

Response to the reviewers

We are grateful to the Editor and the two Reviewers for their precious times in reviewing our manuscript. The comments and suggestions of the Reviewers are very helpful and valuable. The issues raised by the reviewers have been addressed (in blue color) in the revised manuscript. Kindly find a point-by-point reply to the issues as follows (presented in blue color).

Reviewer #1:

1. Fig. 1b presents the SD of AOD, does it the SD mean Shandong province? It is confused.

Response: Thank you for your nice reminder. The SD presented in Figure 1b means the standard deviation of AOD and has been corrected in the revised manuscript.

2. Annual variations of AOD were shown in Fig.2. More explanations should be given to discuss the reasons.

Response: Thank you for your important suggestion. In the revised manuscript, two data sets are combined to explore the reasons for annual variations. One is the MODIS fire product that shows the sudden increase in pollution emissions caused by biomass burning in areas. The other is the relative humidity and wind speed issued by NCEP, which may influences AOD via light extinction efficiency of aerosols and modulates the distribution of pollutants. It is found that interannual variation of fire number and relative humidity in the East China are weakly correlated with that of the AOD, However, the interannual variation of the wind speed in lower troposphere was significantly correlated with that of the AOD at 95% confidence level. This implies that the movements of air in vertical and horizontal directions play key roles for the variation of the AOD in the East China in the interannual scale. Therefore, the synoptic patterns were focused. Please find the detailed discussions in the revised manuscript.

3. Fig. 4 and Fig. 5 discussed the two types of air pollution. More discussions should be given how the surface, 850 hPa and 500 hPa circulation match each other to induce the worst air quality or lead to clean air. Temperature contours were also shown, how the temperature influence the AOD distribution?

Response: Thank you very much for your suggestion.

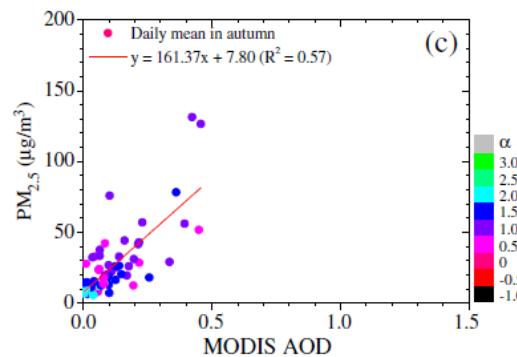
(1) The different circulations corresponding to the two typical cases can cause opposite diffusion conditions in vertical and horizontal direction.

1 According to your suggestion, in the revised manuscript, the vertical
2 velocity and divergence of winds are quantitatively depicted to show the
3 relationship of atmospheric circulation with the AOD distribution in the
4 Figure 5 and Figure 6.

5
6 (2) How the temperature influenced the AOD distribution is not easily
7 obtained in this manuscript. It seems that the warm and cold air flows may
8 have such influences. In our work, the temperature field is mainly used to
9 indicate if there are frontal activities in the East China. We found cold
10 front systems in one typical type. In the future, how the temperature is
11 influenced by the AOD distribution will be investigated from view of
12 radiation.

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16 4. It is better to add the emission inventory in the MS in order to show the relationship
17 between AOD and emission distribution.

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19 **Response:** Many thanks for your nice suggestion. We really want to show the
20 emission distribution in the East China. Unfortunately, the emission data is not
21 available for us in short time. But the previous studies may help us to illustrate the
22 relations between the AOD and the emission distribution. Actually, the AOD data
23 are widely used to enhance the understanding of variations in air quality in local
24 or regional or global scales due to their sensitivity to total abundance of aerosols
25 (Chu et al., 2002; Al-Saadi et al., 2005; Lin et al., 2010). For example, Xin et al
26 (2014) investigated the relationships between daily observed PM2.5 concentration
27 and aerosol optical depth (AOD) in China, they pointed out that there was a high
28 correlation between the two variables, the MODIS AOD are valuable and capable
29 of retrieving the surface PM2.5 concentration as the linear regression function and
30 the correlation coefficient square is $R^2=0.57$ in autumn (Fig. 1A). Therefore, the
31 AOD are applicable in Chinese regions to characterize aerosol distributions.



33
34 Fig. 1A: The scatterplots and the relationships between the PM2.5 concentration
35 and the MODIS AOD at 550 nm in the autumn. (From: Xin et al, 2014)

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2 5. Six atmospheric patterns were summarized for polluted episodes. The MS only simply
3 described the phenomena according to the figures. More quantified index should be used
4 to indicate the difference among the six types.

5
6 **Response:** Many thanks for the valuable suggestion. According to your
7 suggestion, we have tried many indexes and eventually find that the values of
8 vertical velocity and divergence of wind field are two optimum indexes to
9 compare the differences in diffusion conditions for each type quantitatively.
10 However, it is indeed difficult to find a quantified index to indicate all differences
11 in atmospheric patterns, such as the diverse position of the weather system, the
12 various direction of wind and so on, therefore, the schematic diagram (Figure 17)
13 are combined in the revised manuscript to clearly show the characteristics of the
14 general circulations, so that the readers can easily identify the differences between
15 the patterns by those indicative marks.

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18 6. Vertical structure should be shown to explain the influence of circulation.

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20 **Response:** Thank you for your very important suggestion. Based on your request,
21 the vertical cross section of vertical velocity and divergence of wind have added
22 in the revised manuscript (Figure 1, Figure 5-6, Figure 8-16) to address the
23 vertical movement of air flows and convergence of winds on each level. The
24 results show uniform descending flows prevails in the East China, moreover, the
25 degree of the pollutant accumulation in the lower atmosphere is determined by the
26 intensity of downward flows. Therefore, the divergence of wind field at different
27 layer plays a key role in determining the column AOD. In the revised manuscript,
28 the corresponding discussions can be found in Section 3 and 4.

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1 **Reviewer #2:**

2 **Major concerns:**

3 1. The patterns of circulation are not clear to me although it is stated that each type
4 represents specific weather pattern associated with lower and upper atmospheric
5 circulation. The authors should add some schematic highlights (arrows or other indicative
6 marks in Figures 4, 5, and 7-15, so that the readers can identify easily the differences
7 between the patterns listed in Table 1.

8

9 **Response:** Thank you very much for your important suggestion. According to
10 your suggestion, the schematic diagram which clearly show the general circulation
11 characteristics of nine types are presented in the revised manuscript, the indicative
12 marks in different colors represent for the weather system at different layer in the
13 corresponding figure which is named as Figure 17.

14

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16 2. Accumulation of air pollutants depends on the convergence of winds and the stable
17 atmosphere that does not favor the outflow of air pollutants. The manuscript lacks such
18 information in their analyses.

19

20 **Response:** Many thanks for your crucial suggestions. Based on your request, the
21 vertical cross section of vertical velocity and divergence of wind have added in
22 the revised manuscript (Figure 1, Figure 5-6, Figure 8-16) to address the vertical
23 movement of air flows and convergence of winds on each level. The results show
24 uniform descending flows prevails in the East China, moreover, the degree of the
25 pollutant accumulation in the lower atmosphere is determined by the intensity of
26 downward flows. Therefore, the divergence of wind field at different layer plays a
27 key role in determining the column AOD. In the revised manuscript, the
28 corresponding discussions can be found in Section 3 and 4.

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31 **Minor concerns:**

32 1. Line 9 of Page 3287: There should be a ‘.’ after ‘factors’.

33

34 **Response:** Thank you for your nice reminder. We have corrected in the revised
35 manuscript.

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38 2. Line 12 of Page 3287: It is not right to say that meteorological parameters are under the
39 control of circulation. For example, atmospheric circulation is influenced by temperature
40 gradient.

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42 **Response:** Thank you for your reminder. We have corrected in the revised

1 manuscript that meteorological parameters are closely associated with the
2 circulations.

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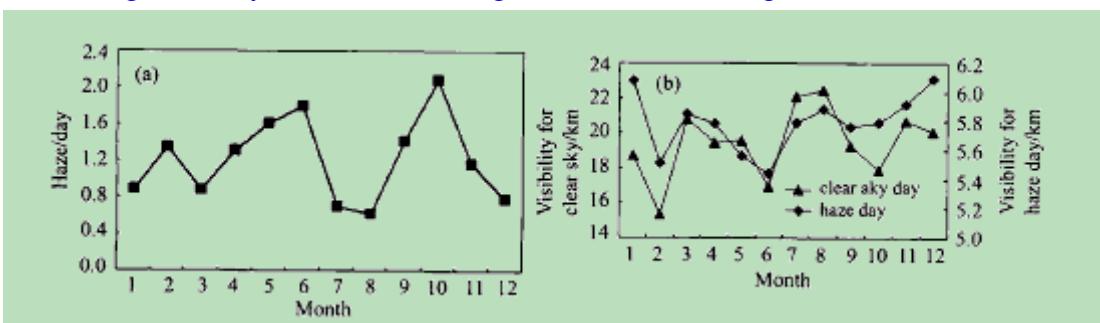
5 3. Last paragraph of Page 3287: The authors chose to study for October. Some background
6 information should be given here: Usually what are the most polluted months among a
7 year based on the measurements from other approaches? Is October the worst month or a
8 relatively clean month? How about relative humidity in October since AOD is examined
9 in this work.

10

11 **Response:** Thank you for these important suggestions.

12 (1) Due to the ground-based observational data in most area of East China are
13 unavailable, we take Anhui Province in East China as an example to show the
14 pollution level of each month based on the measurements from 80 meteorological
15 observation stations. According to Yang et al (2013), the occurrence of haze, i.e.
16 the frequent of haze, reached the maximum in October. Besides, according to Fig.
17 1A (1b), the visibility in October haze day (5.4km) is the lowest during the
18 autumn. Consequently, October is a relative worse month. Since we focus on the
19 season of autumn, October is undoubtedly selected as a representative month for
20 investigation. The above discussion has been added in the last paragraph of the
21 first section in the revised manuscript.

22 (2) We have added the information of relative humidity in Figure 2b in the revised
23 manuscript. In Figure 2b, the relative humidity is around 55% for all years except
24 2001 and 2009, namely, the variation of the relative humidity is not evident.
25 Furthermore, as demonstrated by Twohy et al (2009), the elevated relative
26 humidity can cause an increase of the AOD owing to its impacts on hydrophilic
27 aerosols, whereas the correlation efficient between relative humidity and AOD is
28 -0.4 during the study time and is not significant at the 0.1 significance level.



29
30 **Fig 1A:** The (a) number of haze day and (b) visibility for clear/haze day in each
31 month averaged from 2001 to 2009 (From: Yang et al, 2013)

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34 4. Line 16 on Page 3291: What do you mean by 'stable correlation'? Explain.

35

36 **Response:** Thank you for the comment. Sorry for the ambiguous "stable
37 correlation". In the revised manuscript, "close correlation" is used to describe the

1 relationship between the SLP (sea level pressure) and surface meteorological
2 factors.

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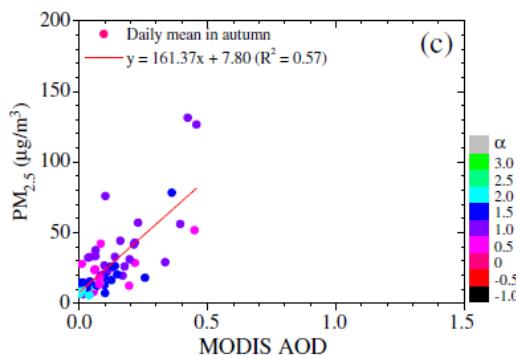
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6 5. Line 26 on Page 3291: What are the approximate concentrations of PM2.5 that
7 correspond, respectively, to AOD values of 0.6 and 0.4 in October?

8

9 **Response:** Many thanks for your suggestion. As shown by Xin et al (2014), there
10 is a high correlation between the daily observed PM2.5 concentration and aerosol
11 optical depth (AOD) in North China, and the MODIS AOD were valuable and
12 capable of retrieving the surface PM2.5 concentration as the linear regression
13 function. Fig. 2A describes the linear regression function of autumn, and the
14 correlation coefficient square R^2 is 0.57. According to the linear regression
15 functions of the monthly PM2.5 concentration (y) with the MODIS AOD (x): $y =$
16 $161.37x + 7.80$, when $x=0.4$, then $y=72.34$ and when $x=0.6$, then $y=104.62$, these
17 two values corresponds to Moderate and Lightly Polluted respectively (as seen in
18 following Table 1A). These also confirm that the definition of the AOD threshold
19 is suitable for our study.



AQI	PM _{2.5} (ug/m ³)	Pollution level
0-50	0-35	Good
50-100	36-75	Moderate
100-150	76-115	Lightly Polluted
150-200	116-150	Moderately Polluted
200-300	151-250	Heavily Polluted
>300	>250	Severely Polluted

Table 1A. Air quality CLASS

6. Caption of Figure 1 needs to be rewritten. Otherwise it reads as the mean distribution of
(b) SD of AOD.

Response: Thank you for your nice reminder. We have corrected in the revised manuscript.

7. Lines 15-17 of Page 3293: Biomass burning can increase AOD because of the enhanced emissions. Such pollution can be identified from AOD but may not be caused by circulation. Does this influence conclusions from this work?

Response: Thank you very much for the important comment. Generally, temporal and spatial variation of the distribution of the AOD is controlled by synoptic patterns and the distributions of emission sources. In this manuscript, we suggested that the anthropogenic emissions are quasi-stable in the East China based on results issued by Xu et al. (2011) that the anthropogenic emissions of widespread pollutant sources are almost constant during a given season in China. As for the biomass burning generated by rural fires, our studies show that it does not influence the interannual variation of the AOD (Figure. 2b). For nine types concluded in the manuscript, we compared the types with almost same fire numbers to reduce the sudden influences from biomass burning and confirm the impacts of atmospheric condition, which added the in the revised Discussions and Figure 18. So, such pollution caused by the biomass burning in rural areas in the East China does not influence the conclusions from our study.

8. Line 21 of Page 3292: Fig 1c actually shows clock-wise winds over selected region.

Response: Thank you for your careful reminder. We have corrected in the revised manuscript.

9. For the purpose of this work, it will be more interesting to show interannual variations in Fig 2b by giving daily AOD so that the readers can see year-by-year variation in pollution events.

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2 **Response:** Many thanks for the valuable suggestion. According to your
3 suggestion, we have changed daily AOD anomaly to the daily AOD in Figure 2a.
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6 10. English needs to be improved; Chinese style English can be seen in many places
7 throughout the manuscript.
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9 **Response:** Thank you for your nice reminder. We have corrected in the revised
10 manuscript.
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1 **Impacts of Atmospheric Circulations on Aerosol Distributions in**
2 **Autumn over East China: Observational Evidences**

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1 ABSTRACT

2 Regional heavy pollution events in East China (110°E-122°E, 28°N-40°N) are
3 causing serious environmental problems. Pollutants emission due to human activity
4 associated with high urbanization and rapid economic development are considered as
5 an important cause. However, appropriate weather condition is another causing factor
6 which should not be ignored. In this study, the relationship between regional pollution
7 status and larger scale atmospheric circulations over East China in October is
8 investigated using ten-year (2001-2010) MODIS/Terra aerosol optical depth (AOD)
9 product and the NCEP reanalysis data together with case analysis and composite
10 analysis. Generally, statistics in East China show values of mean AOD vary from 0.3
11 to 0.9 in October over the region, and larger AOD variances are accompanied with the
12 distribution of higher average AOD. Eighteen pollution episodes (regional mean
13 AOD>0.6) and ten clean episodes (regional mean AOD<0.4) are selected and then
14 categorized into six polluted types and three clean types, respectively. Each type
15 represents different weather pattern associated with the combination of lower and
16 upper atmospheric circulation. Generally, the uniform surface pressure field in East
17 China or steady straight westerly in middle troposphere, particularly the rear of
18 anticyclone at 850hPa, are typical weather patterns responsible for heavy pollution
19 events, while clean episodes occur when strong southeastward cold air advection
20 prevails below the middle troposphere or air masses are transported from sea to the
21 mainland. **Furthermore, since uniform descending motion prevails over the region,**
22 **which gather pollutants together in the lower layer, the value of vertical velocity**

1 averaged from 1000hPa to 100hPa and divergence of wind field in lower troposphere
2 are used to quantitatively compare the diffusion conditions in each circulation type.
3 The results reveal that it is usually an clean episode when both the mean downward
4 motion of air currents and divergence of low level winds are strong, which are large
5 than 2.56×10^{-2} Pas $^{-1}$ and 1.79×10^{-2} s $^{-1}$ respectively, or else it is more likely to be a
6 pollution episode. The above studies are especially useful to the government decision
7 making on balance of economic activities and pollution mitigations.

8 **Key words:** East China, AOD, atmospheric circulations, pollution episodes, clean
9 episodes

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11 1. Introduction

12 Concerning that aerosols can perturb the radiation budget of the
13 earth-atmosphere system, influence the climate, and degrade air quality (Kaufman et
14 al. 2002), they have attracted high attention for a long time (Twomey 1977; Rosenfeld
15 et al. 2004; Zhao et al. 2006a, 2006b; Rosenfeld et al. 2007; Li et al. 2011; Koren et al.
16 2012; Zhao et al. 2012; Zhao et al. 2013a, 2013b; Chen et al. 2014). Particularly, with
17 the rapid urban growth and development of various industries during last decades, the
18 high concentration of atmospheric pollutants has become one of the major
19 environmental problems which usually pose threats to human health (Donaldson et al.
20 2001; Kan and Chen 2004; Janssen et al. 2011). However, appropriate weather
21 condition is another factor which should not be ignored for these environmental
22 problems (Zhao, et al., 2010; Xu et al., 2011). Therefore, to understand the

1 mechanisms that control spatiotemporal distribution of aerosols, extensive
2 investigations have been carried out to study the relationship between the air quality
3 and multiple factors. Generally, although the characteristics of regional air quality
4 over a region depend on many complex elements, the major contributions are the
5 emission of the pollutants together with large scale meteorological conditions (Chen
6 et al. 2008a; Chen et al. 2008b). Ziomas et al. (1995) pointed out that in an urban
7 environment, the serious air pollution episodes are not attributed to sudden increases
8 in the emissions of pollutants but caused by meteorological conditions which are
9 unfavorable for dispersion. Normally, the anthropogenic emissions of widespread
10 pollutant sources are quasi-stable in East China, air pollution status here is subject to
11 large scale atmospheric conditions to a great extent (Xu et al. 2011). In some other
12 regions, strong links between the concentrations of aerosols and certain synoptic
13 weather conditions have already been identified (Demuzere et al. 2009; Saavedra et al.
14 2012). It has also been revealed that under the circumstances of the same pollutant
15 emission quantity, the ground concentrations of pollutants vary directly with different
16 synoptic patterns (Wang et al. 2001).

17 In fact, the weather condition which consists of a number of meteorological
18 parameters (wind speed and direction, temperature, relative humidity, precipitation
19 etc.) and synoptic patterns analyzed in terms of atmospheric circulations, can
20 contribute to the vertical redistribution and long-range transport of air pollutants
21 leading to an accumulation or a dispersion of aerosols (Cheng et al. 2007; Ding et al.
22 2009). A growing body of research is showing the important effects of weather

1 conditions on distribution of pollutants and atmospheric pollution levels. For example,
2 Tanner and Law (2002) investigated the impacts of meteorological parameters (wind
3 speed, wind direction, temperature, relative humidity and solar radiation intensity) on
4 the frequency of high-level pollution episodes in Hong Kong. Ding et al. (2004)
5 successfully simulated the wind patterns of sea-land breezes and the planetary
6 boundary layer (PBL) heights to illustrate the meteorological cause of the
7 photochemical ozone episode, which is associated with Typhoon Nari, in the Pearl
8 River Delta of China. They contrast the characteristics of dispersion and transport on
9 pre-episode and episode days. Xu et al. (2011) confirmed the deterministic impacts of
10 wind speed and wind direction on the concentration of various trace gases at a
11 suburban site between 2 mega-cities. Csavina et al. (2014) examined dust events in
12 two semi-arid sites, and then showed a complex, nonlinear dependence of PM_{10} on
13 wind speed and relative humidity.

14 The synoptic scale circulations represent a certain atmospheric conditions at a
15 given region through its close association with various meteorological parameters
16 such as wind speed, wind direction, temperature, etc. (Shahgedanova et al., 1998;
17 Kassomenos et al., 2003; Chen et al., 2009). Consequently, instead of using different
18 kinds of weather factors, some relevant studies that used atmospheric circulation
19 patterns have been carried out. For example, Shahgedanova (1998) employed
20 components analysis and cluster analysis for Moscow to develop seasonal synoptic
21 indices which can examine weather-induced variability in CO and NO_2 concentrations,
22 they concluded that anticyclonic conditions in spring, summer and autumn are

1 introductory to high pollution levels. Flocas et al. (2008) assessed the circulation
2 patterns at the mean sea level for a period of 15 years and distinguished four synoptic
3 scale types, they found the presence of an anticyclone accounted for highest
4 percentage of pollution episode over Greece. Moreover, Zhang et al. (2010) used
5 numerical mode method to simulate the impacts of weak/strong monsoon circulations
6 on interannual variations of aerosols over eastern China under the conditions of same
7 anthropogenic emissions, they suggested that the decadal-scale weakening of the East
8 Asian summer monsoon is responsible for the increase in aerosol concentrations over
9 eastern China. By using independent satellite products, Zhao et al. (2010) showed
10 consistent disappearance of CO and O₃ enhancements over southeastern China at the
11 onset of East Asian summer monsoon and reemergence after the monsoon wanes,
12 these confirmed strong modulation effects of monsoon system on regional air quality.
13 Liu et al. (2013) further demonstrated a potential influence from the variation of
14 large-scale circulation, El Nino Southern Oscillation, upon the interannual fluctuation
15 of summertime aerosol optical depth (AOD). Russo et al. (2014) applied the analysis
16 on 10 basic circulation weather types characterized through the use of a set of indices,
17 and their results showed that easterlies prevailed during pollution episodes of three
18 pollutants (NO₂, PM₁₀, O₃) in Portugal.

19 The aforementioned works suggest that synoptic types play a crucial role in the
20 formation of a pollution episode, they established predictive connection between air
21 quality and circulation patterns over various regions, and provided valuable scientific
22 basis for weather forecast operation. To the authors' knowledge, even though some

1 attempts have been conducted to study the similar relationships in China , most of
2 them chose to study a single city (Wang et al 2007, Chen et al. 2008b, Guo et al 2013)
3 rather than a regional scale area (e.g., East China in this study). East China, as a
4 highly urbanized region, with rapidly increasing of industrial and automotive
5 emissions, is frequently characterized by poor air quality (Ding et al., 2008; He et al.
6 2012). Therefore, the understanding of a predictable relationship between circulation
7 patterns and air qualities is considered significant for the quick indication of the
8 pollution episodes.

9 In present study, we evaluated the above relationship during autumn using
10 ten-year (2001-2010) MODIS/Terra aerosol optical depth (AOD) product and
11 atmospheric circulations of NCEP reanalysis data. The choice of autumn is in
12 consideration of the following reasons. First, in contrast with other seasons, the local
13 atmosphere structure of autumn is more stable and mainly influenced by the
14 large-scale synoptic system, the dynamic impact is stronger than the thermal effect.
15 These features reduce the influence of complex mesoscale weather systems and
16 small-scale weather disturbances, thus more suitable for the study of large-scale
17 atmospheric circulations. Second, the wet deposition effect is weaker due to the less
18 precipitation in autumn (Chen et al. 2012), which also ensures the availability of AOD
19 data. Finally, previous researches rarely focused on the pollution episodes during
20 autumn. In addition, Anhui province is taken as an example to show the pollution
21 level of each month in East China (Yang et al., 2013), the occurring frequency of haze
22 day for Anhui is the highest in October during a whole year, based on the

1 measurements from 80 meteorological observation stations. Therefore, October is
2 selected as a representative autumn month for our present work. The paper is
3 organized as follows: a brief introduction of the data and processing methodology
4 used in this study is presented in section 2. In section 3 and 4, we describe the
5 interannual variability of AOD over East China and then extend our exploration to the
6 relationships between AOD and characteristics of synoptic circulations through
7 statistical and synthetic analysis. After that, conclusions are given in section 5, in
8 which general characteristics of various types associated with different spatial
9 distributions of AOD over East China are summarized.

10 **2. Data and Methods**

11 Data used in this study and methods for sampling high/low AOD cases are
12 described in this section. The research considers the period from 2001 to 2010 and the
13 region between 110°E to 122°E, 28°N to 40°N.

14 **2.1 Pollution data**

15 The main data set used to describe air quality is the daily averaged Collection 5.1
16 level 3 AOD products (at 1 degree horizontal resolution) which are derived from the
17 Terra's Moderate-resolution Imaging Spectroradiometer (MODIS), data products are
18 accessible at <http://ladsweb.nascom.nasa.gov/data/search.html>. Compared to the
19 ground based data, MODIS provides long-term continuous observations for the spatial
20 and temporal distribution of aerosol, which is convenient for developing multi-aspect
21 researches. AOD is the degree to which aerosols prevent the transmission of light by
22 scattering or absorption effect. By using the MODIS/Terra aerosol data, Kim et al.

1 (2007) evaluated the temporal and spatial variation of aerosols over East Asian. Wu et
2 al. (2013) pointed out that MODIS data were usually valid throughout China and then
3 revealed characteristics of aerosol transport and different extinction features in East
4 Asia. Luo et al. (2013) identified the well performance of MODIS AOD over land in
5 China and they used 10 years data to construct the climatology of AOD over China.
6 According to previous validations, MODIS AOD data are applicable in Chinese
7 regions and can capture the aerosol distributive characters.

8 Actually, the AOD data are widely used to enhance the understanding of changes
9 in air quality over local, regional, and global scales as a result of their sensitivity to
10 total abundance of aerosols (Chu et al. 2002; Al-Saadi et al. 2005; Lin et al. 2010).
11 AOD can indicate the air quality to a certain degree, the higher the AOD value is, the
12 worse air quality becomes (Liu et al. 2013). In this study, we discuss the cases of
13 pollution and clean separately.

14 Finally, the Collection 5 MODIS active fire product (MCD14ML) are used to
15 monitor the influences of the sudden enhanced emissions from biomass burning. The
16 monthly fire location product contains the geographic location, date, and some
17 additional information for each fire pixel on a monthly basis. The Terra-observed
18 pixels whose detection confidence is greater than 60% are used from 2001 to 2010.

19

20 **2.2 Meteorological Data**

21 The corresponding atmospheric fields analyses performed in this paper are based
22 on the results of meteorological reanalysis products made available by the National

1 Center for Environmental Prediction (NCEP) and National Center for Atmospheric
2 Research (NCAR). For a complete discussion, we consider both surface and upper-air
3 circulation patterns. **The sea level pressure (SLP) field, which is closely related to the**
4 **meteorological factors, is selected to characterize a certain synoptic episode.** Besides,
5 the 850hPa level and 500hPa level are selected as the typical height of lower and
6 middle troposphere, respectively. **Mean sea level pressure and temperature at surface**
7 **and the 500hPa level, geopotential height at the 850hPa and 500hPa level, along with**
8 **relative humidity, wind field and vertical velocity** were extracted from NCEP/NCAR
9 Reanalysis dataset on a $2.5^{\circ} \times 2.5^{\circ}$ latitude/longitude grid on a daily basis
10 (<http://www.esrl.noaa.gov/psd/data/gridded/data.ncep.reanalysis.html>).

11 **2.3 Methods**

12 On the basis of ten-year October data, namely 310 days, we get the daily AOD
13 distribution. According to the threshold of AOD (mentioned in section 3.1), the whole
14 310 days are divided into four categories: high AOD (>0.6), low AOD (<0.4), median
15 AOD ($0.4\sim0.6$) and the missing-value day (due to clouds). Ignoring the group of
16 missing and median data, the circulation fields correspond to the other two categories
17 (high AOD and low AOD) are evaluated at the same time. Since satellite-based
18 AOD exists certain uncertainties, therefore, the consecutive days of high (or low)
19 value can better illustrate the existence of the air pollution than just one day. And
20 statistical results of two categories also show that the occurrence of high (or low)
21 value of AOD inclined to last for several days. Besides, the corresponding circulation
22 pattern is also quasi-steady during these high (or low) AOD periods.

1 Taking the above facts into account, the first synthetic process are conducted by
2 averaging the corresponding grid point values for successive days (greater than or
3 equal to 2 days) presented as high(or low) AOD. Following this approach, 28 episodes,
4 among which there are 18 high-value episodes (HEs) and 10 low-value episodes
5 (LEs), are initially identified during 2001-2010. In order to obtain the statistical
6 characteristics of the pollution and clean episodes, the second synthetic process is
7 performed. We classify the 18 HEs and 10 LEs obtained in the first step on a synoptic
8 basis and average the similar circulations for these two different categories
9 respectively, a thorough description of results obtained by this method can be found in
10 section 3.3. The 18 HEs are clustered into six types while 10LEs are three types. Thus,
11 nine distinct circulation types are consequently considered in the following analyses.

12 **2.4 Hybird Single-Particle Lagrangian Integrated Trajectory (HYSPLIT) Model**

13 The backward trajectories for two typical episodes discussed in section 3.2 are
14 simulated using the HYSPLIT model, employing NCEP/NCAR reanalysis
15 meteorological data as input fields. With powerful computational capabilities, the
16 HYSPLIT_4 model is a widely-used system for calculating simple trajectories to
17 complex dispersion and deposition simulations using either puff or particle approach
18 (readers are referred to Draxler and Hess (1997) for more detail of the model). Borge
19 et al. (2007) used back trajectories computed with HYSPLIT model to examine the
20 impact of long-range atmospheric transport on urban PM₁₀ for three cities. Chen et al.
21 (2013) incorporated eight size PM fractions of metals to the HYSPLIT model and
22 provided a prediction of the size distribution and concentrations of heavy metals. In

1 this work, the air-mass trajectories are evaluated in order to present the different
2 movement of air parcels during the two contrary episodes.

3 **3. Results**

4 **3.1 Climatological mean and interannual variation**

5 Prior to the analysis of the link between air quality and large-scale circulations, it
6 is necessary to reveal the backgrounds that climatological mean and interannual
7 variation of AOD in October over East China. The climatological mean AOD from
8 MODIS are obtained for the period from 2001 to 2010. As shown in Figure 1a, the
9 spatial distribution show AOD ranges from 0.3 to 0.9 for almost the entire area. Four
10 prominent centers of high AOD values are found in East China, i.e., Bohai Gulf,
11 Yangtze River delta, junctional areas of Anhui, Shangdong and Henan provinces, and
12 most parts of Hubei and Hunan provinces which were recognized as the source of
13 high emissions in October according to Wang and Zhang (2008). In other words, these
14 centers are considered as possible consequences of industrial emissions or agricultural
15 biomass burning which occurs in autumn with certain meteorological conditions.

16 Figure 1b presents the standard deviation of AOD for the same period. The
17 distribution pattern of Figure 1b is similar to that of Figure 1a, which means the
18 standard deviation is larger over the region where the mean AOD is higher. Moreover,
19 the climatological mean wind vectors at 850hPa and geopotential height are shown in
20 figures 1c and 1d. Obviously, weak **clockwise** winds at 850hPa (Figure 1c) and flat
21 western flow at 500hPa (Figure 1d) level suggest that East China is dominated by the
22 large-scale stable circulation without the frequent disturbances of small-scale weather

systems. As for vertical structure, figures 1e and 1f present height-latitude cross-sections of vertical velocity and divergence of wind respectively. In figure 1e, the positive value indicates uniform descending motion over the East China. Figure 1f also shows convergence in upper altitude and divergence in lower altitude, which are favorable to the maintenance of downward atmospheric motion. Interannually, we show ten-year distribution of AOD over East China in October (spatial distribution in Figure 2a and regional mean in Figure 2b).

As indicated by Ziomas et al. (1995) and Xu et al. (2011), in a given season, the anthropogenic emissions are almost constant, however, the biomass burning in rural areas may cause a sudden increase in pollution emissions. Consequently, we combine MODIS fire product with NCEP relative humidity which could influence AOD via light extinction efficiency of aerosols and wind speed that may modulate the concentration of aerosols to explore the annual variations. As shown in figure 2b, it is found the interannual variation of fire number in the East China is weakly correlated with that of AOD. For example, the AOD of 2003 is lower than 2006 but fire number is larger. It implies that there are other factors contributed to the variation of AOD. For the relative humidity, it is around 55% for all years except 2001 and 2009, namely, the variation of the relative humidity is not obvious. Furthermore, as demonstrated by Twohy et al (2009), the elevated relative humidity can cause an increase of AOD owing to its impacts on hydrophilic aerosols, whereas the correlation coefficient between relative humidity and AOD is -0.4, which did not pass over 90% confidence level. However, the relationship between wind speed and AOD is negative

1 (-0.63) at 95% confidence level, which demonstrates that decreasing values of AOD
2 occur with increasing values of wind speed. Based on the above results, it is deduced
3 that the interannual variation of AOD in East China, to a certain extent, is determined
4 by the vertical and horizontal movements of air flows, which can influence the
5 spatio-temporal distribution of aerosols.

6 In order to depict the frequency of pollution event and give the threshold beyond
7 which the value can be regarded as high AOD, we count the frequency distribution of
8 high AOD(>0.5 and >0.6) plotted in Figure 3. Luo et al. (2014) considered the value
9 of AOD>0.5 as the high value in China. However, for more than half of East China, it
10 is found that the frequency of AOD>0.5 is larger than 50% (Figure 3a). Consequently,
11 we define 0.6 as the rigor critical value. Compared to Figure 3a, the area with relative
12 high frequency reduces in Figure 3b, and the frequency of above 65% area of East
13 China is less than 50%.On the contrary, the day is classified into the low-value group
14 on condition that the value of regional mean AOD over the study area is less than 0.4.
15 Xin et al (2014) investigated the relationships between daily observed PM2.5
16 concentration and aerosol optical depth (AOD) in North China, they pointed out that
17 there was a high correlation between the two variables in autumn for that the
18 correlation coefficient square R^2 is 0.57, and the MODIS AOD were valuable and
19 capable of retrieving the surface PM2.5 concentration. According to the linear
20 regression functions pointed by the research, when AOD is 0.4 (0.6), the PM_{2.5}
21 concentration is 72.34 ugm^{-3} (104.62 ugm^{-3}), these two values correspond to moderate
22 and lightly polluted in China, respectively, and these also confirm that the definition

1 of the AOD threshold is suitable for our study. Furthermore, according to the
2 topography shown in Fig 3c, it is evident that the emergence of high frequency is
3 related closely to the terrain of East China. It notes that the pattern of high frequency
4 distribution (Fig 3b) is consistent with that of high values of ten-year mean AOD
5 distribution (Fig 1a). High AOD mainly concentrated in plain and hilly areas,
6 especially the economically developed Yangtze River Delta, which are characterized
7 by dense population along with a great number of industrial and vehicle emissions.

8 Since MODIS-AOD represents for the aerosol column abundance rather than the
9 content of pollutants mere near surface, the upward motion alone in vertical direction
10 which favors the diffusion of pollution cannot change the value of AOD. Moreover,
11 the aforementioned two vertical cross-sections illustrate that the climatological mean
12 vertical velocity in autumn East China averaged from 1000hPa to 100hPa is
13 downward of $2.56 \times 10^{-2} \text{ Pas}^{-1}$. This suggests that the strong downdraft leads to a more
14 concentrated vertical distribution of pollutants, which gather pollutants together in the
15 lower layer. As a result, AOD will mainly depends on the divergence of low level
16 wind field in autumn East China. The severe divergence of wind field in the lower
17 troposphere facilitates the diffusion of aerosols, whereas the weak divergence favors
18 the formation of poor air quality. As shown in Figure 1f, the climatological mean
19 divergence, averaged from 1000hPa to 850hPa, of lower troposphere is $1.79 \times 10^{-6} \text{ s}^{-1}$.

20 The relationships among the AOD and vertical velocity and divergence during
21 the study period was shown in the scatter diagram (Figure 4a). According to the
22 climatological mean of vertical velocity and the low level divergence, we divide the

1 sample into four categories: C1, C2, C3, C4. There are significant differences in
2 vertical velocity and divergence between the distribution of high AOD group and low
3 AOD group. For example, the group with AOD less than 0.4 mainly distributed in C1,
4 which indicates that both the vertical velocity and divergence are relative strong. The
5 increasing values of AOD occur with decreasing values of vertical velocity and
6 divergence of low level winds, and the bottom-left corner of the figure is primarily
7 occupied by high AOD group. And for a more intuitive performance, Figure 4b shows
8 a histogram of occurrence frequency for high AOD (>0.6) and low AOD (<0.4) group,
9 which correspond to polluted and clean environment, respectively. C1 presents
10 maximum frequency of low AOD group, which is nearly 60%. Conversely, pollution
11 exist predominantly in the categories with weak divergence, especially in C3, where
12 both of two variables are less than the climatological averages. These are consistent
13 with our hypothesis and confirm that the mean vertical velocity and low level
14 divergence of winds result from diverse synoptic patterns, are indicative of regional
15 air quality.

16 **3.2 Two Typical Cases: High and Low AOD**

17 On the basis of the above results, two typical cases are presented in this section to
18 show the differences between pollution and clean episodes. The process during
19 28th-31st October 2006 is analyzed as a typical high AOD episode (HE) example,
20 whereas the 4 days from October 21st to 24th in 2003 are selected as a typical low
21 value episode (LE). First of all, we give the fire numbers of two cases, which are 18
22 for HE and 25 for LE accordingly. Since the difference of sudden enhanced emissions

1 from biomass burning between two cases are little, it is can be concluded that AOD
2 might be depended on the atmospheric circulations.

3 The mean patterns of AOD and atmospheric circulations at surface, 850hPa level,
4 500hPa level in the period of the HE example are given in Figure 5. The regional
5 average AOD of HE is 0.76 which signified a pollution process, and maximum value
6 was greater than 1.2. The corresponding sea level pressure pattern (Figure 5b) were
7 almost controlled by uniform pressure field without obvious control effect, and the
8 shallow trough promoted west-northwest flow at 500hPa (Figure 5d), which
9 represented a stable synoptic pattern and was conductive to the storage of air
10 pollutants. In vertical direction, the obvious downward motion is in accordance with
11 climatological pattern of autumn (Figure 5e), and whole-level averaged value over
12 East China is $3.67 \times 10^{-2} \text{ Pas}^{-1}$, leading to an accumulation of aerosols in low layer.
13 During this period, the main feature of wind filed at 850hPa level was the weak
14 clockwise circulation centered at Shanxi province, wind blew from the north in East
15 China under the control of a large scale anticyclone (Figure 5c). The divergence of
16 winds in lower troposphere is $1.62 \times 10^{-6} \text{ s}^{-1}$ for HE (Fig. 5f), which is less than the
17 climatological mean and does not favor the outflow of air pollutants

18 Figure 6 shows the mean patterns of LE example from October 21st to 24th in
19 2003. Unlike the polluted episodes (Figure 5a) when the whole East China was
20 masked by high aerosol loadings except a small area in northwest, the area was
21 mainly influenced by low AOD (<0.4) (Figure 6a). And the mean AOD (0.38) was at
22 half the level HE reached. In Figure 6b, the surface circulation of LE in East China

1 was to the front of the high pressure centre. The temperature and geopotential height
2 in the middle troposphere (500hPa) indicated a dominant northwesterly flow prevailed
3 over East China and led cold air masses to low-mid latitude (Figure 6d). Under these
4 conditions, the vertical velocity of LE ($8.05 \times 10^{-2} \text{ Pas}^{-1}$, Figure 6e) is much larger than
5 that of HE over whole vertical layer, which generally results in complete
6 concentration of pollutants and then played an important role in the diffusion of air
7 pollutants when combined with relative strong divergence of winds in low
8 troposphere ($2.86 \times 10^{-6} \text{ s}^{-1}$, Figure 6f.), while HE shows clear distinctions, specifically
9 the weaker downward atmospheric motion and adverse divergent conditions.
10 Moreover, compared to the northerly of $1\text{--}4 \text{ ms}^{-1}$ in HE episode (Figure 5c), stronger
11 northwesterly winds of $6\text{--}9 \text{ ms}^{-1}$ were observed at 850hPa (Figure 6c).

12 In addition, to describe different air mass sources and transport path, HYSPLIT
13 model was applied to the days when the two typical episodes occurred. For each day,
14 we calculated the backward trajectories originated from three locations and the
15 associated ending height is 1000m above ground level. Trajectories were considered
16 to be initiated at 0200 (UTC) when MODIS/TERRA passes across China, terminating
17 at the end of 48 hours. As shown in Figure 7, the backward trajectories of four
18 polluted day (Figure 7a) were composed of short tracks, which were mainly trapped in
19 East China. This indicated that the pollution was caused by the combination of the
20 circulation pattern which gone against dissipation of air pollutants and a great deal of
21 local emissions in the studied area. In contrast, the LE presents a cluster of relatively
22 longer trajectories corresponded to fast-moving air mass from Mongolia.

1 Northwesterly cold winds on these days dispersed local air pollutants, and also
2 brought clean air.

3 **3.3 All Selected Cases**

4 The aforementioned case studies show that, without considering the variations in
5 emission, some synoptic types are favorable to the occurrence of the air pollution
6 while others are quite contrary. A comprehensive statistic of all cases in the study
7 period over East China is conducted. Excluding all missing and median days, the total
8 121 days are extracted for the research, of which there are 90 days with high AOD
9 and 31 days with low AOD. Table 1 and Table 2 list the statistical results of 18
10 pollution episodes and 10 clean episodes respectively.

11 It is found from Table 1 that 2002 and 2006 are both years with maximum
12 occurrence (16 days) of pollution, and this is in accord with the high value presented
13 in Figure 2. The estimated duration of pollution episodes, on a daily basis, mostly
14 lasts for about four days or longer. To be more specific, for sea level pressure field,
15 the most frequent pattern is characterized as the periphery of the high pressure
16 centered in the Tibetan plateau or Mongolia, amounts to 38 days. The uniform
17 pressure over the East China is the second high-frequency type with a percentage of
18 37%, namely 34 days. Among the remaining three types, one is interpreted as the
19 pattern before the passage of a cold front, and the corresponding pattern in lower
20 troposphere (850hPa) is characterized as strong cold air flow moves toward the East
21 China, which involves 2 episodes (6 days). The other 15 episodes are dominated by
22 the anticyclonic circulation in 850hPa, it is noted that the region is controlled by the

1 different part of anticyclones, the frequency of the rear of anticyclone is 35 days,
2 while the frequency of the foreside and the center of anticyclone are both 23 days. For
3 patterns of 500hPa geopotential height, there are 30 days influenced by the northwest
4 (NW) flow, of which 25 days were caused by the upper air trough. The number of
5 days associated with the west-northwest (W-NW) flow and west (W) flow, is 19 and 7,
6 respectively, in addition, the southwest (SW) flow prevailed during a three-day
7 episode.

8 Table 2 is same as Table 1, but for 10 clean episodes. According to the Table 2,
9 the number of low-value day peak in 2003. The high pressure centered in the
10 northwest of China on surface is the frequent patterns since it accounts for 15 days of
11 the whole 31 clean days. Besides this, 8 days go through the passage of a cold front
12 and the rear of a high pressure system over the Yellow Sea follows with a frequency
13 of 5 days, the rest 3 days is characterized by the uniform pressure field. For 850hPa
14 wind fields, frequency of the pattern dominated by anticyclonic wind vectors over the
15 study area is the highest, it is 12 days. Secondly, it is the anterior part of anticyclone
16 (11 days) and the rest two episodes related to the upper air cold front bring strong and
17 cold stream southwardly in the lower troposphere. The 500hPa geopotential height of
18 clean episodes, unlike that of pollution episodes, include only two dominant airflow
19 directions. For most of clean days, the northwest flow prevails, whereas the other 5
20 days are associated with the flat west stream.

21 The characteristics of circulation patterns of all pollution episodes and clean
22 episodes at each level are gained through the above statistics. In terms of a single

1 level (surface/850hPa/500hPa), the circulation patterns for different episodes are
2 similar. However, it is the combination of circulations at lower level and upper level
3 that the air quality always depends on. The rows in the Table 1 and Table 2 with same
4 capital letters in the parentheses following the sequence number indicate those
5 episodes are affected by the similar circulation patterns in all the three atmospheric
6 levels. There are nine different letters in two tables, namely, the entire 28 episodes are
7 classified into nine different types, among which there are six pollution types and
8 three clean types.

9

10 **3.4 Statistics and synthetic analysis**

11 Based on the above results of all cases, nine types are detailedly inspected in this
12 section. Before the description of each type, it is pointed out that the mean AOD and
13 meteorological fields for each type, which consist of the sea level pressure and surface
14 temperature, the 850hPa wind and geopotential height, the 500hPa geopotential height
15 and temperature, [the cross-section of vertical velocity and wind divergence](#), are
16 averaged from the several episodes that marked with same letter in Table 1 (Table 2).
17 The percentage of each pollution (clean) type is calculated on a daily basis. More
18 specifically, Figure 8-13 presents the spatial distribution of mean AOD for six
19 high-value types and the associated large-scale three-dimensional atmospheric
20 circulation structure. Each type contains a set of three different layers and differs from
21 each other, either in terms of the position and intensity of weather systems or in the
22 vertical allocation of the corresponding atmospheric circulations.

1 Firstly, the two episodes marked with the letter A in table 1 are classified as type
2 1, which account for 6.7% of all polluted days. Distribution of AOD is shown in
3 Figure 8a, high value appeared in Anhui province and the regional mean AOD is 0.60.
4 The corresponding atmospheric circulations were shown in Figures 8b-8d. In detail,
5 the sea level pressure field is characterized as the pattern before the passage of the
6 cold front. Before the arrival of cold flow caused by the low-pressure system over
7 northeast of China, warm air mass accumulates ahead of the front, which favors the
8 increase of pollutants. At higher layer, the area is situated behind the trough, and thus
9 the dominant wind direction in the East is northwest, which gradually leads cold air
10 that mixed with northern pollutants toward the East China. Even though the vertical
11 downward motion is strong ($5.13 \times 10^{-2} \text{ Pas}^{-1}$), the divergence of winds in lower
12 troposphere is weak ($0.49 \times 10^{-6} \text{ s}^{-1}$), in fact, the convergence of air at 850hPa can be
13 seen in Figure 8c, whereas the wind speed is relative high. In view of the
14 above-mentioned facts, the pollution of this type is not quite serious.

15 Type 2 (marked with the letter B) is the most frequent among the six polluted
16 types with a percentage of 40%. It is evident that the occurrence of pollution in East
17 China mainly requires a uniform pressure field on surface (Figure 9). At 850hPa level,
18 the pattern corresponds to weak southerlies for it is controlled by the rear sector of an
19 anticyclonic circulation. Besides, the upper west-northwest flow is crossing the area.
20 Under those fair weather conditions, both the vertical velocity ($1.97 \times 10^{-2} \text{ Pas}^{-1}$) and
21 divergence ($0.97 \times 10^{-6} \text{ s}^{-1}$ for lower troposphere and $1.08 \times 10^{-6} \text{ s}^{-1}$ for middle level), for
22 type 2 are less than the climatological mean mentioned before, allowing the

1 stagnation of pollutants. According Table 1, it seems that type 2 can last for a long
2 time. Generally speaking, type 2 is a relatively stable and serious pollution example
3 with a mean AOD value of 0.77.

4 Type 3 (marked with the letter C) is associated with four episodes, accounting for
5 21.1%. From Figure 10a, high values center in Henan province, extending to the
6 southeast and southwest. The circulation structure, corresponding to this type, is
7 shown in Figure 10b-10d. On the surface, the region is governed by the periphery of a
8 high pressure system located in Mongolia, which results low pressure gradient over
9 the central of East China. At 500hpa, an upper air trough cause moderate northeasterly
10 flows, the wind field in lower troposphere can be considered as anticyclonic and the
11 wind direction is consistent with the direction of diffusion of pollutants. From Figure
12 10e, the strong descending motion promote, which is 4.91×10^{-2} Pas⁻¹. However, the
13 limited low level speed and divergence of winds (1.54×10^{-6} s⁻¹), prevent the spread of
14 pollutants to the outside area. These conditions yield a regional average AOD value of
15 0.61.

16 Type 4 (marked with the letter D) consists of four pollution episodes (accounts
17 for 18.9%), which all last for 3 to 5 days. It resembles Type 2 concerning the spatial
18 distribution of AOD (Figure 11a), but the contamination degree of type 4 is relatively
19 light and mean AOD is 0.63. On the surface (Figure 11b), the pattern is characterized
20 by the periphery of a high barometric system, which lies over Tibetan Plateau. The
21 lack of pressure gradient allows for formation of pollution. At 850hPa (Figure 11c), an
22 anticyclone centered over the study area, results to moderate to low wind speed. In the

1 middle troposphere, the circulation is almost zonal passing through mid-latitudes
2 (Figure 11d). Compared with type 2, the vertical velocity and the divergence which
3 are respectively shown at Figure 11e and 11f over East China are stronger.
4 Nevertheless, it should be noted that low-level averaged divergence is relative weaker
5 to that of climatological mean. And maybe these are why the mean AOD of type 4 is
6 less than type 2.

7 Type 5 (marked with the letter E) depicts a different pattern of pollution
8 distribution. As shown in Figure 12a, the main difference between these types is that,
9 for type 5, the pollutants gather in the northeast other than the center of the studied
10 area. Because of the relative concentration of pollution, the regional mean AOD reach
11 0.60 merely. Figure 12 represents the associated circulations. On both surface and
12 850hPa level, East China is found in a rear zone of the high pressure systems, located
13 in eastern ocean. The southerly wind dominates in the lower troposphere, while in the
14 middle troposphere, the sparse isopleths indicate small geopotential height gradient.
15 Owing to the handicap in vertical motion (2.21×10^{-2} Pas $^{-1}$) and also in the divergence
16 of winds (1.64×10^{-6} s $^{-1}$) under such calm weather condition, the pollution is formed.
17 This type occurred on 10% of all polluted days in the sample.

18 Type 6 (marked with the letter F) consists only one 3-day episode (accounts for
19 3.3%). Very high values are found in Hunan province and average AOD of the whole
20 area is 0.70. A surface high pressure system centered over the Yellow Sea, results to
21 southerly flow over the East China, which also prevails in the lower troposphere.
22 These conditions also contribute to the northward extension of pollutants (Figure 13a).

1 As shown in Figure 13e, the vertical velocity pattern is different from that of other
2 pollution type. The descending motion is prevailed in the vertical direction, except for
3 lower troposphere, transporting some pollutants to higher level. Consequently, we
4 consider the divergence of both low and middle troposphere that presented in Figure
5 13f. Despite the divergence of low level is $2.63 \times 10^{-6} \text{ s}^{-1}$, the corresponding value of
6 middle troposphere is merely $0.72 \times 10^{-6} \text{ s}^{-1}$, thereby, the column AOD is large.
7 Generally, type 6 is identified as a “southerly type”.

8 Similar to the pollution episodes, the results for clean episodes are detailed in the
9 following paragraphs. The distributions of AOD and the corresponding weather maps
10 for each clean type are shown in Figure 14-16.

11 Type 7 (marked with the letter G) is the most frequent clean type during the
12 whole examined low-value days (accounts for 57.6%). As shown in Figure 14a, the
13 maximum AOD is less than 0.6, in addition, the mean AOD for the entire region is
14 0.33, which represents for improved air quality by contrast with the above polluted
15 types. According to the circulation patterns of type 7 (Figure 14), On the surface, the
16 cold air moves toward the East China continually in front of the high barometric
17 system located in Inner Mongolia. A trough appears in the upper atmosphere,
18 accompanied by an anticyclonic eddy in the lower troposphere, which causes strong
19 northwesterly winds (Figure 14c and d) in the area. When considering the vertical
20 structure of type 7, as shown in LE, uniformly downward motion with the vertical
21 velocity of $5.78 \times 10^{-2} \text{ Pas}^{-1}$ prevails. Therefore, strong divergence ($2.93 \times 10^{-6} \text{ s}^{-1}$) results
22 from wind field in the lower troposphere facilitate the removal of the accumulative

1 pollutants from the locality.

2 Type 8 (marked with the letter H), which accounts for 18.2% of all clean days, is
3 characterized by the rear of weak high pressure system centered in the east coasts of
4 China (Figure 15). In accord with the pattern on surface, anticyclonic conditions are
5 observed in 850hPa. The vertical downward motion ($2.65 \times 10^{-2} \text{ Pas}^{-1}$) in the East China
6 is a bit stronger than that of climatological mean, whereas the divergence
7 ($3.60 \times 10^{-6} \text{ s}^{-1}$) is much larger compared with ten-year average, blowing away local
8 pollutants and bringing clean air from the sea to the region. The above conditions
9 induced a lower mean AOD value of 0.35.

10 Type 9 (marked with the letter I) is the cleanest type with an average AOD value
11 of 0.31. It is identified as the passage of a cold front and the associated frequency is
12 24.2%. On the surface, the high pressure system over the northwest of China, along
13 with a low pressure system centered in northeast of China, intensifies the southward
14 transfer of cold air masses, as it can be seen in Figure 16. In the lower troposphere,
15 strong northwesterly winds prevail in the region, and the dense isopleths which
16 represents for distinct geopotential height gradient, appears in middle troposphere.
17 Strong descending motion ($6.81 \times 10^{-6} \text{ s}^{-1}$) is associated with the whole vertical layers
18 of atmosphere while favorable diffusion condition at low layer is shown in Figure 16f.
19 Generally, the advection of cold and dry air from northwest, contributes to the good
20 air quality.

21

22 **4. Discussion**

1 The above nine general circulation types, which is clearly extracted and
2 highlighted in the schematic diagram of Figure 17, correspond to different level of air
3 quality. In Table 1 and 2, it can be found that two typical cases (HE and LE)
4 correspond to type 4 and type 7 respectively. To assess the relationship between
5 diffusion conditions and synoptic patterns in autumn, the value of vertical velocity
6 averaged from 1000hPa to 100hPa and divergence of wind field in lower troposphere
7 are quantitatively compared among these circulation types (Figure 18). And in this
8 study, the climatological means are used as the threshold to discuss the diffusion
9 ability of environment. In general, when the mean downward motion of air currents is
10 strong over East China and with a value larger than $2.56 \times 10^{-2} \text{ Pas}^{-1}$, then the
11 divergence of low level winds is a predominant factor in deciding the column AOD
12 owing to the accumulation of pollutants in low layer. As shown in Fig 18, three
13 polluted types (Type 1, 3, 4), the divergence of which are less than $1.79 \times 10^{-2} \text{ s}^{-1}$, and
14 three clean types (Type 7, 8, 9) with favorable divergent conditions are found.
15 However, Type 2, 5 and 6 are recognized as the types with weak downward motion,
16 and the aerosols may not be gathered in the lower level. Consequently, it is necessary
17 to account for the convergence of the middle layer due to its modification on the
18 distribution of upper pollutants. In fact, the convergence in upper altitude and
19 divergence in lower altitude always appear in autumn, this indicate the divergence of
20 upper level winds is usually weaker than that of lower level, or even shows as
21 convergence. For type 2 and type 5, the divergence in middle layer are 1.08×10^{-6} and
22 $0.7 \times 10^{-6} \text{ s}^{-1}$ respectively, which implies that the divergence of these two types are poor

1 at both low and middle level. Type 6 is the one with minimum vertical velocity,
2 actually, the upward motion of air in low troposphere transports pollutants to higher
3 level, and the weak divergence in middle layer ($0.72 \times 10^{-6} \text{ s}^{-1}$) leads to the severe
4 pollution.

5 Admittedly, temporal and spatial variability of air pollution levels are controlled
6 by weather conditions in conjunction with a complex emission framework. In this
7 study, we suggested that the anthropogenic emissions is almost constant in a given
8 season followed by previous studies (Ziomas et al.1995; Xu et al. 2011.). However,
9 the biomass burning in rural areas may cause an increase in pollution emissions.
10 Therefore, to reduce the sudden influences from biomass burning and confirm the
11 impacts due to atmospheric condition, we contrast the types with almost same fire
12 numbers derived from MODIS active fire product. Although the mean fire numbers
13 for type 2, 3 and 7 are nearly equal to each other (28, 31 and 26), their corresponding
14 AOD values are different, which is result from different weather conditions. The
15 diffusion of type 7 is the best while type 2 is the worst in the three types as seen in Fig
16 18. Similarly, the additional emission from burning is close for type 4, 5, 9 and less
17 than the aforementioned three type since the fire numbers are 20, 15 and 18 in
18 sequence, but the mean AOD of type 9 is merely half of type 4 and 5 owing to its
19 favorable synoptic patterns. In addition, type 6 and 8, with fire number as 9 and 7,
20 respectively, present exactly opposite air quality and the pollution of type 6 is very
21 severe, even if the fire numbers of them are relative small than other types.

1 **5. Summary and conclusion**

2 In the present study, the climatological mean and interannual variation of AOD
3 over East China (110°E-122°E, 28°N-40°N) are investigated through the statistical
4 analysis of ten-year MODIS data (2001-2010). In consideration of weather
5 characteristics of autumn, and slight sudden variations of pollutants emission during a
6 short time, October is selected as typical month to study. The air qualities of total 310
7 days studied in this paper are represented by the satellite-based AOD and the
8 corresponding meteorological fields are extracted from NCEP/NCAR Reanalysis
9 dataset. In fact, the circulation patterns assessed at the three levels (surface, 850hPa
10 and 500hPa) on the episode days are identified. The main conclusions are summarized
11 as follows.

12 Firstly, the daily mean AOD value ranges from 0.3 to 0.7 in large parts of the East
13 China except for four widespread high-value centers, which are considered as possible
14 consequences of constant industrial emissions or agricultural biomass burning. The
15 fluctuation is more volatile over the region where the mean AOD is higher. The
16 circulation patterns indicates that the East China is frequently dominated by the
17 large-scale stable circulation in autumn, such as anticyclonic circulation at 850hPa
18 and northwest flow at 500hPa. Furthermore, Since uniform descending motion
19 prevails over the area, which gather pollutants together in the lower layer, the
20 divergence of low level wind field plays a key role in determining the column AOD.

21 Moreover, two distinct extreme episodes (LE (October 21st to 24th in 2003) and
22 HE (October 28th to 31st in 2006)) are picked to initially examine the relations

1 between meteorological fields and air qualities. **These two episodes showed different**
2 **circulation patterns at both lower and high level**, besides, the features of two sets of
3 backward trajectories supported the distinct distributions of AOD associated with two
4 episodes. In addition, to get a better insight into the impacts of circulation patterns on
5 episodic events over East China, a comprehensive statistic of all 28 episodes occurred
6 in the study period are carried out, among which there are 18 high-value episodes (90
7 days) and 10 low-value episodes (31 days).

8 Finally, according to the similarity of circulation patterns for all 28 episodes in
9 all the three levels, the 18 pollution episodes are classified into six types, while the
10 other 10 clean episodes are classified into three types. Each type differs from each
11 other, either in respect to the position and intensity of weather systems or the
12 combination of lower and upper atmospheric circulations. Compared with the polluted
13 types, generally, the flow of the clean types in the both middle and lower troposphere
14 strengthened significantly. These conditions were propitious to the horizontal
15 diffusion of air pollutants. Particularly, patterns that associated with the uniform
16 pressure field in the East China or steady westerly flow in middle troposphere, or the
17 control of an anticyclone are good indications of pollutions, while clean episodes
18 occur when strong southeastward cold air advection prevails below the middle
19 troposphere or air masses are transported from sea to the mainland. **And the values of**
20 **vertical velocity and divergence of wind field are effective indexes to compare the**
21 **differences in diffusion conditions for each type quantitatively.**

22 In general, the above results have confirmed the impacts of large-scale

1 atmospheric circulations upon aerosol distributions over East China. Since the
2 empirical classification of weather types are convenient to correlate different
3 circulations patterns with the different air qualities, therefore, these results are
4 valuable as an assistance to the government decision make on balance of economic
5 activities and pollution mitigations.

6

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11

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1 Tables and captions

2 Table 1. Short description for the observed meteorological fields features for 18 pollution
 3 episodes (The rows in the table with same capital letters in the parentheses indicate
 4 those episodes are affected by the similar circulation patterns in all the three
 5 atmospheric levels.).

episodes	Year(Date)	Surface	850hPa	500hPa
1(A)	2002(01-04)	Before the passage of a cold front	strong cold wind blow to south	NW flow
2(B)	2002(08-16)	Uniform pressure field	the rear of anticyclone	W-NW flow
3(C)	2002(24-26)	Periphery of the high pressure system centered in the Mongolia	the foreside of anticyclone	NW flow (behind the trough)
4(C)	2004(07-13)	Periphery of the high pressure system centered in the Mongolia	the foreside of anticyclone	NW flow (behind the trough)
5(D)	2004(18-22)	Periphery of the high pressure system centered in the TP	anticyclonic circulation	W flow
6(F)	2004(27-29)	The rear of high pressure system	South wind	SW flow
7(D)	2005(16-18)	Periphery of the high pressure system centered in the TP	anticyclonic circulation	Shallow trough
8(E)	2005(23-26)	The rear of high pressure system	the rear of anticyclone	W-NW flow
9(B)	2006(04-15)	Uniform pressure field	the rear of anticyclone	W-NW flow
10(D)	2006(28-31)	Periphery of the high pressure system centered in the TP	the foreside of anticyclone	Shallow trough
11(B)	2007(16-25)	Uniform pressure field	anticyclonic circulation	W-NW flow
12(B)	2008(01-03)	Uniform pressure field	the rear of anticyclone	NW flow
13(E)	2008(13-17)	The rear of high pressure system	the rear of anticyclone	W-NW flow
14(C)	2009(02-06)	Periphery of the high pressure system centered in the Mongolia	the foreside of anticyclone	NW flow (behind the trough)
15(A)	2009(15-16)	Before the passage of a cold front	strong cold flow toward south	NW flow
16(D)	2009(21-25)	Periphery of the high pressure system centered in the TP	anticyclonic circulation	W flow
17(B)	2010(16-17)	Periphery of the high pressure system centered in the Mongolia	the rear of anticyclone	NW flow
18(C)	2010(28-31)	Periphery of the high pressure system centered in the Mongolia	the foreside of anticyclone	NW flow (behind the trough)

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5 Table 2. As in Table 1, but for 10 pollution episodes.

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episodes	Year(Date)	Surface	850hPa	500hPa
1(G)	2001(10-11)	Periphery of the high pressure system	anticyclonic circulation	NW flow (behind the trough)
2(H)	2001(29-30)	the rear of high pressure system	anticyclonic circulation	W flow
3(G)	2003(15-18)	Periphery of the high pressure system	the foreside of anticyclone, strong wind	NW flow
4(G)	2003(21-24)	Periphery of the high pressure system	the foreside of anticyclone, strong wind	NW flow
5(G)	2003(27-29)	Periphery of the high pressure system	the foreside of anticyclone, strong wind	NW flow
6(G)	2004(02-04)	Uniform pressure field	anticyclonic circulation	NW flow (behind the trough)
7(H)	2005(08-10)	the rear of high pressure system	anticyclonic circulation	W flow
8(I)	2008(23-26)	The passage of cold front	strong cold wind	NW flow
9(I)	2009(17-20)	The passage of cold front	strong cold wind	NW flow
10(G)	2010(03-04)	Periphery of the high pressure system	anticyclonic circulation, strong wind	NW flow (behind the trough)

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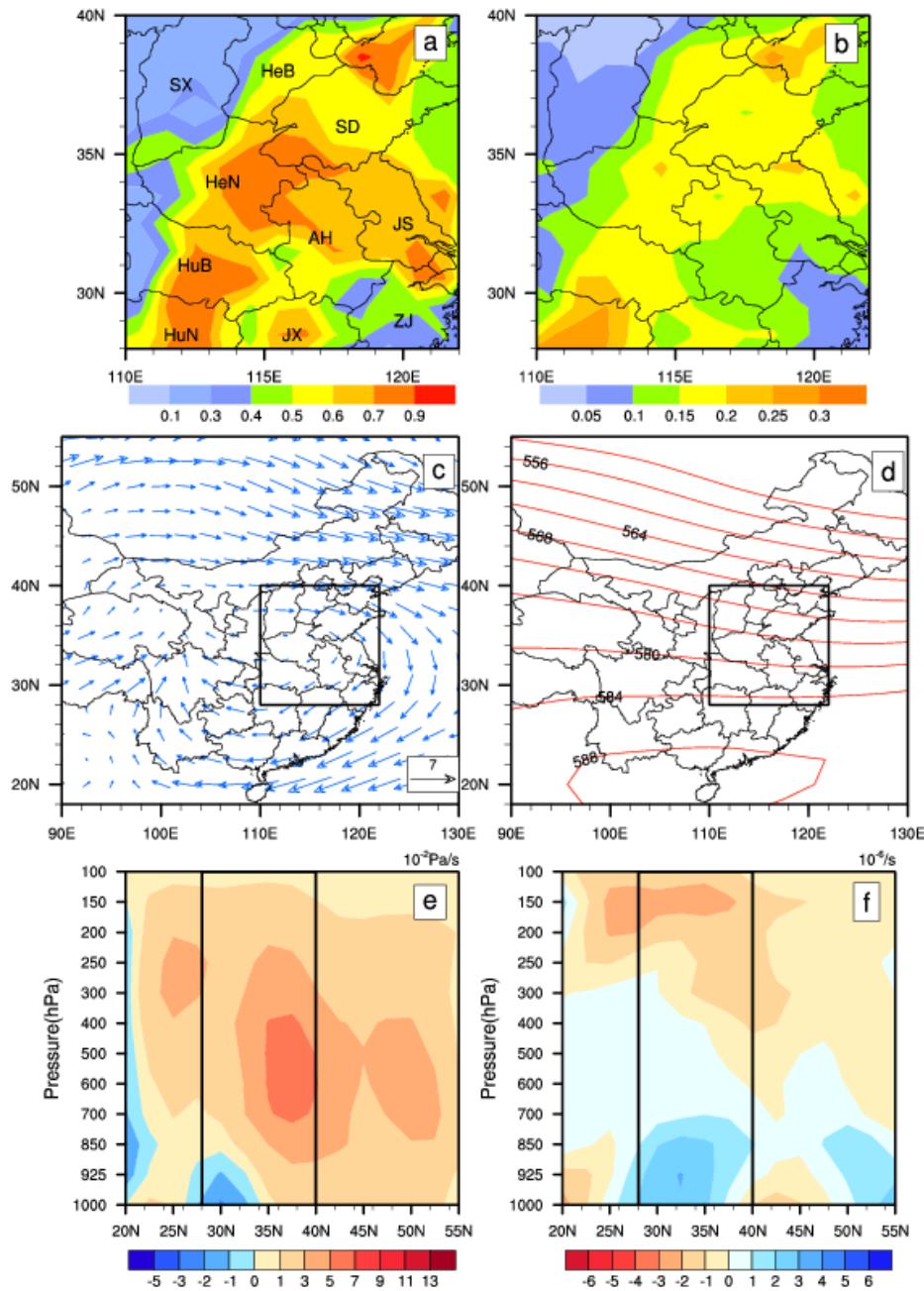
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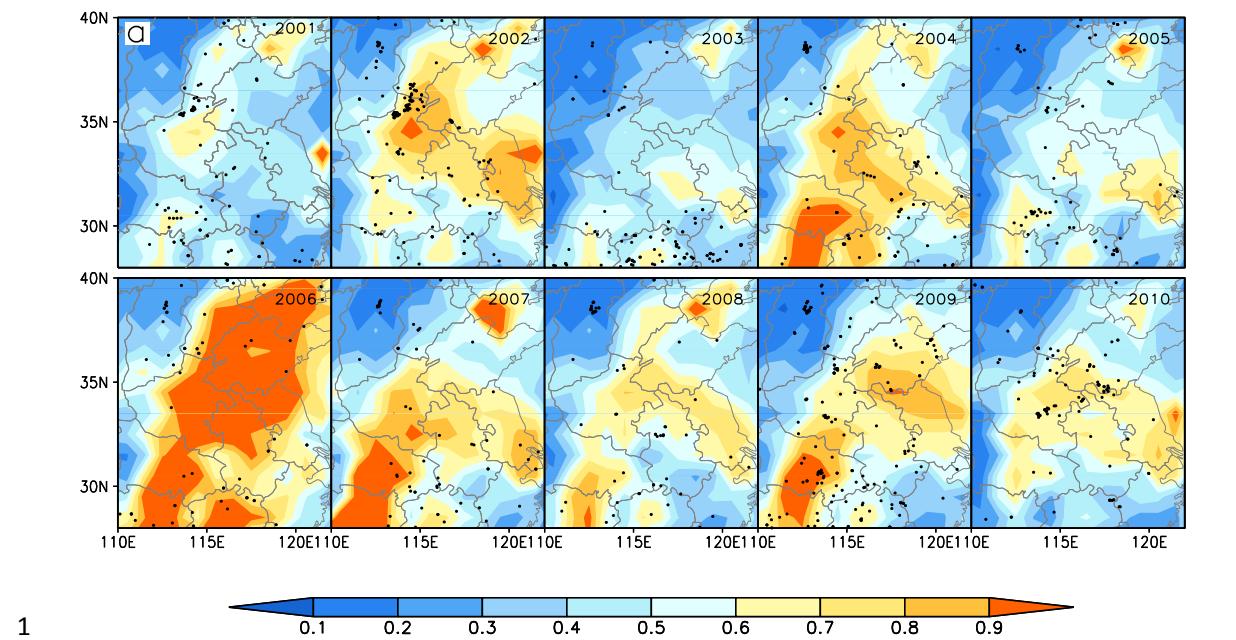
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1 **Figures and captions**

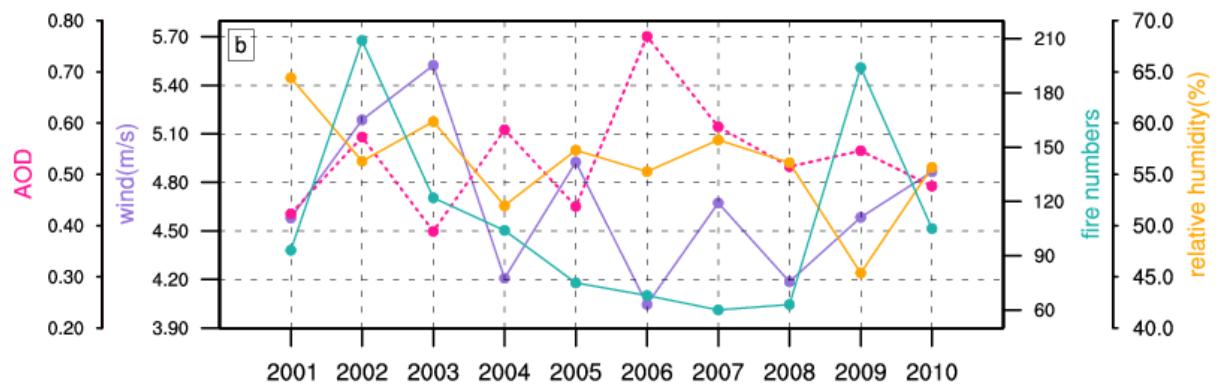


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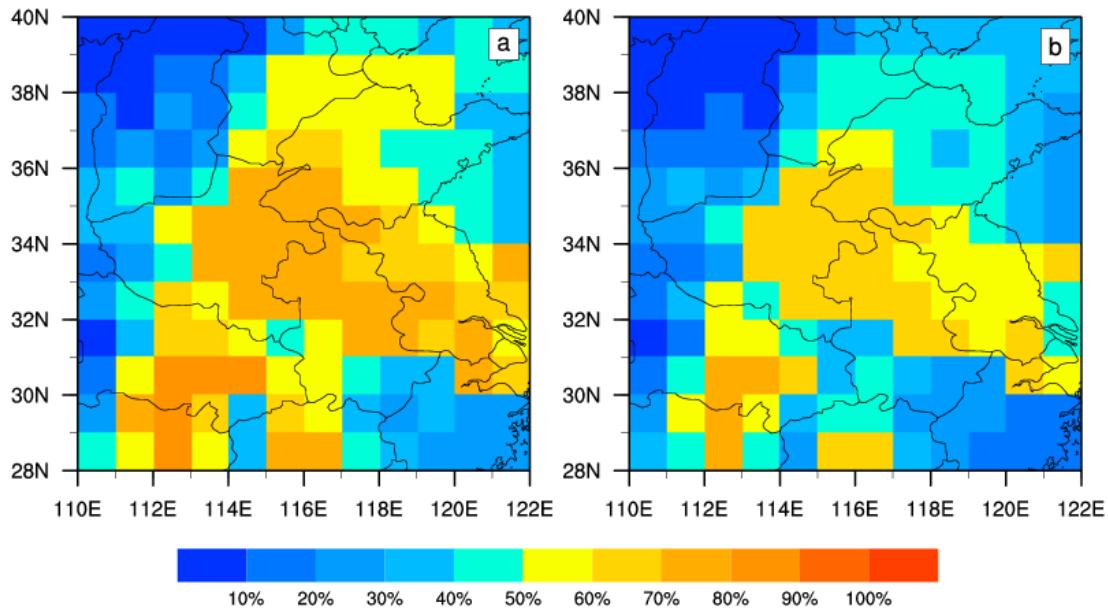
3 Fig.1. The mean distribution of (a) aerosol optical depth (AOD); (b) the standard deviation of
4 AOD; (c) 850hPa winds field; (d) 500hPa geopotential height field (e) height-latitude
5 cross-sections of vertical velocity (10^{-2} Pa/s) and (f) divergence of winds (10^{-6} /s)
6 averaged from longitude of 110°E-122°E in October for the period from 2001 to
7 2010.(Black letters on Fig.1.(a) indicate the different provinces. SX: Shanxi; SD: Shandong;
8 HeB: Hebei; HeN: Henan; HuB: Hubei; HuN: Hunan; AH: Anhui; JX: Jiangxi; JS: Jiangsu;
9 ZJ: Zhejiang.) Note: black rectangular region in (c) and (d) represents the East China
10 (110°E-122°E, 28°N-40°N) and rectangular region in (e) and (f) represents the region
11 28°N-40°N.



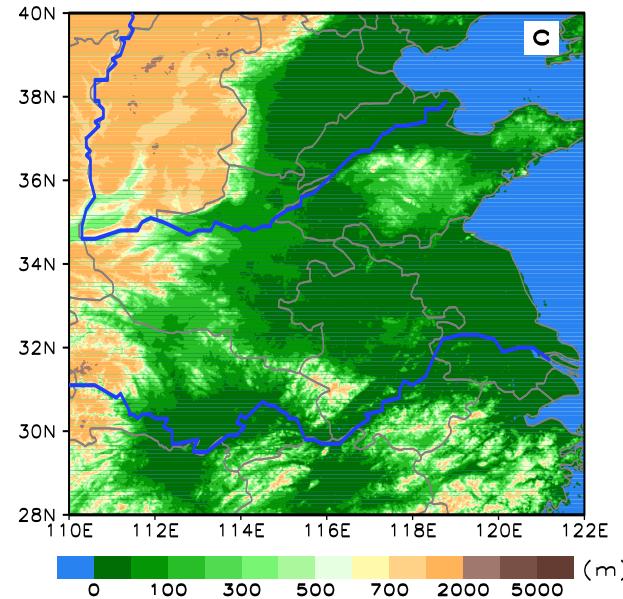
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2 Fig. 2a. The distribution of AOD over East China in October for 2001-2010, the black dots
3 are fire locations .
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6 Fig.2b. Interannual variability of column AOD (peach); fire numbers (green); wind speed
7 (purple) and relative humidity (orange) in the lower troposphere (1000hPa-850hPa), which
8 are averaged over the region shown in 2a.
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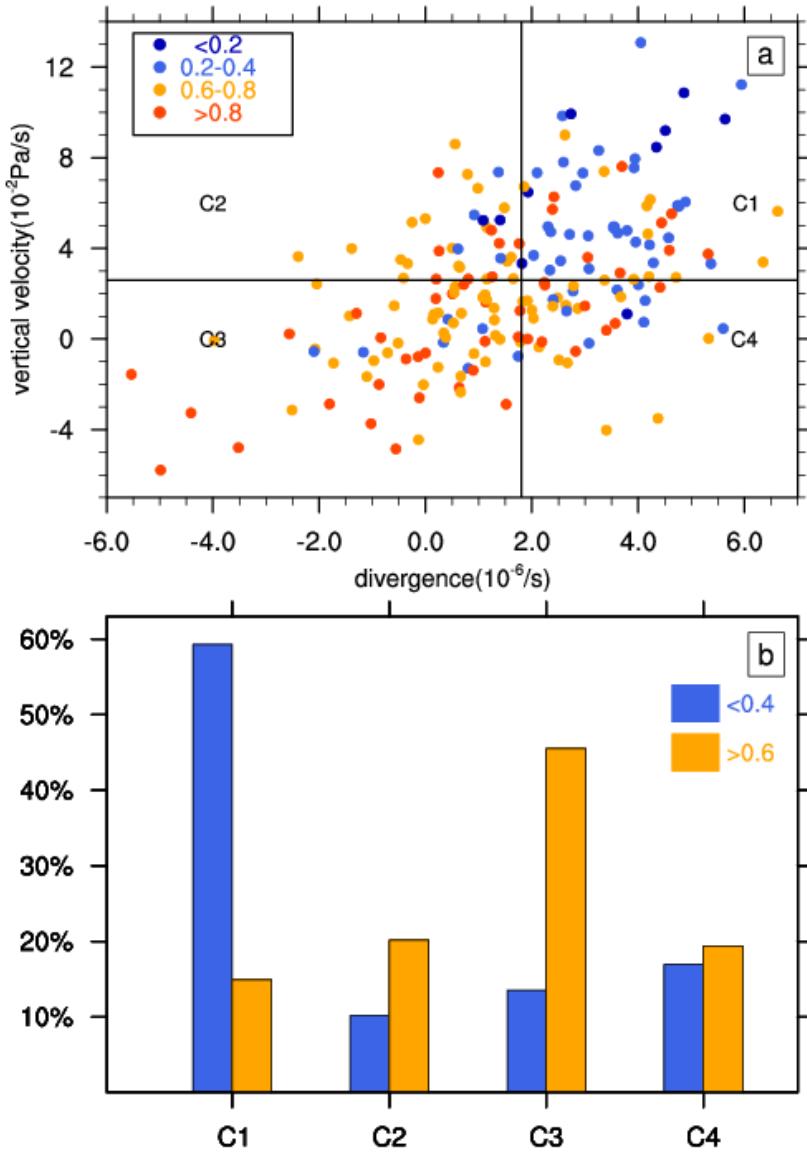
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3 Fig.3. Frequency distribution of (a) AOD>0.5 and (b) AOD>0.6 in October calculated from 2001
4 to 2010, (c) the topography of East China.

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2 Fig. 4. (a) Dependence of AOD on vertical velocity ($V: 10^{-2}$ Pas $^{-1}$) averaged from 1000hPa to
3 100hPa and divergence of wind field ($D: 10^{-6}$ s $^{-1}$) averaged from 1000hPa to 850hPa. The
4 vertical black line stands for the climatological mean divergence (1.79×10^{-6} s $^{-1}$) and the
5 horizontal line represents for that of vertical velocity (2.56×10^{-2} Pas $^{-1}$), the samples are
6 divided into four categories according these two values. (C1 ($D > 1.79 \times 10^{-6}$; $V > 2.56 \times 10^{-2}$);
7 C2($D < 1.79 \times 10^{-6}$; $V > 2.56 \times 10^{-2}$);C3($D < 1.79 \times 10^{-6}$; $V < 2.56 \times 10^{-2}$);C4($D > 1.79 \times 10^{-6}$; $V < 2.56 \times 10^{-2}$)). (b): Frequency distribution of AOD>0.6 and AOD<0.4 for each category.

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High case

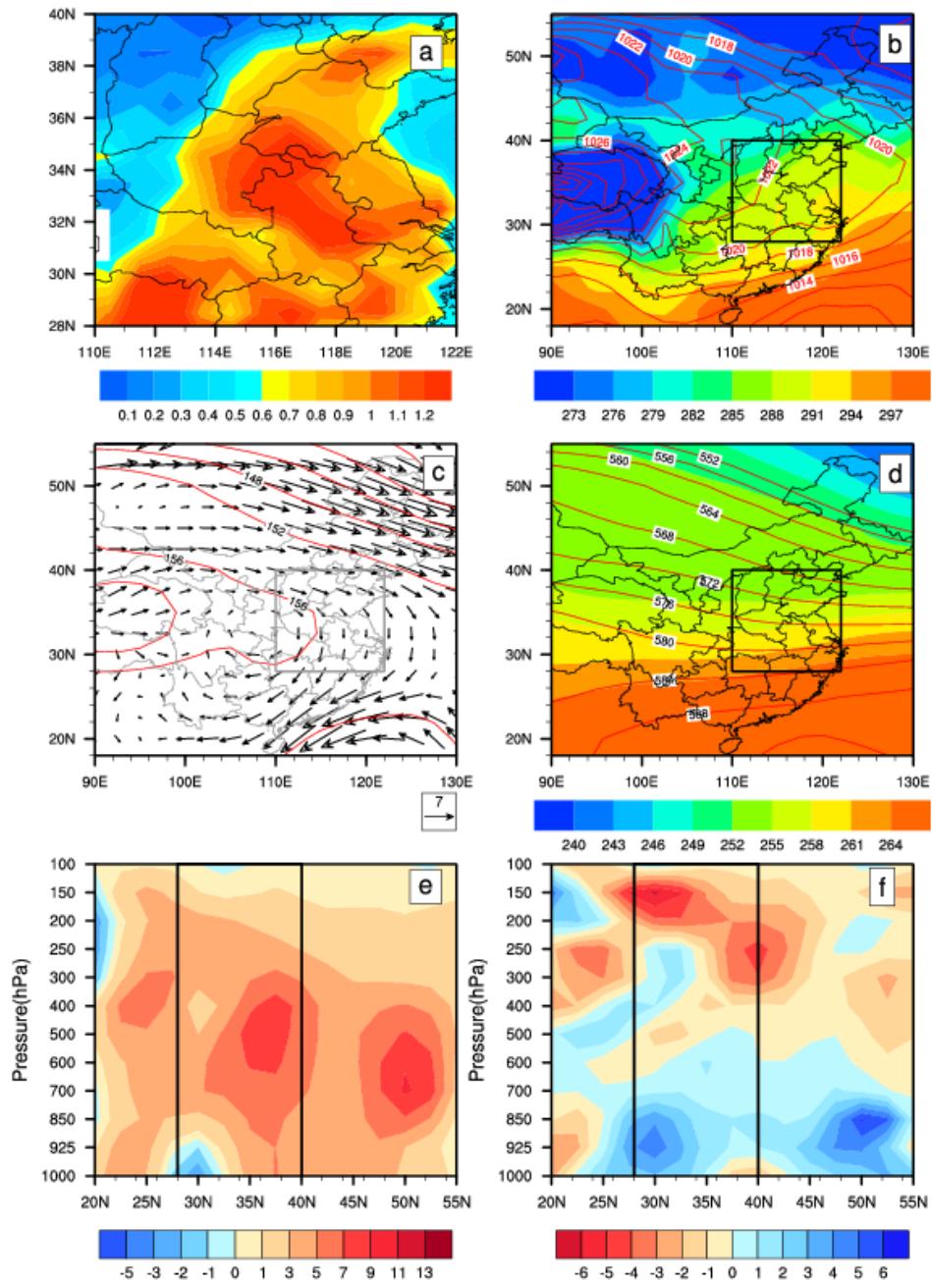


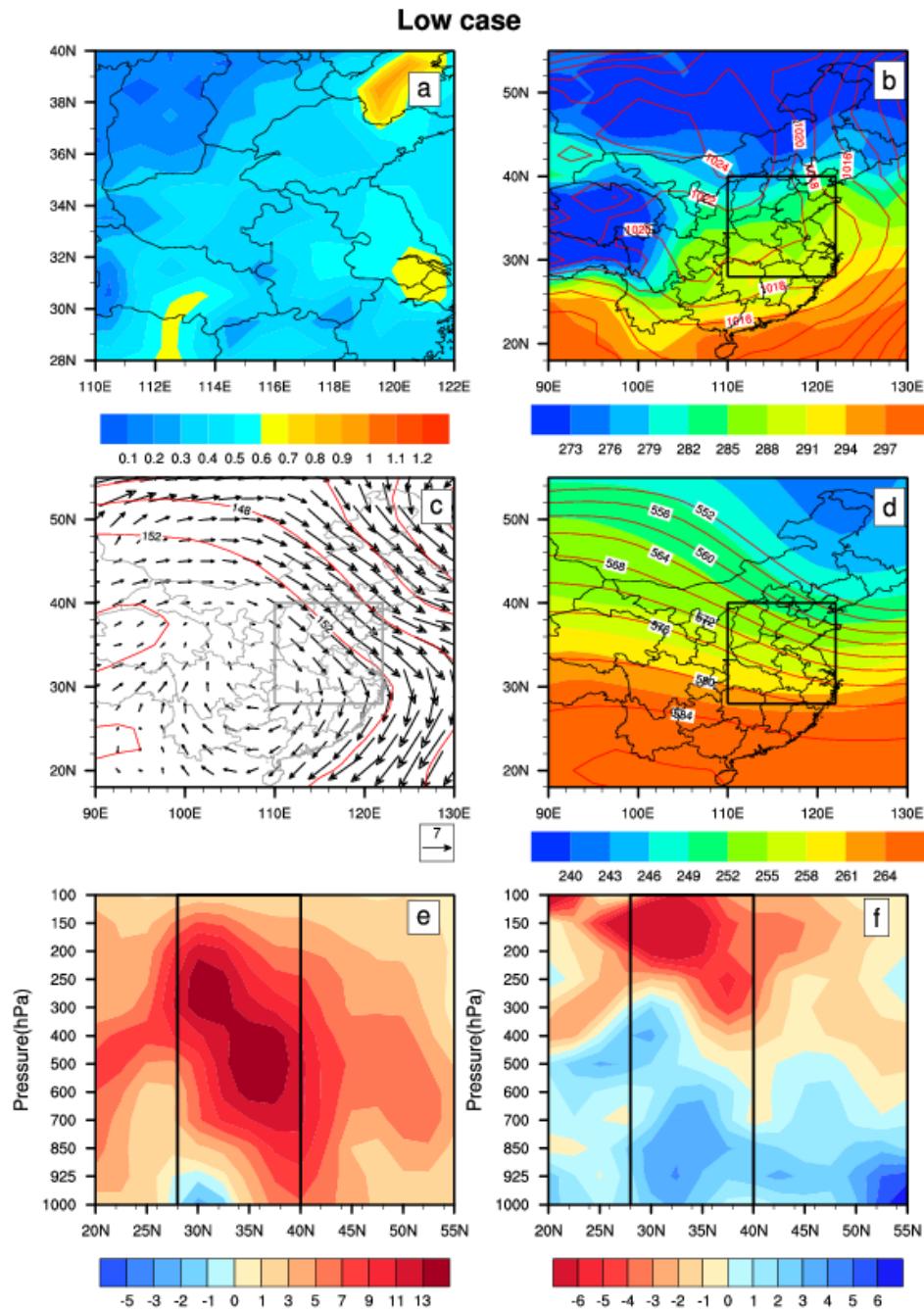
Fig. 5. One typical polluted episode (28th.Oct.2006 to 31st.Oct.2006). (a) the distribution of AOD over East China (b) sea level pressure (red line) and temperature (color shades) fields;; (c) 850hPa wind and geopotential height (red line) fields; (d) 500hPa temperature (color shades) and geopotential height (red line) fields; (e) height-latitude cross-sections of vertical velocity (10^{-2} Pa per second) and (f) divergence of winds (10^{-6} per second) averaged from longitude of 110°E-122°E. Note: black rectangular region represents the East China (110°E-122°E, 28°N-40°N).

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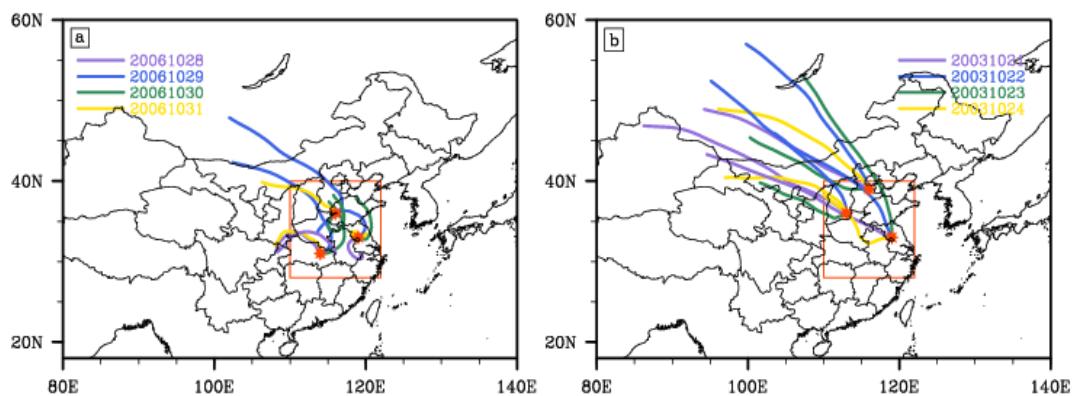
Fig. 6. As in Fig.5, but for the clean episode (21st.Oct.2003 to 24th.Oct.2003)

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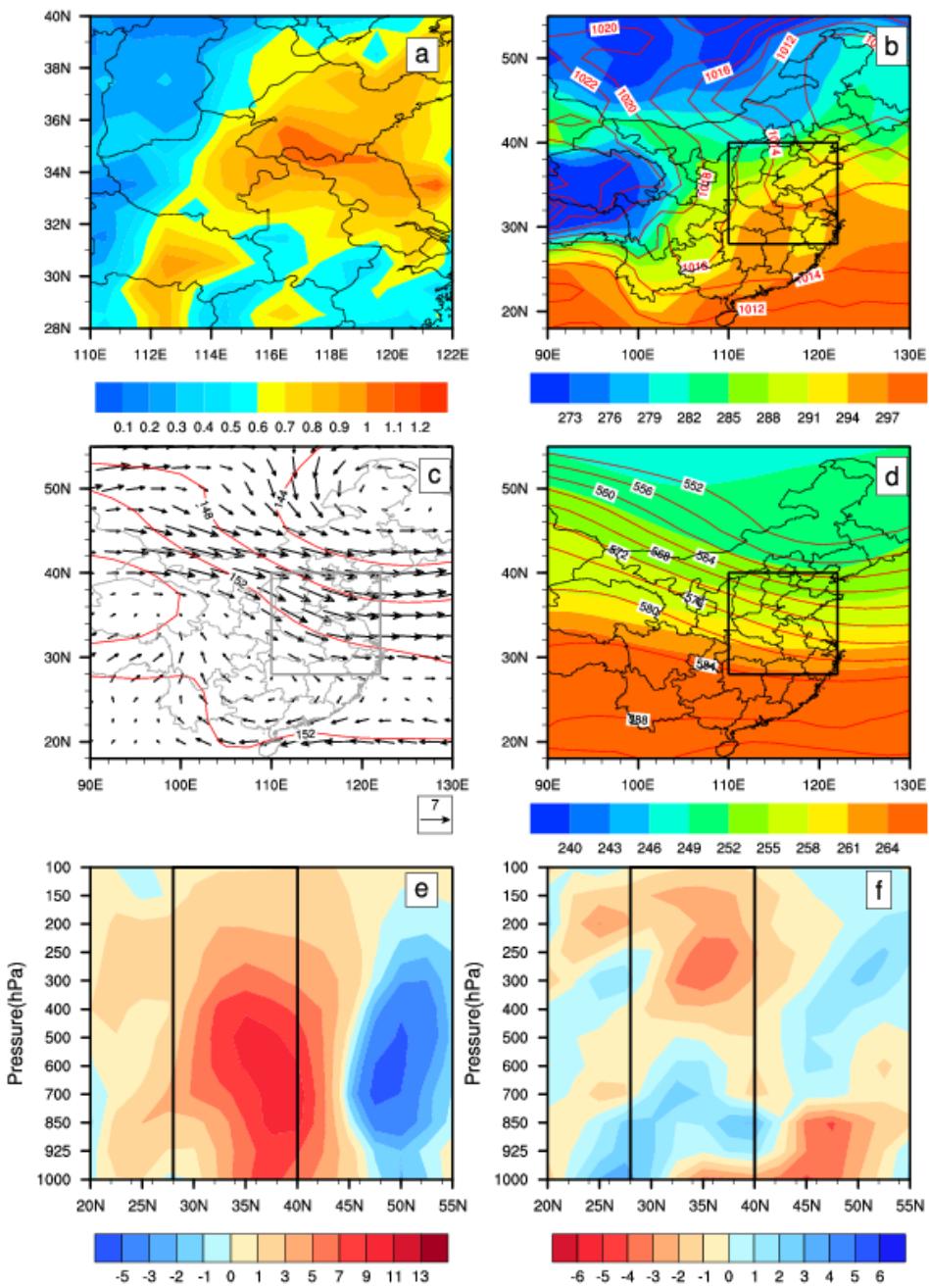
14 Fig. 7. The 48-hour backward trajectories for two episodes over East China (red box), three
15 red star represent three ending points: (a) pollution episode, at 31 °N,114 °E; 33 °N,119 °E;
16 36 °N,116 °E. (b) clean episode, at 33 °N,119 °E; 36 °N,113 °E; 39 °N,116 °E.



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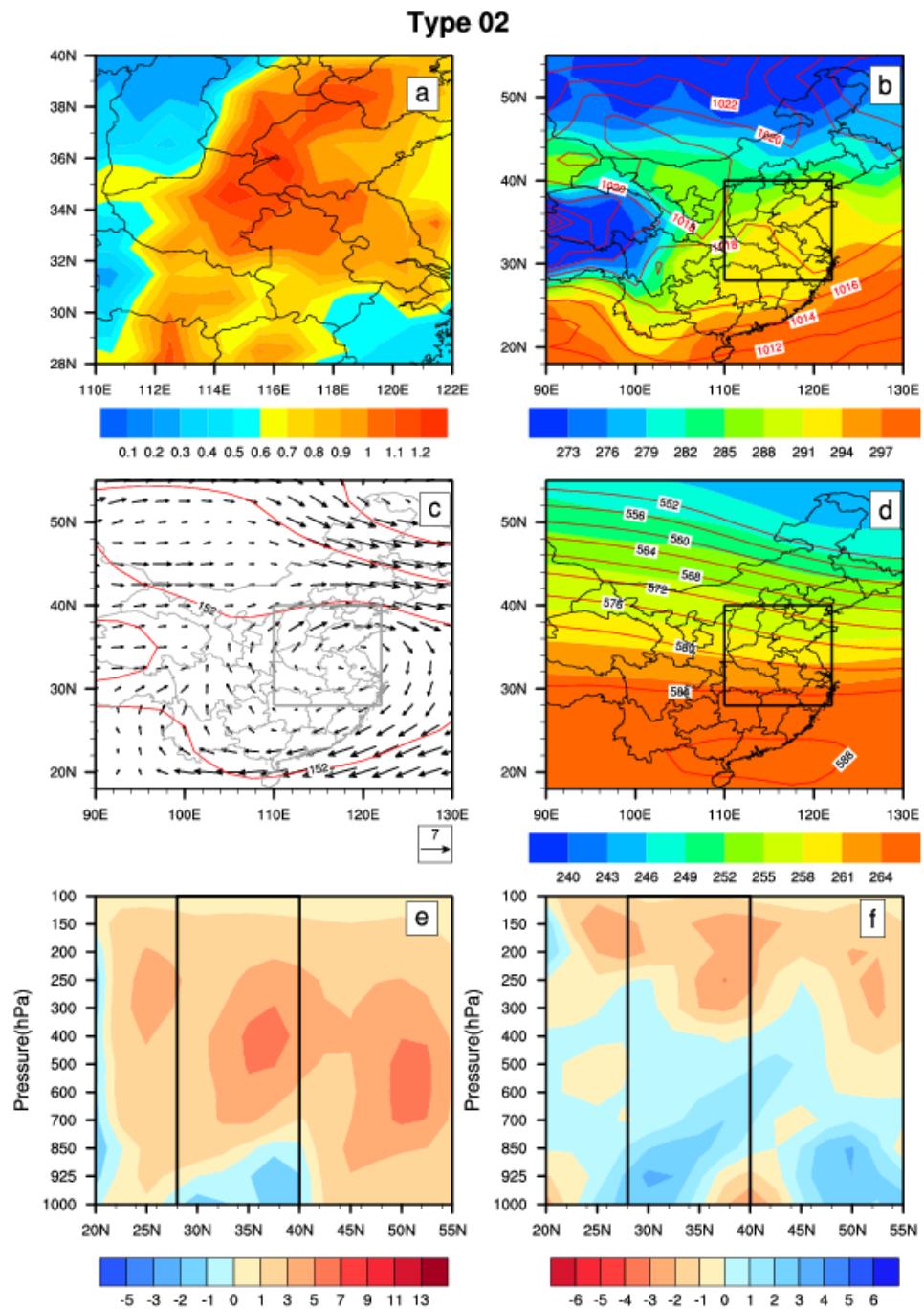
Type 01



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Fig. 8. Type 1 (polluted): (a) the distribution of AOD over East China (b) sea level pressure (red line) and temperature (color shades) fields; (c) 850hPa wind field and geopotential height (red line) fields; (d) 500hPa temperature (color shades) and geopotential height (red line) fields; (e) height-latitude cross-sections of vertical velocity (10^{-2} Pa per second) and (f) divergence of winds (10^{-6} per second) averaged from longitude of 110°E - 122°E . Note: black rectangular region represents the East China (110°E-122°E, 28°N-40°N).

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Fig. 9. As in Fig.8, but for the type 2 (polluted).

Type 03

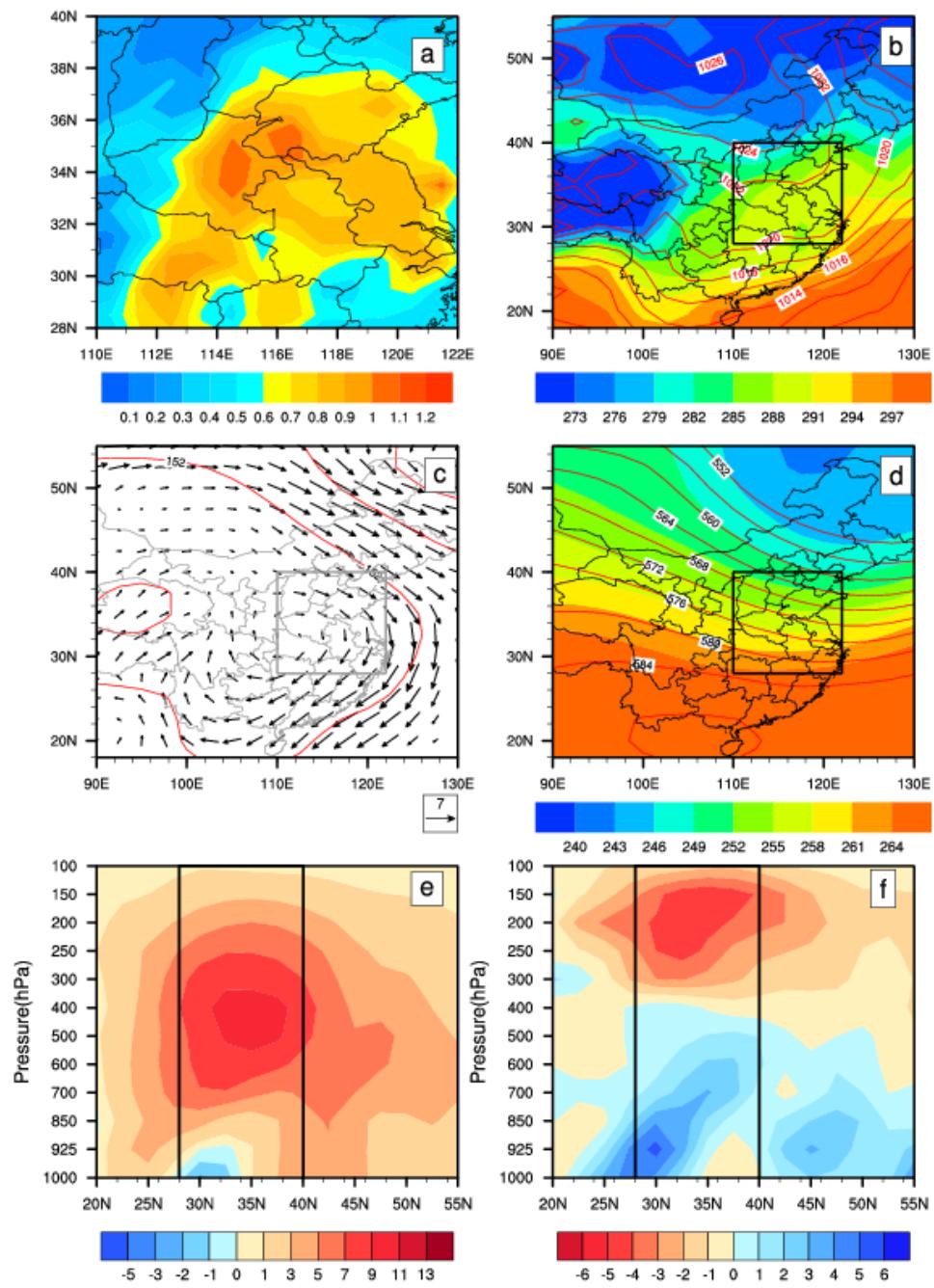


Fig. 10. As in Fig.8, but for the type 3 (polluted).

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Type 04

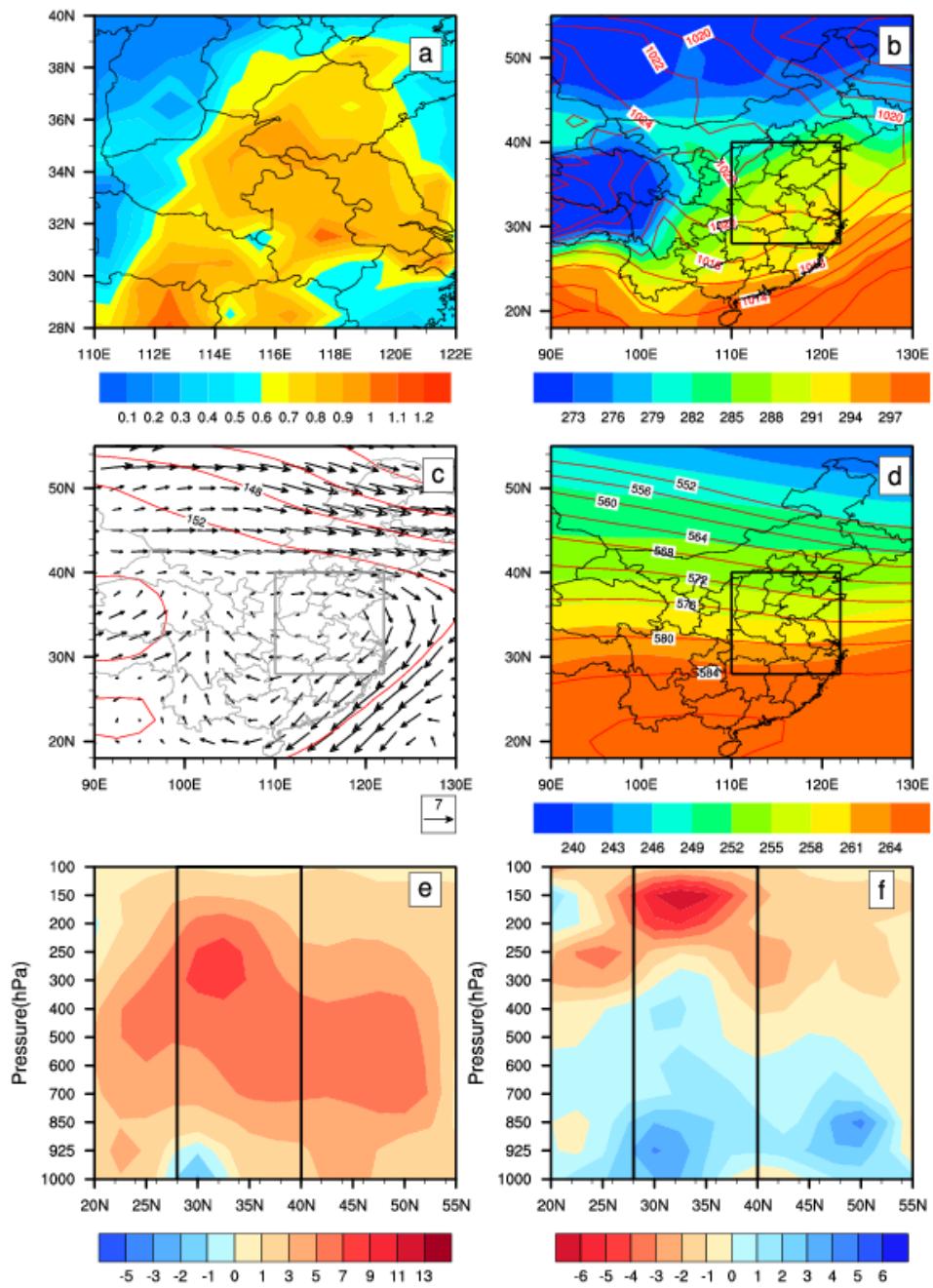


Fig.11. As in Fig.8, but for the type 4 (polluted).

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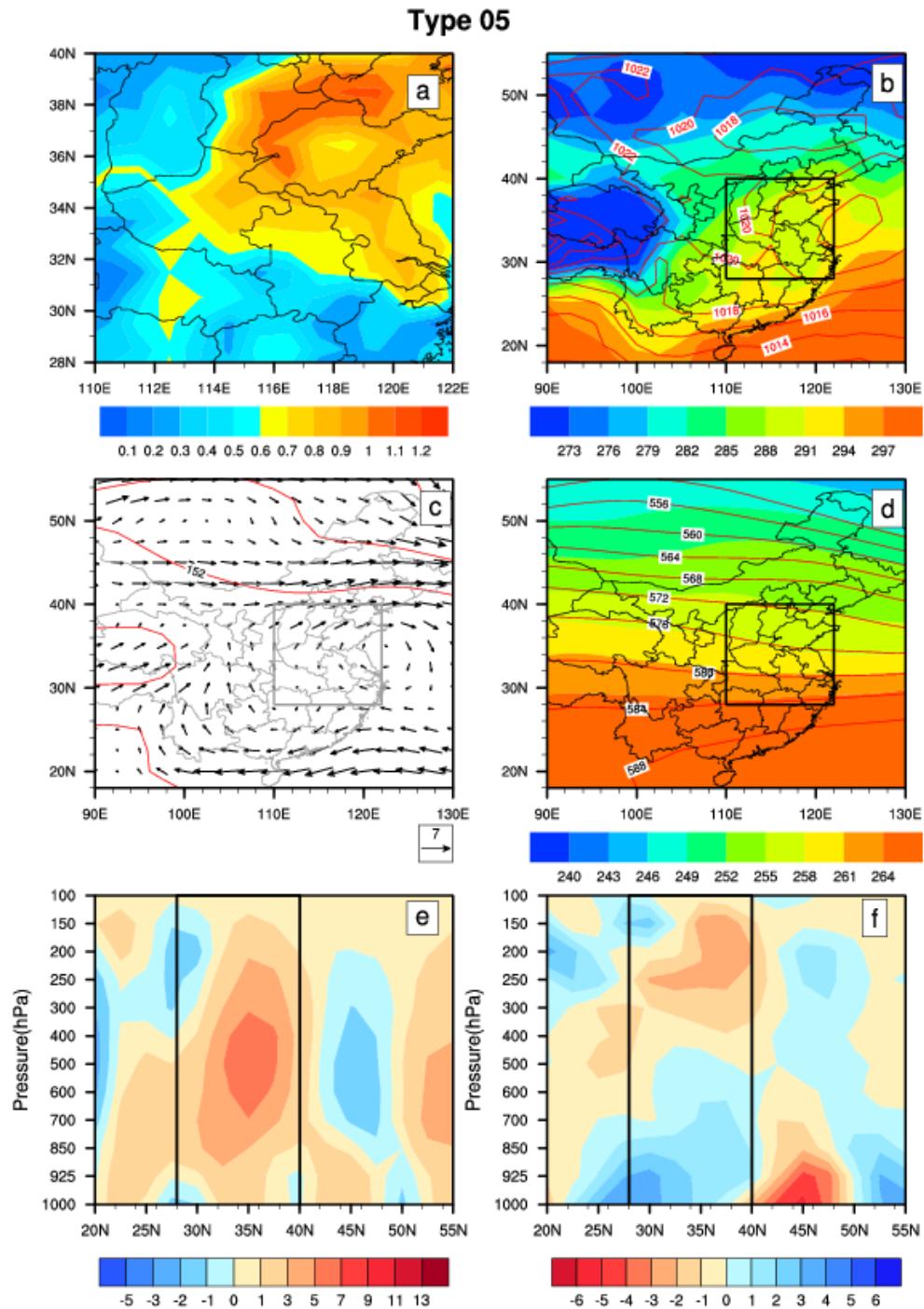


Fig.12. As in Fig.8, but for the type 5 (polluted).

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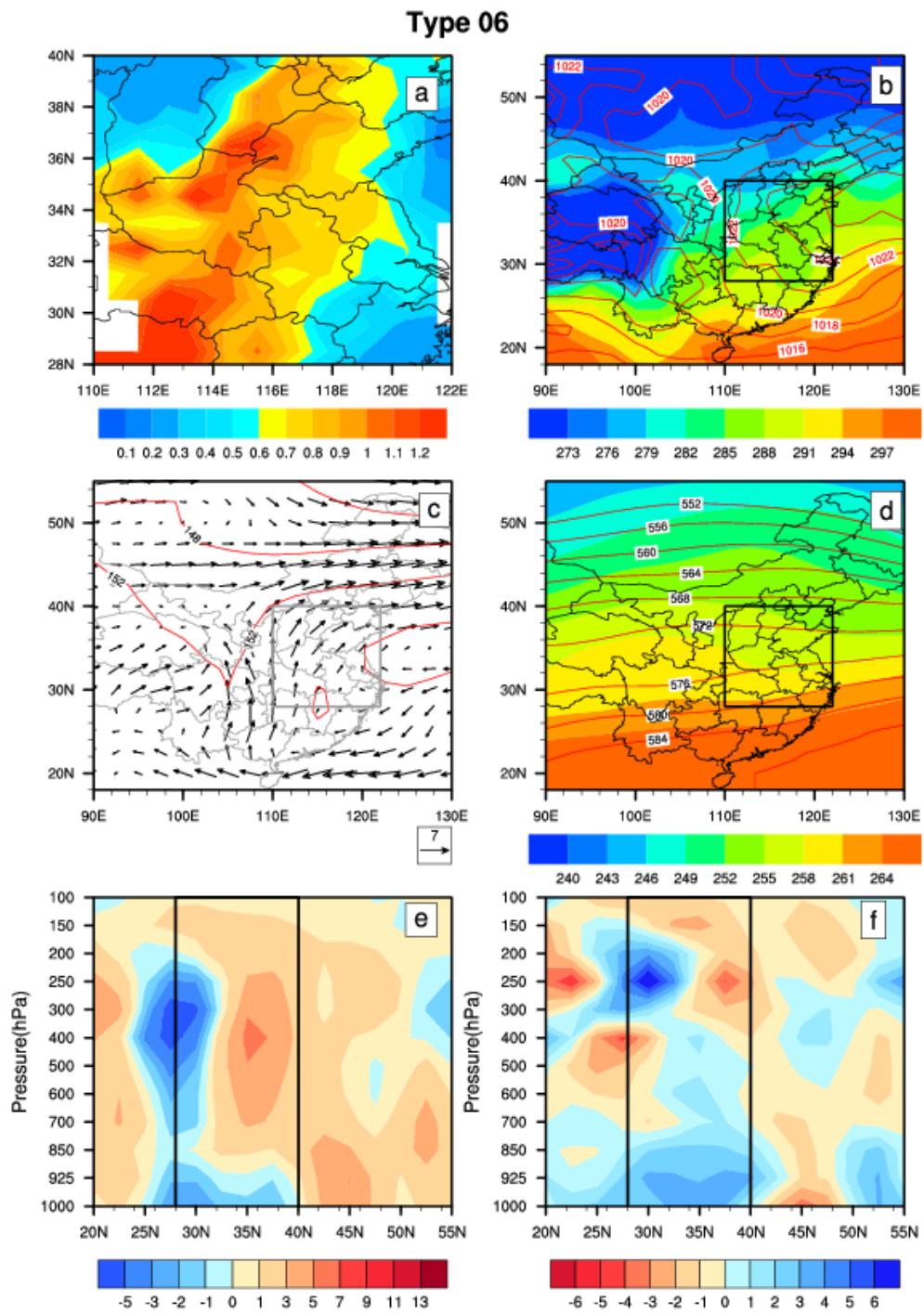


Fig.13. As in Fig.8, but for the type 6 (polluted).

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Type 07

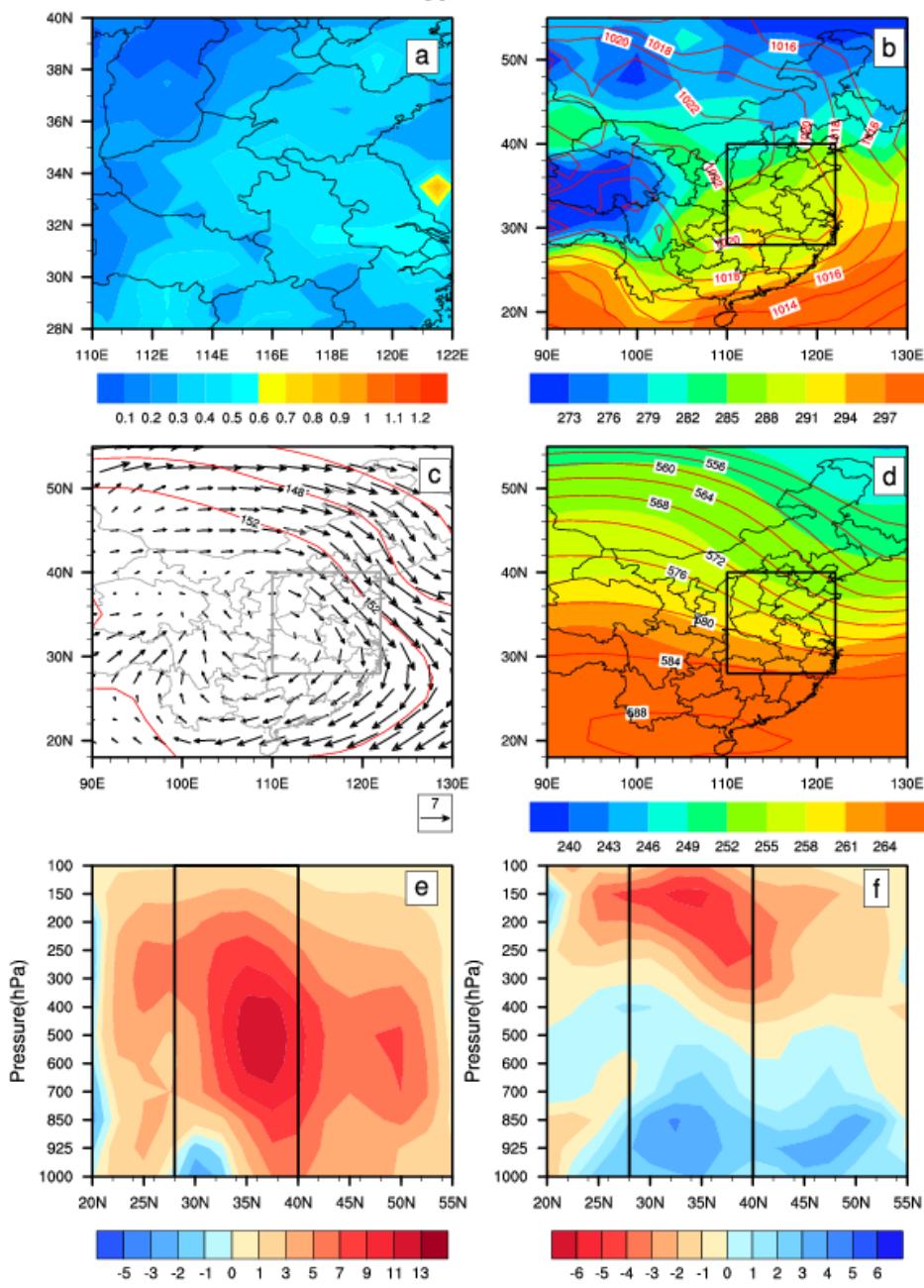


Fig.14. As in Fig.8, but for the type 7 (clean).

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Type 08

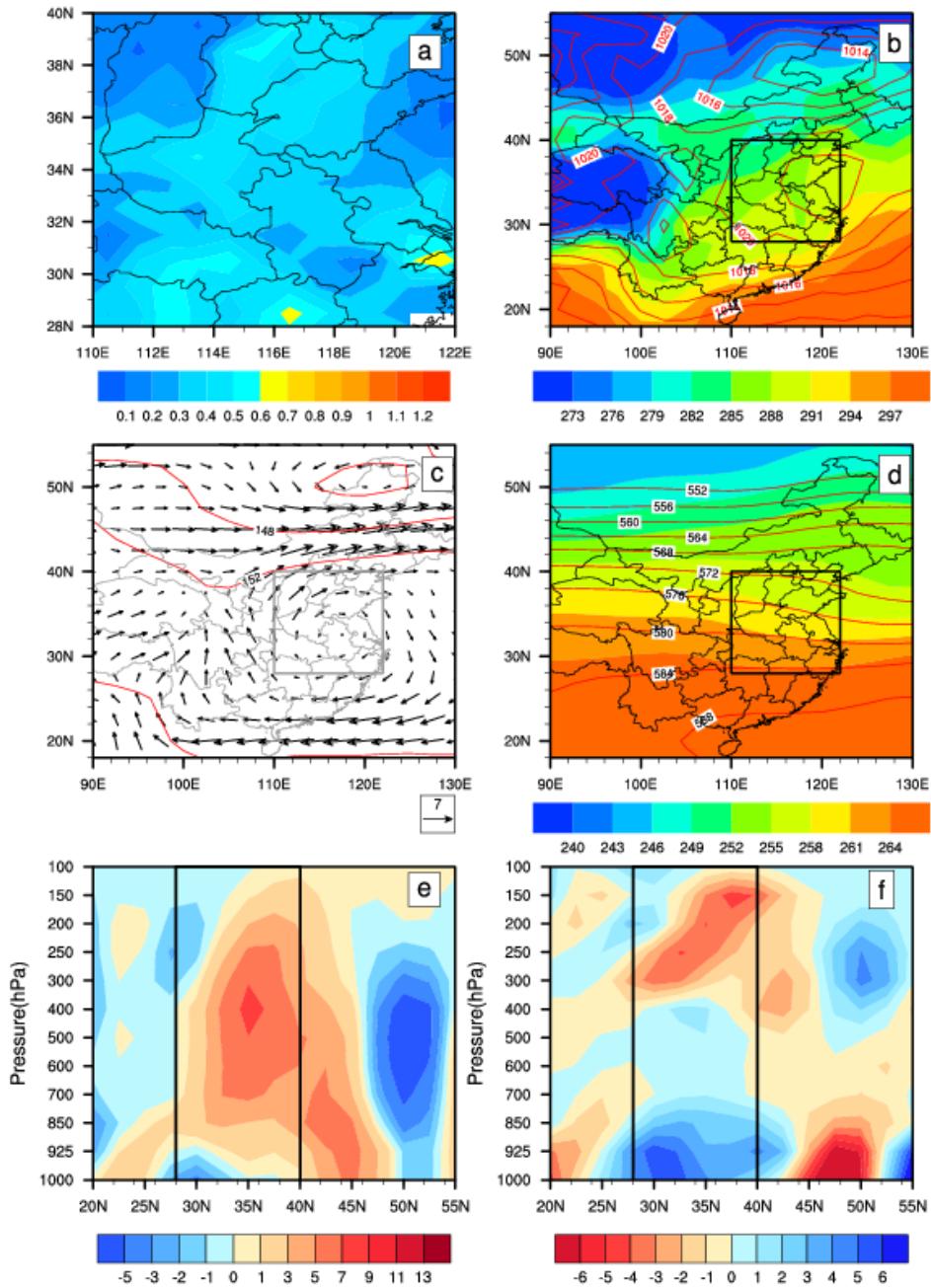
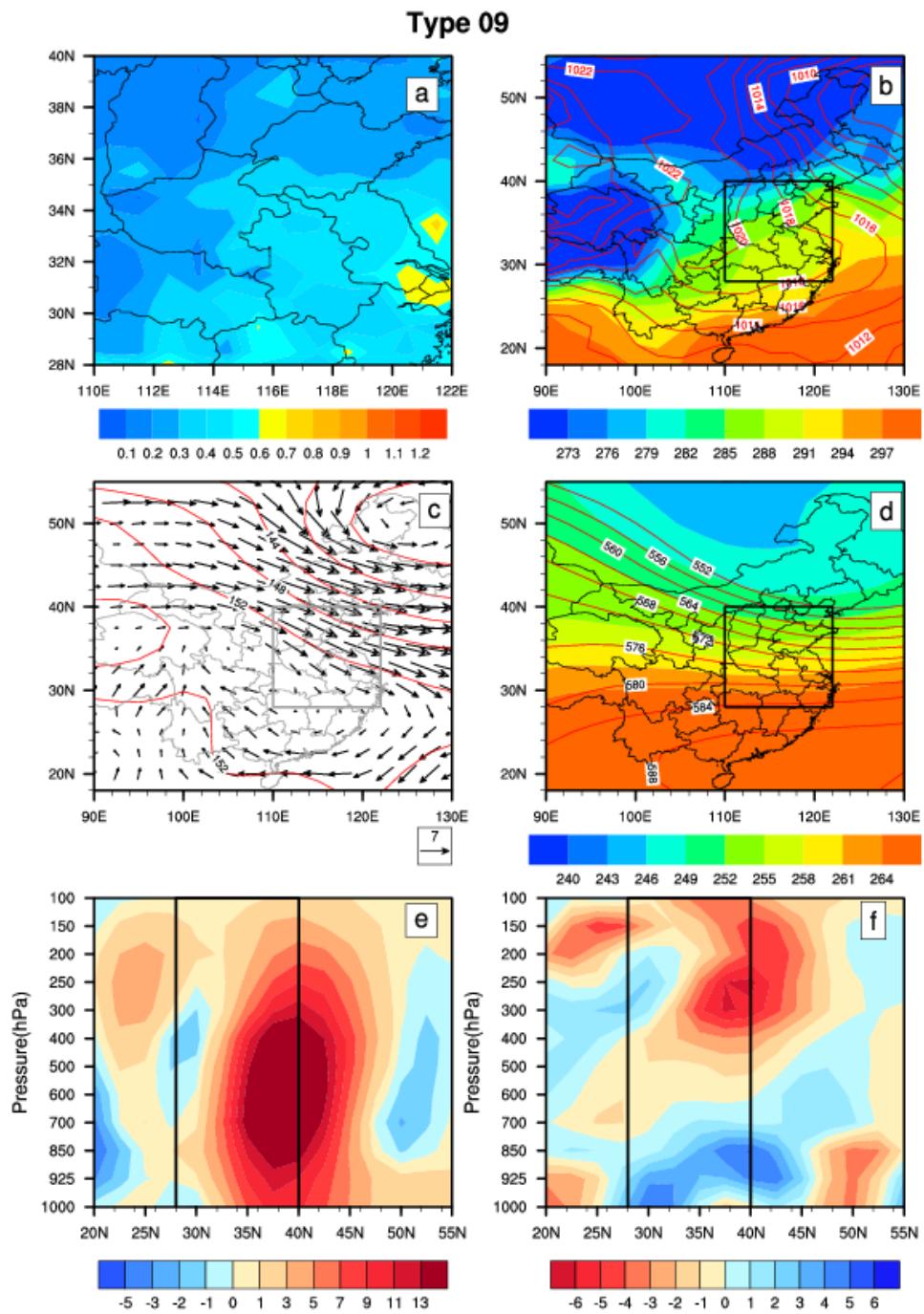


Fig.15. As in Fig.8, but for the type 8 (clean).

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Fig.16. As in Fig. 8, but for the type 9 (clean).

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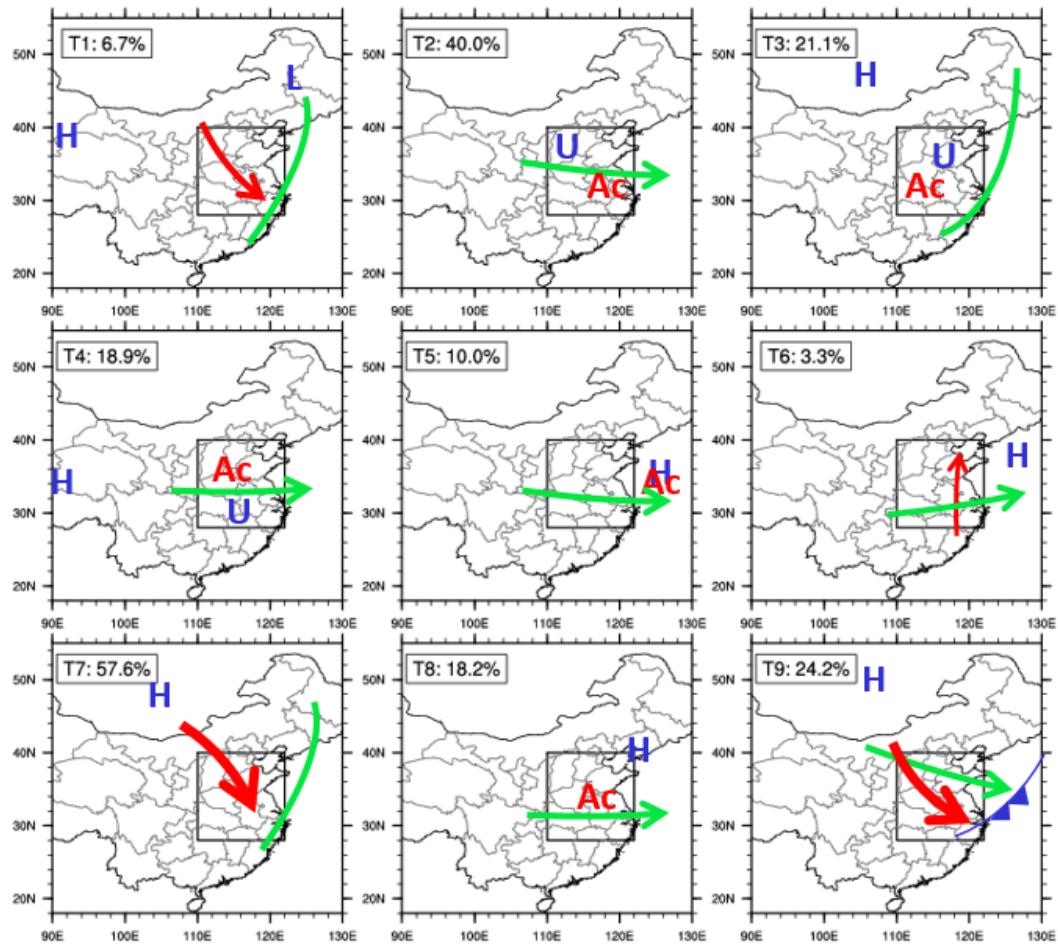
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Fig.17. Schematic diagram of nine circulation types. The surface, 850hPa level and 500hPa level are shown by blue, red and green marks respectively. Note: At surface, "H/L" is the location of high/low pressure centre; "U" means a uniform pressure field in East China; At 850hPa, "Ac" represents for the existence of an anticyclone, or else the red arrow is used to indicate the wind direction and speed. At 500hPa, the green marks are used to indicate the direction of upper air flow or the location of trough line.

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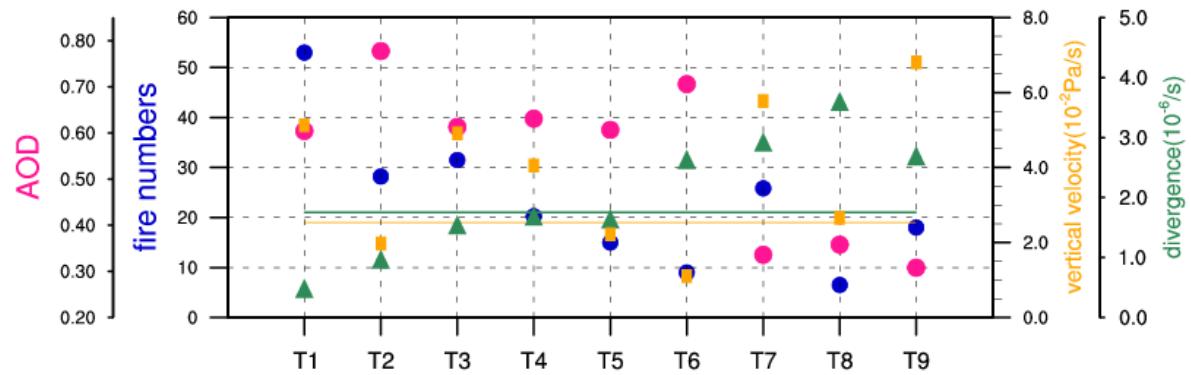
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4 Fig.18. The value of AOD (peach); fire numbers (blue); vertical velocity (orange) and
5 divergence of low level winds (green). The orange and green line represent for the
6 climatological average of vertical velocity and divergence respectively.