

1 The Impact of Monthly Variation of the Pacific-North America (PNA) Teleconnection
2 Pattern on Wintertime Surface-layer Aerosol Concentrations in the United States

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7 Jin Feng^{1,2}, Hong Liao^{1,*}, Jianping Li³
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9 ¹State key Laboratory of Atmospheric Boundary Layer Physics and Atmospheric
10 Chemistry, Institute of Atmospheric Physics, Chinese Academy of Sciences, Beijing,
11 China

12 ²University of Chinese Academy of Science, Beijing, China

13 ³College of Global Change and Earth System Science of Beijing Normal University,
14 Beijing, China

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20 *Corresponding author address:

21 Prof. Hong Liao
22 LAPC, Institute of Atmospheric Physics
23 Chinese Academy of Sciences
24 Beijing 100029, China
25 E-mail: hongliao@mail.iap.ac.cn

26 **Abstract**

27 The Pacific-North America teleconnection (PNA) is the leading general circulation
28 pattern in the troposphere over the region of North Pacific to North America during
29 wintertime. This study examined the impacts of monthly variation of the PNA phase
30 (positive or negative phase) on wintertime surface-layer aerosol concentrations in the
31 U.S. by analyzing observations during 1999–2013 from the Air Quality System of the
32 Environmental Protection Agency (EPA-AQS) and the model results for 1986–2006
33 from the global three-dimensional Goddard Earth Observing System (GEOS)
34 chemical transport model (GEOS-Chem). The composite analyses on the EPA-AQS
35 observations over 1999–2013 showed that the average concentrations of $\text{PM}_{2.5}$,
36 sulfate, nitrate, ammonium, organic carbon, and black carbon aerosols over the U.S.
37 were higher in the PNA positive phases than in the PNA negative phases by $1.0 \mu\text{g}$
38 m^{-3} (8.7%), $0.01 \mu\text{g m}^{-3}$ (0.5%), $0.3 \mu\text{g m}^{-3}$ (29.1%), $0.1 \mu\text{g m}^{-3}$ (11.9%), $0.6 \mu\text{g m}^{-3}$
39 (13.5%), and $0.2 \mu\text{g m}^{-3}$ (27.8%), respectively. The simulated geographical patterns
40 of the differences in concentrations of all aerosol species between the PNA positive
41 and negative phases were similar to observations. Based on the GEOS-Chem
42 simulation driven by the assimilated meteorological fields, the PNA-induced variation
43 in planetary boundary layer height was found to be the most dominant meteorological
44 factor that influenced the concentrations of $\text{PM}_{2.5}$, sulfate, ammonium, organic carbon,
45 and black carbon, and the PNA-induced variation in temperature was the most
46 important parameter that influenced nitrate aerosol. Results from this work have
47 important implications for understanding and prediction of air quality in the United
48 States.

49 **1 Introduction**

50 Aerosols are the major air pollutants that have adverse effects on human health,
51 reduce atmospheric visibility, and influence climate through aerosol-radiation and
52 aerosol-cloud interactions (IPCC, 2013). Aerosol concentrations are high over the
53 industrialized regions such as the U.S., Europe, and East Asia, which are driven by
54 emissions of aerosols and aerosol precursors (Dutkiewicz et al. 2000; Vestreng et al.
55 2007; Hand et al. 2012a; Mijling et al. 2013) and regional meteorological conditions.

56 Previous studies have shown that aerosol concentrations are very sensitive to
57 meteorological parameters (Aw and Kleeman 2003; Wise and Comrie 2005; Dawson
58 et al. 2007; Kleeman 2008; Jacob and Winner 2009; Tai et al. 2010; Tai et al. 2012a;
59 Allen et al. 2015; Markakis et al. 2015; Megaritis et al. 2014; Porter et al. 2015). Aw
60 and Kleeman (2003) examined the sensitivity of $PM_{2.5}$ (aerosol particles which
61 diameter $\leq 2.5 \mu m$) concentration to temperature by performing sensitivity studies in
62 the California Institute of Technology/UC Davis (CIT/UCD) air quality model. A
63 cross-board increase in temperature by 5 K in Southern California on September 25,
64 1996, led to decreases in peak $PM_{2.5}$ concentrations by up to $30.7 \mu g m^{-3}$ (~30%).
65 Wise and Comrie (2005) reported, by statistical analyses of observational datasets
66 obtained from Air Quality System of U.S. Environmental Protection Agency
67 (EPA-AQS), that the variations in meteorological parameters accounted for 20–50%
68 of the variability in aerosol levels over 1990–2003 in five metropolitan areas in the
69 southwestern U.S.. They found that aerosols in these five cities were most sensitive
70 to relative humidity. Dawson et al. (2007) found, by sensitivity studies in the
71 Particulate Matter Comprehensive Air Quality Model with extensions (PMCAMx), that
72 $PM_{2.5}$ concentrations in summer had a small sensitivity to temperature increases (-16
73 $ng m^{-3} K^{-1}$ on average) because the increases in sulfate offset the decreases in

74 nitrate and organics, while PM_{2.5} concentrations in winter decreased significantly with
75 temperature ($-170 \text{ ng m}^{-3} \text{ K}^{-1}$ on average) because the increases in temperature led
76 to large reductions in nitrate and organics. Dawson et al. (2007) also showed that
77 PM_{2.5} concentrations increased with humidity in both winter and summer. Jacob and
78 Winner (2009) summarized by literature review that the regional stagnation, mixing
79 depth, and precipitation are the most important meteorological parameters that
80 influence surface-layer aerosol concentrations. Future climate change was also
81 simulated to influence aerosol levels over the U.S. by about $1 \mu\text{g m}^{-3}$ (Jacob and
82 Winner 2009), as a result of the climate-induced changes in atmospheric oxidants,
83 transport, deposition, and the shift of gas-particle equilibria (Liao et al. 2006; Unger et
84 al. 2006; Bauer et al. 2007; Jacob and Winner 2009; Pye et al. 2009; Lam et al. 2011;
85 Day and Pandis 2011; Juda-Rezler et al. 2012; Tai et al. 2012b).

86 Previous studies have also reported that the changes in atmospheric circulation
87 pattern and climate systems, such as the East Asian Summer Monsoon (EASM),
88 North Atlantic Oscillation (NAO), El Nino-South Oscillation (ENSO), Atlantic
89 Multidecadal Oscillation (AMO), and Arctic sea ice (ASI), can modulate distributions
90 and concentrations of aerosols (Moulin et al. 1997; Singh and Palazoglu 2012; Zhu et
91 al. 2012; Jerez et al. 2013; Liu et al. 2013; Xiao et al. 2014; Wang et al. 2015). Zhu et
92 al. (2012) found, by simulation of aerosol concentrations over years 1986–2006 with
93 the global chemical transport model GEOS-Chem, that the decadal-scale weakening
94 of the EASM led to increases in aerosol concentrations in eastern China, and
95 summertime surface aerosol concentrations in the weakest EASM years were larger
96 than those in the strongest EASM years by approximately 20%. Moulin et al. (1997)
97 showed that the variations in NAO could influence mineral dust aerosol transported to
98 the North Atlantic Ocean and the Mediterranean Sea, since the mean aerosol optical

99 depth (AOD) of dust in summer correlated with the NAO index during 1983–1994 with
100 a correlation coefficient of 0.50. Jerez et al. (2013) found, by simulations of aerosols
101 for years 1970–1999 with the CHIMERE chemistry transport model driven by the
102 BCMWF ERA40 reanalysis data, that the concentrations of PM_{10} and $PM_{2.5}$ in the
103 southern European regions in winter differed by 10 and 20 $\mu g m^{-3}$, respectively,
104 between the positive and negative NAO phases. By using the multi-angle imaging
105 spectroradiometer satellite (MISR) datasets of AOD during 2000–2011, Liu et al.
106 (2013) found a period of 3–4 years in observed summertime AOD over the North
107 China Plain (NCP), and the peak of summertime AOD in NCP occurred four months
108 later after the rapidly transition of El Nino from a warm phase to a cold phase because
109 of the associated cyclone anomaly and maritime inflow over the NCP. Singh and
110 Palazoglu (2012) found correlations between PDO and ENSO and the aerosol
111 exceedance days (defined as the days with $PM_{2.5}$ concentrations larger than the U.S.
112 National Ambient Air Quality Standard) at 6 regions in the U.S. by using the EPA-AQS
113 $PM_{2.5}$ wintertime datasets during 1950–2008.

114 The Pacific-North America teleconnection pattern (PNA) is one of the most
115 recognized, influential climate patterns in the mid-latitudes over the region of North
116 Pacific to North America during wintertime with monthly variations (Wallace and
117 Gutzler 1981; Blackmon et al. 1984; Liang et al. 2005; Athanasiadis and Ambaum
118 2009). The PNA phase is defined by the geopotential height anomalies in the middle
119 troposphere over the vicinity of Hawaii, the south of the Aleutian Islands, the
120 intermountain region of North America, and the Gulf Coast region in the U.S. (Wallace
121 and Gutzler 1981). A positive (negative) PNA phase is characterized by positive
122 (negative) geopotential height anomalies over the vicinity of Hawaii and the
123 northwestern North America, while negative (positive) geopotential height anomalies

124 over south of the Aleutian Islands and the Gulf Coast region (see Fig. S1 in the
125 auxiliary material).

126 The PNA has large impacts on surface-layer meteorological variables in the U.S.
127 during wintertime. Previous studies have reported strong positive (negative)
128 correlation between PNA and surface ambient temperature in the northwestern
129 (southeastern) U.S. (Leathers et al. 1991; Redmond and Koch 1991; Liu et al. 2015),
130 and negative correlation between PNA and precipitation rate (Leathers et al. 1991;
131 Coleman and Rogers 2003; Ning and Bradley 2014; 2015) and moisture (Coleman
132 and Rogers 2003) in the contiguous Ohio River Valley. These variations in
133 meteorological parameters in the U.S. are associated with the PNA-induced
134 anomalies in jet stream position, activities of cold fronts, and synoptic cyclones
135 (Leathers et al. 1991; Notaro et al. 2006; Myoung and Deng 2009).

136 Several studies have examined the impacts of PNA on aerosols. Gong et al.
137 (2006) studied the interannual variations in the trans-Pacific transport of Asian dust
138 during 1960–2003 by using the Northern Aerosol Regional Climate Model (NARCM).
139 They found a negative correlation (with a correlation coefficient of –0.55) between the
140 PNA and the ratio of dust mass that reached the North American continent to that
141 exported from Asia because of the strong westerly jet in the East Pacific during the
142 negative PNA phases. Di Pierro et al. (2011), by using satellite retrieval of aerosol
143 optical depth (AOD) from Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP),
144 identified 11 events of Asian aerosol transport to the Arctic during 2007 to 2009, in
145 which 4 events were associated with the negative PNA phases. These studies,
146 however, were focused on the impact of PNA on the transport of aerosols due to the
147 variations in westerly jet stream and blocking activity. Furthermore, these studies
148 were limited to aerosols in the regions of North America and the Arctic.

149 We examine in this work the impacts of monthly variations in PNA phase on
150 aerosol concentrations in the U.S. during wintertime, by analyses of the observed
151 aerosol concentrations during 1999–2013 from EPA-AQS and also by simulations of
152 aerosol concentrations for years 1986–2006 using the global chemical transport
153 model GEOS-Chem. The scientific goals of this work are (1) to quantify the
154 differences in wintertime concentrations of sulfate (SO_4^{2-}), nitrate (NO_3^-), ammonium
155 (NH_4^+), black carbon (BC), organic carbon (OC), and $\text{PM}_{2.5}$ in the U.S. between
156 different PNA phases, and (2) to understand the roles of PNA-induced variations in
157 meteorology (for example, surface air temperature, wind speed, planetary boundary
158 layer height, precipitation, and relative humidity) in influencing the wintertime aerosol
159 concentrations. The definition of the PNA index, the EPA-AQS observation data used
160 in this work, and the numerical simulation with the GEOS-Chem model are described
161 in Sect. 2. Sections 3 and 4 present the impacts of the PNA on wintertime aerosol
162 concentrations in the U.S. obtained from the EPA-AQS observations and the
163 GEOS-Chem simulation, respectively. The mechanisms for the impacts of PNA on
164 aerosols are examined in Sect. 5.

165

166 **2 Data, simulation, and methodology**

167 **2.1 Observed aerosol concentrations**

168 Observed concentrations of aerosols are obtained from the Air Quality System of the
169 U.S. Environmental Protection Agency (EPA-AQS,
170 <http://www.epa.gov/airquality/airdata/>). The EPA-AQS daily $\text{PM}_{2.5}$ mass
171 concentrations are available over 1999–2013 at about 1200 sites, and the speciated
172 aerosol concentrations, including those of SO_4^{2-} , NO_3^- , NH_4^+ , BC and OC, are
173 available for 2000–2013 at about 300 sites.

174 The measurements of aerosol concentrations from the EPA-AQS were carried
175 out at various time intervals (for example, with measurements every one, three or six
176 days) at different sites, and there were plenty of missing values at many sites. The
177 observed concentrations are pre-processed following the three steps: (1) For a
178 specific site, the observations are used in our analyses if the site had at least 5
179 months of observations and there were at least 5 observation records within each
180 month. (2) The mean seasonal cycle in aerosol concentrations in the months of
181 November–March is removed by using the similar approach to that used for PNA
182 index (see Sect. 2.3 below). Such deseasonality approach was used in previous
183 studies that examined the monthly variations in mineral dust aerosol (Cakmur et al.
184 2001; Mahowald et al. 2003), the decreasing trends in observed PM_{2.5} concentrations
185 and satellite AOD in the southeastern U.S. over 2000–2009 (Alston et al. 2012), and
186 the monthly variations in global AOD (Li et al. 2013). (3) Since the observed aerosol
187 concentrations exhibited a significant decreasing trend from 1999 to present in the
188 U.S. due to the reductions in emissions of aerosols and aerosol precursors (Alston et
189 al. 2012, <http://www3.epa.gov/airtrends/aqtrends.html#comparison>), the long-term
190 linear trend in concentrations is identified by the least-square fit and then removed
191 from the observed concentrations for each site. The EPA-AQS sites with
192 measurements that meet the criteria described in (1) are shown in Fig. 1.

193 2.2 GEOS-Chem Simulation

194 We also examine the impacts of PNA on simulated aerosol concentrations in the U.S.
195 by using the GEOS-Chem model (version 8-2-1, <http://acmg.seas.harvard.edu/geos>).
196 The GEOS-Chem model is a global chemical transport model driven by the
197 assimilated meteorological fields from the Goddard Earth Observing System (GEOS)
198 of the NASA Global Modeling and Assimilation Office (GMAO). The version of the

199 model we use has a horizontal resolution of $2^\circ \times 2.5^\circ$ and 30 hybrid sigma-P layers
200 from the surface to 0.01 hPa altitude. The model has a fully coupled simulation of
201 tropospheric O_3 - NO_x -VOC chemistry and aerosols including SO_4^{2-} , NO_3^- , NH_4^+ , BC,
202 OC (Park et al. 2003; Park et al. 2004), mineral dust (Fairlie et al. 2007), and sea salt
203 (Alexander et al. 2005). Considering the large uncertainties in chemistry schemes of
204 secondary organic aerosol (SOA), SOA in our simulation is assumed to be the 10%
205 carbon yield of OC from biogenic terpenes (Park et al., 2003) and 2% carbon yield of
206 OC from biogenic isoprene (van Donkelaar et al., 2007; Mu and Liao, 2014). We
207 mainly examine simulated anthropogenic aerosols from the GEOS-Chem simulation,
208 since mineral dust concentrations in winter are very small (Malm et al. 2004; Zhang et
209 al. 2013) and sea salt is not a major aerosol species in the U.S. (Malm et al. 2004).

210 The model uses the advection scheme of Lin and Rood (1996), the deep
211 convective scheme of Zhang and McFarlane (1995), the shallow convection scheme
212 of Hack (1994), the wet deposition scheme of Liu et al. (2001), and the dry deposition
213 scheme of Wesely (1989) and Wang et al. (1998). The instantaneous vertical mixing
214 in the planetary boundary layer (PBL) is accounted for by the TURBDAY mixing
215 scheme (Bey et al., 2001). The PBL occupies the lowest 3–6 vertical model layers
216 over the U.S..

217 We simulate aerosols for years of 1986–2006 driven by the GEOS-4 reanalysis
218 data. The years of 1986–2006 are chosen for chemistry-aerosol simulation because
219 these are the years that the GEOS-4 datasets are available. Global anthropogenic
220 emissions are from the Global Emissions Inventory Activity (GEIA) (Park et al. 2004;
221 Park et al. 2006; Zhu et al. 2012; Yang et al. 2015). Anthropogenic emissions over the
222 U.S. are overwritten by the U.S. EPA National Emission Inventory for 1999 (NEI99),
223 which have monthly variations in emissions of precursors including SO_2 , NO_x , and

224 NH_3 . Monthly biomass burning emissions are taken from the Global Fire Emissions
225 Database version 2 (GFED-2) (Giglio et al. 2006; van der Werf et al. 2006). During
226 the simulation of aerosols for years of 1986–2006, the global anthropogenic and
227 biomass burning emissions of aerosols and aerosol precursors are fixed at year 2005
228 levels, so that the variations in aerosol concentrations are caused by variations in
229 meteorological parameters (PNA phases) alone.

230 Natural emissions of O_3 precursors, including biogenic NMVOCs and NO_x from
231 lighting and soil, are allowed to vary over 1986–2006 following the variations in the
232 GEOS-4 meteorological parameters. Biogenic NMVOC emissions are calculated
233 using the module of Model of Emissions of Gases and Aerosols from Nature
234 (Guenther et al. 2006). Lightning NO_x emissions are described by Sauvage et al.
235 (2007) and Murray et al. (2012). Soil NO_x emissions are calculated using the
236 algorithm proposed by Yienger and Levy (1995).

237 The GEOS-Chem simulation of aerosols in the U.S. have been evaluated
238 extensively by previous studies (Park et al. 2003; Park et al. 2004; Park et al. 2005;
239 Heald et al. 2006; van Donkelaar et al. 2006; Liao et al. 2007; Heald et al. 2008; van
240 Donkelaar et al. 2008; Fu et al. 2009; Drury et al. 2010; Leibensperger et al. 2011;
241 Zhang et al. 2012). These studies have shown that the GEOS-Chem model can
242 capture the magnitudes and distributions of aerosols in the U.S..

243 **2.3 PNA index**

244 The PNA index (PNAI) is commonly used to quantify the changes in PNA phase
245 (Wallace and Gutzler 1981; Leathers et al. 1991). This study follows the definition of
246 PNAI by Leathers et al. (1991). In order to examine the monthly variations in PNA, the
247 mean seasonal cycle of geopotential height at 700 hPa is removed for the months of
248 November, December, January, February, and March (NDJFM) in the studied years.

249 Such deseasonality approach has been used in the analyses of the growth and decay
250 of PNA phase in NDJFM (Feldstein 2002), the development of NAO (Feldstein 2003),
251 the influence of NAO on precipitation in Europe (Qian et al. 2000), and the variations
252 in Madden–Julian oscillation (Wheeler and Hendon 2004). If we are concerned with
253 the PNAI during n years, the monthly PNAI in month j (j is one of the 5 months of
254 NDJFM) of year i is calculated by:

$$255 PNAI = \frac{1}{3}[-Z_{i,j}^{*'}(47.9^{\circ}N, 170^{\circ}W) + Z_{i,j}^{*'}(47.9^{\circ}N, 110^{\circ}W) - Z_{i,j}^{*'}(29.7^{\circ}N, 86.3^{\circ}W)] \quad (1)$$

256 where $Z_{i,j}^{*'} = \frac{Z_{i,j}'}{\sqrt{\frac{1}{n \times 5} \sum_{i=1}^n \sum_{j=1}^5 Z_{i,j}'^2}}$ and $Z_{i,j}' = Z_{i,j} - \frac{1}{n} \sum_{i=1}^n Z_{i,j}$. Therefore, $Z_{i,j}'$ denotes the
257 removal of seasonal cycle, and $Z_{i,j}^{*'}$ denotes the standardized anomaly of
258 geopotential height at 700 hPa in month j of year i with seasonal-cycle removed.

259 The PNAI is calculated by using both the National Center of Environmental
260 Prediction-Department of Energy Atmospheric Model Inter-comparison Project
261 Reanalysis data (NCEP-2, horizontal resolution $2.5^{\circ} \times 2.5^{\circ}$ globally,
262 <http://www.esrl.noaa.gov/psd/data/gridded/data.ncep.reanalysis2.html>) for years of
263 1986–2013 (referred to as NCEP2-PNAI) and the GEOS-4 assimilated
264 meteorological data (referred to as GEOS4-PNAI) for 1986–2006 (Fig. 2). Both series
265 of PNA index show strong monthly variations (Fig. 2), and the GEOS4-PNAI agrees
266 with NCEP2-PNAI over 1986–2006 with a high correlation coefficient of 0.99,
267 indicating that the NCEP-2 and GEOS-4 datasets are consistent in representing the
268 monthly variations of PNAI.

269 There are $n \times 5$ PNAI values for n years, since we calculate PNAI for the months of
270 NDJFM of each year. These $n \times 5$ PNAI values are classified into 3 categories for our
271 composite analyses of aerosol concentrations and meteorological parameters: the
272 positive PNA months (PNA+) that are 25% of the $n \times 5$ PNAI months with the highest

273 positive PNAI values, the negative PNA months (PNA-) that are 25% of the $n \times 5$ PNAI
274 months with the highest negative PNAI values, and the rest months that are referred
275 to as the transitional months (Fig. 2).

276

277 **3 Impacts of PNA on observed aerosol concentrations**

278 The measurements of PM_{2.5} are available over 1999–2013, in which there were 18
279 PNA+ months and 18 PNA- months as shown in Fig. 2a. Figure 3 shows the
280 differences in observed surface-layer PM_{2.5} concentrations between the PNA+ and
281 PNA- months (concentrations averaged over the 18 PNA+ months minus those
282 averaged over the 18 PNA- months). The uncertainty associated with the differences
283 in aerosol concentrations between PNA+ and PNA- months is represented by the
284 two-tail Student-t test with significance level of 90%. Among 1044 sites with PM_{2.5}
285 concentrations (Fig.1), 42% of which had statistically significant differences in PM_{2.5}
286 between PNA+ and PNA- months. Relative to the PNA- months, PM_{2.5}
287 concentrations in PNA+ months were higher in California, the contiguous Salt Lake
288 (northern Utah), and over and near the eastern Midwest. The enhancement of PM_{2.5}
289 reached 7–9 $\mu\text{g m}^{-3}$ (or 40–80%) in California, 7–9 $\mu\text{g m}^{-3}$ (80–100%) around the Salt
290 Lake, 3–5 $\mu\text{g m}^{-3}$ (40–80%) over and near the eastern Midwest. At sites in North
291 Dakota, Wisconsin, Michigan, Minnesota, Montana, Texas and Maine, the PM_{2.5}
292 concentrations were lower by up to 2 $\mu\text{g m}^{-3}$ (–10 to –20%) in PNA+ months than in
293 PNA- months. As the concentrations are averaged over all sites (including the sites
294 that pass and do not pass the t-test with 90% confidence level) in the U.S., the
295 western U.S. (west of 100°W, Fig.1), and the eastern U.S. (east of 100°W, Fig. 1),
296 PM_{2.5} concentrations were higher by 1.0 $\mu\text{g m}^{-3}$ (8.7%), 1.3 $\mu\text{g m}^{-3}$ (14.3%), and 0.8
297 $\mu\text{g m}^{-3}$ (7.2%), respectively, in the PNA+ months than in PNA- months (Table 1).

298 The measurements of speciated aerosols are available during 2000–2013, in
299 which there were 17 PNA+ and 17 PNA– months (Fig. 2b). Figure 3 also shows the
300 differences in observed surface-layer concentrations of individual aerosol species
301 between the PNA+ and PNA– months. The differences in concentrations of SO_4^{2-} ,
302 NO_3^- and NH_4^+ show statistically significant positive values at most sites. Among the
303 355, 343, and 194 sites with measurements of SO_4^{2-} , NO_3^- and NH_4^+ , 30%, 44%, and
304 39% of which pass the two-tail t-test with 90% confidence level, respectively. While
305 the absolute differences in concentrations of SO_4^{2-} , NO_3^- and NH_4^+ between PNA+
306 and PNA– were in the range of 0–1 $\mu\text{g m}^{-3}$ at most sites, the maximum differences
307 reached 1.5–2.5 $\mu\text{g m}^{-3}$ (30–50%) for SO_4^{2-} in Pennsylvania, 1.5–2.5 $\mu\text{g m}^{-3}$ (150–
308 200%) for NO_3^- in Illinois, Indiana and Ohio, and 1.5–2.5 $\mu\text{g m}^{-3}$ (50–70%) for NH_4^+ in
309 Pennsylvania. Averaged over the sites with measurements, the absolute differences
310 in concentrations of SO_4^{2-} and NO_3^- between PNA+ and PNA– months were larger in
311 the eastern U.S. than in the western U.S.. As shown in Table 1, the differences in the
312 averaged concentrations of SO_4^{2-} , NO_3^- and NH_4^+ were, respectively, 0.1 $\mu\text{g m}^{-3}$
313 (3.7%), 0.4 $\mu\text{g m}^{-3}$ (36.5%), and 0.1 $\mu\text{g m}^{-3}$ (10.5%) in the eastern U.S., 0.03 $\mu\text{g m}^{-3}$
314 (3.2%), 0.2 $\mu\text{g m}^{-3}$ (23.8%), and 0.2 $\mu\text{g m}^{-3}$ (31.6%) in the western U.S., as well as
315 0.01 $\mu\text{g m}^{-3}$ (0.5%), 0.3 $\mu\text{g m}^{-3}$ (29.1%), and 0.1 $\mu\text{g m}^{-3}$ (11.9%) in the whole of U.S..

316 With regard to carbonaceous aerosols, among the 105 and 104 sites with
317 measurements of OC and BC, 39% and 31% of which pass the two-tail t-test with 90%
318 confidence, respectively. The differences in concentrations of these two species
319 between PNA+ and PNA– months show similar geographical pattern, with positive
320 values at most sites but negative values in Michigan, New York, and the South
321 Atlantic States. The maximum differences between the PNA+ and PNA– months
322 reached 2.5–3 $\mu\text{g m}^{-3}$ (50–70%) in Kentucky for OC. Averaged over sites with

323 measurements available, the absolute differences in OC and BC concentrations
324 between the PNA+ and PNA- months were larger in the western U.S. than in the
325 eastern U.S. (Table 1). Among all aerosol species listed in Table 1, OC exhibited the
326 largest absolute differences between the PNA phases in the western U.S., because
327 OC accounts for 25–65% of PM_{2.5} in the western U.S. (Malm et al. 2004) and the OC
328 observed by EPA-AQS network, which are located in urban and suburban settings,
329 were higher than the observations by other long term networks in U.S..(Malm et al.
330 2011, Rattigan et al. 2011, Hand et al. 2012b, 2014).

331 Observations from EPA-AQS datasets indicate the large impacts of PNA phase
332 on aerosol concentrations in the U.S.. It should be noted that, in our analyses above,
333 the locations of measurements and the numbers of samples were different for
334 different aerosol species. The regional averages were also influenced by the uneven
335 distributions of observational sites in different regions. Therefore, model results from
336 the GEOS-Chem simulation will be used to further analyze the impacts of PNA on
337 aerosols in the U.S., as presented in the subsequent sections.

338 We have also calculated the correlation coefficient between PNAI and EPA-AQS
339 surface aerosol concentrations at each site for each aerosol species (PM_{2.5}, SO₄²⁻,
340 NO₃⁻, NH₄⁺, OC, or BC) (see auxiliary Fig. S2). At most sites, positive (negative)
341 correlation coefficients in Fig. S2 corresponded to the increases (decreases) in
342 aerosol concentration in PNA+ months relative to PNA- months shown in Fig. 3.
343 Positive correlation coefficients were large over California, the contiguous Salt Lake,
344 and over and near the eastern Midwest. The fraction of temporal variability explained
345 by PNA (FTVEP) can be quantified approximately by the square of correlation
346 coefficient (<http://mathbits.com/MathBits/TISection/Statistics2/correlation.htm>) (see
347 auxiliary Fig. S3). For all aerosol species, FTVEP were about 5–15% at most sites.

348 For $\text{PM}_{2.5}$, SO_4^{2-} , NO_3^- and NH_4^+ aerosols, FTVEP values were high over and near the
349 eastern Midwest, where the PNA teleconnection explained up to 50%, 40%, 50%,
350 and 40% of temporal variances of surface concentrations of these aerosol species,
351 respectively.

352

353 **4 Impacts of PNA on simulated aerosol concentrations**

354 **4.1 Simulated aerosol concentrations and model evaluation**

355 Fig. 4a shows the simulated surface-layer concentrations of $\text{PM}_{2.5}$ (the sum of SO_4^{2-} ,
356 NO_3^- , NH_4^+ , BC, and OC) and each aerosol species averaged over NDJFM of 1999–
357 2006. These years are selected because they are the common years of model results
358 and EPA-AQS observation datasets. The simulated $\text{PM}_{2.5}$ concentrations were higher
359 in the eastern U.S. than in the western U.S.. The maximum surface $\text{PM}_{2.5}$
360 concentrations reached $14\text{--}16 \mu\text{g m}^{-3}$ in Ohio and Pennsylvania. $\text{PM}_{2.5}$
361 concentrations in the western U.S. were generally less than $4 \mu\text{g m}^{-3}$, except for
362 California where $\text{PM}_{2.5}$ concentrations were $2\text{--}6 \mu\text{g m}^{-3}$. The distribution of SO_4^{2-} was
363 similar to that of $\text{PM}_{2.5}$, with higher concentrations in the eastern U.S. ($1\text{--}8 \mu\text{g m}^{-3}$)
364 than in the western U.S. ($0\text{--}3 \mu\text{g m}^{-3}$) due to the coal-fired power plants in the
365 Midwest (Park et al. 2006). The concentrations of NO_3^- and NH_4^+ were the highest
366 over and near the eastern Midwest, with values of $3\text{--}4$ and $2\text{--}3 \mu\text{g m}^{-3}$, respectively.
367 The maximum OC concentrations were simulated to be $2\text{--}3 \mu\text{g m}^{-3}$ in two regions,
368 from Ohio to Massachusetts and from Alabama to South Carolina. The simulated BC
369 concentrations in the U.S. were $0\text{--}1 \mu\text{g m}^{-3}$, except for the contiguous New York
370 where BC concentrations reached $1\text{--}2 \mu\text{g m}^{-3}$. The magnitudes and geographic
371 distributions of SO_4^{2-} , NO_3^- , NH_4^+ concentrations simulated in our work are similar to

372 those simulated by Park et al. (2006) and Pye et al. (2009), and our simulated OC
373 and BC were similar to those reported by Park et al. (2003).

374 Figure 4b presents the scatter plots of the simulated concentrations versus the
375 EPA-AQS observations. The simulated PM_{2.5} concentrations had normalized mean
376 bias ($NMB = \sum_{m=1}^M (S_m - O_m) / \sum_{m=1}^M (O_m) \times 100\%$, where S_m and O_m are the
377 simulated and observed aerosol concentrations in month m , respectively. M is the
378 total number of winter months examined) of -30% over the U.S., and the correlation
379 coefficient between simulated and observed PM_{2.5} concentrations was 0.57. The
380 simulated wintertime SO₄²⁻, NO₃⁻ and NH₄⁺ had NMBs of 37%, 4%, and 26%,
381 respectively. Similar bias in simulated SO₄²⁻ in December-January-February (DJF)
382 was reported Park et al. (2006), as the GEOS-Chem model results were compared
383 with observations from the Clean Air Status and Trends Network (CASTNET). The
384 high bias in our simulated NH₄⁺ was associated with the overestimation of SO₄²⁻. Our
385 model underestimates PM_{2.5}, SO₄²⁻, NO₃⁻, NH₄⁺, OC and BC in the western U.S. (Fig.
386 4b), which can be explained in part by the relatively high aerosol concentrations
387 observed for this region from the EPA-AQS. Hand et al. (2014) compared the
388 observed concentrations of aerosols from the EPA-AQS with those from the
389 Interagency Monitoring of Protected Visual Environments (IMPROVE) for 2008–2011,
390 and showed that the ratios of wintertime aerosol concentrations of ammonium sulfate,
391 ammonium nitrate, OC, and BC from the EPA-AQS to those from the IMPROVE were,
392 respectively, 2.3, 7.7, 8.3, and 13.1, as the concentrations were averaged over the
393 western U.S.. Liu et al. (2004) also attributed the high EPA-AQS concentrations in the
394 western U.S. to the relative sparse urban sites that were heavily influenced by strong
395 local sources such as automobiles and wood fires. The low model biases in the
396 western U.S. may also be caused by the biases in emissions in the model.

397 Since this study is dedicated to examine the influence of PNA phase on the
398 month-to-month variations of aerosol concentrations during wintertime, Fig. 4c
399 compares, for each aerosol species, the deviation from the mean (DM) of observed
400 concentration with that of simulated concentration for each winter month. The DM is
401 defined as $DM_m = \left(C_m - \frac{1}{M} \sum_{m=1}^M C_m \right) / \frac{1}{M} \sum_{m=1}^M C_m$, where C_m is the simulated
402 average aerosol concentration over the U.S. in month m , and M is the number of
403 winter months examined (we consider the months of NDJFM over 1999–2006 for
404 $PM_{2.5}$, and the months of NDJFM over 2000–2006 for SO_4^{2-} , NO_3^- , NH_4^+ , BC, and OC).
405 The model captures fairly well the peaks and troughs of DMs for $PM_{2.5}$, SO_4^{2-} , NO_3^-
406 and NH_4^+ , with correlation coefficients of 0.61, 0.45, 0.33, and 0.65, respectively. The
407 model does not capture well the monthly variations of DMs of concentrations of OC
408 and BC, because both anthropogenic and biomass burning emissions are fixed at
409 year 2005 levels during our simulation over 1986–2006 to isolate the impacts of
410 variations in meteorological parameters (PNA phases) on aerosols (see Sect. 2.2).
411 Since the biomass burning emissions, which contribute largely to carbonaceous
412 aerosols, have large interannual variations (Duncan et al., 2003; Generoso et al.,
413 2003; van der Werf et al., 2006), we also show in Fig. 4c the time series of DMs of
414 biomass burning emissions of OC and BC by using biomass burning emissions in
415 NDJFM over 2000–2006 from GFED v2. The correlation coefficients between
416 biomass burning emissions and observed concentrations of OC and BC were 0.36
417 and 0.34, respectively, indicating that the observed variations in OC and BC were
418 influenced by monthly and interannual variations in biomass burning. We have also
419 calculated the temporal correlation coefficient between EPA-AQS observations and
420 GEOS-Chem model results at each site for each aerosol species (auxiliary Fig. S4).
421 The temporal correlations were statistically significant for $PM_{2.5}$, SO_4^{2-} , NO_3^- , NH_4^+ at

422 most sites in the U.S., especially over and near the eastern Midwest where largest
423 increases in aerosol concentrations were identified in the PNA+ months relative to the
424 PNA- months.

425

426 **4.2 Impact of PNA on simulated surface-layer aerosol concentrations**

427 We have performed the GEOS-Chem simulation for years 1986–2006, in which there
428 were 35 PNA+ and 35 PNA- months (Fig. 2). Figure 5a shows the concentrations of
429 PM_{2.5} and each aerosols species (SO₄²⁻, NO₃⁻, NH₄⁺, BC, and OC) averaged over the
430 PNA- months of 1986–2006. The magnitudes and geographic distributions of aerosol
431 concentrations in PNA- months were similar to those averaged over NDJFM of years
432 1999–2006 in Fig. 4.

433 The simulated absolute and relative differences in aerosol concentrations
434 between PNA+ and PNA- months are shown in Figs. 5b and 5c. The PM_{2.5}
435 concentrations over the U.S. are simulated to increase in PNA+ relative to PNA-
436 months. The maximum enhancement in PM_{2.5} concentrations in PNA+ months was
437 1.8–2.4 $\mu\text{g m}^{-3}$ (20–40%), located in the juncture of Tennessee and Arkansas. Note
438 that the pattern of simulated differences in PM_{2.5} between PNA+ and PNA-months
439 was similar to that of observations (Fig. 3), except that the simulated differences were
440 not large in California, mainly due to the underestimation of OC in California as
441 compared to EPA-AQS data (Fig. 4b). The simulated PM_{2.5} concentrations were
442 higher by 0.6 $\mu\text{g m}^{-3}$ (12.2%), 0.3 $\mu\text{g m}^{-3}$ (14.0%), and 0.9 $\mu\text{g m}^{-3}$ (10.8%) over the
443 whole of, western, and eastern U.S., respectively, in the PNA+ months than in PNA-
444 months (Table 2). The simulated relative difference in PM_{2.5} was close to that from
445 observations (Table 1) in the western U.S., but the simulated relative differences in
446 PM_{2.5} were larger than those from observations in the eastern and whole of U.S..

447 Fig. 5 also shows the differences in simulated surface-layer concentrations of
448 SO_4^{2-} , NO_3^- and NH_4^+ between the PNA+ and the PNA- months. The differences in
449 concentrations of SO_4^{2-} were larger in the western than in the eastern U.S., with
450 maximum enhancements of $0.4\text{--}0.8 \mu\text{g m}^{-3}$ (30–50%) over the West North Central
451 States (South Dakota, Nebraska, Minnesota, Iowa, and Missouri). The differences in
452 concentrations of NO_3^- and NH_4^+ between PNA+ and PNA- months had similar
453 geographical patterns, with increases in concentrations in a large fraction of the
454 eastern U.S. and over a belt region along the Rocky Mountain in the western U.S..
455 The increases in concentrations of NO_3^- and NH_4^+ over the eastern U.S. in PNA+
456 months relative to PNA- months agreed very well with those seen in observations
457 (Fig. 3). The differences in concentrations of SO_4^{2-} , NO_3^- and NH_4^+ in the eastern U.S.
458 between the PNA+ and PNA- months were $0.2 \mu\text{g m}^{-3}$ (4.0%), $0.4 \mu\text{g m}^{-3}$ (33.5%),
459 and $0.2 \mu\text{g m}^{-3}$ (13.2%), respectively (Table 2).

460 The differences in concentrations of OC and BC between PNA+ and PNA-
461 months had similar geographical patterns, with large increases in concentrations over
462 and near the eastern Midwest and the region from northwestern U.S. to Texas. The
463 maximum differences reached $0.2\text{--}0.4 \mu\text{g m}^{-3}$ (10–20%) and $0.1\text{--}0.2 \mu\text{g m}^{-3}$ (20–
464 30%) in Illinois, Indiana and Ohio for OC and BC, respectively. The magnitudes of the
465 differences in OC and BC were statistically significant but were smaller than the
466 observations (Tables 1 and 2). The absolute differences in OC were less than $0.1 \mu\text{g}$
467 m^{-3} in the western, eastern and whole of U.S due to the underestimation of OC in the
468 simulation.

469 In summary, model results agreed with observations in that the concentrations of
470 all aerosol species of SO_4^{2-} , NO_3^- , NH_4^+ , BC, and OC averaged over the U.S. were
471 higher in PNA+ months than in PNA- months. Relative to the PNA- months, the

472 average concentration of $PM_{2.5}$ over the U.S. was higher by about 8.7% (12.2%)
473 based on observed (simulated) concentrations. Furthermore, simulated geographical
474 patterns of the differences in $PM_{2.5}$ and each aerosol species between the PNA+ and
475 PNA- months were similar to those seen in observations, with the largest increases in
476 aerosol concentrations in PNA+ months over and near the eastern Midwest.

477

478 **5 Mechanisms for the impact of PNA on aerosol concentrations**

479 **5.1 The impact of PNA on transboundary transport of aerosols**

480 The transboundary transport of pollutants to and from the U.S. depends largely on
481 winds in the free troposphere (Liang et al. 2004). Figure 6 shows the horizontal winds
482 at 700 hPa averaged over the winter months of NDJFM of 1986–2006 and the
483 corresponding differences between PNA+ and PNA- months on the basis of the
484 GEOS-4 meteorological fields. Strong westerlies prevailed over the U.S. in wintertime
485 (Fig. 6a). Relative to the PNA- months, anomalous northeasterlies occurred over a
486 large fraction of U.S. in the PNA+ months. Anomalous anti-cyclonic circulation
487 occurred near the northwestern U.S. and anomalous cyclonic circulation occurred
488 near the southeastern U.S. (Fig. 6b), corresponding to the large positive and negative
489 differences in geopotential height in these two regions (see auxiliary Fig. S1),
490 respectively.

491 We also calculate mass fluxes of $PM_{2.5}$ at the four lateral boundaries (from the
492 surface to 100 hPa) of the U. S. for different PNA phases (Table 3). The domain of the
493 box to represent the U. S. is (75–120°W, 28–49°N), as shown in Fig. 6b. For $PM_{2.5}$ in
494 wintertime, the inflow from the west boundary and the outflow from the east boundary
495 had the largest absolute values (Table 3). Relative to the PNA- months, the inflow
496 from the west boundary and the outflow from the east boundary in PNA+ months

497 exhibited reductions of 17.1 kg s^{-1} and 12.8 kg s^{-1} , respectively (Table 3). The inflow
498 flux from south boundary decreased by 19.3 kg s^{-1} , and the inflow flux from north
499 boundary increased by 37.6 kg s^{-1} , leading to a net increase of inflow flux of 14.0 kg
500 s^{-1} in PNA+ months. Therefore, the transboundary transport has an overall effect of
501 increasing $\text{PM}_{2.5}$ aerosols in the U.S. in PNA+ months relative to PNA- months. The
502 relative change in net flux was $11.4\% ((\text{PNA+} \text{ minus } \text{PNA-}) \times 100\% / \text{PNA-})$ (Table 3),
503 coinciding well with the enhancement of 12.2% in surface-layer $\text{PM}_{2.5}$ concentration
504 averaged over the U.S. (Table 2). Note that because the GEOS-Chem model
505 underestimates $\text{PM}_{2.5}$ concentrations in the western U.S. (Fig. 4b), the net outflow
506 flux from the selected box might have been underestimated, but this should not
507 compromise our conclusions about the differences in net flux between PNA+ and
508 PNA- phases.

509 **5.2 Local changes in aerosol concentrations caused by the PNA**

510 The PNA pattern is also associated with variations in meteorological variables such
511 as temperature (Leathers et al. 1991; Konrad II 1998; Notaro et al. 2006; Knight et al.
512 2008; Liu et al. 2015; Ning and Bradley 2014, 2015), precipitation (Leathers et al.
513 1991; Henderson and Robinson 1994; Coleman and Rogers 2003; Notaro et al. 2006;
514 Archambault et al. 2008; Myoung and Deng 2009; Ning and Bradley 2014, 2015;
515 Wise et al. 2015) and humidity (Sheridan 2003; Coleman and Rogers 2003; Knight et
516 al. 2008) in U.S., which are expected to influence aerosol concentrations within the
517 U.S. through chemical reactions, transport, and deposition.

518 Figure 7 shows the composite differences, between the PNA+ and PNA- months,
519 in surface air temperature (T), precipitation rate (PR), relative humidity (RH), surface
520 wind speed (WS), and planetary boundary layer height (PBLH), based on the
521 reanalyzed GEOS-4 datasets. Relative to PNA- months, temperatures were higher

522 by 1–3 K over the northwestern U.S. and lower by 1–4 K in the southeastern region.
523 Such geographic distributions of temperature anomalies were attributed to the
524 maritime warm air in the northwestern U.S. accompanied by the enhanced
525 tropospheric geopotential height in North America (Leathers et al. 1991; Sheridan
526 2003; Liu et al. 2015; Ning and Bradley 2015) (see also auxiliary Fig. 1d) and the
527 more frequent outbreak of cold air in southeastern U.S. accompanied by the
528 depressed geopotential height (Konrad II 1998; Liu et al. 2015) (see also auxiliary Fig.
529 1d). The differences in precipitation between PNA+ and PNA– months reached -1.6
530 to -2.4 mm day^{-1} (-32 to -48%) over and near the eastern Midwest, -2.4 to -3.2 mm
531 day^{-1} (-48 to -64%) in the northwestern U.S., and 1.6 – 2.4 mm day^{-1} (16 – 32%) in the
532 southeastern U.S.. These effects of PNA on precipitation were similar to those
533 obtained from wintertime station data by Leathers et al. (1991), Coleman and Rogers
534 (2003) and Wise et al. (2015). With respect to RH, the values in the eastern U.S. were
535 generally lower in the PNA+ months than in PNA– months, as a result of the reduced
536 moisture flux from the Gulf of Mexico to the eastern U.S. (Coleman and Rogers 2003),
537 where RH showed maximum reduction of -3 to -9% . The enhancement of RH of up
538 to 6 – 9% in Texas, Oklahoma and New Mexico was due to the anomalous easterlies
539 over the south central U.S. (see Fig. 7), which diminished the influence of the dry air
540 from the deserts of the southwestern U.S. and northwestern Mexico in PNA+ months
541 (Sheridan 2003). The surface WS showed reductions in PNA+ months relative to
542 PNA– months in two regions, one was the belt region along the Rocky Mountain from
543 the northwestern U.S. to Texas (with the maximum reductions of 1.5 – 2.0 m s^{-1} (48 –
544 64%)) and the other region, with a northeast-southwest orientation, was from Ohio to
545 Louisiana (with maximum reductions of 0.5 – 1.0 m s^{-1} (16 – 32%)). The differences in
546 PBLH between PNA+ and PNA– months were statistically significant in the western

547 U.S. and in the belt region from the northeastern U.S. to the eastern Midwest, with the
548 maximum reductions in PBLH of 75–100 m (15–20%) and 75–100 m (10–15%),
549 respectively.

550 The comparisons of Fig. 5b with Fig. 7a indicate that the increases in $\text{PM}_{2.5}$
551 concentrations over and near the eastern Midwest in PNA+ months relative to the
552 PNA– months can be attributed to the decreases in PR, WS and PBLH in these
553 locations, since the changes in these three variables depressed wet deposition, local
554 horizontal diffusion, and vertical diffusion of the surface aerosols, respectively. The
555 increases in SO_4^{2-} in the western U.S. (Fig. 5b) corresponded to the decreases in PR
556 and PBLH and the increased temperature. The increases in temperature enhance
557 chemical reaction rates (Aw and Kleeman 2003; Dawson et al. 2007; Kleeman 2008).
558 In the eastern U.S., although PR, WS, and PBLH decreased over and near the
559 eastern Midwest, the cooling in the eastern U.S. might have offset the effects by PR,
560 WS, and PBLH, inducing practically no changes in SO_4^{2-} in the eastern U.S.. The
561 large increases in NO_3^- and NH_4^+ in the southeastern U.S. (Fig. 5b) can be attributed
562 to the reduced surface temperature, which was favorable to the wintertime formation
563 of NO_3^- and NH_4^+ (Dawson et al. 2007). The differences in concentrations of OC and
564 BC between PNA+ and PNA– corresponded well with the reduced PR, WS and
565 PBLH.

566 In order to quantify the impacts of anomalies in meteorological parameters driven
567 by PNA on concentrations of different aerosol species, the pattern correlation
568 coefficients (PCC, http://glossary.ametsoc.org/wiki/Pattern_correlation) are
569 calculated and shown in Table 4. These pattern correlation coefficients denote the
570 relationship between the geographical distribution of anomalies of each of T, PR, RH,
571 WS, and PBLH (Fig. 7b) and that of the differences in concentration of each aerosol

572 species between PNA+ and PNA- months (Fig. 5c). As shown in Table 4, over the
573 whole U.S., the PNA influenced SO_4^{2-} concentrations mainly through changes PBLH,
574 PR, and T, with the highest PCC values of -0.43, -0.38, and +0.26, respectively. For
575 NO_3^- , the PNA-induced variations in temperature had a strong negative correlation
576 (PCC=-0.59) with the PNA-induced differences in concentrations, indicating that
577 surface temperature was the dominant meteorological factor to influence NO_3^-
578 concentrations. For NH_4^+ , OC, and BC, PR and PBLH were the two variables that had
579 the largest negative PCC values (Table 4). Note that PBLH was the most important
580 meteorological variable for SO_4^{2-} , NH_4^+ , OC and BC, which contributed to the high
581 correlation between PBLH and $\text{PM}_{2.5}$.

582

583 **6 Conclusions**

584 This study examined the impacts of monthly variation in the PNA phase on wintertime
585 surface-layer aerosol concentrations in the U.S. by the analyses of EPA-AQS
586 observations over 1999–2013 and model results for 1986–2006 from the global
587 chemical transport model GEOS-Chem.

588 The composite analyses on the EPA-AQS observations showed that the average
589 concentrations of $\text{PM}_{2.5}$, SO_4^{2-} , NO_3^- , NH_4^+ , OC, and BC aerosols over the U.S. were
590 higher in the PNA+ months than in the PNA- months by $1.0 \mu\text{g m}^{-3}$ (8.7%), $0.01 \mu\text{g}$
591 m^{-3} (0.5%), $0.3 \mu\text{g m}^{-3}$ (29.1%), $0.1 \mu\text{g m}^{-3}$ (11.9%), $0.6 \mu\text{g m}^{-3}$ (13.5%), and $0.2 \mu\text{g}$
592 m^{-3} (27.8%), respectively. Regionally, the observed $\text{PM}_{2.5}$ concentrations were higher
593 by $3\text{--}5 \mu\text{g m}^{-3}$ (40–80%) over the Midwest, and by $7\text{--}9 \mu\text{g m}^{-3}$ (80–100%) around the
594 Salt Lake, as the concentrations in PNA+ months were compared to those in PNA-
595 months.

596 The impacts of PNA phase on aerosol concentrations were reproduced fairly well
597 by the GEOS-Chem simulation with fixed anthropogenic emissions (the variations of
598 aerosols concentrations were driven by changes in meteorological fields alone).
599 Concentrations of SO_4^{2-} , NO_3^- , NH_4^+ , BC, and OC averaged over the U.S. were
600 simulated to be higher in the PNA+ months than in PNA- months. The average
601 concentration of $\text{PM}_{2.5}$ over the U.S. was simulated to be 12.2% higher in the PNA+
602 months relative to the PNA- months, in close agreement with the observations.
603 Simulated geographical patterns of the differences in $\text{PM}_{2.5}$ and each aerosol species
604 between the PNA+ and PNA- months were similar to those seen in observations. The
605 largest increases in aerosol concentrations in the PNA+ months were simulated to be
606 over and near the eastern Midwest, but the model results showed small PNA-induced
607 changes in aerosol concentrations in the western U.S..

608 The mechanisms for the impacts of PNA on aerosol concentrations were
609 examined. The transboundary transport was found to have an overall effect of
610 increasing $\text{PM}_{2.5}$ aerosols in the U.S. in the PNA+ months relative to PNA- months.
611 Compared to the PNA- months, anomalous northeasterlies occurred over a large
612 fraction of U.S., which led to a net increase in inflow flux of $\text{PM}_{2.5}$ of 14.0 Kg s^{-1} in
613 PNA+ months. Regionally within the U.S., the PNA influenced aerosol concentrations
614 through changes in precipitation rate (PR), planetary boundary layer height (PBLH),
615 surface wind speed (WS), surface air temperature (T) and relative humidity (RH), as
616 represented by the pattern correlation coefficients (PCCs). The PNA influenced SO_4^{2-}
617 concentration mainly through changes PBLH, PR, and T, with the highest PCC values
618 of -0.43 , -0.38 , and $+0.26$, respectively. For NO_3^- , the PNA-induced variations in
619 temperature had a strong negative correlation (PCC= -0.59) with the PNA-induced
620 differences in concentrations. For NH_4^+ , OC, and BC, PR and PBLH were the two

621 variables that had the largest negative PCC values. Because PBLH was the most
622 important meteorological variable for SO_4^{2-} , NH_4^+ , OC and BC, which led to a high
623 correlation between PBLH and $\text{PM}_{2.5}$.

624 Conclusions from this study have important implications for air quality in the U.S..
625 Leathers and Palechi (1992) showed that the PNAI were generally low in 1947–1957
626 but consistently high in 1958–1987. The PNAI during 1948–2010 exhibited an
627 increasing trend for positive phases and a decreasing trend for negative phases (Liu
628 et al. 2015; Ning et al. 2015; http://research.jisao.washington.edu/data_sets/pna/#djf),
629 indicating that wintertime particulate matter pollution in most areas of U.S.
630 deteriorated due to variations in PNA phase alone. Climate models projected that
631 positive PNA phases would increase in the future because of the global warming
632 (Kachi and Nitta, 1997; Müller and Roeckner 2008; Zhou 2014). Therefore, the trend
633 in PNA pattern underlies the necessity of strict emission reduction strategies for
634 greenhouse gases, aerosols, and aerosol precursors.

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1029 **Table 1.** The absolute ($\mu\text{g m}^{-3}$) and relative (%) differences in observed aerosol
 1030 concentrations between the PNA+ and PNA- months (PNA+ minus PNA-). The
 1031 observed concentrations are averaged over all the sites in the whole of U.S., in the
 1032 western U.S., or in the eastern U.S.. See Fig 1 for locations of the sites. The
 1033 measurements are from the EPA-AQS data. The ** and * indicate the differences that
 1034 have passed the two-tail student-t test with 95% and 90% significance levels,
 1035 respectively.

	Whole U.S.	Western U.S.	Eastern U.S.
PM _{2.5}	1.0 (8.7%)**	1.3 (14.3%)**	0.8 (7.2%)**
SO ₄ ²⁻	0.01 (0.5%)	0.03 (3.2%)	0.1 (3.7%)
NO ₃ ⁻	0.3 (29.1%)**	0.2 (23.8%)**	0.4 (36.5%)**
NH ₄ ⁺	0.1 (11.9%)*	0.2 (31.6%)**	0.1 (10.5%)
OC	0.6 (13.5%)**	0.9 (17.7%)**	0.3 (8.0%)**
BC	0.2 (27.8%)**	0.2 (25.0%)**	0.1 (25.2%)**

1036

1037 **Table 2.** The absolute ($\mu\text{g m}^{-3}$) and relative (%) differences in simulated aerosol
 1038 concentrations between the PNA+ and PNA- months (PNA+ minus PNA-). The
 1039 simulated concentrations are averaged over the whole of U.S., the western U.S.
 1040 (west of 100°W), or the eastern U.S. (east of 100°W). The concentrations are from
 1041 the GEOS-Chem simulation for 1986–2006. The ** and * indicate the differences that
 1042 have passed the two-tail student-t test with 95% and 90% significance levels,
 1043 respectively.

	Whole U.S.	Western U.S.	Eastern U.S.
PM _{2.5}	0.6 (12.2%)**	0.3 (14.0%)**	0.9 (10.8%)**
SO ₄ ²⁻	0.2 (7.1%)	0.1 (13.5%) **	0.2 (4.0%)
NO ₃ ⁻	0.2 (30.3%) **	0.1 (28.5%) **	0.4 (33.5%)**
NH ₄ ⁺	0.2 (14.4%)**	0.1 (15.4%)**	0.2 (13.2%)**
OC	0.05 (6.5%)**	0.03 (8.6%)**	0.08 (5.9%)**
BC	0.03 (10.2%)**	0.01 (8.6%)**	0.05 (11.0%)**

1044

1045 **Table 3.** The composite analyses of horizontal mass fluxes (kg s^{-1}) of $\text{PM}_{2.5}$ for the
 1046 selected box of (75–120°W, 28–49°N, from the surface to 100 hPa) in the PNA+ and
 1047 PNA– months of 1986–2006. The positive values at the four boundaries indicate
 1048 eastward or northward transport, and negative values indicate westward or
 1049 southward transport. The positive (negative) value of net flux indicates the net gain
 1050 (loss) of $\text{PM}_{2.5}$ in the selected box. All the fluxes are from the GEOS-Chem simulation.

	Boundaries and total	Mass Flux
PNA+	West	89.7
	East	259.3
	South	24.4
	North	−36.2
	Net flux	−109.0
PNA–	West	106.8
	East	272.1
	South	43.7
	North	1.4
	Net flux	−123.0
Diff. (PNA+ minus PNA–)	West	−17.1
	East	−12.8
	South	−19.3
	North	−37.6
	Net flux	14.0

1051

1052 **Table 4.** The pattern correlation coefficients between the composite differences in
 1053 aerosol concentrations (Fig. 5c) and the corresponding composite differences in
 1054 meteorological parameters (Fig. 7b). The * denotes the correlations that have passed
 1055 the two-tail t-test with 95% confidence level.

	PM _{2.5}	SO ₄ ²⁻	NO ₃ ⁻	NH ₄ ⁺	OC	BC
T	-0.13	0.26*	-0.59*	-0.22*	0.07	-0.16
PR	-0.44*	-0.38*	0.04	-0.42*	-0.63*	-0.50*
RH	-0.08	-0.05	-0.02	0.12	-0.32*	-0.36*
WS	-0.27*	-0.1	-0.22*	-0.27*	-0.28*	-0.24*
PBLH	-0.61*	-0.43*	-0.32*	-0.61*	-0.60*	-0.55*

1056

1057 **Figure Captions**
1058

1059 **Fig. 1.** The locations of EPA-AQS sites with measurements that meet the criteria
1060 described in Sect. 2.1 in the text. $\text{PM}_{2.5}$ measurements are available for years
1061 of 1999–2013 (sites are marked by black dots) and speciated aerosol (SO_4^{2-} ,
1062 NO_3^- , NH_4^+ , BC, and OC) concentrations are available over years of
1063 2000–2013 (sites are marked by red diamonds). The grey solid line defines the
1064 western United States (west of 100°W) and eastern United States (east of
1065 100°W).
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1067 **Fig. 2.** (a) PNAI for years of 1986–2013 calculated using the NCEP-2 data
1068 (NCEP2-PNAI), with the PNA+ and PNA– months during 1999–2013 indicated.
1069 (b) PNAI for years of 1986–2013 calculated using the NCEP-2 data, with the
1070 PNA+ and PNA– months during 2000–2013 indicated. (c) PNAI for years of
1071 1986–2006 calculated using the GEOS-4 data (GEOS4-PNAI), with the PNA+
1072 and PNA– months over 1986–2006 indicated. Red circles are PNA+ months
1073 and blue circles PNA– months.
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1075 **Fig. 3.** The absolute ($\mu\text{g m}^{-3}$, left column) and relative differences (%), right column) in
1076 observed monthly mean aerosol concentrations between PNA+ and PNA–
1077 months (PNA+ minus PNA–). The measurements of $\text{PM}_{2.5}$ were carried out
1078 over 1999–2013, in which there were 18 PNA+ months and 18 PNA– months
1079 as shown in Fig. 2a. The measurements of speciated aerosols were taken
1080 during 2000–2013, in which there were 17 PNA+ and 17 PNA– months (Fig.
1081 2b). The sites with black dots were the differences that passed the two-tail
1082 t-test with 90% confidence level.
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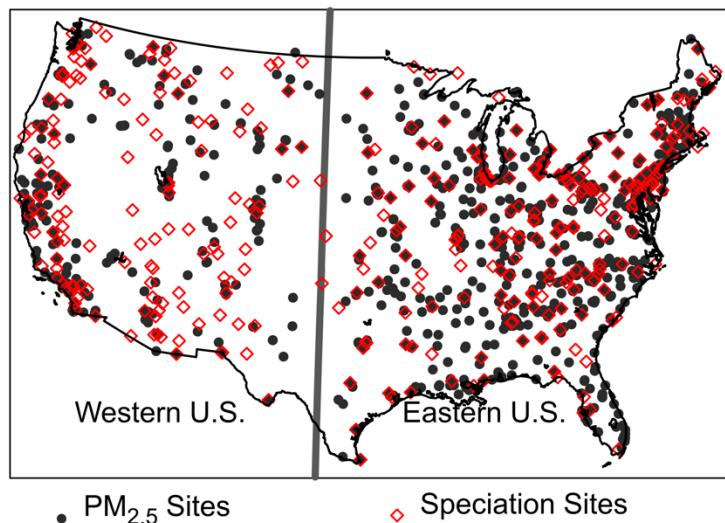
1084 **Fig. 4.** (a) Simulated surface-layer concentrations ($\mu\text{g m}^{-3}$) of $\text{PM}_{2.5}$ (the sum of SO_4^{2-} ,
1085 NO_3^- , NH_4^+ , BC, and OC) and each aerosol species averaged over NDJFM of
1086 1999–2006. (b) Scatter plots of the simulated concentrations ($\mu\text{g m}^{-3}$, vertical
1087 axis) versus the EPA-AQS observations ($\mu\text{g m}^{-3}$, horizontal axis). Also shown
1088 are the $y=x$ line (black dash line), linear fit for whole U.S. (black line), linear fit
1089 for western U.S. (blue line), and linear fit for eastern U.S. (red line). The blue
1090 and red dots represent sites in the western and eastern U.S., respectively. (c)
1091 Comparisons of the deviation from the mean (DM) of observed concentration
1092 (black line) with that of simulated concentration (red line) in each winter month
1093 for each aerosol species, left axis. Also shown in the panel for OC (BC) the
1094 monthly variation in DM of biomass burning emission of OC (BC), blue line,
1095 right axis.
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1097 **Fig. 5.** (a) Simulated concentrations ($\mu\text{g m}^{-3}$) of $\text{PM}_{2.5}$ and each aerosols species
1098 averaged over the PNA– months of 1986–2006. (b) The absolute differences
1099 ($\mu\text{g m}^{-3}$) in simulated aerosol concentrations between PNA+ and PNA– months
1100 (PNA+ minus PNA–). (c) The relative differences (%) in simulated aerosol
1101 concentrations between PNA+ and PNA– months. The white spaces in (C)
1102 indicate the areas that did not pass the two-tail student-t test with 90%
1103 significance level. The seasonal cycles of simulated aerosol concentrations
1104 were removed.
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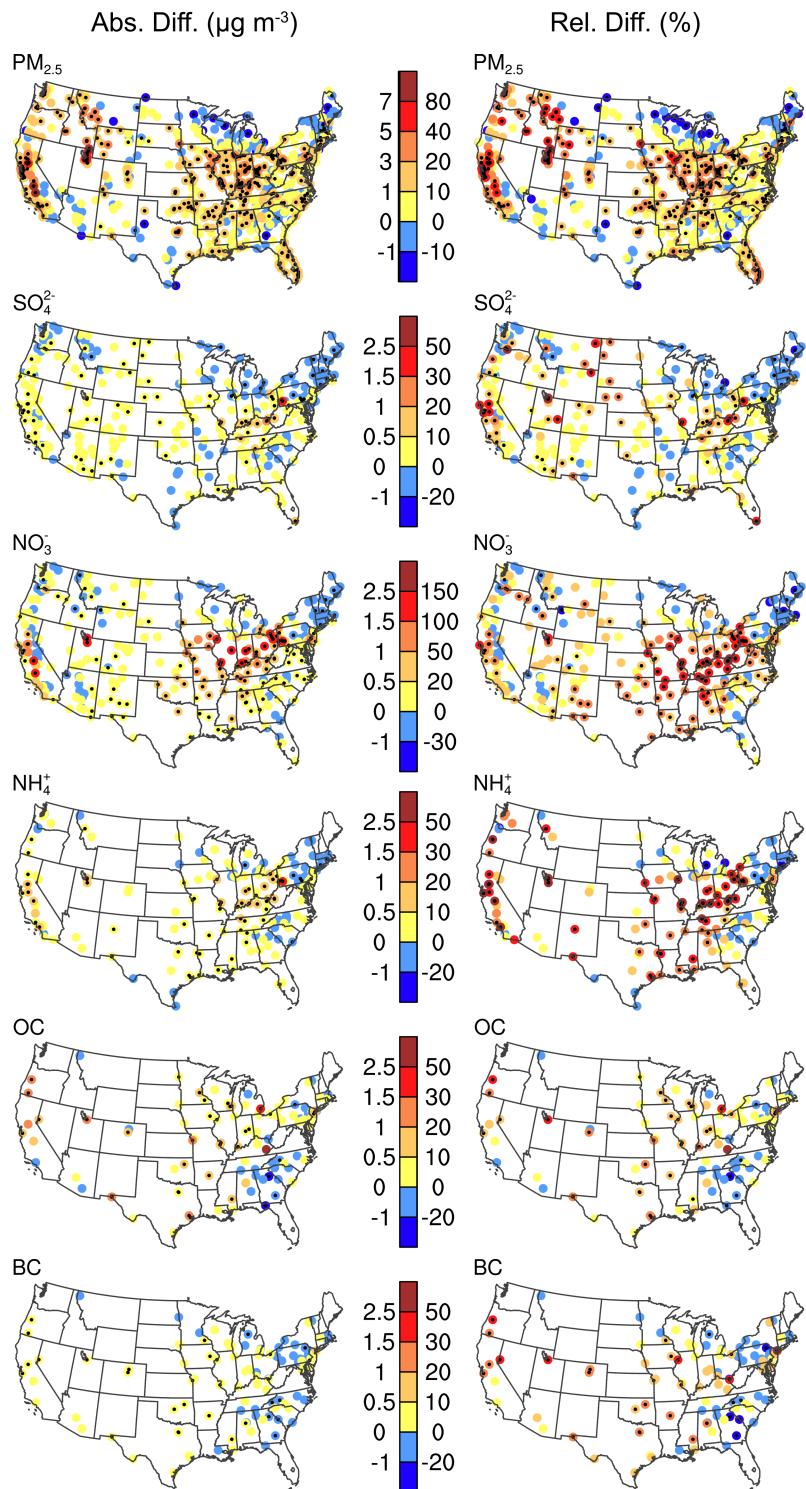
1106 **Fig. 6.** (a) Horizontal winds at 700 hPa averaged over the winter months of NDJFM of
1107 1986–2006, and (b) the corresponding differences between the PNA+ and
1108 PNA– months. Datasets are from the assimilated GEOS-4 meteorological
1109 fields. Also shown in (b) is the domain of (75–120°W, 28–49°N) for which
1110 transboundary mass fluxes of PM_{2.5} are calculated.

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1112 **Fig. 7.** (a) The absolute and (b) relative differences in meteorological parameters
1113 between PNA+ and PNA– months. Datasets are from the assimilated GEOS-4
1114 meteorological fields. The white spaces in indicate the areas that did not pass
1115 the two-tail student-t test with 90% significance level. The seasonal cycles of
1116 meteorological variables were removed, similar to the treatment for
1117 observations in Fig. 3.

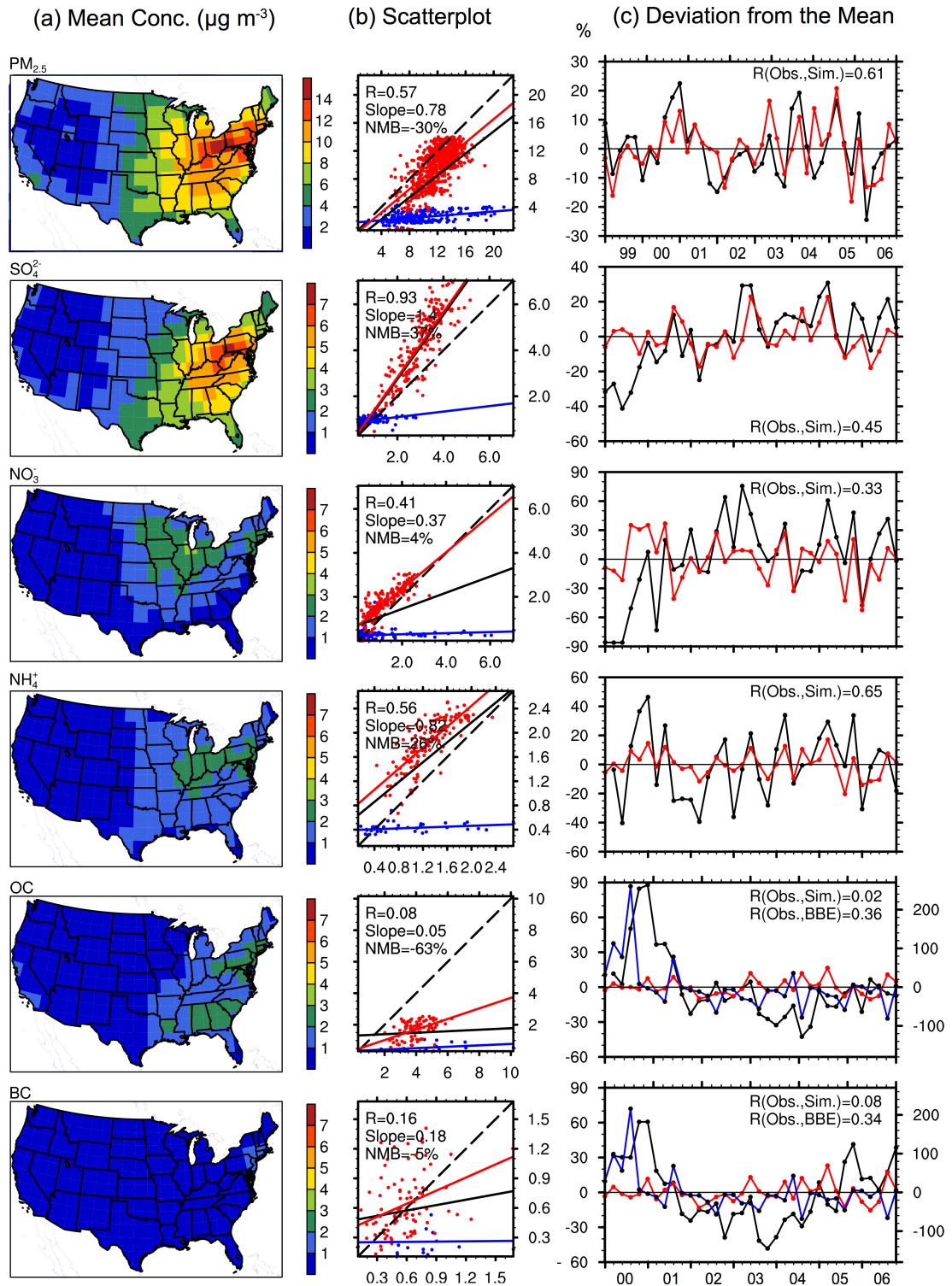


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 1120 **Fig. 1.** The locations of EPA-AQS sites with measurements that meet the criteria
 1121 described in Sect. 2.1 in the text. PM_{2.5} measurements are available for years of
 1122 1999–2013 (sites are marked by black dots) and speciated aerosol (SO₄²⁻, NO₃⁻,
 1123 NH₄⁺, BC, and OC) concentrations are available over years of 2000–2013 (sites are
 1124 marked by red diamonds). The grey solid line defines the western United States (west
 1125 of 100°W) and eastern United States (east of 100°W).



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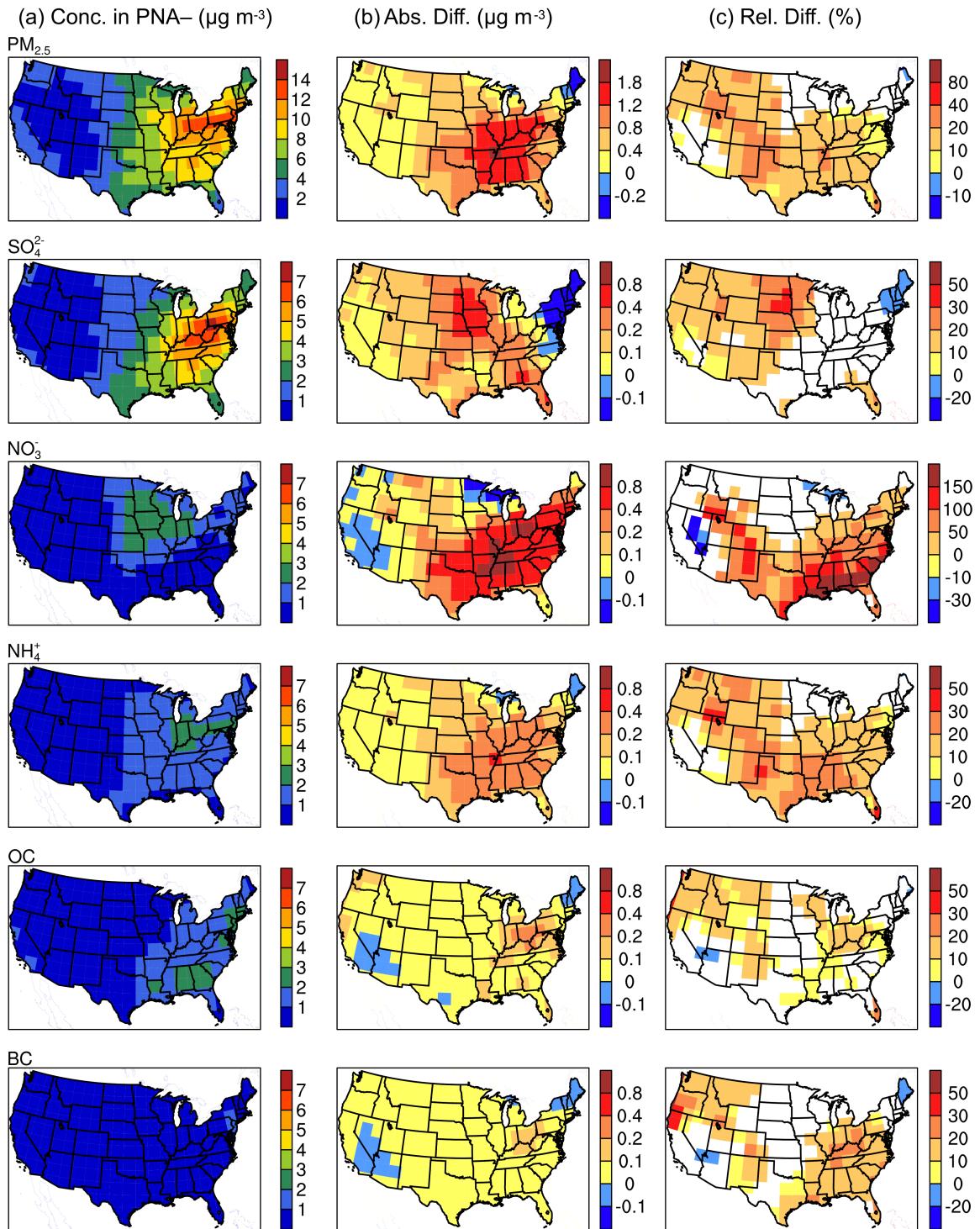
Fig. 3. The absolute ($\mu\text{g m}^{-3}$, left column) and relative differences (%), right column) in observed monthly mean aerosol concentrations between PNA+ and PNA- months (PNA+ minus PNA-). The measurements of $\text{PM}_{2.5}$ were carried out over 1999–2013, in which there were 18 PNA+ months and 18 PNA- months as shown in Fig. 2a. The measurements of speciated aerosols were taken during 2000–2013, in which there were 17 PNA+ and 17 PNA- months (Fig. 2b). The sites with black dots were the differences that passed the two-tail t-test with 90% confidence level.



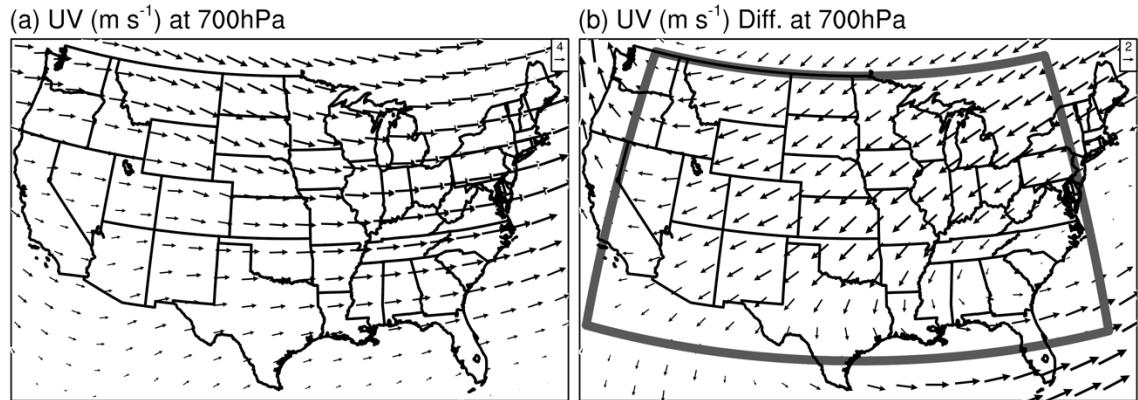
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Fig. 4. (a) Simulated surface-layer concentrations ($\mu\text{g m}^{-3}$) of $\text{PM}_{2.5}$ (the sum of SO_4^{2-} , NO_3^- , NH_4^+ , BC , and OC) and each aerosol species averaged over NDJFM of 1999–2006. (b) Scatter plots of the simulated concentrations ($\mu\text{g m}^{-3}$, vertical axis) versus the EPA-AQS observations ($\mu\text{g m}^{-3}$, horizontal axis). Also shown are the $y=x$ line (black dash line), linear fit for whole U.S. (black line), linear fit for western U.S. (blue line), and linear fit for eastern U.S. (red line). The blue and red dots represent sites in the western and eastern U.S., respectively. (c) Comparisons of the deviation from the mean (DM) of observed concentration (black line) with that of simulated concentration (red line) in each winter month for each aerosol species, left axis. Also shown in the

1153 panel for OC (BC) the monthly variation in DM of biomass burning emission of OC
1154 (BC), blue line, right axis.

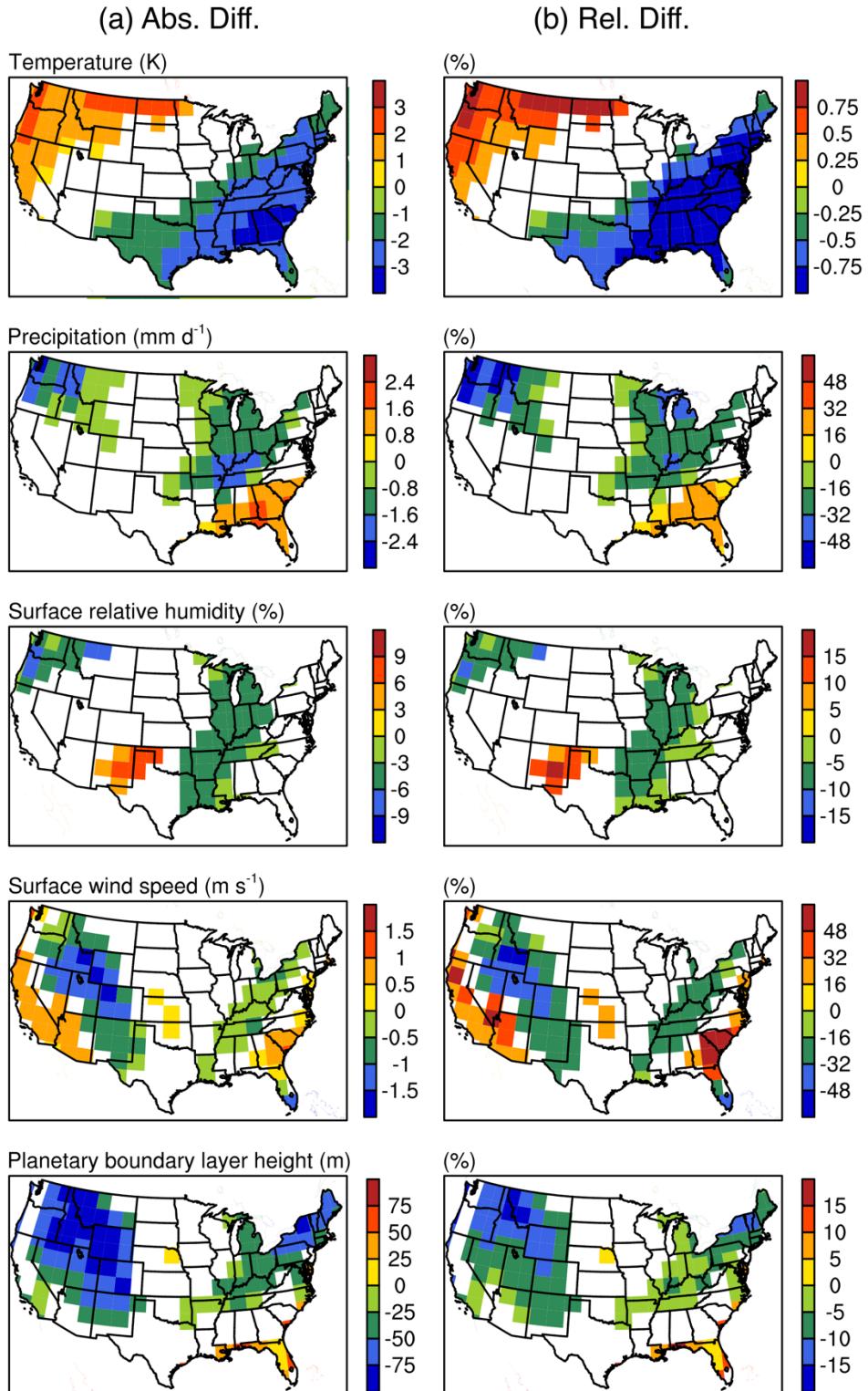


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 1156 **Fig. 5.** (a) Simulated concentrations ($\mu\text{g m}^{-3}$) of $\text{PM}_{2.5}$ and each aerosol species
 1157 averaged over the PNA- months of 1986–2006. (b) The absolute differences ($\mu\text{g m}^{-3}$)
 1158 in simulated aerosol concentrations between PNA+ and PNA- months (PNA+ minus
 1159 PNA-). (c) The relative differences (%) in simulated aerosol concentrations between
 1160 PNA+ and PNA- months. The white spaces in (C) indicate the areas that did not pass
 1161 the two-tail student-t test with 90% significance level. The seasonal cycles of
 1162 simulated aerosol concentrations were removed, similar to the treatment for
 1163 observations in Fig. 3.



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Fig. 6. (a) Horizontal winds at 700 hPa averaged over the winter months of NDJFM of 1986–2006, and (b) the corresponding differences between the PNA+ and PNA– months. Datasets are from the assimilated GEOS-4 meteorological fields. Also shown in (b) is the domain of $(75\text{--}120^\circ\text{W}, 28\text{--}49^\circ\text{N})$ for which transboundary mass fluxes of $\text{PM}_{2.5}$ are calculated.



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Fig. 7. (a) The absolute and (b) relative differences in meteorological parameters between PNA+ and PNA- months. Datasets are from the assimilated GEOS-4 meteorological fields. The white spaces in indicate the areas that did not pass the two-tail student-t test with 90% significance level. The seasonal cycles of meteorological variables were removed, similar to the treatment for observations in Fig. 3.

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