

Drivers of changes in stratospheric and tropospheric ozone between year 2000 and 2100

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A. Banerjee^{1,a}, A. C. Maycock^{1,2,b}, A. T. Archibald^{1,2}, N. L. Abraham^{1,2}, P. Telford^{1,2}, P. Braesicke^{1,2,c}, and J. A. Pyle^{1,2}

¹Department of Chemistry, University of Cambridge, Cambridge, UK

²NCAS-Climate, Department of Chemistry, University of Cambridge, Cambridge, UK

^anow at: Department of Applied Physics and Applied Mathematics, Columbia University, New York, NY, USA

^bnow at: School of Earth and Environment, University of Leeds, Leeds, UK

^cnow at: Karlsruhe Institute of Technology, Institute for Meteorology and Climate Research, Karlsruhe, Germany

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Correspondence to: A. Banerjee (ab4283@columbia.edu)

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Abstract

A stratosphere-resolving configuration of the Met Office's Unified Model (UM) with the United Kingdom Chemistry and Aerosols (UKCA) scheme is used to investigate the atmospheric response to changes in (a) greenhouse gases and climate, (b) ozone-depleting substances (ODSs) and (c) non-methane ozone precursor emissions. A suite of time-slice experiments show the separate, as well as pairwise, impacts of these perturbations between the years 2000 and 2100. Sensitivity to uncertainties in future greenhouse gases and aerosols is explored through the use of the Representative Concentration Pathway (RCP) 4.5 and 8.5 scenarios.

The results highlight an important role for the stratosphere in determining the annual mean tropospheric ozone response, primarily through stratosphere–troposphere exchange of ozone (STE). Under both climate change and reductions in ODSs, increases in STE offset decreases in net chemical production, leading to overall increases in the tropospheric ozone burden. This opposes the effects of projected decreases in ozone precursors through measures to improve air quality, which act to reduce the ozone burden.

The global tropospheric lifetime of ozone (τ_{O_3}) does not change significantly under climate change at RCP4.5, but it decreases at RCP8.5. This opposes the increases in τ_{O_3} simulated under reductions in both ODSs and ozone precursor emissions.

The additivity of the changes in ozone is examined by comparing the sum of the responses in the single-forcing experiments to those from equivalent combined-forcing experiments. Whilst the ozone responses to most forcing combinations are found to be approximately additive, non-additive changes are found in both the stratosphere and troposphere when a large climate forcing (RCP8.5) is combined with the effects of ODSs.

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1 Introduction

Ozone is of special interest in atmospheric science due to its multiple roles as a radiatively active gas, an oxidising agent and a surface pollutant. Thus, future projections of its evolution are of particular importance for climate and air quality issues. During the 21st century, changes in climate, ozone-depleting substances (ODSs) and emissions of ozone precursor species are expected to be major factors governing ozone amounts and its distribution in the stratosphere, free troposphere and at the surface (e.g. Johnson et al., 1999, 2004; Hauglustaine et al., 2005; Zeng et al., 2008; Fiore et al., 2012). With the projected decline in ODSs following the Montreal Protocol, the relative contribution of very short-lived substances (VSLS) to the halogen loading of the stratosphere is expected to increase. However, future changes in atmospheric transport, oxidant concentrations and the magnitude of VSLS emissions lead to considerable uncertainties in their impact on ozone (Dessens et al., 2009; Hossaini et al., 2012; Yang et al., 2014). The magnitudes of natural emission sources of tropospheric ozone precursors are also likely to be affected by future changes in climate and land use (Squire et al., 2014) through changes in, for example, wildfire activity (Yue et al., 2013), lightning activity (Grewe, 2009; Banerjee et al., 2014) and the amount of isoprene emitted from vegetation (Sanderson, 2003; Pacifico et al., 2009).

The latest Intergovernmental Panel on Climate Change (IPCC) report adopted Representative Concentration Pathway (RCP) scenarios for future emissions of greenhouse gases and aerosols, which are labelled according to the total radiative forcing at the year 2100 relative to the preindustrial (RCP2.6, 4.5, 6.0 and 8.5). Future ODS emissions are equivalent for RCP4.5, 6.0 and 8.5 (Meinshausen et al., 2011). All RCPs share the assumption of stringent future air quality legislation, and include strong reductions in non-methane anthropogenic emissions. Methane emissions are highly uncertain, and its future concentration varies greatly between the RCPs. RCP2.6, 4.5 and 6.0 assume different trajectories for methane, but all project a decrease by 2100

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as compared to 2000. In contrast, RCP8.5 projects more than a doubling in methane over this period.

In the troposphere, the numerical budget of ozone or odd oxygen (O_x = species which rapidly interconvert with ozone) is widely used as a metric to gain insight into processes controlling ozone amounts. The budget consists of four terms: chemical production ($P(O_x)$), chemical loss ($L(O_x)$), deposition to the surface ($D(O_x)$) and stratosphere–troposphere exchange (STE). The two chemical terms may be combined to give the net chemical production ($NCP = P(O_x)$ minus $L(O_x)$). STE is commonly inferred as the net transport of ozone from the stratosphere to the troposphere required to close the tropospheric budget; this is the definition employed throughout the remainder of this study, unless otherwise stated. The processes that determine tropospheric ozone are strongly buffered. As a result, the inter-model spread in estimates of the contemporary ozone burden (e.g. for the year 2000) is small compared to the spread in other terms of the budget, as evident from several multi-model comparisons (IPCC, 2001; Stevenson et al., 2006; Wild, 2007; Young et al., 2013).

There exists a large body of literature that assesses the impact of future climate change on tropospheric ozone, including the multi-model studies mentioned above. Several features are robust across models: increased tropospheric ozone destruction through increased water vapour abundances (e.g. Johnson et al., 1999), which, for most models, leads to a decrease in NCP; and an increase in STE due to a strengthened Brewer–Dobson circulation (BDC) (e.g. Collins, 2003; Sudo, 2003; Zeng and Pyle, 2003).

On the other hand, isolating the impacts of declining ODS concentrations, and the associated recovery of stratospheric ozone, on tropospheric composition has received attention in only a few studies (Kawase et al., 2011; Morgenstern et al., 2013; Zhang et al., 2014). Effects could occur through two main mechanisms: (1) increases in STE and (2) increases in overhead ozone column with concomitant reductions in tropospheric photolysis rates. In such ODS-only scenarios, the aforementioned studies have shown the increase in STE to be the dominant influence on the tropospheric ozone bur-

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den, while changes in photolysis rates drive a reduction in tropospheric concentrations of the hydroxyl radical (OH) and increase the methane lifetime.

This study employs the Met Office's Unified Model containing the United Kingdom Chemistry and Aerosols sub-model (UM-UKCA) in a process-based approach to separate the impacts of future changes in climate, ODSs and emissions of non-methane ozone precursors on ozone. The analysis focuses on changes between 2000 and 2100 under the RCP4.5 and 8.5 climate forcing scenarios. Mechanisms for stratosphere–troposphere coupling are highlighted through changes in stratospheric circulation and in chemistry. However, deducing the mechanisms that underlie the changes in circulation are beyond the scope of this study, and readers are referred to other literature on these topics (e.g. McLandress and Shepherd, 2009; Butchart et al., 2010; Hardiman et al., 2013). Particular focus is rather placed on assessing impacts on the global burden of tropospheric ozone. To this end, the global, tropospheric O_x budget is analysed in detail. To the best of our knowledge, few other studies have diagnosed this budget for the RCP scenarios (Kawase et al., 2011), which, as discussed by Young et al. (2013), was a shortcoming of the recent Atmospheric Chemistry and Climate Model Intercomparison Project (ACCMIP).

In addition, of the five ACCMIP models that did diagnose the budget under future scenarios, only two had online and comprehensive calculations of stratospheric chemistry. The remaining models either calculated simplified stratospheric chemistry or applied a stratospheric ozone climatology. Differences in the representation of stratospheric chemistry likely contributed to the large reported inter-model range of STE in ACCMIP (Young et al., 2013). A focus of this study is thus on the role of the stratosphere in determining changes in tropospheric ozone.

A description of the UM-UKCA model and the experimental framework is given in Sect. 2. Results from the experiments are presented in two sections. Section 3 focuses on changes in temperature and stratospheric ozone. Section 4 then discusses tropospheric ozone and how, in particular, it is influenced by stratospheric effects. Concluding remarks are given in Sect. 5.

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tained from simulations of the HadGEM2-CC coupled atmosphere–ocean model for these scenarios (Martin et al., 2011).

2. Ozone-depleting substances (ΔODS) – a reduction in halogen-containing species to year 2100 levels. There exists some, but not large, differences in ODS concentrations between RCP scenarios, and thus RCP4.5 is arbitrarily adopted. Note that the abundance of ODSs at 2100 is still larger than that at 1960. The change in ODSs is applied to the chemistry scheme only and is uncoupled from the radiation scheme.
3. Ozone precursor emissions ($\Delta\text{O}_3\text{pre}$) – a reduction in NO_x , CO and NMVOC emissions from anthropogenic and biomass burning sources is considered. The RCP4.5 scenario is also followed here, although this is somewhat arbitrary since all RCP scenarios project aggressive mitigations of these emissions, and there are not large differences between them (Lamarque et al., 2013). Methane and natural emissions (including isoprene emissions) remain unchanged.

We emphasise that methane levels remain at year 2000 levels within the chemistry scheme in all experiments, although as mentioned, its radiative impact is included in the effects of future climate change.

2.2 Stratospheric ozone tracer

To isolate the influence of the stratosphere on the troposphere through STE, we implement a “stratospheric ozone” tracer, O_3S , into the model in a manner similar to Collins (2003). In the stratosphere, defined as altitudes above the thermal tropopause (WMO, 1957), O_3S is constrained to equal ozone at every model timestep. In the troposphere, O_3S evolves freely. Following Roelofs and Lelieveld (1997), O_3S has no tropospheric chemical production (unlike tropospheric ozone, which is formed from NO_2 photolysis); however, we do consider its loss through $\text{O}(^1\text{D}) + \text{H}_2\text{O}$, $\text{HO}_2 + \text{O}_3$, $\text{OH} + \text{O}_3$ and dry

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deposition. Loss of O_3S through reactions which conserve O_x is not considered. In this way, ozone that originates in the stratosphere can be traced through the troposphere.

The O_3S tracer was implemented in the following experiments: Base, $\Delta CC8.5$, ΔODS and $\Delta(CC8.5+ODS)$, using the model simulated, time-varying tropopause height and ozone field of each run. The impact of the choice of tropopause definition on O_3S has not been investigated; however, although there may be some quantitative differences, the qualitative conclusions drawn in Sect. 4.5 are unlikely to depend upon this choice.

3 Stratospheric ozone

Figure 1 shows changes in zonal and annual mean ozone compared to the Base run for experiments in which a single type of perturbation has been imposed in turn; the corresponding temperature changes are shown in Fig. S1 in the Supplement. Figure 2 shows changes in stratospheric and tropospheric column ozone over the tropics for the single- and combined-forcing experiments. The tropics are highlighted as a region of particular interest, since it is here that total column ozone is not expected to recover to pre-1980 values this century (Austin et al., 2010; WMO, 2011). Although some discussion of tropospheric ozone is given, the following subsections focus mainly on stratospheric changes. Whilst many of these results have, at least qualitatively, been established in other studies, the aim is to highlight those stratospheric processes which bear some relevance for tropospheric ozone, which is discussed in Sect. 4.

3.1 Climate change under RCP4.5 and 8.5

Experiments $\Delta CC4.5$ and $\Delta CC8.5$ show a pattern of temperature response (Fig. S1a and b) that is robust across climate models (IPCC, 2013). The troposphere warms across the globe, with a maximum change in excess of 3/9 K ($\Delta CC4.5/\Delta CC8.5$) in the tropical upper troposphere; the stratosphere cools, primarily due to increased long-

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wave emission by CO_2 (Fels et al., 1980). In the middle and upper stratosphere, where O_x ($= \text{O} + \text{O}_3$ here) is in photochemical steady state, it is well established that cooling slows down the rate of catalytic O_x destroying cycles (Haigh and Pyle, 1982; Jonsson et al., 2004). This effect leads to ozone increases in this region (Fig. 1a and b), which partly mitigate the CO_2 -induced cooling through increased absorption of short-wave radiation. The magnitude of this effect has been quantified using simulations (not otherwise discussed) performed under $\Delta\text{CC4.5}/\Delta\text{CC8.5}$ forcings, but in which a fixed, time-varying 3-D ozone climatology from the Base run is employed in the calculation of radiative heating rates. These simulations show the radiative offset of ozone changes to reach 2/4 K ($\Delta\text{CC4.5}/\Delta\text{CC8.5}$) at 40 km.

In the tropical lower stratosphere, where photochemical lifetimes are long and ozone is predominantly under dynamical control, a decrease in ozone arises from enhanced upwelling of ozone poor air from the troposphere, which is associated with a strengthened BDC (e.g. SPARC CCMVal, 2010; WMO, 2011; IPCC, 2013). This localised decrease in ozone is enhanced by the greater overlying ozone column, which reduces chemical production due to the “reversed self-healing” effect (Haigh and Pyle, 1982; Meul et al., 2014), but is partly mitigated by increases in lightning-derived ozone/ NO_x in the tropical upper troposphere for this model (Banerjee et al., 2014).

For the tropical stratospheric ozone column, Fig. 2 illustrates a very small and statistically insignificant increase of 0.2 DU in $\Delta\text{CC4.5}$ but a decrease of 4.7 DU in $\Delta\text{CC8.5}$. Thus, the opposite signed ozone changes in the lower and upper tropical stratosphere do not scale similarly with climate forcing in their contribution to the partial column. Whilst there is a near cancellation between these effects in $\Delta\text{CC4.5}$, the stronger BDC dominates in $\Delta\text{CC8.5}$. These results are qualitatively consistent with those from transient Coupled Model Intercomparison Project Phase 5 (CMIP5) simulations using chemistry-climate models (CCMs) (Eyring et al., 2013).

With regards to the changes in tropical tropospheric column ozone, lightning- NO_x emissions (LNO_x) are largely responsible for the 3.6/5.1 DU ($\Delta\text{CC4.5}/\Delta\text{CC8.5}$) increases shown in Fig. 2. Thus the small net change in total column ozone in $\Delta\text{CC8.5}$

reflects a strong cancellation between the changes in stratospheric and tropospheric partial columns. The global tropospheric ozone response also contains an important contribution from increased stratosphere-to-troposphere transport, which will be discussed in Sect. 4.

3.2 Reductions in ODSs

Reductions in the abundance of Cl_y and Br_y following a reduction in ODS concentrations during the coming century lead to a ubiquitous increase in stratospheric ozone through homogeneous and heterogeneous chemical reactions. This is demonstrated in Fig. 1c for the ΔODS simulation, with Fig. S1c showing the corresponding temperature change. Figure 2 shows that within the set of experiments, ΔODS displays the largest increase (13.9 DU) in tropical stratospheric column ozone.

Increased ozone in the upper stratosphere (Fig. 1c), is primarily attributable to reduced gas phase ClO_x -catalysed loss. This is partly offset by increases in the abundance of both NO_x and HO_x , through reductions in the abundance of the ClONO_2 reservoir (Portmann et al., 2012) and decreases in the flux through the reactions $\text{HCl} + \text{OH}$ and $\text{ClO} + \text{HO}_2$ (Stenke and Grewe, 2005), respectively.

The largest local changes in ozone occur in the polar lower stratosphere in both hemispheres as a result of reductions in PSC-induced chlorine and bromine catalysed ozone loss. Increases in ozone between 18–20 km exceed 40 % (April) over the Arctic and 400 % (November) over the Antarctic where ozone is strongly depleted in the Base run; associated increases in shortwave heating increase lower stratospheric temperatures, which is evident in the annual mean change over Antarctica (Fig. S1c).

Section 4 will demonstrate that the changes in lower stratospheric ozone have a strong influence on tropospheric ozone, particularly in the extratropics. In contrast, Fig. 2 shows that in the tropical troposphere, ΔODS is associated with only a small increase in tropospheric column ozone (1.0 DU).

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effect is essentially caused by a change in the temperature dependence of catalytic ozone loss with a change in the halogen loading, as found by Haigh and Pyle (1982) in their experiment combining a doubling in CO_2 with increases in ODS concentrations.

The second region where the $\Delta(\text{CC8.5+ODS})$ response is non-additive is the lower stratosphere at around 60°S ; this can be ascribed to a non-additivity in the amount of chlorine activated through heterogeneous reactions of reservoir species (ClONO_2 and HCl) on PSCs and sulfate aerosols. This can be rationalised by considering the rate of these reactions, which is proportional to the product of PSC/aerosol surface area density (SAD) and $[\text{Cl reservoir}]$. Thus, when $[\text{Cl reservoir}]$ is low (e.g. due to the lower Cl_y loadings in ΔODS), increases in the rate of reaction due to increases in SAD (e.g. due to cooling under climate change) are smaller. Therefore, in $\Delta(\text{CC8.5+ODS})$, reductions in active chlorine (ClO_x) are greater than expected from their separate effects, and hence, the ozone concentration is higher. These effects occur primarily at the edge of the vortex, where cooling under climate change leads to greater PSC formation and hence ClO_x concentrations. In contrast, in the cold core of the vortex, cooling under climate change does not greatly affect PSC areas, since temperatures are already below the PSC formation threshold in the Base experiment.

Note that scenarios in which CH_4 or N_2O are changed in the chemistry scheme have not been explored. If such perturbations were combined with ΔODS , non-additive responses would be expected since both CH_4 and N_2O control chlorine partitioning (through $\text{CH}_4 + \text{Cl} \rightarrow \text{HCl} + \text{CH}_3$ and $\text{NO}_2 + \text{ClO} + \text{M} \rightarrow \text{ClONO}_2 + \text{M}$, respectively) (e.g. Fleming et al., 2011; Portmann et al., 2012; Meul et al., 2015).

Overall, the stratospheric changes are largely as expected from theory and previous model studies. We have demonstrated that the stratosphere is not strongly influenced by chemical changes in the free troposphere in these experiments. However, the stratospheric ozone changes have important impacts on the troposphere. To demonstrate this, the next section provides a detailed analysis of the troposphere.

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4 Tropospheric ozone

This section focuses on the global burden of ozone and its lifetime in the troposphere. The role of changes in both chemical production/loss and STE of ozone are discussed. One key aim is to ascertain the influence of the stratosphere on the troposphere, which as will be shown, mainly occurs through STE. Consequences of changes in STE are highlighted not only for the global ozone burden, but also for its latitude-height distribution. Where reported, errors represent the 5–95 % confidence interval, as calculated from the standard deviation in 10 yearly-mean values for UM-UKCA experiments; for multi-model means, errors give the inter-model range as 1σ .

4.1 Year 2000 tropospheric O_x budget

The global and annual mean O_x budget of the troposphere for all experiments is shown in Table 2. Multi-model mean values from the ACCENT ensemble (Stevenson et al., 2006) are included for comparison to the Base run. Values for the more recent ACCMIP ensemble are not shown, since only six of those models diagnosed the budget; the reader is referred to Young et al. (2013) for more detail. For most terms, the Base run compares favourably with the ACCENT results. Chemical production ($P(O_x)$), loss ($L(O_x)$) and deposition ($D(O_x)$) are well within 1σ of the multi-model mean. However, the inferred STE of $360 \pm 14 \text{ Tg}(O_3) \text{ yr}^{-1}$ is lower than observational estimates, which range between 450 and 550 $\text{Tg}(O_3) \text{ yr}^{-1}$ (e.g. Gettelman et al., 1997; Olsen et al., 2001, 2013), and the ACCENT mean of $552 \pm 168 \text{ Tg}(O_3) \text{ yr}^{-1}$. Nevertheless, a comparison to the ACCENT results is likely to be inadequate since almost all of the ACCENT models did not include a full representation of the stratosphere. In addition, some models altered the stratospheric upper boundary condition to match observational constraints, whereas STE cannot be predetermined in such a way in the UM-UKCA scheme.

The balance between the terms means that the Base ozone burden of $326 \pm 2 \text{ Tg}(O_3)$ is close to the ACCENT and ACCMIP ensemble means (344 ± 39 and $337 \pm 23 \text{ Tg}(O_3)$, respectively). Note that the UM-UKCA budgets are calculated using the monthly mean

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den, and hence opposing the effects of projected reductions in ozone precursors. The results presented here further demonstrate that increases in STE, though smaller in magnitude than changes in the chemical terms, are also crucial for the higher ozone burden under climate change in these experiments. Furthermore, through increased STE, reduced ODSs also act to oppose the effects of ΔO_3 pre.

The sensitivity of the tropospheric budget terms to climate change is qualitatively consistent with results from most models. However, the sign of the change in the ozone burden is not agreed upon by models. The ACCENT multi-model mean showed a slight decrease in the burden under the Special Report on Emissions Scenarios (SRES) A2 emissions scenario between the years 2000 and 2030 (Stevenson et al., 2006), whereas Kawase et al. (2011) find an increased burden under RCP4.5 and 8.5 in sensitivity tests perturbing all GHG concentrations except methane between 2000 and 2100. The ACCMIP ensemble mean shows a decrease of 7% for RCP4.5 and an increase of 18% for RCP8.5 over this period, although these experiments included all forcings (Young et al., 2013). This includes $NO_x/CO/NMVOC$ emission reductions and, in RCP8.5, the assumption of a large increase in methane, which would have significant chemical impacts that are not explored in this study.

Note that to ensure a physically consistent definition of the troposphere, the height of the tropopause is allowed to change in response to the climate perturbations in these experiments. Therefore, under climate change, a rising of the tropopause contributes to an increase in the ozone burden.

The following subsection explores the impact of methane feedbacks on the calculated ozone burdens, before the underlying causes of the changes in NCP and STE are investigated.

4.3 Methane adjustments

All experiments include a uniform fixed lower boundary condition of 1.75 ppmv for methane, which effectively fixes its abundance throughout the troposphere. Thus any changes in OH essentially do not affect methane concentrations, nor are any subse-

quent feedbacks captured. This includes the influence of methane on its own abundance (Isaksen and Hov, 1987) as well as on ozone.

The feedback factor, f (e.g. Fuglestedt, 1999), gives a measure of the influence of methane on its own lifetime, and has previously been estimated to be 1.52 for this model (Banerjee et al., 2014). Following the methodology in that study and references therein, the amount of methane and ozone that would be simulated at equilibrium if methane were allowed to evolve freely have been calculated using the whole atmosphere methane lifetime (τ_{CH_4}) reported in Table 2; corresponding equilibrium ozone burdens are reported in the final column.

The estimated equilibrium ozone burdens are 7 and 16 Tg(O₃) smaller than simulated in the ΔCC4.5 and ΔCC8.5 experiments, respectively. In contrast, only a 2 Tg(O₃) increase in ozone burden compared to simulated values is estimated for the ΔODS and ΔO3pre experiments. Therefore, when considering the effects of methane adjustments, the extent to which climate change counters the impact of ΔO3pre on the ozone burden is somewhat reduced, while the extent to which ΔODS counters ΔO3pre is slightly increased. Nonetheless, the qualitative conclusions remain unchanged.

4.4 Chemical production and loss

To explore changes in NCP, Fig. 5 shows mean values for the Base experiment and the changes due to each type of perturbation in the primary O_x chemical production (HO₂ + NO, MeO₂ + NO and RO₂ + NO, where RO₂ is a generic peroxy radical not including HO₂ or MeO₂) and loss (O(¹D) + H₂O, HO₂ + O₃ and OH + O₃) routes. Together, these constitute 98 and 97% of total chemical production and loss of O_x, respectively.

Figure 4a shows that reductions in NCP are largest when emissions of ozone precursors are reduced. Figure 5b shows that this is driven by decreases in P(O_x), primarily through the HO₂ + NO reaction. Mitigation of NO_x emissions, and hence a reduction in NO concentrations, directly drive the majority of this response. Reductions in NMVOC and, in particular, CO emissions also contribute by slowing down OH to HO₂ conver-

sion, thus reducing HO₂ concentrations. Additionally, the decreases in ozone also act to reduce HO_x abundances. It is beyond the scope of this work to quantify the relative importance of these separate drivers.

The impact of climate change reduces NCP in the experiments, as can be seen from each set of connecting lines in Fig. 4a; this is in qualitative agreement with recent multi-model studies (Stevenson et al., 2006; Young et al., 2013). This is the result of greater L(O_x), which dominates over a smaller increase in P(O_x). Greater L(O_x) occurs primarily via increased O(¹D) + H₂O (Fig. 5c) as atmospheric moisture content increases, and is a robust feature across models, although the magnitude will depend on the amplitude of tropospheric warming. Here, the imposed SSTs and sea ice are derived from a model that is part of the HadGEM2 family, known to lie on the upper end of the current modelled range of equilibrium climate sensitivities (Andrews et al., 2012). Greater P(O_x) occurs mainly due to increased LNO_x associated with changes in tropical convection (see Banerjee et al., 2014, for more details), although the importance of this effect relative to other drivers of O_x production is expected to be highly model dependent. The fluxes through HO₂ + NO and MeO₂ + NO (Fig. 5b) thus increase with climate change. Both P(O_x) and L(O_x) are amplified for the larger RCP8.5 climate forcing.

Figure 4a also shows that there are consistent reductions in NCP under lower ODS concentrations. For the ΔODS experiment, NCP is reduced by 55 Tg(O₃) yr⁻¹ relative to Base, with P(O_x) reduced (-104 Tg(O₃) yr⁻¹) more than L(O_x) (-49 Tg(O₃) yr⁻¹). This result is strongly influenced by changes in stratospheric ozone which lead to modifications in tropospheric actinic fluxes and photolysis rates, with subsequent chemical feedbacks in the troposphere. P(O_x) and L(O_x) are particularly sensitive to photolysis rates for NO₂ to NO (J(NO₂)) and O₃ to O(¹D) (J(O₃)). With increases in stratospheric ozone (Figs. 1c and 2), J(O₃) is strongly reduced, but J(NO₂) is largely unaffected. Reductions in J(O₃) depress O(¹D) abundances (not shown), despite increases in tropospheric ozone. The reduction in O(¹D) mixing ratio is largest in the extratropics and peaks at over 50 % in southern high latitudes, where the stratospheric ozone column is

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enhanced by ~ 80 DU in the annual mean (not shown), in contrast to the much smaller change in the tropics (see Fig. 2). With lower $[O(^1D)]$, the loss of O_x through $O(^1D) + H_2O$ is diminished (Fig. 5c). Loss through $HO_2 + O_3$ is increased, however, due to the increase in tropospheric ozone abundances. By contrast, $P(O_x)$ is reduced through all three major channels as a result of decreases in ODSs (Fig. 5b). Following changes in stratospheric column ozone, previous studies have shown that the sign of the HO_x response follows that of $J(O_3)$ regardless of background NO_x levels (Fuglestedt et al., 1994); in this case, decreases in HO_x in the extratropics (and to a lesser extent, MeO_2) drive lower $P(O_x)$.

Whilst much insight can be gained from analysis of the chemical terms of the O_x budget, these alone cannot explain the overall changes in tropospheric ozone burden for the climate change and ODS experiments. As previously described, changes in STE have an important role alongside modifications to tropospheric chemical processes, and these are discussed in the following section.

4.5 STE

4.5.1 Measures of STE and its influence on the troposphere

Although several metrics for STE exist (Hsu and Prather, 2014), the common approach of inferring STE from the other three terms of the O_x budget is adopted here. In the Base experiment, STE is calculated to be $360 \text{ Tg}(O_3) \text{ yr}^{-1}$. STE may be altered by changes in the residual circulation and two-way mixing (which collectively characterise the BDC) (Plumb, 2002), and in the ozone distribution in the extratropical lower stratosphere.

The Transformed Eulerian Mean (TEM) residual vertical velocity (Andrews et al., 1987) and the total upward and downward residual mass fluxes across a fixed pressure surface (Rosenlof, 1995) are used as metrics for the stratospheric circulation. Mass fluxes are calculated between all latitudes where there is net upward or downward motion, respectively. The upward mass flux at 70 hPa is used as a measure for

the overall strength of the residual circulation (SPARC CCMVal, 2010). The downward mass flux at 100 hPa is used as an indicator for the STE of air, although more accurate measures exist (see Rosenlof and Holton, 1993; Holton et al., 1995; Rosenlof, 1995; Yang and Tung, 1996, for a fuller discussion).

5 The climatological, annual mean upward mass flux at 70 hPa in the Base experiment is $7.9 \times 10^9 \text{ kg s}^{-1}$. For comparison, the ERA-Interim reanalysis data (Dee et al., 2011) and most models within the Chemistry-Climate Model Validation project (CCMVal-2) indicate a value of around $6 \times 10^9 \text{ kg s}^{-1}$ (Butchart et al., 2011); the residual circulation is therefore $\sim 33\%$ stronger in the UM-UKCA model.

10 Changes in the residual circulation in the single-forcing experiments, as shown in Fig. 6, are linked qualitatively to changes in STE. While quantifying the global and annual net flux of ozone into the troposphere is useful for understanding changes in the global burden of tropospheric ozone, to study the impacts on the distribution of ozone in the troposphere, we use the stratospheric ozone tracer, O_3S (see Sect. 2.2). Note
15 that the amount and distribution of O_3S in the troposphere depends on its tropospheric lifetime and transport, in addition to transport from the stratosphere. Figure 7 shows the relative contribution of O_3S to the annual mean ozone field in the Base experiment. The contribution is lowest (20 %) in the equatorial region, where upward transport takes place. The contribution is greater in the extratropics, particularly so in the Southern
20 Hemisphere (SH) where other sources of ozone are relatively weak.

4.5.2 Changes in STE

The residual circulation, as measured by the upward mass flux at 70 hPa, is projected to strengthen under climate change by all climate models (e.g. Butchart et al., 2006, 2010; SPARC CCMVal, 2010; Hardiman et al., 2013). The UM-UKCA model also shows this
25 behaviour: Figure 6a shows an increase of 10 % ($\Delta\text{CC4.5}$) and 27 % ($\Delta\text{CC8.5}$) in the annual mean. The latter result is comparable to the CMIP5 multi-model mean increase for the RCP8.5 scenario (32 % between 2000 and 2100).

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The BDC consists of two distinct branches, commonly referred to as the deep and shallow branches (Plumb, 2002). Both branches strengthen under climate change in these experiments, which is in agreement with other recent studies (Hardiman et al., 2013; Lin and Fu, 2013). The downward mass flux at 100 hPa increases by 11 % in the SH and 21 % in the NH in $\Delta\text{CC4.5}$, and by 37 and 42 %, respectively, in $\Delta\text{CC8.5}$ (Fig. 6b and c); these are the main contributors to the increases in global STE of 62 and 101 $\text{Tg}(\text{O}_3)\text{yr}^{-1}$, respectively. This result is supported by Collins (2003), Zeng and Pyle (2003) and Zeng et al. (2010) who isolated the effects of circulation changes on STE in a future climate.

Figure 8 shows absolute changes in O_3S between Base and selected experiments ($\Delta\text{CC8.5}$, ΔODS and $\Delta(\text{CC8.5}+\text{ODS})$), as well as changes in tropospheric ozone for comparison. Increases in O_3S occur particularly in the subtropical upper troposphere for $\Delta\text{CC8.5}$ (Fig. 8a), suggesting an increased importance of STE in these regions in a future climate. A strengthened shallow branch of the BDC contributes to this response. This does not preclude another important contribution from more efficient isentropic stirring across the tropopause (as suggested by the idealised model study of Orbe et al., 2013). This effect may be particularly important for ozone, which has a large concentration gradient across the tropopause.

The peak O_3S increase in $\Delta\text{CC8.5}$ is greater in the NH subtropics (7 ppbv) than in the SH (5 ppbv). Despite this, the hemispheric asymmetry in the tropospheric ozone change (Fig. 8b) is in the opposite sense, due to a greater contribution from LNO_x -produced ozone in the SH. Using a simulation in which climate is allowed to vary according to the RCP8.5 scenario, but in which LNO_x is fixed to Base values (detailed in Banerjee et al., 2014), we deduce that the change in O_3S under climate change can be as large as 30/50 % (SH/NH) of the increase in ozone due to increases in LNO_x in the subtropics.

Consistent with Palmeiro et al. (2014), Lin and Fu (2013) and Oberländer et al. (2013), ozone recovery in the ΔODS experiment is associated with a weakening of the SH deep branch of the BDC during austral summer. In this model, a weaken-

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in this region further increases τ_{O_3} (the deposition term of the O_x budget is lower by, on average, $199 \text{ Tg}(O_3) \text{ yr}^{-1}$ in all runs which include $\Delta O_3\text{pre}$). τ_{O_3} is also affected by changes in the amount of HO_x and its partitioning. Mitigation of surface NO_x emissions reduces total HO_x (through ozone), which increases τ_{O_3} . The reduction in emissions favours HO_2 over OH , which drives a reduction in τ_{O_3} since loss of ozone to HO_2 is greater than to OH (see Fig. 5a). This is only important in the lowermost troposphere since the NO_x lifetime is short near the surface and the impact on τ_{O_3} through this mechanism is thus small (Wang and Jacob, 1998). An increase in τ_{O_3} comes from the decrease in CO (in particular) and $NM VOC$ emissions, which favours HO_x partitioning towards OH , as discussed in Sect. 4.4.

A decrease in τ_{O_3} of 0.1 ± 0.1 days (0.4%) ($\Delta CC4.5$) and 1.5 ± 0.1 days (6.7%) ($\Delta CC8.5$) is found under climate change, predominantly as a result of greater water vapour-induced loss of ozone. This is counteracted by increases in LNO_x and STE , which increase ozone in the upper troposphere where its lifetime is long. For $\Delta CC8.5$, the water vapour effect dominates leading to the largest decrease in τ_{O_3} within the entire set of experiments (Fig. 9).

In the ΔODS experiment, τ_{O_3} increases by 1.2 ± 0.1 days (5.3%) as a result of decreases in $O(^1D)$, OH and HO_2 amounts, especially at middle and high latitudes, as discussed in Sect. 4.4. Enhanced STE augments this effect.

Hence, in terms of τ_{O_3} , the effects of climate change oppose those of $\Delta O_3\text{pre}$, while ΔODS enhances them. The largest increase in lifetime of 2.2 ± 0.1 days is calculated for $\Delta(O_3 + O_3\text{pre})$, which outweighs the decrease in $\Delta CC8.5$ (1.5 ± 0.1 days). The colour coded arrows in Fig. 9 denote the changes in τ_{O_3} when a particular type of perturbation is added, either in isolation or in combination. The fact that all arrows for a particular type of perturbation (i.e. those of a particular colour) follow approximately the same path indicates that the changes are linearly additive.

4.7 Tropospheric additivity

We now consider the additivity in the tropospheric ozone response for the combined-forcing experiments. Figure 10 compares modelled values of NCP, STE and the ozone burden for the combined-forcing experiments with those expected from a linear addition of changes in the respective single-forcing experiments. It is evident that, generally, the changes match those expected assuming additivity.

The $\Delta(\text{CC8.5+ODS})$ simulation raises the only significant exception. The increase in STE in $\Delta(\text{CC8.5+ODS})$ is $62 \text{ Tg}(\text{O}_3) \text{ yr}^{-1}$ greater than the sum of the increases in the $\Delta\text{CC8.5}$ and ΔODS experiments (Fig. 10b). Consistent with this, only $\Delta(\text{CC8.5+ODS})$ exhibits a non-additivity in changes in O_3S (Fig. S2), which extends from the stratosphere into the troposphere in the SH, and to a lesser extent, in the NH. This is qualitatively expected since an increase in the strength of the stratospheric circulation (due to climate change) under greater background ozone (due to reduced ODS amounts) leads to a greater increase in STE than expected from the sum of the two separate effects. The impact is largest in the SH where increases in lower stratospheric ozone are largest.

The non-additive change in ozone in the SH lower stratosphere for this experiment (Fig. 3b) might further contribute to the non-additive change in STE, but quantifying this effect is beyond the scope of this study.

Non-additivity in $\Delta(\text{CC8.5+ODS})$ is also evident in NCP (Fig. 10a), which is found to be $55 \text{ Tg}(\text{O}_3) \text{ yr}^{-1}$ less than expected. The response is driven by chemical loss rather than production: greater loss occurs directly as a result of STE-derived increases in ozone (relative to the additive response). To a great extent, the larger loss counters increased STE, such that the change in the global ozone burden for $\Delta(\text{CC8.5+ODS})$ (Fig. 10c) is close to the expected response, demonstrating the strong buffering that takes place in response to increases in tropospheric ozone.

5 Conclusions

This study has explored the impacts of future climate change, reductions in ozone-depleting substances (ODSs) and in non-methane ozone precursor emissions on global ozone and, in particular, on the tropospheric budget of odd oxygen (O_x). Time-slice experiments representing conditions for the years 2000 and 2100 were performed with the UM-UKCA chemistry-climate model (CCM), in a configuration that contains a comprehensive description of both stratospheric and tropospheric chemistry. This allowed an investigation of the consequences of future changes in stratospheric chemistry and dynamics for the tropospheric O_x budget.

The principal results regarding the stratosphere are:

1. Changes in ozone and temperature are in qualitative agreement with previous literature.
2. For simulations in which two types of perturbation are combined, changes in ozone can generally be reproduced by the sum of changes in the appropriate single-forcing experiments. The only exception arises when combining a large climate forcing (RCP8.5) with the effects of ODSs, for which there is a detectable non-additivity in the upper stratosphere and Southern Hemisphere lower stratosphere.

The principal results regarding the troposphere are:

1. The global tropospheric ozone burden decreases with projected reductions in ozone precursor emissions as part of air quality controls, but this effect is opposed by future changes in climate and ODSs; some combination of these processes will determine future changes in tropospheric oxidising capacity and background surface ozone.
2. Increases in stratosphere–troposphere exchange (STE) of O_x primarily result from a strengthened Brewer–Dobson circulation under climate change and from increases in lower stratospheric ozone abundances under reduced ODSs.

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3. The increases in STE act to increase ozone most in the subtropical (climate change) and extratropical (ODS changes) upper troposphere; the upper troposphere is a key region for ozone as a radiative forcing agent.
4. The enhancements in STE act to increase the global tropospheric ozone burdens, despite concomitant reductions in net chemical production under climate change and reduced ODSs.
5. The global and tropospheric lifetime of ozone is enhanced under lower ozone precursor emissions and ODSs; this is opposed by a decrease under climate change at RCP8.5. Essentially no change is found for climate change at RCP4.5.
6. Changes in the tropospheric O_x budget terms when combining two types of perturbation can generally be reproduced by summing the effects of the separate perturbations. Combining changes in climate (RCP8.5) and ODSs leads to a non-additive change in STE, but the effect on the ozone burden is strongly buffered.

The sensitivity tests in this study have investigated the effects of some, but not all, of the key drivers of ozone under selected scenarios. For example, the future evolution of methane is highly uncertain and its chemical effects have not been examined here. CCM studies that have imposed increases in methane according to the RCP scenarios show large increases in tropospheric ozone, particularly at RCP8.5, which would greatly oppose the effects of emission controls on global, tropospheric ozone (e.g. Young et al., 2013; Revell et al., 2015).

The base climate state, climate sensitivity (incorporated here through the imposed sea surface temperatures), chemical complexity and parameterisations of processes such as lightning NO_x emissions may all contribute to inter-model differences in projections of future ozone. However, although the quantitative results of this study are likely to be specific to UM-UKCA, the significance of the stratosphere in determining future changes in tropospheric ozone through STE is clear. The results therefore emphasise the need for a good representation of STE in CCMs to simulate future tropospheric ozone. While models with simplified stratospheric ozone chemistry are unlikely

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Table 1. List of model simulations.

Experiment	Climate (SSTs, sea ice, GHGs ^a)	ODSs (total chlorine, bromine ^b)	Ozone precursor emissions ^c
Base	2000	2000	2000
ΔCC4.5	2100 (RCP4.5)	2000	2000
ΔCC8.5	2100 (RCP8.5)	2000	2000
ΔODS	2000	2100 (RCP4.5)	2000
ΔO3pre	2000	2000	2100 (RCP4.5)
Δ(CC4.5+ODS)	2100 (RCP4.5)	2100 (RCP4.5)	2000
Δ(CC4.5+O3pre)	2100 (RCP4.5)	2000	2100 (RCP4.5)
Δ(CC8.5+ODS)	2100 (RCP8.5)	2100 (RCP4.5)	2000
Δ(CC8.5+O3pre)	2100 (RCP8.5)	2000	2100 (RCP4.5)
Δ(ODS+O3pre)	2000	2100 (RCP4.5)	2100 (RCP4.5)

^a Changes in GHGs are imposed within the radiation scheme only.

^b Relative to Base, runs containing ΔODS include total chlorine and bromine reductions at the surface of 2.3 ppbv (67%) and 9.7 pptv (45%), respectively.

^c Relative to Base, runs containing ΔO3pre include average global and annual emission changes of: NO (−51%), CO (−51%), HCHO (−26%), C₂H₆ (−49%), C₃H₈ (−40%), CH₃COCH₃ (−2%), CH₃CHO (−28%).

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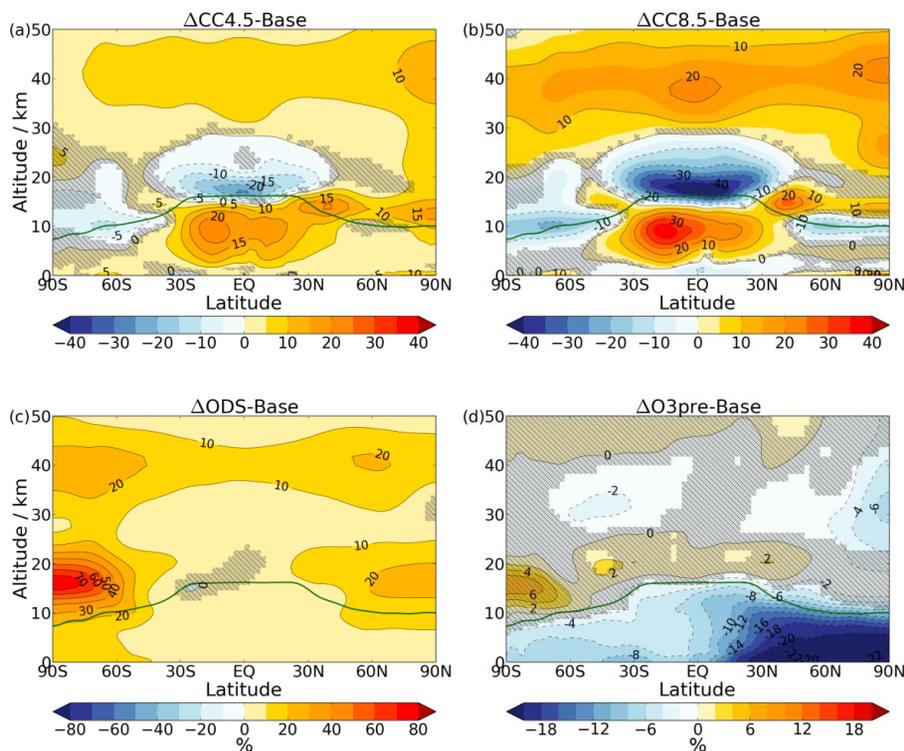


Figure 1. Changes in annual and zonal mean ozone for single-forcing experiments relative to Base. Areas where the changes are not statistically significant at the 95 % level according to a two-tailed Student's t test are hatched out. The solid green line indicates the thermal tropopause (WMO, 1957) of the Base run.

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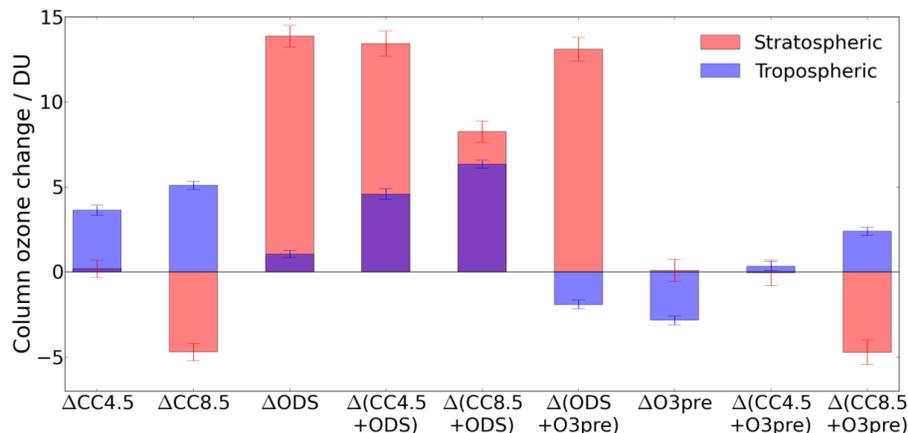


Figure 2. Changes in annual mean, area-weighted tropical (30°S – 30°N) stratospheric (red) and tropospheric (blue) column ozone for the single- and combined-forcing experiments relative to Base. Partial columns are calculated assuming a thermal tropopause and a 50 km stratopause. Error bars indicate the 5–95 % confidence interval, calculated as ± 1.96 times the standard error in the mean of the change.

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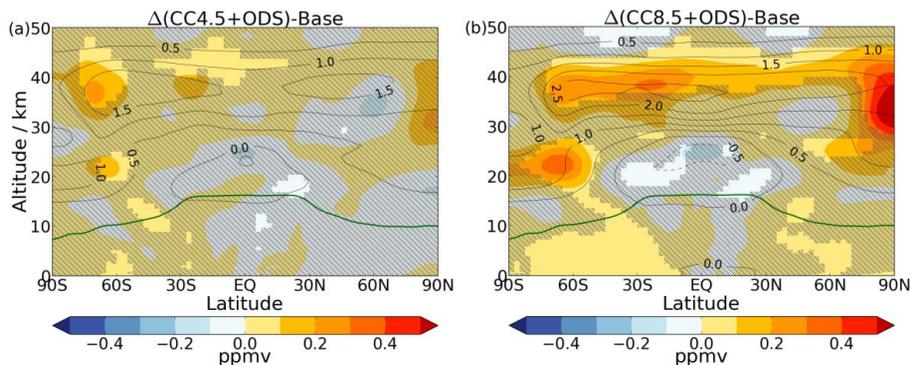


Figure 3. Changes in annual and zonal mean ozone (ppmv, contours) from Base to two combined-forcing runs: **(a)** $\Delta(\text{CC4.5+ODS})$ and **(b)** $\Delta(\text{CC8.5+ODS})$. The shading indicates the amount by which the response deviates from additivity (i.e. the difference between the combined-forcing experiment and the sum of the individual-forcing cases). Areas where the non-additive component of the response is not significant at the 95 % level according to a Student's t test are hatched out. The solid green line indicates the thermal tropopause of the Base run.

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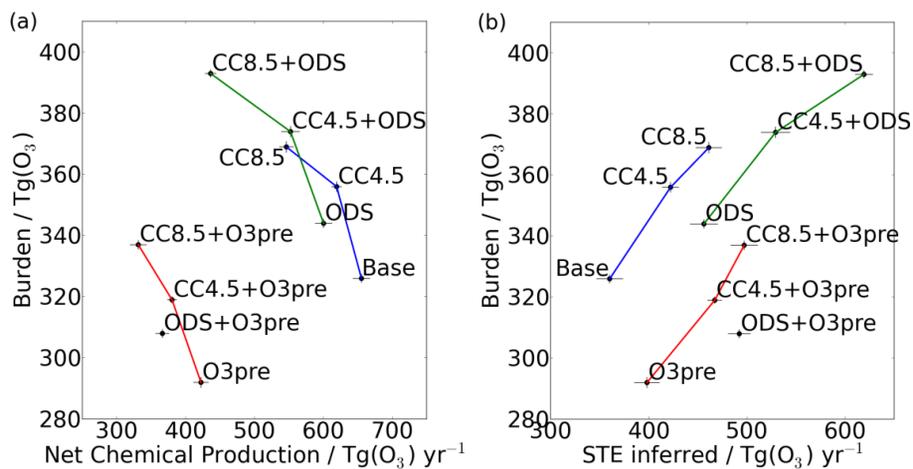


Figure 4. Tropospheric ozone burden against **(a)** NCP and **(b)** STE. Connecting lines are drawn between experiments which differ only in their climate states. Error bars denote the 5–95% confidence interval, calculated as ± 1.96 times the standard error in the mean.

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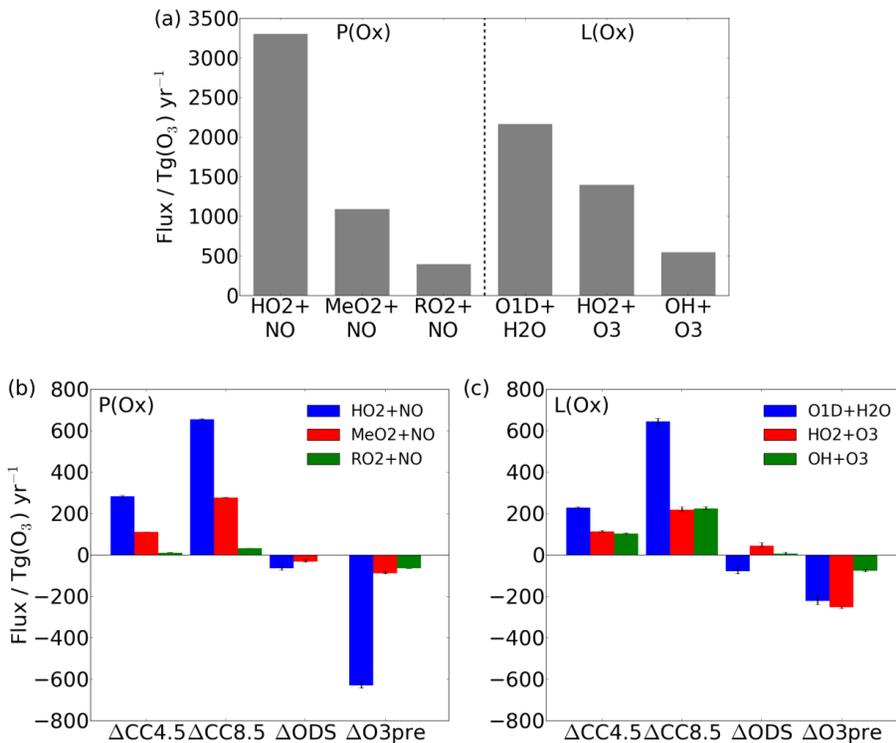


Figure 5. (a) Global tropospheric and annual mean fluxes in the Base run through the main channels for chemical production and loss of O₃. Differences between Base and the four different types of perturbation are shown for chemical (b) production and (c) loss. These account for the changes in all runs that include a particular type of perturbation e.g. the bars for ΔCC4.5 represent the mean of the differences ΔCC4.5-Base, Δ(CC4.5+ODS)-ΔODS and Δ(CC4.5+O3pre)-ΔO3pre. The range of these calculated means is illustrated by whiskers on each bar.

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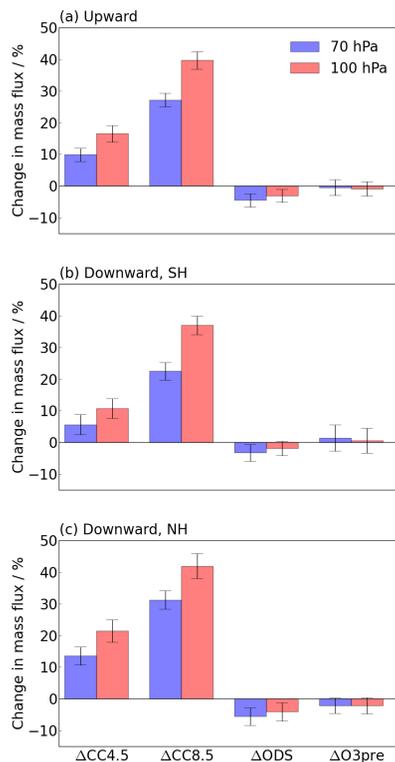


Figure 6. Changes in total **(a)** upward **(b)** downward (SH) and **(c)** downward (NH) mass fluxes at 70 hPa (blue bars) and 100 hPa (red bars) for the single-forcing experiments relative to Base. Error bars indicate the 5–95% confidence interval, calculated as ± 1.96 times the standard error in the mean of the change.

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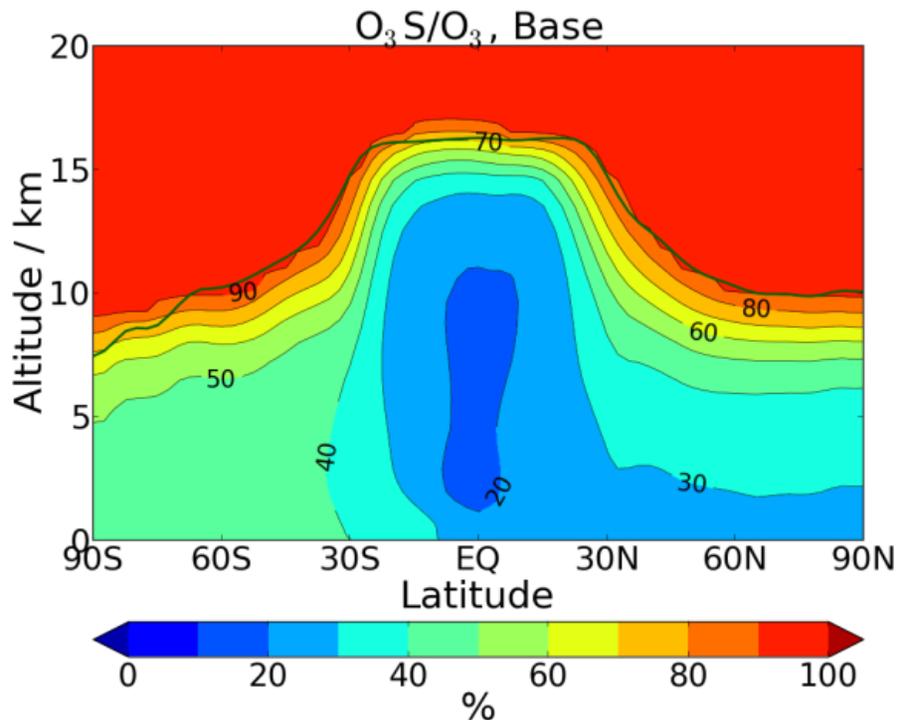


Figure 7. The zonal and annual mean contribution of O_3S to ozone in the Base simulation. The solid green line indicates the thermal tropopause of the Base run.

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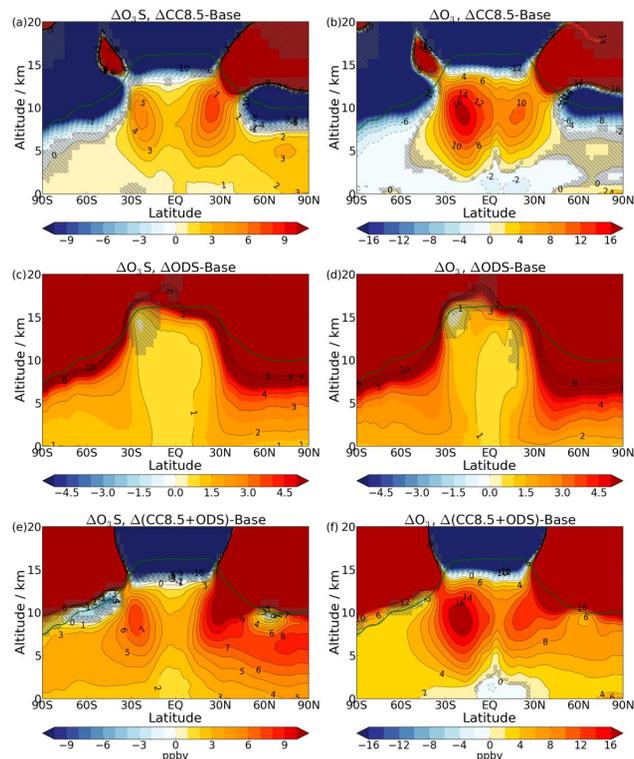


Figure 8. Changes in annual and zonal mean O_3S (first column) and ozone (second column) mixing ratios (ppbv) from Base to a selection of experiments, $\Delta CC8.5$, ΔODS and $\Delta(CC8.5+ODS)$. The solid green line indicates the thermal tropopause of the Base run. Strong reductions in O_3S and ozone occur near the tropopause under climate change because of a lifting of the tropopause, which introduces tropospheric (ozone poor) air into this region.

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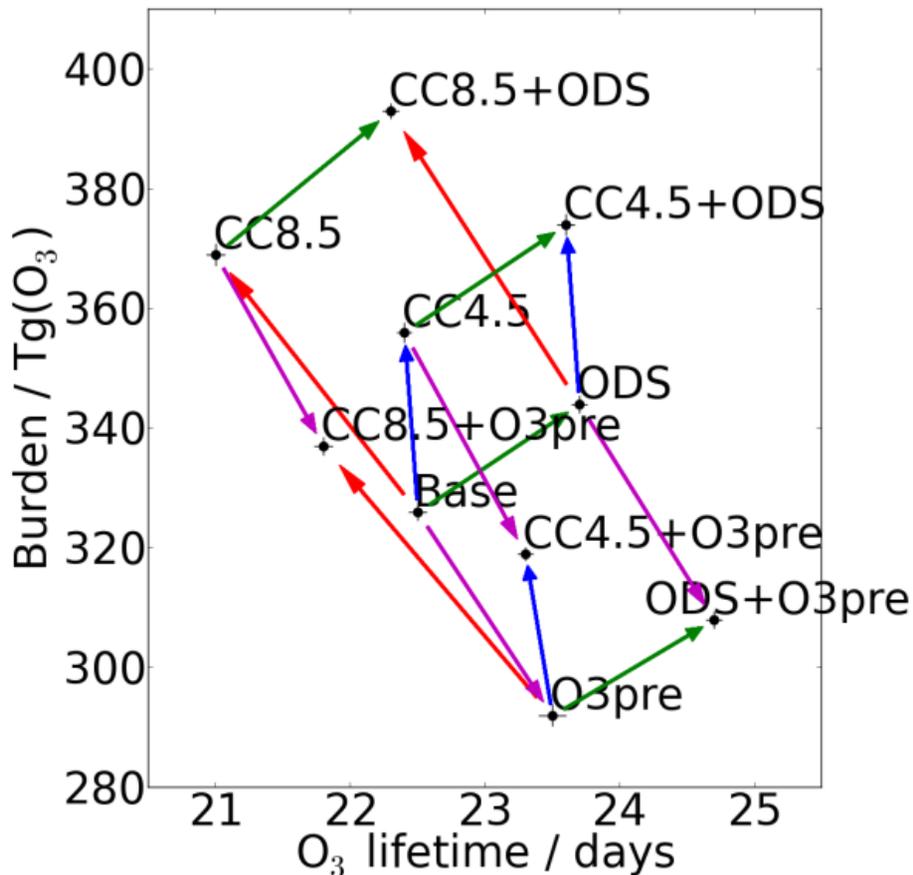


Figure 9. Tropospheric ozone burden against the ozone lifetime. Arrows indicate the impact of climate change at RCP4.5 (blue) and RCP8.5 (red), reduced ODS loadings (green) and reduced ozone precursor emissions (magenta). Error bars indicate the 5–95% confidence interval, calculated as ± 1.96 times the standard error in the mean.

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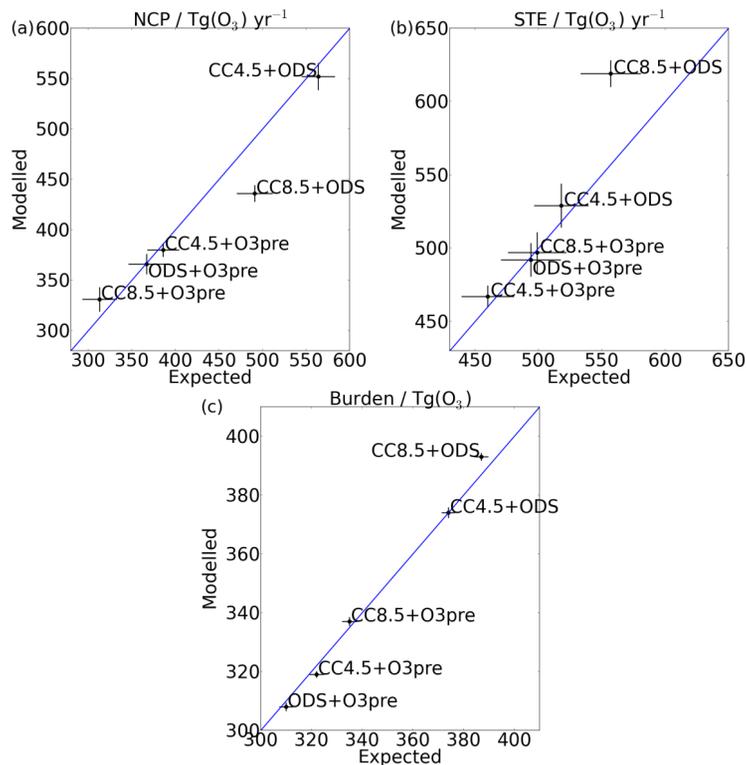


Figure 10. Correlations in **(a)** NCP, **(b)** STE and **(c)** the ozone burden between the combined-forcing experiments and those expected from a linear addition of changes in the single-forcing experiments relative to Base. Error bars indicate the 5–95% confidence interval calculated as ± 1.96 times the standard error in the mean.