

PROFILING OF AEROSOL MICROPHYSICAL PROPERTIES AT SEVERAL EARLINET/AERONET SITES DURING JULY 2012 CHARMEX/EMEP CAMPAIGN

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Abstract

The simultaneous analysis of aerosol microphysical properties profiles at different European stations is made in the framework of the ChArMEx/EMEP 2012 field campaign (July 9-11, 2012). During and in support to this campaign, five lidar ground-based stations (Athens, Barcelona, Bucharest, Évora and Granada) performed 72 hours of continuous lidar measurements and collocated and coincident sun-photometer measurements. Therefore it was possible to retrieve volume concentration profiles with the Lidar Radiometer Inversion Code (LIRIC). Results indicated the presence of a mineral dust plume affecting the Western Mediterranean region (mainly Granada station) whereas a different aerosol plume was observed over the Balkans area. LIRIC profiles showed a predominance of coarse spheroid particles above Granada, as expected for mineral dust, and an aerosol plume composed mainly of fine and coarse spherical particles above Athens and Bucharest. Due to the exceptional characteristics of the ChArMEx database, the analysis of the microphysical properties profiles temporal evolution was also possible. An in depth analysis was performed mainly at Granada station because of the availability of continuous lidar measurements and frequent AERONET inversion retrievals. The analysis at Granada was of special interest since the station was affected by mineral dust during the complete analyzed period. LIRIC was found to be a very useful tool for performing continuous monitoring of mineral dust, allowing for the analysis of the dynamics of the dust event in the vertical and temporal coordinates. Results obtained here illustrate the importance of having collocated and simultaneous advanced lidar and sun-photometer measurements in order to characterize the aerosol microphysical properties both in the vertical and temporal coordinates at a regional scale. In addition, this study revealed that the use of the depolarization information as input in LIRIC in the stations of Bucharest, Évora and Granada was crucial for the characterization of the aerosol types and their distribution in the vertical column, whereas in stations lacking of depolarization lidar channels ancillary information was needed. Results obtained were also used for the validation of different mineral dust models. In general, the models better forecast the vertical distribution of the mineral dust than the column integrated mass concentration, which was underestimated in most of the cases.

1 1. INTRODUCTION

2 The influence of the atmospheric aerosol particles in the Earth's radiative forcing is still
3 affected by a large uncertainty, as indicated in the AR5 report from the Intergovernmental
4 Panel for Climate Change [IPCC, 2013]. During past years, this uncertainty has been
5 reduced from high to medium with respect to the data in the Fourth Assessment Report
6 (AR4) of the IPCC, [2007]. However, atmospheric aerosol still contribute to the largest
7 uncertainty to the total radiative forcing estimate, even though the level of confidence on
8 the effects of atmospheric aerosols has increased from low and medium to medium and
9 high (for indirect and direct effect, respectively) [IPCC, 2013].

10 The difficulty in accurately determining atmospheric aerosol properties and their
11 influence on the Earth's radiative forcing lies in their large spatial and temporal variability.
12 Ground based (active and passive) remote sensing techniques have proven to be quite
13 robust and provide accurate results for atmospheric aerosol characterization [e. g. Nakajima
14 et al., 1996; Dubovik and King, 2000; Mattis et al., 2004; Olmo et al., 2006]. Nonetheless,
15 they provide information about atmospheric aerosol properties on a local scale. Since
16 regional analyses are highly important when analyzing the aerosol variability, several
17 observational networks have been developed. Namely, the lidar network GALION (Global
18 Atmospheric Watch Aerosol Lidar Observation Network), which includes EARLINET
19 (European Aerosol Research Lidar Network, www.earlinet.org) [Bösenberg et al., 2001;
20 Pappalardo et al., 2014], MPLNET (Micro Pulse Lidar Network) [Welton et al., 2005],
21 LALINET (Latin American Lidar Network, www.lalinet.org) [Guerrero-Rascado et al.,
22 2014] and ADNET (Asian Dust Network) [Shimizu et al., 2004] among others; and the
23 sun-photometer networks SKYNET (Skyradiometer network) [Takamura and Nakajima,
24 2004] and AERONET (Aerosol Robotic Network, <http://aeronet.gsfc.nasa.gov/>) [Holben et
25 al., 1998].

26 In addition to the regional coverage, these networks can provide useful information
27 on the vertical and temporal coordinates, if adequate measurement protocols are established.
28 Information on the vertical structure of the aerosol is of high importance, since the
29 atmospheric aerosol effects can be very different near the surface, within the boundary
30 layer, and in the free troposphere. Estimates of radiative forcing are sensitive to the vertical
31 distribution of aerosols [Claquin et al., 1998; Huang et al., 2009; Sicard et al., 2014] and

32 the vertical information is required for accounting the indirect effect [McCormick et al.,
33 1993; Bréon, 2006]. In addition, atmospheric aerosol can change the vertical profile of
34 temperature and atmospheric stability, which in turn influences the wind speed profile
35 within the lower atmosphere [Pérez et al., 2006; Guerrero-Rascado et al., 2009; Choobari et
36 al., 2014]. Furthermore, continuous and/or regular measurements provided by the networks,
37 would allow us to analyse the temporal evolution and dynamics of the atmospheric aerosol
38 particles, which will be very useful not only for accurately determining the radiative
39 forcing, but also to improve the performance of numerical weather prediction (NWP) [e.g.
40 Pérez et al., 2006a] and climatological models [Nabat et al., 2014, 2015].

41 Lidar systems are widely used to determine the vertical distribution of aerosols. There are
42 already many regional studies on the vertical characterization of optical properties based on
43 lidar systems [e. g. Papayannis et al., 2008]. However, the characterization of the
44 microphysical properties profiles is still not so straightforward, due to the complexity of the
45 retrievals. Algorithms designed to combine lidar and sun-photometer measurements have
46 been developed in order to overcome this difficulty (e.g. Lidar Radiometer Inversion Code,
47 LIRIC [Chaikovsky et al., 2008; 2012; 2016] and Generalized Aerosol Retrieval from
48 Radiometer and Lidar Combined data, GARRLIC [Lopatin et al., 2013]. The combination
49 of simultaneous information about the aerosol vertical structure provided by the lidar
50 system and the columnar properties provided by the sun photometer has proven to be a
51 promising synergetic tool for this purpose. LIRIC, which is used in this study, has already
52 provided interesting results about vertically resolved aerosol microphysical properties for
53 selected case studies [Tsekeri et al., 2013; Wagner et al., 2013; Granados-Muñoz et al.,
54 2014; 2015; Papayannis et al., 2014; Biniotoglou et al., 2015]. The increasing number of
55 stations performing these simultaneous measurements foresees an optimistic future
56 concerning the increasing spatial coverage.

57 Regional studies in the Mediterranean region are of huge scientific interest since
58 multiple studies indicate that aerosol radiative forcing over the Mediterranean region is one
59 of the largest in the world [Lelieveld et al., 2002; IPCC, 2013]. In this context, the
60 ChArMEx (the Chemistry-Aerosol Mediterranean Experiment, <http://charmex.lsce.ipsl.fr/>)
61 [Dulac et al., 2014] international project involving several Mediterranean countries aims at
62 developing and coordinating regional research actions for a scientific assessment of the

63 present and future state of the atmospheric environment in the Mediterranean Basin, and of
64 its impacts on the regional climate, air quality, and marine biogeochemistry. The ChArMEX
65 project organized a field campaign between 25 June and 12 July 2012, in order to address
66 interactions such as long range transport and air quality, and aerosol vertical structure and
67 sources. The period of the campaign falls within the ACTRIS (Aerosols, Clouds, and Trace
68 Gases Research Infrastructure Network) summer 2012 campaign (8 June – 17 July, 2012)
69 that aimed at giving support to both ChArMEX and EMEP (European Monitoring and
70 Evaluation Programme) [Espen Yttri et al., 2012] field campaigns. Within the ACTRIS
71 summer 2012 campaign, the European lidar network (EARLINET) [Pappalardo et al.,
72 2014] performed a controlled exercise of feasibility to demonstrate its potential to perform
73 operational, coordinated measurements [Sicard et al., 2015]. The exercise consisted of
74 continuous lidar measurements during a 72-hour period in July 2012 at different European
75 sites. Most of those lidar data have been successfully assimilated by a regional particulate
76 air quality model to improve 36-h operational aerosol forecasts both in terms of surface PM
77 and aerosol optical depth [Wang et al., 2014].

78 Our study takes advantage of those continuous lidar measurements combined with
79 simultaneous sun-photometer data to perform a characterization of the vertical distribution
80 of the aerosol microphysical properties at different European stations with LIRIC.
81 Temporal evolution of the aerosol microphysical properties is also analysed when the
82 continuity of the inverted data is available. Up to our knowledge, it is the first time that
83 LIRIC algorithm is applied in a continuous and automated way to retrieve simultaneous and
84 continuous data acquired at different stations, proving the algorithm capability to provide
85 reliable microphysical properties information with high spatial and temporal resolution. In
86 addition, this exceptional aerosol observational database is used for the spatio-temporal
87 evaluation of different regional mineral dust models

88 2. MEASUREMENT STRATEGY

89 During the summer of 2012, an intensive measurement campaign was performed in
90 the framework of ChArMEX and EMEP in the Mediterranean Basin at twelve ground-based
91 lidar stations throughout Europe. The main aim of these measurements was to obtain an
92 experimental vertically-resolved database for investigating aerosol radiative impacts over
93 the Mediterranean basin using 3-D regional climate models. The extensive lidar database

94 acquired during this campaign combined with AERONET regular measurements represents
95 a unique opportunity to evaluate the performance of LIRIC microphysical inversion
96 retrieval during the event in both temporal and spatial (horizontal and vertical) coordinates,
97 proving the utility of combined measurements and the potential of LIRIC algorithm for
98 routinary aerosol microphysical properties measurements.

99 The measurement campaign consisted in 72-hours of continuous and simultaneous
100 lidar measurements performed at twelve European stations, with eleven out of them
101 participating in ACTRIS/EARLINET [Sicard et al., 2015]. The measurement period started
102 on July 9 at 06:00 UTC and lasted until July 12, 2012 at 06:00 UTC, in coincidence with a
103 forecast mineral dust event over the Mediterranean basin according to dust transport
104 models.

105 LIRIC algorithm requires lidar data at least in 3 different wavelengths and
106 simultaneous AERONET retrievals in order to obtain the aerosol microphysical properties
107 profiles. Therefore, to evaluate the performance of LIRIC algorithm and characterize the
108 distribution and temporal evolution of the aerosol microphysical properties during the
109 event, only those stations where multiwavelength lidar data at 3 wavelengths and
110 AERONET data were available for the period July 9-11 were selected. Namely, those
111 stations were Athens (AT), Barcelona (BA), Bucharest (BU), Évora (EV) and Granada
112 (GR) (Figure 1). The main characteristics of each station are included in Table 1.

113 [Figure 1]

114 All the five stations are part of both EARLINET and AERONET networks. Thus, these five
115 stations are equipped with at least a multiwavelength lidar and a sun photometer. Lidar
116 systems in all these five stations emit and receive at least at three different wavelengths
117 (355, 532 and 1064 nm), with the systems in Granada, Bucharest and Évora including
118 depolarization capabilities at 532 nm (Table 1). Depolarization information can be used in
119 the retrieval of the aerosol microphysical properties profiles with LIRIC to distinguish
120 between coarse spherical and coarse spheroid mode.

121 Stations are also equipped with collocated standard sun photometers CIMEL CE-
122 318-4, used in the AERONET network. AERONET retrieval algorithm provides
123 atmospheric aerosol properties integrated in the atmospheric vertical column [Dubovik and

124 King, 2000; Dubovik et al., 2006]. The automatic tracking sun and sky scanning radiometer
125 makes sun direct measurements with a 1.2° full field of view every 15 min at different
126 nominal wavelengths, depending on the station (Table 1). These solar extinction
127 measurements are used to compute aerosol optical depth (τ_λ) at each wavelength except for
128 the 940 nm channel, which is used to retrieve total column water vapour (or precipitable
129 water) [Estellés et al., 2006; Pérez-Ramírez et al., 2012]. The estimated uncertainty in
130 computed τ_λ , due primarily to calibration uncertainty, is around 0.01–0.02 for field
131 instruments (which is spectrally dependent, with the larger errors in the UV) [Eck et al.,
132 1999; Estellés et al., 2006].

133 [Table 1]

134 3. METHODOLOGY

135 3.1. RETRIEVAL OF AEROSOL PROPERTIES FROM REMOTE SENSING 136 MEASUREMENTS

137 The analysis of aerosol microphysical properties profiles is performed with LIRIC
138 algorithm. Details about LIRIC retrieval algorithm and its physical basics can be found in
139 previous studies [Chaikovsky et al., 2012; 2016; Kokkalis et al., 2013; Wagner et al., 2013;
140 Granados-Muñoz et al., 2014; 2015; Perrone et al., 2014; Biniotoglou et al., 2015], but a
141 brief description is included here for completeness. LIRIC provides profiles of atmospheric
142 aerosol microphysical properties from atmospheric aerosol columnar optical and
143 microphysical properties retrieved from direct sun and sky radiance measurements from the
144 sun-photometer using AERONET code (Version 2, Level 1.5) [Dubovik and King, 2000;
145 Dubovik et al., 2006] and measured lidar elastic backscatter signals at three different
146 wavelengths (355, 532 and 1064 nm). If available, also the 532-nm cross-polarized signal is
147 used. Raw lidar data used for this analysis have been prepared accordingly to the
148 EARLINET Single Calculus Chain (SCC), described in detail in D’Amico et al., [2015].
149 From the combination of all this data, volume concentration profiles $C_v(z_n)$ are obtained
150 for fine and coarse aerosol particles, with a vertical resolution of 15 m in our case. The use
151 of the 532-nm cross-polarized lidar channel allows for distinguishing between spherical and
152 non-spherical particles within the coarse fraction of the aerosol. The uncertainty in LIRIC
153 retrievals associated to the input data is not yet well described, but the algorithm has proven

154 to be very stable and the variations in the output profiles associated to the user-defined
155 input parameters are below 20% [Granados-Muñoz et al., 2014].

156 3.2. MODEL DESCRIPTION AND VALIDATION STRATEGY

157 Models of dust emission, transport and deposition are used as a tool to understand the
158 various aspects that control distributions and impacts of dust. While global models of the
159 dust cycle are used to investigate dust at large scales and long-term changes, regional dust
160 models are the ideal tool to study in detail the processes that influence dust distribution as
161 well as individual dust events. The analysis of the aerosol microphysical properties with
162 LIRIC using ChArMEx comprehensive database was used here for the evaluation of a set
163 of 4 regional mineral dust models. This model evaluation was performed for both the
164 vertical and horizontal coordinates and the temporal evolution.

165 Firstly, the spatial distribution of the mineral dust was examined by using the
166 experimental data from the five EARLINET/AERONET sites considered in the present
167 study. Dust optical depth (at 550nm) provided by four different regional mineral dust
168 models (BSC-DREAM8b, NMMB/BSC-Dust, DREAM8-NMME and the regional version
169 of COSMO-MUSCAT) was used at this stage. Experimental data were used here just to
170 corroborate the presence or non-presence of mineral dust at the different regions and
171 periods indicated by the models.

172 BSC-DREAM8b and DREAM8-NMME models are based on the Dust Regional
173 Atmospheric Model (DREAM), originally developed by Nickovic et al., [2001]. The main
174 feature of the updated version of the model, BSC-DREAM8b (version 2), include an 8-bins
175 size distribution within the 0.1–10 μm radius range according to Tegen and Lacis [1996],
176 radiative feedbacks [Pérez et al., 2006a,b] and upgrades in its source mask [Basart et al.,
177 2012]. BSC-DREAM8b model provides daily dust forecasts at Barcelona Supercomputing
178 Center–Centro Nacional de Supercomputación (BSC-CNS,
179 <http://www.bsc.es/projects/earthscience/BSC-DREAM/>). The model has been extensively
180 evaluated against observations [see, e.g. Basart et al. 2012b]. Recently, the DREAM8-
181 NMME model [Vukovic et al, 2014], driven by the NCEP Nonhydrostatic Mesoscale
182 Model on E-grid [Janjic et al., 2001], provides daily dust forecasts available at the South
183 East European Virtual Climate Change Center (SEEVCCC; <http://www.seevccc.rs/>).

184 NMMB/BSC-Dust model [Pérez et al., 2011; Haustein et al., 2012] is a regional to
185 global dust forecast operational system developed and maintained at BSC-CNS. It is an
186 online multi-scale atmospheric dust model designed and developed at BSC-CNS in
187 collaboration with NOAA-NCEP, NASA Goddard Institute for Space Studies and the
188 International Research Institute for Climate and Society (IRI). NMMB/BSC-Dust model
189 includes a physically based dust emission scheme, which explicitly takes into account
190 saltation and sandblasting processes. It includes an 8-bin size distribution and radiative
191 interactions. NMMB/BSC-Dust model has been evaluated at regional and global scales
192 [Pérez et al. 2011; Haustein et al. 2012; Gama et al., 2015].

193 BSC-DREAM8b, NMMB/BSC-DDUST and DREAM8-NMME models are
194 participating in the World Meteorological Organization Sand and Dust Storm Warning
195 Advisory and Assessment System (WMO SDS-WAS) Northern Africa-Middle East-Europe
196 (NAMEE) Regional Center (<http://sds-was.aemet.es/>). Additionally, NMMB/BSC-Dust is
197 the model that provides operational dust forecast in the first Regional Specialized
198 Meteorological Center with activity specialization on Atmospheric Sand and Dust Forecast,
199 the Barcelona Dust Forecast Center (BDFC; <http://dust.aemet.es/>).

200 On the other hand, COSMO-MUSCAT is an online coupled model system based on a
201 different philosophy: COSMO is a non-hydrostatic and compressible meteorological model
202 which solves the governing equations on the basis of a terrain-following grid [Schättler et
203 al., 2008; Baldauf et al., 2011], whereas MUSCAT is a chemistry transport model that
204 treats the atmospheric transport as well as chemical transformations for several gas phase
205 species and particle populations using COSMO output data [Knoth and Wolke, 1998;
206 Wolke et al. 2012]. More details about COSMO-MUSCAT model can be found elsewhere
207 [Schepanski et al., 2007, 2009; Heinold et al., 2009; Laurent et al., 2010; Tegen et al.,
208 2013].

209 The spatial resolution, domain size, initial and boundary conditions, differ, in
210 addition to the different physical parameterizations implemented in the models. Details on
211 the individual mineral dust models and their respective model configurations evaluated here
212 are summarized in Table 2.

213

[Table 2]

214 In a further step, modelled mineral dust mass concentration profiles were compared
215 with LIRIC output profiles in order to evaluate the model performance on the vertical
216 coordinate. The temporal evolution of the modelled vertical profiles was evaluated in more
217 detail only at Granada, which was the station most affected by the dust outbreak during the
218 analysed period and thus provided a more extensive database. Since LIRIC provides
219 volume concentration profiles, a conversion factor was needed to obtain mass
220 concentration. This conversion factor was the density of the aerosol particles, namely 2.65
221 $\text{g}\cdot\text{cm}^{-3}$ for the coarse mode ($1\text{-}10\ \mu\text{m}$) and $2.5\ \text{g}\cdot\text{cm}^{-3}$ ($0.1\text{-}1\ \mu\text{m}$) for the fine mode [Pérez et
222 al., 2006a,b]. In addition, the initial vertical resolution of the different models and LIRIC
223 was established to a common values of $100\ \text{m}$, in order to obtain a compromise between the
224 loss of information from LIRIC and from the different models, following a similar
225 procedure to that in Binietoglou et al., [2015].

226 After this processing, mineral dust mass concentration profiles provided by BSC-
227 DREAM8b, NMMB/BSC-DUST, DREAM8-NMME and COSMO-MUSCAT models were
228 evaluated against LIRIC results in those cases when mineral dust was detected. For the
229 comparison, the fine mode was assumed to be fine mineral dust since it is not possible to
230 distinguish which part of the fine mode corresponds to dust or non-dust particles with
231 LIRIC. This assumption may cause an overestimation of the mineral dust concentration that
232 becomes more important in those cases with high concentrations of the fine mode (which
233 was not the case in our study). Alternative methods, such as POLIPHON (Polarization-lidar
234 photometer networking) method, could be applied to overcome this difficulty [Mamouri
235 and Ansmann, 2014], but this is out of the scope of our study.

236 In our study, model output profiles were retrieved every 3 hours and compared to
237 LIRIC retrievals during the three analyzed days. Only daytime data are presented here
238 (from 06:00 to 18:00 UTC) because of the limitations of LIRIC retrieval during night-time.
239 Due to the difficulties of the models to correctly represent the convective processes
240 occurring within the planetary boundary layer and PBL-free troposphere interactions and
241 the photochemical reactions producing secondary aerosols at the considered resolution, the
242 lowermost part of LIRIC profiles (affected by these processes) was not considered in the
243 comparison presented here. Only data between $2000\ \text{m asl}$, which is the mean value of the

244 PBL height during summer at Granada [Granados-Muñoz et al., 2012], and the highest
 245 value (up to between 5 and 6 km) provided by LIRIC were included in the comparisons.

246 In order to quantify the model agreement with the total dust load observed in the
 247 profiles, the integrated dust mass concentration from the different profiles was obtained by
 248 integrating the profiles between 2 km asl and the highest altitude value provided by LIRIC
 249 profiles.

250 The altitude of the center of mass of the dust column (C_m) was also calculated
 251 according to Equation 1, where z_{min} and z_{max} are 2 and the highest altitude value provided
 252 by LIRIC respectively:

253

$$254 \quad C_m = \frac{\int_{z_{min}}^{z_{max}} z_n C_{mass}(z_n) dz_n}{\int_{z_{min}}^{z_{max}} C_{mass}(z_n) dz_n} \quad (1)$$

255 Additional parameters used in the comparison between LIRIC and the model dust
 256 mass concentration profiles are the root mean square error (RMSE), the correlation
 257 coefficient (R), the normalized mean bias (NMB) and the normalized mean standard
 258 deviation (NMSD), defined in equations 2 to 6:

$$259 \quad RMSE = \sqrt{\frac{1}{n} \sum_n \left(C_{mass}^{LIRIC}(z_n) - C_{mass}^{model}(z_n) \right)^2} \quad (2)$$

$$260 \quad R = \frac{\sum_n (C_{mass}^{model}(z_n) - \overline{C_{mass}^{model}}) (C_{mass}^{LIRIC}(z_n) - \overline{C_{mass}^{LIRIC}})}{\sqrt{\sum_n (C_{mass}^{model}(z_n) - \overline{C_{mass}^{model}})^2} \sqrt{\sum_n (C_{mass}^{LIRIC}(z_n) - \overline{C_{mass}^{LIRIC}})^2}} \quad (3)$$

$$261 \quad NMB = \frac{\overline{C_{mass}^{model}} - \overline{C_{mass}^{LIRIC}}}{\overline{C_{mass}^{LIRIC}}} \quad (4)$$

$$262 \quad NMSD = \frac{\sigma_{model} - \sigma_{LIRIC}}{\sigma_{LIRIC}} \quad (5)$$

263 where n is the number of height levels; $C_{mass}(z_n)$ is the dust mass concentration at
 264 each height level z_n , either for LIRIC or the models; $\overline{C_{mass}}$ are mean values; and
 265 σ indicates the standard deviation.

266 A detailed comparison of BSC-DREAM8b, NMMB/BSC-DUST, DREAM8-NMME
 267 (three out of the four models presented here) dust mass concentration profiles with LIRIC
 268 results was performed in Biniotoglou et al. [2015] using additional stations and selected

269 case studies for the period 2011-2013. However, due to the characteristics of ChArMEx
270 database this study goes a step further. Up to our knowledge, it is the first time that the
271 different models are evaluated at different stations using simultaneous data, thus providing
272 information about the horizontal coordinate, following the evolution of a regional event.
273 Additionally, a validation of the mass concentration profiles temporal evolution of a
274 specific mineral dust event is presented for the first time.

275

276 4. RESULTS

277

278 During the 72-hour intensive measurement period, information from different models,
279 platforms and instrumentation was available. A detailed characterization of the situation
280 above the Mediterranean Basin during the campaign focused on aerosol microphysical
281 properties using the different resources available is presented in the subsection 4.1.,
282 followed by the models evaluation in subsection 4.2.

283 4.1. SPATIAL-TEMPORAL CHARACTERIZATION OF AEROSOL 284 MICROPHYSICAL PROPERTIES DURING CHARMEX/EMEP 2012

285 4.1.1. Ground-based column-integrated measurements

286 Column-integrated properties retrieve from the AERONET sun-photometer are
287 presented in Figure 2. Figure 2a and b shows the time series of the $\tau_{440\text{nm}}$ and AE(440-
288 880nm) for the selected 5 stations during the analysed period and mean values for each day
289 and station are indicated in Table 3.

290 According to these data, the lowest values of $\tau_{440\text{nm}}$ were measured at Évora station
291 during the whole period, with values below 0.18. The AE(440-880nm) was close to one,
292 except in the early morning and late evening, when it decreased down to ~ 0.5 . These
293 values, together with the columnar volume size distributions observed in Figure 2c
294 indicates a very low aerosol load, mostly related to aerosol from local sources, and no
295 impact of the North African aerosol plume forecast to arrive at the Iberian Peninsula. A
296 decrease of $\tau_{440\text{nm}}$ value with time was observed at Granada station, with maximum values
297 reaching up to 0.40 on July 9 around 16:00 UTC. During July 10 and 11, $\tau_{440\text{nm}}$ values were

298 between 0.10 and 0.20, except for the late afternoon of July 10 from 17:00 UTC, when the
299 aerosol load decreased and $\tau_{440\text{nm}}$ below 0.10 were observed. On the contrary, values of the
300 AE(440-870 nm) were increasing from 0.3 on July 9 up to 0.7 on July 11, with maximum
301 values on the late evening on July 10 ($\text{AE}(440-870 \text{ nm}) > 1$). It is worthy to note that the
302 AE(440-870nm) was below 0.5 during the whole period except for the late afternoon on
303 July 10, in coincidence with the decrease in $\tau_{440\text{nm}}$, indicating a clear predominance of
304 coarse particles [e. g. Pérez et al., 2006a; Basart et al., 2009; Valenzuela et al., 2014]. The
305 columnar volume size distributions for the different days agreed with these data. Data from
306 July 9 show a very large coarse mode and a small contribution of fine particles. The
307 contribution of fine particles was almost constant during the three days, whereas the coarse
308 mode was decreasing with time. There was a predominance of the coarse mode during the
309 whole period, with maximum values of $0.13 \mu\text{m}^3/\mu\text{m}^2$ during the first day. All these data are
310 usually related to the presence of mineral dust in the station and the temporal evolution of
311 the analyzed properties clearly suggest a decrease of the mineral dust event intensity
312 throughout the analysed period and a possible mixing or aging of the mineral dust. At
313 Barcelona station no AERONET data were available on July 9. During July 10 and 11,
314 $\tau_{440\text{nm}}$ values were relatively high and quite constant (around 0.30) and the AE(440-870nm)
315 values were larger than 1.5, indicating a strong contribution of fine aerosol particles. In the
316 columnar volume size distributions, similar values for the fine and coarse mode were
317 observed on the July 10, but larger values of the fine mode were obtained on July 11.
318 Therefore, it can be inferred from these data that the impact of the North African aerosol
319 plume was almost negligible at this station.

320 In Athens and Bucharest the aerosol plume presented very different characteristics to those
321 observed on the Western region. **Error! Reference source not found.** In this region, large
322 $\tau_{440\text{nm}}$ values (>0.35) and large values of the AE(440-870 nm) suggested a situation with
323 high aerosol load mainly composed of fine particles. At Athens both $\tau_{440\text{nm}}$ and AE(440-870
324 nm) values were very constant during the three analysed days, except for a slight decrease
325 of the AE(440-870nm) on July 11 (from ~ 1.70 to ~ 1.30). This is in agreement with the
326 columnar volume size distributions (Figure 3c), where a slight increase of the coarse mode
327 was observed on July 11 when compared to July 9 and 10. In the case of Bucharest, $\tau_{440\text{nm}}$
328 was almost constant on July 9 and 10 (around 0.37), but increased on July 11 (over 0.60).

329 The AE(440-870nm) was almost constant around 1.10 during the three days, indicating a
330 balanced presence of coarse and fine particles despite the increase in the aerosol load
331 during July 11. The columnar volume size distributions were very similar to those of
332 Athens on July 9 and 10, but larger presence of fine particles was observed here on July 11.
333 According to these sun-photometer data, the aerosol plume over this region was not
334 composed of mineral dust particles, even though low concentrations of mineral dust might
335 have been advected over Athens on July 11.

336 [Table 3]

337 [Figure 2]

338

339 4.1.2. Aerosol vertical distribution

340 [Figure 3]

341 Figure 3 shows the time series of the lidar range-corrected signal (RCS) in arbitrary
342 units at 532 nm (at 1064 nm in Athens) for the 72-hour period at the different stations.
343 From these plots, it is clearly observed that at Barcelona and Évora the aerosol load was
344 mainly confined within the planetary boundary layer and the time series reveal the
345 evolution of the planetary boundary layer height, even though at Barcelona some aerosol
346 layers are observed in the free troposphere. Therefore, it is expected that most of the
347 aerosol particles are from local origin. However, at the rest of the stations a more complex
348 vertical structure was observed and the presence of lofted aerosol layer reaching up to 6 km
349 asl at some periods indicated the advection of different aerosol types.

350 [Figure 4]

351 The aerosol microphysical properties profiles retrieved with LIRIC for different
352 periods at the different stations are shown in Figure 4. Namely, the volume concentration
353 profiles of the total coarse mode and the fine mode were retrieved at Barcelona and Athens,
354 whereas the volume concentration profiles of fine, coarse spherical and coarse spheroid
355 mode were retrieved at Évora, Bucharest and Granada because of the availability of
356 depolarization information.

357 At Évora it was clearly observed that the aerosol was located below 1000 m asl,
358 within the planetary boundary layer, and concentrations were very low, ranging from 25 to
359 $46 \mu\text{m}^3\text{cm}^{-3}$. No advected aerosol layers were observed for the analysed period.

360 At Granada a clear predominance of coarse spheroid particles reaching altitudes
361 around 6000 m asl was observed on July 9, related to the mineral dust event. A small
362 contribution of fine particles was also observed during the three days. Values of the volume
363 concentration (below $50 \mu\text{m}^3\text{cm}^{-3}$ for the total concentration) indicate a medium intensity
364 dust event, which was considerably decreasing with time. Concentration values around 30
365 $\mu\text{m}^3\text{cm}^{-3}$ on July 9 for the coarse spheroid mode went down to values below $20 \mu\text{m}^3\text{cm}^{-3}$.
366 The altitude of the mineral dust layers was also decreasing from 6000 to 4000 m asl for the
367 highest layers.

368 At Barcelona site, an aerosol layer dominated by fine particles with a slight presence
369 of coarse particles was observed between 2000 and 4000 m asl on July 11, being these
370 coarse particles possibly related to a faint presence of mineral dust. The 5-day backward
371 trajectories analysis performed with HYSPLIT model [Draxler and Rolph, 2003] (not
372 shown) indicates that air masses arriving at this altitude came from the North of Africa
373 through the Iberian Peninsula. This information together with previous studies [e.g Wang et
374 al., 2014], suggest that the mineral dust plume was moving from the North of Africa
375 towards the Northeast, being detected at Granada and later on at Barcelona. However, the
376 possibility of these coarse particles being linked to the presence of biomass burning from
377 the Eastern Iberian Peninsula (see Figure 5) cannot be dismissed. Depolarization
378 information would be crucial here to discriminate the origin of the aerosol particles arriving
379 at this height above Barcelona and would provide very valuable information for the aerosol
380 typing at the station.

381 At Athens station the aerosol reached up to 5000 m asl and total concentration values
382 of up to $55 \mu\text{m}^3\text{cm}^{-3}$ in the free troposphere. The coarse mode was located below 2000 m
383 asl, whereas a predominance of fine particles was observed at higher altitudes. The top of
384 the aerosol layer was increasing with time from 3800 to almost 5000 m asl. This temporal
385 evolution of the microphysical properties is coherent with the optical properties shown in
386 Sicard et al., [2015] for the same period. It is worthy to point out that on July 11, coarse
387 particles were detected between 3000 and 4800 m asl at this station, probably related to the

388 arrival of mineral dust as indicated by the column-integrated values. Backward trajectories
389 analysis with HYSPLIT (not shown) revealed a change in the trajectory of the air masses
390 arriving at 3500 m asl, coming from Northern Africa, which would explain the presence of
391 mineral dust on July 11. However, according to the trajectories and the different
392 characteristics, the mineral dust observed at Athens corresponds to a different plume than
393 the one observed above Granada and faintly above Barcelona.

394 At Bucharest, similar volume concentration of fine and coarse particles was observed
395 on July 9 and 10, reaching total volume concentration values around $35 \mu\text{m}^3\text{cm}^{-3}$. The
396 observed coarse particles were spherical according to LIRIC; therefore the presence of
397 mineral dust at this region can be totally neglected. On July 11 a strong increase of the fine
398 mode volume concentration was observed between 2500 and 5000 m asl, with values
399 reaching up to $55 \mu\text{m}^3\text{cm}^{-3}$, suggesting the advection of an aerosol plume dominated by
400 fine particles at this altitude. Again, this is in agreement with the optical properties
401 presented in Sicard et al. [2015], where a larger spectral dependence (related to fine
402 particles) is observed at Bucharest station in the height range between 3 and 4 km asl. As
403 suggested in the study by Sicard et al. [2015] this large spectral dependence of the
404 backscatter coefficient could be originated by the presence of fine particles related to the
405 advection of smoke. The combined information provided by backward trajectories analysis
406 and MODIS FIRMS comes to confirm the presence of active fires along the air masses
407 paths arriving at Bucharest on July 11 (Figure 5).

408

409

[Figure 5]

410

411 The use of the depolarization information as input in LIRIC in the stations of
412 Bucharest, Évora and Granada provided additional information which is very valuable for
413 aerosol typing. In the cases of Bucharest and Granada, this information turned out to be
414 very useful for the characterization of the aerosol types and their distribution in the vertical
415 coordinates. The differences in the aerosol type were already evidenced in the columnar
416 volume size distributions retrieved by AERONET code (Figure 2), and here LIRIC
417 confirmed that these two stations presented really different situations. The volume

418 concentration profiles retrieved with LIRIC indicated a predominance of the spheroid mode
419 in Granada and a predominance of spherical particles in Bucharest, highlighting very
420 different aerosol composition in the coarse mode. However, at stations such as Barcelona or
421 Athens where lidar depolarization was not measured, ancillary information, e.g. backward
422 trajectories or sun-photometer-derived optical properties, was needed to discriminate if the
423 coarse mode was related to non-spherical particles, usually associated to mineral dust, or to
424 spherical particles, mostly present in cases of anthropogenic pollution or aged smoke.
425 Therefore, here we have a clear example of the importance and the potential of the
426 depolarization measurements in the vertical characterization of the aerosol particles and for
427 aerosol typing.

428 4.1.3. Temporal evolution of the aerosol microphysical properties profiles

429 The continuous analysis of the aerosol microphysical properties profiles during the
430 three days provided very valuable information about the dynamics of the aerosol layers and
431 revealed LIRIC potential to retrieve information with high temporal resolution. Because of
432 the uninterrupted lidar measurements at Granada from 12:00 UTC on July 9 2012 to 00:00
433 UTC on July 12 and the frequent AERONET retrievals due to good weather conditions a
434 more detailed analysis was performed at this station. A total of 60 different LIRIC
435 retrievals were performed based on 60 lidar datasets and 21 AERONET inversion
436 products. The retrieval of microphysical properties was performed using 30-min averaged
437 lidar data (in order to reduce noise on the lidar profiles) and the closest in time AERONET
438 retrieval, considering only those data with time differences lower than three hours.

439 Besides, Granada station was affected by a mineral dust event during the whole
440 period as already shown in previous sections. This fact is of special interest since the
441 retrieval of the mineral dust microphysical is not so straightforward and they are not so well
442 characterized. Up to our knowledge not many comprehensive studies on dust microphysical
443 properties vertical profiles have been performed [Tsekeri et al., 2013; Wagner et al., 2013;
444 Granados-Muñoz et al., 2014; Noh, 2014] because of the difficulty of the retrievals due to
445 different factors, e. g. the high temporal variation and non-uniform distribution of dust
446 aerosol concentration around the globe [Sokolik and Toon, 1999; Formenti et al., 2011],
447 mineral dust highly irregular shape and the chemical and physical transformations dust

448 suffers during its transport [Sokolik and Toon, 1999; Chen and Penner, 2005; Formenti et
449 al., 2011].

450 The dust outbreak analysed here started over Granada station on July 7 in 2012 as
451 indicated by sun-photometer data and the model forecast from previous days (not shown).
452 Thus, it was already well developed when the intensive measurement period started. The 5-
453 day backward trajectories analysis performed with HYSPLIT model indicated that the air
454 masses arriving at Granada on July 9 and 11 came from Africa passing by the North
455 African coast above 2500 m asl and from the North Atlantic Ocean through South-western
456 Iberian Peninsula below this altitude (Figure 6). On July 10 the air masses came from the
457 central part of the Sahara desert through the North African coast for heights above 5000 m
458 asl, from the Atlantic Ocean going along the coast of Africa between 2500 and 5000 m asl
459 and from the North Atlantic Ocean overpassing the South-western Iberian Peninsula below
460 2500 m asl.

461

462

[Figure 6]

463

464 Figure 7 shows the time series of the volume concentration profiles retrieved with
465 LIRIC. It is clearly observed that the dust event was decreasing its intensity along the
466 whole study period with the largest aerosol concentrations for the coarse spheroid mode
467 retrieved on July 9 ($\sim 35 \mu\text{m}^3/\text{cm}^3$) and the lowest concentrations on July 11 ($\sim 15 \mu\text{m}^3/\text{cm}^3$),
468 in agreement with AERONET data. Maximum values of total volume concentration were
469 around $60 \mu\text{m}^3\text{cm}^{-3}$ on July 9. There was a strong predominance of the coarse spheroid
470 mode during the whole period with maximum values on July 9 in the afternoon, reaching
471 values up to $55 \mu\text{m}^3\text{cm}^{-3}$. Some fine particles were also observed, with larger volume
472 concentrations during the first day ($\sim 10 \mu\text{m}^3\text{cm}^{-3}$). For this first day of measurements, fine
473 particles reached altitudes around 6000 m asl, whereas on July 10 and 11 larger volume
474 concentration values were confined to the lowermost region from surface up to 3 km asl.
475 The presence of this fine mode in the upper layers might be related to the advection of
476 anthropogenic pollutants coming from Moroccan industrial activity in the North of Africa
477 mixed with the mineral dust as reported in previous studies [Basart et al., 2009; Rodríguez
478 et al., 2011; Valenzuela et al., 2012; Lyamani et al., 2014; Valenzuela et al., 2014]. Figure

479 6b reveals that air masses overpassed North African industrial areas before reaching
480 Granada. However, it is also well known that mineral dust emissions produce a
481 submicronic size mode [e.g. Gomes et al., 1990; Alfaro and Gomes, 2001]. Depolarization
482 lidar observations over the Mediterranean have illustrated that irregularly shaped fine dust
483 particles significantly contribute to aerosol extinction over the boundary layer during dust
484 transport events [Mamouri and Ansmann, 2014]. A more detailed analysis with additional
485 data (e.g. chemical components measurements, single scattering albedo profiles) would be
486 needed in order to come to a quantitative attribution of soil dust and anthropogenic particles
487 to the fine mode.

488 The contribution of the fine mode in the lowermost part may be due mainly to
489 anthropogenic sources of local origin. From July 11 around 12:00 UTC up to the end of the
490 study period, an increase in the coarse spherical mode concentration was observed. This
491 increase of the coarse spherical mode was associated with a decrease of the particle linear
492 depolarization profiles δ_{532nm}^p obtained from the lidar data according to [Bravo-Aranda et
493 al., 2013] as shown in Figure 8. On July 9 the values of δ_{532nm}^p were around 0.30 in the
494 layer between 3 and 5 km asl. These values are representative of pure Saharan dust
495 [Freudenthaler et al., 2009]. However, they decreased down to 0.25 during the following
496 days, indicating either a possible mixing of dust particles with anthropogenic aerosols or
497 aging processes affecting the mineral dust. During July 10 in the late afternoon and July 11,
498 a decrease in the fine mode in coincidence with an increase in the coarse spherical mode
499 was observed. The simultaneous decrease of the fine mode and increase of the coarse
500 spherical particles together with the decrease in δ_{532nm}^p point out to processes such as
501 mineral dust aging and/or aggregation processes. However, additional analysis would be
502 necessary to confirm this hypothesis.

503 According to δ_{532nm}^p profiles, a mineral dust layer was clearly located above 2500 m
504 asl or even at higher altitudes depending on the analysed period (see Figure 10). Below this
505 altitude, values were lower indicating a mixing of the mineral dust with anthropogenic
506 particles from local origin. In the case of LIRIC, these vertical structures were not so
507 clearly defined and a more homogeneous structure was detected. Values of the fine and
508 coarse mode volume concentration presented very low variations with height when

509 compared to δ_{532nm}^p profiles. This vertical homogeneity is related to the assumption of
510 height independency of properties such as the refractive index, size distribution of the
511 modes or the sphericity, which according to the results presented in previous studies
512 [Wagner et al., 2013; Granados-Muñoz et al., 2014], is an issue that needs to be carefully
513 considered in the analysis of the results retrieved with LIRIC algorithm.

514 [Figure 7]

515 [Figure 8]

516 Despite the limitations in the use of LIRIC, the analysis presented here shows that LIRIC
517 can reliably provide microphysical properties profiles with high vertical and temporal
518 resolution even in cases of mineral dust. LIRIC algorithm can be a useful tool to detect
519 changes in the aerosol composition possibly associated to processes affecting the mineral
520 dust particles such as aging or nucleation, even though additional information is needed for
521 more in-depth analysis.

522 4.2. EVALUATION OF THE MINERAL DUST MODELS

523 In order to obtain a general overview of the dust horizontal extension, Figure 9 shows
524 the standard aerosol optical depth product retrieved using the dark-target approach from
525 MODIS/Terra [Remer et al., 2005 and references therein] and the AERUS-GEO from
526 MSG/SEVIRI [Carrer et al., 2014] for the three analysed days (9-11 July 2012).

527 [Figure 9]

528 Satellite data evidence the presence of an aerosol plume extending from the North
529 African coast towards the East with higher aerosol load, as τ_λ values from MODIS sensor
530 indicate, mainly affecting the South-East of the Iberian Peninsula and the South of Italy
531 (Figure 9). As indicated by the data presented in the previous section, this plume
532 corresponds to the mineral dust event, whereas a different plume is observed above the
533 Balkans area. The pathways of the aerosol plumes suggested by satellite data are in
534 agreement with both the meteorological analyses of ECMWF and HYSPLIT air mass
535 trajectories based on GDAS analysed meteorological fields at 2 km a.g.l. presented in the
536 study by Wang et al., [2014]. The air masses were moving from Spain and Portugal to the
537 East whereas in the Balkans region they were moving southwards.

538 [Figure 10]

539 $\tau_{550\text{nm}}$ data simulated by BSC-DREAM8b, DREAM8-NMME NMMB/BSC-Dust and
540 COSMO-MUSCAT are shown in Figure 10. In general, when comparing to the satellite
541 data in Figure 9, the aerosol plume located above the Balkans region is not captured by the
542 models. This is not surprising, since it is not composed of mineral dust particles, as
543 indicated by our aerosol volume concentration profiles, shown in the previous section and
544 suggested in previous studies [e.g. Sicard et al., 2015]. The different models correctly
545 forecast the dust plume leaving the North of Africa and moving towards the East and the
546 dust plume reaching Athens, as also indicated by satellite data. However, the decrease in
547 $\tau_{550\text{nm}}$ values with time observed with satellite data and in LIRIC profiles is not well
548 captured by any of the different models. Regarding the extension of the dust event, in
549 general it is better captured by BSC-DREAM8b and NMMB/BSC-Dust, whereas COSMO-
550 MUSCAT and DREAM8-NMME tend to overestimate the mineral dust horizontal
551 extension when compared to the satellite data.

552 Focusing on the five stations analyzed in this study, the models showed that Granada
553 station was affected by the mineral dust outbreak during the whole analyzed period, in
554 agreement with the analyzed data. No presence of mineral dust was forecast above Évora as
555 expected from the measurements, except for COSMO-MUSCAT, which predicted fair low
556 values of dust $\tau_{550\text{nm}}$ above the station. BSC-DREAM8b, DREAM8-NMME and
557 NMMB/BSC-Dust indicated no presence of dust above Barcelona, even though it was
558 located close to the edge according to BSC-DREAM8b. As in the case of Évora, almost
559 negligible values were forecast above the station by COSMO-MUSCAT. This would be in
560 agreement with the previous data except for the possible dust layer observed on July 11.

561 In the Eastern region, the station of Athens was affected by mineral dust during the three
562 days according to DREAM8-NMME model and COSMO-MUSCAT, only on July 10
563 according to NMMB/BSC-Dust and on July 10 and 11 according to BSC-DREAM8b. As
564 indicated by the analysis in the previous section, mineral dust was observed only on July 11
565 and the models seem to not completely capture the event at Athens. However, in this case
566 the situation is quite more complex than in the western stations. Athens is located at the
567 edge of the mineral dust plume during the three analyzed days. Slight changes in the
568 horizontal distribution of the dust related to the models uncertainty and the relatively coarse
569 horizontal resolution may highly influence the results. In the case of Bucharest, BSC-

570 DREAM8b, DREAM8-NMME and NNMB/BSC-DUST foresaw no influence of the
571 mineral dust. Conversely, COSMO-MUSCAT forecast mineral dust during the three days,
572 with larger loads on July 10 and 11, overestimating the extension of the mineral dust
573 plumes as previously stated.

574 Due to the relatively coarse horizontal resolution of the model data presented in
575 Figure 10 compared to the single-site measurements at the five analyzed stations, it is
576 worthy to evaluate in more detail the mineral dust mass concentration profiles provided by
577 the models at the specific locations of our interest. To perform this evaluation, mineral dust
578 mass concentration profiles provided by the BSC-DREAM8b, NNMB/BSC-Dust,
579 DREAM8-NMME and COSMO-MUSCAT models are evaluated against LIRIC results.
580 The main focus is at Granada station since this site presents a larger number of mineral dust
581 profiles due to the characteristics of the mineral dust event and allows evaluating the
582 temporal evolution of the dust microphysical properties.

583 Figure 11 shows the dust mass concentration profiles provided by the four models
584 and LIRIC every 3 hours from July 9 at 15:00 to July 11 at 18:00. From the profiles
585 presented in Figure 12, C_m , the integrated mass concentration for each profile and the
586 correlation coefficient, R , between LIRIC and the different models are calculated and
587 presented in Figure 12. Figure 13 shows the profiles of statistical parameters such as R
588 obtained for LIRIC and the models time series, RMSE, NMB and NMSD, calculated as
589 described in Section 3 for every altitude level. This three figures needs to be analyzed and
590 discussed as a whole in order to cover all aspects of the model performance regarding the
591 temporal and vertical coordinates. An independent interpretation of each of the presented
592 statistical parameters might be misleading at some points and lead to erroneous
593 conclusions.

594 According to Figures 11, 12 and 13, BSC-DREAM8b shows a good temporal
595 correlation with LIRIC, providing larger values on July 9 than on July 10 and 11, as
596 observed in the experimental data. The correlation coefficient R between BSC-DREAM8b
597 and LIRIC time series is larger than 0.5 for most of the altitudes (Figure 13a). However, the
598 model strongly underestimates the aerosol load during the three studied days, as indicated
599 by the NMB in Figure 13c. Positive and larger than 0.5 values of R and the small difference
600 between LIRIC and BSC-DREAM8b values of C_m during most of the analyzed period in

601 Figure 12 indicate that BSC-DREAM8b provides a good estimation of the mineral dust
602 vertical distribution.

603 A relatively good performance of DREAM8-NMME is observed up to July 10 at
604 06:00 UTC, when $\tau_{440\text{nm}}$ was larger than 0.2. During this period the model captured quite
605 well the maximum values and the aerosol load as observed in Figure 11 and indicated by
606 the integrated mass concentration values in Figure 12, close to those obtained with LIRIC.
607 Despite this good performance during the first part of the analyzed period, NMB values in
608 figure 13c suggest an overall underestimation of the aerosol load below 5000 m asl, where
609 it is higher, and overestimation above 5000 m asl, where concentration values are lower
610 according to LIRIC. From 3500 m asl, good temporal correlation is observed between
611 LIRIC and DREAM8-NMME, but R goes close to 0 below this altitude (Figure 13a).
612 Regarding the vertical distribution of the load, C_m values in Figure 12 present very small
613 differences with LIRIC before July 10 at 06:00, but this difference increased afterwards.
614 Absolute values of R in figure 12 are usually larger than 0.5 and larger than those retrieved
615 for the other models, indicating good correlation. However, they oscillate from negative to
616 positive values, indicating a vertical shift in the location of the dust layers during some of
617 the analyzed periods.

618 NMMB/BSC-Dust shows better performance on July 9, with $\tau_{440\text{nm}}$ values around 0.3,
619 especially in the layer between 2500-6000 m asl. The difference between LIRIC and the
620 model integrated mass concentration is also lower during July 9. However, in general the
621 model tend to underestimate the aerosol load below 4.5 km asl (Figure 13c).
622 Overestimation of the mass concentration is observed above this altitude though.
623 NMMB/BSC-Dust correctly follows the aerosol load decrease with time as indicated by
624 positive correlation values in Figure 13a, but it presents lower temporal correlation
625 compared to the other models (except for COSMO-MUSCAT). Values of C_m in Figure 12
626 are close to those of LIRIC indicating that it correctly forecast the location of the aerosol
627 load. Nonetheless, low values of R indicate that the vertical distribution of the aerosol
628 layers needs to be improved. For this model it is worthy to point out the un-realistic
629 increasing maximum at 5000 m asl at 15:00 and 18:00 on July 10 (Figure 11). However,
630 this maximum is very similar to the one provided by LIRIC between 06:00 and 12:00 UTC.
631 Therefore, it could be due to a time shift of the model when compared to the LIRIC values.

632 To check this hypothesis, correlation between LIRIC and the models considering a 3 hours
633 delay is calculated (Supplementary Figure S5). Correlation between LIRIC and
634 NMMB/BSC-Dust for simultaneous data is on average below 0.5 (Figure 13a), indicating
635 that the model does not reproduce very well the temporal evolution of the dust profiles.
636 This correlation slightly increases between 3500 and 4500 m asl when considering a 3
637 hours delay between LIRIC and the model, but decreases at the other altitudes. Therefore, it
638 does not appear to be a systematic delay between the model and LIRIC profiles. However,
639 in the future it will be beneficial for the modeling community to gather a more extended
640 database of continuous lidar measurements with similar characteristics to the one presented
641 here in order to further explore and improve the possible existence of delays between the
642 models forecast and experimental data.

643 COSMO-MUSCAT shows an increase in the mineral dust load during the analyzed
644 period with an increasing maximum approximately located between 4 and 5 km. This
645 behavior is totally opposite to the one observed in LIRIC profiles that show a decrease of
646 the volume concentration with time, as indicated by the negative values of R in Figure 13a.
647 According to the integrated mass concentration values in Figure 12, COSMO-MUSCAT
648 underestimates the dust load during the first half of the analyzed period, whereas an
649 overestimation of the dust load occurs in the second half. These two opposite behaviors
650 seem to cancel and, as a result, NMB values in Figure 13c are closer to zero below 4 km
651 than for the other models, leading to erroneous conclusions. The location of C_m and R
652 values in Figure 12 indicate a good performance of the model regarding vertical
653 distribution on July 9, 11 and the afternoon of July 10. Again, negative R values indicate a
654 vertical shift in the location of the maximum concentration values during some periods, as
655 observed also in Figure 11.

656 The four models have shown to have advantages and disadvantages, but a clear
657 superior performance of any of the four has not been observed. As a general result, the four
658 models tend to underestimate LIRIC values during the whole period, except for COSMO-
659 MUSCAT that clearly overestimate the dust mass concentration from the afternoon of July
660 10 onwards. DREAM8-NMME and NMMB/BSC-Dust show a better performance, both
661 regarding the dust load and the temporal evolution of the event when the aerosol load
662 observed with the ground-based instrumentation is higher. The temporal evolution of the

663 event is mostly followed by the BSC models (namely BSC-DREAM8b, DREAM8-NMME
664 and NMMB/BSC-Dust models) as indicated by the positive correlation with LIRIC time
665 series, whereas COSMO-MUSCAT shows an opposite behavior (Figure 13a). BSC-
666 DREAM8b shows the minimum values of the RMSE below 4 km, where most of the
667 aerosol load is located, and maximum values are obtained for DREAM8-NMME. However,
668 no statistically significant difference between the models is clearly observed. BSC-
669 DREAM8b, DREAM8-NMME and COSMO-MUSCAT are not able to capture the high
670 temporal variability observed with LIRIC, as indicated by the large absolute values of
671 NMSD in Figure 13d. They range between -0.5 and -1 below 6 km asl for COSMO-
672 MUSCAT and BSC-DREAM8b and between -1 in the lower altitudes to 2 at the upper
673 levels for DREAM8-NMME. NMMB/BSC-Dust shows a good performance in this case
674 with values close to 0 from 3 km upwards.

675 The location of C_m , which is an indicator of the vertical distribution of the dust mass
676 concentration, is similar in the case of LIRIC and the models (Figure 12). Despite the
677 models were capable to reproduce the temporal evolution of C_m , in general they tended to
678 locate the dust load at higher altitudes, as indicated by the larger values of C_m obtained.
679 Discrepancies are especially relevant in the case of DREAM8-NMME after July 10 in the
680 afternoon. During this event, BSC-DREAM8b model presented the lowest differences with
681 LIRIC regarding C_m height. COSMO-MUSCAT and NMMB/BSC-Dust presented the
682 lower discrepancies on July 11. These results are comparable to those in the study by
683 Biniotoglou et al., [2015].

684 Even though they forecast the C_m fairly well, the analyzed models provided much
685 smoother profiles than the ones retrieved with LIRIC, with usually a single-broad
686 maximum located at different altitudes depending on the model. This result is not
687 surprising due to the coarser vertical resolution of the models compared to lidar profiles,
688 which can provide more detailed information about the vertical structures of mineral dust.
689 The vertical correlation between the models, shown in Figure 12b, oscillates between
690 positive and negative values, indicating a shift in the location of the maximum peaks in
691 those cases when it is negative. R values range between 0.01 and 0.85 in absolute value.
692 The correlation obtained in the present analysis is lower than the ones presented in
693 Biniotoglou et al., [2015], where most of the data presented determination coefficient (R^2)

694 values above 0.5. This is related to the fact that in the study by Biniotoglou et al., [2015]
695 selected mineral dust events with higher aerosol load ($\tau_{440}>0.15$) were presented whereas in
696 this study the continuous evolution of the dust event was analyzed with τ_{440} ranging
697 between 0.07 and 0.40. Therefore, according to the present study models seem to show a
698 better performance in cases of higher aerosol load.

699 [Figure 11]

700 [Figure 12]

701 [Figure 13]

702

703 Model profiles were also obtained at the stations of Athens, Barcelona, Bucharest and
704 Évora in order to evaluate their performance at stations where there is a slight or no
705 presence of mineral dust. At Athens (Figure S1 from Supplementary material) almost
706 negligible mass concentration values were forecast by the different models, with the
707 exception of DREAM8-NMME. This model indicated the presence of mineral dust in mass
708 concentrations up to $100 \mu\text{g}\cdot\text{m}^{-3}$ reaching 4000 m asl on July,10 and up to $65 \mu\text{g}\cdot\text{m}^{-3}$ on the
709 July 11 which is not in agreement with LIRIC results. In spite of the disagreement, it is
710 worthy to point out that the dust layer observed at Athens between 3000 and 5000 m asl on
711 July 11 according to LIRIC data was correctly forecast by the different models. At
712 Barcelona station (Figure S2), DREAM8-NMME were not in agreement with the
713 experimental results since it forecasted dust mass concentrations of up to $100 \mu\text{g}\cdot\text{m}^{-3}$ and
714 located below 2000 m asl. At Bucharest (Figure S3), large dust concentrations were
715 forecasted between 3000 and 7000 m asl by BSC-DREAM8b, DREAM8-NMME and
716 NMMB/BSC-Dust on July 9. On July 10 and 11 the dust load forecasted by the models
717 was much lower, even though it reached up to $50 \mu\text{g}\cdot\text{m}^{-3}$. This is not in agreement with our
718 experimental results since only coarse spherical and fine particles and no mineral dust
719 should be forecasted here. Finally, at Évora station (Figures S4), DREAM8-NMME
720 forecasted dust mass concentration lower than $10 \mu\text{g}\cdot\text{m}^{-3}$ below 2000 m asl COSMO-
721 MUSCAT forecasted similar concentrations above 2000 m asl These mass concentration
722 values are almost negligible and therefore good agreement can be considered. In general,
723 good results were provided by the different models at the five stations. However,

724 DREAM8-NMME seems to be overestimating the dust mass concentrations at those
725 stations affected by aerosol types different to mineral dust.

726 An in-depth analysis of the causes for the discrepancies between the models and
727 LIRIC is out of the scope of this study, especially taking into account that they showed a
728 similar performance here, with none of them proving to be more accurate than the others. In
729 general we observed that the BSC models showed a similar behavior when compared with
730 COSMO-MUSCAT, based on a different philosophy. However, none of them showed a
731 statistically significant better performance. Differences between the obtained results lie on
732 the different approaches used in the different models, the different meteorological fields
733 used, dust sources, horizontal and vertical transport schemes, different resolutions, etc., as
734 already pointed out in Biniotoglou et al., [2015]. Robust conclusions at this respect cannot
735 be withdrawn from this study and would require wider databases with higher temporal and
736 spatial coverage in order to cover the different aspects of the model calculations and more
737 dedicated studies. Nonetheless, the comparison presented here provided valuable results
738 since it addresses the points of discrepancy and proves LIRIC potential as a tool for future
739 model evaluations. Information inferred from the results obtained here could be used for the
740 planning of future validation strategies and campaigns management.

741

742 5. SUMMARY AND CONCLUSIONS

743 In this study, the characterization of aerosol microphysical properties at different stations
744 throughout Europe was performed in the framework of the ChArMEx/EMEP 2012 field
745 campaign, in support to which EARLINET lidar stations performed continuous
746 measurements during the 72 hours. LIRIC profiles were obtained at five different stations
747 in Europe (i.e. Athens, Barcelona, Bucharest, Évora and Granada) in order to characterize
748 atmospheric aerosol particles both in the vertical and horizontal coordinates and also their
749 temporal evolution during this period. From the analysis of the aerosol microphysical
750 properties at the different stations, two different aerosol plumes were clearly observed: one
751 affecting the Western Mediterranean region, loaded with mineral dust, and another one over
752 the Balkans area, mainly composed of fine particles and coarse spherical particles. Granada
753 station was clearly affected by the mineral dust outbreak during these 72 hours, whereas

754 mainly aerosol from local origin was affecting Évora and Barcelona. The dust plume was
755 also observed above Barcelona on July 11. A mixture of fine and coarse spherical particles
756 was observed over Bucharest, likely related to the presence of smoke from European fires,
757 whereas at Athens mainly fine particles were observed, except on July 11, when mineral
758 dust of different origin from the one in Granada and Barcelona was observed at 3.5 km asl
759 as indicated by the backward trajectories analysis.

760 A thorough evaluation of the temporal evolution and the aerosol layers dynamics was
761 possible at Granada station, where a total of 60 lidar profiles every 30-min and 21
762 AERONET inversion retrievals were available. The analysis of the microphysical
763 properties profiles retrieved with LIRIC indicated that the dust event was decreasing in
764 intensity, with larger concentrations on July 9 ($\sim 35 \mu\text{m}^3 \cdot \text{cm}^{-3}$) decreasing towards July 11
765 ($\sim 15 \mu\text{m}^3 \cdot \text{cm}^{-3}$), in agreement with AERONET and satellite data. On July 9 there was a
766 strong predominance of the coarse spheroid mode with maximum values in the afternoon
767 while an increase in the concentration of the coarse spheroid mode up to $15 \mu\text{m}^3 \cdot \text{cm}^{-3}$ was
768 observed during the afternoon of July 11. This temporal evolution of the microphysical
769 properties reveals possible aging processes of the mineral dust above the station or even
770 mixing processes with different aerosol types.

771 These results provide a good overview of the aerosol microphysical properties in the
772 Mediterranean region during ChArMEx campaign. They also highlight the importance of
773 having combined regular AERONET/EARLINET measurements for the characterization of
774 aerosol microphysical properties in the vertical, horizontal and spatial coordinates with high
775 resolution by means of algorithms such as LIRIC and suggest the importance of extending
776 this kind of measurements. Our study remarks the capability of LIRIC to be implemented in
777 a simple, automated and robust way within a network such as EARLINET and during
778 special measurement campaigns obtaining reliable results. In addition, the advantages on
779 the use of depolarization measurements with lidar systems are also emphasized here, since
780 the stations with depolarization capabilities (namely Bucharest, Évora and Granada)
781 provided much more complete information about the microphysical properties profiles.

782

783 The availability of LIRIC output profiles at the five different stations provided
784 regional coverage and made possible a comparison with the modelled dust fields provided
785 by BSC-DREAM8b, NMMB/BSC-Dust, DREAM8-NMME and COSMO-MUSCAT. The
786 regional comparison revealed quite good agreement with the horizontal distribution of the
787 dust plume forecast by models (based on a similar philosophy), but lower agreement for
788 COSMO-MUSCAT over the Balkans region.

789 A more detailed comparison using dust mass concentration profiles derived every 3
790 hours from 06:00 to 18:00 UTC over the 3 days of interest was also performed. The four
791 models tended to underestimate the dust mass concentration when compared to LIRIC
792 results, except for COSMO-MUSCAT on the afternoon of July 10 and on July 11 that
793 overestimated it. The overall underestimation of the dust mass concentration was between
794 80 and 100% for altitudes below 4 km depending on the model. Above this altitude,
795 DREAM8-NMME and NMMB/BSC-Dust tended to overestimate the dust mass
796 concentration values reaching up to 150% overestimation. The agreement between LIRIC
797 and the models was better when determining the vertical location of the mineral dust load,
798 even though the models tended to locate the mineral dust at higher altitudes than seen by
799 lidar, as indicated by the determination coefficient values and the center of mass location.
800 The correlation coefficient between LIRIC and the models reached absolute values of up to
801 0.85, even though in most of the cases the maximum peaks were shifted when compared
802 to LIRIC showing anticorrelation. The difference in the center of mass location was below
803 1 km in 65% of the cases.

804 A comparison between LIRIC and the models was also performed at the stations of
805 Évora, Barcelona, Athens and Bucharest. In general, good agreement was obtained for
806 BSC-DREAM8b, NMMB/BSC-Dust and COSMO-MUSCAT when no dust is observed.
807 DREAM8-NMME indicated the presence of mineral dust in large concentrations in Athens,
808 Barcelona and Évora, opposite to LIRIC results, which indicated and almost negligible or
809 no presence of mineral dust. BSC-DREAM8b, NMMB/BSC-Dust and DREAM8-NMME
810 forecast the presence of mineral dust in the vertical coordinate in Bucharest station, where
811 LIRIC indicated the presence of a different aerosol type (mostly fine and spherical
812 particles), suggesting that COSMO-MUSCAT philosophy is more adequate for this specific
813 case and location.

814 The four analyzed models present advantages and disadvantages but none of them
815 showed a statistically significant better performance when evaluated against LIRIC results.
816 In general, the three BSC models showed more similar results compared against COSMO-
817 MUSCAT, based on a different philosophy. But further conclusions regarding the
818 differences between the models cannot be withdrawn from our study. A more detailed
819 analysis based on a wider and more specific database designed to cover the different
820 aspects of the model calculations would be required. Results presented here are valuable
821 since they prove LIRIC potential as a tool for model evaluation and provide valuable
822 information for the planning of future validation strategies and campaign management.

823

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1223 Tables:

1224

1225 Table 1. Lidar and sun-photometer characteristics for the five stations considered in this
 1226 study and depicted in Figure 1. A more detailed description of the experimental sites and the
 1227 lidar systems in every station can be found in the references included in Reference column of
 1228 the table.

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Site	Latitude, Longitude	Altitude (m asl)	Lidar characteristics			Sun-photometer characteristics	Reference
			Elastic channels (nm)	Raman channels (nm)	System name	Channels (nm)	
AT (Athens)	37.97°N, 23.77°E	200	355, 532, 1064	387,407,607	EOLE	340,380,440,500, 675,870,1020,1640	[Kokkalis et al., 2012]
BA (Barcelona)	41.39°N, 2.17°E	115	355, 532, 1064	387,407,607	UPCLidar	440,675,870,1020	[Kumar et al., 2011]
BU (Bucharest)	44.35°N, 26.03°E	93	355, 532 parallel, 532 cross, 1064	387,407,607	RALI (LR313 - D400)	340,380,440,500, 675,870,1020	[Nemuc et al., 2013]
EV (Évora)	38.57°N, 7.91°W	293	355, 532, 532 cross, 1064	387,407,607	PAOLI	340,380,440,500, 675,870,1020,1640	[Preißler et al., 2011]
GR (Granada)	37.16°N, 3.61°W	680	355, 532 parallel, 532 cross, 1064	387,407,607	MULHACEN (LR321-D400)	340,380,440,500, 675,870,1020	[Guerrero-Rascado et al., 2009]

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Table 2. Summary of the main parameters of the mineral dust transport models used in this study.

	BSC-DREAM8b	NMMB/BSC-Dust	COSMO-MUSCAT	DREAM8-NMME
Institution	BSC-CNS	BSC-CNS	TROPOS	SEEVCCC/IPB
Meteorological driver	Eta/NCEP	NMMB/NCEP	COSMO	NMME/NCEP
Initial and boundary conditions	NCEP/FNL	NCEP/FNL	GME	ECMWF analysis data in 6-hour intervals
Domain	30°W to 65°E and 0°N to 65°N	30°W to 65°E and 0°N to 65°N	30°W to 35°E and 0°N to 60°N	221x251 points, 26W, 62E, 7N, 57N
Resolution	0.33°× 0.33°	0.33°× 0.33°	0.25°× 0.25°	0.2°× 0.2°
Vertical resolution	24 Eta-layers	40 σ -hybrid layers	41 σ -hybrid layers	28 σ -hybrid pressure levels
Radiation interaction	Yes	No activated	Yes, online	No
Data assimilation	No	No	No	No

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1237 Table 3. $\tau_{440\text{nm}}$ and AE(440-870nm) daily mean values (\pm standard deviation) at the five
1238 stations on 9th, 10th and 11th of July 2012.

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Site	9 July		10 July		11 July	
	$\tau_{440\text{nm}}$	AE(440-870nm)	$\tau_{440\text{nm}}$	AE(440-870nm)	$\tau_{440\text{nm}}$	AE(440-870nm)
AT	0.51 \pm 0.02	1.76 \pm 0.01	0.45 \pm 0.0	1.67 \pm 0.03	0.44 \pm 0.01	1.28 \pm 0.02
BA	n/d	n/d	0.28 \pm 0.0	1.65 \pm 0.05	0.27 \pm 0.03	1.47 \pm 0.01
BU	0.40 \pm 0.04	1.08 \pm 0.04	0.34 \pm 0.0	1.07 \pm 0.06	0.62 \pm 0.05	1.10 \pm 0.05
EV	0.08 \pm 0.02	0.82 \pm 0.12	0.08 \pm 0.0	0.87 \pm 0.12	0.08 \pm 0.02	0.90 \pm 0.09
GR	0.28 \pm 0.03	0.32 \pm 0.05	0.12 \pm 0.0	0.60 \pm 0.30	0.11 \pm 0.02	0.60 \pm 0.10

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1245 Figure captions:

1246 *Figure 1. Stations where LIRIC algorithm was been applied during ChArMEx/EMEP 2012 intensive*
1247 *measurement period on 9th-11th of July. Source: Google Earth.*

1248 *Figure 2. a) AERONET Level 1.5 retrieved τ_{440nm} and b) AE(440-870nm) during CHARMEX 2012*
1249 *campaign at the five stations(see Table 1 for station descriptions). c) AERONET Version 2 Level 1.5 size*
1250 *distributions retrieved for 9th, 10th and 11th July. n/d indicates no data availability.*

1251 *Figure 3. RCS at 532 nm (1064nm at Athens) in arbitrary units for the five stations during ChArMEx*
1252 *2012 measurements campaign.*

1253 *Figure 4. Volume concentration profiles of the total coarse mode and the fine mode at Barcelona and*
1254 *Athens, and volume concentration profiles of fine, coarse spherical and coarse spheroid mode at Évora ,*
1255 *Bucharest and Granada (from left to right) for different periods of the 9th, 10th and 11th of July 2012*
1256 *(from top to bottom).*

1257 *Figure 5. MODIS FIRMS image indicating the active fires during the five previous days to the 11th July*
1258 *2012. The red line correspond to the air-mass 5-day back-trajectory arriving over Bucharest at 3000 m*
1259 *asl on 11th of July 2012.*

1260 *Figure 6. a) 5-day backward trajectories arriving over Granada on 9th, 10th and 11th July 2012 at 12:00*
1261 *UTC (from left to right) computed by HYSPLIT model. b) Locations of the main industrial activity in the*
1262 *North of Africa (brown stars)taken from Rodriguez et al., [2011] together with the 5-day backwards*
1263 *trajectories arriving at Granada experimental site on 9th July 2012 at 12:00 UTC.*

1264 *Figure 7. Time series of the volume concentration profiles (in $\mu\text{m}^3/\text{cm}^3$) for the fine mode (upper part),*
1265 *coarse spherical mode (middle part) and coarse spheroid mode (lower part) for days 9th, 10th and 11th*
1266 *July 2012 (from left to right).*

1267 *Figure8. Time series of the δ^p_{532nm} profiles retrieved from Granada lidar system at different time*
1268 *intervals during during ChArMEx July 2012 intensive measurement period. Dark blue color represents*
1269 *regions and time periods where no data were retrieved.*

1270 *Figure 9. τ_{550nm} from MODIS/Terra (top) and τ_{675nm} daytime mean from MSG-SEVIRI (bottom) on*
1271 *9th, 10th and 11th of July.*

1272 *Figure 10. τ_{550nm} forecast by a) BSC-DREAM8b, b) DREAM8-NMME c) NMMB/BSC-Dust and d)*
1273 *COSMO-MUSCAT models for 9th, 10th and 11th July 2012 at 12:00 UTC over Europe and North Africa.*
1274 *The yellow stars represent the location of the stations where microphysical properties profiles are*
1275 *retrieved with LIRIC.*

1276 *Figure 11. Dust mass concentration profiles obtained with LIRIC (dotted line) and BSC-DREAM8b-v2,*
1277 *DREAM8-NMME, DREAMABOL, NMMB/BSC-Dust for Granada station every three hours on 9th, 10th and*
1278 *11th of July 2012.*

1279 *Figure 12. (from top to bottom)Time series of the integrated mass concentration values (above 2 km in*
1280 *altitude) retrieved from LIRIC and the four evaluated models vertical profiles for the period between*
1281 *15:00 UTC on 9th of July 2012 and 18:00 UTC on 11th of July 2012. Time series of the correlation*
1282 *coefficient R, between LIRIC-derived mass concentration profiles and each one of the four evaluated*
1283 *models for the same period. Time series of the dust center of mass, C_m , obtained from LIRIC and the*
1284 *models profiles.*

1285 *Figure 13. Vertical profiles of the correlation coefficient between LIRIC and the models time series for*
1286 *every altitude level, the root mean square error RMSE, the normalized mean bias NMB and the*
1287 *normalized mean standard deviation NMSD.*

1288

1289 Figures:



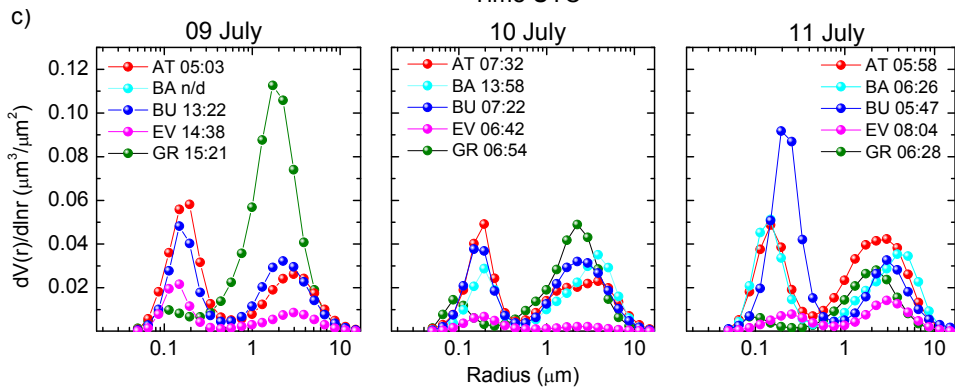
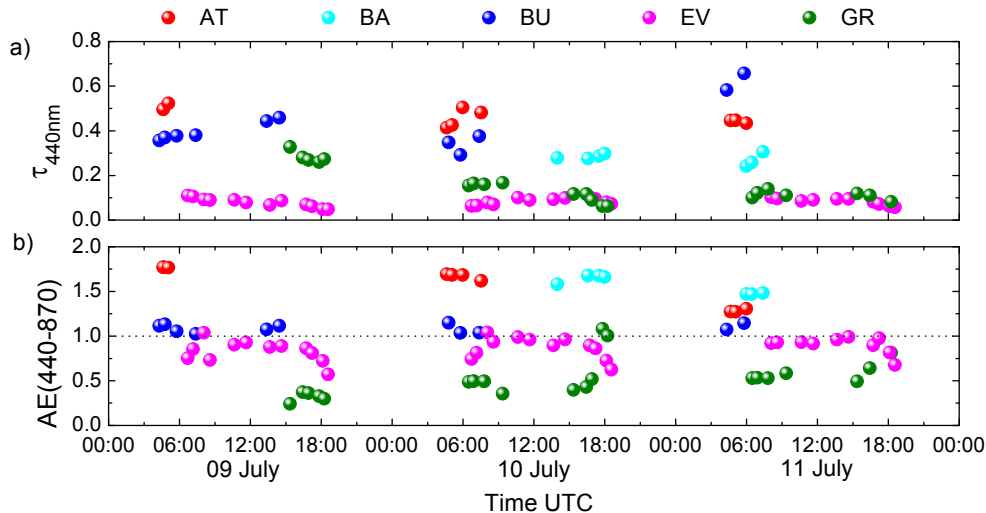
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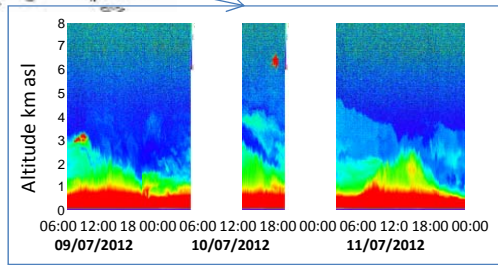
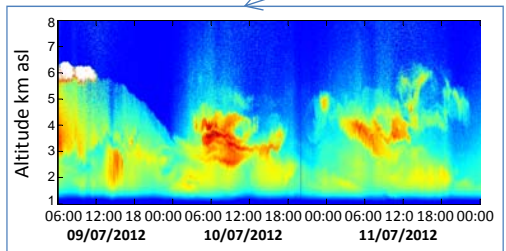
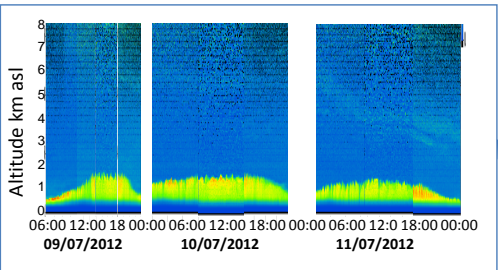
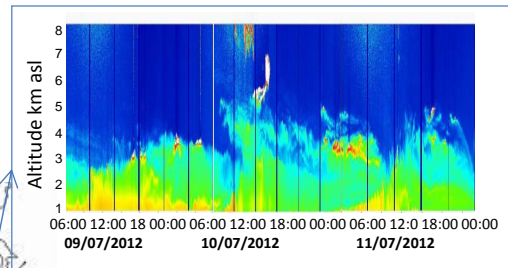
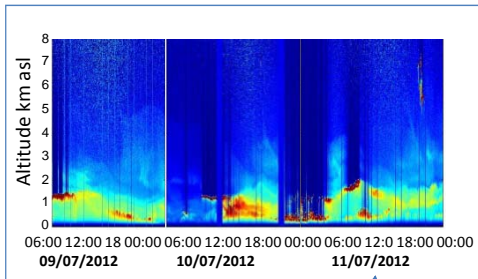
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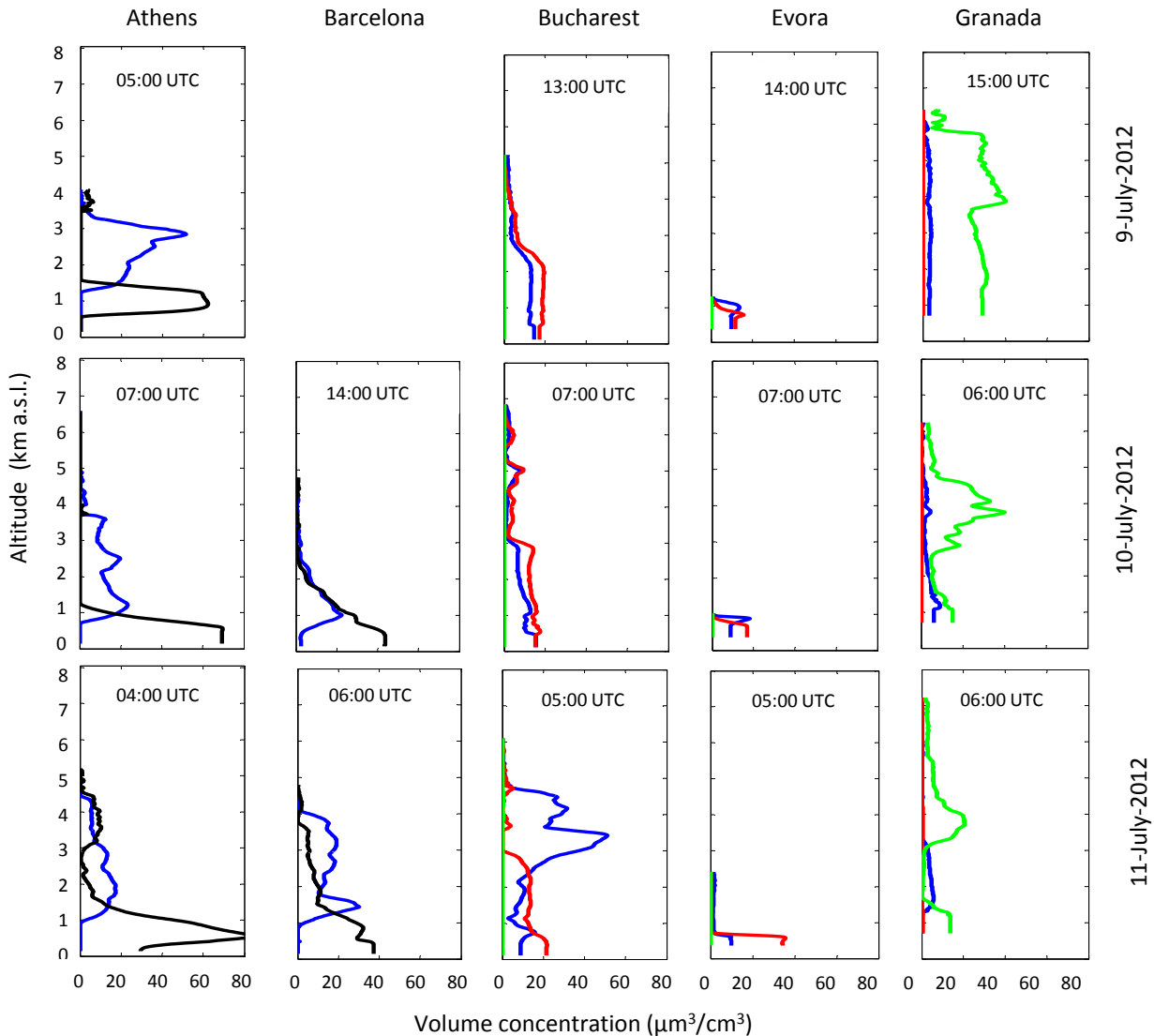
Granada

Athens





Fine mode CSpherical mode CSpheroid mode Coarse mode

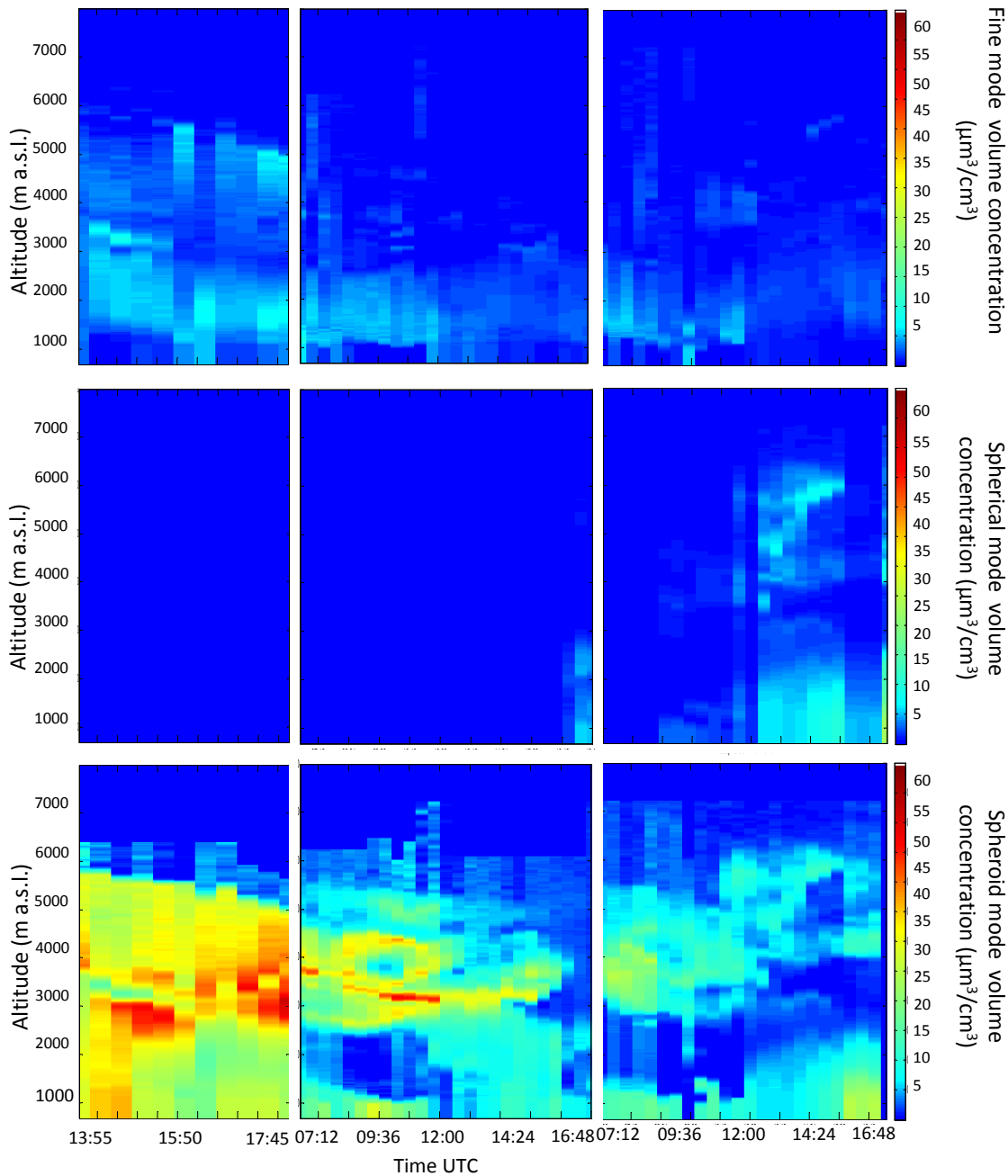


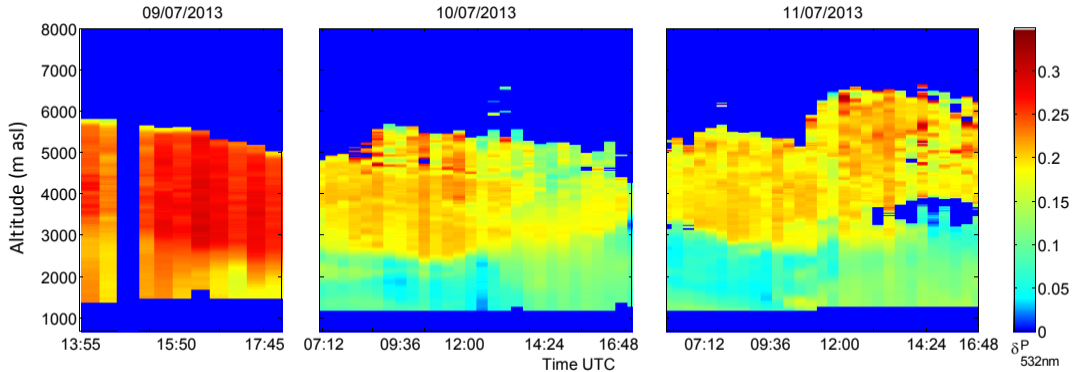


09/07/2012

10/07/2012

11/07/2012





9 July 2012

10 July 2012

11 July 2012

MOD08_D3.051 Aerosol Optical Depth at 550 nm [unitless]
(09Jul2012)

MOD08_D3.051 Aerosol Optical Depth at 550 nm [unitless]
(10Jul2012)

MOD08_D3.051 Aerosol Optical Depth at 550 nm [unitless]
(11Jul2012)

