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2 **Theoretical investigation of mixing in warm clouds. Part 2: Homogeneous**
3 **mixing**

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26 **Abstract**

27 Evolution of monodisperse and polydisperse droplet size distributions (DSD) during
28 homogeneous mixing is analyzed. Time-dependent universal analytical expressions for
29 supersaturation and liquid water content are derived. For an initial monodisperse DSD, these
30 quantities are shown to depend on a sole non-dimensional parameter. The evolution of moments
31 and moment-related functions in the course of homogeneous evaporation of polydisperse DSD is
32 analyzed using a parcel model.

33 It is shown that the classic conceptual scheme, according to which homogeneous mixing
34 leads to a decrease in droplet mass at constant droplet concentration, is valid only in cases of
35 monodisperse or initially very narrow polydisperse DSD. In cases of wide polydisperse DSD,
36 mixing and successive evaporation lead to a decrease of both mass and concentration, so the
37 characteristic droplet sizes remain nearly constant. As this feature is typically associated with
38 inhomogeneous mixing, we conclude that in cases of an initially wide DSD at cloud top,
39 homogeneous mixing is nearly indistinguishable from inhomogeneous mixing.

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43 Key words: *turbulent mixing, homogeneous mixing, mono-and polydisperse droplet size*
44 *distributions*

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50 1. Introduction

51 Turbulent mixing at cloud edges and cloud tops accompanied by phase transitions has been
 52 the focus of numerous studies, beginning with the pioneering works of Baker and Latham
 53 (1979), Baker et al. (1980), Blyth et al. (1980) and Baker and Latham (1982). Laboratory
 54 experiments by Latham and Reed (1977) showed that after mixing with sub-saturated air some
 55 droplets completely evaporate while others remain unchanged. This finding gave rise to the
 56 concept of two types of turbulent mixing: homogeneous and inhomogeneous. A recent
 57 description of the classical concepts of homogeneous and inhomogeneous mixing can be found
 58 in study by Korolev et al. (2016), hereafter referred to as Pt1.

59 **Figure 1** presents a conceptual scheme of homogeneous mixing between saturated cloud
 60 volume V_1 containing droplets and sub-saturated droplet-free volume V_2 (Fig. 1a) in case of
 61 initially monodisperse droplet size distribution (DSD). This scheme is the base of further
 62 analysis. According to the concept of homogeneous mixing, the air within the volumes mixes at
 63 a rate much higher than the characteristic rate of droplet evaporation. So, the fields of
 64 temperature and humidity (and, therefore, the fields of the relative humidity and supersaturation)
 65 are rapidly homogenized throughout the entire volume, and all the droplets experience the same
 66 supersaturation (Fig. 1b). At the end of the first stage, the droplet concentration decreases due to

67 dilution down to $N_{m0} = N_1 \frac{V_1}{V_1 + V_2}$, where N_1 is the initial droplet concentration in the cloud

68 volume. At the second stage (Fig. 1c), droplets change supersaturation and temperature through
 69 their evaporation. There are two possible scenarios for the final equilibrium states. In the first
 70 one, illustrated in Fig. 1c, droplets continue evaporating until they saturate the environment. The
 71 size of all droplets decreases, but they evaporate only partially, so the droplet concentration N_{m0}
 72 remains unchanged. In the second scenario, when the initially droplet-free volume is very dry,

73 the droplets penetrated from cloud volume evaporate completely. In case of polydisperse initial
74 DSD, both partial and complete evaporation of droplets determine the final DSD.

75 In contrast to homogeneous mixing, spatial homogenization during *inhomogeneous* mixing is
76 a relatively slow process. According to the concept of extremely inhomogeneous mixing, some
77 droplets are transported by the turbulent eddies into the dry environment and experience
78 complete evaporation, whereas other droplets remain unchanged. As in the case of homogeneous
79 mixing, the process of droplet evaporation continues until either the environment is saturated or
80 all the droplets evaporate. According to the classical concept, during extremely inhomogeneous
81 mixing the shape of DSD is conserved, however, the total droplet concentration decreases (see
82 review by Devenish et al., 2012 and Pt 1).

83 The classical concepts analyze only the final equilibrium states which are based on the mass
84 conservation consideration. Strictly speaking, the size distributions in the final states, assumed in
85 the classical concepts, are hypothetical and cannot be reached. This is because the classic
86 concepts do not take into account the mixing-induced DSD broadening. Detailed simulation of
87 time evolution of DSD and other microphysical parameters is necessary not only to better
88 determine the final states, but also to evaluate time periods during which such final states are
89 reached. The analysis of time evolution is practically important because many DSDs measured
90 in-situ correspond to the transient state, but not to the final equilibrium state. Besides, it is
91 necessary to determine the evolution of initially polydisperse DSD, that may substantially differ
92 from the evolution of monodisperse DSD.

93 We analyze the time evolution of the DSD during homogeneous mixing in cases of
94 monodisperse and polydisperse initial DSDs. The analysis is based on new equations and
95 methodology developed by Pinsky et al. (2013, 2014).

96 First, we need to evaluate conditions at which mixing can be considered homogeneous. The
97 characteristic spatial scale of homogeneous mixing can be estimated by comparing the

98 characteristic times of two processes providing thermodynamic equilibrium inside a mixing
 99 volume. The first process is mechanical mixing (diffusion) governed by turbulence. Turbulent
 100 mixing leads to homogenization of temperature, humidity (and, thus, of supersaturation) as well
 101 as of droplet concentration within the volume $V = V_1 + V_2$. The second process is evaporation of a
 102 droplet ensemble, which leads to an increase in relative humidity and to the thermodynamical
 103 equilibrium in the mixing volume.

104 The process of mixing that is accompanied by droplet evaporation is characterized by two
 105 time scales. The first time scale is the characteristic mixing (homogenization) time τ_{mix} of an
 106 entrained volume with linear scale L_{mix} can be evaluated from the relationship (Monin and
 107 Yaglom, 1975)

$$108 \quad \tau_{mix} = \varepsilon^{-1/3} L_{mix}^{2/3}, \quad (1)$$

109 where ε is the turbulent kinetic energy dissipation rate. The estimation (1) suggests that the size
 110 of the volume falls within the inertial interval of turbulence. Therefore, after the time τ_{mix} ,
 111 volume with a linear scale of about L_{mix} will be mechanically homogenized and all the droplets
 112 in the volume will experience the same supersaturation.

113 The second time scale characterizes rate of droplet evaporation and corresponding changes of
 114 supersaturation. In this study, as well as later in Part.3, it will be shown that the characteristic
 115 evaporation time is the *phase relaxation time* τ_{pr} , that determines the rate of change of
 116 supersaturation during condensation or evaporation (Mazin, 1968; Korolev and Mazin, 2003)

$$117 \quad \tau_{pr} = (4\pi\mathcal{D}\bar{r}N)^{-1}, \quad (2)$$

118 where $N = N_{m0}$ is the concentration of droplets in the mixing volume, \bar{r} is the mean radius of
 119 droplets and \mathcal{D} is the diffusivity of water vapor. The spatial scale at which the mixing time is

120 equal to the phase relaxation time is called a phase relaxation scale L_{pr} (Mazin, 1968). This scale
 121 can be calculated from Eqs. (1) and (2) as

$$122 \quad L_{pr} = \varepsilon^{1/2} \tau_{pr}^{3/2} \approx \varepsilon^{1/2} (4\pi \mathcal{D} \bar{r} N)^{-3/2} \quad (3)$$

123 The type of mixing is often characterized by the value of the Damköhler number which in
 124 regard to atmospheric mixing is defined as the ratio τ_{mix} / τ_{pr} (Baker et al., 1980; Jeffery, 2007;
 125 Lehmann et al., 2009):

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$$127 \quad Da = \frac{\tau_{mix}}{\tau_{pr}} = \frac{4\pi \mathcal{D} \bar{r} N L_{mix}^{2/3}}{\varepsilon^{1/3}} \quad (4)$$

128 The case $Da \ll 1$ corresponds to homogeneous mixing, when mechanical homogenization
 129 occurs much faster than does droplet evaporation. The case $Da \gg 1$ corresponds to extremely
 130 inhomogeneous mixing. It is reasonable to consider the value $Da = 1$ as a boundary separating
 131 the two types of mixing. This condition is equivalent to the condition

132

$$133 \quad L_{mix} = L_{pr} \approx \varepsilon^{1/2} (4\pi \mathcal{D} \bar{r} N)^{-3/2} \quad (5)$$

134

135 Expression (5) determines the maximum spatial scale at which mixing can be considered as
 136 homogeneous. The evaluation of the spatial scales at conditions typical of different cloud types
 137 is presented in **Table 1**. One can see that the characteristic volume size at which mixing can be
 138 considered homogeneous ranges from 0.2 m to 0.6 m. At larger scales, supersaturation within the
 139 mixing volume is non-uniform and droplets in the volume experience different values of relative
 140 humidity. In this case, the mixing should be considered inhomogeneous.

141 The further paper structure is the following. In Section 2 we calculate the thermodynamic
 142 characteristics of the resulting volume at the end of the first stage of mixing (see Fig. 1b).

143 Section 3 presents an analytic solution of homogeneous droplet evaporation in the monodisperse
 144 DSD case. In Section 4 effects of polydispersivity on the DSD evolution are described. The
 145 problem of turbulent mixing representation in numerical cloud models is discussed in Section 5.
 146 The main results of the study are presented in Conclusion Section 6.

147

148 **2. Thermodynamic characteristics of the mixing volume at the end of the first stage**

149 At the first stage (see the scheme in Fig.1) homogeneous mixing is considered as an isobaric
 150 process that is not accompanied by phase transitions (Korolev and Isaac, 2000). Let us consider
 151 mixing between a cloud volume with mass m_1 , supersaturation $S_1 = 0$ and temperature T_1 and a
 152 droplet-free volume with mass m_2 , supersaturation $S_2 < 0$ and temperature T_2 . The cloud
 153 volume also contains droplets with concentration N_1 and liquid water mixing ratio q_1 (Fig. 1).
 154 For the sake of simplicity, we assume that the mass of both volumes is equal to one. Let us
 155 further assume that μ is the mass fraction of the cloud air that mixes with the mass fraction
 156 $(1 - \mu)$ of the droplet-free air. In this case, the air mass in the mixing volume will be equal to
 157 $m_1\mu + (1 - \mu)m_2 = 1$. Isobaric mixing leads to an approximate linear dependence of droplet
 158 concentration N_{m_0} on μ .

159 After an instantaneous homogenization of the two volumes, the intermediate temperature
 160 T_{m_0} , droplet concentration N_{m_0} and liquid mixing ratio q_{m_0} are (see Pt.1):

$$161 \quad N_{m_0} = N_1\mu; \quad q_{m_0} = q_1\mu; \quad T_0 = T_1\mu + T_2(1 - \mu) \quad (6a)$$

162 If the temperature difference $|T_1 - T_2|$ does not exceed a few degrees, the intermediate
 163 supersaturation S_{m_0} can be approximated by a linear dependence on μ

$$164 \quad S_{m_0} = S_2(1 - \mu) \quad (6b)$$

165 **Figure 2** shows, that in case $|T_1 - T_2| < 2^\circ C$, the deviations of supersaturation from the linear
 166 dependence (6b) are small enough and can be neglected. In cases when the temperature of the
 167 dry volume substantially differs from the temperature of the cloud volume, the dependence of the
 168 resulting supersaturation on parameter μ becomes non-linear (Fig. 2). At temperature
 169 differences of $5-10^\circ C$, the deviation from the analytical solution (6) increases, which requires
 170 using more precise formulas for supersaturation (see Pt. 1). Values of N_{m0} , q_{m0} , T_{m0} and S_{m0}
 171 determine the initial conditions for the second stage of homogeneous mixing. This is actually
 172 homogeneous evaporation of droplets, which leads to a thermodynamic equilibrium between
 173 water vapor and liquid water.

174

175 **3. Analysis of homogeneous droplet evaporation in the monodisperse DSD case**

176

177 **3.1. Basic assumptions and equations**

178 The second stage of mixing consists in homogeneous evaporation of droplets. The evolution
 179 of DSD during the second stage is considered here under the following assumptions: a) the
 180 processes inside the mixing volume are adiabatic, b) the droplet size distribution is
 181 monodisperse, c) the vertical velocity of the volume $u_z = 0$ and d) the sedimentation of droplets
 182 is neglected and their concentration remains constant.

183 The liquid water mixing ratio can be expressed as

$$184 \quad q(t) = \frac{4\pi\rho_w}{3\rho_a} Nr^3(t) \quad (7)$$

185

186 where $r(t)$ is the radius of droplets and N is the droplet number concentration. Closed
 187 equations describing condensation/evaporation in a moving adiabatic air volume were obtained

188 by Pinsky et al. (2013). In an unmoving adiabatic volume, evaporation is described by the
 189 equation for supersaturation (e.g., Korolev and Mazin, 2003)

$$190 \quad \frac{1}{S+1} \frac{dS}{dt} = -A_2 \frac{dq}{dt} \quad (8)$$

191 and droplet evaporation is described by the simplified equation (Pruppacher and Klett, 2007)

$$192 \quad r \frac{dr}{dt} = \frac{S}{F}, \quad (9)$$

193 where S is the supersaturation over a flat water surface. For cloud droplets Eq. (9) is valid with
 194 high accuracy. We do take into account formation of haze particles resulting from droplet
 195 evaporation. This allows us to neglect the curvature term and chemical term in the evaporation
 196 equation. Coefficients A_2 and F in Eqs. (8, 9) are slightly dependent on the temperature and the
 197 pressure

$$198 \quad A_2 = \frac{1}{q_v} + \frac{L^2}{c_p R_v T^2} \quad (10)$$

$$199 \quad F = \frac{\rho_w L^2}{k_a R_v T^2} + \frac{\rho_w R_v T}{e_s(T) \mathcal{D}} \quad (11)$$

200 We assume that coefficients A_2 and F do not change in the course of droplet evaporation.

201 The physical meaning and units of other variables are given in **Appendix A**.

202

203 **3.2. Time evolution of supersaturation and of liquid water content**

204 The closed differential equations for the liquid water mixing ratio q and supersaturation S

205 to be used in the analysis are derived in **Appendix B**.

$$206 \quad \frac{dq}{dt} = BN_{m0}^{2/3} \left[(S_{m0} + 1) \exp\{-A_2(q - q_{m0})\} - 1 \right] q^{1/3} \quad (12)$$

$$207 \quad \frac{1}{S+1} \frac{dS}{dt} = -A_2 BN_{m0}^{2/3} S \left(q_{m0} - \frac{1}{A_2} \ln \frac{S+1}{S_{m0}+1} \right)^{1/3} \quad (13)$$

208 where

$$209 \quad B = \frac{3}{F} \left(\frac{4\pi\rho_w}{3\rho_a} \right)^{2/3} = const \quad (14)$$

210 The solutions of these equations depend on the values of N_{m0} , q_{m0} , T_{m0} , and S_{m0} , obtained after
 211 the first stage of mixing. Eqs. (12) and (13) are rigidly connected by the following equation
 212 directly following from Eq. (8):

213

$$214 \quad \ln[S(t)+1] = -A_2q(t) + C \quad (15)$$

215

216 where $C = \ln[S_{m0} + 1] + A_2q_{m0}$ is determined by the initial conditions at $t = 0$.

217 Since in this study we assume $S(t) \leq 0$, it is convenient to use the relative humidity RH and
 218 the saturation deficit SD to characterize the thermodynamic state of the mixing volume. Both
 219 quantities are easily related to $S(t)$: $SD(t) = -S(t)$, $RH(t) = 1 + S(t)$. **Figure 3** demonstrates
 220 dependencies $S(t)$ and $q(t)$, calculated at an initial relative humidity RH_{m0} that varies from 72%
 221 to 91.6%, and an initial LWC of 0.6 gm^{-3} . RH_{m0} corresponds to the relative humidity in dry
 222 volume RH_2 , that ranges from 43% to 83% at $\mu = 0.5$. The results of solving Eqs. (14-15) were
 223 compared with those obtained using a parcel model (Korolev, 1995) in which evaporation is
 224 described using equations with temperature-dependent parameters, and were found to be in
 225 excellent agreement. This agreement can be attributed to the fact that temperature changes that
 226 occurred in the course of the mixing are relatively small, validating the assumption about the
 227 constancy of A_2 and F .

228 As seen from Fig. 3, the final equilibrium state may be reached within several seconds. Fig. 3
 229 shows the possibility of the two final states mentioned above: a) complete droplet evaporation

230 reached at different time instances depending on the initial value of S_{m0} , and b) partial droplet
 231 evaporation at $RH_{m0} > 82\%$. In the latter case, the final supersaturation is equal to zero.

232

233 3.3. Universal dependencies of supersaturation and of LWC on time

234 In order to simplify the further analysis we introduce the following non-dimensional

235 parameters: normalized liquid water mixing ratio $\tilde{q} = \frac{q}{q_{m0}}$ which is equal to normalized liquid

236 water content, normalized supersaturation $\tilde{S} = \frac{S}{A_2 q_{m0}}$, and non-dimensional time $\tilde{t} = t / \tau_{m0}$,

237 where $\tau_{m0} = (BA_2 N_{m0}^{2/3} q_{m0}^{1/3})^{-1}$ is the time scale. Then the set of non-dimensional equations

238 describing changes of supersaturation and liquid mixing ratio can be written as (see Appendix B

239 for detail)

$$240 \quad \tilde{S}(\tilde{t}) = -\tilde{q}(\tilde{t}) + \gamma \quad (16)$$

$$241 \quad \frac{d\tilde{q}}{d\tilde{t}} = \tilde{q}^{1/3} (\gamma - \tilde{q}) \quad (17)$$

$$242 \quad \frac{d\tilde{S}}{d\tilde{t}} = -(\gamma - \tilde{S})^{1/3} \tilde{S}, \quad (18)$$

243 where

$$244 \quad \gamma = 1 + \frac{S_{m0}}{A_2 q_{m0}} = 1 + \frac{1 - \mu}{\mu} \frac{S_2}{A_2 q_1} \quad (19)$$

245 is dimensionless parameter which depends on the initial supersaturation S_{m0} and the initial liquid

246 water mixing ratio q_{m0} . The value of this parameter can be either positive or negative. Eqs. (16-

247 18) are strictly valid if $|S_{m0}| \ll 1$, i.e. when the value of supersaturation S is negligible in

248 comparison with unity in the factor $(S + 1)^{-1}$ on the left-hand side of Eq. (8). However, a detailed

249 comparison of solutions of Eqs. (16-18) with those obtained using a numerical model showed

250 that Eqs. (16-18) provide accurate solution at RH_{m0} as low as 30-40%. Eq. (17) should be
 251 solved with the initial condition $\tilde{q}(0) = 1$, and Eq. (18) should be solved with the initial condition
 252 $\tilde{S}(0) = \frac{S_{m0}}{A_2 q_{m0}} < 0$. Therefore, solutions of both equations depend on the sole parameter γ . Eqs.
 253 (17) and (18) are rigidly connected by balance equation Eq. (16).

254 Defining $x(\tilde{t}) = (\tilde{q}(\tilde{t}))^{1/3}$ and $\chi = |\gamma|^{1/3} \text{sgn}(\gamma)$, the solution of Eq. (17) with the initial
 255 condition $x(0) = 1$ is

$$256 \quad 2\chi\tilde{t} = \ln \left[\frac{(1-\chi)^2}{(x-\chi)^2} \frac{x^2 + \chi x + \chi^2}{1 + \chi + \chi^2} \right] + 2\sqrt{3} \left[\text{atan} \frac{2\sqrt{3}\chi(1-x)}{3\chi^2 + (2+\chi)(2x+\chi)} \right] \quad (20)$$

257 The solution for the normalized supersaturation can be obtained from Eq. (20) and the balance
 258 equation (16)

$$259 \quad \tilde{S}(\tilde{t}) = -\tilde{q}(\tilde{t}) + \gamma = -x^3(\tilde{t}) + \chi^3 \quad (21)$$

260 **Figure 4** demonstrates time dependencies $\tilde{S}(\tilde{t})$ and $\tilde{q}(\tilde{t})$ calculated at different γ at
 261 $S_{m0} > -10\%$ (i.e. $RH_{m0} > 90\%$). As seen from Fig. 4, the analytical solution is quite close to the
 262 numerical one. The deviation increases with an increase in parameter γ . At $\gamma = -0.5$, the error
 263 in the final RH is about 15%. The initial RH_{m0} in this case is about 90%. RH_{m0} is the relative
 264 humidity in the mixing volume V after the first stage of mixing. As mentioned above, RH_{m0}
 265 may be substantially higher than RH_2 in the initially droplet-free volume. *In-situ* measurements
 266 (Gerber et al., 2008) and remote measurements of aerosol humidification (Knight and Miller,
 267 1998; Bar-Or et al., 2012) indicate the existence of zones of high RH along cloud edges. These
 268 observations and results of numerical simulations indicate that the analytical solution (20-21) is a
 269 universal one and applicable at any RH values in cloud surrounding.

270 The amplitude of the deviation of the analytical solution for supersaturation from the
 271 modeled result decreases with the decrease of γ . The cause of the deviation is neglecting term
 272 $(S + 1)$ on the left-hand side of Eq. (8).

273 There are two types of solutions determined by parameter χ (or parameter γ), separated by
 274 value $\chi = 0$ (Figs. 3 and 4). Condition $\chi = 0$ corresponds to $\mu = \mu_{cr}$ (see Pt 1) and indicates
 275 complete evaporation of all the droplets and the relative humidity increasing up to 100 %.
 276 Condition $\chi > 0$ corresponds to solutions $\tilde{q}(\tilde{t})$ with asymptotic behavior at $\tilde{t} \rightarrow \infty$: $\tilde{q} \rightarrow \gamma$ and
 277 $\tilde{S} \rightarrow 0$, which means that droplets do not completely evaporate. Condition $\chi < 0$ means that all
 278 the droplets completely evaporate.

279 At $\chi = 0$, the analytical solution is:

$$280 \quad x = \frac{3}{\tilde{t} + 3}; \quad \tilde{q}(\tilde{t}) = \left(\frac{3}{\tilde{t} + 3} \right)^3; \quad \tilde{S}(\tilde{t}) = - \left(\frac{3}{\tilde{t} + 3} \right)^3 \quad (22)$$

281 Both LWC and supersaturation tend to zero at $\tilde{t} \rightarrow \infty$.

282 At $\chi < 0$, the duration of the evaporation is limited in time (Figs. 3, 4). Normalized
 283 evaporation time t_e depends on parameter χ only. This dependence can be obtained from Eq.
 284 (20) at $x = 0$:

$$285 \quad t_e = \frac{1}{2\chi} \ln \frac{(1-\chi)^2}{1+\chi+\chi^2} + \frac{\sqrt{3}}{\chi} \operatorname{atan} \frac{\sqrt{3}}{2\chi+1} \quad (23)$$

286 Here the time is counted in the relaxation time scales. This fact indicates that the phase
 287 relaxation time is the time scale that should be used in the analysis of mixing. The choice of a
 288 time scale will be discussed elaborated in greater detail below.

289 At $\chi > -1/2$, one have to use the values $\text{atan}\left(\frac{\sqrt{3}}{2\chi+1}\right) - \pi$ in Eq. (23) instead of $\text{atan}\frac{\sqrt{3}}{2\chi+1}$.

290 t_e is the time needed for supersaturation to reach its maximal value (Figs. 3a, 4a). This value is
 291 calculated from Eqs. (16) and (6)

$$292 \quad \tilde{S}_{\max} = \gamma = \chi^3 = 1 + \frac{S_{m0}}{A_2 q_{m0}} \approx 1 + \frac{S_2}{A_2 q_1} \frac{1-\mu}{\mu} = 1 + R \frac{1-\mu}{\mu} \quad (24)$$

293 where $R = \frac{S_2}{A_2 q_1}$ is a dimensionless parameter describing the ratio of S_2 in the initially dry air
 294 and the reserve of liquid water available for evaporation. (This parameter can be determined
 295 more precisely using Eq. (2) or Eq. (4) given in Pt. 1).

296 The dependence $t_e(\chi)$ is shown in **Figure 5**. One can see that at large sub-saturation values
 297 ($\chi < -0.4$) all the droplets evaporate within the span of a few relaxation times, and the analytical
 298 results agree well with the model (benchmark) results. At a high initial RH , droplet evaporation
 299 increases the humidity to nearly the saturation value, at which evaporation becomes extremely
 300 slow. In this case, simplified analytical formulas overestimate the evaporation time.

301 At $\chi > 0$, droplets partially evaporate, q reaches the minimal value and the thermodynamic
 302 equilibrium is reached when $S \rightarrow 0$. Minimal normalized equilibrium LWC can be found from
 303 Eqs. (21) and (6)

$$304 \quad \tilde{q}_{\min} = \gamma = \chi^3 = 1 + R \frac{1-\mu}{\mu} \quad (25)$$

305 Eq. (25) is similar to Eqs. (4-5) (see Pt.1.).

306 Dependences of \tilde{S}_{\max} and \tilde{q}_{\min} on cloud air fraction, calculated at different initial conditions
 307 using Eqs. (24) and (25) are shown in **Figure 6**. The diagram in Fig. 6b is actually a kind of
 308 widely used mixing diagram for homogeneous mixing, and Eq. (25) is an universal analytic
 309 equation for calculation of this diagram depending on the non-dimensional parameter R . Panel

310 (a) shows that the higher the cloud fraction of air parcels involved in mixing, the lower the
 311 saturation deficit, i.e. the higher the final RH is. At small R , saturation is reached at a low μ .
 312 Similar diagrams in physical units are shown in Pt.1 in Figs. 4a,g.

313 The equations presented above allow to predict the results of homogeneous mixing both for
 314 partial and complete evaporation of droplets (**Table 2**). One can see that at low temperatures,
 315 the environment air volume becomes cloudy at relatively low values of liquid water content in
 316 the cloud volume even if RH of the environment volume is as low as 50%. The reason is that the
 317 saturation ratio at low temperatures is low and only a small amount of liquid should be
 318 evaporated to make the initially dry volume saturated.

319 The diagram in Fig.6b allows to calculate the final liquid water content remaining in the
 320 entire volume after saturation reaches 100%. This diagram also shows that for each value of μ
 321 there is a certain value of R at which full evaporation takes place. The remaining \tilde{q}_{\min} increases
 322 with decreasing μ and increasing R , as shown by the arrow.

323 The natural time scale of the evaporation and, therefore, homogeneous mixing was
 324 determined as $\tau_{m0} = (BA_2 N_{m0}^{2/3} q_{m0}^{1/3})^{-1}$. This value is inversely proportional to $N_{m0} r_{m0}$ and actually
 325 coincides with the initial phase relaxation time τ_{pr} . The equality of the characteristic time scales
 326 of variations in supersaturation and in liquid water content directly follows from the equation
 327 (15). Indeed, in case of $|S| \ll 1$ the equation can be rewritten as $S(t) = -A_2 q(t) + C$ thus
 328 establishing a linear relationship between the supersaturation and the liquid water mixing ratio.

329 It should be emphasized that τ_{m0} is not the time of total droplet evaporation or the time of
 330 reaching saturation (when evaporation is over). The time of complete evaporation of all droplets
 331 can be substantially longer than phase relaxation time τ_{m0} .

332

333 **4. Analysis of homogeneous droplet evaporation in case of a polydisperse DSD**

334 **4.1. DSD evolution in the course of droplet evaporation**

335 To analyse polydisperse DSD evolution during droplet evaporation at the second stage of
 336 homogeneous mixing, we use the same equations for diffusional growth (9) and supersaturation
 337 (8) as those used in case of a monodisperse DSD. The solution of Eq. (9) can be written in the
 338 form

$$339 \quad r^2(t) = r_0^2 - Q(t) \quad (26)$$

340 where the non-negative function $Q(t) \geq 0$ is proportional to the supersaturation integral

$$341 \quad Q(t) = -\frac{2}{F} \int_0^t S(t') dt' \geq 0 \quad (27)$$

342 This function characterizes a decrease in the square of droplet radii. At $t=0$, $Q(0)=0$. Let
 343 $f_0(r_0)$ be an initial DSD immediately following the first stage of homogeneous mixing. This
 344 distribution obeys the normalization condition

$$345 \quad N_{m0} = \int_0^\infty f_0(r_0) dr_0 \quad (28)$$

346 where N_{m0} is the initial droplet number concentration after the first stage of mixing. Using the
 347 inverse transformation $r_0 = \sqrt{r^2 + Q(t)}$ alongside with condition $r \geq 0$ and the relation between
 348 the distribution functions $f(r, t) = f_0(r_0) \frac{dr_0}{dr}$ we get

$$349 \quad f(r, t) = \begin{cases} \frac{r}{\sqrt{r^2 + Q(t)}} f_0\left(\sqrt{r^2 + Q(t)}\right), & r \geq 0 \\ 0, & r < 0 \end{cases} \quad (29)$$

350 Eq. (29) shows that the time changes in DSD and in its moments depend both on the initial DSD
 351 at $t=0$, $f_0(r_0)$ and on the time-dependent function $Q(t) \geq 0$.

352 To illustrate the DSD evolution using Eq. (29), we assume that the initial distribution
 353 immediately following the first stage of mixing can be represented by a Gamma distribution:

$$354 \quad f_0(r_0) = \frac{N_{m0}}{\Gamma(\alpha)\beta} \left(\frac{r_0}{\beta}\right)^{\alpha-1} \exp\left(-\frac{r_0}{\beta}\right) \quad (30)$$

355 where N_{m0} is an intercept parameter, α is a shape parameter and β is a slope parameter of
 356 distribution. Different sets of parameters allow approximations of both narrow and wide DSD.
 357 The parameters of the initial Gamma distribution used in this study are presented in **Table 3** and
 358 are chosen so that the modal radii of DSD and the LWC would be the same for both
 359 distributions.

360 Combining Eqs. (29) and (30) yields an equation for DSD evolution as a function of $Q(t)$

$$361 \quad f(r,t) = \begin{cases} \frac{N_{m0}}{\Gamma(\alpha)\beta^\alpha} (r^2 + Q)^{\frac{\alpha}{2}-1} r \exp\left(-\frac{\sqrt{r^2 + Q}}{\beta}\right), & r \geq 0 \\ 0, & r < 0 \end{cases} \quad (31)$$

362 This DSD (31) depends on four parameters, wherein parameter $Q(t)$ increases with time
 363 according to Eq. (27). Examples of evolutions of an initially narrow DSD and an initially wide
 364 DSD are shown in **Figure 7**. All the calculations were performed using a parcel model (Korolev,
 365 1995).

366 There is a significant difference between evolution of DSDs in cases of monodisperse and
 367 polydisperse DSDs. At a monodisperse DSD, droplet concentration remains unchanged until the
 368 final stage of evaporation when droplets become small and then all evaporate rapidly. At a
 369 polydisperse DSD the droplet concentration decreases simultaneously with the decrease in
 370 LWC. Evaporation of a the narrow size distribution is consistent with the concept of
 371 homogeneous mixing (Fig. 7a). However homogeneous evaporation of droplets with a wide
 372 DSD may be mistakenly taken for inhomogeneous mixing.

373

374 **4.2. Evolution of DSD moments and related functions**

375 Eq. (29) also allows evaluation of droplet concentration, DSD moments and related
 376 functions. Droplet concentration corresponds to the zero moment of DSD and can be expressed
 377 as

$$378 \quad N(t) = \int_0^{\infty} f(r, t) dr = \int_0^{\infty} \frac{r}{\sqrt{r^2 + Q(t)}} f_0\left(\sqrt{r^2 + Q(t)}\right) dr = \int_{\sqrt{Q(t)}}^{\infty} f_0(r_0) dr_0 \quad (32)$$

379 Since function $Q(t)$ monotonically increases with the time, the right-hand integral in Eq. (32)
 380 decreases, indicating a decrease in droplet concentration with time. If the initial distribution of
 381 droplets is described by a Gamma distribution, the decrease of droplet concentration with the
 382 time is evaluated using Eqs. (30) and (32) as

$$383 \quad N(t) = \int_{\sqrt{Q(t)}}^{\infty} f_0(r_0, t) dr_0 = \int_{\sqrt{Q(t)}}^{\infty} \frac{N_{m0}}{\Gamma(\alpha)\beta} \left(\frac{r_0}{\beta}\right)^{\alpha-1} \exp\left(-\frac{r_0}{\beta}\right) dr_0 =$$

$$384 \quad \frac{N_{m0}}{\Gamma(\alpha)} \int_{\frac{\sqrt{Q(t)}}{\beta}}^{\infty} x^{\alpha-1} \exp(-x) dx = N_{m0} \frac{\Gamma(\alpha, \eta)}{\Gamma(\alpha)}. \quad (33)$$

385

386 where

$$387 \quad \eta(t) = \frac{\sqrt{Q(t)}}{\beta} \quad (34)$$

388 is a non-dimensional function of time and $\Gamma(\alpha, \eta(t))$ is an upper incomplete Gamma function
 389 (Korn and Korn, 2000). It follows from Eqs. (32) and (33) that $N(t) \leq N_0$. The dependencies of
 390 the normalized droplet concentration $\frac{N(t)}{N_{m0}}$ on time for an initially narrow DSD and an initially
 391 wide DSD are shown in **Figure 8**.

392 Fig. 8 shows that in case of an initially narrow DSD, droplet concentration does not change
 393 during the first 20 s when $RH_{m0} = 91.6\%$ because the DSD does not shift strongly enough
 394 toward smaller droplet radii. At lower initial supersaturations, droplet concentration decreases

395 with time. This decrease may take place rapidly and may last several seconds only. The red line
 396 separates two different evaporation scenarios. The curves above the red line correspond to partial
 397 evaporation and reaching the saturation state, whereas the curves below the red line correspond
 398 to complete evaporation of droplets and the environment remains subsaturated.

399 At an initially wide DSD, droplet concentration decreases at any initial subsaturation value
 400 because the DSD contains small droplets that start evaporating regardless the subsaturation
 401 value. In the particular example shown in Fig. 8, the droplet relaxation time is shorter in case of a
 402 wide DSD, so droplet concentration decreases slower than at a narrow DSD. Droplet
 403 concentration decreases substantially during a few tens of seconds, but does not reach zero due
 404 to a significant concentration of large droplets in the wide DSD. The equilibrium state is not
 405 reached within 20 s.

406 Fig. 8 also demonstrates an excellent agreement between the results of analytical calculations
 407 performed using Eq. (33) and results obtained using the parcel model.

408 A normalized moment of the k -th order is evaluated as

$$\begin{aligned} \overline{r^k}(t) &= \frac{1}{N(t)} \int_0^\infty r^k f(r,t) dr = \frac{1}{N(t)} \int_0^\infty \frac{r^{k+1}}{\sqrt{r^2 + Q(t)}} f_0\left(\sqrt{r^2 + Q(t)}\right) dr = \\ 409 \quad &\frac{1}{N(t)} \int_{\sqrt{Q(t)}}^\infty (r_0^2 - Q(t))^{k/2} f_0(r_0) dr_0 \end{aligned}$$

410

$$\overline{r^k}(t) = \frac{\int_{\sqrt{Q(t)}}^\infty (r_0^2 - Q(t))^{k/2} f_0(r_0) dr_0}{\int_{\sqrt{Q(t)}}^\infty f_0(r_0) dr_0} \quad (35)$$

412 In case when the initial distribution is given by the Gamma distribution (30), Eq. (35) leads to
 413 the following equation

$$\overline{r^k}(t) = \frac{\beta^k}{\Gamma(\alpha, \eta(t))} \int_{\eta(t)}^\infty (x^2 - \eta^2(t))^{k/2} (x)^{\alpha-1} \exp(-x) dx \quad (36)$$

415 The even moments can be represented using incomplete the Gamma functions.

416 **Figures 9 and 10** show the time dependencies of quantities typically used for characterizing

417 the DSD shape, namely the mean radius $\bar{r}(t)$ (panel (a)), the effective radius $r_{eff}(t) = \frac{\overline{r^3(t)}}{r^2(t)}$

418 (panel (b)), the RMS width of DSD $\sigma(t) = \sqrt{\overline{r^2(t)} - \bar{r}^2(t)}$ (panel (c)) and the dispersion

419 coefficient $\delta(t) = \frac{\sigma(t)}{\bar{r}(t)}$ (panel (d)). The dependencies corresponding to an initially narrow DSD

420 are shown in Fig. 9 and those corresponding to an initially wide DSD are shown in Fig. 10.

421 At an initially narrow DSD, the mean radius and the effective radius decrease with time in
 422 agreement with the concept of homogeneous mixing. Formation of plateaus in the mean radii, in
 423 the effective radii and in droplet dispersion over long time periods is caused by the existence of
 424 droplets in the tail of DSD distributions. While the concentration of such droplets is negligibly
 425 small, their evaporation takes a significant amount of time.

426 At an initially wide DSD (Fig.7b), complete evaporation of the smallest droplets starts at the
 427 very beginning of the second stage of mixing. This evaporation leads to an increase in the
 428 effective radius and in the mean radius that changes non-monotonically. An increase in the
 429 value of the effective radius contradicts the concept of homogeneous mixing, according to which
 430 both the mean radii and the effective radii decrease in the course of mixing. This increase is
 431 explained by the fact that subsaturation at an initially wide DSD leads to a significant rapid
 432 decrease in the concentration of small droplets, while the changes in LWC whose value is
 433 determined by larger droplets, do not occur that quickly.

434 The opposite behaviors of the effective radii (as well as of the other characteristic droplet
 435 sizes) at a narrow DSD vs. a wide DSD, illustrated in Figs. 9 and 10, suggest the existence of a
 436 large number of DSDs with initial shapes at which the evaporation of droplets leads to a decrease
 437 in droplet concentration, but does not change significantly the effective radius. So the constancy

438 of the effective radius at varying droplet concentrations does not allow to distinguish the mixing
 439 type with full confidence. Even at a narrow DSD, the decrease in the value of the effective radius
 440 does not exceed 20% at the an initial saturation deficit of 8.4 %.

441 In any case the evolution of DSD and of their parameters is determined by the competition
 442 between two effects. First, this is the effect of partial droplet evaporation which shifts the DSD
 443 toward smaller sizes and leads to a decrease in the mean radii and in the effective radii, as well
 444 as widens the DSD. Second, this is the effect of complete evaporation of the smallest droplets,
 445 which increases both the mean radii and the effective radii. The relative contribution of these two
 446 effects depends on the initial DSD width and the value of the mean radius. The best indicators of
 447 these two effects are the DSD width, σ and the DSD dispersion $\frac{\sigma}{\bar{r}}$.

448 At an initially narrow DSD and an initially low RH , partial evaporation initially dominates
 449 and the DSD width increases due to the appearance of smaller droplets (Fig. 9c). Afterwards,
 450 when complete droplet evaporation becomes the dominant factor, the DSD shifts significantly to
 451 small sizes and the DSD width decreases. At an initially large RH , complete droplet evaporation
 452 is not efficient, and the DSD gets continuously wider. Since the mean radius decreases, the DSD
 453 dispersion tends to constant values at any initial RH . However, the largest DSD dispersion takes
 454 place at an initially low RH , when evaporation substantially decreases the mean droplet radius.
 455 As seen in Figs. 9d and 10d, the initial DSD dispersion at a narrow DSD is 0.1, while the initial
 456 dispersion at a wide DSD is 0.5.

457 Figs. 10c and 10d show that at an initially wide DSD, homogeneous evaporation leads to an
 458 increase in both DSD width and DSD dispersion. The increase in the DSD width indicates that
 459 formation of the smallest droplets by partial evaporation is the main mechanism of the DSD
 460 shape evolution. The DSD dispersion increases with time and rapidly reaches quasi-stationary
 461 values of about 0.56 that are typical of real clouds.

462

463 **4.3. Evolution of LWC and of supersaturation**

464 Using Eq. (35), the time dependence of the liquid water mixing ratio is represented as

$$465 \quad q(t) = \frac{4\pi\rho_w}{3\rho_a} N(t) \overline{r^3(t)} = \frac{4\pi\rho_w}{3\rho_a} \int_{\sqrt{Q(t)}}^{\infty} (r_0^2 - Q(t))^{3/2} f_0(r_0) dr_0 \quad (37)$$

466 If the initial DSD is approximated by a Gamma distribution, $q(t)$ can be written as

$$467 \quad q(t) = \frac{4\pi\rho_w}{3\rho_a} \int_{\sqrt{Q(t)}}^{\infty} (r_0^2 - Q(t))^{3/2} \frac{N_{m0}}{\Gamma(\alpha)\beta} \left(\frac{r_0}{\beta}\right)^{\alpha-1} \exp\left(-\frac{r_0}{\beta}\right) dr_0 =$$

$$\frac{4\pi\rho_w}{3\rho_a} \frac{N_{m0}\beta^3}{\Gamma(\alpha)} \int_{\eta(t)}^{\infty} (x^2 - \eta^2(t))^{3/2} (x)^{\alpha-1} \exp(-x) dx \quad (38)$$

468 Since at $t=0$, $\eta=0$ and the initial liquid water mixing ratio is equal to

$$469 \quad q_{m0} = \frac{4\pi\rho_w}{3\rho_a} N_{m0}\beta^3 \frac{\Gamma(\alpha+3)}{\Gamma(\alpha)}, \text{ hence the normalized liquid water mixing ratio is calculated as}$$

$$470 \quad \frac{q(t)}{q_{m0}} = \frac{1}{\Gamma(\alpha+3)} \int_{\eta(t)}^{\infty} (x^2 - \eta^2(t))^{3/2} (x)^{\alpha-1} \exp(-x) dx \quad (39)$$

471

472 **Figure 11** shows the time dependencies of LWC for different initial RH_{m0} in the resulting
 473 volume (Fig.11a: an initially narrow DSD; Fig.11b: an initially wide DSD). One can see that at
 474 an initially narrow DSD the LWC rapidly decreases, either to zero (full evaporation) or, as in the
 475 monodisperse case, to an equilibrium value during a time period of the phase relaxation time (see
 476 Section 3 for comparison). At an initially wide DSD, the LWC decreases slowly and
 477 monotonically. In general, at an initially narrow DSDs the time dependencies, $q_w(t)$ are quite
 478 close to those for monodisperse DSD (Fig. 3b). The higher the initial RH , the smaller the change
 479 in the LWC is.

480 To calculate the time dependencies of supersaturation, one can use the full equation (8) or
 481 Eq. (15) written in the form:

$$482 \quad S(t) = (S_{m0} + 1) \exp\{-A_2 [q(t) - q_{m0}]\} - 1 \quad (40)$$

483 In Eq. (40), S_{m0} and q_{m0} are the initial supersaturation and the liquid water mixing ratio,
 484 respectively, at $t=0$. The corresponding time dependencies are shown in **Figure 12**. The
 485 analytical results of Eq. (40) are compared with the exact numerical solution obtained by a parcel
 486 model, showing a good agreement. The behavior of supersaturation at an initially narrow DSD is
 487 similar to that of a monodisperse DSD (Fig. 3a). As in Fig. 3a, the equilibrium non-zero values
 488 of subsaturation correspond to complete droplet evaporation. At an initially wide DSD, the
 489 saturation deficit monotonically decreases as a consequence of the monotonic decrease in the
 490 LWC.

491 **Figure 13** shows the dependencies of normalized LWC on normalized droplets
 492 concentrations calculated at different values of the initial relative humidity RH_{m0} in the mixing
 493 volume ((a): the initially narrow DSD and (b) the initially wide DSD). Each point on the curves
 494 corresponds to a certain time. Since the dependencies are plotted in non-dimensional
 495 coordinates, the time instance $t=0$ corresponds to coordinates (1, 1). The numbers along the
 496 curves denote the points corresponding to time instance $t=20$ s. At lower RH_{m0} , the curves
 497 reach lower values of LWC and of droplet concentration. In case of an initially narrow DSD the
 498 curve can be divided into three sections. The first section corresponds to high RH_{m0}
 499 ($RH_{m0} > 90\%$). Within this section, the droplet concentration does not decrease with decreasing
 500 LWC, which is in line with the conceptual scheme of homogeneous mixing (Fig. 1). This section
 501 corresponds to the straight horizontal line in Fig. 8a. At lower relative humidity, the droplet
 502 concentration begins to decrease with a decreasing LWC, in line with Fig. 8a. When the LWC
 503 reaches small values, the dependence of the normalized LWC on the normalized droplet
 504 concentration becomes close to linear. At an initially wide DSD (Fig. 13b), the dependence of

505 the LWC on droplet concentration is close to linear at all the values of RH_{m0} . This linear
 506 dependence means that the mean volume radius varies only slightly during droplet evaporation.

507 It is noteworthy that all the curves plotted for different initial values of RH_{m0} coincide.
 508 This coincidence can be explained by the fact that all the DSDs used depend on four parameters,
 509 namely, the three parameters of the initial Gamma distribution and on $Q(t)$ (Eq. 31). The
 510 parameters of Gamma distributions are identical for each panel in Fig. 13. Parameter $Q(t)$
 511 monotonically increases with time. At different RH_{m0} , the values of $Q(t)$ reach the same values
 512 at different times. When the values of $Q(t)$ are the same, the DSDs and all the DSD moments
 513 are equal.

514 To sum up, at an initially narrow DSD and a comparatively large initial relative humidity
 515 $RH_{m0} > 87\%$ phenomenon demonstrates properties typically attributed to homogeneous mixing,
 516 when a decrease in both the LWC and the effective radius takes place at unchanged droplet
 517 concentration (Figs. 8a, 9b, 11a, 13a). In contrast, in the case of an initially wide DSD, the
 518 evolution of the DSD and its moments is close to that typically attributed to inhomogeneous
 519 mixing, when droplet evaporation leads to a decrease in LWC and in droplet concentration,
 520 while the effective radius remains unchanged. During the changes of a wide DSD, its shape
 521 remains similar to itself (Fig. 7b), which is also considered typical of inhomogeneous mixing.

522

523 **5. Discussion: Application of the concept of homogeneous mixing in numerical modeling**

524

525 The procedure of mixing in any cloud model involves two steps. At the first step, the changes
 526 in microphysical values in each grid point are calculated using the turbulent flux divergences. In
 527 case mixing takes place between any two volumes represented by neighbouring grid points, the
 528 mixing volume containing both grid points never becomes homogeneous (*a fortiori*, the

529 microphysical values in these grid points do not become identical during one time step), so the
530 spatial gradients of the microphysical variables remain between neighbouring grid points. This
531 step represents inhomogeneous mixing at resolving scales. Mixing algorithm in models does not
532 operate with "final" equilibrium values, as assumed in the classical mixing concepts, but rather
533 with current time-dependent values. In contrast, the changes in the microphysical and
534 thermodynamical variables in the volumes represented by one grid points are often considered
535 uniform at each time step, and therefore, the modelled subgrid mixing is treated as
536 homogeneous. Therefore, in most numerical models mixing is inhomogeneous at resolved scales,
537 but homogeneous at subgrid scales.

538 The estimations in Tab. 1 indicate that mixing is homogeneous at scales lower than ~ 0.5 m.
539 This means that to simulate homogeneous mixing explicitly, the grid spacing should be less than
540 0.5 m. If such grid spacing is used, the separation between mixing types could be described
541 explicitly. However, grid spacing in most models significantly exceeds this value. This fact
542 brings up two questions: "What error is introduced when the spatial scale separating mixing
543 types in models is much larger than 0.5 m?" and "Why are spectral microphysics models with a
544 resolution of 40-50 m able to reproduce observed DSD and their moments with high accuracy
545 (Benmoshe et al., 2012; Khain et al., 2013, 2015; Magaritz-Ronen et al., 2014)?"

546 There are several factors that compensate errors in segregating mixing types in cloud models
547 and allow using grid scale $L > L_{pr}$ with little effect on DSD. The first factor is that mixing leads
548 to formation of cloud zones characterized by a spatial correlation scale (radius of correlation) of
549 temperature, humidity and droplet concentration of about 150-250 m (Magaritz-Ronen et al.,
550 2014). Numerical experiments with Lagrangian-Eulerian model of Sc (Magaritz-Ronen et al.,
551 2014) have shown that the results are not sensitive to the choice of parcel size, if this size is
552 substantially smaller than the spatial radius of correlation. Therefore, the mixing type has a
553 minor effect on the results of mixing at scales lower than the radius of correlation.

554 The second factor is that in-cloud mixing often takes place at conditions close to saturation.
 555 At such high humidity, homogeneous and inhomogeneous mixing yield practically the same
 556 results. The similarity of results for the two mixing types is due to the fact that mixing in clouds
 557 is not accompanied by an appreciable phase transition.

558 The third factor was pointed out by Hill et al. (2009), who explained that stratocumulus cloud
 559 evolution is insensitive to the type of sub-grid mixing since the rates of condensation/evaporation
 560 caused by the resolved dynamics are by two orders of magnitude greater than the
 561 condensation/evaporation rate caused by the sub-grid processes.

562 The fourth factor that permits us to treat sub-grid mixing as homogeneous near cloud
 563 interfaces is that DSDs are polydisperse, which is opposite what is assumed in the conventional
 564 mixing considerations. In the present study it was shown that for a broad DSD, the changes of
 565 r_{eff} remain small during mixing. So, a relatively small partial evaporation of droplets provide
 566 sufficient amount of water vapor for saturation of the volume. In this case homogeneous mixing
 567 becomes indistinguishable from inhomogeneous. The saturation of the volume may be facilitated
 568 by entrainment of water vapor from neighboring cloud volumes.

569

570 **6. Conclusions**

571

572 The present study is focused on the dynamics of DSD transformation during the evaporation
 573 stage of homogeneous mixing. The results can be summarized as follows.

574 1. Analytical equations describing time evolution of normalized supersaturation and
 575 normalized LWC are obtained. It is found that these time dependences are universal functions

576 of a sole non-dimensional parameter $\gamma = 1 + \frac{S_{m0}}{A_2 q_{m0}}$. In particular, the dependences of normalized

577 LWC at the final stage on the cloud air fraction, used for plotting the universal mixing diagrams,

578 are obtained analytically. These diagrams also depend on a sole non-dimensional parameter
 579 $R = \frac{S_2}{A_2 q_1} < 0$, which is proportional to supersaturation in dry volume and inversely proportional
 580 to liquid water mixing ratio in a cloud volume. This parameter is uniquely related with parameter
 581 γ by Eq. (24). It is shown that in many cases the major changes in the LWC take place during
 582 the time period of the order of the phase relaxation time τ_{pr} . The equilibrium state can be
 583 reached after several τ_{pr} periods.

584 2. It is shown that the phase relaxation time is a natural time scale of mixing process. This is
 585 clearly seen from the universal renormalized evaporation equations, in which the phase
 586 relaxation time plays the role of a time unit. In some studies (e.g. Baker and Latham, 1979;
 587 Burnet and Brenguier, 2007; Andejchuk et al., 2009) evaporation time for an individual droplet
 588 under given sub-saturation is considered as a characteristic time of mixing. The present study
 589 shows that only the phase relaxation time should be used as the characteristic time scale of
 590 mixing since we have to consider the behavior of a large amount of droplets. Supersaturation (or
 591 sub-saturation) is not a parameter that determines the phase relaxation time. Thus, the utilization
 592 of the evaporation time of individual droplet (at unchanged supersaturation) as the characteristic
 593 time scale of mixing is physically ungrounded. A strict relationship between the changes in
 594 supersaturation and in the liquid water mixing ratio makes it impossible to consider the changes
 595 in an individual droplet size and in supersaturation independently.

596 3. An important outcome of this study is demonstration of a significant difference in the
 597 evaporative behavior between narrow DSD and wide DSD. It is shown that homogeneous
 598 evaporation of a wide DSD is accompanied by reduction in LWC and in droplet concentration
 599 due to total evaporation of small droplets. Such changes of LWC and droplet concentration are
 600 qualitatively different from those in the classic concept of homogeneous mixing. As a result,
 601 homogeneous mixing may be erroneously interpreted as inhomogeneous one.

602 4. It is shown that the evolution of DSDs and their moments in case of polydisperse DSDs,
603 can qualitatively differ from that predicted by homogeneous mixing concept. Evaporation of a
604 comparatively wide DSD may even lead to an increase in the effective radii and DSD high
605 moments. This feature is typically attributed to inhomogeneous mixing.

606 Note that the role of DSD polydispersity in the mixing process is different from that in
607 diffusion droplet growth in ascending parcels. In an ascending adiabatic parcel the
608 supersaturation tends to zero with height, and the DSD width decreases with height as well. In
609 this case, it is possible to reproduce the height dependencies and the time dependencies of
610 supersaturation and of LWC using an "equivalent" monodisperse DSD with the same droplet
611 concentration as a polydisperse DSDs (Pinsky et al., 2014). As regards to mixing with a
612 polydisperse DSD, it cannot be reproduced using a monodisperse DSD, with a possible
613 exception in case of an initially extremely narrow DSD.

614

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619

620

621 **Appendix A: List of Symbols**

622

623 **Table A1 here**

624

625

626 **Appendix B. Derivation of closed equations for supersaturation and for liquid water**
 627 **mixing ratio in the monodisperse DSD case.**

628

629 Let us consider motionless well-mixed adiabatic air volume having an initial supersaturation
 630 $S_{m0} < 0$ and an initial liquid water mixing ratio q_{m0} .

631 1. Substitution of the formula of the liquid water mixing ratio $q = \frac{4\pi\rho_w}{3\rho_a} Nr^3$ into the
 632 equation for droplet radius evolution

$$633 \quad r \frac{dr}{dt} = \frac{S}{F} \quad (\text{B1})$$

634 leads to the equation for the decrease of q with the time

$$635 \quad \frac{dq}{dt} = \frac{3}{F} \left(\frac{4\pi\rho_w}{3\rho_a} \right)^{2/3} N^{2/3} S q^{1/3} = B N^{2/3} S q^{1/3} \quad (\text{B2})$$

636 where

$$637 \quad B = \frac{3}{F} \left(\frac{4\pi\rho_w}{3\rho_a} \right)^{2/3} = \text{const} \quad (\text{B3})$$

638 2. Equation for supersaturation is written as (Korolev and Mazin, 2003)

$$639 \quad \frac{1}{S+1} \frac{dS}{dt} = -A_2 \frac{dq}{dt} \quad (\text{B4})$$

640 Integration of this equation under the assumption that $A_2 = \text{const}$ leads to the equation

$$641 \quad \ln[S(t)+1] = -A_2 q(t) + C \quad (\text{B5})$$

642 where C is determined by initial conditions at $t = 0$

$$643 \quad C = \ln(S_{m0} + 1) + A_2 q_{m0} \quad (\text{B6})$$

644 Using Eqs. (B5) and (B6) one obtains the equation with respect to $S(t)$

$$645 \quad S(t) = (S_{m0} + 1) \exp\{-A_2 [q(t) - q_{m0}]\} - 1 \quad (\text{B7})$$

646 3. Mutual substitution of Eqs. (B2) and (B7) leads to the closed differential equations for
647 $q(t)$ and $S(t)$

$$648 \quad \frac{dq}{dt} = BN^{2/3} \left[(S_{m0} + 1) \exp\{-A_2(q - q_{m0})\} - 1 \right] q^{1/3} \quad (\text{B8})$$

$$649 \quad \frac{1}{S+1} \frac{dS}{dt} = -A_2 BN^{2/3} S \left(q_{m0} - \frac{1}{A_2} \ln \frac{S+1}{S_{m0}+1} \right)^{1/3} \quad (\text{B9})$$

650 Eqs. (B8) and (B9) should be solved with initial conditions $q(0) = q_{m0}$ and $S(0) = S_{m0}$
651 respectively.

652 4. In case $|S_{m0}| \ll 1$, supersaturation is close to zero all the time $|S(t)| \ll 1$ and Eqs. (B7-B9)
653 can be simplified as follows

$$654 \quad S(t) = S_{m0} - A_2 [q(t) - q_{m0}] \quad (\text{B10})$$

$$655 \quad \frac{dq}{dt} = -BN^{2/3} \left(A_2 q^{4/3} - (A_2 q_{m0} + S_{m0}) q^{1/3} \right) \quad (\text{B11})$$

$$656 \quad \frac{dS}{dt} = -B(A_2 N)^{2/3} (A_2 q_{m0} + S_{m0} - S)^{1/3} S \quad (\text{B12})$$

657

658 5. Then one can obtain Eqs. (B10-B12) in a non-dimensional form. Let us define time scale

$$659 \quad \tau_{m0} = \left(BA_2 N^{2/3} q_{m0}^{1/3} \right)^{-1}, \text{ normalized liquid water mixing ratio } \tilde{q} = \frac{q}{q_{m0}}, \text{ normalized supersaturation}$$

$$660 \quad \tilde{S} = \frac{S}{A_2 q_{m0}}, \text{ and non-dimensional time } \tilde{t} = t / \tau_{m0} = BA_2 N^{2/3} q_{m0}^{1/3} t. \text{ The Eqs. (B10-B12) can be}$$

661 rewritten in a non-dimensional form as

$$662 \quad \tilde{S}(\tilde{t}) = -\tilde{q}(\tilde{t}) + \gamma \quad (\text{B13})$$

$$663 \quad \frac{d\tilde{q}}{d\tilde{t}} = \tilde{q}^{1/3} (\gamma - \tilde{q}) \quad (\text{B14})$$

$$664 \quad \frac{d\tilde{S}}{d\tilde{t}} = -(\gamma - \tilde{S})^{1/3} \tilde{S} \quad (\text{B15})$$

665 where non-dimensional parameter $\gamma = 1 + \frac{S_{m0}}{A_2 q_{m0}}$ depends on initial supersaturation S_{m0} and
 666 initial liquid water mixing ratio q_{m0} . Eq. (B14) should be solved with initial condition $\tilde{q}(0) = 1$
 667 and Eq. (B15) should be solved with initial condition $\tilde{S}(0) = \frac{S_{m0}}{A_2 q_{m0}} < 0$. Note that Eqs. (B14) and
 668 (B15) are rigidly connected by Eq. (B13).

669

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776 **Table 1.** Linear scales of volumes experiencing homogeneous mixing at conditions typical of
777 different cloud types.

Cloud type	N, cm^{-3}	LWC gm^{-3}	$r, \mu m$	Dissipation rate, cm^2/s^3	Phase relaxation time, s	Phase scale, m
Maritime Convective	100	2.0	16.8	300	2.01	0.49
Maritime Stratocumulus	100	0.5	10.6	10	3.19	0.18
Weak Stratocumulus	100	0.2	7.8	5	4.33	0.2
Continental Convective	500	2	8.0	500	0.75	0.6

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781 **Table 2** Estimations of mixing results at different environmental conditions*

T ($^{\circ}C$)	A_2	$q_1, g/kg$	RH_2 %	μ (cloud fraction)	$R \frac{1-\mu}{\mu}$	Result of mixing
$T = 0^{\circ}C$	400	1.0	95	0.5	-0.125	cloudy
$T = 0^{\circ}C$	400	1.0	50	0.5	-1.25	Non-cloudy
$-10^{\circ}C$	700	2.0	95	0.5	-0.036	cloudy
$-10^{\circ}C$	700	2.0	50	0.5	-0.36	cloudy
$-20^{\circ}C$	1500	2.0	95	0.5	-0.017	cloudy
$-20^{\circ}C$	1500	2.0	50	0.5	-0.17	cloudy
$-20^{\circ}C$	1500	2.0	95	0.1	-0.153	cloudy
$-20^{\circ}C$	1500	2.0	50	0.1	-1.53	Non-cloudy

782 * The values A_2 are estimated as proposed by Pinsky et al., (2013).

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785 **Tab.3** Parameters of the initial Gamma distributions

DSD	N_{m0} , cm ³	α	β , μm	Modal radius, μm	LWC, g/m ³
Narrow	264.2	101.0	0.1	10.0	0.587
Wide	71.0	4.3	3.1	10.0	0.587

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789 **Tab. A1. List of symbols**

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Symbol	Description	Units
A_2	$\frac{1}{q_v} + \frac{L^2}{c_p R_v T^2}$, coefficient	nd
B	$\frac{3}{F} \left(\frac{4\pi\rho_w}{3\rho_a} \right)^{2/3}$, coefficient	$\text{m}^2 \text{s}^{-1}$
C	constant of integration	nd
c_p	specific heat capacity of moist air at constant pressure	$\text{J kg}^{-1} \text{K}^{-1}$
\mathcal{D}	coefficient of water vapour diffusion in the air	$\text{m}^2 \text{s}^{-1}$
e	water vapor pressure	N m^{-2}
e_s	saturation vapour pressure above a flat water surface	N m^{-2}
F	$F = \frac{\rho_w L^2}{k_a R_v T^2} + \frac{\rho_w R_v T}{e_s(T) \mathcal{D}}$, coefficient	$\text{m}^{-2} \text{s}$
$f(r, t)$	droplet size distribution	m^{-4}
$f_0(r_0)$	droplet size distribution after the first stage of mixing	m^{-4}
k_a	coefficient of air heat conductivity	$\text{J m}^{-1} \text{s}^{-1} \text{K}^{-1}$
L_{mix}	characteristic spatial scale of mixing	m
L_{pr}	spatial scale of phase relaxation	m
L	latent heat for liquid water	J kg^{-1}
N	droplet concentration	m^{-3}
N_1	droplet concentration in a cloud volume	m^{-3}
N_{m0}	droplet concentration after the first stage of mixing	m^{-3}
p	pressure of moist air	N m^{-2}
q	liquid water mixing ratio	kg/kg
q_1	liquid water mixing ratio in a cloudy volume	kg/kg
q_{m0}	liquid water mixing ratio after the first stage of mixing	kg/kg
q_w	liquid water content (LWC)	gm^{-3}

q_{w0}	LWC after the first stage of mixing	gm^{-3}
q_v	water vapor mixing ratio	kg/kg
\tilde{q}	normalised liquid water mixing ratio equal to normalized LWC	nd
\tilde{q}_{\min}	normalized equilibrium liquid water mixing ratio equal to normalized equilibrium LWC	nd
Q	change of square of droplet radius	m^2
r	droplet radius	m
R	$\frac{S_2}{A_2 q_1}$	nd
R_a	specific gas constant of moist air	$\text{J kg}^{-1}\text{K}^{-1}$
R_v	specific gas constant of water vapor	$\text{J kg}^{-1}\text{K}^{-1}$
S	$e/e_w - 1$, supersaturation over water	nd
S_{m0}	supersaturation after the first stage of mixing	nd
S_2	supersaturation in a dry volume	nd
\tilde{S}_{\max}	maximal normalized supersaturation	nd
\tilde{S}	normalized supersaturation	nd
T	temperature	K
T_1	temperature in a cloud volume	K
T_2	temperature in a dry volume	K
t_e	normalized evaporation time	nd
t	time	s
\tilde{t}	non-dimensional time	nd
$x(\tilde{t})$	non-dimensional variable	nd
α	parameter of the Gamma distribution	nd
β	parameter of the Gamma distribution	m^{-1}
χ	$\gamma^{1/3}$, non-dimensional parameter	nd
ε	turbulent dissipation rate	m^2s^{-3}
γ	$1 + \frac{S_{m0}}{A_2 q_{m0}}$, non-dimensional parameter	nd

δq_m	mixing ratio of liquid water required to saturate 1 kg of the cloud (or cloudy?) volume after instant mixing	nd
μ	mass fraction of cloud air	nd
ρ_a	air density	kg m ⁻³
ρ_w	density of liquid water	kg m ⁻³
τ_{pr}	phase relaxation time	s
τ_{mix}	characteristic time of mixing	s
τ_{m0}	time scale	s

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792 "nd" denotes non-dimensional

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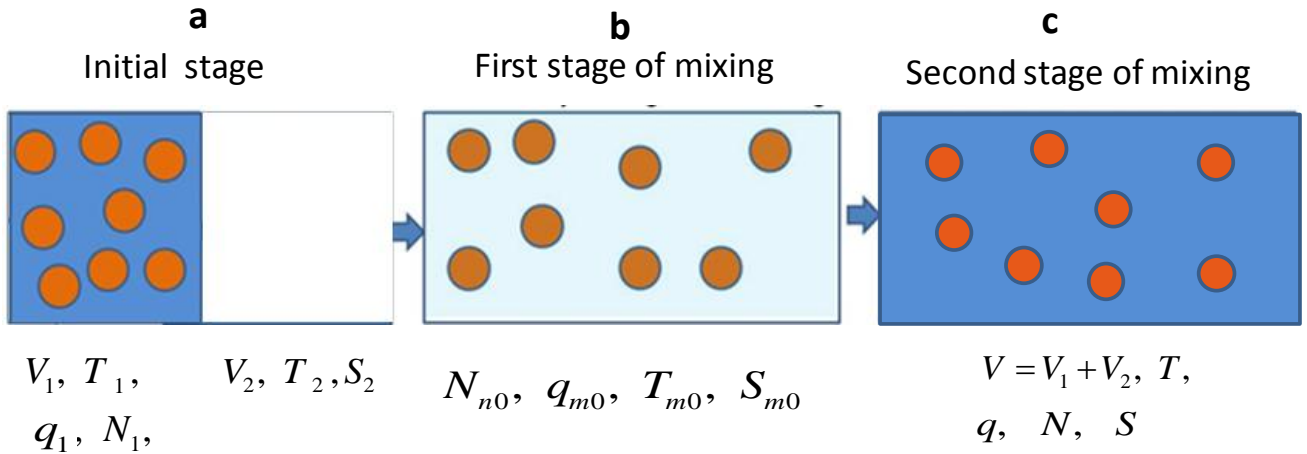
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810 **Figures**

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815 **Fig. 1.** Conceptual scheme of homogeneous mixing in case of monodisperse DSD. The
 816 subsaturated volume of dry air is colored white, and the cloudy volumes with saturated air are
 817 colored dark blue. The volume forming as a result of mixing after total homogenization is
 818 colored light blue. Index 1 shows the initial values characterizing the initially cloudy volume.
 819 Index 2 denotes initial values in the droplet-free volume. Index "m0" denotes values in the
 820 mixing volume after the first stage of mixing.

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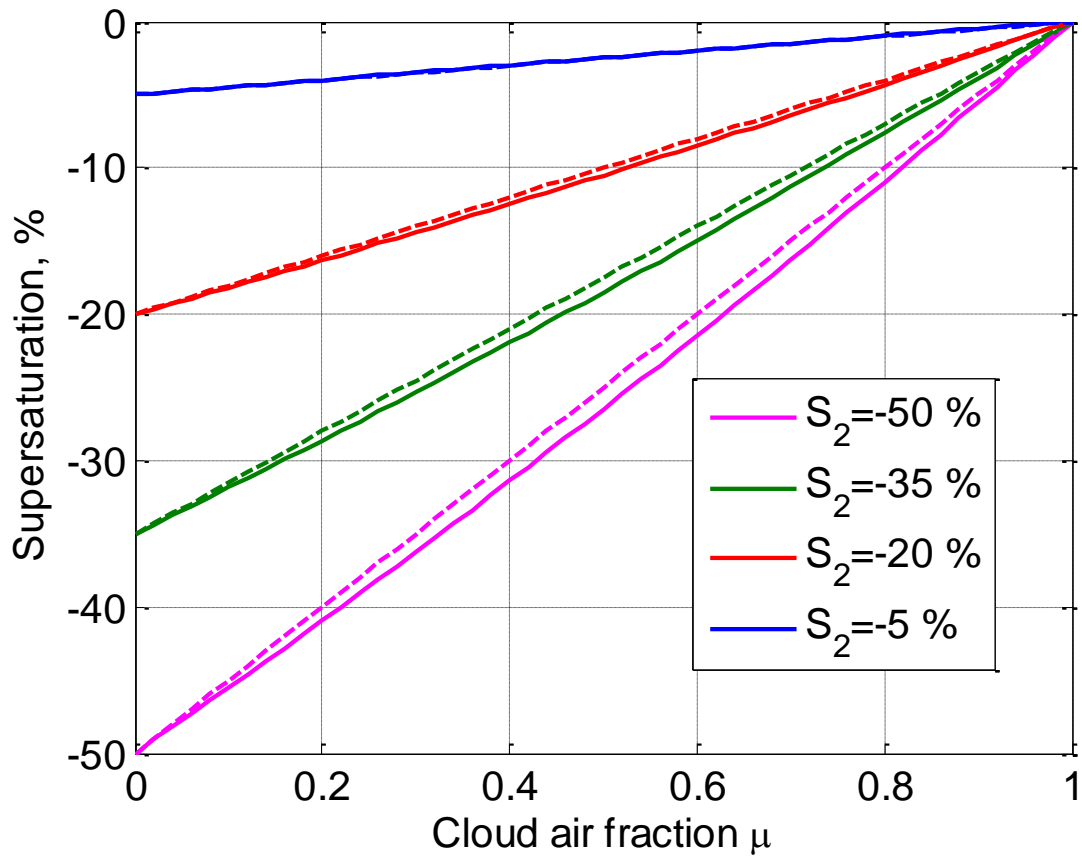


Fig. 2. Dependence of supersaturation on parameter μ : simulation results (solid line) and an approximate linear dependence calculated using Eq. (6b) (dashed line). The initial temperatures of two volumes are $T_1 = 8^\circ\text{C}$ and $T_2 = 10^\circ\text{C}$.

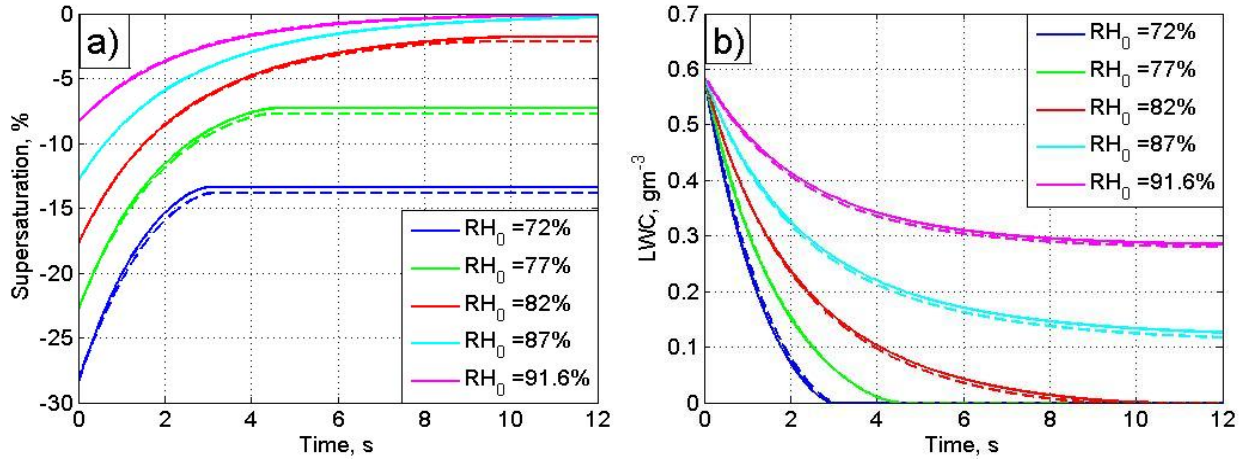


Fig. 3. Dependencies $S(t)$ (a) and $q_w(t)$ (b), calculated at different initial relative humidity RH_{m0} using closed differential equations (12,13) (solid lines) and using a parcel model (dashed lines). The calculation parameters are $T_{m0} = 10^\circ C$, $p_0 = 842$ mb, $r_0 = 10 \mu m$, $N_{m0} = 140 cm^{-3}$, $q_{w0} = 0.58 gm^{-3}$.

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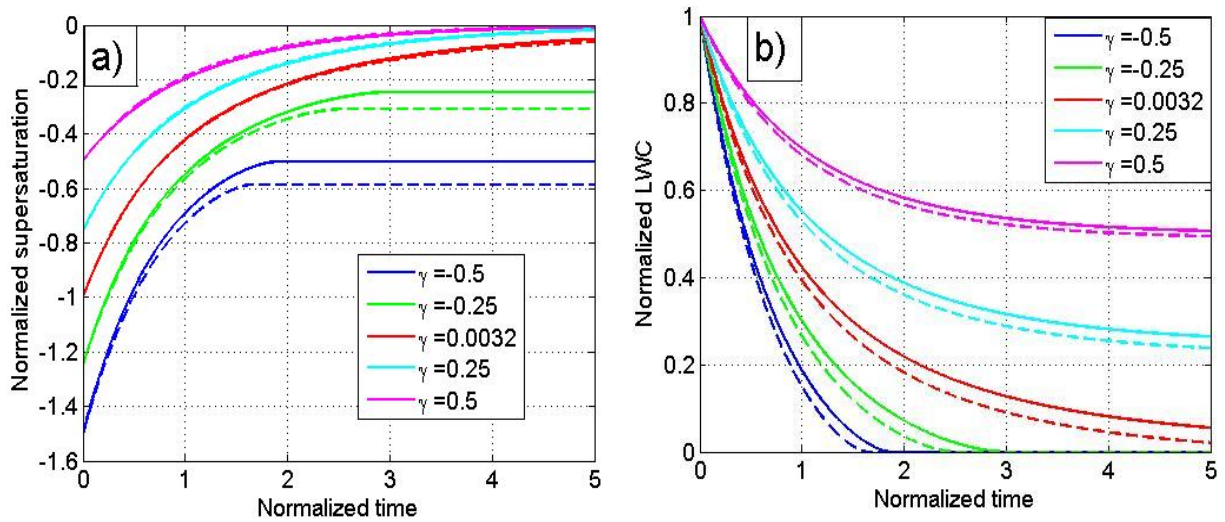


Fig. 4. Universal dependencies $\tilde{S}(\tilde{t})$ (a) and $\tilde{q}(\tilde{t})$ (b), calculated at different values of

parameter γ using Eqs. (17-18) (solid lines) and using a parcel model (dashed lines).

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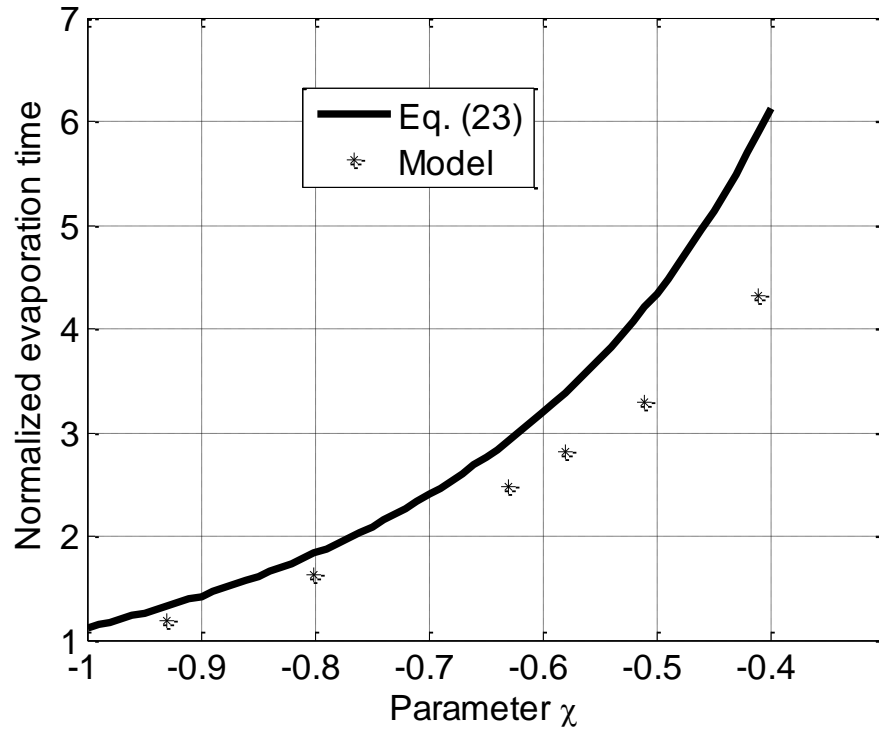
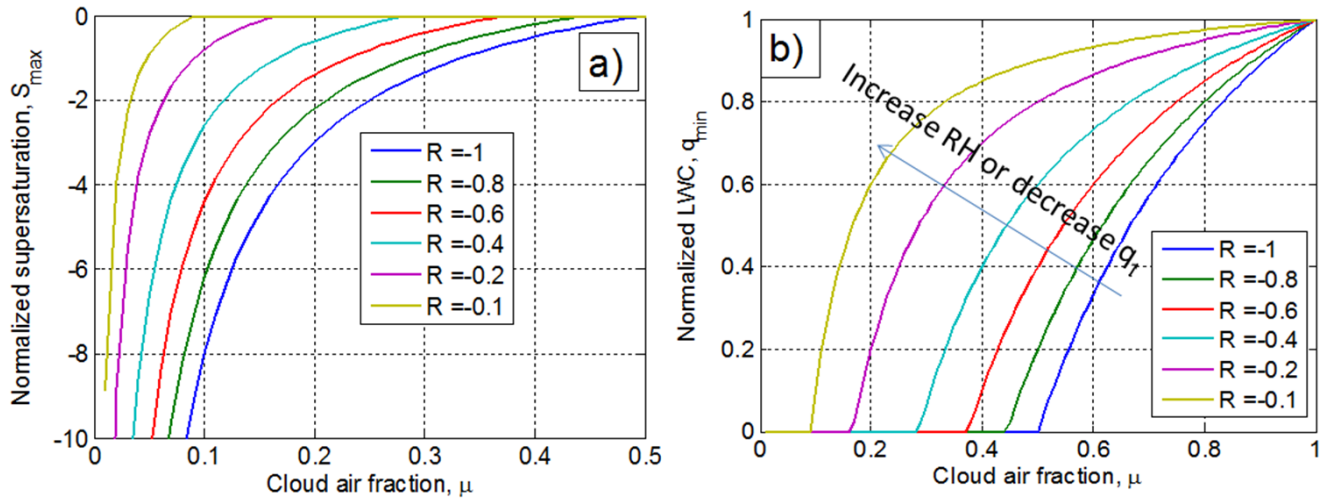


Fig. 5. Dependence of the evaporation time on parameter χ , $t_e(\chi)$. Time is measured at the relaxation time scales. The values obtained using a parcel model are shown by asterisks.

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937 **Fig. 6.** Dependencies of normalized equilibrium supersaturation \tilde{S}_{\max} (a) and normalized

938 equilibrium LWC (which is equal to normalized equilibrium liquid water mixing ratio) \tilde{S}_{\max} (b)

939 on cloudy air fraction at the final stage of homogeneous mixing. Curves of different colors

940 correspond to different values of non-dimensional parameter $R = \frac{S_2}{A_2 q_1}$.

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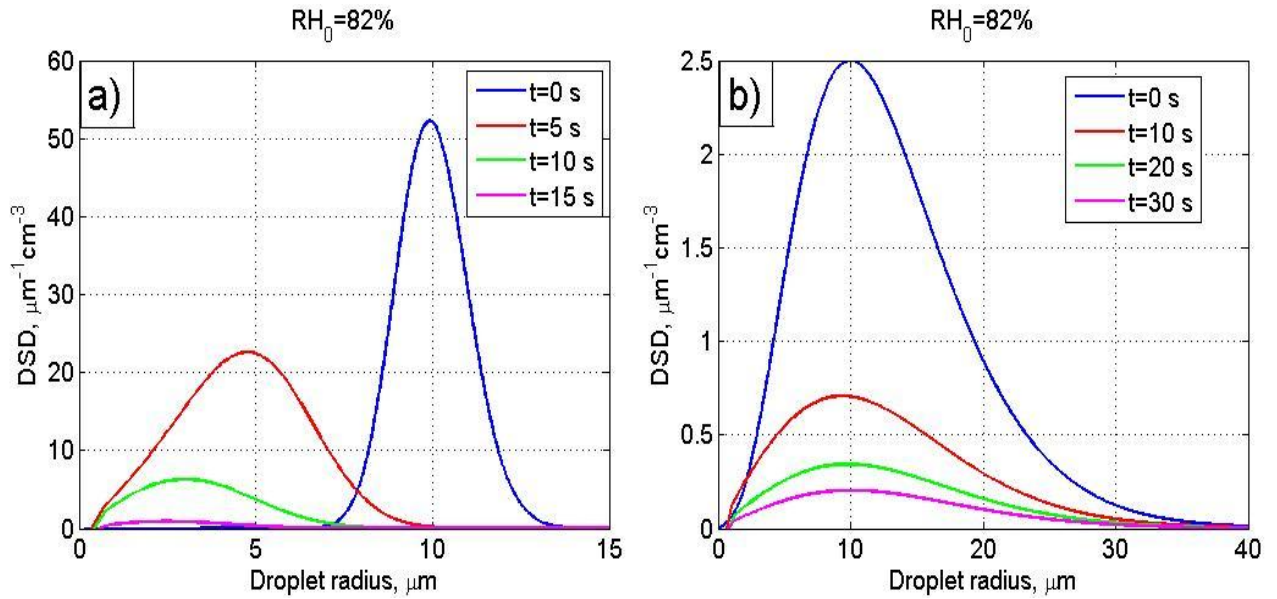


Fig. 7. Time evolution of an initially narrow DSD (a) and an initially wide DSD (b). The initial calculation parameters are the same in both examples: $T_{m0} = 10$ °C, $p = 829$ mb, $RH_{m0} = 82$ % and $q_{w0} = 0.587$ g/m³. The parameters of the initial Gamma distributions are given in Tab. 3.

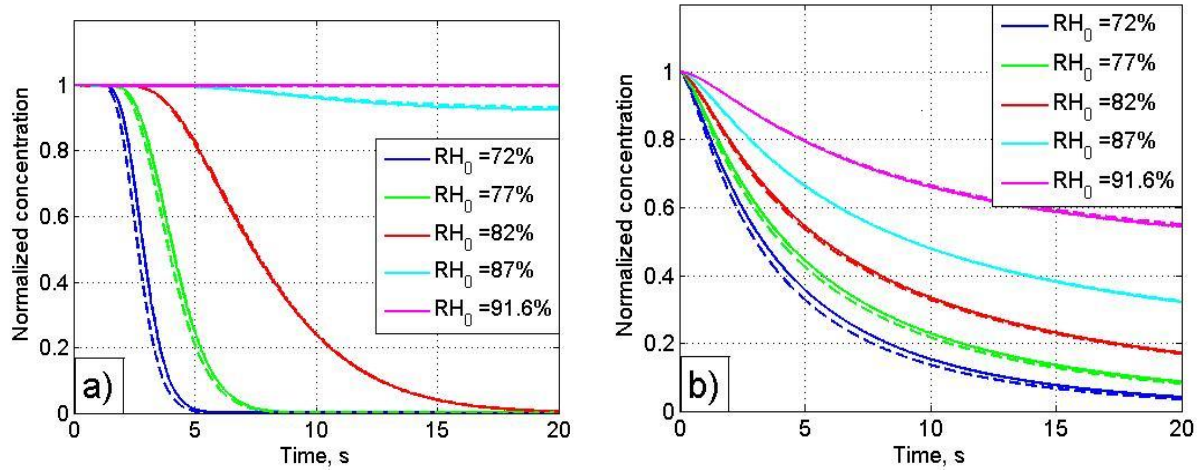


Fig.8. Time dependencies of normalized droplet concentration for an initially narrow DSD (a) and an initially wide DSD (b) at initially different values of RH_{m0} in the resulting volume. The dependencies are calculated directly using a parcel model (solid lines) and using Eq. (33) (dashed lines). The thermodynamic parameters are the same as in Fig.7. Parameters of the initial DSDs are given in Tab. 3.

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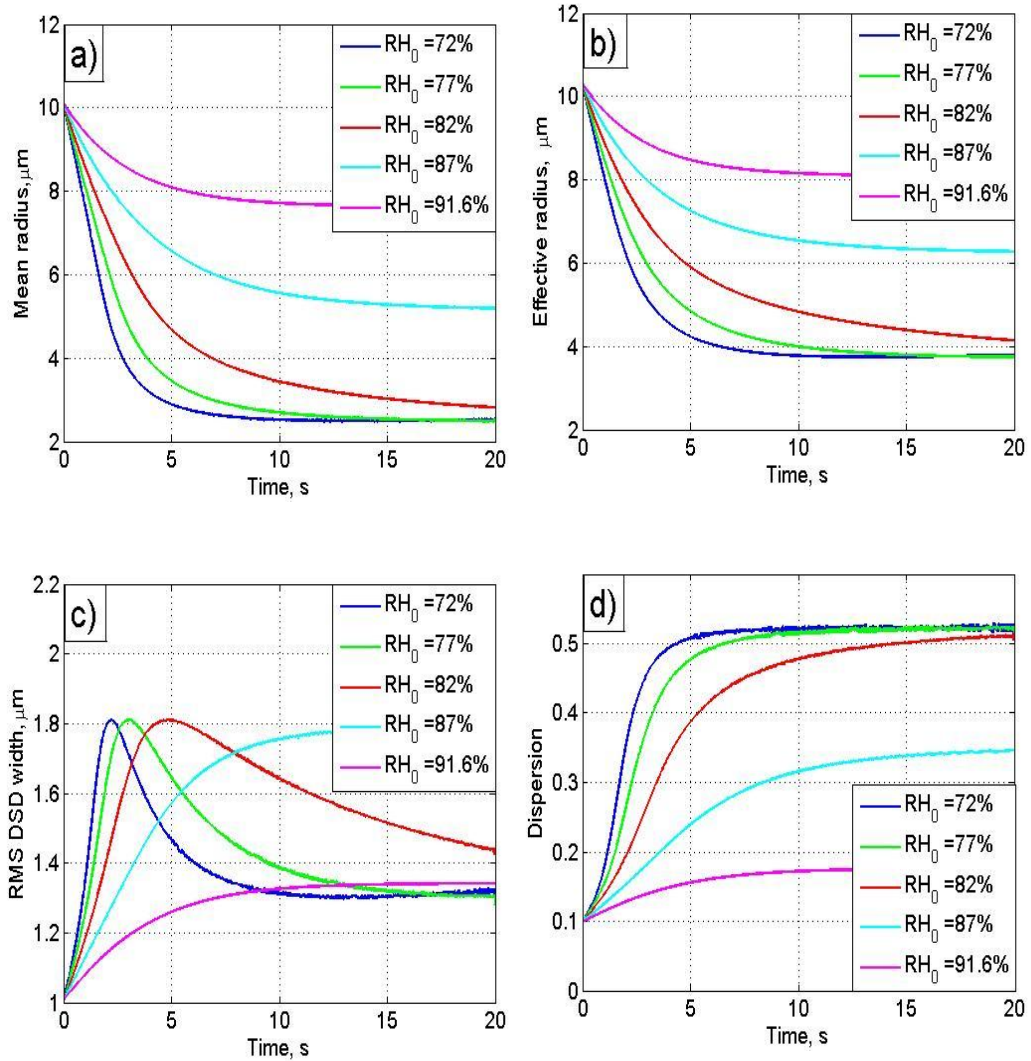


Fig. 9. Dependencies of moment functions typically used for characterizing DSD shape at different values of the initial relative humidity RH_{m0} in the resulting volume. The dependencies are calculated using a parcel model for an initially narrow DSD (Tab. 3). The thermodynamic parameters are the same as in Fig. 7.

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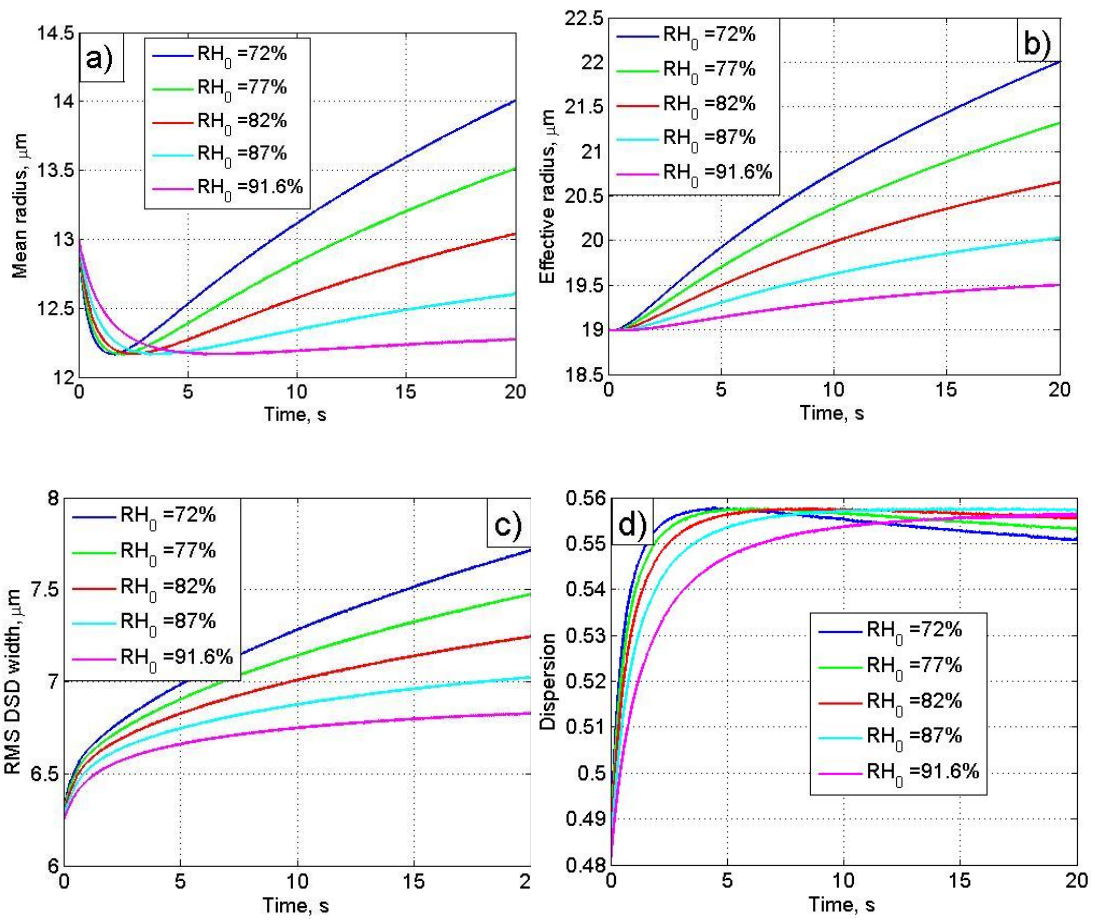
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Fig. 10. The same as in Fig. 9 but for an initially wide DSD.

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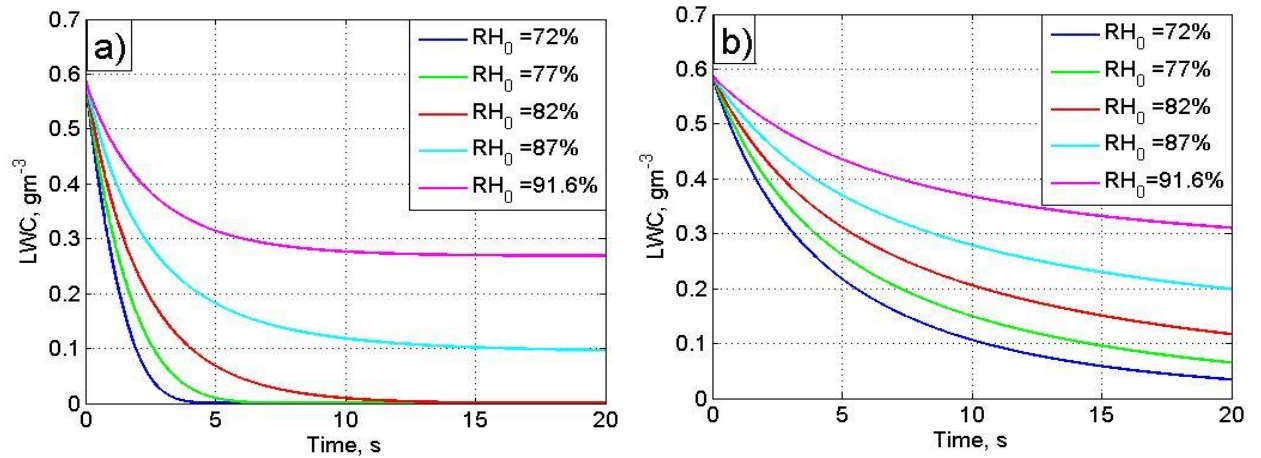


Fig. 11. Time dependencies of LWC calculated using a parcel model at different values of

RH_{m0} in the resulting volume, for an initially narrow DSD (a) and an initially wide DSD (b).

The thermodynamic parameters are the same as in Fig.7. The parameters of the initial DSDs are given in Tab. 3.

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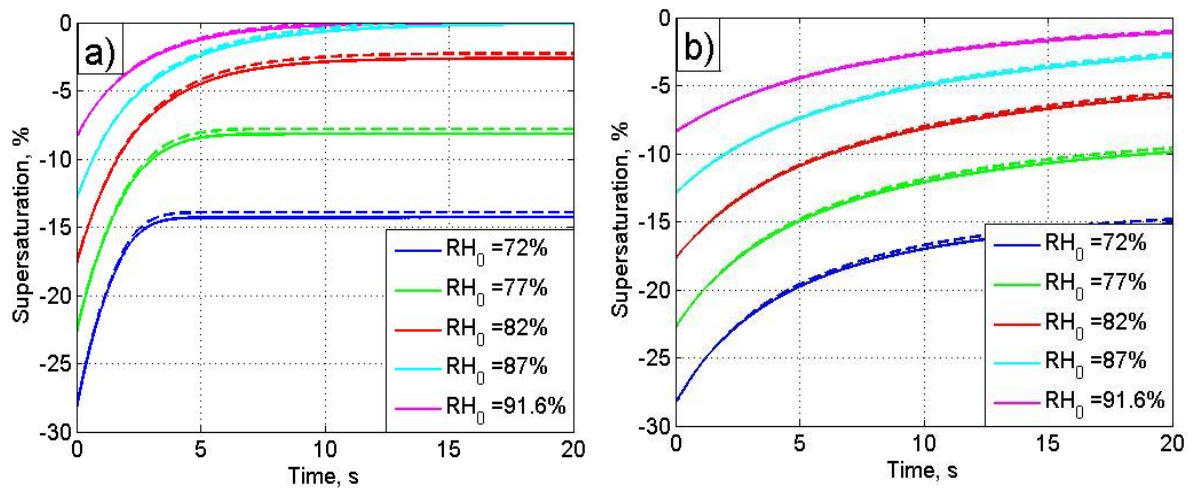


Fig. 12. Time dependencies of supersaturation calculated at different values of the initial relative humidity RH_{m0} in the resulting volume, using Eq. (8) (solid lines) and Eq. (40) (dashed lines), for an initially narrow DSD (a) and an initially wide DSD (b). The thermodynamic parameters are the same as in Fig.7. The parameters of the initial DSDs are given in Tab.3.

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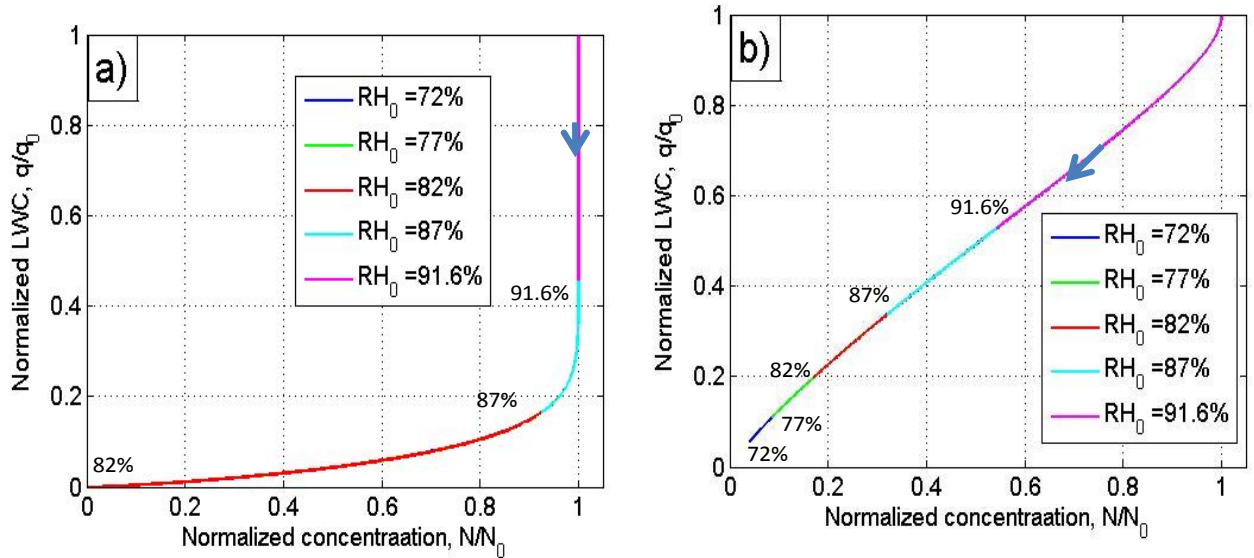


Fig. 13. Dependencies of normalized LWC on the normalized number concentration of droplets calculated at different values of the initial relative humidity RH_{m0} in the resulting volume, for an initially narrow DSD (a) and an initially wide DSD (b). The thermodynamic parameters are the same as in Fig.7. The parameters of the initial DSDs are given in Tab. 3. Arrows denote the direction of increasing time.