

**The incorporation of an organic soil layer in the Noah-MP Land Surface Model and its
evaluation over a Boreal Aspen Forest**



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Abstract

A thick top layer of organic matter is a dominant feature in boreal forests and can impact land-atmosphere interactions. In this study, the multi-parameterization version of the Noah land-surface model (Noah-MP) was used to investigate the impact of incorporating a forest-floor organic soil layer on the simulated surface energy and water cycle components at the BERMS Old Aspen Flux (OAS) field station in central Saskatchewan, Canada. Compared to a simulation without an organic soil parameterization (CTL), the Noah-MP simulation with an organic soil (OGN) improved Noah-MP simulated soil temperature profiles and soil moisture at 40-100cm, especially the phase and amplitude (Seasonal cycle) of soil temperature below 10 cm. OGN also enhanced the simulation of sensible and latent heat fluxes in spring, especially in wet years, which is mostly related to the timing of spring soil thaw and warming. Simulated top-layer soil moisture is better in OGN than that in CTL in summer but worse in winter. The effects of including an organic soil layer on soil temperature are not uniform throughout the soil depth and are more prominent in summer. For drought years, the OGN simulation substantially modified the partitioning of water between direct soil evaporation and vegetation transpiration. For wet years, the OGN simulated latent heat fluxes are similar to CTL except for spring season where OGN produced less evaporation, which was closer to observations. Including organic soil produced more sub-surface runoff and resulted in much higher runoff throughout the season in wet years.

Keywords Organic soil, Noah-MP, surface energy and water budgets, BERMS

1 **1. Introduction**

2 Land surface processes play an important role in the climate system by controlling land-
3 atmosphere exchanges of momentum, energy and mass (water, carbon dioxide, and aerosols).
4 Therefore, it is critical to correctly represent these processes in land surface models (LSMs) that
5 are used in weather prediction and climate models (e.g., Dickinson et al. 1986; Sellers et al. 1996;
6 Chen and Dudhia 2001; Dai et al. 2003; Oleson et al. 2008, Niu et al. 2011). Niu et al. (2011) and
7 Yang et al. (2011) developed the Noah LSM with multi-parameterization options (Noah-MP) and
8 evaluated its simulated seasonal and annual cycles of snow, hydrology, and vegetation in different
9 regions. Noah-MP has been implemented in the community Weather Research and Forecasting
10 (WRF) model (Barlage et al. 2015), which is widely used as a numerical weather prediction and
11 regional climate model for dynamical downscaling in many regions world-wide (Chotamonsak et
12 al., 2012). The performance of Noah-MP was previously evaluated using in-situ and satellite data
13 (Niu et al. 2011, Yang et al. 2011, Cai et al. 2014, Pilotto et al. 2015, Chen et al. 2014). Those
14 evaluation results showed significant improvements in modeling runoff, snow, surface heat fluxes,
15 soil moisture, and land skin temperature compared to the Noah LSM (Chen et al. 1996, Ek et al.
16 2003). Recently, Chen et al. (2014) compared Noah-MP to Noah and four other LSMs regarding
17 the simulation of snow and surface heat fluxes at a forested site in the Colorado Headwaters region,
18 and found a generally good performance of Noah-MP. However, it is challenging to parameterize
19 the cascading effects of snow albedo and below-canopy turbulence and radiation transfer in
20 forested regions as pointed out by Clark et al. (2015) and Zheng et al. (2015).

21 The Canadian boreal region contains one third of the world's boreal forest, approximately
22 6 million km² (Bryant et al. 1997). The boreal forests have complex interactions with the
23 atmosphere and have significant impacts on regional and global climate (Bonan, 1991; Bonan et

37 al., 1992; Thomas and Rowntree, 1992; Viterbo and Betts, 1999; Ciais et al., 1995). Several field
38 experiments were conducted to better understand and model these interactions, including
39 BOREAS (Boreal Ecosystem Atmosphere Study) and BERMS (Boreal Ecosystem Research and
40 Monitoring Sites). Numerous studies have evaluated LSMs using the BOREAS and BERMS data
41 (Bonan et al. 1997). Levine and Knox (1997) developed a frozen soil temperature (FroST) model
42 to simulate soil moisture and heat flux and used BOREAS northern and southern study areas to
43 calibrate the model. They found that soil temperature was underestimated and large model biases
44 existed when snow was present. Bonan et al. (1997) examined NCAR LSM1 with flux-tower
45 measurements from the BOREAS, and found that the model reasonably simulated the diurnal cycle
46 of the fluxes. Bartlett et al. (2002) used the BOREAS Old Jack Pine (OJP) site to assess two
47 different versions of CLASS, the Canadian Land Scheme (2.7 and 3.0) and found that both versions
48 underestimated the snow depth and soil temperature values, especially the version CLASS 2.7.

49 Boreal forest soils often have a relatively thick upper organic horizon. The thickness of the
50 organic horizon directly affects the soil thermal regime and indirectly affects soil hydrological
51 processes. Compared with mineral soil, the thermal and hydraulic properties of the organic soil are
52 significantly different. Dingman (1994) found that the mineral soil porosity ranges from 0.4 to 0.6,
53 while the porosity of organic soil is seldom less than 0.8 (Radforth et al., 1977). The hydraulic
54 conductivity of organic soil horizons can be very high due to the high porosity (Boelter, 1968).
55 Less suction is observed for given volumetric water content in organic soils than in mineral soils
56 except when it reaches saturation. The thermal properties of the soil are also affected by the
57 underground hydrology. Organic soil horizons also have relatively low thermal conductivity,
58 relatively high heat capacity, and a relatively high fraction of plant-available water. Prior studies
59 illustrated the importance of parameterizing organic soil horizons in LSMs for simulating soil

63 temperature and moisture (e.g., Letts et al. 2000, Beringer et al. 2001, Molders and Romanovsky
64 2006, Nicolovsky et al. 2007, Lawrence and Slater 2008).

65 The current Noah-MP model does not include a parameterization for organic soil horizons.

66 It is thus critical to evaluate the effects of incorporating organic matter on surface energy and water
67 budgets in order to enhance the global applicability of the WRF-Noah-MP coupled modeling
68 system. Here we conduct a detailed examination of the performance of the Noah-MP model in a

69 Canadian boreal forest site. The main objective of this research is to enhance the modeling of
70 vertical heterogeneity (such as organic matter) in soil structures and to understand its impacts on
71 the simulated seasonal and annual cycle of soil moisture and surface heat fluxes. We recognize

72 that Noah-MP has weaknesses in existing sub-process parameterizations, while the goal of this
73 study is to explore the impact of incorporating organic soil on surface energy and water budgets,
74 rather than comprehensively addressing errors in existing Noah-MP parameterization schemes. In

75 this paper, we present the BERMS observation site in central Saskatchewan (Section 2), and our
76 methodology for conducting 12-year Noah-MP simulations with and without organic soil layer for
77 that boreal forest site (Section 3). Section 4 discusses the simulations of the diurnal and annual

78 cycles of the surface energy and hydrological components, in dry and wet periods. Summary and
79 conclusions are given in Section 5.
80

81 2. BERMS site descriptions

82 The Old Aspen Site (OAS, 53.7°N, 106.2°W, altitude 601 m) is located in mature
83 deciduous broadleaf forest at the southern edge of the Canadian boreal forest in Prince Albert
84 National Park, Saskatchewan, Canada (Figure 1). The forest canopy consists of a 22-m trembling
85 aspen overstory (*Populus tremuloides*) with ~10% balsam poplar (*Populus balsamifera*) and a 2-

95 m hazelnut understory (*Corylus cornuta*) with sparse alder (*Alnus crispa*). The fully-leafed values
96 of the leaf area index varied among years from 2.0 to 2.9 for the aspen overstory and 1.5 to 2.8 for
97 the hazelnut understory (Barr et al. 2004). The forest ~~was~~ regenerated after a natural fire in 1919
98 and ~~in 1998 it had~~ a stand density of ~830 stems ha⁻¹. The soil is an Orthic Gray Luvisol (~~Canadian~~
99 ~~Soil Classification System~~) with an 8-10 cm deep ~~forest-floor (LFH)~~ organic horizon overlying a
100 loam ~~Ae horizon (0-21 cm)~~, a sandy clay loam ~~Bt horizon (21-69 cm)~~, and a sandy clay loam ~~Ck~~
101 ~~horizon (69+ cm)~~. 30% of the fine roots are in the LFH horizon and 60% are in the upper 20 cm
102 of mineral soil. The water table lies from 1 to 5 m below the ground surface, varying spatially in
103 the hummocky terrain and varying in time in response to variations in precipitation. A small
104 depression near the tower had ponded water at the surface during the wet period from 2005 to 2010.
105 Mean annual air temperature and precipitation at the nearest long-term weather station ~~are~~ 0.4 °C
106 and 467 mm, respectively (Waskesiu Lake, 53°55'N, 106°04'W, altitude 532 m, 1971-2000
107 climatic normal).
108 ~~Air temperature and humidity were measured at 36-m above ground level using a Vaisala~~
109 model HMP35cf or HMP45cf temperature/humidity sensor (Vaisala Oyj, Helsinki, Finland) in a
110 12-plate Gill radiation shield (R.M. Young model 41002-2, Traverse City, MI, USA). Windspeed
111 was measured using a propeller anemometer (R.M. Young model 01503-, Traverse City, MI, USA)
112 located at 38-m above ground level. Atmospheric pressure was measured using a barometer (Setra
113 model SBP270, distributed by Campbell Scientific Inc., Logan, UT, USA). Soil temperature was
114 measured using thermocouples in two profiles at depths of 2, 5, 10, 20, 50 and 100 cm. The two
115 upper measurements were in the forest-floor LFH. Soil volumetric water content was measured
116 using TDR probes (Moisture Point Type B, Gabel Corp., Victoria, Canada) with measurements at
117 depths of 0-15, 15-30, 30-60, 60-90 and 90-120 cm. Three of the eight probes ~~that~~ were ~~the~~ most

125 free of data gaps were used in this analysis. The TDR probes were located in a low-lying area of
126 the site that was partially flooded after 2004, resulting in high Volumetric Water Content (VWC)
127 values that may not be characteristic of the flux footprint. VWC is also measured at 2.5- and 7.5-
128 cm depth in the forest-floor LFH layer using two profiles of soil moisture reflect meters (model
129 CS615, Campbell Scientific Inc., Logan, UT, USA), inserted horizontally at a location that did not
130 flood.

131 Eddy-covariance measurements of the sensible and latent heat flux densities were made at
132 39 m above the ground from a twin scaffold tower. Details of the eddy-covariance systems are
133 given in Barr et al. (2006). Data gaps were filled using a standard procedure (Amiro et al. 2006).

134 The net radiation flux density, R_n , was calculated from component measurements of
135 incoming and outgoing shortwave and longwave radiation, made using paired Kipp and Zonen
136 (Delft, The Netherlands) model CM11 pyranometers and paired Eppley Laboratory (Newport, RI,
137 USA) model PIR pyrgeometers. The upward-facing radiometers were mounted atop the scaffold
138 flux tower in ventilated housings to minimize dew and frost on the sensor domes. The net
139 radiometer and the downward-facing radiometers were mounted on a horizontal boom that
140 extended 4 m to the south of the flux tower, ~ 10 m above the forest canopy. Details of the minor
141 terms in the surface energy balance; including soil heat flux and biomass heat storage flux are
142 given in Barr et al. (2006). During the warm season when all components of the surface energy
143 balance were resolved, the sum of the eddy-covariance sensible and latent heat fluxes
144 underestimated the surface available energy (net radiation minus surface storage) by ~15% (Barr
145 et al. 2006).

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3. Methodology

3.1 The Noah-MP Land-Surface Model

Noah-MP is a new-generation of LSM, which was developed to improve the performances of the Noah LSM (Chen et al. 1996; Chen and Dudhia 2001). It is coupled to the WRF community weather and regional climate model (Barlage et al. 2015), and also available as a stand-alone 1-D model (Noah-MP v1.1). Noah-MP simulates several biophysical and hydrological processes that control fluxes between the surface and the atmosphere. These processes include surface energy exchange, radiation interactions with the vegetation canopy and the soil, hydrological processes within the canopy and the soil, a multi-layer snowpack with freeze-thaw, groundwater dynamics, stomatal conductance, and photosynthesis and ecosystem respiration. The major components include a 1-layer canopy, 3-layer snow, and 4-layer soil. Noah-MP provides a multi-parameterization framework that allows using the model with different combinations of alternative process schemes for individual processes (Niu et al., 2011). Alternative sub-modules for 12 physical processes can provide more than 5000 different combinations. Soil water fluxes are calculated by the Richards equation using a Campbell/Clapp-Hornberger parameterization of the hydraulic functions (Clapp and Hornberger, 1978).

We use an off-line stand-alone 1-D mode (Noah-MP) with four soil layers: 0-10cm, 10-40cm, 40-100cm, and 100-200 cm. The selected Noah-MP physics options used in this study are similar to Barlage et al. (2015), Gao et al. (2015) and Chen et al. (2014) and are list in Table 1. In the default configuration of Noah-MP, the entire vertical soil profile was treated as one mineral ground texture only, and no organic soil matter is included.

182 The OAS research site has an organic LFH (forest-floor) soil horizon, 8–10 cm deep. This
 183 study evaluates the impact of adding an organic soil horizon in the Noah-MP model using a similar
 184 approach to Lawrence and Slater (2008), which parameterizes soil thermal and hydrologic
 185 properties in terms of carbon density in each soil layer. Soil carbon or organic fraction for each
 186 layer is determined as

$$f_{sc,i} = \frac{\rho_{sc,i}}{\rho_{sc,max}} \quad f_{sc,i} = \frac{\rho_{sc,i}}{\rho_{sc,max}}$$

187
$$f_{sc,i} = \frac{\rho_{sc,i}}{\rho_{sc,max}} \quad (1)$$

$$f_{sc,i} = \frac{\rho_{sc,i}}{\rho_{sc,max}}$$

188 where $f_{sc,i}$ is the carbon fraction of the each layer, $\rho_{sc,i}$ is the soil carbon density, and $\rho_{sc,max}$ is
 189 the maximum possible value (peat density of 130 kg m^{-3} , Farouki 1981). The soil properties for
 190 each layer are specified as a weighted combination of organic and mineral soil properties.

$$f_{sc,i}$$

$$\rho_{sc,max}$$

$$\rho_{sc,i}$$

191
$$P = (1 - f_{sc,i})P_m + f P_o \quad (2)$$

$$P = (1 - f_{sc,i})P_m + f P_o$$

192 where P_m is the value for mineral soil, P_o is the value for organic soil, and P is the weighted
 193 average quantity. In this study, we assume that the top layer of the soil is made up of 100% organic
 194 matter, consistent with the 8-10 cm LFH horizon at OAS. The remaining soil layers were assumed
 195 that made up of 100% mineral soil. To investigate impacts of uncertainties of those parameters on

$$P_m$$

$$P_o$$

$$P$$

196 simulations, we conducted sensitive tests for key parameters such as saturated hydraulic
 197 conductivity, porosity, suction, and Clapp and Hornberger parameter. Those parameters were
 198 perturbed within a 5-20% range (except for hydraulic conductivity that is changed over 4 times
 199 below and above the default value), following the work of Letts et al. (2000). Results showed that
 200 the simulated soil moisture is not sensitive to these parameters perturbations, and the simulated
 201 soil moisture and temperature results are not sensitive to the changes in porosity, saturated suction,
 202 and hydraulic conductivity. This implies that the model results are not as sensitive to specific soil
 203 parameter uncertainty as they are to differences in physical properties between CTL and OGN.

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223 Therefore, we decided to use literatures (Lawrence and Slater, 2008, Letts et al., 2000)
 224 recommended values instead, which produced soil moisture and soil temperature close to
 225 observations (see Table 2).

226 3.2 Forcing data

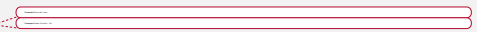
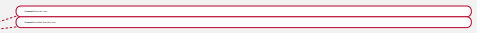
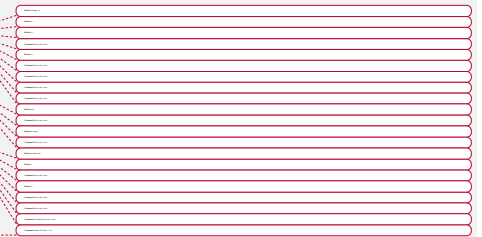
227 The 30-min meteorological observations, including air temperature, specific humidity,
 228 wind speed, pressure, precipitation, downward solar, and longwave radiation, at 36-m height from
 229 OAS were used as atmospheric forcing data to drive Noah-MP in an off-line 1-D mode. Figure 2
 230 shows the annual mean temperature (1.5 °C) and total precipitation (406 mm) at this site during
 231 the study period (1998-2009). The most significant climatic features during the study period are a
 232 prolonged drought that began in July 2001 and extended throughout 2003, and an extended wet
 233 period from 2004-2007.

235 3.3 Evaluation of model performance

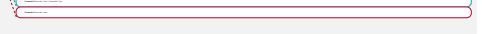
236 Outputs from the Noah-MP simulations were evaluated against observations, using the
 237 Root Mean Squared Error (RMSE), square of the correlation coefficient (R^2), and Index of
 238 Agreement (IOA) (Zhang et al. 2013). The IOA is calculated as

$$239 IOA = 1 - \frac{\sum_{i=1}^N (M_i - O_i)^2}{\sum_{i=1}^N (|O_i - \bar{O}| + |M_i - \bar{O}|)^2} \quad (3)$$

240 Where M_i and O_i are simulated and observed values of the same variable, respectively, and \bar{O}
 241 is the mean of the observed values. IOA ranges from 0 (no agreement) to 1 (perfect match).



$$IOA = 1 - \frac{\sum_{i=1}^N (M_i - O_i)^2}{\sum_{i=1}^N (|O_i - \bar{O}| + |M_i - \bar{O}|)^2}$$



258 **4. Results and Discussions**

259 *4.1 Noah-MP model Spin-up*

260 The LSM spin-up is broadly defined as an adjustment processes as the model approaches
261 its equilibrium following the initial anomalies in soil moisture content or after some abnormal
262 environmental forcing (Yang et al., 1995). Without spin-up, the model results can be unstable and
263 may exhibit drift as model states try to approach their equilibrium values. To initialize LSMs
264 properly, the spin-up time required for LSMs to reach the equilibrium stage needs to be examined
265 first (Chen and Mitchell 1999, Cosgrove et al. 2003). In this study, model runs for the year 1998
266 were performed repeatedly until all the soil-state variables reached the equilibrium state, defined
267 as when the difference between two consecutive one-year simulations becomes less than 0.1% for
268 the annual means (Cai et al., 2014; Yang et al., 1995). Yang et al. (1995) discussed the spin-up
269 processes by comparing results from 22 LSMs for grass and forest sites, and showed a wide range
270 of spin-up timescales (from 1 year to 20 years), depending on the model, state variable and
271 vegetation type. Cosgrove et al. (2003) used four NLDAS-1 LSMs to discuss the spin-up time at
272 selected six sub-regions covering North America, and showed that all models reached equilibrium
273 between one to three years for all six sub-regions. In this study, we found that it requires 9 years
274 for deep-soil moisture (100-200 cm layer) in Noah-MP to reach its equilibrium, 8 years for latent
275 heat flux and evapotranspiration, but only 3 years for the surface soil moisture (Figure 3). Cosgrove
276 et al. (2003) and Chen et al. (1999) indicated that it takes long time to reach equilibrium especially
277 in the deep soil layers and sparse vegetation because the evaporation was limited by slow water
278 diffusion time scales between the surface and deep soil layers. When using the groundwater
279 component of Noah-MP, it might take at least 250 years to spin-up the water table depth in arid
280 regions (Niu et al., 2007). Cai et al. (2014) found that water table depth requires less than 10 years

288 to spin-up in a wet region, but more than 72 years for a dry region. For this boreal forest site where
289 the water table depth is shallower (less than 2.5 m), it takes ~7 years for water table depth to reach
290 equilibrium. However, the freezing/thawing is a relatively slow process, so we set 10 years for the
291 spin-up time for all the experiments discussed here.

293 4.2 *Seasonal cycle of soil temperature and moisture*

294 We defined the simulation without incorporating organic soil as the “control experiment”
295 (CTL); the simulation with the organic soil incorporated as the “organic layer experiment” (OGN).
296 We first evaluated the simulated CTL soil temperature and moisture at the OAS site in relation to
297 observations for the period of 1998-2009.

298 As shown in Figure 4, the effects of including an organic soil layer at the top (0~10cm) on
299 simulated soil temperature are not uniform both throughout the soil depth and during the year.
300 Figure 4a shows the CTL and OGN simulations produced nearly identical top-layer temperature
301 and are in agreement with the observations except for a low bias in the winter period, especially
302 during drought years 2002-2003. However, for deep layers (10-100cm), the OGN simulated much
303 lower (higher) soil temperatures during summer (winter), especially for the drought years 2002-
304 2003, leading to a good agreement between OGN and observations for 2nd and 3rd layer soil
305 temperature (Figure 4b, c). Lawrence and Slater (2008) indicated that strong cooling in summer is
306 due to the modulation of early and mid-summer soil heat flux, while higher soil temperature in fall
307 and winter is due to less efficient cooling of organic soils. The soil thawing period in spring is
308 significantly affected by the OGN parameterization since the thermal conductivity of the organic
309 horizon is much lower than that of the mineral soil ($\sim 0.4 \text{ W m}^{-1} \text{ K}^{-1}$ compared to $\sim 2.0 \text{ W m}^{-1} \text{ K}^{-1}$)
310 which delays the warming of the deep soil layers after snowmelt. In winter, the organic soil layer

342 insulates the soil and results in relatively higher wintertime soil temperatures for OGN compared
343 with CTL. The difference is most pronounced in drought years (2002 and 2003) (Figure 4) when
344 the thinner snowpack provides less insulation, leading to higher evaporation, which reduces soil
345 moisture. With an organic soil horizon, the OGN produces lower (higher) liquid soil water content
346 during winter (summer) in the topsoil layer (Figure 5). Lower (higher) soil moisture reduces
347 (increases) thermal heat conductivity, and results in higher (lower) winter (summer) soil
348 temperature in OGN as compared to CTL. These results are consistent with studies that showed a
349 simulated increase in winter soil temperature of up to 5 °C in boreal regions when including an
350 organic layer (Koven et al., 2009; Rinke et al., 2008; Lawrence and Slater, 2008) in LSMs.

351 For the top soil layer, the OGN parameterization increases the liquid soil water content in
352 summer as water fills the larger pore space of organic soil, but decreases the liquid soil water
353 content in winter, due to the contrasting water retention characteristics of organic and mineral soil
354 (Koven et al., 2009; Rinke et al., 2008; Lawrence and Slater, 2008). Higher porosity in OGN leads
355 to an increase in total soil water content, while lower the topsoil temperatures (Figure 4a) in OGN
356 with enhanced the ice content. Note that the observed soil water content during wet years may be
357 higher than the site truth, because the sensors were located in a low spot that is prone to flooding.
358 This site got flooded in 2004 and the ground water has not dried since then, so that the soil was
359 oversaturated during the period of 2004-2008. In the second soil layer, the observed soil water
360 content was incorrect after the site got flooded (2004-2008). With more precipitation for this wet
361 period, the real soil water content should have a relatively high value. Since the OGN increases
362 the soil water content, it should be closer to the true observation. From figure 5, it can be seen that
363 the OGN improved the liquid water simulation in non-frozen periods. The soil moisture data are
364 not reliable, when the soil is frozen and are therefore not very useful during the winter. In late

387 spring when snow starts melting, both CTL and OGN simulate the same topsoil temperature
388 (Figure 4). It is clear that the soil liquid water content is mainly controlled by precipitation, soil
389 hydraulic conductivity and runoff. The high porosity of organic soil in the topsoil layer helps to
390 retain more snowmelt water and hence increases the topsoil layer liquid water content. For the
391 deep soil layers, the soil liquid water content is highly influenced by the soil temperature. Liquid
392 soil water content increases during soil ice thawing period. The higher deep soil layer liquid water
393 content in OGN is mainly because the soil hydraulic conductivity is higher for organic soil than
394 mineral soil, so liquid water in the first-layer can be transported downward quickly into the deeper
395 layers. Although the organic soil layer is only added to the top layer in this study, it still can affect
396 the deep layer due to the infiltration characteristics of the topsoil.

397 The water retention characteristics of the organic soil horizon favor both higher water
398 retention and reduced evaporation. The thermal conductivity is lower compared with that of the
399 mineral soil, which then prevents the deeper soil to warm up rapidly after snowmelt season. The
400 lower thermal conductivity of the top organic soil affects the annual cycle of the ground heat flux.
401 In summer, the top layer is warmer than the deep layers, the ground heat flux then transfers heat
402 downward. Because air temperature is lower than land surface temperature so heat is transferred
403 upward from soil to the land surface, the lower thermal conductivity of the organic soil can prevent
404 the soil to cool. On the other hand, the snowfall in winter may form a snow layer that will insulate
405 the soil and make the simulations less sensitive to thermal conductivity. This may be the reason
406 why the OGN simulated soil temperature is increased in winter compared to CTL simulations.
407 With the organic soil layer on the top, the lower liquid soil water content in the topsoil layer during
408 winter time (Figure 5) reduces the heat loss through evaporation; the winter soil temperature then
409 becomes significantly higher compared with CTL experiment, while the higher soil water content

429 in the topsoil layer during summer time (Figure 5) increases the heat loss through evaporation; the
430 summer soil temperature then becomes significantly lower compared with CTL experiment.

431

432 4.3 Seasonal cycles of sensible and latent heat flux

433 Simulated differences in top-layer soil temperature and liquid soil water content lead to the
434 differences in simulated surface energy fluxes. Figure 6 show that the CTL run captures the
435 observed monthly mean sensible heat and latent heat flux reasonably well. However, SH is
436 underestimated in spring and overestimated in summer. Accordingly, LH is overestimated in
437 spring and underestimated in summer during most of the time period except for drought years
438 2002-2003 where LH is slightly overestimated. Generally, the OGN simulations show similar
439 characteristics to the CTL, with improved correlation coefficients between observations and
440 simulations: increasing from 0.81 (CTL) to 0.86 (OGN) for SH and from 0.94 (CTL) to 0.96 (OGN)
441 for LH (Figure 7). Overall, both CTL and OGN perform better in winter with snow cover, and the
442 differences between CTL and OGN is small. During the spring snow-melting season, the OGN
443 results are much better than the CTL (Figures 6 and 7).

444 The OGN simulations also improved the underestimation of SH in spring in CTL, but it
445 still overestimates summer SH. The reason for high bias in summer SH will be further discussed
446 in Section 4.4. SH and especially LH show improvement in OGN compared to CTL, which is
447 related to timing of soil thaw and warming in spring. CTL thaws the soil too early causing a
448 premature rise in LH in spring (April-May) and an associated underestimation of spring SH. The
449 spring (April-May) fluxes are much improved in the OGN parameterization. However, both OGN
450 and CTL retain a serious positive bias in SH from June-September, especially for wet years. The
451 reduction of surface layer saturation levels in OGN led to lower soil evaporation and associated

453 reductions in the total latent heat flux, and the reduction of LH is accompanied by a rise in SH
454 (Figure 6).

456 *4.4 Impact of organic soil on diurnal cycle of surface energy and hydrology.*

457 The quality of nighttime flux-tower data is questionable (Chen et al. 2015), especially for
458 OAS located at boreal forest. Therefore, we focused our analysis on daytime observation data. In
459 general, the OGN parameterization improved the simulation of daily daytime LH in terms of both
460 RMSE and IOA, and increased IOA for SH (Table 3). Nevertheless, compared with CTL, OGN
461 increased the bias in SH slightly by ~6% (Table 3).

462 For the 12-year simulation period, the study site experienced a prolonged drought,
463 beginning in July 2001 and extended throughout 2002 and 2003. We choose year 2002 and 2003
464 to represent typical drought years, and year 2005 and 2006 to represent typical wet years (Figure
465 2), to examine the effect of the organic soil under different climate conditions. For drought years
466 (2002-2003), OGN increased daytime SH especially in spring, and slightly decreased SH at
467 nighttime (Figure 8a, b, c, and d). LH is well simulated by both OGN and CTL (Figure 8e, f, g,
468 and h), with OGN reducing daytime LH slightly. OGN overestimates daytime SH compared with
469 observations, while CTL underestimates daytime SH for spring (Figure 8a) and both OGN and
470 CTL slightly overestimates SH for summer, autumn and winter (Figure 8b, c, d). OGN has a major
471 impact on the daily cycle of soil temperature. Consistent with discussions in Section 4.2, the soil
472 temperature below 10 cm simulated by OGN is lower in summer and higher in winter than that of
473 the CTL simulation, and the OGN simulation has less bias than the CTL simulation (Figure 4). In
474 OGN simulation, the water moves faster into deep layers than in CTL simulation, leading to more
475 infiltrated water in the deep soil and hence higher base flow. Consequently, the total runoff is

494 increased. Due to the high soil porosity of the organic soil, OGN simulation shows higher soil-ice
495 fraction at the top soil layer during the freezing periods. The higher water capacity and higher soil-
496 ice fraction of the organic soil then reduce liquid water content/soil moisture, these further lead to
497 less evaporation (i.e., latent heat flux) during freezing periods (Spring), and a compensating
498 increase of the sensible heat flux.

499 For wet years (Figure 9), OGN produces in general higher daytime SH than CTL. For
500 spring, OGN simulated SH agrees with the observation better than CTL, but it is similar to or
501 slightly worse than CTL for other seasons. Simulated LH for both OGN and CTL agree with
502 observations well, with a significant improvement by OGN in spring. Note that the OGN
503 simulation also improves latent heat fluxes significantly in drought years, because the snowmelt
504 process dominates during spring months. For other seasons, the OGN results are fairly close to
505 CTL.

506 It is clear from Figures. 4, 8 and 9 that in both CTL and OGN, summer sensible heat fluxes
507 are overestimated for wet and dry years. We hypothesized that such high bias in summer sensible
508 heat flux is partly attributed to energy imbalance in observations, and calculated the energy balance
509 residual term: $R_{net}-(SH+LH+G)$ for summer month (June, July, and August). In wet years, GFX
510 in CLT and OGN is close to observed values; modeled latent heat flux is underestimated by ~ 10
511 W/m^2 ; modeled sensible heat flux is overestimated by $\sim 30 W/m^2$; and the residual term is ~ 17
512 W/m^2 . Hence, it is reasonable to argue that the surface energy imbalance ($\sim 17 W/m^2$) in
513 observations contributes to a large part of the $\sim 30 W/m^2$ high bias in sensible heat fluxes. In dry
514 years, the summer energy imbalance ($\sim 15 W/m^2$) is nearly equal to the high bias in sensible heat
515 flux ($\sim 15 W/m^2$).

520 4.5 Impact of an organic soil horizon on annual cycle of surface energy and hydrology

521 In the last section, it is clear that the incorporation of the top organic layer helps improve
522 the simulation of the diurnal cycle of the surface energy and hydrologic components in spring
523 season. In the following, we focus on a detailed analysis of the annual cycle of the surface energy
524 and hydrology variables for "dry" (Figure 10) versus "wet" years (Figure 11). Between June and
525 September as shown in Figure 10h, the upper two soil layers were unfrozen. The topsoil is wetter
526 in OGN for both dry and wet years compared with CTL because organic soil can retain more water.
527 As discussed in section 4.2, for the deep soil layers, the liquid water content is influenced by the
528 soil temperature and the movements of the soil liquid water content between soil layers. Since the
529 soil hydraulic conductivity is higher for OGN than mineral soil, the water moves faster into deep
530 soil layers than CTL, therefore the OGN simulate higher soil liquid water content in deep layers.
531 The total soil column liquid water content keeps increasing before the soil temperature reaches
532 above the freezing point (Figure 10. g, 11. g), which is because the deep soil temperature is usually
533 higher than the top soil so ice get melts earlier in deep layer.

534 By adding an organic soil layer, the soil ice content becomes higher due to higher porosity.
535 For dry years, the impact of the organic soil on surface and sub-surface runoff is not significant
536 (Figure 10e, f). The increase in the summer latent heat flux and sensible heat flux are compensated
537 by a decrease in soil heat flux, leading to a significant decrease in summer soil temperature. In
538 winter, the latent and sensible heat fluxes are not modified by the organic soil, but increased soil
539 heat flux leads to an increased soil temperature in winter. The most prominent change by adding
540 organic soil layer is the partition between vegetation transpiration and direct ground evaporation
541 (Figure 12a and b) where the OGN simulation decreased ground surface evaporation.

547 For wet years (Figure 11), the impact of the organic soil on surface and sub-surface runoff
548 becomes more significant, especially for sub-surface runoff. The organic soil increases the surface
549 runoff during the spring snowmelt season, and increases the sub-surface runoff throughout the year.
550 Because of the higher surface layer soil ice content, the increase of subsurface flow should be due
551 to the OGN producing a wetter soil profile. The sensible heat flux also increases significantly in
552 spring, with an associated reduction in latent heat flux and soil heat flux. The summer soil
553 temperature also decreases but in a less degree than that in dry years, because the soil heat flux
554 decreases less compared with dry years. Unlike dry years, there is a significant runoff change in
555 wet years, and the ground evaporation is also decreased (Figure 12c and d). OGN produces more
556 soil-ice content and higher soil porosity, and leads to higher total soil water content than CTL
557 simulations as the higher ice content severely restricts movement of water out of the soil column.
558 In wet season, by adding an organic topsoil layer, the total column soil water increases due to the
559 infiltration of the soil water into the deep soil. This then leads to an increase in the sub-surface
560 runoff. As the consequence, the volumetric liquid water becomes higher in summer for OGN
561 compared with CTL simulation.

562

563 5. Summary and Conclusions

564 In this study, the Noah-MP LSM was applied at the BERMS Old Aspen site to investigate
565 the impact of incorporating a realistic organic soil horizon on simulated surface energy and water
566 cycle components. This site has an about 8-10 cm deep organic forest-floor soil horizon, typical
567 of boreal deciduous broadleaf forests.

568 When including, for the first time, an organic-soil parameterization within the Noah-MP
569 model, simulated sensible heat flux and latent heat flux are improved in spring, especially in wet

580 years, which is mostly related to the timing of spring soil thaw and warming. However, in summer
581 the model overestimated sensible heat fluxes. Such high bias in summer sensible heat flux is
582 largely attributed to surface-energy imbalance in observations, especially in dry years. Due to
583 lower thermal conductivity, the OGN simulated soil temperature was decreased during summer
584 and slightly increased during winter compared with the CTL simulation, and the OGN simulated
585 soil temperature (10-100cm), were more consistent with observations and with previous studies
586 (Lawrence and Slater 2008). Simulated top-layer soil moisture is better in OGN than in CTL in
587 summer but worse in winter.

588 Also, due to higher porosity of the organic soil, the OGN simulation was able to retain
589 more soil water content in summer. However, in winter, the OGN experiment produced less liquid
590 soil-water content due to the lower temperature and higher porosity. Since most of the soil moisture
591 is stored in soil ice, the liquid water content is reduced. However, the effects of including an
592 organic soil layer on soil temperature are not uniform throughout the soil depth and year, and those
593 effects are more prominent in summer and in deep soils.

594 For drought years, the OGN simulation substantially modified the partition between direct
595 soil evaporation and vegetation transpiration. When water is limited in drought years, the OGN
596 simulation significantly reduced the direct soil evaporation but still produced higher summer total
597 evapotranspiration. Increased latent heat flux and sensible heat flux in summer in OGN are
598 compensated by decreased soil heat flux, leading to reduced soil temperature in summer. For wet
599 years, the OGN simulated latent heat fluxes are similar to CTL except for spring season where
600 OGN produced less evaporation. In addition, the impact of the organic soil on sub-surface runoff
601 is substantial, with much higher runoff throughout the season.

608 This preliminary study explored the effects of incorporating organic soil parameterization
609 in Noah-MP on the surface energy and water cycles, for one flux site in a boreal forest area. Given
610 the important role of boreal forests in the regional climate system through reducing winter albedo
611 and also acting as a carbon sink and water source to the atmosphere, further work is needed to
612 evaluate the Noah-MP with organic-soil parameterization at regional scales. We plan to evaluate
613 the performance of the offline Noah-MP model and Noah-MP coupled with WRF for a broad
614 boreal forest region including Alberta and Saskatchewan.

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Table 1. Noah-MP Parameterization Options Used in this Study

| <u>Parameterizations Description</u> | <u>Options</u> |
|---|---|
| <u>Dynamic vegetation</u> | <u>4: table LAI, shdfac=maximum</u> |
| <u>Stomatal resistance</u> | <u>1: BALL-Berry (Ball et al., 1987)</u> |
| <u>Soil moisture factor for stomatal resistance</u> | <u>1: original Noah (Chen and Dudhia, 2001)</u> |
| <u>Runoff/soil lower boundary</u> | <u>2: TOPMODEL with equilibrium water table (Niu et al. 2005)</u> |
| <u>Surface layer drag Coefficient calculation</u> | <u>1: Monin-Obukhov (Brutsaert, 1982)</u> |
| <u>Supercooled liquid water</u> | <u>2: Koren's iteration (Koren et al., 1999)</u> |
| <u>Soil permeability</u> | <u>1: linear effects, more permeable (Niu and Yang, 2006)</u> |
| <u>Radiative transfer</u> | <u>3: two-stream applied to vegetated fraction</u> |
| <u>Ground surface albedo</u> | <u>2: CLASS (Versegny, 1991)</u> |
| <u>Precipitation partitioning between snow and rain</u> | <u>1: Jordan (Jordan, 1991)</u> |
| <u>soil temp lower boundary</u> | <u>1: zero heat flux</u> |
| <u>snow/soil temperature time</u> | <u>1: semi-implicit</u> |

811 **Table 2.** Soil parameters used in Noah-MP for mineral soil texture classes (SANDY CLAY
 812 LOAM) and organic soil.

| Soil Type | λ_s ($\text{W m}^{-1} \text{K}^{-1}$) | λ_{sat} ($\text{W m}^{-1} \text{K}^{-1}$) | λ_{dry} ($\text{W m}^{-1} \text{K}^{-1}$) | c_s ($\text{J m}^{-3} \text{K}^{-1} \cdot 10^6$) | θ_{sat} | K_{sat} ($\text{m s}^{-1} \times 10^{-3}$) | Ψ_{sat} (mm) | b |
|-----------|--|--|--|---|----------------|---|----------------------|------|
| Mineral | 6.04 | 2.24 | 0.23 | 2.0 | 0.421 | 0.00445 | -135 | 6.77 |
| Organic | 0.25 | 0.55 | 0.05 | 2.5 | 0.9 | 0.100 | -10.3 | 2.7 |

813 The soil parameters are λ_s is the thermal conductivity of soil solids, λ_{sat} is the unfrozen saturated
 814 thermal conductivity, λ_{dry} is the dry soil thermal conductivity, c_s is the soil solid heat capacity,
 815 θ_{sat} is the saturated volumetric water content (porosity), K_{sat} is the saturate hydraulic
 816 conductivity, Ψ_{sat} is the saturated matric potential, and b is the Clapp and Hornberger parameter.

819 **Table 3.**
 821 Averaged statistical indices for CTL and OGN simulated SH and LH compared with the
 822 observations for each year [daytime, 0800-1600 local time (LT)] (R^2 : correlation coefficient square;
 823 RMSE: root mean square error; IOA: index of agreement).

| Year | SH | | | | | | LH | | | | | |
|------|-------|-------|------|-------|-------|------|-------|-------|------|-------|-------|------|
| | CTL | | | OGN | | | CTL | | | OGN | | |
| | R^2 | RMSE | IOA | R^2 | RMSE | IOA | R^2 | RMSE | IOA | R^2 | RMSE | IOA |
| 1998 | 0.57 | 77.58 | 0.84 | 0.68 | 81.48 | 0.85 | 0.72 | 50.76 | 0.92 | 0.80 | 43.10 | 0.94 |
| 1999 | 0.62 | 62.22 | 0.88 | 0.73 | 69.45 | 0.88 | 0.72 | 46.26 | 0.92 | 0.81 | 37.73 | 0.95 |
| 2000 | 0.62 | 68.17 | 0.88 | 0.72 | 74.00 | 0.88 | 0.70 | 47.34 | 0.91 | 0.76 | 43.45 | 0.92 |
| 2001 | 0.74 | 58.82 | 0.91 | 0.80 | 65.40 | 0.91 | 0.79 | 38.55 | 0.94 | 0.85 | 32.84 | 0.96 |
| 2002 | 0.76 | 65.97 | 0.92 | 0.78 | 69.48 | 0.92 | 0.71 | 35.84 | 0.91 | 0.73 | 37.17 | 0.92 |
| 2003 | 0.77 | 55.61 | 0.93 | 0.79 | 56.11 | 0.94 | 0.70 | 37.38 | 0.91 | 0.73 | 41.28 | 0.90 |
| 2004 | 0.71 | 61.82 | 0.91 | 0.77 | 63.95 | 0.92 | 0.74 | 40.08 | 0.92 | 0.78 | 36.79 | 0.94 |
| 2005 | 0.64 | 62.84 | 0.89 | 0.79 | 61.24 | 0.92 | 0.69 | 49.36 | 0.91 | 0.82 | 34.61 | 0.95 |
| 2006 | 0.56 | 68.50 | 0.85 | 0.71 | 70.91 | 0.88 | 0.72 | 54.23 | 0.92 | 0.85 | 41.14 | 0.95 |
| 2007 | 0.63 | 64.78 | 0.88 | 0.75 | 66.14 | 0.91 | 0.73 | 51.66 | 0.92 | 0.84 | 38.35 | 0.95 |
| 2008 | 0.71 | 60.46 | 0.91 | 0.78 | 68.51 | 0.91 | 0.73 | 47.69 | 0.92 | 0.85 | 36.07 | 0.96 |
| 2009 | 0.70 | 62.83 | 0.90 | 0.76 | 68.13 | 0.91 | 0.76 | 40.79 | 0.93 | 0.81 | 36.57 | 0.95 |

824

828 **Figure Captions:**

829

830 Figure 1. The location of the study site (Old Aspen Flux Tower)

831 **Figure 2.** Monthly air temperature above canopy and precipitation at BERMS SK-OAS site

832 **Figure 3.** Averaged spin-up time (in years) for individual variables.

833 **Figure 4.** Observed and Noah-MP-simulated monthly soil temperature for BERMS SK-OAS site

834 at a depth of (a) top 10 cm, (b) 10-40 cm, and (c) 40-100 cm

835 **Figure 5.** Observed and Noah-MP-simulated monthly soil moisture for BERMS SK-OAS site at

836 a depth of (a) top 10 cm, (b) 10-40 cm, and (c) 40-100 cm

837 **Figure 6.** Observed and the Noah-MP simulated (CTL and OGN) monthly sensible and latent heat

838 flux above canopy

839 **Figure 7.** Scatterplots of the monthly-averaged (a) sensible, (b) latent heat fluxes ($W m^{-2}$) for

840 CTL versus the observation above canopy; the monthly-averaged (c) sensible, (d) latent heat fluxes

841 ($W m^{-2}$) for OGN versus the observation above canopy. The color represents each month from

842 January (1) to December (12).

843 **Figure 8.** Comparison of the seasonal averaged diurnal cycle of the sensible and latent heat fluxes

844 at OAS site for drought years

845 **Figure 9.** Comparison of the seasonal averaged diurnal cycle of the sensible and latent heat fluxes

846 at OAS site for wet years

847 **Figure 10.** Annual cycle of selected surface energy and hydrologic cycle fields for drought years.

848 Black line is the observation. Black line is the observation. Note that (a) is the observed

849 precipitation, (b) is sensible heat flux, (c) is latent heat flux, (d) is ground heat flux, (e) is surface

850 runoff, (f) is underground runoff, (g) is the total column soil liquid water content changes, (h) is

851 the total column soil ice water content changes.

863 **Figure 11.** Annual cycle of selected surface energy and hydrologic cycle fields for wet years.
864 Black line is the observation. Note that (a) is the observed precipitation, (b) is sensible heat flux,
865 (c) is latent heat flux, (d) is ground heat flux, (e) is surface runoff, (f) is underground runoff, (g) is
866 the total column soil liquid water content changes, (h) is the total column soil ice water content
867 changes.

868 **Figure 12.** Water budgets: blue lines are accumulated surface runoff (mm), blue dots are
869 accumulated underground runoff (mm), red lines are accumulated evaporation of intercepted water
870 (mm), red dots are accumulated ground surface evaporation (mm), red dash lines are accumulated
871 transpiration (mm), green lines are snow water equivalent changes (mm), purple lines are soil
872 water content changes in the soil column (mm), (a) and (b) are averaged for 2002–2003, (c) and
873 (d) are averaged for 2005-2006.

2. Field site and observations

2.1.

2.2 *Meteorology forcing data*

The 30-min meteorological observations at 36-m height from OAS were used as atmospheric forcing data to drive Noah-MP in an uncoupled 1-D mode, including air temperature, specific humidity, wind speed, pressure, precipitation, downward solar, and longwave radiation.

Figure 2 shows the annual mean temperature (1.5 °C) and total precipitation (406 mm) at this site during the study period (1998-2009). The most significant climatic features during the study period are a prolonged drought that began in July 2001 and extended throughout 2003, and an extended wet period from 2004-2007.

Noah-MP Physics Options

There are more than 5000 different combinations of physics parameterization schemes for the 12 sub-land processes in Noah-MP. We only selected the following critical processes to which our preliminary test results are sensitive: (1) CRS: canopy stomatal resistance, (2) BTR: soil

4.3 *Evaluation results*

Table 2. Noah-MP options investigated in this Study

| Process | Options |
|---|---|
| CRS: Canopy stomatal resistance | = 1: BALL-Berry = 2: Jarvis |
| BTR: Soil moisture factor for stomatal resistance | = 1: Noah = 2: CLM = 3: SSiB |
| RUN: Runoff/soil lower boundary | = 1: TOPMODEL with ground water (Niu et al. 2007 JGR) = 2: TOPMODEL with equilibrium water table (Niu et al. 2005 JGR) = 3: original surface and subsurface runoff (free drainage) = 4: BATS surface and subsurface runoff (free drainage) |
| SFC: Surface layer drag Coefficient calculation | = 1: Monin-Obukhov = 2: original Noah = 3: MYJ consistent = 4: YSU consistent |
| FRZ: Supercooled liquid water | = 1: no iteration (Niu and Yang, 2006 JHM) = 2: Koren's iteration |
| INF: Soil permeability | = 1: linear effects, more permeable (Niu and Yang, 2006, JHM) = 2: nonlinear effects, less permeable (old) |
| RAD: Radiative transfer | = 1: modified two-stream = 2: two-stream applied to grid-cell = 3: two-stream applied to vegetated fraction |

Table 3. Averaged statistical indices for SH and LH to the observations (R^2 : correlation coefficient square; RMSE: root mean square error; IOA: index of agreement).

| Options | SH | | | LH | | |
|---------|-------|------|-----|-------|------|-----|
| | R^2 | RMSE | IOA | R^2 | RMSE | IOA |
| | | | | | | |

| | | | | | | | |
|-------------|---|-------|--------|-------|-------|--------|-------|
| CRS | 1 | 0.703 | 76.648 | 0.805 | 0.659 | 47.099 | 0.869 |
| | 2 | 0.663 | 77.821 | 0.795 | 0.606 | 53.028 | 0.852 |
| BTR | 1 | 0.696 | 76.154 | 0.804 | 0.651 | 47.872 | 0.869 |
| | 2 | 0.683 | 77.383 | 0.801 | 0.629 | 50.496 | 0.854 |
| | 3 | 0.669 | 78.166 | 0.795 | 0.618 | 51.823 | 0.859 |
| RUN | 1 | 0.696 | 75.099 | 0.801 | 0.702 | 45.525 | 0.904 |
| | 2 | 0.700 | 74.518 | 0.802 | 0.718 | 44.050 | 0.913 |
| | 3 | 0.668 | 79.455 | 0.798 | 0.564 | 54.774 | 0.822 |
| | 4 | 0.668 | 79.866 | 0.799 | 0.546 | 55.906 | 0.803 |
| SFC: | 1 | 0.707 | 74.134 | 0.890 | 0.667 | 48.736 | 0.871 |
| | 2 | 0.693 | 63.124 | 0.880 | 0.681 | 47.496 | 0.883 |
| | 3 | - | - | - | - | - | - |
| | 4 | 0.649 | 94.445 | 0.630 | 0.550 | 53.959 | 0.829 |
| FRZ: | 1 | 0.688 | 75.193 | 0.795 | 0.728 | 44.341 | 0.916 |
| | 2 | 0.678 | 79.276 | 0.806 | 0.537 | 55.787 | 0.805 |
| INF: | 1 | 0.683 | 77.291 | 0.800 | 0.632 | 50.147 | 0.860 |
| | 2 | 0.683 | 77.177 | 0.800 | 0.633 | 49.981 | 0.862 |
| RAD | 1 | 0.683 | 77.135 | 0.799 | 0.635 | 49.872 | 0.861 |
| | 2 | 0.682 | 77.396 | 0.802 | 0.628 | 50.455 | 0.860 |
| | 3 | 0.684 | 77.171 | 0.799 | 0.635 | 49.865 | 0.861 |

Table 4.



Figure 6. Observed and Noah-MP-simulated monthly soil temperature for BERMS SK-OAS site at a depth of (a) top 10 cm, (b) 10-40 cm, and (c) 40-100 cm

Figure 7

It is my pleasure to review the manuscript "The incorporation of an organic soil layer in the Noah-MP Land Surface Model and its evaluation over a Boreal Aspen Forest" by Chen et al. The Noah-MP land surface model is used to investigate the impact of incorporating a pure organic soil layer on simulating surface energy and water budgets for a Boreal Aspen Forest. Although the incorporation of an organic layer into Noah-MP is new, the author was not able to achieve a consistently better performance year-round in comparison to the default model physics. Besides, there are a couple of significant flaws or misleading expressions. According to this, I suggest to rejecting this paper, but the authors are encouraged to substantially revise the manuscript and re-submit it.

Thank you for your careful reading and thoughtful comments, which help to improve the presentation and scientific content of the manuscript. We have carefully taken them into account when revising the manuscript, and our responses are below is in italics.

My major concerns are as follows:

- 1) In the reply to my previous comments, the author also recognized that below-canopy turbulence and radiation transfer are critical for the winter land-atmosphere interactions. Since the authors also showed that the incorporation of organic layer mainly improved the turbulent heat flux simulations during spring time. I suggest the author should check the work published by "Clark, M. P., et al. (2015), A unified approach for process-based hydrologic modeling: 1. Modeling concept, Water Resour. Res., 51, 2498–2514, doi:10.1002/2015WR017198" and "Zheng, D., et al. (2015), Under-canopy turbulence and root water uptake of a Tibetan meadow ecosystem modeled by Noah-MP, Water Resour. Res., 51, doi:10.1002/2015WR017115", and try to include the new parameterization mentioned in the two papers to check whether the turbulent heat fluxes can be improved. In my opinion, I think the author should first address the existing simulating errors by default Noah-MP, and then do the sensitivity test to investigate the impact of adding an organic layer. Besides, it's better for the author to present the comparison for snow and snow-free period, which will make the reader clearer on how the snow process affecting the evaluation.

Thanks for mentioning these new publications that discuss the issue related to under-canopy turbulence, which are now cited in the manuscript. We recognize that the parameterization schemes of those physical processes need to be improved and Noah-MP has weaknesses in other sub-process parameterizations. Nevertheless, the main objective of this paper is to explore the impact of incorporating organic soil on surface energy and water budgets, rather than comprehensively addressing errors in existing Noah-MP parameterization schemes.

It is a good suggestion to separately evaluate snow and snow-free periods. We calculated the winter (Table 1 below) and summer (Table 2 below) statistics compared between model results and observation data. In general, both CTL and OGN perform better in winter, and the differences between CTL and OGN is small. During the spring snow-melting season, the OGN results are much better than the CTL (Figs 6 and 7).

We modified the Introduction and Section 4.3 to reflect these explanations.

Table 1. Winter averaged statistical indices for CTL and OGN simulated SH and LH compared with the observations for each year [daytime, 0800-1600 local time (LT)] (R²: correlation coefficient square; RMSE: root mean square error; IOA: index of agreement).

| Year | SH | | | | | | LH | | | | | |
|------|----------------|-------|------|----------------|-------|------|----------------|------|------|----------------|------|------|
| | CTL | | | OGN | | | CTL | | | OGN | | |
| | R ² | RMSE | IOA | R ² | RMSE | IOA | R ² | RMSE | IOA | R ² | RMSE | IOA |
| 1998 | 0.40 | 48.20 | 0.76 | 0.40 | 48.16 | 0.76 | 0.33 | 8.16 | 0.55 | 0.34 | 8.30 | 0.52 |
| 1999 | 0.45 | 43.17 | 0.80 | 0.45 | 43.12 | 0.80 | 0.10 | 7.75 | 0.51 | 0.06 | 8.00 | 0.44 |
| 2000 | 0.42 | 57.85 | 0.78 | 0.45 | 56.95 | 0.79 | 0.45 | 8.85 | 0.58 | 0.49 | 9.20 | 0.54 |
| 2001 | 0.66 | 41.30 | 0.88 | 0.66 | 40.83 | 0.89 | 0.20 | 5.63 | 0.57 | 0.15 | 5.90 | 0.53 |
| 2002 | 0.71 | 36.50 | 0.90 | 0.72 | 36.90 | 0.90 | 0.16 | 5.91 | 0.57 | 0.11 | 6.12 | 0.51 |
| 2003 | 0.57 | 44.95 | 0.86 | 0.58 | 43.96 | 0.86 | 0.11 | 5.31 | 0.51 | 0.08 | 5.46 | 0.49 |
| 2004 | 0.47 | 39.52 | 0.82 | 0.47 | 39.81 | 0.82 | 0.24 | 6.31 | 0.60 | 0.22 | 6.41 | 0.58 |
| 2005 | 0.61 | 39.14 | 0.86 | 0.62 | 38.40 | 0.87 | 0.20 | 5.83 | 0.57 | 0.16 | 6.02 | 0.54 |
| 2006 | 0.67 | 44.64 | 0.89 | 0.67 | 44.12 | 0.89 | 0.19 | 7.84 | 0.55 | 0.18 | 7.91 | 0.54 |
| 2007 | 0.59 | 42.89 | 0.87 | 0.59 | 42.79 | 0.87 | 0.06 | 7.07 | 0.42 | 0.04 | 7.18 | 0.40 |
| 2008 | 0.67 | 36.96 | 0.89 | 0.67 | 36.98 | 0.90 | 0.26 | 4.59 | 0.67 | 0.23 | 4.76 | 0.64 |
| 2009 | 0.68 | 40.49 | 0.89 | 0.71 | 38.74 | 0.90 | 0.14 | 5.92 | 0.58 | 0.11 | 6.10 | 0.55 |

Table 2 Summer averaged statistical indices for CTL and OGN simulated SH and LH compared with the observations for each year [daytime, 0800-1600 local time (LT)] (R²: correlation coefficient square; RMSE: root mean square error; IOA: index of agreement).

| Year | SH | | | | | | LH | | | | | |
|------|----------------|--------|------|----------------|--------|------|----------------|-------|------|----------------|-------|------|
| | CTL | | | OGN | | | CTL | | | OGN | | |
| | R ² | RMSE | IOA | R ² | RMSE | IOA | R ² | RMSE | IOA | R ² | RMSE | IOA |
| 1998 | 0.53 | 106.31 | 0.66 | 0.54 | 112.11 | 0.65 | 0.62 | 68.95 | 0.87 | 0.61 | 68.25 | 0.88 |
| 1999 | 0.67 | 76.59 | 0.78 | 0.69 | 86.36 | 0.76 | 0.69 | 57.77 | 0.90 | 0.67 | 59.45 | 0.90 |
| 2000 | 0.60 | 79.31 | 0.78 | 0.64 | 92.61 | 0.76 | 0.63 | 67.26 | 0.87 | 0.62 | 69.72 | 0.86 |
| 2001 | 0.75 | 73.46 | 0.84 | 0.76 | 79.30 | 0.82 | 0.71 | 55.57 | 0.89 | 0.70 | 52.40 | 0.91 |
| 2002 | 0.67 | 79.90 | 0.86 | 0.67 | 81.28 | 0.86 | 0.43 | 57.70 | 0.80 | 0.47 | 60.90 | 0.81 |
| 2003 | 0.69 | 61.00 | 0.90 | 0.68 | 62.23 | 0.90 | 0.41 | 58.77 | 0.78 | 0.43 | 69.58 | 0.75 |
| 2004 | 0.68 | 70.28 | 0.88 | 0.72 | 72.96 | 0.88 | 0.61 | 60.22 | 0.87 | 0.62 | 60.53 | 0.88 |
| 2005 | 0.72 | 66.18 | 0.84 | 0.77 | 76.55 | 0.81 | 0.72 | 51.57 | 0.92 | 0.72 | 52.06 | 0.92 |
| 2006 | 0.64 | 74.70 | 0.78 | 0.70 | 89.64 | 0.74 | 0.70 | 62.20 | 0.89 | 0.67 | 65.86 | 0.88 |
| 2007 | 0.71 | 66.18 | 0.86 | 0.76 | 77.99 | 0.83 | 0.73 | 58.84 | 0.91 | 0.71 | 60.97 | 0.91 |
| 2008 | 0.71 | 70.14 | 0.84 | 0.76 | 76.15 | 0.83 | 0.68 | 60.62 | 0.89 | 0.70 | 56.86 | 0.90 |
| 2009 | 0.60 | 83.78 | 0.82 | 0.64 | 89.44 | 0.81 | 0.65 | 61.37 | 0.88 | 0.64 | 61.49 | 0.89 |

- 2) In the reply to my previous comments, the author mentioned they carried out sensitive test to investigate the different parameter values proposed by Lawrence and Slater (2008) and Letts et al. (2000). I think the authors should include the results of the sensitive test in the manuscript, and to show clearly how the different parameter values will affect the simulated water and energy budgets.

Good point. In Section 3.1, we performed parameter sensitivity tests and the results are shown in the two figures below (not shown in the manuscript), but we added the following sentences to address the raised issue:

To investigate impacts of uncertainties of those parameters on simulations, we also conducted sensitive tests for key parameters such as saturated hydraulic conductivity, porosity, suction, and Clapp and Hornberger B parameter. Those parameters were perturbed within 5-20% range (except for hydraulic conductivity that is changed over 4 times below and above the default value) following the work of Letts et al. (2000). Results showed that the simulated soil moisture is not sensitive to these parameters perturbations, and the simulated soil moisture and temperature results are not sensitive to the changes in porosity, saturated suction, hydraulic conductivity. This implies that the model results are not sensitive to uncertainty in each specific soil parameter, but more sensitive to differences in physical properties between CTL and OGN. Therefore, we decided to use Lawrence and Slater (2008) and Letts et al. (2000) recommended values instead, which produced soil moisture and soil temperature close to observations (see Table 2).

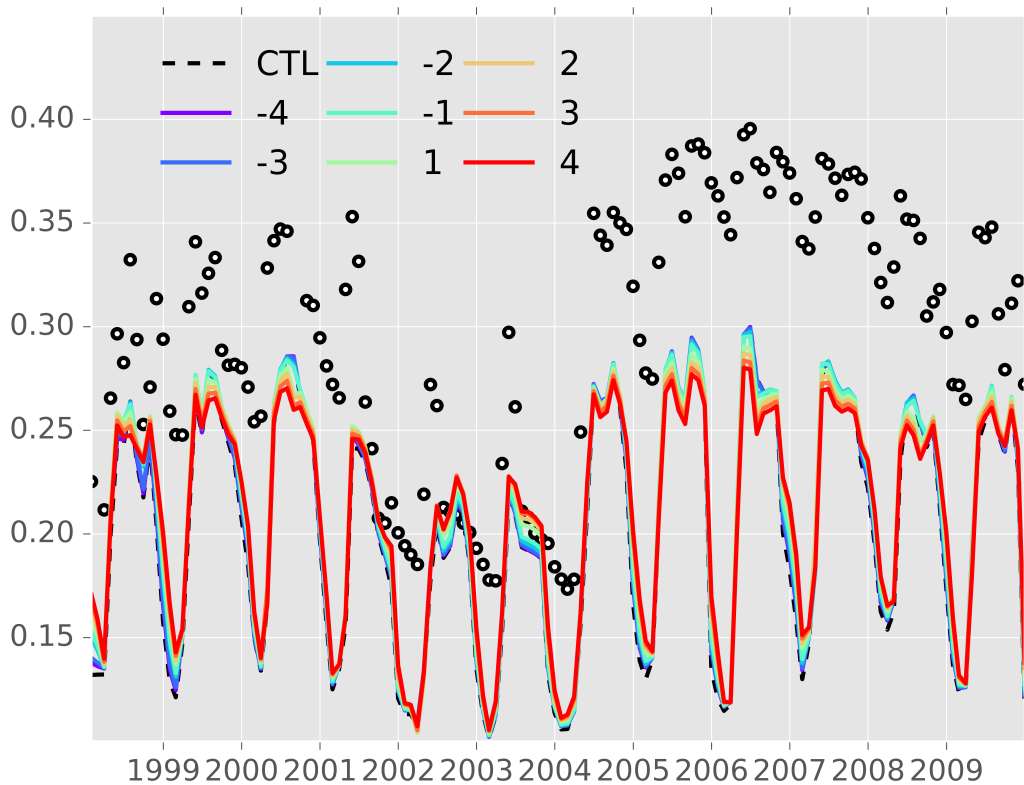


Figure 1. Sensitivity of total soil column liquid water content to varying hydraulic conductivity.

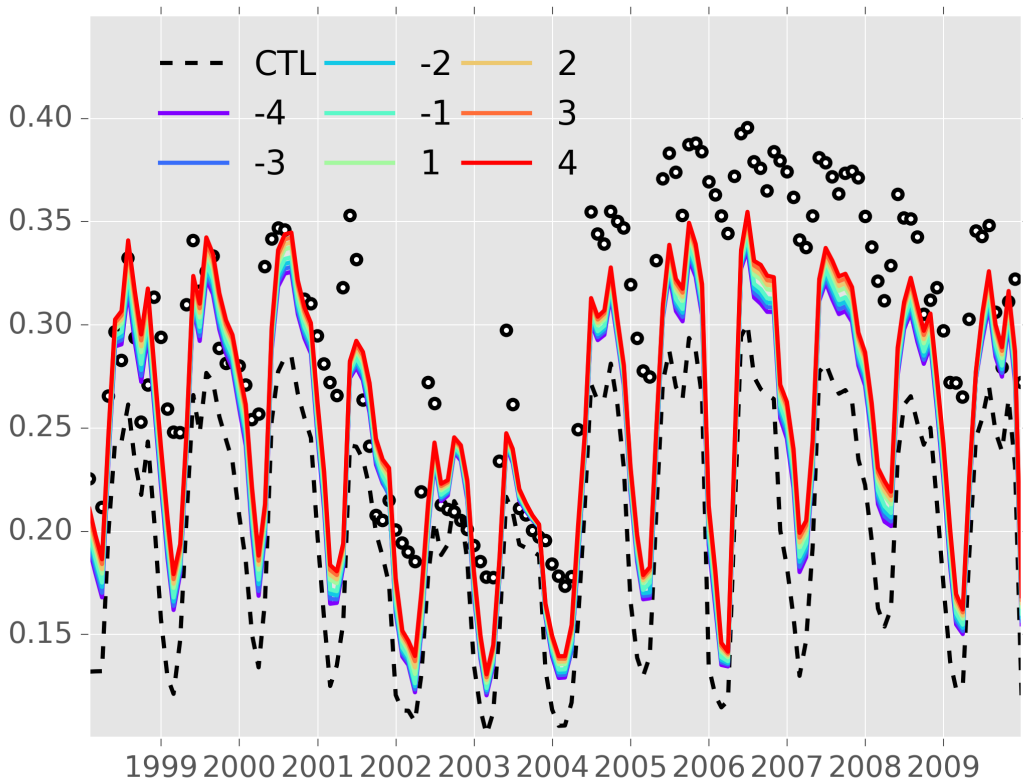


Figure 2. Sensitivity of total soil column liquid water content to varying porosity.

- 3) In the reply to my previous comments, the author argues that Noah and Noah-MP have been tested in many literatures with reasonable results. I remind the author to check in which case the Noah and Noah-MP were used. The diffusive form of Richards equation is generally used in Noah or Noah-MP for two conditions: one is the assumption of homogeneous soil column, and the other is for large scale simulation that the soil moisture is rarely saturated in the soil column in large grids. However, this study tried to introduce the organic soil layer (i.e. heterogeneous soil column) and shallow groundwater dynamic (the groundwater level is around 1-5 m), which thus is not suitable to keep using the diffusive form of Richards equation. I think the author should replace the diffusive form of Richards equation with the mixed form of Richards equation and to check how this will affect the simulation.

This is a good idea for future investigation. Again, a comprehensive assessment of other Noah-MP parameterization schemes (e.g., Richards equation) is beyond the scope of the current study. Noah-MP has been verified over many river basins and some of these basins have a shallow water table (see Niu et al. 2011 and Yang et al. 2011).

- 4) For the model spin-up, the author set 10 years based on the default Noah-MP model run without groundwater scheme. Then the author included the groundwater scheme in the control experiment. According the work by Cai et al. (2014) also cited in the manuscript, the time needed for the groundwater level is around 55 years. So I wonder whether the groundwater level reached its equilibrium or not. I think the author should select the spin-up time with the groundwater scheme included.

For Cai's paper, the spin-up time takes a long time in extreme drought areas, and the water depth is deeper than that in our site where the water table depth is shallower (less than 2.5 m). So it takes ~7 years for water table depth to reach equilibrium. Our spin-up results showed a slower spin up with the freezing/thawing processes, and we set 10 years for the spin-up time for all the experiments discussed here. Text in Section 4.1 was modified to reflect this point.

- 5) The author showed that the inclusion of organic layer slightly improved the simulation of sensible heat flux during spring time (Figures 4 and 9) as well as improved the simulation of soil temperature (Figure 6). However, the authors also showed that the inclusion of organic matter degraded the simulation of surface soil moisture (Figure 7a) as well as turbulent heat flux during summer period (Figures 8 and 9). The author concluded in the abstract as well as in the manuscript that “the OGN show significantly improved performance of the model in surface energy fluxes and hydrology”, which is obviously wrong due to the contents presented in the manuscript. If the inclusion of organic matter significantly degraded the simulation of soil moisture and turbulent heat flux during summer period, which may imply that it should be careful to include the organic matter scheme for the current and future study, unless the author is able to show consistent improvement can be achieved.

The text, abstract, and conclusions are modified to explain the improvements and degradation of using the organic parameterization in Noah-MP for soil moisture, soil temperature, and surface heat fluxes. Interpretation of high bias in summer sensible heat fluxes in OGN is presented in Section 4.4.

- 6) The author argued that the soil moisture measurement may be unreliable for winter time, and it's difficult to justify which simulation is better between the CTL and OGN for the surface soil moisture during frozen period (Figure 7a). Actually, from Figure 7a we can find that the simulated liquid soil moisture approaching zero with OGN model run, which is however inconsistent with previous finding that (e.g. “Guo-Yue Niu and Zong-Liang Yang, 2006: Effects of Frozen Soil on Snowmelt Runoff and Soil Water Storage at a Continental Scale. J. Hydrometeor, 7, 937–952.”) there is still liquid water below minus 10°C. Since the improvement of sensible heat flux during spring time and soil temperature is associated with the surface soil moisture simulation (see Lines 297-299), the conclusion in this manuscript is not robustness if the author cannot justify whether the soil moisture simulation is improved or degraded. I think the author should carry out more analysis to justify the inclusion of OGN can improve the simulation of soil moisture year-round.

The relationship alluded to in Niu and Yang (2006) defines the maximum amount of liquid water that can be present at a given temperature and soil type (based on saturated matric potential and C-H b parameter). Using the mineral parameters in Table 2, at -10C the maximum liquid content is 25% of the porosity while for the organic soil the maximum liquid content is only 1% of the porosity (due to both lower b parameter and lower potential) so very little liquid is predicted in the organic soil in winter.

- 7) There are several misleading or incomplete expressions in the manuscript, and I think the author should add more careful expression to the results they presented. For instance:

- a. Line 246: I think the thinner snowpack provides less insulation causing the increase of evaporation, not the less precipitation/snow.

The original sentence is replaced by “when the thinner snowpack provides less insulation, leading to higher evaporation, which reduces soil moisture.”

- b. Line 247: the OGN produce lower soil moisture during winter time but higher soil moisture during summer time, the seasonal difference should be mentioned.

The text was revised to reflect it and now reads “With an organic soil horizon, the OGN produces lower (higher) liquid soil water content during winter (summer) in the topsoil layer (Figure. 5). Lower (higher) soil moisture reduces (increases) thermal heat conductivity, and results in higher (lower) winter (summer) soil temperature in OGN as compared to CTL.”

- c. Line 323: I think the increase of runoff is due to the increase of base flow that more water is available in the deep soil layer, the author should present this more logistically.

Correct. Revised the sentence to read “In OGN simulation, the water moves faster into deep layers than in CTL simulation, leading to more infiltrated water in the deep soil and hence higher base flow. Consequently, the total runoff is increased.”

- d. Line 361: I think the OGN increase surface runoff due to the more production of ice content, which will however reduce the infiltration of water into the soil column and thus reducing the subsurface flow. The reason for the increase of subsurface flow is due to the OGN produce wetter soil profile. The author should present this more logistically.

Revised “because of the higher surface layer soil ice content, the increase of subsurface flow is due to the OGN producing a wetter soil profile”

- e. Line 367: More soil-ice content dose not necessary lead to wetter water content, the presentation should be more logistically.

Replaced “OGN produces more soil-ice content and higher soil porosity, and leads to higher deep-soil-layer soil water content than CTL simulations.” By “OGN produces more soil-ice content and higher soil porosity, and leads to higher total soil water content than CTL simulations as the higher ice content severely restricts movement of water out of the soil column.”

- f. Line 383: From the content, the OGN does not significantly improve the performance.

This entire paragraph in “Summary” is revised to explain the specific improvements and degradation in the OGN simulation compared to the CTL simulation.

- g. Line 390: I think the simulated liquid soil moisture produced by OGN should be related to the hydraulic parameters like porosity, saturated air potential and b parameter.

Yes, I agree.

h. Line 401: From the manuscript I did not see the nighttime simulation, why the author mentioned in the conclusion? I lack context.

The mentioning of nighttime results is deleted from conclusion, although the qualitative comparison of the diurnal cycle of heat fluxes between model and observation are shown in Figs. 8 and 9, and nighttime OGN results are fairly close to CTL results.

The minor concerns are as follows:

Line 225: the text here did not reflect the figures correctly.

This section has been deleted in the revised manuscript.

2) It's better to add explanation to the legend of color bar, and it's also suggested to add RMSE and IOA results in the figures.

Added color bar explanation in the caption and RMSE and IOA statistics in Figure 7.

3) Line 279: It sounds strange to mention figure 12 before figures 8-11, can the authors present this in a more logistic way?

Delete the sentence "Simulated summer evaporation from the ground is smaller for OGN than CTL (Figure 12)."

4) For the paragraph between Lines 278-299, can the author reorganize this paragraph? It's difficult to follow the logistics.

This section is removed and a more concise explanation about Noah-MP option selection is in Section 3.1.

5) Since the OGN affect both daytime and nighttime simulations, I cannot understand the author only presented the daytime results in Table 4. Maybe it's better to show the comparisons for daytime and nighttime separately in two tables.

The quality of nighttime flux-tower data is questionable (e.g., Chen et al. 2015), especially for the OAS located at boreal forest. Therefore, we focused our quantitative evaluation of daytime heat fluxes. However, the qualitative comparison of diurnal cycle of heat fluxes between model and observation are shown in Figs. 8 and 9, and OGN nighttime results are fairly close to the CTL results. .

Authors: Liang Chen et al. General Comments: The paper has scientific relevance in evaluating the performance of the Noah-MP for boreal forest site. In addition, a parameterization was included in the Noah-MP LSM to represent the vertical heterogeneity in the soil structure, through the introduction of an organic soil layer. Such efforts contribute to the improvement of land surface models. However, the manuscript needs major revisions to be accepted.

The authors need to rewrite the results to correlate them with the proposed objective. For example: 1) Describe the results of the figure X which are relevant to the purpose of the manuscript. 2) Discuss these results.

Thank you for your thoughtful suggestions, which are very helpful to guide us to clarify and improve the manuscript. We much appreciate it! Our responses to your questions are in italics.

According to the figures presented in the manuscript, the OGN simulation is better than the CTL simulation, for the sensible heat flux in spring, the soil temperature at depths of 10-40cm and 40-100cm, and the soil moisture at 40-100cm. The latent heat flux and soil temperature at the topsoil layer from the OGN simulation are very close to the CTL simulation. The soil moisture at the topsoil layer from the OGN simulation presents a worse performance than the CTL simulation, compared with the observations. However, for wet years, there are an improvement (closer to the observations) in the latent and sensible heat fluxes and volumetric liquid water from the OGN simulation in relation to the CTL simulation during spring. So, the authors should review the affirmation below presented in the abstract, and mentioning carefully their principal results.

Excellent suggestions. We have carefully reviewed the results and substantially revised the abstract that reads as:

“A thick top layer of organic matter is a dominant feature in boreal forests and can impact land-atmosphere interactions. In this study, the multi-parameterization version of the Noah land-surface model (Noah-MP) was used to investigate the impact of incorporating a forest-floor organic soil layer on the simulated surface energy and water cycle components with data from a BERMS Old Aspen Flux (OAS) field station in central Saskatchewan, Canada. Compared to the simulation without organic parameterization (CTL), the Noah-MP simulation with an organic-soil (OGN) improved Noah-MP simulated soil temperature profiles and soil moisture at 40-100cm, especially the phase and amplitude of soil temperature below 10 cm. OGN also enhanced simulation of sensible and latent heat fluxes in spring, especially in wet years, which is mostly related to the timing of spring soil thaw and warming. Simulated top-layer soil moisture is better in OGN than that in CTL in summer but worse in winter. The effects of including an organic soil layer on soil temperature are not uniform throughout the soil depth and year, and those effects are more prominent in summer and in deep soils. For drought years, the OGN simulation substantially modified the partition between direct soil evaporation and vegetation transpiration. For wet years, the OGN simulated latent heat fluxes are similar to CTL except for spring season where OGN produced less but closer to observation evaporation. Including organic soil produced more sub-surface runoff and resulted in much higher runoff throughout the season in wet years”.

The authors need to improve their discussion about results. For example, the authors did not discuss the positive bias found in both simulations for the SH in summer. The authors

presented the result of the soil temperature at the topsoil layer, but the discussion only appeared in the end of the section.

We added a new paragraph at the end of Section 4.4 to address the high bias in summer SH.

In addition, the authors need to improve the readability to clarify some phrases. Some of mistakes could be fixed by a final reading before submission, such as blanks in the text, two identical phrases in the section 3.1, mistakes in the figure captions.

Specific comments:

- Lines 11-12: “. . . , the most widely used numerical weather prediction and regional climate model in the world.” Are there any references about this affirmation?

Reference added, revised the sentence to read “which is widely used as a numerical weather prediction and regional climate model for dynamical downscaling in many regions world-wide (Chotamonsak et al., 2012)”.

- Line 13: As a suggestion, the authors could include the reference Pilotto et al. (2015).

It is added.

- Lines 13-14: “. . . compared to the legacy Noah LSM. . .”. I suggest replacing “legacy Noah LSM” by “earlier versions of the Noah LSM”.

Revised the sentence to read “compared to earlier version of Noah LSM”

- Lines 21-22: “Despite continuous evaluation and improvements, Noah-MP has not been evaluated in boreal forest regions.” And Yang et al. (2011)?

Delete the sentence “Despite continuous evaluation and improvements, Noah-MP has not been evaluated in boreal forest regions.” The Noah-MP has not been evaluated in specific boreal forest flux sites, Yang et al. (2011) use Noah-MP to test many river basins in the world including boreal forest regions, but the it didn't test the specific boreal flux sites.

- Line 36: I think the word “old” should be removed in this phrase.

“old” has removed. Revised the sentence to read “especially the version CLASS 2.7”

- Line 61: I would replace “thermal and hydrological components” by “surface components”.

Revised the sentence to read “surface energy and hydrological components,”

- Lines 73-76: I suggest that the soil types at the site should be described with more clarity.

In my opinion the soil description is already pretty good. Here are some small revisions: The soil is an Orthic Gray Luvisol (Canadian Soil Classification System) with an 8-10 cm deep forest-floor (LFH) organic horizon overlying a loam Ae horizon (0-21 cm), a sandy clay loam Bt horizon (21-69 cm), and a sandy clay loam Ck horizon (69+ cm) . 30% of the fine roots are in the LFH horizon and 60% are in the upper 20 cm of mineral soil.

- Line 100: “Data gaps were filled using a standard procedure.” Reference?

Add a reference: Data gaps were filled using the Fluxnet-Canada standard procedure. (Amiro et al. 2006).

Amiro BD, AG Barr, TA Black, H Iwashita, N Kljun, JH McCaughey, K Morgenstern, S Murayama, Z Nesic, AL Orchansky, and N Saigusa. 2006. Carbon, energy and water fluxes at mature and disturbed forest sites, Saskatchewan, Canada. Agric. For. Meteorol., 136: 237-251.

- Lines 125-126: “Noah- MP is a new-generation of LSM, developed to improve major weaknesses of the Noah LSM.” I suggest to change this sentence to something like: “Noah- MP is a new-generation of LSM, which was developed to improve the performance of the Noah LSM.”

Done.

- Lines 205-206: “They are then treated as the most appropriate combinations for our study site (see Table 3).” This sentence is not clear if the authors used the parameterization options mentioned in the sentence above. I think it should be rewritten.

These sentences are replaced by “The selected Noah-MP physics options used in this study are similar to Barlage et al. (2015), Gao et al. (2015) and Chen et al. (2014) and are list in Table 1.”.

- Lines 206-208: “The order of the categories based on the IOA scores from the highest to the lowest is. . .”. If the authors kept the comparison between the parameterization options, perhaps this result should be explored and discussed.

This entire paragraph is deleted and we added the above-mentioned sentence to explain Noah-MP option selection.

- The text does not mention how many soil layers were used in the simulations, and what the depths were used. I believe that the authors have used four layers. Three layers were mentioned in the results: 0-10cm, 10-40cm, and 40-100cm. In the caption of the figure 6, a fourth layer was mentioned as been referring to 100-200cm. Is it correct? Please explain in the methodology.

It is correct, we use 4 soil layers in Noah-MP: 0~10cm, 10~40cm, 40~100cm, and 100~200cm. Because observations are only available for 0~100cm, in this study we only discuss the results for the top three layers. We revised section 3.1 to reflect this.

- Line 210: I think the authors should create a specific title for the section 4.3, as it was presented in the sections 4.4 and 4.5. In fact, the “evaluation results” also include the sections 4.4 and 4.5.

The title and sections have been re-organized: deleting the entire section 4.2; the original Section 4.4 became new Section 4.2 focusing on soil temperature and moisture; changing

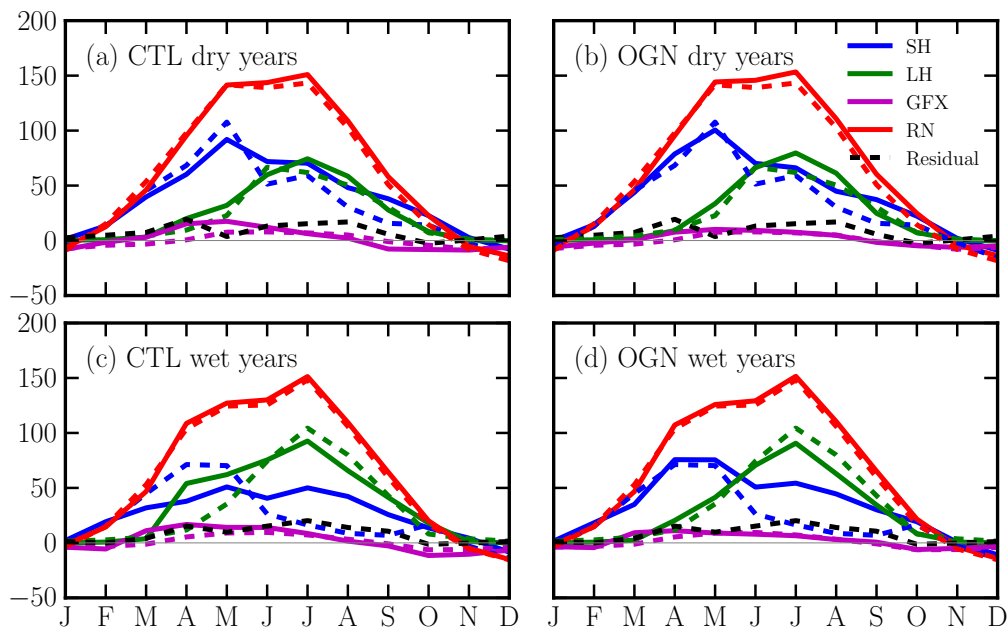
the title of Section 4.3 to read “Seasonal cycles of sensible and latent heat flux”; The titles for Sections 4.4 and 4.5 remain unchanged.

- Perhaps the figures 4 and 5 can become a single figure, as done in the figures 6 and 7. This may help in the analysis of results.

Because Figures 4 and 5 are different types of figures than Figure 6 and 7, we decided to retain Figs. 4 and 5.

- In the second paragraph of the section 4.3, the authors mentioned about the positive sensible heat flux bias simulated by the both simulations in summer. Why does this bias occur? Did you see the field of the net radiation? More interpretation would help.

Thanks for bringin this up. We hypothesized that high bias in summer sensible heat flux is partly attributed to energy imbalance in observations. We calculated the energy balance residual term: $RN-(SH+LH+G)$, which is plotted as the black dashed line in the figure below. For summer month (i.e., June, July, and August) in wet years, GFX in CLT and OGN is close to observed values; modeled latent heat flux is underestimated by $\sim 10 W/m^2$; modeled sensible heat flux is overestimated by $\sim 30 W/m^2$; and the residual term is $\sim 17 W/m^2$. So it is clear that the surface energy imbalance ($\sim 17 W/m^2$) in observations contribute to a large part of the $\sim 30 W/m^2$ high bias in sensible heat fluxes. In dry years, the summer energy imbalance ($\sim 15 W/m^2$) is nearly equal to the high bias in sensible heat flux ($\sim 15 W/m^2$). The above explanation is included at the end of Section 3.4 in the revised manuscript.



- Why the RMSE and IOA were not calculated for the soil temperature and moisture?

We calculated the RMSE and IOA for simulated soil temperature and moisture (shown below), but these statistics did not provide additional information than what is already presented in Figs. 4 and 5, so we did not use it in the manuscript.

| | CTL | | | OGN | | |
|--------|----------------|------|------|----------------|------|------|
| | R ² | RMSE | IOA | R ² | RMSE | IOA |
| SoilT1 | 0.87 | 3.71 | 0.93 | 0.88 | 3.85 | 0.92 |
| SoilT2 | 0.91 | 2.96 | 0.94 | 0.97 | 1.16 | 0.99 |
| SoilT3 | 0.92 | 2.80 | 0.93 | 0.88 | 1.77 | 0.95 |
| SoilW | 0.49 | 0.07 | 0.69 | 0.56 | 0.04 | 0.84 |

- Why the simulations with the Noah-MP (independent of the soil type) produce a bias on soil temperature at the topsoil layer in winter? Parameterization?

Top layer soil temperature in winter is highly dependent on snow cover, which in the model is related to the forcing conditions. We do not have observations of snow depth or snow water equivalent at this site so we cannot confirm, but only speculate, that the snow is too shallow in the simulations and therefore does not provide enough insulation to the very low atmospheric temperatures in winter. The low snow cover would then not effectively decouple the soil from the atmosphere.

- The authors did not describe the results of the soil temperature at the deeper layers, which show an improvement in the OGN simulation, compared with the CTL simulation. Why?

The comparison between simulated and observed soil moisture and soil temperature from 0-100 cm are shown in Figs. 4 and 5 and discussed in Section 4.2. There are no observations, so the soil moisture and temperature in the deepest soil (100-200cm) are not shown.

- Lines 247-248: “The inclusion of an organic soil horizon also affects the hydrologic cycle components such as soil water content, runoff, and evaporation (Figure 7).” I think that this phrase should be removed, because it does not represent which was presented in the figures until this moment.

Removed

- Lines 250-251: “. . .due to the contrasting water retention characteristics of organic and mineral soil.” Do you have reference for boreal forest?

Added the references and revised the original sentence to read “due to the contrasting water retention characteristics of organic and mineral soil (Koven et al., 2009; Rinke et al., 2008; Lawrence and Slater, 2008), the higher porosity in OGN leads to an increase in total soil water content, while the lower topsoil temperatures (Figure 4a) in OGN enhanced the ice content, then decreases the liquid soil water content.”

- Figure 12 is called before figure 8. Please verify the number of the figures.

Figures number verified.

- Lines 275-276: “The OGN-CTL difference is strongest for the drought years 2001, 2002 and 2003.” I did not find this result based on figures 4-7.

Delete this sentence.

- Did you calibrate the parameters used in the model? What were the parameters values used?

We did not explicitly “calibrate” model parameters. However, we conducted a number of soil parameter sensitivity tests and selected parameter values based on literature (Lawrence and Slater 2008, Letts et al. 2000). This is discussed in Section 3.1.

- I think the results need to be explored further in the section 4.4. This way is confusing to understand. I suggest that the authors should focus in the comparison of the OGN and CTL errors for each season in the drought and wet years. And, include a discussion these results.

We reorganized several sections and now added more explanations concerning, for instance, the overall impact of adding an organic soil layer in OGN and the high bias in modeled sensible heat fluxes.

- Lines 282-284: “In general, the OGN parameterization improved the simulation of daily daytime SH and LH in terms of both RMSE and IOA (Table 4).” Rewrite this sentence, because the RMSE of the SH from OGN simulation is higher than the CTL simulation in all years (exception for 2005).

This sentence is revised and reads as “In general, the OGN parameterization improved the simulation of daily daytime LH in terms of both RMSE and IOA, and increased IOA for SH (Table 3). Nevertheless, compared with CTL, OGN increased the bias in SH slightly by ~6% (Table 3). The reason for the general high bias in both CTL and OGN will be explored in Section 4.4”.

- Lines 292-293: “OGN overestimates daytime SH compared with observations, while CTL underestimates daytime SH for spring and summer (Figure 8a, b),. . .” The both simulations overestimate the SH in summer.

Yes, it is corrected to read “OGN overestimates daytime SH compared with observations, while CTL underestimates daytime SH for spring (Figure 8a, b) and both OGN and CTL slightly overestimates SH for summer, autumn and winter (Figure 8b, c, and d)”

- Lines 294-295: Why did not you show the figure with the cycle of the soil temperature? The authors include a description of this result, but they did not show the figure associated. I think this figure should be included in the text.

The main features of annual cycle of soil temperature are shown in its monthly cycle in Figure 6.

- Lines 305-307: “Note that the OGN simulation also improves surface heat fluxes significantly in drought years, because the snowmelt process dominates during spring months.” In drought years, the OGN simulation did not improve the SH, compared with the CTL simulation in spring. Note that the bias of the SH from OGN simulation is higher than the CTL simulation in spring.

Modified the original sentence to read “Note that the OGN simulation also improves latent heat fluxes in drought years, because the snowmelt process dominates during spring months”.

- Do the curves of the diurnal cycle of the figures 8 and 9 represent the daytime, nighttime or mean?

These results are seasonally averaged diurnal cycles of heat fluxes.

- Section 4.5: Why did not the authors show the figure with the annual cycle of the soil temperature?

The main features of annual cycle of soil temperature are shown in its monthly cycle Figure 6.

- Section 4.5: It is interesting that the authors mention that the annual cycle shows that there has been an improvement (closer to the observations) in the latent and sensible heat fluxes and volumetric liquid water from the OGN simulation in spring for wet years, in relation to the CTL.
- Conclusions: The authors repeated the results. The conclusions should contain the principal results found and the suggested hypothesis or explanations associated to these results. As I mentioned before, I think the authors should focus the improvement of the OGN simulation based on the observations and the CTL simulation.

Good suggestion! We revised it to remove redundancy and to reflect main results.

- Lines 369-370: “The incorporation of an organic layer at the top of the soil helps improve the nighttime sensible heat flux for all seasons.” The authors did not mention about the nighttime sensible heat flux in their results. I think the authors should mention it in their results or they should remove this sentence of the conclusions.

Deleted this sentence. Due to uncertainties in nighttime flux measurements, we focused our analysis on daytime observation data.

Technical corrections:

- Line 7: “. . .multi-parameterization. . .” Niu et al. (2011) and Yang et al. (2011) use multiparameterization. - Lines 49-50: “. . .(Letts et al. 2000, Beringer et al. 2001, Molders and Romanovsky 2006, Nicolsky et al. 2007, Lawrence and Slater 2008, etc.).” I think it is would be better “. . .(e.g., Letts et al. 2000, Beringer et al. 2001, Molders and Romanovsky 2006, Nicolsky et al. 2007, Lawrence and Slater 2008).”

Revised and reads as “Niu et al. (2011) and Yang et al. (2011) use the Noah LSM with multi-parameterization options (Noah-MP) discussed the seasonal and annual cycles of snow, hydrology, and vegetation.”

Revised and reads as by “. . .(e.g., Letts et al. 2000, Beringer et al. 2001, Molders and Romanovsky 2006, Nicolsky et al. 2007, Lawrence and Slater 2008).”

- Lines 72-73: “The forest regenerated after a natural fire in 1919 and had a 1998 stand density of 830 stems ha⁻¹.” I think this sentence is confused, it could be replaced by "The forest was regenerated after a natural fire in 1919, and in 1998 it had a stand density of 830 stems ha⁻¹.”

This sentence is revised and reads as “The forest was regenerated after a natural fire in 1919, and in 1998 it had a stand density of ~830 stems ha⁻¹”

- Line 94: I think the authors should include in the manuscript the meaning of the variable theta.

Replace “resulting in high VWC values that may not be characteristic of the flux footprint. Theta is also measured at 2.5- and 7.5-cm depth in the forest-floor LFH layer using two profiles” by “resulting in high Volumetric Water Content (VWC) values that may not be characteristic of the flux footprint. VWC is also measured at 2.5- and 7.5-cm depth in the forest-floor LFH layer using two profiles”

- Line 101: “The net radiation flux density Rn was calculated. . .” The authors should correct this phrase for “The net radiation flux density (Rn) was calculated. . .” or “The net radiation flux density, Rn, was calculated. . .”

This sentence is revised and reads as “The net radiation flux density, Rn, was calculated.”

- Lines 128-130 and 135-137 are the same sentence. - Review the figure captions, especially the figures 6 and 7.

Rewrote section 3.1