# 1 Simulating the SOA formation of isoprene from partitioning

# and aerosol phase reactions in the presence of inorganics

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#### Abstract

10 The secondary organic aerosol (SOA) produced by the photooxidation of isoprene with and 11 without inorganic seed is simulated using the Unified Partitioning Aerosol Phase Reaction (UNIPAR) model. Recent work has found the SOA formation of isoprene to be sensitive to 12 13 both aerosol acidity ([H<sup>+</sup>], mol/L) and aerosol liquid water content (LWC) with the presence 14 of either leading to significant aerosol phase organic mass generation and large growth in 15 SOA yields  $(Y_{SOA})$ . Classical partitioning models alone are insufficient to predict isoprene SOA formation due to the high volatility of photooxidation products and sensitivity of their 16 mass yields to variations in inorganic aerosol composition. UNIPAR utilizes the chemical 17 18 structures provided by a near-explicit chemical mechanism to estimate the thermodynamic 19 properties of the gas phase products, which are lumped based on their calculated vapor 20 pressure (8 groups) and aerosol phase reactivity (6 groups). UNIPAR then determines the 21 SOA formation of each lumping group from both partitioning and aerosol phase reactions (oligomerization, acid catalyzed reactions, and organosulfate formation) assuming a single 22 23 homogeneously mixed organic-inorganic phase as a function of inorganic composition and 24 VOC/NO<sub>x</sub>. The model is validated using isoprene photooxidation experiments performed in 25 the dual, outdoor UF APHOR chambers. UNIPAR is able to predict the experimental SOA 26 formation of isoprene without seed, with H<sub>2</sub>SO<sub>4</sub> seed gradually titrated by ammonia, and with 27 the acidic seed generated by SO<sub>2</sub> oxidation. Oligomeric mass is predicted to account for more 28 than 65% of the total OM formed in all cases and over 85% in the presence of strongly acidic 29 seed. The model is run to determine the sensitivity of  $Y_{SOA}$  to  $[H^+]$ , LWC, and VOC/NO<sub>x</sub>, and 30 it is determined that the SOA formation of isoprene is most strongly related to [H<sup>+</sup>] but is

- dynamically related to all three parameters. For  $VOC/NO_x > 10$ , with increasing  $NO_x$  both
- 2 experimental and simulated  $Y_{SOA}$  increase and are found to be more sensitive to  $[H^+]$  and
- 3 LWC. For atmospherically relevant conditions,  $Y_{SOA}$  is found to be more than 150% higher in
- 4 partially titrated acidic seeds (NH<sub>4</sub>HSO<sub>4</sub>) than in effloresced inorganics or in isoprene only.

## 5 1 Introduction

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6 Volatile organic compounds (VOCs) are emitted into the atmosphere from both biogenic and 7 anthropogenic sources. Once emitted, these compounds react with atmospheric oxidants and 8 radicals to form semi-volatile products that may self-nucleate or partition onto pre-existing 9 particulate matter to form secondary organic aerosol (SOA). Isoprene (2-methyl-1,3-10 butadiene) is a biogenic VOC with the largest emission of all non-methane hydrocarbons (Guenther et al., 2006), and yet it was initially thought to form insignificant amounts of SOA 11 12 due to the volatility of its principal oxidation products. This conclusion was supported by early chamber investigations that found isoprene only forms SOA at concentrations much 13 14 higher than ambient conditions (Pandis et al., 1991; R. M. Kamens et al., 1982). However, recent chamber (Edney et al., 2005; Kroll et al., 2005, 2006; Limbeck et al., 2003) and field 15 16 studies (Claeys et al., 2004; Edney et al., 2005) found that the large emission rate of isoprene makes the contribution to global SOA formation significant even at low yields, and it is 17 18 estimated that isoprene is the largest single source of global organic aerosol (Henze and 19 Seinfeld, 2006). The proposal of new SOA formation mechanisms, primarily the classical 20 equilibrium partitioning theory by Pankow (1994) and the discovery of aerosol phase 21 oligomerization reactions in the presence of inorganic acids (Jang et al., 2002, 2003), led to 22 the re-examination of the SOA formation potential of isoprene. More recent studies have found the SOA yield of isoprene and its oxidation products to be highly sensitive to aerosol 23 acidity ([H<sup>+</sup>], mol L<sup>-1</sup> aerosol) (Jang et al., 2002; Kuwata et al., 2015; Limbeck et al., 2003; 24 25 Surratt et al., 2010) and aerosol liquid water content (LWC). 26 The tendency of isoprene photooxidation products to engage in aerosol phase oligomerization 27 reactions is primarily due to the reactivity of its secondary products. The presence of two 28 double bonds makes isoprene highly reactive and allows for rapid OH initiated oxidation in 29 the atmosphere. The distribution of isoprene photooxidation products and the resultant SOA 30 yields are dependent on NO<sub>x</sub> concentrations and atmospheric aging. When NO<sub>x</sub> concentrations are low, RO2 radicals react with HO2 radicals to form hydroxyperoxides 31

(ROOH) at high yield. Then, ROOH further react with OH radicals to form

dihydroxyepoxides (IEPOX) (Paulot et al., 2009). IEPOX has been found to undergo rapid 1 2 reactive uptake onto wet ammonium sulfate (AS) inorganic aerosol and acidic inorganic seeds at all RH leading to the formation of tetrols, organosulfates (OS) and other low volatility 3 oligomers. In the presence of high NO<sub>x</sub>, SOA formation will depend on the ratio of NO<sub>2</sub> to 4 5 NO with isoprene SOA yields being be lower at low NO<sub>2</sub>/NO due to RO2 reacting with NO to produce more volatile products (Kroll et al., 2006; Surratt et al., 2010). 6 7 In order to quantify and understand the impact of SOA on climate and human health, the 8 prediction of SOA formation of isoprene is essential. SOA models have been developed and 9 utilized to predict the SOA formation of various VOC systems. The two-product model was developed based on classical partitioning theory (Pankow, 1994) and represents SOA 10 11 formation through use of two or more representative secondary products of varying vapor 12 pressure (Odum et al., 1996). By fitting the stoichiometric and partitioning coefficients of 13 each representative semi-volatile organic compound (SVOC) to experimental data, the SOA yield of a VOC is predicted as a function of the absorbing organic mass (OM) concentration 14 15 without considering the numerous gas phase products. The simple and efficient handling of SOA mass formation from partitioning by the two-product model led to its widespread use in 16 17 regional and global models. Nevertheless, the two-product model and its predecessors are limited in their ability to predict SOA formation from aerosol phase reactions in the presence 18 19 of inorganic aerosol due to the loss of individual product structures, which determine reactivity in the aerosol phase, and the need to fit new parameters for variations in 20 21 atmospheric conditions. Many regional models have already incorporated different sets of 22 parameters for each VOC under high and low NO<sub>x</sub> regimes, but cannot handle the variations 23 seen in ambient aerosol LWC and [H<sup>+</sup>] that enhance SOA formation via aerosol phase reactions (Carlton et al., 2009). 24

More recent studies have modeled aqueous phase SOA production using empirically determined uptake coefficients or effective Henry's constants (when available) to estimate reactive uptake of major isoprene products, such as IEPOX and glyoxal, in the inorganic aqueous phase (Marais et al., 2016; McNeill et al., 2012; Pye et al., 2013; Woo and McNeill, 2015). For example, McNeill et al. (2012) developed the box model GAMMA to predict the aqueous SOA production of isoprene in the presence of deliquesced ammonium sulfate. Pye et al. (2013) modified the regional Community Multi-scale Air Quality model to include the heterogeneous uptake of IEPOX and methacrylic acid epoxide. While these models greatly

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improve the predictions of isoprene SOA formation over classical partitioning models, SOA 1 2 formation of these known products via aqueous phase reactions is not fully representative of total isoprene SOA formation. Edney et al. (2005) measured the composition of isoprene SOA 3 4 in the presence of acidic inorganic seed, and methylglyceric acid and 2-methyltetrols, which 5 are tracer species for aqueous phase reactions, made up only 6% of the total SOA mass with the majority of the products being unidentified. Furthermore, highly oxidized oligomers 6 7 comprise the majority of isoprene SOA even in the absence of an inorganic aqueous phase 8 (Nguyen et al., 2010, 2011; Surratt et al., 2006) due to aerosol phase reactions in organic-only 9 aerosol. The photooxidation of isoprene produces a large number of highly reactive products 10 (epoxides, carbonyls) that will react even in the absence of an inorganic aqueous phase to 11 produce the large fraction of high molecular weight (MW) species. Therefore, while the high 12 contribution of the aqueous phase products of IEPOX and similar compounds make them 13 ideal tracers, they are not fully representative of isoprene SOA as is demonstrated by the large 14 number of high MW products and lack of mass closure in isoprene composition studies even 15 in the absence of an inorganic aqueous phase. In this study, the Unified Partitioning-Aerosol Phase Reaction (UNIPAR) model, which was 16 17 previously developed and applied to aromatic VOCs (Im et al., 2014), was updated and expanded to model the SOA formation of isoprene in the presence of low VOC/NO<sub>x</sub> (due to 18 the high sensitivity to [H<sup>+</sup>] in the low NO<sub>x</sub> regime) and aerosol acidity using natural sunlight. 19 UNIPAR predicts SOA formation from gas-particle partitioning, and oligomerization 20 21 reactions in both organic-only aerosol and the inorganic aqueous phase using a lumping 22 structure that was developed to be representative of the thermodynamic properties and 23 chemical reactivity of oxidized products in the aerosol phase. The model was validated using 24 outdoor chamber data from isoprene photooxidation experiments with and without acidic

#### 2 Experimental Methods

inorganic seeds.

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Isoprene SOA photooxidation experiments were performed in the University of Florida Atmospheric PHotochemical Outdoor Reactor (UF-APHOR) chambers over the period of a day. The dual 52 m<sup>3</sup> Teflon film chambers were operated simultaneously to allow for investigation of two different experimental conditions under the same ambient, diurnal profiles of sunlight, RH, and T. The chamber air was cleaned using air purifiers (GC Series, IQAir) for 48 hours prior to each experiment. In the experiments in which inorganic seeds

were used, a 0.01 M aqueous solution of H<sub>2</sub>SO<sub>4</sub> (SA) was atomized using a nebulizer (LC 1 2 STAR, Pari Respiratory Equipment) with clean air flow. Next, the desired volume of NO (2% in N<sub>2</sub>, Airgas) was injected into the chamber and finally, isoprene (99%, Sigma Aldrich) and 3 4 CCl<sub>4</sub> (>99.9%, Sigma Aldrich) were injected using a glass manifold with clean air. CCl<sub>4</sub> was 5 used as a tracer for dilution. All chemical species were injected early enough to allow for stabilization and measurement before reactions begun with sunrise. The experimental 6 7 conditions for each of the chamber runs is shown in Table 1. 8 To allow for gas and aerosol phase characterization, chamber air is pumped through a number 9 of sampling lines into the lab that is located directly below the roof. Gas phase concentrations of NO<sub>x</sub>, O<sub>3</sub>, and SO<sub>2</sub> were measured using a Teledyne Model 200E Chemiluminescence NO-10 NO<sub>x</sub> Analyzer, Model 400E Photometric O<sub>3</sub> Analyzer, and Model 102E Fluorescence TRS 11 12 Analyzer, respectively. A HP 5890 Gas Chromatography-Flame Ionization Detector was 13 employed with an oven temperature of 40 °C to measure isoprene and CCl<sub>4</sub> concentrations. A 14 semi-continuous OC/EC carbon aerosol analyzer (Sunset Laboratory, Model 4) following the 15 NIOSH 5040 method was utilized to measure organic carbon (OC) mass concentration (µgC m<sup>-3</sup>), and then converted to OM using an OM/OC ratio of 2.2 (Aiken et al., 2008; Kleindienst 16 17 et al., 2007). Particle number and volume concentrations were measured with a scanning mobility particle sizer coupled with a condensation nuclei counter (TSI, Model 3025A and 18 19 Model 3022). Particle wall loss was corrected using size-dependent first order rate constants 20 determined by a chamber characterization with inorganic seed. A Particle into Liquid Sampler 21 (Applikon, ADI 2081) coupled to Ion Chromatography (Metrohm, 761Compact IC) (PILS-22 IC) was used to quantify aerosol phase inorganic ions. 23 The Colorimetry integrated with Reflectance UV-Visible spectrometer (C-RUV)

The Colorimetry integrated with Reflectance UV-Visible spectrometer (C-RUV) technique (Jang et al., 2008; Li et al., 2015a; Li and Jang, 2012) was used to measure [H<sup>+</sup>] (mol L<sup>-1</sup> aerosol) in experiment SA2. The C-RUV technique utilizes a dyed filter to collect aerosol and act as an indicator for particle acidity. The change in color is measured using a UV-Visible spectrometer in absorbance mode and allows for determination of [H<sup>+</sup>] using a calibration curve. Then the amount of sulfate ( $C_{sO_4^{2-}}$ , µmol m<sup>-3</sup>) that forms organosulfates (OS) ( $C_{sO_4^{2-}}^{oS}$ ) is then estimated by comparing the actual particle [H<sup>+</sup>], as is measured by the C-RUV technique, to the [H<sup>+</sup>] predicted by the inorganic thermodynamic model, E-AIM II (Clegg et al., 1998) using the inorganic composition from PILS-IC. OS are reversible in the

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- 1 high temperature water droplets of the PILS system, and so the measured  $C_{so.^{2-}}$  is the total
- sulfate including OS. Therefore, by reducing the  $C_{SO^{2-}}$  input into E-AIM II until the predicted
- 3 [H<sup>+</sup>] matches the actual value measured by the C-RUV method, the amount of  $C_{SO_i^{2-}}^{OS}$  that led
- 4 to a reduction in acidity can be estimated (Li et al., 2015). The esterification of sulfuric acid
- 5 produces both alkyl bisulfates (ROSO<sub>3</sub>H), which are strong acids, and dialkylsulfates
- 6 (ROSO<sub>2</sub>OR), which are neutral. Therefore, only dialkylsulfates lead to a significant reduction
- 7 in [H<sup>+</sup>]. For this reason, the OS measured using the C-RUV method are only dialkylsulfates.
- 8 A more detailed explanation of the use of the C-RUV technique to estimate OS in SOA
- 9 can be found in Li et al. (2015). A more complete description of the experimental design and
- 10 chamber operation can be found in Im et al. (2014).

## 3 Model Description

- 12 UNIPAR simulates the SOA formation of the VOC/NO<sub>x</sub> photooxidation products from both
- partitioning and aerosol phase reactions. The photooxidation of the VOC is predicted
- explicitly offline, and products are lumped using their volatility and reactivity in aerosol
- 15 phase reactions (Sect. 3.1). SOA formation is then predicted for the lumped species
- dynamically as a function of the inorganic aerosol composition ([H<sup>+</sup>], LWC). The inputs of
- 17 the model are the consumption of isoprene (ΔISO), VOC/NO<sub>x</sub>, the change in aerosol phase
- sulfate ( $\Delta C_{SO^{2-}}$ ,  $\mu$ mol m<sup>-3</sup>) and ammonium ions ( $\Delta C_{NH^{+}}$ ,  $\mu$ mol m<sup>-3</sup>), T and RH at each time
- 19 step ( $\Delta t = 3 \text{ min}$ ).
- 20 The overall model schematic is shown in Fig. 1. In order to account for effects of inorganic
- 21 aerosol, isoprene SOA formation is approached in two ways: SOA formation in the presence
- of deliquesced inorganic seed ( $C_{so^{2-}} > 0$  and RH > ERH), and either isoprene only ( $C_{so^{2-}} = 0$
- 23 0) or effloresced inorganic seed ( $C_{SO_4^{2-}} > 0$  and RH < ERH) (Sect. 3.2 and 3.3). First, the total
- 24 mass originating from  $\triangle VOC$  in each  $\triangle t$  is split among the lumping groups  $(i_{m,n})$  and
- combined with the remaining gas phase concentrations from previous steps to get the total gas
- 26 phase concentration of each  $i_{m,n}$  ( $C_{g,i}$ ,  $\mu g$  m<sup>-3</sup>) (Sect. 3.1). Then the concentrations in the
- 27 aerosol phase ( $C_{mix,i}$ , µg m<sup>-3</sup>) are calculated based on the aerosol phase state. Using the
- 28 estimated  $C_{mix,i}$  and inorganic aerosol composition, the OM formation from aerosol phase
- 29 reactions (OM<sub>AR</sub>, μg m<sup>-3</sup>) is calculated (Sect. 3.3.1). OM<sub>AR</sub> includes SOA formation from

- 1 organic-only oligomerization reactions, aqueous phase reactions and acid-catalyzed reactions,
- and OS formation (Sect. 3.3.2). OM<sub>AR</sub> is assumed to be non-volatile and irreversible. Finally,
- 3 the OM from partitioning (OM<sub>P</sub>, μg m<sup>-3</sup>) is predicted using the module developed by Schell et
- 4 al. (2001) modified to account for the assumed non-volatility and irreversibility of OM<sub>AR</sub>
- 5 (Sect. 3.3.3).

## 3.1 Gas phase photooxidation and lumping structure

- 7 The photooxidation of isoprene was simulated using the Master Chemical Mechanism v3.2
- 8 (Saunders et al., 1997, 2003) within the Morpho kinetic solver (Jeffries, H.E. et al., 1998).
- 9 Simulations were performed under varying VOC/NO<sub>x</sub> ratios (ppbC/ppb) using the sunlight,
- temperature, and RH data from 23 April 2014. All of the simulations began with NO and
- begin with sunrise. The sunlight, RH, and temperature profiles used can be seen in the
- supplemental information (SI) as well as an example gas phase simulation with corresponding
- experimental data (Sect. S1).
- 14 The predicted photooxidation products are then lumped in UNIPAR using vapor pressure (m,
- 8 bins) and reactivity (n, 6 bins). The lumping structure is shown in Fig. S3 in the SI
- including the structure of the product which contributes most to each lumping group. The
- subcooled liquid vapor pressure of each product (p<sup>o</sup>L<sub>i</sub>) is estimated using a group contribution
- method (Joback and Reid, 1987; Stein and Brown, 1994; Zhao et al., 1999), which is
- explained in detail in Im et al. (2014). The reactivity of each product is estimated based on the
- 20 number of reactive functional groups. The reactivity bins used in UNIPAR are very fast (VF,
- 21 α-hydroxybicarbonyls and tricarbonyls), fast (F, 2 epoxides or aldehydes,), medium (M, 1
- 22 epoxide or aldehyde), slow (S, ketones), partitioning only (P), and organosulfate precursors
- 23 (OS<sub>P</sub>, 3 or more alcohols). The reactivity bins were developed based on previous work in
- which the measured gas-particle partitioning coefficients ( $K_p$ ) of toluene and  $\alpha$ -pinene SOA
- 25 products were found to deviate from the theoretical value due to higher than expected particle
- 26 concentrations. The degree of deviation was found to depend on the functionalization of the
- SOA product (Jang et al., 2002; Jang and Kamens, 2001). The experimental log(K<sub>p</sub>) of
- 28 ketones (S reactivity bin) were found to be only slightly higher than the theoretical value,
- 29 while the experimental log(K<sub>p</sub>) of conjugated aldehydes (M reactivity bin) and the products
- 30 associated with F and VF reactivity bins were found to be 10-40 times higher and 2 to 3
- 31 orders higher, respectively.

In order to account for their unique reactivity, glyoxal was allocated to group 6F instead of 8F 1 2 and methylglyoxal was moved from 8M to 6M based on their apparent Henry's constant (Ip et 3 al., 2009). In addition to these reactivity bins, isoprene required the designation of a medium 4 reactivity, multi-alcohol (M-OS<sub>P</sub>) bin due to the large number of secondary products which 5 contain both three or more alcohols and reactive functional groups (epoxide or aldehyde). Tetrol precursors (IEPOX), which are produced at high concentrations in the gas phase under 6 7 low VOC/NO<sub>x</sub>, were also given a separate reactivity bin in order to more easily quantify the 8 SOA formation of these products predicted by the model. The concentrations of each lumping 9 group were set at the peak HO<sub>2</sub>/NO ratio, which generally corresponds with the time of 10 majority of SOA formation and represents a shift from less oxidized to more oxidized 11 products. The corresponding stoichiometric mass coefficients ( $\alpha_i$ ) of each  $i_{m,n}$  were then fit to 12 the initial VOC/NO<sub>x</sub> ratio. At higher NO, it takes longer to reach the peak HO<sub>2</sub>/NO ratio and 13 SOA formation is also slower. Fig. 2 shows the filled lumping structure at VOC/NO<sub>x</sub> of 25 14 illustrating the high volatility and reactivity of the majority of isoprene products.

#### 3.2 Aerosol composition and phase state

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Tropospheric aerosols have been shown to be primarily composed organic compounds and inorganic sulfate partially or wholly titrated with ammonia (Bertram et al., 2011; Murphy et al., 2006). Under ambient diurnal patterns of RH, these aerosols may effloresce and deliquesce, and can be liquid-liquid phase separated (LLPS) or a single homogeneously mixed phase (SHMP) influencing the amount and composition of SOA formed. While dry, effloresced inorganic salts simply act as a seed for organic coating by SOA, deliquesced seeds contain liquid water into which reactive, soluble compounds can dissolve and further react producing low volatility SOA (Hennigan et al., 2008; Lim et al., 2010; Volkamer et al., 2007). Furthermore, the type of SOA products will determine the phase state of wet aerosol. In LLPS aerosol, hydrophobic SVOC will partition primarily into the organic liquid phase, while a significant fraction of hydrophilic SVOC may dissolve into the salted liquid phase. The RH at which these transitions occur depends on the concentration and composition of the inorganic and organic components of the aerosol. Bertram et al. (2011) semi-empirically predicted the efflorescence RH (ERH), deliquescence RH (DRH), and the RH of LLPS (SRH) by fitting experimental data of a number of oxygenated organic-AS systems to the oxygen to carbon atomic ratio (O:C) and to the organic

to sulfur mass ratio (org:sulf) of the bulk aerosol. UNIPAR utilizes these parameterizations to

1 predict ERH and DRH at each time step (t = j) using modeled O:C and org:sulf from the

2 previous time step (t = j - 1). In regards to phase state, UNIPAR is run assuming a SHMP for

3 all of the isoprene simulations due to literature O:C values of isoprene ranging from 0.69 to

4 0.88 (Bertram et al., 2011; Chen et al., 2011; Kuwata et al., 2013), which corresponds to a

5 SRH of zero.

6 The interaction of organics and inorganics in SHMP SOA may alter the dissociation of 7 inorganic acids and the resulting [H<sup>+</sup>]. In order to estimate the impact of organics on [H<sup>+</sup>] in 8 SHMP isoprene SOA, the percent dissociation of H<sub>2</sub>SO<sub>4</sub> was determined using AIOMFAC in 9 the presence of varying amounts of tetrol and hexane, which represent polar and non-polar 10 organic species, under controlled RH. The change in percent dissociation was less than 15% 11 when compared to inorganic only aerosol at the same RH (details in supplemental information, Sect. S2). Based on these results, it was assumed that presence of organics in 12 13 isoprene SHMP SOA does not significantly influence the [H<sup>+</sup>] from inorganic acids. 14 Therefore, [H<sup>+</sup>] is estimated for each time step by E-AIM II (Clegg et al., 1998) corrected for the ammonia rich condition (Li and Jang, 2012) as a function of inorganic composition 15 measured by PILS-IC ( $C_{SO_4^{2-}}, C_{NH_4^+}$ ), and RH. Then, [H<sup>+</sup>] is diluted using the ratio of the 16 inorganic volume to the total aerosol volume. The inorganic associated LWC is also 17 calculated using E-AIM II. The LWC of isoprene SOA is estimated in AIOMFAC using the 18 hygroscopic growth factor of a representative isoprene SOA: 20% sucrose by mass (Hodas et 19 20 al., 2015) as a surrogate for tetrol and 80% isoprene derived oligomers (Nguyen et al., 2011). 21 The estimated growth factor is approximately 30% of that of AS and so, in the model the 22 LWC of isoprene is estimated to be 0.3 of the LWC of AS without an ERH. [H<sup>+</sup>] is used to 23 describe particle acidity and has units of mol H<sup>+</sup>/L of aerosol. Therefore, [H<sup>+</sup>] will change 24 with variation in inorganic composition, LWC and total aerosol mass (SOA). The particle pH

#### 3.3 SOA formation

is simply the negative log of [H<sup>+</sup>].

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In simulating the total OM (OM<sub>T</sub>) from isoprene photooxidation, UNIPAR predicts the SOA formation for each  $i_{m,n}$  from both partitioning (OM<sub>P,i</sub>) and aerosol phase reactions (OM<sub>AR,i</sub>). In the previous applications of UNIPAR for aromatic VOC (Im et al., 2014), SOA formation was modeled under the assumption of LLPS aerosol because aromatic SOA is relatively non-polar, and thus aerosol phase concentrations of  $i_{m,n}$  were calculated by means of a mass

- balance between the concentrations in the gas phase, the inorganic aerosol phase, and the
- 2 organic aerosol phase. In modeling isoprene SOA formation in the presence of a SHMP
- 3 aerosol, the total concentration ( $\mu g \text{ m}^{-3} \text{ of air}$ ) of each lumping species ( $C_{T,i}$ ) was split solely
- between  $C_{g,i}$  and  $C_{mix,i}$  by a single gas-particle partitioning coefficient,  $K_{mix,i}$  (m<sup>3</sup>  $\mu$ g<sup>-1</sup>),

$$5 C_{T,i} = C_{g,i} + C_{mix,i}, (1)$$

$$6 K_{mix,i} = \frac{C_{mix,i}}{C_{\sigma i}M_{mix}}, (2)$$

- 7 where  $M_{mix}$  is the total suspended matter and is the sum of the inorganic mass  $(M_{in})$  and  $OM_T$ .
- 8 Calculation of  $K_{mix,i}$  follows the gas-particle absorption model (Pankow, 1994).

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$$K_{mix,i} = \frac{7.501 \,\text{RT}}{10^9 M W_{mix} \gamma_{mix,i} p_{L,i}^o},$$
 (3)

- where R is the gas constant (8.314 J K<sup>-1</sup> mol<sup>-1</sup>), T is the temperature (K),  $MW_{mix}$  is the average
- molecular weight (g mol<sup>-1</sup>) of the SHMP aerosol,  $\gamma_{mix,i}$  is the activity coefficient of the
- 12 lumping species in the SHMP aerosol, and  $p^{o}_{L,i}$  is the sub-cooled liquid vapor pressure
- 13 (mmHg) of  $i_{m,n}$ .  $\gamma_{mix,i}$  accounts for the non-ideality in the SHMP aerosol and allows for more
- realistic representation of the differences in solubility in the aerosol phase.  $\gamma_{mix,i}$  will vary
- between partitioning species due to differences in polarity and molar volume  $(V_{mol.i})$ , and also
- over time due to changes in LWC and aerosol composition.
- In order to handle the range of possible  $\gamma_{mix,i}$  in SHMP isoprene SOA, the AIOMFAC model
- was run using the highest concentration product of each  $i_{m,n}$  (Fig. S3) in the presence of a
- mixed isoprene SOA/AS aerosol. The representative isoprene SOA composition was chosen
- based on the results of Nguyen et al. (2011). The bulk organic to sulfur mass ratio (org:sulf),
- 21 concentration of  $i_{m,n}$ , and the RH were varied to cover the range of experimental values, and
- 22 the resulting  $\gamma_{\text{mix},i}$  were fit to the bulk aerosol org:sulf, ln(RH), and the  $V_{mol,i}$  and  $O:C_i$  of each
- $i_{m,n}$  using a polynomial equation. The resulting parameterizations are shown in the SI along
- 24 with the predicted  $\gamma_{\text{mix},i}$  plotted against  $\gamma_{\text{mix},i}$  from AIOMFAC (Sect. S4). In the absence of
- 25 inorganic aerosol ( $C_{SO_4^{2-}}$ =0) or in the presence of dry inorganic aerosol, partitioning is
- assumed to be ideal with organic only partitioning coefficient ( $K_{\text{or},i}$ ) calculated using  $\gamma_{mix,i}$  of 1
- 27 (Jang and Kamens, 1998) (Fig. 1).

## 1 3.3.1 OM from aerosol phase reactions (OM<sub>AR</sub>)

- Once  $C_{mix,i}$  (µg m<sup>-3</sup>) is determined for each  $\Delta t$ , the OM<sub>AR</sub> formation of  $i_{m,n}$  is estimated in
- 3 UNIPAR assuming a second-order self-dimerization reaction as is shown in Eq. 4,

$$4 \frac{dC'_{mix,i}}{dt} = -k_{AR,i}C'_{mix,i}^{2}. (4)$$

- 5 where  $C_{mix,i}$  is the aerosol phase concentration of  $i_{m,n}$  in mol L<sup>-1</sup> of aerosol and  $k_{AR,i}$  (L mol<sup>-1</sup> s<sup>-1</sup>
- 6 1) is the aerosol phase reaction rate of each  $i_{m,n}$ .  $k_{AR,i}$  (Eq. 5) is calculated each time step using
- 7 the semi-empirical model developed by Jang et al. (2005) as a function of the reactivity, R
- 8 (VF, F, M, S; Sect. 3.1), and pK<sub>BH+</sub> of  $i_{m,n}$  in the aerosol phase, [H<sup>+</sup>] and LWC (activity of
- 9 water,  $a_w$ ) from the inorganic thermodynamic model (Sect. 3.2), and the excess acidity, X (Im
- 10 et al., 2014; Jang et al., 2006).

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$$k_{AR,i} = 10^{(0.0005^*pK_{BH^+} + y^*X + 1.3^*R + \log(a_w) + \log([H^+]) - 5.5)}$$
 (5)

- 12 All of the coefficients of Eq. 5 were fit using the flow reactor experimental sets for aerosol
- growth of model organic compounds (various aldehydes) on acidic aerosol ( $SO_4^{2-} NH_4^+ NH_4^+$
- 14 H<sub>2</sub>O system) within the LLPS module and tested for LLPS aerosol (toluene SOA and 1,3,5-
- trimethylbenzene SOA) by Im et al. (2014), except for the factor y for X. In the presence of
- deliquesced inorganics,  $k_{AR,i}$  is a function of X, which represents the effect of an acidic
- inorganic medium on the reaction of the protonated organics that act as an intermediate for
- acid-catalysed reactions. For LLPS aerosol, the protonated organic compounds are in highly
- 19 concentrated inorganic liquid with high X. The mixture of organic and inorganic species in
- 20 SHMP aerosol will lead to a modification of X and thus the reaction rate of protonated
- organics. To account for this change in isoprene SOA, y was determined to be 0.49 by fitting
- 22 the OM<sub>T</sub> of experimental set SA1 (Table 1). In the absence of deliquesced inorganic species,
- 23 the terms associated with the inorganic aqueous phase ([H<sup>+</sup>] and X) approach zero making
- 24  $k_{AR,i}$  primarily a function of the reactivity (R) of  $i_{m,n}$  allowing for the prediction of
- oligomerization reactions in the organic only aerosol.
- Then by assuming that  $OM_{AR}$  is non-volatile and irreversible,  $\triangle OM_{AR,i}$  can be calculated as the
- reduction in  $C_{T,i}$  for each time step. The full derivation of the equations used to predict  $OM_{AR}$
- is shown in the SI (Sect. S3).

## 3.3.2 OS formation

- 2 Sulfuric acid produced from the photooxidation of SO<sub>2</sub> influences aerosol phase state and
- 3 hygroscopicity (Sect. 3.2), and acts as a catalyst in OMAR formation. It can be wholly or
- 4 partially titrated by ammonia, or it can react with reactive organic compounds to form OS.
- 5 The formation of OS from the esterification of sulfate with reactive organic functional groups
- 6 leads to a reduction in [H<sup>+</sup>] and LWC influencing subsequent OM<sub>AR</sub> formation (Im et al.,
- 7 2014). Therefore, the formation of OS must be estimated in order to accurately predict SOA
- 8 growth. Of the total sulfate present in the SHMP aerosol, we assume that the sulfate which is
- 9 not associated with ammonium  $(C_{SO_4^{2-}}^{free} = C_{SO_4^{2-}} 0.5 * C_{NH_4^+})$  can form OS. The fraction of
- 10  $C_{SO^{2-}}^{free}$  that forms OS is calculated using Eq. 6,

$$11 \qquad \frac{C_{SO_4^{2-}}^{OS}}{C_{SO_4^{2-}}^{free}} = 1 - \frac{1}{1 + f_{OS} \frac{N_{OS}}{C_{SO_4^{2-}}^{free}}}.$$
 (6)

- where  $f_{OS}$  is a semi-empirical parameter determined to be 0.07 by Im et al. (2014) by fitting
- the [H<sup>+</sup>] predicted by UNIPAR to the measured [H<sup>+</sup>] in toluene SOA, as a measure of OS
- 14 formation, using the C-RUV method of Li et al. (2015). fos was validated for isoprene SOA
- using the experimental data of this study (Sect. 4.1).  $N_{OS}$  is the number of OS forming
- functional groups present in the aerosol phase. The functional groups that have been shown to
- form OS are alcohols (Eddingsaas et al., 2012; Li et al., 2015a; Minerath et al., 2008; Zhang
- et al., 2012), aldehydes (Liggio et al., 2005), and epoxides (Surratt et al., 2010). Alcohols and
- 19 aldehydes can react with sulfate in a single position, while epoxides react with sulfate in two
- 20 positions following ring opening in the aerosol phase. The average number of reaction
- 21 positions with sulfate is determined for each  $i_{m,n}$ , and then  $N_{OS}$  is calculated as the product of
- 22 the molar concentration and the reaction positions of  $i_{m,n}$ . Finally,  $C_{SO,^{2-}}^{OS}$  is removed from
- 23  $C_{SO_i^{2-}}^{free}$  so that LWC and [H<sup>+</sup>] can be recalculated for the next time step. As OS forms, both
- 24 LWC and [H<sup>+</sup>] are reduced.
- 25 As was noted in Experimental Methods, the C-RUV method measures dialkylsulfates, which
- are neutral, and not alkyl bisulfates, which are strong acids. Therefore, the predicted OS in

- 1 UNIPAR refers to dialky sulfates since  $f_{OS}$  was semi-empirically determined using this
- 2 method.

## 3 3.3.3 OM from partitioning (OM<sub>P</sub>)

- 4 After OM<sub>AR</sub> formation, OM<sub>P,i</sub> is calculated using the module developed by Schell et al. (2001)
- 5 modified to account for the assumed non-volatility and irreversibility of OM<sub>AR</sub>. After OM<sub>AR</sub>
- 6 formation, the amount of the remaining  $C_{T,i}$  of each lumping group that partitions between the
- 7 gas and the SHMP aerosol is calculated as a function of the effective gas-phase saturation
- 8 concentration of  $i_{m,n}$  ( $C_{g,i}^* = 1/K_{mix,i}$ ) using a mass balance following Eq. 7,

$$OM_{P,i} = \left[ (C_{T,i} - OM_{AR,i}) - C_{g,i}^* - \frac{\frac{C_{mix,i}}{MW_i}}{\sum_{i} \left( \frac{C_{mix,i}}{MW_i} + \frac{OM_{AR,i}}{MW_{oli,i}} \right) + OM_o} \right], \tag{7}$$

- where  $MW_k$  and  $MW_{oli}$  are the molecular weight (g mol<sup>-1</sup>) of the lumping species and the
- dimer of the lumping species, respectively, and  $OM_o$  is the pre-existing organic mass (mol m<sup>-</sup>
- 12 <sup>3</sup>). The system of non-linear equations solved iteratively and the calculated OM<sub>P,i</sub> are summed
- 13 to get the total OM<sub>P</sub> for each  $\Delta t$ . Unlike when  $i_{m,n}$  partitions into an organic only phase ( $\gamma=1$ ),
- 14  $\gamma_{mix,i}$  is used in calculating  $C_{g,i}^*$  to account for the non-ideality of  $i_{m,n}$  partitioning into the
- SHMP aerosol (Sect. 3.2). The remaining concentration ( $C_{T,i}$   $OM_{AR,i}$ ) are passed to the next
- 16 time step and combined with the newly formed  $i_{m,n}$  ( $\Delta VOC^* \alpha_i$ ).

## 17 4 Results and discussion

18

#### 4.1 Model evaluation: SOA yield, O:C, and organosulfate formation

- 19 The ability of UNIPAR to simulate the SOA formation from isoprene photooxidation in the
- 20 presence and absence of acidic inorganic seeds under low initial VOC/NO<sub>x</sub> was determined
- 21 through comparison of the simulated OM<sub>T</sub> and experimental OM formation (OM<sub>exp</sub>). All
- 22 OM<sub>exp</sub> were corrected for particle wall loss. Fig. 3 shows measured and predicted SOA
- 23 formation in the presence and absence of SA at initial VOC/NO<sub>x</sub> of ~17 for ISO1 and SA1
- and 32 for ISO2 and SA2. The experiments performed in the absence of inorganic seed (ISO1
- and ISO2) are used to test the prediction of organic-only oligomerization by UNIPAR. SOA
- 26 formation is reasonably predicted in the absence of an inorganic aqueous phase for both

1 experimental conditions with a maximum SOA yield  $(Y_{SOA} = \Delta OM_{exp}/\Delta Iso)$  of 0.025 and 2 0.007 for ISO1 and ISO2, respectively. These SOA yields are similar to those of reported literature values for isoprene in the absence of acidic seeds (Dommen et al., 2006). The model 3 marginally overestimates the SOA formation in beginning of each chamber run, but the 4 modeled OM<sub>T</sub> falls within the range of error of OM<sub>exp</sub> once the rate of SOA formation 5 stabilizes and reaches a maximum. OMAR makes up the majority of OMT (>65% in ISO1 and 6 7 ISO2). While the oligomeric products contributing to isoprene SOA mass in the absence of 8 inorganic aqueous phase have not been fully elucidated for low NO<sub>x</sub> conditions, previous 9 studies have shown oligomers contribute a large fraction of the total mass in all oxidation 10 conditions (low NO<sub>x</sub>, high NO<sub>x</sub>, O<sub>3</sub>) with the majority of products having molecular weights 11 larger than 200 g/mol (Nguyen et al., 2010, 2011; Surratt et al., 2006). Furthermore, 12 UNIPAR predicts that the approximately 70% of the OM<sub>T</sub> is from lumping group 3OS<sub>p</sub>-M, of 13 which more than 93% of the mass contribution is organic peroxides (MCM products 14 C510OOH (~40%), C57OOH (~27%), C58OOH (~15%) and HMACROOH(11%), structures 15 shown in Fig. S7 of the SI). This is close to the measurements of Surratt et al. (2006), in which 61% of the total mass in the absence of seeds is from organic peroxides. 16 17 The presence of SA seeds (shown in orange in Fig. 3) greatly increases yields under both 18 19

experimental conditions resulting in Y<sub>SOA</sub> of 0.085 and 0.048 for SA1 and SA2, respectively, due to the dissolution of  $i_{m,n}$  into a larger  $M_{mix}$  resulting from increased LWC and increased 20  $k_{AR,i}$  attributed to lower particle pH (higher [H<sup>+</sup>]). Using the factor y that was fit using exp. 21 SA1 in Table 1 (Eq. 5 in Sect 3.3.1), the model accurately predicts the OM<sub>T</sub> of exp. SA2 at a 22 lower VOC/NO<sub>x</sub> in the presence of SA seed. Overall, OM<sub>AR</sub> is the dominant contributor to 23 OM<sub>T</sub> for both sets contributing more than 65% and 85% in the absence and presence of SA, 24 respectively. Also, the higher VOC/NO<sub>x</sub> (lower NO<sub>x</sub>) of both ISO2 and SA2 resulted in lower 25 Y<sub>SOA</sub> than ISO1 and SA1 which is discussed further in Sect. 4.3. In experiment SO2 (Table 26 1), SO<sub>2</sub>(g) was introduced to the chamber instead of SA seed so that the model could be 27 further tested under a situation more representative on the ambient atmosphere in which SO<sub>2</sub> is oxidized to SA. As can be seen in Fig 3. (shown in green), the model also reasonably 28 29 predicts the OM<sub>T</sub>.

is important due to the consumption of sulfate that leads to an increase in particle pH and a

In addition to OM<sub>T</sub>, O:C and  $C_{SO_s^{2-}}^{OS}$  were also predicted using the model. The predicted  $C_{SO_s^{2-}}^{OS}$ 

30

reduction in LWC. In exp. SA2,  $C_{SO_1^{2-}}^{OS}$  was measured using the C-RUV method allowing for 1 2 comparison to the model (refer to Sect. 2 for C-RUV method description). Fig. 4 shows time series of the model predicted and measured  $C_{SO_4^{2-}}^{OS}$  along with  $C_{SO_4^{2-}}$  and  $C_{NH_4^+}$  (model values 3 4 and experimental values measured by the PILS-IC), the measured RH, and the predicted particle pH. OS are reversible in the sampling conditions of the PILS (Li et al. 2015), as is 5 suggested by the near stable  $C_{SO_4^{2-}}$  in Fig. 4. Once SOA formation starts, OS quickly forms. 6 The measured  $C_{SO,2}^{OS}$  is reasonably well predicted by the model with the predicted value being 7 8 within the range of error once SOA mass stabilizes. The predicted pH is relatively stable in 9 the first hour of the experiment because the effects of decreasing RH (and LWC) and increasing  $C_{_{NH,^{+}}}$  counteract each other, but once SOA formation starts pH increases rapidly 10 due to titration by NH<sub>3</sub> produced from the chamber walls, the consumption of  $C_{so^{2-}}$  by OS 11 12 formation, and the dilution of [H<sup>+</sup>] by SOA mass. Overall, the predicted pH starts at -0.73 and increases to 0.65 at the end of the experimental run, which is within the range of ambient 13 aerosol pH measured by Guo et al. (2015) in the S.E. U.S (mean: 0.94, min: -0.94, max: 2.23). 14 While the O:C of the experimental SOA were not measured, the simulated O:C can be 15 16 compared to literature values which range from 0.69 to 0.88 (Bertram et al., 2011; Chen et al., 17 2011; Kuwata et al., 2013). UNIPAR estimates the O:C ratio using O:C<sub>i</sub> and mole fraction of 18 each species in the aerosol phase not accounting for changes that may result from 19 oligomerization, hydration or OS formation. In the presence of untitrated SA, the modeled 20 O:C is 0.69 which is the lower end of the range of literature values. With increasing titration 21 changes in composition lead to higher overall predicted O:C. In SA1, SA is partially titrated 22 by NH<sub>3</sub><sup>+</sup> over the course of the experiment and the resulting O:C is 0.84. For ISO1 and ISO2, the O:C are 0.92 and 0.98, which is higher than the reported values. This is due to the 23 24 predicted SOA being comprised of a few compounds with O:C near 1 without considering the change of molecular structures via aerosol phase reactions. Chen et al. (2011) showed a 25 similar result in that the O:C ratio of monomeric products in isoprene SOA is higher that of 26 27 oligomers.

# 4.2 Isoprene SOA yield and the influence of $VOC/NO_x$ and inorganic

### 2 composition

- 3 In the following sections the model is used to investigate the influence of VOC/NO<sub>x</sub>, LWC,
- 4 and [H+] on isoprene  $Y_{SOA}$  and composition. The experimental conditions of SA1 (RH, T,
- $\Delta$  ISO) are used in all of these simulations unless otherwise specified.
- 6 Recent studies have investigated the effect of NO<sub>x</sub> on the SOA formation of isoprene for the
- 7 high  $NO_x$  regime (VOC/ $NO_x$  < 5.5) and in the absence of  $NO_x$  (Chan et al., 2010a; Kroll et
- 8 al., 2006; Xu et al., 2014), and found that in the  $Y_{SOA}$  of isoprene is non-linearly related to
- 9 VOC/NO<sub>x</sub> with  $Y_{SOA}$  being highest at intermediate NO<sub>x</sub> conditions (VOC/NO<sub>x</sub> = ~2).
- However, very little investigation has been performed on isoprene SOA formation within the
- low  $NO_x$  regime (VOC/ $NO_x > 5.5$  and  $NO_x > 0$  ppb) of this study, which is typical of rural
- areas downwind of urban centers (Finlayson-Pitts and Pitts, Jr., 1993). To investigate the
- influence of the  $NO_x$  level on  $Y_{SOA}$  in this range, simulations were performed in which the
- 14 VOC/NO<sub>x</sub> ratio was increased incrementally from 10 to 100 with SA seeded SOA without
- 15 titration and isoprene only SOA. The  $Y_{SOA}$  of each simulation are plotted in Fig. 5. Overall,
- increasing  $NO_x$  within this range (decreasing  $VOC/NO_x$ ) increases  $Y_{SOA}$  both with and without
- acidic seeds, which agrees with the general trend of Kroll et al. (2006) where intermediate
- NO<sub>x</sub> conditions had higher  $Y_{SOA}$  than no-NO<sub>x</sub> conditions. However, the degree of the increase
- in  $Y_{SOA}$  with increasing NO<sub>x</sub> is different for the isoprene only SOA and the SOA formed in the
- presence of SA seeds, which has not previously been shown to the best of our knowledge.
- $Y_{SOA}$  increases much more rapidly with increasing NO<sub>x</sub> in the presence of SA seeds, which is
- due to an increase in the relative contribution of reactive species. RO radicals produced from
- 23 the reaction of RO<sub>2</sub> radicals with NO can lead to multifunctional carbonyls via reaction with
- 24 oxygen and also simple carbonyls such as glyoxal and methylglyoxal through fragmentation
- of RO radicals. These products are all highly reactive in the aerosol phase and produce OM<sub>AR</sub>.
- 26 Furthermore, some late generation RO<sub>2</sub> radicals, whose precursors are formed from the RO
- 27 pathway (High NO), react with HO<sub>2</sub> to form low volatility organic peroxides with alcohol
- 28 functional groups and an aldehyde (3OS<sub>p</sub>-M: C510OOH, C57OOH, C58OOH, HMACROOH
- 29 in MCM, Sect S7). Therefore, increases in NO<sub>x</sub> within the simulation condition (VOC/NO<sub>x</sub>
- 30 10~100) of this study leads to increases  $Y_{SOA}$  with higher sensitivity to VOC/NO<sub>x</sub> in the
- 31 presence of inorganic seed. Fig. S5 shows the stoichiometric mass coefficients ( $\alpha_i$ ) of
- 32 important products as a function of VOC/NO<sub>x</sub>.

 $Y_{SOA}$  is also dynamically related to inorganic compositions. SOA formation in the absence of inorganic seed is primarily a function of the characteristics of  $i_{m,n}$  and the impact of LWC on isoprene SOA is minimal. However, under ambient conditions SOA will typically be formed in the presence of inorganic aerosol. Variations in the inorganic aerosol composition ( $C_{so}^{-1}$ ) and  $C_{_{NH,^{+}}}$ ) and RH lead to significant changes in LWC and pH. At high LWC, the total volume of absorptive mass  $(M_{mix})$  increases allowing for hydrophilic  $i_{m,n}$  to partition into the aerosol in significant amounts and engage in aerosol phase reaction. Additionally, highly reactive species such as IEPOX will react to rapidly form SOA in the presence of [H+] (Gaston et al., 2014). In Fig 6 the simulated  $Y_{SOA}$  is plotted as a function of the fractional free sulfate (FFS), [( $C_{SO_4^{2-}}$  -0.5  $C_{NH_4^+}$  )/ $C_{SO_4^{2-}}$ ], and RH. Unlike pH, which is very difficult to measure,  $C_{SO_4^{2-}}$ ,  $C_{NH_4^+}$ , and RH data are widely available and easy to measure, which is why FFS and RH were used in Fig 6. Using an ion balance such as FFS alone has been shown to be not representative of actual particle pH (Guo et al., 2015), but providing both FFS and RH allow for estimation of pH within an inorganic thermodynamic model and ease of use by future studies. 

It is difficult to decouple the effects of  $C_{SO_4^{2-}}$ , LWC and pH since sulfate ultimately influences both LWC and pH, but Fig 6 can be used to help elucidate the influence of these effects in UNIPAR. For AS seed (FFS=0.0), sulfate is entirely titrated by ammonia and the lowest  $Y_{SOA}$  occurs below the ERH. As the RH increases, AS becomes deliquesced and the LWC gradually rises leading to an increase in  $Y_{SOA}$ . This is true for the predictions at all small values of FFS due to the increase in the total volume of absorptive mass ( $M_{mix}$ ) associated with increasing LWC, allowing for hydrophilic  $i_{m,n}$  to partition into the aerosol in significant amounts and engage in aerosol phase reactions. However, as the amount of  $C_{NH_4}$  decreases (FFS < 0.7, highly acidic), the effect of increasing LWC reverses, and  $Y_{SOA}$  decreases with increasing LWC due to the dilution of  $C_{SO_4^{2-}}$  and the resulting increase in pH. If RH is held constant, varying FFS allows for investigation of the effect of pH on  $Y_{SOA}$ . Increasing FFS or decreasing pH at constant RH leads to a rapid increase in  $Y_{SOA}$  at all RH due to an increase in the SOA formation from the acid catalyzed reactions of species such as IEPOX. Therefore, sulfate modulates  $Y_{SOA}$  within UNIPAR by controlling LWC and [H<sup>+</sup>] which influence  $k_{AR,i}$  (Eq. 5). The consumption of sulfate by OS formation is accounted for in UNIPAR through a

- reduction in acidity and LWC, but the role of sulfate in reactive uptake as a nucleophile is not
- 2 directly accounted for.

#### 4.3 Simulated composition of isoprene SOA

- 4 Analysis of the contributions of each  $i_{m,n}$  to the overall OM<sub>T</sub> allows for a determination of the
- 5 species that are significant in isoprene SOA for various inorganic compositions. Four
- 6 simulations were performed at 60% RH with AS and SA seeds at org:sulf of 0.5 and 1.5 to
- 7 capture the differences in composition as a result of changes in LWC,  $[H^+]$ , and  $M_{mix}$ .
- 8 The aerosol mass fraction of each  $i_{m,n}$  (MF<sub>i</sub>) under the simulated conditions are shown in Fig
- 9 7. IEPOX has been demonstrated to be an important precursor to ambient (Budisulistiorini et
- al., 2015; Chan et al., 2010b) and laboratory generated (Lin et al., 2012; Paulot et al., 2009)
- isoprene SOA leading to the formation of 2-methyltetrols (Surratt et al., 2010), OS (Liao et
- al., 2015), and other species through aerosol phase reactions in which IEPOX products
- contribute up to 33% of ambient OM in Southeast U.S. (Budisulistiorini et al., 2013). The
- 14 formation of IEPOX derived SOA has been shown to be primarily from the reactive uptake in
- the presence of LWC and [H<sup>+</sup>], but is most highly correlated with aerosol acidity (Gaston et
- al., 2014). In Fig. 7, it can be seen that the MF<sub>i</sub> of IEPOX derived SOA is higher in the
- presence of [H<sup>+</sup>]. When accounting for the yield of each system, the total formation of IEPOX
- derived SOA is much greater in the presence of SA seed than AS seed. Additionally, the MF<sub>i</sub>
- of IEPOX derived SOA falls within the range measured in literature. When org:sulf increases
- from 0.5 to 1.5 in the presence of SA, the reduction of MF<sub>i</sub> of IEPOX products is due to the
- 21 increasing contribution of other  $i_{m,n}$  (7MA and OTHER) while the mass contribution of
- 22 IEPOX remains similar. The MF<sub>i</sub> of glyoxal (GLY) is significant for all four simulations, but
- 23 increases with growth of M<sub>mix</sub> due to its high aqueous solubility and tendency to form
- 24 hydrates that can form oligomers.
- In the absence of acidity,  $k_{AR,i}$  are relatively small and the MF<sub>i</sub> are primarily a function of the
- 26 gas phase concentration, volatility and solubility of i. For example, in the AS seeded SOA
- 27 simulations, 3OS<sub>p</sub>-M (organic peroxides with both an aldehyde and alcohols, Figures S3 and
- S7) contributes more than half of the total mass (Fig. 7) due to its high gas phase
- 29 concentration and low volatility. As LWC and  $k_{AR,i}$  increase (AS to SA seed aerosol and
- org:sulf 1.5 to 0.5), more volatile and reactive  $i_{m,n}$  are able to contribute to MF<sub>i</sub>. Therefore, the
- 31 MF<sub>i</sub> of 3OS<sub>p</sub>-M is significantly reduced in SA seeded SOA as other  $i_{m,n}$  contribute in larger

- fractions. Overall, OM<sub>P</sub> only contributes a small fraction of the total OM<sub>T</sub>, and the MF<sub>i</sub> of the
- 2 partitioning species generally decreases with increasing contribution of other species at higher
- 3 LWC and  $[H^+]$ .

#### 4.4 Model sensitivity, uncertainty, and limitations

- 5 UNIPAR utilizes the chemical structures provided by MCM to estimate the thermodynamic
- 6 properties of the gas phase products, which are lumped based on their calculated vapor
- 7 pressure (8 groups) and aerosol phase reactivity (6 groups). However, since not all
- 8 atmospheric reactions have been studied in detail, MCM determines the products and kinetics
- 9 of unstudied reactions using the known degradation mechanisms of similar chemical species.
- Pinho et al. (2005) evaluated the isoprene mechanism of MCM v3 by comparing the oxidation
- of isoprene and its products methacrolein and methylvinyl ketone to chamber data. The model
- 12 performed reasonably well for these limited products, but a large amount of uncertainty
- remains in regards to the prediction of the hundreds of other isoprene derived products.
- 14 Furthermore, the lumping approach of UNIPAR uses a fixed gas phase composition set at the
- maximum HO<sub>2</sub>/NO for each VOC/NO<sub>x</sub> ratio. This approach does not account for changes to
- the gas phase composition that occur due to continued oxidation.
- 17 Deviation of the estimated p<sup>o</sup><sub>L,i</sub> from the actual p<sup>o</sup><sub>L,i</sub> due to the uncertainty of the group
- contribution method (Sect. 3.1) can change the lumping assignment affecting both OM<sub>P</sub> and
- 19 OM<sub>AR</sub>. The uncertainty associated with the group contribution method used for p<sup>o</sup><sub>L,i</sub>
- estimation is a factor of 1.45 (Joback and Reid, 1987; Stein and Brown, 1994; Zhao et al.,
- 21 1999). The temperature dependency of each lumping group as is calculated as a function of
- 22 the enthalpy of vaporization ( $\Delta H_{vap}$ ) and also has associated uncertainty that can affect the
- 23 model prediction. The error of this method is 2.6% (Kolská et al., 2005). To determine the
- 24 model sensitivity to these parameters, simulations of SA1 were performed by increasing and
- decreasing  $p^{o}_{L,i}$  and  $\Delta H_{vap}$  by a factor of 1.5 and 1.1, respectively. The change in OM<sub>T</sub> from
- 26 the baseline for each simulation is shown in Fig. S6. Increasing and decreasing p<sup>o</sup>Li by a
- factor of 1.5 results in a 32.03% and -26.41% change, respectively, while modifying  $\Delta H_{vap}$
- only leads to  $\pm 0.27\%$  change.
- 29 The thermodynamic model AIOMFAC was employed to generate a simplified
- parameterization to estimate  $y_{mix,i}$  in the SHMP isoprene SOA as a function of O:C, org:sulf,
- 31 RH, and V<sub>mol</sub>. AIOMFAC is a valuable tool for predicting the activity coefficients of complex

1 mixtures, but it has substantial uncertainty resulting from limitations of the database used in 2 development and the error associated with the underlying modules. Moreover, the expected accuracy is limited further by the regression performed in UNIPAR. For the condition 3 4 simulated by UNIPAR,  $\gamma_{mix,i}$  are all near unity (0.65-1.75). Considering the characteristics of a 5 SHMP aerosol, a factor of 1.5 was applied to the predicted  $\gamma_{mix,i}$  and the resulting change in OM<sub>T</sub> is -16.22%/+32.00% (Fig S6), which is similar to the model sensitivity to p<sup>o</sup>L<sub>i</sub>. 6 7 The other parameter largely affecting the simulated SOA formation in UNIPAR is  $k_{AR,i}$ , which 8 is calculated primarily as a function of LWC,  $[H^+]$ , and reactivity of  $i_{m,n}$  (Sect. 3.3.1). 9 Estimations of LWC and [H<sup>+</sup>] are performed by the inorganic thermodynamic model E-AIM. 10 Similar to AIOMFAC, the accuracy of E-AIM will depend on the underlying assumptions and 11 the database used in development. For LWC, the predictions of E-AIM are consistent with other inorganic thermodynamic models and are based on widely used, critically reviewed 12 13 water activity data (Zhang et al., 2000). However, inorganic thermodynamic models vary 14 widely in predicting [H<sup>+</sup>] especially at low RH. This is especially true for ammonia rich 15 inorganic salts at low RH. Corrections for the ammonia rich predictions of [H<sup>+</sup>] were applied based on the results of Li and Jang (2012) in which aerosol [H<sup>+</sup>] was measured using a filter 16 17 based colorimetry method coupled with a PILS-IC. The total uncertainty of this method is approximately 18%. There is also uncertainty stemming from the flow chamber study that 18 19 was used to fit the coefficients used in predicting  $k_{AR,i}$ . To determine the possible sensitivity of the model to the combined uncertainty of the corrected E-AIM and the function used to 20 21 predict  $k_{AR,i}$ , a factor of 2.0 was applied to simulations and the resulting change in OM<sub>T</sub> is 22 approximately  $\pm 13\%$  (Fig S6). 23 Furthermore, not all recent advancements in the understanding of SOA formation mechanisms 24 are accounted for by UNIPAR, including but not limited to SOA viscosity, nighttime chemistry of nitrate radicals (NO<sub>3</sub>\*), and SVOC wall loss. Virtanen et al. (2010) reported that 25 biogenic SOA can exist as amorphous solids or glassy state, which can lead to deviations 26 from equilibrium processes, but Song et al. (2015) found that isoprene derived SOA is of low 27 viscosity under the range of ambient RH. Thus, impact of viscosity on isoprene SOA is 28 minimal. The nighttime reaction of isoprene with NO<sub>3</sub>\* has been found to lead to significant 29 SOA formation due to the formation of stable primary organonitrate (ON). Ng et al. (2008) 30 measured SOA yields up to 23.8% from the dark chamber reaction of isoprene and NO<sub>3</sub>\* 31 under dry conditions (<10% RH), while Rollins et al. (2012) linked NO<sub>3</sub>\* chemistry to 32

- ambient, nighttime SOA production with 27 to 40% of nighttime OM growth from ON.
- 2 Under low NO<sub>x</sub> conditions, isoprene photooxidation has been shown to produce primarily
- 3 tertiary ON in both the gas phase and through aerosol phase epoxide reactions (Eddingsaas et
- 4 al., 2010; Paulot et al., 2009). Darer et al. (2011) investigated the stability of primary and
- 5 tertiary ON and found the tertiary ON to be highly unstable and to rapidly convert to OS and
- 6 polyols in both neutral and acidic SOA. Therefore, it is unlikely that ON significantly
- 7 contribute to the SOA investigated and modeled in this study. A number of recent studies
- 8 have found that the loss of gas phase vapors to chamber walls can compete with gas-particle
- 9 partitioning (Matsunaga and Ziemann, 2010; Zhang et al., 2014, 2015). Vapor wall loss was
- 10 not accounted for in this study and thus the experimental SOA mass may be low biased.
- However, based on the conclusions of Zhang et al. (2015), the high volatility of isoprene
- 12 products likely results in gas-particle partitioning outcompeting vapor wall loss in chambers
- with a large ratio of volume to surface area.
- 14 Another new development in the SOA formation is the discovery of the salting-in and salting-
- out of glyoxal and methylglyoxal (Waxman et al., 2015). While these effects are very
- interesting and likely influence the SOA formation of these species, they are not yet included
- 17 within UNIPAR. The topic will be reconsidered for application within our model once these
- 18 effects have been more comprehensively investigated for a wider range of relevant water-
- 19 soluble organic molecules and inorganic aerosol compositions.
- 20 Some recent studies have also found that C<sub>2</sub>-C<sub>4</sub> compounds (e.g. glyoxal) can form OS when
- 21 neutral AS seeds are irradiated to produce sulfate radicals (Galloway et al., 2009; Nozière et
- 22 al., 2010), but AS seeds are assumed to not form OS in UNIPAR. The primary purpose of OS
- prediction in the model is to account for the reduction of [H<sup>+</sup>] and LWC, which influence
- subsequent reactions in the aqueous phase. However, in neutral AS seeds the formation of OS
- 25 will not impact acidity. The only potential limitation of the current approach is the inability to
- 26 predict the reduction in LWC if significant dialkylsulfate formation occurs in wet AS seed. In
- 27 the presence of acidic seeds, the photo-irradiated OS formation is likely accounted for as  $f_{OS}$
- 28 (Eq. 6) in UNIPAR was semi-empirically determined using the total amount of dialkylsulfate
- 29 formed.
- 30 In the recent Southern Oxidant and Aerosol Study field campaign, Budisulistiorini et al.
- 31 (2015) and Xu et al. (2015) found ambient isoprene SOA formation in the SE U.S. to be most
- 32 highly correlated with  $C_{SO.^{2-}}$ , and insensitive to [H<sup>+</sup>] and LWC. However, in the summer

- 1 months the aerosol of the SE U.S. are highly acidic (pH -1 to 2) and high in LWC due to the
- 2 high RH (> 50%) (Guo et al., 2015). Under these conditions, the formation of isoprene
- derived SOA is not likely to be highly correlated with changes in LWC and [H<sup>+</sup>] since both
- 4 are always high. Yet when comparing neutral and acidic conditions, the presence of acidity
- 5 has repeatedly been shown to lead to increases in  $Y_{SOA}$  (Lin et al., 2012; Surratt et al., 2007).
- 6 Additionally, Xu et al. (2015) found a reduction in isoprene derived SOA with increases RH
- 7 for the highly acidic aerosol of the campaign. A similar reduction with increasing RH is seen
- 8 at high FFS in Fig. 6 due to the dilution of  $C_{so^{2-}}$  and the corresponding [H<sup>+</sup>] by increases in
- 9 LWC.

#### 5 Conclusions and Atmospheric Implications

- 11 Under the assumption of SHMP aerosol, UNIPAR was able to simulate the low NO<sub>x</sub> SOA
- 12 formation of isoprene from partitioning and aerosol phase reactions with and without an
- inorganic acid seed. The data used to validate the model was generated using the UF-APHOR
- outdoor chamber, which allows for day long experiments under ambient sunlight, T and RH.
- 15 For the SOA formation of isoprene in the absence of deliquesced inorganic seeds, UNIPAR
- was able to predict the experimental OM<sub>T</sub> using the same approach that was applied to
- 17 anthropogenic, aromatic VOCs in Im et al. (2014) without any modification. Differences
- between the SHMP SOA formed by isoprene in the presence of deliquesced inorganic seeds
- and LLPS SOA of the previous study required a slight reduction in  $k_{AR,i}$ . After validating the
- 20 model using the measured SOA formation of outdoor chamber experiments, simulations were
- 21 performed to elucidate the sensitivity of  $Y_{SOA}$  and composition to model parameters. From this
- 22 analysis it was determined that the  $Y_{SOA}$  of isoprene and the resulting SOA composition is
- primarily a function of VOC/NO<sub>x</sub>, [H<sup>+</sup>], and LWC. For the range of VOC/NO<sub>x</sub> investigated
- 24 in this study ( $\geq 10$ ), increases in NO<sub>x</sub> corresponded with increases in Y<sub>SOA</sub> and a higher
- sensitivity to [H<sup>+</sup>]. This is due to the increased production of highly reactive carbonyls, such
- as glyoxal, and a more general shift to lower volatility (Figure S6).
- Changes in  $[H^+]$  and LWC were shown to strongly influence  $Y_{SOA}$  (Fig 6). At a given RH,
- 28 increases in [H<sup>+</sup>] result in increased OM formation. For titrated acidic aerosol, increases in
- 29 RH lead to gradual increases in  $Y_{SOA}$ . However for highly acidic aerosol (FFS $\geq$ 0.75), increases
- in RH decrease  $Y_{SOA}$  due to dilution of [H<sup>+</sup>]. Overall, isoprene SOA formation was found to
- 31 be most sensitive to  $[H^+]$  with the highest  $Y_{SOA}$  occurring at high FFS and low RH.

Due to the pervasiveness of isoprene in the ambient atmosphere, any variation in  $Y_{SOA}$  will 1 have a strong influence on the global SOA budget and needs to be accounted for by climate 2 and air quality models. Since the experimental runs and simulations performed in this study 3 4 were at concentrations beyond those of the ambient atmosphere, additional simulations were 5 performed to estimate  $Y_{SOA}$  for conditions more representative of the ambient atmosphere. The  $\Delta$ ISO during each  $\Delta$ t was assumed to be constant and estimated assuming a pseudo first order 6 7 reaction with OH using an isoprene concentration of 2.4 ppb from the rural measurements of Wiedinmyer et al. (2001) and a OH concentration of 1.0E6 molecules/cm<sup>3</sup>. Using a  $C_{so.^{2-}}$  of 8  $5.55~\mu g/m^3$  and  $OM_o$  of  $3~\mu g/m^3$  based on the non-urban continental composition of 9 10 submicron PM from the review of Heintzenberg (1989), two sets of simulations were performed for AS and AHS at RH of 30% and 60% and VOC/NO<sub>x</sub>=10. The simulated Y<sub>SOA</sub> of 11 AS are 0.01695 (OM<sub>T</sub> =  $0.329 \mu g m^{-3}$ ) and 0.0207 (OM<sub>T</sub> =  $0.402 \mu g m^{-3}$ ), and of AHS are 12  $0.0446 \text{ (OM}_T = 0.867 \text{ } \mu\text{g } \text{m}^{-3}\text{)}$  and  $0.0449 \text{ (OM}_T = 0.873 \text{ } \mu\text{g } \text{m}^{-3}\text{)}$  at 30% and 60% RH, 13 respectively. The  $OM_T$  formation and associated  $Y_{SOA}$  were calculated after an eight hour 14 15 simulation. AS at 30% RH is the seen as the baseline as it is below the ERH. Increasing the 16 RH to 60% leads to a 22% increase in  $Y_{SOA}$  for AS due to the increased LWC. The presence of 17 AHS seeds and the resultant increase in [H<sup>+</sup>] leads to an increase of 163% and 165% in Y<sub>SOA</sub> 18 over the baseline at 30% and 60% RH, respectively. These results support the conclusion that 19 the SOA formation of isoprene is more sensitive to [H<sup>+</sup>] than to LWC, but dynamically related to both. Furthermore, while the SOA formation of isoprene may be reasonably 20 predicted as a linear function of [H<sup>+</sup>] for a specific RH and VOC/NO<sub>x</sub>, as is proposed by 21 22 Surratt et al. (2007), a single linear relationship will not hold at different RH for a single VOC/NO<sub>x</sub> or under the possible range of conditions in the ambient atmosphere. In the 23 application of UNIPAR to the aromatic LLPS SOA system, Im et al. (2014) found the  $Y_{SOA}$  of 24 25 toluene to be higher in the presence AHS than AS at 30% RH, but the same at 60% RH meaning that the SOA formation of toluene is less sensitive to [H<sup>+</sup>] but more sensitive to 26 LWC than isoprene. The relationship between  $Y_{SOA}$ , LWC, and  $[H^+]$  will not only vary 27 dynamically for different VOC/NO<sub>x</sub> but also between different VOC systems. Failure to 28 account for these relationships in regional and global scale models may lead to significant 29 underestimation of SOA formation in acidic and humid conditions. 30

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Table 1. Experimental conditions and resulting SOA data of the isoprene photooxidation experiments performed with and without inorganic acidic seed in the dual, outdoor UF APHOR chambers. <sup>a</sup> SOA yield ( $Y_{SOA} = \Delta OM/\Delta Iso$ ) is calculated at the point of maximum organic mass (OM). <sup>b</sup> In Exp. SO2, SO<sub>2</sub> (g) was injected into the chamber to generate acidic seeds instead of directly injecting H<sub>2</sub>SO<sub>4</sub> (aq).

Exp.	Date	RH (%)	Temp (K)	[ISO] <sub>0</sub> (ppb)	[NO <sub>x</sub> ] <sub>0</sub> (ppb)	VOC/NO <sub>x</sub> (ppbC/ppb)	[H <sub>2</sub> SO <sub>4</sub> ] (μg m <sup>-3</sup> )	Y <sub>SOA</sub> <sup>a</sup> (%)
ISO1	2015-01-27	27-66	279-298	839	241	17.4	0	2.5
SA1	2015-01-27	20-54	279-299	850	253	16.8	53	8.5
ISO2	2014-12-14	19-49	282-303	852	131	32.7	0	0.7
SA2	2014-12-14	14-40	284-305	857	130	32.5	40	4.8
SO2	2014-01-18	48-91	273-292	627	91	34.6	26 <sup>b</sup>	3.0

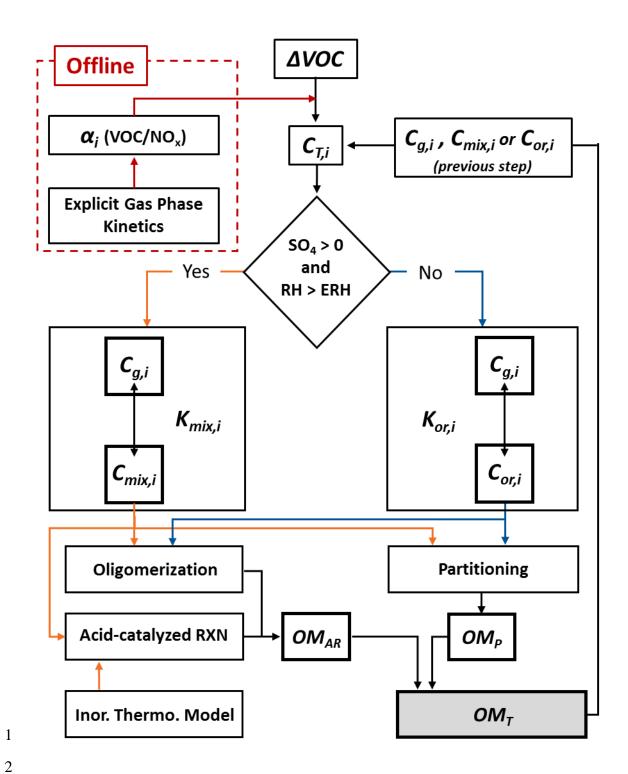


Figure 1. The overall schematic of the model applied to simulate isoprene SOA within UNIPAR.  $C_{T,i}$  is the total concentration of each lumping species, i, and  $C_{g,i}$ ,  $C_{mix,i}$ , and  $C_{or,i}$  are the concentrations of each i within the gas, single homogenously mixed (SHMP) aerosol, and organic-only aerosol, repestively.  $\Delta$ VOC is the consumption of the volatile organic compound of interest in each time step.  $\alpha_i$  is the stoichiometric mass ratio of each i, which is calculated

offline as a function of  $VOC/NO_x$  based on explicit gas phase simulations, and is used to distribute the total  $\Delta VOC$  between each i.  $K_{mix,i}$  and  $K_{or,i}$  are the equilibrium partitioning coefficients for the SHMP and organic-only aerosol, respectively.  $OM_T$ ,  $OM_P$  and  $OM_{AR}$  are the total organic mass and the organic mass from aerosol phase reactions and partitioning, respectively.

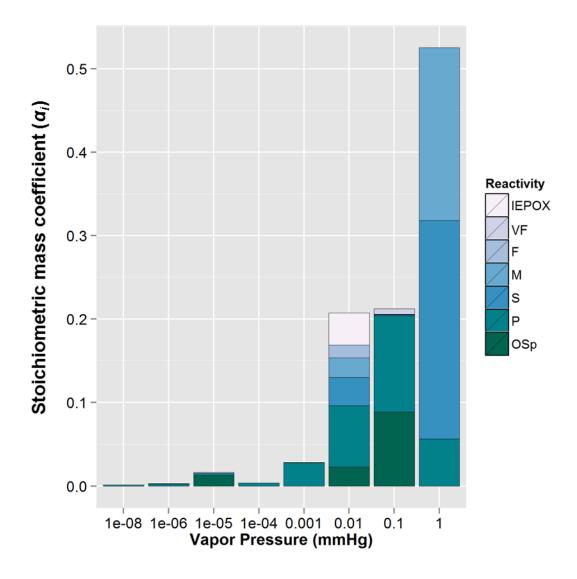


Figure 2. The stoichiometric mass coefficients ( $\alpha_i$ ) of each lumping group at a VOC/NO<sub>x</sub> (ppbC/ppb) of 25. The photooxidation products predicted by an explicit gas phase chemical mechanism are lumped as a function of vapor pressure (x-axis, 8 bins) and aerosol phase reactivity (y-axis, 6 bins). The aerosol phase reactivity bins are very fast (VF,  $\alpha$ -hydroxybicarbonyls and tricarbonyls), fast (F, 2 epoxides or aldehydes,), medium (M, 1 epoxide or aldehyde), slow (S, ketones), partitioning only (P), organosulfate precursors (OS<sub>P</sub>, 3 or more alcohols) and IEPOX products, which were lumped separately to more easily quantify their contribution.

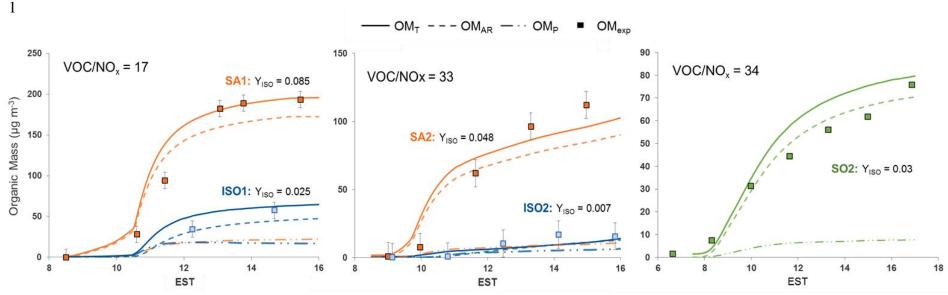


Figure 3. Time profiles of the experimentally measured and simulated SOA mass concentrations resulting from the photooxidation of isoprene. Data from experiments performed in the absence of inoroganic seed is shown in blue, in the presence of sulfuric acid in orange, and in the presence of inorganic seed generated from  $SO_2$  photooxidation in green. Solid, dashed, and dashed-dotted lines represent the simulated total organic mass ( $OM_T$ ), organic mass from aerosol phase reactions ( $OM_{AR}$ ), and organic mass from partitioning ( $OM_P$ ), respectively. The experimental measured organic mass ( $OM_{exp}$ ) is shown with square markers and is corrected for particle wall loss. The VOC/NO<sub>x</sub> (ppbC/ppb) are shown for each experiment.

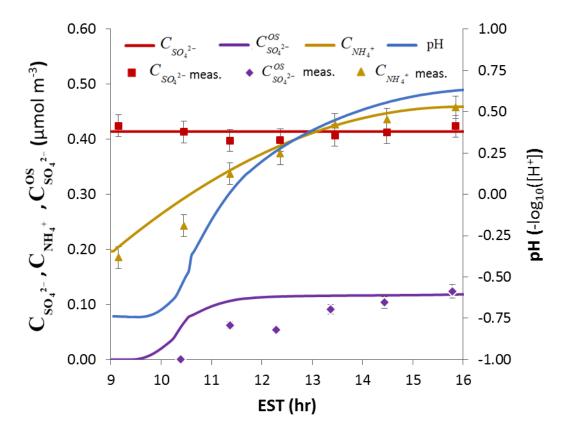


Figure 4. Time profiles of the total inorganic sulfate  $(C_{SO_4^{2-}})$  and ammonium  $(C_{NH_4^+})$  concentrations, and RH from Experiment SA2, along with the measured and model predicted concentrations of the sulfate associated with organosulfates (OS)  $(C_{SO_4^{2-}}^{oS})$ , and the predicted particle pH. The experimentally measured sulfate and ammoinum concentrations  $(C_{SO_4^{2-}})$  meas. and  $(C_{NH_4^+})$  meas.) are shown along with the model values.

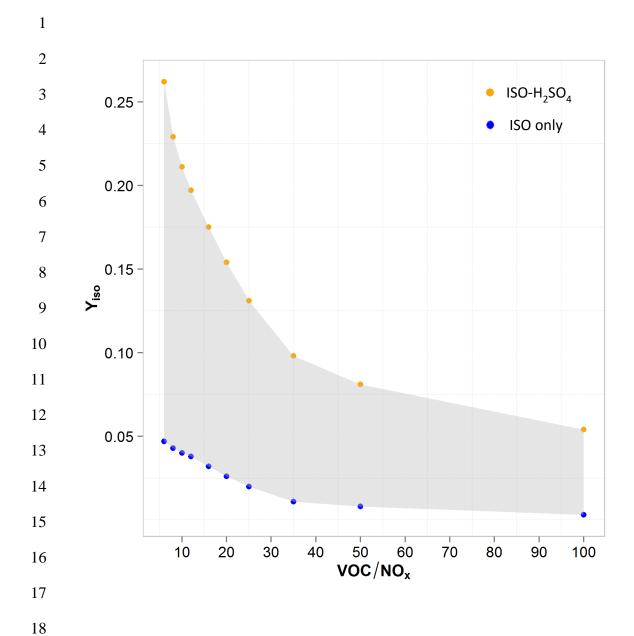


Figure 5. Simulated isoprene SOA yields ( $Y_{SOA} = \Delta OM/\Delta Iso$ ) as a function of VOC/NO<sub>x</sub> (ppbC/ppb) for values 10 to 100. The simulations were performed using the experimental conditions of SA1 (Table 1) without inorganic seed (blue) and in the presence of untitrated sulfuric acid (orange).

## Maximum Isoprene SOA Yield

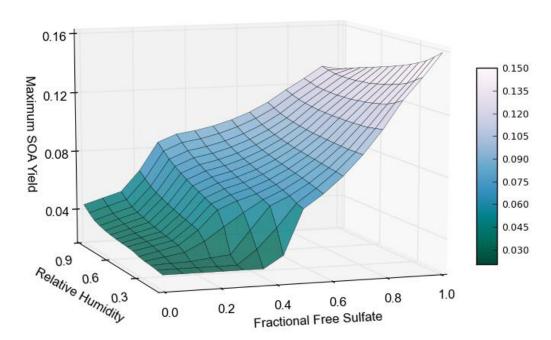


Figure 6. Simulated isoprene SOA yields ( $Y_{SOA} = \Delta OM/\Delta Iso$ ) as a function of relative humidity (RH) and fractional free sulfate (FFS=  $(C_{SO_4^{2-}} - 0.5 C_{NH_4^+})/C_{SO_4^{2-}}$ ). Using the experimental conditions of SA1, the RH and FFS were varied to determine the impact of acidity and aerosol liquid water content on  $Y_{SOA}$ .

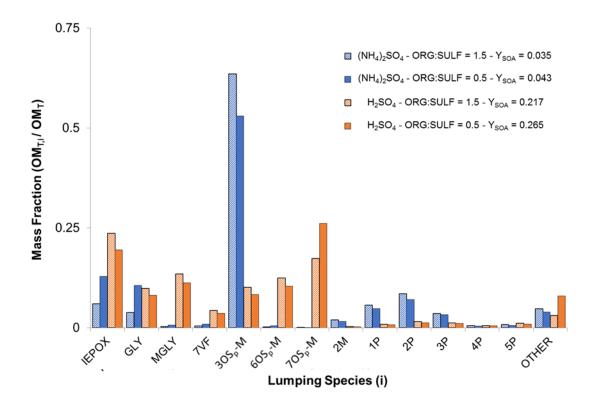


Figure 7. The mass fraction (MF<sub>i</sub> = OM<sub>T,i</sub>/OM<sub>T</sub>) of each lumping species, i, that contribute significantly to the simulated isoprene SOA in the presence of ammonium sulfate, (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>, and sulfuric acid seeds, H<sub>2</sub>SO<sub>4</sub>, at organic to sulfur mass ratios of 0.5 and 1.5. The MF<sub>i</sub> of the remaining lumping groups are summed and included in 'OTHER.' The MF<sub>i</sub>, Y<sub>SOA</sub>, and org:sulf are calculated at the point of maximum SOA mass with an initial VOC/NO<sub>x</sub> ratio of ~17 (Exp. SA1 in Table 1).