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CALIPSO climatological products: evaluation and suggestions from EARLINET

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Abstract

The CALIPSO Level 3 (CL3) product, available since December 2011, is the most re-
cent data set produced by the observations of the Cloud–Aerosol Lidar with Orthogo-
nal Polarization (CALIOP) instrument onboard the Cloud–Aerosol Lidar and Pathfinder
Satellite Observations (CALIPSO) space platform. The European Aerosol Research
Lidar Network (EARLINET), based mainly on multi-wavelength Raman lidar systems,
is the most appropriate ground-based reference for CALIPSO calibration/validation
studies on a continental scale. In this work, CALIPSO data are compared against
EARLINET monthly averaged profiles obtained by measurements performed during
CALIPSO overpasess. In order to mitigate uncertainties due to spatial and temporal dif-
ferences, we reproduce a modified version of CL3 data starting from CALIPSO Level 2
(CL2) data. The spatial resolution is finer and nearly $2° \times 2°$ (latitude × longitude) and
only simultaneous measurements are used for ease of comparison. The CALIPSO
monthly mean profiles following this approach are called CALIPSO Level 3*, CL3*. We
find good agreement on the aerosol extinction coefficient, yet in most of the cases
a small CALIPSO underestimation is observed with an average bias of $0.02\,km^{-1}$ up to
$4\,km$ and $0.003\,km^{-1}$ higher above. In contrast to CL3 standard product, CL3* data set
offers the possibility to assess the CALIPSO performance also in terms of the particle
backscatter coefficient keeping the same quality assurance criteria applied to extinction
profiles. The mean relative difference in the comparison improved from 26.1 % for ex-
tinction to 13.7 % for backscatter, showing better performances of CALIPSO backscat-
ter retrievals. Additionally, the aerosol typing comparison yielded a robust identification
of Dust and Polluted Dust. Moreover, the CALIPSO aerosol-type-dependent lidar ra-
tio selection is assessed by means of EARLINET observations, so as to investigate
the performance of the extinction retrievals. The aerosol types of Dust, Polluted Dust,
and Clean Continental showed noticeable discrepancy. Finally, the potential improve-
ments of the lidar ratio assignment have been examined by adjusting it according to
EARLINET derived values.

1 Introduction

NASA-CALIPSO (Cloud–Aerosol Lidar with Orthogonal Polarization) mission offers unprecedented observations of aerosol global optical properties profiles (Winker et al., 2010), vital for aerosol-radiation-cloud interaction studies to understand their climatic role. The most recent CALIPSO satellite data product, the so-called CL3 aims to provide a climatology of the global aerosol distribution including seasonal and interannual variations. The product consists of monthly gridded extinction profiles separated into a daytime and nighttime segment. According to the study of Winker et al. (2013), the CL3 data appear to be realistic and very well capture the most important aerosol transport pathways, such as the westward motion of dust particles originating from the Saharan desert, or the smoke laden plumes in the South Atlantic due to the African biomass burning season.

As with any satellite product, it is important to quantitatively evaluate the accuracy of CALIPSO retrievals in comparison with independent measurements. CALIPSO products have been extensively evaluated using columnar aerosol optical depth (AOD) data sets from passive spaceborne measurements (e.g., Kittaka et al., 2011; Redemann et al., 2012; Kim et al., 2013) or the well-established AERONET (Aerosol Robotic Network) measurements (e.g., Schuster et al., 2012; Omar et al., 2013). However, CALIOP, onboard CALIPSO, is firstly and foremost a profiling instrument; therefore it is particularly interesting to compare with ground-based profiling data. EARLINET (European Aerosol Research Network) is playing an important role in the validation and full exploitation of the lidar data that CALIPSO continuously provides since April 2006. In the frame of the network, several studies have investigated the CALIPSO Level 1 products (e.g., Mamouri et al., 2009; Mona et al., 2009). Pappalardo et al. (2010), and Wandinger et al. (2011) also provided validation efforts of the CALIPSO Level 2 aerosol backscatter and extinction profiles, showing promising results.

Currently, EARLINET space-related activities focus on CALIPSO mission, but nonetheless the network's goal is the provision of a long-term ground-based support

for the space-borne lidar in order to homogenize observations obtained with different instruments. The planned ESA (European Space Agency) ADM-Aeolus (Atmospheric Dynamics Mission – Aeolus; Stoffelen et al., 2005) and the joint ESA/JAXA (Japan Aerospace Exploration Agency) EarthCARE (Earth, Clouds, Aerosols and Radiation Explorer; Illingworth et al., 2015) missions will succeed CALIPSO in observing aerosols and clouds with active remote sensing techniques. The Atmospheric Doppler Lidar Instrument (ALADIN) onboard ADM-Aeolus and the Atmospheric Lidar (ATLID) of the EarthCARE satellite will make use of the high-spectral-resolution-lidar (HSRL) technique in the UV. Besides the differences in the techniques employed in relation to CALIOP, ALADIN and ATLID will operate at different wavelengths and will deliver extinction and backscatter coefficient profiles, independently retrieved. EARLINET aims to contribute also to the homogenization of the current and future space-borne lidar data sets by delivering aerosol and cloud-type-dependent wavelength conversion factors. These parameters will facilitate the development of a multi-decadal vertical structure profile climatology (Amiridis et al., 2015).

So far, few studies about the CL3 data set have been published. Winker et al. (2013) have compared the extinction values retrieved by CALIOP against the simultaneous measurements of the HSRL lidar onboard NASA B200 aircraft during CALIPSO underflights (Burton et al., 2012). This comparison showed that the CALIOP retrieval in the upper troposphere are underestimated due to the instrument detection limits and to the decreasing aerosol load. Next, Ma et al. (2013) compared CL3 AOD against MODIS (Moderate Resolution Imaging Spectroradiometer) and found that CL3 demonstrated good seasonal variability and in overall lower AOD values. Further, the study showed significant lower values for CALIPSO comparing to MODIS over deserts, with maximum difference of 0.3 over the Saharan desert, and the opposite when biomass burning particles are prevalent, with maximum difference of 0.25 over South Africa. Owing to the varying properties of dust on the lidar ratio, Amiridis et al. (2013) examined the potential improvement of CL3 when introducing a new value of lidar ratio for

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the dust. The increased agreement of CL3 when compared to multi-platform and dust model products highlighted the improvement of the dust extinction retrieval.

In this paper we present the first study to take full advantage of long-term aerosol measurements acquired by the EARLINET ground-based lidar network to critically evaluate CALIPSO climatological products such as the aerosol optical properties reported in the CL3 data product. Extinction retrievals from CALIOP, an elastic backscatter lidar, are inextricably linked to the extinction-to-backscatter ratios (i.e., lidar ratios) that characterize the CALIPSO aerosol models and to the performance of the aerosol type identification module. Therefore, while the CL3 files report only spatially and temporally averaged extinction profiles, an in-depth validation of these data must also examine the companion backscatter profiles that, together with the lidar ratios, are used to create the CL3 extinction profiles. Hence, we used the CALIPSO Level 2 data to create a modified version of the CL3 data, hereafter denoted as CL3*, wherein we derive averaged profiles of CALIPSO extinction and backscatter. Quality assurance protocols for filtering the Level 2 data followed established techniques previously reported in the scientific literature (see Campbell et al., 2012). CL3* data set is compiled over a smaller spatial domain than the standard CL3 data, and is closely tied to the locations of the individual EARLINET stations. This additional attention to spatial and temporal matching helps to minimize differences identified in the previously performed EARLINET-CL3 comparison (not reported) that could be attributed to spatial variability over the CL3 grid box.

The data and methodology are presented in Sect. 2. The results are reported and discussed in Sect. 3. Specifically, Sect. 3.1 and 3.2 focus on the comparison of the extinction coefficient, backscatter coefficient and lidar ratio profiles for each station, further aerosol typing data are also intercompared. In Sect. 3.3, the mean EARLINET type-related lidar ratio values are confronted with the CALIPSO modeled values. Additionally, it explores instead the effect of the extinction retrievals optimization by using the EARLINET estimated lidar ratio values. Finally, in Sect. 4, the article closes with our conclusions.

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2   Data

2.1   CALIPSO

CALIPSO is a joint NASA/CNES (Centre National d'Études Spatiales) satellite designed to study aerosols and clouds. Its aim is to provide profiling information at a global scale for improving our knowledge and understanding the role of the aerosol in the atmospheric processes. The main instrument, CALIOP, is a dual wavelength (532 and 1064 nm) elastic backscatter lidar with the capability of polarization sensitive observations at 532 nm (Winker et al., 2006, 2007). The optical properties retrieval is based on the successful cooperation of three modules, that have the main goal to produce the CL2 data. The first module identifies the features within the lidar signals (aerosol, cloud, surface returns; Vaughan et al., 2009). Afterwards, this information is passed to the second module, to determine the type of each feature (i.e., cloud, aerosol, surface or stratospheric; Liu et al., 2009). Given this selection, the module can type further those identified aerosol layers (i.e., Clean Marine, Dust, Polluted Continental, Clean Continental, Polluted Dust, Smoke; Omar et al., 2009), a procedure which is called the aerosol subtyping. Finally, the third module retrieves aerosol extinction and backscatter profiles assuming lidar ratio values according to subtyping (Young and Vaughan, 2009).

The climatological CL3 product is a monthly gridded data set consisting of CL2 data. The main outputs are the aerosol extinction coefficient at 532 nm and its vertical integral (AOD). The CL3 product, in which the CL2-532 nm aerosol extinction product is aggregated, are mapped onto a global $2° \times 5°$ latitude longitude grid. The output altitude ranges from −0.5 to 12 km above mean sea level with a vertical resolution of 60 m. CALIOP retrieves aerosol below optically thin clouds, in clear skies and above clouds. Monthly mean-extinction profiles are computed for four conditions: all-sky, cloud-free, above clouds and combined (cloud-free and above clouds). In addition, several quality control flags contained in the CL2 files are used to screen the data prior to averaging. A detailed summary of the methodology used for the generation of the CL3 product is provided in the Appendix of Winker et al. (2013).

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2.2 EARLINET

EARLINET was established in 2000 (Pappalardo et al., 2014; http://earlinet.org/) as a research project, providing data concerning the aerosol vertical distribution on a continental scale. Currently, 27 active stations participate in the network. The contributing stations have been performing correlative measurements since CALIPSO started its life cycle, based on a schedule established before the satellite mission. EARLINET has been an important contributor to CALIPSO validation studies (e.g., Mamouri et al., 2009; Mona et al., 2009; Pappalardo et al., 2010; Perrone and Bergamo, 2011; Wandinger et al., 2011; Amiridis et al., 2013). The strategy followed by the member stations is as follows: the observations occur during the satellite overflight within 100 km distance of the satellite ground-track from the station, and are performed for at least 60 min. Figure 1 illustrates CALIPSO's overflight that triggers the measurements of the EARLINET station of Potenza. Additionally, simultaneous measurements are predicted in order to study the aerosol temporal variability, or in case of special events to study specific aerosol types and to investigate the geographical representativity of the observations (Pappalardo et al., 2010).

EARLINET data quality is assured by strictly quality assurance procedures established within network, firstly on systems and retrieval processes (Böckmann et al., 2004; Matthias et al., 2004; Pappalardo et al., 2004). Further, data quality check is performed, also, on the products (Pappalardo et al., 2014). The EARLINET database related to the CALIPSO overpasses is published to the CERA database (EARLINET publishing group 2000–2010, 2014). The data are freely available at the EARLINET web site and ACTRIS (http://www.actris.eu/) and CERA data portals (http://cera-www.dkrz.de/WDCC/ui/Index.jsp).

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2.3 Analysis setup

2.3.1 Comparison methodology

The CALIPSO measurements that result in the CL3 data are aggregated in a 2° × 5° grid cell, whereas for EARLINET the measurements can be considered as point. Furthermore, the constituting grid cell overflights are not closely tied to the locations of the individual EARLINET sites. For the reasons mentioned, the CL3 and EARLINET data sets are not comparable in number and spatial representativity, and as a consequence an ad-hoc procedure for obtaining statistically comparable data sets is necessary. In particular, only CALIPSO data segments corresponding to EARLINET measurements were selected. The comparison of matched observations reduces uncertainties from spatial and temporal differences, but greatly reduces the number of the samples.

The CL2 Version 3.01 Aerosol Profile product, which includes aerosol extinction and backscatter coefficient profiles at 532 nm is used to produce the monthly CALIPSO profiles, the CL3* product. The spatial domain onto which the CL2 data are mapped is nearly 2° × 2° and is closely related to the EARLINET sites. We enrich the 6-step methodology for producing the CL3 profiles as given in Winker et al. (2013) with screening criteria followed by Campbell et al. (2012). Thus, the screening procedure, here, is unique and provides a higher level of quality assurance. In particular, two more steps are introduced and an existing metric is adjusted according to Campbell et al. (2012):

1. *Extinction_Coefficient_Uncertainty_532* $\leqslant 10\,\mathrm{km}^{-1}$.

2. *Atmospheric_Volume_Description* is equal to 3 for bits 1–3.

3. *Atmospheric_Volume_Description* is not equal to 0 for bits 10–12.

The *Extinction_Coefficient_Uncertainty_532* lower boundary, here, is set to a more conservative value, whereas within CALIPSO procedure, retrievals deemed unstable are set to $99.9\,\mathrm{km}^{-1}$. *Atmospheric_Volume_Description* at bits 1–3 describes the type of scattering target identified, where a value of "3" indicates aerosol particle presence.

Bits 10–12 denote the type of aerosol particle presence. Here, "0" represents not determined cases which are rejected. Regarding the CALIPSO monthly averaging process, a value of $0.0\,\mathrm{km^{-1}}$ is assigned in each profile to layers where the screening criteria are invoked or no retrieval was made above 2.5 km (Winker et al., 2013; Appendix A).

5   Moreover, the portion of extinction below the range bin that meets those conditions is excluded.

In this analysis, CALIPSO extinction profiles at 532 nm are directly compared to corresponding EARLINET correlative measurements for the period 2006–2011, considering only the nighttime segment of the CALIPSO data set. We calculate the monthly average only when at least two measurements are available within the considered month.

10 Only EARLINET cloud-free and below cirrus clouds profiles and CALIPSO cloud-free and above cloud data are used to calculate the averaged profiles. As additional benefit, the reprocessing gives the opportunity to compare also CALIPSO with EARLINET aerosol backscatter coefficient and to correlate with the extinction comparisons. The same screening rubric used for the extinction coefficient is applied to the backscatter

15 data as well. The characteristics of the data considered are reported in Table 1. We also take advantage of the couple of optical properties to examine the lidar ratio, in accordance with the findings of the aerosol subtyping scheme of the two platforms.

For CALIPSO, aerosol classification is a key input to the aerosol retrieval and must be

20 inferred, therefore the CALIPSO aerosol classification is compared against EARLINET typing data.

2.3.2   CALIPSO aerosol classification

As was noted in Sect. 2.1, CALIPSO retrieval classifies aerosol layers in six subtypes, a crucial selection onto which is based the aerosol optical properties retrieval. That is

25 due to the absence of independent optical depth measurements (Young, 1995); therefore the aerosol lidar ratio inference is required prior to retrieval. The classification makes use of the aerosol location, aerosol height, the integrated attenuated backscatter, the approximate particle depolarization ratio and the surface type (Omar et al.,

2009; Lopes et al., 2013) in order to type the layers. Regarding the surface type, Clean Marine particles are only permitted over water bodies; therefore the overland flow of marine particles is not considered in the scheme. The assigned types have been previously identified from cluster analysis based on AERONET data (Omar et al., 2005). Each aerosol subtype is characterized by a set of lidar ratios for 532 and 1064 nm wavelengths. Table 2 shows the values set in the CALIPSO classification scheme for each of the aerosol subtype.

2.3.3 EARLINET aerosol classification

Aerosol features from EARLINET are typed according to methods already consolidated within the network (Müller et al., 2007a, b; Groß et al., 2011; Mona et al., 2012). Briefly, the lidar data evaluation is a 3 step procedure:

1. the feature finding and cloud-aerosol discrimination,

2. the identification of the boundary location of the aerosol layer, and

3. the aerosol layer typing by means of investigation of intensive optical properties (Ångström exponent, lidar ratios, linear particle depolarization ratio), model outputs, backward trajectory analyses, and ancillary instruments data if available.

The aerosol layers, identified as above, are typed with respect to the CALIPSO aerosol subtyping (Table 2). The EARLINET layers, therefore, fall into six subtypes: Marine, Dust, Polluted Continental, Clean Continental, Polluted Dust, and Smoke. In order to achieve this, we had to compromise the comparison for the maritime particles. Since pure marine layers are rarely observed over the considered stations, typically mixtures of marine and other aerosol types are measured in the lidar signals, the Clean Marine CALIPSO type is directly compared with the EARLINET Marine type. We will hereafter use the Marine notation for both CALIPSO and EARLINET subtyping. Note that a significant discrepancy of the existing typing schemes concerns the Polluted Dust subtype. This subtype represents a mixed aerosol situation: in the CALIPSO algorithm

the subtype takes into account mixtures of dust with smoke or pollution. While in the EARLINET classification the dusty mixtures also include maritime particles.

2.3.4 Selected sites

The EARLINET data related to CALIPSO overpasses, spanning the period from June 2006 to December 2011, consist of 7554 particle backscatter and extinction profiles (EARLINET publishing group 2000–2010, 2014). The particle extinction profiles are 1047, of which 478 correspond to 355 nm, 498 to 532 nm, and the rest to other wavelengths. The stations, therefore, providing the largest data set are Évora, Granada, Leipzig, Naples and Potenza, all equipped with multi-wavelength Raman lidars. Figure 2 shows the geographical distribution of the sites (yellow squares); in the West: Évora (293 m a.s.l.) and Granada (680 m a.s.l.), in Central Europe: Leipzig (90 m a.s.l.), and in central Mediterranean: Naples (118 m a.s.l.) and Potenza (760 m a.s.l.). The original CL3 grids linked to the EARLINET sites are reported as blue boxes. The red boxes embedded in the standard CL3 grid cells correspond to the CL3* data grids. The CL3* cells for Naples and Potenza exceed the CL3 boarders and even overlap as both site locations lie close to the CL3 boarders and are separated by ∼ 100 km. The CL3* cell latitudinal edges are kept the same as for CL3, whilst the longitudinal edges are dictated by the EARLINET correlative measurements scheme (ca. 1° to the West and to the East from the site's location). The number of available EARLINET correlative observations and CALIPSO grid overflights that were used to produce the mean profiles are summarized in Table 3. Moreover, the table reports the mean minimum distance between the satellite ground track and the EARLINET stations, the total mean minimum distance was found 63.5 km.

3 Results

Figures 3, 4, and 5 show, respectively, the mean particle extinction, backscatter coefficient, and lidar ratio at 532 nm comparison of EARLINET (red line) and CL3* (blue line) as a function of height. The monthly mean profiles, shown in Table 3, are averaged for the five grids and presented, here, along with their standard deviation (shaded error bars). The panels from left to right refer to the five EARLINET grid cells and are sorted alphabetically. The integral of the extinction coefficient at 1 km range increments was calculated for both profiles, and the corresponding AOD differences are reported in Table 4. The plots 6, 7, 8, 9, and 10 represent the typing of the EARLINET measurements (left column) and the corresponding CALIPSO overpasses (right column) for the five grid cells. The probed altitude range was partitioned into 1 km bins and the percentage of layers identified within each bin is reported. Therefore, according to the boundary location, layers can be present in more than one height bin range.

3.1 CALIPSO level 3* comparison

3.1.1 Évora

Évora is situated in the Southern Portugal, and lies 100 km East of the industrial area of Lisbon (Preißler et al., 2013). The station is a rural site and consequently is appropriate for the study of aerosols from different sources. In Fig. 3a, Évora EARLINET monthly particle extinction coefficient decreases steeply up to 2 km and then gradually continues to decrease up to 6.5 km. On the other hand, CALIPSO profile yields a different behavior both in aerosol layering and extinction values. CALIPSO reported a strong aerosol feature around 2 km not observed by the EARLINET station and did not affect the resulting mean profile. The feature that caused the discrepancy in the profiles was flagged by CALIPSO as dust and its mean extinction value was 0.14 km^{-1}. Between 2.5–5 km the profiles are in good agreement. Further, above 5 km height the situation changes as the ground-based lidar yields zero values, while CALIPSO iden-

tifies aerosol layers. The total AOD difference (Table 4) for the whole range is 0.038. The situation for the backscatter coefficient comparison (Fig. 4a) shows better agreement around 2 km, yet the CALIPSO backscatter values in that specific layer and above exceed the EARLINET ones. The lidar ratio (Fig. 5a) within the errors is in good agreement, though the EARLINET standard deviation is higher the CALIPSO one. This is probably the result of the aerosol mixing and difference in the volumes sampled. The mean EARLINET lidar ratio is 55 ± 10 sr and the corresponding CALIPSO value is 51 ± 7 sr. Specifically for the area of discrepancy around 2 km there is an altered situation where CALIPSO lidar ratio is 55 ± 3 sr while EARLINET yields 46 ± 6 sr.

Figure 6a presents the situation as observed by the ground-based lidar. Polluted Continental and Polluted Dust showed the most pronounced impact on the aerosol loading. Typically, air masses flow from the west and prior to arriving at Évora cross the polluted area of Lisbon, creating the polluted mixtures. Oddly, pure dust particles were not detected during the measurements. Marine particles have a strong influence for the first range bin. On the other hand, Fig. 6b reports the particle classification delivered by CALIPSO typing module. Polluted Dust displayed the highest and constant frequency for all the height bins. Dust, by contrast to EARLINET, plays an important role and has increased frequency rate in higher altitudes. Polluted Continental samples decrease with height, whilst has a significant contribution in the first height range. Smoke and Marine particles had a minor frequency throughout the range.

3.1.2 Granada

The Granada EARLINET station is located in the south part of Spain and is situated in a natural basin surrounded by mountains of variable height from 1 km to 3.5 km a.s.l. The main contributors to the local aerosol load are the mineral dust from North Africa and anthropogenic pollution from Europe (Alados-Arboledas et al., 2003; Navas-Guzmán et al., 2013). The mean aerosol extinction profiles (Fig. 3b) yielded higher values for EARLINET up to 3 km, above that range both profiles showed a good agreement. The mean AOD difference, reported in Table 4, is −0.046. The backscatter

comparison (Fig. 4b) revealed the same characteristics with enhanced discrepancy in the lowermost part of the profile. Despite the observed differences in both extinction and backscatter coefficient profiles, the agreement on lidar ratio is in general good (Fig. 5b). The EARLINET retrieved lidar ratio is 45 ± 3 sr and the calculated CALIPSO lidar ratio is 46 ± 4 sr.

In Fig. 7a, the ground-based lidar retrieval identified Polluted Dust and Dust as the most frequent observed particle subtypes. Polluted Dust shows the highest frequency for the first two height bins and Dust for the rest. Dust is present everywhere and increases its contribution gradually as a function of height. Polluted Continental particles are found as high as 4 km and contribute significantly in the aerosol load for the lowest altitudes. Marine particles were observed for the first four height bins, these particles are transported from the Atlantic Ocean and the Mediterranean as well. Smoke particles highly affect the lidar signals over 5 km. For CALIPSO algorithms (Fig. 7b), as was the case for EARLINET, Polluted Dust and Dust showed a complementary behavior with Polluted Dust affecting more in the first height bins and Dust higher up. Both Smoke and Clean Continental particles are weakly influencing the lidar signals at high altitudes. No contribution was found for Marine and minor contribution from Polluted Continental particles. In overall, the CALIPSO and EARLINET aerosol typing indicate Dust and Polluted Dust as the major aerosol types over Granada grid. Once more, the dusty components identification is well captured.

3.1.3 Leipzig

The Leipzig EARLINET site is the sole continental location and presents different characteristics with respect to the other examined grid cells. Free tropospheric layers are due to advection from North America, pollution from areas north of 70° and East and Southeast Europe and Russia, as well as, even if more rare, dust intrusions from the Sahara (Mattis et al., 2008). In Fig. 3c, the extinction profiles indicate aerosols up to 4 km. The Leipzig station reports aerosol also for higher altitudes although with rather low extinction values. Two distinct layers, one in the range 1.8–2.6 km and a second in

2.9–3.6 km, were captured by CALIPSO, but not observed at Leipzig station. The total AOD difference is −0.002 (Table 4). The particle backscatter comparison for 532 nm, as shown in Fig. 4c, improves significantly in the lowermost part of the profile. In Fig. 5c, the mean CALIPSO lidar ratio is 60 ± 4 sr and it is rather constant with height. On the other hand, EARLINET lidar ratio is separated into two distinct regions, in the first region (around 1.8 km) the mean value is 76 ± 10 sr indicating the fine, absorbing particles located near the surface. The second region (1.8–3 km) coincides with the calculated mean CALIPSO lidar ratio, and exhibits a mean value of 62 ± 2 sr.

The Leipzig ground-based observations indicated as the most important component of the local aerosol load the Polluted Continental for all height intervals, as it is shown in Fig. 8a. Polluted Dust, Smoke and Dust follow in frequency of identification. Dust along with Smoke particles have a stronger influence in the higher range. Clean Continental particles lie in the first two height bins. The CALIPSO typing, shown in Fig. 8b, for the height interval 1–2 km identifies Smoke and Polluted Continental equally, for the same range Polluted Dust contributes the most. Smoke particulates keep a rather constant identification frequency for the next height increments, whereas Polluted Dust showed a decreasing frequency with height. Dust has a slightly increasing frequency with height and reflects very well the EARLINET identification rate. Clean Continental subtype becomes important in the range 3–4 km and competes in identification frequency with the Dust and Smoke subtypes.

3.1.4 Naples

The urban area of Naples is characterized by high aerosol content, mainly located in the PBL, originating from both natural sources and anthropogenic activities (Boselli et al., 2009). Looking at Fig. 3d, it is evident the strong deviation of the EARLINET and CALIPSO extinction mean profiles below 2 km (mean extinction bias -0.05 km^{-1}). This behavior can be attributed to the local aerosol content of the area of Naples, which is a densely populated and highly polluted city, and to the grid on which the CALIPSO profiles are mapped consisting mostly of maritime area (see Fig. 2). For the

upper altitude level the difference diminished and the agreement is satisfactory (mean extinction difference $< 0.001\,\mathrm{km}^{-1}$). The mean AOD difference (Table 4) is -0.052 if we consider the whole range, and -0.022 for altitudes above 1 km. Nonetheless, the strong anthropogenic impact around the area of Naples influences the comparison. In Fig. 4d, the particle backscatter comparison shows a significant improvement as the discrepancy in the lowermost part of the profile is reduced. The retrieved lidar ratio, shown in Fig. 5d, yields larger values below 2 km (PBL plus adjoining regions), $S_{\mathrm{aer}} = 72 \pm 9\,\mathrm{sr}$, because of the strong influence of small absorbing particles. PBL is capping local anthropogenic aerosols from combustion, industrial activities and traffic. In the region of 3–4 km there is good agreement between the two platforms with mean lidar ratio values of $S_{\mathrm{aer}} = 44 \pm 4\,\mathrm{sr}$ for Naples station and $S_{\mathrm{aer}} = 44 \pm 2\,\mathrm{sr}$ for CALIPSO. In the upper level the EARLINET lidar ratio fluctuates, owing mainly to the low SNR. A lidar ratio almost constant in the 0–2 km range is assumed in the CALIPSO retrieval with values of $41 \pm 3\,\mathrm{sr}$, indicative of Dust particles ($S_{\mathrm{aer}} = 40\,\mathrm{sr}$), and $46 \pm 3\,\mathrm{sr}$ above 2 km.

The EARLINET (Fig. 9a) typing scheme for the first height bin identifies stronger anthropogenic pollution, that decreases with height but still presents an important contributor to the aerosol situation. Dust and Polluted Dust particles reveal a stable behavior over the different height intervals. Smoke plumes lie in the higher altitudes of the profiles. The first two height bins are influenced by Marine particles, that typically for the Naples site are mixed with the local aerosol content. Figure 9b indicates the influence of Dust and Polluted Dust particles in CALIPSO data over the Naples grid, their vertical distribution is rather constant. These subtypes have the most profound impact on this grid cell. Marine particles expectedly lie in the lowest range of the profile, while Polluted Continental particles are almost nonexistent. This mismatch for the Polluted Continental subtype indicates the large deviation of the extinction coefficients in the lower part of the profiles. The Clean Continental type becomes important in the higher parts of the profile as well as the Smoke category but at a lesser extent. The agreement, once

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more, for the Dust and Polluted Dust category is very good, taking into account the variations of the aerosol field and the surface type.

3.1.5 Potenza

In contrast to the neighboring Naples, the Potenza station is located at a mountainous, rural site. The relatively low local aerosol content makes the observations particularly interesting for long transported particle plumes (Madonna et al., 2011; Mona et al., 2014). In Fig. 3e, the discrepancy in the profiles below 2 km is significantly high (mean extinction bias $-0.05\,\mathrm{km}^{-1}$). The differences are reduced in the upper levels (mean extinction bias $< -0.01\,\mathrm{km}^{-1}$). The lower level disparity typically is weakened during summer months, and it is intensified in winter, yet the sample size is too small to quantify the periodicity of this discrepancy. The integral of the extinction coefficients over constant height ranges was calculated, as shown in Table 4, with a total mean AOD bias of -0.041. Figure 4e shows that the "gap" in the extinction profiles near the ground disappears for the backscatter profiles. That might suggest a wrong a priori selection or inference of lidar ratio in the CALIPSO retrieval. Therefore the lidar ratio profile for each month is estimated and directly compared to averaged unconstrained EARLINET lidar ratio profile. The CALIPSO lidar ratio, in Fig. 5e, is kept for the whole altitude range slightly below 50 sr, $S_{\mathrm{aer}} = 49 \pm 3\,\mathrm{sr}$. On the other hand EARLINET measured lidar ratios exhibit higher values in the range 1.5–2.7 km, $S_{\mathrm{aer}} = 62 \pm 3\,\mathrm{sr}$, most likely because of the influence of absorbing particles. In the height range 2.7–5 km, the CALIPSO lidar ratio values agree well with the EARLINET mean value of $50 \pm 5\,\mathrm{sr}$. The obtained lidar ratio values agree with the findings of Mona et al. (2014), and suggest the existence of dust particles in the height range 2.7–5 km.

Figure 10a gives an outlook of the aerosol types observed by the EARLINET station; Polluted Continental particles affect the most in the first height bin and decrease significantly as a function of height. Polluted Dust and Dust affect the area around the site, Dust identification frequency is increasing with height while for Polluted Dust the frequency is rather stable. Smoke particles have a range invariant character up to 4 km.

For CALIPSO, Fig. 10b, Dust and Polluted Dust prevail over the grid. Smoke is present in the range 1–4 km; some Polluted Continental is in the first height bin, and Clean Continental resides in the higher altitudes. As far as Marine particles, they slightly affect the study area.

3.2   General findings and discussion

Figure 11 displays the relative difference of the extinction and backscatter comparison for each examined station. For most of the stations, the backscatter comparison at 532 nm suggests better performances of the CALIPSO backscatter with respect to the extinction. Hence, using the CALIPSO backscatter coefficient, the comparison improves the relative mean biases when compared to the CALIPSO extinction coefficient. In particular, the mean relative difference for the averaged backscatter profiles improves as much as two times, 13.7 %, in comparison to the averaged extinction profiles, 26.1 %. The better agreement in terms of backscatter has to be ascribed to the higher influence of lidar ratio assumption on extinction rather than on backscatter.

For what concerns aerosol typing, CALIPSO identifies successfully the Dust component. This is expected as the Saharan dust outbreaks are the main source of particles in the free troposphere over the considered sites, and their role is established in the local aerosol loading (e.g., Preißler et al., 2011; Navas-Guzmán et al., 2013; Mona et al., 2014). More importantly, CALIPSO's depolarization measurements facilitate the discrimination of irregular shaped particles. The Polluted Dust is also effectively identified, yet it is overused in the lowest height bins by contrast to the EARLINET identification frequency (for the Évora, Granada and Naples sites). Regarding this situation, a bug has been identified and documented by Burton et al. (2013) and Nowottnick et al. (2015), which stems from the CALIPSO retrieval code causing an overestimation of the Polluted Dust subtype. This overestimation increases with increasing AOD above a layer and hence will be most prominent in the lowest altitude regions, as was observed in this study. The Marine layers are surface dependent for the CALIPSO retrieval codes and are not considered over continental grid cells, whereas the stations

in the Mediterranean are obviously affected by mixtures of marine particles. Besides, CALIPSO underestimated the outflow of anthropogenic pollution from coastal sites towards the sea, as these aerosols are wrongly flagged as marine if observed over the sea. This situation was observed for the grid cell of Naples and is in agreement with the outcome of Kanitz et al. (2014).

3.3 Lidar ratio investigation

The choice of lidar ratio values in the CALIPSO retrievals can be a significant reason for the discrepancies observed in the aerosol extinction profiles. To investigate this, the mean EARLINET lidar ratio for each subtype is calculated and then compared with the corresponding CALIPSO modeled values (see Table 2). The EARLINET subtype layers were considered in the statistics only when there was an exact identification of the same subtype by CALIPSO. In many cases the complexity of the CALIPSO scene makes almost impossible to assign one aerosol type to each height bin, though in case of strong features, as Dust and Polluted Dust, the assignment is easier. In case of complex aerosol scenes, we simply omitted the profiles when more than one subtype is identified with the same frequency. Keeping this prerequisite of simultaneous identification, the number of available samples was reduced.

The EARLINET mean lidar ratio for the selected types is summarized in Table 5 along with the corresponding lidar ratio values (rightmost column) used by CALIPSO (e.g., Lopes et al., 2013; Young et al., 2013; Nowottnick et al., 2015). For the Smoke subtype the mean EARLINET measured lidar ratio value is 67 ± 10 sr and it compares well with the assignment made by CALIPSO classification scheme, which is $S_{aer} = 70 \pm 28$ sr. The Marine lidar ratio is 23 ± 3 sr and agrees also well with the $S_{aer} = 20 \pm 6$ sr of the CALIPSO scheme. In this case, only pure Marine layers over the stations are considered, while the mixture with other subtypes is not considered, so that the agreement is expected. The Clean Continental subtype assignment is not a straightforward procedure for the EARLINET sites, as the aerosol layer classification depends strongly on the rejection of the other types (Wandinger et al., 2011). The mean EARLINET lidar

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ratio is 45 ± 4 sr and deviates from the assumed CALIPSO $S_{aer} = 35 \pm 16$ sr. For interpreting these results, one should take into account that the Clean Continental type in the CALIPSO scheme is intended as the background aerosol and as a consequence, deemed not to be influenced by urban pollution. However these conditions are probably not realistic for the European continent. The EARLINET lidar ratio values measured for these cases seem to indicate that the cases flagged as Clean Continental are affected by absorbing particles of anthropogenic nature. For the Polluted Continental, the mean EARLINET value is 62 ± 10 sr, and is in fair agreement with the CALIPSO $S_{aer} = 70 \pm 25$ sr considering the variability of this subtype. It is most likely that the presence of marine particles over the Mediterranean area influences the mean lidar ratio value for this category. This effect was described by Balis et al. (2004) and Mona et al. (2006), where the marine particles can act as an external mixture and reduce linearly the lidar ratio values.

The EARLINET lidar ratio value for Dust is 51 ± 10 sr and is higher than the CALIPSO $S_{aer} = 40 \pm 20$ sr, however comparable considering the variability of the parameter, even in the lower limits of the standard deviation. The measured lidar ratio is in accordance with other studies (e.g., Mona et al., 2006; Guerrero-Rascado et al., 2009; Preißler et al., 2011; Wiegner et al., 2011; Schuster et al., 2012; Navas-Guzmán et al., 2013) and field experiments on dust sources (e.g., Tesche et al., 2009a, b; Groß et al., 2011). Moreover, the mean EARLINET lidar ratio exceeded the CALIPSO modeled value for all the examined sites. Typically, the source region of the dust outbreaks is the Western Saharan region where according to numerous studies (e.g., Tesche et al., 2009a; Schuster et al., 2012; Amiridis et al., 2013) lidar ratio at 532 nm is around 55–58 sr.

The mean Polluted Dust lidar ratio is 53 ± 14 sr and is in good agreement with the $S_{aer} = 55 \pm 22$ sr used in the CALIPSO retrievals, however the lidar ratio varies significantly with location. The lidar ratio value assumed by CALIPSO for Polluted Dust seems to be appropriate for continental sites as Leipzig, $S_{aer} = 52 \pm 8$ sr. A fair agreement is observed also for a Southern Europe continental site such as Potenza, even if the mean value is greater than the CALIPSO lidar ratio, $S_{aer} = 64 \pm 15$ sr. For all the other

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sites, the mean lidar ratio values stay below the CALIPSO assumed value of 55 sr, for Granada $S_{aer} = 45 \pm 11$ sr, for Évora $S_{aer} = 42 \pm 9$ sr, and for Naples $S_{aer} = 38 \pm 15$ sr. The main reason of this divergence is the presence of marine particles in the mixture, which are not taken into account for the CALIPSO Polluted Dust category (Omar et al., 2009). These results underline the large variability of the Polluted Dust lidar ratio and its dependence on the mixture of particles.

3.4 Assessing the impact of lidar ratio

In the light of the disparity observed in the lidar ratios of Clean Continental, Dust and Polluted Dust subtypes, we assessed the impact of introducing the calculated EAR-LINET values into the CALIPSO extinction retrieval. Hence, the lidar ratio values of the subtypes of Dust, Polluted Dust and Clean Continental are set to $S_{aer} = 51$ sr, $S_{aer} = 53$ sr, and $S_{aer} = 47$ sr, respectively. The CALIPSO typing data coming from the Vertical Feature Mask are weighted according to the alternative lidar ratio values and they are multiplied by the respective backscatter coefficient to estimate the extinction profiles. Figure 12 summarizes the columnar mean relative differences between the CL3* extinction profiles and the lidar ratio corrected CL3* profiles for each aerosol subtype (i.e., Clean Continental, Polluted Dust, Dust) and the combination of them.

The rate of the change caused by the adjustment of the lidar ratio depends on the observations frequency of the aerosol subtype and on the backscattering intensity of each feature. By this, we highlight that the almost 10 sr increase of the Clean Continental lidar ratio produces an extinction increase of less than 1 %, whilst the use of 53 sr instead of 55 sr for the Polluted Dust creates a decrease of about 3 %. Consequently, the Clean Continental lidar ratio inference produces an almost insignificant change in the extinction profile, whereas for the Polluted Dust, small difference in lidar ratio value leads to small underestimation of the extinction retrieval. Moreover, we should consider that this subtype is systematically overused by CALIPSO (Burton et al., 2013) and, therefore, the impending re-typing of the wrongly flagged Polluted Dust features will lead to an increase of the Dust, Polluted Continental fraction, which will affect the

lidar ratio. The potential improvement of the CALIPSO Dust retrievals by using a dust lidar ratio of 51 sr produced a 5 % increase, confirming that a regional correction and spatial constant value can enhance the extinction retrievals (Amiridis et al., 2013).

In synthesis, we observed that, even if the aerosol layer is perfectly identified, the retrieved extinction is affected by the input value of lidar ratio as, in many cases, it might not represent the local aerosol situation. The latter is the also the outcome of previous studies (e.g., Wandinger et al., 2010; Amiridis et al., 2013; Burton et al., 2013), concluding that the usage of incorrect lidar ratio would lead to errors in the AOD (Schuster et al., 2012). Here, we suggest regional corrected values of lidar ratio to improve the CALIPSO extinction retrieval based on independent, range-resolved lidar ratio profiles measured on a continental scale.

4 Conclusions

The comparison of CALIPSO to advanced ground-based lidar systems is essential to understand if CALIPSO measurements are representative of the corresponding station surrounding area in a climatological sense and if there are systematic deviations due to assumptions in the CALIPSO retrievals. CL3* data were compared against EARLINET monthly averages obtained by profiles measured during satellite overflights. CALIPSO monthly profiles yielded lower extinction values comparing to EARLINET ones. A total mean AOD difference of −0.05 was found. There are many possible reasons for the observed differences, of which the most important are: difference in sampling volumes and the spatial variability of the aerosol fields, problems/limitations into the CALIPSO measurements and uncertainty into the CALIPSO assumptions. A mean relative difference of 13.7 % was found for the aerosol backscatter coefficient, while a considerably larger difference – 26.1 % – was obtained for the extinction coefficient. The better agreement on backscatter has to be ascribed to the higher impact of lidar ratio assumption on extinction rather than on backscatter.

The comparison on aerosol typing showed a robust identification of Dust subtype demonstrating the good performance of the CALIPSO polarization-sensitive observations that facilitate the correct identification of irregular shaped particles. A CALIPSO overestimation of the Polluted Dust subtype was identified and it was found to be most

5 prominent in the lowest height ranges. This reflects the effects of a known bug suggesting that a part of the aerosol loading will be reclassified as Polluted Continental or Smoke and hence, will enhance the corresponding extinction estimates. The Polluted and Clean Continental subtypes produced the poorest agreement. The Polluted Continental disparity of the data sets, typically in the regions adjoining the PBL, affects

10 the extinction retrievals and can be attributed to the CALIPSO Polluted Dust overuse as well as to the local aerosol content. The Clean Continental subtype is the least encountered aerosol type observed and it characterizes the typical aerosol background conditions over the stations. In most of the cases, the minimum levels of the signal-to-noise ratio needed to retrieve the extinction coefficient for this aerosol subtype is not

15 met by the EARLINET systems. The Marine particles by the CALIPSO classification scheme are surface-dependent, and furthermore no mixing with other aerosol types is considered. On the other side, according to the EARLINET observations, the presence of marine particles mixed to other types (i.e., Smoke, Polluted Continental) is a common situation over the Mediterranean Sea.

20 A type-by-type comparison of CALIPSO modeled against EARLINET measured lidar ratio was carried out. The most notable differences were found for the Clean Continental, Dust, and Polluted Dust subtypes. The mean Clean Continental EARLINET lidar ratio was 47 ± 4 sr and diverges about 10 sr from the modeled value. In the CALIPSO scheme, this aerosol subtype is intended as the background aerosol and deemed not to

25 be influenced by continental pollution, whereas these conditions are unlikely in a highly populated region as Europe. The Dust EARLINET lidar ratio value is 51 ± 10 sr and is greater than the CALIPSO 40 sr, highlighting the low CALIPSO lidar ratio inference. The mean Polluted Dust lidar ratio was 53 ± 14 sr and is in good agreement with the 55 sr used in the CALIPSO retrieval codes. However, the EARLINET sites in the Mediter-

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ranean area indicate the existence of mixtures with marine particles that are not accounted for in the CALIPSO Polluted Dust subtype.

In accordance to previous studies, we have quantitatively shown the improvement of CALIPSO product by adjusting the assumed lidar ratio values taking as reference the corresponding EARLINET measurements. Based on our findings, we suggest the regional tuning of the Dust lidar ratio. Marine particles should be taken into account in the Polluted Dust subtype, at least in areas like the Mediterranean, where the flow of these particles inland change the composition affecting the CALIPSO optical properties retrieval. The correction of the space-based extinction retrieval enhanced the climatic relevant AOD about 3 % regionally. Generally, the backscatter comparison showed a better agreement with respect to the extinction comparison; hence backscatter could be coupled in the CL3 files offering more robust data, for instance, for model validation and climatological studies.

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Table 1. Characteristics of CALIPSO and EARLINET data considered for this analysis.

	CALIPSO	EARLINET
Quantity	Extinction_Coefficient_532 from L2-AProf 5 km	Particle extinction from the e files
	Backscatter_Coefficient_532 from L2-AProf 5 km	Particle backscatter from the b files
Coverage	Nighttime	Nighttime
Comments	≥ 2 profiles/month create monthly profile	

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Table 2. CALIPSO aerosol subtypes and the associated lidar ratio at 532 nm used in the aerosol optical properties retrieval. CM stands for Clean Marine, D for Dust, CC for Clean Continental, PC for Polluted Continental, PD for Polluted Dust, and S for Smoke.

Aerosol Type	CM	D	CC	PC	PD	S
Lidar Ratio at 532 nm [sr]	20	40	35	70	55	70

Table 3. Number of CALIPSO overflights and EARLINET correlative observations along with the produced monthly profiles. The minimum distance between the satellite ground track and the EARLINET station.

EARLINET station	CALIPSO overpasses	Monthly profiles	Minimum Distance [km]
Évora	15	5	63.6
Granada	20	8	66.8
Leipzig	20	10	51.4
Naples	26	11	64.0
Potenza	33	13	67.9
Total	114	47	63.5

Table 4. AOD differences in the range 0–10 km over 1 km height intervals for the five EARLINET stations.

| Height range [km] | AOD$_{CALIPSO}$−AOD$_{EARLINET}$ | | | | | |
	Évora	Granada	Leipzig	Naples	Potenza	Total
9–10	< 0.001	< 0.001	−0.001	< 0.001	< 0.001	< 0.001
8–9	0.001	< 0.001	−0.001	−0.001	< 0.001	< 0.001
7–8	0.002	0.003	−0.002	< 0.001	0.001	0.001
6–7	0.004	−0.004	−0.002	0.003	0.002	< 0.001
5–6	−0.002	−0.003	−0.001	−0.003	0.001	−0.001
4–5	−0.002	−0.003	< 0.001	0.001	< 0.001	< 0.001
3–4	−0.003	< 0.001	0.010	< 0.001	< 0.001	0.002
2–3	0.013	−0.017	0.008	−0.001	−0.008	< 0.001
1–2	−0.018	−0.017	−0.001	−0.019	−0.037	−0.018
0–1	n.a	n.a	n.a	−0.026	n.a	n.a
Total	0.038	−0.046	−0.002	−0.052	−0.041	−0.046

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Table 5. Mean lidar ratio at 532 nm for the different aerosol subtypes as measured by EAR-LINET sites and corresponding statistical parameters. The last column refers to the lidar ratio values assumed by CALIPSO and their associated lidar ratio distributions (mean plus standard deviation). M stands for Marine, D for Dust, PC for Polluted Continental, CC for Clean Continental, PD for Polluted Dust, and S for Smoke subtype.

| Aerosol type | EARLINET | | | | CALIPSO |
	Mean ± SD [sr]	Range [sr]	Median [sr]	# Samples	Mean ± SD [sr]
M	23 ± 3	21–24	22	5	20 ± 6
D	51 ± 10	41–73	48	16	40 ± 20
PC	62 ± 10	51–78	61	14	70 ± 25
CC	47 ± 4	44–52	46	4	35 ± 16
PD	53 ± 14	35–78	49	13	55 ± 22
S	67 ± 10	54–80	65	11	70 ± 28
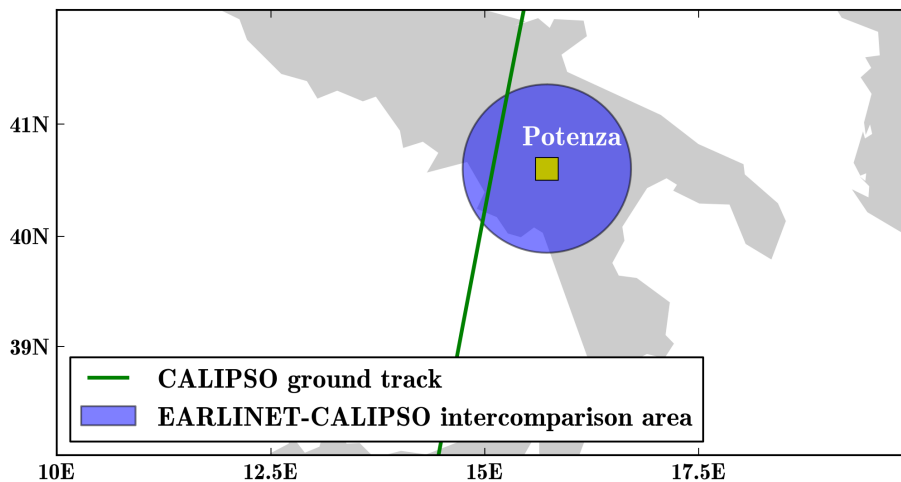
Figure 1. Example showing CALIPSO's ground track that passes the EARLINET measurement site at Potenza at a distance of less than 100 km.
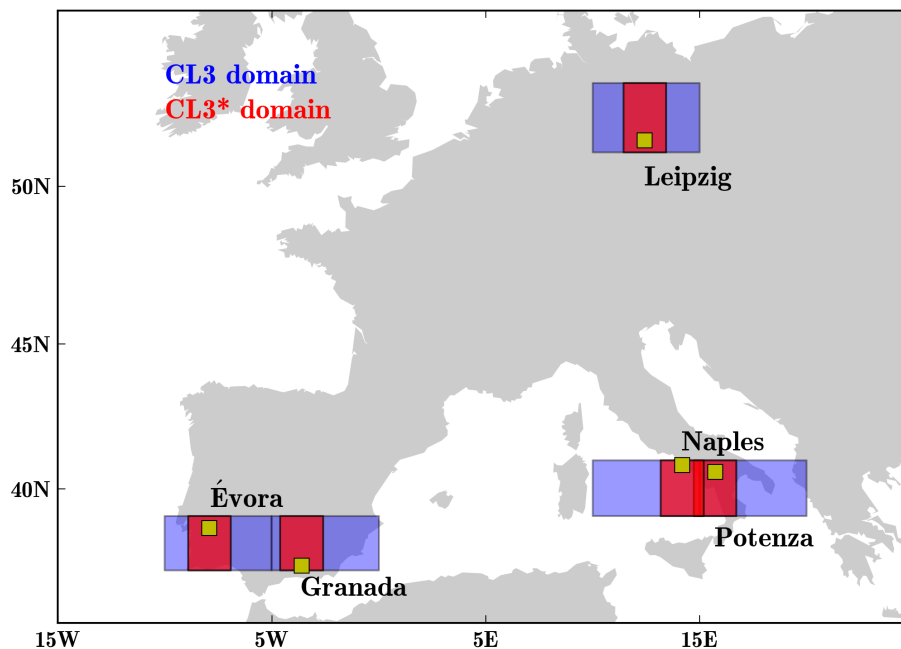
Figure 2. Spatial boundaries of the CALIPSO data that are related to the five EARLINET sites. The alternative CL3* domain reflects the finer spatial resolution with regard to CL3 domain. The CL3* grid cell is dictated by the correlative measurements schedule (measurements are triggered when the satellite's ground track is within 100 km distance from the station), the latitude boarders of the grid are kept equal to the CL3 grid.

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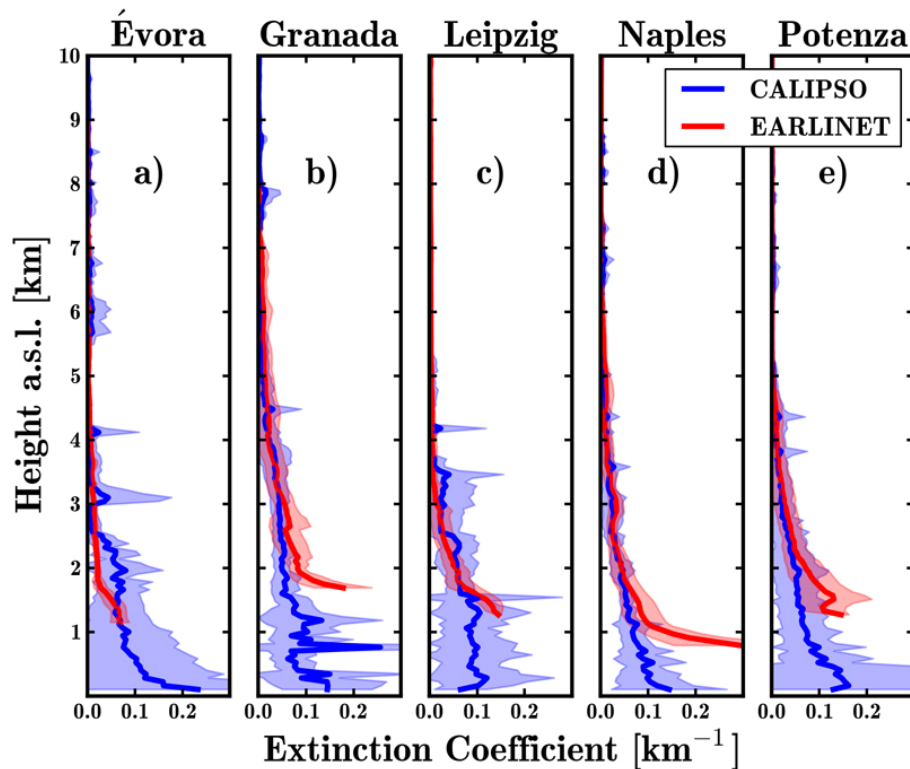
Figure 3. Extinction coefficient at 532 nm for CL3* (blue line) and for EARLINET (red line). From left to right: **(a)** Évora, **(b)** Granada, **(c)** Leipzig, **(d)** Naples, and **(e)** Potenza.

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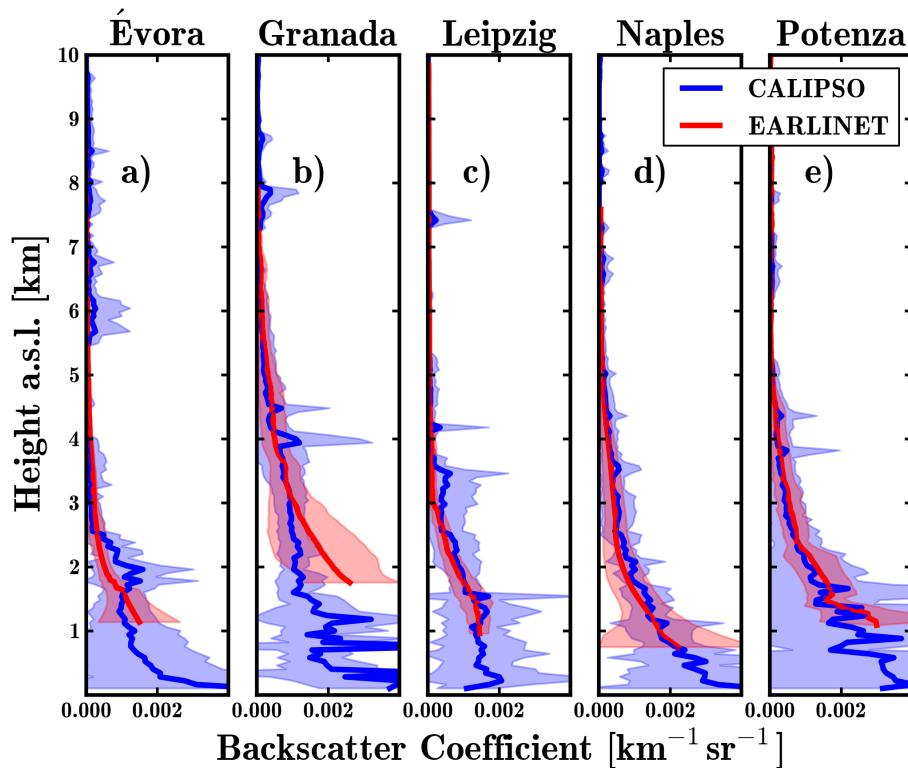
Figure 4. Backscatter coefficient at 532 nm for CL3* (blue line) and for EARLINET (red line). From left to right: **(a)** Évora, **(b)** Granada, **(c)** Leipzig, **(d)** Naples, and **(e)** Potenza.
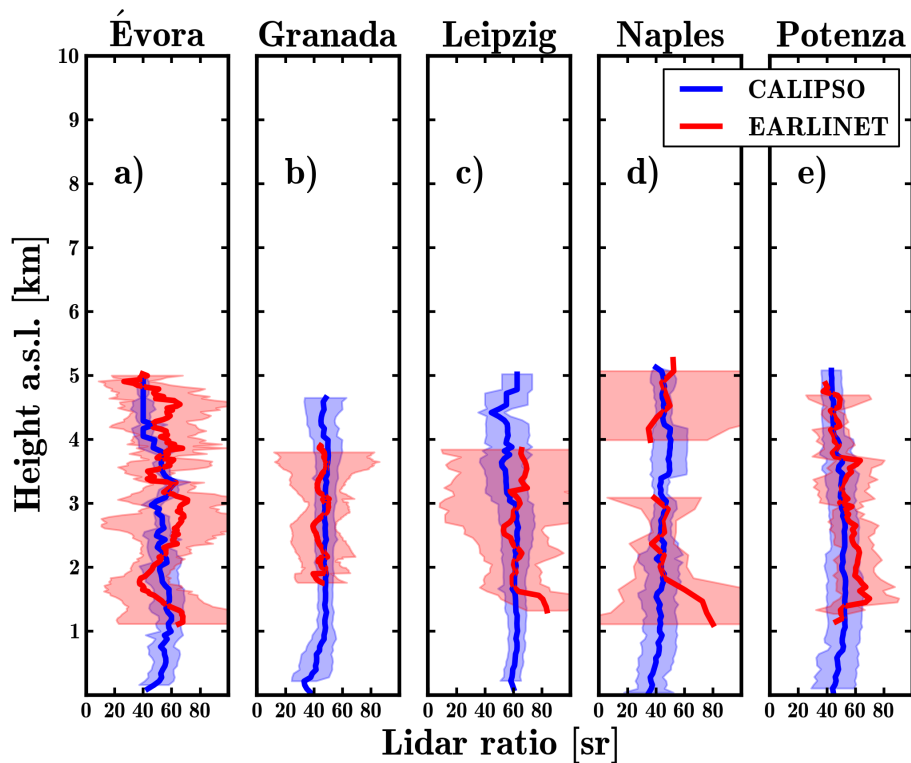
Figure 5. Lidar ratio at 532 nm for CL3* (blue line) and for EARLINET (red line). From left to right: **(a)** Évora, **(b)** Granada, **(c)** Leipzig, **(d)** Naples, and **(e)** Potenza.

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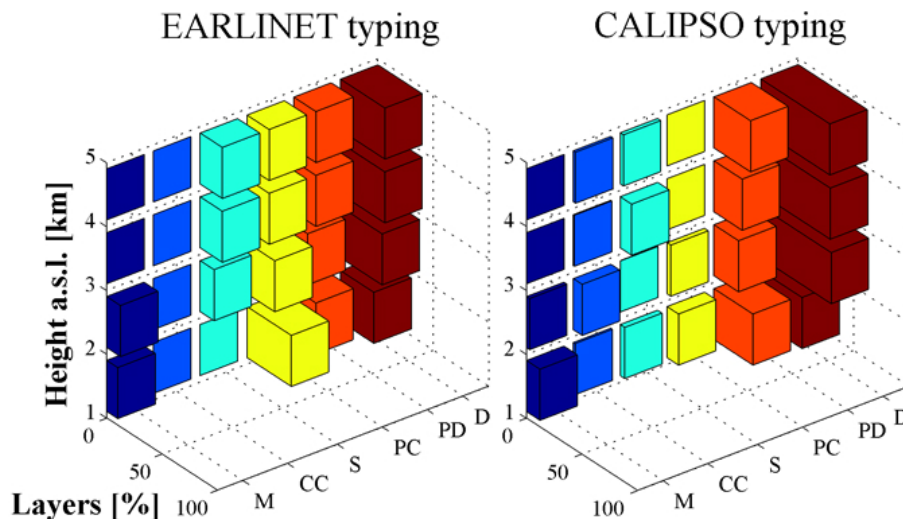Printer-friendly Version

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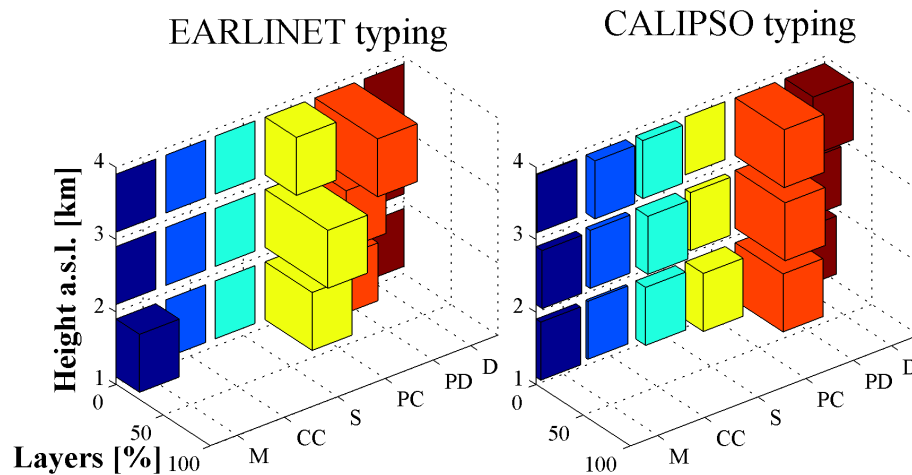
Figure 6. Évora: **(a)** EARLINET and **(b)** CALIPSO typing bar-plots for 1 km range increment. M stands for Marine, D for Dust, PC for Polluted Continental, CC for Clean Continental, PD for Polluted Dust, and S for Smoke subtype.
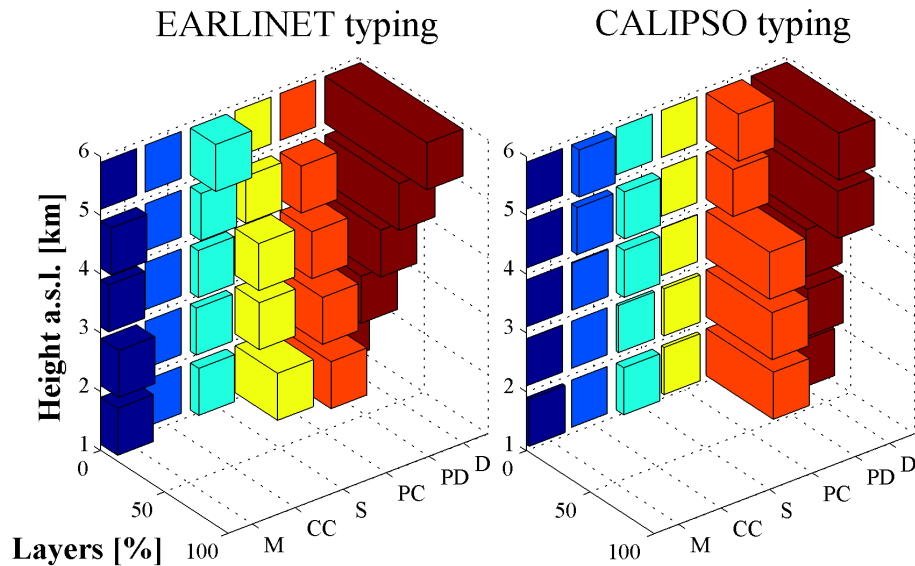
Figure 7. Granada: **(a)** EARLINET and **(b)** CALIPSO typing bar-plots for 1 km range increment. M stands for Marine, D for Dust, PC for Polluted Continental, CC for Clean Continental, PD for Polluted Dust, and S for Smoke subtype.

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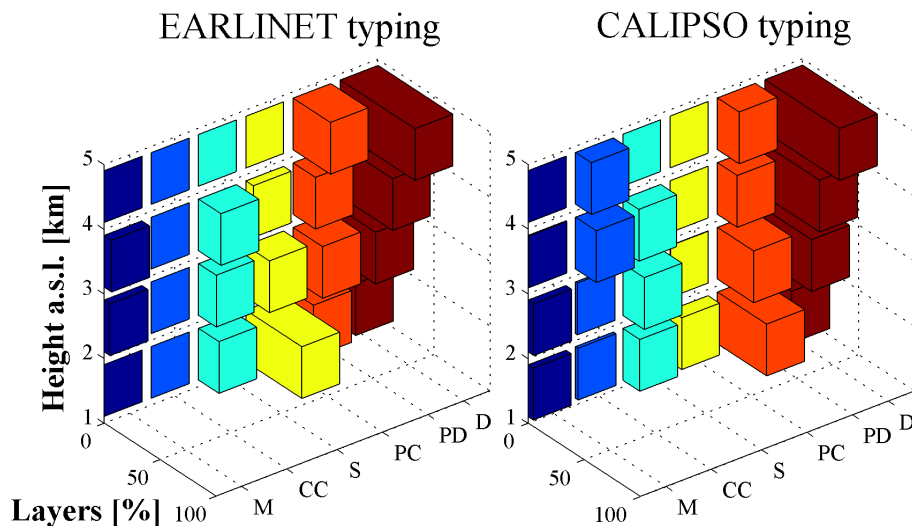Printer-friendly Version

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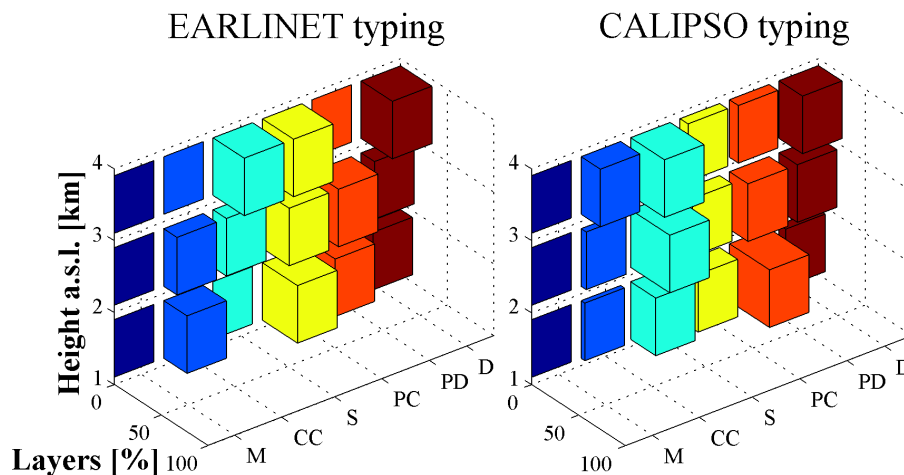
Figure 8. Leipzig: **(a)** EARLINET and **(b)** CALIPSO typing bar-plots for 1 km range increment. M stands for Marine, D for Dust, PC for Polluted Continental, CC for Clean Continental, PD for Polluted Dust, and S for Smoke subtype.

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Figure 9. Naples: **(a)** EARLINET and **(b)** CALIPSO typing bar-plots for 1 km range increment. M stands for Marine, D for Dust, PC for Polluted Continental, CC for Clean Continental, PD for Polluted Dust, and S for Smoke subtype.

Figure 10. Potenza: **(a)** EARLINET and **(b)** CALIPSO typing bar-plots for 1 km range increment. M stands for Marine, D for Dust, PC for Polluted Continental, CC for Clean Continental, PD for Polluted Dust, and S for Smoke subtype.

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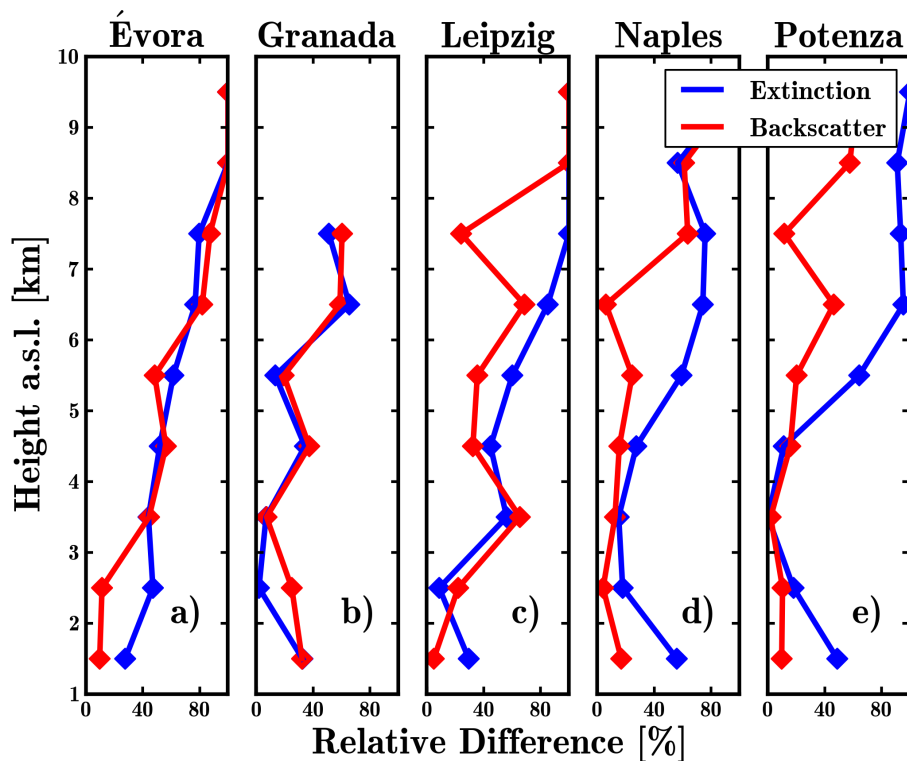
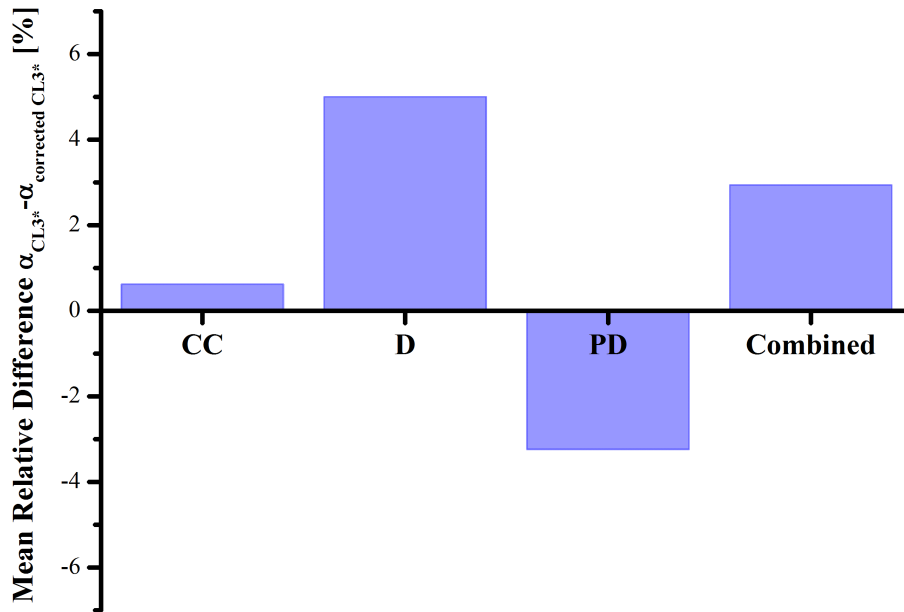**Figure 11.** Relative difference of extinction and backscatter coefficient for each considered site.

Figure 12. Mean relative differences between CL3* and the corrected CL3* extinction coefficient. The corrected CL3* extinction coefficient is retrieved when introducing the EARLINET-estimated lidar ratio for Clean Continental (CC), Dust (D), and Polluted Dust (PD) subtypes as well as for the category Combined (CC+D+PD).

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