleutian Islands and the Gulf Coast region (see Fig. S1 in the Supplement).

The PNA has large impacts on surface-layer meteorological variables in the US during wintertime. Previous studies have reported strong positive (negative) correlation between PNA and surface ambient temperature in the northwestern (southeastern) US (Leathers et al., 1991; Redmond and Koch, 1991), and negative correlation between PNA and precipitation rate (Leathers et al., 1991; Coleman and Rogers, 2003) and moisture (Coleman and Rogers, 2003) in the contiguous Ohio River Valley. These variations in meteorological parameters in the US are associated with the PNA-induced anomalies in jet stream position, activities of cold fronts, and synoptic cyclones (Leathers et al., 1991; Notaro et al., 2006; Myoung and Deng, 2009).

Several studies have examined the impacts of PNA on aerosols. Gong et al. (2006) studied the interannual variations in the trans-Pacific transport of Asian dust during 1960–2003 by using the Northern Aerosol Regional Climate Model (NARCM). They found a negative correlation (with a correlation coefficient of -0.55) between the PNA and the ratio of dust mass that reached the North American continent to that exported from Asia because of the strong westerly jet in the East Pacific during the negative PNA phases. Di Pierro et al. (2011), by using satellite retrieval of aerosol optical depth (AOD) from Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP), identified 11 events of Asian aerosol transport to the Arctic during 2007 to 2009, in which 4 events were associated with the negative PNA phases. These studies, however, were focused on the impact of PNA on the transport of aerosols due to the variations in westerly jet

at different sites, and there were plenty of missing values at many sites. The observed concentrations are pre-processed following the two steps: (1) For a specific site, the observations are used in our analyses if the site had at least 5 months of observations and there were at least 5 days of observations within each month. (2) The mean seasonal cycle in aerosol concentrations in the months of November–March is removed by using the similar approach to that used for PNA index (see Sect. 2.3 below). Such deseasonality approach was used in previous studies that examined the monthly variations in mineral dust aerosol (Cakmur et al., 2001; Mahowald et al., 2003), the decreasing trends in observed $PM_{2.5}$ concentrations and satellite AOD in the southeastern US over 2000–2009 (Alston et al., 2012), and the monthly variations in global AOD (Li et al., 2013). The EPA-AQS sites with measurements that meet the criteria described in (1) are shown in Fig. 1.

2.2 GEOS-Chem simulation

We also examine the impacts of PNA on simulated aerosol concentrations in the US by using the GEOS-Chem model (version 8-2-1, <http://acmg.seas.harvard.edu/geos>). The GEOS-Chem model is a global chemical transport model driven by the assimilated meteorological fields from the Goddard Earth Observing System (GEOS) of the NASA Global Modeling and Assimilation Office (GMAO). The version of the model we use has a horizontal resolution of $2^\circ \times 2.5^\circ$ and 30 hybrid sigma-P layers from the surface to 0.01 hPa altitude. The model has a fully coupled simulation of tropospheric O_3 - NO_x -VOC chemistry and aerosols including SO_4^{2-} , NO_3^- , NH_4^+ , BC, OC (Park et al., 2003, 2004), mineral dust (Fairlie et al., 2007), and sea salt (Alexander et al., 2005). The model uses the advection scheme of Lin and Rood (1996), the deep convective scheme of Zhang and McFarlane (1995), the shallow convection scheme of Hack (1994), the wet deposition scheme of Liu et al. (2001), and the dry deposition scheme of Wesely (1989) and Wang et al. (1998). We mainly examine simulated anthropogenic aerosols from the GEOS-Chem simulation, since mineral dust concentrations in winter are very

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small (Malm et al., 2004; Zhang et al., 2013) and sea salt is not a major aerosol species in the US (Malm et al., 2004).

We simulate aerosols for years of 1986–2006 driven by the GEOS-4 reanalysis data. The years of 1986–2006 are chosen for chemistry-aerosol simulation because these are the years that the GEOS-4 datasets are available. Global anthropogenic emissions are from the Global Emissions Inventory Activity (GEIA) (Park et al., 2004, 2006; Zhu et al., 2012; Yang et al., 2015). Anthropogenic emissions over the US are overwritten by the U.S. EPA National Emission Inventory for 1999 (NEI99), which have monthly variations in emissions of precursors including SO₂, NO_x, and NH₃. Monthly biomass burning emissions are taken from the Global Fire Emissions Database version 2 (GFED-2) (Giglio et al., 2006; van der Werf et al., 2006). During the simulation of aerosols for years of 1986–2006, the global anthropogenic and biomass burning emissions of aerosols and aerosol precursors are fixed at year 2005 levels, so that the variations in aerosol concentrations are caused by variations in meteorological parameters (PNA phases) alone.

Natural emissions of O₃ precursors, including biogenic NMVOCs and NO_x from lighting and soil, are allowed to vary over 1986–2006 following the variations in the GEOS-4 meteorological parameters. Biogenic NMVOC emissions are calculated using the module of Model of Emissions of Gases and Aerosols from Nature (Guenther et al., 2006). Lightning NO_x emissions are described by Sauvage et al. (2007) and Murray et al. (2012). Soil NO_x emissions are calculated using the algorithm proposed by Yienger and Levy (1995).

The GEOS-Chem simulation of aerosols in the US have been evaluated extensively by previous studies (Park et al., 2003, 2004, 2005; Heald et al., 2006; van Donkelaar et al., 2006; Liao et al., 2007; Heald et al., 2008; van Donkelaar et al., 2008; Fu et al., 2009; Drury et al., 2010; Leibensperger et al., 2011; Zhang et al., 2012). These studies have shown that the GEOS-Chem model can capture the magnitudes and distributions of aerosols in the US.

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2.3 PNA index

The PNA index (PNAI) is commonly used to quantify the changes in PNA phase (Wallace and Gutzler, 1981; Leathers et al., 1991). This study follows the definition of PNAI by Leathers et al. (1991). In order to examine the monthly variations in PNA, the mean seasonal cycle of geopotential height at 700 hPa is removed for the months of November, December, January, February, and March (NDJFM) in the studied years. Such deseasonality approach has been used in the analyses of the growth and decay of PNA phase in NDJFM (Feldstein, 2002), the development of NAO (Feldstein, 2003), the influence of NAO on precipitation in Europe (Qian et al., 2000), and the variations in Madden–Julian oscillation (Wheeler and Hendon, 2004). If we are concerned with the PNAI during n years, the monthly PNAI in month j (j is one of the 5 months of NDJFM) of year i is calculated by:

$$\text{PNAI} = \frac{1}{3} \left[-Z'_{i,j} (47.9^\circ\text{N}, 170^\circ\text{W}) + Z'_{i,j} (47.9^\circ\text{N}, 110^\circ\text{W}) - Z'_{i,j} (29.7^\circ\text{N}, 86.3^\circ\text{W}) \right] \quad (1)$$

where $Z'_{i,j} = \frac{Z'_{i,j} - \frac{1}{n \times 5} \sum_{i=1}^n \sum_{j=1}^5 Z'_{i,j}}{\frac{1}{n \times 5} \sum_{i=1}^n \sum_{j=1}^5 \left(Z'_{i,j} - \frac{1}{n \times 5} \sum_{i=1}^n \sum_{j=1}^5 Z'_{i,j} \right)}$ and $Z'_{i,j} = Z_{i,j} - \frac{1}{n} \sum_{i=1}^n Z_{i,j}$. Therefore, $Z'_{i,j}$ denotes the removal of seasonal cycle, and $Z'_{i,j}$ denotes the standardized anomaly of geopotential height at 700 hPa in month j of year i with seasonal-cycle removed.

The PNAI is calculated by using both the National Center of Environmental Prediction-Department of Energy Atmospheric Model Inter-comparison Project Reanalysis data (NCEP-2, horizontal resolution $2.5^\circ \times 2.5^\circ$ globally, <http://www.esrl.noaa.gov/psd/data/gridded/data.ncep.reanalysis2.html>) for years of 1986–2013 (referred to as NCEP2-PNAI) and the GEOS-4 assimilated meteorological data (referred to as GEOS4-PNAI) for 1986–2006 (Fig. 2). Both series of PNA index show strong monthly variations (Fig. 2), and the GEOS4-PNAI agrees with NCEP2-PNAI over 1986–2006 with a high correlation coefficient of 0.99, indicating that the NCEP-2 and GEOS-4 datasets are consistent in representing the monthly variations of PNAI.

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measurements available, the differences in OC and BC concentrations between the PNA+ and PNA- months were larger in the western US than in the eastern US (Table 1). Among all aerosol species listed in Table 1, OC exhibited the largest absolute differences between the PNA phases in the western US, because OC accounts for 25–65 % of PM_{2.5} in the western US (Malm et al., 2004) and the OC observed by EPA-AQS network, which are located in urban and suburban settings, were higher than the observations by other long term networks in US (Malm et al., 2011; Rattigan et al., 2011; Hand et al., 2012b, 2014).

Observations from EPA-AQS datasets indicate the large impacts of PNA phase on aerosol concentrations in the US. It should be noted that, in our analyses above, the locations of measurements and the numbers of samples were different for different aerosol species. Therefore, model results from the GEOS-Chem simulation will be used to further analyze the impacts of PNA on aerosols in the US, as presented in the subsequent sections.

3.2 The impact of PNA on the number of exceedance days in winter

The PNA phase also influences the number of exceedance days (defined as days with daily mean PM_{2.5} concentrations exceeding 35 µg m⁻³, the National Ambient Air Quality Standard (NAAQS) set by EPA). Such impact of PNA phase at a specific site can be quantified by the difference in standardized monthly exceedance days (SME-days) between PNA+ and PNA- months. The SME-days is defined as:

$$\text{SME-days} = \frac{\text{Observed exceedance days during all the PNA + (or PNA-) months}}{\text{Days with observations during all the PNA + (or PNA-) months}} \times 30 \quad (2)$$

Here we assume that there are 30 days in a month and SME-days has a unit of days month⁻¹. Figure 4 shows the differences in SME-days in the US between the PNA+ and PNA- months. The pattern of the differences in SME-days was similar to that of the

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($NMB = \sum_{i=1}^N (S_i - O_i) / \sum_{i=1}^N (O_i) \times 100\%$, where S_i and O_i are the simulated and observed aerosol concentrations, respectively) of -34% over the US, and the correlation coefficient between simulated and observed $PM_{2.5}$ concentrations was 0.54. The simulated wintertime SO_4^{2-} , NO_3^- , and NH_4^+ had NMBs of 23, -12 and 7% , respectively.

5 Similar bias in simulated SO_4^{2-} in December–January–February (DJF) was reported Park et al. (2006), as the GEOS-Chem model results were compared with observations from the Clean Air Status and Trends Network (CASTNET). The NMB in our simulated NO_3^- agrees closely with that in Pye et al. (2009), who presented a NMB of -6% in simulated NO_3^- in DJF when the GEOS-Chem simulations were compared
10 with the CASTNET observations for 1999–2001. Our model underestimates $PM_{2.5}$, SO_4^{2-} , NO_3^- , NH_4^+ , OC and BC in the western US (Fig. 5b), which can be explained in part by the relatively high aerosol concentrations observed for this region from the EPA-AQS. Hand et al. (2014) compared the observed concentrations of aerosols from the EPA-AQS with those from the Interagency Monitoring of Protected Visual Environ-
15 ments (IMPROVE) for 2008–2011, and showed that the ratios of wintertime aerosol concentrations of ammonium sulfate, ammonium nitrate, OC, and BC from the EPA-AQS to those from the IMPROVE were, respectively, 2.3, 7.7, 8.3, and 13.1, as the concentrations were averaged over the western US.

Since this study is dedicated to examine the influence of PNA phase on the month-to-month variations of aerosol concentrations during wintertime, Fig. 5c compares, for each aerosol species, the deviation from the mean (DM) of observed concentration with that of simulated concentration for each winter month. The DM is defined as $DM_i = \left(C_i - \frac{1}{n} \sum_{i=1}^n C_i \right) / \frac{1}{n} \sum_{i=1}^n C_i$, where C_i is the simulated average aerosol concentration over the US in month i , and n is the number of winter months examined (we
20 consider the months of NDJFM over 1999–2006 for $PM_{2.5}$, and the months of NDJFM over 2000–2006 for SO_4^{2-} , NO_3^- , NH_4^+ , BC, and OC). The model captures fairly well the peaks and troughs of DMs for $PM_{2.5}$, SO_4^{2-} , NO_3^- , and NH_4^+ , with correlation
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coefficients of 0.48, 0.57, 0.31, and 0.74, respectively. The model does not capture well the monthly variations of DMs of concentrations of OC and BC, because both anthropogenic and biomass burning emissions are fixed at year 2005 levels during our simulation over 1986–2006 to isolate the impacts of variations in meteorological parameters (PNA phases) on aerosols (see Sect. 2.2). Figure 5c also shows the monthly variations in DMs of biomass burning emissions of OC and BC by using biomass burning emissions in NDJFM over 2000–2006 from GFED v2. The correlation coefficients between biomass burning emissions and observed concentrations of OC and BC were 0.36 and 0.32, respectively, indicating that the observed variations in OC and BC were influenced by monthly and interannual variations in biomass burning.

4.2 Impact of PNA on simulated surface-layer aerosol concentrations

We have performed the GEOS-Chem simulation for years 1986–2006, in which there were 35 PNA+ and 35 PNA– months (Fig. 2). Figure 6a shows the concentrations of $PM_{2.5}$ and each aerosols species (SO_4^{2-} , NO_3^- , NH_4^+ , BC, and OC) averaged over the PNA– months of 1986–2006. The magnitudes and geographic distributions of aerosol concentrations in PNA– months were similar to those averaged over NDJFM of years 1999–2006 in Fig. 5.

The simulated absolute and relative differences in aerosol concentrations between PNA+ and PNA– months are shown in Fig. 6b and c. The $PM_{2.5}$ concentrations over the US are simulated to increase in PNA+ relative to PNA– months. The maximum enhancement in $PM_{2.5}$ concentrations in PNA+ months was 1.8–2.4 $\mu\text{g m}^{-3}$ (20–40%), located in the juncture of Tennessee and Arkansas. Note that the pattern of simulated differences in $PM_{2.5}$ between PNA+ and PNA– months was similar to that of observations (Fig. 3), except that the simulated differences were not large in California, mainly due to the underestimation of OC in California as compared to EPA-AQS data (Fig. 5b). The simulated $PM_{2.5}$ concentrations were higher by 0.6 $\mu\text{g m}^{-3}$ (12.2%), 0.3 $\mu\text{g m}^{-3}$ (14.0%), and 0.9 $\mu\text{g m}^{-3}$ (10.8%) over the whole of, western, and eastern US, respectively, in the PNA+ months than in PNA– months (Table 2). The simulated

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relative differences were close to those from observations (Table 1) in the eastern and whole of US, but the difference was smaller than that from observations in the western US.

Figure 6 also shows the differences in simulated surface-layer concentrations of SO_4^{2-} , NO_3^- , and NH_4^+ between the PNA+ and the PNA- months. The differences in concentrations of SO_4^{2-} were larger in the western than in the eastern US, with maximum enhancements of $0.4\text{--}0.8\ \mu\text{g m}^{-3}$ (20–40 %) over the West North Central States (South Dakota, Nebraska, Minnesota, Iowa, and Missouri). The differences in concentrations of NO_3^- and NH_4^+ between PNA+ and PNA- months had similar geographical patterns, with increases in concentrations in a large fraction of the eastern US and over a belt region along the Rocky Mountain in the western US. The increases in concentrations of NO_3^- and NH_4^+ over the eastern US in PNA+ months relative to PNA- months agreed very well with those seen in observations (Fig. 3). The differences in concentrations of SO_4^{2-} , NO_3^- , and NH_4^+ in the eastern US between the PNA+ and PNA- months were $0.2\ \mu\text{g m}^{-3}$ (4.0 %), $0.4\ \mu\text{g m}^{-3}$ (33.5 %), and $0.2\ \mu\text{g m}^{-3}$ (13.2 %), respectively (Table 2).

The differences in concentrations of OC and BC between PNA+ and PNA- months had similar geographical patterns, with large increases in concentrations over and near the eastern Midwest and the region from northwestern US to Texas. The maximum differences reached $0.2\text{--}0.4\ \mu\text{g m}^{-3}$ (10–20 %) and $0.1\text{--}0.2\ \mu\text{g m}^{-3}$ (20–40 %) in Illinois, Indiana and Ohio for OC and BC, respectively. The magnitudes of the differences in OC and BC were statistically significant but were smaller than the observations (Tables 1 and 2). The absolute differences in OC were less than $0.1\ \mu\text{g m}^{-3}$ in the western, eastern and whole of US due to the underestimation of OC in the simulation.

In summary, model results agreed with observations in that the concentrations of all aerosol species of SO_4^{2-} , NO_3^- , NH_4^+ , BC, and OC averaged over the US were higher in PNA+ months than in PNA- months. Relative to the PNA- months, the average concentration of $\text{PM}_{2.5}$ over the US was higher by about 12–13 % based on both observed and simulated concentrations. Furthermore, simulated geographical patterns

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of the differences in $PM_{2.5}$ and each aerosol species between the PNA+ and PNA– months were similar to those seen in observations, with the largest increases in aerosol concentrations in PNA+ months over and near the eastern Midwest.

5 Mechanisms for the impact of PNA on aerosol concentrations

5.1 The impact of PNA on transboundary transport of aerosols

The transboundary transport of pollutants to and from the US depends largely on winds in the free troposphere (Liang et al., 2004). Figure 7 shows the horizontal winds at 700 hPa averaged over the winter months of NDJFM of 1986–2006 and the corresponding differences between PNA+ and PNA– months on the basis of the GEOS-4 meteorological fields. Strong westerlies prevailed over the US in wintertime (Fig. 7a). Relative to the PNA– months, anomalous northeasterlies occurred over a large fraction of US in the PNA+ months. Anomalous anti-cyclonic circulation occurred near the northwestern US and anomalous cyclonic circulation occurred near the southeastern US (Fig. 7b), corresponding to the large positive and negative differences in geopotential height in these two regions (see auxiliary Fig. S1), respectively.

We also calculate mass fluxes of $PM_{2.5}$ at the four lateral boundaries (from the surface to 100 hPa) of the US for different PNA phases (Table 3). The domain of the box to represent the US is ($75\text{--}120^\circ$ W, $28\text{--}49^\circ$ N), as shown in Fig. 7b. For $PM_{2.5}$ in wintertime, the inflow from the west boundary and the outflow from the east boundary had the largest absolute values (Table 3). Relative to the PNA– months, the inflow from the west boundary and the outflow from the east boundary in PNA+ months exhibited reductions of 17.1 and 12.8 kg s^{-1} , respectively (Table 3). The inflow flux from south boundary decreased by 19.3 kg s^{-1} , and the inflow flux from north boundary increased by 37.6 kg s^{-1} , leading to a net increase of inflow flux of 14.0 kg s^{-1} in PNA+ months. Therefore, the transboundary transport has an overall effect of increasing $PM_{2.5}$ aerosols in the US in PNA+ months relative to PNA– months. The relative

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change in net flux was 11.4 % $((\text{PNA}+ \text{ minus PNA-}) \times 100 \% / \text{PNA-})$ (Table 3), coinciding well with the enhancement of 12–13 % in surface-layer $\text{PM}_{2.5}$ concentration averaged over the US (Tables 1 and 2).

5.2 Local changes in aerosol concentrations caused by the PNA

The PNA pattern is also associated with variations in meteorological variables such as temperature (Leathers et al., 1991; Konrad II 1998; Notaro et al., 2006; Knight et al., 2008; Liu et al., 2015; Ning and Bradley, 2014, 2015), precipitation (Leathers et al., 1991; Henderson and Robinson, 1994; Coleman and Rogers, 2003; Notaro et al., 2006; Archambault et al., 2008; Myoung and Deng, 2009; Ning and Bradley, 2014, 2015; Wise et al., 2015) and humidity (Sheridan, 2003; Coleman and Rogers, 2003; Knight et al., 2008) in US, which are expected to influence aerosol concentrations within the US through chemical reactions, transport, and deposition.

Figure 8 shows the composite differences, between the PNA+ and PNA– months, in surface air temperature (T), precipitation rate (PR), relative humidity (RH), surface wind speed (WS), and planetary boundary layer height (PBLH), based on the reanalyzed GEOS-4 datasets. Relative to PNA– months, temperatures were higher by 1–3 K over the northwestern US and lower by 1–4 K in the southeastern region. Such geographic distributions of temperature anomalies were attributed to the maritime warm air in the northwestern US accompanied by the enhanced tropospheric geopotential height in North America (Leathers et al., 1991; Sheridan, 2003; Liu et al., 2015; Ning and Bradley, 2015) (see also auxiliary Fig. 1d) and the more frequent outbreak of cold air in southeastern US accompanied by the depressed geopotential height (Konrad II 1998; Liu et al., 2015) (see also auxiliary Fig. 1d). The differences in precipitation between PNA+ and PNA– months reached -1.6 to -2.4 mm day^{-1} (-32 to -48 %) over and near the eastern Midwest, -2.4 to -3.2 mm day^{-1} (-48 to -64 %) in the northwestern US, and 1.6 – 2.4 mm day^{-1} (16 – 32 %) in the southeastern US. These effects of PNA on precipitation were similar to those obtained from wintertime station data by Leathers et al. (1991), Coleman and Rogers (2003) and Wise et al. (2015). With re-

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spect to RH, the values in the eastern US were generally lower in the PNA+ months than in PNA– months, as a result of the reduced moisture flux from the Gulf of Mexico to the eastern US (Coleman and Rogers, 2003), where RH showed maximum reduction of -3 to -9% . The enhancement of RH of up to $6-9\%$ in Texas, Oklahoma and New Mexico was due to the anomalous easterlies over the south central US (see Fig. 8), which diminished the influence of the dry air from the deserts of the southwestern US and northwestern Mexico in PNA+ months (Sheridan, 2003). The surface WS showed reductions in PNA+ months relative to PNA– months in two regions, one was the belt region along the Rocky Mountain from the northwestern US to Texas (with the maximum reductions of $1.5-2.0\text{ ms}^{-1}$ ($48-64\%$)) and the other region, with a northeast-southwest orientation, was from Ohio to Louisiana (with maximum reductions of $0.5-1.0\text{ ms}^{-1}$ ($16-32\%$)). The differences in PBLH between PNA+ and PNA– months were statistically significant in the western US and in the belt region from the northeastern US to the eastern Midwest, with the maximum reductions in PBLH of $75-100\text{ m}$ ($15-20\%$) and $75-100\text{ m}$ ($10-15\%$), respectively.

The comparisons of Fig. 6b with Fig. 8a indicate that the increases in $\text{PM}_{2.5}$ concentrations over and near the eastern Midwest in PNA+ months relative to the PNA– months can be attributed to the decreases in PR, WS and PBLH in these locations, since the changes in these three variables depressed wet deposition, local horizontal diffusion, and vertical diffusion of the surface aerosols, respectively. The increases in SO_4^{2-} in the western US (Fig. 6b) corresponded to the decreases in PR and PBLH and the increased temperature. The increases in temperature enhance chemical reaction rates (Aw and Kleeman, 2003; Dawson et al., 2007; Kleeman, 2008). In the eastern US, although PR, WS, and PBLH decreased over and near the eastern Midwest, the cooling in the eastern US might have offset the effects by PR, WS, and PBLH, inducing practically no changes in SO_4^{2-} in the eastern US. The large increases in NO_3^- and NH_4^+ in the southeastern US (Fig. 6b) can be attributed to the reduced surface temperature, which was favorable to the wintertime formation of NO_3^- and NH_4^+ (Dawson

et al., 2007). The differences in concentrations of OC and BC between PNA+ and PNA- corresponded well with the reduced PR, WS and PBLH.

In order to quantify the impacts of anomalies in meteorological parameters driven by PNA on concentrations of different aerosol species, the pattern correlation coefficients (PCC, http://glossary.ametsoc.org/wiki/Pattern_correlation) are calculated and shown in Table 4. These pattern correlation coefficients denote the relationship between the geographical distribution of anomalies of each of T , PR, RH, WS, and PBLH (Fig. 8b) and that of the differences in concentration of each aerosol species between PNA+ and PNA- months (Fig. 6c). As shown in Table 4, over the whole US, the PNA influenced SO_4^{2-} concentrations mainly through changes PBLH, PR, and T , with the highest PCC values of -0.43 , -0.38 , and $+0.26$, respectively. For NO_3^- , the PNA-induced variations in temperature had a strong negative correlation (PCC = -0.59) with the PNA-induced differences in concentrations, indicating that surface temperature was the dominant meteorological factor to influence NO_3^- concentrations. For NH_4^+ , OC, and BC, PR and PBLH were the two variables that had the largest negative PCC values (Table 4). Note that PBLH was the most important meteorological variable for SO_4^{2-} , NH_4^+ , OC and BC, which contributed to the high correlation between PBLH and $\text{PM}_{2.5}$.

6 Conclusions

This study examined the impacts of monthly variation in the PNA phase on wintertime surface-layer aerosol concentrations in the US by the analyses of EPA-AQS observations over 1999–2013 and model results for 1986–2006 from the global chemical transport model GEOS-Chem.

The composite analyses on the EPA-AQS observations showed that the average concentrations of $\text{PM}_{2.5}$, SO_4^{2-} , NO_3^- , NH_4^+ , OC, and BC aerosols over the US were higher in the PNA+ months than in the PNA- months by $1.4 \mu\text{g m}^{-3}$ (12.7%), $0.1 \mu\text{g m}^{-3}$ (6.4%), $0.3 \mu\text{g m}^{-3}$ (39.1%), $0.2 \mu\text{g m}^{-3}$ (22.8%), $0.8 \mu\text{g m}^{-3}$ (21.3%), and $0.2 \mu\text{g m}^{-3}$ (34.1%), respectively. Regionally, the observed $\text{PM}_{2.5}$ concentrations were

higher by 4–6 $\mu\text{g m}^{-3}$ (40–60 %) over the Midwest, and by 8–10 $\mu\text{g m}^{-3}$ (80–100 %) around the Salt Lake, as the concentrations in PNA+ months were compared to those in PNA– months.

The impacts of PNA phase on aerosol concentrations were reproduced fairly well by the GEOS-Chem simulation with fixed anthropogenic emissions (the variations of aerosols concentrations were driven by changes in meteorological fields alone). Concentrations of SO_4^{2-} , NO_3^- , NH_4^+ , BC, and OC averaged over the US were simulated to be higher in the PNA+ months than in PNA– months. The average concentration of $\text{PM}_{2.5}$ over the US was simulated to be 12.2 % higher in the PNA+ months relative to the PNA– months, in close agreement with the observations. Simulated geographical patterns of the differences in $\text{PM}_{2.5}$ and each aerosol species between the PNA+ and PNA– months were similar to those seen in observations. The largest increases in aerosol concentrations in the PNA+ months were simulated to be over and near the eastern Midwest, but the model results showed small PNA-induced changes in aerosol concentrations in the western US.

The mechanisms for the impacts of PNA on aerosol concentrations were examined. The transboundary transport was found to have an overall effect of increasing $\text{PM}_{2.5}$ aerosols in the US in the PNA+ months relative to PNA– months. Compared to the PNA– months, anomalous northeasterlies occurred over a large fraction of US, which led to a net increase in inflow flux of $\text{PM}_{2.5}$ of 14.0 kg s^{-1} in PNA+ months. Regionally within the US, the PNA influenced aerosol concentrations through changes in precipitation rate (PR), planetary boundary layer height (PBLH), surface wind speed (WS), surface air temperature (T) and relative humidity (RH), as represented by the pattern correlation coefficients (PCCs). The PNA influenced SO_4^{2-} concentration mainly through changes PBLH, PR, and T , with the highest PCC values of -0.43 , -0.38 , and $+0.26$, respectively. For NO_3^- , the PNA-induced variations in temperature had a strong negative correlation (PCC = -0.59) with the PNA-induced differences in concentrations. For NH_4^+ , OC, and BC, PR and PBLH were the two variables that had the largest negative

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PCC values. Because PBLH was the most important meteorological variable for SO_4^{2-} , NH_4^+ , OC and BC, which led to a high correlation between PBLH and $\text{PM}_{2.5}$.

Conclusions from this study have important implications for air quality in the US. Leathers and Palechi (1992) showed that the PNAI were generally low in 1947–1957 but consistently high in 1958–1987. The PNAI during 1948–2010 exhibited an increasing trend for positive phases and a decreasing trend for negative phases (Liu et al., 2015; Ning et al., 2015; http://research.jisao.washington.edu/data_sets/pna/#djf), indicating that wintertime particulate matter pollution in most areas of US deteriorated due to variations in PNA phase alone. Climate models projected that positive PNA phases would increase in the future because of the global warming (Kachi and Nitta, 1997; Müller and Roeckner, 2008; Zhou, 2014). Therefore, the trend in PNA pattern underlies the necessity of strict emission reduction strategies for greenhouse gases, aerosols, and aerosol precursors.

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Table 3. The composite analyses of horizontal mass fluxes (kg s^{-1}) of $\text{PM}_{2.5}$ for the selected box of ($75\text{--}120^\circ\text{ W}$, $28\text{--}49^\circ\text{ N}$, from the surface to 100 hPa) in the PNA+ and PNA– months of 1986–2006. The positive values at the four boundaries indicate eastward or northward transport, and negative values indicate westward or southward transport. The positive (negative) value of net flux indicates the net gain (loss) of $\text{PM}_{2.5}$ in the selected box. All the fluxes are from the GEOS-Chem simulation.

	Boundaries and total	Mass Flux
PNA+	West	89.7
	East	259.3
	South	24.4
	North	–36.2
	Net flux	–109.0
PNA–	West	106.8
	East	272.1
	South	43.7
	North	1.4
	Net flux	–123.0
Diff. (PNA+ minus PNA–)	West	–17.1
	East	–12.8
	South	–19.3
	North	–37.6
	Net flux	14.0

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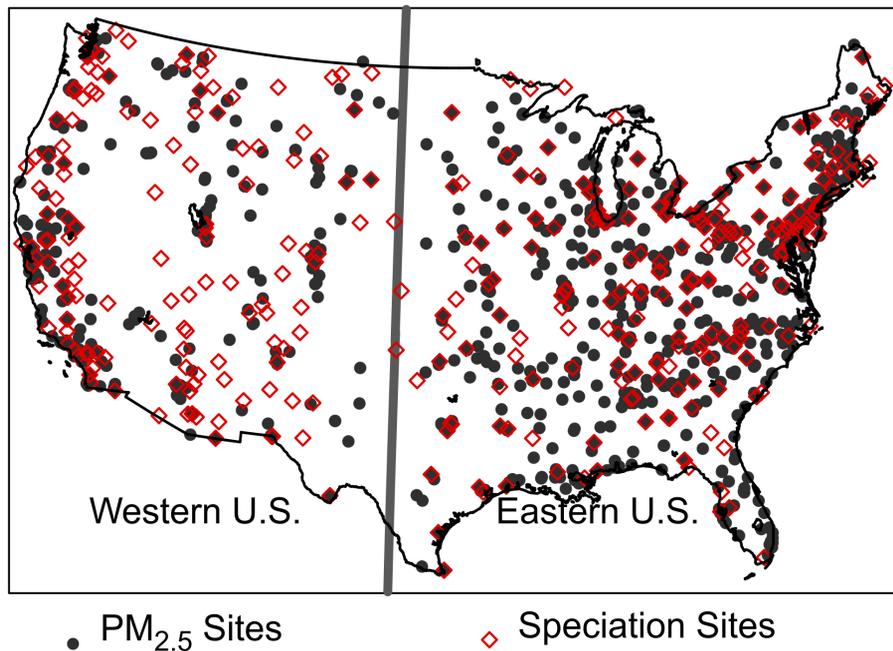


Figure 1. The locations of EPA-AQS sites with measurements that meet the criteria described in Sect. 2.1 in the text. PM_{2.5} measurements are available for years of 1999–2013 (sites are marked by black dots) and speciated aerosol (SO₄²⁻, NO₃⁻, NH₄⁺, BC, and OC) concentrations are available over years of 2000–2013 (sites are marked by red diamonds). The grey solid line defines the western United States (west of 100° W) and eastern United States (east of 100° W).

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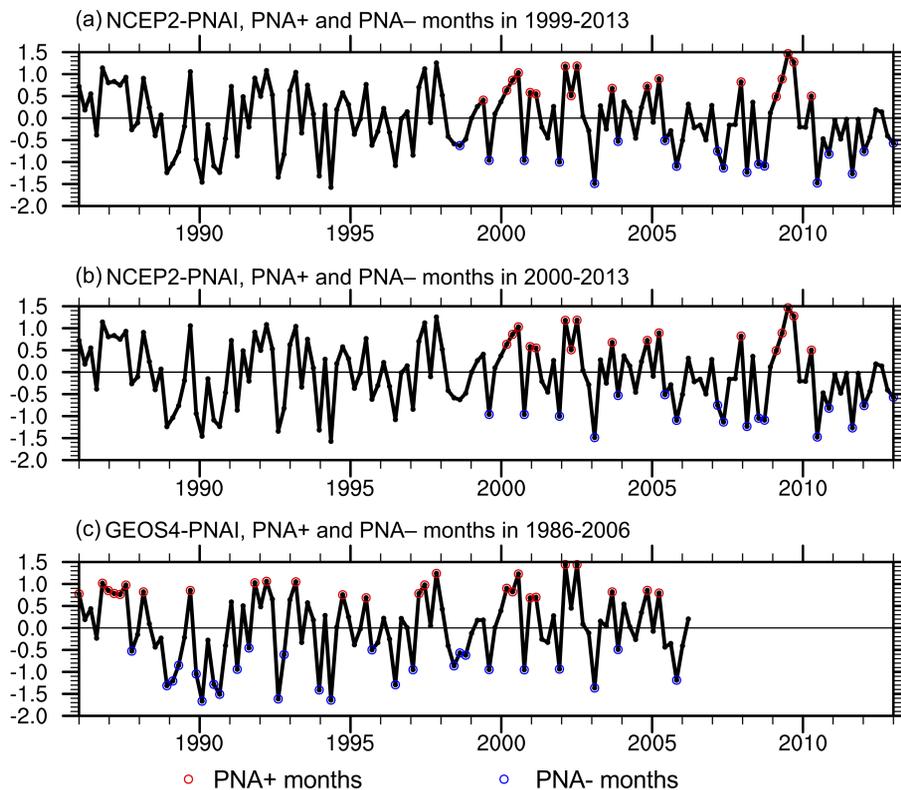


Figure 2. (a) PNAI for years of 1986–2013 calculated using the NCEP-2 data (NCEP2-PNAI), with the PNA+ and PNA- months during 1999–2013 indicated. (b) PNAI for years of 1986–2013 calculated using the NCEP-2 data, with the PNA+ and PNA- months during 2000–2013 indicated. (c) PNAI for years of 1986–2006 calculated using the GEOS-4 data (GEOS4-PNAI), with the PNA+ and PNA- months over 1986–2006 indicated. Red circles are PNA+ months and blue circles PNA- months.

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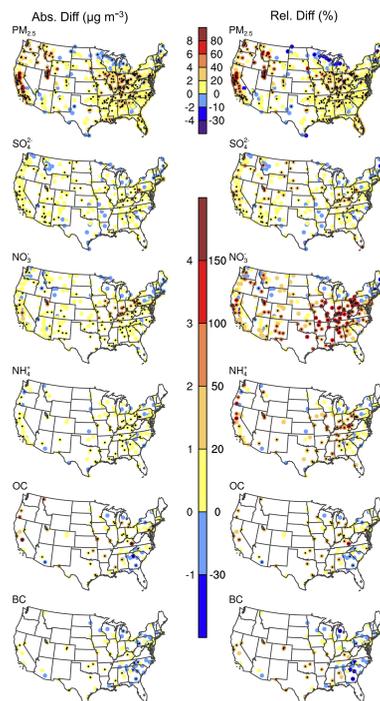


Figure 3. The absolute ($\mu\text{g m}^{-3}$, left column) and relative differences (% , right column) in observed aerosol concentrations between PNA+ and PNA– months (PNA+ minus PNA–). The measurements of $\text{PM}_{2.5}$ were carried out over 1999–2013, in which there were 18 PNA+ months and 18 PNA– months as shown in Fig. 2a. The measurements of speciated aerosols were taken during 2000–2013, in which there were 17 PNA+ and 17 PNA– months (Fig. 2b). The sites with black dots are those that have passed the two-tail t test with 90 % confidence level.

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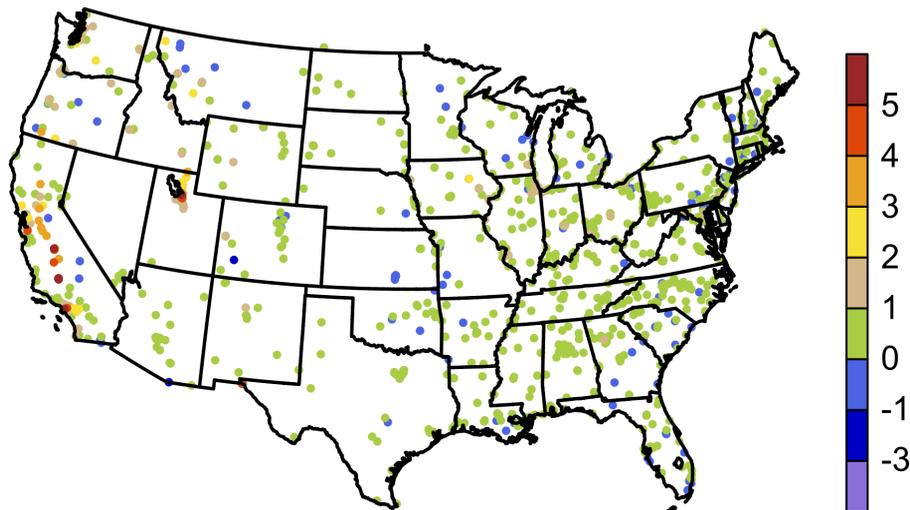


Figure 4. The differences in Standardized Monthly Exceedance Days (SME-days) between PNA+ and PNA– months (PNA+ minus PNA–). The measurements of $PM_{2.5}$ were carried out over 1999–2013, in which there were 18 PNA+ months and 18 PNA– months as shown in Fig. 2a.

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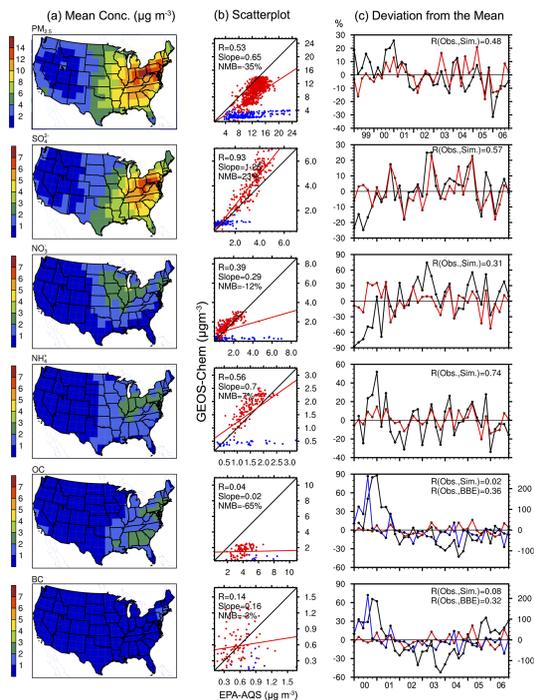


Figure 5. (a) Simulated surface-layer concentrations ($\mu\text{g m}^{-3}$) of PM_{2.5} (the sum of SO₄²⁻, NO₃⁻, NH₄⁺, BC, and OC) and each aerosol species averaged over NDJFM of 1999–2006. (b) Scatter plots of the simulated concentrations vs. the EPA-AQS observations. Also shown are the $y = x$ line (black line) and linear fit (red line). The blue and red dots represent sites in the western and eastern US, respectively. (c) Comparisons of the deviation from the mean (DM) of observed concentration (black line) with that of simulated concentration (red line) in each winter month for each aerosol species, left axis. Also shown in the panel for OC (BC) the monthly variations in DM of biomass burning emission of OC (BC), blue line, right axis.

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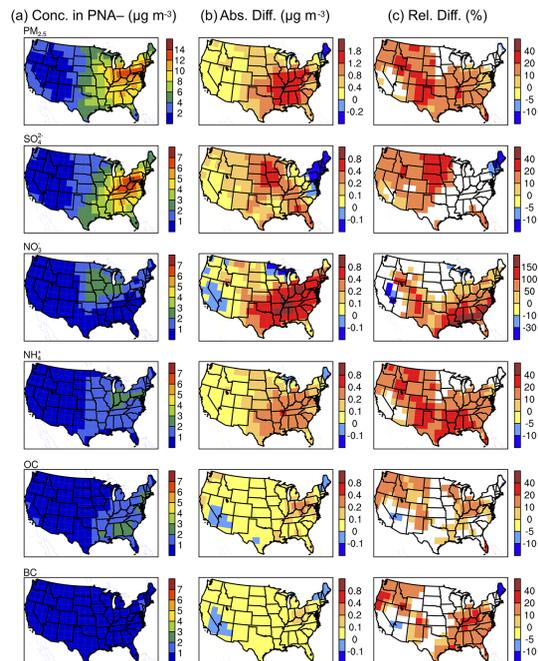


Figure 6. (a) Simulated concentrations ($\mu\text{g m}^{-3}$) of $\text{PM}_{2.5}$ and each aerosols species averaged over the PNA– months of 1986–2006. (b) The absolute differences ($\mu\text{g m}^{-3}$) in simulated aerosol concentrations between PNA+ and PNA– months (PNA+ minus PNA–). (c) The relative differences (%) in simulated aerosol concentrations between PNA+ and PNA– months. The white spaces in (c) indicate the areas that did not pass the two-tail Student t test with 90 % significance level. The seasonal cycles of simulated aerosol concentrations were removed, similar to the treatment for observations in Fig. 3.

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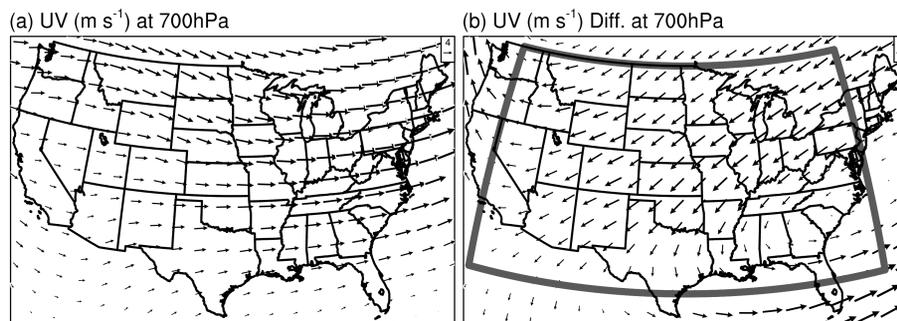


Figure 7. (a) Horizontal winds at 700 hPa averaged over the winter months of NDJFM of 1986–2006, and (b) the corresponding differences between the PNA+ and PNA– months. Datasets are from the assimilated GEOS-4 meteorological fields. Also shown in (b) is the domain of (75–120° W, 28–49° N) for which transboundary mass fluxes of PM_{2.5} are calculated.

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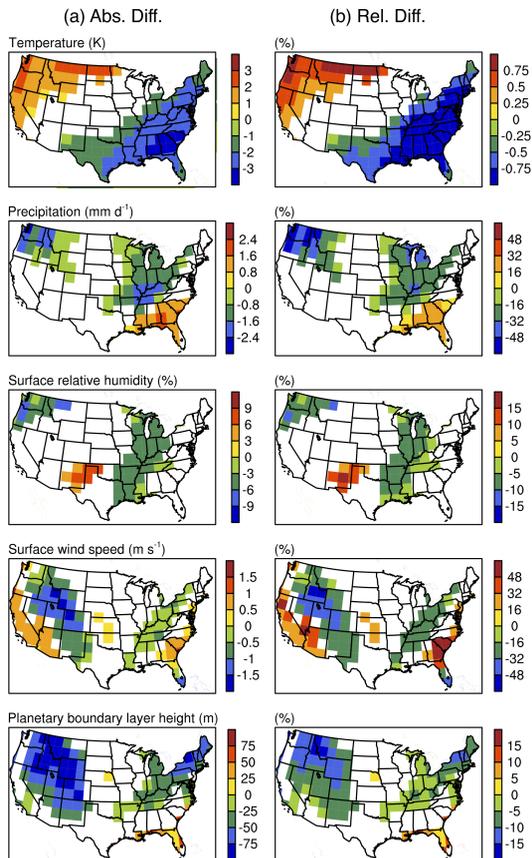


Figure 8. (a) The absolute and (b) relative differences in meteorological parameters between PNA+ and PNA– months. Datasets are from the assimilated GEOS-4 meteorological fields. The white spaces in indicate the areas that did not pass the two-tail Student t test with 90% significance level. The seasonal cycles of meteorological variables were removed, similar to the treatment for observations in Fig. 3.

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