

1 Significant increase of surface ozone at a rural site,  
2 north of eastern China

3 Z. Q. Ma<sup>1,2</sup>, J. Xu<sup>1,2</sup>, W. J. Quan<sup>2</sup>, Z. Y. Zhang<sup>2</sup>, W. L. Lin<sup>3</sup>, X. B. Xu<sup>4</sup>

4 [1] Institute of Urban Meteorology, China Meteorological Administration, Beijing, China

5 [2] Environmental Meteorology Forecast Center of Beijing-Tianjin-Hebei, Chinese  
6 Meteorological Administration, Beijing, China

7 [3] Meteorological Observation Centre, China Meteorological Administration, Beijing 100081,  
8 China

9 [4] Key Laboratory for Atmospheric Chemistry of CMA, Chinese Academy of Meteorological  
10 Sciences, Beijing 100081, China

11

12 \* Correspondence to: W. L. Lin (linwl@camscma.cn)

13 **Abstract**

14 Ozone pollution in eastern China has become one of the top  
15 environmental issues. Quantifying the temporal trend of surface ozone  
16 helps to assess the impacts of the anthropogenic precursor reductions and  
17 the likely effects of emission control strategies implemented. In this  
18 paper, ozone data collected at the Shangdianzi (SDZ) Regional  
19 Atmospheric Background Station from 2003 to 2015 are presented and  
20 analyzed to obtain the variation in the trend of surface ozone in the most  
21 polluted region of China, north of eastern China or the North China Plain.  
22 A modified Kolmogorov–Zurbenko (KZ) filter method was performed on  
23 the maximum daily average 8-h (MDA8) concentrations of ozone to  
24 separate the contributions of different factors from the variation of  
25 surface ozone and remove the influence of meteorological fluctuations on  
26 surface ozone. Results reveal that the short-term, seasonal, and long-term  
27 component of ozone account for 36.4%, 57.6%, and 2.2% of the total

1 variance, respectively. The long-term trend indicates that the MDA8 has  
2 undergone a significant increase in the period of 2003-2015, with an  
3 average rate of  $1.13 \pm 0.01$  ppb/yr ( $R^2=0.92$ ). It is found that  
4 meteorological factors did not significantly influence the long-term  
5 variation of ozone and the increase may be completely attributed to  
6 changes in emissions. Furthermore, there is no significant correlation  
7 between the long-term  $O_3$  and  $NO_2$  trends. This study suggests that  
8 emission changes in VOCs might have played a more important role in  
9 the observed increase of surface ozone at SDZ.

10

## 11 1. Introduction

12 Tropospheric Ozone ( $O_3$ ) plays a key role in the oxidizing capacity of  
13 the atmosphere (Penkett, 1988) and acts as a greenhouse gas in terms of  
14 radiative forcing at the Earth's surface (IPCC, 2013). Moreover, it is an  
15 important precursor of the OH radical, hence changes in its abundance  
16 can exert indirect radiative forcing by altering the lifetimes of some other  
17 greenhouse gases. Tropospheric  $O_3$  originates from photochemical  
18 production within the troposphere and the downward transport of  
19 stratospheric  $O_3$  (Cooper et al., 2014; Monks et al., 2015). Ground-level  
20  $O_3$  is subject to in-situ chemical reactions and physical processes and is  
21 directly affected by precursor emissions, temperature, solar radiation and  
22 other meteorological factors.

1 Observations (Oltmans et al., 2006) and model simulations  
2 (Hauglustaine and Brasseur, 2003) indicate that ground-level O<sub>3</sub>  
3 increased distinctly at northern mid-latitudes during the latter half of the  
4 20th century, which is qualitatively in agreement with the increasing  
5 anthropogenic emissions of precursors. Anthropogenic emissions of O<sub>3</sub>  
6 precursors have been declining in Europe and North America while  
7 increasing in East Asia (Streets et al., 2001, Granier et al., 2011). The  
8 largest increase in NO<sub>x</sub> (NO + NO<sub>2</sub>) emissions is found in China and  
9 appears to have continued into the 21st century based on emission  
10 inventories (Streets et al., 2001; Richter et al., 2005; Ohara et al., 2007;  
11 Mijling et al., 2013; Kurokawa et al., 2013). From 1990 to 2010, surface  
12 O<sub>3</sub> in different regions showed inconsistent trends. In the eastern US,  
13 surface O<sub>3</sub> was found to decrease strongly in summer, be largely  
14 unchanged in spring, and increase in winter, while O<sub>3</sub> increases in the  
15 western US were the strongest in spring (Monks et al., 2015). In East  
16 Asia, surface O<sub>3</sub> was generally found to be increasing (Cooper et al.,  
17 2014). For example, ground-level O<sub>3</sub> in the Northeast Asian region, e.g.  
18 Japan (Lee et al., 1998) and Hong Kong (Chan et al., 2004; Wang et al.,  
19 2009) increased significantly from the 1990s to 2000s. Enhanced  
20 variability of surface O<sub>3</sub>, particularly an increasing trend in the monthly  
21 highest 5% of the ozone mixing ratios, was reported for the Yangtze  
22 River Delta region in eastern China (Xu et al., 2008).

1 Dynamic factors may contribute to the long-term variations of surface  
2 O<sub>3</sub>. For example, the long-term increase of surface O<sub>3</sub> was found to be  
3 related to the variability in the stratosphere-to-troposphere transport of O<sub>3</sub>  
4 (Ordonez et al., 2007; Hess and Zbinden, 2013; Lin et al., 2015a) and  
5 changes in transport patterns (Pausata et al., 2012). Decadal circulation  
6 shifts played a key role in the autumnal ozone increase and the absence  
7 of spring ozone change measured at Mauna Loa Observatory (3.4 km  
8 altitude) over the subtropical Pacific Ocean in the period of 1974-2012  
9 (Lin et al., 2014). However, some studies (Brown-Steiner and Hess 2011;  
10 Parrish et al., 2012; Lin et al., 2012; Oltmans, et al., 2013; Derwent et al.,  
11 2015; Verstraeten et al., 2015) attribute the increase of O<sub>3</sub> in some areas  
12 mainly to the transport of O<sub>3</sub> and related pollutants from the continental  
13 China, where the emissions of O<sub>3</sub> precursors (NO<sub>x</sub> and VOC) has  
14 steadily increased (Ohara et al., 2007; Kurokawa et al., 2013). Studies by  
15 Lin et al. (2015b) indicate that mean springtime ozone level over western  
16 North America in the most recent decade has increased by 5.9±2.1 ppbv  
17 compared to the 1980s, which could be attributed in part to rising Asian  
18 ozone precursor emissions and global methane. Because of increasing  
19 emissions of O<sub>3</sub> precursors due to the sustained economic growth in  
20 China (Zhang et al., 2007), fueled by favorable photochemical conditions,  
21 China has likely been experiencing severe photochemical pollution. Due  
22 to his industrialization, increases are expected in some regions. Indeed,

1 limited studies have indicated this is indeed the case. Ding et al. (2008)  
2 analyzed O<sub>3</sub> data from the MOZAIC (Measurement of Ozone and Water  
3 Vapor by Airbus In-Service Aircraft) program and obtained a 2%/yr rate  
4 of increase in the daytime O<sub>3</sub> in the lower troposphere over Beijing and  
5 its surrounding areas for the period of 1995-2005. Xu et al. (2011)  
6 analyzed the TOR (Tropospheric Ozone Residue) data over the period  
7 1979-2005 and found a significant upward trend in tropospheric O<sub>3</sub> over  
8 the North China Plain for all seasons except for winter, with a maximum  
9 rate of increase of 1.10 DU per decade for summer. Wang et al. (2009)  
10 found that surface O<sub>3</sub> at a regional station in Hong Kong increased at an  
11 average rate of 0.58 ppb/yr from 1994 to 2007 and they associated the  
12 trend with an increase in tropospheric NO<sub>2</sub>.

13 Information regarding the trends in surface O<sub>3</sub> concentrations in the  
14 different regions of China, particularly those with high anthropogenic  
15 emissions rates, is urgently needed. Due to a lack of long-term  
16 observations, it is difficult to gain reliable results about the long-term  
17 trends of surface O<sub>3</sub> in various regions of China. Recently, the long-term  
18 trend of surface O<sub>3</sub> in western China was reported by Xu et al. (2015),  
19 based on the observations at the Mt. Waliguan baseline station. So far,  
20 there has been no report of changes of surface O<sub>3</sub> levels in highly  
21 polluted eastern China during the recent decade. In this paper, we present  
22 trends in surface O<sub>3</sub> in the North China Plain, based on the measurements

1 from a rural site. The relative contributions of meteorological factors and  
2 anthropogenic emissions are investigated, which provide a further insight  
3 into the potential causes of the observed trend of surface O<sub>3</sub>.

## 4 2. Data and methods

### 5 2.1 Site and measurements

6 Surface O<sub>3</sub> and ancillary data were collected at the Shangdianzi (SDZ,  
7 40.65 °N, 117.10 °E, 293.3m a.s.l.) station. SDZ is one of the regional  
8 Global Atmosphere Watch (GAW) stations, located about 100 km  
9 northeast of suburban of Beijing. The 30 km radius surrounding the site  
10 contains only small villages with a sparse population and insignificant  
11 anthropogenic emission sources. The observation facilities are situated  
12 on the south slope of a hill, which is surrounded by mountainous areas  
13 except in the southwest sector. Fruit trees and corn are grown in the  
14 fields surrounding the site. Previous studies (Lin et al., 2008; Xu et al.,  
15 2009) suggest that the observations of pollutants at SDZ reflect the  
16 regional scale air quality of North China.

17 The maximum daily average 8-h (MDA8) concentrations of O<sub>3</sub> were  
18 calculated from hourly averages of O<sub>3</sub> from October 2003 to June 2015  
19 and are used in the following analysis. To facilitate the analysis, ambient  
20 NO<sub>2</sub> concentration and temperature measured at SDZ in the surface layer  
21 during the same time period were processed to obtain daily averages.  
22 Details of the observations and the quality assurance and quality control

1 (QA/QC) procedures were described by Lin et al. (2008).

## 2 2.2 Analysis methods

3 It is well known that meteorology plays an important role in ozone  
4 formation and transport. Ground-level ozone concentrations are strongly  
5 influenced by fluctuations of meteorological parameters. Therefore, it is  
6 difficult to distinguish the trend of ozone related to the change in  
7 emissions from that related to meteorological impacts. In order to filter  
8 out or minimize the influence of meteorology on ozone levels, a method  
9 called Kolmogorov–Zurbenko (KZ) filter (Rao and Zurbenko, 1994) is  
10 used to separate data into short-term, seasonal, and long-term variations.  
11 The KZ filter is based on an iterative moving average that removes high  
12 frequency variations in the data. The method is briefly described below.

13 The  $KZ(m,n)$  filter is defined as  $n$  applications of a moving average  
14 of  $m$  points. The moving average can be expressed as

$$15 \quad Y_i = \frac{1}{m} \sum_{j=-k}^k X_{i+j}, \quad (1)$$

16 where  $m=2k+1$ , and the calculated  $Y_i$  becomes the input for the second  
17 pass, and so on.

18 Data filtered by the KZ filter preserve information related to physical  
19 processes, whereas data treated by some other techniques may remove  
20 unwanted information but at the same time distort phenomena of interest.  
21 Eskridge et al. (1997) compared the KZ filter method with several others,  
22 such as wavelet transform, anomalies, etc. and demonstrated that the KZ

1 filter has the same level of accuracy as the wavelet transform method. In  
2 addition, the magnitude of the long-term trend estimated by the KZ filter  
3 provides estimates with approx. 10 times higher confidence than the  
4 other methods. However, the width moving average ( $m$ ) of the KZ filter  
5 with wide windows will dampen sharp breaks of variations. Based on the  
6 KZ filter, an adaptive filter was developed by Zurbenko et al. (1996),  
7 which dynamically adjusts the width of  $m$  according to the rate of change  
8 of the process. As the rate of change increases, the  $m$  decreases. The  
9 modified KZ filter method is applied in this paper. More details on this  
10 method can be found in Zurbenko et al. (1996).

11 Rao et al. (1997) developed a method to separate different  
12 phenomena present in time series of both meteorological and ozone data,  
13 which have different characteristics such as long-term and short-term  
14 variations. Following the method, it is assumed that the time series of  
15 ozone can be partitioned as

$$16 \quad O(t) = W(t) + S(t) + e(t), \quad (2)$$

17 where  $O(t)$  is the original time series,  $W(t)$  is the meso-scale and  
18 synoptic-scale variation,  $S(t)$  is the seasonal change,  $e(t)$  is the long-term  
19 (trend) component. Rao et al. (1997) found that when  $KZ_{15,5}$  and  $KZ_{365,3}$   
20 filters are applied to the raw data, several influences could be removed  
21 and the actual variation of ozone at different scales would be obtained.  
22  $W(t)$ ,  $S(t)$  and  $e(t)$  can be calculated using the following formulae.



1 
$$W(t) = O(t) - KZ_{15,5}, \quad (3)$$

2 
$$S(t) = KZ_{15,5} - KZ_{365,3}, \quad (4)$$

3 
$$e(t) = KZ_{365,3} \quad (5)$$

4 The Rao et al. (1997) method was implemented in this work.

### 5 3. Results and discussion

#### 6 **3.1 General characteristics of yearly ozone distribution**

7 Yearly MDA8 statistics were calculated for 2004-2015 and are  
8 presented in Fig. 1. Since the ozone observation at SDZ commenced in  
9 October 2003, no reliable yearly MDA8 statistics can be obtained. It is  
10 noted that data from 2015 cover only the first 6 months. Although only  
11 the first 6 months records in 2015 are used for the statistics, the  
12 maximum of the MDA8 values in this year exceeded 160 ppb, only  
13 second to that in 2012. The yearly average of MDA8 varied from 49.3  
14 ppb to 60.2 ppb during 2004-2014, with a highly significant positive  
15 trend ( $1.05 \pm 0.14$  ppb/yr,  $R=0.93$ ,  $P<0.0001$ ). We also observed a similar  
16 fluctuation of the median value within the range of 43.3 ppb to 53.0 ppb,  
17 with a positive trend ( $0.62 \pm 0.20$  ppb/yr,  $R=0.72$ ,  $P<0.05$ ) from 2004 to  
18 2014. The MDA8 level was relatively stable during 2004-2006, with a  
19 maximum approx. 120 ppb. However, the annual maximum value  
20 exhibited a dramatic increase from 123 ppb in 2006 to 165 ppb in 2015.  
21 This increase coincided with an increase of in the size of the vehicle fleet

1 in eastern China. For example, in Beijing, the number of registered  
2 vehicles was 2.30 million in 2004, 2.88 million in 2006, 4.81 million in  
3 2010, and 5.60 million in 2014  
4 (<http://www.bjjtgl.gov.cn/jgj/ywsj/index.html>). Both the maximum O<sub>3</sub>  
5 value and the vehicle number increased dramatically in the period  
6 2004-2015. Nevertheless, it is not possible to derive a reliable long-term  
7 trend in the median or maximum value solely from the data shown in Fig.  
8 1. Nor can we directly attribute the observed changes in surface O<sub>3</sub> to the  
9 increase in vehicles.

### 10 **3.2 Ozone time series separated by KZ filter**

11 Ozone time series (MDA8 value) from the SDZ site was separated  
12 using the method described in section 2.2. Fig. 2 shows the original time  
13 series of MDA8 values (Fig. 2a) and the time series of the separated short  
14 term, seasonal and long-term components (Figs. 2b-2d). The original  
15 MDA8 exhibits a distinct seasonal variation, with an overlapping of high  
16 frequency noises (Fig. 2a). Removing the short-term component (Fig. 2b)  
17 leads clearer seasonal cycles shown in Fig. 2c. As can be seen in Fig. 2c,  
18 there are evident double peaks of ozone during summer in each year,  
19 which are not so obvious in the original time series (Fig. 2a). Generally,  
20 the double peaks occur in June and September respectively, and the dip  
21 in between occurs in July or August when relatively abundant rainfall  
22 damps ozone formation and accumulation. Under the influence of the

1 summer Asian monsoon, rainfall in July and August at SDZ can amount  
2 to more than 40% of the annual rainfall. Fig. 2c also demonstrates some  
3 irregularities in the seasonal cycle, particularly the year-to-year changes  
4 in the levels of annual maximum, annual minimum, and the dip between  
5 the double peaks. The seasonal fluctuations have to be accurately  
6 removed to get the long-term trend, as data for the trend analysis are  
7 required to be independent of season and normally distributed. The  
8 short-term component (Fig. 2b) showed high frequency variations,  
9 ranging between -60 ppb and 70 ppb, which are composed of noise (or  
10 fluctuation) caused by meso-scale and synoptic-scale meteorological  
11 processes. Synoptic-scale events have a timescale from 2 days to 3 weeks,  
12 which could be removed by smoothing with the KZ filter for a window  
13 size of 15 days and 5 iterations. To further illustrate the short-term  
14 component, a quantile-quantile (QQ) plot of  $W(t)$  is presented in Fig. 3.  
15 The QQ plot indicates that  $W(t)$  basically obeys a normal distribution,  
16 with a mean value of 0.002 ppb, suggesting that the  $KZ_{15,5}$  filter  
17 effectively removed  $W(t)$  from  $O(t)$ .

18 Through the previous steps and using the formulae (2)-(5), we  
19 obtained the long-term trend of MDA8 at SDZ, as shown in Fig. 2d. This  
20 long-term trend reveals a rapid increase of the daily high value of surface  
21 ozone at the SDZ site in the last decade. It is noteworthy that the increase  
22 is not at a stable rate but with large inter-annual variations. Linear

1 regression (not shown) indicates that the average increase rate during  
2 20004-2015 was  $1.13 \pm 0.01$ ppb/yr ( $r^2 = 0.92$ ). Previous work by Ding et  
3 al. (2008) using MOZAIC data obtained a yearly increase of 2% (about 1  
4 ppb/yr) of O<sub>3</sub> in the boundary layer around Beijing in the period of  
5 1995-2005, which agrees well with our result. Therefore, the greater  
6 Beijing area, probably the North China Plain, has been suffering a rapid  
7 ozone increase for the last two decades.

8 In view of the air pollution problems, the central government of  
9 China issued a revised National Ambient Air Quality Standard  
10 (CNAAQs, GB 3095-2012) in 2012, which has taken effect across the  
11 country since 1 January 2016 and sets the MDA8 O<sub>3</sub> limits to 100 μg/m<sup>3</sup>  
12 (46.7 ppb) and 160 μg/m<sup>3</sup> (74.7 ppb) for national reserve areas and  
13 residence/commercial areas, respectively. As can be seen in Fig. 2a, O<sub>3</sub>  
14 exceedance would be quite often in the warm seasons if the new  
15 CNAAQs had been implemented.

16 We also examined the contributions of different components to the  
17 total variance of MDA8, which was calculated from the unfiltered data.  
18 The contributions of the short-term and seasonal components to the total  
19 variance are about 36.4% and 57.6%, respectively. The long-term  
20 component accounts for only 2.2% of the total variance. The covariance  
21 terms sum to less than 4% of the total variance, indicating an effective  
22 separation of different components. The long-term component makes

1 only a much smaller contribution than the other two components,  
2 confirming the necessity to clearly separate the short-term and seasonal  
3 variations from the data to obtain the long-term trend.

### 4 **3.3 Cause analysis**

5 The long-term trend of the ozone concentration can be caused by the  
6 changes of both pollutant emissions and related meteorological variables.  
7 Climate variability and circulation shifts may lead to long-term changes  
8 of O<sub>3</sub> as discussed in Lin et al. (2014, 2015a, 2015b). To assess the  
9 influence of precursor emissions on the ozone trend, the meteorological  
10 and chemical impacts have to be separated. However, both  
11 meteorological and chemical impacts are complicated, not to mention the  
12 interactions among meteorology, precursor emissions, and photochemical  
13 reactions. Therefore, a clear separation of meteorological and chemical  
14 impacts is hardly possible purely based on observational data.  
15 Nevertheless, apportionment of the O<sub>3</sub> trend to precursor emissions and  
16 other causes are worthy of further study.

17 Although many meteorological variables can influence the  
18 photochemical formation of O<sub>3</sub>, temperature is the prevailing one. The  
19 increase of temperature can speed up the photochemical reactions,  
20 strengthen the emissions of biogenic VOCs, and reduce wind speed, etc.  
21 (Lin et al., 2001; NRC, 1991; Pusede et al., 2015). In certain regions,  
22 temperature is also closely related to the intensity of solar radiation,

1 which plays a critical role in the photochemical formation of O<sub>3</sub>. Thus,  
2 we took temperature as a key meteorological parameter and investigated  
3 the relationship between O<sub>3</sub> and temperature, with the hope to obtain the  
4 influence of emission changes on the long-term trend of O<sub>3</sub>. The initial  
5 step was to divide the time series of temperature into three components in  
6 formula (2), just as done for that of MDA8 (Fig.2). The results of the  
7 different components of temperature are given in Fig. 4. Unlike the trend  
8 of MDA8 of O<sub>3</sub>, the long-term component for temperature at SDZ shows  
9 a slight decrease trend ( $R^2=0.015$ ) (Fig. 4d) and this long-term  
10 component accounts only for 0.16% of the total variance of temperature.

11 The unfiltered data of O<sub>3</sub> and temperature are less correlated  
12 ( $R^2=0.50$ ,  $P<0.0001$ ), presumably due to the strong influence of the  
13 short-term component. Fig. 5 compares the derived seasonal cycles of the  
14 daily mean temperature (from Fig. 4c) and the MDA8 of O<sub>3</sub> (from Fig.  
15 2c). A similarity is evident between both seasonal cycles. However, there  
16 is also a distinct phase lag of the seasonal cycle between O<sub>3</sub> and  
17 temperature, due to the influence of other processes on the O<sub>3</sub> level. Rao  
18 et al. (1995) found a similar phase lag of about 3 weeks in the data from  
19 the northeastern United States. In our case, the linear correlation between  
20 O<sub>3</sub> and temperature becomes the strongest ( $R^2=0.83$ ,  $P<0.0001$ ) when the  
21 temperature data are lagged by 17 days (Fig. 6).

22 When only considering the influence of temperature, the seasonal-

1 and long-term components of O<sub>3</sub> could account for 93% of the total  
2 variance at the Cliffside Park, New Jersey (Rao and Zurbenko, 1994).  
3 While in our case, it just accounts for 83% (see R<sup>2</sup> in Fig. 6). We tried to  
4 add more meteorological factors that could affect O<sub>3</sub> production, such as  
5 solar radiation, relative humidity. However, the correlation was only  
6 improved by no more than 0.5%. This implies that the changes in  
7 emissions might have a more important influence on surface O<sub>3</sub> at SDZ  
8 than that at Cliffside Park. This view is consistent with the rapid  
9 increases in anthropogenic emissions in China (particularly the North  
10 China Plain) during the last decade (Mijling et al., 2013).

11 Assuming that the residual of the total variance of O<sub>3</sub> after  
12 subtracting the contribution related with temperature was all caused by  
13 pollutant emissions, the long-term trend of O<sub>3</sub>, attributable to changes in  
14 emissions, can be determined by performing a linear regression between  
15 time and the noise-free, temperature-independent O<sub>3</sub> values ( $\varepsilon(t)$ ), which  
16 are derived using function (6).

$$17 \quad O_{kz}(t)=aT_{kz}(t+17)+b+ \varepsilon(t) \quad (6)$$

18 where O<sub>kz</sub>(t) is the filtered O<sub>3</sub> concentration, T<sub>kz</sub>(t+17) is the filtered  
19 temperature lagged by 17 days, *a* and *b* are fitted parameters,  $\varepsilon(t)$  is the  
20 residual of the relationship. Here,  $\varepsilon(t)$  reveals the changes in ozone  
21 attributable to changes in emissions.

22 Fig. 7 shows the time series of the noise-free and

1 temperature-independent O<sub>3</sub>, which is basically equal to the long-term  
2 component of O<sub>3</sub> only under the influence of emission changes. Most of  
3 the data in Fig. 7 are within a 95% confidence interval band except for  
4 some special cases in the summer months. In summer, temperature is not  
5 the dominant restricting factor for O<sub>3</sub> production compared to other  
6 factors, such as rainfall and precursor concentrations. Substantial  
7 negative influences occurring in 2005 and 2006 can be explained by  
8 stronger impact of Asian summer monsoon on surface O<sub>3</sub> (Lin et al.,  
9 2008). The results in Fig. 7 indicate that the influence of emission has  
10 been varying substantially but with an average increase rate of  $1.19 \pm$   
11  $0.03$  ppb/yr. This increase rate is very close to the average long-term  
12 trend of MDA8 of O<sub>3</sub> ( $1.13 \pm 0.01$  ppb/yr) in Fig. 2d, implying that the  
13 increase of O<sub>3</sub> in the period of 2003-2015 could be mainly attributed to  
14 the emission changes and the meteorological factors had only a tiny  
15 negative influence. Jaffe and Ray (2007) also found that the temperature  
16 change had little influence on long-term ozone trends in the western US.

17 Some studies suggested that the trends of surface O<sub>3</sub> at the similar  
18 latitude as SDZ could be attributed partly to the reduced titration by NO  
19 (Chou et al., 2006; Itano et al., 2007). In order to assess the effect of  
20 changing NO titration on the long-term trend of O<sub>3</sub>, we examined the  
21 long-term measurements of NO<sub>2</sub> at SDZ in the period of 2004-2015. A  
22 comparison of the long-term trend of O<sub>3</sub> with that of NO<sub>2</sub>, which was



1 also extracted using the previous methods, is displayed in Fig. 8. The  
2 evolution of the NO<sub>2</sub> trend can be divided into three stages, i.e., a  
3 substantial decrease of NO<sub>2</sub> occurring during the first 3 years, followed  
4 by a small increase in the period of 2007-2010, and finally a gradual  
5 decrease in the period of 2011-2015. The large decrease of NO<sub>2</sub> in the  
6 period of 2004-2006 corresponded to the control of coal consumption  
7 around Beijing, especially for the Olympic Games in 2008 (Zhang et al.,  
8 2010; Gao et al., 2011) and to the relocation of the Capital Steel and Iron  
9 Company, which was one of the largest industrial sources in Beijing. The  
10 NO<sub>2</sub> increase from 10.2 ppb to 13.5 ppb between 2007 and 2010  
11 corresponded with the rapid increase in the number of vehicles in Beijing  
12 from 3.1 million to 4.8 million  
13 (<http://www.bjjtgl.gov.cn/jgj/ywsj/index.html>). From 2011 to 2015, the  
14 new standard for vehicle emissions and measures for reduction of NO<sub>x</sub>  
15 emission from power plants were implemented, which may have helped  
16 to reduce the NO<sub>2</sub> concentration. The long-term trends of O<sub>3</sub> and NO<sub>2</sub>  
17 given in Fig. 7 do not show any coincidence. Therefore, it is nearly  
18 impossible that the reduced NO titration had led to the increase of surface  
19 O<sub>3</sub> at SDZ. Previous studies (Ge et al., 2010; Ge et al., 2012) showed that  
20 the ozone production efficiency at SDZ varied in from 0.2 to 21.1, with  
21 an average of 4.9, implying that ozone formation at SDZ could be more  
22 sensitive to VOCs than to NO<sub>x</sub>. Accordingly, we believe that the changes

1 of VOCs emissions and the ratio VOCs/NO<sub>x</sub> might have caused the  
2 increase of surface O<sub>3</sub> observed at SDZ. Unfortunately, no systematic  
3 VOC observations are available from the SDZ site so that we cannot  
4 prove this supposition conclusive. However, a large increase in the  
5 anthropogenic emissions of non-methane hydrocarbon (NMHC) can be  
6 inferred from the Multiresolution Emission Inventory for China (MEIC)  
7 (<http://www.meicmodel.org>) for Beijing in the period of 2004-2012,  
8 which supports our view, although the emission data are questioned by a  
9 recent study (Wang et al., 2015).

#### 10 4. Summary

11 We separated the time series of maximum daily average 8-h (MDA8)  
12 concentration of surface O<sub>3</sub> observed at SDZ in the period of 2003-2015  
13 into various spectral components using a modified KZ filter. This  
14 separation has led to a better understanding of the variation of surface O<sub>3</sub>  
15 at the site and its relationships with the meteorological and precursor  
16 variables, enabling us to unravel the trend of O<sub>3</sub> from the original data  
17 containing noises and seasonality, and to estimate the contribution of  
18 changes of precursor emissions to the trend. Our analysis reveals that the  
19 short-term, seasonal, and long-term components of O<sub>3</sub> data from the SDZ  
20 site accounted for 36.4%, 57.6%, and 2.2% of the total variance,  
21 respectively.

22 It is found that the MDA8 of O<sub>3</sub> at the site north of eastern China has

1 undergone a significant increase in the period of 2003-2015, at an  
2 average rate of  $1.13 \pm 0.01$  ppb/yr. Together with the reported yearly  
3 increase rate of 2% in the lower tropospheric O<sub>3</sub> around Beijing in the  
4 period of 1995-2005 (Ding et al., 2008), we conclude that the north part  
5 of eastern China (i.e., the North China Plain) may have been suffering a  
6 rapid increase in the O<sub>3</sub> level for at least two decades. By eliminating the  
7 influence of air temperature, we found that the observed increase of  
8 surface O<sub>3</sub> in the period of 2003-2015 was mainly induced by the  
9 emission changes and the meteorological factors exerted only a tiny  
10 negative influence. Our result also indicates that the change of VOCs  
11 emissions might have played a more important role in the O<sub>3</sub> increase  
12 than the effect of NO<sub>x</sub>.

13 Because fine particulate pollution has been very severe in eastern  
14 China, the central government of China has implemented several  
15 measures to control PM<sub>2.5</sub> Pollution, including reductions of both NO<sub>x</sub>  
16 and VOCs. This, however, risks further O<sub>3</sub> increases as a VOCs/NO<sub>x</sub>  
17 ratio more favorable to ozone production may be reached. Thus, further  
18 studies are needed to trace the ozone trend and its influence in eastern  
19 China.

20  
21

## 22 **Acknowledgements**

23 The authors would like to thank the staff of the Shangdianzi Station for their excellent  
24 work. This research is supported by the National Science Foundation of China

1 (41475135, 41330422), Beijing Natural Science Foundation (8132025, 8152018),  
2 CCSF201505. We also thank the referees and editor for their constructive  
3 suggestions.

## 5 References

- 7 1. Brown-Steiner, B., Hess, P.: Asian influence on surface ozone in the United  
8 States: A comparison of chemistry, seasonality, and transport mechanisms, *J.*  
9 *Geophys. Res.*, 116, D17309, 2011, doi:10.1029/2011JD015846.
- 10 2. Chan, C. Y., Chan, L. Y., and Harris, J. M.: Urban and background trend in  
11 1984-1999 subtropical Hong Kong, South China, *Ozone: Science and*  
12 *Engineering*, 25, 513-522, doi:10.1080/01919510390481829, 2003.
- 13 3. Chou, C. C. K., Liu, S. C., Lin, C. Y., Shiu, C. J., and Chang, K. H.: The trend of  
14 surface ozone in Taipei, Taiwan, and its causes: Implications for ozone control  
15 strategies, *Atmos. Environ.*, 40, 3898-3908, doi:10.1016/j.atmosenv.2006.02.018,  
16 2006.
- 17 4. Cooper O. R., Parrish D. D., Ziemke J., Balashov N. V., Cupeiro M., Galbally I.  
18 E., Gilge S., Horowitz L., Jensen N. R., Lamarque J.-F., Naik V., Oltmans S. J.,  
19 Schwab J., Shindell D. T., Thompson A. M., Thouret V., Wang Y., and Zbinden R.  
20 M.: Global distribution and trends of tropospheric ozone: An observation-based  
21 review. *Elementa: Science of the Anthropocene*, 2, 1-28, doi:  
22 10.12952/journal.elementa.000029, 2014.
- 23 5. Derwent, R. G., Utembe, S. R., Jenkin, M. E., Shallcross, D. E.: Tropospheric  
24 ozone production regions and the intercontinental origins of surface ozone over  
25 Europe, *Atmospheric Environment*, 112, 216-224, 2015
- 26 6. Ding, A. J., Wang, T., Thouret, V., Cammas, J. P., Nédélec, P.: Tropospheric  
27 ozone climatology over Beijing: analysis of aircraft data from the MOZAIC  
28 program, *Atmos. Chem. Phys.*, 8, 1-13, doi:10.5194/acp-8-1-2008, 2008.
- 29 7. Eskridge, R.E., Ku, J. Y., Rao, S. T., Porter, P. S., Zurbenko, I. G.: Separating  
30 Different Scales of Motion in Time Series of Meteorological Variables, *Bulletin*  
31 *of the American Meteorological Society*, 78, 1473-1483, doi:  
32 10.1175/1520-0477(1997)078<1473:SDSOMI>2.0.CO;2, 1997.
- 33 8. Gao, Y., Liu, X., Zhao, C., Zhang, M., Wang, Y.: Emission controls versus  
34 meteorological conditions in determining aerosol concentrations in Beijing  
35 during the 2008 Olympic Games, *Atmos. Chem. Phys.*, 11, 12437-12451, 2011.
- 36 9. Ge, B.Z., Xu, X.B., Lin, W.L., and Wang, Y.: Observational study of ozone  
37 production efficiency at the Shangdianzi Regional Background Station (in  
38 Chinese with English abstract). *Environ. Sci.* 31, 1444-1450, 2010.
- 39 10. Ge, B.Z., Xu, X.B., Lin, W.L., Li, J., Wang, Z.F.: Impact of the regional transport  
40 of urban Beijing pollutants on downwind areas in summer: ozone production  
41 efficiency analysis, *Tellus B*, 64, 17348, DOI: 10.3402/tellusb.v64i0.17348,  
42 2012.
- 43 11. Granier, C., Bessagnet, B., Bond, T., D'Angiola, A., van der Gon, H. D., Gregory  
44 J. Frost, G. J., Heil, A., Kaiser, J. W., Kinne, S., Klimont, Z., Kloster, S.,

- 1 Lamarque, J. F., Lioussse, C. Masui, T., Meleux, F., Mievilte, A., Ohara, T., Raut,  
2 J. C., Riahi, K., Schultz, M. G., Smith, S. J., Thompson, A., Aardenne, J. V., Werf,  
3 G. R., Vuuren, D. P.: Evolution of anthropogenic and biomass burning emissions  
4 of air pollutants at global and regional scales during the 1980–2010 period.  
5 *Climatic Change*, 109, 163-190. doi:10.1007/s10584-011-0154-1,2011.
- 6 12. Hauglustaine, D. A., Brasseur, G.: Evolution of tropospheric ozone under  
7 anthropogenic activities and associated radiative forcing of climate, *J. Geophys.*  
8 *Res.*, 106, 32337-32360, 2003.
- 9 13. Hess, P. G., Zbinden, R.: Stratospheric impact on tropospheric ozone variability  
10 and trends: 1990e2009. *Atmos. Chem. Phys.* 13, 649-674.  
11 <http://dx.doi.org/10.5194/acp-13-649-2013>, 2013.
- 12 14. Itano, Y., Bandow, H., Takenaka, N., Saitoh, Y., Asayama, A., Fukuyama, J.:  
13 Impact of NO<sub>x</sub> reduction on long-term ozone trends in an urban atmosphere, *Sci.*  
14 *Total Environ*, 379, 46-55, doi:10.1016/j.scitotenv.2007.01.079, 2007.
- 15 15. Jaffe, D., and Ray, J.: Increase in surface ozone at rural sites in the western US,  
16 *Atmos. Environ.*, 41, 5452-5463, doi:10.1016/j.atmosenv.2007.02.034, 2007.
- 17 16. Kurokawa, J., Ohara, T., Morikawa, T., Hanayama, S., Janssens-Maenhout, G.,  
18 Fukui, T., Kawashima, K., Akimoto, H.: Emissions of air pollutants and  
19 greenhouse gases over Asian regions during 2000-2008: Regional Emission  
20 inventory in ASia (REAS) version 2, *Atmos. Chem. Phys.*, 13, 11019-11058,  
21 2013.
- 22 17. Lee, S.-H., Akimoto, H., Nakane, H., Kurnosenko, S.: Lower tropospheric ozone  
23 trend observed in 1989-1997 in Okinawa, Japan, *Geophys. Res. Lett.*, 25,  
24 1637-1640, 1998.
- 25 18. Lin, C., Jacob, D. J., Fiore, A.M.: Trends in exceedances of the ozone air quality  
26 standard in the continental United States, 1980-1998, *Atmospheric Environment*,  
27 35, 3217-3228, 2001.
- 28 19. Lin, M., Fiore, A. M., Horowitz, L. W., Cooper, O. R., Naik, V., Holloway, J.,  
29 Johnson, B. J., Middlebrook, A. M., Oltmans, S. J., Pollack, I. B., Ryerson, T. B.,  
30 Warner, J. X, Wiedinmyer, C., Wilson, J., Wyman, B.: Transport of Asian ozone  
31 pollution into surface air over the western United States in spring. *Journal of*  
32 *Geophysical Research*, 2012, 117(D21):183-204.
- 33 20. Lin, M., Fiore, A.M., Horowitz, L.W., Langford, A.O., Oltmans, S. J., Tarasick,  
34 D., Reider H.E.: Climate variability modulates western US ozone air quality in  
35 spring via deep stratospheric intrusions, *Nature Communications*, 6, 7105,  
36 doi:10.1038/ncomms8105, 2015a.
- 37 21. Lin, M., Horowitz, L. W., Cooper, O.R., Tarasick, D., Conley, S., Iraci, L.T.,  
38 Johnson, B., Leblanc, T., Petropavlovskikh, I., Yates, E. L.: Revisiting the  
39 evidence of increasing springtime ozone mixing ratios in the free troposphere  
40 over western North America, *Geophysical Research Letter*, 42,  
41 doi:10.1002/2015GL065311, 2015b.
- 42 22. Lin, M., Horowitz, L.W., Oltmans, S. J., Fiore, A. M., Fan S.: Tropospheric  
43 ozone trends at Manna Loa Observatory tied to decadal climate variability,  
44 *Nature Geoscience*, 7, 136-143, doi:10.1038/NGEO2066, 2014.

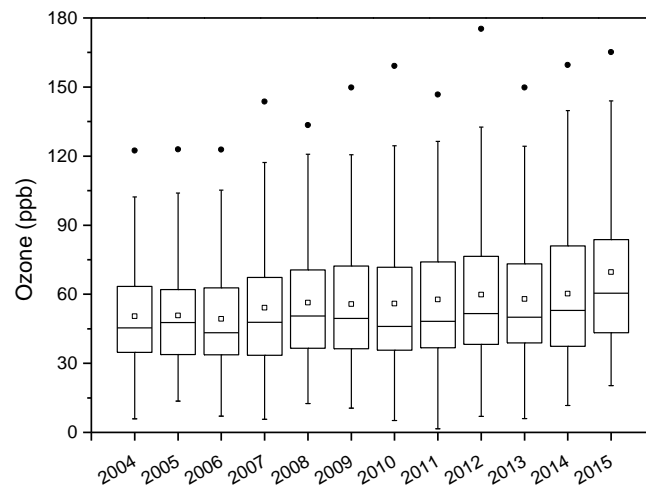
- 1 23. Lin, W., Xu, X., Zhang, X., Tang, J.: Contributions of pollutants from North  
2 China Plain to surface ozone at the Shangdianzi GAW Station, *Atmos. Chem.*  
3 *Phys.*, 8, 5889-5898, doi:10.5194/acp-8-5889-2008, 2008.
- 4 24. Mijling, B., van der A, R. J., and Zhang, Q.: Regional nitrogen oxides emission  
5 trends in East Asia observed from space, *Atmos. Chem. Phys.*, 13, 12003-12012,  
6 doi:10.5194/acp-13-12003-2013, 2013.
- 7 25. Monks P. S., Archibald A. T., Colette A., Cooper O., Coyle M., Derwent R.,  
8 Fowler D., Granier C., Law K. S., Mills G. E., Stevenson D. S., Tarasova O.,  
9 Thouret V., von Schneidemesser E., Sommariva R., Wild O., Williams M. L.:  
10 Tropospheric ozone and its precursors from the urban to the global scale from air  
11 quality to short-lived climate forcer. *Atmos. Chem. Phys.*, 15, 8889–8973, 2015.
- 12 26. NRC (National Research Council), 1991. Rethinking the Ozone Problem in  
13 Urban and Regional Air Pollution. National Academy Press, Washington, DC.
- 14 27. Ohara, T., Akimoto, H., Kurokawa, J., Horii, N., Yamaji, K., Yan, X., Hayasaka,  
15 T.: An Asian emission inventory of anthropogenic emission sources for the  
16 period of 1980-2020, *Atmos. Chem. Phys.*, 7, 3319-4444, 2007.
- 17 28. Oltmans, S. J., Lefohn, A. S., Shadwick, D., Harris, J. M., Scheel, H. E., Galbally,  
18 I., Tarasick, D.W., Johnson, B.J., Brunke, E.-G., Claude, H., Zeng, G., Nichol, S.,  
19 Schmidlin, F., Davies, J., Cuevas, E., Redondas, A., Naoen, H., Nakano, T.,  
20 Kawasato, T.: Recent tropospheric ozone changes-a pattern dominated by slow or  
21 no growth. *Atmospheric Environment*, 67(2), 331-351, 2013.
- 22 29. Oltmans, S., Lefohn, A.S., Harris, J.M., Galbally, I., Scheel, H.E., Bodeker, G.,  
23 Brunke, E., Claude, H., Tarasick, D., Johnson, B.J., Simmonds, P., Shadwick, D.,  
24 Anlauf, K., Hayden, K., Schmidlin, F., Fujimoto, T., Akagi, K., Meyer, C., Nichol,  
25 S., Davies, J., Redondas, A., Cuevaso, E.: Long-term changes in tropospheric  
26 ozone, *Atmos. Environ.*, 40, 3156-3173, 2006.
- 27 30. Ordóñez, C., Brunner, D., Staehelin, J., Hadjinicolaou, P., Pyle, J.A., Jonas, M.,  
28 Wernli, H., Prevot, A.S.H.: Strong influence of lowermost stratospheric ozone on  
29 lower tropospheric background ozone changes over Europe, *Geophys. Res. Lett.*,  
30 34, L07805. <http://dx.doi.org/10.1029/2006GL029113>, 2007.
- 31 31. Parrish, D. D., Law, K. S., Staehelin, J., Derwent, R., Cooper, O. R., Tanimoto,  
32 H., Volz-Thomas, A., Gilge, S., Scheel, H.-E., Steinbacher, M., Chan, E.:  
33 Long-term changes in lower tropospheric baseline ozone concentrations at  
34 northern mid-latitudes, *Atmos. Chem. Phys.* 12: 11485–11504, 2012,  
35 doi:10.5194/acp-12-114852012.
- 36 32. Pausata, F. S. R., Pozzoli, L., Vignati, E., Dentener, F. J.: North Atlantic  
37 oscillation and tropospheric ozone variability in Europe: model analysis and  
38 measurements intercomparison, *Atmos. Chem. Phys.*, 12, 6357-6376, 2012.
- 39 33. Penkett, S.A.: Indications and causes of ozone increase in the troposphere. In:  
40 Rowland, F.S., Isaksen, I.S.A. (Eds.), *The Changing Atmosphere*. J. Wiley&Sons,  
41 p. 91. 1988.
- 42 34. Pusede, S. E., Steiner, A. L., and Cohen R. C.: Temperature and Recent Trends in  
43 the Chemistry of Continental Surface Ozone, *Chem. Rev.*, 115, 3898-3918, 2015.
- 44 35. Rao, S. T., and Zurbenko, I. G.: Detecting and Tracking Changes in Ozone Air

- 1 Quality, Air & Waste, 44, 1089-1092, doi:10.1080/10473289.1994.10467303,  
2 1994.
- 3 36. Rao, S. T., Zalewsky, E., and Zurbenko, I. G.: Determining Temporal and Spatial  
4 Variations in Ozone Air Quality, *Journal of the Air & Waste Management*  
5 *Association*, 45, 57-61, doi:10.1080/10473289.1995.10467342, 1995.
- 6 37. Rao, S. T., Zurbenko, I. G., Neagu, R., Porter, P. S., Ku, J. Y., and Henry, R. F.:  
7 Space and Time Scales in Ambient Ozone Data, *Bulletin of the American*  
8 *Meteorological Society*, 78, 2153-2166, 1997.
- 9 38. Richter, A., Burrows, J. P., Nub, H., Granier, C., and Neimeier, U.: Increase in  
10 tropospheric nitrogen dioxide over China observed from space, *Nature*, 437,  
11 129-132, doi:10.1038/nature04092, 2005.
- 12 39. Streets, D. G., Tsai, N. Y., Akimoto, H., and Oka, K.: Trends in emissions of  
13 acidifying species in Asia, 1985–1997, *Water Air and Soil Pollution*, 130,  
14 187-192, doi: 10.1023/A:1013883628877, 2001.
- 15 40. Verstraeten, W. W., Neu, J. L., Williams, J. E., Bowman, K. W., Worden, J. R.,  
16 Boersma, K. F.: Rapid increases in tropospheric ozone production and export  
17 from China, *Nature Geoscience*, 8, 690 – 695, 2015, doi:10.1038/ngeo2493.
- 18 41. Wang, T., Wei, X.L., Ding, A.J., Poon, C.N., Lam, K.S., Li, Y.S., Chan, L.Y.,  
19 Anson, M.: Increasing surface ozone concentrations in the background  
20 atmosphere of Southern China, 1994-2007, *Atmos. Chem. Phys.*, 9, 6217-6227,  
21 2009.
- 22 42. Wang, M., Shao, M., Chen, W., Lu, S., Liu, Y., Yuan, B., Zhang, Q., Zhang, Q,  
23 Chang, C.-C., Wang, B., Zeng, L., Hu, M., Yang, Y., and Li, Y.: Trends of  
24 non-methane hydrocarbons (NMHC) emissions in Beijing during 2002–2013,  
25 *Atmos. Chem. Phys.*, 15, 1489–1502, 2015.
- 26 43. Xu, X., Lin, W., Wang, T., Yan, P., Tang, J., Meng, Z., and Wang, Y.: Long-term  
27 trend of surface ozone at a regional background station in eastern China  
28 1991–2006: enhanced variability, *Atmos. Chem. Phys.*, 8, 2595–2607, 2008.
- 29 44. Xu, X.B., Liu, X.W., and Lin, W.L.: Impacts of air parcel transport on the  
30 concentrations of trace gases at regional background stations (in Chinese with  
31 English abstract), *Journal of Applied Meteorological Science*, 20(6), 656-664,  
32 2009.
- 33 45. Xu, X. B., and Lin, W. L.: Trends of Tropospheric Ozone over China Based on  
34 Satellite Data (1979-2005), *Advances in Climate Change Research*, 2, 43-48, doi:  
35 10.3724/SPJ.1248.2011.00043, 2011.
- 36 46. Xu, W.Y., Lin, W.L., Xu, X.B., Tang, J., Huang, J.Q., Wu, H., and Zhang, X.C.:  
37 Long-term trends of surface ozone and its influencing factors at the Mt. Waliguan  
38 GAW station, China – Part 1: Overall trends and characteristics, *Atmos. Chem.*  
39 *Phys. Discuss.*, 15, 30987–31024, 2015.
- 40 47. Zhang, Q.H., Zhang, J.P., and Xue, H.W.: The challenge of improving visibility  
41 in Beijing, *Atmos. Chem. Phys.*, 10, 7821-7827, 2010.
- 42 48. Zhang, X. Y., Zhang, P., Zhang, Y., Li, X. J., Qiu, H.: The trend, seasonal cycle,  
43 and sources of tropospheric NO<sub>2</sub> over China during 1996-2006 based on satellite  
44 measurement, *Science in China Series D*, 50(12), 1877-1884, 2007.

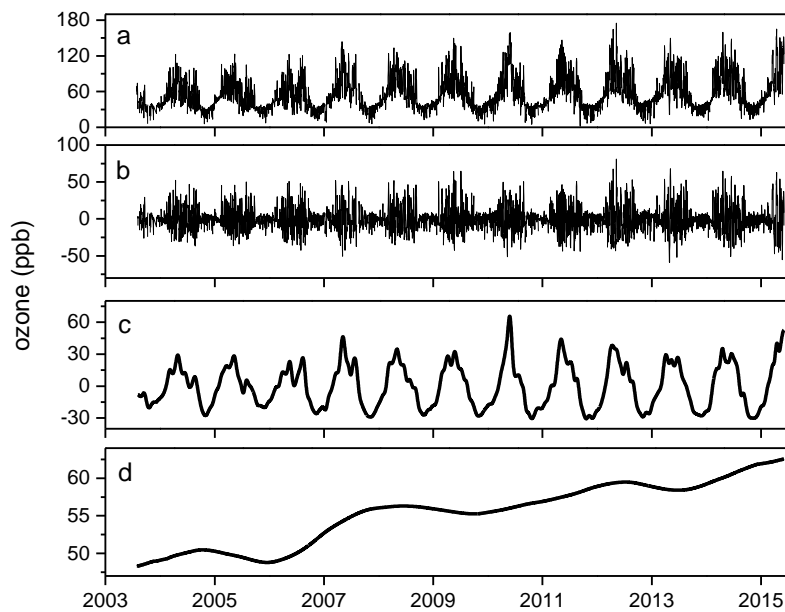
1 49. Zurbenko, I., Chen, J., Rao, S.T., Ku, J. Y., Gui, R., and Eskridge, R. E.:  
2 Detecting discontinuities in time series of upper air data: Demonstration of an  
3 adaptive filter technique, *Journal of Climate*, 9, 3548-3560, 1996.

4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32

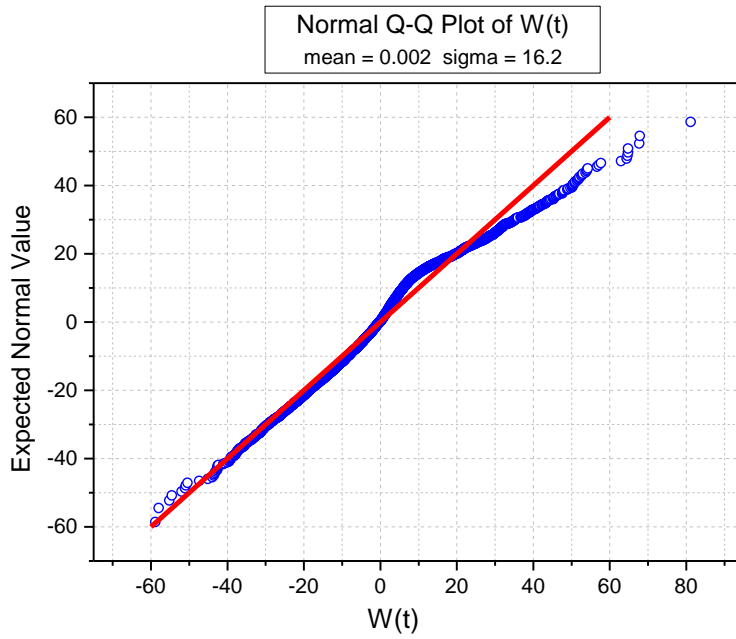




1  
 2 Fig 1. Percentile-box plot of yearly statistics of MDA8 values of ozone at SDZ, in the  
 3 period of 2004-2015. Box depicts interquartile range and median; the square depicts  
 4 the mean; whiskers depict 10th and 90th percentile; dot depicts maximum values.

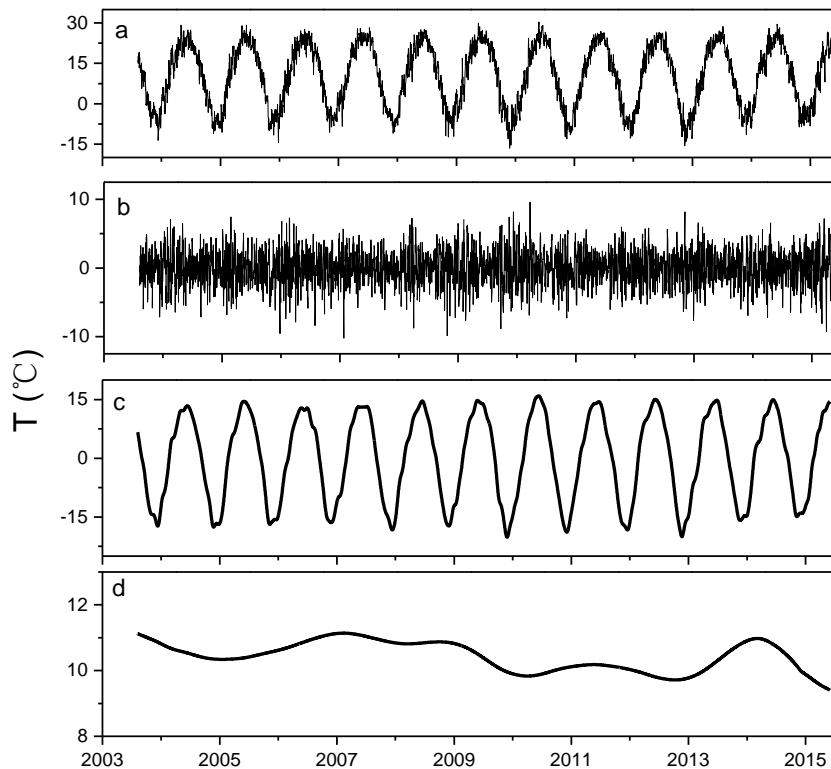


5  
 6 Fig 2. Separated time series of MDA8 values of ozone at SDZ: (a) the original data;  
 7 (b) the short-term component,  $W(t)$ ; (c) the seasonal component,  $S(t)$ ; (d) the  
 8 long-term component,  $e(t)$ .



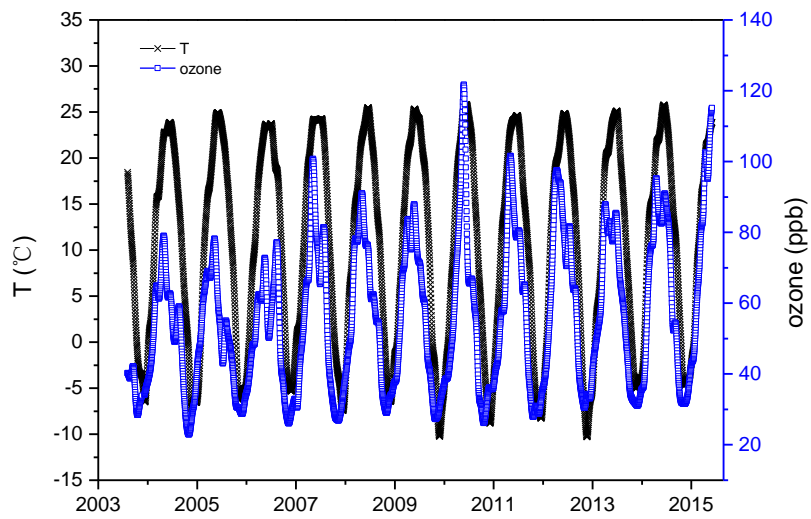
1

2 Fig 3. QQ plot of the short-term component  $W(t)$  for ozone.



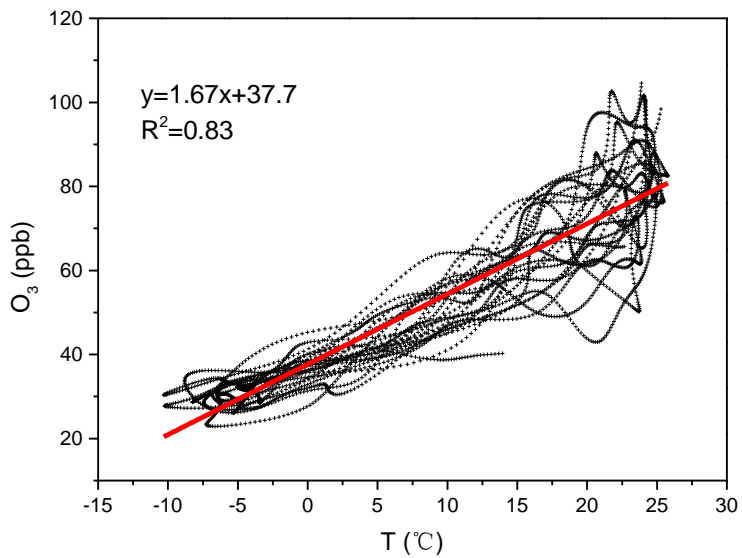
3

4 Fig 4. Separated time series of daily mean values of temperature at SDZ: (a) the  
 5 original data; (b) the short-term component,  $W(t)$ ; (c) the seasonal component,  $S(t)$ ;  
 6 (d) the long-term component,  $e(t)$ .



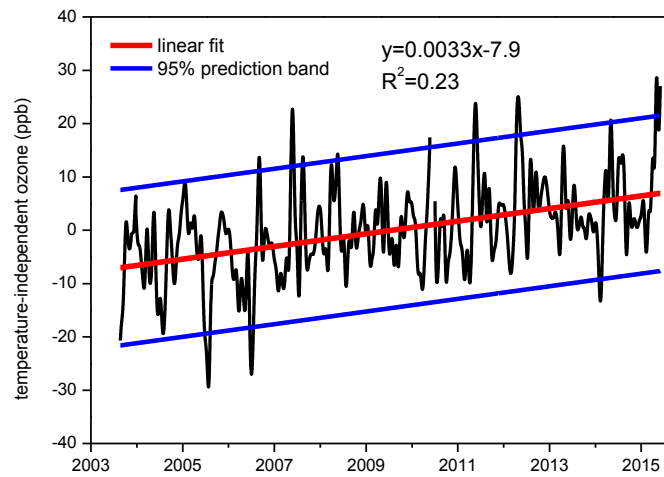
1

2 Fig 5. Results of the daily mean temperature and the MDA8 value of ozone after the  
 3 application of  $KZ_{15,5}$  filter to the original time series. The results indicate the sum of  
 4 the seasonal and long-term components.



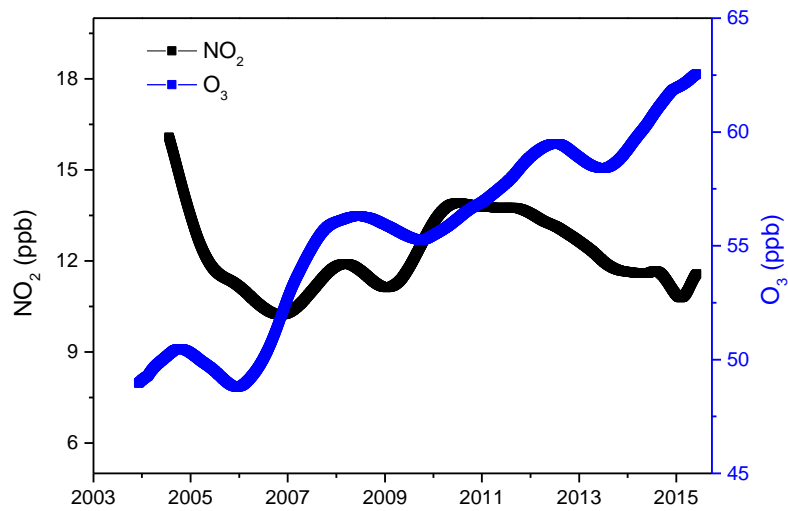
5

6 Fig 6. Linear regression fit on the filtered daily maxima of temperature and ozone.  
 7 Temperature data are lagged by 17 days to ozone data.



1

2 Fig 7. Time series of the noise-free and temperature-independent ozone. The red line  
 3 is a linear fit and the blue lines are the 95% confidence prediction band.



4

5 Fig 8. Long-term trends of  $\text{NO}_2$  and the MDA8 ozone value calculated by  $\text{KZ}_{365,3}$ .  
 6