Manuscript prepared for Atmos. Chem. Phys. Discuss. with version 2015/09/17 7.94 Copernicus papers of the LATEX class copernicus.cls. Date: 2 March 2016

# Synergistic use of Lagrangian dispersion and radiative transfer modelling, and satellite and surface remote sensing measurements for the investigation of volcanic plumes: the Mount Etna eruption of 25–27 October 2013

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#### **Abstract**

In this paper we combine SO<sub>2</sub> and ash plume dispersion modelling, satellite and surface remote sensing observations to study the regional influence of a relatively weak volcanic eruption from Mount Etna on the optical and micro-physical properties of Mediterranean aerosols. We analyse the Mount Etna eruption episode of 25-27 October 2013. The evolution of the plume along the trajectory is investigated by means of the FLEXible PARTicle Lagrangian dispersion (FLEXPART) model. The satellite dataset includes true colour images, retrieved values of volcanic SO<sub>2</sub> and ash, and estimates of SO<sub>2</sub> and ash emission rates derived from MODIS (MODerate resolution Imaging Spectroradiometer) observations, and estimates of cloud top pressure from SEVIRI (Spinning Enhanced Visible and InfraRed Imager). Surface remote sensing measurements of aerosol and SO<sub>2</sub> made at the ENEA Station for Climate Observations (35.52° N, 12.63° E, 50 m a.s.l.) on the island of Lampedusa are used in the analysis. The combination of these different datasets suggests that SO<sub>2</sub> and ash, despite the initial injection at about 7.0 km altitude, reached altitudes around 10-12 km and influenced the column average aerosol particle size distribution at a distance more than 350 km downwind. This study indicates that even a relatively weak volcanic eruption may produce an observable effect on the aerosol properties at the regional scale. The impact of secondary sulphate particles on the aerosol size distribution at Lampedusa is discussed, and estimates of the clear sky direct aerosol radiative forcing are derived. Daily shortwave radiative forcing efficiencies are calculated with the LibRadtran model. They are estimated between -39 and -48 W m<sup>-2</sup> AOD<sup>-1</sup> at the top of the atmosphere, and between -66 and -49 W m<sup>-2</sup> AOD<sup>-1</sup>, at the surface, with the variability in the estimates mainly depending on the aerosol single scattering albedo. These results suggest that sulphate particles played a large role, while the contribution by ash particles was small in the volcanic plume arriving at Lampedusa during this event.

#### 1 Introduction

Volcanic eruptions influence tropospheric and stratospheric composition (see, e.g., von Glasow et al., 2009), the Earth's radiation budget from the regional to the global scale, and the Earth's climate (see, e.g., Robock and Oppenheimer, 2003). Strong explosive eruptions have been observed to produce a cooling of the Earth, primarily due to long-lived highly reflecting stratospheric sulphate aerosols and their influence on the radiative budget (McCormick et al., 1995; Hamill et al., 1997; SPARC, 2006). While the impact of the strong explosive eruptions reaching the stratosphere is relatively well known, the influence of the more frequent weak volcanic activity on the tropospheric aerosol properties and on the radiation transfer is still largely unknown (Oppenheimer et al., 2011).

A number of studies show that moderate volcanic eruptions may potentially affect tropospheric composition and air quality (see, e.g., Satsumabayashi et al., 2004; Colette et al., 2011). They may also impact aerosol (see, e.g., Huebert et al., 2001; Waquet et al., 2014) and cloud properties (see, e.g., Gassó, 2008), and affect the regional radiation budget (see, e.g., Flanner et al., 2014), also at relatively distant locations and for relatively long time periods. These effects depend on emission strength, injection altitude, life cycle of the different compounds, and local and regional dynamics. Most of the radiative effects of moderate eruptions are associated with changes in the aerosol particle size distribution, composition, and shape. Emission of primary particles, mainly ash, and secondary aerosols, mainly through gas-to-particle conversion of SO<sub>2</sub>, contribute to affect the aerosol properties.

The formation of sulphate aerosol and its life cycle in the troposphere, in particular, are among the main unknown processes. The emitted sulphur dioxide oxidizes to gaseous sulphuric acid, which, in presence of water vapour and neutralising species, can nucleate and then coagulate and grow, to form liquid droplets of aqueous solutions containing variable amounts of sulphuric acid (von Glasow et al., 2009). How nucleation is initiated at the molecular scale, especially in the volcanic plume conditions (e.g., elevated concentrations of water vapour, which is thought to be a key parameter in nucleation, Vehkamäki et al., 2002) is still largely unknown (Andreae, 2013; Kulmala et al., 2013). The gas-to-particle

conversion can occur with very different time-scales (see, e.g., Oppenheimer et al., 1998). The sulphate aerosol lifetime can largely vary, from few a days in the lower troposphere to a few months in the upper troposphere (Stevenson et al., 2003). The regional effect of moderate tropospheric eruptions on the radiation transfer is consequently not well characterized. In addition, present climate models are not able to simulate the overall effect of this relatively weak volcanic activity. Although quiescent degassing and moderate eruptions produce non-negligible effects (Graf et al., 1997), very few studies investigate their impact at the global scale (Santer et al., 2014; Schmidt et al., 2014). A better understanding of the impact of small eruptions on the optical and micro-physical aerosol properties is mandatory to bridge the relatively weak volcanic activity to their impact at the regional to global scale

In the context of its quasi-continuous relatively weak volcanic activity, Mount Etna, due to its high-degassing-rate alkalinic basalt magma (Gerlach, 1991), stands as a significant emitter of volatile sulphur compounds, estimated to be  $0.7\times10^6\,\mathrm{Mg}$  (sulphur) yr $^{-1}$  (Allard et al., 1991). This value is about ten times larger than the anthropogenic sulphur emissions in the Mediterranean area (Graf et al., 1997). Etna is thus an important source of particles and gases for the Mediterranean atmosphere.

A large effort is dedicated by the international community to the understanding of the atmospheric composition and aerosol in the Mediterranean, and their effects on climate. In particular, a large activity is being carried out on these topics within ChArMEx (the Chemistry-Aerosol Mediterranean Experiment; http://charmex.lsce.ipsl.fr/). As part of ChArMEx, a large measurement campaign took place in the western and central Mediterranean in summer 2013 (Mallet et al., 2016), and an extended observation period (EOP) took place from 2011 to 2013. This study contributes to the objectives of ChArMEX by investigating the role played by frequent moderate emissions from Etna on the aerosol composition and the radiation transfer at the regional scale. The event analysed in this study occurred within the ChArMEx EOP, and its characterization helps the understanding the contribution of different sources and different aerosol types to the Mediterranean aerosols.

The impact of volcanic emissions on the tropospheric aerosol properties at the regional scale can be investigated using satellite observations, which also allow, thanks to their spa-

tial coverage, to follow the plume evolution. However, satellite measurements usually have a limited sensitivity to aerosols and their precursors, e.g., sulphur dioxide. In addition, they hardly provide detailed information on crucial parameters, e.g., on the  $SO_2$  vertical distribution and on the ash size distribution. For relatively weak volcanic activity, aerosol and  $SO_2$  amounts are often close to or below the detection limit of instruments onboard satellites. Modelling tools can supply further information, provided that input parameters are carefully selected. Unfortunately, many processes (e.g., dynamical, chemical, micro-physical processes) are still characterised by a poor fundamental knowledge. More detailed information on the downwind impact can be provided by ground-based instruments at selected locations, but, in this case, a limited information on the transport and evolution of the emitted gases and particles is available.

Thus, a reasonable approach consists in exploiting the synergy of observations and simulations of the dynamical, chemical, and micro-physical evolution of the plumes. This approach may be particularly useful to study the effects of relatively weak non-explosive volcanic activity. The downwind impact of volcanic activity can be monitored by ground-based stations, depending on the dynamics of the plume. Efforts for the synergistic use of satellite observations with ground-based measurements and/or modelling have been recently proposed (Webley et al., 2012; McCormick et al., 2014).

In this paper, we apply this synergistic approach to study the moderate eruption of Mount Etna that occurred on 25–27 October 2013. We show how this approach allows a more complete characterization of such a kind of eruptive event, from emissions to downwind impact. We exploit the information coming from different observation and modelling sources: (a) quantitative information on the emissions, obtained from satellite observations, (b) information on the volcanic plume horizontal and vertical dispersion, obtained by a combination of satellite observations and Lagrangian modelling, (c) information on the downwind time-dependent modifications of the aerosol optical and micro-physical properties, obtained by surface remote sensing observations at the island of Lampedusa. Lampedusa was downwind the plume for this event, due to the prevailing dynamics, and at a relatively large distance (about 350 km).

The paper is organized as follows. In Sect. 2 we introduce the instruments, the data and the methods used in our work. In Sect. 3 we give a qualitative description of the eruption event under investigation. In Sects. 4 and 5 we characterize the sulphur dioxide and ash plume and their dispersions. In Sect. 6 we show and discuss the remote sensing observations at the ground station of Lampedusa, one of the supersites of the ChArMEx experiment. In Sect. 7 we propose a range of variability of the clear sky direct radiative forcing of the aerosol plume, depending on its optical properties and vertical distribution. In Sect. 8 we give conclusions.

#### 2 Methods

# 2.1 Synergistic use of the different information layers

The different modelling and observational methods described in the present Sect. 2 are used together to provide a comprehensive description of the eruptive event under investigation. In addition, different synergies are exploited, e.g., the needed input information for one method are obtained from the output of a different method, or different information layers are concurrently used to obtain a clearer picture of the certain processes when a complete view cannot be obtained with one method alone. A scheme of these contributions and synergies is in Fig. 1. These contributions and synergies are listed from a) to h), and then referenced to throughout the text.

It is worth noticing that, for a more complete understanding of the downwind impact of volcanic emissions, two important information layers would be the ones describing the spatial distributions (satellite observations) and the formation/evolution processes (chemistry/micro-physics modelling) of the sulphate aerosols produced by the conversion of volcanic  $SO_2$  emissions. Works are ongoing to provide these further information layers.

#### 2.2 Satellite data

# 2.2.1 Sulphur dioxide retrieval and $SO_2$ /ash emissions rate inversion by MODIS TIR data

The MODerate resolution Imaging Spectroradiometer (MODIS) is a multi-spectral instrument onboard both the NASA (National Aeronautics and Space Administration) Terra and Aqua polar satellites (http://modis.gsfc.nasa.gov/). MODIS has 36 spectral bands from the VISible (VIS) to the Thermal InfraRed (TIR), a swath width of 2330 km and a repetition cycle of 1 or 2 days. The spatial resolution in the TIR channels used for the sulphur dioxide total column retrieval is  $1 \, \mathrm{km} \times 1 \, \mathrm{km}$ .

The  $SO_2$  total column retrieval by MODIS is based on the sulphur dioxide wide absorption around 8.6  $\mu m$  (MODIS channel 29). The retrieval scheme used in this study is based on the Volcanic Plume Removal (VPR) approach developed for the Etna volcano (Pugnaghi et al., 2013). The VPR procedure also takes into account the ash influence on  $SO_2$  estimates by using the channels centred around 11 and  $12\,\mu m$  (MODIS channels 31 and 32) (Corradini et al., 2009). Volcanic cloud top altitude and temperature are needed as input in the retrieval scheme. The cloud top temperature has been assumed to correspond to the brightness temperature of the volcanic plume most opaque pixel (Prata and Grant, 2001; Corradini et al., 2010). The cloud top altitude was obtained by comparing the brightness temperature with the atmospheric temperature profile measured from the WMO (World Meteorological Organization) meteorological station based in Trapani (about 200 km West of Mount Etna,  $37.92^{\circ}$  N,  $12.50^{\circ}$  E), on the same day of the MODIS measurements at approximately the same time (radio-sounding of 26 October at 12:00 UTC; all times are reported here in UTC).

A single satellite image contains the signature of volcanic emissions at a specific time. The  $SO_2$  and ash emission rates at vents can be reconstructed by defining a volcanic plume axis, along the plume, and transects, perpendicular to the axis, on the  $SO_2$  and ash (this latter not shown here) retrieval maps. The emission rates are obtained by multiplying the integral of the columnar abundance along each transect by the wind speed at the plume altitude (Pugnaghi et al., 2006; Corradini et al., 2009; Merucci et al., 2011; Theys et al., 2013).

This method implicitly assumes an infinite lifetime for  $SO_2$  and ash during the emissions inversion. This could lead to an underestimation of the emission rates.

We wish to mention that  $SO_2$  columns may also be obtained from high spectral resolution TIR observations, like those from IASI (Infrared Atmospheric Sounding Interferometer) (see, e.g., Clarisse et al., 2012) or TES (Tropospheric Emission Spectrometer). In principle, a better spectral resolution potentially leads to smaller retrieval errors. In any case, for the present study, MODIS observations have been preferred due to a better spatial resolution and coverage, which allow a more direct comparison and synergy with the Lagrangian modelling described in Sect. 2.3.1.

#### 2.2.2 Ash retrieval from MODIS TIR data

The retrieval algorithm (Dubuisson et al., 2014) is based on the split window technique and uses MODIS brightness temperatures at 11 and 12 µm and accurate radiative transfer calculations with a fast radiative transfer code using the discrete ordinate method (FASDOM) (Dubuisson et al., 2005). In this algorithm, pixels are first classified as containing volcanic aerosol using a detection threshold on brightness temperatures. A set of brightness temperatures is then pre-calculated with the FASDOM code, for the channels at 11 and 12 µm, using realistic aerosol properties and the atmospheric profile obtained from atmospheric soundings performed at Trapani. The effective radius r<sub>e</sub> used in the calculations varies from 0.5 to 20.0  $\mu$ m, and the aerosol optical thickness  $\tau_a$  at 12  $\mu$ m from 0 to 10. Look-Up tables of single scattering optical properties for several mineral compositions (andesite, obsidian, quartz, ash, hematite, basalt) and sulphate aerosols are used. The optical properties are calculated with the Mie theory using the complex refractive indices reported in the HITRAN database (Rothman et al., 2013). Within each considered pixel,  $\tau_a$  and  $r_e$  are obtained from the observed brightness temperatures at 11 and 12 µm through linear interpolation from the set of pre-calculated brightness temperatures. For a given pixel, a solution is sought separately for each particle type; the retrievals are then averaged.

## 2.2.3 Cloud top pressure observations from SEVIRI

The Spinning Enhanced Visible and Infrared Imager (SEVIRI) onboard MSG (METEOSAT Second Generation) geostationary satellites (http://www.eumetsat.int/website/home/Satellites/index.html) is a visible and infrared multi-channel imager which is operated on a 15-min repeat cycle. The sub-satellite point spatial resolution is  $3 \times 3 \, \text{km}^2$ , and the pixel dimension in our region of interest (near Lampedusa) is about  $4.3 \times 3.3 \, \text{km}^2$ .

The cloud top pressure used here is obtained from the Satellite Application Facility for NoWCasting (SAFNWC) algorithm developed by Derrien and Le Gléau (2005, 2010) for MSG-SEVIRI. The SAFNWC algorithm requires, as ancillary inputs, surface height maps, land/sea mask, climatological maps of SST (sea surface temperature), continental reflectance maps, temperature and humidity profiles. Recently, this algorithm was adapted to other geostationary data and verified using CALIOP (Cloud-Aerosol Lidar with Orthogonal Polarization) data (Sèze et al., 2014). The first two steps of the SAFNWC algorithm, cloud detection and classification, rely on multi-spectral threshold tests applied at the pixel scale to a set of spectral and textural features. Thresholds are tuned to the radiometer's spectral windows with respect to simulated signals in cloud free conditions.

Cloudy pixels are separated into two classes: (1) fractional cloud and high-altitude semi-transparent cloud, (2) low, medium, and high thick clouds. Using the same technique, pixels falling in the first class are divided in fractional, high semi-transparent cloud, and high semi-transparent in a multi-layered cloud system. The opaque cloud top pressure is retrieved from the 10.8  $\mu m$  brightness temperature and ancillary temperature and humidity profiles. For low and middle clouds, tests are applied to place the cloud top level below an existing temperature inversion. A correction for semi-transparency is applied to the high thin clouds using two IR channels, a window, and a sounding channel (13.4, 7.3, or 6.2  $\mu m$ ), as in the paper by Schmetz et al. (1993). No pressure information is available for pixels belonging to the partially cloudy class; clouds partly covering these pixels can be at any level.

#### 2.3 Model simulations

#### 2.3.1 Plume dispersion simulations with FLEXPART

We simulate the dispersion of the sulphur dioxide and ash plumes with the Lagrangian dispersion model FLEXPART (FLEXible PARTicle dispersion model) (Stohl et al., 2005). Both sulphur dioxide and ash simulations are initialized with the emissions rates estimated by MODIS using the methods described in Sect. 2.2.1 (synergy a) in Fig. 1). Our FLEXPART simulations use European Centre for Medium-Range Weather Forecasts (ECMWF) meteorological data at  $0.1^{\circ} \times 0.1^{\circ}$  horizontal resolution and 137 height levels (100–200 m vertical resolution in the troposphere, 1–2 km in the lower stratosphere). The simulations extend over the period of the main eruption activity, concurrent to the availability of the emissions, from 26 October, 05:00 to 26 October, 12:20. The simulations of the sulphur dioxide plume dispersion are prolonged to 26 October, 21:00 to analyse the further dispersion of the SO<sub>2</sub> plume. The sulphur dioxide emissions are assumed to be constant at a very small degassing background after 12:20; as it can be seen from the discussion of the event in Sect. 3, most of the sulphur dioxide emission is over by that time. The FLEXPART outputs are averaged over 20 min intervals and are given at 16 altitude levels, from the surface to 14 km altitude, with a vertical resolution ranging from 0.5 to 2.0 km.

The lifetime of the  $SO_2$  is determined by dry and wet deposition and chemical losses for the oxidation to sulphuric acid. It can be roughly estimated from the generic residence time equation (see, e.g., McCormick et al., 2014):

$$\tau = \frac{M}{Q} \tag{1}$$

where  $\tau$  is the lifetime, M is the SO<sub>2</sub> mass loading and Q is the SO<sub>2</sub> emission rate. From mass loading and emission rates derived from MODIS (see Sect. 2.2.1), we derived an SO<sub>2</sub> lifetime of 6.7 h for the image of 26 October 2013, 12:20 (synergy b) in Fig. 1). We then considered a fixed lifetime of 6.7 h (loss rate of about  $4.2 \times 10^{-5} \, \mathrm{s}^{-1}$ ) for the sulphur dioxide in our simulations for that day. It must be noted that using a fixed value for the SO<sub>2</sub> lifetime

is a simplified approach and more refined estimations (time- and atmospheric parameters-dependent) could be obtained using a detailed chemistry modelling, as mentioned in Sect. 2.1. It must also noted that, as stated in Sect. 2.2.1, the emission rate Q is probably underestimated and then the lifetime  $\tau$  could be overestimated. Estimates of the SO<sub>2</sub> lifetime and loss rate were previously obtained in several measurement campaigns. The observed values of loss rates are largely variable, and range, worldwide, from about  $3 \times 10^{-7}$  to  $10^{-3}$  s<sup>-1</sup> (Oppenheimer et al., 1998). Values between about  $3 \times 10^{-6}$  to  $4 \times 10^{-5}$  s<sup>-1</sup> are specifically found for Mount Etna (Oppenheimer et al., 1998). Quicker loss rates, up to  $10^{-3}$  s<sup>-1</sup> can occur in cases of high humidity or high ash burden in the plume (McGonigle et al., 2004). The value of sulphur dioxide loss rate we obtain is consistent with previous determinations for Mount Etna. An SO<sub>2</sub> point injection at 7000 m has been assumed. As pointed out later, SEVIRI cloud top observations fully confirm this assumption (synergy e) in Fig. 1).

Ash simulations take into account both gravitational settling, and (wet and dry) deposition. However, ash aggregation processes are not taken into account. Six ash classes are modelled, based on a typical ash size distribution obtained with the mk releases, froutine (courtesy of Nina Kristiansen and Andreas Stohl), based on the work of Mastin et al. (2009). The central radii and the percent population of each class in the ash distribution are listed in Table 1. This distribution can be roughly approximated with a bi-modal log-normal size distribution (geometric mean radii of 1.0 and 10.0 µm, geometric standard deviation of 2.3 and 2.0, respectively), representing both fine and coarse ash particles. This size distribution is consistent with that observed in deposited ash and from airborne (see, e.g., Stohl et al., 2011) or remote sensing observations. Sun photometric measurements (see, e.g., Watson and Oppenheimer, 2000) performed at Mount Etna have shown a 3-modal log-normal aerosol size distribution, with a coarser mode with a mean radius greater than 5 µm, which is partially attributed to ash during relatively weak activity phases. Coarse modes with higher mean radii, greater than 10 µm, are observed in eruptive size distributions, (e.g., at Mount Redoubt) and attributed to ash particles (Hobbs et al., 1991). In addition, a campaign has been conducted at the beginning of October 2013, to characterize Etna's emissions with in-situ measurements of different gases and aerosols properties. Bi- and tri-modal aerosols size distributions were observed, with the coarser modes identified as ash (T. Roberts, personal communication). These latter modes exhibited log-normal distributions with mean radii and widths similar to the ash size distribution used in our simulations. These direct observations at Etna, for a period of only a few days before our study, justify our ash size distribution assumption, even if coarser particles can be observed during an eruption event. Following Mastin et al. (2009), the vertical distribution of the emissions is modelled in 4 layers, from the Mount Etna summit (about 3300 m above sea level) to the plume height, 7000 m above sea level for our simulations, with a maximum of the ash injection (60 %) at the plume top altitude and rapidly decreasing injection quantities in the lowest 3 levels (25, 10, 5 % at about 6000, 5000 and 4000 m).

#### 2.3.2 Radiative transfer simulations with LibRadtran/UVSPEC

We investigate the possible radiative impact of the Mount Etna plume for the event under investigation by estimating the shortwave clear sky direct radiative forcing at the surface and at the top of the atmosphere (TOA) with the radiative transfer model UVSPEC and the LibRadtran package (Mayer and Kylling, 2005). The method chosen for the solution of the radiative transfer equation is SDISORT, the pseudo-spherical approximation of the discrete ordinate method (DISORT) (Dahlback and Stamnes, 1991). Surface and TOA spectra are calculated in the shortwave spectral range, from 300.0 to 3000.0 nm, at 0.1 nm spectral resolution. The input solar flux spectra is described in Kurucz (1994). Vertical profiles of temperature, pressure, humidity and gases concentration are derived from the AFGL (Air Force Geophysics Laboratory) climatological standard atmosphere (Anderson et al., 1986). Molecular absorption was parametrized with the LOWTRAN band model (Pierluissi and Peng, 1985), as adopted from the SBDART code (Ricchiazzi et al., 1998). The aerosol optical and micro-physical properties are based on prescribed aerosol models, as described by Shettle (1989). We have selected a moderate volcanic aerosol layer at altitudes higher than 2.0 km, among the available aerosol models. Then the optical characterization of the layers can be refined by means of the extinction coefficient vertical profile, single scattering albedo, Angström parameters and asymmetry factor. In this case we have corrected the

aerosol optical properties by means of observed single scattering albedo, the observed Ångström exponent, the observed asymmetry parameter (synergy g) in Fig. 1), and the modelled vertical distribution (synergy f) in Fig. 1). A detailed description of the aerosol set-up in the model is given in Sect. 7. The surface albedo (independent from wavelength) is set to 0.09, as done by Meloni et al. (2003)

The UVSPEC model gives as outputs the downward direct and diffuse, and the upward diffuse irradiances. In general, the shortwave aerosol radiative forcing at a fixed altitude is the difference between the net flux (downward minus upward irradiance) with and without aerosols, integrated over the shortwave spectral range. The shortwave TOA radiative forcing  $\Delta F_{\text{TOA}}$  of a given aerosol layer is then defined as the upward diffuse irradiance for a baseline simulation without aerosols minus that with aerosols, integrated over the whole spectral range. The shortwave surface radiative forcing  $\Delta F_{\text{surf}}$  is defined as the downward global (direct plus diffuse) irradiance with aerosols minus baseline, integrated over the whole spectral range.

# 2.4 Surface remote sensing observations at Lampedusa

Many parameters related to climate are routinely measured at the ENEA Station for Climate Observations on the small island of Lampedusa (35.5° N, 12.6° E), in the central Mediterranean. The measured parameters include greenhouse gases (Artuso et al., 2009), aerosol optical and chemical properties (Di Iorio et al., 2009; Marconi et al., 2014), total ozone, spectral and broadband irradiances (Meloni et al., 2005a; Di Biagio et al., 2010; di Sarra et al., 2011).

A Brewer double monochromator (Kerr et al., 1985), model MK III, is operational at Lampedusa since late 1997. Column sulphur dioxide measurements used in this study were derived from direct sun solar radiation measurements at several UV wavelengths; sulphur dioxide column is retrieved jointly with total ozone (e.g. Georgoulias et al., 2009).

Measurements of aerosol optical depth and Ångström exponent at several wavelengths were carried out with a Cimel sun-photometer, and in parallel with a Multi Filter Rotating Shadowband Radiometer (MFRSR) (e.g. di Sarra et al., 2011, 2015). The Cimel sun-

photometer is part of the AErosol RObotic NETwork (AERONET, see the AERONET website http://aeronet.gsfc.nasa.gov and the description by Holben et al. (1998) for further details). The total aerosol optical depth is separated into the fine mode and coarse mode components by means of the Spectral Deconvolution Algorithm (SDA), developed by O'Neill et al. (2003). In addition, size distributions are derived from almucantar observations (Dubovik and King, 2000).

## 3 The eruption of Mount Etna of 25–27 October 2013

The eruption explored in this study occurred between 25 and 27 October 2013. The plumes of emitted ash and gases were observable for a few more days. This eruption was the 14th episode occurring in 2013, and the 39th of the eruptive sequence started in January 2011 (Calvari et al., 2011; Patanè et al., 2013). Figure 2 shows the episode (from the point of view of the plumes) by means of a composition of four Aqua- and Terra-MODIS true colors images (available at the website: http://modis-atmos.gsfc.nasa.gov). These true color images give a general view of the plume, which is directly observable in the visible channels (thick ash plumes, clouds). A more complete characterization of the atmosphere is obtained using MODIS thermal infrared channels and spectroscopic methods (see, e.g., Sects. 4 and 5). The eruption began at the New South East Crater (NSEC) on 25 October, at about 12:45, feeding strombolian activity initially confined to the crater. The MODIS satellite images show a very localized plume from Mount Etna on 25 October (Fig. 2a) maybe linked to this early discontinuous volcanic activity. Almost 4 h later, the number, intensity, and frequency of explosions steadily intensified evolving transitionally to a lava fountain on 26 October, about 02:00. Rapidly, a dense and sustained-thick ash plume formed, initially identified at about 7 km altitude. Most of the fallout spread south-westward across the Mediterranean Sea (INGV, 2013a). At about 06:20 the eruption of a secondary ash-rich cloud started from the North East Crater (NEC), coupled with irregular explosive activity at Bocca Nuova crater. The emitted material reached 7 km altitude. After about 10:00 the lava fountaining intensity decreased substantially, switched to strombolian activity and gradually ended at about

13:00. A thick plume is visible in the MODIS images of 26 October (Fig. 2b-c) from Mount Etna towards south-west. Ash emission from NEC became progressively moderate and intermittent, persisting until about 00:00 of 27 October, when the activity eventually stopped, as evident from Fig. 2d and later MODIS imagery. Three lava flows were emplaced on the south-east summit area of Mount Etna, remaining active until late afternoon of 26 October. The eruptive episodes produced abundant ash emissions, whose fallout dispersed hundreds of km south of the volcano (INGV, 2013b). Figure 3 shows hourly forward trajectories of the volcanic plume (Fig. 3a), i.e., the projection of the centroid of the sulphur dioxide plume on the horizontal plane, and the time evolution of its average altitude (Fig. 3b), i.e., of the projection of the centroid of the sulphur dioxide plume on the vertical axis, for the whole period under investigation, as obtained from the FLEXPART simulations. Airmasses from Mount Etna overpassed Lampedusa during 26 (in red) and 27 October 2013 (in violet). While the plume has, on average, descending trajectories from the assumed injection altitude (7 km) to lower altitudes, individual trajectories on 26 October 2013 show events of lofted airmasses, e.g., a few hours after the trajectory initialisation. This feature and its implications are thoroughly discussed in Sects. 4, 5 and 6.3.

The method described in Sect. 2.2.1 was applied to the Aqua-MODIS observations of 26 October, at 12:20, to estimate the rate of sulphur dioxide and ash emissions during the most intense phase of the eruptive event. We considered a constant wind speed of  $18\,\mathrm{m\,s^{-1}}$ . This inverse method allows the reconstruction of the emissions during the eruptive event, going several hours backwards in time. The temporal evolution of the emissions is shown in Fig. 4.

The  $SO_2$  and ash emissions started in the early morning of 26 October, and sharply increased from 08:00 to 12:00. Emission estimates for 26 October reached 1700 and  $800 \, \mathrm{kg} \, \mathrm{s}^{-1}$ , for  $SO_2$  and ash, respectively. This temporal evolution is consistent with the qualitative description of the event given by INGV (Istituto Nazionale di Geofisica e Vulcanologia) (INGV, 2013a). The  $SO_2$  emissions rates are routinely measured at Mt. Etna by INGV since year 2000, using ground-based ultraviolet spectroscopy techniques (e.g., Salerno et al., 2009a). It is well known that matching between ground- and satellite-based

SO<sub>2</sub> emissions estimations might display large discrepancies, due to plume height uncertainties, and sulphate aerosol, ash and ice in the volcanic plume, that may introduce overor under-estimates of the SO<sub>2</sub> burden in both retrievals (Boichu et al., 2015, and references therein). A direct comparison of the estimates from the two methods is beyond of the scope of this study; instead we employ the ground-based SO<sub>2</sub> emissions for classifying the magnitude of the 26 October episode. This allows a self-consistent classification of the atmospheric-impact strength of this event with respect to the eruptive style and activity, on a more-than-decennial time-scale. The mean value of the SO<sub>2</sub> emissions rate in the time interval 2001–2011 is about 2500 t d<sup>-1</sup> (tons per day) (Salerno et al., 2009b; Giammanco et al., 2013; Patanè et al., 2013), with the highest values reaching about  $30\,000\,\mathrm{t}\,\mathrm{d}^{-1}$  during major Etna's eruptive crises (INGV, 2013b). Thus, the emissions rate measured on 26 October, of about 1200 t d<sup>-1</sup>, suggests that the 26-27 October event was a relatively weak eruption with respect to the SO<sub>2</sub> emissions (synergy c) in Fig. 1). It should be noted that the ground-based spectroscopic emissions measurements were not available for few hours during the main phase of the eruption and, correspondingly, the retrieved emissions rate is likely underestimated.

# 4 Dispersion of the sulphur dioxide plume: satellite observations and Lagrangian analysis

As mentioned in Sect. 3, 26 October is characterized by the strongest activity during the case study. We therefore study in more details the emissions occurring during this day and the dispersion of the plume.

Figure 5a shows the sulphur dioxide column abundance in the Central-South Mediterranean, as obtained from the Aqua-MODIS image of 26 October 2013, 12:20. The inversion algorithm described in Sect. 2.2.1 is used, with a volcanic cloud top altitude of  $7\,\mathrm{km}$ . The  $\mathrm{SO}_2$  plume from Etna, heading south-west, is evident.

The column abundance reaches values as high as  $4.5 \,\mathrm{t\,km^{-2}}$ , in the region South of the island of Pantelleria. The plume passes about  $100 \,\mathrm{km}$  North-West of Lampedusa.

Satellite SO<sub>2</sub> observations can provide only a partial information on the sulphur dioxide height distribution, e.g., the injection altitude with an assumed vertical distribution (Carboni et al., 2012). The vertical distribution of sulphur dioxide may give important indications on the potential impact of these emissions on the aerosol composition in the area, through the formation of secondary sulphate aerosols with different lifetimes, which, in their turn, depend on altitude. The lifetime of sulphate aerosols at 35° N is considerably longer in the upper than in the lower troposphere, e.g., 1–2 months at 10 km and few days at 5 km altitude, (see Fig. 3 in Stevenson et al., 2003). Thus, the sulphate burden is more strongly affected by sulphur dioxide injected at high altitude (Graf et al., 1997). We coupled the available satellite observations with modelling of the plume dispersion to derive a more detailed description of the SO<sub>2</sub> evolution (synergy d) in Fig. 1). We performed a height-resolved analysis of the plume dispersion with the FLEXPART model (please refer to Sect. 2.3.1 for more details). The FLEXPART simulations are initialized with the sulphur dioxide emissions of Fig. 4, and take as input the SO<sub>2</sub> lifetime estimated from MODIS observations. Figure 5b shows the sulphur dioxide total column abundance from FLEXPART simulations for 26 October, 12:20.

Both the modelled and observed sulphur dioxide plumes are oriented towards southwest, and are characterized by a mean column amount between 2 and  $3\,\mathrm{t\,km^{-2}}$ . While the far-range downwind  $SO_2$  distributions in the plume are quite consistent between them, some differences in the peak position are present in the region close to the source. These differences suggest that the  $SO_2$  lifetime is longer, the time-evolution of the emissions is different, or the winds are stronger than those used in our simulation. The MODIS-derived  $SO_2$  abundances might also be underestimated near-source because of the presence of cirrus clouds, as shown in Fig. 8. Starting from the overall consistency of modelled and observed  $SO_2$  plume, we try to get more insights into its vertical distribution, using the FLEXPART simulations.

Figure 6a shows the sulphur dioxide vertical profile along section T in Figs. 5b and 6b. Figure 6b shows the spatial distribution of sulphur dioxide volume mixing ratio (VMR) in the altitude range  $10-14 \, \mathrm{km}$ .

The vertical distribution shows the presence of sulphur dioxide in the upper troposphere within the region located about 200 to 400 km downwind of Etna along *T*. By inspecting the spatial distribution of sulphur dioxide mixing ratio at 10–14 km, values of up to 60 ppt (part per trillion) are found a few tens of km from Lampedusa, and up to 300 ppt less than 100 km northwards. Values greater than about 100 ppt are considered a significant perturbation from background values, and are expected to perturb the secondary sulphate aerosol content (Doeringer et al., 2012).

The spatio-temporal evolution of the  $SO_2$  VMR in the altitude range 10–14 km is reported in Fig. 7. Maps are displayed every hour, from 09:20 to 20:20. The upper tropospheric plume appears at 10:20 and develops in the following hours moving mostly southwards. It stays in the area of Lampedusa for several hours, partly overpassing the island between 15:20 and 18:20. This is compatible with the presence of relatively long-lived sulphate aerosols over Lampedusa, starting from 26 October in the late morning and early afternoon.

To further investigate the injection altitude of the volcanic plume, we use the SEVIRI cloud top pressure product described in Sect. 2.2.3 (synergy e) in Fig. 1). These observations permit the reconstruction of the airmasses altitude evolution at high temporal resolution. The altitude of the sulphur dioxide injection and vertical motions in the proximity of the volcanic source play a role in the lifetime of the produced sulphate aerosols, in the duration of the perturbation, and in the impact on the aerosol properties at the regional scale. Figure 8 shows cloud top pressure images for selected time intervals, providing a description of the different phases of the eruption. Figure 8a-c shows the initial phases of the event. The discontinuous emissions in the first phase occurred with injection at low altitudes (cloud top altitude at 3.5 km, close to the Mount Etna summit), as appears at 05:30 (Fig. 8a); the plume developed starting from 06:00, and reached the initial injection altitude of 7.0 km in the next few tens of minutes (Fig. 8b-c); the plume then headed towards the South-West, following the prevailing winds. It is worth noting that these results confirm the assumption of 7.0 km altitude as the plume top height. This value is used to initialize both the inversion algorithms for MODIS, and the FLEXPART simulations. The SEVIRI observations show two successive events of lofted airmasses on the plume trajectory, the first in the time interval 07:00-07:30 (Fig. 8d–f), and the second in the time interval 08:45-09:15 (Fig. 8g–i). Both events are characterized by cloud tops reaching altitudes of about  $11.0 \, \mathrm{km}$ , with the lifting occurring some tens of km downwind of the Etna summit. This evidence is consistent with the sulphur dioxide vertical concentration profile along T shown in Fig. 6a, where a region of lofted airmasses can be observed at grid-points 0-150, in the first about  $100 \, \mathrm{km}$  along T (from Mount Etna to southern Sicily). Thus, the SEVIRI observations fully justify the presence of sulphur dioxide emitted from Mount Etna at altitudes higher than the initial injection.

# 5 Dispersion of the ash plume: satellite observations and Lagrangian analysis

Similarly to the sulphur dioxide plume, we characterize the ash plume and its dispersion by means of MODIS observations and FLEXPART calculations (synergy d) in Fig. 1). Figure 9a shows the spatial distributions of optical depth at 550 nm, obtained using the inversion algorithm described in Sect. 2.2.2.

The mineralogical composition of the ash plume is primarily quartz, hematite, andesite, and basalt. The mean plume optical depth is 0.34. The spatial distribution of the optical depth is quite consistent with the sulphur dioxide plume described in Sect. 4. The ash plume has a similar downwind orientation towards the South-West. The optical depth has values higher than 1.5 near the source and decreases rapidly to values smaller than 0.2 downwind. Satellite ash observations in the TIR are only sensitive to coarse particles (Stephens, 1994). A relatively detailed size-resolved modelling of the ash plume, especially for the fine ash component, is however crucial to assess the impact of moderate volcanic eruptions on downwind aerosol micro-physical and optical characterization. This would allow disentangling the impact of the sub-micron sized sulphate aerosols, possibly formed from the sulphur dioxide gas-to-particle conversion, from that of the fine ash component.

The FLEXPART model is initialized with the ash emissions shown in Fig. 4 and with the size distribution of Table 1. We recall that we considered a typical size and vertical distribution and simulated 6 aerosols classes, as a function of size (see Table 1). The use

of a given size distribution allows us to separately investigate the dispersion for the different size classes. The modelled total ash column abundance is shown in Fig. 9b. We summed the concentrations of classes 3, 4, and 5, at which TIR measurements are more sensitive, (e.g. Fig. 1 of Stohl et al., 2011), to compare with the MODIS observations. The shape and the relative amounts along T are quite consistent with the MODIS observations of Fig. 9a, with column abundances peaking at the source and decreasing along T.

The vertical distribution along T is shown in Fig. 10 for the 6 size classes. The same lifting structures observed in the simulations of the  $SO_2$  plume and identified by the SEVIRI cloud observations are observed for the ash plume dispersion. The behavior of fine ash can be associated with the evolution of class 1 particles (central radius of  $0.2\,\mu\text{m}$ ). The fine ash seems not capable to reach the area of Lampedusa (gridpoint 340–350 along T, a few tens of km North of Lampedusa). Also for the other classes, the impact of the ash particles seems more local, with steep negative gradients of the ash concentrations along T for all the 6 classes. The smaller ash versus  $SO_2$  emission rates for this event, and the very limited contribution of the finer particles (1 % for the class 1 – central radius at  $0.2\,\mu\text{m}$ , see Table 1) for a typical ash distribution at Mount Etna are responsible for the relatively small-range impact of finer ash particles. Here we want to stress that the fine ash is not directly observable by TIR measurements, due to their scarce sensitivity to small particles. Thus, the combined approach based on the synergy of modelling and observations may allow a better insight of the phenomenon.

# 6 Plume downwind signature at Lampedusa and discussion

The effects of the volcanic emissions downwind Mount Etna can be observed at the central-Southern Mediterranean station of Lampedusa. This station is well suited for the analysis of this specific event, due to the prevailing meteorology during the period 25–27 October 2013. As shown in Fig. 2e, airmasses from Mount Etna overpass Lampedusa during 26 and 27 October 2013, and it is reasonable to search for a signature of this eruptive event on the sulphur dioxide and aerosol measurements at Lampedusa. It is worth mentioning

that Lampedusa is one of the supersites involved in the ChArMEx experiment (Mallet et al., 2016).

## 6.1 SO<sub>2</sub> observations

We first investigate the Brewer SO<sub>2</sub> measurements. Thanks to the frequent cloud-free conditions occurring in late October, many valid direct Sun measurements (more than 30 per day) were obtained during the event. The total sulphur dioxide displayed a limited variability during the period (not shown here), with moderately low amounts (generally below 2.5 DU Dobson Units). No strong increase is observed in the days of the eruption. However, when calculating the 5-day running mean of the daily total sulphur dioxide measurements, a small enhancement is found (5-day average of about +2.0 DU throughout the period 27-31 October). These observations suggest a limited direct impact at Lampedusa of the sulphur dioxide emissions from Etna. While our FLEXPART simulations show the presence of sulphur dioxide in the Lampedusa area, particularly at higher altitudes, their concentrations might be under the detection limit of the Brewer total SO<sub>2</sub> column observations. It is worth mentioning that the SO<sub>2</sub> plume following this eruption and a small but clear enhancement (up to 1.5-2.0 DU) of the SO<sub>2</sub> column with respect to background values are observed in the area of Lampedusa by the Ozone Monitoring Instrument (OMI), OMSO2 Level 2 product, for 27 October 2013 (Krotkov et al., 2006) (data publicly available at: http://so2.gsfc.nasa.gov/pix/daily/ixxxza/loopall3.php?yr=13&mo=10&dy=27&bn=etna). An isolated maximum is also observed in the SO<sub>2</sub> mass burden daily time-series for October 2013, in the Etna region for this day (data publicly available at: http://so2.gsfc.nasa.gov/ pix/daily/1013/etna so2lf 5k ts plot.html). OMI data are not available for 26 October 2013 due to an interruption of operations.

# 6.2 Aerosol optical and micro-physical properties

Aerosol optical depth, its fine and coarse component and Ångström exponent (derived using pairs of simultaneous aerosol optical depth observations at 440 and 870 nm) measurements

are shown in Fig. 11a–b. Measurements show relatively low values of the total aerosol optical depth (less than 0.2) throughout the event. At the same time, a steep variation of the fine-to-coarse optical depth fraction is observed from 26 October. The average fine-to-coarse aerosol optical depth fraction is  $77.6\%\pm3.5\%$  for the period 26–29 October, while it is  $49.0\%\pm18.2\%$  for the whole month of October 2013. In addition, elevated values of the Ångström exponent, i.e., between 1.5 and 1.7, are observed in the period 26–29 October. The average Ångström exponent is  $1.61\pm0.06$  in the period 26–29 October, while it is  $0.92\pm0.44$  for the whole month of October 2013. The Ångström exponent values observed on 26–29 October are very high for Lampedusa (see, e.g., Meloni et al., 2007), especially in the early fall season. In the dataset of aerosol optical properties at Lampedusa, covering the period 2001 to present, there is no other case with similar values of the Ångström exponent and fine-to-coarse aerosol optical depth fraction in late October. This case stands out as an anomaly in the Lampedusa record, and suggests an unusually large population of fine particles.

A further indication of the aerosol size distribution changes occurring at Lampedusa is shown in Fig. 12, where average volume size distributions are shown before, during, and after the eruption event. The coarse mode, which is predominant in the period 1–24 October and is still evident on 25 October, falls quickly on 26 October, while the fine mode becomes more important (more than two times larger than in the pre-eruption period), with increasing mode radius (from a pre-eruption value of about 0.1 to about 0.2  $\mu$ m). The size distribution changes in the following days, to return to pre-eruption conditions after 29 October. All these observations seem to indicate a signature of the Etna's plume over Lampedusa from 26 to 29 October, suggesting the presence of small particles of volcanic origin. The lack of clear indications of very small aerosols after the 29 October at Lampedusa seems to be related to the displacement of the airmasses affected by the eruption following the prevailing winds.

## 6.3 Role of sulphate aerosols and ash at Lampedusa

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The signature of the Etna plume observed at Lampedusa can be attributed to volcanic fine ash particles and secondary sulphate aerosols formed by the conversion of the SO<sub>2</sub>

gaseous emissions. It is worth mentioning that, while in all the discussion we assume that all the sulphate aerosols are produced as secondary particles, a relatively small amount of primary sulphate particles can be emitted by volcanoes like Mount Etna, as shown by near-source observations (e.g., Martin et al., 2008).

Higher values of the Ångström exponent, e.g., greater than 1.0, are associated with non-ash-bearing volcanic plumes (e.g., Watson and Oppenheimer, 2000; Mather et al., 2004). In particular, the Ångström exponent values observed at Lampedusa (average value of  $1.61\pm0.06$  for the period 26–29 October) are consistent with those measured in the Mount Etna's near source plume in the past. Watson and Oppenheimer (2000) and Watson and Oppenheimer (2001), for example, measured a number of optical parameters of the Mount Etna aerosol plume by sun-photometry and found a mean value of the Ångström exponent of 1.67, during a non-eruptive short time period in October 1997, and of 1.63 for a non-ash-bearing plume during July 1999.

The nucleation and accumulation modes in the tri-modal number size distributions measured in the past at Mount Etna by sun-photometry display maxima at about 0.1–0.2 and at 0.6–0.9  $\mu m$ , respectively, with up to three order of magnitude difference between the nucleation and the accumulation mode (Fig. 6a of Watson and Oppenheimer, 2000, Fig. 4a of Watson and Oppenheimer, 2001), thus generating a size distribution characterized by markedly increasing concentrations for very small radii. Similar size distributions are observed at Lascar and Villarrica volcanoes, Chile (Mather et al., 2004). Thus, the nucleation mode seems to dominate non-ash volcanic plumes, and the transport of the nucleation mode aerosols (mean radius less than 0.1–0.2  $\mu m$ ) or the nucleation of new particles downwind might explain the large contribution to the fine mode aerosols at Lampedusa, as shown in Fig. 12.

Single scattering albedo (SSA) retrievals could give an indication of the presence of weakly absorbing sulphate particles. The average observed value of the single scattering albedo in the visible (about 440 nm) is  $0.93\pm0.04$  for the period 26–29 October, with the highest daily value of  $0.98\pm0.01$  for 26 October. The average SSA for the whole month of October 2013 is  $0.91\pm0.05$ . This might indicate the presence of particles with the typi-

cal optical properties of the very weakly absorbing sulphates, in the period 26–29 October. However, it must be noted these retrievals have significant uncertainties, as expected for cases with relatively small aerosol optical depths (less than 0.2).

The unequivocal attribution to one of the two sources (fine ash or secondary sulphate aerosols) is impossible at this stage due to the lack of specific measurements of the chemical composition of the aerosols, or a simulation of the sulphates chemical/micro-physical evolution, as mentioned in Sect. 2.1. Then, we exploit our synergistic approach to infer the prevailing composition of the volcanic aerosol at Lampedusa. Due to the considerations in Sect. 5 (the size-dependent ash dispersion analysis indicates a limited fine ash component at Lampedusa), we believe that fine ash particles had a very small impact on the measurements at Lampedusa (synergy h) in Fig. 1). Conversely, the discussion in Sect. 4 (the height-resolved SO<sub>2</sub> dispersion analysis indicates that SO<sub>2</sub> concentrations are significantly higher than background levels in the upper troposphere over Lampedusa), the comparison of micro-physical aerosol properties at Lampedusa with data in the literature, and the indications of favourable conditions for the formation of new particles through gas-to-particle conversion, suggest that the secondary sulphate particles may be the primary cause for the observed aerosol optical properties and their evolution following the eruption.

# 7 Estimates of radiative effect

Radiative transfer simulations were carried out with the LibRadtran/UVSPEC model, as described in Sect. 2.3.2, to obtain a rough estimate of the clear sky direct radiative effects produced by the aerosols in the volcanic plume for this event. The aerosol input parameters are based on the observations at Lampedusa described in Sects. 6.2 and 6.3 (synergy g) in Fig. 1). The Ångström exponent is set at the mean value observed in the period 26–29 October (1.61). We have considered different values of SSA, given the daily variability of this parameter and the measurement uncertainties. This allows us to estimate the sensitivity to aerosol absorption. We have run the model using SSA values of 0.93 (mean value for the period 26–29 October), 0.97, 0.98 and 0.99 (mean daily value for 26 October minus

and plus one standard deviation). The asymmetry parameter was set to the value of 0.70, following AERONET observations at Lampedusa for 26 October. Since it is not possible to quantify the contributions to the total AOD from lower tropospheric aerosols and from volcanic particles, we have used a single aerosol type with the measured AOD, Angström exponent, SSA and asymmetry parameter in the model setup. Thus, the simulated radiative forcing is relative to the whole aerosol column. It must be noticed however, that we expect to have marine particles in the lower troposphere, and the observed integrated Ångström exponent is much higher than expected for sea salt aerosols. The stratospheric aerosol optical depth is considered negligible during this event. In fact, while the stratospheric aerosol laver has enhanced particle concentration and optical depth in the period 2000-2010, due to the occurrence of a series of moderate stratospheric volcanic eruptions, this layer has returned to background values by 2013 (a stratospheric aerosol optical depth of about 0.005-0.008. see Fig. 1a of Ridley et al. (2014)). Thus, we assume that the upper tropospheric volcanic particles produced during this eruptive event are dominant in the column on 26 October. Following the indication given by the FLEXPART plume simulations, e.g., the SO<sub>2</sub> plume vertical profile of Fig. 6a, we have considered a volcanic aerosol layer between 8 and 14 km (synergy f) in Fig. 1). We have tested different vertical profiles of the AOD in this interval but found that the radiative forcing estimations differ by less than 5%. The weak dependence of the radiative forcing to the aerosol vertical profile has been observed in the past, e.g., by Meloni et al. (2005b). The calculations are made over the 300-3000 nm spectral range, and are integrated to obtain shortwave irradiances at the surface and at TOA. The radiative forcing is calculated for fixed aerosol properties between 0 and 90° solar zenith angle, with 15° steps. The radiative forcing per unit of AOD (or radiative forcing efficiency, RFE) is independent of the AOD, and its use is preferable with respect to the absolute radiative forcing in this context, due to the aforementioned uncertainty in the volcanic aerosol proportion in the column. The RFE is integrated over 24 h to obtain the daily RFE.

The estimated daily shortwave RFEs are reported in Table 2 together with the ratio f between the surface and TOA RFEs. Increasingly negative values (-39 to -48 W m<sup>-2</sup> AOD<sup>-1</sup>) and decreasingly negative values (-66 to -49 W m<sup>-2</sup> AOD<sup>-1</sup>), with increasing SSA, are

found. Correspondingly, the ratio f varies between 1.7 (SSA = 0.92) and 1.0 (SSA = 0.99). The radiative forcing is, then, strongly dependent on the SSA. This evidence has been recently confirmed in a more general context (wider variability of the optical parameters). Sellitto and Briole (2015) have shown how the RFE is more dependent on the SSA than the other aerosol optical input parameters for this study (the asymmetry parameter and the Ångström exponent), especially when sulphate aerosols are dominating (bigger values of the Ångström exponent and the SSA). As the SSA is the dominating factor in the RFE variability, the formation and evolution processes of volcanic sulphate aerosols is very critical for the downwind radiative impacts and a more detailed description of these processes, i.e. with a chemistry/micro-physics model, would be a necessary add in this kind of impact studies, as mentioned in Sect. 2.1.

The estimates of RFE are within the range of previous studies in the Mediterranean (e.g., di Sarra et al., 2008; Di Biagio et al., 2010; García et al., 2012). However, the RFE values depend on the day of the year and on surface albedo, and a direct comparison is not possible. Conversely, the ratio f is less dependent on the day of the year. The value of f decreases for increasing SSA, and is close to 1.1 for the observed daily SSA for 26 October. The values of f previously found in the Mediterranean (see, e.g., Table 5 of Di Biagio et al., 2010) are generally higher than those found in this study. Di Biagio et al. (2010) found a value of 1.5, 2.6 and 3.1, with aerosol types identified as desert dust, mixed aerosol and urban/industrial biomass burning particles, respectively. Since, in general, f increases with the aerosol absorption, the volcanic aerosols reaching Lampedusa appear to be less absorbing than other types generally found in the central Mediterranean. In this context, the estimated daily RFEs can be compared with other volcanic sources, like the well documented eruption of the Eyjafjallajökull of 2010. The aerosols in the Eyjafjallajökull plume were rich in ash and displayed different optical properties with respect to the Etna plume investigated in the present study. Derimian et al. (2012) estimated the daily TOA and surface RFEs at Lille, France, due to the overpass of the Eyjafjallajökull plume and found values of -31 and -93 W m<sup>-2</sup> AOD<sup>-1</sup> (f=3). The large differences between the values of

*f* for the Mount Etna plume under investigation and the Eyjafjallajökull plume support the hypothesis of the major role of sulphates in our case study.

The previous discussion applies to RFEs calculated with a wavelength-independent surface albedo value of 0.09. This value has been previously used in radiative forcing calculations at Lampedusa and is considered representative of this particular location (Meloni et al., 2003). Nevertheless, the radiative forcing is strongly dependent on surface albedo (Zhuang et al., 2014). To test the sensitivity to this parameter, we have run further LibRadtran/UVSPEC simulations with typical: a) sea surface (0.07), and b) desert surface (0.36) albedo values (Briegleb and Ramanathan, 1982). This is intended to test extreme surface characteristics in terms of the albedo. The optical parameters of the volcanic aerosols have been fixed to 1.61, 0.98 and 0.70 for the Ångström exponent, the single scattering albedo and the asymmetry parameter. The estimated daily shortwave RFEs and fs for the different surface albedo values are reported in Table 3. The estimations for the surface albedo of Lampedusa (0.09) is also shown in the table to more be readily compared with these latter analyses. The TOA and surface RFEs, as well as the ratio f, vary strongly with the surface albedo. While for typical sea surface albedos the radiative forcing is very similar to the case of Lampedusa's albedo (TOA daily RFE and Surface daily RFE of about -46 and -51 W m<sup>-2</sup> AOD<sup>-1</sup> and, correspondingly, f of about 1.0), the radiative forcing varies significantly for typical desert dust albedos (TOA daily RFE and Surface daily RFE of about -14 and -27 W m<sup>-2</sup> AOD<sup>-1</sup> and, correspondingly, f of about 1.9). This indicates a significantly more absorbing aerosol layer, at fixed aerosol optical properties. Nevertheless, these analyses indicate that the radiative forcing calculated at Lampedusa is probably representative of a large sea surface proportion of the overall Mediterranean region. It must be noticed that the use of observed wavelength-dependent surface albedo, like those made by MODIS, would be important towards most refined radiative forcing calculations, which is an ongoing work.

#### 8 Conclusions

Moderate volcanic eruptions may perturb the tropospheric aerosol distribution at different spatio-temporal scales, by the injection of both ash and gaseous precursors of sub-micron sulphate aerosols. In this paper we have presented the regional analysis of a minor eruption of Mount Etna in late October 2013 by the synergistic use of plume observations and modelling. In our case, this synergy has allowed a better characterization of the plume evolution by giving access to useful information which cannot be obtained by observation or modelling alone. Using this synergy, the vertical distribution of SO<sub>2</sub> and ash plumes, the size-resolved ash plume dispersion, and a high temporal resolution of the dispersion may be inferred. The downwind impact on micro-physical and optical aerosol properties are obtained using surface remote sensing observations at the well-equipped Lampedusa ground station. It is worth underlining that exploiting a full array of different information sources is of particular importance for moderate eruptions, due to the smaller amount of effluent burden and the inherent smaller sensitivity of the satellite observations. Using this approach, we have found that even a minor volcanic event, like the Mount Etna eruption of 25-27 October 2013 (SO<sub>2</sub> emission peak of 1700 t d<sup>-1</sup>, about 20 times weaker than the stronger activity of the years 2000–2003) can significantly perturb the size distribution and the optical properties of the aerosols at the regional scale, with plume signatures detected at a distance greater than 350 km from the source. The rapid variation of micro-physical and optical aerosol properties at Lampedusa indicates a strong impact of finer particles. Based on simulations and observations, we are more inclined to attribute this downwind evolution of the aerosol properties to long-lived secondary sulphate aerosol in the upper troposphere than fine ash.

Here we want to mention that an analysis based on SO<sub>2</sub> and ash transport modelling and satellite observations, and aerosol optical properties from ground observations, is necessary because of the lack of reliable sulphate aerosol satellite products and chemistry/microphysics modelling. Dedicated satellite products could allow a direct observation of sulphate aerosols production, life cycle and burden at the regional scale, and chemistry/microphysics modelling could allow the simulation of their formations and evolution processes.

Ongoing studies will provide such kinds of satellite products (see, e.g., Clarisse et al., 2013; Sellitto and Legras, 2015) and modelling tools (the coupling of FLEXPART trajectories with sulphate aerosols box models) are under investigation.

The sulphate aerosols eventually formed have the capability to affect the atmospheric radiative transfer through scattering solar radiation, with a possible influence on the tropospheric photochemistry, and composition. The effects on the radiative balance may be particularly important in the Mediterranean basin, where photochemical processes play a crucial role in the tropospheric chemistry. Daily clear sky direct RFE values are found in ranges between -38.9 and  $-47.7\,\mathrm{W\,m^{-2}\,AOD^{-1}}$ , at TOA, and between -65.7 and  $-48.6\,\mathrm{W\,m^{-2}\,AOD^{-1}}$ , at the surface. These values suggest that non-absorbing particles are playing a large role in the local aerosol population, and the absorption by the Etna plume is significantly lower than by desert dust in the central Mediterranean, or by the Eyjafjallayökull plume. The REFs are very sensitive to the surface albedo and then to the location where these estimations are performed. Nevertheless, even if these estimations are specific for Lampedusa, the assumed surface albedo is very close to a pure marine albedo and then these estimations are representative of a larger sea covered area in the Mediterranean basin.

Studies are ongoing to evaluate the frequency of this type of event. Preliminary results show that the area of Lampedusa (south-west direction from Mount Etna) is ventilated by air masses coming from Mount Etna for only about the 5% of the time, in the period 2000-2013 (Sellitto et al., 2016). Correspondingly, the impact of volcanic aerosols (from passive degassing or moderate to explosive eruption) in the central-southern Mediterranean is only episodic. A stronger long-term impact is expected at the same distance in the eastern direction (ventilated for about 80% of the time in the same period, considering south-east to north-east quadrants).

Acknowledgements. The RELEASES file used for our FLEXPART simulations has been produced with the aid of a modified version of the mk\_releases.f routine, initially developed by Nina Kristiansen and Andreas Stohl (NILU – Norwegian Institute for Air Research). Measurements at Lampedusa were partially supported by the Italian Ministry for University and Research through the NextData

Project. Damiano Sferlazzo guaranteed the continuity of the observations at Lampedusa, and his contribution is gratefully acknowledged. The work of S. Corradini has been partially funded by the EU 7th Framework Program under the grant 606738 (APhoRISM – Research, Technological Development and Demonstration Activities). This work has been partially supported by the EU 7th Framework Program under the grants 603557 (StratoClim) and 308665 (Med-SuV). The FLEXPART simulations, and SEVIRI cloud top pressure and MODIS ash/SO<sub>2</sub> data used in this work are proprietary and can be provided on request by email (psellitto@lmd.ens.fr). The aerosol data from Lampedusa ground station are available via the AERONET website (http://aeronet.gsfc.nasa.gov/). This work contributes to WP-4 of ChArMEx on aerosol-radiation interactions.

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**Table 1.** Size distribution of the ash particles in the FLEXPART simulations.

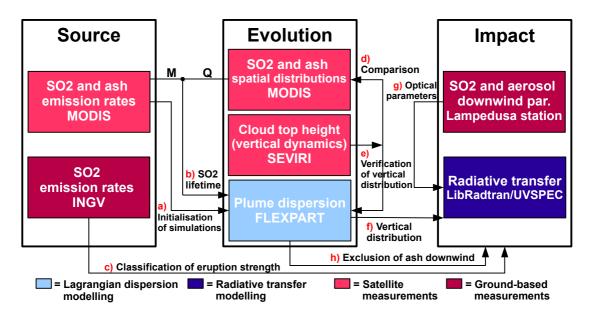
Radius	Mass fraction (%)
0.1	1
0.35	2
1.0	5
3.5	20
10.0	60
32.5	12

Table 2. TOA and surface daily RFE as a function of the single scattering albedo (SSA).

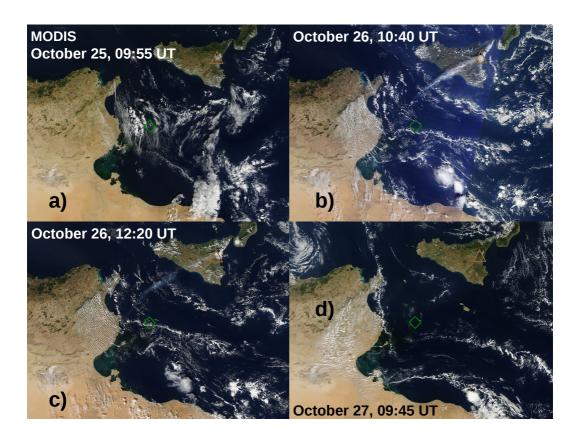
SSA	TOA daily RFE (W $\mathrm{m}^{-2}$ AOD $^{-1}$ )	Surface daily RFE (W $\mathrm{m}^{-2}$ AOD $^{-1}$ )	f
0.92	-38.9	-65.7	1.7
0.97	-44.7	-54.3	1.2
0.98	-46.2	-51.4	1.1
0.99	<b>-47.7</b>	-48.6	1.0

Table 3. TOA and surface daily RFE as a function of the surface albedo.

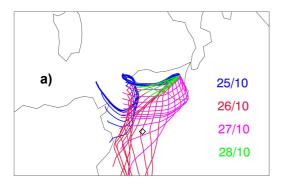
Surface albedo	TOA daily RFE (W m <sup>-2</sup> AOD <sup>-1</sup> )	Surface daily RFE (W $\mathrm{m}^{-2}$ AOD $^{-1}$ )	f
0.07 (sea)	-46.4	-51.0	1.0
0.09 (Lampedusa)	-46.2	-51.4	1.1
0.36 (desert)	-13.9	-27.1	1.9

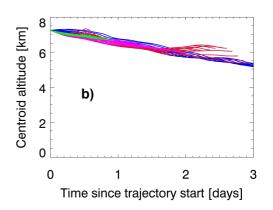


**Figure 1.** Scheme of the synergies of the different methods described in Sects. 2.2.1 - 2.3.2. From a) to h) the different synergies and contributions of the methods are indicated as they are referenced throughout the text.

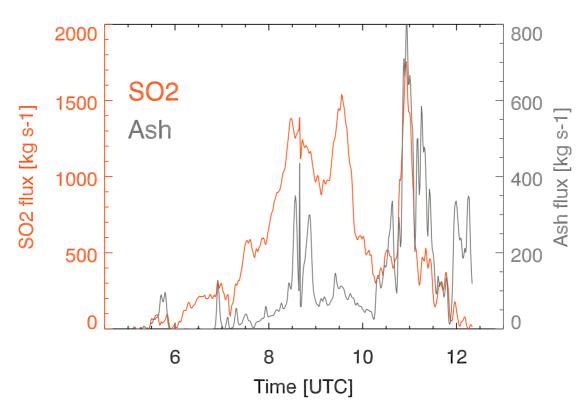


**Figure 2. (a)** hourly forward trajectories of the volcanic plume, taken as the centroid of the sulphur dioxide plume, for the period 25–28 October 2013, from FLEXPART simulations. Each trajectory has temporal duration of about 3 days. Different days are identified with different colors. The position of Lampedusa is indicated with a black diamond. **(b)** corresponding average altitude of the plume, taken as the centroid of the sulphur dioxide plume, from FLEXPART simulations.

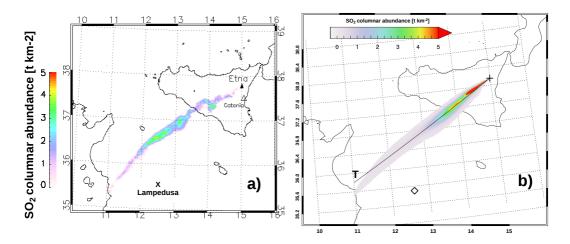




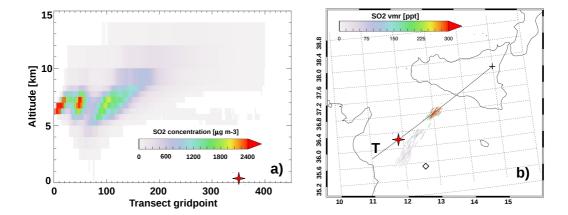
**Figure 3. (a)** MODIS true colors images showing the Mount Etna plume evolution during the period 25–27 October 2013. The position of Mount Etna is indicated with a brown triangle. The position of Lampedusa is indicated with a green diamond.



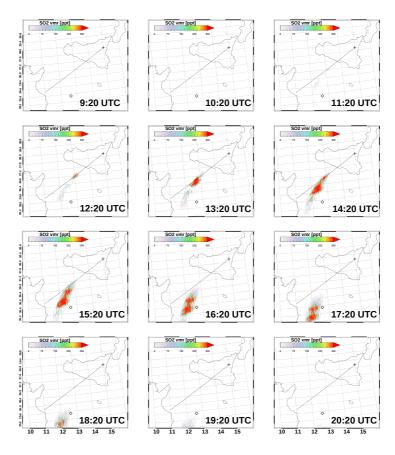
**Figure 4.** Sulphur dioxide (orange) and ash (gray) emissions (in  $kg s^{-1}$ ) for 26 October 2013, estimated with the Aqua-MODIS inverse method.



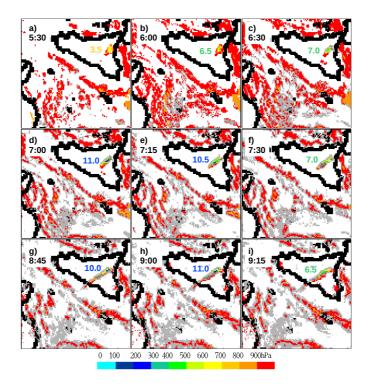
**Figure 5. (a)** Sulphur dioxide column abundance (in  $t \, km^{-2}$  – tons per square km) derived from Aqua-MODIS overpass of 26 October 2013, 12:20. The position of Lampedusa is indicated with a black cross; **(b)** sulphur dioxide column abundance (in  $t \, km^{-2}$ ) from FLEXPART simulations, for 26 October 2013, 12:20. Violet-grey to red pixels indicate relatively low to high abundance values. The position of Lampedusa is indicated with a black diamond; the position of Mount Etna is indicated with a black cross; the trajectory T is representative of the plume axis.



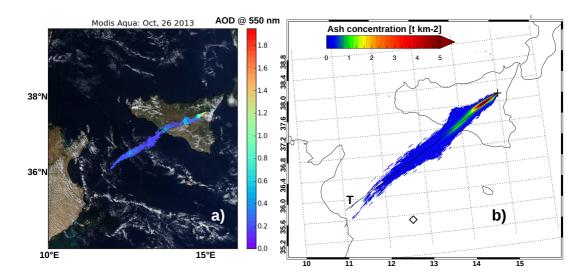
**Figure 6.** (a) Sulphur dioxide vertical concentration profiles (in  $\mu g \, m^{-3}$ ) along trajectory T and (b) the mean volume mixing ratio (in ppt – part per trillion) in the altitude range from 10 to 14 km, from FLEXPART simulations, for 26 October 2013, 12:20. Violet-grey to red pixels indicate relatively low to high concentration values. The red cross marks the same gridpoints in (a) and (b). The position of Lampedusa is indicated with a black diamond; the position of Mount Etna is indicated with a black cross in (b).



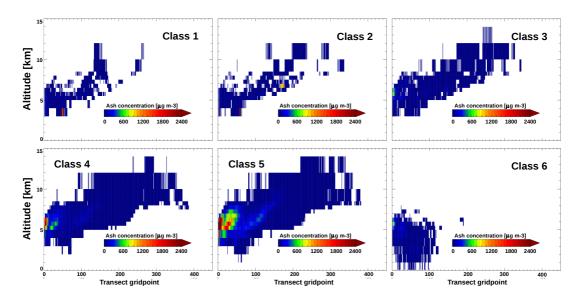
**Figure 7.** Mean  $SO_2$  volume mixing ratio (in ppt) in the altitude range from 10 to 14 km, from FLEX-PART simulations, for 26 October 2013 from 09:20 to 20:20 (1 h time step). Violet-grey to red pixels indicate relatively low to high mixing ratio values. The position of Lampedusa is indicated with a black diamond; the position of Mount Etna is indicated with a black cross.



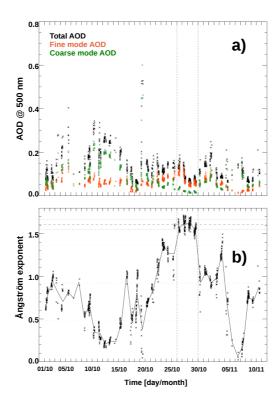
**Figure 8.** Cloud top pressure (in hPa) from SEVIRI, for 26 October 2013. Blue and red pixels indicate relatively low and high pressure values. The start time of the SEVIRI scan is reported at the top/left corner of each image. The cloud top altitude is obtained by comparing pressure and altitude profiles using a quasi-coincident radio-sounding at the WMO station in Trapani (37.92° N, 12.50° E, 7 m above sea level), and is reported and color coded in the images. Partially cloud covered pixels and very thin clouds, for which no pressure is available, are respectively in light grey and grey.



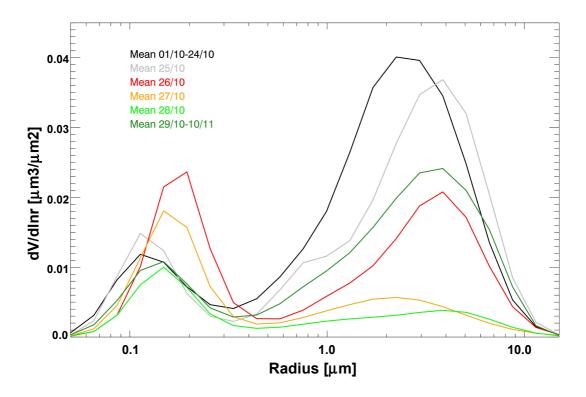
**Figure 9. (a)** Ash optical depth at 550 nm from Aqua-MODIS overpass of 26 October 2013, 12:20; **(b)** total ash column abundance (in t km<sup>-2</sup>) from FLEXPART simulations. The ash classes 3, 4 and 5 (see Table 1) are added up to compare with MODIS observations. Blue to red pixels indicate relatively low to high optical depth and abundance values. The position of Lampedusa is indicated with a black diamond in **(b)**; the position of Mount Etna is indicated with a black cross in **(b)**.



**Figure 10.** Ash vertical concentration profiles (in  $\mu g \, m^{-3}$ ) along the trajectory T of Figs. 5, 6, 7 and 9, for the 6 aerosols classes listed in Table 1. Blue to red points indicate relatively low to high concentration values.



**Figure 11. (a)** Total (black crosses), fine mode (orange crosses) and coarse mode (green crosses) aerosol optical depth measurements; **(b)** Ångström exponent measurements at Lampedusa for the period 1 October to 10 November 2013. The vertical lines indicate the period 26–29 October 2013. The average for the period 26–29 October (black dashed horizontal line), plus and minus one standard deviation (black dotted horizontal lines), as well as the average for the whole month of October 2013 (gray dashed horizontal line), plus and minus one standard deviation (gray dotted horizontal lines) are also reported for the Ångström exponent.



**Figure 12.** Aerosol size distributions (volume distribution, in  $\mu g^3 \mu g^{-2}$ ) at Lampedusa for some selected periods before, during and after Mount Etna's eruption of 25–28 October 2013. The average from 1 to 24 October (black line), 25 (gray line), 26 (red line), 27 (orange line), 28 (light green line), 29 October to 10 November (dark green line) are shown.