We thank all the referees for their comments and consideration of our manuscript. Below are our responses to each comment, followed by a tracked version of our manuscript.

In addition to our changes in response to the reviewer comments, we have also made minor editorial changes to improve readability (which can also be seen in the tracked changes). Finally, please note we have corrected values in Table A1 which were originally in the wrong units.

**RC** = **Referee** comment **AR** = **Author** response

#### **Response to Referee #1**

RC: P29304, L13-14 – In the context of the paragraph, the sentence starting "The O3 response to emissions is controlled by ..." makes it sound as if this is a feature of the model. Perhaps the authors could phrase this differently to make clear that occurs in actuality.

AR: We have clarified our meaning by rewording this sentence to:

The  $O_3$  response to the projected change in emissions is affected by the ratio of baseline NOx:VOC concentrations, suggesting that in addition to the degree of land cover change, tree mortality impacts depend on whether a region is NO<sub>x</sub>-limited or NO<sub>x</sub>-saturated.

#### RC: P29304, L17 – Please explain the choice of this threshold; why 65 ppb?

AR: Our initial choice of 65 ppb was in anticipation of the EPA changing the O3 standard to somewhere between 60 and 70 ppb. On October 26, the EPA released the new standard of 70 ppb. As a result, we have adjusted our analysis to use the new 70 ppb threshold and changed the manuscript (originally P29318, L10-27) accordingly. While the overall number of exceedances we report decreases, the main conclusion remains the same – namely, that the increase in exceedances (in the scenario that considers both a change in emissions and deposition) impacts clean regions disproportionately (17% of low NOx:VOC grid boxes) compared to polluted regions (2% of high NOx:VOC grid boxes). We found that the statistics for decreases in exceedances did not change using the 70 ppb threshold instead of 65 ppb. The text now reads:

The EPA has recently revised the  $O_3$  air quality standard to be based on 8 h averages exceeding a threshold of 70 ppb instead of the previous 75 ppb (http://www3.epa.gov/ttn/naaqs/standards/ozone/s\_o3\_index.html), so we investigate the number of days during June–July–August in each grid box of the US where the 8 h average  $O_3$  exceeds 70 ppb. In the scenario considering only a change in emissions (Simulation 3 – Simulation 1), the number of days exceeding an 8 h  $O_3$  concentration of 70 ppb decreases in 16% of the grid boxes in the lowest  $NO_x$ :VOC decile ("clean"

regions of the US), and in 45% of the grid boxes in the highest NO<sub>x</sub>:VOC decile ("polluted" regions of the US). Across the US, the number of exceedances decreases by 4 or more days in several regions such central South Carolina ( $34.0^{\circ}$  N,  $81.3^{\circ}$  W), central Kentucky ( $37.5^{\circ}$  N,  $-86.0^{\circ}$  W), central Indiana (38.5, -90.7), northern Ohio ( $41.5^{\circ}$  N,  $83.3^{\circ}$  W), and southwest Michigan ( $42.0^{\circ}$  N,  $71.3^{\circ}$  20 W). In the scenario considering both the change in biogenic emissions and also the change to deposition rates (Simulation 4 -Simulation 1), many grid boxes experience a net increase in the number of days exceeding an 8 h O<sub>3</sub> concentration of 70 ppb. The increase impacts clean regions (5 % of high NO<sub>x</sub>:VOC grid boxes). The largest increase is 4 days, which occurs north of Richmond, VA ( $38.0^{\circ}$  N,  $77.3^{\circ}$  W). In the same scenario, less than 1 % of the low NO<sub>x</sub>:VOC grid boxes experience a decrease in the number of days exceeding an 8 h O<sub>3</sub> compared to 26 % of the high NO<sub>x</sub>:VOC grid boxes.

RC: P29304, L24-25 – As the authors go on to make clear in the introductory sections of the paper, this is not the first study to demonstrate the importance of biosphereatmosphere interactions to air quality and climate. I suggest the authors could perhaps phrase this statement in such a way as to acknowledge this, perhaps by saying that it "further underlines the importance of  $\ldots$ "

AR: We have changed the sentence to read:

The regional effects simulated here are similar in magnitude to other scenarios that consider future biofuel cropping or natural succession, further demonstrating that biosphere–atmosphere exchange should be considered when predicting future air quality and climate.

*RC:* P29305, L9 – Surely the authors could cite a more up-to-date reference than 2001? Perhaps Laothawornkitkul et al., 2009 or Mellouki et al., 2015?

AR: We have added the following citations: Laothawornkitkul 2009; Arneth 2010; Mellouki 2015.

*RC*: *P29305*, *L28* – "or not" reads rather strangely, do the authors mean "natural processes"?

AR: This sentence now reads:

"Ecological succession, either from anthropogenic land management or natural processes, could also impact regional chemistry."

*RC:* P29306, L3 - Also Ganzeveld et al., 2010, which I believe was the first study to demonstrate the extent to which changes in O3 dry deposition could offset changes in biogenic emissions etc. due to LULCC.

AR: We have corrected this omission and added a citation to Ganzeveld 2010.

*RC:* P29306, L22 - I suggest the authors add "fully" before "explored" here as they then go on to describe a study that did just this.

AR: This sentence now reads:

"...but the atmospheric chemistry impacts have not been fully explored."

*RC:* P29307, L3-6 – Changes in local micro-climate due to changing vegetation could also be expected to affect dry deposition.

AR: We have added the following sentence: "Vegetation changes can also affect the local microclimate, further impacting depositional processes."

*RC:* My chief concern with the paper is the lack of a clear description of the relevant chemistry included in the model. Given that the motivation for the paper is stated as being to investigate how land cover changes affect atmospheric chemistry and composition it is an unjustifiable omission. The authors take great care to explain the biogenic emissions, soil NOx emissions and dry deposition parameterizations but leave the chemistry description to a single line of "detailed HOx-NOx-VOC-O3-aerosol chemistry". Of particular importance, given the findings that substantial decreases in mono and sesqui-terpene emissions are observed, would be a description of the treatment of the subsequent atmospheric reactions of these species. Are they treated as specific compounds or lumped groups? Are their oxidation pathways explicitly included, or just the initiation reaction with imposed SOA yields (e.g. similarly to the 2-product aerosol schemes)?

AR: In response to the reviewer's concern, we now provide additional details and citations with regards to the mechanism. We also describe in more detail how terpene emissions and SOA yields are treated. The revised manuscript now reads:

The model includes detailed  $HO_x$ - $NO_x$ - $VOC-O_3$  chemical scheme originally presented by Bey et al. 2001. The chemical mechanism includes over 90 species (including the following lumped categories: >C3 alkanes, >C2 alkenes, >C4 alkynitrates, >C1 aldehydes, >C1 alcohols, and >C1 organic acids), over 200 chemical reactions, and over 50 photolysis reactions, incorporating the latest JPL and IUPAC recommendations. Detailed isoprene oxidation chemistry is included, following Paulot et al. (2009a, b) as implemented for GEOS-Chem by Mao et al. (2013). Explicit oxidation pathways are not yet included for terpenes. Given that isoprene dominates biogenic OH reactivity over the continental US, we assume terpenes play a minor role outside of SOA formation (see below) in our land cover change simulations. Gas-aerosol partitioning in the sulfatenitrate-ammonium system is described according to the thermodynamic ISORROPIA II equilibrium model (Fountoukis and Nenes, 2007).

Carbonaceous aerosol sources include primary emissions from fossil fuel, biofuel, and biomass burning (Park et al., 2003) and reversible SOA formation following Pye et al.

(2010). Secondary organic aerosol are lumped into five species based on the parent hydrocarbons (terpenes, isoprene, light aromatics and intermediate volatile organic compounds, semivolatile organic compounds (SVOCs), and oxidized SVOCs). Aerosol yields are parameterized using a volatility basis set (Donahue et al., 2006) for aerosol systems with multiple parent hydrocarbons or aerosol formation pathways, or an Odum 2-product approach (Odum et al., 1996) for systems with one parent hydrocarbon. Emitted biogenic parent hydrocarbons are lumped in the following manner: (1)  $\alpha$ -pinene +  $\beta$ -pinene + sabinine + carene; (2) limonene; (3) t- $\beta$ -ocimene + myrcene + other monoterpenes; (4) farnesene + caryophyllene + other sesquiterpenes; and (5) isoprene. SOA yields from ozonolysis (at high and low NO<sub>x</sub>) and nitrate radical oxidation are represented in the model for groups (1) to (4), while yields from photooxidation (initiated by OH) and nitrate radical oxidation are represented for isoprene. Further gas-aerosol phase coupling occurs for example through N<sub>2</sub>O<sub>5</sub> uptake (Evans, 2005) and HO<sub>2</sub> uptake (Mao et al., 2013).

*RC:* P29307, L24 – Is there not a peer-reviewed model description for GEOS-Chem?

AR: We now repeat the citation to Bey et al. (2001) here.

*RC:* P29308, L5-6 – See above comment. How up-to-date are the monoterpene and sesquiterpene chemistry? Is MBO chemistry included?

AR: We have now clarified that explicit monoterpene and sesquiterpene oxidation pathways are not yet included in GEOS-Chem. Given the dominance of isoprene in biogenic OH reactivity over the continental US, we assume terpenes play a minor role outside of SOA formation in our land cover change simulations. This has been added to Section 2.1.

*RC:* P29309, L7-8 – Please could the authors list the compounds included as primary biogenic emissions, and indicate how they are lumped in the GEOS-Chem mechanism.

AR: We have now included which individual species are emitted, and how these are subsequently lumped in the SOA mechanism:

"Emitted biogenic parent hydrocarbons are lumped in the following manner: (1) a-pinene + b-pinene + sabinine + carene; (2) limonene; (3) t-b-ocimene + myrcene + other monoterpenes; (4) farnesene + caryophyllene + other sesquiterpenes; and (5) isoprene."

*RC:* P29309, L7-8 and P29310, L11 – Please could the authors comment on the appropriateness of using MEGAN v2.1 emission factors with MEGANv2.02 algorithms. The parameterizations of emission rates were also altered between the two versions of the model, for example through the introduction of the light-dependence factor. Have the authors checked the consistency of the emissions estimates?

AR: One of the main advancements in emission factors for MEGAN v2.1 was in mapping the same data (used in previous MEGAN versions) from the original 5 plant functional types to 15 plant functional types. Given that the same underlying data was used, we do not believe we have introduced any significant inconsistencies by implementing the original algorithm. Moreover, we briefly discuss the consistency of our resulting MEGAN emissions with the results from Guenther et al. 2012 in Section 2.4, finding acceptable agreement.

### *RC:* P29309, L16 – Are the roughness lengths not also a function of the land cover?

AR: We agree that roughness lengths will also be a function of land cover, and have clarified this in the revised manuscript. However, the roughness lengths that are provided by the GEOS meteorological fields may not necessarily be consistent with the land cover used by the GEOS-Chem parameterizations for biogenic emissions and deposition. Nor would they properly respond to a change in land cover. We have clarified this sentence to read:

"...roughness heights (which would be a function of land cover type) that are provided by the assimilated GEOS-5 input fields".

# *RC:* P29309, L28 – Please explain briefly how this interpolation is carried out.

AR: We have clarified this sentence to read: "...and linearly interpolated to daily values"

# RC: P29310, L6 – Please replace the phrase "on-the-fly".

AR: We have replaced this phrase with "at simulation initialization".

*RC*: P29310, L7 – Please could the authors explain their choice of Year 2000 as the present day baseline year. *AR5* took 2010 as the "handover" year between past and future land cover.

AR: We chose the Year 2000 land cover since this was the data available to us in the CLM input file. Since we are discussing results of a sensitivity simulation, we do not expect our conclusions will depend strongly on initial land cover data. We do, however, agree that the initial land cover assumptions can play a major role in simulated chemistry (as laid out by Section 2.4). Future work will explore how decadal changes in baseline land cover could have impacted atmospheric chemistry.

*RC*: P29310, L19 - I'm not sure that I agree with the authors are making here (or maybe I do not understand the point they are trying to make). Even if the land cover

# characteristics are determined using fractional coverage the resolution of the land cover data set and model simulation will affect these characteristics.

AR: Our main point is that, by default, GEOS-Chem use the dominant land cover at some initial resolution ( $0.5 \times 0.5$  degrees) to perform deposition calculations. Therefore, in a 2 x 2.5 degree GEOS-Chem grid box, only a single land type might be represented, even if that land type only covers a total of, say, 60% of the true land, simply because it might dominate in every 0.5 x 0.5 degree input grid box. Our approach to use fractional grid box coverage at the land input resolution for calculating deposition now allows for consideration of the other 40% of the land, in this example. Nevertheless, in response to the reviewer's concern we have qualified this sentence in the revised manuscript to read "less dependent" instead of "largely independent" of horizontal resolution.

RC: P29311, L13 - I do not understand the point the authors are making here. Just because the spatial correlation is high does not seem to me to necessarily mean that the new simulation is not "degraded". Please clarify this. Surely it is only through comparison with observations that any statement of accuracy or otherwise (which is what is implied by the term degrade) can be made.

AR: We acknowledge that our choice of terminology may result in confusion. We have removed that portion of the sentence, and focus simply on the fact that the spatial agreement between the simulations is very high (which is our main point to the readers). We restrict any further interpretation of the results to the comparison with O3 observations in the subsequent lines.

*RC:* P29312, L19-23 – Again I am confused by the point the authors are trying to make. I assume that the authors mean that they took the (for example) 10% loss from the NIDR and applied that to all tree species in a particular grid cell rather than assuming that this loss was specific to one plant functional type only.

AR: The reviewer has understood us correctly. In order to further clarify our approach, we have changed these lines:

We applied mortality losses predicted by the NIDR to all tree species in a particular input grid box, instead of accounting for losses specific to one plant functional type only. The magnitude and spatial distribution of total loss result is qualitatively consistent with the agent- and species-specific summaries in the NIDR assessment (Krist et al., 2014), since certain PFT categories usually dominate in specific regions or grid boxes. We briefly summarize the major agents driving projected mortality in the NIDR assessment here.

*RC*: P29313, L5 - Do the authors mean "substantially" (in which case please could they quantify this) or that needleleaf and broadleaf trees are equally affected?

AR: We have clarified these details and added further information:

"While root diseases, which impact both needleleaf and broadleaf tree categories, represent the largest single agent-level hazard, the impact of all bark beetles together are projected to cause the highest basal area losses (Krist et al., 2014)."

*RC*: While the different simulations are well described here it would be a great aid to the reader if the authors were to include a table listing the simulations. This table should include a short name for each together with a description of the differences from the base scenario. It is currently difficult to follow the later results and discussion sections as the "additional simulations" that seem at this point to have a lesser status than the first two are given a fair degree of prominence in some of the later sections.

AR: We agree that the "additional simulations" are given a fair degree of prominence, thus warranting a revision of how all the simulations are presented. In response to the reviewer's suggestion, we have added a table describing the simulations and provided short names for each. We now refer to the simulation numbers throughout the paper. Furthermore, given the prominence of all four simulations in our discussion, we have reorganized the first paragraph of Section 4 as follows:

We perform four simulations (see Table 1) to investigate the role of insect- and disease driven tree mortality on atmospheric chemistry: (1) a base scenario in which the vegetation is not altered; (2) a scenario where the BVOC emissions respond to the scaled tree cover, but where soil NOx and dry deposition are calculated using the land cover in the base scenario; (3) a scenario where the BVOC and soil  $NO_x$  emissions respond to the scaled tree cover, but where dry deposition is calculated using the land cover in the base scenario; and (4) a full tree mortality scenario where the BVOC emissions, soil  $NO_x$ emissions, and dry deposition are all calculated using the scaled tree cover. The combination of these simulations allows us to decouple the effects of changing BVOC and soil NO<sub>x</sub> emissions from the effects of changing deposition.

Table 1:	
Simulation	Description
1	Base land cover simulation (no tree mortality)
2	Tree mortality-driven BVOC emissions (soil
	NOx and dry deposition using base land cover)
3	Tree mortality-driven BVOC and soil NOx
	emissions (dry deposition using base land cover)
4	Tree mortality-driven emissions and dry
	deposition.

Table 1

RC: P29313, L20-21 – While June-August may be the season in which total biogenic emissions occur, I would be surprised if this were the case for individual species of importance in the context of air quality. For example, monoterpene emissions are well documented to peak during the spring (April/May) in many northern regions. However it is likely the case that the subsequent rates O3 and SOA formation peak during the summer months. Can the authors comment on whether emissions and O3/SOA formation

# do indeed peak in all of the regions of importance (e.g. NW USA) in this study in June-August?

AR: We find that the net/total impact on O3 and SOA formation in the US does peak throughout June-August. However, as the reviewer notes, this timing does not necessarily correspond to the peak in all biogenic emissions. Future work may explore individual factors for specific regions across the US at different times of year in much more detail. In response to the reviewer's suggestion we have rephrased this sentence: "We focus our analysis on June to August since this is the seasonal peak in impacts of changes in biogenic emissions on O3 and SOA formation across the United States."

# *RC*: *P29315*, *L1-5* – *Can the authors comment on how realistic this large increase in soil emissions is?*

AR: It is difficult to comment on how "realistic" this increase is, since the canopy reduction factor is poorly understood, and since GEOS-Chem does not properly account for chemistry that may occur in the canopy. We therefore intend our simulations to act as motivation for better understanding these impacts, since they will play a role in the overall magnitude of land cover change effects. In response to the reviewer's suggestion, we have added the following sentence to our revised manuscript:

"A better understanding of the canopy reduction factor, and accounting for canopy chemistry, would facilitate a more thorough assessment of these projected increases in soil NOx emissions are."

We have also added the suggestion to explore the impact of changing vegetation density and structure using a detailed forest-canopy model which could account for changes in chemistry and canopy uptake in more detail, for future work:

"The impacts of canopy uptake and canopy chemistry resulting from changes in vegetation density and composition could be explored in more detail with future work using a 1-D forest canopy-chemistry model (e.g. Wolfe 2011; Ashworth 2015) for the regions where we project large impacts."

### RC: P29315, L6-7 – Simulations (1) and (2)? See earlier comments.

AR: We believe that the added table and reworded paragraph on the simulations helps clarify any confusion. In the revised manuscript, we now refer directly to the simulation numbers in the text.

*RC:* P26315, L12 – How is stomatal conductance treated in GEOS-Chem given that it does not have an explicit representation of vegetation?

AR: We have added in Section 2.2 that deposition is calculated using the "big leaf" approximation, where the surface is treated as a single uniform surface (or leaf).

*RC:* P29315, L16-20 – Does this similarity imply that roughness length is of more importance for O3 deposition in this context than stomatal conductance?

AR: It can be difficult to unequivocally decouple the individual importance of each resistance term for a particular chemical species, especially in these simulations when each term will be impacted similarly in space and, in this case, time of day (stomatal and aerodynamic resistance are both at a minimum during the day). We do know that for HNO3, the surface resistance term is extremely small by model design so it is a fair assumption that the decrease is driven by changes in roughness height. The situation is more complex for O3, and sensitivity simulations where individual resistance terms are tested are non-trivial and beyond the scope of our simulations. We have therefore not commented further on which resistance has the most influence in terms of land cover change impacts for O3.

*RC:* This section is particularly difficult to follow in terms of which simulation is being referred to (see above comments regarding the addition of a table and short names for each simulation). Furthermore, the order in which the results are presented and discussed seems odd. I suggest that the authors reorder this section so that the simulations are presented in order (i.e. the results from simulation (2) before those of the sensitivity tests (3) and (4)). The same comments apply to Fig. 6. If Fig. 6a shows simulation (1), Fig. 6b should show simulation (2) and so on.

AR: We now refer to the simulation numbers (from the new Table 1) in this section in order to enhance clarity. Since we have restructured how the simulations are introduced at the beginning of Section 4, the order in which the results are presented now follows more logically. This section now reads:

Figure 6a shows the June-July-August mean surface  $O_3$  concentrations simulated in the base scenario (Simulation 1)...

Figure 6b shows the change in simulated  $O_3$  concentrations as a result of changes in BVOC and soil NO<sub>x</sub> emissions in a tree mortality scenario where deposition is calculated using baseline land cover (Simulation 3 – Simulation 1). Changes in soil NO<sub>x</sub> emissions alone increase  $O_3$  slightly (Simulation 3 – Simulation 2), but this response is an order of magnitude smaller (or less) than the response to decreased BVOC emissions....

Figure 6c shows the simulated change in surface  $O_3$  due to tree mortality including the impact of changes to dry deposition (Simulation 4 – Simulation 1). The increase in concentrations due to slower deposition velocities counteracts the decrease in  $O_3$  concentrations resulting from changes in BVOC emissions alone...

RC: P29315, L23 – Please clarify what measure of mean surface O3 (daily, monthly, 3-

monthly) is being used.

AR: We have clarified the sentence as follows: "Figure 6a shows the June-July-August mean surface  $O_3$  concentrations simulated in the base scenario."

RC: P29316, L4-6 – What percentage changes are these?

AR: This change represents about a 1% (0.8%) difference in the mean value.

*RC*: *P29316*, *L8-9* – *Is this simulation* (4)?

AR: We have now clarified that we are referring to the difference between Simulation 4 – Simulation 1.

RC: P29316, L8-23 – While the authors do discuss the uncertainties in dry deposition rates and the high variability between models later it would be good to introduce this here as I assume that it is in part the reason behind the order in which they have chosen to present the results.

AR: We have added the following sentence to the end of this paragraph: "Given the influence of changes in dry deposition in our simulations, exploring the uncertainties in dry deposition calculations should be a priority for model development."

RC: P29316, L21-23 - I would like to see this statement given more prominence. The results shown here should act as another call to arms for the modeling community to address the deficiency in our modeling of dry deposition.

AR: We have added a statement about this to the Abstract, in order to give it more prominence:

"The influence of changes in dry deposition demonstrated here underscores the need to evaluate treatments of this physical process in global models."

*RC:* P29316, L24-25 – *Is the E Coast considered to be remote from pollutions sources and therefore low NOx?* 

AR: We have clarified that we mean these statements in relative terms, in the context of our continental US domain:

"Since regions where the impact on tree cover is largest are heavily forested and removed from major sources of pollution, they tend to have relatively low NOx concentrations." *RC*: P29316, L24 – P29317, L10 – I would recommend that the authors label these regions on one of their figures of the USA or introduce a new figure for this purpose. Not all readers of ACP will be familiar with the nuances here. How is the mid-Atlantic region different from the Appalachians for example?

AR: Since we discuss many different regions throughout the manuscript, and each individual region has little prominence on its own, we would prefer not to label regions on the Figures. In response to the reviewer's comment we have continued with our convention by providing general lat/lon locations for the regions referred to here.

*RC:* P29317, L10-12 – Perhaps the authors could clarify this statement. Presumably they mean the sign of the response rather than the magnitude, although Figure 7 suggests that even this is not clear cut?

AR: We have altered the wording in this sentence to be more conservative: "In general, we find that the ratio of NOx to VOC concentrations (ppb NOx / ppb C) in a grid box can explain some of the O3 response to changes in tree cover across the US..." Furthermore, we have investigated the statistical significance of the difference between the distributions in Figure 7 and discuss this in the following response.

*RC:* P29317, L16-18 – I really like this way of analyzing and presenting the results shown in Figure 7 and described here. However, the authors need to back it up by showing that there is indeed a statistical difference between the distributions; it is certainly not obvious that this is the case for the top panel.

AR: We have performed the non-parametric Wilcoxon-Mann-Whitney two-sample rank test to evaluate the null hypothesis that the distributions of each dataset in the histogram are not different. The null hypothesis was rejected at p < 0.001, therefore we are confident that there is indeed a significant difference between the distributions. We have edited our manuscript to include these results:

"These two distributions (N=111 in both) are statistically different (p < 0.001, Wilcoxon rank-sum test), and represent the general pattern of impact on "clean" and "polluted" regions respectively."

*RC:* P29317, L19-21 – See the above comment. This seems a rather optimistic claim given the little apparent difference between the distributions.

AR: We believe that we have now shown fair evidence to justify our statement regarding "stronger changes" in one distribution vs. the other.

*RC:* P29317, L21-23 – This is not a new finding so please reference other cases where this has been observed or demonstrated.

AR: We have added citations to the work of Wiedinmyer et al., (2006) and Hardacre et al. (2013) as other examples of this finding:

"This  $NO_x$ -dependence of the regional chemistry impacts resulting from land system changes has also been identified by Wiedinmyer et al. (2006) and Hardacre et al. (2013) for example."

*RC*:*P29318*, *L4-8* – *Biogenic emissions also show a strong diurnal pattern which must also contribute to the observed changes*.

AR: We have corrected this omission in the revised manuscript: "...due to the diurnal pattern of chemical O3 production and biogenic emissions, and to the strong dependence of modeled deposition velocities on time of day."

*RC:* P29318, L14-L27 – Again, please present and discuss the results in a logical order. Why start with a sensitivity test that does not include all of the factors altered by changing land cover?

AR: We have rephrased the beginning of Section 4, and no longer refer to any simulations as a "sensitivity" test in the revised manuscript, given the prominence of each simulation throughout the results. In response to the reviewer's earlier suggestion, we have re-ordered the presentation of the simulations in Section 4, and provided a Table for further clarification. We now believe the results in this section are being presented logically. Throughout the manuscript we refer to the simulation numbers in order to enhance clarity.

*RC:* P29318, L14-17 – Please make clear again that "clean" and "polluted" regions in this analysis only include 10% (each) of the grid cells.

AR: The sentence now reads:

"In the scenario considering only a change in emissions, the number of days exceeding an 8 h O3 concentration of 70 ppb decreases in 16% of the grid boxes in the lowest NOx:VOC decile ("clean" regions), and in 45% of the grid boxes in highest NOX:VOC decile ("polluted" regions)."

*RC*: P29318, L22-L28 – Again, is this considering only a total of 20% of all grid cells? How would these figures change if the authors applied a threshold of percentage land cover change (e.g. the 10th percentile of gridcells with at least 5% change in land cover)?

AR: In response to the reviewer's first question, we have now clarified in our revised manuscript that we are referring to the lowest and highest deciles, considered to represent the "clean" and "polluted" regions in general. We note that we have already imposed a threshold (P29317, L14) of a change in isoprene emissions of at least 0.1  $\mu$ molm<sup>-2</sup> h<sup>-1</sup>. To clarify this further in the revised manuscript, we have added the number of grid boxes that this threshold represents out of the full number of grid boxes in the contiguous US (N = 1115 from a total of N = 2693).

In response to the second part of the reviewer's comment, we have investigated how the results would change using instead a threshold of at least 5% change in land cover (at the GEOS-Chem grid resolution). This changes the number of data points in each distribution used in Figure 7 from N=111 to N=76. Below, we compare our original results in Figure 7 with those from the new threshold suggested by the reviewer:



Left Panel: Original Figure 7 based on a threshold of 0.1  $\mu$ molm<sup>-2</sup> h<sup>-1</sup> change in isoprene emission (note adjusted y-axis range). Right panel: New Figure 7 using a threshold of at least 5% change in land cover.

We note that there is very little change in the shapes of the distributions, and that applying this threshold will not alter any of our original conclusions. Given the larger sample size in our original analysis (N=111 each, instead of N = 76 each), we opt to retain our original threshold design since this should illustrate a better generalization (i.e. represent a larger sample of grid boxes).

However, we believe that the number of grid boxes across the US where biogenic emissions have changed by at least 5% is a metric that will be of general interest to the reader (since this gives a sense of how much land across the US is undergoing substantial conversion), so we have added this statistic (762 grid boxes out of 2693, or more than 25% of the continental US) in Section 4.1.

*RC:* 4.3 Impacts on reactive nitrogen. In general, I found this section much easier to follow than the previous but would still recommend the authors state the simulation number rather than simply describing the scenario.

AR: In response to the reviewer's recommendation, we have now included the simulation numbers as laid out in the new Table:

Figure 8 shows the mixing ratios of reactive nitrogen oxides in the base scenario (Simulation 1), and the simulated changes resulting from tree mortality (Simulation 4 – Simulation 1) on a relative (% change) scale...

We find that the increases in NOx are largely a result of elevated soil NOx emissions (Simulation 3 – Simulation 1). On the other hand, the increases in HNO3, which are up to 18 % on a relative scale, are due to both slower deposition (Simulation 4 – Simulation 1) and increasing soil NOx emissions (Simulation 3 – Simulation 1). Small increases in HNO3 (locally up to 3–4 %) are also observed in the BVOC emissions only scenario (Simulation 2 – Simulation 1).

*RC*: *Perhaps the authors could also comment on the implications of the impacts on NOy.* 

AR: We have added the following sentence:

"Significant changes in  $NO_x$  abundance and  $NO_y$  partitioning could alter the transport and removal of  $O_3$  precursors, and alter the peroxy radical chemistry involved in  $O_3$  production."

*RC*: *P29319*, *L2-3* – *Is this simulation* (2)?

AR: We have now clarified which simulations we are referring to.

*RC:* P23920, *L*2-3 – *Simulations* (1) *and* (2)?

AR: We have now clarified that the first paragraph is only discussing the base simulation (Simulation 1), and then discuss the differences (Simulation 4 -Simulation 1) in the second paragraph.

RC: P29320, L3-5 – Surely this is simply a function of the chemistry mechanism?

AR: This is indeed how we intended this sentence to be interpreted. We decided to point this out explicitly in our original manuscript, since other SOA mechanisms might predict isoprene-dominance. In response to the reviewer's comment, we have added "in the SOA mechanism" to make our meaning clear.

*RC:* P29320, L7-13 – Please provide context for these changes. Perhaps the authors could remind the reader of the EPA threshold limits for aerosol.

AR: We are not reporting any changes here, and in response to the reviewer suggestion we have clarified that by referring to the simulation number. This paragraph provides a brief summary of the base simulation for SOA in GEOS-Chem.

Regarding the reviewer's second suggestion, we focus on the potential impacts on the US EPA Regional Haze program, which could be impacted by changes on the order of a couple  $\mu$ g m<sup>-3</sup>. In response to the reviewer's suggestion, we have added the following material:

"This may be of particular relevance to the EPA Regional Haze Program, aimed at improving visibility in national parks and wilderness areas (http://www3.epa.gov/visibility/program.html)."

RC: P29320, L10 - I would suggest moving the phrase "the model predicts" from L12 to this statement to make clear that all of the percentages quoted here are deduced from the model rather than observations.

AR: We have clarified that this is from the model result.

*RC:* P29320, L14-16 – How is dry deposition (settling) of aerosols modeled within *GEOSChem?* 

AR: We added the following details to Section 2.2:

"Aerosol deposition is parameterized according to Zhang et al. [2001], with deposition to snow/ice as presented by Fisher et al. [2011]. Gravitational settling of dust and sea salt is described according to Fairlie et al. [2007] and Alexander et al. [2005] respectively."

*RC*: P29320, L13 – Please provide a percentage change or a baseline for comparison for the changes in the northwest.

AR: Again, as we hope to have clarified by referring to the simulation number in the revised manuscript, we are not discussing any changes in this section. We are only describing the results from the baseline simulation.

*RC:* P29320, L18-21 – Perhaps the authors could distinguish between the different terpenes? Presumably the highest relative impacts occur in regions with the highest proportion of monoterpene (and/or sesquiterpene) emissions rather than those where isoprene emissions dominate.

AR: We have elaborated on this:

The relative impacts are highest where terpene emissions are significant and projected tree mortality is high, due to the dominance of terpenes as precursors to biogenic SOA in these simulations. The impact on biogenic SOA due to tree mortality generally exceeds 10% where the contributions of terpene emissions represent 50% or more of total BVOC emissions (in mass carbon). The spatial pattern in  $\Delta$ BSOA corresponds most to the relative contribution of the lumped MTPA category of terpenes (a-pinene + b-pinene + sabinene + carene).

*RC:* P29321, L11-15 – See previous comments regarding the structure and order of results. It would greatly aid clarity if the authors were to present and discuss the projected final result (i.e. accounting for all changes, simulation (2)) first before unpicking this by considering the sensitivity tests (simulations (3) and (4)).

AR: We believe that we have helped clarify the results with the new Table and by referring to simulation numbers directly. The order of the discussion now follows the order of the simulations presented in the Table and at the beginning of Section 4.

*RC:* P29321, L19 – The authors might consider rephrasing their statement that this "improves air quality".

AR: We have reworded this to: "reduces the number of exceedances for high NOx environments"

*RC:* P29321, L20 - I suggest that the result does depend on the SOA model use (rather than "may").

AR: We have removed the word "may".

*RC:* P29322, L3 – Were NOx emissions the only changes? For example, sulfate emissions have well demonstrated effects on SOA yield and have also changed markedly.

AR: In this experiment, anthropogenic SO2 emissions were also changed. We have removed the reference to "NOx" alone. We have also included the magnitude of the change in model emissions for NOx and SO<sub>2</sub> in our revised manuscript (30% and 44% respectively between 2005 and 2010).

*RC:* P29322, L3 – Please could the authors check this statement. According to their description of GEOS-Chem (section 2.1) the base scenario already used anthropogenic emissions for 2005. Please could the authors also state clearly what the difference in NOx emissions were (e.g. on average a 5% decrease)

AR: In our model description, we note that anthropogenic emissions for 2005 were scaled to 2010 using the methodology presented by van Donkelaar et al. (2008). In response to the reviewer's comments, we have clarified this here. Furthermore, we have explicitly stated the difference in anthropogenic emissions of the US that were test:

We therefore performed a subsequent test where the same land cover change was applied, using anthropogenic emissions from 2005 (instead scaling the emissions to 2010 as was performed elsewhere in this manuscript). Between 2005 and 2010, modeled anthropogenic emissions of NOx and SO2 over the continental US decreased by 30% and 44% respectively. Despite this large perturbation in anthropogenic emissions, the predicted impacts due to the land cover change were fundamentally the same.

# *RC:* P29322, L4-6 – Please quantify or otherwise clarify how the sensitivity changes when 2005 NOx emissions are included.

AR: We have changed this section to provide a brief quantitative summary of the results from our perturbation test:

The range of impact on simulated mean  $O_3$  over the US due to both emissions and dry deposition combined (Simulation 4 – Simulation 1) went from  $\Delta O3 = [-0.24, +1.45]$  ppb for the 2010 emissions, to  $\Delta O3 = [-0.34, +1.35]$  ppb for the 2005 emissions. Likewise, the maximum impact on SOA changed very little, from  $\Delta BSOA = -2.05 \ \mu g \ m^{-3}$  in the 2010 simulation, to  $\Delta BSOA = -1.94 \ \mu g \ m^{-3}$  in the 2005 simulation. Nevertheless, simultaneous changes in both anthropogenic and biogenic emissions increase the uncertainty in the exact magnitude of projected changes in secondary pollutants.

#### RC: P29323, L1-2 – Is this not also likely to be a temporary effect?

AR: This likely depends on site conditions and subsequent canopy growth. We have added "which may or may not be a temporary effect".

*RC:* P29323-P29324 – Human response to "natural" changes in land cover and subsequent intervention is also a factor that is not considered here.

AR: The reviewer makes a very interesting point. We have added: "We note that these simulations also neglect any potential human intervention in response to these risks."

RC: P29325, L3-6 – Again the authors might consider rephrasing this final conclusion.

AR: We have rephrased our conclusion to:

Our results add to the literature demonstrating that changes to vegetation can have significant impacts on local chemistry due to changes in biosphere-atmosphere fluxes of reactive trace species, with consequences for controlling regional air quality. Given the

general tightening of air quality standards to improve the health of global populations, understanding how changes in land cover will aid or abet these achievements could become increasingly important.

*RC:* Figures. See previous comments regarding the order of presentation of results for comments on specific plots.

AR: We have followed up with the Reviewer's suggestions regarding the presentation of results and order of the simulations. Since we have re-ordered the presentation of the simulations at the beginning of Section 4, and have added a Table to further clarify out approach, we feel it is not necessary to add or change the order of the panels in our figures. Moreover, in response to the reviewer's suggestion we now refer to Simulation numbers directly in the Figure captions where it is appropriate.

*RC:* Fig. 2-Fig. 9 - I would strongly recommend that the scale is altered for all of the panels showing differences. While it is always nice to have differences centred on zero, in most of these cases the differences have the same sign and it is very hard to distinguish between different magnitudes of changes with the current scales.

AR: In response to the Reviewer's suggestions, we have altered the color scales and believe the magnitudes of change are now more clearly highlighted.

### **Response to Referee #2:**

*RC:* While four simulations are listed and numbered in Section 4 (page 29313), it is sometimes difficult to tell which specific simulation is being discussed or pictured. I recommend adding the simulation numbers throughout the text or changing the descriptive language such that the different simulations can be identified unambiguously.

AR: In our revised manuscript, we reorganized the presentation of our simulations in Section 4 and also added a Table with the simulation number and short descriptions. Throughout the revised manuscript, we now refer to these simulation numbers which can be checked with the Table for quick reference. We believe this will eliminate any confusion or difficulty in the presentation of our results. The beginning of Section 4 now reads:

We perform four simulations (Table 1) to investigate the role of insect- and disease driven tree mortality on atmospheric chemistry: (1) a base scenario in which the vegetation is not altered; (2) a scenario where the BVOC emissions respond to the scaled tree cover, but where soil NO<sub>x</sub> and dry deposition are calculated using the land cover in the base scenario; (3) a scenario where the BVOC and soil NO<sub>x</sub> emissions respond to the scaled tree cover, but where dry deposition is calculated using the land cover in the base scenario; and (4) a full tree mortality scenario where the BVOC emissions, soil NO<sub>x</sub>

emissions, and dry deposition are all calculated using the scaled tree cover. The combination of these simulations allows us to decouple the effects of changing BVOC and soil NO<sub>x</sub> emissions from the effects of changing deposition. We focus our analysis on June to August since this is the seasonal peak in impacts of changes in biogenic emissions on  $O_3$  and SOA formation across the United States.

Table 1:	
Simulation	Description
1	Base land cover simulation (no tree mortality)
2	Tree mortality-driven BVOC emissions (soil
	NOx and dry deposition using base land cover)
3	Tree mortality-driven BVOC and soil NOx
	emissions (dry deposition using base land cover)
4	Tree mortality-driven emissions and dry
	deposition.

RC: Please clarify exactly how soil NOx is impacted by tree mortality. On page 29313 it says tree mortality does not impact the basal soil NOx emission factor, but lower LAI reduces canopy uptake. However, it is not obvious to me whether the lower LAI induce changes in the soil temperature and moisture, therefore changing the magnitude of NOx emissions, or if the discussed changes in soil NOx emissions are purely a result of the changes in canopy uptake.

AR: We have clarified in the revised manuscript that the only effect we can currently account for in GEOS-Chem is a reduction in canopy uptake through a reduction in vegetation density, and that this ignores any potential effects that could result from changes in soil temperature and moisture:

In projecting changes to soil  $NO_x$  emissions, we allow the canopy reduction factor to respond to changes in LAI, but we assume that the tree mortality does not impact the basal soil  $NO_x$  emission factors (nor soil temperature or moisture). The increase in net emission therefore arises from a decrease in canopy reduction factor only, representing the impact of less  $NO_2$  uptake by the canopy before export into the lower mixed layer. A better understanding of the canopy reduction factor, and accounting for canopy chemistry, would help to establish how realistic these projected increases in soil  $NO_x$  emissions are.

RC: To say that changes in nitrogen oxide speciation and abundance are evidence for changes in the NOx-HOx chemistry (page 29319, line 20) is not particularly meaningful without some knowledge of the specific chemistry in the model, at least as related to this result. Also, when I read NOx-HOx I think of daytime chemistry, but presumably nighttime formation is also altered. How large are changes in nighttime versus daytime formation? What is the relative impact to daytime nitrate production due to reductions in BVOC derived RO2 precursors, as compared to changes in the net alkyl nitrate branching ratio, i.e. the fraction of NO + RO2 that forms RONO2 versus O3? Additional

#### discussion of the organic nitrates and uncertainties is warranted.

AR: We now include further details about the chemistry in the model in Section 2.1 (outlined in our next response). However, we agree with the reviewer's comment that the evidence for changes in NOx-HOx chemistry is not particularly meaningful. Since we did not output time-of-day results for many of the relevant tracers (e.g. alkylnitrates), we can say very little at this point about the impact of the chemistry at different times of day. As the reviewer notes, there is also uncertainty in the NO + RO2 branching ratio. For these reasons, our comment here on the NOx-HOx chemistry and the decrease in reactive hydrocarbons leading to more HNO<sub>3</sub> formation, has been removed from the manuscript. Instead, we keep the focus on the changes that can be directly linked to either soil NOx emissions or the decrease in BVOC emissions.

*RC:* Information on how GEOS-Chem predicts SOA and the uncertainties at play, at least as they relate to these experiments, should be added. Because of the extreme brevity of Section 2.1, this paper appears to target the GEOS-Chem users community exclusively. While I appreciate that the authors have not bogged the paper down with superfluous model details, adding back some chemical description, especially since the paper tests chemical impacts, would speak to a wider audience.

AR: In response to this the reviewer's comments, we have added more detail on the chemical mechanisms, and further details on the SOA mechanisms including which biogenic emissions are explicitly modeled and how they are lumped together:

The model includes detailed  $HO_x$ - $NO_x$ - $VOC-O_3$  chemical scheme originally presented by Bey et al. 2001. The chemical mechanism includes over 90 species (including the following lumped categories: >C3 alkanes, >C2 alkenes, >C4 alkynitrates, >C1 aldehydes, >C1 alcohols, and >C1 organic acids), over 200 chemical reactions, and over 50 photolysis reactions, incorporating the latest JPL and IUPAC recommendations. Detailed isoprene oxidation chemistry is included, following Paulot et al. (2009a, b) as implemented for GEOS-Chem by Mao et al. (2013). Explicit oxidation pathways are not yet included for terpenes. Given that isoprene dominates biogenic OH reactivity over the continental US, we assume terpenes play a minor role outside of SOA formation (see below) in our land cover change simulations. Gas-aerosol partitioning in the sulfatenitrate-ammonium system is described according to the thermodynamic ISORROPIA II equilibrium model (Fountoukis and Nenes, 2007).

Carbonaceous aerosol sources include primary emissions from fossil fuel, biofuel, and biomass burning (Park et al., 2003) and reversible SOA formation following Pye et al. (2010). Secondary organic aerosol are lumped into five species based on the parent hydrocarbons (terpenes, isoprene, light aromatics and intermediate volatile organic compounds, semivolatile organic compounds (SVOCs), and oxidized SVOCs). Aerosol yields are parameterized using a volatility basis set (Donahue et al., 2006) for aerosol systems with multiple parent hydrocarbons or aerosol formation pathways, or an Odum 2-product approach (Odum et al., 1996) for systems with one parent hydrocarbon. Emitted biogenic parent hydrocarbons are lumped in the following manner: (1)  $\alpha$ -pinene +  $\beta$ -pinene + sabinine + carene; (2) limonene; (3) t- $\beta$ -ocimene + myrcene + other

monoterpenes; (4) farnesene + caryophyllene + other sesquiterpenes; and (5) isoprene. SOA yields from ozonolysis (at high and low  $NO_x$ ) and nitrate radical oxidation are represented in the model for groups (1) to (4), while yields from photooxidation (initiated by OH) and nitrate radical oxidation are represented for isoprene. Further gas-aerosol phase coupling occurs for example through  $N_2O_5$  uptake (Evans, 2005) and  $HO_2$  uptake (Mao et al., 2013).

RC: The land type updates have a large impact on various BOVCs emissions (Section 2.4). To me, similarities in the O3 spatial distribution and in the modeled-gridded measurement agreement are not convincing evidence that model has not been degraded (or altered, or improved). Rather, I am inclined to interpret this to mean that O3 is not a sensitive metric. Can something more be said about the land use update? At least about why O3 is not observed to respond?

AR: We acknowledge that our choice of terminology ("degraded") may not be correct, since we have not established this conclusively. In response to the reviewer's comment, we have removed this wording from the paragraph. Instead, we summarize the comparison with  $O_3$  observations without making a stronger judgement call regarding model performance. Our attention to the impacts on simulated  $O_3$  are due to (1) the availability of these observations, and (2) the fact that we find impacts on  $O_3$  in our subsequent tree mortality sensitivity experiments. Thus, we believe this initial comparison with  $O_3$  observations provides important context. We are not aware of similar datasets (e.g. for SOA, which would be sensitive to the land use change) that are available across the globe to fairly evaluate the GEOS-Chem performance.

*RC:* The abstract states, "While these effects are small compared to larger scale changes (e.g. deforestation) these simulations suggest that changes in biosphere—atmosphere exchange must be considered when predicting future air quality and climate." This conclusion would strengthened be with some kind of quantitative comparison between the size of the impacts predicted in this work and the effects predicted by some air quality-climate studies.

AR: We believe the reviewer makes an excellent suggestion. In the abstract, we have added the following statement:

"The regional effects simulated here are similar in magnitude to other scenarios that consider future biofuel cropping or natural succession, further demonstrating that biosphere–atmosphere exchange must be considered when predicting future air quality and climate."

Then, we have added the following material to the discussion section: "The magnitude of change in mean  $O_3$  (-0.4 ppb to +1.4 ppb depending on the simulation) and SOA (up to -2.0 µg m<sup>-3</sup>) in some grid boxes is similar to regional changes predicted by examples of biofuel cropping or natural succession scenarios (Ashworth et al. 2012; Porter et al. 2012; Drewniak et al. 2014), and consistent with the tree mortality effect from bark beetle infestations simulated in western North America by (Berg et al. 2013)."

*RC:* Because this paper is concerned with chemical impacts, the authors might consider (although it is not necessary) also reporting BVOCs emission changes in chemically meaningful terms, such as changes to the total BVOC reactivity to OH, reactivity to NO3, RONO2 yields, and/or SOA yields.

AR: Due to the way BVOCs are lumped together following emission, we do not have a mechanism by which we could report the change in all BVOC emissions in terms of reactivity to OH or SOA yields. However, using simulated mean isoprene concentrations, we are able to make estimates of the change in projected biogenic OH reactivity (given that isoprene dominates biogenic OH reactivity in the continental US). We now have included these estimates in our revised manuscript:

The highest relative impact to isoprene emissions occurs in the Rocky Mountain forests of the northwestern US, where mortality is projected to be high. For example, the largest relative decrease occurs in Idaho [46.0°N, 115.3°W] where isoprene emissions decrease by 47% (1.8  $\mu$ mol m<sup>-2</sup> hr<sup>-1</sup>), compared to the base simulation. These pine-, spruce-, and fir-dominated forests of the northwest are relatively low isoprene emitters compared to the deciduous forests of the eastern US. The reduction in mean OH reactivity due to tree mortality-induced isoprene changes in the northwest is ~0.2-0.5 s<sup>-1</sup> at most. In the oak-dominated Ozarks of Arkansas and Missouri [~36°N, 92°W], and the central Appalachian region [~38°N, 81°W], baseline isoprene emissions are an order of magnitude higher; the corresponding reduction in mean OH reactivity due to tree mortality-induced isoprene changes are emissions are an order of magnitude higher; the corresponding reduction in mean OH reactivity due to tree mortality-induced isoprene changes are emissions are an order of magnitude higher; the corresponding reduction in mean OH reactivity due to tree mortality-induced isoprene changes are emissions are an order of magnitude higher; the corresponding reduction in mean OH reactivity due to tree mortality-induced isoprene changes exceeds 3 s<sup>-1</sup>.

# 1 Land cover change impacts on atmospheric chemistry:

# 2 simulating projected large-scale tree mortality in the United

- 3 **States**
- 4

# 5 Jeffrey A. Geddes<sup>1</sup>, Colette L. Heald<sup>2</sup>, Sam J. Silva<sup>2</sup>, and Randall V. Martin<sup>1,3</sup>

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## 13 Abstract

14 Land use and land cover changes impact climate and air quality by altering the exchange of trace gases between the Earth's surface and atmosphere. Large-scale tree mortality that is 15 16 projected to occur across the United States as a result of insect and disease may therefore have 17 unexplored consequences for tropospheric chemistry. We develop a land use module for the 18 GEOS-Chem global chemical transport model to facilitate simulations involving changes to 19 the land surface, and to improve consistency across land-atmosphere exchange processes. The 20 model is used to test the impact of projected national-scale tree mortality risk through 2027 21 estimated by the 2012 USDA Forest Service National Insect and Disease Risk Assessment. 22 Changes in biogenic emissions alone decrease monthly mean O<sub>3</sub> by up to 0.4 ppb, but 23 reductions in deposition velocity compensate or exceed the effects of emissions yielding a net 24 increase in O<sub>3</sub> of more than 1 ppb in some areas. The O<sub>3</sub> response to the projected change in 25 emissions is affected by the ratio of baseline NO<sub>x</sub>:VOC concentrations, suggesting that in 26 addition to the degree of land cover change, tree mortality impacts depend on whether a 27 region is NO<sub>x</sub>-limited or NO<sub>x</sub>-saturated. Consequently, air quality (as diagnosed by the 28 number of days that 8-hr average  $O_3$  exceeds 70 ppb) improves in polluted environments 29 where changes in emissions are more important than changes to dry deposition, but worsens

1 in clean environments where changes to dry deposition are the more important term. The 2 influence of changes in dry deposition demonstrated here underscores the need to evaluate 3 treatments of this physical process in models. Biogenic secondary organic aerosol loadings are significantly affected across the US, decreasing by 5-10% across many regions, and by 4 more than 25% locally. Tree mortality could therefore impact background aerosol loadings by 5 between 0.5 to 2 µg m<sup>-3</sup>. Changes to reactive nitrogen oxide abundance and partitioning are 6 7 also locally important. The regional effects simulated here are similar in magnitude to other 8 scenarios that consider future biofuel cropping or natural succession, further demonstrating 9 that biosphere-atmosphere exchange should be considered when predicting future air quality and climate. We point to important uncertainties and further development that should be 10 addressed for a more robust understanding of land cover change feedbacks. 11

12

### 13 **1** Introduction

Land use and land cover changes impact climate by altering energy exchange at the surface of 14 15 the Earth, and by altering the composition of the atmosphere through changes in biogeochemical cycling (Feddema et al., 2005; Pielke et al., 2011). Though recognized as a 16 crucial factor in future climate scenarios (van Vuuren et al., 2011), projections of land use and 17 18 land cover change remain highly uncertain (Smith et al., 2010). The terrestrial biosphere also 19 plays an important role in surface-atmosphere exchange of reactive trace species that control the oxidative chemistry of the troposphere (Arneth et al. 2010; Laothawornkitkul et al. 2009; 20 21 Mellouki et al. 2015; Monson and Holland, 2001), so that changes in vegetation can further 22 impact air quality and climate (Heald and Spracklen, 2015; Unger, 2014). These changes can be human-driven (e.g. urbanization, forestry management, and agricultural practices) or 23 natural (e.g. wildfires, insect infestations, and biome shifts). Over the 21<sup>st</sup> century, variations 24 in biogenic volatile organic compound (BVOC) emissions due to climate change and crop 25 26 management will likely impact surface ozone  $(O_3)$  and secondary organic aerosol (SOA) 27 concentrations (Ashworth et al., 2012; Chen et al., 2009; Ganzeveld et al., 2010; Hardacre et al., 2013; Heald et al., 2008; Wu et al., 2012). Here we consider the air quality and 28 29 atmospheric chemistry implications of another form of land cover change on relatively shorter 30 timescales: large-scale insect- and disease-driven tree mortality.

31 Modifications to vegetation distribution, plant type, canopy characteristics, and soil properties 32 alter the regional emission and deposition of reactive trace gases from the terrestrial

biosphere. For example, large-scale deforestation of the Amazonian rainforest, the expansion 1 2 of oil palm plantations in Asia, and cultivation of biofuel feedstocks can significantly alter BVOC emissions, with various implications for secondary pollutants (Ashworth et al., 2012; 3 Beltman et al., 2013; Ganzeveld, 2004; MacKenzie et al., 2011). In the eastern US, harvest 4 practices and forest management have likely resulted in a net increase in **B**VOC emissions 5 6 since the 1980s, counteracting successful anthropogenic emission reductions (Purves et al., 7 2004). Ecological succession, either from anthropogenic land management or natural 8 processes, could also impact regional chemistry (Drewniak et al., 2014). Some changes in 9 land cover have compensating impacts. For example, higher vegetation density could lead to increased  $O_3$  precursor emissions but also faster depositional losses (Ganzeveld et al. 2010; 10 11 Wu et al., 2012). Consequently, we require models that account for the combination of these 12 mechanisms in a consistent manner to understand the relevant net impacts on air quality and 13 climate.

14 Almost a third of the Earth's land surface is covered by forests, providing a variety of 15 economic, recreational, and ecosystem services including regulating climate through complex biogeophysical and hydrological feedbacks and by taking up CO<sub>2</sub> from the atmosphere 16 17 (Bonan, 2008; MEA, 2005). A prominent risk to forests in the near future (< decades) is tree mortality resulting from insect attack and disease (Krist et al., 2014). Biotic disturbances 18 19 resulting in tree mortality occur naturally at low and predictable rates (Smith et al., 2001), but 20 in the coming decades many forests across the US are predicted to experience tree mortality 21 well above background. Between 2013 and 2027, over 80 million acres of treed land in the 22 United States are projected to experience basal area mortality rates exceeding 25%, with some 23 tree species at risk of losing more than 50% of their volume (Krist et al., 2014). The dominant contributing hazards are expected to be root diseases, bark beetles, and oak decline, with 24 25 highest risks occurring in Idaho, Montana, and Oregon in the western US and in Rhode 26 Island, Connecticut, and Massachusetts in the eastern US (Krist et al., 2014). The wood 27 volume lost from insects and pathogens can cost the US several times more than losses by 28 wildfire (Dale et al., 2001), and can have a major impact on carbon cycling (Hicke et al., 29 2012), but the atmospheric chemistry impacts have not been fully explored. Berg et al. (2013) 30 simulated the impact of past bark beetle infestations in the western US using a decade of tree mortality data. They found large changes to monoterpene emissions, and subsequently SOA 31 32 concentrations, that could potentially affect background aerosol concentrations and visibility 33 in pristine regions.

Given the important role of natural emissions in the chemistry of the atmosphere (Zare et al., 1 2 2014), large-scale future tree mortality may influence ozone production and organic aerosol concentrations. Nonattainment of  $O_3$  air quality standards in the US is more sensitive to 3 BVOC emissions than anthropogenic VOC emissions (Hakami et al., 2006), and secondary 4 5 organic aerosol mass can be dominated by biogenic sources (Pye et al., 2010). The main anticipated effect of tree mortality is a reduction of the BVOC emissions from the species that 6 7 die, but a change in local vegetation density would also be expected to impact dry deposition, 8 since this is directly related to the surface area available for deposition. Vegetation changes 9 can also affect the local microclimate, further impacting depositional processes. Changes in 10 dry deposition may be significant for species (such as  $O_3$ ) whose depositional losses are 11 competitive with chemical sinks near the surface of the earth. Finally, since soil NO<sub>x</sub> 12 emissions to the atmosphere depend not only on available nitrogen and soil conditions but 13 also on the extent of uptake to vegetation canopies, changes to forests driven by tree mortality 14 could impact these emissions as well.

15 Here we use the GEOS-Chem chemical transport model to investigate the impact of projected tree mortality on atmospheric composition. We harmonize the description of land cover 16 17 across the relevant surface-atmosphere exchange processes, and use this adapted model to simulate the impacts of predicted tree losses as a result of insect and disease in the United 18 19 States from 2013-2027. We explore how changes in dry deposition might compensate for changes in chemical production by separating these impacts in individual simulations. We 20 highlight that even modest tree mortality could impact regional atmospheric chemistry across 21 the US, and identify specific regions for further investigation. We also discuss directions for 22 23 future development to better understand the influence of vegetation changes on atmospheric reactivity and composition. 24

25

## 26 2 Model description

### 27 2.1 General description of GEOS-Chem

We use the GEOS-Chem model (<u>Bey et al. 2001;</u> www.geos-chem.org) v9-02 to simulate the impact of changes in vegetation on atmospheric chemistry. GEOS-Chem is a global 3-D atmospheric chemical transport model driven by assimilated meteorology from the NASA Global Modeling and Assimilation Office. Our simulations are driven by GEOS-5 meteorological data for the year 2010 and performed over North America at the nested
horizontal resolution of 0.5° x 0.667°, with dynamic boundary conditions supplied from a
global simulation at 2° x 2.5°.

4 The model includes detailed  $HO_x$ - $NO_x$ -VOC- $O_3$  chemical scheme originally presented by Bey 5 et al. 2001. The chemical mechanism includes over 90 species (including the following lumped categories: >C3 alkanes, >C2 alkenes, >C4 alkynitrates, >C1 aldehydes, >C1 6 7 alcohols, and >C1 organic acids), over 200 chemical reactions, and over 50 photolysis 8 reactions, incorporating the latest JPL and IUPAC recommendations. Detailed isoprene 9 oxidation chemistry is included, following Paulot et al. (2009a, b) as implemented for GEOS-10 Chem by Mao et al. (2013). Explicit oxidation pathways are not yet included for terpenes. Given that isoprene dominates biogenic OH reactivity over the continental US, we assume 11 12 terpenes play a minor role outside of SOA formation (see below) in our land cover change 13 simulations. Gas-aerosol partitioning in the sulfate-nitrate-ammonium system is described 14 according to the thermodynamic ISORROPIA II equilibrium model (Fountoukis and Nenes, 15 2007).

Carbonaceous aerosol sources include primary emissions from fossil fuel, biofuel, and 16 17 biomass burning (Park et al., 2003) and reversible SOA formation following Pye et al. (2010). 18 Secondary organic aerosol are lumped into five species based on the parent hydrocarbons 19 (terpenes, isoprene, light aromatics and intermediate volatile organic compounds, semivolatile organic compounds (SVOCs), and oxidized SVOCs). Aerosol yields are parameterized using 20 a volatility basis set (Donahue et al., 2006) for aerosol systems with multiple parent 21 hydrocarbons or aerosol formation pathways, or an Odum 2-product approach (Odum et al., 22 23 1996) for systems with one parent hydrocarbon. Emitted biogenic parent hydrocarbons are 24 lumped in the following manner: (1)  $\alpha$ -pinene +  $\beta$ -pinene + sabinine + carene; (2) limonene; (3) t- $\beta$ -ocimene + myrcene + other monoterpenes; (4) farnesene + caryophyllene + other 25 26 sesquiterpenes; and (5) isoprene. SOA yields from ozonolysis (at high and low NO<sub>x</sub>) and 27 nitrate radical oxidation are represented in the model for groups (1) to (4), while yields from 28 photooxidation (initiated by OH) and nitrate radical oxidation are represented for isoprene. 29 Further gas-aerosol phase coupling occurs for example through N<sub>2</sub>O<sub>5</sub> uptake (Evans, 2005) 30 and  $HO_2$  uptake (Mao et al., 2013).

31 We use anthropogenic emission inventories according to the NEI-2005 inventory for the 32 United States (http://www.epa.gov/ttnchie1/trends/), CAC for Canada 1 (http://www.ec.gc.ca/pdb/cac/), and BRAVO (Kuhns et al., 2005) for Mexico, and scale these 2 to the year 2010 following van Donkelaar et al. (2008). The model also includes biomass 3 burning emissions (GFED3 (Mu et al., 2011)), lightning NO<sub>x</sub> (Murray et al., 2012), and 4 volcanic SO<sub>2</sub> emissions (Fisher et al., 2011). Soil NO<sub>x</sub> and <u>B</u>VOC emissions are described 5 below.

6

## 7 2.2 Default land-atmosphere exchange in GEOS-Chem

8 Here we briefly describe the main mechanisms in the model by which vegetated land cover9 impacts atmospheric chemistry.

10 GEOS-Chem v9-02 includes the Berkeley-Dalhousie Soil NO<sub>x</sub> Parameterization (Hudman et 11 al., 2012). In this parameterization, the flux of  $NO_x$  from soils is a function of temperature, 12 soil moisture, and emission coefficients which depend on available nitrogen and biome type. 13 Biomes (and basal emission coefficients) are defined according to Steinkamp and Lawrence 14 (2011), with 24 different land cover types. Dry spell length is also included to account for pulsing. A canopy reduction factor is calculated according to leaf area index (LAI), wind 15 16 speed, and surface resistance, and is designed to simulate the uptake of  $NO_x$  by vegetation 17 following soil emission (Wang et al., 1998).

Biogenic VOC emissions from vegetation are calculated using the Model of Emissions of Gases and Aerosols from Nature (MEGAN v2.02: Guenther et al. (2006), with updates from Sakulyanontvittaya et al. (2008)). In GEOS-Chem v9-02, mapped basal BVOC emission factors are provided as an input to the model and are modulated <u>online</u> by activity factors that are a function of temperature, LAI, photosynthetically active radiation (PAR), and average leaf age.

24 Dry deposition is calculated by the resistance-in-series scheme of Wesely (1989), using a 25 "big-leaf" approximation where the deposition surface is treated as a single uniform surface (or leaf). Dry deposition velocities are calculated as a combination of aerodynamic resistance 26 27  $(R_a)$ , <u>laminar</u> layer resistance  $(R_b)$ , and surface resistance  $(R_c)$ .  $R_a$  is calculated separately for 28 unstable, moderately stable, and very stable atmospheric conditions, and is a function of 29 roughness heights (which would be a function of land cover type) that are provided by the 30 meteorological input data. R<sub>b</sub> depends on meteorological data and the identity of the gasphase species being deposited. The R<sub>c</sub> parameterization depends on the solubility and 31

reactivity of individual chemical compounds and on land type according to Wesely (1989), 1 2 and is scaled by LAI. Land types are derived by the USGS global land characteristics database (http://edc2.usgs.gov/glcc/globdoc2\_0.php), known also as the Olson Land Map). 3 Over 70 land types are represented and mapped to the 11 deposition surface types given by 4 5 Wesely (1989). Aerosol deposition is also parameterized by the resistance-in-series scheme according to Zhang et al. (2001), with deposition to snow/ice as presented by Fisher et al. 6 7 (2011). Gravitational settling of dust and sea salt is described according to Fairlie et al. (2007) 8 and Alexander et al. (2005) respectively.

As described above, the parameterizations of soil NO<sub>x</sub> emissions, BVOC emissions, and dry
deposition all depend on LAI in some way. By default, GEOS-Chem uses a MODIS-derived
monthly LAI product (Myneni et al., 2007) that is mapped to the GEOS-Chem grid (yearspecific or a climatology), and <u>linearly</u> interpolated to daily values.

13

#### 14 **2.3** Modifications to land-atmosphere exchange in GEOS-Chem

Here we document the development of a land use module to describe land-atmosphere exchange in GEOS-Chem and to facilitate simulations involving changes in land cover and land use, such as the tree mortality being explored here.

18 To increase the flexibility in the BVOC emissions, basal emission factors are now mapped at 19 simulation initialization using input land cover data. As a base input, we use present-day (year 20 2000) land cover from the Community Land Model (CLM) v. 4 21 (http://www.cgd.ucar.edu/tss/clm/ and Lawrence et al. (2011)). Vegetation is divided into 16 22 plant functional types (PFTs, Table A1) and their fractional coverage is mapped globally at a native resolution of 0.23° x 0.3125°. We incorporate updated emission factors following 23 24 MEGAN v2.1 (Guenther et al., 2012).

We also eliminate the dependence of the dry deposition velocities on the Olson Land Map. Instead, the same PFTs that drive BVOC emissions are mapped directly to the 11 deposition types from Wesely (1989). We replace the roughness heights provided by the assimilated meteorological product with values that are specific to the land cover or plant functional type (Table A1). Furthermore, rather than basing dry deposition on the dominant land type at a certain native resolution, the complete sub-grid fractional coverage of all PFT/land types are accounted for. In this way, deposition in the model should be <u>less dependent</u> of the horizontal 1 resolution of the simulation or land cover data set. For soil  $NO_x$  emissions, we map the same 2 set of PFTs to the 24 biomes of Steinkamp and Lawrence (2011) based on plant type and 3 latitude (Fig. A1).

To achieve consistency between our land type description and the LAI used in the model, we
replace the monthly MODIS-derived gridded LAI with the sub-grid PFT-specific monthly
LAI from the CLM4 land cover description, also based on MODIS observations <u>as well as</u>
additional cropping data (Lawrence et al. 2011).

8 In this way, BVOC emissions, soil  $NO_x$  emissions, dry deposition, and surface roughness are 9 all newly harmonized to the same land cover input and vegetation density. These changes 10 make it possible to alter the specified PFT distributions and/or fractional coverages, and self-11 consistently investigate the impact on biosphere-atmosphere exchange.

12

### 13 **2.4** Impact of updates and land use harmonization on GEOS-Chem simulation

Our modifications to GEOS-Chem impact the emissions, deposition, and simulated 14 15 concentrations compared to the default model, demonstrating the important role of land cover 16 on atmospheric chemistry. GEOS-Chem and other chemical transport models have previously 17 shown a large sensitivity to land cover datasets (Li et al., 2013) and biogenic emission models 18 (Fiore, 2005; Kim et al., 2014; Zare et al., 2012). Globally, we find annual emissions of isoprene decrease by 14% from 531 Tg yr<sup>-1</sup> to 459 Tg yr<sup>-1</sup> with land use harmonization and 19 20 updated emission factors. The emissions of some monoterpenes decrease (e.g. β-pinene, 21 limonene, sabinene, and carene by 10% or less; ocimene by 36%), while others increase significantly ( $\alpha$ -pinene by 64%, myrcene by 145%). Sesquiterpene emissions increase 22 23 between 20-60% depending on the species. These changes result from the new maps of PFTs, 24 the updated emission factors from MEGAN v2.1 (Guenther et al., 2012), and the new LAI values used. Our modified global emissions are generally consistent with those for MEGAN 25 26 v2.1 as formulated by Guenther et al. (2012). For example, our  $\alpha$ -pinene emissions increase from 40 Tg yr<sup>-1</sup> to 66 Tg yr<sup>-1</sup>, compared to 66 Tg yr<sup>-1</sup> estimated by Guenther et al. (2012). 27 28 Global soil NO<sub>x</sub> emissions, which depend on biome mapping from the PFT dataset and LAI, decrease by 2% (from 9.8 Tg yr<sup>-1</sup> to 9.6 Tg yr<sup>-1</sup>). 29

Figure 1 shows how all of the modifications impact predicted global monthly mean O<sub>3</sub> 1 concentrations for August 2010. The spatial agreement between the simulations is very high 2 (r=0.99), suggesting that our modifications have not made significant changes to predicted  $O_3$ . 3 While the changes that we made to the model were not in principle intended to improve the 4 5 accuracy of the GEOS-Chem  $O_3$  simulation (rather the priority was to more easily enable land-cover change experiments), the updated land cover data and the new consistency in the 6 7 descriptions modestly improve the spatial correlation (r=0.54 to r=0.56) between the 8 simulated and gridded monthly mean O<sub>3</sub> observed over North America, Europe, and other 9 locations worldwide (Evans and Sofen, 2015) for the whole year. The modifications tend to decrease the high O<sub>3</sub> concentrations at midlatitudes of the Northern and Southern 10 11 hemispheres. In particular, the high summer bias in monthly mean  $O_3$  drops by 0.5-0.9 ppb (e.g. from RMSE=15.6 to RMSE=14.8 in August) while making little difference to winter 12 13 month  $O_3$  (RMSE changed by < 0.3 ppb).

14

### **3 Predicted tree mortality in the United States**

To simulate national-scale tree mortality across the US, we use projected tree mortality rates 16 from the 2012 National Insect and Disease Risk Forest Risk (NIDR) Assessment for 2013-17 18 2027, assembled by the Forest Health Technology Enterprise Team of the United States 19 Department of Agriculture Forest Service (Krist et al., 2014). This assessment includes results 20 from 186 individual insect and disease hazard models. We gridded the 240-m spatially 21 resolved total tree mortality data (http://www.fs.fed.us/foresthealth/technology/nidrm.shtml) to the native resolution of the new GEOS-Chem land input file (0.23° x 0.31°) and focused on 22 23 the conterminous United States. We use this data to contrast atmospheric chemistry before vs. 24 after the change in tree cover.

25 Figure 2 shows the default fractional area covered by the sum of all tree PFT categories, and 26 the resulting loss in tree-covered fractions due to projected mortality after applying the fractional loss from the NIDR. We applied mortality losses predicted by the NIDR to all tree 27 species in a particular input grid box, instead of accounting for losses specific to one plant 28 29 functional type only. The magnitude and spatial distribution of tree loss is qualitatively consistent with the agent- and species-specific summaries in the NIDR assessment (Krist et 30 al., 2014), since certain PFT categories usually dominate in specific regions or grid boxes. We 31 briefly summarize the major agents driving projected mortality in the NIDR assessment here. 32

1 In the western US, insects causing every even mortality include the mountain, western, and 2 Jeffrey pine beetles, spruce and Douglas fir beetles, the Douglas fir tussock moth, and the Western spruce budworm. In the east, insect-driven evergreen mortality is driven by the 3 Eastern spruce and Jack pine budworm and hemlock woolly adelgid in the north, and the 4 5 southern pine beetle in the south. Engraver beetles and the balsam woolly adelgid affect evergreens in both the west and east. Deciduous tree mortality is large in the northeast and 6 7 eastern US, where oak and maple decline is high. Deciduous tree mortality by diseases such 8 as beech bark, oak wilt, and Dutch elm is also large. Aspen and cottonwood declines are 9 significant in the western US and Great Plains. While root diseases, which impact both 10 needleleaf and broadleaf tree categories, represent the largest single agent-level hazard, the 11 impact of all bark beetles together are projected to cause the highest basal area losses (Krist et 12 al., 2014).

13

# 14 4 Impact of tree mortality on atmospheric chemistry in the US

15 We perform four simulations (Table 1) to investigate the role of insect- and disease driven tree mortality on atmospheric chemistry: (1) a base scenario in which the vegetation is not 16 17 altered; (2) a scenario where the BVOC emissions respond to the scaled tree cover, but where 18 soil  $NO_x$  and dry deposition are calculated using the land cover in the base scenario; (3) a 19 scenario where the BVOC and soil NO<sub>x</sub> emissions respond to the scaled tree cover, but where 20 dry deposition is calculated using the land cover in the base scenario; and (4) a full tree mortality scenario where the BVOC emissions, soil NO<sub>x</sub> emissions, and dry deposition are all 21 calculated using the scaled tree cover. The combination of these simulations allows us to 22 23 decouple the effects of changing BVOC and soil NO<sub>x</sub> emissions from the effects of changing 24 deposition. We focus our analysis on June to August since this is the seasonal peak in impacts of changes in biogenic emissions on O<sub>3</sub> and SOA formation across the United States. 25

26

### 27 4.1 Impacts on biogenic emissions and on deposition velocity

Figure 3 shows the simulated emissions of isoprene, total monoterpenes, and total sesquiterpenes, and the change in emissions <u>due to</u> tree mortality. The impact to total emissions across the US is a 6-7% decrease for isoprene, monoterpenes, and sesquiterpenes, with much larger impacts <u>locally</u>. <u>Over the continental US</u>, isoprene emissions are projected

1 to decrease by more than 5% for more than 25% of the model grid boxes (762 out of a total of 2 2693). The highest relative impact to isoprene emissions occurs in the Rocky Mountain forests of the northwestern US, where mortality is projected to be high. For example, the 3 largest relative decrease occurs in Idaho [46.0°N, 115.3°W] where isoprene emissions 4 decrease by 47% (1.8  $\mu$ mol m<sup>-2</sup> hr<sup>-1</sup>), compared to the base simulation. These pine-, spruce-, 5 6 and fir-dominated forests of the northwest are relatively low isoprene emitters compared to 7 the deciduous forests of the eastern US. The reduction in mean OH reactivity due to tree mortality-induced isoprene changes in the northwest is ~0.2-0.5 s<sup>-1</sup> at most. In the oak-8 9 dominated Ozarks of Arkansas and Missouri [~36°N, 92°W], and the central Appalachian 10 region [~38°N, 81°W], baseline isoprene emissions are an order of magnitude higher; the corresponding reduction in mean OH reactivity due to tree mortality-induced isoprene 11 changes exceeds 3 s<sup>-1</sup>. The highest absolute impact of mortality on isoprene emissions occurs 12 at the border of West Virginia and Virginia [38.0°N, 80.0°W], where emissions decrease by 13 8.6  $\mu$ mol m<sup>-2</sup> hr<sup>-1</sup> (relative decrease of 26%). 14

15 Likewise, the highest relative impacts to total monoterpene and total sesquiterpene emissions 16 occur in the Rocky Mountain forests of the western and northwestern US (the largest relative 17 decrease occurs in Colorado [38°N, 106.7°W] where the monoterpene and sesquiterpene 18 emissions decrease by 48-50%). Significant relative impacts are also predicted in the pine 19 forests of the Sierra Nevada (10-20%). In terms of absolute magnitude, the difference in 20 monoterpene and sesquiterpene emissions is largest in pine-dominated forests of the southern 21 US. The highest absolute impacts in the country occur in eastern Texas [31.0°N 94.7°W] where the monoterpene emissions decrease by 1.4  $\mu$ mol m<sup>-2</sup> hr<sup>-1</sup> (or 22 %), and in Arkansas 22 [33.5°N 92.7°W] where sesquiterpene emissions decrease by 0.4  $\mu$ mol m<sup>-2</sup> hr<sup>-1</sup> (or 18%) 23 24 compared to the base simulation.

25 Figure 4 shows the baseline emissions of  $NO_x$  from soils and the simulated change resulting 26 from tree mortality. The highest soil NO<sub>x</sub> emissions occur in the central US where crops contribute significantly to the land cover. Soil NOx emissions are also appreciable in the 27 28 needleleaf evergreen forests of the northwest and southern US. These forests map to biomes with high NO<sub>x</sub> emission factors (about four times greater than for deciduous biomes), 29 resulting in baseline emissions approaching several  $\mu$ mol m<sup>-2</sup> hr<sup>-1</sup>. The relative impact of tree 30 mortality on soil NO<sub>x</sub> emissions exceeds 10% in some of these areas (the largest relative 31 32 difference occurs in western Montana [46°N, 115.3°W] where soil NO<sub>x</sub> emissions increase by 1 15%). In projecting changes to soil  $NO_x$  emissions, we allow the canopy reduction factor to 2 respond to changes in LAI, but we assume that the tree mortality does not impact the basal 3 soil  $NO_x$  emission factors (nor soil temperature or moisture). The increase in net emission 4 therefore arises from a decrease in canopy reduction factor only, representing the impact of 5 less  $NO_2$  uptake by the canopy before export into the lower mixed layer. A better 6 understanding of the canopy reduction factor, and accounting for canopy chemistry, would 7 facilitate a more thorough assessment of these projected increases in soil  $NO_x$  emissions.

8 Figure 5 shows the dry deposition velocity of  $O_3$  in the baseline scenario, and the simulated 9 change resulting from tree mortality. In the northeast, where deciduous forests dominate and vegetation is dense,  $O_3$  deposition velocities are highest (0.6-0.7 cm s<sup>-1</sup>) whereas the 10 deposition velocity over needleleaf forests is lower (0.3-0.4 cm s<sup>-1</sup>). Lowest deposition 11 velocities occur over the arid and sparsely vegetated regions of the country. Where projected 12 tree mortality is high,  $O_3$  deposition velocity decreases by up to 0.08 cm s<sup>-1</sup> due to reduced 13 stomatal uptake and change in roughness height. The highest absolute impact occurs in the 14 15 eastern US, along the border of Virginia and West Virginia [38.0°N, 80.0°W]. On a relative basis the impact is largest in the northwest (deposition velocity in northern Idaho [47.5°N, 16 116.0°W] decreases by 16%, or 0.06 cm s<sup>-1</sup>). Spatially, the impact on the deposition velocity 17 for other constituents is similar. For example the deposition velocity of HNO<sub>3</sub> (which is 18 19 largely limited by aerodynamic resistance instead of surface resistance as in the case for  $O_3$ ) also decreases in the same regions due to the change in roughness heights in the tree mortality 20 21 scenario. In this case, decreases in HNO<sub>3</sub> deposition velocity exceeding 20% are predicted in 22 the northwest and eastern US.

23

# 24 **4.2** Impacts on surface ozone concentrations

Figure 6a shows the June-July-August mean surface  $O_3$  concentrations simulated in the base scenario (Simulation 1). The high concentrations in the western US are consistent with previous work and are a consequence of the elevation and the dry climate resulting in a deep boundary layer and slow deposition velocities (Fiore et al, 2002; Wu et al., 2008). High concentrations are also simulated in the eastern US. Figure 6b shows the change in simulated  $O_3$  concentrations as a result of changes in BVOC and soil NO<sub>x</sub> emissions in a tree mortality scenario where deposition is calculated using baseline land cover (Simulation 3 – Simulation <u>1</u>). Changes in soil NO<sub>x</sub> emissions alone increase O<sub>3</sub> slightly (Simulation 3 – Simulation 2),
 but this response is an order of magnitude smaller (or less) than the response to decreased
 BVOC emissions. The result is a net decrease in O<sub>3</sub> on the order of 0.2-0.4 ppb across a large
 area of the eastern US and in parts of the northwest and California. The largest change occurs
 in eastern Texas [32.5°N, 94.7°W] where mean O<sub>3</sub> decreases by 0.44 ppb. Concentrations
 increase slightly over the Ozarks of Arkansas and Missouri [~36°N, 92°W] and the
 Appalachian region in West Virginia [~38°N, 81°W].

8 Figure 6c shows the simulated change in surface O<sub>3</sub> due to tree mortality including the impact 9 of changes to dry deposition (Simulation 4 – Simulation 1). The increase in concentrations 10 due to slower deposition velocities counteracts the decrease in O<sub>3</sub> concentrations that result from changes in BVOC emissions alone. In some regions these influences are predicted to be 11 roughly equal so that the net change in  $O_3$  is close to zero. However, in many parts of the 12 13 country including the northeast (e.g. Vermont, New Hampshire, and Maine), and the northwest (northern Idaho and western Montana), the predicted change in deposition is large 14 compared to the change from emissions alone, resulting in net increases to O<sub>3</sub> approaching 1 15 16 ppb or greater. Over the central Appalachian region (most notably West Virginia) and Ozarks 17 the predicted change including dry deposition is also very large compared to the small increase from emissions alone. The highest increase in O<sub>3</sub> occurs at the tristate intersection of 18 19 Kentucky, West Virginia and Virginia [37.5°N, 82.0°W], where mean O<sub>3</sub> is 1.4 ppb higher than in the base simulation. The substantial effect of slower dry deposition underscores the 20 21 importance of understanding canopy deposition and the potential impact of canopy processes 22 on chemical losses in predictions of land cover change impacts. Given the influence of 23 changes in dry deposition in our simulations, exploring the uncertainties in dry deposition 24 calculations should be a priority for model development.

25 Since regions where the impact on tree cover is largest are heavily forested and removed from pollution sources, they tend to have <u>relatively</u> low NO<sub>x</sub> concentrations. In such situations, O<sub>3</sub> 26 production is expected to be NO<sub>x</sub>-limited so that decreases in VOC emissions weakly impact 27  $O_3$  formation. This is the case over the central Appalachian and Ozarks regions, where  $NO_x$ 28 concentrations are below 1 ppb and BVOC emissions decreased by 10-20%, but where O<sub>3</sub> is 29 minimally impacted in the scenario with altered emissions only (Fig. 6b). In these forest 30 31 environments, the change to dry deposition velocity will be the dominant mechanism 32 impacting O<sub>3</sub> concentrations, and indeed we find that O<sub>3</sub> increases when all mechanisms are considered (Fig. 6c). On the other hand, in high-NO<sub>x</sub> (or polluted) regions, O<sub>3</sub> production can
be expected to be more sensitive to changes in VOC emissions, and since these areas tend to
be more developed, deposition plays a smaller role. As a result, in the scenario considering
only changes in emissions we find that the predicted impact to O<sub>3</sub> concentrations is relatively
large in the heavily populated regions along coast of the mid-Atlantic (Fig. 6b, ~40°N, 74°W).

In general, we find that the ratio of NO<sub>x</sub> to VOC concentrations (ppb NO<sub>x</sub> / ppb C) in a grid 6 7 box can explain some of the O<sub>3</sub> response to changes in tree cover across the US, despite 8 varying degrees of predicted land cover change. Figure 7 shows histograms of the change in 9 surface O<sub>3</sub> concentrations for two populations of grid boxes that had changes in isoprene emissions of at least 0.1  $\mu$ mol m<sup>-2</sup> hr<sup>-1</sup> (N = 1115 grid boxes from a total of 2693 grid boxes in 10 the continental US). These two distributions (N=111 in both) are grid boxes with the lowest 11 12 10% NO<sub>x</sub>:VOC concentrations in the base scenario, and grid boxes with the highest 10% 13 NO<sub>x</sub>:VOC concentrations in the base scenario. These distributions are statistically different 14 (p<0.001, Wilcoxon rank-sum test), and represent the general pattern of impact on "clean" and "polluted" regions respectively. The top panel displays results based on the scenario 15 16 where only biogenic emissions change (Simulation 3 – Simulation 1). Grid boxes with the 17 highest NO<sub>x</sub> to VOC ratios tend towards stronger changes in O<sub>3</sub> concentrations than the grid 18 boxes with lowest NO<sub>x</sub> to VOC ratios. This suggests more generally that in addition to the 19 extent of land cover change, the impacts of tree mortality on  $O_3$  can depend on whether the 20 conditions are NO<sub>x</sub>-limited (low NO<sub>x</sub>:VOC) or VOC-limited (high NO<sub>x</sub>:VOC). This NO<sub>x</sub>-21 dependence of the regional chemistry impacts resulting from land system changes has also been identified by Wiedinmyer et al. (2006) and Hardacre et al. (2013) for example. The 22 23 bottom panel displays the results based on the scenario where changes to biogenic emissions and dry deposition are accounted for (Simulation 4 – Simulation 1). Here we find that the 24 25 change in  $O_3$  is more frequently positive (increasing  $O_3$  compared to the base scenario) in the low-NO<sub>x</sub> to VOC grid boxes, since the deposition response tends to be large compared to the 26 27 impact of emissions. In contrast, while slower deposition counteracts some of the decrease in 28 O<sub>3</sub> concentrations in the more polluted grid boxes, the net impact remains largely negative 29 (decreasing  $O_3$  compared to the base scenario).

30 The changes in monthly mean ozone mask even larger impacts on shorter timescales (hours) 31 that may be of importance to air quality standards. The magnitude of the impact on surface  $O_3$ 32 in the scenario that considered changes to both emissions and deposition is highest during the
day and less significant at night due to the diurnal pattern of chemical O<sub>3</sub> production and 1 2 biogenic emissions, and to the strong dependence of modeled deposition velocities on time of day. As a result, the number of days with  $O_3$  above a specific threshold changes in many 3 locations depending on the land cover scenario. We consider for example daily maximum 8-4 5 hr averages. The EPA has recently revised the O3 air quality standard to be based on 8 h averages exceeding a threshold of 70 ppb instead of the previous 75 ppb 6 7 (http://www3.epa.gov/ttn/naaqs/standards/ozone/s o3 index.html), so we investigate the number of days during June–July–August in each grid box of the US where the 8 h average 8  $O_3$  exceeds 70 ppb. In the scenario considering only a change in emissions (Simulation 3 – 9 10 Simulation 1), the number of days exceeding an 8 h O<sub>3</sub> concentration of 70 ppb decreases in 11 16% of the grid boxes in the lowest NO<sub>x</sub>:VOC decile ("clean" regions of the US), and in 45% of the grid boxes in the highest NO<sub>x</sub>:VOC decile ("polluted" regions of the US). Across the 12 13 US, the number of exceedances decreases by 4 or more days in several regions such central South Carolina (34.0° N, 81.3° W), central Kentucky (37.5° N, -86.0° W), central Indiana 14 (38.5, -90.7), northern Ohio (41.5° N, 83.3° W), and southwest Michigan (42.0° N, 71.3° 20 15 W). In the scenario considering both the change in biogenic emissions and also the change to 16 deposition rates (Simulation 4 – Simulation 1), many grid boxes experience a net increase in 17 the number of days exceeding an 8 h O<sub>3</sub> concentration of 70 ppb. The increase impacts clean 18 19 regions disproportionately (30 % of lowest NO<sub>x</sub>:VOC grid boxes) compared to polluted regions (5 % of high NO<sub>x</sub>:VOC grid boxes). The largest increase is 4 days, which occurs 20 north of Richmond, VA (38.0° N, 77.3° W). In the same scenario, less than 1 % of the low 21 NO<sub>x</sub>:VOC grid boxes experience a decrease in the number of days exceeding an 8 h O<sub>3</sub> 22 23 concentration of 70 ppb, compared to 26 % of the high NO<sub>x</sub>:VOC grid boxes.

# 24 **4.3** Impacts on reactive nitrogen oxide compounds

25 Figure 8 shows the mixing ratios of reactive nitrogen oxides in the base scenario (Simulation 1), and the simulated changes resulting from tree mortality (Simulation 4 – Simulation 1) on a 26 27 relative scale (% change). We plot the results for the sum of all reactive nitrogen oxides (NO<sub>v</sub>, Fig. 8a), in addition to the individual contributions from NO<sub>x</sub> (Fig. 8c), HNO<sub>3</sub> (Fig. 8e) and 28 29 the sum of all alkyl-, peroxy-, and acylperoxy-nitrates (or "organic nitrates", Fig. 8g). We find that the relative impacts on NO<sub>v</sub> and its partitioning as a result of the tree mortality could be 30 31 locally significant, and are a complex result of all three mechanisms (changes in BVOC emissions, changes in soil NO<sub>x</sub>, and changes to the deposition velocities), depending on the 32

1 chemical species. Total NO<sub>v</sub> increases by up to 8% in the northwest (the largest relative 2 increase of 120 ppt is along the Idaho-Montana border [47.5°N, 115.3°W]). The increases 3 here consist of roughly equal increases in  $NO_x$  (79 ppt) and  $HNO_3$  (66 ppt) mixing ratios with 4 a smaller decrease in organic nitrates (29 ppt). Over the rest of the country, changes in total  $NO_{x}$  are small, in part because the increases in  $NO_{x}$  and  $HNO_{3}$  are counteracted by decreases 5 in organic nitrate species. Significant changes in NO<sub>x</sub> abundance and NO<sub>y</sub> partitioning could 6 7 alter the transport and removal of  $O_3$  precursors, and alter the peroxy radical chemistry 8 involved in  $O_3$  production.

9 We find that the increases in  $NO_x$  are largely a result of elevated soil  $NO_x$  emissions (Simulation 3 -Simulation 1). On the other hand, the increases in HNO<sub>3</sub>, which are up to 10 18% on a relative scale, are due to both slower deposition and increasing soil NO<sub>x</sub> emissions 11 (Simulation 4 – Simulation 1). Small increases in  $HNO_3$  (locally up to 3-4%) are also 12 observed in the BVOC emissions only scenario (Simulation 2 - Simulation 1). Broad 13 14 decreases in the organic nitrate concentrations (approaching 10%) are found across large parts 15 of the country. This result is nearly entirely due to the reduction in BVOC emissions alone, 16 with only a small counteracting effect of lower deposition velocities. For example, where the 17 relative impact was largest (a 10% decrease near Missoula MT [47°N, 114.7°W]), the 18 decrease from the BVOC emissions alone is 36 ppt, while the decrease after accounting for 19 dry deposition and soil NO<sub>x</sub> emissions is 29 ppt.

20

### 4.4 Impacts on organic aerosol

21 Figure 9a shows the predicted biogenic SOA (BSOA) surface mass concentrations in the base simulation (Simulation 1). The dominant contributors to BSOA over the United States in 22 23 these simulations are terpenes, consistent with the results of Pye et al. (2010). This results from nitrate radical oxidation included in the SOA mechanism, since terpenes are emitted at 24 25 night (in addition to during the day) and model aerosol yields from nitrate oxidation are relative high. The baseline simulation predicts BSOA greater than 3  $\mu$ g m<sup>-3</sup> throughout most 26 of the southeast US, approaching 10 µg m<sup>-3</sup> near the Mississippi-Alabama and Missouri-27 Arkansas borders. Biogenic SOA contributes 80% or more of the modeled total OA mass 28 29 concentration in this region and season. In parts of the northeast and on the west coast, BSOA can also exceed 3  $\mu$ g m<sup>-3</sup> and the model predicts the biogenic contribution to total organic 30 aerosol to exceed 50% there. In the northwest, BSOA approaches  $1-2 \ \mu g \ m^{-3}$ . 31

1 Figure 9b shows the change in BSOA predicted due to tree mortality (Simulation 4 -2 Simulation 1). In contrast to  $O_3$  and  $NO_y$  species (where the relative importance of deposition and chemical production could vary), the simulation predicts consistent decreases in BSOA 3 from the tree mortality scenario as a result of decreasing BVOC emissions. The change in 4 5 atmospheric lifetime as a result of slower dry deposition is negligible (Simulation 4 – Simulation 3). Across the eastern US, BSOA decreases by 5-10%. The relative impacts are 6 7 highest where terpene emissions are significant and projected tree mortality is high due to the 8 dominance of terpenes as precursors to BSOA in these simulations. The impact on BSOA due 9 to tree mortality generally exceeds 10% where the contributions of terpene emissions 10 represent 50% or more of total BVOC emissions (in mass carbon). The spatial pattern in 11 ΔBSOA corresponds most to the relative contribution of the lumped MTPA category of 12 terpenes ( $\alpha$ -pinene +  $\beta$ -pinene + sabinene + carene). In some parts of the southeast the change exceeds 25% (1-2  $\mu$ g m<sup>-3</sup> in terms of absolute mass). The largest absolute impact occurs in 13 southern Arkansas [33.5°N, 92.7°W], where **B**SOA decreases by 2.0  $\mu$ g m<sup>-3</sup> (or 20%). The 14 relative impact is also high in the northwest, where BSOA decreases by 0.5 to 1  $\mu$ g m<sup>-3</sup> (the 15 highest relative difference of 39% occurs in northern Idaho [46.0°N 115.3°W]). 16

17 Given the dominance of **B**SOA in much of the US, these changes appreciably impact total OA 18 (and consequently total aerosol mass). Relative impacts to the sum of all organic aerosol are 19 on the order of 20% or greater in many parts of the south, northwest, and northern California. 20 These simulations suggest that tree mortality and the concomitant change in biogenic emissions has the potential to impact background aerosol levels by up to 2  $\mu$ g m<sup>-3</sup> in some 21 regions. This may be of particular relevance to the EPA Regional Haze Program, aimed at 22 improving visibility in national parks and wilderness 23 areas 24 (http://www3.epa.gov/visibility/program.html).

25

# 26 **5 Discussion**

In this study we develop and apply a land use module for GEOS-Chem to demonstrate that projected tree mortality in the coming decades could impact air quality across the US. We find that the changes in BVOC emissions, soil NO<sub>x</sub> emissions, and dry deposition can impact O<sub>3</sub> mixing ratios, reactive nitrogen oxide speciation and abundance, and biogenic secondary organic aerosol formation. The magnitude of change in mean O<sub>3</sub> (-0.4 ppb to +1.4 ppb

depending on the simulation) and SOA (up to  $-2.0 \ \mu g \ m^{-3}$ ) in some grid boxes is similar to 1 2

regional changes predicted by examples of biofuel cropping or natural succession scenarios

3 (Ashworth et al. 2012; Porter et al. 2012; Drewniak et al. 2014), and comparable with the tree

4 mortality effect from past bark beetle infestations simulated in western North America by 5 Berg et al. (2013).

6 In the case of  $O_3$ , we find that lower deposition velocities resulting from the change in tree cover could reverse the impact of decreased chemical production. This produces regional 7 8 variability in the sign of the  $O_3$  response depending on which effect dominates locally. 9 Generally, our simulations predict that high levels of  $O_3$  could be exacerbated in the low- $NO_x$ , densely forested areas where mortality is projected to be high. This increase in O<sub>3</sub> could have 10 11 further feedbacks given the documented negative effect of O<sub>3</sub> on forest health (Ashmore, 12 2005; Taylor et al., 1994). Using the number of days when 8-hr O<sub>3</sub> exceeds <u>70</u> ppb, we find that tree mortality generally reduces the number of exceedances for high-NO<sub>x</sub> environments. 13

14 Our simulations also predict large impacts on organic aerosol. While the exact yields and SOA composition are uncertain (Hallquist et al., 2009) and depend on the SOA model used, 15 16 the post-disturbance impact is a robust and direct response to a reduction in biogenic emissions (and is not sensitive to changes in deposition). Similar to the reduction in O<sub>3</sub> that 17 favors polluted regions, the projected tree mortality could decrease background aerosol levels 18 by up to 1-2 µg m<sup>-3</sup> locally, inadvertently making progress in other air quality objectives (e.g. 19 long-term visibility at National Parks and Wilderness areas where mortality is in some cases 20 21 projected to be high).

22 These results do not account for changes in anthropogenic emissions that may occur over the 23 same period of time as the changes to vegetation. We therefore performed a subsequent test where the same land cover change was applied, using anthropogenic emissions from 2005 24 25 (instead scaling the emissions to 2010 as was performed for Simulations 1 to 4). Between 2005 and 2010, modeled anthropogenic emissions of NO<sub>x</sub> and SO<sub>2</sub> over the continental US 26 27 decreased by 30% and 44% respectively. Despite this large perturbation in anthropogenic 28 emissions, the predicted impacts due to the land cover change were fundamentally the same. 29 The range of impact on simulated mean  $O_3$  over the US due to both emissions and dry deposition combined (Simulation 4 – Simulation 1) went from  $\Delta O_3 = [-0.24, +1.45]$  ppb for 30 the 2010 emissions, to  $\Delta O_3 = [-0.34, +1.35]$  ppb for the 2005 emissions. Likewise, the 31 maximum impact on SOA changed very little, from  $\Delta BSOA = -2.05 \ \mu g \ m^{-3}$  in the 2010 32

1 simulation, to  $\Delta BSOA = -1.94 \ \mu g \ m^{-3}$  in the 2005 simulation. Nevertheless, simultaneous 2 changes in both anthropogenic and biogenic emissions increase the uncertainty in the exact 3 magnitude of projected changes in secondary pollutants.

Many opportunities exist for development and incorporating further complexity. For example, 4 5 these simulations have not accounted for the temporal dynamics of forests undergoing disturbances from insect attack and disease. In the case of insect infestation, VOC emissions 6 7 can be enhanced during the attack (Amin et al., 2012), and Berg et al. (2013) found that the 8 spatiotemporal patterns in tree mortality can greatly affect the relative impacts of the attack 9 effect vs. the mortality effect on BVOC emissions. Numerous compounds have been observed to be emitted by trees when under stress (Faiola et al., 2015; Joutsensaari et al., 2015) that 10 11 GEOS-Chem does not yet represent. Not only have we compared simple "pre-" and "post-" 12 disturbance scenarios ignoring attack effects, but we have not considered forest succession. Extensive mortality caused by insects and disease may be compared to forest fires (Hicke et 13 al., 2012), with growth of surviving trees and understory potentially accelerating (Brown et 14 15 al., 2010). In such cases, BVOC emissions may not necessarily decrease universally, but the composition of those emissions could change over time. Forest recovery after an outbreak 16 17 may be possible within decades, as has been predicted in the case of bark beetle outbreak in the western US using a forest vegetation simulator (Pfeifer et al., 2011). Successional 18 19 dynamics could for example be simulated by an individual-based model (e.g. Shuman et al. 20 (2014)), and used as inputs at various time points in the chemical transport model. We have 21 also assumed that basal BVOC emission factors for the surviving vegetation are the same as 22 pre-disturbance, but experiments have shown for example that monoterpene basal emission 23 can increase significantly after forest thinning (Schade and Goldstein, 2003), which may or 24 may not be a temporary effect.

25 Improvements in the parameterization of  $O_3$  deposition should also be explored. While we find changes in dry deposition velocity to be an important (and in the majority of cases 26 27 overriding) factor in our simulation of  $O_3$  change, other hypothetical simulations where 28 European crop- and grass-lands were converted to poplar plantations for biomass production 29 found that changes from altered dry deposition velocity were an order of magnitude lower 30 than the change in biogenic emissions (Beltman et al., 2013). Dry deposition rates can depend 31 strongly on the choice of model (Hardacre et al., 2015; Park et al., 2014; Wu et al., 2011), making predictions that depend on this uncertain. Improvements can be expected by more 32

accurate representations of land cover (and subsequent changes) (Hardacre et al., 2015), or by
including a more process-based model of deposition that depends on soil moisture and vapour
deficit (Büker et al., 2012; Pleim et al., 2001). There is also evidence that a significant
fraction of the O<sub>3</sub> uptake observed over forest canopies is actually an unaccounted-for
chemical sink (Kurpius and Goldstein, 2003; Rannik et al., 2012; Schade and Goldstein,
2003; Wolfe et al., 2011), but changes in this above-canopy chemistry <u>are not captured in our</u>
current set of simulations.

8 Likewise, canopy chemistry and stand development post-disturbance will affect the predicted 9 impacts on soil NO<sub>x</sub> emissions. The impacts of canopy uptake and canopy chemistry resulting 10 from changes in vegetation density and composition could be explored in more detail with future work using a 1-D forest canopy-chemistry model (e.g. Wolfe 2011; Ashworth et al. 11 2015) for the regions where we project large impacts. We have assumed that the basal 12 emissions from the soil after the disturbance will be the same as those prior to the disturbance, 13 14 but large scale tree mortality and forest succession have the potential alter soil 15 biogeochemistry (Gao et al., 2015; Norton et al. 2015; Trahan et al., 2015).

16 We anticipate the impacts of tree mortality that are simulated here to be conservative. Future 17 climate change is not included in the NIDR assessment, but is expected to increase the risk of 18 mortality from several pests (Krist et al., 2014). Likewise, insect attack could make certain 19 tree species more sensitive to climate stresses, resulting in mortality despite what might have 20 been otherwise non-lethal insect attack (Anderegg et al., 2015). Predictions over the time scale of years and decades will depend on how the insect/disease disturbances interact with 21 22 other abiotic environmental disturbances (e.g. drought, extreme heat), but these interactions 23 are rarely fully coupled (Anderegg et al., 2015). Furthermore, tree mortality from many other 24 factors outside of pests and pathogens are not considered (e.g. competition from invasive 25 exotic plants, drought, or other disturbances). As a result, the actual tree loss in the coming decades, and the concomitant impacts on atmospheric chemistry, may be higher than 26 27 simulated here. We have also ignored any potential feedback between tree mortality and fire 28 incidence or severity, which is not well understood (Bond et al. 2009). Increases in wildfire 29 (and associated emissions) due to climate change have been predicted to have important 30 consequences for ozone air quality (Yue et al. 2015). Finally, our simulations only explored 31 tree mortality across the United States. No similar large-scale projection of mortality risk 32 exists for Canada, despite insect outbreak already being the dominant cause of tree mortality in boreal forests of eastern Canada (Zhang et al. 2014), and severe (although decreasing)
mountain pine beetle infestations in western Canada (Buston and Maclachlan, 2014).
Increasing insect outbreaks are also a potential threat to forests elsewhere in the world
(Lindner et al. 2010). We note that our simulations neglect any potential human intervention
in response to these risks.

6

# 7 6 Conclusion

8 Land use and land cover change is expected to be a major driver of global change that remains 9 difficult to constrain. The change in vegetation that we have explored in these simulations 10 represents one of a myriad of changes that are occurring (and are projected to occur) to the 11 Earth's land surface. We anticipate that these GEOS-Chem model developments will enable investigation of a wide range of land cover and land use change impacts (e.g. vegetation 12 13 succession, deforestation or afforestation, and crop conversions). Properly representing 14 changes in land cover by including accurate and timely updates to chemical transport models 15 will be an important part of simulating global change. By linking all terrestrial biosphere exchange to plant functional type, our GEOS-Chem developments bring the model a step 16 17 closer to eventual coupling with dynamic vegetation and/or Earth system models.

Our results add to the literature demonstrating that changes to vegetation can have significant impacts on local chemistry <u>due to changes in biosphere-atmosphere fluxes of reactive trace</u> species, with consequences for controlling regional air quality. Given the general tightening of air quality standards to improve the health of global populations, understanding how changes in land cover will aid or abet these achievements <u>could</u> become increasingly important.

24

# 25 Appendix A: Land Cover Classification System

Table A1 lists the land and plant functional types in the CLM4 land cover description which we use as a base land cover input for our simulations. The table also shows how we have mapped these land cover types to the original Wesely deposition surfaces and to roughness heights for the dry deposition parameterization. 1 Figure A1 schematically lays out how we have defined biomes in accordance with the 2 nomenclature used for soil  $NO_x$  emissions based on the CLM4 land and plant functional type 3 coverage.

4

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- 7

# 1 <u>Table 1:</u>

Simulation	Description
<u>1</u>	Base land cover simulation (no tree mortality)
<u>2</u>	Tree mortality-driven BVOC emissions (soil $NO_x$ and dry deposition using base land cover)
<u>3</u>	Tree mortality-driven BVOC and soil NOx emissions (dry deposition using base land cover)
<u>4</u>	Tree mortality-driven emissions and dry deposition.

Table A1: Mapping of CLM-input land types used in the modified version of GEOS-Chem to
 the Wesely deposition surfaces for deposition, and the associated roughness (Zo) heights for
 each.

-

4

Land Type	Wesely Surface	Zo (m)	
Lake/Ocean	Water		<u>0.001</u>
Bare Ground	Desert		<u>0.001</u>
NET Temp	<b>Coniferous Forest</b>		<u>1</u>
NET Boreal	<b>Coniferous Forest</b>		<u>1</u>
NDT Boreal	<b>Coniferous Forest</b>		<u>1</u>
BET Trop	Amazon Rainforest		<u>1</u>
BET Temp	Deciduous Forest		<u>1</u>
BDT Trop	Deciduous Forest		<u>1</u>
BDT Temp	Deciduous Forest		<u>1</u>
BDT Boreal	Deciduous Forest		<u>1</u>
BES Temp	Shrub/Grassland		<u>0.01</u>
BDS Temp	Shrub/Grassland		<u>0.01</u>
BDS Boreal	Shrub/Grassland		<u>0.01</u>
C3 Arctic GR	Tundra		<u>0.002</u>
C3 Other GR	Shrub/Grassland		<u>0.01</u>
C4 GR	Shrub/Grassland		<u>0.01</u>
Crop	Agricultural		<u>0.1</u>
Glacier	Snow/Ice		<u>0.0001</u>
Urban	Urban		<u>2.5</u>
Wetland	Wetland		<u>0.05</u>





Figure 1. Simulated global surface O<sub>3</sub> concentrations for August 2010 in the (top) default, and
(middle) modified GEOS-Chem configuration. (Bottom) Difference between the modified
and default simulations.



Figure 2. Fraction of grid box covered by trees in present day (left), and the loss in tree cover
due to predicted mortality from 2013-2027 based on the National Insect and Disease Risk
Map (right). (A,B) Total tree cover; (C,D) Needleleaf tree cover only; (E,F) Broadleaf tree
cover only.



Figure 3. Mean JJA (June-July-August) biogenic VOC emissions in the base scenario (left),
and the change in emissions resulting from predicted tree mortality (right). (A,B) Isoprene
emissions; (C,D): Total monoterpene emissions; (E,F); Total sesquiterpene emissions.



Figure 4. Mean JJA soil NOx emissions in the base scenario for (left), and the change in
emissions resulting from predicted tree mortality (right).



2 Figure 5. Mean JJA  $O_3$  deposition velocity in the base scenario (left), and the change in

3 deposition velocity resulting from predicted tree mortality (right).



Figure 6. Top: <u>mean\_JJA</u> surface  $O_3$  concentrations in the base <u>simulation (Simulation 1)</u>. <u>Middle:</u> the change in  $O_3$  concentrations resulting from mortality-driven changes in emissions only <u>(Simulation 3 – Simulation 1)</u>. Bottom: the change in  $O_3$  concentrations resulting from mortality driven changes in emissions and deposition velocity together <u>(Simulation 4 – Simulation 1)</u>.





Figure 7. Probability distributions of the change in JJA mean surface O<sub>3</sub> concentrations as a
result of tree mortality for grid boxes with low (<10th percentile) baseline NO<sub>x</sub>:VOC
emission ratios and high (>10th percentile) baseline NO<sub>x</sub>:VOC emission ratios. Top: results
from mortality-driven changes in emissions only (Simulation 3 – Simulation 1). Bottom:
results from mortality-driven changes in emissions and deposition combined (Simulation 4 –
Simulation 1).





Figure 8. <u>Left:</u> mean JJA mixing ratios of reactive nitrogen oxides in the base scenario (<u>Simulation 1</u>). <u>Right:</u> the relative changes as a result of predicted tree mortality (<u>Simulation 4</u> – <u>Simulation 1</u>). (A, B) Total NO<sub>y</sub>; (C,D) NO<sub>x</sub>; (E,F) HNO<sub>3</sub>; and (G,H) the sum of all alkyl-, peroxy-, and acylperoxy-nitrates.





Figure 9. Left: mean JJA biogenic-SOA surface mass concentrations in the base scenario

(Simulation 1). Right: the change in biogenic-SOA mass as a result of predicted tree mortality

(<u>Simulation 4 – Simulation 1</u>).

#### 1. LAND COVER DESIGNATION





2

- 3 Figure A1: Mapping of native CLM land input classes to soil-NOx biomes (according to
- 4 Steinkamp and Lawrence, 2011) for land cover harmonization in GEOS-Chem.

# 1 Land cover change impacts on atmospheric chemistry:

# 2 simulating projected large-scale tree mortality in the United

- 3 **States**
- 4

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- 12

# 13 Abstract

14 Land use and land cover changes impact climate and air quality by altering the exchange of trace gases between the Earth's surface and atmosphere. Large-scale tree mortality that is 15 16 projected to occur across the United States as a result of insect and disease may therefore have 17 unexplored consequences for tropospheric chemistry. We develop a land use module for the 18 GEOS-Chem global chemical transport model to facilitate simulations involving changes to 19 the land surface, and to improve consistency across land-atmosphere exchange processes. The 20 model is used to test the impact of projected national-scale tree mortality risk through 2027 21 estimated by the 2012 USDA Forest Service National Insect and Disease Risk Assessment. 22 Changes in biogenic emissions alone decrease monthly mean O<sub>3</sub> by up to 0.4 ppb, but 23 reductions in deposition velocity compensate or exceed the effects of emissions yielding a net 24 increase in O<sub>3</sub> of more than 1 ppb in some areas. The O<sub>3</sub> response to the projected change in 25 emissions is affected by the ratio of baseline NO<sub>x</sub>:VOC concentrations, suggesting that in 26 addition to the degree of land cover change, tree mortality impacts depend on whether a 27 region is NO<sub>x</sub>-limited or NO<sub>x</sub>-saturated. Consequently, air quality (as diagnosed by the 28 number of days that 8-hr average  $O_3$  exceeds 70 ppb) improves in polluted environments 29 where changes in emissions are more important than changes to dry deposition, but worsens

1 in clean environments where changes to dry deposition are the more important term. The 2 influence of changes in dry deposition demonstrated here underscores the need to evaluate 3 treatments of this physical process in models. Biogenic secondary organic aerosol loadings are significantly affected across the US, decreasing by 5-10% across many regions, and by 4 more than 25% locally. Tree mortality could therefore impact background aerosol loadings by 5 between 0.5 to 2 µg m<sup>-3</sup>. Changes to reactive nitrogen oxide abundance and partitioning are 6 7 also locally important. The regional effects simulated here are similar in magnitude to other 8 scenarios that consider future biofuel cropping or natural succession, further demonstrating 9 that biosphere-atmosphere exchange should be considered when predicting future air quality and climate. We point to important uncertainties and further development that should be 10 addressed for a more robust understanding of land cover change feedbacks. 11

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## 13 **1** Introduction

Land use and land cover changes impact climate by altering energy exchange at the surface of 14 15 the Earth, and by altering the composition of the atmosphere through changes in biogeochemical cycling (Feddema et al., 2005; Pielke et al., 2011). Though recognized as a 16 crucial factor in future climate scenarios (van Vuuren et al., 2011), projections of land use and 17 18 land cover change remain highly uncertain (Smith et al., 2010). The terrestrial biosphere also 19 plays an important role in surface-atmosphere exchange of reactive trace species that control the oxidative chemistry of the troposphere (Arneth et al. 2010; Laothawornkitkul et al. 2009; 20 21 Mellouki et al. 2015; Monson and Holland, 2001), so that changes in vegetation can further 22 impact air quality and climate (Heald and Spracklen, 2015; Unger, 2014). These changes can be human-driven (e.g. urbanization, forestry management, and agricultural practices) or 23 natural (e.g. wildfires, insect infestations, and biome shifts). Over the 21<sup>st</sup> century, variations 24 in biogenic volatile organic compound (BVOC) emissions due to climate change and crop 25 26 management will likely impact surface ozone  $(O_3)$  and secondary organic aerosol (SOA) 27 concentrations (Ashworth et al., 2012; Chen et al., 2009; Ganzeveld et al., 2010; Hardacre et al., 2013; Heald et al., 2008; Wu et al., 2012). Here we consider the air quality and 28 29 atmospheric chemistry implications of another form of land cover change on relatively shorter 30 timescales: large-scale insect- and disease-driven tree mortality.

31 Modifications to vegetation distribution, plant type, canopy characteristics, and soil properties 32 alter the regional emission and deposition of reactive trace gases from the terrestrial

biosphere. For example, large-scale deforestation of the Amazonian rainforest, the expansion 1 2 of oil palm plantations in Asia, and cultivation of biofuel feedstocks can significantly alter BVOC emissions, with various implications for secondary pollutants (Ashworth et al., 2012; 3 Beltman et al., 2013; Ganzeveld, 2004; MacKenzie et al., 2011). In the eastern US, harvest 4 practices and forest management have likely resulted in a net increase in **B**VOC emissions 5 6 since the 1980s, counteracting successful anthropogenic emission reductions (Purves et al., 7 2004). Ecological succession, either from anthropogenic land management or natural 8 processes, could also impact regional chemistry (Drewniak et al., 2014). Some changes in 9 land cover have compensating impacts. For example, higher vegetation density could lead to increased  $O_3$  precursor emissions but also faster depositional losses (Ganzeveld et al. 2010; 10 11 Wu et al., 2012). Consequently, we require models that account for the combination of these 12 mechanisms in a consistent manner to understand the relevant net impacts on air quality and 13 climate.

14 Almost a third of the Earth's land surface is covered by forests, providing a variety of 15 economic, recreational, and ecosystem services including regulating climate through complex biogeophysical and hydrological feedbacks and by taking up CO<sub>2</sub> from the atmosphere 16 17 (Bonan, 2008; MEA, 2005). A prominent risk to forests in the near future (< decades) is tree mortality resulting from insect attack and disease (Krist et al., 2014). Biotic disturbances 18 19 resulting in tree mortality occur naturally at low and predictable rates (Smith et al., 2001), but 20 in the coming decades many forests across the US are predicted to experience tree mortality 21 well above background. Between 2013 and 2027, over 80 million acres of treed land in the 22 United States are projected to experience basal area mortality rates exceeding 25%, with some 23 tree species at risk of losing more than 50% of their volume (Krist et al., 2014). The dominant contributing hazards are expected to be root diseases, bark beetles, and oak decline, with 24 25 highest risks occurring in Idaho, Montana, and Oregon in the western US and in Rhode 26 Island, Connecticut, and Massachusetts in the eastern US (Krist et al., 2014). The wood 27 volume lost from insects and pathogens can cost the US several times more than losses by 28 wildfire (Dale et al., 2001), and can have a major impact on carbon cycling (Hicke et al., 29 2012), but the atmospheric chemistry impacts have not been fully explored. Berg et al. (2013) 30 simulated the impact of past bark beetle infestations in the western US using a decade of tree mortality data. They found large changes to monoterpene emissions, and subsequently SOA 31 32 concentrations, that could potentially affect background aerosol concentrations and visibility 33 in pristine regions.

Given the important role of natural emissions in the chemistry of the atmosphere (Zare et al., 1 2 2014), large-scale future tree mortality may influence ozone production and organic aerosol concentrations. Nonattainment of  $O_3$  air quality standards in the US is more sensitive to 3 BVOC emissions than anthropogenic VOC emissions (Hakami et al., 2006), and secondary 4 5 organic aerosol mass can be dominated by biogenic sources (Pye et al., 2010). The main anticipated effect of tree mortality is a reduction of the BVOC emissions from the species that 6 7 die, but a change in local vegetation density would also be expected to impact dry deposition, 8 since this is directly related to the surface area available for deposition. Vegetation changes 9 can also affect the local microclimate, further impacting depositional processes. Changes in 10 dry deposition may be significant for species (such as  $O_3$ ) whose depositional losses are 11 competitive with chemical sinks near the surface of the earth. Finally, since soil NO<sub>x</sub> 12 emissions to the atmosphere depend not only on available nitrogen and soil conditions but 13 also on the extent of uptake to vegetation canopies, changes to forests driven by tree mortality 14 could impact these emissions as well.

15 Here we use the GEOS-Chem chemical transport model to investigate the impact of projected tree mortality on atmospheric composition. We harmonize the description of land cover 16 17 across the relevant surface-atmosphere exchange processes, and use this adapted model to simulate the impacts of predicted tree losses as a result of insect and disease in the United 18 19 States from 2013-2027. We explore how changes in dry deposition might compensate for changes in chemical production by separating these impacts in individual simulations. We 20 highlight that even modest tree mortality could impact regional atmospheric chemistry across 21 the US, and identify specific regions for further investigation. We also discuss directions for 22 23 future development to better understand the influence of vegetation changes on atmospheric reactivity and composition. 24

25

# 26 2 Model description

## 27 2.1 General description of GEOS-Chem

We use the GEOS-Chem model (<u>Bey et al. 2001;</u> www.geos-chem.org) v9-02 to simulate the impact of changes in vegetation on atmospheric chemistry. GEOS-Chem is a global 3-D atmospheric chemical transport model driven by assimilated meteorology from the NASA Global Modeling and Assimilation Office. Our simulations are driven by GEOS-5
meteorological data for the year 2010 and performed over North America at the nested
horizontal resolution of 0.5° x 0.667°, with dynamic boundary conditions supplied from a
global simulation at 2° x 2.5°.

4 The model includes detailed  $HO_x$ - $NO_x$ -VOC- $O_3$  chemical scheme originally presented by Bey 5 et al. 2001. The chemical mechanism includes over 90 species (including the following lumped categories: >C3 alkanes, >C2 alkenes, >C4 alkynitrates, >C1 aldehydes, >C1 6 7 alcohols, and >C1 organic acids), over 200 chemical reactions, and over 50 photolysis 8 reactions, incorporating the latest JPL and IUPAC recommendations. Detailed isoprene 9 oxidation chemistry is included, following Paulot et al. (2009a, b) as implemented for GEOS-10 Chem by Mao et al. (2013). Explicit oxidation pathways are not yet included for terpenes. Given that isoprene dominates biogenic OH reactivity over the continental US, we assume 11 12 terpenes play a minor role outside of SOA formation (see below) in our land cover change 13 simulations. Gas-aerosol partitioning in the sulfate-nitrate-ammonium system is described 14 according to the thermodynamic ISORROPIA II equilibrium model (Fountoukis and Nenes, 15 2007).

Carbonaceous aerosol sources include primary emissions from fossil fuel, biofuel, and 16 17 biomass burning (Park et al., 2003) and reversible SOA formation following Pye et al. (2010). 18 Secondary organic aerosol are lumped into five species based on the parent hydrocarbons 19 (terpenes, isoprene, light aromatics and intermediate volatile organic compounds, semivolatile organic compounds (SVOCs), and oxidized SVOCs). Aerosol yields are parameterized using 20 a volatility basis set (Donahue et al., 2006) for aerosol systems with multiple parent 21 hydrocarbons or aerosol formation pathways, or an Odum 2-product approach (Odum et al., 22 23 1996) for systems with one parent hydrocarbon. Emitted biogenic parent hydrocarbons are 24 lumped in the following manner: (1)  $\alpha$ -pinene +  $\beta$ -pinene + sabinine + carene; (2) limonene; (3) t- $\beta$ -ocimene + myrcene + other monoterpenes; (4) farnesene + caryophyllene + other 25 26 sesquiterpenes; and (5) isoprene. SOA yields from ozonolysis (at high and low NO<sub>x</sub>) and 27 nitrate radical oxidation are represented in the model for groups (1) to (4), while yields from 28 photooxidation (initiated by OH) and nitrate radical oxidation are represented for isoprene. 29 Further gas-aerosol phase coupling occurs for example through N<sub>2</sub>O<sub>5</sub> uptake (Evans, 2005) 30 and  $HO_2$  uptake (Mao et al., 2013).

31 We use anthropogenic emission inventories according to the NEI-2005 inventory for the 32 United States (http://www.epa.gov/ttnchie1/trends/), CAC for Canada 1 (http://www.ec.gc.ca/pdb/cac/), and BRAVO (Kuhns et al., 2005) for Mexico, and scale these 2 to the year 2010 following van Donkelaar et al. (2008). The model also includes biomass 3 burning emissions (GFED3 (Mu et al., 2011)), lightning NO<sub>x</sub> (Murray et al., 2012), and 4 volcanic SO<sub>2</sub> emissions (Fisher et al., 2011). Soil NO<sub>x</sub> and <u>B</u>VOC emissions are described 5 below.

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## 7 2.2 Default land-atmosphere exchange in GEOS-Chem

8 Here we briefly describe the main mechanisms in the model by which vegetated land cover9 impacts atmospheric chemistry.

10 GEOS-Chem v9-02 includes the Berkeley-Dalhousie Soil NO<sub>x</sub> Parameterization (Hudman et 11 al., 2012). In this parameterization, the flux of  $NO_x$  from soils is a function of temperature, 12 soil moisture, and emission coefficients which depend on available nitrogen and biome type. 13 Biomes (and basal emission coefficients) are defined according to Steinkamp and Lawrence 14 (2011), with 24 different land cover types. Dry spell length is also included to account for pulsing. A canopy reduction factor is calculated according to leaf area index (LAI), wind 15 16 speed, and surface resistance, and is designed to simulate the uptake of  $NO_x$  by vegetation 17 following soil emission (Wang et al., 1998).

Biogenic VOC emissions from vegetation are calculated using the Model of Emissions of Gases and Aerosols from Nature (MEGAN v2.02: Guenther et al. (2006), with updates from Sakulyanontvittaya et al. (2008)). In GEOS-Chem v9-02, mapped basal BVOC emission factors are provided as an input to the model and are modulated <u>online</u> by activity factors that are a function of temperature, LAI, photosynthetically active radiation (PAR), and average leaf age.

24 Dry deposition is calculated by the resistance-in-series scheme of Wesely (1989), using a 25 "big-leaf" approximation where the deposition surface is treated as a single uniform surface (or leaf). Dry deposition velocities are calculated as a combination of aerodynamic resistance 26 27  $(R_a)$ , <u>laminar</u> layer resistance  $(R_b)$ , and surface resistance  $(R_c)$ .  $R_a$  is calculated separately for 28 unstable, moderately stable, and very stable atmospheric conditions, and is a function of 29 roughness heights (which would be a function of land cover type) that are provided by the 30 meteorological input data. R<sub>b</sub> depends on meteorological data and the identity of the gasphase species being deposited. The R<sub>c</sub> parameterization depends on the solubility and 31

reactivity of individual chemical compounds and on land type according to Wesely (1989), 1 2 and is scaled by LAI. Land types are derived by the USGS global land characteristics database (http://edc2.usgs.gov/glcc/globdoc2\_0.php), known also as the Olson Land Map). 3 Over 70 land types are represented and mapped to the 11 deposition surface types given by 4 5 Wesely (1989). Aerosol deposition is also parameterized by the resistance-in-series scheme according to Zhang et al. (2001), with deposition to snow/ice as presented by Fisher et al. 6 7 (2011). Gravitational settling of dust and sea salt is described according to Fairlie et al. (2007) 8 and Alexander et al. (2005) respectively.

As described above, the parameterizations of soil NO<sub>x</sub> emissions, BVOC emissions, and dry
deposition all depend on LAI in some way. By default, GEOS-Chem uses a MODIS-derived
monthly LAI product (Myneni et al., 2007) that is mapped to the GEOS-Chem grid (yearspecific or a climatology), and <u>linearly</u> interpolated to daily values.

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#### 14 **2.3** Modifications to land-atmosphere exchange in GEOS-Chem

Here we document the development of a land use module to describe land-atmosphere exchange in GEOS-Chem and to facilitate simulations involving changes in land cover and land use, such as the tree mortality being explored here.

18 To increase the flexibility in the BVOC emissions, basal emission factors are now mapped at 19 simulation initialization using input land cover data. As a base input, we use present-day (year 20 2000) land cover from the Community Land Model (CLM) v. 4 21 (http://www.cgd.ucar.edu/tss/clm/ and Lawrence et al. (2011)). Vegetation is divided into 16 22 plant functional types (PFTs, Table A1) and their fractional coverage is mapped globally at a native resolution of 0.23° x 0.3125°. We incorporate updated emission factors following 23 24 MEGAN v2.1 (Guenther et al., 2012).

We also eliminate the dependence of the dry deposition velocities on the Olson Land Map. Instead, the same PFTs that drive BVOC emissions are mapped directly to the 11 deposition types from Wesely (1989). We replace the roughness heights provided by the assimilated meteorological product with values that are specific to the land cover or plant functional type (Table A1). Furthermore, rather than basing dry deposition on the dominant land type at a certain native resolution, the complete sub-grid fractional coverage of all PFT/land types are accounted for. In this way, deposition in the model should be <u>less dependent</u> of the horizontal 1 resolution of the simulation or land cover data set. For soil  $NO_x$  emissions, we map the same 2 set of PFTs to the 24 biomes of Steinkamp and Lawrence (2011) based on plant type and 3 latitude (Fig. A1).

To achieve consistency between our land type description and the LAI used in the model, we
replace the monthly MODIS-derived gridded LAI with the sub-grid PFT-specific monthly
LAI from the CLM4 land cover description, also based on MODIS observations <u>as well as</u>
additional cropping data (Lawrence et al. 2011).

8 In this way, BVOC emissions, soil  $NO_x$  emissions, dry deposition, and surface roughness are 9 all newly harmonized to the same land cover input and vegetation density. These changes 10 make it possible to alter the specified PFT distributions and/or fractional coverages, and self-11 consistently investigate the impact on biosphere-atmosphere exchange.

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#### 13 **2.4** Impact of updates and land use harmonization on GEOS-Chem simulation

Our modifications to GEOS-Chem impact the emissions, deposition, and simulated 14 15 concentrations compared to the default model, demonstrating the important role of land cover 16 on atmospheric chemistry. GEOS-Chem and other chemical transport models have previously 17 shown a large sensitivity to land cover datasets (Li et al., 2013) and biogenic emission models 18 (Fiore, 2005; Kim et al., 2014; Zare et al., 2012). Globally, we find annual emissions of isoprene decrease by 14% from 531 Tg yr<sup>-1</sup> to 459 Tg yr<sup>-1</sup> with land use harmonization and 19 20 updated emission factors. The emissions of some monoterpenes decrease (e.g. β-pinene, 21 limonene, sabinene, and carene by 10% or less; ocimene by 36%), while others increase significantly ( $\alpha$ -pinene by 64%, myrcene by 145%). Sesquiterpene emissions increase 22 23 between 20-60% depending on the species. These changes result from the new maps of PFTs, 24 the updated emission factors from MEGAN v2.1 (Guenther et al., 2012), and the new LAI values used. Our modified global emissions are generally consistent with those for MEGAN 25 26 v2.1 as formulated by Guenther et al. (2012). For example, our  $\alpha$ -pinene emissions increase from 40 Tg yr<sup>-1</sup> to 66 Tg yr<sup>-1</sup>, compared to 66 Tg yr<sup>-1</sup> estimated by Guenther et al. (2012). 27 28 Global soil NO<sub>x</sub> emissions, which depend on biome mapping from the PFT dataset and LAI, decrease by 2% (from 9.8 Tg yr<sup>-1</sup> to 9.6 Tg yr<sup>-1</sup>). 29

Figure 1 shows how all of the modifications impact predicted global monthly mean O<sub>3</sub> 1 concentrations for August 2010. The spatial agreement between the simulations is very high 2 (r=0.99), suggesting that our modifications have not made significant changes to predicted  $O_3$ . 3 While the changes that we made to the model were not in principle intended to improve the 4 5 accuracy of the GEOS-Chem  $O_3$  simulation (rather the priority was to more easily enable land-cover change experiments), the updated land cover data and the new consistency in the 6 7 descriptions modestly improve the spatial correlation (r=0.54 to r=0.56) between the 8 simulated and gridded monthly mean O<sub>3</sub> observed over North America, Europe, and other 9 locations worldwide (Evans and Sofen, 2015) for the whole year. The modifications tend to decrease the high O<sub>3</sub> concentrations at midlatitudes of the Northern and Southern 10 11 hemispheres. In particular, the high summer bias in monthly mean  $O_3$  drops by 0.5-0.9 ppb (e.g. from RMSE=15.6 to RMSE=14.8 in August) while making little difference to winter 12 13 month  $O_3$  (RMSE changed by < 0.3 ppb).

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#### **3 Predicted tree mortality in the United States**

To simulate national-scale tree mortality across the US, we use projected tree mortality rates 16 from the 2012 National Insect and Disease Risk Forest Risk (NIDR) Assessment for 2013-17 18 2027, assembled by the Forest Health Technology Enterprise Team of the United States 19 Department of Agriculture Forest Service (Krist et al., 2014). This assessment includes results 20 from 186 individual insect and disease hazard models. We gridded the 240-m spatially 21 resolved total tree mortality data (http://www.fs.fed.us/foresthealth/technology/nidrm.shtml) to the native resolution of the new GEOS-Chem land input file (0.23° x 0.31°) and focused on 22 23 the conterminous United States. We use this data to contrast atmospheric chemistry before vs. 24 after the change in tree cover.

25 Figure 2 shows the default fractional area covered by the sum of all tree PFT categories, and 26 the resulting loss in tree-covered fractions due to projected mortality after applying the fractional loss from the NIDR. We applied mortality losses predicted by the NIDR to all tree 27 species in a particular input grid box, instead of accounting for losses specific to one plant 28 29 functional type only. The magnitude and spatial distribution of tree loss is qualitatively consistent with the agent- and species-specific summaries in the NIDR assessment (Krist et 30 al., 2014), since certain PFT categories usually dominate in specific regions or grid boxes. We 31 briefly summarize the major agents driving projected mortality in the NIDR assessment here. 32

1 In the western US, insects causing every even mortality include the mountain, western, and 2 Jeffrey pine beetles, spruce and Douglas fir beetles, the Douglas fir tussock moth, and the Western spruce budworm. In the east, insect-driven evergreen mortality is driven by the 3 Eastern spruce and Jack pine budworm and hemlock woolly adelgid in the north, and the 4 5 southern pine beetle in the south. Engraver beetles and the balsam woolly adelgid affect evergreens in both the west and east. Deciduous tree mortality is large in the northeast and 6 7 eastern US, where oak and maple decline is high. Deciduous tree mortality by diseases such 8 as beech bark, oak wilt, and Dutch elm is also large. Aspen and cottonwood declines are 9 significant in the western US and Great Plains. While root diseases, which impact both 10 needleleaf and broadleaf tree categories, represent the largest single agent-level hazard, the 11 impact of all bark beetles together are projected to cause the highest basal area losses (Krist et 12 al., 2014).

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## 14 4 Impact of tree mortality on atmospheric chemistry in the US

15 We perform four simulations (Table 1) to investigate the role of insect- and disease driven tree mortality on atmospheric chemistry: (1) a base scenario in which the vegetation is not 16 17 altered; (2) a scenario where the BVOC emissions respond to the scaled tree cover, but where 18 soil  $NO_x$  and dry deposition are calculated using the land cover in the base scenario; (3) a 19 scenario where the BVOC and soil NO<sub>x</sub> emissions respond to the scaled tree cover, but where 20 dry deposition is calculated using the land cover in the base scenario; and (4) a full tree mortality scenario where the BVOC emissions, soil NO<sub>x</sub> emissions, and dry deposition are all 21 calculated using the scaled tree cover. The combination of these simulations allows us to 22 23 decouple the effects of changing BVOC and soil NO<sub>x</sub> emissions from the effects of changing 24 deposition. We focus our analysis on June to August since this is the seasonal peak in impacts of changes in biogenic emissions on O<sub>3</sub> and SOA formation across the United States. 25

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## 27 4.1 Impacts on biogenic emissions and on deposition velocity

Figure 3 shows the simulated emissions of isoprene, total monoterpenes, and total sesquiterpenes, and the change in emissions <u>due to</u> tree mortality. The impact to total emissions across the US is a 6-7% decrease for isoprene, monoterpenes, and sesquiterpenes, with much larger impacts <u>locally</u>. <u>Over the continental US</u>, isoprene emissions are projected

1 to decrease by more than 5% for more than 25% of the model grid boxes (762 out of a total of 2 2693). The highest relative impact to isoprene emissions occurs in the Rocky Mountain forests of the northwestern US, where mortality is projected to be high. For example, the 3 largest relative decrease occurs in Idaho [46.0°N, 115.3°W] where isoprene emissions 4 decrease by 47% (1.8  $\mu$ mol m<sup>-2</sup> hr<sup>-1</sup>), compared to the base simulation. These pine-, spruce-, 5 6 and fir-dominated forests of the northwest are relatively low isoprene emitters compared to 7 the deciduous forests of the eastern US. The reduction in mean OH reactivity due to tree mortality-induced isoprene changes in the northwest is ~0.2-0.5 s<sup>-1</sup> at most. In the oak-8 9 dominated Ozarks of Arkansas and Missouri [~36°N, 92°W], and the central Appalachian 10 region [~38°N, 81°W], baseline isoprene emissions are an order of magnitude higher; the corresponding reduction in mean OH reactivity due to tree mortality-induced isoprene 11 changes exceeds 3 s<sup>-1</sup>. The highest absolute impact of mortality on isoprene emissions occurs 12 at the border of West Virginia and Virginia [38.0°N, 80.0°W], where emissions decrease by 13 8.6  $\mu$ mol m<sup>-2</sup> hr<sup>-1</sup> (relative decrease of 26%). 14

15 Likewise, the highest relative impacts to total monoterpene and total sesquiterpene emissions 16 occur in the Rocky Mountain forests of the western and northwestern US (the largest relative 17 decrease occurs in Colorado [38°N, 106.7°W] where the monoterpene and sesquiterpene 18 emissions decrease by 48-50%). Significant relative impacts are also predicted in the pine 19 forests of the Sierra Nevada (10-20%). In terms of absolute magnitude, the difference in 20 monoterpene and sesquiterpene emissions is largest in pine-dominated forests of the southern 21 US. The highest absolute impacts in the country occur in eastern Texas [31.0°N 94.7°W] where the monoterpene emissions decrease by 1.4  $\mu$ mol m<sup>-2</sup> hr<sup>-1</sup> (or 22 %), and in Arkansas 22 [33.5°N 92.7°W] where sesquiterpene emissions decrease by 0.4  $\mu$ mol m<sup>-2</sup> hr<sup>-1</sup> (or 18%) 23 24 compared to the base simulation.

25 Figure 4 shows the baseline emissions of  $NO_x$  from soils and the simulated change resulting 26 from tree mortality. The highest soil NO<sub>x</sub> emissions occur in the central US where crops contribute significantly to the land cover. Soil NOx emissions are also appreciable in the 27 28 needleleaf evergreen forests of the northwest and southern US. These forests map to biomes with high NO<sub>x</sub> emission factors (about four times greater than for deciduous biomes), 29 resulting in baseline emissions approaching several  $\mu$ mol m<sup>-2</sup> hr<sup>-1</sup>. The relative impact of tree 30 mortality on soil NO<sub>x</sub> emissions exceeds 10% in some of these areas (the largest relative 31 32 difference occurs in western Montana [46°N, 115.3°W] where soil NO<sub>x</sub> emissions increase by 1 15%). In projecting changes to soil  $NO_x$  emissions, we allow the canopy reduction factor to 2 respond to changes in LAI, but we assume that the tree mortality does not impact the basal 3 soil  $NO_x$  emission factors (nor soil temperature or moisture). The increase in net emission 4 therefore arises from a decrease in canopy reduction factor only, representing the impact of 5 less  $NO_2$  uptake by the canopy before export into the lower mixed layer. A better 6 understanding of the canopy reduction factor, and accounting for canopy chemistry, would 7 facilitate a more thorough assessment of these projected increases in soil  $NO_x$  emissions.

8 Figure 5 shows the dry deposition velocity of  $O_3$  in the baseline scenario, and the simulated 9 change resulting from tree mortality. In the northeast, where deciduous forests dominate and vegetation is dense,  $O_3$  deposition velocities are highest (0.6-0.7 cm s<sup>-1</sup>) whereas the 10 deposition velocity over needleleaf forests is lower (0.3-0.4 cm s<sup>-1</sup>). Lowest deposition 11 velocities occur over the arid and sparsely vegetated regions of the country. Where projected 12 tree mortality is high,  $O_3$  deposition velocity decreases by up to 0.08 cm s<sup>-1</sup> due to reduced 13 stomatal uptake and change in roughness height. The highest absolute impact occurs in the 14 15 eastern US, along the border of Virginia and West Virginia [38.0°N, 80.0°W]. On a relative basis the impact is largest in the northwest (deposition velocity in northern Idaho [47.5°N, 16 116.0°W] decreases by 16%, or 0.06 cm s<sup>-1</sup>). Spatially, the impact on the deposition velocity 17 for other constituents is similar. For example the deposition velocity of HNO<sub>3</sub> (which is 18 19 largely limited by aerodynamic resistance instead of surface resistance as in the case for  $O_3$ ) also decreases in the same regions due to the change in roughness heights in the tree mortality 20 21 scenario. In this case, decreases in HNO<sub>3</sub> deposition velocity exceeding 20% are predicted in 22 the northwest and eastern US.

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## 24 **4.2** Impacts on surface ozone concentrations

Figure 6a shows the June-July-August mean surface  $O_3$  concentrations simulated in the base scenario (Simulation 1). The high concentrations in the western US are consistent with previous work and are a consequence of the elevation and the dry climate resulting in a deep boundary layer and slow deposition velocities (Fiore et al, 2002; Wu et al., 2008). High concentrations are also simulated in the eastern US. Figure 6b shows the change in simulated  $O_3$  concentrations as a result of changes in BVOC and soil NO<sub>x</sub> emissions in a tree mortality scenario where deposition is calculated using baseline land cover (Simulation 3 – Simulation <u>1</u>). Changes in soil NO<sub>x</sub> emissions alone increase O<sub>3</sub> slightly (Simulation 3 – Simulation 2),
 but this response is an order of magnitude smaller (or less) than the response to decreased
 BVOC emissions. The result is a net decrease in O<sub>3</sub> on the order of 0.2-0.4 ppb across a large
 area of the eastern US and in parts of the northwest and California. The largest change occurs
 in eastern Texas [32.5°N, 94.7°W] where mean O<sub>3</sub> decreases by 0.44 ppb. Concentrations
 increase slightly over the Ozarks of Arkansas and Missouri [~36°N, 92°W] and the
 Appalachian region in West Virginia [~38°N, 81°W].

8 Figure 6c shows the simulated change in surface O<sub>3</sub> due to tree mortality including the impact 9 of changes to dry deposition (Simulation 4 – Simulation 1). The increase in concentrations 10 due to slower deposition velocities counteracts the decrease in O<sub>3</sub> concentrations that result from changes in BVOC emissions alone. In some regions these influences are predicted to be 11 roughly equal so that the net change in  $O_3$  is close to zero. However, in many parts of the 12 13 country including the northeast (e.g. Vermont, New Hampshire, and Maine), and the northwest (northern Idaho and western Montana), the predicted change in deposition is large 14 compared to the change from emissions alone, resulting in net increases to O<sub>3</sub> approaching 1 15 16 ppb or greater. Over the central Appalachian region (most notably West Virginia) and Ozarks 17 the predicted change including dry deposition is also very large compared to the small increase from emissions alone. The highest increase in O<sub>3</sub> occurs at the tristate intersection of 18 19 Kentucky, West Virginia and Virginia [37.5°N, 82.0°W], where mean O<sub>3</sub> is 1.4 ppb higher than in the base simulation. The substantial effect of slower dry deposition underscores the 20 21 importance of understanding canopy deposition and the potential impact of canopy processes 22 on chemical losses in predictions of land cover change impacts. Given the influence of 23 changes in dry deposition in our simulations, exploring the uncertainties in dry deposition 24 calculations should be a priority for model development.

25 Since regions where the impact on tree cover is largest are heavily forested and removed from pollution sources, they tend to have <u>relatively</u> low NO<sub>x</sub> concentrations. In such situations, O<sub>3</sub> 26 production is expected to be NO<sub>x</sub>-limited so that decreases in VOC emissions weakly impact 27  $O_3$  formation. This is the case over the central Appalachian and Ozarks regions, where  $NO_x$ 28 concentrations are below 1 ppb and BVOC emissions decreased by 10-20%, but where O<sub>3</sub> is 29 minimally impacted in the scenario with altered emissions only (Fig. 6b). In these forest 30 31 environments, the change to dry deposition velocity will be the dominant mechanism 32 impacting O<sub>3</sub> concentrations, and indeed we find that O<sub>3</sub> increases when all mechanisms are considered (Fig. 6c). On the other hand, in high-NO<sub>x</sub> (or polluted) regions, O<sub>3</sub> production can
be expected to be more sensitive to changes in VOC emissions, and since these areas tend to
be more developed, deposition plays a smaller role. As a result, in the scenario considering
only changes in emissions we find that the predicted impact to O<sub>3</sub> concentrations is relatively
large in the heavily populated regions along coast of the mid-Atlantic (Fig. 6b, ~40°N, 74°W).

In general, we find that the ratio of NO<sub>x</sub> to VOC concentrations (ppb NO<sub>x</sub> / ppb C) in a grid 6 7 box can explain some of the O<sub>3</sub> response to changes in tree cover across the US, despite 8 varying degrees of predicted land cover change. Figure 7 shows histograms of the change in 9 surface O<sub>3</sub> concentrations for two populations of grid boxes that had changes in isoprene emissions of at least 0.1  $\mu$ mol m<sup>-2</sup> hr<sup>-1</sup> (N = 1115 grid boxes from a total of 2693 grid boxes in 10 the continental US). These two distributions (N=111 in both) are grid boxes with the lowest 11 12 10% NO<sub>x</sub>:VOC concentrations in the base scenario, and grid boxes with the highest 10% 13 NO<sub>x</sub>:VOC concentrations in the base scenario. These distributions are statistically different 14 (p<0.001, Wilcoxon rank-sum test), and represent the general pattern of impact on "clean" and "polluted" regions respectively. The top panel displays results based on the scenario 15 16 where only biogenic emissions change (Simulation 3 – Simulation 1). Grid boxes with the 17 highest NO<sub>x</sub> to VOC ratios tend towards stronger changes in O<sub>3</sub> concentrations than the grid 18 boxes with lowest NO<sub>x</sub> to VOC ratios. This suggests more generally that in addition to the 19 extent of land cover change, the impacts of tree mortality on  $O_3$  can depend on whether the 20 conditions are NO<sub>x</sub>-limited (low NO<sub>x</sub>:VOC) or VOC-limited (high NO<sub>x</sub>:VOC). This NO<sub>x</sub>-21 dependence of the regional chemistry impacts resulting from land system changes has also been identified by Wiedinmyer et al. (2006) and Hardacre et al. (2013) for example. The 22 23 bottom panel displays the results based on the scenario where changes to biogenic emissions and dry deposition are accounted for (Simulation 4 – Simulation 1). Here we find that the 24 25 change in  $O_3$  is more frequently positive (increasing  $O_3$  compared to the base scenario) in the low-NO<sub>x</sub> to VOC grid boxes, since the deposition response tends to be large compared to the 26 27 impact of emissions. In contrast, while slower deposition counteracts some of the decrease in 28 O<sub>3</sub> concentrations in the more polluted grid boxes, the net impact remains largely negative 29 (decreasing  $O_3$  compared to the base scenario).

30 The changes in monthly mean ozone mask even larger impacts on shorter timescales (hours) 31 that may be of importance to air quality standards. The magnitude of the impact on surface  $O_3$ 32 in the scenario that considered changes to both emissions and deposition is highest during the

day and less significant at night due to the diurnal pattern of chemical O<sub>3</sub> production and 1 2 biogenic emissions, and to the strong dependence of modeled deposition velocities on time of day. As a result, the number of days with  $O_3$  above a specific threshold changes in many 3 locations depending on the land cover scenario. We consider for example daily maximum 8-4 5 hr averages. The EPA has recently revised the O3 air quality standard to be based on 8 h averages exceeding a threshold of 70 ppb instead of the previous 75 ppb 6 7 (http://www3.epa.gov/ttn/naaqs/standards/ozone/s o3 index.html), so we investigate the number of days during June–July–August in each grid box of the US where the 8 h average 8  $O_3$  exceeds 70 ppb. In the scenario considering only a change in emissions (Simulation 3 – 9 10 Simulation 1), the number of days exceeding an 8 h O<sub>3</sub> concentration of 70 ppb decreases in 11 16% of the grid boxes in the lowest NO<sub>x</sub>:VOC decile ("clean" regions of the US), and in 45% of the grid boxes in the highest NO<sub>x</sub>:VOC decile ("polluted" regions of the US). Across the 12 13 US, the number of exceedances decreases by 4 or more days in several regions such central South Carolina (34.0° N, 81.3° W), central Kentucky (37.5° N, -86.0° W), central Indiana 14 (38.5, -90.7), northern Ohio (41.5° N, 83.3° W), and southwest Michigan (42.0° N, 71.3° 20 15 W). In the scenario considering both the change in biogenic emissions and also the change to 16 deposition rates (Simulation 4 – Simulation 1), many grid boxes experience a net increase in 17 the number of days exceeding an 8 h O<sub>3</sub> concentration of 70 ppb. The increase impacts clean 18 19 regions disproportionately (30 % of lowest NO<sub>x</sub>:VOC grid boxes) compared to polluted regions (5 % of high NO<sub>x</sub>:VOC grid boxes). The largest increase is 4 days, which occurs 20 north of Richmond, VA (38.0° N, 77.3° W). In the same scenario, less than 1 % of the low 21 NO<sub>x</sub>:VOC grid boxes experience a decrease in the number of days exceeding an 8 h O<sub>3</sub> 22 23 concentration of 70 ppb, compared to 26 % of the high NO<sub>x</sub>:VOC grid boxes.

## 24 **4.3** Impacts on reactive nitrogen oxide compounds

25 Figure 8 shows the mixing ratios of reactive nitrogen oxides in the base scenario (Simulation 1), and the simulated changes resulting from tree mortality (Simulation 4 – Simulation 1) on a 26 27 relative scale (% change). We plot the results for the sum of all reactive nitrogen oxides (NO<sub>v</sub>, Fig. 8a), in addition to the individual contributions from NO<sub>x</sub> (Fig. 8c), HNO<sub>3</sub> (Fig. 8e) and 28 29 the sum of all alkyl-, peroxy-, and acylperoxy-nitrates (or "organic nitrates", Fig. 8g). We find that the relative impacts on NO<sub>v</sub> and its partitioning as a result of the tree mortality could be 30 31 locally significant, and are a complex result of all three mechanisms (changes in BVOC emissions, changes in soil NO<sub>x</sub>, and changes to the deposition velocities), depending on the 32

1 chemical species. Total NO<sub>v</sub> increases by up to 8% in the northwest (the largest relative 2 increase of 120 ppt is along the Idaho-Montana border [47.5°N, 115.3°W]). The increases 3 here consist of roughly equal increases in  $NO_x$  (79 ppt) and  $HNO_3$  (66 ppt) mixing ratios with 4 a smaller decrease in organic nitrates (29 ppt). Over the rest of the country, changes in total  $NO_{x}$  are small, in part because the increases in  $NO_{x}$  and  $HNO_{3}$  are counteracted by decreases 5 in organic nitrate species. Significant changes in NO<sub>x</sub> abundance and NO<sub>y</sub> partitioning could 6 7 alter the transport and removal of  $O_3$  precursors, and alter the peroxy radical chemistry 8 involved in  $O_3$  production.

9 We find that the increases in  $NO_x$  are largely a result of elevated soil  $NO_x$  emissions (Simulation 3 -Simulation 1). On the other hand, the increases in HNO<sub>3</sub>, which are up to 10 18% on a relative scale, are due to both slower deposition and increasing soil NO<sub>x</sub> emissions 11 (Simulation 4 – Simulation 1). Small increases in  $HNO_3$  (locally up to 3-4%) are also 12 observed in the BVOC emissions only scenario (Simulation 2 - Simulation 1). Broad 13 14 decreases in the organic nitrate concentrations (approaching 10%) are found across large parts 15 of the country. This result is nearly entirely due to the reduction in BVOC emissions alone, 16 with only a small counteracting effect of lower deposition velocities. For example, where the 17 relative impact was largest (a 10% decrease near Missoula MT [47°N, 114.7°W]), the 18 decrease from the BVOC emissions alone is 36 ppt, while the decrease after accounting for 19 dry deposition and soil NO<sub>x</sub> emissions is 29 ppt.

20

#### 4.4 Impacts on organic aerosol

21 Figure 9a shows the predicted biogenic SOA (BSOA) surface mass concentrations in the base simulation (Simulation 1). The dominant contributors to BSOA over the United States in 22 23 these simulations are terpenes, consistent with the results of Pye et al. (2010). This results from nitrate radical oxidation included in the SOA mechanism, since terpenes are emitted at 24 25 night (in addition to during the day) and model aerosol yields from nitrate oxidation are relative high. The baseline simulation predicts BSOA greater than 3  $\mu$ g m<sup>-3</sup> throughout most 26 of the southeast US, approaching 10 µg m<sup>-3</sup> near the Mississippi-Alabama and Missouri-27 Arkansas borders. Biogenic SOA contributes 80% or more of the modeled total OA mass 28 29 concentration in this region and season. In parts of the northeast and on the west coast, BSOA can also exceed 3  $\mu$ g m<sup>-3</sup> and the model predicts the biogenic contribution to total organic 30 aerosol to exceed 50% there. In the northwest, BSOA approaches  $1-2 \ \mu g \ m^{-3}$ . 31

1 Figure 9b shows the change in BSOA predicted due to tree mortality (Simulation 4 -2 Simulation 1). In contrast to  $O_3$  and  $NO_y$  species (where the relative importance of deposition and chemical production could vary), the simulation predicts consistent decreases in BSOA 3 from the tree mortality scenario as a result of decreasing BVOC emissions. The change in 4 5 atmospheric lifetime as a result of slower dry deposition is negligible (Simulation 4 – Simulation 3). Across the eastern US, BSOA decreases by 5-10%. The relative impacts are 6 7 highest where terpene emissions are significant and projected tree mortality is high due to the 8 dominance of terpenes as precursors to BSOA in these simulations. The impact on BSOA due 9 to tree mortality generally exceeds 10% where the contributions of terpene emissions 10 represent 50% or more of total BVOC emissions (in mass carbon). The spatial pattern in 11 ΔBSOA corresponds most to the relative contribution of the lumped MTPA category of 12 terpenes ( $\alpha$ -pinene +  $\beta$ -pinene + sabinene + carene). In some parts of the southeast the change exceeds 25% (1-2  $\mu$ g m<sup>-3</sup> in terms of absolute mass). The largest absolute impact occurs in 13 southern Arkansas [33.5°N, 92.7°W], where **B**SOA decreases by 2.0  $\mu$ g m<sup>-3</sup> (or 20%). The 14 relative impact is also high in the northwest, where BSOA decreases by 0.5 to 1  $\mu$ g m<sup>-3</sup> (the 15 highest relative difference of 39% occurs in northern Idaho [46.0°N 115.3°W]). 16

17 Given the dominance of **B**SOA in much of the US, these changes appreciably impact total OA 18 (and consequently total aerosol mass). Relative impacts to the sum of all organic aerosol are 19 on the order of 20% or greater in many parts of the south, northwest, and northern California. 20 These simulations suggest that tree mortality and the concomitant change in biogenic emissions has the potential to impact background aerosol levels by up to 2  $\mu$ g m<sup>-3</sup> in some 21 regions. This may be of particular relevance to the EPA Regional Haze Program, aimed at 22 improving visibility in national parks and wilderness 23 areas 24 (http://www3.epa.gov/visibility/program.html).

25

## 26 **5 Discussion**

In this study we develop and apply a land use module for GEOS-Chem to demonstrate that projected tree mortality in the coming decades could impact air quality across the US. We find that the changes in BVOC emissions, soil NO<sub>x</sub> emissions, and dry deposition can impact O<sub>3</sub> mixing ratios, reactive nitrogen oxide speciation and abundance, and biogenic secondary organic aerosol formation. The magnitude of change in mean O<sub>3</sub> (-0.4 ppb to +1.4 ppb

depending on the simulation) and SOA (up to  $-2.0 \ \mu g \ m^{-3}$ ) in some grid boxes is similar to 1 2

regional changes predicted by examples of biofuel cropping or natural succession scenarios

3 (Ashworth et al. 2012; Porter et al. 2012; Drewniak et al. 2014), and comparable with the tree

4 mortality effect from past bark beetle infestations simulated in western North America by 5 Berg et al. (2013).

6 In the case of  $O_3$ , we find that lower deposition velocities resulting from the change in tree cover could reverse the impact of decreased chemical production. This produces regional 7 8 variability in the sign of the  $O_3$  response depending on which effect dominates locally. 9 Generally, our simulations predict that high levels of  $O_3$  could be exacerbated in the low- $NO_x$ , densely forested areas where mortality is projected to be high. This increase in O<sub>3</sub> could have 10 11 further feedbacks given the documented negative effect of O<sub>3</sub> on forest health (Ashmore, 12 2005; Taylor et al., 1994). Using the number of days when 8-hr O<sub>3</sub> exceeds <u>70</u> ppb, we find that tree mortality generally reduces the number of exceedances for high-NO<sub>x</sub> environments. 13

14 Our simulations also predict large impacts on organic aerosol. While the exact yields and SOA composition are uncertain (Hallquist et al., 2009) and depend on the SOA model used, 15 16 the post-disturbance impact is a robust and direct response to a reduction in biogenic emissions (and is not sensitive to changes in deposition). Similar to the reduction in O<sub>3</sub> that 17 favors polluted regions, the projected tree mortality could decrease background aerosol levels 18 by up to 1-2 µg m<sup>-3</sup> locally, inadvertently making progress in other air quality objectives (e.g. 19 long-term visibility at National Parks and Wilderness areas where mortality is in some cases 20 21 projected to be high).

22 These results do not account for changes in anthropogenic emissions that may occur over the 23 same period of time as the changes to vegetation. We therefore performed a subsequent test where the same land cover change was applied, using anthropogenic emissions from 2005 24 25 (instead scaling the emissions to 2010 as was performed for Simulations 1 to 4). Between 2005 and 2010, modeled anthropogenic emissions of NO<sub>x</sub> and SO<sub>2</sub> over the continental US 26 27 decreased by 30% and 44% respectively. Despite this large perturbation in anthropogenic 28 emissions, the predicted impacts due to the land cover change were fundamentally the same. 29 The range of impact on simulated mean  $O_3$  over the US due to both emissions and dry deposition combined (Simulation 4 – Simulation 1) went from  $\Delta O_3 = [-0.24, +1.45]$  ppb for 30 the 2010 emissions, to  $\Delta O_3 = [-0.34, +1.35]$  ppb for the 2005 emissions. Likewise, the 31 maximum impact on SOA changed very little, from  $\Delta BSOA = -2.05 \ \mu g \ m^{-3}$  in the 2010 32

1 simulation, to  $\Delta BSOA = -1.94 \ \mu g \ m^{-3}$  in the 2005 simulation. Nevertheless, simultaneous 2 changes in both anthropogenic and biogenic emissions increase the uncertainty in the exact 3 magnitude of projected changes in secondary pollutants.

Many opportunities exist for development and incorporating further complexity. For example, 4 5 these simulations have not accounted for the temporal dynamics of forests undergoing disturbances from insect attack and disease. In the case of insect infestation, VOC emissions 6 7 can be enhanced during the attack (Amin et al., 2012), and Berg et al. (2013) found that the 8 spatiotemporal patterns in tree mortality can greatly affect the relative impacts of the attack 9 effect vs. the mortality effect on BVOC emissions. Numerous compounds have been observed to be emitted by trees when under stress (Faiola et al., 2015; Joutsensaari et al., 2015) that 10 11 GEOS-Chem does not yet represent. Not only have we compared simple "pre-" and "post-" 12 disturbance scenarios ignoring attack effects, but we have not considered forest succession. Extensive mortality caused by insects and disease may be compared to forest fires (Hicke et 13 al., 2012), with growth of surviving trees and understory potentially accelerating (Brown et 14 15 al., 2010). In such cases, BVOC emissions may not necessarily decrease universally, but the composition of those emissions could change over time. Forest recovery after an outbreak 16 17 may be possible within decades, as has been predicted in the case of bark beetle outbreak in the western US using a forest vegetation simulator (Pfeifer et al., 2011). Successional 18 19 dynamics could for example be simulated by an individual-based model (e.g. Shuman et al. 20 (2014)), and used as inputs at various time points in the chemical transport model. We have 21 also assumed that basal BVOC emission factors for the surviving vegetation are the same as 22 pre-disturbance, but experiments have shown for example that monoterpene basal emission 23 can increase significantly after forest thinning (Schade and Goldstein, 2003), which may or 24 may not be a temporary effect.

25 Improvements in the parameterization of  $O_3$  deposition should also be explored. While we find changes in dry deposition velocity to be an important (and in the majority of cases 26 27 overriding) factor in our simulation of  $O_3$  change, other hypothetical simulations where 28 European crop- and grass-lands were converted to poplar plantations for biomass production 29 found that changes from altered dry deposition velocity were an order of magnitude lower 30 than the change in biogenic emissions (Beltman et al., 2013). Dry deposition rates can depend 31 strongly on the choice of model (Hardacre et al., 2015; Park et al., 2014; Wu et al., 2011), making predictions that depend on this uncertain. Improvements can be expected by more 32

accurate representations of land cover (and subsequent changes) (Hardacre et al., 2015), or by
including a more process-based model of deposition that depends on soil moisture and vapour
deficit (Büker et al., 2012; Pleim et al., 2001). There is also evidence that a significant
fraction of the O<sub>3</sub> uptake observed over forest canopies is actually an unaccounted-for
chemical sink (Kurpius and Goldstein, 2003; Rannik et al., 2012; Schade and Goldstein,
2003; Wolfe et al., 2011), but changes in this above-canopy chemistry <u>are not captured in our</u>
current set of simulations.

8 Likewise, canopy chemistry and stand development post-disturbance will affect the predicted 9 impacts on soil NO<sub>x</sub> emissions. The impacts of canopy uptake and canopy chemistry resulting 10 from changes in vegetation density and composition could be explored in more detail with future work using a 1-D forest canopy-chemistry model (e.g. Wolfe 2011; Ashworth et al. 11 2015) for the regions where we project large impacts. We have assumed that the basal 12 emissions from the soil after the disturbance will be the same as those prior to the disturbance, 13 14 but large scale tree mortality and forest succession have the potential alter soil 15 biogeochemistry (Gao et al., 2015; Norton et al. 2015; Trahan et al., 2015).

16 We anticipate the impacts of tree mortality that are simulated here to be conservative. Future 17 climate change is not included in the NIDR assessment, but is expected to increase the risk of 18 mortality from several pests (Krist et al., 2014). Likewise, insect attack could make certain 19 tree species more sensitive to climate stresses, resulting in mortality despite what might have 20 been otherwise non-lethal insect attack (Anderegg et al., 2015). Predictions over the time scale of years and decades will depend on how the insect/disease disturbances interact with 21 22 other abiotic environmental disturbances (e.g. drought, extreme heat), but these interactions 23 are rarely fully coupled (Anderegg et al., 2015). Furthermore, tree mortality from many other 24 factors outside of pests and pathogens are not considered (e.g. competition from invasive 25 exotic plants, drought, or other disturbances). As a result, the actual tree loss in the coming decades, and the concomitant impacts on atmospheric chemistry, may be higher than 26 27 simulated here. We have also ignored any potential feedback between tree mortality and fire 28 incidence or severity, which is not well understood (Bond et al. 2009). Increases in wildfire 29 (and associated emissions) due to climate change have been predicted to have important 30 consequences for ozone air quality (Yue et al. 2015). Finally, our simulations only explored 31 tree mortality across the United States. No similar large-scale projection of mortality risk 32 exists for Canada, despite insect outbreak already being the dominant cause of tree mortality in boreal forests of eastern Canada (Zhang et al. 2014), and severe (although decreasing)
mountain pine beetle infestations in western Canada (Buston and Maclachlan, 2014).
Increasing insect outbreaks are also a potential threat to forests elsewhere in the world
(Lindner et al. 2010). We note that our simulations neglect any potential human intervention
in response to these risks.

6

## 7 6 Conclusion

8 Land use and land cover change is expected to be a major driver of global change that remains 9 difficult to constrain. The change in vegetation that we have explored in these simulations 10 represents one of a myriad of changes that are occurring (and are projected to occur) to the 11 Earth's land surface. We anticipate that these GEOS-Chem model developments will enable investigation of a wide range of land cover and land use change impacts (e.g. vegetation 12 13 succession, deforestation or afforestation, and crop conversions). Properly representing 14 changes in land cover by including accurate and timely updates to chemical transport models 15 will be an important part of simulating global change. By linking all terrestrial biosphere exchange to plant functional type, our GEOS-Chem developments bring the model a step 16 17 closer to eventual coupling with dynamic vegetation and/or Earth system models.

Our results add to the literature demonstrating that changes to vegetation can have significant impacts on local chemistry <u>due to changes in biosphere-atmosphere fluxes of reactive trace</u> species, with consequences for controlling regional air quality. Given the general tightening of air quality standards to improve the health of global populations, understanding how changes in land cover will aid or abet these achievements <u>could</u> become increasingly important.

24

## 25 Appendix A: Land Cover Classification System

Table A1 lists the land and plant functional types in the CLM4 land cover description which we use as a base land cover input for our simulations. The table also shows how we have mapped these land cover types to the original Wesely deposition surfaces and to roughness heights for the dry deposition parameterization. 1 Figure A1 schematically lays out how we have defined biomes in accordance with the 2 nomenclature used for soil  $NO_x$  emissions based on the CLM4 land and plant functional type 3 coverage.

4

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- 7

# 1 <u>Table 1:</u>

Simulation	Description
<u>1</u>	Base land cover simulation (no tree mortality)
<u>2</u>	Tree mortality-driven BVOC emissions (soil $NO_x$ and dry deposition using base land cover)
<u>3</u>	Tree mortality-driven BVOC and soil NOx emissions (dry deposition using base land cover)
<u>4</u>	Tree mortality-driven emissions and dry deposition.

Table A1: Mapping of CLM-input land types used in the modified version of GEOS-Chem to
 the Wesely deposition surfaces for deposition, and the associated roughness (Zo) heights for
 each.

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Land Type	Wesely Surface	Zo (m)	
Lake/Ocean	Water		<u>0.001</u>
Bare Ground	Desert		<u>0.001</u>
NET Temp	<b>Coniferous Forest</b>		<u>1</u>
NET Boreal	<b>Coniferous Forest</b>		<u>1</u>
NDT Boreal	<b>Coniferous Forest</b>		<u>1</u>
BET Trop	Amazon Rainforest		<u>1</u>
BET Temp	Deciduous Forest		<u>1</u>
BDT Trop	Deciduous Forest		<u>1</u>
BDT Temp	Deciduous Forest		<u>1</u>
BDT Boreal	Deciduous Forest		<u>1</u>
BES Temp	Shrub/Grassland		<u>0.01</u>
BDS Temp	Shrub/Grassland		<u>0.01</u>
BDS Boreal	Shrub/Grassland		<u>0.01</u>
C3 Arctic GR	Tundra		<u>0.002</u>
C3 Other GR	Shrub/Grassland		<u>0.01</u>
C4 GR	Shrub/Grassland		<u>0.01</u>
Crop	Agricultural		<u>0.1</u>
Glacier	Snow/Ice		<u>0.0001</u>
Urban	Urban		<u>2.5</u>
Wetland	Wetland		<u>0.05</u>





Figure 1. Simulated global surface O<sub>3</sub> concentrations for August 2010 in the (top) default, and
(middle) modified GEOS-Chem configuration. (Bottom) Difference between the modified
and default simulations.



Figure 2. Fraction of grid box covered by trees in present day (left), and the loss in tree cover
due to predicted mortality from 2013-2027 based on the National Insect and Disease Risk
Map (right). (A,B) Total tree cover; (C,D) Needleleaf tree cover only; (E,F) Broadleaf tree
cover only.



Figure 3. Mean JJA (June-July-August) biogenic VOC emissions in the base scenario (left),
and the change in emissions resulting from predicted tree mortality (right). (A,B) Isoprene
emissions; (C,D): Total monoterpene emissions; (E,F); Total sesquiterpene emissions.



Figure 4. Mean JJA soil NOx emissions in the base scenario for (left), and the change in
emissions resulting from predicted tree mortality (right).


2 Figure 5. Mean JJA  $O_3$  deposition velocity in the base scenario (left), and the change in

3 deposition velocity resulting from predicted tree mortality (right).



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Figure 6. Top: <u>mean\_JJA</u> surface  $O_3$  concentrations in the base <u>simulation (Simulation 1)</u>. <u>Middle:</u> the change in  $O_3$  concentrations resulting from mortality-driven changes in emissions only <u>(Simulation 3 – Simulation 1)</u>. Bottom: the change in  $O_3$  concentrations resulting from mortality driven changes in emissions and deposition velocity together <u>(Simulation 4 – Simulation 1)</u>.





Figure 7. Probability distributions of the change in JJA mean surface  $O_3$  concentrations as a result of tree mortality for grid boxes with low (<10th percentile) baseline  $NO_x$ :VOC emission ratios and high (>10th percentile) baseline  $NO_x$ :VOC emission ratios. Top: results from mortality-driven changes in emissions only (Simulation 3 – Simulation 1). Bottom: results from mortality-driven changes in emissions and deposition combined (Simulation 4 – Simulation 1).





Figure 8. <u>Left:</u> mean JJA mixing ratios of reactive nitrogen oxides in the base scenario (<u>Simulation 1</u>). <u>Right:</u> the relative changes as a result of predicted tree mortality (<u>Simulation 4</u> – <u>Simulation 1</u>). (A, B) Total NO<sub>y</sub>; (C,D) NO<sub>x</sub>; (E,F) HNO<sub>3</sub>; and (G,H) the sum of all alkyl-, peroxy-, and acylperoxy-nitrates.





Figure 9. Left: mean JJA biogenic-SOA surface mass concentrations in the base scenario

(Simulation 1). Right: the change in biogenic-SOA mass as a result of predicted tree mortality

(<u>Simulation 4 – Simulation 1</u>).

## 1. LAND COVER DESIGNATION





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- 3 Figure A1: Mapping of native CLM land input classes to soil-NOx biomes (according to
- 4 Steinkamp and Lawrence, 2011) for land cover harmonization in GEOS-Chem.