

1 **Tropospheric Ozone Variability during the East Asian Summer Monsoon as Observed by**  
2 **Satellite (IASI), Aircraft (MOZAIC) and Ground Stations**

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21 **Abstract**

22 Satellite measurements from the thermal Infrared Atmospheric Sounding Interferometer (IASI),  
23 aircraft data from the MOZAIC/IAGOS project, as well as observations from ground based  
24 stations, are used to assess the tropospheric ozone (O<sub>3</sub>) variability during the East Asian Summer  
25 Monsoon (EASM). Six years [2008-2013] of IASI data analysis reveals the ability of the  
26 instrument to detect the onset and the progression of the monsoon seen by a decrease in the  
27 tropospheric [0-6] km O<sub>3</sub> column due to the EASM, and to reproduce this decrease from one year  
28 to the other. The year-to-year variability is found to be mainly dependent on meteorology.  
29 Focusing on the period of May-August 2011, taken as an example year, IASI data show clear  
30 inverse relationship between tropospheric [0-6] km O<sub>3</sub> on one hand and meteorological  
31 parameters such as cloud cover, relative humidity and wind speed, on the other hand. Aircraft  
32 data from the MOZAIC/IAGOS project for the EASM of [2008-2013] are used to validate the  
33 IASI data and to assess the effect of the monsoon on the vertical distribution of the tropospheric  
34 O<sub>3</sub> at different locations. Results show good agreement with a correlation coefficient of 0.73  
35 (12%) between the [0-6] km O<sub>3</sub> column derived from IASI and aircraft data. IASI captures very  
36 well the inter-annual variation of tropospheric O<sub>3</sub> observed by the aircraft data over the studied

37 domain. Analysis of vertical profiles of the aircraft data shows a decrease in the tropospheric O<sub>3</sub>  
38 that is more important in the free troposphere than in the boundary layer and at [10-20]<sup>o</sup>N than  
39 elsewhere. Ground station data at different locations in India and China show a spatiotemporal  
40 dependence on meteorology during the monsoon, with decrease up to 22 ppbv in Hyderabad, and  
41 up to 5 ppbv in the North China Plain.

## 42 **1. Introduction**

43 Over South and East Asia, tropospheric ozone (O<sub>3</sub>) concentrations have significantly increased  
44 over the past few decades as a result of rapid urbanization (Cooper et al., 2014) with important  
45 implications on regional and global air quality. South and East Asian countries are experiencing  
46 increasing emissions of different pollutants, many of which are precursors of O<sub>3</sub> (Akimoto, 2003;  
47 Ohara et al., 2007; Richter et al., 2005). For example, China showed an increase of NO<sub>2</sub> reaching  
48 29% per year for the period 1996-2006 (van der A. et al., 2008), and about 50% over the  
49 industrial areas of China over the period 1996-2004 (Richter et al., 2005), though recent NO<sub>2</sub>  
50 observations from space are suggesting NO<sub>2</sub> decrease in 2013 and 2014 (Richter et al., 2015). An  
51 increase in background O<sub>3</sub> concentrations is also detected in Southern China during the last  
52 decade (Wang et al. 2009). In Eastern China, a study by Xu et al., (2008), analyzing long term  
53 trends at a background surface O<sub>3</sub> station in Linan, suggests enhanced O<sub>3</sub> variability linked to the  
54 increase in NO<sub>x</sub> (NO<sub>x</sub>=NO<sub>2</sub>+NO) concentrations. Over most India, increasing trends in  
55 tropospheric O<sub>3</sub> are consistent with the observed trends in emissions from NO<sub>x</sub> and carbon  
56 monoxide (CO) as well as coal and petroleum consumption (Lal et al., 2012).

57 The Asian monsoon circulation dominates the regional meteorology of southern and East Asia. In  
58 summer, the East Asian Summer Monsoon (EASM) is characterized by torrential rain, strong  
59 winds carrying clean air from the ocean over the heated tropical land and deep convection  
60 processes forming cirrus clouds and further rain (Lawrence and Lelieveld, 2010). Surface  
61 observations have shown that the EASM is responsible for a decrease in surface O<sub>3</sub> at a rural site  
62 near Beijing (Wang et al. 2008) and a coastal site near Hong Kong (Lam et al. 2001; Wang et al.  
63 2009). Yang et al. (2014) found that the largest impacts of EASM on the decrease in surface O<sub>3</sub>  
64 are found over central and western China, while Beijing (North East China) and Nanjing (East  
65 China) experience a summertime O<sub>3</sub> maxima during June and July respectively (Ding et al.,  
66 2008; 2013). Ozonesondes measurements have also detected the effect of the EASM on lower  
67 tropospheric O<sub>3</sub> (Chan et al., 1998; Zhou et al., 2013). Satellite measurements over South and  
68 East Asia have been used to assess the daily variability of tropospheric O<sub>3</sub>, notably from the  
69 Infrared Atmospheric Sounding Interferometer (IASI) (Dufour et al., 2015). The effect of the  
70 EASM on the tropospheric O<sub>3</sub> was previously detected with a decrease in the O<sub>3</sub> partial column  
71 using data from IASI over several Indian and Chinese cities (Dufour et al., 2010; Safieddine et  
72 al., 2013). Using the Ozone Monitoring Instrument (OMI) and Microwave Limb Sounder (MLS)  
73 measurements, together with a regional chemistry and transport model, Zhao et al. (2010) showed  
74 that the air quality over southeastern China is affected by the EASM, leading to an influence  
75 extending to central East China from June to July. At 300 hPa, the Tropospheric Emission

76 Spectrometer (TES) observations over India showed enhanced O<sub>3</sub> abundances during June and  
77 July followed by a decrease in August (Worden et al., 2009).

78 The main objective of this study is to document the effect of the monsoon on the regional and  
79 vertical distribution of tropospheric O<sub>3</sub> in East Asia during the summer using different  
80 observation datasets and relating them to one another. After this introduction, section 2 will look  
81 at six years of tropospheric [0-6] km O<sub>3</sub> data and on a case study of the monsoon of 2011. We  
82 study the relationship between the tropospheric [0-6] km O<sub>3</sub> and CO total columns from IASI and  
83 different meteorological parameters from the ECMWF (European Centre for Medium-Range  
84 Weather Forecasts) Reanalysis (ERA) (winds, cloud cover and relative humidity) (Dee et al.,  
85 2011). Section 3 will look at a dense set of vertical O<sub>3</sub> airborne profiles (363 in total) used to  
86 validate the IASI-O<sub>3</sub> columns as well assess how well IASI captures the inter-annual variability  
87 of the EASM as observed by the aircraft data. Section 4 will look at a ground stations dataset at  
88 five locations (Hyderabad, Udaipur, Jabalpur, Pearl River Delta (PRD) and North China Plain  
89 (NCP)) during the EASM of 2011. Conclusions are given in Section 5.

## 90 **2. Tropospheric O<sub>3</sub> from IASI During the EASM**

### 91 **2.1 The IASI instrument**

92 The IASI instruments launched onboard the MetOp platforms in October 2006 (IASI-A) and  
93 September 2012 (IASI-B) are nadir looking Fourier transform spectrometers that probe the  
94 Earth's atmosphere in the thermal infrared spectral range between 645 and 2760 cm<sup>-1</sup>, with a  
95 spectral resolution of 0.5 cm<sup>-1</sup> (apodized) and 0.25 cm<sup>-1</sup> spectral sampling. In this study, and to  
96 have a consistent O<sub>3</sub> product over the period 2008-2013, only IASI-A data have been used. The  
97 IASI footprint is a matrix of 2 x 2 pixels, each with 12 km diameter at nadir. IASI monitors the  
98 atmospheric composition at any location two times per day (the satellite's ground track is at about  
99 09:30 local time in the morning and 21:30 in the evening). Each IASI measures many of the  
100 chemical components that play a key role in the climate system and in several aspects of  
101 atmospheric pollution. Global distributions of O<sub>3</sub> vertical profiles are retrieved in near real time  
102 using a dedicated radiative transfer and retrieval software for the IASI O<sub>3</sub> product, the Fast  
103 Optimal Retrievals on Layers for IASI (FORLI-O<sub>3</sub>) (Hurtmans et al., 2012). Data are selected  
104 using a filter for scenes with no or low cloud coverage (below 13%), and by rejecting all  
105 observations with root mean square (RMS) of the spectral fit residual larger than 3.5 x 10<sup>-8</sup>  
106 W/cm<sup>2</sup>.sr.cm<sup>-1</sup>. Details about the chemical components that can be measured by IASI can be  
107 found in Clerbaux et al. (2009); Coheur et al. (2009) and Clarisse et al. (2011). IASI tropospheric  
108 O<sub>3</sub> retrieved from FORLI has been extensively validated against ozonesondes during the period  
109 2008-2014 and results show that IASI underestimates tropospheric O<sub>3</sub> by 12 to 14% in the mid-  
110 latitudes and tropics (Boynard et al., 2016).

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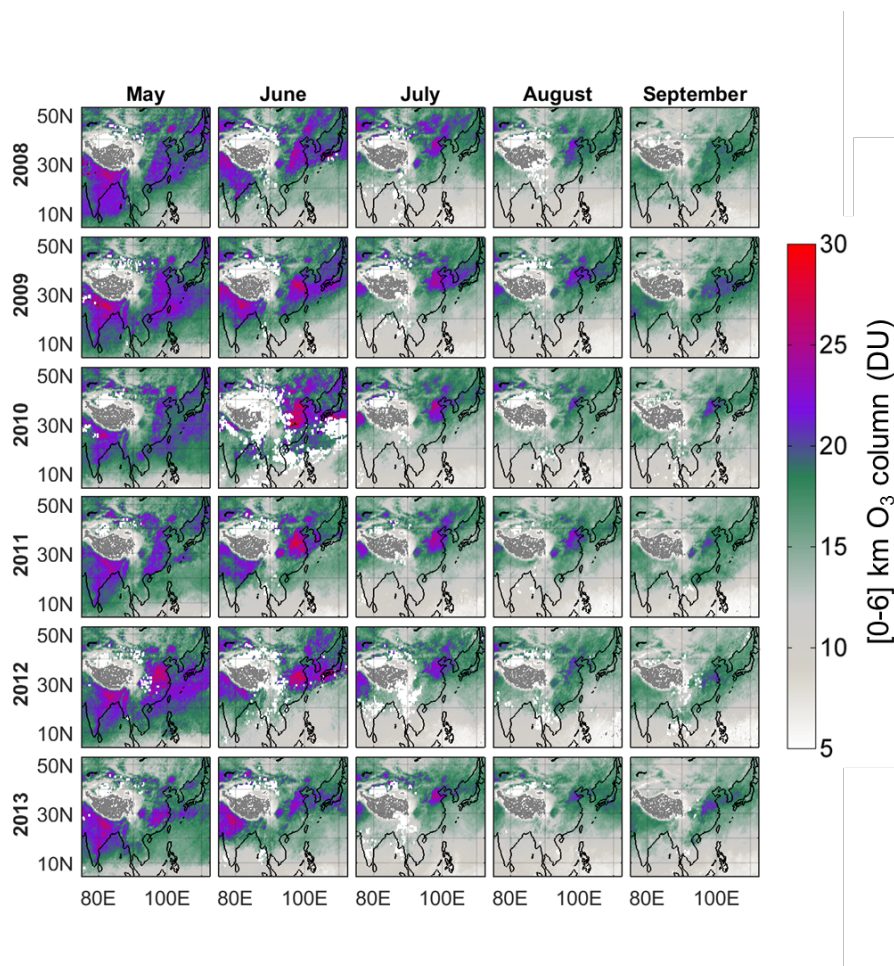
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## 2.2 Tropospheric O<sub>3</sub> during the EASM for 2008-2013

116 To look at the inter-seasonal and inter-annual variation of tropospheric O<sub>3</sub> during the monsoon,  
117 we show in Fig. 1 the monthly distribution (May-September) of the IASI [0-6] km O<sub>3</sub> column  
118 over the period 2008-2013. Only IASI daytime observations are used, since better thermal  
119 contrast, and hence better sensitivity to the lower troposphere, is usually obtained during the day  
120 (Clerbaux et al., 2009). Earlier studies have shown that the information content in the satellite  
121 measurement varies, and is generally maximal in the mid to upper troposphere, and lower at the  
122 surface (Barret et al., 2011; Dufour et al., 2012; Safieddine et al., 2014). The [0-6] km O<sub>3</sub> column  
123 is used to eliminate any possible stratospheric intrusions and previous studies have shown that  
124 with the [0-6] km column, at least one piece of information is available for the IASI retrieval  
125 (Barret et al., 2011; Dufour et al., 2012). Limitations in the sensitivity provide sources of error  
126 that can influence our conclusions about the observed O<sub>3</sub> distribution, especially close to the  
127 surface. Another source of error emerge from the fact that the IASI observations used here are for  
128 scenes (pixels) with no or low cloud contamination, and therefore reflect mostly the state of the  
129 atmosphere before or after the rain/high cloud episodes that the EASM will generate.



130

131 Figure 1. Monthly averaged daytime tropospheric [0-6] km O<sub>3</sub> column from IASI over the EASM  
132 region and period (May-September) for the years 2008-2013. The decrease due to the monsoon is  
133 more prominent to the south of the domain.

134  
135 The monthly average tropospheric O<sub>3</sub> columns from IASI shown here have different observation  
136 count at each grid point, which may correspond to the average of one to more than 200  
137 observations. Despite these limitations, this is the best-known dataset of remote infrared retrieved  
138 O<sub>3</sub> columns covering the entire monsoon region, and we assume that the average effect of the  
139 monsoon on the tropospheric O<sub>3</sub> column from one month to the other can be reflected in one or  
140 more observations. The seasonal variation of O<sub>3</sub> as detected by IASI is highly dependent on  
141 photochemical activity and is generally higher in summer and lower in winter (Safieddine et al.,  
142 2013). However, within the EASM region, IASI shows in Fig. 1 that this typical seasonality is  
143 broken and on average, the [0-6] km O<sub>3</sub> columns are lower in June-July-August (JJA) in  
144 comparison with May. The Asian monsoon onset date is around the mid-May and June  
145 (Parthasarathy et al., 1994; Wang et al., 2009; Yang and Lau, 1998). Figure 1 shows that the  
146 largest decrease is recorded in Southern India, where clean air masses from the Pacific starting  
147 typically in May (generating the Indian Summer Monsoon, a subsystem of the EASM) will be  
148 responsible of a decrease reaching 15-20 Dobson Unit (DU) in JJA. With the march of the  
149 monsoon northeastward, the decrease becomes most prominent in July and August at higher  
150 latitudes. To understand the year-to-year variability in tropospheric ozone, we looked at possible  
151 stratospheric intrusions into the [0-6] km O<sub>3</sub> column. Potential vorticity (PV) and the water vapor  
152 mixing ratio (Qvap) measurements were used as markers of transport from the upper  
153 troposphere–lower stratosphere (UTLS) to the troposphere, and extracted at different altitudes.  
154 We used data from the ERA-interim reanalysis (more info about this dataset is provided in  
155 section 2.3), and it was seen that downward transport from the UTLS can have an effect at  
156 altitude > 10 km and latitudes > 30°N (results not shown here). The analysis therefore suggests  
157 that the [0-6] km O<sub>3</sub> monthly averaged columns studied here are not affected by transport from  
158 the stratosphere. We also looked at the year-to-year variations associated with changes in  
159 regional emissions to study their effect on local production of ozone. We use emission estimates  
160 of NO<sub>x</sub> and non-methane hydrocarbons (NMVOCs), which are O<sub>3</sub> precursors, from EDGAR-  
161 HTAP project ([http://edgar.jrc.ec.europa.eu/htap\\_v2/index.php](http://edgar.jrc.ec.europa.eu/htap_v2/index.php)) available for only 2008 and  
162 2010. An increase in both NO<sub>x</sub> and NMVOCs is observed between 2008 and 2010 over the  
163 studied domain and months, except for Japan (see supplementary materials Fig. S1). Note that  
164 strict controls on vehicles and industries emissions were implemented for the summer Olympic  
165 and Paralympic Games in Beijing between July and September 2008 (United Nations  
166 Environment Programme, 2009). Traffic was reduced by 22% during the Olympics (Wang and  
167 Xie., 2009) and restrictions were applied on polluting industries in Beijing and surrounding  
168 provinces (Li et al., 2009). While these standards did not include surface O<sub>3</sub> limitations, O<sub>3</sub>  
169 values were expected to be indirectly affected. When comparing 2008 to the rest of the years, O<sub>3</sub>  
170 distributions do not show a significant decrease in Beijing and/or the surrounding regions (in fact  
171 August 2010 and 2012 have lower O<sub>3</sub> values over Beijing than those recorded in 2008), a result

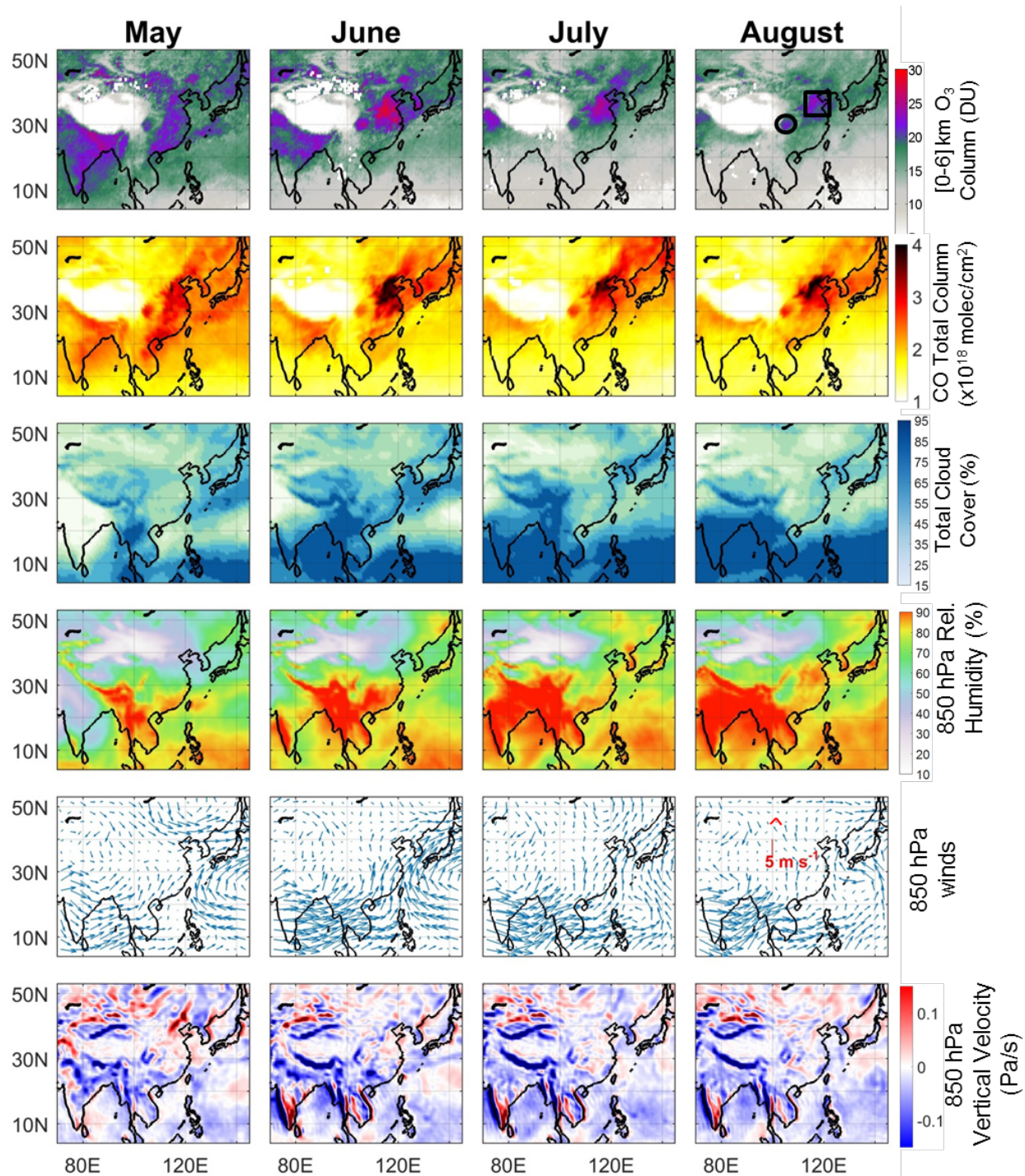
172 also detected in previous studies looking at O<sub>3</sub> surface measurements (Chou et al. 2011; Wang et  
173 al. 2010). Studies suggested O<sub>3</sub> transport by winds from nearby polluted areas such as the North  
174 China Plain (Wang et al., 2009, 2010), or O<sub>3</sub> formation in the boundary layer since the  
175 photostationary state of the nitrogen cycle was perturbed (Wang and Xie, 2009). Over the rest of  
176 the domain, the increase in emissions of O<sub>3</sub> precursors, at least between 2008 and 2010, suggests  
177 that tropospheric ozone values also increased between these two year during the different months,  
178 but the IASI-O<sub>3</sub> columns do not show the same pattern. The analysis presented here suggests  
179 therefore that the meteorology associated with the EASM is the main driver of the O<sub>3</sub> regional  
180 distribution and year-to-year variability. Over the different years, the seasonal variation is well  
181 reproduced but the decrease in the tropospheric O<sub>3</sub> column will therefore change in magnitude  
182 depending on the monsoon strength. For example, in June 2010, around 30°N and 120-130°E, the  
183 Western North Pacific region shows void area of IASI retrievals (with white pixels) due to large  
184 cloud cover. The Western North Pacific Monsoon Index (WNPI, Wang and Fan, 1999) is the  
185 highest in 2010 over this region in comparison with the rest of the years shown here. On the other  
186 hand, 2011, is rather a typical monsoon season year, and will be used as a case study hereafter.

187

### 188 **2.3 Case study of 2011**

189 In order to look at the O<sub>3</sub> response to change in meteorology during the monsoon, we show in  
190 Fig. 2 the monsoon period [May-August] of 2011 taken as an example year of a typical monsoon.  
191 Carbon monoxide (CO) total columns from IASI are also shown. CO is often used as an  
192 anthropogenic pollution and biomass-burning tracer (e.g. Edwards et al., 2004; McMillan et al.,  
193 2010). Note that the seasonal variation of CO is such that it is lower in summer, because of the  
194 destruction of CO by the OH radical in the presence of sunlight. We consider different  
195 meteorological parameters in order to highlight the relationship between change in meteorology  
196 and the [0-6] km O<sub>3</sub> column. These are: i) the total cloud cover that gives an insight on the  
197 photochemical activity in the troposphere, ii) relative humidity, since increasing water vapor  
198 increases O<sub>3</sub> loss as the production rate of the reactions  $\text{H}_2\text{O} + \text{O}(^1\text{D}) \rightarrow 2\text{OH}$  increases (where  
199  $\text{O}(^1\text{D})$  is the product of the photo-dissociation of O<sub>3</sub> in the presence of ultraviolet light), and iii)  
200 horizontal and vertical wind fields. Horizontal wind speed and direction are used to assess  
201 monsoon strength and possible transport. Vertical velocity is used to investigate possible  
202 ascending motion of air masses from the boundary layer towards the free troposphere. All of the  
203 meteorological parameters are extracted from the ECMWF re-analysis (Dee et al., 2011). The  
204 data assimilation produces 4 analyses per day at 00, 06, 12 and 18 UTC at 37 pressure levels  
205 from 1000 to 1 hPa. Monthly means of total cloud cover, relative humidity at 850 hPa and *u*- and  
206 *v*-components of horizontal wind directions at 850 hPa are extracted over a grid size of 0.75° x  
207 0.75°.





208

209 Figure 2. Monthly averaged tropospheric [0-6] km O<sub>3</sub> column from IASI, CO total columns from  
 210 IASI, along with ECMWF total cloud cover, relative humidity, horizontal and vertical  
 211 850 hPa for each of the months of May to August 2011. The black square and circle (upper right  
 212 plot) are the regions the least affected by the EASM and discussed more in the text.

213 During the EASM, the southwesterly monsoon flow brings warm, wet and clean air masses from  
214 the Indian Ocean to South, Southeast and East Asia. The winds at 850 hPa in Fig. 2 show a  
215 typical monsoon flow where in May and June, it mostly impacts South and Southeast Asia while  
216 the coastal region of East Asia is impacted by south-easterlies from the Pacific. In June, in  
217 particular, the monsoon becomes stronger as the wind force and the cloud cover (and therefore  
218 lower photochemical activity) increase over the regions  $< 20^{\circ}\text{N}$ . A decrease in tropospheric  $\text{O}_3$   
219 and total CO columns is recorded over India, and over all the countries around the Bay of Bengal  
220 and South East China. In Southern India, negative (ascending winds, over the Arabian Sea) and  
221 positive (descending winds, over land) vertical velocities are present from the surface up to 700  
222 hPa (we show here an example at 850 hPa) suggesting exchanges of air masses vertically. Since  
223 CO's chemistry does not depend on cloud cover, the decrease in CO between May and June  
224 suggests that transport might be the main driver of decrease in pollutants. During July and  
225 August, the monsoon reaches its maximal strength. Due to high cloud cover and strong horizontal  
226 winds, the tropospheric  $\text{O}_3$  columns show a large decrease. For latitudes  $< 30^{\circ}\text{N}$ , the drop in  $\text{O}_3$  is  
227 more notable, particularly over the Indo-Gangetic Plain where the decline in  $\text{O}_3$  is driven by  
228 decreasing photochemistry since CO values over this region do not follow the same trend.

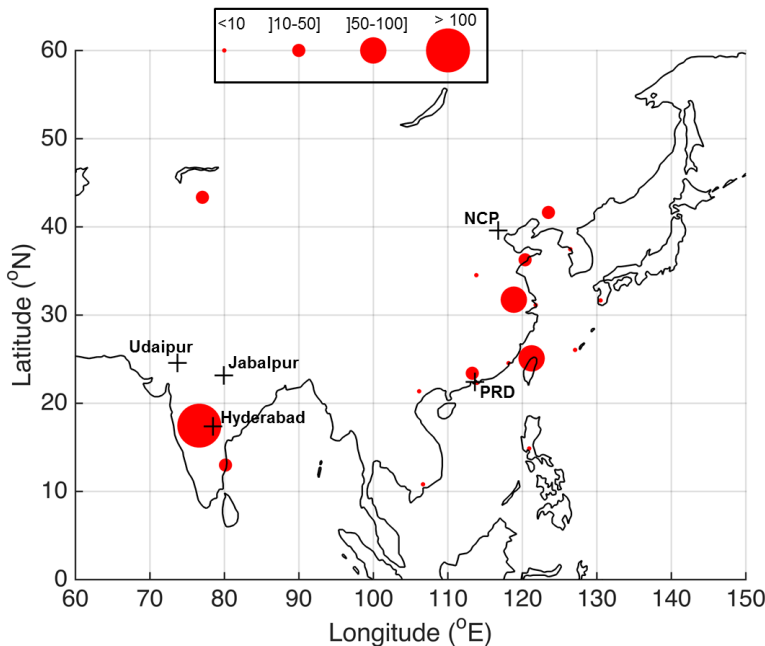
229 Looking at specific regions, tropospheric [0-6] km  $\text{O}_3$  columns in Korea show a decrease in  
230 particular in July for  $\text{O}_3$  (and not for CO), which coincides with the high cloud cover and relative  
231 humidity. On the other hand, and over North West of India and part of Pakistan, the low cloud  
232 cover and weak winds lead to the buildup of the high summer  $\text{O}_3$  over this region. Enhanced IASI  
233 CO columns over this same region suggest build-up of pollutants, and with little to no transport,  
234 the persistence of the [0-6] km  $\text{O}_3$  values. Looking at the winds plots over the different months,  
235 one can notice how the monsoon is stronger at the lower latitudes of the domain. Therefore the  
236 areas of Beijing, Tianjin and the North China Plain (black squares in Fig. 2) are in general less  
237 affected by the monsoon and they show much weaker  $\text{O}_3$  decrease. High CO total columns, used  
238 as pollution tracer, indicate the anthropogenic origin of the observed ozone enhancements. In  
239 fact, the persistence of  $\text{O}_3$  during the monsoon season in Beijing was previously documented  
240 using aircraft data from the MOZAIC program which suggested a summertime  $\text{O}_3$  maximum  
241 attributed to strong photochemical production (Ding et al., 2008). The other interesting region in  
242 China that shows little or no change is the area between the Chongqing and Sichuan provinces  
243 (and designated with a black circle in Fig. 2). This region does not exhibit any monsoon  
244 characteristics with low cloud cover and weak winds. This region is also between two mountains,  
245 making the persistence of  $\text{O}_3$  and CO during summer favorable. The vertical velocity plots show  
246 that the monsoonal convection responsible of uplift of pollutants from the boundary layer to the  
247 free and upper troposphere, is more prominent for latitudes  $< 30^{\circ}\text{N}$ , except for Southern India, in  
248 accordance with previous studies (e.g. Randel et al., 2010; Fadnavis et al., 2013, 2015).

### 249 **3. Tropospheric $\text{O}_3$ from MOZAIC/IAGOS**

250 The Measurements of OZone and water vapor by in-service AIRbus airCRAFT (MOZAIC) program  
251 currently known as the European In-service Aircraft for a Global Observing System (IAGOS)



252 program (Nedelec et al., 2015), has provided *in-situ* observations of ozone, water vapor, carbon  
 253 monoxide and other trace gases made from multiple commercial aircraft since 1994 (Marenco et  
 254 al., 1998; Thouret et al., 1998 <http://www.iagos.org>). In this study, we start by performing a  
 255 validation analysis of the IASI data with 363 profiles from aircraft take-off and landing, we then  
 256 check how well IASI captures the inter-annual variation of O<sub>3</sub> seen by the aircraft data and finally  
 257 we look at the monthly averaged vertical profiles of tropospheric O<sub>3</sub> over our study domain.



258  
 259 Figure 3. In red and scaled to number of observations: location of the MOZAIC/IAGOS flight  
 260 data at the different airports in our study domain and period. The “+” sign locations corresponds  
 261 to the ground stations used in Section 4 for the year 2011.

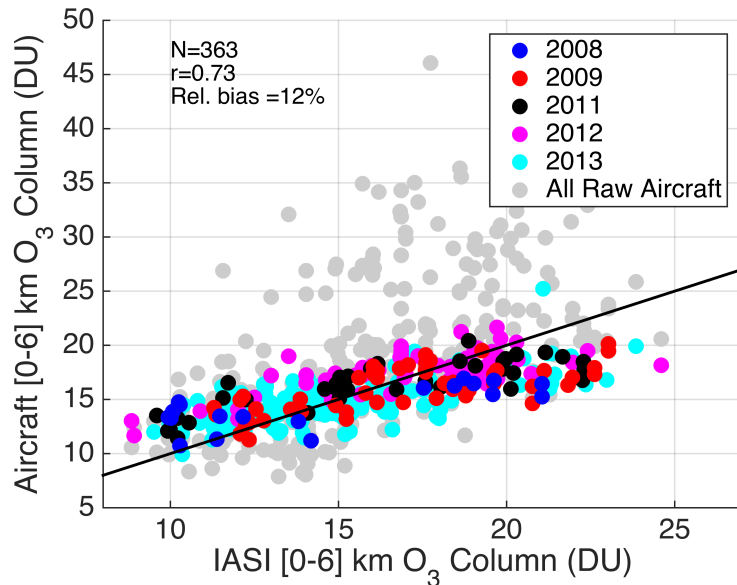
### 262 3.1 Validation of IASI data

263 The IASI-retrieved tropospheric O<sub>3</sub> product is not a real concentration profile, but an estimation  
 264 of the true profile considering the ability of the instrument to discriminate different atmospheric  
 265 layers. Therefore one cannot directly compare satellite-retrieved profiles with high-resolution *in-*  
 266 *situ* observations such as the aircraft data. Instead, each high-resolution O<sub>3</sub> profile measured by  
 267 the aircraft needs to be convolved by the low-resolution IASI averaging kernel matrix with the  
 268 *a priori* profile, following Rodgers (2000) formulation:

$$269 \quad x_{smoothed} = x_{a,IASI} + A_{IASI}(x_{aircraft} - x_{a,IASI}) \quad \text{Eq.1}$$

270  
 271 Where  $x_{smoothed}$  is the smoothed profile that uses low-resolution measurement characteristics.  
 272  $A_{IASI}$  is the low-resolution averaging kernel matrix.  $x_{aircraft}$  is the high-resolution profile given by  
 273 the aircraft, and  $x_{a,IASI}$  is the low-resolution IASI *a priori* profile constructed from the  
 274 McPeters/Labow/Logan climatology of O<sub>3</sub> vertical distribution, which combines long-term  
 275 satellite limb measurements and measurements from ozonesondes (see McPeters, et al., 2007;  
 276 Hurtmans et al. 2012). Before the smoothing, the validation profile has to cover the whole

277 retrieval altitude range, which is from the ground up to 41 km. The aircraft data profiles were  
 278 therefore completed by the same *a priori* used for IASI so that the matrix calculation of Eq. 1 is  
 279 valid. We have also used a IASI spatial coincidence criterion of  $\pm 200$  km around the position of  
 280 the aircraft ascent or descent. In this section, both IASI day and nighttime observations are used  
 281 for the validation of the aircraft data, and the temporal coincidence is  $\pm 10$ h.



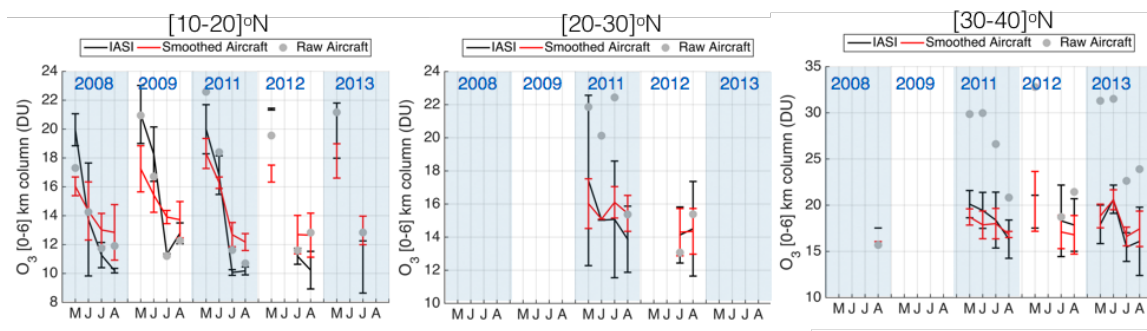
282  
 283 Figure 4. The [0–6] km O<sub>3</sub> columns retrieved from IASI correlation with 363 coincident  
 284 MOZAIC/IAGOS profiles convolved with IASI averaging kernels for the period May–August of  
 285 [2008-2013]. No aircraft data is available for 2010. Grey dots correspond to raw aircraft O<sub>3</sub>  
 286 columns before smoothing.

287  
 288 Figure 4 shows the correlation of [0–6] km O<sub>3</sub> column retrieved from spatio-temporal  
 289 coincidence of 363 IASI and MOZAIC/IAGOS smoothed profiles during May–August of [2008-  
 290 2013] (except for 2010 where no aircraft profiles were available), and over the airports located in  
 291 the study domain (see Fig. 3 for the location). Over the five years, a good agreement between the  
 292 two datasets is found with correlation of 0.73 and absolute relative bias of 12%±9%. Analysis of  
 293 each year data leads to correlations ranging between 0.7 and 0.8 and bias ranging between 11 and  
 294 19%. Our results suggest a good ability of IASI to reproduce O<sub>3</sub> variability in the troposphere  
 295 over this region. Discrepancies arise from the spatial resolution of the IASI footprint resulting in  
 296 an observation averaged over tens of kilometers around the airport and therefore may include  
 297 other surface O<sub>3</sub> contributions. Moreover, the aircraft observation takes place at different times  
 298 during the day whereas IASI observation is at around 9:30 a.m. and 9:30 p.m. local time. With its  
 299 limited sampling time during the day and its lower sensitivity towards the surface, IASI  
 300 observation is not able to capture the diurnal variation of O<sub>3</sub> like an aircraft profile. Our results  
 301 show a declined correlation between IASI and aircraft products as compared to Barret et al.  
 302 (2011) where they reported a correlation coefficient of 0.87 (12% ± 6%). This could be due to the

303 different retrieval algorithm used: *SOFRID* (Barret et al., 2011) vs *FORLI* (Hurtmans et al.,  
 304 2012). A discussion of the differences between the two algorithms can be found in Dufour et al.  
 305 (2012). Another source of difference may arise from the different season and time period studied  
 306 (Barret et al. (2011) uses a 6-month profiles over the period July–December 2008). Over the  
 307 monsoon period, and in particular in May and June, the diurnal variability of tropospheric ozone  
 308 is much more pronounced and highly dependent on the local meteorology. Therefore,  
 309 discrepancies between IASI and the aircraft profile will carry larger discrepancies given the +/-  
 310 10h coincidence criteria we used. Moreover, our study takes only the column from [0-6] km O<sub>3</sub>  
 311 column from IASI whereas the lower tropospheric column used by Barret et al. 2011 is based on  
 312 the column from the surface up to 250 hPa (~10 km) and IASI is known to have a better  
 313 sensitivity in the upper middle troposphere (Boynard et al., 2009; Safieddine et al., 2013).  
 314

315 Figure 5 shows the time series of the columns plotted in Fig. 4 averaged over three latitude bands  
 316 (the vertical bars are the standard deviation around the mean). The IASI-O<sub>3</sub> product captures very  
 317 well the inter-annual variability of the EASM as observed by the aircraft data over the different  
 318 latitude bands. The decrease in the O<sub>3</sub> columns is most important at [10-20]<sup>o</sup>N, and over the  
 319 different years, a result that can also be seen in Fig. 1. Less data is available at [20-30]<sup>o</sup>N (no co-  
 320 located observations are recorded for 2008, 2009 and 2013). For 2011 for example, a decrease -  
 321 though smaller in magnitude than the one in the [10-20]<sup>o</sup>N- is recorded both by IASI and the  
 322 aircraft observations between May and June. Between July and August, a decrease is recorded in  
 323 2011, and an increase is recorded in 2012, and both events are well captured by IASI. At [30-  
 324 40]<sup>o</sup>N, the effect of the EASM on the tropospheric O<sub>3</sub> column, as Figs. 1 and 2 showed, is weak  
 325 or not clear. IASI captures very well the variability during both 2011 (the consistent slight  
 326 decrease between May to August 2011), and 2013 (various increasing/decreasing behavior).

327



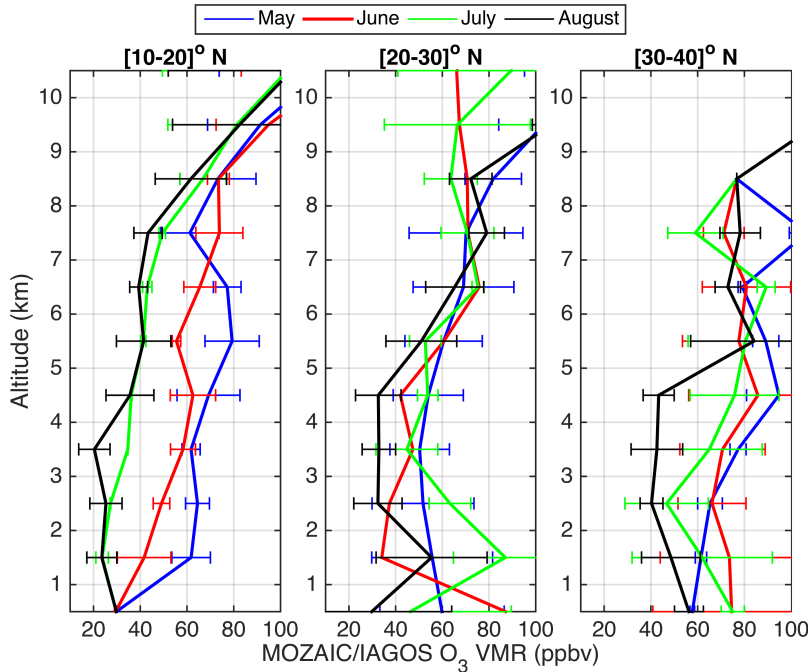
328

329 Figure 5. Time series of [0-6] km available O<sub>3</sub> columns from co-located IASI (black) and aircraft  
 330 (smoothed in red and raw in grey) data at the airports (Fig.3) averaged between [10-20]<sup>o</sup>N, [20-  
 331 30]<sup>o</sup>N and [30 40]<sup>o</sup>N from May till August of 2008 to 2013. No aircraft data was recorded in  
 332 2010.

333

### 3.2 Monsoon effect on the vertical profiles

334 We show in Fig. 6 the monthly averaged (with the horizontal bars as standard deviation) raw  
335 MOZAIC profiles during the EASM of 2011 taken as an example year.



336

337 Figure 6. Monthly averaged vertical profiles of tropospheric O<sub>3</sub> from MOZAIC during the period  
338 May–August 2011 at [10-20]°N, [20-30]°N and [30-40]°N. Error bars correspond to the standard  
339 deviation.

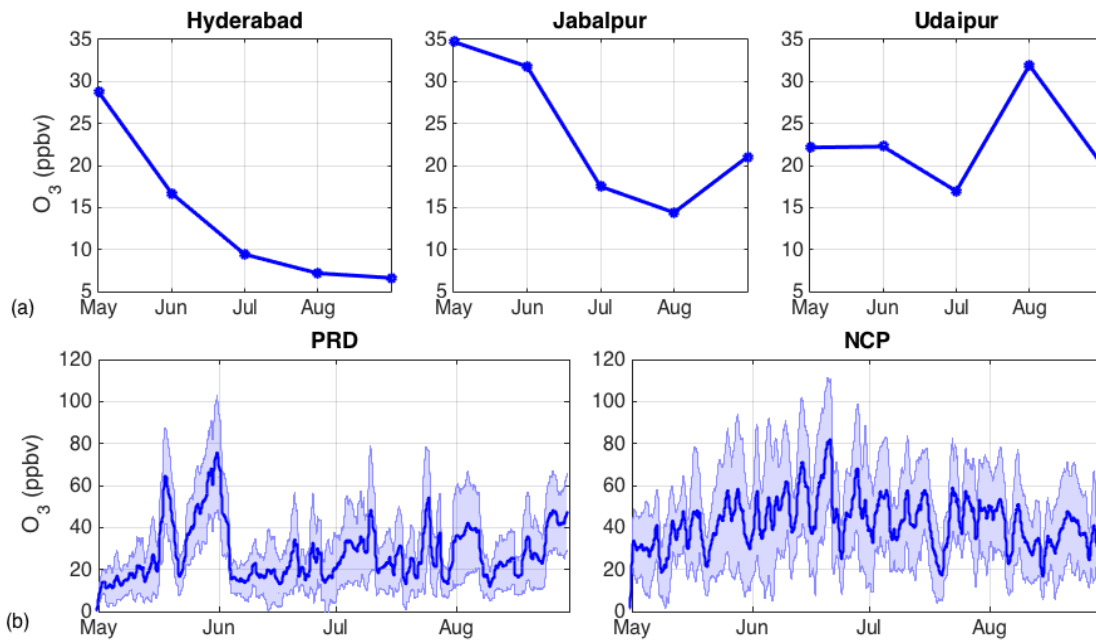
340 At [10-20]°N, and from May to June, a clear decrease of around 20 ppbv in O<sub>3</sub> volume mixing  
341 ratios (VMR) is recorded at 1 and 2 km. At higher altitudes up to around 7 km, an important  
342 decrease is also detected from May to June and then from June to both July and August. With a  
343 small standard deviation around the O<sub>3</sub> profiles, the observations at [10-20]°N, suggest consistent  
344 averaged monthly behavior and shows a decrease in the O<sub>3</sub> profile at different altitudes from 0 to  
345 7 km, which was also seen over the whole [0–6] km O<sub>3</sub> column from IASI in Figs. 1 and 2. At  
346 [20-30]°N, the O<sub>3</sub> VMR in the lower troposphere (1 to 5 km, no monsoon signature at the  
347 surface) decreases from May to June of 10-20 ppbv but then increases back in July and/or  
348 August. At [5–8] km, the different months averaged O<sub>3</sub> VMR becomes comparable. Looking at  
349 this latitude band in Fig. 2, we can see how the decrease in the lower tropospheric O<sub>3</sub> in June can  
350 be explained by the increase in the cloud cover and in particular an increase in the wind speed at  
351 850 hPa coming from the west Pacific. The profiles located at [30-40]°N, except at the surface,  
352 show a decrease in the O<sub>3</sub> VMR is detected from June to July and August (till 6 km). All three  
353 averaged profiles show no monsoon signature at the surface, probably due to enhanced O<sub>3</sub>  
354 precursor emissions near the ascent and descent of the aircraft.

355 **4 Ground-based measurements**

356 The MOZAIC profiles illustrated in Fig. 6 have shown that the effect of the EASM on  
357 surface/boundary layer O<sub>3</sub> largely depends on the location. In this section, we investigate ground  
358 station data during the monsoon of 2011 over five different locations (see Fig. 3, “+” sign). We  
359 distinguish between the Indian and Chinese stations by the sampling method. The three Indian  
360 stations shown here are provided with monthly means only. The two Chinese sites are a  
361 collection of stations and have hourly values: the data from the Pearl River Delta is the daily  
362 average of 12 stations, and the data from the North China Plain site are daily average over 7  
363 stations. Details on the location, type and sampling method of the Indian and Chinese stations as  
364 well as discussion of each of the Chinese station data are provided in Supplementary Materials.  
365 Figure 7 shows the surface O<sub>3</sub> VMR for the Indian ground stations in panel *a* and for the Chinese  
366 ground stations in panel *b*.

367  
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370

371

372 Figure 7. Ground station data during the EASM of 2011 over India: Hyderabad, Udaipur and  
373 Jabalpur in panel (a); and over China, Pearl River Delta (PRD), and North China Plain (NCP) in  
374 panel (b). The shaded region in panel (b) corresponds to the standard deviation of the 24-hour  
375 running average of the different stations in the PRD and NCP sites respectively. The location of  
376 the stations is plotted in Fig. 3, and more information is provided in Supplementary Materials.

377

378 Hyderabad shows continuous decreasing O<sub>3</sub> values from May to August, of total magnitude of 22  
379 ppbv in accordance with Fig. 6 at the same latitude band. Jabalpur is located in a region where  
380 the monsoon effect is strong as seen in Figs 1 and 2, and the O<sub>3</sub> at the surface behaves similarly,  
381 with a decrease of 20 ppbv. Udaipur lies in a region where the monsoon is milder, leading to a



382 small decrease in the summer-time O<sub>3</sub> values that decrease of 5 ppbv between May and July and  
383 then increase back in August to 32 ppbv. Panel b station data are the 24-h running average (and  
384 the associated standard deviation in shaded blue) of 12 stations in the PRD region and 7 stations  
385 in the NCP region. Since we are interested in the regional EASM effect on O<sub>3</sub>, we show the  
386 average of the stations here (the station are between 25 to 300 km away). For details and more  
387 timely resolved observations for each of the station, please check the supplementary material.  
388 The ground observations of the PRD stations, represented also by the [20-30]<sup>o</sup>N MOZAIC  
389 profiles in Fig. 6, detect a decrease of > 35 ppbv from June to July coinciding with when the  
390 northwesterly winds from the Pacific become stronger (see Fig. 2). The O<sub>3</sub> VMR increase slightly  
391 afterwards during July and August due to the decrease in monsoon strength over this region (also  
392 seen in Fig. 2). Panel b for the NCP stations shows a weak monthly decrease in O<sub>3</sub> concentrations  
393 from June to July and August of 5–10 ppbv. The IASI and meteorological data presented in Fig.  
394 2, also suggest the same decreasing pattern in O<sub>3</sub> concentrations driven by the slight increase in  
395 cloud cover.

396  
397

## 5. Conclusions

398 The East Asian Summer Monsoon plays an important role in changing the pollutants  
399 concentration as well as the weather and climate system over the monsoon regions leading to  
400 effects on the global air quality and climate system (Rodwell and Hoskins, 2001). The study of  
401 the dynamics and variability of the East Asian monsoon provides useful information to analyze  
402 the distribution and losses of pollutants such as tropospheric O<sub>3</sub>. The latter is shown to have a  
403 particular seasonal variation over south and East Asia due to the monsoon. This study shows that  
404 the monsoon variability is recorded and well captured over the different years by the infrared  
405 remote sensor IASI during [2008-2013]. The IASI [0-6] km O<sub>3</sub> columns decrease starting the  
406 months of May/June of each year, and reach a minimum in July-August. This decrease is most  
407 prominent in south Asia where the monsoon is stronger. In order to assess the monsoon  
408 meteorological signature on tropospheric O<sub>3</sub>, we compare tropospheric O<sub>3</sub> to cloud cover, relative  
409 humidity and wind fields from the ERA-interim archive during the monsoon of 2011 taken as an  
410 example year. We add CO total columns from IASI to check if the regions showing persistence of  
411 ozone are stimulated by the presence of anthropogenic precursors. Over most of the domain, clear  
412 inverse relationship is seen between the IASI [0-6] km tropospheric O<sub>3</sub> on one hand and cloud  
413 cover and winds on the other hand. This explained by the fact that the high cloud cover that the  
414 monsoon generates, accompanied with high relative humidity in the troposphere lead to a lower  
415 production rate since the photochemical activity will be much lower and relative humidity is a  
416 sink of O<sub>3</sub> in particular in the background troposphere. On the other hand, the winds are also  
417 strong during the monsoon and O<sub>3</sub> during this period can be transported either vertically, or  
418 horizontally to/from the Pacific and to the globe. Validation of the IASI-O<sub>3</sub> columns with aircraft  
419 data shows a good correlation ( $r=0.73$  (12%)) between the [0-6] km columns from IASI to that  
420 derived from 363 aircraft profiles. IASI is shown to reproduce very well the year-to-year  
421 variability in O<sub>3</sub> seen by the aircraft data. A monsoon signature is detected on the O<sub>3</sub> profiles over

422 the different latitude bands of our study domain that is in agreement with the IASI [0-6] km O<sub>3</sub>  
423 column spatial distribution. Ground station measurements of O<sub>3</sub> also show spatial dependence  
424 and are anti-correlated to the EASM strength. The stations in central and north India as well as in  
425 Pearl River Delta show a signature of the monsoon on ground O<sub>3</sub> that can be explained by the  
426 change in meteorology over these regions. In northwest India, and the North China plain, winds  
427 are weaker, and the cloud cover is smaller and thus the ground O<sub>3</sub> shows a smaller decrease  
428 during the EASM.

429 More generally, this study shows that in lack of good coverage of ground and aircraft  
430 measurements, satellite observations, such as the one provided in this work using IASI, provide  
431 valuable information that could help investigating the regional and global monsoon impact on the  
432 distribution of pollutants in the troposphere and in particular tropospheric O<sub>3</sub>. With IASI-C to be  
433 launched in 2018 onboard MetOp-C and a new series of three IASI-NG instruments on MetOp  
434 Second Generation Satellites around 2022 (Crevoisier et al., 2014), long term IASI O<sub>3</sub> time series  
435 obtained at high spatial resolution will be available to characterize and detect the onset and the  
436 progression of the monsoon.

437  
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