Drivers of changes in stratospheric and tropospheric ozone between year 2000 and 2100

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16 Abstract

17 A stratosphere-resolving configuration of the Met Office's Unified Model (UM) with the 18 United Kingdom Chemistry and Aerosols (UKCA) scheme is used to investigate the 19 atmospheric response to changes in a) greenhouse gases and climate, b) ozone-depleting 20 substances (ODSs) and c) non-methane ozone precursor emissions. A suite of time-slice 21 experiments show the separate, as well as pairwise, impacts of these perturbations between 22 the years 2000 and 2100. Sensitivity to uncertainties in future greenhouse gases and aerosols 23 is explored through the use of the Representative Concentration Pathway (RCP) 4.5 and 8.5 24 scenarios.

The results highlight an important role for the stratosphere in determining the annual mean tropospheric ozone response, primarily through stratosphere-troposphere exchange of ozone (STE). Under both climate change and reductions in ODSs, increases in STE offset decreases in net chemical production and act to increase the tropospheric ozone burden. This opposes the effects of projected decreases in ozone precursors through measures to improve air
 quality, which act to reduce the ozone burden.

The global tropospheric lifetime of ozone (τ_{O3}) does not change significantly under climate change at RCP4.5, but it decreases at RCP8.5. This opposes the increases in τ_{O3} simulated under reductions in both ODSs and ozone precursor emissions.

6 The additivity of the changes in ozone is examined by comparing the sum of the responses in 7 the single-forcing experiments to those from equivalent combined-forcing experiments. 8 Whilst the ozone responses to most forcing combinations are found to be approximately 9 additive, non-additive changes are found in both the stratosphere and troposphere when a 10 large climate forcing (RCP8.5) is combined with the effects of ODSs.

11

12 **1** Introduction

Ozone is of special interest in atmospheric science due to its multiple roles as a radiatively 13 active gas, an oxidising agent and a surface pollutant. Thus, future projections of its evolution 14 15 are of particular importance for climate and air quality issues. During the 21st century, changes in climate, ozone-depleting substances (ODSs) and emissions of ozone precursor 16 17 species are expected to be major factors governing ozone amounts and its distribution in the 18 stratosphere, free troposphere and at the surface (e.g. Johnson et al., 1999; Jonsson et al., 19 2004; Hauglustaine et al., 2005; Zeng et al., 2008; Fiore et al., 2012; Revell et al., 2015). With 20 the projected decline in ODSs following the Montreal Protocol, the relative contribution of 21 very short-lived substances (VSLS) to the halogen loading of the stratosphere is expected to 22 increase. However, future changes in atmospheric transport, oxidant concentrations and the 23 magnitude of VSLS emissions lead to considerable uncertainties in their impact on ozone 24 (Dessens et al., 2009; Hossaini et al., 2012; Yang et al., 2014). The magnitudes of natural emission sources of tropospheric ozone precursors are also likely to be affected by future 25 changes in climate and land use (Squire et al., 2014) through changes in, for example, wildfire 26 27 activity (Yue et al., 2013), lightning activity (Grewe, 2009; Banerjee et al., 2014) and the 28 amount of isoprene emitted from vegetation (Sanderson, 2003; Pacifico et al., 2009).

The latest Intergovernmental Panel on Climate Change (IPCC) report adopted Representative Concentration Pathway (RCP) scenarios for future emissions of greenhouse gases and aerosols, which are labelled according to the total radiative forcing at the year 2100 relative to the preindustrial (RCP2.6, 4.5, 6.0 and 8.5). Future ODS emissions are equivalent for RCP4.5, 6.0 and 8.5 (Meinshausen et al., 2011). All RCPs share the assumption of stringent future air quality legislation, and include strong reductions in non-methane anthropogenic emissions. Projections of methane concentration vary greatly between the RCPs. RCP2.6, 4.5 and 6.0 assume different trajectories for methane, but all project a decrease by 2100 as compared to 2000 (van Vuuren et al., 2011). In contrast, RCP8.5 projects more than a doubling in methane over this period.

8 In the troposphere, the numerical budget of ozone is widely used as a metric to gain insight 9 into processes controlling ozone amounts. In practice, many studies calculate the budget of odd oxygen (O_x) to account for species that rapidly interconvert with ozone. In this study, O_x 10 is defined as the sum of ozone, O(³P), O(¹D), NO₂, 2NO₃, 3N₂O₅, HNO₃, HNO₄, peroxyacetyl 11 nitrate (PAN), peroxypropionyl nitrate (PPAN) and peroxymethacrylic nitric anhydride 12 13 (MPAN). Although the exact definition varies between studies, in any case, ozone represents 14 the majority of O_x . The budget consists of four terms: chemical production (P(O_x)), chemical 15 loss (L(O_x)), deposition to the surface (D(O_x)) and stratosphere-troposphere exchange (STE). The two chemical terms may be combined to give the net chemical production (NCP = $P(O_x)$) 16 17 minus $L(O_x)$). STE is commonly inferred as the net transport of ozone from the stratosphere to the troposphere required to close the tropospheric budget; this is the definition employed 18 19 throughout the remainder of this study, unless otherwise stated. The processes that determine 20 tropospheric ozone are strongly buffered. As a result, the inter-model spread in estimates of 21 the contemporary ozone burden (e.g. for the year 2000) is small compared to the spread in 22 other terms of the budget, as evident from several multi-model comparisons (IPCC, 2001; 23 Stevenson et al., 2006; Wild, 2007; Young et al., 2013).

There exists a large body of literature that assesses the impact of future climate change on tropospheric ozone, including the multi-model studies mentioned above. Several features are robust across models: increased tropospheric ozone destruction through increased water vapour abundances (e.g. Johnson et al., 1999), which, for most models, leads to a decrease in NCP; and an increase in STE due to a strengthened Brewer-Dobson circulation (BDC) (e.g. Collins et al., 2003; Sudo et al., 2003; Zeng and Pyle, 2003).

30 On the other hand, isolating the impacts of declining ODS concentrations, and the 31 associated recovery of stratospheric ozone, on tropospheric composition has received 32 attention in only a few studies (Kawase et al., 2011; Morgenstern et al., 2013; Zhang et al., 1 2014). Effects could occur through two main mechanisms: i) increases in STE and ii) 2 increases in overhead ozone column with concomitant reductions in tropospheric photolysis 3 rates. In such ODS-only scenarios, the aforementioned studies have shown the increase in 4 STE to be the dominant influence on the tropospheric ozone burden, while changes in 5 photolysis rates drive a reduction in tropospheric concentrations of the hydroxyl radical (OH) 6 and increase the methane lifetime.

7 This study employs the Met Office's Unified Model containing the United Kingdom 8 Chemistry and Aerosols sub-model (UM-UKCA) in a process-based approach to separate the 9 impacts of future changes in climate, ODSs and emissions of non-methane ozone precursors 10 on ozone. The analysis focuses on changes between 2000 and 2100 under the RCP4.5 and 8.5 11 climate forcing scenarios. Note that future methane emissions are highly uncertain and changes in its abundance, particularly at RCP8.5, will likely have large tropospheric and 12 13 stratospheric impacts (Randeniya et al., 2002; Fleming et al., 2011; Revell et al., 2012, 2015; Young et al., 2013) that are not the focus of this study. Instead, we wish to isolate other 14 15 drivers of ozone changes, in particular, the role of a change in mean climate state at RCP8.5, without the assumption of a large increase in methane abundance. Hence, the methane 16 17 boundary condition is kept fixed in all sensitivity tests, although its radiative forcing effect is 18 included in future changes to climate.

19 Mechanisms for stratosphere-troposphere coupling are highlighted through changes in 20 stratospheric circulation and in chemistry. We do not discuss the detailed mechanisms that underlie changes in the global circulation(e.g. McLandress and Shepherd, 2009; Butchart et 21 22 al., 2010; Hardiman et al., 2013). Particular focus is rather placed on assessing impacts on the 23 global burden of tropospheric ozone. To this end, the global, tropospheric O_x budget is 24 analysed in detail. To the best of our knowledge, few other studies have diagnosed this budget 25 for the RCP scenarios (Kawase et al., 2011), which, as discussed by Young et al. (2013), was a shortcoming of the recent Atmospheric Chemistry and Climate Model Intercomparison 26 27 Project (ACCMIP).

In addition, of the five ACCMIP models that did diagnose the budget under future scenarios, only two had online and comprehensive calculations of stratospheric chemistry. The remaining models either calculated simplified stratospheric chemistry or applied a stratospheric ozone climatology. Differences in the representation of stratospheric chemistry likely contributed to the large reported inter-model range of STE in ACCMIP (Young et al., 2013). A focus of this study is thus on the role of the stratosphere in determining changes in
 tropospheric ozone.

A description of the UM-UKCA model and the experimental framework is given in Section 2. Results from the experiments are presented in two sections. Section 3 focuses on changes in temperature and stratospheric ozone. Section 4 then discusses tropospheric ozone and how, in particular, it is influenced by stratospheric effects. Concluding remarks are given in Section 5.

8

9 2 Methodology

10 **2.1 Model description and experimental set-up**

11 This study uses an atmosphere-only, stratosphere-resolving configuration of UM-UKCA at a 12 resolution of N48L60 $(3.75^{\circ} \times 2.5^{\circ})$, with 60 hybrid-height levels extending up to 84 km). A 13 detailed description of the model can be found in Banerjee et al. (2014). Briefly, the model 14 combines the previously validated UKCA stratospheric (Morgenstern et al., 2009) and 15 tropospheric (O'Connor et al., 2014) chemical schemes. These include stratospheric gas phase ozone chemistry, heterogeneous reactions on polar stratospheric clouds (PSCs) and oxidation 16 of methane, carbon monoxide (CO) and non-methane volatile organic compounds 17 (NMVOCs). Natural forcings (volcanic eruptions, solar cycle variations) are not included in 18 19 the experiments, but the model does internally generate the quasi-biennial oscillation (QBO). 20 Emissions of NO_x from lightning (LNO_x) are parameterised as a function of cloud-top height 21 (Price and Rind, 1992, 1994) and thus, can vary with changes in convection (Banerjee et al., 22 2014). Ozone and water vapour are interactive between the chemistry and radiation schemes.

We present results from a series of time-slice experiments, forced with fixed seasonallyvarying boundary conditions. These include time-averaged sea surface temperatures (SSTs) and sea ice, a uniform fixed CO_2 concentration, uniform surface mixing ratios for other greenhouse gases (GHGs) and ODSs, and emissions of NO_x , CO and NMVOCs. Each simulation is integrated for 20 years, with the last 10 years used for analysis.

A control simulation (Base) is forced by full year 2000 conditions; the remaining experiments perturb one or more of the boundary conditions to year 2100 levels. The experiments are detailed in Table 1, which has been updated from Banerjee et al. (2014). The three types of perturbation detailed in that paper, and briefly described now, are:

- i) Climate change (ΔCC) the climate is changed by varying SSTs, sea ice and GHG
 concentrations (CO₂, CH₄, N₂O, CFCs and HCFCs) in the radiation scheme only.
 Perturbations to year 2100 levels follow two RCP scenarios: RCP4.5 and RCP8.5 (van
 Vuuren et al., 2011), with climatological SSTs and sea ice obtained from simulations
 of the HadGEM2-CC coupled atmosphere-ocean model for these scenarios (Martin et al., 2011).
- ii) Ozone-depleting substances (ΔODS) a reduction in halogen-containing species to
 year 2100 levels. There exists some, but not large, differences in ODS concentrations
 between RCP scenarios, and thus RCP4.5 is arbitrarily adopted. Note that the
 abundance of ODSs at 2100 is still larger than that at 1960. The change in ODSs is
 applied to the chemistry scheme only and is uncoupled from the radiation scheme.
- 12 iii) Ozone precursor emissions ($\Delta O3$ pre) a reduction in NO_x, CO and NMVOC 13 emissions from anthropogenic and biomass burning sources is considered. The 14 RCP4.5 scenario is also followed here, although this is somewhat arbitrary since all 15 RCP scenarios project aggressive mitigations of these emissions, and there are not 16 large differences between them (Lamarque et al., 2013). Methane and natural 17 emissions (including isoprene emissions) remain unchanged.
- We emphasise that methane levels remain at year 2000 levels within the chemistry scheme in all experiments, although as mentioned, its radiative impact is included in the effects of future climate change.

22 **2.2 Stratospheric ozone tracer**

To isolate the influence of the stratosphere on the troposphere through STE, we implement a 23 24 'stratospheric ozone' tracer, O₃S, into the model in a manner similar to Collins et al. (2003). In 25 the stratosphere, defined as altitudes above the thermal tropopause (WMO, 1957), O₃S is 26 constrained to equal ozone at every model timestep. In the troposphere, O₃S evolves freely. 27 Following Roelofs and Lelieveld (1997), O₃S has no tropospheric chemical production 28 (unlike tropospheric ozone, which is formed from NO₂ photolysis); however, we do consider 29 its loss through $O(^{1}D) + H_{2}O$, $HO_{2} + O_{3}$, $OH + O_{3}$ and dry deposition. Loss of $O_{3}S$ through 30 reactions which conserve O_x is not considered. In this way, ozone that originates in the 31 stratosphere can be traced through the troposphere.

1 The O_3S tracer was implemented in the following experiments: Base, $\triangle CC8.5$, $\triangle ODS$ 2 and Δ (CC8.5+ODS), using the model simulated, time-varying thermal tropopause height and ozone field of each run. The impact of the choice of tropopause definition on O₃S has not 3 been investigated; Lin et al. (2012) find in their CCM that seasonally averaged surface O₃S 4 5 abundances are 5-8 ppbv higher when defined by the thermal tropopause compared to the 'e90 tropopause', which essentially differentiates tropospheric from stratospheric air based on 6 7 mixing time scales (Prather et al., 2011). However, although there are quantitative differences 8 in absolute O₃S abundances between different tropopause definitions, the qualitative 9 conclusions drawn in Sect. 4.5 regarding changes in O₃S are unlikely to depend upon this 10 choice.

11

12 **3** Stratospheric ozone

13 Figure 1 shows changes in zonal and annual mean ozone compared to the Base run for 14 experiments in which a single type of perturbation has been imposed in turn; the corresponding temperature changes are shown in Figure S1. Figure 2 shows changes in 15 stratospheric and tropospheric column ozone over the tropics for the single- and combined-16 17 forcing experiments. The tropics are highlighted as a region of particular interest, since it is 18 here that total column ozone is not expected to recover to pre-1980 values this century 19 (Austin et al., 2010; WMO, 2011; Eyring et al., 2013). Although some discussion of 20 tropospheric ozone is given, the following subsections focus mainly on stratospheric changes. 21 Whilst many of these results have, at least qualitatively, been established in other studies, the 22 aim is to highlight those changes in the large-scale stratospheric state which bear some 23 relevance for tropospheric ozone, which is discussed in Section 4.

24

25 **3.1 Climate change under RCP4.5 and 8.5**

Experiments Δ CC4.5 and Δ CC8.5 show a pattern of temperature response (Figs. S1a and S1b) that is robust across climate models (IPCC, 2013). The troposphere warms across the globe, with a maximum change in excess of 3/9 K (Δ CC4.5/ Δ CC8.5) in the tropical upper troposphere; the stratosphere cools, primarily due to increased longwave emission by CO₂ (Fels et al., 1980). In the middle and upper stratosphere, where O_x (= O + O₃ here) is in

photochemical steady state, it is well established that cooling slows down the rate of catalytic 1 2 O_x destroying cycles (Haigh and Pyle, 1982; Jonsson et al., 2004). This effect leads to ozone increases in this region (Figs. 1a and 1b), which partly mitigate the CO₂-induced cooling 3 4 through increased absorption of shortwave radiation. The magnitude of this effect has been 5 quantified using simulations (not otherwise discussed) performed under $\Delta CC4.5/\Delta CC8.5$ forcings, but in which a fixed, time-varying 3D ozone climatology from the Base run is 6 7 employed in the calculation of radiative heating rates. These simulations show the radiative 8 offset of ozone changes to reach 2/4 K (Δ CC4.5/ Δ CC8.5) at 40 km.

9 In the tropical lower stratosphere, where photochemical lifetimes are long and ozone is 10 predominantly under dynamical control, a decrease in ozone arises from enhanced upwelling 11 of ozone poor air from the troposphere, which is associated with a strengthened BDC (e.g. SPARC CCMVal, 2010; WMO, 2011; IPCC, 2013). This localised decrease in ozone is 12 13 enhanced by the greater overlying ozone column, which reduces chemical production due to 14 the 'reversed self-healing' effect (Haigh and Pyle, 1982; Meul et al., 2014); but this is partly 15 mitigated by increases in lightning-derived ozone/NO_x due to deeper convection in a warmer climate (Banerjee et al., 2014). 16

17 For the tropical stratospheric ozone column, Fig. 2 illustrates a very small and statistically insignificant increase of 0.2 DU (0.1 %) in \triangle CC4.5 but a decrease of 4.7 DU (2 %) in 18 19 $\Delta CC8.5$. Thus, the opposite signed ozone changes in the lower and upper tropical stratosphere 20 do not scale similarly with climate forcing in their contribution to the partial column. Whilst there is a near cancellation between these effects in Δ CC4.5, the stronger BDC dominates in 21 22 Δ CC8.5. These results are qualitatively consistent with those from transient Coupled Model Intercomparison Project Phase 5 (CMIP5) simulations using chemistry-climate models 23 24 (CCMs) (Eyring et al., 2013).

With regards to the changes in tropical tropospheric column ozone, LNO_x is largely responsible for the 3.6/5.1 DU (10/14 %) (Δ CC4.5/ Δ CC8.5) increases shown in Fig. 2. Thus the small net change in total column ozone in Δ CC8.5 reflects a strong cancellation between the changes in stratospheric and tropospheric partial columns. The global tropospheric ozone response also contains an important contribution from increased stratosphere-to-troposphere transport, which will be discussed in Sect. 4.

1 **3.2 Reductions in ODSs**

2 Reductions in the abundance of Cl_y and Br_y following a reduction in ODS concentrations 3 during the coming century lead to a ubiquitous increase in stratospheric ozone through 4 homogeneous and heterogeneous chemical reactions. This is demonstrated in Fig. 1c for the 5 Δ ODS simulation, with Fig. S1c showing the corresponding temperature change. Figure 2 6 shows that within the set of experiments, Δ ODS displays the largest increase (13.9 DU, 6 %) 7 in tropical stratospheric column ozone.

8 Increased ozone in the upper stratosphere (Fig. 1c), is primarily attributable to reduced gas 9 phase CIO_x -catalysed loss. This is partly offset by increases in the abundance of both NO_x and 10 HO_x, through reductions in the abundance of the ClONO₂ reservoir (not shown) and decreases 11 in the flux through the reactions HCl + OH and ClO + HO₂ (Stenke and Grewe, 2005), 12 respectively.

13 The largest local changes in ozone occur in the polar lower stratosphere in both hemispheres as a result of reductions in PSC-induced chlorine and bromine catalysed ozone 14 15 loss. Increases in ozone between 18-20 km exceed 40 % (April) over the Arctic and 400 % (November) over the Antarctic where ozone is strongly depleted in the Base run; associated 16 17 increases in shortwave heating increase lower stratospheric temperatures, which is evident in 18 the annual mean change over Antarctica (Fig. S1c). Note that the tropospheric temperature 19 response cannot be assessed here since it is strongly limited by the use of fixed, year 2000 20 SSTs and sea ice. The response is likely to be small: McLandress et al. (2012) find only small 21 tropospheric warming (Antarctic) and cooling (Arctic) due to ozone recovery between 2001-22 2050 in their model.

Section 4 will demonstrate that the changes in lower stratospheric ozone have a strong influence on tropospheric ozone, particularly in the extratropics. In contrast, Fig. 2 shows that in the tropical troposphere, Δ ODS is associated with only a small increase in tropospheric column ozone (1.0 DU, 3 %).

27

28 **3.3 Reductions in ozone precursor emissions**

The decreases in NO_x , CO and NMVOC emissions in the $\Delta O3$ pre simulation result in decreased ozone throughout the troposphere (Fig. 1d). Local changes are largest in the Northern Hemisphere (NH) where reductions in emissions are greatest (e.g. total NO_x emissions are reduced by 20.8 Tg(N) yr⁻¹, 91 % of which is in the NH). It is notable that this
is the only perturbation considered in this study that results in a decrease in tropical
tropospheric column ozone (Fig. 2).

The changes in ozone precursor emissions in the $\Delta O3$ pre experiment do not have a significant effect on stratospheric ozone abundances. The changes in temperature (Fig. S1d) are also insignificant, although since the experiments include fixed SSTs, the full radiative effect of ozone changes on tropospheric temperatures will not be captured.

8 Thus, in the $\Delta O3$ pre experiment, the troposphere exerts no significant influence on the 9 stratosphere. Note that we have not explored the impact of changes in biogenic emissions, 10 which are likely to be largest in the tropics (Squire et al., 2014), and could thus impact the 11 stratosphere through convective lofting of ozone or its precursors into the upper troposphere-12 lower stratosphere (UTLS) (Hauglustaine et al., 2005).

13

14 **3.4 Stratospheric additivity**

15 Generally, changes in annual and zonal mean ozone and temperature for the combined-forcing 16 runs Δ (CC4.5+ODS), Δ (CC8.5+ODS), Δ (CC4.5+O3pre), Δ (CC8.5+O3pre) and 17 Δ (ODS+O3pre) can be closely reproduced from summing changes in the respective single-18 forcing runs.

19 The exception is the ozone response in $\Delta(CC8.5+ODS)$, in which two regions of small, but 20 statistically significant, non-additivities are found (shading, Fig. 3b). The first is located in the 21 upper stratosphere where the response to climate change and reduced ODSs reinforce one another (Chipperfield and Feng, 2003). Here, the simulated increase in ozone is around 0.2 22 23 ppmv greater than that calculated from a linear addition of the $\Delta CC8.5$ and ΔODS 24 perturbations. The effect is caused by a change in the temperature dependence of catalytic ozone loss (positive if evaluated by $dln[O_3]/dT^{-1}$ as in Haigh and Pyle (1982)) with a 25 reduction in halogen loading. This is essentially the same effect found by Haigh and Pyle 26 27 (1982) in their experiment combining a doubling in CO_2 with increases in ODS 28 concentrations.

The second region where the $\Delta(CC8.5+ODS)$ response is non-additive is the lower stratosphere at around 60°S; this can be ascribed to a non-additivity in the amount of chlorine activated through heterogeneous reactions of reservoir species (ClONO₂ and HCl) on PSCs

and sulfate aerosols. This can be rationalised by considering the rate of these reactions, which 1 2 is proportional to the product of PSC/aerosol surface area density (SAD) and [Cl reservoir]. Thus, when [Cl reservoir] is low (e.g. due to the lower Cl_v loadings in ΔODS), increases in the 3 rate of reaction due to increases in SAD (e.g. due to cooling under climate change) are 4 5 smaller. Therefore, in Δ (CC8.5+ODS), reductions in active chlorine (ClO_x) are greater than expected from their separate effects, and hence, the ozone concentration is higher. These 6 7 effects occur primarily at the edge of the vortex, where cooling under climate change leads to 8 greater PSC formation and hence ClO_x concentrations. In contrast, in the cold core of the vortex, cooling under climate change does not greatly affect PSC areas, since temperatures are 9 10 already below the PSC formation threshold in the Base experiment.

For both regions, the magnitude of the deviation from additivity scales with the amount of stratospheric cooling. Thus, the effects are present to a much lesser extent when combining ΔODS with $\Delta CC4.5$ (Fig. 3a), which causes around a third of the stratospheric cooling found under $\Delta CC8.5$ (Fig. S1a and b).

Note that scenarios in which CH_4 or N_2O are changed in the chemistry scheme have not been explored. If such perturbations were combined with ΔODS , non-additive responses would be expected since both CH_4 and N_2O control chlorine partitioning (through $CH_4 + Cl$ $\rightarrow HCl + CH_3$ and $NO_2 + ClO + M \rightarrow ClONO_2 + M$, respectively) (e.g. Fleming et al., 2011; Portmann et al., 2012; Meul et al., 2015).

20 Overall, the stratospheric changes are largely as expected from theory and previous model 21 studies (e.g. Haigh and Pyle, 1982; Jonsson et al., 2004; Austin et al., 2010; Eyring et al., 22 2013; Meul et al., 2014). Insight into the impact of methane changes, which are not explored 23 here, can also be garnered from previous literature (Randeniya et al., 2002; Stenke and 24 Grewe, 2005; Portmann and Solomon, 2007; Fleming et al., 2011; Revell et al., 2012). These studies conclude that the stratospheric ozone response to increased methane results from a 25 combination of increased HO_x-catalysed destruction (upper stratosphere), enhanced 26 27 production through smog-like chemistry (lower stratosphere), and reduced losses due to water-vapour induced cooling and reductions in [ClO_x]. Overall, Revell et al. (2012) find 28 positive linear relationships between end of 21st century surface methane abundances and 29 30 stratospheric column ozone across the four RCPs in the NIWA-SOCOL CCM.

31 We have demonstrated that the stratosphere is not strongly influenced by chemical 32 changes in the free troposphere in these experiments. However, changes in stratospheric composition and dynamics might have important impacts on the troposphere. To determine
 the extent of these impacts, the next section provides a detailed analysis of the troposphere.

3

4 **4 Tropospheric ozone**

5 This section focuses on the global burden of ozone and its lifetime in the troposphere. The 6 role of changes in both chemical production/loss and STE of ozone are discussed. One key 7 aim is to ascertain the influence of the stratosphere on the troposphere, which as will be shown, mainly occurs through STE. Consequences of changes in STE are highlighted not 8 9 only for the global ozone burden, but also for its latitude-height distribution. Where reported, 10 errors represent the 5-95 % confidence interval, as calculated from the standard deviation in 11 10 yearly-mean values for UM-UKCA experiments; for multi-model means (Stevenson et al., 12 2006; Naik et al., 2013; Young et al., 2013), errors give the inter-model range as 1σ .

13

14 **4.1 Year 2000 tropospheric O_x budget**

15 The global and annual mean O_x budget of the troposphere for all experiments is shown in Table 2. Multi-model mean values from the ACCENT ensemble (Stevenson et al., 2006) are 16 included for comparison to the Base run. Values for the more recent ACCMIP ensemble are 17 also shown, with the caveat that only six of those models diagnosed the O_x budget, although 18 19 all 15 models diagnosed the ozone burden and methane lifetime (Naik et al., 2013; Young et 20 al., 2013). For most terms, the Base run compares favourably with the ACCENT and 21 ACCMIP results. Chemical production $(P(O_x))$, loss $(L(O_x))$ and deposition are well within 22 1σ of the multi-model means; we compare the dry deposition of ozone here (see Table 2) but consider deposition of all O_x (D(O_x)) hereafter. However, the inferred STE of 360 ± 14 23 $Tg(O_3)$ yr⁻¹ is lower than observational estimates, which range between 450 and 550 $Tg(O_3)$ 24 vr⁻¹ (e.g. Gettelman et al., 1997; Olsen et al., 2001, 2013), and the ACCENT and ACCMIP 25 means of 552 \pm 168 Tg(O₃) yr⁻¹ and 477 \pm 96 Tg(O₃) yr⁻¹, respectively. Nevertheless, a 26 27 comparison to these model intercomparisons is likely to be inadequate in this case - only three out of the six ACCMIP models that reported STE contained full stratospheric chemistry 28 29 (Lamarque et al., 2013; Young et al., 2013), while almost none of the ACCENT models contained this representation. In addition, some models altered the stratospheric upper 30

boundary condition to match observational constraints, whereas STE cannot be predetermined
 in such a way in the UM-UKCA scheme.

3 The Base ozone burden of $326 \pm 2 \text{ Tg}(O_3)$ is close to the ACCENT and ACCMIP 4 ensemble means (344 \pm 39 and 337 \pm 23 Tg(O₃), respectively). Note that the UM-UKCA 5 budgets are calculated using the monthly mean lapse rate tropopause in contrast to the two 6 model intercomparisons, which used a chemical tropopause defined by the 150 ppbv contour 7 of ozone. However, the O_x budget terms in the Base run do not differ greatly between the two 8 definitions. At most, relative differences reach 2 % for both the burden (7 $Tg(O_3)$ lower) and STE (8 Tg(O₃) yr⁻¹ greater) when comparing the chemical with the thermal tropopause. 9 Furthermore, observations obtained between 2004 and 2010 from the Ozone Monitoring 10 11 Instrument (OMI) and Microwave Limb Sounder (MLS) (Ziemke et al., 2011) indicate a climatological, total ozone burden of 295 $Tg(O_3)$ between the latitudes 60°S and 60°N, which 12 13 compares well with the value of 298 $Tg(O_3)$ in the Base run.

14 Effects of the year 2100 perturbations on the ozone burden are now discussed, and the 15 underlying causes investigated.

16

17 **4.2 Ozone burden**

To illustrate the effects of the year 2100 perturbations on ozone, the tropospheric burden is 18 19 shown against i) NCP (Fig. 4a) and ii) STE (Fig. 4b). The magnitude of the changes in NCP 20 and STE are compared since their absolute values are similarly large. The steady state ozone burden is a product of the ozone lifetime (τ_{O3}) and its total loss or production rate (the 21 22 "turnover flux"), so changes in these quantities are also considered. Note that to ensure a physically consistent definition of the troposphere, the height of the tropopause is allowed to 23 change in response to the climate perturbations in these experiments. Therefore, under climate 24 25 change, a rising of the tropopause contributes to an increase in the ozone burden.

Reductions in emissions of ozone precursors lower the ozone burden; for the $\Delta O3$ pre experiment, a decrease of 34 ± 2 Tg(O₃) (10.4 %) is found despite an increase in τ_{O3} (Sect. 4.6). This is driven mainly by a decrease in chemical ozone production (Sect. 4.4), causing considerable reductions in both the turnover flux (-769 Tg(O₃) yr⁻¹) and NCP (-233 Tg(O₃) yr⁻¹ $^{-1}$, Fig. 4a). This can be compared to a very small increase in STE of 38 Tg(O₃) yr⁻¹ (Fig. 4b) and a reduction in D(O_x) of 195 Tg(O₃) yr⁻¹ (Table 2).

1 In contrast, the ozone burden increases under climate change and lower ODS 2 concentrations. For the single-forcing experiments, the increases are $30 \pm 2 \text{ Tg}(O_3)$ (9.2 %) (Δ CC4.5), 43 ± 2 Tg(O₃) (13.2 %) (Δ CC8.5) and 18 ± 2 Tg(O₃) (5.5 %) (Δ ODS). For 3 $\Delta CC4.5/\Delta CC8.5$, these are largely due to increases in the turnover flux of 477/1080 Tg(O₃) 4 yr⁻¹, which occur alongside no change in τ_{O3} in $\Delta CC4.5$ and a reduction in τ_{O3} in $\Delta CC8.5$ 5 (Table 2, Sect. 4.6). For $\triangle ODS$, there is a negligible change in the turnover flux (-8 Tg(O₃) yr⁻ 6 ¹), but the ozone burden is increased as a result of higher τ_{O3} (Table 2, Sect. 4.6). In all of 7 these experiments, large increases in STE of $62/101/96 \text{ Tg}(O_3) \text{ yr}^{-1} (\Delta CC4.5/\Delta CC8.5/\Delta ODS)$ 8 9 play a crucial role by increasing the ozone source and its lifetime (Fig. 4b, Sect. 4.6). These 10 are comparable to, or larger than, the respective reductions in NCP of 36, 109 and 55 $Tg(O_3)$ vr^{-1} (Fig. 4a). D(O_x) shows smaller changes of -7, 26 and 41 Tg(O₃) vr^{-1} , respectively (Table 11 12 2).

Banerjee et al. (2014) highlighted the importance of changes in LNO_x under climate change for increasing the ozone burden, hence opposing the effects of projected reductions in ozone precursors. The results presented here further demonstrate that increases in STE, though smaller in magnitude than changes in the chemical terms, are also an important contributor to the higher tropospheric ozone burden under climate change in these experiments (Table 2, Fig. 4). Furthermore, through increased STE, reduced ODSs also act to oppose the effects of $\Delta O3$ pre (Table 2, Fig. 4).

20 The response of the tropospheric budget terms to climate change is qualitatively consistent with results from most other model studies, which find reductions in NCP, 21 22 increases in STE and increases in the turnover flux under various climate forcing scenarios 23 (e.g. Stevenson et al., 2006; Zeng et al., 2008; Kawase et al., 2011; Morgenstern et al., 2013; 24 Young et al., 2013). For the ozone burden, Kawase et al. (2011) also find increases under 25 RCP4.5 and 8.5 in sensitivity tests that are similar to the Δ CC4.5 and Δ CC8.5 runs of this study. However, this response is likely to be model dependent. For example, the ACCENT 26 27 intermodel range in future changes in the ozone burden encompasses both increases and decreases for the same climate forcing scenario (Stevenson et al., 2006). 28

Note that we have not performed simulations that include all forcings. For the ACCMIP ensemble mean, the combined impact of all forcings on the ozone burden between 2000-2100 was found to be a decrease of 7 % (RCP4.5) and an increase of 18 % (RCP8.5), which is 1 dominated by the effects of $NO_x/CO/NMVOC$ emission reductions and an increase in 2 methane, respectively (Young et al., 2013).

3

4 **4.3 Implications of methane adjustments for the ozone burden**

5 The tropospheric ozone burden is also affected by the method in which the methane boundary 6 condition is applied in the model. All experiments include a uniform fixed lower boundary 7 condition of 1.75 ppmv for methane, which effectively fixes its abundance throughout the 8 troposphere. Thus any changes in OH essentially do not affect methane concentrations, nor 9 are any subsequent feedbacks captured. This includes the influence of methane on its own 10 abundance (Isaksen and Hov, 1987) as well as on ozone.

11 The feedback factor, f (e.g. Fuglestvedt, 1999), gives a measure of the influence of 12 methane on its own lifetime, and has previously been estimated to be 1.52 for this model 13 (Banerjee et al., 2014). Following the methodology in that study and references therein, the 14 amount of methane and ozone that would be simulated at equilibrium if methane were 15 allowed to evolve freely have been calculated using the whole atmosphere methane lifetime 16 (τ_{CH4}) reported in Table 2; corresponding equilibrium ozone burdens are reported in the final 17 column.

18 The estimated equilibrium ozone burdens are 7 and 16 Tg(O₃) smaller than simulated in 19 the Δ CC4.5 and Δ CC8.5 experiments, respectively. In contrast, only a 3 and 2 Tg(O₃) 20 increase in ozone burden compared to simulated values is estimated for the Δ ODS and 21 Δ O3pre experiments, respectively. Therefore, when considering the effects of methane 22 adjustments, the extent to which climate change counters the impact of Δ O3pre on the ozone 23 burden is somewhat reduced, while the extent to which Δ ODS counters Δ O3pre is slightly 24 increased. Nonetheless, the qualitative conclusions remain unchanged.

Having discussed changes in the ozone burden, the following subsection further explores the tropospheric O_x budget and investigates the underlying causes of the changes in NCP and STE.

28 **4.4 Chemical production and loss**

To explore changes in NCP, Fig. 5 shows mean values for the Base experiment and the changes due to each type of perturbation in the primary O_x chemical production (HO₂ + NO, 1 $CH_3O_2 + NO$ and $RO_2 + NO$, where RO_2 is a generic peroxy radical not including HO_2 or 2 CH_3O_2) and loss $(O(^1D) + H_2O, HO_2 + O_3 \text{ and } OH + O_3)$ routes. Together, these constitute 98 3 % and 97 % of total chemical production and loss of O_x , respectively.

Fig. 4a shows that reductions in NCP are largest when emissions of ozone precursors are reduced. Fig. 5b shows that this is driven by decreases in $P(O_x)$, primarily through the $HO_2 +$ NO reaction. Mitigation of NO_x emissions, and hence a reduction in NO concentrations, directly drive the majority of this response. Reductions in NMVOC and, in particular, CO emissions also contribute by slowing down OH to HO_2 conversion, thus reducing HO_2 concentrations. Additionally, the decreases in ozone also act to reduce HO_x abundances. We do not quantify the relative importance of these separate drivers.

11 The impact of climate change reduces NCP in the experiments, as can be seen from each 12 set of connecting lines in Fig. 4a; this is in qualitative agreement with recent multi-model studies (Stevenson et al., 2006; Young et al., 2013). This is the result of greater $L(O_x)$, which 13 14 dominates over a smaller increase in $P(O_x)$. Greater $L(O_x)$ occurs primarily via increased $O(^{1}D) + H_{2}O$ (Fig. 5c) as atmospheric moisture content increases, and is a robust feature 15 16 across models, although the magnitude will depend on the amplitude of tropospheric 17 warming. Here, the imposed SSTs and sea ice are derived from a model that is part of the 18 HadGEM2 family, known to lie on the upper end of the current modelled range of equilibrium 19 climate sensitivities (Andrews et al., 2012). Greater P(Ox) occurs mainly due to increased 20 LNO_x associated with changes in tropical convection (see Banerjee et al. (2014) for more 21 details), although the importance of this effect relative to other drivers of O_x production is expected to be highly model dependent. The fluxes through $HO_2 + NO$ and $CH_3O_2 + NO$ (Fig. 22 5b) thus increase with climate change. Both $P(O_x)$ and $L(O_x)$ are amplified for the larger 23 24 RCP8.5 climate forcing.

25 Fig. 4a also shows that there are consistent reductions in NCP under lower ODS concentrations. For the $\triangle ODS$ experiment, NCP is reduced by 55 Tg(O₃) yr⁻¹ relative to Base, 26 with $P(O_x)$ reduced (-104 Tg(O₃) yr⁻¹) more than $L(O_x)$ (-49 Tg(O₃) yr⁻¹). This result is 27 strongly influenced by changes in stratospheric ozone which lead to modifications in 28 29 tropospheric actinic fluxes and photolysis rates, with subsequent chemical feedbacks in the troposphere. $P(O_x)$ and $L(O_x)$ are particularly sensitive to photolysis rates for NO₂ to NO 30 $(J(NO_2))$ and O_3 to $O(^1D)$ $(J(O_3))$. With increases in stratospheric ozone (Figs. 1c and 2), 31 32 $J(O_3)$ is strongly reduced, but $J(NO_2)$ is largely unaffected. Reductions in $J(O_3)$ depress $O(^1D)$

abundances (not shown), despite increases in tropospheric ozone. The reduction in $O(^{1}D)$ 1 2 mixing ratio is largest in the extratropics and peaks at over 50 % in southern high latitudes, 3 where the stratospheric ozone column is enhanced by ~80 DU in the annual mean (not 4 shown), in contrast to the much smaller change in the tropics (see Fig. 2). With lower $[O(^{1}D)]$, the loss of O_x through $O(^1D) + H_2O$ is diminished (Fig. 5c). Loss through $HO_2 + O_3$ is 5 increased, however, due to the increase in tropospheric ozone abundances. By contrast, $P(O_x)$ 6 7 is reduced through all three major channels as a result of decreases in ODSs (Fig. 5b). 8 Following changes in stratospheric column ozone, previous studies have shown that the sign 9 of the HO_x response follows that of J(O₃) regardless of background NO_x levels (Fuglestvedt et 10 al., 1994); in this case, decreases in HO_x in the extratropics (and to a lesser extent, CH_3O_2) 11 drive lower $P(O_x)$.

Whilst much insight can be gained from analysis of the chemical terms of the O_x budget, these alone cannot explain the overall changes in tropospheric ozone burden for the climate change and ODS experiments. As previously described, changes in STE have an important role alongside modifications to tropospheric chemical processes, and these are discussed in the following section.

17

18 **4.5 STE**

19 **4.5.1** Measures of STE and its influence on the troposphere

Although several metrics for STE exist (Hsu and Prather, 2014), the common approach of inferring STE from the other three terms of the O_x budget is adopted here. In the Base experiment, STE is calculated to be 360 Tg(O₃) yr⁻¹. STE may be altered by changes in the residual circulation and two-way mixing (which collectively characterise the BDC) (Plumb, 2002), and in the ozone distribution in the extratropical lower stratosphere.

The Transformed Eulerian Mean (TEM) residual vertical velocity (Andrews et al., 1987) and the total upward and downward residual mass fluxes across a fixed pressure surface (Rosenlof, 1995) are used as metrics for the stratospheric circulation. Mass fluxes are calculated between all latitudes where there is net upward or downward motion, respectively. The upward mass flux at 70 hPa is used as a measure for the overall strength of the residual circulation (SPARC CCMVal, 2010). The downward mass flux at 100 hPa is used as an indicator for the STE of air, although more accurate measures exist (see Rosenlof and Holton
 (1993), Holton et al. (1995), Rosenlof (1995), Yang and Tung (1996) for a fuller discussion).

The climatological, annual mean upward mass flux at 70 hPa in the Base experiment is 7.9 × 10⁹ kg s⁻¹. For comparison, the ERA-Interim reanalysis data (Dee et al., 2011) and most models within the Chemistry-Climate Model Validation project (CCMVal-2) indicate a value of around 6×10^9 kg s⁻¹ (Butchart et al., 2011); the residual circulation is therefore ~33 % stronger in the UM-UKCA model. Changes in the residual circulation in the single-forcing experiments will be linked qualitatively to changes in STE in Sect. 4.5.2.

9 While quantifying the global and annual net flux of ozone into the troposphere is useful for understanding changes in the global burden of tropospheric ozone, to study the impacts on 10 the distribution of ozone in the troposphere, we use the stratospheric ozone tracer, O₃S (see 11 12 Sect. 2.2). Note that the amount and distribution of O_3S in the troposphere depends on its tropospheric lifetime and transport, in addition to transport from the stratosphere. Figure 6 13 14 shows the relative contribution of O₃S to the annual mean ozone field in the Base experiment. The contribution is lowest (20 %) in the equatorial region, where upward transport takes 15 16 place. The contribution is greater in the extratropics, particularly so in the Southern 17 Hemisphere (SH) where other sources of ozone are relatively weak.

18

19 **4.5.2 Changes in STE**

The residual circulation, as measured by the upward mass flux at 70 hPa, is projected to strengthen under climate change by all climate models (e.g. Butchart et al., 2006, 2010; SPARC CCMVal, 2010; Hardiman et al., 2013). The UM-UKCA model also shows this behaviour: Fig. 7a shows an increase of 10 % (Δ CC4.5) and 27 % (Δ CC8.5) in the annual mean. The latter result is comparable to the CMIP5 multi-model mean increase for the RCP8.5 scenario of 32 % between 2000-2100, extrapolated from the linear rate of change found between 2006-2099 (Butchart, 2014).

27 The BDC consists of two distinct branches, commonly referred to as the deep and shallow 28 branches (Plumb, 2002). Both branches strengthen under climate change in these experiments, 29 which is in agreement with other recent studies (Hardiman et al., 2013; Lin and Fu, 2013). 30 The downward mass flux at 100 hPa increases by 11 % in the SH and 21 % in the NH in 31 Δ CC4.5, and by 37 and 42 %, respectively, in Δ CC8.5 (Fig. 7b and c); these are the main 1 contributors to the increases in global STE of 62 and 101 $Tg(O_3)$ yr⁻¹, respectively. This result 2 is supported by Collins et al. (2003), Zeng and Pyle (2003) and Zeng et al. (2010) who 3 isolated the effects of circulation changes on STE in a future climate.

4 Figure 8 shows absolute changes in O₃S and ozone between Base and selected experiments (Δ CC8.5, Δ ODS and Δ (CC8.5+ODS)), as well as changes in tropospheric ozone 5 6 for comparison. Increases in O₃S occur particularly in the subtropical upper troposphere for 7 $\Delta CC8.5$ (Fig. 8a), suggesting an increased importance of STE in these regions in a future 8 climate. A strengthened shallow branch of the BDC contributes to this response. This does not 9 preclude another important contribution from more efficient isentropic stirring across the 10 tropopause (as suggested by the idealised model study of Orbe et al. (2013)). This effect may 11 be particularly important for ozone, which has a large concentration gradient across the 12 tropopause.

13 The peak O_3S increase in $\triangle CC8.5$ is greater in the NH subtropics (7 ppbv) than in the SH 14 (5 ppbv). Despite this, the hemispheric asymmetry in the tropospheric ozone change (Fig. 8b) 15 is in the opposite sense, due to a greater contribution from LNO_x -produced ozone in the SH. 16 Using a simulation in which climate is allowed to vary according to the RCP8.5 scenario, but 17 in which LNO_x is fixed to Base values (detailed in Banerjee et al. (2014)), we deduce that the 18 change in O_3S under climate change can be as large as 30/50 % (SH/NH) of the increase in 19 ozone due to increases in LNO_x in the subtropics.

Consistent with Palmeiro et al. (2014), Lin and Fu (2013) and Oberländer et al. (2013),
ozone recovery in the ΔODS experiment is associated with a weakening of the SH deep
branch of the BDC during austral summer. In this model, a weakening of the NH deep branch
is also simulated. Concomitantly, the upward mass flux at 70 hPa is reduced by 4.5 % (Fig.
7a). However, the relative mass flux anomalies in the lowermost stratosphere are small, with
the downward mass flux at 100 hPa decreasing by only 1.8/4.1 % (SH/NH) (Fig. 7b and c).

26 While the residual circulation is not strongly affected in the Δ ODS experiment, STE still 27 increases by 96 Tg(O₃) yr⁻¹, a change that is approximately equal to that for Δ CC8.5. This is 28 attributable to the large increase in extratropical lower stratospheric ozone (Fig. 1c). Increased 29 transport of stratospheric ozone into the extratropical troposphere is evident from the change 30 in O₃S for Δ ODS (Fig. 8c). Greater O₃S amounts are particularly prominent in the NH where, 31 despite the smaller absolute increase in lower stratospheric ozone, the residual circulation is 32 stronger and the net stratosphere to troposphere mass flux of air is larger than in the SH (see also Schoeberl et al., 2004). The corresponding change in ozone (Fig. 8d) strongly resembles
 that of O₃S, suggesting that most of the tropospheric ozone change is driven by increased
 STE.

Figure 7 shows that the $\Delta O3$ pre perturbation leads to no significant change in the stratospheric residual circulation; neither is extratropical lower stratospheric ozone greatly affected (Fig. 1d). The amount of ozone entering the troposphere from the stratosphere is therefore similar in the Base and $\Delta O3$ pre experiments. The small increase in net STE of 38 Tg(O₃) yr⁻¹ could instead be due to a reduction in O_x transport from the troposphere into the tropical lower stratosphere, but the effect is small enough to cause no statistically significant change in tropical lower stratospheric ozone amounts (Fig. 1d).

Considering the entire set of experiments, a large range in STE of 360-619 $Tg(O_3)$ vr⁻¹ is 11 12 simulated (Fig. 4b), the upper bound of which is found in the Δ (CC8.5+ODS) experiment. Interestingly, climate change and ODSs have their greatest impact on O₃S in different regions. 13 14 Climate change has its largest effect on the subtropical upper troposphere (Fig. 8a), and ODSs on the middle/high latitudes (Fig. 8c). Consequently, there are increases in O₃S throughout 15 16 much of the troposphere in the $\Delta(CC8.5+ODS)$ experiment (Fig. 8e). It is notable that for this 17 experiment, the effect of increased humidity on lowering ozone dominates only in a small 18 region of the lowermost tropical troposphere (Fig. 8f), in contrast to the experiment with 19 climate change alone (Fig. 8b), where the offset is much more widespread.

20 Within ACCMIP, Young et al. (2013) find that future changes in STE under the RCP 21 scenarios tend to scale (qualitatively) with the magnitude of STE modelled for the present-day 22 (year 2000). If this relationship holds more generally across models, we might expect future 23 changes in STE for other models to be larger than those found in this study, since the baseline 24 STE in UM-UKCA is on the lower end of the contemporary modelled range. Indeed, 25 increases in STE under climate change in this study (i.e. from a lower baseline STE) are smaller than found by Kawase et al. (2011) between the years 2005 and 2100 in similar 26 27 sensitivity experiments. For scenarios which isolate the impact of stratospheric ozone recovery under declining ODS loadings, the absolute changes found here are similar to their 28 results: 96 Tg(O₃) yr⁻¹ (Δ ODS in this study) and 91 Tg(O₃) yr⁻¹ (Kawase et al., 2011). This 29 30 suggests that the uncertainty in future changes in STE mostly lies in the effects of climate change and stratospheric circulation. 31

1 **4.6 Effects on ozone lifetime**

2 The lifetime of ozone (τ_{O3}) varies strongly with altitude in the troposphere, ranging from days 3 near the surface, where deposition rates are high, to weeks in the upper troposphere. In 4 particular, longer τ_{03} can amplify the role of ozone as an air pollutant through intercontinental 5 transport (e.g. Wild and Akimoto, 2001), and as a radiative forcing agent. Here, τ_{O3} is 6 calculated as the tropospheric ozone burden divided by total O_x loss (chemical and 7 deposition). τ_{O3} in the Base experiment closely matches the ACCENT and ACCMIP mean 8 values; note that for this comparison, only the deposition of ozone, and not O_x, is considered 9 in the τ_{03} definition (Table 2, bracketed values). Changes about a baseline τ_{03} of 22.5 \pm 0.1 10 days (Table 2) as a result of each type of perturbation are now considered.

11 Figure 9 shows the ozone burden against τ_{03} for all experiments. For the $\Delta O3$ pre 12 perturbation, τ_{O3} increases by 1.0 ± 0.1 day (4.4 %). In this experiment, the largest reduction 13 in ozone occurs near the surface, where its lifetime is low. So, removing ozone in this region 14 further increases τ_{O3} (the deposition term of the O_x budget is lower by, on average, 199 Tg(O₃) yr⁻¹ in all runs which include Δ O3pre). τ _{O3} is also affected by changes in the amount of 15 16 HO_x and its partitioning. Mitigation of surface NO_x emissions reduces total HO_x (through 17 ozone), which increases τ_{O3} . The reduction in emissions favours HO₂ over OH, which drives a 18 reduction in τ_{O3} since loss of ozone to HO₂ is greater than to OH (see Fig. 5a). This is only 19 important in the lowermost troposphere since the NO_x lifetime is short near the surface and 20 the impact on τ_{03} through this mechanism is thus small (Wang and Jacob, 1998). An increase in τ_{O3} comes from the decrease in CO (in particular) and NMVOC emissions, which favours 21 22 HO_x partitioning towards OH, as discussed in Sect. 4.4.

A decrease in τ_{O3} of 0.1 ± 0.1 days (0.4 %) (Δ CC4.5) and 1.5 ± 0.1 days (6.7 %) (Δ CC8.5) is found under climate change, predominantly as a result of greater water vapour-induced loss of ozone. This is counteracted by increases in LNO_x and STE, which increase ozone in the upper troposphere where its lifetime is long. For Δ CC8.5, the water vapour effect dominates leading to the largest decrease in τ_{O3} within the entire set of experiments (Fig. 9).

In the $\triangle ODS$ experiment, τ_{O3} increases by 1.2 \pm 0.1 days (5.3 %) as a result of decreases in O(¹D), OH and HO₂ amounts, especially at middle and high latitudes, as discussed in Sect. 4.4. Enhanced STE augments this effect.

31 Hence, in terms of τ_{O3} , the effects of climate change oppose those of $\Delta O3$ pre, while 32 ΔODS enhances them. The largest increase in lifetime of 2.2 ± 0.1 days is calculated for 1 Δ (ODS+O3pre), which outweighs the decrease in Δ CC8.5 (1.5 ± 0.1 days). The colour coded 2 arrows in Fig. 9 denote the changes in τ_{O3} when a particular type of perturbation is added, 3 either in isolation or in combination. The fact that all arrows for a particular type of 4 perturbation (i.e. those of a particular colour) follow approximately the same path indicates 5 that the changes are linearly additive.

6

7 **4.7 Tropospheric additivity**

8 We now consider the additivity in the tropospheric ozone response for the combined-forcing 9 experiments. Figure 10 compares modelled values of NCP, STE and the ozone burden for the 10 combined-forcing experiments with those expected from a linear addition of changes in the 11 respective single-forcing experiments. It is evident that, generally, the changes match those 12 expected assuming additivity.

The Δ (CC8.5+ODS) simulation raises the only significant exception. The increase in STE 13 in $\Delta(CC8.5+ODS)$ is 62 Tg(O₃) yr⁻¹ greater than the sum of the increases in the $\Delta CC8.5$ and 14 ΔODS experiments (Fig. 10b). Consistent with this, only $\Delta (CC8.5+ODS)$ exhibits a non-15 additivity in changes in O₃S (Fig. S2), which extends from the stratosphere into the 16 17 troposphere in the SH, and to a lesser extent, in the NH. This is qualitatively expected since 18 an increase in the strength of the stratospheric circulation (due to climate change) under 19 greater background ozone (due to reduced ODS amounts) leads to a greater increase in STE 20 than expected from the sum of the two separate effects. The impact is largest in the SH where 21 increases in lower stratospheric ozone are largest.

The non-additive change in ozone in the SH lower stratosphere for this experiment (Fig. 3b) might further contribute to the non-additive change in STE, although we cannot verify such an assumption due to the relevant diagnostics not being available and further sensitivity tests would be required.

Non-additivity in $\Delta(CC8.5+ODS)$ is also evident in NCP (Fig. 10a), which is found to be 55 Tg(O₃) yr⁻¹ less than expected. The response is driven by chemical loss rather than production: greater loss occurs directly as a result of STE-derived increases in ozone (relative to the additive response). To a great extent, the larger loss counters increased STE, such that the change in the global ozone burden for $\Delta(CC8.5+ODS)$ (Fig. 10c) is close to the expected response, demonstrating the strong buffering that takes place in response to increases in
 tropospheric ozone.

3

4 5 Conclusions

This study has explored the impacts of future climate change, reductions in ozone-depleting 5 6 substances (ODSs) and in non-methane ozone precursor emissions on global ozone and, in 7 particular, on the tropospheric budget of odd oxygen (O_x) . Time-slice experiments 8 representing conditions for the years 2000 and 2100 were performed with the UM-UKCA 9 chemistry-climate model (CCM), in a configuration that contains a comprehensive description 10 of both stratospheric and tropospheric chemistry. This allowed an investigation of the 11 consequences of future changes in stratospheric chemistry and dynamics for the tropospheric 12 O_x budget.

13

14 The principal results regarding the stratosphere are:

- Changes in ozone and temperature are in qualitative agreement with previous
 literature.
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 2. For simulations in which two types of perturbation are combined, changes in ozone
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 (RCP8.5) with the effects of ODSs, for which there is a detectable non-additivity in
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- 23 The principal results regarding the troposphere are:
- The global tropospheric ozone burden decreases with projected reductions in ozone
 precursor emissions as part of air quality controls, but this effect is opposed by future
 changes in climate and ODSs; some combination of these processes will determine
 future changes in tropospheric oxidising capacity and background surface ozone.
- Increases in stratosphere-troposphere exchange (STE) of O_x primarily result from a
 strengthened Brewer-Dobson circulation under climate change and from increases in
 lower stratospheric ozone abundances under reduced ODSs.

- The increases in STE act to increase ozone most in the subtropical (climate change)
 and extratropical (ODS changes) upper troposphere; this should have implications for
 the climate feedback since the upper troposphere is a key region for ozone as a
 radiative forcing agent.
- 5 4. The enhancements in STE offset concomitant reductions in net chemical production
 6 and act to increase the global tropospheric ozone burdens under climate change and
 7 reduced ODSs.
- 8 9

5. The global and tropospheric lifetime of ozone is enhanced under lower ozone precursor emissions and ODSs; this is opposed by a decrease under climate change at RCP8.5. Essentially no change is found for climate change at RCP4.5.

- 6. Changes in the tropospheric O_x budget terms when combining two types of perturbation can generally be reproduced by summing the effects of the separate perturbations. Combining changes in climate (RCP8.5) and ODSs leads to a nonadditive change in STE, but the effect on the ozone burden is strongly buffered.
- 15

The sensitivity tests in this study have investigated the effects of some, but not all, of the key drivers of ozone under selected scenarios. For example, the future evolution of methane is highly uncertain and its chemical effects have not been examined here. CCM studies that have imposed increases in methane according to the RCP scenarios show large increases in tropospheric ozone, particularly at RCP8.5, which would greatly oppose the effects of emission controls on global, tropospheric ozone (e.g. Young et al., 2013; Revell et al., 2015).

22 The base climate state, climate sensitivity (incorporated here through the imposed sea 23 surface temperatures), chemical complexity and parameterisations of processes such as lightning NO_x emissions may all contribute to inter-model differences and uncertainties in 24 projections of future ozone. However, although the quantitative results of this study are likely 25 26 to be specific to UM-UKCA, the significance of the stratosphere in determining future 27 changes in tropospheric ozone through STE is clear. The results therefore emphasise the need 28 for a good representation of STE in CCMs to simulate future tropospheric ozone. While 29 models with simplified stratospheric ozone chemistry are unlikely to represent STE accurately 30 (Olsen et al., 2013), this study achieves greater fidelity in its representation through the use of 31 a CCM which contains a relatively sophisticated description of stratospheric and tropospheric 32 chemistry and dynamics. Nonetheless, better constraints on observed estimates of STE are required to deduce whether modelled values are realistic; it is hoped that with continued
 satellite observations of ozone in the upper stratosphere-lower stratosphere (e.g. Livesey et
 al., 2008), this uncertainty can be reduced.

4

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1 Table 1. List of model simulations.

Experiment	Climate	ODSs	Ozone precursor emissions ^c	
	(SSTs, sea ice, GHGs ^a)	(total chlorine, bromine ^b)		
Base	2000	2000	2000	
$\Delta CC4.5$	2100 (RCP4.5)	2000	2000	
$\Delta CC8.5$	2100 (RCP8.5)	2000	2000	
ΔODS	2000	2100 (RCP4.5)	2000	
∆O3pre	2000	2000	2100 (RCP4.5)	
$\Delta(CC4.5+ODS)$	2100 (RCP4.5)	2100 (RCP4.5)	2000	
Δ (CC4.5+O3pre)	2100 (RCP4.5)	2000	2100 (RCP4.5)	
$\Delta(CC8.5+ODS)$	2100 (RCP8.5)	2100 (RCP4.5)	2000	
Δ (CC8.5+O3pre)	2100 (RCP8.5)	2000	2100 (RCP4.5)	
Δ (ODS+O3pre)	2000	2100 (RCP4.5)	2100 (RCP4.5)	

2 ^aChanges in GHGs are imposed within the radiation scheme only.

3 ^bRelative to Base, runs containing Δ ODS include total chlorine and bromine reductions at the

4 surface of 2.3 ppbv (67 %) and 9.7 pptv (45 %), respectively.

5 ^cRelative to Base, runs containing $\Delta O3$ pre include average global and annual emission

6 changes of: NO (-51 %), CO (-51 %), HCHO (-26 %), C₂H₆ (-49 %), C₃H₈ (-40 %),

7 CH₃COCH₃ (-2 %), CH₃CHO (-28 %).

Table 2. Tropospheric O_x budget for the experiments detailed in Table 1. The definition of O_x employed here is given in the Introduction. Also reported is the tropospheric lifetime of ozone (τ_{O3}) and whole atmosphere lifetime of methane (τ_{CH4}). The latter includes loss by tropospheric OH (diagnosed by the model), a soil sink (lifetime 160 years) and a stratospheric sink (lifetime 120 years). The final column shows values of the ozone burden after adjusting to account for methane feedbacks (B_{adj}) (see Sect. 4.3 for details). Two sets of multi-model means for the year 2000 are included for comparison with the Base run: ACCENT values (first row) are taken from or calculated from data in Stevenson et al. (2006) and ACCMIP (second row) from Young et al. (2013) for all terms except τ_{CH4} , which has been calculated from the tropospheric methane lifetimes reported in Naik et al. (2013). Note that in this study, the D(O_x) term totals dry deposition of ozone (listed in brackets) plus deposition of those nitrogen compounds that are classed as O_x , whereas the ACCENT and ACCMIP studies only report the former. The same applies in the calculation of τ_{O3} .

	P(O _x) /	L(O _x) /	NCP /	$D(O_x)/$	STE /	В/	τ ₀₃ /	τ _{CH4} /	B _{adj} /
Experiment	Tg(O ₃) yr⁻¹	Tg(O ₃) yr⁻¹	Tg(O₃) yr ⁻¹	Tg(O₃) yr⁻¹	Tg(O₃) yr⁻¹	Tg(O₃)	days	years	Tg(O₃)
ACCENT, year 2000	5110 ± 606	4668 ± 727	442 ± 309	(1003 ± 200)	552 ± 168	344 ± 39	(22.3 ± 2.0)	8.67 ± 1.32	-
ACCMIP, year 2000	4877 ± 853	4260 ± 645	618 ± 275	(1094 ± 264)	477 ± 96	337 ± 23	(23.4 ± 2.2)	8.5 ± 1.1	-
Base	4872	4217	655	1015 (871)	360	326	22.5 (23.1)	8.10	-
ΔCC4.5	5287	4668	619	1041 (889)	422	356	22.4 (23.0)	7.32	349
ΔCC8.5	5851	5305	546	1007 (846)	461	369	21.0 (21.6)	6.34	353
ΔODS	4768	4168	600	1056 (912)	456	344	23.7 (24.4)	8.38	347
∆O3pre	4065	3643	422	820 (736)	398	292	23.5 (24.0)	8.34	294
Δ(CC4.5+ODS)	5186	4634	552	1081 (930)	529	374	23.6 (24.2)	7.54	369
Δ(CC8.5+ODS)	5742	5307	436	1054 (893)	619	393	22.3 (22.8)	6.49	378
∆(CC4.5+O3pre)	4470	4090	380	847 (756)	467	319	23.3 (23.7)	7.50	314

Δ(CC8.5+O3pre)	5050	4720	331	828 (728)	497	337	21.8 (22.2)	6.47	322
Δ(ODS+O3pre)	4000	3633	366	858 (774)	492	308	24.7 (25.2)	8.54	312





Figure 1. Changes in annual and zonal mean ozone for single-forcing experiments relative to
Base. Areas where the changes are not statistically significant at the 95 % level according to a
two-tailed Student's t-test are hatched out. The solid green line indicates the thermal
tropopause (WMO, 1957) of the Base run.



Figure 2. Changes in annual mean, area-weighted tropical $(30^{\circ}\text{S}-30^{\circ}\text{N})$ stratospheric (red) and tropospheric (blue) column ozone for the single- and combined-forcing experiments relative to Base. Partial columns are calculated assuming a thermal tropopause and a 50 km stratopause. Error bars indicate the 5-95 % confidence interval, calculated as ±1.96 times the standard error in the mean of the change.



Figure 3. Changes in annual and zonal mean ozone (ppmv, contours) from Base to two combined-forcing runs: (a) Δ (CC4.5+ODS) and (b) Δ (CC8.5+ODS). The shading indicates the amount by which the response deviates from additivity (i.e. the difference between the combined-forcing experiment and the sum of the individual-forcing cases). Areas where the non-additive component of the response is not significant at the 95 % level according to a Student's t-test are hatched out. The solid green line indicates the thermal tropopause of the Base run.



Figure 4. Tropospheric ozone burden against (a) NCP and (b) STE. Connecting lines are
drawn between experiments which differ only in their climate states. Error bars denote the 595 % confidence interval, calculated as ±1.96 times the standard error in the mean.



Figure 5. (a) Global tropospheric and annual mean fluxes in the Base run through the main channels for chemical production and loss of O_x . Differences between Base and the four different types of perturbation are shown for chemical (b) production and (c) loss. These account for the changes in all runs that include a particular type of perturbation e.g. the bars for Δ CC4.5 represent the mean of the differences Δ CC4.5-Base, Δ (CC4.5+ODS)- Δ ODS and Δ (CC4.5+O3pre)- Δ O3pre. The range of these calculated means is illustrated by whiskers on each bar.



2 Figure 6. The zonal and annual mean contribution of O_3S to ozone in the Base simulation.

- 3 The solid green line indicates the thermal tropopause of the Base run.
- 4





Figure 7. Changes in total (a) upward (b) downward (SH) and (c) downward (NH) mass
fluxes at 70 hPa (blue bars) and 100 hPa (red bars) for the single-forcing experiments relative
to Base. Error bars indicate the 5-95 % confidence interval, calculated as ±1.96 times the
standard error in the mean of the change.



Figure 8. Changes in annual and zonal mean O₃S (first column) and ozone (second column) mixing ratios (ppbv) from Base to a selection of experiments, $\Delta CC8.5$, ΔODS and Δ (CC8.5+ODS). The solid green line indicates the thermal tropopause of the Base run. Strong reductions in O₃S and ozone occur near the tropopause under climate change because of a lifting of the tropopause, which introduces tropospheric (ozone poor) air into this region.



Figure 9. Tropospheric ozone burden against the ozone lifetime. Arrows indicate the impact
of climate change at RCP4.5 (blue) and RCP8.5 (red), reduced ODS loadings (green) and
reduced ozone precursor emissions (magenta). Error bars indicate the 5-95 % confidence
interval, calculated as ±1.96 times the standard error in the mean.



2

Figure 10. Correlations in (a) NCP, (b) STE and (c) the ozone burden between the combinedforcing experiments and those expected from a linear addition of changes in the single-forcing
experiments relative to Base. Error bars indicate the 5-95 % confidence interval calculated as

 ± 1.96 times the standard error in the mean.